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X.500 Directory Monitoring MIB

Status of this Memo

This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

Abstract

This document defines a portion of the Management Information Base (MIB). It defines the MIB for monitoring Directory System Agents (DSA), a component of the OSI Directory. This MIB will be used in conjunction with the APPLICATION-MIB for monitoring DSAs.

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1. The SNMPv2 Network Management Framework

The major components of the SNMPv2 Network Management framework are described in the documents listed below.

- o RFC 1442 [1] defines the Structure of Management Information (SMI), the mechanisms used for describing and naming objects for the purpose of management.
- o STD 17, RFC 1213 [2] defines MIB-II, the core set of managed objects (MO) for the Internet suite of protocols.

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- o RFC 1445 [3] defines the administrative and other architectural aspects of the management framework.
- o RFC 1448 [4] defines the protocol used for network access to managed objects.

The framework is adaptable/extensible by defining new MIBs to suit the requirements of specific applications/protocols/situations.

Managed objects are accessed via a virtual information store, the MIB. Objects in the MIB are defined using the subset of Abstract Syntax Notation One (ASN.1) defined in the SMI. In particular, each object type is named by an OBJECT IDENTIFIER, which is an administratively assigned name. The object type together with an object instance serves to uniquely identify a specific instantiation of the object. For human convenience, often a textual string, termed the descriptor, is used to refer to the object type.

2. MIB Model for DSA Management

A DSA-manager may wish to monitor several aspects of the operational DSA. He/she may want to know the process related aspects-the resource utilization of the operational DSA; the network service related aspects e.g., inbound-associations, outbound-associations, operational status, and finally the information specific to the DSA application - its operations and performance.

The MIB defined in this document covers the portion which is specific to the DSA-application. The network service related part of the MIB, and the host-resources related part of the MIB, as well other parts of interest to a Manager monitoring the DSA-application, are covered in separate documents [6] [7].

3. The DSA functions and operations

The Directory System Agent [DSA], a component of the OSI-Directory [5] [9], is an application process. It provides access to the Directory Information Base [DIB] to Directory User Agents [DUA] and/or other DSAs. Functionally , a User [DUA] and the Directory are bound together for a period of time at an access point to the Directory [DSA]. A DSA may use information stored in its local database or interact with (chain the request to) other DSAs to service requirements. Alternatively, a DSA may return a reference to another DSA.

The local database of a DSA consists of the part of the DIT that is mastered by the DSA, the part of the DIT for which it keeps slave copies and cached information that is gathered during the operation

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The specific operations carried out by the DSA are: Read, Compare, AddEntry, ModifyEntry, ModifyRDN, RemoveEntry, List, Search. There is also the special operation Abandon. In response to requests results and/or errors are returned by the DSA.

4. MIB design

The basic principle has been to keep the MIB as simple as possible. The Managed objects included in the MIB are divided into three tables - dsaOpsTable, dsaEntryTable and dsaIntTable.

- The dsaOpsTable provides summary statistics on the accesses, operations and errors.
- The dsaEntriesTable provides summary statistics on the entries held by the DSA and on cache performance.
- The dsaIntTable provides some useful information on the interaction of the monitored DSA with peer DSAs.

There are references to the Directory itself for static information pertaining to the DSA. These references are in the form of "Directory Distinguished Name" [8] of the corresponding object. It is intended that DSA management applications will use these references to obtain further related information on the objects of interest.

5. The Directory Monitoring MIB

```
DSA-MIB DEFINITIONS ::= BEGIN
        IMPORTS
          MODULE-IDENTITY, OBJECT-TYPE,
          NOTIFICATION-TYPE
                     FROM SNMPv2-SMI
          DisplayString,
                          TimeStamp,
          TEXTUAL-CONVENTION
                     FROM SNMPv2-TC
          mib-2
                     FROM RFC1213-MIB
          applIndex, DistinguishedName
                     FROM APPLICATION-MIB;
        dsaMIB MODULE-IDENTITY
           LAST-UPDATED "9311250000Z"
           ORGANIZATION "IETF Mail and Directory Management Working
                         Group"
```

