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An Architecture for Use of PCE and the PCE Communication Protocol (PCEP)
in a Network with Central Control

Abstract

The Path Computation Element (PCE) is a core component of Software-Defined Networking (SDN) systems. It can compute optimal paths for traffic across a network and can also update the paths to reflect changes in the network or traffic demands.

PCE was developed to derive paths for MPLS Label Switched Paths (LSPs), which are supplied to the head end of the LSP using the Path Computation Element Communication Protocol (PCEP).

SDN has a broader applicability than signaled MPLS traffic-engineered (TE) networks, and the PCE may be used to determine paths in a range of use cases including static LSPs, segment routing, Service Function Chaining (SFC), and most forms of a routed or switched network. It is, therefore, reasonable to consider PCEP as a control protocol for use in these environments to allow the PCE to be fully enabled as a central controller.

This document briefly introduces the architecture for PCE as a central controller, examines the motivations and applicability for PCEP as a control protocol in this environment, and introduces the implications for the protocol. A PCE-based central controller can simplify the processing of a distributed control plane by blending it with elements of SDN and without necessarily completely replacing it.

This document does not describe use cases in detail and does not define protocol extensions: that work is left for other documents.

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1. Introduction

The Path Computation Element (PCE) [RFC4655] was developed to offload path computation function from routers in an MPLS traffic-engineered network. Since then, the role and function of the PCE has grown to cover a number of other uses (such as GMPLS [RFC7025]) and to allow delegated control [RFC8231] and PCE-initiated use of network resources [RFC8281].

According to [RFC7399], Software-Defined Networking (SDN) refers to a separation between the control elements and the forwarding components so that software running in a centralized system, called a controller, can act to program the devices in the network to behave in specific ways. A required element in an SDN architecture is a component that plans how the network resources will be used and how the devices will be programmed. It is possible to view this component as performing specific computations to place traffic flows

within the network given knowledge of the availability of network resources, how other forwarding devices are programmed, and the way that other flows are routed. This is the function and purpose of a PCE, and the way that a PCE integrates into a wider network control system (including an SDN system) is presented in [\[RFC7491\]](#).

In early PCE implementations, where the PCE was used to derive paths for MPLS Label Switched Paths (LSPs), paths were requested by network elements (known as Path Computation Clients (PCCs)), and the results of the path computations were supplied to network elements using the Path Computation Element Communication Protocol (PCEP) [\[RFC5440\]](#). This protocol was later extended to allow a PCE to send unsolicited requests to the network for LSP establishment [\[RFC8281\]](#).

SDN has a far broader applicability than just signaled MPLS or GMPLS traffic-engineered networks. The PCE component in an SDN system may be used to determine paths in a wide range of use cases including static LSPs, segment routing [\[SR-ARCH\]](#), SFC [\[RFC7665\]](#), and indeed any form of routed or switched network. It is, therefore, reasonable to consider PCEP as a general southbound control protocol (i.e., a control protocol for communicating from the central controller to network elements) for use in these environments to allow the PCE to be fully enabled as a central controller.

This document introduces the architecture for PCE as a central controller as an extension of the architecture described in [\[RFC4655\]](#) and assumes the continued use of PCEP as the protocol used between PCE and PCC. This document also examines the motivations and applicability for PCEP as a Southbound Interface (SBI) and introduces the implications for the protocol used in this way. A PCE-based central controller can simplify the processing of a distributed control plane by blending it with elements of SDN and without necessarily completely replacing it.

This document does not describe use cases in detail and does not define protocol extensions: that work is left for other documents.

2. Architecture

The architecture for the use of PCE within centralized control of a network is based on the understanding that a PCE can determine how connections should be placed and how resources should be used within the network, and that the PCE can then cause those connections to be established. Figure 1 shows how this control relationship works in a network with an active control plane. This is a familiar view for those who have read and understood [RFC4655] and [RFC8281].

In this mode of operation, the central controller is asked to create connectivity by a network orchestrator, a service manager, an Operations Support System (OSS), a Network Management Station (NMS), or some other application. The PCE-based controller computes paths with awareness of the network topology, the available resources, and the other services supported in the network. This information is held in the Traffic Engineering Database (TED) and other databases available to the PCE. Then the PCE sends a request using PCEP to one of the Network Elements (NEs), and that NE uses a control plane to establish the requested connections and reserve the network resources.

Note that other databases (such as an LSP Database (LSP-DB)) might also be used, but for simplicity of illustration, just the TED is shown.

