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## Benchmarking Methodology for IPv6 Transition Technologies

### Abstract

Benchmarking methodologies that address the performance of network interconnect devices that are IPv4- or IPv6-capable exist, but the IPv6 transition technologies are outside of their scope. This document provides complementary guidelines for evaluating the performance of IPv6 transition technologies. More specifically, this document targets IPv6 transition technologies that employ encapsulation or translation mechanisms, as dual-stack nodes can be tested using the recommendations of RFCs 2544 and 5180. The methodology also includes a metric for benchmarking load scalability.

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## 1. Introduction

The methodologies described in [RFC2544] and [RFC5180] help vendors and network operators alike analyze the performance of IPv4 and IPv6-capable network devices. The methodology presented in [RFC2544] is mostly IP version independent, while [RFC5180] contains complementary recommendations that are specific to the latest IP version, IPv6. However, [RFC5180] does not cover IPv6 transition technologies.

IPv6 is not backwards compatible, which means that IPv4-only nodes cannot directly communicate with IPv6-only nodes. To solve this issue, IPv6 transition technologies have been proposed and implemented.

This document presents benchmarking guidelines dedicated to IPv6 transition technologies. The benchmarking tests can provide insights about the performance of these technologies, which can act as useful feedback for developers and network operators going through the IPv6 transition process.

The document also includes an approach to quantify performance when operating in overload. Overload scalability can be defined as a system's ability to gracefully accommodate a greater number of flows than the maximum number of flows that the Device Under Test (DUT) can operate normally. The approach taken here is to quantify the overload scalability by measuring the performance created by an excessive number of network flows and comparing performance to the non-overloaded case.

### 1.1. IPv6 Transition Technologies

Two of the basic transition technologies, dual IP layer (also known as dual stack) and encapsulation, are presented in [RFC4213]. IPv4/IPv6 translation is presented in [RFC6144]. Most of the transition technologies employ at least one variation of these mechanisms. In this context, a generic classification of the transition technologies can prove useful.

We can consider a production network transitioning to IPv6 as being constructed using the following IP domains:

- o Domain A: IPvX-specific domain
- o Core domain: IPvY-specific or dual-stack (IPvX and IPvY) domain
- o Domain B: IPvX-specific domain

Note: X,Y are part of the set {4,6}, and X is NOT EQUAL to Y.

The transition technologies can be categorized according to the technology used for traversal of the core domain:

1. Dual stack: Devices in the core domain implement both IP protocols.
2. Single translation: In this case, the production network is assumed to have only two domains: Domain A and the core domain. The core domain is assumed to be IPvY specific. IPvX packets are translated to IPvY at the edge between Domain A and the core domain.
3. Double translation: The production network is assumed to have all three domains; Domains A and B are IPvX specific, while the core domain is IPvY specific. A translation mechanism is employed for the traversal of the core network. The IPvX packets are translated to IPvY packets at the edge between Domain A and the core domain. Subsequently, the IPvY packets are translated back to IPvX at the edge between the core domain and Domain B.
4. Encapsulation: The production network is assumed to have all three domains; Domains A and B are IPvX specific, while the core domain is IPvY specific. An encapsulation mechanism is used to traverse the core domain. The IPvX packets are encapsulated to IPvY packets at the edge between Domain A and the core domain. Subsequently, the IPvY packets are de-encapsulated at the edge between the core domain and Domain B.

The performance of dual-stack transition technologies can be fully evaluated using the benchmarking methodologies presented by [RFC2544] and [RFC5180]. Consequently, this document focuses on the other three categories: single-translation, double-translation, and encapsulation transition technologies.

Another important aspect by which IPv6 transition technologies can be categorized is their use of stateful or stateless mapping algorithms. The technologies that use stateful mapping algorithms (e.g., Stateful

NAT64 [RFC6146]) create dynamic correlations between IP addresses or {IP address, transport protocol, transport port number} tuples, which are stored in a state table. For ease of reference, IPv6 transition technologies that employ stateful mapping algorithms will be called "stateful IPv6 transition technologies". The efficiency with which the state table is managed can be an important performance indicator for these technologies. Hence, additional benchmarking tests are RECOMMENDED for stateful IPv6 transition technologies.

Table 1 contains the generic categories and associations with some of the IPv6 transition technologies proposed in the IETF. Please note that the list is not exhaustive.

	Generic category	IPv6 Transition Technology
1	Dual stack	Dual IP Layer Operations [RFC4213]
2	Single translation	NAT64 [RFC6146], IVI [RFC6219]
3	Double translation	464XLAT [RFC6877], MAP-T [RFC7599]
4	Encapsulation	DS-Lite [RFC6333], MAP-E [RFC7597], Lightweight 4over6 [RFC7596], 6rd [RFC5569], 6PE [RFC4798], 6VPE [RFC4659]

Table 1: IPv6 Transition Technologies Categories

## 2. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

Although these terms are usually associated with protocol requirements, in this document, the terms are requirements for users and systems that intend to implement the test conditions and claim conformance with this specification.

