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Abstract—The abstract goes here.

I. Introduction

Concurrent programs are pervasive [1] in nowadays software development activities. Using concurrency rightly in programs can exploit the calculation ability better with the rapid development of multi-core system. However, concurrent programming is very hard [2],[3] because multiple threads, which access objects simultaneously or depend on each other, usually need complex synchronization and it is hard to debug concurrent programs for the uncertainty of thread interleaving which makes it difficult to reproduce the bug [4]. Developers often struggle with various of synchronization methods and potential concurrent bugs. There are much research about concurrent programming in the literature such as concurrency bug detection [5],[6],[7], concurrent programming model [8],[9] and some empirical work [10],[11].

Software evolution is another hot topic in software engineering research. Software projects evolves during years because of new functionalities, bugs, reorganization of code. A few of open source software platforms like github has been more and more popular in recent years and so do the projects hosted there [12],[13]. They hold a huge amount of software projects and their historic versions. Researchers have shown that software evolution history can provide much useful information for today's software development activities. Many recent studies focus on these topics of software evolution such as refactoring [14], [15], transformation patterns [16],[17].

Rui Gu et al [18] studied change history of thread synchronization. They checked 250,000 revisions of four open-source projects to figure out how critical sections change. They also conducted case studies to understand how the changes solve performance problems and correctness problems. However, concurrency is not only reflected by critical sections, but also some other programming constructs like concurrent libirary usage, thread resource management. Study on critical sections are not enough to understand real-world thread synchronization.

Gustavo Pinto et al [1] did a large-scale study on the usage of Javas concurrent programming constructs. They checked the usage of concurrent programming constructs in a large base

of code. They had many findings such as more than 75% of the projects create threads of do some concurrency control and the adoption of concurrent package of Java is moderate (23% concurrent projects use it). But we all know that software is changing. Numerous commits are submited every day. We want to know not only the usage of concurrent programming, but also the trend of it.

Gustavo Santos et al [19] studied system specific, source code transformations. They found some sequences of changes are systematic. They defined them as transformation patterns. They identified some transformation patterns in real world software and studied their properties like they are system specific, or they were applied in a manual way. However, the change patterns of concurrent programs are less studied.

We studied concurrent programs from a perspective of software evolution history and found many change patterns about concurrent programming. Understanding concurrent program change patterns is very beneficial.

- (1) Developers are facing many concurrent programming requirements now. But concurrent programming is notoriously error-prone because of the complexity of data synchronization and thread interleaving. Our study gives developers some guidelines of writing concurrent programs. First, use handy concurrent libraries to finish the job instead of rewrite them by yourself unless all the available concurrent libraries cannot satisfy your requirement and you are absolutely confident of your comcurrent programming skills. Using existing libraries allows you to write less code to finish the same work and enjoy the high quality of implementation which is always reliable, strong and fast. Second, always switch to new-version libraries because they usually provide higher performance and robustness.
- (2) Automatic tools are needed to help developers inspect and revise concurrent programs with the help of history information. They can learn from existing change patterns. There has already been some tools, but they usually look for concurrent bugs such as race detection, deadlock detection and atomicity violation without considering software evolution history. Both project specific and project independent transformation patterns exist in real-world software projects. So we need some concurrent code refactoring tools to give advice

of what code need change and perform the transformations automatically. It is a chance for IDE manufacturer to make the IDE more intelligent in inspecting and modifying the code. Developers will benefit a lot if such kind of automatic tools can actually help them automate their development and maintaining activities.

However, this work has to face several challenges:

- (1) The scale of open source software is increasing explosively as a result of some open source code platforms have become more and more popular. The change history of the open source software is also vast. Our interest is concurrent related commit, but they are hidden in the massive commit history. It requires much time and effort to identify whether a commit is concurrent related or not if doing it manually. We would like to adopt some automatic methods. Simple keyword matching algorithm will not work well because some commits just add or remove functionalities rather than modify original code.
- (2) The changes of code usually have complex relationship with the context not only in the file where change happens but also other files. Some change patterns have implicit dependency on the existing code. This raises a challenge to identify real change patterns which can be applied to other context correctly.

