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March 2005

Obsoletes: 2452, 2012 Category: Standards Track

Management Information Base for the Transmission Control Protocol (TCP)

#### Status of This Memo

This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

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#### Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in the Internet community. In particular, it describes managed objects used for implementations of the Transmission Control Protocol (TCP) in an IP version independent manner. This memo obsoletes RFCs 2452 and 2012.

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## 1. The Internet-Standard Management Framework

For a detailed overview of the documents that describe the current Internet-Standard Management Framework, please refer to section 7 of RFC 3410 [RFC3410].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. MIB objects are generally accessed through the Simple Network Management Protocol (SNMP). Objects in the MIB are defined using the mechanisms defined in the Structure of Management Information (SMI). This memo specifies a MIB module that is compliant to the SMIv2, which is described in STD 58, RFC 2578 [RFC2578], STD 58, RFC 2579 [RFC2579] and STD 58, RFC 2580 [RFC2580].

#### 2. Overview

The current TCP-MIB defined in this memo consists of two tables and a group of scalars:

- The tcp group of scalars includes two sets of objects:
  - o Parameters of a TCP protocol engine. These include parameters such as the retransmission algorithm in use (e.g., vanj [VANJ]) and the retransmission timeout values.
  - o Statistics of a TCP protocol engine. These include counters for the number of active/passive opens, input/output segments, and errors. Discontinuities in the stats are identified identified via the sysUpTime object, defined in [RFC3418].
- The tcpConnectionTable provides access to status information for all TCP connections handled by a TCP protocol engine. In addition, the table reports identification of the operating system level processes that handle the TCP connections.
- The tcpListenerTable provides access to information about all TCP listening endpoints known by a TCP protocol engine. And as with the connection table, the tcpListenerTable also reports the identification of the operating system level processes that handle this listening TCP endpoint.

# 2.1. Relationship to Other MIBs

This section discusses the relationship of this TCP-MIB module to other MIB modules.

## 2.1.1. Relationship to RFC1213-MIB

TCP related MIB objects were originally defined as part of the RFC1213-MIB defined in RFC 1213 [RFC1213]. The TCP related objects of the RFC1213-MIB were later copied into a separate MIB module and published in RFC 2012 [RFC2012] in SMIv2 format.

The previous versions of the TCP-MIB both defined the tcpConnTable, which has been deprecated basically for two reasons:

(1) The tcpConnTable only supports IPv4.

The current approach in the IETF is to write IP version neutral MIBs, based on the InetAddressType and InetAddress constructs defined in [RFC4001], rather than to have different definitions for various version of IP. This reduces the amount of overhead when new objects are introduced, as there is only one place to add them. Hence, the approach taken in [RFC2452], of having separate tables, is not continued.

(2) The tcpConnTable mixes listening endpoints with connections.

It turns out that connections tend to have a different behaviour and management access pattern than listening endpoints. Therefore, splitting the original tcpConnTable into two tables allows for the addition of specific status and statistics objects for listening endpoints and connections.

## 2.1.2. Relationship to IPV6-TCP-MIB

The IPV6-TCP-MIB defined in RFC 2452 has been moved to Historic status because the approach of having separate IP version specific tables is not followed anymore. Implementation of RFC 2452 is no longer suggested.

## 2.1.3. Relationship to HOST-RESOURCES-MIB and SYSAPPL-MIB

The tcpConnectionTable and the tcpListenerTable report the identification of the operating system level process that handles a connection or a listening endpoint. The value is reported as an Unsigned32, which is expected to be the same as the hrSWRunIndex of the HOST-RESOURCES-MIB [RFC2790] (if the value is smaller than 2147483647) or the sysApplElmtRunIndex of the SYSAPPL-MIB [RFC2287]. This allows management applications to identify the TCP connections that belong to an operating system level process, which has proven to be valuable in operational environments.