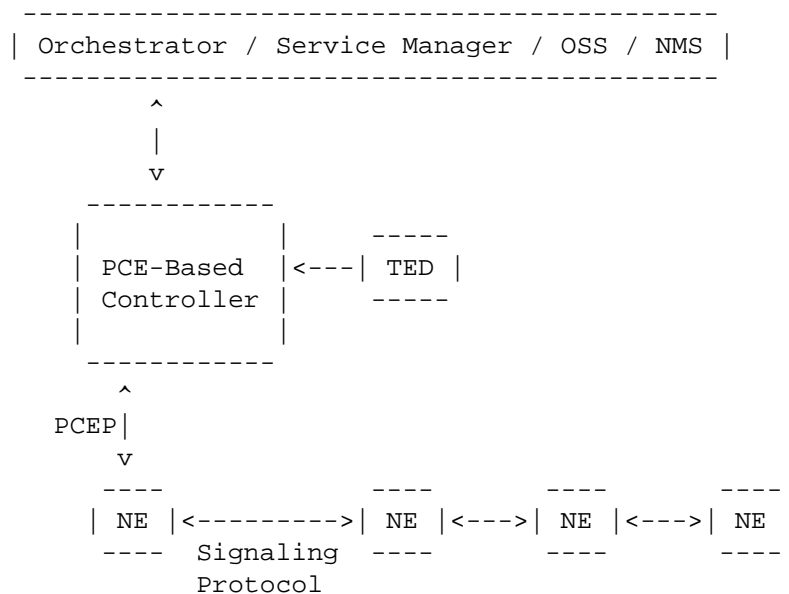


Figure 1: Architecture for the Central Controller with a Control Plane

Although the architecture shown in Figure 1 represents a form of SDN, one objective of SDN in some environments is to remove the dependency on a control plane. A transition architecture toward this goal is presented in [RFC7491] and is shown in Figure 2. In this case, services are still requested in the same way, and the PCE-based controller still requests use of the network using PCEP. The main difference is that the consumer of the PCEP messages is a network controller that provisions the resources and instructs the data plane using an SBI that provides an interface to each NE.

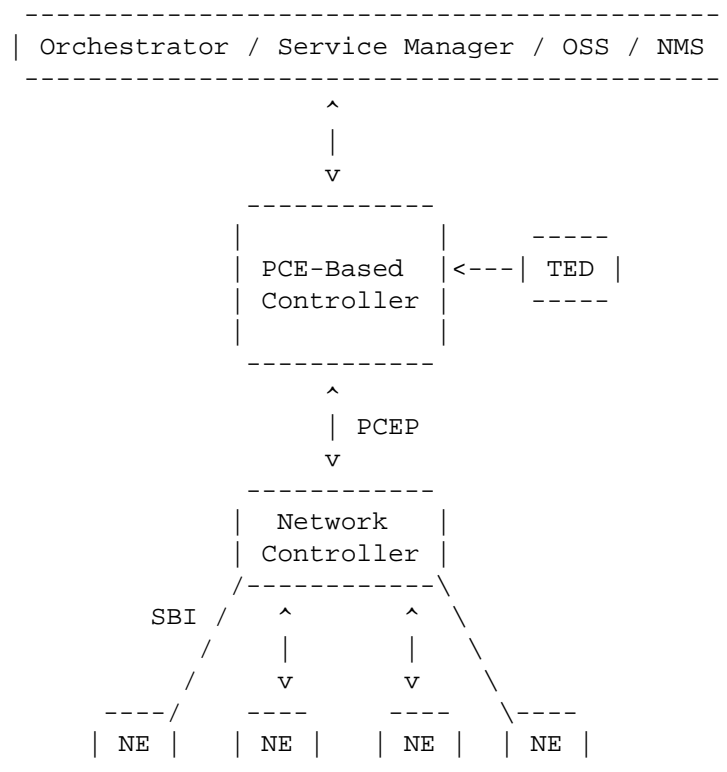


Figure 2: Architecture Including a Network Controller

The approach in Figure 2 delivers the SDN functionality but is overly complicated and insufficiently flexible.

- o The complication is created by the use of two controllers in a hierarchical organization and the resultant use of two protocols in a southbound direction.
- o The lack of flexibility arises from the assumed or required lack of a control plane.