Our main contributions are:

- (1) We identify and classify change patterns in concurrent programs from 102028 commits of 7 open-source projects. The most common types of change patterns are thread-safe class replacement, synchronization addition, synchronization removal.
 - (2) We observe some interesting findings: .
- (3) We find some contexts to which we can apply the patterns in real-world projects. We make some pull requests and some of them are accepted.
- (4) We give some inspirations to concurrent program or library developers and analysis tool developers.

The rest of paper is organized as follows: Section 2 presents the methodology of our study. Section 3 presents our result and discussion. Section 4 presents related work. Section 5 presents future work and Section 6 concludes.

II. METHODOLOGY

This section presents data set of our study, research questions and tool support.

A. Data set

We investigate 8 Java open-source projects from Github including Hadoop, Tomcat, Cassandra, Lucene-solr, Netty, Flink, Guava and Mahout as shown in Table 1. They are all popular, large-scale, active, representative Java open-source projects and cover different areas like distributed computing, web server, database, information retrieval, I/O and machine learning. The Hadoop project develops open-source software for reliable, scalable, distributed computing and has become one of the most famous Java open-source software for many years. Tomcat is the most popular implementation of the

TABLE I
PROJECTS INFORMATION (LOC AND #FILES ARE BOTH OF JAVA FILES)

Project	LOC	#Files	#Commits
Hadoop	1202764	7701	14930
Tomcat	301173	2192	17731
Cassandra	387980	2143	21982
Lucene-solr	918398	6310	26152
Netty	218131	2054	7759
Flink	414264	4068	9771
Guava	251205	1672	3850
Mahout	109584	1215	3703

Java Servlet, JavaServer Pages, Java Expression Language and Java WebSocket technologies. Cassandra is a database system which can Manage massive amounts of data, fast, without losing sleep. Lucene-solr is two projects together in one respository in Github. Lucene is a search engine library and solr is a search engine server which uses lucene. Netty is an event-driven asynchronous network application framework. Flink is an open source stream processing framework with powerful stream- and batch-processing capabilities. Mahout is a machine learning project. Table I shows the lines of code in Java, the number of Java files and the number of commits of each project. All the projects are checked out for our study in December 2016.

B. Research questions

In order to understand the evolution of concurrent code and guide the future development better, we proposed 4 research questions:

RQ1. What are change patterns in concurrent programming? Similar changes of code can be abstracted into change patterns. Some change patterns are project-specific while others are global, which can be considered as knowledge. Developers made numerous commits to the project repository during software's whole lifetime. There are a great many change patterns in software history. On the other hand, concurrent programming is very popular in today's Java development with the rapid developments of multi-core techniques which help exploit the power of concurrent programming. It is very meaningful to understand change patterns in concurrent programming. What are these change patterns and how many types of these change patterns are there?

RQ2. How frequent do concurrent related code modification appear in different kinds of Java open-source projects?

Java programming language provides convenient built-in concurrent libraries and users can also invoke third-party libraries like Apache Commons and Guava, which are both very famous libraries providing reusable conponents. Although developers can use their own concurrent related classes or third-party libraries, they are always using the facilities provided by Java standard libraries in most cases except they are facing special and rigour requirement. We want to know how frequent do concurrent related code change occur in software projects. What are the differences of frequency in different kinds of software projects?

RQ3. What is the trend of concurrent programming construct usage statistically?

Java programming language offers many handy facilities for building concurrent programs. For example, language level constructs like synchronized and volatile are keywords of Java. There are also API level constructs like notify method of an object and some concurrent related convenient classes such as the java.util.concurrent package. There are always more than one ways to finish a task in Java and the preferences of developers evolves fast. We are interested in the trend of some common concurrent related constructs and the reasons hidden behind the phenomenon.

RQ4. Is there any context in real-world projects to which we can apply these change patterns?

In order to better demonstrate these change patterns can really help developers understand concurrent programming practice and apply the practice to their projects, we are going to find the appropriate context in open-source projects and pull requests of applying the change patterns. We are insterested to see that how developers will accept our code change suggestions.

C. Tool support

We have developed a tool to collect and analyze data. The tool has the following parts.