# 3. Definitions TCP-MIB DEFINITIONS ::= BEGIN **IMPORTS** MODULE-IDENTITY, OBJECT-TYPE, Integer32, Unsigned32, Gauge 32, Counter 32, Counter 64, IpAddress, mib-2 FROM SNMPv2-SMI MODULE-COMPLIANCE, OBJECT-GROUP FROM SNMPv2-CONF InetAddress, InetAddressType, InetPortNumber FROM INET-ADDRESS-MIB; tcpMIB MODULE-IDENTITY LAST-UPDATED "200502180000Z" -- 18 February 2005 ORGANIZATION "IETF IPv6 MIB Revision Team http://www.ietf.org/html.charters/ipv6-charter.html" CONTACT-INFO "Rajiv Raghunarayan (editor) Cisco Systems Inc. 170 West Tasman Drive San Jose, CA 95134 Phone: +1 408 853 9612 Email: <raraghun@cisco.com> Send comments to <ipv6@ietf.org>" DESCRIPTION "The MIB module for managing TCP implementations. Copyright (C) The Internet Society (2005). This version of this MIB module is a part of RFC 4022; see the RFC itself for full legal notices." REVISION "200502180000Z" -- 18 February 2005 DESCRIPTION "IP version neutral revision, published as RFC 4022." "9411010000Z" REVISION DESCRIPTION "Initial SMIv2 version, published as RFC 2012." REVISION "9103310000Z" DESCRIPTION "The initial revision of this MIB module was part of MIB-II." $::= \{ mib-2 49 \}$

-- the TCP base variables group

```
OBJECT IDENTIFIER ::= { mib-2 6 }
tcp
-- Scalars
tcpRtoAlgorithm OBJECT-TYPE
   SYNTAX
                INTEGER {
                    other(1), -- none of the following
                    constant(2), -- a constant rto
                    rsre(3), -- MIL-STD-1778, Appendix B
vanj(4), -- Van Jacobson's algorithm
                    rfc2988(5) -- RFC 2988
                }
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
           "The algorithm used to determine the timeout value used for
            retransmitting unacknowledged octets."
    ::= { tcp 1 }
tcpRtoMin OBJECT-TYPE
             Integer32 (0..2147483647)
    SYNTAX
   UNITS
             "milliseconds"
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
           "The minimum value permitted by a TCP implementation for
            the retransmission timeout, measured in milliseconds.
            More refined semantics for objects of this type depend
            on the algorithm used to determine the retransmission
            timeout; in particular, the IETF standard algorithm
            rfc2988(5) provides a minimum value."
    ::= { tcp 2 }
tcpRtoMax OBJECT-TYPE
   SYNTAX Integer32 (0..2147483647)
   UNITS
              "milliseconds"
   MAX-ACCESS read-only
   STATUS
              current
   DESCRIPTION
           "The maximum value permitted by a TCP implementation for
            the retransmission timeout, measured in milliseconds.
            More refined semantics for objects of this type depend
            on the algorithm used to determine the retransmission
            timeout; in particular, the IETF standard algorithm
            rfc2988(5) provides an upper bound (as part of an
            adaptive backoff algorithm)."
    ::= { tcp 3 }
```

```
tcpMaxConn OBJECT-TYPE
    SYNTAX Integer32 (-1 | 0..2147483647)
   MAX-ACCESS read-only
   STATUS
           current
   DESCRIPTION
           "The limit on the total number of TCP connections the entity
           can support. In entities where the maximum number of
           connections is dynamic, this object should contain the
           value -1."
    ::= { tcp 4 }
tcpActiveOpens OBJECT-TYPE
   SYNTAX
           Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
          "The number of times that TCP connections have made a direct
           transition to the SYN-SENT state from the CLOSED state.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 5 }
tcpPassiveOpens OBJECT-TYPE
    SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS
           current
   DESCRIPTION
          "The number of times TCP connections have made a direct
           transition to the SYN-RCVD state from the LISTEN state.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 6 }
tcpAttemptFails OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS
           current
   DESCRIPTION
           "The number of times that TCP connections have made a direct
           transition to the CLOSED state from either the SYN-SENT
           state or the SYN-RCVD state, plus the number of times that
           TCP connections have made a direct transition to the
           LISTEN state from the SYN-RCVD state.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
```

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```
::= { tcp 7 }
tcpEstabResets OBJECT-TYPE
    SYNTAX
             Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
           "The number of times that TCP connections have made a direct
           transition to the CLOSED state from either the ESTABLISHED
           state or the CLOSE-WAIT state.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 8 }
tcpCurrEstab OBJECT-TYPE
    SYNTAX
            Gauge32
   MAX-ACCESS read-only
   STATUS
           current
   DESCRIPTION
           "The number of TCP connections for which the current state
           is either ESTABLISHED or CLOSE-WAIT."
    ::= { tcp 9 }
tcpInSegs OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS
           current
   DESCRIPTION
           "The total number of segments received, including those
           received in error. This count includes segments received
           on currently established connections.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 10 }
tcpOutSegs OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS
           current
   DESCRIPTION
           "The total number of segments sent, including those on
           current connections but excluding those containing only
           retransmitted octets.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
```