### 3. Terminology

A number of terms used in this memo have been defined in other RFCs. Please refer to the RFCs below for definitions, testing procedures, and reporting formats.

- o Throughput (Benchmark) [[RFC2544](#)]
- o Frame Loss Rate (Benchmark) [[RFC2544](#)]
- o Back-to-Back Frames (Benchmark) [[RFC2544](#)]
- o System Recovery (Benchmark) [[RFC2544](#)]
- o Reset (Benchmark) [[RFC6201](#)]
- o Concurrent TCP Connection Capacity (Benchmark) [[RFC3511](#)]
- o Maximum TCP Connection Establishment Rate (Benchmark) [[RFC3511](#)]

### 4. Test Setup

The test environment setup options recommended for benchmarking IPv6 transition technologies are very similar to the ones presented in [Section 6 of \[RFC2544\]](#). In the case of the Tester setup, the options presented in [\[RFC2544\]](#) and [\[RFC5180\]](#) can be applied here as well. However, the DUT setup options should be explained in the context of the targeted categories of IPv6 transition technologies: single translation, double translation, and encapsulation.

Although both single Tester and sender/receiver setups are applicable to this methodology, the single Tester setup will be used to describe the DUT setup options.

For the test setups presented in this memo, dynamic routing SHOULD be employed. However, the presence of routing and management frames can represent unwanted background data that can affect the benchmarking result. To that end, the procedures defined in [Sections 11.2 and 11.3 of \[RFC2544\]](#) related to routing and management frames SHOULD be used here. Moreover, the "trial description" recommendations presented in [Section 23 of \[RFC2544\]](#) are also valid for this memo.

In terms of route setup, the recommendations of [Section 13 of \[RFC2544\]](#) are valid for this document, assuming that IPv6-capable routing protocols are used.

#### 4.1. Single-Translation Transition Technologies

For the evaluation of single-translation transition technologies, a single DUT setup (see Figure 1) SHOULD be used. The DUT is responsible for translating the IPvX packets into IPvY packets. In this context, the Tester device SHOULD be configured to support both IPvX and IPvY.

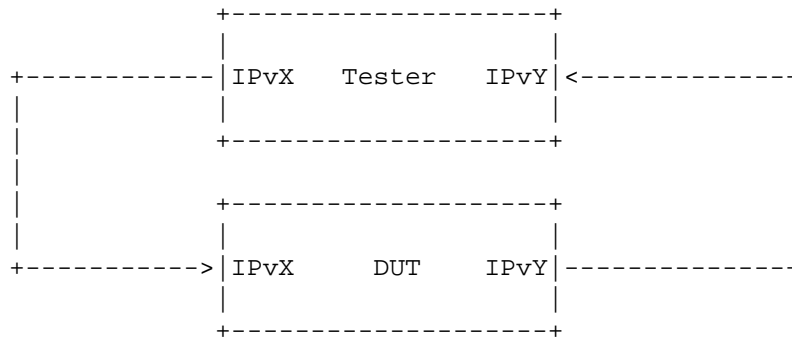


Figure 1: Test Setup 1 (Single DUT)

#### 4.2. Encapsulation and Double-Translation Transition Technologies

For evaluating the performance of encapsulation and double-translation transition technologies, a dual DUT setup (see Figure 2) SHOULD be employed. The Tester creates a network flow of IPvX packets. The first DUT is responsible for the encapsulation or translation of IPvX packets into IPvY packets. The IPvY packets are de-encapsulated/translated back to IPvX packets by the second DUT and forwarded to the Tester.

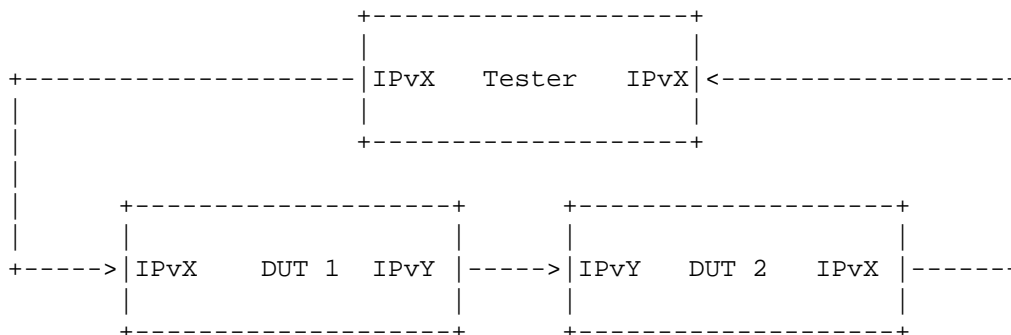


Figure 2: Test Setup 2 (Dual DUT)



One of the limitations of the dual DUT setup is the inability to reflect asymmetries in behavior between the DUTs. Considering this, additional performance tests SHOULD be performed using the single DUT setup.

Note: For encapsulation IPv6 transition technologies in the single DUT setup, the Tester SHOULD be able to send IPvX packets encapsulated as IPvY in order to test the de-encapsulation efficiency.