This document describes an architecture that reduces the number of components and is flexible to a number of deployment models and use cases. In this hybrid approach (shown in Figure 3), the network controller is PCE enabled and can also speak PCEP as the SBI (i.e., it can communicate with each node along the path using PCEP). That means that the controller can communicate with a conventional control-plane-enabled NE using PCEP and can also use the same protocol to program individual NEs. In this way, the PCE-based controller can control a wider range of networks and deliver many different functions as described in [Section 3](#).

There will be a trade-off in different application scenarios. In some cases, the use of a control plane will simplify deployment (for example, by distributing recovery actions), and in other cases, a control plane may add operational complexity.

PCEP is essentially already capable of acting as an SBI and only small, use-case-specific modifications to the protocol are needed to support this architecture. The implications for the protocol are discussed further in [Section 4](#).

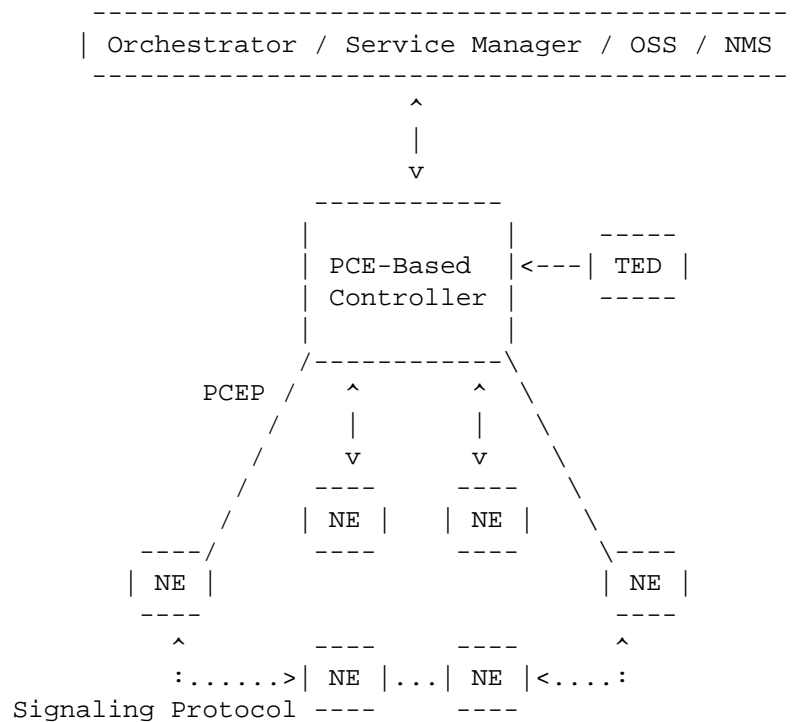


Figure 3: Architecture for Node-by-Node Central Control

2.1. Resilience and Scaling

Systems with central controllers are vulnerable to two problems: failure of the controller or overload of the controller. These concerns are not unique to the use of a PCE-based controller, but they need to be addressed in this document before the PCE-based controller architecture can be considered for use in all but the smallest networks.

There are three architectural mechanisms that can be applied to address these issues. The mechanisms are described separately for clarity, but a deployment may use any combination of the approaches.

For simplicity of illustration, these three approaches are shown in the sections that follow without a control plane. However, the general, hybrid approach of Figure 3 is applicable in each case.

2.1.1. Partitioned Network

The first and simplest approach to handling controller overload or scalability is to use multiple controllers, each responsible for a part of the network. We can call the resultant areas of control "domains" [RFC4655].

This approach is shown in Figure 4. It can clearly address some of the scaling and overload concerns since each controller now only has responsibility for a subset of the network elements. But this comes at a cost because end-to-end connections require coordination between the controllers. Furthermore, this technique does not remove the concern about a single point-of-failure even if it does reduce the impact on the network of the failure of a single controller.

Note that PCEP is designed to work as a PCE-to-PCE protocol as well as a PCE-to-PCC protocol, so it should be possible to use it to coordinate between PCE-based controllers in this model.

