

Calculations of atomic multiplets across the periodic table

Master's Thesis

14 October 2014 | Qian Zhang Prof. Dr. Erik Koch |
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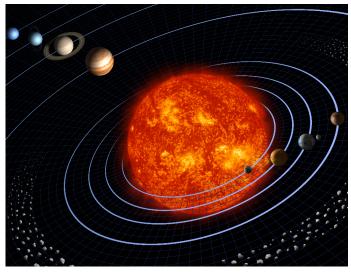
Outline

- 1 Introduction
- 2 The one-electron problem
- 3 The many-electron problem (mean-field approximation)
- 4 Construction of multiplet states
- 5 Spin-orbit coupling
- 6 Conclusion



Introduction

Imagine our solar system...



(Image from NASA)

F = ma

Mercury: $\mathbf{r}_1(t)$

Venus: $\mathbf{r}_2(t)$

Earth: $\mathbf{r}_3(t)$

Mars: $\mathbf{r}_4(t)$

:

Analytical solution: 🗡

Numerical solution: ✓

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Introduction

Scaling down to 10^{-10} meters...

$$H\Psi = E\Psi$$

$$H = \sum_{i=1}^{N} \left[-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right] + \sum_{i < j}^{N} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

Many-electron wave function

$$\Psi(\mathbf{r}_1,\mathbf{r}_2,\ldots,\mathbf{r}_N)$$

Analytical solution: X Numerical solution: X

Approximations!

(Image from Wikipedia)



One-electron problem

For N=1

$$\left[-\frac{1}{2}\nabla^2 + V(r)\right]\varphi = E\varphi$$

Separation of variables:

$$\varphi(r, \theta, \phi) = R(r)Y(\theta, \phi)$$

Angular part $Y(\theta, \phi)$ Easy, spherical harmonics Radial part R(r) Difficult, our task

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One-electron problem

Angular equation

$$\frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \phi^2} = -I(I+1)Y$$

$$Spherical harmonics: Y_{Im}(\theta, \phi)$$

$$I = 0, 1, \dots \qquad m = -I, \dots, I$$

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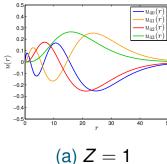


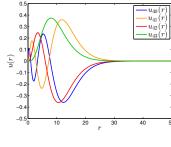
One-electron problem

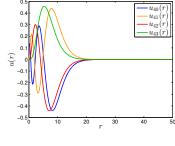
Define $u(r) \equiv rR(r)$, radial equation

$$-\frac{1}{2}\frac{d^{2}u}{dr^{2}}+\left[V(r)+\frac{I(I+1)}{2r^{2}}\right]u=Eu$$

where V(r) = -Z/r







(a)
$$Z = 1$$

(b)
$$Z = 2$$

(c)
$$Z = 3$$

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Logarithmic grid

Construct a logarithmic grid, $0 < r_0 < \cdots < r_{n-1} < \infty$, where

$$r_i = \frac{1}{Z}e^{x_i}$$

and x is a uniformly distributed grid

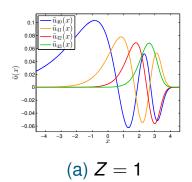
$$r_{n-1}$$

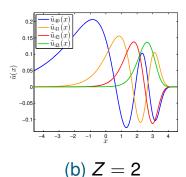
$$x_i = x_0 + i\Delta x$$

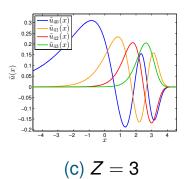




Logarithmic grid







Rescale $\tilde{u} \equiv u/\sqrt{r}$, the transformed radial equation

$$-\frac{1}{2}\frac{d^{2}\tilde{u}}{dx^{2}}+\left[r^{2}V(r)+\frac{1}{2}\left(I+\frac{1}{2}\right)^{2}\right]\tilde{u}=r^{2}E\tilde{u}$$

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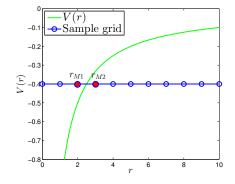


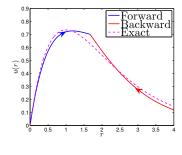
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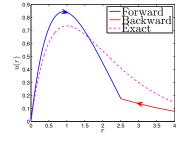
The shooting and matching methods

