

# Refresher: Context-Free Grammars

- Context-free grammar  $G = (N, T, P, S)$ , where
  - $N$  is a finite set of non-terminals, *with no useless non-terminals*;
  - $T$  is a finite set of terminals (aka tokens);
  - $P$  is a finite set of productions (rewrite rules) of the form  $A \rightarrow \alpha$ , where  $A \in N$ , and  $\alpha \in (N \cup T)^*$  is a **sentential form**;
  - $S \in N$  is the start symbol, *which does not appear of the RHS of any production*.

# Refresher: Context-Free Grammars

- Context-free grammar  $G = (N, T, P, S)$ , where
  - $N$  is a finite set of non-terminals, *with no useless non-terminals*;
  - $T$  is a finite set of terminals (aka tokens);
  - $P$  is a finite set of productions (rewrite rules) of the form  $A \rightarrow \alpha$ , where  $A \in N$ , and  $\alpha \in (N \cup T)^*$  is a sentential form;
  - $S \in N$  is the start symbol, *which does not appear of the RHS of any production*.
- For convenience, we will augment an input string  $s$  with a distinct end-of-string symbol  $\$$ , and change all productions of the form  $S \rightarrow \alpha$  to  $S \rightarrow \alpha\$$  (call this change  **$\$$ -augmentation**).

# Refresher: Context-Free Grammars

- Context-free grammar  $G = (N, T, P, S)$ , where
  - $N$  is a finite set of non-terminals, *with no useless non-terminals*;
  - $T$  is a finite set of terminals (aka tokens);
  - $P$  is a finite set of productions (rewrite rules) of the form  $A \rightarrow \alpha$ , where  $A \in N$ , and  $\alpha \in (N \cup T)^*$  is a sentential form;
  - $S \in N$  is the start symbol, *which does not appear of the RHS of any production*.
- For convenience, we will augment an input string  $s$  with a distinct end-of-string symbol  $\$$ , and change all productions of the form  $S \rightarrow \alpha$  to  $S \rightarrow \alpha\$$  (call this change  $\$$ -augmentation).
- Notational shortcuts:  $\Sigma = N \cup T$ ,  $F = T \cup \{\epsilon\}$ ,  $T' = T \cup \{\$\}$ .

# Refresher: Context-Free Grammars

- Context-free grammar  $G = (N, T, P, S)$ , where
  - $N$  is a finite set of non-terminals, *with no useless non-terminals*;
  - $T$  is a finite set of terminals (aka tokens);
  - $P$  is a finite set of productions (rewrite rules) of the form  $A \rightarrow \alpha$ , where  $A \in N$ , and  $\alpha \in (N \cup T)^*$  is a sentential form;
  - $S \in N$  is the start symbol, *which does not appear of the RHS of any production*.
- For convenience, we will augment an input string  $s$  with a distinct end-of-string symbol  $\$$ , and change all productions of the form  $S \rightarrow \alpha$  to  $S \rightarrow \alpha\$$  (call this change  $\$$ -augmentation).
- Notational shortcuts:  $\Sigma = N \cup T$ ,  $F = T \cup \{\varepsilon\}$ ,  $T' = T \cup \{\$\}$ .
- A **derivation**  $\alpha \xRightarrow{*} \beta$ , with  $\alpha, \beta \in \Sigma^*$ , is a finite sequence of (valid) applications of productions that rewrites  $\alpha$  to  $\beta$ .

# TODO: Constructing Parsing Tables

$S \rightarrow ES'$   
 $S' \rightarrow \varepsilon$   
 $S' \rightarrow +S$   
 $E \rightarrow \text{num}$   
 $E \rightarrow (S)$



	num	+	(	)	\$
S	$\rightarrow ES'$		$\rightarrow ES'$		
S'		$\rightarrow +S$		$\rightarrow \varepsilon$	$\rightarrow \varepsilon$
E	$\rightarrow \text{num}$		$\rightarrow (S)$		

# From Grammar To Parsing Table

- Problem
  - Decide whether the production  $A \rightarrow \alpha$  of the context-free grammar  $G = (N, T, P, S)$  is a candidate for rewriting  $A$  when the input token is  $t$ , i.e., whether  $A \rightarrow \alpha \in \text{ParsingTable}[A, t]$ .

# From Grammar To Parsing Table

- Problem
  - Decide whether the production  $A \rightarrow \alpha$  of the context-free grammar  $G = (N, T, P, S)$  is a candidate for rewriting  $A$  when the input token is  $t$ , i.e., whether  $A \rightarrow \alpha \in \text{ParsingTable}[A, t]$ .
- Key insight
  - Suppose we know the first symbols of all sentences derivable from the sentential form  $\alpha$ . Call this set  $FIRST(\alpha) \subseteq F$ .
  - Then  $A \rightarrow \alpha$  is a candidate if  $t \in FIRST(\alpha)$ .

# From Grammar To Parsing Table

- Problem
  - Decide whether the production  $A \rightarrow \alpha$  of the context-free grammar  $G = (N, T, P, S)$  is a candidate for rewriting  $A$  when the input token is  $t$ , i.e., whether  $A \rightarrow \alpha \in \text{ParsingTable}[A, t]$ .
- Key insight
  - Suppose we know the first symbols of all sentences derivable from the sentential form  $\alpha$ . Call this set  $FIRST(\alpha) \subseteq F$ .
  - Then  $A \rightarrow \alpha$  is a candidate if  $t \in FIRST(\alpha)$ .
  - Almost!
  - The only complication is if  $\alpha \xRightarrow{*} \varepsilon$ . Call this predicate  $NULLABLE(\alpha)$ .