## 5. Test Traffic

The test traffic represents the experimental workload and SHOULD meet the requirements specified in this section. The requirements are dedicated to unicast IP traffic. Multicast IP traffic is outside of the scope of this document.

### 5.1. Frame Formats and Sizes

[RFC5180] describes the frame size requirements for two commonly used media types: Ethernet and SONET (Synchronous Optical Network). [RFC2544] also covers other media types, such as token ring and Fiber Distributed Data Interface (FDDI). The recommendations of those two documents can be used for the dual-stack transition technologies. For the rest of the transition technologies, the frame overhead introduced by translation or encapsulation MUST be considered.

The encapsulation/translation process generates different size frames on different segments of the test setup. For instance, the single-translation transition technologies will create different frame sizes on the receiving segment of the test setup, as IPvX packets are translated to IPvY. This is not a problem if the bandwidth of the employed media is not exceeded. To prevent exceeding the limitations imposed by the media, the frame size overhead needs to be taken into account when calculating the maximum theoretical frame rates. The calculation method for the Ethernet, as well as a calculation example, are detailed in [Appendix A](#). The details of the media employed for the benchmarking tests MUST be noted in all test reports.

In the context of frame size overhead, MTU recommendations are needed in order to avoid frame loss due to MTU mismatch between the virtual encapsulation/translation interfaces and the physical network interface controllers (NICs). To avoid this situation, the larger MTU between the physical NICs and virtual encapsulation/translation interfaces SHOULD be set for all interfaces of the DUT and Tester.

To be more specific, the minimum IPv6 MTU size (1280 bytes) plus the encapsulation/translation overhead is the RECOMMENDED value for the physical interfaces as well as virtual ones.

#### 5.1.1. Frame Sizes to Be Used over Ethernet

Based on the recommendations of [RFC5180], the following frame sizes SHOULD be used for benchmarking IPvX/IPvY traffic on Ethernet links: 64, 128, 256, 512, 768, 1024, 1280, 1518, 1522, 2048, 4096, 8192, and 9216.

For Ethernet frames exceeding 1500 bytes in size, the [IEEE802.1AC] standard can be consulted.

Note: For single-translation transition technologies (e.g., NAT64) in the IPv6 -> IPv4 translation direction, 64-byte frames SHOULD be replaced by 84-byte frames. This would allow the frames to be transported over media such as the ones described by the [IEEE802.1Q] standard. Moreover, this would also allow the implementation of a frame identifier in the UDP data.

The theoretical maximum frame rates considering an example of frame overhead are presented in [Appendix A](#).

#### 5.2. Protocol Addresses

The selected protocol addresses should follow the recommendations of [Section 5 of \[RFC5180\]](#) for IPv6 and [Section 12 of \[RFC2544\]](#) for IPv4.

Note: Testing traffic with extension headers might not be possible for the transition technologies that employ translation. Proposed IPvX/IPvY translation algorithms such as IP/ICMP translation [RFC7915] do not support the use of extension headers.

#### 5.3. Traffic Setup

Following the recommendations of [RFC5180], all tests described SHOULD be performed with bidirectional traffic. Unidirectional traffic tests MAY also be performed for a fine-grained performance assessment.

Because of the simplicity of UDP, UDP measurements offer a more reliable basis for comparison than other transport-layer protocols. Consequently, for the benchmarking tests described in [Section 7](#) of this document, UDP traffic SHOULD be employed.

Considering that a transition technology could process both native IPv6 traffic and translated/encapsulated traffic, the following traffic setups are recommended:

- i) IPvX only traffic (where the IPvX traffic is to be translated/encapsulated by the DUT)
- ii) 90% IPvX traffic and 10% IPvY native traffic
- iii) 50% IPvX traffic and 50% IPvY native traffic
- iv) 10% IPvX traffic and 90% IPvY native traffic

For the benchmarks dedicated to stateful IPv6 transition technologies, included in [Section 8](#) of this memo (Concurrent TCP Connection Capacity and Maximum TCP Connection Establishment Rate), the traffic SHOULD follow the recommendations of [Sections 5.2.2.2](#) and [5.3.2.2](#) of [\[RFC3511\]](#).

## 6. Modifiers

The idea of testing under different operational conditions was first introduced in [Section 11](#) of [\[RFC2544\]](#) and represents an important aspect of benchmarking network elements, as it emulates, to some extent, the conditions of a production environment. [Section 6](#) of [\[RFC5180\]](#) describes complementary test conditions specific to IPv6. The recommendations in [\[RFC2544\]](#) and [\[RFC5180\]](#) can also be followed for testing of IPv6 transition technologies.

## 7. Benchmarking Tests

The following sub-sections describe all recommended benchmarking tests.

### 7.1. Throughput

Use [Section 26.1](#) of [\[RFC2544\]](#) unmodified.

### 7.2. Latency

Objective: To determine the latency. Typical latency is based on the definitions of latency from [\[RFC1242\]](#). However, this memo provides a new measurement procedure.