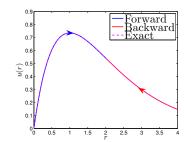
Numerov's method

$$\tilde{u}_{i\pm 1} = \frac{(2 - \frac{5\Delta x^2}{3} k_i^2) \tilde{u}_i - (1 + \frac{\Delta x^2}{6} k_{i\mp 1}^2) \tilde{u}_{i\mp 1}}{1 + \frac{\Delta x^2}{6} k_{i\pm 1}^2}$$









(a)
$$E = -0.6$$

(b)
$$E = -0.4$$

(c)
$$E = -0.5$$



Numerical and exact eigen-energy comparison

Elem	Orbital	Numerical	Exact	Abs Error	Rel Error
Н	1 <i>s</i>	-0.500000	-0.500000	0.000000	0.000000
С	1 <i>s</i>	-18.000002	-18.000000	0.000002	0.000000
	2 <i>s</i>	-4.499999	-4.500000	0.000001	0.000000
	2 <i>p</i>	-4.500001	-4.500000	0.000001	0.000000
Fe	1 <i>s</i>	-338.000032	-338.000000	0.000032	0.000000
	2 <i>s</i>	-84.499984	-84.500000	0.000016	0.000000
	2 <i>p</i>	-84.500012	-84.500000	0.000012	0.000000
	3 <i>s</i>	-37.555556	-37.555556	0.000000	0.000000
	3 <i>p</i>	-37.555556	-37.555556	0.000000	0.000000
	3 <i>d</i>	-37.555555	-37.555556	0.000001	0.000000
	4 <i>s</i>	-21.125000	-21.125000	0.000000	0.000000

One electron only!

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The many-electron problem

Many-electron Schrödinger equation

$$\left\{ \sum_{i=1}^{N} \left[-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right] + \sum_{i < j}^{N} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \right\} \Psi = E \Psi$$

Analytical solution: X

Numerical solution: X

We use self-consistent field (SCF) approximation

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The many-electron problem

Hartree ansatz

$$\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) \approx \varphi_1(\mathbf{r}_1)\varphi_2(\mathbf{r}_2)\dots\varphi_N(\mathbf{r}_N)$$

Mean-field approximation

$$H = \sum_{i=1}^{N} \left[-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right] + \underbrace{\sum_{i < j}^{N} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}}_{\text{Trouble maker}}$$

$$H = \sum_{i=1}^{N} \left[-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right] + \underbrace{V_{\text{Hartree}}(r)}_{\text{Hartree potential}}$$

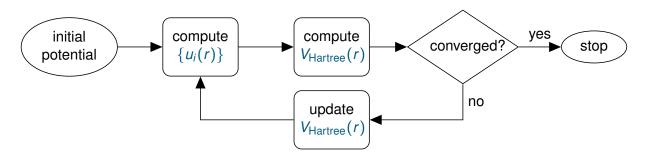
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Self-consistent iteration

Many-electron problem \rightarrow Many one-electron problems

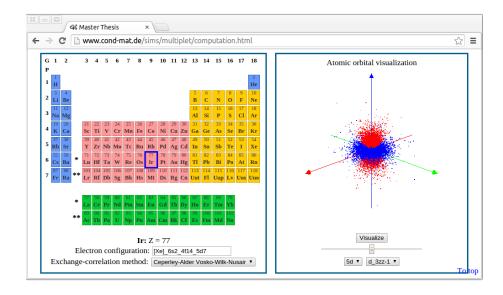
Chicken or the egg problem!



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JavaScript demonstration



www.cond-mat.de/sims/multiplet

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Comparison to NIST results

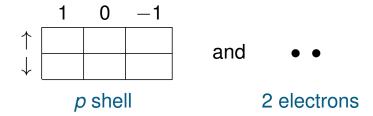
Elem	Orbital	My results	NIST results	Abs Error	Rel Error
Н	1 <i>s</i> ¹	-0.233471	-0.233471	0.000000	0.000000
С	1 <i>s</i> ²	-9.947725	-9.947718	0.000007	0.000001
	$2s^2$	-0.500866	-0.500866	0.000000	0.000000
	$2p^2$	-0.199186	-0.199186	0.000000	0.000000
Fe	1 <i>s</i> ²	-254.225334	-254.225505	0.000171	0.000001
	$2s^2$	-29.564863	-29.564860	0.000003	0.000000
	$2p^{6}$	-25.551762	-25.551766	0.000004	0.000000
	3 <i>s</i> ²	-3.360622	-3.360621	0.000001	0.000000
	$3p^{6}$	-2.187521	-2.187523	0.000002	0.000001
	3 <i>d</i> ⁶	-0.295047	-0.295049	0.000002	0.000007
	4 <i>s</i> ²	-0.197976	-0.197978	0.000002	0.000010

Many-electron, mean-field!