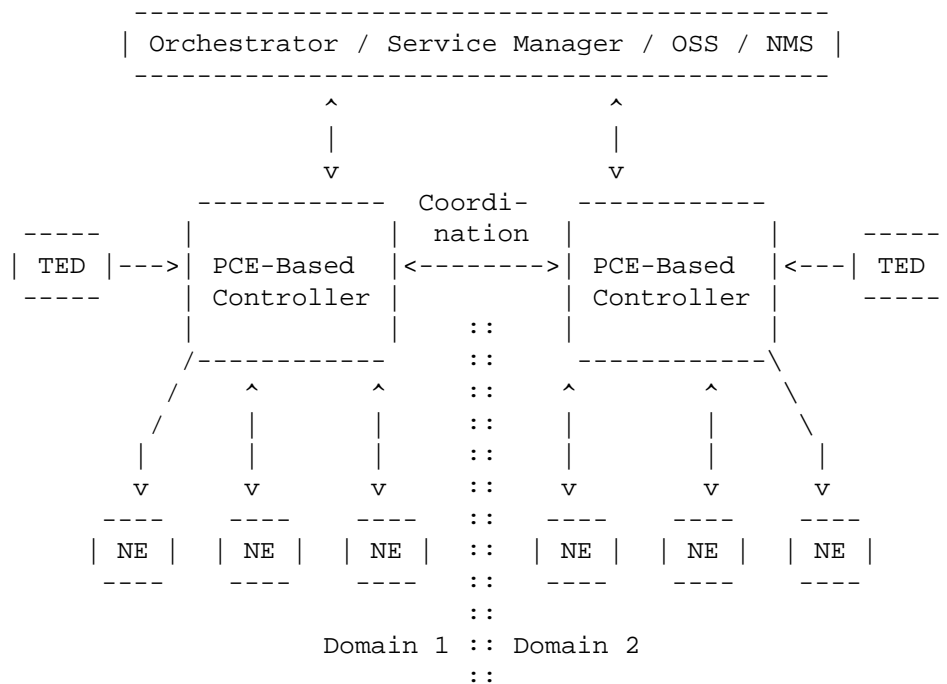


Figure 4: Multiple Controllers on a Partitioned Network

2.1.2. Multiple Parallel Controllers

Multiple controllers may be deployed where each controller is capable of controlling all of the network elements. Thus, the failure of any one controller will not leave the network unmanageable and, in normal circumstances, the load can be distributed across the controllers.

Multiple parallel controllers may be deployed as shown in Figure 5. Each controller is capable of controlling all of the network elements; thus, the failure of any one controller will not leave the network unmanageable, and in normal circumstances, the load can be distributed across the controllers. In this model, the orchestrator (or any requester) must select a controller to consume its request.

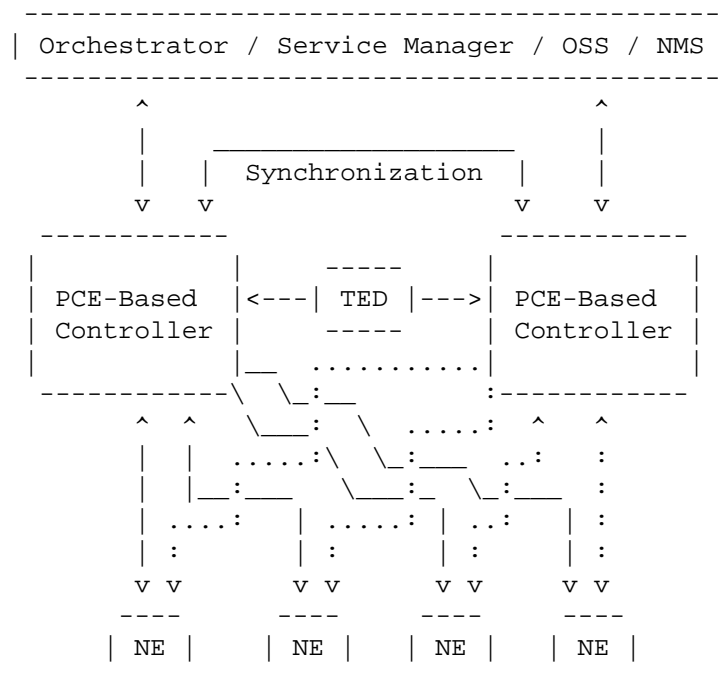


Figure 5: Multiple Redundant Controllers

An alternate approach is to present the controllers as a "cluster" that represents itself externally as a single controller as in Figure 3 but that is actually comprised of multiple controllers. The size of the cluster may be varied according to the load in the manner of Network Functions Virtualization (NFV), and the cluster is responsible for sharing load among the members of the cluster. This approach is shown in Figure 6.