Procedure: Similar to [\[RFC2544\]](#), the throughput for DUT at each of the listed frame sizes SHOULD be determined. Send a stream of frames at a particular frame size through the DUT at the determined throughput rate to a specific destination. The stream SHOULD be at least 120 seconds in duration.

Identifying tags SHOULD be included in at least 500 frames after 60 seconds. For each tagged frame, the time at which the frame was fully transmitted (timestamp A) and the time at which the frame was received (timestamp B) MUST be recorded. The latency is timestamp B minus timestamp A as per the relevant definition from [RFC 1242](#), namely, latency as defined for store and forward devices or latency as defined for bit forwarding devices.

We recommend encoding the identifying tag in the payload of the frame. To be more exact, the identifier SHOULD be inserted after the UDP header.

From the resulted (at least 500) latencies, two quantities SHOULD be calculated. One is the typical latency, which SHOULD be calculated with the following formula:

$$TL = \text{Median}(Li)$$

Where:

- o TL = the reported typical latency of the stream
- o Li = the latency for tagged frame i

The other measure is the worst-case latency, which SHOULD be calculated with the following formula:

$$WCL = L99.9\text{thPercentile}$$

Where:

- o WCL = the reported worst-case latency
- o L99.9thPercentile = the 99.9th percentile of the stream-measured latencies

The test MUST be repeated at least 20 times with the reported value being the median of the recorded values for TL and WCL.

Reporting Format: The report MUST state which definition of latency (from [RFC 1242](#)) was used for this test. The summarized latency results SHOULD be reported in the format of a table with a row for each of the tested frame sizes. There SHOULD be columns for the frame size, the rate at which the latency test was run for that frame size, the media types tested, and the resultant typical latency, and the worst-case latency values for each type of data stream tested. To account for the variation, the 1st and 99th percentiles of the 20

iterations MAY be reported in two separated columns. For a fine-grained analysis, the histogram (as exemplified in [Section 4.4 of \[RFC5481\]](#)) of one of the iterations MAY be displayed.

### 7.3. Packet Delay Variation

[RFC5481] presents two metrics: Packet Delay Variation (PDV) and Inter Packet Delay Variation (IPDV). Measuring PDV is RECOMMENDED; for a fine-grained analysis of delay variation, IPDV measurements MAY be performed.

#### 7.3.1. PDV

Objective: To determine the Packet Delay Variation as defined in [\[RFC5481\]](#).

Procedure: As described by [\[RFC2544\]](#), first determine the throughput for the DUT at each of the listed frame sizes. Send a stream of frames at a particular frame size through the DUT at the determined throughput rate to a specific destination. The stream SHOULD be at least 60 seconds in duration. Measure the one-way delay as described by [\[RFC3393\]](#) for all frames in the stream. Calculate the PDV of the stream using the formula:

$$\text{PDV} = \text{D99.9thPercentile} - \text{Dmin}$$

Where:

- o D99.9thPercentile = the 99.9th percentile (as described in [\[RFC5481\]](#)) of the one-way delay for the stream
- o Dmin = the minimum one-way delay in the stream

As recommended in [\[RFC2544\]](#), the test MUST be repeated at least 20 times with the reported value being the median of the recorded values. Moreover, the 1st and 99th percentiles SHOULD be calculated to account for the variation of the dataset.

Reporting Format: The PDV results SHOULD be reported in a table with a row for each of the tested frame sizes and columns for the frame size and the applied frame rate for the tested media types. Two columns for the 1st and 99th percentile values MAY be displayed. Following the recommendations of [\[RFC5481\]](#), the RECOMMENDED units of measurement are milliseconds.

### 7.3.2. IPDV

Objective: To determine the Inter Packet Delay Variation as defined in [RFC5481].

Procedure: As described by [RFC2544], first determine the throughput for the DUT at each of the listed frame sizes. Send a stream of frames at a particular frame size through the DUT at the determined throughput rate to a specific destination. The stream SHOULD be at least 60 seconds in duration. Measure the one-way delay as described by [RFC3393] for all frames in the stream. Calculate the IPDV for each of the frames using the formula:

$$\text{IPDV}(i) = D(i) - D(i-1)$$

Where:

- o  $D(i)$  = the one-way delay of the  $i$ -th frame in the stream
- o  $D(i-1)$  = the one-way delay of  $(i-1)$ th frame in the stream

Given the nature of IPDV, reporting a single number might lead to over-summarization. In this context, the report for each measurement SHOULD include three values: Dmin, Dmed, and Dmax.

Where:

- o Dmin = the minimum IPDV in the stream
- o Dmed = the median IPDV of the stream
- o Dmax = the maximum IPDV in the stream

The test MUST be repeated at least 20 times. To summarize the 20 repetitions, for each of the three (Dmin, Dmed, and Dmax), the median value SHOULD be reported.

Reporting format: The median for the three proposed values SHOULD be reported. The IPDV results SHOULD be reported in a table with a row for each of the tested frame sizes. The columns SHOULD include the frame size and associated frame rate for the tested media types and sub-columns for the three proposed reported values. Following the recommendations of [RFC5481], the RECOMMENDED units of measurement are milliseconds.