Open shell problem

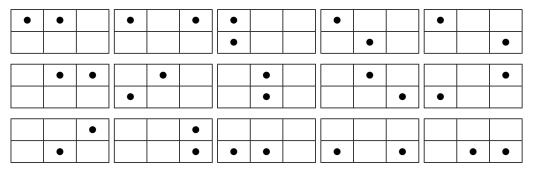
Imagine the following problem:



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Open shell problem



Which configuration has the highest energy?





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Coulomb repulsion Hamiltonian

Revisit our trouble maker

$$H_U = \sum_{i < j}^N \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

Second quantization

$$H_U = rac{1}{2} \sum_{lpha,eta,\gamma,\delta} U_{lphaeta\gamma\delta} c_lpha^\dagger c_eta^\dagger c_\gamma c_\delta$$

$$\alpha = \{\mathbf{n}_1, \, \mathbf{l}_1, \, \mathbf{m}_1, \, \sigma_1\}$$

$$\beta = \{ n_2, l_2, m_2, \sigma_2 \}$$

$$\gamma = \{ n_3, l_3, m_3, \sigma_3 \}$$

$$\delta = \{n_4, l_4, m_4, \sigma_4\}$$

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Coulomb repulsion Hamiltonian

Coulomb repulsion matrix element

$$U_{\alpha\beta\gamma\delta} =$$

$$\delta_{\sigma_{1}\sigma_{4}}\delta_{\sigma_{2}\sigma_{3}}\int d^{3}r_{1}\int d^{3}r_{2}\,\overline{\varphi_{n_{1}l_{1}m_{1}}}(\mathbf{r}_{1})\overline{\varphi_{n_{2}l_{2}m_{2}}}(\mathbf{r}_{2})\frac{1}{|\mathbf{r}_{1}-\mathbf{r}_{2}|}\varphi_{n_{3}l_{3}m_{3}}(\mathbf{r}_{2})\varphi_{n_{4}l_{4}m_{4}}(\mathbf{r}_{1})$$

Multipole expansion

$$\frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} = \sum_{k=0}^{\infty} \underbrace{\frac{r_{<}^k}{r_{<}^{k+1}}}_{\text{Badial part}} \underbrace{\frac{4\pi}{2k+1} \sum_{\mu=-k}^{k} \underbrace{\overline{Y_{k\mu}}(\theta_1, \phi_1) Y_{k\mu}(\theta_2, \phi_2)}_{\text{Angular part}}}_{\text{Angular part}}$$



Coulomb repulsion Hamiltonian

The radial part

$$R^{(k)}(n_1l_1, n_2l_2, n_3l_3, n_4l_4) = \int_0^\infty dr_1 \int_0^\infty dr_2 \, \overline{u_{n_1l_1}}(r_1) \overline{u_{n_2l_2}}(r_2) \frac{r_<^k}{r_>^{k+1}} u_{n_3l_3}(r_2) u_{n_4l_4}(r_1)$$

The angular part

Slater-Condon parameters

$$A^{(k)}(I_1m_1, I_2m_2, I_3m_3, I_4m_4) =$$

$$\sum_{\mu=-k}^{k} \int_{0}^{2\pi} d\phi_{1} \int_{0}^{\pi} d\theta_{1} \sin \theta_{1} \overline{Y_{l_{1}m_{1}}}(\theta_{1},\phi_{1}) \overline{Y_{k\mu}}(\theta_{1},\phi_{1}) Y_{l_{4}m_{4}}(\theta_{1},\phi_{1})$$

$$\int_{0}^{2\pi} d\phi_2 \int_{0}^{\pi} d\theta_2 \sin \theta_2 \, \overline{Y_{l_2 m_2}}(\theta_2, \phi_2) Y_{k\mu}(\theta_2, \phi_2) Y_{l_3 m_3}(\theta_2, \phi_2)$$

