

PROTOCOL STANDARD FOR A NetBIOS SERVICE
ON A TCP/UDP TRANSPORT:
DETAILED SPECIFICATIONS

ABSTRACT

This RFC defines a proposed standard protocol to support NetBIOS services in a TCP/IP environment. Both local network and internet operation are supported. Various node types are defined to accommodate local and internet topologies and to allow operation with or without the use of IP broadcast.

This RFC gives the detailed specifications of the NetBIOS-over-TCP packets, protocols, and defined constants and variables. A more general overview is found in a companion RFC, "Protocol Standard For a NetBIOS Service on a TCP/UDP Transport: Concepts and Methods".

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DETAILED SPECIFICATIONS

1. STATUS OF THIS MEMO

This RFC specifies a proposed standard for the DARPA Internet community. Since this topic is new to the Internet community, discussions and suggestions are specifically requested.

Please send written comments to:

Karl Auerbach
Epilogue Technology Corporation
P.O. Box 5432
Redwood City, CA 94063

Please send online comments to:

Avnish Aggarwal
Internet: mtxinu!excelan!avnish@ucbvax.berkeley.edu
Usenet: ucbvax!mtxinu!excelan!avnish

Distribution of this memorandum is unlimited.

2. ACKNOWLEDGEMENTS

This RFC has been developed under the auspices of the Internet Activities Board.

The following individuals have contributed to the development of this RFC:

Avnish Aggarwal	Arvind Agrawal	Lorenzo Aguilar
Geoffrey Arnold	Karl Auerbach	K. Ramesh Babu
Keith Ball	Amatzia Ben-Artzi	Vint Cerf
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The system proposed by this RFC does not reflect any existing Netbios-over-TCP implementation. However, the design incorporates considerable knowledge obtained from prior implementations. Special thanks goes to the following organizations which have provided this invaluable information:

CMC/Syros	Excelan	Sytek	Ungermann-Bass
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3. INTRODUCTION

This RFC contains the detailed packet formats and protocol specifications for NetBIOS-over-TCP. This RFC is a companion to [RFC 1001](#), "Protocol Standard For a NetBIOS Service on a TCP/UDP Transport: Concepts and Methods" [1].

4. PACKET DESCRIPTIONS

Bit and byte ordering are defined by the most recent version of "Assigned Numbers" [2].

4.1. NAME FORMAT

The NetBIOS name representation in all NetBIOS packets (for NAME, SESSION, and DATAGRAM services) is defined in the Domain Name Service [RFC 883\[3\]](#) as "compressed" name messages. This format is called "second-level encoding" in the section entitled "Representation of NetBIOS Names" in the Concepts and Methods document.

For ease of description, the first two paragraphs from page 31, the section titled "Domain name representation and compression", of [RFC 883](#) are replicated here:

Domain names messages are expressed in terms of a sequence of labels. Each label is represented as a one octet length field followed by that number of octets. Since every domain name ends with the null label of the root, a compressed domain name is terminated by a length byte of zero. The high order two bits of the length field must be zero, and the remaining six bits of the length field limit the label to 63 octets or less.

To simplify implementations, the total length of label octets and label length octets that make up a domain name is restricted to 255 octets or less.

The following is the uncompressed representation of the NetBIOS name "FRED ", which is the 4 ASCII characters, F, R, E, D, followed by 12 space characters (0x20). This name has the SCOPE ID: "NETBIOS.COM"

EGFCEFEECACACACACACACACACACACA.NETBIOS.COM

This uncompressed representation of names is called "first-level encoding" in the section entitled "Representation of NetBIOS Names" in the Concepts and Methods document.

The following is a pictographic representation of the compressed representation of the previous uncompressed Domain Name representation.

The other two possible values for bits 7 and 6 (01 and 10) of a label length field are reserved for future use by [RFC 883](#)[2 (page 32)].

Note that the first octet of a compressed name must contain one of the following bit patterns. (An "x" indicates a bit whose value may be either 0 or 1.):

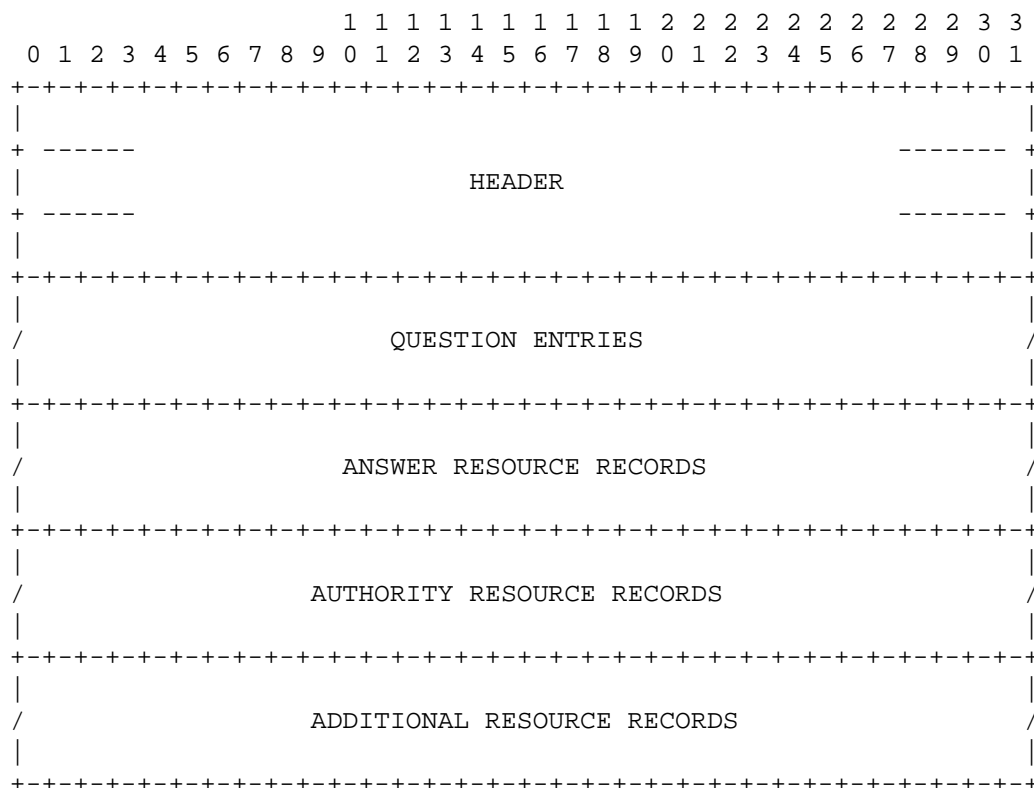
```
00100000 - Netbios name, length must be 32 (decimal)
11xxxxxx - Label string pointer
10xxxxxx - Reserved
01xxxxxx - Reserved
```

4.2. NAME SERVICE PACKETS

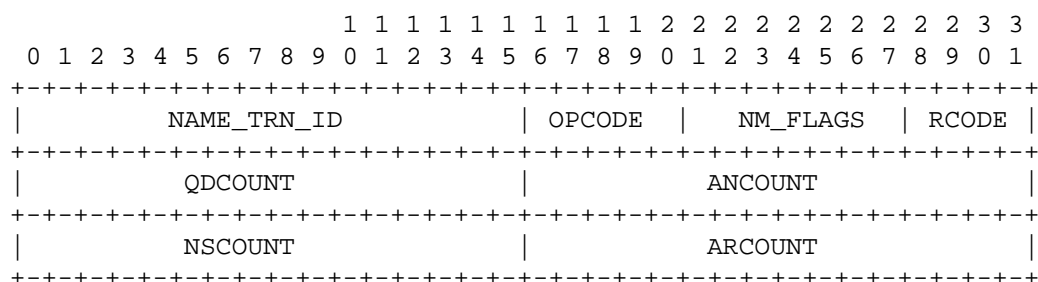
4.2.1. GENERAL FORMAT OF NAME SERVICE PACKETS

The NetBIOS Name Service packets follow the packet structure defined in the Domain Name Service (DNS) [RFC 883](#) [7 (pg 26-31)]. The structures are compatible with the existing DNS packet formats, however, additional types and codes have been added to work with NetBIOS.

If Name Service packets are sent over a TCP connection they are preceded by a 16 bit unsigned integer representing the length of the Name Service packet.

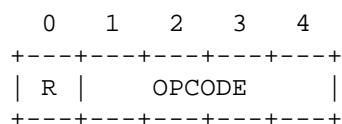


4.2.1.1. HEADER



Field	Description
NAME_TRN_ID	Transaction ID for Name Service Transaction. Requestor places a unique value for each active transaction. Responder puts NAME_TRN_ID value from request packet in response packet.
OPCODE	Packet type code, see table below.
NM_FLAGS	Flags for operation, see table below.
RCODE	Result codes of request. Table of RCODE values for each response packet below.
QDCOUNT	Unsigned 16 bit integer specifying the number of entries in the question section of a Name Service packet. Always zero (0) for responses. Must be non-zero for all NetBIOS Name requests.
ANCOUNT	Unsigned 16 bit integer specifying the number of resource records in the answer section of a Name Service packet.
NSCOUNT	Unsigned 16 bit integer specifying the number of resource records in the authority section of a Name Service packet.
ARCOUNT	Unsigned 16 bit integer specifying the number of resource records in the additional records section of a Name Service packet.

The OPCODE field is defined as:



Symbol	Bit(s)	Description
OPCODE	1-4	Operation specifier: 0 = query 5 = registration 6 = release 7 = WACK 8 = refresh
R	0	RESPONSE flag: if bit == 0 then request packet if bit == 1 then response packet.

The NM_FLAGS field is defined as:

```

    0   1   2   3   4   5   6
+---+---+---+---+---+---+
|AA |TC |RD |RA | 0 | 0 | B |
+---+---+---+---+---+---+

```

Symbol	Bit(s)	Description
B	6	Broadcast Flag. = 1: packet was broadcast or multicast = 0: unicast
RA	3	Recursion Available Flag. Only valid in responses from a NetBIOS Name Server -- must be zero in all other responses. If one (1) then the NBNS supports recursive query, registration, and release. If zero (0) then the end-node must iterate for query and challenge for registration.
RD	2	Recursion Desired Flag. May only be set on a request to a NetBIOS Name Server. The NBNS will copy its state into the response packet. If one (1) the NBNS will iterate on the query, registration, or release.
TC	1	Truncation Flag.

Set if this message was truncated because the datagram carrying it would be greater than 576 bytes in length. Use TCP to get the information from the NetBIOS Name Server.

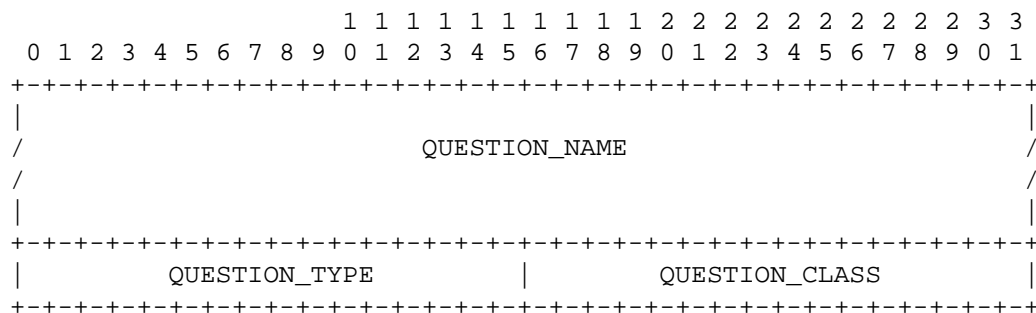
AA 0 Authoritative Answer flag.

Must be zero (0) if R flag of OPCODE is zero (0).

If R flag is one (1) then if AA is one (1) then the node responding is an authority for the domain name.

End nodes responding to queries always set this bit in responses.

4.2.1.2. QUESTION SECTION



Field	Description
QUESTION_NAME	The compressed name representation of the NetBIOS name for the request.
QUESTION_TYPE	The type of request. The values for this field are specified for each request.
QUESTION_CLASS	The class of the request. The values for this field are specified for each request.