```
::= { tcp 11 }
tcpRetransSegs OBJECT-TYPE
   SYNTAX
            Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
           "The total number of segments retransmitted; that is, the
           number of TCP segments transmitted containing one or more
           previously transmitted octets.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 12 }
tcpInErrs OBJECT-TYPE
   SYNTAX
            Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
          "The total number of segments received in error (e.g., bad
           TCP checksums).
           Discontinuities in the value of this counter are
            indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 14 }
tcpOutRsts OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
           "The number of TCP segments sent containing the RST flag.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 15 }
-- { tcp 16 } was used to represent the ipv6TcpConnTable in RFC 2452,
-- which has since been obsoleted. It MUST not be used.
tcpHCInSeqs OBJECT-TYPE
   SYNTAX
           Counter64
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
          "The total number of segments received, including those
           received in error. This count includes segments received
```

```
on currently established connections. This object is
           the 64-bit equivalent of tcpInSegs.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 17 }
tcpHCOutSegs OBJECT-TYPE
   SYNTAX Counter64
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
           "The total number of segments sent, including those on
           current connections but excluding those containing only
           retransmitted octets. This object is the 64-bit
           equivalent of tcpOutSegs.
           Discontinuities in the value of this counter are
           indicated via discontinuities in the value of sysUpTime."
    ::= { tcp 18 }
-- The TCP Connection table
tcpConnectionTable OBJECT-TYPE
   SYNTAX SEQUENCE OF TcpConnectionEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
           "A table containing information about existing TCP
           connections. Note that unlike earlier TCP MIBs, there
           is a separate table for connections in the LISTEN state."
    ::= { tcp 19 }
tcpConnectionEntry OBJECT-TYPE
    SYNTAX TcpConnectionEntry
   MAX-ACCESS not-accessible
   STATUS
             current
   DESCRIPTION
           "A conceptual row of the tcpConnectionTable containing
           information about a particular current TCP connection.
           Each row of this table is transient in that it ceases to
           exist when (or soon after) the connection makes the
           transition to the CLOSED state."
    INDEX
           { tcpConnectionLocalAddressType,
             tcpConnectionLocalAddress,
             tcpConnectionLocalPort,
             tcpConnectionRemAddressType,
```

```
tcpConnectionRemAddress,
                tcpConnectionRemPort }
    ::= { tcpConnectionTable 1 }
TcpConnectionEntry ::= SEQUENCE {
         \verb|tcpConnectionLocalAddressType| InetAddressType|,
        tcpConnectionLocalAddress
tcpConnectionLocalPort
tcpConnectionRemAddressType
tcpConnectionRemAddress
tcpConnectionRemAddress
tcpConnectionRemPort
InetAddressType,
tcpConnectionRemPort
InetAddress,
InetAddress,
tcpConnectionRemPort
InetPortNumber,
         tcpConnectionState
                                            INTEGER,
         tcpConnectionProcess
                                            Unsigned32
    }
tcpConnectionLocalAddressType OBJECT-TYPE
    SYNTAX InetAddressType
    MAX-ACCESS not-accessible
    STATUS current
    DESCRIPTION
            "The address type of tcpConnectionLocalAddress."
    ::= { tcpConnectionEntry 1 }
tcpConnectionLocalAddress OBJECT-TYPE
    SYNTAX InetAddress
    MAX-ACCESS not-accessible
    STATUS current
    DESCRIPTION
            "The local IP address for this TCP connection. The type
             of this address is determined by the value of
             tcpConnectionLocalAddressType.
             As this object is used in the index for the
             tcpConnectionTable, implementors should be
             careful not to create entries that would result in OIDs
             with more than 128 subidentifiers; otherwise the information
             cannot be accessed by using SNMPv1, SNMPv2c, or SNMPv3."
    ::= { tcpConnectionEntry 2 }
tcpConnectionLocalPort OBJECT-TYPE
    SYNTAX InetPortNumber
    MAX-ACCESS not-accessible
    STATUS
             current
    DESCRIPTION
            "The local port number for this TCP connection."
    ::= { tcpConnectionEntry 3 }
tcpConnectionRemAddressType OBJECT-TYPE
```