Gaunt coefficients

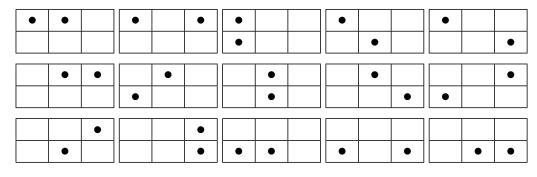
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Setting up basis and Hamiltonian

Set up basis



110000⟩	101000 angle	100100 angle	100010 angle	100001⟩
011000⟩	010100⟩	010010⟩	010001⟩	001100⟩
$ 001010\rangle$	001001⟩	000110⟩	000101⟩	000011⟩



Setting up basis and Hamiltonian

Set up Hamiltonian

$$\langle i|\, H_U\, |j
angle = \langle i|\, rac{1}{2} \sum_{lpha,eta,\gamma,\delta} U_{lphaeta\gamma\delta} c_lpha^\dagger c_eta^\dagger c_\gamma c_\delta\, |j
angle$$

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Construction of multiplet states

Commutation relations

$$[H_U, \mathbf{L}] = 0$$
 $[H_U, \mathbf{S}] = 0$

$$[H_U, L^2] = 0$$
 $[H_U, L_z] = 0$ $[H_U, S^2] = 0$ $[H_U, S_z] = 0$

We can represent an eigen-vector

$$|L, M_L, S, M_S\rangle$$

Commutation relations

$$[H_U, L_{\pm}] = 0$$
 $[H_U, S_{\pm}] = 0$

Starting from a leading vector, we can construct subsequent vectors by applying ladder operators.



 M_L - M_S table

			$M_{\mathcal{S}}$	
		-1	0	1
	2	0	1	0
	1	1	2	1
M_L	0	1	3	1
	-1	1	2	1
	-2	0	1	0

 $|1, 1, 1, 1\rangle$

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Construction of multiplet states

 M_L - M_S table

³**P**



 M_L - M_S table

		M_{S}		
		–1	0	1
	2	0	1	0
	1	0	1	0
M_L	0	0	2	0
	-1	0	1	0
	-2	0	1	0

 $|2, 2, 0, 0\rangle$

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Construction of multiplet states

 M_L - M_S table

$$|2, 2, 0, 0\rangle$$

$$|2, 1, 0, 0\rangle$$

$$|2, 0, 0, 0\rangle$$

$$|2, -1, 0, 0\rangle$$

$$|2, -2, 0, 0\rangle$$



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 M_L - M_S table

		M_S		
		-1	0	1
	2	0	0	0
	1	0	0	0
M_L	0	0	1	0
	-1	0	0	0
	-2	0	0	0

 $|0, 0, 0, 0\rangle$

¹*S*

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Construction of multiplet states

Three groups of eigen-vectors

³ <i>P</i>	¹ D	¹ <i>S</i>
$ \begin{array}{ c c c c c c } \hline 1, & 1, 1, -1\rangle & 1, & 1, 1, & 0\rangle & 1, & 1, 1, & 1\rangle \\ 1, & 0, 1, -1\rangle & 1, & 0, 1, & 0\rangle & 1, & 0, 1, & 1\rangle \\ 1, -1, 1, -1\rangle & 1, -1, 1, & 0\rangle & 1, -1, 1, & 1\rangle \\ \hline \end{array} $	$ 2, 0, 0, 0\rangle$	

Multiplet term symbol

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In summary, our 15 eigen-vectors:

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Construction of multiplet states

In summary, our 15 eigen-vectors:



Eigen-energy of multiplet states

Eigen-vector

 $|\mathbf{v}_n\rangle$

Eigen-energy

 $E_n = \langle \mathbf{v}_n | H_U | \mathbf{v}_n \rangle$

Carbon atom p^2 orbital

¹S: 0.612081 (Hartree)

¹D: 0.529402 (Hartree)

³P: 0.474284 (Hartree)