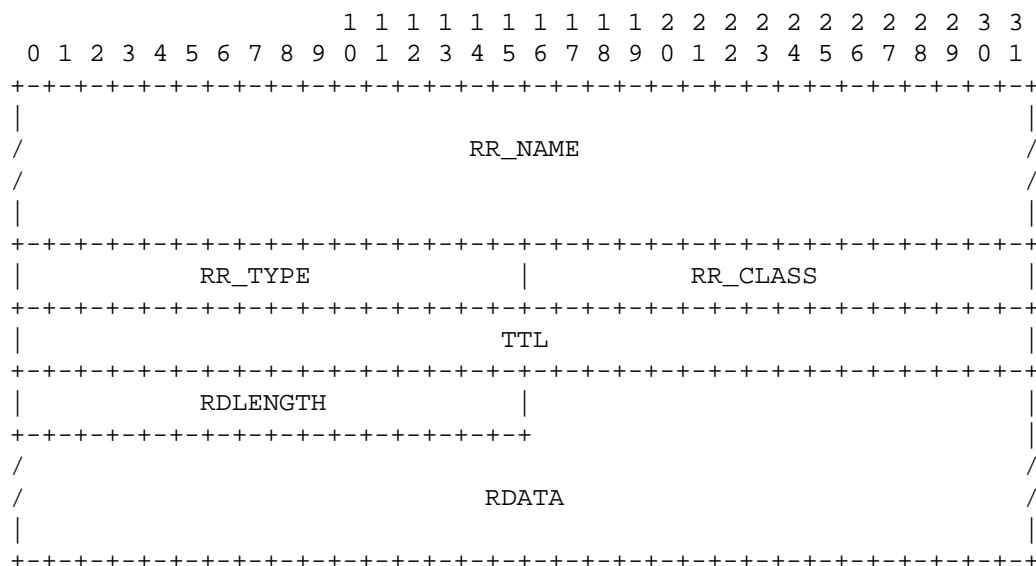
QUESTION_TYPE is defined as:

Symbol	Value	Description:
NB	0x0020	NetBIOS general Name Service Resource Record
NBSTAT	0x0021	NetBIOS NODE STATUS Resource Record (See NODE STATUS REQUEST)

QUESTION_CLASS is defined as:

Symbol	Value	Description:
IN	0x0001	Internet class

4.2.1.3. RESOURCE RECORD



Field	Description
RR_NAME	The compressed name representation of the NetBIOS name corresponding to this resource record.
RR_TYPE	Resource record type code
RR_CLASS	Resource record class code
TTL	The Time To Live of a the resource record's name.
RDLENGTH	Unsigned 16 bit integer that specifies the number of bytes in the RDATA field.
RDATA	RR_CLASS and RR_TYPE dependent field. Contains the resource information for the NetBIOS name.

RESOURCE RECORD RR_TYPE field definitions:

Symbol	Value	Description:
A	0x0001	IP address Resource Record (See REDIRECT NAME QUERY RESPONSE)
NS	0x0002	Name Server Resource Record (See REDIRECT

		NAME QUERY RESPONSE)
NULL	0x000A	NULL Resource Record (See WAIT FOR ACKNOWLEDGEMENT RESPONSE)
NB	0x0020	NetBIOS general Name Service Resource Record (See NB_FLAGS and NB_ADDRESS, below)
NBSTAT	0x0021	NetBIOS NODE STATUS Resource Record (See NODE STATUS RESPONSE)

RESOURCE RECORD RR_CLASS field definitions:

Symbol	Value	Description:
IN	0x0001	Internet class

NB_FLAGS field of the RESOURCE RECORD RDATA field for RR_TYPE of "NB":

											1	1	1	1	1	1
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	
+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
	G		ONT													
+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+

Symbol	Bit(s)	Description:
RESERVED	3-15	Reserved for future use. Must be zero (0).
ONT	1,2	Owner Node Type: 00 = B node 01 = P node 10 = M node 11 = Reserved for future use For registration requests this is the claimant's type. For responses this is the actual owner's type.
G	0	Group Name Flag. If one (1) then the RR_NAME is a GROUP NetBIOS name. If zero (0) then the RR_NAME is a UNIQUE NetBIOS name.

The NB_ADDRESS field of the RESOURCE RECORD RDATA field for RR_TYPE of "NB" is the IP address of the name's owner.

4.2.2. NAME REGISTRATION REQUEST

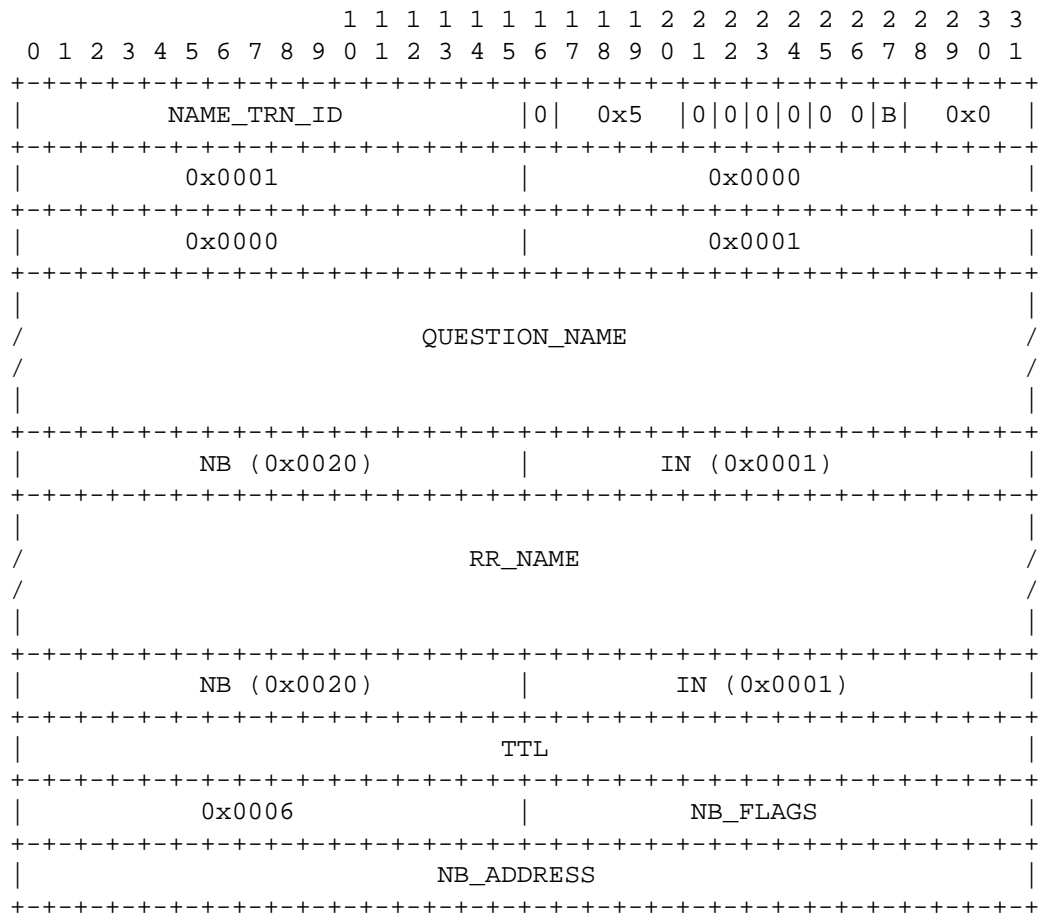
```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NAME_TRN_ID          | 0 | 0x5 | 0|0|1|0|0 0|B| 0x0 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0001          |          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0001          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/                               /
/                               /
|                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NB (0x0020)          |          IN (0x0001)          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/                               /
/                               /
|                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NB (0x0020)          |          IN (0x0001)          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0006          |          NB_FLAGS          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NB_ADDRESS          |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

Since the RR_NAME is the same name as the QUESTION_NAME, the RR_NAME representation must use pointers to the QUESTION_NAME name's labels to guarantee the length of the datagram is less than the maximum 576 bytes. See section above on name formats and also page 31 and 32 of [RFC 883](#), Domain Names - Implementation and Specification, for a complete description of compressed name label pointers.

4.2.3. NAME OVERWRITE REQUEST & DEMAND



4.2.4. NAME REFRESH REQUEST

0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	2	2	2	2	2	2	2	3	3						
NAME_TRN_ID										0	0x9										0	0	0	0	0	0	B	0x0									
0x0001										0x0000																											
0x0000										0x0001																											
QUESTION_NAME																																					
NB (0x0020)										IN (0x0001)																											
RR_NAME																																					
NB (0x0020)										IN (0x0001)																											
TTL																																					
0x0006										NB_FLAGS																											
NB_ADDRESS																																					

4.2.5. POSITIVE NAME REGISTRATION RESPONSE

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NAME_TRN_ID      | 1 | 0x5 | 1|0|1|1|0 0|0| 0x0 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0001      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0000      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/                               /
/                               /
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NB (0x0020)      |      IN (0x0001)      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                               TTL                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0006      |      NB_FLAGS      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                               NB_ADDRESS                               |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

4.2.6. NEGATIVE NAME REGISTRATION RESPONSE

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NAME_TRN_ID      | 1 | 0x5 | 1|0|1|1|0 0|0| RCODE |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0001      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0000      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/                               /
/                               /
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NB (0x0020)      |      IN (0x0001)      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                               TTL                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0006      |      NB_FLAGS      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                               NB_ADDRESS                               |
+-----+-----+-----+-----+-----+-----+-----+-----+

```


RCODE field values:

Symbol	Value	Description:
FMT_ERR	0x1	Format Error. Request was invalidly formatted.
SRV_ERR	0x2	Server failure. Problem with NBNS, cannot process name.
IMP_ERR	0x4	Unsupported request error. Allowable only for challenging NBNS when gets an Update type registration request.
RFS_ERR	0x5	Refused error. For policy reasons server will not register this name from this host.
ACT_ERR	0x6	Active error. Name is owned by another node.
CFT_ERR	0x7	Name in conflict error. A UNIQUE name is owned by more than one node.

4.2.7. END-NODE CHALLENGE REGISTRATION RESPONSE

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NAME_TRN_ID          | 1 | 0x5 | 1|0|1|0|0|0|0| 0x0 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0001          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                                     |
|                               RR_NAME                               |
|                                     |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NB (0x0020)          |          IN (0x0001)          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                                     |
|                               TTL                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0006          |          NB_FLAGS          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                                     |
|                               NB_ADDRESS                           |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

4.2.8. NAME CONFLICT DEMAND

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NAME_TRN_ID          | 1 | 0x5 | 1|0|1|1|0 0|0| 0x7 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0001          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/                      RR_NAME                      /
/
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NB (0x0020)          |          IN (0x0001)          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x00000000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0006          | 0|ONT|0|          0x000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x00000000          |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

This packet is identical to a NEGATIVE NAME REGISTRATION RESPONSE with RCODE = CFT_ERR.

4.2.9. NAME RELEASE REQUEST & DEMAND

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NAME_TRN_ID      | 0 | 0x6 | 0|0|0|0|0|0|B| 0x0 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0001      |      0x0000      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0001      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/      QUESTION_NAME      /
/
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NB (0x0020)      |      IN (0x0001)      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/      RR_NAME      /
/
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NB (0x0020)      |      IN (0x0001)      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x00000000      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0006      |      NB_FLAGS      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NB_ADDRESS      |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

Since the RR_NAME is the same name as the QUESTION_NAME, the RR_NAME representation must use label string pointers to the QUESTION_NAME labels to guarantee the length of the datagram is less than the maximum 576 bytes. This is the same condition as with the NAME REGISTRATION REQUEST.