- 1) Collecting commits: All the projects of our study are under git which is one of the most popular version control systems in the world. Some projects of the study used svn or some other version control systems before because they have long histories, but they all support git now. We employ JGit, a lightweight, pure java library implementation of git, to retrieve all the commit logs in projects' histories. A typical commit log contains commit id which is a 20-character-long string uniquely identifying a commit, author, date and message. Once we get a commit id, we use "git show" command to show the log message and textual diff. The diff result contains one or more change files which contain one or more change hunks.
- 2) Classification: There are many commits which are not concurrent related in the commits which we have collected. We need to select concurrent related commits. Yuan Tian et al. gave a successful example of identifing bug fixing patches using machine learning[20]. We use machine learning to train and predict whether a commit is concurrent related. We adopt both text analysis and code analysis to extract features. A commit log uses natural language to present what was changed and why the change was made in most cases. We treat each commit log as a bag of words then match the words to a set of concurrent keywords which we have defined as the Java concurrent keywords like "synchronized", "volatile" and names of common classes or interfaces in Java libraries which are related to concurrency. We also do a code analysis based on the diff result. 12 features are extracted for each commit, which is shown in Table II.

We use the SVM[21] algorithm to train and classify commits as concurrent-related or not. SVM is a supervised classification algorithm which needs both positive and negative

TABLE II FEATURES OF DATA

Explanation			
Number of keywords in commit message			
Number of files in a commit			
Number of hunks in a commit			
Number of added lines in a commit			
Number of removed lines in a commit			
lineAdd - lineRemove			
lineAdd + lineRemove			
Number of added keywords in a commit			
Number of removed keywords in a commit			
keyAdd - keyRemove			
keyAdd + keyRemove			
Number of keywords in context code			

labeled data for training. In our tool, we use an implementation of SVM, LIBSVM[22]. We manually label some data as a training data set first then train a model. The trained classifier selects 135 positive instances from all the commits which we have collected.

III. RESULTS

A. RQ1. How many types of change patterns in concurrent programming?

Taxonomy There are so many different concurrent related changes in the code history. We divide them into several types according to their properties. Our taxonomy is based on observed behaviour of code changes. It can also be decided by purposes of code changes. But purpose is a subjective concept and it is more difficult to determine why the changes are made. The taxonomy includes change of lock type, change of lock variable, synchronization addition, synchronization removal, lock release, volatile addition, volatile removal, class replacement, thread-safe class replacement, thread management, thread status management,

Table III shows an overview of all types, their explanations and occurrence times. We are going to talk about these change types concretely with examples in the following.

Changing lock type

We have implicit lock and explicit lock in Java. An implicit lock is denoted by a Java keyword 'synchronized', which can synchronize a block of code as a synchronized block on a monitor object. This keyword can be used to mark both methods and code blocks. It is a special kind of lock for every object has a implicit monitor lock. We do not need to acquire and release locks manually. We do not need to worry about forgetting release locks in a 'finally' block. It is easier for programmers to deal concurrency with 'synchronized' rather than explicit locks. An explicit lock is an implementation of locking in API level. You create a lock object and then you can call the methods of this lock object such as 'lock'. It provides more advanced operations like fairness and condition than implicit lock. Java's standard library provides many locking implementations. Third-party libraries also provide this kind of facilities.

TABLE III TAXONOMY

Name	Explanation	
Change of lock type	Switch to another type of lock. We have implicit monitor lock, ReentrantLock and so on	
Change of lock var		
Synchronization addition		
Synchronization removal		
Volatile addition	Add volatile modifier to a field of class	
Volatile removal	Remove volatile modifier to a field of class	
Thread-safe class replacement	Use thread-safe class instead of handling concurrency control manually	
Other class replacement	Some other concurrent related class replacement	

The reasons of changes vary in different conditions. They might switch to a read lock from a normal lock when there are plenty of concurrent read operations or switch to a reentrant lock from synchronized keyword because the former offers more functions. Here is a example.