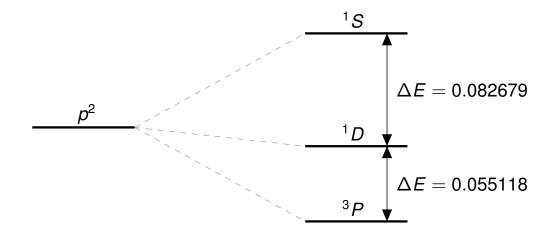
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Eigen-energy of multiplet states

Energy splitting

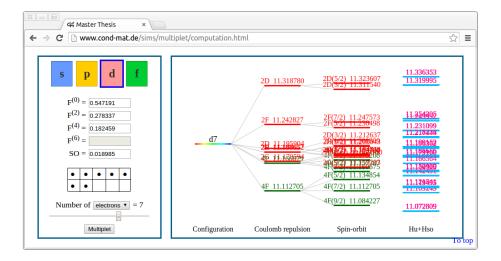


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JavaScript demonstration



www.cond-mat.de/sims/multiplet

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Spin-orbit coupling

Our original Hamiltonian

$$H = \sum_{i=1}^{N} \left[-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right] + \sum_{i < j}^{N} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

A magnetic force?





Spin-orbit coupling

The Hamiltonian with spin-orbit effect

$$H = \sum_{i=1}^{N} \left[-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right] + \sum_{i < j}^{N} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} + \underbrace{\sum_{i=1}^{N} \xi(r_i) \ell_i \cdot \mathbf{s}_i}_{\text{Weak}}$$

where,

$$\xi(r) = \frac{1}{2c^2} \frac{1}{r} \frac{dV}{dr}$$

In atomic units

$$\emph{c} pprox 137.036~\mathrm{a_0/t_0}$$

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Spin-orbit coupling within multiplet terms

Clebsch-Grodan transformation

$$|L, M_L, S, M_S\rangle \rightarrow |L, S, J, M_J\rangle$$

Multiplet split

$$^{2S+1}L_J$$
 with $J=L+S,L+S-1,\ldots,|L-S|$ $^3P
ightarrow ^3P_2,^3P_1,^3P_0$

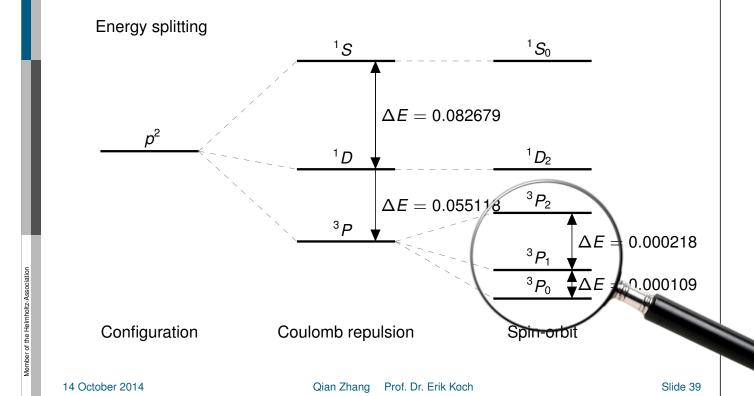
Eigen-energies

$$E_{SO} = A(nl, LS) \frac{1}{2} [J(J+1) - L(L+1) - S(S+1)]$$

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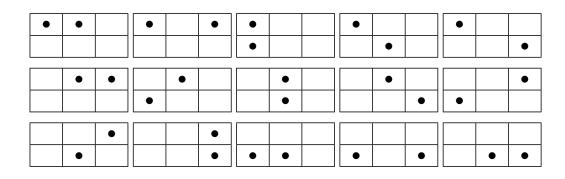