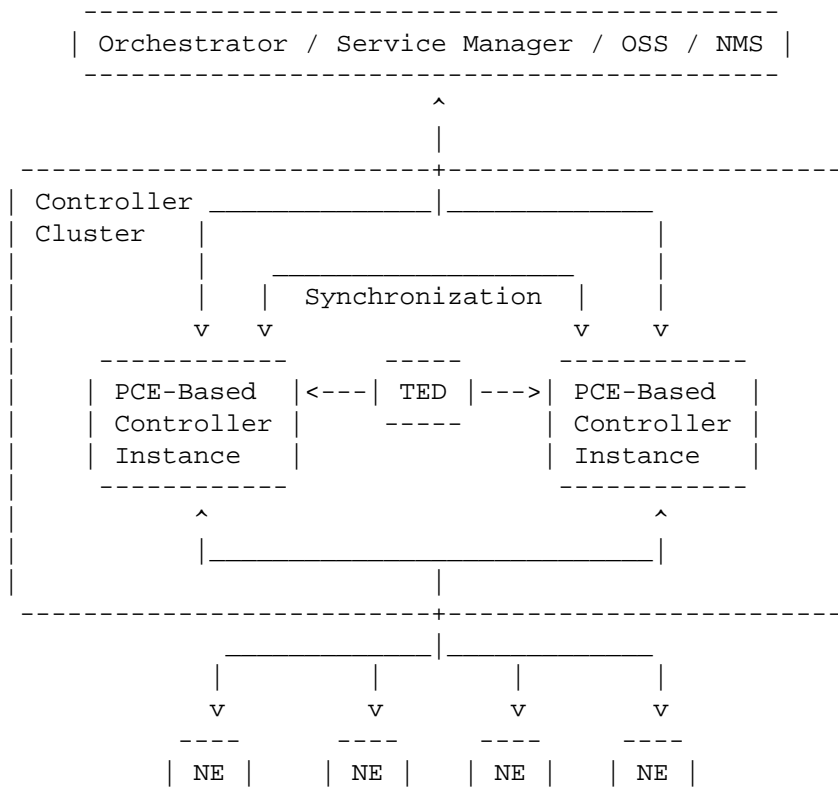


Figure 6: Multiple Controllers Presented as a Cluster

To achieve full redundancy and to be able to continue to provide full function in the event of a controller failure, the controllers must synchronize with each other. This is nominally a simple task if there are just two controllers but can actually be quite complex if state changes in the network are not to be lost. Furthermore, if there are more than two controllers, the synchronization between controllers can become a hard problem.

Synchronization issues are often off-loaded as "database synchronization" problems, because distributed database packages have already had to address these challenges, or by using a shared database. In networking, the problem may also be addressed by collecting the state from the network (effectively using the network as a database) using normal routing protocols such as OSPF, IS-IS, and BGP. It should be noted that addressing the synchronization problem through a shared database may be hiding the issues of congestion and of a single point of failure: while the controllers may have been made resilient by allowing redundancy, the shared database is still a problem, so the whole system is still vulnerable.

2.1.3. Hierarchical Controllers

Figure 7 shows an approach with hierarchical controllers. This approach was developed for PCEs in [RFC6805] and appears in various SDN architectures where a "parent PCE", an "orchestrator", or a "super controller" takes responsibility for a high-level view of the network before distributing tasks to lower-level PCEs or controllers.

On its own, this approach does little to protect against the failure of a controller, but it can make significant improvements in loading and scaling of the individual controllers. It also offers a good way to support end-to-end connectivity across multiple administrative or technology-specific domains.

Note that this model can be arbitrarily recursive with a PCE-based controller being the child of one parent PCE-based controller while acting as the parent of another set of PCE-based controllers.