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CONTACT-INFO

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        DESCRIPTION
           " The MIB module for monitoring Directory System Agents."
        ::= \{ mib-2 29 \}
       dsaOpsTable OBJECT-TYPE
           SYNTAX SEQUENCE OF DsaOpsEntry
           MAX-ACCESS not-accessible
           STATUS current
           DESCRIPTION
             " The table holding information related to the
               DSA operations."
           ::= {dsaMIB 1}
       dsaOpsEntry OBJECT-TYPE
           SYNTAX DsaOpsEntry
           MAX-ACCESS not-accessible
           STATUS current
           DESCRIPTION
             " Entry containing operations related statistics
               for a DSA."
           INDEX { applIndex }
           ::= {dsaOpsTable 1}
       DsaOpsEntry ::= SEQUENCE {
-- Bindings
           dsaAnonymousBinds
               Counter32,
           dsaUnauthBinds
               Counter32,
           dsaSimpleAuthBinds
               Counter32,
           dsaStrongAuthBinds
               Counter32,
           dsaBindSecurityErrors
               Counter32,
```

```
-- In-coming operations
           dsaInOps
               Counter32,
           dsaReadOps
               Counter32,
           dsaCompareOps
               Counter32,
           dsaAddEntryOps
               Counter32,
           dsaRemoveEntryOps
               Counter32,
           {\tt dsaModifyEntryOps}
               Counter32,
           dsaModifyRDNOps
               Counter32,
           dsaListOps
               Counter32,
           dsaSearchOps
               Counter32,
           dsaOneLevelSearchOps
               Counter32,
           dsaWholeTreeSearchOps
               Counter32,
-- Out going operations
           dsaReferrals
               Counter32,
           dsaChainings
               Counter32,
-- Errors
           dsaSecurityErrors
              Counter32,
           dsaErrors
               Counter32
       }
       dsaAnonymousBinds OBJECT-TYPE
           SYNTAX Counter32
           MAX-ACCESS read-only
           STATUS current
           DESCRIPTION
             " Number of anonymous binds to this DSA from DUAs
               since application start."
           ::= {dsaOpsEntry 1}
```

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```
dsaUnauthBinds OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " Number of un-authenticated binds to this
        DSA since application start."
    ::= {dsaOpsEntry 2}
dsaSimpleAuthBinds OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " Number of binds to this DSA that were authenticated
        using simple authentication procedures since
        application start."
   REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Section 8.1.2.1.1."
    ::= {dsaOpsEntry 3}
dsaStrongAuthBinds OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " Number of binds to this DSA that were authenticated
        using the strong authentication procedures since
        application start. This includes the binds that were
        authenticated using external authentication procedures."
   REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Sections 8.1.2.1.2 & 8.1.2.1.3."
    ::= {dsaOpsEntry 4}
dsaBindSecurityErrors OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " Number of bind operations that have been rejected
        by this DSA due to inappropriateAuthentication or
        invalidCredentials."
   REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Section 12.7.2"
```

```
::= {dsaOpsEntry 5}
dsaInOps OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of operations forwarded to this DSA
        from DUAs or other DSAs since application
        start up."
    ::= {dsaOpsEntry 6}
dsaReadOps OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of read operations serviced by
       this DSA since application startup."
    REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Section 9.1."
    ::= {dsaOpsEntry 7}
dsaCompareOps OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of compare operations serviced by
       this DSA since application startup."
    REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
        Section 9.2."
    ::= {dsaOpsEntry 8}
dsaAddEntryOps OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of addEntry operations serviced by
        this DSA since application startup."
    REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Section 11.1."
    ::= {dsaOpsEntry 9}
```

```
dsaRemoveEntryOps OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of removeEntry operations serviced by
        this DSA since application startup."
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
        Section 11.2."
    ::= {dsaOpsEntry 10}
dsaModifyEntryOps OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of modifyEntry operations serviced by
       this DSA since application startup."
    REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Section 11.3."
    ::= {dsaOpsEntry 11}
dsaModifyRDNOps OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of modifyRDN operations serviced by
       this DSA since application startup."
    REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
        Section 11.4."
    ::= {dsaOpsEntry 12}
dsaListOps OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of list operations serviced by
        this DSA since application startup."
    REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Section 10.1."
    ::= {dsaOpsEntry 13}
```

```
dsaSearchOps OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " Number of search operations- baseObjectSearches,
        oneLevelSearches and subTreeSearches, serviced
        by this DSA since application startup."
   REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
        Section 10.2."
    ::= {dsaOpsEntry 14}
dsaOneLevelSearchOps OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " Number of oneLevelSearch operations serviced
       by this DSA since application startup."
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
        Section 10.2.2.2."
    ::= {dsaOpsEntry 15}
dsaWholeTreeSearchOps OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " Number of wholeTreeSearch operations serviced
       by this DSA since application startup."
   REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Section 10.2.2.2."
    ::= {dsaOpsEntry 16}
dsaReferrals OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " Number of referrals returned by this DSA in response
       to requests for operations since application startup."
   REFERENCE
      " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
       Section 12.6."
    ::= {dsaOpsEntry 17}
```