#### 7.4. Frame Loss Rate

Use [Section 26.3 of \[RFC2544\]](#) unmodified.

#### 7.5. Back-to-Back Frames

Use [Section 26.4 of \[RFC2544\]](#) unmodified.

#### 7.6. System Recovery

Use [Section 26.5 of \[RFC2544\]](#) unmodified.

#### 7.7. Reset

Use [Section 4 of \[RFC6201\]](#) unmodified.

### 8. Additional Benchmarking Tests for Stateful IPv6 Transition Technologies

This section describes additional tests dedicated to stateful IPv6 transition technologies. For the tests described in this section, the DUT devices SHOULD follow the test setup and test parameters recommendations presented in [Sections 5.2 and 5.3 of \[RFC3511\]](#).

The following additional tests SHOULD be performed.

#### 8.1. Concurrent TCP Connection Capacity

Use [Section 5.2 of \[RFC3511\]](#) unmodified.

#### 8.2. Maximum TCP Connection Establishment Rate

Use [Section 5.3 of \[RFC3511\]](#) unmodified.

### 9. DNS Resolution Performance

This section describes benchmarking tests dedicated to DNS64 (see [\[RFC6147\]](#)), used as DNS support for single-translation technologies such as NAT64.

### 9.1. Test and Traffic Setup

The test setup in Figure 3 follows the setup proposed for single-translation IPv6 transition technologies in Figure 1.

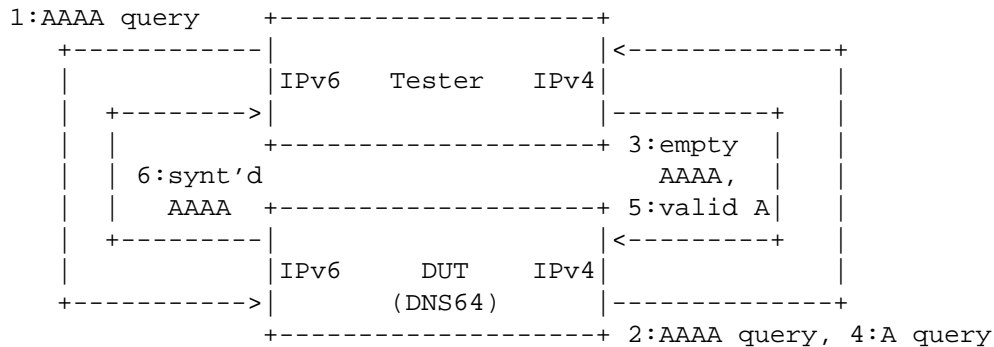


Figure 3: Test Setup 3 (DNS64)

The test traffic SHOULD be composed of the following messages.

1. Query for the AAAA record of a domain name (from client to DNS64 server)
2. Query for the AAAA record of the same domain name (from DNS64 server to authoritative DNS server)
3. Empty AAAA record answer (from authoritative DNS server to DNS64 server)
4. Query for the A record of the same domain name (from DNS64 server to authoritative DNS server)
5. Valid A record answer (from authoritative DNS server to DNS64 server)
6. Synthesized AAAA record answer (from DNS64 server to client)

The Tester plays the role of DNS client as well as authoritative DNS server. It MAY be realized as a single physical device, or alternatively, two physical devices MAY be used.

Please note that:

- o If the DNS64 server implements caching and there is a cache hit, then step 1 is followed by step 6 (and steps 2 through 5 are omitted).



- o If the domain name has a AAAA record, then it is returned in step 3 by the authoritative DNS server, steps 4 and 5 are omitted, and the DNS64 server does not synthesize a AAAA record but returns the received AAAA record to the client.
- o As for the IP version used between the Tester and the DUT, IPv6 MUST be used between the client and the DNS64 server (as a DNS64 server provides service for an IPv6-only client), but either IPv4 or IPv6 MAY be used between the DNS64 server and the authoritative DNS server.

## 9.2. Benchmarking DNS Resolution Performance

Objective: To determine DNS64 performance by means of the maximum number of successfully processed DNS requests per second.

Procedure: Send a specific number of DNS queries at a specific rate to the DUT, and then count the replies from the DUT that are received in time (within a predefined timeout period from the sending time of the corresponding query, having the default value 1 second) and that are valid (contain a AAAA record). If the count of sent queries is equal to the count of received replies, the rate of the queries is raised, and the test is rerun. If fewer replies are received than queries were sent, the rate of the queries is reduced, and the test is rerun. The duration of each trial SHOULD be at least 60 seconds. This will reduce the potential gain of a DNS64 server, which is able to exhibit higher performance by storing the requests and thus also utilizing the timeout time for answering them. For the same reason, no higher timeout time than 1 second SHOULD be used. For further considerations, see [Lencsel].

The maximum number of processed DNS queries per second is the fastest rate at which the count of DNS replies sent by the DUT is equal to the number of DNS queries sent to it by the test equipment.