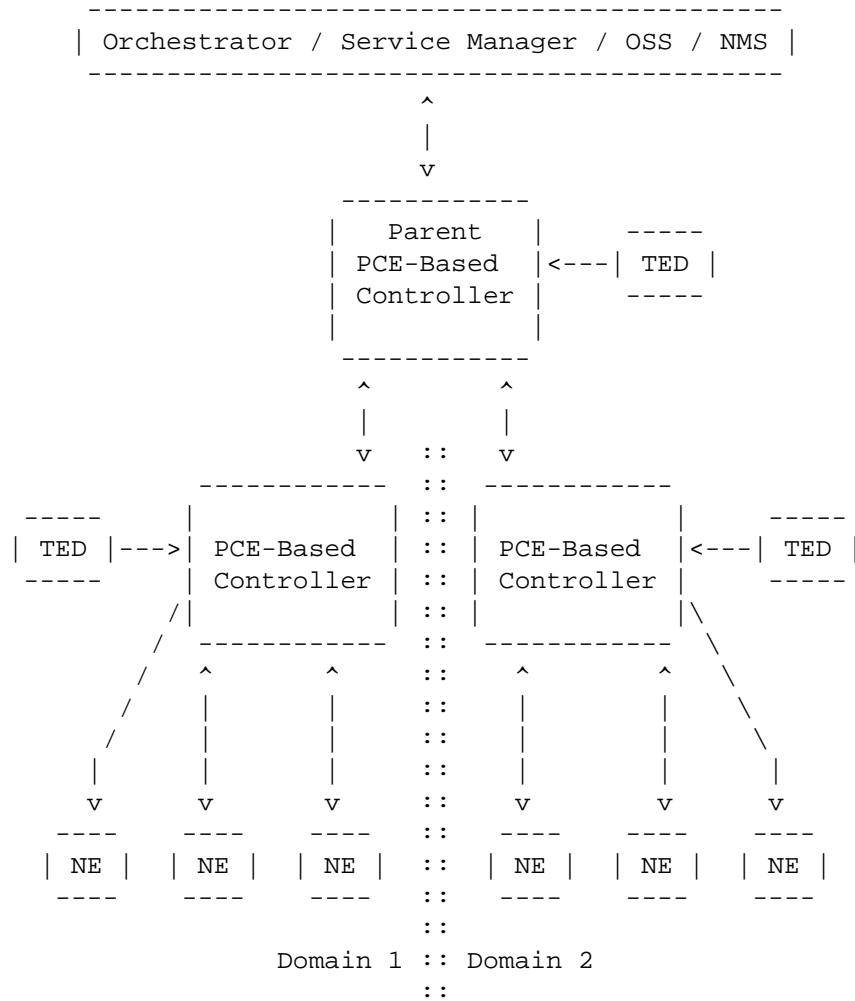


Figure 7: Hierarchical Controllers

3. Applicability

This section gives a very high-level introduction to the applicability of a PCE-based centralized controller. There is no attempt to explain each use case in detail, and the inclusion of a use case is not intended to suggest that deploying a PCE-based controller is a mandatory or recommended approach. The sections below are provided as a stimulus to the discussion of the applicability of a PCE-based controller, and it is expected that separate documents will be written to develop the use cases in which there is interest for implementation and deployment. As described in

[Section 4](#), specific enhancements to PCEP may be needed for some of these use cases, and it is expected that the documents that develop each use case will also address any extensions to PCEP.

The rest of this section is divided into two sub-sections. The first approaches the question of applicability from a consideration of the network technology. The second looks at the high-level functions that can be delivered by using a PCE-based controller.

As previously mentioned, this section is intended to just make suggestions. Thus, the material supplied is very brief. The omission of a use case is in no way meant to imply some limit on the applicability of PCE-based control.

3.1. Technology-Oriented Applicability

This section provides a list of use cases based on network technology.

3.1.1. Applicability to Control-Plane Operated Networks

This mode of operation is the common approach for an active, stateful PCE to control a traffic-engineered MPLS or GMPLS network [[RFC8231](#)]. Note that the PCE-based controller determines what LSPs are needed and where to place them. PCEP is used to instruct the head end of each LSP, and the head end signals in the control plane to set up the LSP.

In this mode of operation, the PCE may construct its TED in a number of ways as described in [[RFC4655](#)], including (but not limited to) participating in the IGP or receiving information from a network element via BGP-LS [[RFC7752](#)].

3.1.2. Static LSPs in MPLS

Static LSPs are provisioned without the use of a control plane. This means that they are established using a management plane or "manual" configuration.

Static LSPs can be provisioned as explicit label instructions at each hop on the end-to-end path LSP. Each router along the path must be told what label-forwarding instructions to program and what resources to reserve. The PCE-based controller keeps a view of the network and determines the paths of the end-to-end LSPs just as it does for the use case described in [Section 3.1.1](#), but the controller uses PCEP to communicate with each router along the path of the end-to-end LSP. In this case, the PCE-based controller will take responsibility for managing some part of the MPLS label space for each of the routers

that it controls, and it may take wider responsibility for partitioning the label space for each router and allocating different parts for different uses, communicating the ranges to the router using PCEP.

3.1.3. MPLS Multicast

Multicast LSPs may be provisioned with a control plane or as static LSPs. No extra considerations apply above those described in Sections 3.1.1 and 3.1.2 except, of course, to note that the PCE must also include the instructions about where the LSP branches, i.e., where packets must be copied.

3.1.4. Transport SDN

Transport SDN (T-SDN) is the application of SDN techniques to transport networks. In this respect, a transport network is a network built from any technology below the IP layer and designed to carry traffic transparently in a connection-oriented way. Thus, an MPLS traffic-engineered network is a transport network, although it is more common to consider technologies such as Time Division Multiplexing (TDM) and Optical Transport Networks (OTNs) to be transport networks.