```
SYNTAX InetAddressType
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
          "The address type of tcpConnectionRemAddress."
    ::= { tcpConnectionEntry 4 }
tcpConnectionRemAddress OBJECT-TYPE
   SYNTAX InetAddress
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
           "The remote IP address for this TCP connection. The type
           of this address is determined by the value of
           tcpConnectionRemAddressType.
           As this object is used in the index for the
           tcpConnectionTable, implementors should be
           careful not to create entries that would result in OIDs
           with more than 128 subidentifiers; otherwise the information
           cannot be accessed by using SNMPv1, SNMPv2c, or SNMPv3."
    ::= { tcpConnectionEntry 5 }
tcpConnectionRemPort OBJECT-TYPE
    SYNTAX InetPortNumber
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
          "The remote port number for this TCP connection."
    ::= { tcpConnectionEntry 6 }
tcpConnectionState OBJECT-TYPE
   SYNTAX INTEGER {
                   closed(1),
                   listen(2),
                   synSent(3),
                   synReceived(4),
                   established(5),
                   finWait1(6),
                   finWait2(7),
                   closeWait(8),
                   lastAck(9),
                   closing(10),
                   timeWait(11),
                   deleteTCB(12)
               }
   MAX-ACCESS read-write
   STATUS current
```

#### DESCRIPTION

"The state of this TCP connection.

The value listen(2) is included only for parallelism to the old tcpConnTable and should not be used. A connection in LISTEN state should be present in the tcpListenerTable.

The only value that may be set by a management station is deleteTCB(12). Accordingly, it is appropriate for an agent to return a 'badValue' response if a management station attempts to set this object to any other value.

If a management station sets this object to the value deleteTCB(12), then the TCB (as defined in [RFC793]) of the corresponding connection on the managed node is deleted, resulting in immediate termination of the connection.

As an implementation-specific option, a RST segment may be sent from the managed node to the other TCP endpoint (note, however, that RST segments are not sent reliably)."

::= { tcpConnectionEntry 7 }

( 1111 111 111 1 1 1 )

tcpConnectionProcess OBJECT-TYPE

SYNTAX Unsigned32 MAX-ACCESS read-only STATUS current

DESCRIPTION

"The system's process ID for the process associated with this connection, or zero if there is no such process. This value is expected to be the same as HOST-RESOURCES-MIB:: hrsWRunIndex or SYSAPPL-MIB::sysApplElmtRunIndex for some row in the appropriate tables."

::= { tcpConnectionEntry 8 }

-- The TCP Listener table

tcpListenerTable OBJECT-TYPE

SYNTAX SEQUENCE OF TcpListenerEntry MAX-ACCESS not-accessible

STATUS current

DESCRIPTION

"A table containing information about TCP listeners. A listening application can be represented in three possible ways:

1. An application that is willing to accept both IPv4 and IPv6 datagrams is represented by  $\,$ 

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```
a tcpListenerLocalAddressType of unknown (0) and a tcpListenerLocalAddress of ''h (a zero-length octet-string).
```

- 2. An application that is willing to accept only IPv4 or IPv6 datagrams is represented by a tcpListenerLocalAddressType of the appropriate address type and a tcpListenerLocalAddress of '0.0.0.0' or '::' respectively.
- 3. An application that is listening for data destined only to a specific IP address, but from any remote system, is represented by a tcpListenerLocalAddressType of an appropriate address type, with tcpListenerLocalAddress as the specific local address.

```
NOTE: The address type in this table represents the
           address type used for the communication, irrespective
           of the higher-layer abstraction. For example, an
           application using IPv6 'sockets' to communicate via
           IPv4 between :: fffff: 10.0.0.1 and :: fffff: 10.0.0.2 would
           use InetAddressType ipv4(1))."
    ::= { tcp 20 }
tcpListenerEntry OBJECT-TYPE
   SYNTAX TcpListenerEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
           "A conceptual row of the tcpListenerTable containing
           information about a particular TCP listener."
           { tcpListenerLocalAddressType,
    INDEX
              tcpListenerLocalAddress,
              tcpListenerLocalPort }
    ::= { tcpListenerTable 1 }
TcpListenerEntry ::= SEQUENCE {
       tcpListenerLocalAddressType
tcpListenerLocalAddress
InetAddress,
       tcpListenerLocalAddress
       tcpListenerLocalPort
                                        InetPortNumber,
       tcpListenerProcess
                                        Unsigned32
tcpListenerLocalAddressType OBJECT-TYPE
   SYNTAX InetAddressType
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
```