4.2.10. POSITIVE NAME RELEASE RESPONSE

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NAME_TRN_ID      | 1 | 0x6 | 1|0|0|0|0|0|0| 0x0 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0001      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0000      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
|
/      RR_NAME      /
/
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NB (0x0020)      |      IN (0x0001)      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
|      TTL      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0006      |      NB_FLAGS      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
|      NB_ADDRESS      |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

4.2.11. NEGATIVE NAME RELEASE RESPONSE

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NAME_TRN_ID      | 1 | 0x6 | 1|0|0|0|0|0|0| RCODE |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0001      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0000      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
|
/      RR_NAME      /
/
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NB (0x0020)      |      IN (0x0001)      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
|      TTL      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0006      |      NB_FLAGS      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
|      NB_ADDRESS      |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

RCODE field values:

Symbol	Value	Description:
FMT_ERR	0x1	Format Error. Request was invalidly formatted.
SRV_ERR	0x2	Server failure. Problem with NBNS, cannot process name.
RFS_ERR	0x5	Refused error. For policy reasons server will not release this name from this host.
ACT_ERR	0x6	Active error. Name is owned by another node. Only that node may release it. A NetBIOS Name Server can optionally allow a node to release a name it does not own. This would facilitate detection of inactive names for nodes that went down silently.

4.2.12. NAME QUERY REQUEST

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|      NAME_TRN_ID      |0| 0x0 |0|0|1|0|0 0|B| 0x0 |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|      0x0001      |      0x0000      |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|      0x0000      |      0x0000      |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|
/                               QUESTION_NAME                               /
/
|
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|      NB (0x0020)      |      IN (0x0001)      |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

4.2.13. POSITIVE NAME QUERY RESPONSE

[illegible]

The ADDR_ENTRY ARRAY a sequence of zero or more ADDR_ENTRY records. Each ADDR_ENTRY record represents an owner of a name. For group names there may be multiple entries. However, the list may be incomplete due to packet size limitations. Bit 22, "T", will be set to indicate truncated data.

Each ADDR_ENTRY has the following format:

```

+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+
|          NB_FLAGS          |          NB_ADDRESS          |
+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+
| NB_ADDRESS (continued)    |
+-----+-----+-----+-----+-----+-----+-----+-----+-----+-----+

```

4.2.14. NEGATIVE NAME QUERY RESPONSE

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NAME_TRN_ID          | 1 | 0x0 | 1 | 0 | 1 | ? | 0 | 0 | 0 | RCODE |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/                               /
/                               /
|                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NULL (0x000A)          |          IN (0x0001)          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                               0x00000000                               |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+

```

RCODE field values:

Symbol	Value	Description
FMT_ERR	0x1	Format Error. Request was invalidly formatted.
SRV_ERR	0x2	Server failure. Problem with NBNS, cannot process name.
NAM_ERR	0x3	Name Error. The name requested does not exist.
IMP_ERR	0x4	Unsupported request error. Allowable only for challenging NBNS when gets an Update type registration request.
RFS_ERR	0x5	Refused error. For policy reasons server will not register this name from this host.

4.2.15. REDIRECT NAME QUERY RESPONSE

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+
|      NAME_TRN_ID      | 1 | 0x0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0x0 |
+-----+
|      0x0000      |      0x0000      |
+-----+
|      0x0001      |      0x0001      |
+-----+
|
|
/      RR_NAME      /
/
|
+-----+
|      NS (0x0002)      |      IN (0x0001)      |
+-----+
|      TTL      |
+-----+
|      RDLENGTH      |
+-----+
|
/      NSD_NAME      /
/
|
+-----+
|
/      RR_NAME      /
/
|
+-----+
|      A (0x0001)      |      IN (0x0001)      |
+-----+
|      TTL      |
+-----+
|      0x0004      |      NSD_IP_ADDR      |
+-----+
|      NSD_IP_ADDR, continued      |
+-----+

```

An end node responding to a NAME QUERY REQUEST always responds with the AA and RA bits set for both the NEGATIVE and POSITIVE NAME QUERY RESPONSE packets. An end node never sends a REDIRECT NAME QUERY RESPONSE packet.

When the requestor receives the REDIRECT NAME QUERY RESPONSE it must reiterate the NAME QUERY REQUEST to the NBNS specified by the NSD_IP_ADDR field of the A type RESOURCE RECORD in the ADDITIONAL section of the response packet. This is an optional packet for the NBNS.

The NSD_NAME and the RR_NAME in the ADDITIONAL section of the response packet are the same name. Space can be optimized if label string pointers are used in the RR_NAME which point to the labels in the NSD_NAME.

The RR_NAME in the AUTHORITY section is the name of the domain the NBNS called by NSD_NAME has authority over.

4.2.16. WAIT FOR ACKNOWLEDGEMENT (WACK) RESPONSE

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NAME_TRN_ID          | 1 | 0x7 | 1|0|0|0|0|0|0| 0x0 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0001          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                                     RR_NAME                                     |
/                                     /
/                                     /
|                                     |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NULL (0x0020)          |          IN (0x0001)          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                                     TTL                                     |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0002          |  OP CODE  |  NM_FLAGS  |  0x0  |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

The NAME_TRN_ID of the WACK RESPONSE packet is the same NAME_TRN_ID of the request that the NBNS is telling the requestor to wait longer to complete. The RR_NAME is the name from the request, if any. If no name is available from the request then it is a null name, single byte of zero.

The TTL field of the ResourceRecord is the new time to wait, in seconds, for the request to complete. The RDATA field contains the OPCODE and NM_FLAGS of the request.

A TTL value of 0 means that the NBNS can not estimate the time it may take to complete a response.

4.2.17. NODE STATUS REQUEST

```

          1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NAME_TRN_ID          | 0 | 0x0 | 0|0|0|0|0|0|B| 0x0 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0001          |          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|          0x0000          |          0x0000          |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
|                               QUESTION_NAME                               |
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|          NBSTAT (0x0021)          |          IN (0x0001)          |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

4.2.18. NODE STATUS RESPONSE

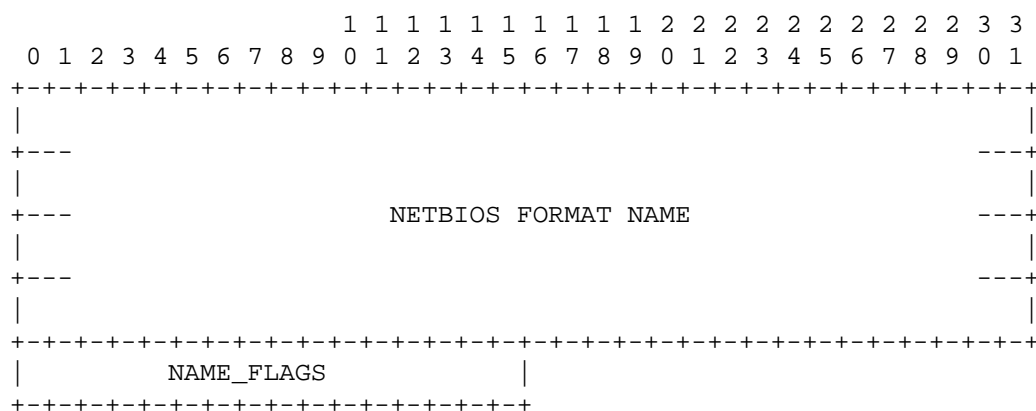
```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NAME_TRN_ID      | 1 | 0x0 | 1|0|0|0|0|0|0| 0x0 |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0001      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      0x0000      |      0x0000      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|
/                      RR_NAME                      /
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|      NBSTAT (0x0021)      |      IN (0x0001)      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|                      0x00000000                      |
+-----+-----+-----+-----+-----+-----+-----+-----+
|      RDLENGTH      |      NUM_NAMES      |
+-----+-----+-----+-----+-----+-----+
|
+
/                      NODE_NAME ARRAY                      /
+
|
+-----+-----+-----+-----+-----+-----+-----+-----+
|
+
/                      STATISTICS                      /
+
|
+-----+-----+-----+-----+-----+-----+-----+-----+

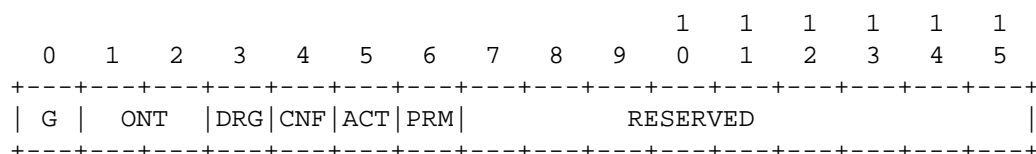
```

The NODE_NAME ARRAY is an array of zero or more NUM_NAMES entries of NODE_NAME records. Each NODE_NAME entry represents an active name in the same NetBIOS scope as the requesting name in the local name table of the responder. RR_NAME is the requesting name.

NODE_NAME Entry:



The NAME_FLAGS field:



The NAME_FLAGS field is defined as:

Symbol	Bit(s)	Description:
RESERVED	7-15	Reserved for future use. Must be zero (0).
PRM	6	Permanent Name Flag. If one (1) then entry is for the permanent node name. Flag is zero (0) for all other names.
ACT	5	Active Name Flag. All entries have this flag set to one (1).
CNF	4	Conflict Flag. If one (1) then name on this node is in conflict.
DRG	3	Deregister Flag. If one (1) then this name is in the process of being deleted.
ONT	1,2	Owner Node Type: 00 = B node 01 = P node 10 = M node 11 = Reserved for future use
G	0	Group Name Flag. If one (1) then the name is a GROUP NetBIOS name. If zero (0) then it is a UNIQUE NetBIOS name.

One of the bits of the FLAGS field acts as an additional, high-order bit for the LENGTH field. Thus the cumulative size of the trailer field(s) may range from 0 to 128K bytes.

```

00 - SESSION MESSAGE
81 - SESSION REQUEST
82 - POSITIVE SESSION RESPONSE
83 - NEGATIVE SESSION RESPONSE
84 - RETARGET SESSION RESPONSE
85 - SESSION KEEP ALIVE

```

[illegible]

3.2. SESSION REQUEST PACKET

[Page 30]

4.3.3. POSITIVE SESSION RESPONSE PACKET

```

          1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|          TYPE          |          FLAGS          |          LENGTH          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

4.3.4. NEGATIVE SESSION RESPONSE PACKET

```

          1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|          TYPE          |          FLAGS          |          LENGTH          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|      ERROR_CODE      |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

NEGATIVE SESSION RESPONSE packet error code values (in hexadecimal):

- 80 - Not listening on called name
- 81 - Not listening for calling name
- 82 - Called name not present
- 83 - Called name present, but insufficient resources
- 8F - Unspecified error

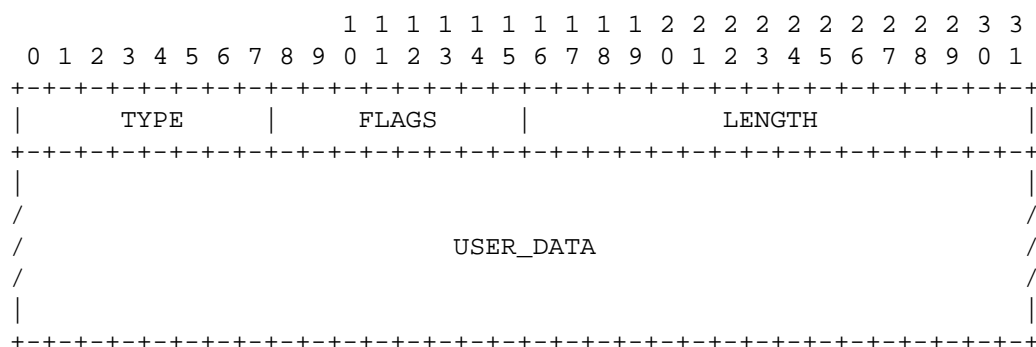
4.3.5. SESSION RETARGET RESPONSE PACKET