The test SHOULD be repeated at least 20 times, and the median and 1st/99th percentiles of the number of processed DNS queries per second SHOULD be calculated.

Details and parameters:

### 1. Caching

First, all the DNS queries MUST contain different domain names (or domain names MUST NOT be repeated before the cache of the DUT is exhausted). Then, new tests MAY be executed when domain names are 20%, 40%, 60%, 80%, and 100% cached. Ensuring that a record

is cached requires repeating a domain name both "late enough" after the first query to be already resolved and be present in the cache and "early enough" to be still present in the cache.

## 2. Existence of a AAAA record

First, all the DNS queries MUST contain domain names that do not have a AAAA record and have exactly one A record. Then, new tests MAY be executed when 20%, 40%, 60%, 80%, and 100% of domain names have a AAAA record.

Please note that the two conditions above are orthogonal; thus, all their combinations are possible and MAY be tested. The testing with 0% cached domain names and with 0% existing AAAA records is REQUIRED, and the other combinations are OPTIONAL. (When all the domain names are cached, then the results do not depend on what percentage of the domain names have AAAA records; thus, these combinations are not worth testing one by one.)

Reporting format: The primary result of the DNS64 test is the median of the number of processed DNS queries per second measured with the above mentioned "0% + 0% combination". The median SHOULD be complemented with the 1st and 99th percentiles to show the stability of the result. If optional tests are done, the median and the 1st and 99th percentiles MAY be presented in a two-dimensional table where the dimensions are the proportion of the repeated domain names and the proportion of the DNS names having AAAA records. The two table headings SHOULD contain these percentage values. Alternatively, the results MAY be presented as a corresponding two-dimensional graph. In this case, the graph SHOULD show the median values with the percentiles as error bars. From both the table and the graph, one-dimensional excerpts MAY be made at any given fixed-percentage value of the other dimension. In this case, the fixed value MUST be given together with a one-dimensional table or graph.

### 9.2.1. Requirements for the Tester

Before a Tester can be used for testing a DUT at rate  $r$  queries per second with  $t$  seconds timeout, it MUST perform a self-test in order to exclude the possibility that the poor performance of the Tester itself influences the results. To perform a self-test, the Tester is looped back (leaving out DUT), and its authoritative DNS server subsystem is configured to be able to answer all the AAAA record queries. To pass the self-test, the Tester SHOULD be able to answer AAAA record queries at rate of  $2 \cdot (r + \delta)$  within a  $0.25 \cdot t$  timeout, where the value of  $\delta$  is at least 0.1.

Explanation: When performing DNS64 testing, each AAAA record query may result in at most two queries sent by the DUT: the first for a AAAA record and the second for an A record (they are both sent when there is no cache hit and also no AAAA record exists). The parameters above guarantee that the authoritative DNS server subsystem of the DUT is able to answer the queries at the required frequency using up not more than half of the timeout time.

Note: A sample open-source test program, `dns64perf++`, is available from [Dns64perf] and is documented in [Lencse2]. It implements only the client part of the Tester and should be used together with an authoritative DNS server implementation, e.g., BIND, NSD, or YADIFA. Its experimental extension for testing caching is available from [Lencse3] and is documented in [Lencse4].

## 10. Overload Scalability

Scalability has been often discussed; however, in the context of network devices, a formal definition or a measurement method has not yet been proposed. In this context, we can define overload scalability as the ability of each transition technology to accommodate network growth. Poor scalability usually leads to poor performance. Considering this, overload scalability can be measured by quantifying the network performance degradation associated with an increased number of network flows.

The following subsections describe how the test setups can be modified to create network growth and how the associated performance degradation can be quantified.

### 10.1. Test Setup

The test setups defined in Section 4 have to be modified to create network growth.

#### 10.1.1. Single-Translation Transition Technologies

In the case of single-translation transition technologies, the network growth can be generated by increasing the number of network flows (NFs) generated by the Tester machine (see Figure 4).

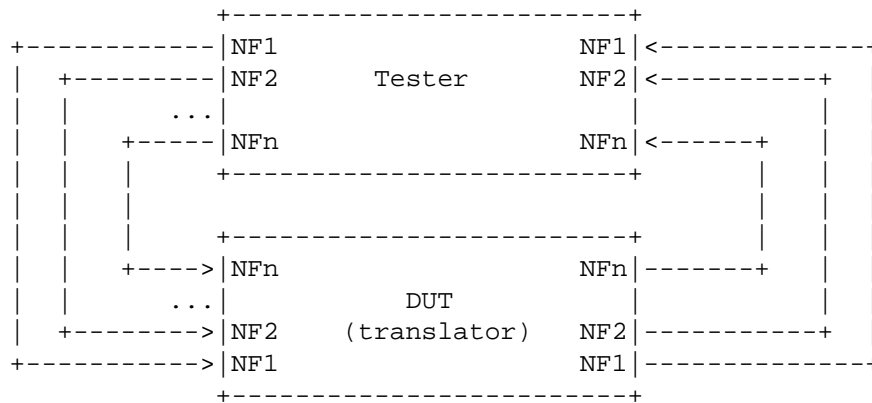


Figure 4: Test Setup 4 (Single DUT with Increased Network Flows)

#### 10.1.2. Encapsulation and Double-Translation Transition Technologies

Similarly, for the encapsulation and double-translation transition technologies, a multi-flow setup is recommended. Considering a multipoint-to-point scenario, for most transition technologies, one of the edge nodes is designed to support more than one connecting device. Hence, the recommended test setup is an n:1 design, where n is the number of client DUTs connected to the same server DUT (see Figure 5).