The value of this object can be represented in three possible ways, depending on the characteristics of the listening application:

- 1. For an application willing to accept both IPv4 and IPv6 datagrams, the value of this object must be ''h (a zero-length octet-string), with the value of the corresponding tcpListenerLocalAddressType object being unknown (0).
- 2. For an application willing to accept only IPv4 or IPv6 datagrams, the value of this object must be '0.0.0.0' or '::' respectively, with tcpListenerLocalAddressType representing the appropriate address type.
- 3. For an application which is listening for data destined only to a specific IP address, the value of this object is the specific local address, with tcpListenerLocalAddressType representing the appropriate address type.

```
tcpListenerProcess OBJECT-TYPE
    SYNTAX Unsigned32
   MAX-ACCESS read-only
    STATUS current
   DESCRIPTION
           "The system's process ID for the process associated with
            this listener, or zero if there is no such process. This
            value is expected to be the same as HOST-RESOURCES-MIB::
            hrSWRunIndex or SYSAPPL-MIB::sysApplElmtRunIndex for some
            row in the appropriate tables."
    ::= { tcpListenerEntry 4 }
-- The deprecated TCP Connection table
tcpConnTable OBJECT-TYPE
    SYNTAX SEQUENCE OF TcpConnEntry
   MAX-ACCESS not-accessible
    STATUS deprecated
    DESCRIPTION
           "A table containing information about existing IPv4-specific
            TCP connections or listeners. This table has been
            deprecated in favor of the version neutral
            tcpConnectionTable."
    ::= { tcp 13 }
tcpConnEntry OBJECT-TYPE
    SYNTAX TcpConnEntry
   MAX-ACCESS not-accessible
    STATUS deprecated
   DESCRIPTION
           "A conceptual row of the tcpConnTable containing information
            about a particular current IPv4 TCP connection. Each row
            of this table is transient in that it ceases to exist when
            (or soon after) the connection makes the transition to the
            CLOSED state."
    INDEX { tcpConnLocalAddress,
              tcpConnLocalPort,
              tcpConnRemAddress,
              tcpConnRemPort }
    ::= { tcpConnTable 1 }
TcpConnEntry ::= SEQUENCE {
        tcpConnState
                             INTEGER,
        tcpConnLocalAddress IpAddress,
        tcpConnLocalPort Integer32,
tcpConnRemAddress IpAddress,
tcpConnRemPort Integer32
```

```
}
tcpConnState OBJECT-TYPE
    SYNTAX
              INTEGER {
                   closed(1),
                   listen(2),
                   synSent(3),
                   synReceived(4),
                   established(5),
                   finWait1(6),
                   finWait2(7),
                   closeWait(8),
                   lastAck(9),
                   closing(10),
                   timeWait(11),
                   deleteTCB(12)
   MAX-ACCESS read-write
           deprecated
   STATUS
   DESCRIPTION
           "The state of this TCP connection.
           The only value that may be set by a management station is
           deleteTCB(12). Accordingly, it is appropriate for an agent
            to return a 'badValue' response if a management station
           attempts to set this object to any other value.
           If a management station sets this object to the value
           deleteTCB(12), then the TCB (as defined in [RFC793]) of
           the corresponding connection on the managed node is
           deleted, resulting in immediate termination of the
           connection.
           As an implementation-specific option, a RST segment may be
           sent from the managed node to the other TCP endpoint (note,
           however, that RST segments are not sent reliably)."
    ::= { tcpConnEntry 1 }
tcpConnLocalAddress OBJECT-TYPE
    SYNTAX IpAddress
   MAX-ACCESS read-only
   STATUS deprecated
   DESCRIPTION
           "The local IP address for this TCP connection. In the case
           of a connection in the listen state willing to
           accept connections for any IP interface associated with the
           node, the value 0.0.0.0 is used."
    ::= { tcpConnEntry 2 }
```

```
tcpConnLocalPort OBJECT-TYPE
   SYNTAX Integer32 (0..65535)
   MAX-ACCESS read-only
   STATUS deprecated
   DESCRIPTION
          "The local port number for this TCP connection."
    ::= { tcpConnEntry 3 }
tcpConnRemAddress OBJECT-TYPE
   SYNTAX IpAddress
   MAX-ACCESS read-only
   STATUS deprecated
   DESCRIPTION
          "The remote IP address for this TCP connection."
    ::= { tcpConnEntry 4 }
tcpConnRemPort OBJECT-TYPE
   SYNTAX Integer32 (0..65535)
   MAX-ACCESS read-only
   STATUS deprecated
   DESCRIPTION
           "The remote port number for this TCP connection."
    ::= { tcpConnEntry 5 }
-- conformance information
tcpMIBConformance OBJECT IDENTIFIER ::= { tcpMIB 2 }
tcpMIBCompliances OBJECT IDENTIFIER ::= { tcpMIBConformance 1 }