```

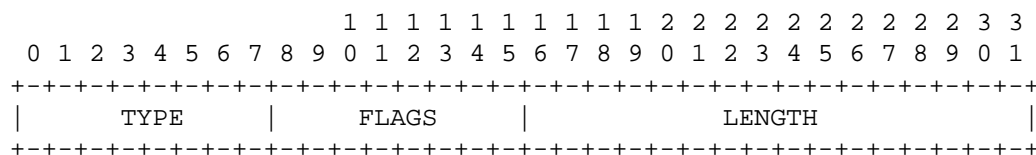
          1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|          TYPE          |          FLAGS          |          LENGTH          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|      RETARGET_IP_ADDRESS      |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|          PORT          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

4.3.6. SESSION MESSAGE PACKET

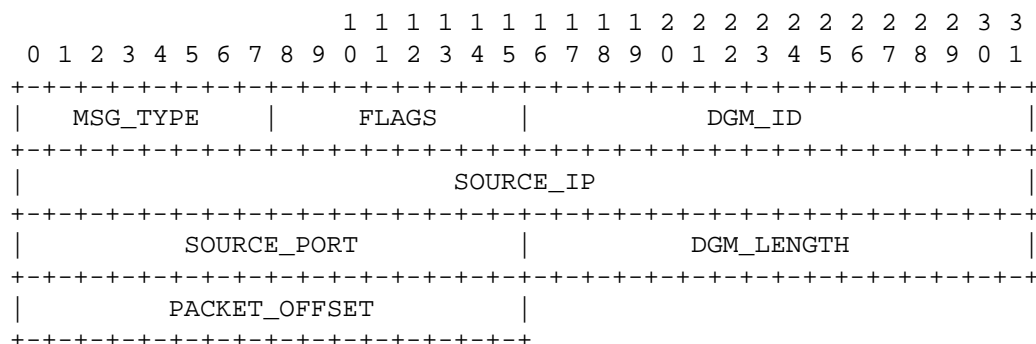


4.3.7. SESSION KEEP ALIVE PACKET



4.4. DATAGRAM SERVICE PACKETS

4.4.1. NetBIOS DATAGRAM HEADER



MSG_TYPE values (in hexadecimal):

- ```

10 - DIRECT_UNIQUE DATAGRAM
11 - DIRECT_GROUP DATAGRAM
12 - BROADCAST DATAGRAM
13 - DATAGRAM ERROR
14 - DATAGRAM QUERY REQUEST
15 - DATAGRAM POSITIVE QUERY RESPONSE
16 - DATAGRAM NEGATIVE QUERY RESPONSE

```



|   |   |   |   |     |   |   |   |
|---|---|---|---|-----|---|---|---|
| 0 | 1 | 2 | 3 | 4   | 5 | 6 | 7 |
| + | - | + | - | +   | - | + | - |
| 0 | 0 | 0 | 0 | SNT |   | F | M |
| + | - | + | - | +   | - | + | - |

| Symbol   | Bit(s) | Description                                                                                   |
|----------|--------|-----------------------------------------------------------------------------------------------|
| M        | 7      | MORE flag, If set then more NetBIOS datagram fragments follow.                                |
| F        | 6      | FIRST packet flag, If set then this is first (and possibly only) fragment of NetBIOS datagram |
| SNT      | 4,5    | Source End-Node type:<br>00 = B node<br>01 = P node<br>10 = M node<br>11 = NBDD               |
| RESERVED | 0-3    | Reserved, must be zero (0)                                                                    |

[illegible]

## 4.4.3. DATAGRAM ERROR PACKET

```

 1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| MSG_TYPE | FLAGS | DGM_ID |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| SOURCE_IP |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| SOURCE_PORT | ERROR_CODE |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

ERROR\_CODE values (in hexadecimal):

- 82 - DESTINATION NAME NOT PRESENT
- 83 - INVALID SOURCE NAME FORMAT
- 84 - INVALID DESTINATION NAME FORMAT

## 4.4.4. DATAGRAM QUERY REQUEST

```

 1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| MSG_TYPE | FLAGS | DGM_ID |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| SOURCE_IP |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| SOURCE_PORT |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|
| DESTINATION_NAME
|
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

## 4.4.5. DATAGRAM POSITIVE AND NEGATIVE QUERY RESPONSE

```

 1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| MSG_TYPE | FLAGS | DGM_ID |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| SOURCE_IP |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| SOURCE_PORT |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|
| DESTINATION_NAME
|
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

## 5. PROTOCOL DESCRIPTIONS

### 5.1. NAME SERVICE PROTOCOLS

A REQUEST packet is always sent to the well known UDP port - NAME\_SERVICE\_UDP\_PORT. The destination address is normally either the IP broadcast address or the address of the NBNS - the address of the NBNS server it set up at initialization time. In rare cases, a request packet will be sent to an end node, e.g. a NAME QUERY REQUEST sent to "challenge" a node.

A RESPONSE packet is always sent to the source UDP port and source IP address of the request packet.

A DEMAND packet must always be sent to the well known UDP port - NAME\_SERVICE\_UDP\_PORT. There is no restriction on the target IP address.

Terms used in this section:

tid - Transaction ID. This is a value composed from the requestor's IP address and a unique 16 bit value generated by the originator of the transaction.

#### 5.1.1. B-NODE ACTIVITY

##### 5.1.1.1. B-NODE ADD NAME

```
PROCEDURE add_name(newname)

/*
 * Host initiated processing for a B node
 */
BEGIN

 REPEAT

 /* build name service packet */

 ONT = B_NODE; /* broadcast node */
 G = UNIQUE; /* unique name */
 TTL = 0;

 broadcast NAME REGISTRATION REQUEST packet;

 /*
 * remote node(s) will send response packet
 * if applicable
 */
```

```

 pause(BCAST_REQ_RETRY_TIMEOUT);

UNTIL response packet is received or
 retransmit count has been exceeded

IF no response packet was received THEN
BEGIN /* no response */
 /*
 * build packet
 */

 ONT = B_NODE; /* broadcast node */
 G = UNIQUE; /* unique name */
 TTL = 0;

 /*
 * Let other nodes know you have the name
 */

 broadcast NAME UPDATE REQUEST packet;
 /* name can be added to local name table */
 return success;
END /* no response */
ELSE
BEGIN /* got response */

 /*
 * Match return transaction id
 * against tid sent in request
 */

 IF NOT response tid = request tid THEN
 BEGIN
 ignore response packet;
 END
 ELSE
 CASE packet type OF

 NEGATIVE NAME REGISTRATION RESPONSE:

 return failure; /* name cannot be added */

 POSITIVE NAME REGISTRATION RESPONSE:
 END-NODE CHALLENGE NAME REGISTRATION RESPONSE:

 /*
 * B nodes should normally not get this
 * response.
 */

 ignore packet;

```

```
 END /* case */;
 END /* got response */
END /* procedure */
```

#### 5.1.1.2. B-NODE ADD\_GROUP NAME

```
PROCEDURE add_group_name(newname)

/*
 * Host initiated processing for a B node
 */

BEGIN
 /*
 * same as for a unique name with the
 * exception that the group bit (G) must
 * be set in the request packets.
 */

 ...
 G = GROUP;
 ...
 ...

 /*
 * broadcast request ...
 */

END
```

#### 5.1.1.3. B-NODE FIND\_NAME

```
PROCEDURE find_name(name)

/*
 * Host initiated processing for a B node
 */

BEGIN

 REPEAT
 /*
 * build packet
 */
 ONT = B;
 TTL = 0;
 G = DONT CARE;

 broadcast NAME QUERY REQUEST packet;
```

```

 /*
 * a node might send response packet
 */

 pause(BCAST_REQ_RETRY_TIMEOUT);
UNTIL response packet received OR
 max transmit threshold exceeded

IF no response packet received THEN
 return failure;
ELSE
IF NOT response tid = request tid THEN
 ignore packet;
ELSE
CASE packet type OF
POSITIVE NAME QUERY RESPONSE:
 /*
 * Start a timer to detect conflict.
 *
 * Be prepared to detect conflict if
 * any more response packets are received.
 */

 save response as authoritative response;
 start_timer(CONFLICT_TIMER);
 return success;

NEGATIVE NAME QUERY RESPONSE:
REDIRECT NAME QUERY RESPONSE:

 /*
 * B Node should normally not get either
 * response.
 */

 ignore response packet;

END /* case */
END /* procedure */

```

#### 5.1.1.4. B NODE NAME RELEASE

```

PROCEDURE delete_name (name)
BEGIN

 REPEAT

 /*
 * build packet
 */

```

```

...

/*
 * send request
 */

broadcast NAME RELEASE REQUEST packet;

/*
 * no response packet expected
 */

pause(BCAST_REQ_RETRY_TIMEOUT);

UNTIL retransmit count has been exceeded
END /* procedure */

```

#### 5.1.1.5. B-NODE INCOMING PACKET PROCESSING

Following processing is done when broadcast or unicast packets are received at the NAME\_SERVICE\_UDP\_PORT.

```

PROCEDURE process_incoming_packet(packet)

/*
 * Processing initiated by incoming packets for a B node
 */

BEGIN
 /*
 * Note: response packets are always sent
 * to:
 * source IP address of request packet
 * source UDP port of request packet
 */

 CASE packet type OF

 NAME REGISTRATION REQUEST (UNIQUE):
 IF name exists in local name table THEN
 send NEGATIVE NAME REGISTRATION RESPONSE ;
 NAME REGISTRATION REQUEST (GROUP):
 IF name exists in local name table THEN
 BEGIN
 IF local entry is a unique name THEN
 send NEGATIVE NAME REGISTRATION RESPONSE ;
 END
 BEGIN
 build response packet;

```

```
 send POSITIVE NAME QUERY RESPONSE;
POSITIVE NAME QUERY RESPONSE:
 IF name conflict timer is not active THEN
 BEGIN
 /*
 * timer has expired already... ignore this
 * packet
 */

 return;
 END
 ELSE /* timer is active */
 IF a response for this name has previously been
 received THEN
 BEGIN /* existing entry */

 /*
 * we sent out a request packet, and
 * have already received (at least)
 * one response
 *
 * Check if conflict exists.
 * If so, send out a conflict packet.
 *
 * Note: detecting conflict does NOT
 * affect any existing sessions.
 */

 /*
 * Check for name conflict.
 * See "Name Conflict" in Concepts and Methods
 */
 check saved authoritative response against
 information in this response packet;
 IF conflict detected THEN
 BEGIN
 unicast NAME CONFLICT DEMAND packet;
 IF entry exists in cache THEN
 BEGIN
 remove entry from cache;
 END
 END
 END /* existing entry */
 ELSE
 BEGIN
 /*
 * Note: If this was the first response
 * to a name query, it would have been
 * handled in the
 * find_name() procedure.
```



```
 */

 ignore packet;
 END
NAME CONFLICT DEMAND:
 IF name exists in local name table THEN
 BEGIN
 mark name as conflict detected;

 /*
 * a name in the state "conflict detected"
 * does not "logically" exist on that node.
 * No further session will be accepted on
 * that name.
 * No datagrams can be sent against that name.
 * Such an entry will not be used for
 * purposes of processing incoming request
 * packets.
 * The only valid user NetBIOS operation
 * against such a name is DELETE NAME.
 */
 END
NAME RELEASE REQUEST:
 IF caching is being done THEN
 BEGIN
 remove entry from cache;
 END
NAME UPDATE REQUEST:
 IF caching is being done THEN
 BEGIN
 IF entry exists in cache already,
 update cache;
 ELSE IF name is "interesting" THEN
 BEGIN
 add entry to cache;
 END
 END
END

NODE STATUS REQUEST:
 IF name exists in local name table THEN
 BEGIN
 /*
 * send only those names that are
 * in the same scope as the scope
 * field in the request packet
 */

 send NODE STATUS RESPONSE;
 END
END
```

## 5.1.2. P-NODE ACTIVITY

All packets sent or received by P nodes are unicast UDP packets. A P node sends name service requests to the NBNS node that is specified in the P-node configuration.

## 5.1.2.1. P-NODE ADD\_NAME

```
PROCEDURE add_name(newname)

/*
 * Host initiated processing for a P node
 */

BEGIN

 REPEAT
 /*
 * build packet
 */

 ONT = P;
 G = UNIQUE;
 ...