This is a commit of YARN-5825 - ProportionalPreemptionalPolicy could use readLock over LeafQueue instead of synchronized block. They think it is a major bug of Hadoop project. They used a synchronized block to synchronize in various places, which can be replaced with a read lock. There are many different locks which can be used to synchronize. A read lock is more lightweight than a synchronized block and it allows multiple threads to read simultaneously and hence improves performance.

```
1 commit 3e4blae6dc786b268505aa2e64067432519c2bcf
2 FRom kkolinko:
3 A ReadWriteLock cannot be used to guard a WeakHashMap. The
4 WeakHashMap may modify itself on get(), as it processes the reference
5 queue of items removed by GC.
6 Either a plain old lock / synchronization is needed, or some other solution
7 (e.g. org.apache.tomcat.util.collections.ManagedConcurrentWeakHashMap)
```

Changing lock instance

You need to create an instance of lock like Java's Reentrant class first before you can use it. You also need to choose an object to synchronize on when you use synchronized block. Developers may change lock instance during software evolution. It is important to choose the right lock instance to use and decide the order to acquire and release different locks. Sometimes it is hard for developers to do this.

No matter explicit locks or 'synchronize' keyword, we always need a lock variable to be acquired and released. There are some best practice like two-phase locking [23], which is a locking method to guarantee serializability. It is usually used in database transaction management. The method is relatively simple to understand. It has two phases: the first is expanding phase where you can only acquire locks and the second is

shrinking phase where you can only release locks. You can access data between the two phases.

This is a commit of FLINK-1419 - DistributedCache doesn't preserver files for subsequent operations, which is a major bug. The description said that it happens that the files are created yet for the operations when subsequent operations are going to access the same file in the DistributedCache.

```
commit f0e627bb8c9daedb3b064027cac37ce4849bab64
2 Fix https://bz.apache.org/bugzilla/show_bug.cgi?id=58382
3 Use single object (membersLock) for all locking
4
5 /**
6 * Reset the membership and start over fresh. i.e., delete all the members
7 * and wait for them to ping again and join this membership.
8 */
9 - public synchronized void reset() {
10 - map.clear();
11 - members = EMPTY_MEMBERS;
12 + public void reset() {
13 + synchronized (membersLock) {
14 + map.clear();
15 + members = EMPTY_MEMBERS;
16 + }
17 *
```

This is another example. The lock variable is originally the monitor of the object and now is membersLock. They turned to use single object (membersLock) for all locking as the commit message said.

Synchronization removal

Synchronization removal is a removal of critical section, which is usually not allowed to be executed by multiple threads.

This is a commit of HDFS-4200 - Reduce the size of synchronized sections in PacketResponder. It is a major improvement. The developers said the size of synchronized sections can be reduced. It is always meaningful to remove the unnecessary synchronizations. Over-synchronization [18] is a real issue in real-world software.

This is an example from flink. It is a critical bug issue "Deadlock during partition spilling". A user reported the problem. The developer wrote a detailed message, which describes the problem, reason and solution.

Synchronization addition

Synchronization addition is a addition of critical section.

Release lock

Volatile addition

Volatile addition is a addition of the volatile keyword. Volatile is a keyword of Java. It is used to mark a variable which should be saved in main memory. Any read or write operation of the variable should visit the main memory instead of only using cache. This provides visibility of the latest value of variable across multiple threads.

It is a commit for bug 58392 in Bugzilla. It is reported by a race detector that there is data race on field. Double checked locking is a synchronization pattern in software engineering. It first check the condition without lock to reduce the time overhead when the condition is not satisfied. A typical usage of it is singleton pattern which uses lazy initialization to provide an unique instance during the process execution time in multithreaded scenario. But sometimes programmers make some mistakes using this pattern like forgetting 'volatile' modifier of the member of object in Java.

Volatile removal

Volatile addition is a removal of the volatile keyword.

Thread-safe class replacement

Thread-safe class replacement is an adoption of thread-safe class instead of handling the concurrency control by yourself. It is a very common category.