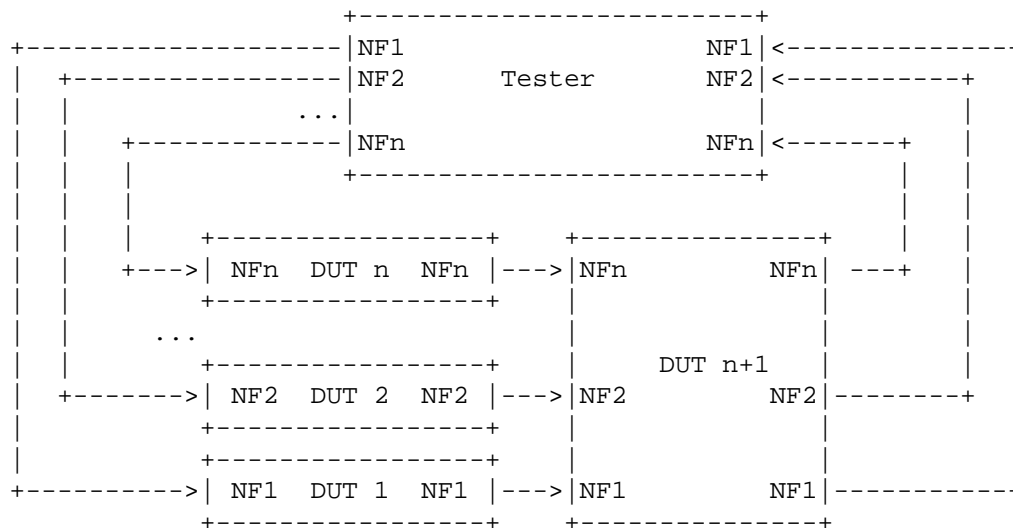


Figure 5: Test Setup 5 (DUAL DUT with Increased Network Flows)

This test setup can help to quantify the scalability of the server device. However, for testing the overload scalability of the client DUTs, additional recommendations are needed.

For encapsulation transition technologies, an m:n setup can be created, where m is the number of flows applied to the same client device and n the number of client devices connected to the same server device.

For translation-based transition technologies, the client devices can be separately tested with n network flows using the test setup presented in Figure 4.

## 10.2. Benchmarking Performance Degradation

### 10.2.1. Network Performance Degradation with Simultaneous Load

Objective: To quantify the performance degradation introduced by n parallel and simultaneous network flows.

Procedure: First, the benchmarking tests presented in [Section 7](#) have to be performed for one network flow.

The same tests have to be repeated for n network flows, where the network flows are started simultaneously. The performance degradation of the X benchmarking dimension SHOULD be calculated as relative performance change between the 1-flow (single flow) results and the n-flow results, using the following formula:

$$X_{pd} = \frac{X_n - X_1}{X_1} * 100, \text{ where: } X_1 = \text{result for 1-flow} \\ X_n = \text{result for n-flows}$$

This formula SHOULD be applied only for "lower is better" benchmarks (e.g., latency). For "higher is better" benchmarks (e.g., throughput), the following formula is RECOMMENDED:

$$X_{pd} = \frac{X_1 - X_n}{X_1} * 100, \text{ where: } X_1 = \text{result for 1-flow} \\ X_n = \text{result for n-flows}$$

As a guideline for the maximum number of flows n, the value can be deduced by measuring the Concurrent TCP Connection Capacity as described by [\[RFC3511\]](#), following the test setups specified by [Section 4](#).

Reporting Format: The performance degradation SHOULD be expressed as a percentage. The number of tested parallel flows  $n$  MUST be clearly specified. For each of the performed benchmarking tests, there SHOULD be a table containing a column for each frame size. The table SHOULD also state the applied frame rate. In the case of benchmarks for which more than one value is reported (e.g., IPDV, discussed in [Section 7.3.2](#)), a column for each of the values SHOULD be included.

#### 10.2.2. Network Performance Degradation with Incremental Load

Objective: To quantify the performance degradation introduced by  $n$  parallel and incrementally started network flows.

Procedure: First, the benchmarking tests presented in [Section 7](#) have to be performed for one network flow.

The same tests have to be repeated for  $n$  network flows, where the network flows are started incrementally in succession, each after time  $t$ . In other words, if flow  $i$  is started at time  $x$ , flow  $i+1$  will be started at time  $x+t$ . Considering the time  $t$ , the time duration of each iteration must be extended with the time necessary to start all the flows, namely,  $(n-1)xt$ . The measurement for the first flow SHOULD be at least 60 seconds in duration.