 /*
 * send request
 */

 unicast NAME REGISTRATION REQUEST packet;

 /*
 * NBNS will send response packet
 */

 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL response packet is received OR
 retransmit count has been exceeded

 IF no response packet was received THEN
 BEGIN /* no response */
 /*
 * NBNS is down. Cannot claim name.
 */

 return failure; /* name cannot be claimed */
 END /* no response */
 ELSE
```

```
BEGIN /* response */
 IF NOT response tid = request tid THEN
 BEGIN
 /* Packet may belong to another transaction */
 ignore response packet;
 END
 ELSE
 CASE packet type OF

 POSITIVE NAME REGISTRATION RESPONSE:

 /*
 * name can be added
 */

 adjust refresh timeout value, TTL, for this name;
 return success; /* name can be added */

 NEGATIVE NAME REGISTRATION RESPONSE:
 return failure; /* name cannot be added */

 END-NODE CHALLENGE REGISTRATION REQUEST:
 BEGIN /* end node challenge */

 /*
 * The response packet has in it the
 * address of the presumed owner of the
 * name. Challenge that owner.
 * If owner either does not
 * respond or indicates that he no longer
 * owns the name, claim the name.
 * Otherwise, the name cannot be claimed.
 *
 */

 REPEAT
 /*
 * build packet
 */
 ...

 unicast NAME QUERY REQUEST packet to the
 address contained in the END NODE
 CHALLENGE RESPONSE packet;

 /*
 * remote node may send response packet
 */

 pause(UCAST_REQ_RETRY_TIMEOUT);
```

```

UNTIL response packet is received or
 retransmit count has been exceeded
IF no response packet is received OR
 NEGATIVE NAME QUERY RESPONSE packet
 received THEN
BEGIN /* update */

 /*
 * name can be claimed
 */

 REPEAT

 /*
 * build packet
 */
 ...

 unicast NAME UPDATE REQUEST to NBNS;

 /*
 * NBNS node will send response packet
 */

 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL response packet is received or
 retransmit count has been exceeded
 IF no response packet received THEN
 BEGIN /* no response */

 /*
 * name could not be claimed
 */

 return failure;
 END /* no response */
 ELSE
 CASE packet type OF
 POSITIVE NAME REGISTRATION RESPONSE:
 /*
 * add name
 */
 return success;
 NEGATIVE NAME REGISTRATION RESPONSE:

 /*
 * you lose ...
 */

```

```

 return failure;
 END /* case */
END /* update */
ELSE

 /*
 * received a positive response to the "challenge"
 * Remote node still has name
 */

 return failure;
END /* end node challenge */
END /* response */
END /* procedure */

```

#### 5.1.2.2. P-NODE ADD GROUP NAME

```

PROCEDURE add_group_name(newname)

/*
 * Host initiated processing for a P node
 */

BEGIN
 /*
 * same as for a unique name, except that the
 * request packet must indicate that a
 * group name claim is being made.
 */

 ...
 G = GROUP;
 ...

 /*
 * send packet
 */
 ...

END

```

#### 5.1.2.3. P-NODE FIND NAME

```

PROCEDURE find_name(name)

/*
 * Host initiated processing for a P node
 */

BEGIN

```

```

REPEAT
 /*
 * build packet
 */

 ONT = P;
 G = DONT CARE;

 unicast NAME QUERY REQUEST packet;

 /*
 * a NBNS node might send response packet
 */

 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);
UNTIL response packet received OR
 max transmit threshold exceeded

IF no response packet received THEN
 return failure;
ELSE
 IF NOT response tid = request tid THEN
 ignore packet;
 ELSE
 CASE packet type OF
 POSITIVE NAME QUERY RESPONSE:
 return success;

 REDIRECT NAME QUERY RESPONSE:

 /*
 * NBNS node wants this end node
 * to use some other NBNS node
 * to resolve the query.
 */

 repeat query with NBNS address
 in the response packet;
 NEGATIVE NAME QUERY RESPONSE:
 return failure;

 END /* case */
END /* procedure */

```

#### 5.1.2.4. P-NODE DELETE\_NAME

```
PROCEDURE delete_name (name)
```

```

/*
 * Host initiated processing for a P node
 */

BEGIN

 REPEAT

 /*
 * build packet
 */
 ...

 /*
 * send request
 */

 unicast NAME RELEASE REQUEST packet;
 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL retransmit count has been exceeded
 or response been received

 IF response has been received THEN
 CASE packet type OF
 POSITIVE NAME RELEASE RESPONSE:
 return success;
 NEGATIVE NAME RELEASE RESPONSE:

 /*
 * NBNS does want node to delete this
 * name !!!
 */

 return failure;
 END /* case */
 END /* procedure */

```

#### 5.1.2.5. P-NODE INCOMING PACKET PROCESSING

Processing initiated by reception of packets at a P node

PROCEDURE process\_incoming\_packet(packet)

```

/*
 * Processing initiated by incoming packets at a P node
 */

BEGIN

```

```

/*
 * always ignore UDP broadcast packets
 */

IF packet was sent as a broadcast THEN
BEGIN
 ignore packet;
 return;
END
CASE packet type of

NAME CONFLICT DEMAND:
 IF name exists in local name table THEN
 mark name as in conflict;
 return;

NAME QUERY REQUEST:
 IF name exists in local name table THEN
 BEGIN /* name exists */

 /*
 * build packet
 */
 ...

 /*
 * send response to the IP address and port
 * number from which the request was received.
 */

 send POSITIVE NAME QUERY RESPONSE ;
 return;
 END /* exists */
 ELSE
 BEGIN /* does not exist */

 /*
 * send response to the requestor
 */

 send NEGATIVE NAME QUERY RESPONSE ;
 return;
 END /* does not exist */
 END
NAME STATUS REQUEST:
 /*
 * Name of "*" may be used for force node to
 * divulge status for administrative purposes
 */
 IF name in local name table OR name = "*" THEN
 BEGIN
 /*

```



```

 * Build response packet and
 * send to requestor node
 * Send only those names that are
 * in the same scope as the scope
 * in the request packet.
 */

 send NODE STATUS RESPONSE;
 END

NAME RELEASE REQUEST:
/*
 * This will be received if the NBNS wants to flush the
 * name from the local name table, or from the local
 * cache.
 */

 IF name exists in the local name table THEN
 BEGIN
 delete name from local name table;
 inform user that name has been deleted;
 END
 ELSE
 IF name has been cached locally THEN
 BEGIN
 remove entry from cache:
 END

 END /* case */
END /* procedure */

```

#### 5.1.2.6. P-NODE TIMER INITIATED PROCESSING

Processing initiated by timer expiration.

```

PROCEDURE timer_expired()
/*
 * Processing initiated by the expiration of a timer on a P node
 */
BEGIN
 /*
 * Send a NAME REFRESH REQUEST for each name which the
 * TTL which has expired.
 */
 REPEAT
 build NAME REFRESH REQUEST packet;
 REPEAT
 send packet to NBNS;

 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);

```

```

 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL response packet is received or
 retransmit count has been exceeded

 CASE packet type OF
 POSITIVE NAME REGISTRATION RESPONSE:
 /* successfully refreshed */
 reset TTL timer for this name;

 NEGATIVE NAME REGISTRATION RESPONSE:
 /*
 * refused, can't keep name
 * assume in conflict
 */
 mark name as in conflict;
 END /* case */

 UNTIL request sent for all names for which TTL
 has expired
 END /* procedure */

```

### 5.1.3. M-NODE ACTIVITY

M nodes behavior is similar to that of P nodes with the addition of some B node-like broadcast actions. M node name service proceeds in two steps:

1. Use broadcast UDP based name service. Depending on the operation, goto step 2.
2. Use directed UDP name service.

The following code for M nodes is exactly the same as for a P node, with the exception that broadcast operations are done before P type operation is attempted.

#### 5.1.3.1. M-NODE ADD NAME

```

PROCEDURE add_name(newname)

/*
 * Host initiated processing for a M node
 */

BEGIN

 /*
 * check if name exists on the
 * broadcast area
 */

```

```
REPEAT
 /* build packet */

 broadcast NAME REGISTRATION REQUEST packet;
 pause(BCAST_REQ_RETRY_TIMEOUT);

UNTIL response packet is received or
 retransmit count has been exceeded

IF valid response received THEN
BEGIN
 /* cannot claim name */

 return failure;
END

/*
 * No objections received within the
 * broadcast area.
 * Send request to name server.
 */

REPEAT
 /*
 * build packet
 */

 ONT = M;
 ...

 unicast NAME REGISTRATION REQUEST packet;

 /*
 * remote NBNS will send response packet
 */

 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);

UNTIL response packet is received or
 retransmit count has been exceeded

IF no response packet was received THEN
BEGIN /* no response */
 /*
 * NBNS is down. Cannot claim name.
 */
```

```
 return failure; /* name cannot be claimed */
END /* no response */
ELSE
BEGIN /* response */
 IF NOT response tid = request tid THEN
 BEGIN
 ignore response packet;
 END
 ELSE
 CASE packet type OF
 POSITIVE NAME REGISTRATION RESPONSE:

 /*
 * name can be added
 */

 adjust refresh timeout value, TTL;
 return success; /* name can be added */

 NEGATIVE NAME REGISTRATION RESPONSE:
 return failure; /* name cannot be added */

 END-NODE CHALLENGE REGISTRATION REQUEST:
 BEGIN /* end node challenge */

 /*
 * The response packet has in it the
 * address of the presumed owner of the
 * name. Challenge that owner.
 * If owner either does not
 * respond or indicates that he no longer
 * owns the name, claim the name.
 * Otherwise, the name cannot be claimed.
 *
 */

 REPEAT
 /*
 * build packet
 */
 ...

 /*
 * send packet to address contained in the
 * response packet
 */

 unicast NAME QUERY REQUEST packet;

 /*
 * remote node may send response packet
```

```

 */

 pause(UCAST_REQ_RETRY_TIMEOUT);

 UNTIL response packet is received or
 retransmit count has been exceeded
 IF no response packet is received THEN
 BEGIN /* no response */

 /*
 * name can be claimed
 */
 REPEAT

 /*
 * build packet
 */
 ...

 unicast NAME UPDATE REQUEST to NBNS;

 /*
 * NBNS node will send response packet
 */

 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);

 UNTIL response packet is received or
 retransmit count has been exceeded
 IF no response packet received THEN
 BEGIN /* no response */

 /*
 * name could not be claimed
 */

 return failure;
 END /* no response */
 ELSE
 CASE packet type OF
 POSITIVE NAME REGISTRATION RESPONSE:
 /*
 * add name
 */

 return success;
 NEGATIVE NAME REGISTRATION RESPONSE:

```

```

 /*
 * you lose ...
 */

 return failure;
 END /* case */
END /* no response */
ELSE
IF NOT response tid = request tid THEN
BEGIN
 ignore response packet;
END

/*
* received a response to the "challenge"
* packet
*/

CASE packet type OF
POSITIVE NAME QUERY:

 /*
 * remote node still has name.
 */

 return failure;
NEGATIVE NAME QUERY:

 /*
 * remote node no longer has name
 */

 return success;
END /* case */
END /* end node challenge */
END /* case */
END /* response */
END /* procedure */

```

#### 5.1.3.2. M-NODE ADD GROUP NAME

```

PROCEDURE add_group_name(newname)

/*
* Host initiated processing for a P node
*/

BEGIN
 /*
 * same as for a unique name, except that the
 * request packet must indicate that a
 */

```

```
 * group name claim is being made.
 */

 ...
G = GROUP;
 ...

/*
 * send packet
 */
 ...