Spin-orbit coupling within multiplet terms





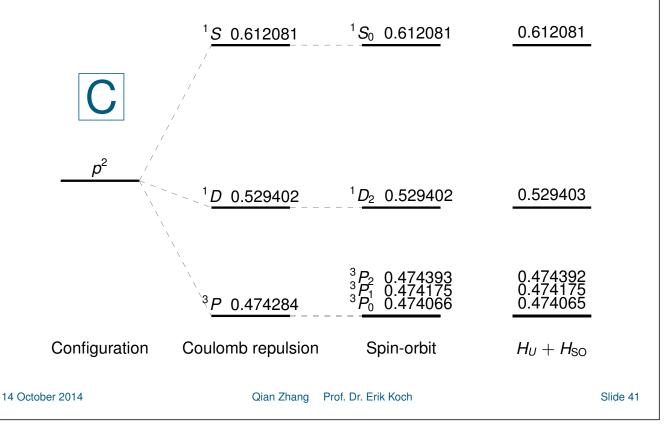
Spin-orbit coupling within entire shell

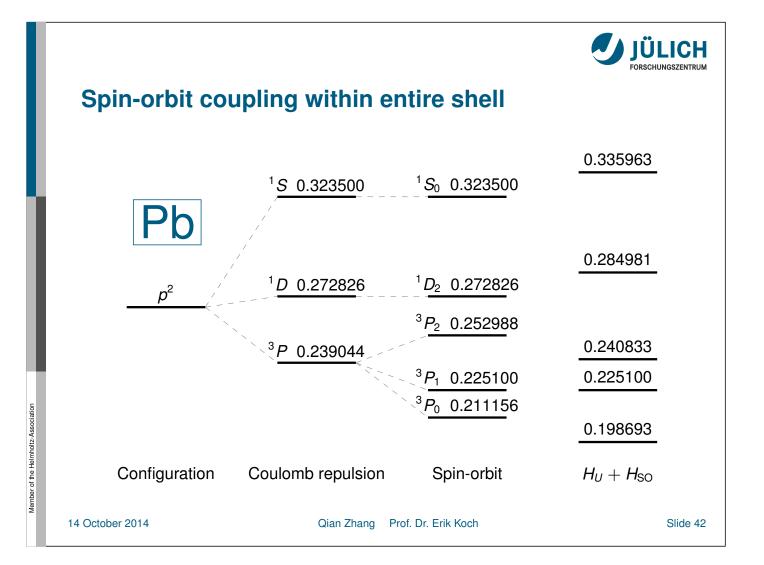


$$egin{aligned} H_{ extsf{SO}} &= \sum_{i=1}^N \xi(r_i) oldsymbol{\ell}_i \cdot \mathbf{s}_i &\longrightarrow & H_{ extsf{SO}} &= \sum_{lpha,eta} V_{lphaeta} oldsymbol{c}_lpha^\dagger oldsymbol{c}_eta \ &V_{lphaeta} &= \langle lpha ig| \, \xi(r) oldsymbol{\ell} \cdot \mathbf{s} \, ig| eta
angle \end{aligned}$$



Spin-orbit coupling within entire shell







Conclusion

- 1 We implemented Numerov's method with logarithmic grid to solve the one-electron problem and obtained very accurate solutions.
- We solved the many-electron problem using self-consistent field approximation.
- 3 Based on SCF calculation, we constructed atomic multiplet states, which are the many-electron eigen-states in atoms.
- Finally we introduced the spin-orbit coupling, where we see the spectral lines further split into finer structures.

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Thank You!

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- Dr. Hermann Ulm
- German Research School for Simulation Sciences
- Forschungszentrum Jülich GmbH

Imholtz-Association



Backup Materials

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Numerov's method

Finite difference

$$rac{d^2 ilde{u}}{dx^2} = rac{ ilde{u}_{i+1} - 2 ilde{u}_i + ilde{u}_{i-1}}{\Delta x^2} + \mathcal{O}(\Delta x^2)$$

Numerov's method

$$\frac{d^2\tilde{u}}{dx^2} = \frac{\tilde{u}_{i+1} - 2\tilde{u}_i + \tilde{u}_{i-1}}{\Delta x^2} - \frac{1}{12} \frac{\tilde{u}_{i+1}'' - 2\tilde{u}_i'' + \tilde{u}_{i-1}''}{\Delta x^2} \Delta x^2 + \mathcal{O}(\Delta x^4)$$

Use the original ODE

$$\tilde{u}_{i}^{"} = -2k_{i}^{2}\tilde{u}_{i}$$
 and $k_{i}^{2} \equiv r_{i}^{2}E - r_{i}^{2}V(r_{i}) - \frac{1}{2}(I + \frac{1}{2})^{2}$

3-point recursion!

$$\tilde{u}_{i\pm 1} = \frac{(2 - \frac{5\Delta x^2}{3}k_i^2)\tilde{u}_i - (1 + \frac{\Delta x^2}{6}k_{i\mp 1}^2)\tilde{u}_{i\mp 1}}{1 + \frac{\Delta x^2}{6}k_{i\pm 1}^2}$$