The performance degradation of the  $x$  benchmarking dimension SHOULD be calculated as relative performance change between the 1-flow results and the  $n$ -flow results, using the formula presented in [Section 10.2.1](#). Intermediary degradation points for  $1/4*n$ ,  $1/2*n$ , and  $3/4*n$  MAY also be performed.

Reporting Format: The performance degradation SHOULD be expressed as a percentage. The number of tested parallel flows  $n$  MUST be clearly specified. For each of the performed benchmarking tests, there SHOULD be a table containing a column for each frame size. The table SHOULD also state the applied frame rate and time duration  $T$ , which is used as an incremental step between the network flows. The units of measurement for  $T$  SHOULD be seconds. A column for the intermediary degradation points MAY also be displayed. In the case of benchmarks for which more than one value is reported (e.g., IPDV, discussed in [Section 7.3.2](#)), a column for each of the values SHOULD be included.

## 11. NAT44 and NAT66

Although these technologies are not the primary scope of this document, the benchmarking methodology associated with single-translation technologies as defined in [Section 4.1](#) can be employed to

benchmark implementations that use NAT44 (as defined by [RFC2663] with the behavior described by [RFC7857]) and implementations that use NAT66 (as defined by [RFC6296]).

## 12. Summarizing Function and Variation

To ensure the stability of the benchmarking scores obtained using the tests presented in Sections 7 through 9, multiple test iterations are RECOMMENDED. Using a summarizing function (or measure of central tendency) can be a simple and effective way to compare the results obtained across different iterations. However, over-summarization is an unwanted effect of reporting a single number.

Measuring the variation (dispersion index) can be used to counter the over-summarization effect. Empirical data obtained following the proposed methodology can also offer insights on which summarizing function would fit better.

To that end, data presented in [ietf95pres] indicate the median as a suitable summarizing function and the 1st and 99th percentiles as variation measures for DNS Resolution Performance and PDV. The median and percentile calculation functions SHOULD follow the recommendations of Section 11.3 of [RFC2330].

For a fine-grained analysis of the frequency distribution of the data, histograms or cumulative distribution function plots can be employed.

## 13. Security Considerations

Benchmarking activities as described in this memo are limited to technology characterization using controlled stimuli in a laboratory environment, with dedicated address space and the constraints specified in the sections above.

The benchmarking network topology will be an independent test setup and MUST NOT be connected to devices that may forward the test traffic into a production network or misroute traffic to the test management network.

Further, benchmarking is performed on a "black-box" basis, relying solely on measurements observable external to the DUT or System Under Test (SUT). Special capabilities SHOULD NOT exist in the DUT/SUT specifically for benchmarking purposes. Any implications for network security arising from the DUT/SUT SHOULD be identical in the lab and in production networks.

## 14. IANA Considerations

The IANA has allocated the prefix 2001:2::/48 [RFC5180] for IPv6 benchmarking. For IPv4 benchmarking, the 198.18.0.0/15 prefix was reserved, as described in [RFC6890]. The two ranges are sufficient for benchmarking IPv6 transition technologies. Thus, no action is requested.

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## Appendix A. Theoretical Maximum Frame Rates

This appendix describes the recommended calculation formulas for the theoretical maximum frame rates to be employed over Ethernet as example media. The formula takes into account the frame size overhead created by the encapsulation or translation process. For example, the 6in4 encapsulation described in [RFC4213] adds 20 bytes of overhead to each frame.

Considering X to be the frame size and O to be the frame size overhead created by the encapsulation or translation process, the maximum theoretical frame rate for Ethernet can be calculated using the following formula:

$$\frac{\text{Line Rate (bps)}}{(8 \text{ bits/byte}) * (X+O+20) \text{ bytes/frame}}$$

The calculation is based on the formula recommended by [RFC5180] in Appendix A.1. As an example, the frame rate recommended for testing a 6in4 implementation over 10 Mb/s Ethernet with 64 bytes frames is:

$$\frac{10,000,000 \text{ (bps)}}{(8 \text{ bits/byte}) * (64+20+20) \text{ bytes/frame}} = 12,019 \text{ fps}$$

The complete list of recommended frame rates for 6in4 encapsulation can be found in the following table:

Frame size (bytes)	10 Mb/s (fps)	100 Mb/s (fps)	1000 Mb/s (fps)	10000 Mb/s (fps)
64	12,019	120,192	1,201,923	12,019,231
128	7,440	74,405	744,048	7,440,476
256	4,223	42,230	422,297	4,222,973
512	2,264	22,645	226,449	2,264,493
678	1,740	17,409	174,094	1,740,947
1024	1,175	11,748	117,481	1,174,812
1280	947	9,470	94,697	946,970
1518	802	8,023	80,231	802,311
1522	800	8,003	80,026	800,256
2048	599	5,987	59,866	598,659
4096	302	3,022	30,222	302,224
8192	152	1,518	15,185	151,846
9216	135	1,350	13,505	135,048

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