END
```

#### 5.1.3.3. M-NODE FIND NAME

```
PROCEDURE find_name(name)

/*
 * Host initiated processing for a M node
 */

BEGIN
 /*
 * check if any node on the broadcast
 * area has the name
 */

 REPEAT
 /* build packet */
 ...

 broadcast NAME QUERY REQUEST packet;
 pause(BCAST_REQ_RETRY_TIMEOUT);
 UNTIL response packet received OR
 max transmit threshold exceeded

 IF valid response received THEN
 BEGIN
 save response as authoritative response;
 start_timer(CONFLICT_TIMER);
 return success;
 END

 /*
 * no valid response on the b'cast segment.
 * Try the name server.
 */

 REPEAT
```

```

/*
 * build packet
 */

ONT = M;
G = DONT CARE;

unicast NAME QUERY REQUEST packet to NBNS;

/*
 * a NBNS node might send response packet
 */

IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);
UNTIL response packet received OR
 max transmit threshold exceeded

IF no response packet received THEN
 return failure;
ELSE
 IF NOT response tid = request tid THEN
 ignore packet;
 ELSE
 CASE packet type OF
 POSITIVE NAME QUERY RESPONSE:
 return success;

 REDIRECT NAME QUERY RESPONSE:

 /*
 * NBNS node wants this end node
 * to use some other NBNS node
 * to resolve the query.
 */

 repeat query with NBNS address
 in the response packet;
 NEGATIVE NAME QUERY RESPONSE:
 return failure;

 END /* case */
 END /* procedure */

```

#### 5.1.3.4. M-NODE DELETE NAME

```

PROCEDURE delete_name (name)

/*

```



```
* Host initiated processing for a P node
*/

BEGIN
 /*
 * First, delete name on NBNS
 */

 REPEAT

 /*
 * build packet
 */
 ...

 /*
 * send request
 */

 unicast NAME RELEASE REQUEST packet to NBNS;

 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL retransmit count has been exceeded
 or response been received

 IF response has been received THEN
 CASE packet type OF
 POSITIVE NAME RELEASE RESPONSE:
 /*
 * Deletion of name on b'cast segment is deferred
 * until after NBNS has deleted the name
 */

 REPEAT
 /* build packet */

 ...
 broadcast NAME RELEASE REQUEST;
 pause(BCAST_REQ_RETRY_TIMEOUT);
 UNTIL rexmt threshold exceeded

 return success;
 NEGATIVE NAME RELEASE RESPONSE:

 /*
 * NBNS does want node to delete this
 * name
 */
```

```

 return failure;
 END /* case */
END /* procedure */

```

#### 5.1.3.5. M-NODE INCOMING PACKET PROCESSING

Processing initiated by reception of packets at a M node

```

PROCEDURE process_incoming_packet(packet)

/*
 * Processing initiated by incoming packets at a M node
 */

BEGIN
 CASE packet type of

 NAME CONFLICT DEMAND:
 IF name exists in local name table THEN
 mark name as in conflict;
 return;

 NAME QUERY REQUEST:
 IF name exists in local name table THEN
 BEGIN /* name exists */

 /*
 * build packet
 */
 ...

 /*
 * send response to the IP address and port
 * number from which the request was received.
 */

 send POSITIVE NAME QUERY RESPONSE ;
 return;
 END /* exists */
 ELSE
 BEGIN /* does not exist */

 /*
 * send response to the requestor
 */

 IF request NOT broadcast THEN
 /*
 * Don't send negative responses to
 * queries sent by B nodes
 */

```

```

 send NEGATIVE NAME QUERY RESPONSE ;
 return;
END /* does not exist */
NODE STATUS REQUEST:
BEGIN
/*
 * Name of "*" may be used for force node to
 * divulge status for administrative purposes
 */
IF name in local name table OR name = "*" THEN
/*
 * Build response packet and
 * send to requestor node
 * Send only those names that are
 * in the same scope as the scope
 * in the request packet.
 */

 send NODE STATUS RESPONSE;
END

NAME RELEASE REQUEST:
/*
 * This will be received if the NBNS wants to flush the
 * name from the local name table, or from the local
 * cache.
 */

IF name exists in the local name table THEN
BEGIN
 delete name from local name table;
 inform user that name has been deleted;
END
ELSE
 IF name has been cached locally THEN
 BEGIN
 remove entry from cache:
 END

NAME REGISTRATION REQUEST (UNIQUE):
 IF name exists in local name table THEN
 send NEGATIVE NAME REGISTRATION RESPONSE ;
NAME REGISTRATION REQUEST (GROUP):
 IF name exists in local name table THEN
 BEGIN
 IF local entry is a unique name THEN
 send NEGATIVE NAME REGISTRATION RESPONSE ;
 END
 END /* case */
END /* procedure */

```

#### 5.1.3.6. M-NODE TIMER INITIATED PROCESSING

Processing initiated by timer expiration:

```
PROCEDURE timer_expired()
/*
 * Processing initiated by the expiration of a timer on a M node
 */
BEGIN
 /*
 * Send a NAME REFRESH REQUEST for each name which the
 * TTL which has expired.
 */
 REPEAT
 build NAME REFRESH REQUEST packet;
 REPEAT
 send packet to NBNS;

 IF receive a WACK RESPONSE THEN
 pause(time from TTL field of response);
 ELSE
 pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL response packet is received or
 retransmit count has been exceeded

 CASE packet type OF
 POSITIVE NAME REGISTRATION RESPONSE:
 /* successfully refreshed */
 reset TTL timer for this name;

 NEGATIVE NAME REGISTRATION RESPONSE:
 /*
 * refused, can't keep name
 * assume in conflict
 */
 mark name as in conflict;
 END /* case */

 UNTIL request sent for all names for which TTL
 has expired
END /* procedure */
```

#### 5.1.4. NBNS ACTIVITY

A NBNS node will receive directed packets from P and M nodes. Reply packets are always sent as directed packets to the source IP address and UDP port number. Received broadcast packets must be ignored.

## 5.1.4.1. NBNS INCOMING PACKET PROCESSING

```
PROCEDURE process_incoming_packet(packet)

/*
 * Incoming packet processing on a NS node
 */

BEGIN
 IF packet was sent as a broadcast THEN
 BEGIN
 discard packet;
 return;
 END
 CASE packet type of

 NAME REGISTRATION REQUEST (UNIQUE):
 IF unique name exists in data base THEN
 BEGIN /* unique name exists */
 /*
 * NBNS node may be a "passive"
 * server in that it expects the
 * end node to do the challenge
 * server. Such a NBNS node is
 * called a "non-secure" server.
 * A "secure" server will do the
 * challenging before it sends
 * back a response packet.
 */

 IF non-secure THEN
 BEGIN
 /*
 * build response packet
 */
 ...

 /*
 * let end node do the challenge
 */

 send END-NODE CHALLENGE NAME REGISTRATION
 RESPONSE;
 return;
 END
 ELSE
 /*
 * secure server - do the name
 * challenge operation
 */
```

```
REPEAT
 send NAME QUERY REQUEST;
 pause(UCAST_REQ_RETRY_TIMEOUT);
UNTIL response has been received or
 retransmit count has been exceeded
IF no response was received THEN
BEGIN

 /* node down */

 update data base - remove entry;
 update data base - add new entry;
 send POSITIVE NAME REGISTRATION RESPONSE;
 return;
END
ELSE
BEGIN /* challenged node replied */
 /*
 * challenged node replied with
 * a response packet
 */

 CASE packet type

 POSITIVE NAME QUERY RESPONSE:

 /*
 * name still owned by the
 * challenged node
 *
 * build packet and send response
 */
 ...

 /*
 * Note: The NBNS will need to
 * keep track (based on transaction id) of
 * the IP address and port number
 * of the original requestor.
 */

 send NEGATIVE NAME REGISTRATION RESPONSE;
 return;
 NEGATIVE NAME QUERY RESPONSE:

 update data base - remove entry;
 update data base - add new entry;

 /*
 * build response packet and send
```

```
 * response
 */
 send POSITIVE NAME REGISTRATION RESPONSE;
 return;
 END /* case */
END /* challenged node replied */
END /* unique name exists in data base */
ELSE
 IF group name exists in data base THEN
 BEGIN /* group names exists */

 /*
 * Members of a group name are NOT
 * challenged.
 * Make the assumption that
 * at least some of the group members
 * are still alive.
 * Refresh mechanism will
 * allow the NBNS to detect when all
 * members of a group no longer use that
 * name
 */

 send NEGATIVE NAME REGISTRATION RESPONSE;
 END /* group name exists */
 ELSE
 BEGIN /* name does not exist */

 /*
 * Name does not exist in data base
 *
 * This code applies to both non-secure
 * and secure server.
 */

 update data base - add new entry;
 send POSITIVE NAME REGISTRATION RESPONSE;
 return;
 END

NAME QUERY REQUEST:
 IF name exists in data base THEN
 BEGIN
 /*
 * build response packet and send to
 * requestor
 */
 ...

 send POSITIVE NAME QUERY RESPONSE;
 return;
 END
```

```

ELSE
BEGIN
 /*
 * build response packet and send to
 * requestor
 */
 ...

 send NEGATIVE NAME QUERY RESPONSE;
 return;
END

NAME REGISTRATION REQUEST (GROUP):
IF name exists in data base THEN
BEGIN
 IF local entry is a unique name THEN
 BEGIN /* local is unique */

 IF non-secure THEN
 BEGIN
 send END-NODE CHALLENGE NAME
 REGISTRATION RESPONSE;
 return;
 END

 REPEAT
 send NAME QUERY REQUEST;
 pause(UCAST_REQ_RETRY_TIMEOUT);
 UNTIL response received or
 retransmit count exceeded
 IF no response received or
 NEGATIVE NAME QUERY RESPONSE
 received THEN
 BEGIN
 update data base - remove entry;
 update data base - add new entry;
 send POSITIVE NAME REGISTRATION RESPONSE;
 return;
 END
 ELSE
 BEGIN
 /*
 * name still being held
 * by challenged node
 */

 send NEGATIVE NAME REGISTRATION RESPONSE;
 END
 END /* local is unique */
ELSE
BEGIN /* local is group */

```



```

 /*
 * existing entry is a group name
 */

 update data base - remove entry;
 update data base - add new entry;
 send POSITIVE NAME REGISTRATION RESPONSE;
 return;
 END /* local is group */
END /* names exists */
ELSE
BEGIN /* does not exist */

 /* name does not exist in data base */

 update data base - add new entry;
 send POSITIVE NAME REGISTRATION RESPONSE;
 return;
END /* does not exist */

NAME RELEASE REQUEST:

/*
* secure server may choose to disallow
* a node from deleting a name
*/

update data base - remove entry;
send POSITIVE NAME RELEASE RESPONSE;
return;

NAME UPDATE REQUEST:

/*
* End-node completed a successful challenge,
* no update database
*/

IF secure server THEN
 send NEGATIVE NAME REGISTRATION RESPONSE;
ELSE
BEGIN /* new entry */
 IF entry already exists THEN
 update data base - remove entry;
 update data base - add new entry;
 send POSITIVE NAME REGISTRATION RESPONSE;
 start_timer(TTL);
 END
END

NAME REFRESH REQUEST:
 check for consistency;

```

```

 IF node not allowed to have name THEN
 BEGIN

 /*
 * tell end node that it can't have name
 */
 send NEGATIVE NAME REGISTRATION RESPONSE;
 END
 ELSE
 BEGIN

 /*
 * send confirmation response to the
 * end node.
 */
 send POSITIVE NAME REGISTRATION;
 start_timer(TTL);
 END
 return;
 END /* case */
END /* procedure */

```

#### 5.1.4.2. NBNS TIMER INITIATED PROCESSING

A NS node uses timers to flush out entries from the data base. Each entry in the data base is removed when its timer expires. This time value is a multiple of the refresh TTL established when the name was registered.