tcpMIBGroups OBJECT IDENTIFIER ::= { tcpMIBConformance 2 }
-- compliance statements
tcpMIBCompliance2 MODULE-COMPLIANCE
   STATUS current
   DESCRIPTION
           "The compliance statement for systems that implement TCP.
            A number of INDEX objects cannot be
            represented in the form of OBJECT clauses in SMIv2 but
            have the following compliance requirements,
            expressed in OBJECT clause form in this description
            clause:
            -- OBJECT tcpConnectionLocalAddressType
-- SYNTAX InetAddressType { ipv4(1), ipv6(2) }
            -- DESCRIPTION
                  This MIB requires support for only global IPv4
```

```
and IPv6 address types.
           ___
           -- OBJECT
                         tcpConnectionRemAddressType
           -- SYNTAX
                         InetAddressType { ipv4(1), ipv6(2) }
            -- DESCRIPTION
                 This MIB requires support for only global IPv4
                  and IPv6 address types.
            -- OBJECT
                        tcpListenerLocalAddressType
                         InetAddressType { unknown(0), ipv4(1),
            -- SYNTAX
           ___
                                            ipv6(2) }
            -- DESCRIPTION
                 This MIB requires support for only global IPv4
                 and IPv6 address types. The type unknown also
                 needs to be supported to identify a special
                  case in the listener table: a listen using
                 both IPv4 and IPv6 addresses on the device.
   MODULE -- this module
       MANDATORY-GROUPS { tcpBaseGroup, tcpConnectionGroup,
                          tcpListenerGroup }
       GROUP
                   tcpHCGroup
       DESCRIPTION
           "This group is mandatory for systems that are capable
           of receiving or transmitting more than 1 million TCP
           segments per second. 1 million segments per second will
           cause a Counter32 to wrap in just over an hour."
       OBJECT
                  tcpConnectionState
       SYNTAX
                   INTEGER { closed(1), listen(2), synSent(3),
                             synReceived(4), established(5),
                             finWait1(6), finWait2(7), closeWait(8),
                             lastAck(9), closing(10), timeWait(11) }
       MIN-ACCESS read-only
       DESCRIPTION
          "Write access is not required, nor is support for the value
           deleteTCB (12)."
    ::= { tcpMIBCompliances 2 }
tcpMIBCompliance MODULE-COMPLIANCE
   STATUS deprecated
   DESCRIPTION
           "The compliance statement for IPv4-only systems that
           implement TCP. In order to be IP version independent, this
           compliance statement is deprecated in favor of
           tcpMIBCompliance2. However, agents are still encouraged
           to implement these objects in order to interoperate with
           the deployed base of managers."
```

```
MODULE -- this module
       MANDATORY-GROUPS { tcpGroup }
       OBJECT
                  tcpConnState
       MIN-ACCESS read-only
       DESCRIPTION
           "Write access is not required."
    ::= { tcpMIBCompliances 1 }
-- units of conformance
tcpGroup OBJECT-GROUP
    OBJECTS
             { tcpRtoAlgorithm, tcpRtoMin, tcpRtoMax,
                tcpMaxConn, tcpActiveOpens,
                tcpPassiveOpens, tcpAttemptFails,
                tcpEstabResets, tcpCurrEstab, tcpInSeqs,
                tcpOutSegs, tcpRetransSegs, tcpConnState,
                tcpConnLocalAddress, tcpConnLocalPort,
                tcpConnRemAddress, tcpConnRemPort,
                tcpInErrs, tcpOutRsts }
    STATUS
               deprecated
   DESCRIPTION
           "The tcp group of objects providing for management of TCP
            entities."
    ::= { tcpMIBGroups 1 }
tcpBaseGroup OBJECT-GROUP
   OBJECTS
            { tcpRtoAlgorithm, tcpRtoMin, tcpRtoMax,
                tcpMaxConn, tcpActiveOpens,
                tcpPassiveOpens, tcpAttemptFails,
                tcpEstabResets, tcpCurrEstab, tcpInSegs,
                tcpOutSegs, tcpRetransSegs,
                tcpInErrs, tcpOutRsts }
    STATUS
               current
   DESCRIPTION
          "The group of counters common to TCP entities."
    ::= { tcpMIBGroups 2 }
tcpConnectionGroup OBJECT-GROUP
    OBJECTS { tcpConnectionState, tcpConnectionProcess }
   STATUS
              current
   DESCRIPTION
           "The group provides general information about TCP
            connections."
    ::= { tcpMIBGroups 3 }
tcpListenerGroup OBJECT-GROUP
   OBJECTS { tcpListenerProcess }
```

```
STATUS current

DESCRIPTION

"This group has objects providing general information about

TCP listeners."

::= { tcpMIBGroups 4 }

tcpHCGroup OBJECT-GROUP

OBJECTS { tcpHCInSegs, tcpHCOutSegs }

STATUS current

DESCRIPTION

"The group of objects providing for counters of high speed

TCP implementations."

::= { tcpMIBGroups 5 }
```