Transport networks may be operated with or without a control plane and may have point-to-point or point-to-multipoint connections. Thus, all of the considerations in Sections 3.1.1, 3.1.2, and 3.1.3 apply so that the normal PCEP message allows a PCE-based central controller to provision a transport network. It is usually the case that additional technology-specific parameters are needed to configure the NEs or LSPs in transport networks, such as optical characteristic. Such parameters will need to be carried in the PCEP messages: new protocol extensions may be needed, as described, for example, in [PCEP-WSO-RWA].

3.1.5. Segment Routing

Segment routing is described in [SR-ARCH]. It relies on a series of forwarding instructions being placed in the header of a packet. At each hop in the network, a router looks at the first instruction and may: continue to forward the packet unchanged; strip the top instruction and forward the packet; or strip the top instruction, insert some additional instructions, and forward the packet.

The segment routing architecture supports operations that can be used to steer packet flows in a network, thus providing a form of traffic engineering. A PCE-based controller can be responsible for computing the paths for packet flows in a segment routing network, configuring

the forwarding actions on the routers, and telling the edge routers what instructions to attach to packets as they enter the network. These last two operations can be achieved using PCEP, and the PCE-based controller will assume responsibility for managing the space of labels or path identifiers used to determine how packets are forwarded.

3.1.6. Service Function Chaining

SFC is described in [RFC7665]. It is the process of directing traffic in a network such that it passes through specific hardware devices or virtual machines (known as service function nodes) that can perform particular desired functions on the traffic. The set of functions to be performed and the order in which they are to be performed is known as a service function chain. The chain is enhanced with the locations at which the service functions are to be performed to derive a Service Function Path (SFP). Each packet is marked as belonging to a specific SFP, and that marking lets each successive service function node know which functions to perform and to which service function node to send the packet next.

To operate an SFC network, the service function nodes must be configured to understand the packet markings, and the edge nodes must be told how to mark packets entering the network. Additionally, it may be necessary to establish tunnels between service function nodes to carry the traffic.

Planning an SFC network requires load balancing between service function nodes and traffic engineering across the network that connects them. These are operations that can be performed by a PCE-based controller, and that controller can use PCEP to program the network and install the service function chains and any required tunnels.

3.2. High-Level Applicability

This section provides a list of the high-level functions that can be delivered by using a PCE-based controller.

3.2.1. Traffic Engineering

According to [RFC2702], TE is concerned with performance optimization of operational networks. In general, it encompasses the application of technology and scientific principles to the measurement, modeling, characterization, control of Internet traffic, and application of such knowledge and techniques to achieve specific performance objectives.

From a practical point of view, this involves having an understanding of the topology of the network, the characteristics of the nodes and links in the network, and the traffic demands and flows across the network. It also requires that actions can be taken to ensure that traffic follows specific paths through the network.

PCE was specifically developed to address TE in an MPLS network, so a PCE-based controller is well suited to analyze TE problems and supply answers that can be installed in the network using PCEP. PCEP can be responsible for initiating paths across the network through a control plane or for installing state in the network node by node such as in a segment-routed network (see [Section 3.1.5](#)) or by configuring IGP metrics.

3.2.2. Traffic Classification

Traffic classification is an important part of traffic engineering. It is the process of looking at a packet to determine how it should be treated as it is forwarded through the network. It applies in many scenarios including MPLS traffic engineering (where it determines what traffic is forwarded onto which LSPs); segment routing (where it is used to select which set of forwarding instructions to add to a packet); and SFC (where it indicates along which service function path a packet should be forwarded). In conjunction with traffic engineering, traffic classification is an important enabler for load balancing.

Traffic classification is closely linked to the computational elements of planning for the network functions just listed because it determines how traffic load is balanced and distributed through the network. Therefore, selecting what traffic classification should be performed by a router is an important part of the work done by a PCE-based controller.

Instructions can be passed from the controller to the routers using PCEP. These instructions tell the routers how to map traffic to paths or connections.

3.2.3. Service Delivery

Various network services may be offered over a network. These include protection services (including end-to-end protection [[RFC4427](#)], restoration after failure, and fast reroute [[RFC4090](#)]); Virtual Private Network (VPN) services (such as Layer 3 VPNs [[RFC4364](#)] or Ethernet VPNs [[RFC7432](#)]); or Pseudowires [[RFC3985](#)].