This commit is from hadoop. "..." represents that some code are omitted. It is a fix of issue HDFS-4029 "GenerationStamp should use an AtomicLong" whose priority is major. The code synchronize the method nextStamp for it might be invoked concurrently. Method nextStamp increases genstamp by one and then return it. The developers found that it would be cleaner to use an AtomicLong so that genstamp itself is atomic and they do not have to synchronize the various accesses to it. AtomicLong is a thread-safe version of type long. It allows users to update it atomically without any synchronization. Its internal implementation is not using synchronized method or block. It uses sun.misc.Unsafe which provides many unsafe but fast operations.

```
commit 7f443f67eaa588323f912f3922cff9b699b38fbd
Author: Shai Erera <shaie&apache.org>
    Date: Mon Nov 29 15:07:14 2010 +00000

4

LUCENE-2779: Use ConcurrentHashMap in RAMDirectory

    ...
    protected HashMap<String, RAMFile> fileMap = new HashMap<String, RAMFile>();
    + protected Map<String, RAMFile> fileMap = new ConcurrentHashMap<String, RAMFile>();
    ...

    coverride
    public final boolean fileExists(String name) {
        ensureOpen();
        - RAMFile file;
        - file = fileMap.get(name);
        - return file != null;
        return file != null;
        return fileMap.containsKey(name);
    }
}
```

This commit is from lucene-solr. It is a commit for LUCENE-2779 which is a minor-priority improvement. It is better to use a thread-safe version collection ConcurrentHashMap instead of using HashMap and synchronizing the access code. This thread-safe class not only simplify the way

of using a hash map, but also improve the performance compared to manual synchronization like the example. It supports full concurrency of retrievals and high expected concurrency for updates.

Other class replace

Thread management

Thread management is to deal with the management of thread-related resources.

Thread sleep wait notify

It is a another way of synchronization which is less common than locking.

Final in multiple threads

```
1 commit 470c87dbc6c24dd3b370flad9e7ab1f6dabd2080
2 Author: Colin Patrick Mccabe <cmccabe@cloudera.com>
3 Date: Tue May 19 10:49:17 2015 -0700
4
5 HADOOP-11970. Replace uses of ThreadLocal<Random> with JDK7 ThreadLocalRandom (Sean Busbey via Colin P. McCabe)
6 ...
7 -import java.util.Random;
8 +import java.util.concurrent.ThreadLocalRandom;
9 ...
10 - private static ThreadLocal<Random> RANDOM = new ThreadLocal<Random>() {
11 - @Override
12 - protected Random initialValue() {
13 - return new Random();
14 - };
15 - };
16 ...
17 - final double ratio = RANDOM.get().nextDouble() + 0.5;//0.5 <= ratio <=1.5
18 + // ensure 0.5 <= ratio <=1.5
18 + // ensure 0.5 <= ratio <=1.5
18 + final double ratio = ThreadLocalRandom.current().nextDouble() + 0.5;</pre>
```

This example shows a switch from ThreadLocal<Random> to ThreadLocalRandom from JDK7. It is of the issue HADOOP-11970 which is a major improvement. This issue says that ThreadLocalRandom should be used when available in place of ThreadLocalRandom. For JDK7 the difference is minimal, but JDK8 starts including optimizations for ThreadLocalRandom. The ThreadLocal class provides thread-local variables. The ThreadLocalRandom class is a random number generator isolated to the current thread since 1.7.

B. RQ2. How frequent do concurrent related code modification appear in different kinds of Java open-source projects?

Figure 1 shows the numbers of concurrent related commits and all commits of each month in all projects of our study. It also shows the percentage of concurrent related commits. Each subfigure has two subfigures inside. The x axis represents the time in month. The upper subfigure has two lines. The higher line shows the number of all commits while the lower line shows the number of concurrent related commits. The number of concurrent related commits is relatively small compared to the number of all commits. The two indexes have a positive correlation generally. The bottom subfigure shows the percentage of concurrent related commits. The percentages differ in project and time. For example, the percentage in mahout is relatively lower than other projects.

C. RQ3. What is the trend of concurrent programming classes usage statistically?

We write a program to count and analyze concurrent programming classes usage. Table IV shows top 10 classes added and deleted in the history. Some classes are both active in the added and the deleted column like AtomicInteger and CountDownLatch. This is not surprising because a deletion of

TABLE IV TOP CLASSES

Class	#Add	Class	#Del
AtomicInteger	2780	AtomicInteger	4111
AtomicLong	1701	AtomicBoolean	2504
CountDownLatch	1698	ConcurrentHashMap	2415
AtomicBoolean	1676	AtomicLong	2225
ConcurrentHashMap	1561	CountDownLatch	1513
AtomicReference	1030	AtomicReference	1224
Executors	921	Executors	1105
LinkedBlockingQueue	689	ThreadPoolExecutor	1034
ConcurrentLinkedQueue 638		LinkedBlockingQueue	864
ThreadPoolExecutor 583		ConcurrentLinkedQueue	797

class does not mean this class is abandoned. This also indicates this class is active. An interesting observation is that deletions appear more than addition.