```

PROCEDURE timer_expired()

/*
 * processing initiated by expiration of TTL for a given name
 */

BEGIN
 /*
 * NBNS can (optionally) ensure
 * that the node is actually down
 * by sending a NODE STATUS REQUEST.
 * If such a request is sent, and
 * no response is received, it can
 * be assumed that the node is down.
 */
 remove entry from data base;
END

```

## 5.2. SESSION SERVICE PROTOCOLS

The following are variables and should be configurable by the NetBIOS user. The default values of these variables is found in "Defined Constants and Variables" in the Detailed Specification.):

- SSN\_RETRY\_COUNT - The maximum number TCP connection attempts allowable per a single NetBIOS call request.
- SSN\_CLOSE\_TIMEOUT is the time period to wait when closing the NetBIOS session before killing the TCP connection if session sends are outstanding.

The following are Defined Constants for the NetBIOS Session Service. (See "Defined Constants and Variables" in the Detailed Specification for the value of these constants):

- SSN\_SRVC\_TCP\_PORT - is the globally well-known TCP port allocated for the NetBIOS Session Service. The service accepts TCP connections on this port to establish NetBIOS Sessions. The TCP connection established to this port by the caller is initially used for the exchange of NetBIOS control information. The actual NetBIOS data connection may also pass through this port or, through the retargetting facility, through another port.

### 5.2.1. SESSION ESTABLISHMENT PROTOCOLS

#### 5.2.1.1. USER REQUEST PROCESSING

```
PROCEDURE listen(listening name, caller name)
/*
 * User initiated processing for B, P and M nodes
 *
 * This procedure assumes that an incoming session will be
 * retargetted here by a session server.
 */
BEGIN
 Do TCP listen; /* Returns TCP port used */
 Register listen with Session Service, give names and
 TCP port;

 Wait for TCP connection to open; /* Incoming call */

 Read SESSION REQUEST packet from connection

 Process session request (see section on
 processing initiated by the reception of session
 service packets);
```

```

 Inform Session Service that NetBIOS listen is complete;

 IF session established THEN
 return success and session information to user;
 ELSE
 return failure;
 END /* procedure */

PROCEDURE call(calling name, called name)
/*
 * user initiated processing for B, P and M nodes
 */

/*
 * This algorithm assumes that the called name is a unique name.
 * If the called name is a group name, the call() procedure
 * needs to cycle through the members of the group
 * until either (retry_count == SSN_RETRY_COUNT) or
 * the list has been exhausted.
 */
BEGIN
 retry_count = 0;
 retarget = FALSE; /* TRUE: caller is being retargetted */
 name_query = TRUE; /* TRUE: caller must begin again with */
 /* name query. */

 REPEAT
 IF name_query THEN
 BEGIN
 do name discovery, returns IP address;
 TCP port = SSN_SRVC_TCP_PORT;

 IF name discovery fails THEN
 return failure;
 ELSE
 name_query = FALSE;
 END
 END

 /*
 * now have IP address and TCP port of
 * remote party.
 */

 establish TCP connection with remote party, use an
 ephemeral port as source TCP port;
 IF connection refused THEN
 BEGIN
 IF retarget THEN
 BEGIN
 /* retry */
 retarget = FALSE;

```

```
 use original IP address and TCP port;
 goto LOOP;
 END

 /* retry for just missed TCP listen */

 pause(SESSION_RETRY_TIMER);
 establish TCP connection, again use ephemeral
 port as source TCP port;

 IF connection refused OR
 connection timed out THEN
 return failure;
 END
ELSE
 IF connection timed out THEN
 BEGIN
 IF retarget THEN
 BEGIN
 /* retry */
 retarget = FALSE;
 use original IP address and TCP port;
 goto LOOP;
 END
 ELSE
 BEGIN
 /*
 * incorrect name discovery was done,
 * try again
 */

 inform name discovery process of
 possible error;
 name_query = TRUE;
 goto LOOP;
 END
 END
END

/*
 * TCP connection has been established
 */

wait for session response packet;
CASE packet type OF

 POSITIVE SESSION RESPONSE:
 return success and session established
 information;

 NEGATIVE SESSION RESPONSE:
 BEGIN
```

```
CASE error OF
 NOT LISTENING ON CALLED NAME:
 NOT LISTENING FOR CALLING NAME:
 BEGIN
 kill TCP connection;
 return failure;
 END

 CALLED NAME NOT PRESENT:
 BEGIN
 /*
 * called name does not exist on
 * remote node
 */

 inform name discovery procedure
 of possible error;

 IF this is a P or M node THEN
 BEGIN
 /*
 * Inform NetBIOS Name Server
 * it has returned incorrect
 * information.
 */
 send NAME RELEASE REQUEST for called
 name and IP address to
 NetBIOS Name Server;
 END
 /* retry from beginning */
 retarget = FALSE;
 name_query = TRUE;
 goto LOOP;
 END /* called name not present */
 END /* case */
END /* negative response */

RETARGET SESSION RESPONSE:
BEGIN
 close TCP connection;
 extract IP address and TCP port from
 response;
 retarget = TRUE;
END /* retarget response */
END /* case */

LOOP: retry_count = retry_count + 1;

 UNTIL (retry_count > SSN_RETRY_COUNT);
 return failure;
END /* procedure */
```

## 5.2.1.2. RECEIVED PACKET PROCESSING

These are packets received on a TCP connection before a session has been established. The listen routines attached to a NetBIOS user process need not implement the RETARGET response section. The user process version, separate from a shared Session Service, need only accept (POSITIVE SESSION RESPONSE) or reject (NEGATIVE SESSION RESPONSE) a session request.

```

PROCEDURE session_packet(packet)
/*
 * processing initiated by receipt of a session service
 * packet for a session in the session establishment phase.
 * Assumes the TCP connection has been accepted.
 */
BEGIN
 CASE packet type

 SESSION REQUEST:
 BEGIN
 IF called name does not exist on node THEN
 BEGIN
 send NEGATIVE SESSION RESPONSE with CALLED
 NAME NOT PRESENT error code;
 close TCP connection;
 END
 END

 Search for a listen with CALLING NAME for CALLED
 NAME;
 IF matching listen is found THEN
 BEGIN
 IF port of listener process is port TCP
 connection is on THEN
 BEGIN
 send POSITIVE SESSION RESPONSE;

 Hand off connection to client process
 and/or inform user session is
 established;
 END
 ELSE
 BEGIN
 send RETARGET SESSION RESPONSE with
 listener's IP address and
 TCP port;
 close TCP connection;
 END
 END
 END
 ELSE
 BEGIN
 /* no matching listen pending */

```

```

 send NEGATIVE SESSION RESPONSE with either
 NOT LISTENING ON CALLED NAME or NOT
 LISTENING FOR CALLING NAME error
 code;
 close TCP connection;
 END
END /* session request */
END /* case */
END /* procedure */

```

### 5.2.2. SESSION DATA TRANSFER PROTOCOLS

#### 5.2.2.1. USER REQUEST PROCESSING

```

PROCEDURE send_message(user_message)
BEGIN
 build SESSION MESSAGE header;
 send SESSION MESSAGE header;
 send user_message;
 reset and restart keep-alive timer;
 IF send fails THEN
 BEGIN
 /*
 * TCP connection has failed */
 */
 close NetBIOS session;
 inform user that session is lost;
 return failure;
 END
 ELSE
 return success;
 END
END

```

#### 5.2.2.2. RECEIVED PACKET PROCESSING

These are packets received after a session has been established.

```

PROCEDURE session_packet(packet)
/*
 * processing initiated by receipt of a session service
 * packet for a session in the data transfer phase.
 */
BEGIN
 CASE packet type OF

 SESSION MESSAGE:
 BEGIN
 process message header;
 read in user data;
 reset and restart keep-alive timer;
 deliver data to user;

```



```
 END /* session message */

 SESSION KEEP ALIVE:
 discard packet;

 END /* case */
END /* procedure */
```

#### 5.2.2.3. PROCESSING INITIATED BY TIMER

```
PROCEDURE session_ka_timer()
/*
 * processing initiated when session keep alive timer expires
 */
BEGIN
 send SESSION KEEP ALIVE, if configured;
 IF send fails THEN
 BEGIN
 /* remote node, or path to it, is down */

 abort TCP connection;
 close NetBIOS session;
 inform user that session is lost;
 return;
 END
 END /* procedure */
```

#### 5.2.3. SESSION TERMINATION PROTOCOLS

##### 5.2.3.1. USER REQUEST PROCESSING

```
PROCEDURE close_session()

/* initiated by a user request to close a session */

BEGIN
 close gracefully the TCP connection;

 WAIT for the connection to close or SSN_CLOSE_TIMEOUT
 to expire;

 IF time out expired THEN
 abort TCP connection;
 END /* procedure */
```

##### 5.2.3.2. RECEPTION INDICATION PROCESSING

```
PROCEDURE close_indication()
/*
 * initiated by a TCP indication of a close request from
 * the remote connection partner.
```

```

 */
BEGIN
 close gracefully TCP connection;

 close NetBIOS session;

 inform user session closed by remote partner;
END /* procedure */

```

### 5.3. NetBIOS DATAGRAM SERVICE PROTOCOLS

The following are GLOBAL variables and should be NetBIOS user configurable:

- SCOPE\_ID: the non-leaf section of the domain name preceded by a '.' which represents the domain of the NetBIOS scope for the NetBIOS name. The following protocol description only supports single scope operation.
- MAX\_DATAGRAM\_LENGTH: the maximum length of an IP datagram. The minimal maximum length defined in for IP is 576 bytes. This value is used when determining whether to fragment a NetBIOS datagram. Implementations are expected to be capable of receiving unfragmented NetBIOS datagrams up to their maximum size.
- BROADCAST\_ADDRESS: the IP address B-nodes use to send datagrams with group name destinations and broadcast datagrams. The default is the IP broadcast address for a single IP network.

The following are Defined Constants for the NetBIOS Datagram Service:

- DGM\_SRVC\_UDP\_PORT: the globally well-known UDP port allocated where the NetBIOS Datagram Service receives UDP packets. See [section 6](#), "Defined Constants", for its value.

#### 5.3.1. B NODE TRANSMISSION OF NetBIOS DATAGRAMS

```

PROCEDURE send_datagram(data, source, destination, broadcast)

/*
 * user initiated processing on B node
 */

BEGIN
 group = FALSE;

 do name discovery on destination name, returns name type and
 IP address;

```

```
IF name type is group name THEN
BEGIN
 group = TRUE;
END

/*
 * build datagram service UDP packet;
 */
convert source and destination NetBIOS names into
 half-ASCII, biased encoded name;
SOURCE_NAME = cat(source, SCOPE_ID);
SOURCE_IP = this nodes IP address;
SOURCE_PORT = DGM_SRVC_UDP_PORT;

IF NetBIOS broadcast THEN
BEGIN
 DESTINATION_NAME = cat("*", SCOPE_ID)
END
ELSE
BEGIN
 DESTINATION_NAME = cat(destination, SCOPE_ID)
END

MSG_TYPE = select_one_from_set
 {BROADCAST, DIRECT_UNIQUE, DIRECT_GROUP}
DGM_ID = next transaction id for Datagrams;
DGM_LENGTH = length of data + length of second level encoded
 source and destination names;

IF (length of the NetBIOS Datagram, including UDP and
 IP headers, > MAX_DATAGRAM_LENGTH) THEN
BEGIN
 /*
 * fragment NetBIOS datagram into 2 UDP packets
 */
 Put names into 1st UDP packet and any data that fits
 after names;
 Set MORE and FIRST bits in 1st UDP packet's FLAGS;
 OFFSET in 1st UDP = 0;

 Replicate NetBIOS Datagram header from 1st UDP packet
 into 2nd UDP packet;
 Put rest of data in 2nd UDP packet;
 Clear MORE and FIRST bits in 2nd UDP packet's FLAGS;
 OFFSET in 2nd UDP = DGM_LENGTH - number of name and
 data bytes in 1st UDP;
END
BEGIN
 /*
 * Only need one UDP packet
 */
```

```

 USER_DATA = data;
 Clear MORE bit and set FIRST bit in FLAGS;
 OFFSET = 0;
 END

 IF (group == TRUE) OR (NetBIOS broadcast) THEN
 BEGIN
 send UDP packet(s) to BROADCAST_ADDRESS;
 END
 ELSE
 BEGIN
 send UDP packet(s) to IP address returned by name
 discovery;
 END
END /* procedure */

```

### 5.3.2. P AND M NODE TRANSMISSION OF NetBIOS DATAGRAMS