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Slater-Condon parameters

$$R^{(k)}(n_1l_1,n_2l_2,n_3l_3,n_4l_4) = \int_0^\infty dr_1 \int_0^\infty dr_2 \, \overline{u_{n_1l_1}}(r_1) \overline{u_{n_2l_2}}(r_2) \frac{r_<^k}{r_>^{k+1}} u_{n_3l_3}(r_2) u_{n_4l_4}(r_1)$$

If $r_1 \leq r_2$,

$$r_{<}=r_{1}, \quad r_{>}=r_{2}$$

If $r_1 > r_2$,

$$r_{<} = r_{2}, \quad r_{>} = r_{1}$$

 $R^{(k)}(n_1l_1, n_2l_2, n_3l_3, n_4l_4) =$

$$\int_{0}^{\infty} dr_{1} \overline{u_{n_{1}l_{1}}}(r_{1}) u_{n_{4}l_{4}}(r_{1}) \left[\frac{1}{r_{1}^{k+1}} \int_{0}^{r_{1}} dr_{2} r_{2}^{k} \overline{u_{n_{2}l_{2}}}(r_{2}) u_{n_{3}l_{3}}(r_{2}) + r_{1}^{k} \int_{r_{1}}^{\infty} dr_{2} \frac{1}{r_{2}^{k+1}} \overline{u_{n_{2}l_{2}}}(r_{2}) u_{n_{3}l_{3}}(r_{2}) \right]$$

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Gaunt coefficients

$$A^{(k)}(I_1m_1,I_2m_2,I_3m_3,I_4m_4) =$$

$$\sum_{\mu=-k}^{k} \int_{0}^{2\pi} d\phi_{1} \int_{0}^{\pi} d\theta_{1} \sin \theta_{1} \overline{Y_{l_{1}m_{1}}}(\theta_{1}, \phi_{1}) \overline{Y_{k\mu}}(\theta_{1}, \phi_{1}) Y_{l_{4}m_{4}}(\theta_{1}, \phi_{1})$$

$$\int_{0}^{2\pi} d\phi_{2} \int_{0}^{\pi} d\theta_{2} \sin \theta_{2} \overline{Y_{l_{2}m_{2}}}(\theta_{2}, \phi_{2}) Y_{k\mu}(\theta_{2}, \phi_{2}) Y_{l_{3}m_{3}}(\theta_{2}, \phi_{2})$$

Gaunt coefficients

$$g_{m_1m_2}^{(k)} = \langle I_1 m_1 | k\mu | I_2 m_2 \rangle$$



Gaunt coefficients

Recursion relation

 $\langle I_1 m_1 | k\mu | I_2 m_2 \rangle =$

$$a\langle l_1+1, m_1 | k-1, \mu | l_2 m_2 \rangle + b\langle l_1-1, m_1 | k-1, \mu | l_2 m_2 \rangle + c\langle l_1 m_1 | k-2, \mu | l_2 m_2 \rangle$$

$$a = \sqrt{\frac{(2k+1)(2k-1)(l_1+m_1+1)(l_1-m_1+1)}{(k+\mu)(k-\mu)(2l_1+3)(2l_1+1)}}$$

$$b = \sqrt{\frac{(2k+1)(2k-1)(l_1+m_1)(l_1-m_1)}{(k+\mu)(k-\mu)(2l_1+1)(2l_1-1)}}$$

$$c = -\sqrt{\frac{(2k+1)(k+\mu-1)(k-\mu-1)}{(k+\mu)(k-\mu)(2k-3)}}$$

Base case

$$\langle I_1 m_1 | 00 | I_2 m_2 \rangle = \frac{1}{\sqrt{4\pi}} \delta_{I_1 I_2} \delta_{m_1 m_2}$$

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Slide 49

Ladder operator techniques

Ladder operators

$$L_{\pm} |Im\rangle = \alpha_{Im}^{\pm} |I, m \pm 1\rangle$$

$$\alpha_{Im}^{+} = \sqrt{(I+m+1)(I-m)}$$

$$\alpha_{Im}^{-} = \sqrt{(I+m)(I-m+1)}$$

Express eigen-vectors in terms of our 15 basis vectors

$$L_{-} = \sqrt{(1+1)(1-1+1)} + \sqrt{$$