END

## 4. Acknowledgements

This document contains a modified subset of RFC 1213 and updates RFC 2012 and RFC 2452. Acknowledgements are therefore due to the authors and editors of these documents for their excellent work. Several useful comments regarding usability and design were also received from Kristine Adamson. The authors would like to thank all these people for their contribution to this effort.

#### 5. References

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## 6. Security Considerations

There are a number of management objects defined in this MIB module with a MAX-ACCESS clause of read-write. Such objects may be considered sensitive or vulnerable in some network environments. The support for SET operations in a non-secure environment without proper protection can have a negative effect on network operations. These are the tables and objects and their sensitivity/vulnerability:

o The tcpConnectionState and tcpConnState objects have a MAX-ACCESS clause of read-write, which allows termination of an arbitrary connection. Unauthorized access could cause a denial of service.

Some of the readable objects in this MIB module (i.e., objects with a MAX-ACCESS other than not-accessible) may be considered sensitive or vulnerable in some network environments. It is thus important to

control even GET and/or NOTIFY access to these objects and possibly to even encrypt the values of these objects when sending them over the network via SNMP. These are the tables and objects and their sensitivity/vulnerability:

- o The tcpConnectionTable and the tcpConnTable contain objects providing information about the active connections on the device, the status of these connections, and the associated processes. This information may be used by an attacker to launch attacks against known/unknown weakness in certain protocols/applications. In addition, access to the connection table could also have privacy implications, as it provides detailed information on active connections.
- o The tcpListenerTable and the tcpConnTable contain objects providing information about listeners on an entity. For example, the tcpListenerLocalPort and tcpConnLocalPort objects can be used to identify what ports are open on the machine and what attacks are likely to succeed, without the attacker having to run a port scanner.

SNMP versions prior to SNMPv3 did not include adequate security. Even if the network itself is secure (for example by using IPSec), even then, there is no control as to who on the secure network is allowed to access and GET/SET (read/change/create/delete) the objects in this MIB module.

It is RECOMMENDED that implementers consider the security features as provided by the SNMPv3 framework (see [RFC3410], section 8), including full support for the SNMPv3 cryptographic mechanisms (for authentication and privacy).

Further, deployment of SNMP versions prior to SNMPv3 is NOT RECOMMENDED. Instead, it is RECOMMENDED to deploy SNMPv3 and to enable cryptographic security. It is then a customer/operator responsibility to ensure that the SNMP entity giving access to an instance of this MIB module is properly configured to give access to the objects only to those principals (users) that have legitimate rights to indeed GET or SET (change/create/delete) them.

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This document updates parts of the MIBs from several documents. RFC 2012 has been the base document for these updates, and RFC 2452 was the first document to define the managed objects for implementations of TCP over IPv6.

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#### Acknowledgement

Funding for the RFC Editor function is currently provided by the Internet Society.