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```
dsaChainings OBJECT-TYPE
          SYNTAX Counter32
          MAX-ACCESS read-only
          STATUS current
          DESCRIPTION
             " Number of operations forwarded by this DSA
               to other DSAs since application startup."
             " CCITT Blue Book Fascicle VIII.8 - Rec. X.518, 1988:
               Section 14."
           ::= {dsaOpsEntry 18}
      dsaSecurityErrors OBJECT-TYPE
          SYNTAX Counter32
          MAX-ACCESS read-only
          STATUS current
          DESCRIPTION
             " Number of operations forwarded to this DSA
              which did not meet the security requirements. "
          REFERENCE
             " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
              Section 12.7."
           ::= {dsaOpsEntry 19}
                        OBJECT-TYPE
      dsaErrors
          SYNTAX Counter32
          MAX-ACCESS read-only
          STATUS current
          DESCRIPTION
             " Number of operations that could not be serviced
              due to errors other than security errors, and
               referrals.
               A partially serviced operation will not be counted
               as an error.
              The errors include NameErrors, UpdateErrors, Attribute
               errors and ServiceErrors."
          REFERENCE
             " CCITT Blue Book Fascicle VIII.8 - Rec. X.511, 1988:
              Sections 12.4, 12.5, 12.8 & 12.9."
           ::= {dsaOpsEntry 20}
-- Entry statistics/Cache performance
      dsaEntriesTable OBJECT-TYPE
          SYNTAX SEQUENCE OF DsaEntriesEntry
          MAX-ACCESS not-accessible
          STATUS current
          DESCRIPTION
             " The table holding information related to the
```

```
entry statistics and cache performance of the DSAs."
    ::= {dsaMIB 2}
dsaEntriesEntry OBJECT-TYPE
    SYNTAX DsaEntriesEntry
    MAX-ACCESS not-accessible
    STATUS current
    DESCRIPTION
      " Entry containing statistics pertaining to entries
       held by a DSA."
    INDEX { applIndex }
    ::= {dsaEntriesTable 1}
DsaEntriesEntry ::= SEQUENCE {
    dsaMasterEntries
        Gauge32,
    dsaCopyEntries
        Gauge32,
    dsaCacheEntries
       Gauge32,
    dsaCacheHits
        Counter32,
    dsaSlaveHits
       Counter32
}
dsaMasterEntries OBJECT-TYPE
    SYNTAX Gauge32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of entries mastered in the DSA."
    ::= {dsaEntriesEntry 1}
dsaCopyEntries OBJECT-TYPE
    SYNTAX Gauge32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Number of entries for which systematic (slave)
        copies are maintained in the DSA."
    ::= {dsaEntriesEntry 2}
dsaCacheEntries OBJECT-TYPE
    SYNTAX Gauge32
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
```