D. RQ4. Is there any context in real-world projects to which we can apply these change patterns?

E. Threats to Validity

All the change patterns are summarized from real commits of projects. Different developers may have different taste and preference. Their behavior on similar conditions may be different sometimes contradictory. We indeed find that some changes are contradictory.

Some change patterns are not easy to determine the right occasion to apply especially those concurrency control problems like dead lock, race condition. They usually need rigorous analysis based on the dependent code.

We collect all the commits from the initialization of projects. The time range of them is very wide. Some changes are not very new. The development of software is very fast, so some change patterns which are not very new might not be suitable for the newest software.

IV. DISCUSSION

We also have some interesting findings in collecting and analyzing the concurrent related code changes.

- (1) Some changes are contrary. Different developers may modify their code in an opposite direction.
- (2) Developers are using some code-checking tools like findbugs to help them inspect their code.

The examples above show that some developers are using code checking tools like findbugs. But these kind of tools don't help developers correct and eliminate the warnings automatically. One developer in Tomcat said "make the volatile anyway so FindBugs doesn't complain" in the commit log.

This research provides some implications from different kinds of perspectives.

(1) Developers are facing more and more concurrent programming requirements now. But concurrent programming is notoriously error-prone because of the complexity of data synchronization and thread interleaving. Our study gives developers some guidelines of writing concurrent programs. First, use handy concurrent libraries to finish the job instead of rewrite them by yourself unless all the available concurrent

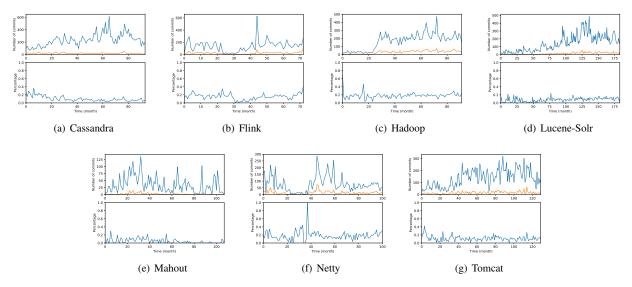


Fig. 1. Number of concurrent related commits compared to all commits

libraries cannot satisfy your requirement and you are absolutely confident of your comcurrent programming skills. Using existing libraries allows you to write less code to finish the same work and enjoy the high quality of implementation which is always reliable, strong and fast. Second, always switch to new-version libraries because they usually provide higher performance and robustness.

(2) Automatic tools are needed to help developers inspect and revise concurrent programs with the help of history information. There has already been some tools, but they usually look for concurrent bugs such as race detection, deadlock detection and atomicity violation without considering software evolution history. Both project specific and project independent transformation patterns exist in real-world software projects. So we need some concurrent code refactoring tools to give advice of what code need change and perform the transformations automatically. It is a chance for IDE manufacturer to make the IDE more intelligent in inspecting and modifying the code. Developers will benefit a lot if such kind of automatic tools can actually help them automate their development and maintaining activities.

V. RELATED WORK

Empirical studies on concurrent programming Concurrent programming attract many researchers' attention. Many empirical studies have been done. Tudor David et al [10] investigated everything about synchronization people wanted to know but not dared to ask. Semih Okur and Danny Dig [24] studied how developers use parallel libraries. They analyzed 655 open-source applications developed by 1609 programmers. The applications adopted Task Parallel Library and Parallel Language Integrated Query, which are Microsoft's parallel libraries in C#. They reveal some interesting facts such as at least 10% of programmers misuse the two libraries so that the programs run sequentially rather than concurrently,

and developers are making their code too complex but it is unnecessary.

Program transformation

VI. CONCLUSION

We conduct a study on change patterns in concurrent programming. We find many change patterns and establish a taxonomy of these change patterns.

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