Delivering services over a network in an optimal way requires coordination in the way that network resources are allocated to support the services. A PCE-based central controller can consider the whole network and all components of a service at once when planning how to deliver the service. It can then use PCEP to manage the network resources and to install the necessary associations between those resources.

4. Protocol Implications / Guidance for Solution Developers

PCEP is a push-pull protocol that is designed to move requests and responses between a server (the PCE) and clients (the PCCs, i.e., the network elements). In particular, it has a message (the LSP Initiate Request (PCInitiate); see [RFC8281]) that can be sent by the PCE to install state or cause actions at the PCC and a response message (Path Computation State Report (PCRpt)) that is used to confirm the request.

As such, there is an expectation that only relatively minor changes to PCEP are required to support the concept of a PCE-based controller. The only work expected to be needed is extensions to existing PCEP messages to carry additional or specific information elements for the individual use cases, which maintain backward compatibility and do not impact existing PCEP deployments. [RFC5440] already describes how legacy implementations handle unknown protocol extensions and how to use the PCEP Open message to indicate support for PCEP features. Where possible, consistent with the general principles of how protocols are extended, any additions to the protocol should be made in a generic way such that they are open to use in a range of applications.

It is anticipated that new documents (such as [PCEP-CONTROLLER]) will be produced for each use case dependent on support and demand. Such documents will explain the use case and define the necessary protocol extensions.

Protocol extensions could have impact on existing PCEP deployments and the interoperability between different implementations. It is anticipated that changes of the PCEP protocol or addition of information elements could require additional testing to ensure interoperability between different PCEP implementations.

It is reasonable to expect that implementations are able to select a subset or profile of the protocol extensions and PCEP features that are relevant for the application scenario in which they will be deployed. Identification of these profiles should form part of the protocol itself so that interoperability can be easily determined and testing can be limited to the specific profiles.

Note that protocol mechanisms to handle synchronization of state in parallel PCE-based controllers will also be required if parallel controllers are used as described in [Section 2.1.2](#). In [\[RFC8231\]](#), there is a discussion of mechanisms to achieve PCE state synchronization.

5. Security Considerations

Security considerations for a PCE-based controller are little different from those for any other PCE system. That is, the operation relies heavily on the use and security of PCEP, so consideration should be given to the security features discussed in [\[RFC5440\]](#) and the additional mechanisms described in [\[RFC8253\]](#).

It should be observed that the trust model of a network that operates without a control plane is different from one with a control plane. The conventional "chain of trust" used with a control plane is replaced by individual trust relationships between the controller and each individual NE. This model may be considerably easier to manage, so it is more likely to be operated with a high level of security.

However, an architecture with a central controller has a central point of failure, and this is also a security weakness since the network can be vulnerable to denial-of-service attacks on the controller. Similarly, the central controller provides a focus for interception and modification of messages sent to individual NEs. In short, while the interactions with a PCE-based controller are not substantially different to those in any other SDN architecture, the security implications of SDN have not been fully discussed or described. Therefore, protocol and applicability work-around solutions for this architecture must take proper account of these concerns.

It is expected that each new document that is produced for a specific use case will also include considerations of the security impacts of the use of a PCE-based central controller on the network type and services being managed.

6. Manageability Considerations

The architecture described in this document is a management architecture: the PCE-based controller is a management component that controls the network through a southbound control protocol (PCEP).

An implementation of a PCE-based controller will require access to information about the state of the network, its nodes, and its links. Some of this will be the TED as is normal for a PCE and can be collected using the mechanisms already in place (such as listening to

the IGPs, using BGP-LS [RFC7752], or northbound export of YANG-encoded data [YANG-TE] from the network elements to the controller). More information may be collected in the LSP database for stateful PCEs as described in [RFC7399] and [RFC8231]. Additional information may be needed for other specific use cases and will need to be collected and passed to the controller. This may require protocol extensions for the mechanisms listed in this paragraph.

The use of different PCEP options and protocol extensions may have an impact on interoperability, which is a management issue. As noted in Section 4, protocol extensions should be done in a way that makes it possible to identify profiles of PCEP to aid interoperability, and this will aid deployment and manageability.

[RFC5440] contains a substantive Manageability Considerations section that examines how a PCE-based system and a PCE-enabled system may be managed. A MIB module for PCEP was published as [RFC7420], and a YANG module for PCEP has also been proposed [YANG-PCEP].

7. IANA Considerations

This document does not require any IANA actions.

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