```
" Number of entries cached (non-systematic copies) in
              the DSA. This will include the entries that are
               cached partially. The negative cache is not counted."
           ::= {dsaEntriesEntry 3}
       dsaCacheHits OBJECT-TYPE
           SYNTAX Counter32
           MAX-ACCESS read-only
           STATUS current
           DESCRIPTION
             " Number of operations that were serviced from
               the locally held cache since application
               startup."
           ::= {dsaEntriesEntry 4}
       dsaSlaveHits OBJECT-TYPE
           SYNTAX Counter32
           MAX-ACCESS read-only
           STATUS current
           DESCRIPTION
             " Number of operations that were serviced from
               the locally held object replications [ shadow
               entries] since application startup."
           ::= {dsaEntriesEntry 5}
-- The dsaIntTable contains statistical data on the peer DSAs
-- with which the monitored DSAs [attempt to] interact. This
-- table will provide a useful insight into the effect of
-- neighbours on the DSA performance.
-- The table keeps track of the last "N" DSAs with which the
-- monitored DSAs has interacted [attempted to interact],
-- where "N" is a locally-defined constant.
         dsaIntTable OBJECT-TYPE
                SYNTAX SEQUENCE OF DsaIntEntry
                MAX-ACCESS not-accessible
                STATUS current
                DESCRIPTION
                   " Each row of this table contains some details
                     related to the history of the interaction
                     of the monitored DSAs with their respective
                     peer DSAs."
                 ::= { dsaMIB 3 }
         dsaIntEntry OBJECT-TYPE
                SYNTAX DsaIntEntry
                MAX-ACCESS not-accessible
```

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```
STATUS current
          DESCRIPTION
            " Entry containing interaction details of a DSA
              with a peer DSA."
          INDEX { applIndex,dsaIntIndex }
          ::= { dsaIntTable 1 }
  DsaIntEntry ::= SEQUENCE {
      dsaIntIndex
          INTEGER,
      dsaName
         DistinguishedName,
      dsaTimeOfCreation
          TimeStamp,
      dsaTimeOfLastAttempt
          TimeStamp,
      dsaTimeOfLastSuccess
          TimeStamp,
      dsaFailuresSinceLastSuccess
         Counter32,
      dsaFailures
          Counter32,
      dsaSuccesses
         Counter32
  }
dsaIntIndex OBJECT-TYPE
    SYNTAX INTEGER (1..2147483647)
    MAX-ACCESS not-accessible
    STATUS current
    DESCRIPTION
      " Together with applIndex it forms the unique key to
        identify the conceptual row which contains useful info
        on the (attempted) interaction between the DSA (referred
       to by applIndex) and a peer DSA."
    ::= {dsaIntEntry 1}
dsaName OBJECT-TYPE
    SYNTAX DistinguishedName
    MAX-ACCESS read-only
    STATUS current
    DESCRIPTION
      " Distinguished Name of the peer DSA to which this
        entry pertains."
    ::= {dsaIntEntry 2}
dsaTimeOfCreation OBJECT-TYPE
    SYNTAX TimeStamp
```

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```
MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " The value of sysUpTime when this row was created.
        If the entry was created before the network management
        subsystem was initialized, this object will contain
        a value of zero."
    ::= {dsaIntEntry 3}
dsaTimeOfLastAttempt OBJECT-TYPE
   SYNTAX TimeStamp
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " The value of sysUpTime when the last attempt was made
        to contact this DSA. If the last attempt was made before
        the network management subsystem was initialized, this
        object will contain a value of zero."
    ::= {dsaIntEntry 4}
dsaTimeOfLastSuccess OBJECT-TYPE
   SYNTAX TimeStamp
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " The value of sysUpTime when the last attempt made to
        contact this DSA was successful. If there have
       been no successful attempts this entry will have a value
        of zero. If the last successful attempt was made before
        the network management subsystem was initialized, this
        object will contain a value of zero."
    ::= {dsaIntEntry 5}
dsaFailuresSinceLastSuccess OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
      " The number of failures since the last time an
       attempt to contact this DSA was successful. If
        there has been no successful attempts, this counter
        will contain the number of failures since this entry
        was created."
    ::= {dsaIntEntry 6}
```

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dsaFailures OBJECT-TYPE SYNTAX Counter32 MAX-ACCESS read-only