# From Grammar To Parsing Table

- Problem
  - Decide whether the production  $A \rightarrow \alpha$  of the context-free grammar  $G = (N, T, P, S)$  is a candidate for rewriting  $A$  when the input token is  $t$ , i.e., whether  $A \rightarrow \alpha \in \text{ParsingTable}[A, t]$ .
- Key insight
  - Suppose we know the first symbols of all sentences derivable from the sentential form  $\alpha$ . Call this set  $FIRST(\alpha) \subseteq F$ .
  - Then  $A \rightarrow \alpha$  is a candidate if  $t \in FIRST(\alpha)$ .
  - Almost!
  - The only complication is if  $\alpha \xRightarrow{*} \varepsilon$ . Call this predicate  $NULLABLE(\alpha)$ .
  - In this case, the production is still a candidate if  $S \xRightarrow{*} \eta A t \xi$ .

# From Grammar To Parsing Table

- Problem
  - Decide whether the production  $A \rightarrow \alpha$  of the context-free grammar  $G = (N, T, P, S)$  is a candidate for rewriting  $A$  when the input token is  $t$ , i.e., whether  $A \rightarrow \alpha \in \text{ParsingTable}[A, t]$ .
- Key insight
  - Suppose we know the first symbols of all sentences derivable from the sentential form  $\alpha$ . Call this set  $FIRST(\alpha) \subseteq F$ .
  - Then  $A \rightarrow \alpha$  is a candidate if  $t \in FIRST(\alpha)$ .
  - Almost!
  - The only complication is if  $\alpha \xRightarrow{*} \varepsilon$ . Call this predicate  $NULLABLE(\alpha)$ .
  - In this case, the production is still a candidate if  $S \xRightarrow{*} \eta A t \xi$ .
  - So we also need to know the set of terminals that can immediately follow  $A$  in some sentential form derivable from  $S$ . Call this set  $FOLLOW(A) \subseteq T'$ .
  - That is, if  $NULLABLE(\alpha)$ , then the production  $A \rightarrow \alpha$  is also a candidate if  $t \in FOLLOW(A)$ .

## Definition: *NULLABLE*

- $NULLABLE(\alpha) = \text{true}$  iff  $\alpha \xRightarrow{*} \varepsilon$ .
- $NULLABLE: \Sigma^* \rightarrow \{\text{true}, \text{false}\}$  is defined inductively as follows:
  - $NULLABLE(\varepsilon) = \text{true}$ .
  - $\forall t \in T, NULLABLE(t) = \text{false}$ .
  - $NULLABLE(Y_1 \cdots Y_k) = NULLABLE(Y_1) \wedge \cdots \wedge NULLABLE(Y_k)$ .
  - $(A \rightarrow \alpha \in P) \wedge NULLABLE(\alpha) \Rightarrow NULLABLE(A)$ .

## Definition: *FIRST*

- $FIRST(\alpha)$  is the set of the first symbols of all sentences derivable from  $\alpha$ .
- $FIRST: \Sigma^* \rightarrow F$  is defined inductively as follow:
  - $FIRST(\varepsilon) = \{\varepsilon\}$ .
  - $\forall t \in T, FIRST(t) = \{t\}$ .
  - $FIRST(Y_1 \cdots Y_k) = FIRST(Y_1) +_1 \cdots +_1 FIRST(Y_k)$ .
  - $(A \rightarrow Y_1 \cdots Y_k \in P) \wedge (f \in FIRST(Y_1 \cdots Y_k)) \Rightarrow f \in FIRST(A)$ .
- $+_1: 2^F \times 2^F \rightarrow 2^F$  is a binary helper function on subsets of  $F$  defined thus:
  - Concatenate each element of the first set with each element of the second set, and truncate to the first symbol of the resulting string.
  - Thus,  $\{\varepsilon, a, b\} +_1 \{\varepsilon, c\} = \{\varepsilon, a, b, c\}$ , but  $\{\varepsilon, a, b\} +_1 \{c\} = \{a, b, c\}$ .

## Definition: *FOLLOW*

- $FOLLOW(A)$  is the set of terminals that can appear immediately following  $A$  in some sentential form derivable from  $S$ .
- $FOLLOW: N \rightarrow T'$  is defined inductively as follows:
  - $\$ \in FOLLOW(S)$ .
  - $(A \rightarrow X_1 \cdots X_k B Y_1 \cdots Y_m \in P) \wedge (t \in FIRST(Y_1 \cdots Y_m) +_1 FOLLOW(A)) \Rightarrow t \in FOLLOW(B)$ .

# Algorithm for Computing Sets

```
for each A do {
    NULLABLE[A] = false;
    FIRST[A] = FOLLOW[A] = { };
}
FOLLOW[S] = {$};
repeat {
    for each production  $A \rightarrow Y_1 \cdots Y_n$  do {
        if NULLABLE( $Y_1 \cdots Y_n$ ) then NULLABLE[A] = true;

        FIRST[A] = FIRST[A]  $\cup$  FIRST( $Y_1 \cdots Y_n$ );

        for each  $Y_i$  do
            FOLLOW[ $Y_i$ ] = FOLLOW[ $Y_i$ ]  $\cup$  (FIRST( $Y_{i+1} \cdots Y_k$ )  $\cup$  FOLLOW[A]);
        }
    } until sets do not change
```

## Example

$S \rightarrow A\$$

$A \rightarrow BC \mid x$

$B \rightarrow t \mid \varepsilon$

$C \rightarrow v \mid \varepsilon$

**NULLABLE** = {A,B,C}

**FIRST(A)**={x,t,v, $\varepsilon$ }

**FIRST(B)**={t, $\varepsilon$ }

**FIRST(C)**={v, $\varepsilon$ }

**FIRST(S)**={x,t,v,\$}

**FOLLOW(A)**={\$}

**FOLLOW(B)**={v,\$}

**FOLLOW(C)**={\$}