```

PROCEDURE send_datagram(data, source, destination, broadcast)

/*
 * User initiated processing on P and M node.
 *
 * This processing is the same as for B nodes except for
 * sending broadcast and multicast NetBIOS datagrams.
 */

BEGIN
 group = FALSE;

 do name discovery on destination name, returns name type
 and IP address;
 IF name type is group name THEN
 BEGIN
 group = TRUE;
 END

 /*
 * build datagram service UDP packet;
 */
 convert source and destination NetBIOS names into
 half-ASCII, biased encoded name;
 SOURCE_NAME = cat(source, SCOPE_ID);
 SOURCE_IP = this nodes IP address;
 SOURCE_PORT = DGM_SRVC_UDP_PORT;

 IF NetBIOS broadcast THEN
 BEGIN
 DESTINATION_NAME = cat(" ", SCOPE_ID)
 END
 ELSE

```

```

BEGIN
 DESTINATION_NAME = cat(destination, SCOPE_ID)
END

MSG_TYPE = select_one_from_set
 {BROADCAST, DIRECT_UNIQUE, DIRECT_GROUP}
DGM_ID = next transaction id for Datagrams;
DGM_LENGTH = length of data + length of second level encoded
 source and destination names;

IF (length of the NetBIOS Datagram, including UDP and
 IP headers, > MAX_DATAGRAM_LENGTH) THEN
BEGIN
 /*
 * fragment NetBIOS datagram into 2 UDP packets
 */
 Put names into 1st UDP packet and any data that fits
 after names;
 Set MORE and FIRST bits in 1st UDP packet's FLAGS;

 OFFSET in 1st UDP = 0;

 Replicate NetBIOS Datagram header from 1st UDP packet
 into 2nd UDP packet;
 Put rest of data in 2nd UDP packet;
 Clear MORE and FIRST bits in 2nd UDP packet's FLAGS;
 OFFSET in 2nd UDP = DGM_LENGTH - number of name and
 data bytes in 1st UDP;
END
BEGIN
 /*
 * Only need one UDP packet
 */
 USER_DATA = data;
 Clear MORE bit and set FIRST bit in FLAGS;
 OFFSET = 0;
END

IF (group == TRUE) OR (NetBIOS broadcast) THEN
BEGIN
 /*
 * Sending of following query is optional.
 * Node may send datagram to NBDD immediately
 * but NBDD may discard the datagram.
 */
 send DATAGRAM QUERY REQUEST to NBDD;
 IF response is POSITIVE QUERY RESPONSE THEN
 send UDP packet(s) to NBDD Server IP address;
 ELSE
 BEGIN
 get list of destination nodes from NBNS;

```

```

 FOR EACH node in list
 BEGIN
 send UDP packet(s) to this node's
 IP address;
 END
 END
END
ELSE
BEGIN
 send UDP packet(s) to IP address returned by name
 discovery;
END /* procedure */

```

### 5.3.3. RECEPTION OF NetBIOS DATAGRAMS BY ALL NODES

The following algorithm discards out of order NetBIOS Datagram fragments. An implementation which reassembles out of order NetBIOS Datagram fragments conforms to this specification. The fragment discard timer is initialized to the value `FRAGMENT_TO`. This value should be user configurable. The default value is given in [Section 6](#), "Defined Constants and Variables".

```

PROCEDURE datagram_packet(packet)

/*
 * processing initiated by datagram packet reception
 * on B, P and M nodes
 */
BEGIN
 /*
 * if this node is a P node, ignore
 * broadcast packets.
 */

 IF this is a P node AND incoming packet is
 a broadcast packet THEN
 BEGIN
 discard packet;
 END

 CASE packet type OF

 DATAGRAM SERVICE:
 BEGIN
 IF FIRST bit in FLAGS is set THEN
 BEGIN
 IF MORE bit in FLAGS is set THEN
 BEGIN
 Save 1st UDP packet of the Datagram;
 Set this Datagram's fragment discard
 timer to FRAGMENT_TO;
 END
 END
 END
 END

```

```

 return;
 END
 ELSE
 Datagram is composed of a single
 UDP packet;
 END
 ELSE
 BEGIN
 /* Have the second fragment of a Datagram */

 Search for 1st fragment by source IP address
 and DGM_ID;
 IF found 1st fragment THEN
 Process both UDP packets;
 ELSE
 BEGIN
 discard 2nd fragment UDP packet;
 return;
 END
 END

 IF DESTINATION_NAME is '*' THEN
 BEGIN
 /* NetBIOS broadcast */

 deliver USER_DATA from UDP packet(s) to all
 outstanding receive broadcast
 datagram requests;
 return;
 END
 ELSE
 BEGIN /* non-broadcast */
 /* Datagram for Unique or Group Name */

 IF DESTINATION_NAME is not present in the
 local name table THEN
 BEGIN
 /* destination not present */
 build DATAGRAM ERROR packet, clear
 FIRST and MORE bit, put in
 this nodes IP and PORT, set
 ERROR_CODE;
 send DATAGRAM ERROR packet to
 source IP address and port
 of UDP;
 discard UDP packet(s);
 return;
 END
 ELSE
 BEGIN /* good */
 /*

```

```

 * Replicate received NetBIOS datagram for
 * each recipient
 */
 FOR EACH pending NetBIOS user's receive
 datagram operation
 BEGIN
 IF source name of operation
 matches destination name
 of packet THEN
 BEGIN
 deliver USER_DATA from UDP
 packet(s);
 END
 END /* for each */
 return;
 END /* good */
END /* non-broadcast */
END /* datagram service */

DATAGRAM ERROR:
BEGIN
 /*
 * name service returned incorrect information
 */

 inform local name service that incorrect
 information was provided;

 IF this is a P or M node THEN
 BEGIN
 /*
 * tell NetBIOS Name Server that it may
 * have given incorrect information
 */

 send NAME RELEASE REQUEST with name
 and incorrect IP address to NetBIOS
 Name Server;

 END
 END /* datagram error */

END /* case */
END

```

#### 5.3.4. PROTOCOLS FOR THE NBDD

The key to NetBIOS Datagram forwarding service is the packet delivered to the destination end node must have the same NetBIOS header as if the source end node sent the packet directly to the destination end node. Consequently, the NBDD does not reassemble NetBIOS Datagrams. It forwards the UDP packet as is.



```

PROCEDURE datagram_packet(packet)

/*
 * processing initiated by a incoming datagram service
 * packet on a NBDD node.
 */

BEGIN
 CASE packet type OF

 DATAGRAM SERVICE:
 BEGIN
 IF packet was sent as a directed
 NetBIOS datagram THEN
 BEGIN
 /*
 * provide group forwarding service
 *
 * Forward datagram to each member of the
 * group. Can forward via:
 * 1) get list of group members and send
 * the DATAGRAM SERVICE packet unicast
 * to each
 * 2) use Group Multicast, if available
 * 3) combination of 1) and 2)
 */

 ...

 END

 ELSE
 BEGIN
 /*
 * provide broadcast forwarding service
 *
 * Forward datagram to every node in the
 * NetBIOS scope. Can forward via:
 * 1) get list of group members and send
 * the DATAGRAM SERVICE packet unicast
 * to each
 * 2) use Group Multicast, if available
 * 3) combination of 1) and 2)
 */

 ...

 END
 END /* datagram service */

 DATAGRAM ERROR:

```

```
BEGIN
 /*
 * Should never receive these because Datagrams
 * forwarded have source end node IP address and
 * port in NetBIOS header.
 */

 send DELETE NAME REQUEST with incorrect name and
 IP address to NetBIOS Name Server;

END /* datagram error */

DATAGRAM QUERY REQUEST:
BEGIN
 IF can send packet to DESTINATION_NAME THEN
 BEGIN
 /*
 * NBDD is able to relay Datagrams for
 * this name
 */

 send POSITIVE DATAGRAM QUERY RESPONSE to
 REQUEST source IP address and UDP port
 with request's DGM_ID;
 END
 ELSE
 BEGIN
 /*
 * NBDD is NOT able to relay Datagrams for
 * this name
 */

 send NEGATIVE DATAGRAM QUERY RESPONSE to
 REQUEST source IP address and UDP port

 with request's DGM_ID;
 END
 END /* datagram query request */

END /* case */
END /* procedure */
```

## 6. DEFINED CONSTANTS AND VARIABLES

### GENERAL:

|                         |                                                                                                                                                                                                                                                                                                                                                                           |
|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SCOPE_ID                | <p>The name of the NetBIOS scope.</p> <p>This is expressed as a character string meeting the requirements of the domain name system and without a leading or trailing "dot".</p> <p>An implementation may elect to make this a single global value for the node or allow it to be specified with each separate NetBIOS name (thus permitting cross-scope references.)</p> |
| BROADCAST_ADDRESS       | <p>An IP address composed of the nodes's network and subnetwork numbers with all remaining bits set to one.</p> <p>I.e. "Specific subnet" broadcast addressing according to <a href="#">section 2.3 of RFC 950</a>.</p>                                                                                                                                                   |
| BCAST_REQ_RETRY_TIMEOUT | <p>250 milliseconds.</p> <p>An adaptive timer may be used.</p>                                                                                                                                                                                                                                                                                                            |
| BCAST_REQ_RETRY_COUNT   | 3                                                                                                                                                                                                                                                                                                                                                                         |
| UCAST_REQ_RETRY_TIMEOUT | <p>5 seconds</p> <p>An adaptive timer may be used.</p>                                                                                                                                                                                                                                                                                                                    |
| UCAST_REQ_RETRY_COUNT   | 3                                                                                                                                                                                                                                                                                                                                                                         |
| MAX_DATAGRAM_LENGTH     | 576 bytes (default)                                                                                                                                                                                                                                                                                                                                                       |

### NAME SERVICE:

|                       |                                                                  |
|-----------------------|------------------------------------------------------------------|
| REFRESH_TIMER         | Negotiated with NBNS for each name.                              |
| CONFLICT_TIMER        | <p>1 second</p> <p>Implementations may chose a longer value.</p> |
| NAME_SERVICE_TCP_PORT | 137 (decimal)                                                    |

|                       |               |
|-----------------------|---------------|
| NAME_SERVICE_UDP_PORT | 137 (decimal) |
|-----------------------|---------------|

|              |   |
|--------------|---|
| INFINITE_TTL | 0 |
|--------------|---|

## SESSION SERVICE:

|                   |               |
|-------------------|---------------|
| SSN_SRVC_TCP_PORT | 139 (decimal) |
|-------------------|---------------|

|                 |                                         |
|-----------------|-----------------------------------------|
| SSN_RETRY_COUNT | 4 (default)<br>Re-configurable by user. |
|-----------------|-----------------------------------------|

|                   |                                                  |
|-------------------|--------------------------------------------------|
| SSN_CLOSE_TIMEOUT | 30 seconds (default)<br>Re-configurable by user. |
|-------------------|--------------------------------------------------|

|                        |                                                                                                                    |
|------------------------|--------------------------------------------------------------------------------------------------------------------|
| SSN_KEEP_ALIVE_TIMEOUT | 60 seconds, recommended, may be set to<br>a higher value.<br>(Session keep-alives are used only<br>if configured.) |
|------------------------|--------------------------------------------------------------------------------------------------------------------|

## DATAGRAM SERVICE:

|                   |               |
|-------------------|---------------|
| DGM_SRVC_UDP_PORT | 138 (decimal) |
|-------------------|---------------|

|             |                     |
|-------------|---------------------|
| FRAGMENT_TO | 2 seconds (default) |
|-------------|---------------------|

## REFERENCES

- [1] "Protocol Standard For a NetBIOS Service on a TCP/UDP Transport: Concepts and Methods", [RFC 1001](#), March 1987.
- [2] J. Reynolds, J. Postel, "Assigned Numbers", [RFC 990](#), November 1986.
- [3] P. Mockapetris, "Domain Names - Implementation and Specification", [RFC 883](#), November 1983.