```
STATUS current
          DESCRIPTION
             " Cumulative failures since the creation of
               this entry."
           ::= {dsaIntEntry 7}
      dsaSuccesses OBJECT-TYPE
           SYNTAX Counter32
          MAX-ACCESS read-only
          STATUS current
          DESCRIPTION
             " Cumulative successes since the creation of
              this entry."
           ::= {dsaIntEntry 8}
-- Conformance information
      dsaConformance OBJECT IDENTIFIER ::= { dsaMIB 4 }
      dsaGroups OBJECT IDENTIFIER ::= { dsaConformance 1 }
      dsaCompliances OBJECT IDENTIFIER ::= { dsaConformance 2 }
-- Compliance statements
      dsaOpsCompliance MODULE-COMPLIANCE
          STATUS current
          DESCRIPTION
                   "The compliance statement for SNMPv2 entities
                  which implement the DSA-MIB for monitoring
                  DSA operations."
          MODULE -- this module
              MANDATORY-GROUPS { dsaOpsGroup }
           ::= { dsaCompliances 1 }
      dsaEntryCompliance MODULE-COMPLIANCE
          STATUS current
          DESCRIPTION
                   "The compliance statement for SNMPv2 entities
                  which implement the DSA-MIB for monitoring
                  DSA operations, entry statistics and cache
                  performance."
          MODULE -- this module
              MANDATORY-GROUPS { dsaOpsGroup,dsaEntryGroup }
```

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```
::= { dsaCompliances 2 }
      dsaIntCompliance MODULE-COMPLIANCE
          STATUS current
          DESCRIPTION
                  " The compliance statement for SNMPv2 entities
                    which implement the DSA-MIB for monitoring DSA
                    operations and the interaction of the DSA with
                    peer DSAs."
          MODULE -- this module
              MANDATORY-GROUPS { dsaOpsGroup, dsaIntGroup }
          ::= { dsaCompliances 3 }
-- Units of conformance
      dsa0psGroup
                   OBJECT-GROUP
          OBJECTS {
            dsaAnonymousBinds, dsaUnauthBinds, dsaSimpleAuthBinds,
            dsaStrongAuthBinds, dsaBindSecurityErrors,dsaInOps,
            dsaReadOps, dsaCompareOps, dsaAddEntryOps, dsaRemoveEntryOps, dsaModifyEntryOps, dsaModifyRDNOps,
            dsaSecurityErrors, dsaErrors}
          STATUS current
          DESCRIPTION
                  " A collection of objects for monitoring the DSA
                   operations."
          ::= { dsaGroups 1 }
      dsaEntryGroup
                      OBJECT-GROUP
          OBJECTS {dsaMasterEntries, dsaCopyEntries, dsaCacheEntries,
                  dsaCacheHits,
                                     dsaSlaveHits}
          STATUS current
          DESCRIPTION
                  " A collection of objects for monitoring the DSA
                   entry statistics and cache performance."
          ::= { dsaGroups 2 }
      dsaIntGroup
                   OBJECT-GROUP
          OBJECTS {
            dsaName,
                                dsaTimeOfCreation, dsaTimeOfLastAttempt,
            dsaTimeOfLastSuccess,dsaFailuresSinceLastSuccess,dsaFailures,
            dsaSuccesses}
          STATUS current
```

DESCRIPTION

END

6. Acknowledgements

This draft is the product of discussions and deliberations carried out in the following working groups:

```
ietf-madman-wg ietf-madman@innosoft.com
wide-isode-wg isode-wg@wide.ad.jp
wide-netman-wg netman-wg@wide.ad.jp
```

7. References

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- [2] McCloghrie, K., and M. Rose, Editors, "Management Information Base for Network Management of TCP/IP-based internets: MIB-II", STD 17, RFC 1213, Hughes LAN Systems, Performance Systems International, March 1991.
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Security Considerations

Security issues are not discussed in this memo.

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