

# Lecture 5

## Representation and Change of Basis

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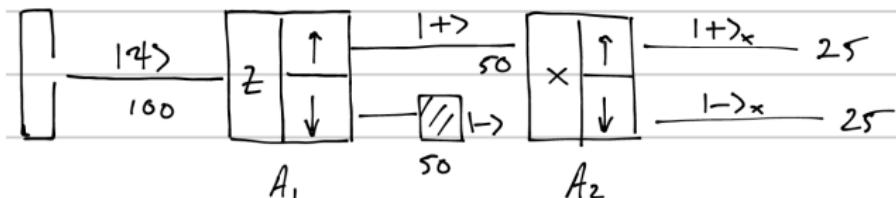
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## Basis states of the Observable $S_x$



- We don't know yet the form of  $|\pm\rangle_x$ , however completeness requires that we can express  $|\pm\rangle_x$  as a linear superposition of  $S_z$ -basis eigenvectors  $|\pm\rangle$ .

$$|+\rangle_x = a|+\rangle + b|-\rangle$$

$$|-\rangle_x = c|+\rangle + d|-\rangle$$

- To find the set of coefficients  $\{a, b, c, d\}$ , we need to analyze the outcome of the measurements.
- In QM, we can only measure probabilities **not** the probability amplitudes.

$$|\langle +|+\rangle_x|^2 = \frac{1}{2} \quad |\langle +|- \rangle_x|^2 = \frac{1}{2}$$

$$|\langle -|+\rangle_x|^2 = \frac{1}{2} \quad |\langle -|- \rangle_x|^2 = \frac{1}{2}$$

## Basis States of the Observable $S_x$

$$|\langle + | (a|+ \rangle + b|- \rangle)|^2 = |a|^2 = \frac{1}{2}$$

$$|\langle - | (a|+ \rangle + b|- \rangle)|^2 = |b|^2 = \frac{1}{2}$$

$$|\langle + | (c|+ \rangle + d|- \rangle)|^2 = |c|^2 = \frac{1}{2}$$

$$|\langle - | (c|+ \rangle + d|- \rangle)|^2 = |d|^2 = \frac{1}{2}$$

$$|a| = |b| = |c| = |d| = \frac{1}{\sqrt{2}}$$

- The states  $|\pm\rangle_x$  must be normalized, thus

$$|_x\langle +|+\rangle_x|^2 \Rightarrow |a|^2 + |b|^2 = 1$$

$$|_x\langle -|-\rangle_x|^2 \Rightarrow |c|^2 + |d|^2 = 1$$

## Basis States of the Observable $S_x$

- The states  $|\pm\rangle_x$  must also be orthogonal, thus

$${}_x\langle +|-\rangle_x = {}_x\langle -|+\rangle_x = 0$$

$$\Rightarrow (a^* \langle +| + b^* \langle -|)(c|+> + d|->) = 0$$

$$a^*c + b^*d = 0$$

$$ac^* + bd^* = 0$$

- The most general way of expressing the probability amplitudes is

$$a = |a|e^{i\alpha}, \quad b = |b|e^{i\beta}$$

$$c = |c|e^{i\gamma}, \quad d = |d|e^{i\delta}$$

## Basis States of the Observable $S_x$

- Using the orthogonality relationships, we find

$$\frac{1}{2} \left( e^{i(\gamma-\alpha)} + e^{i(\delta-\beta)} \right) = 0$$

- Only the **relative phase** between the terms matters.
- The choice of phases is not unique, therefore we must adopt a convention for picking phases.
- The convention used is to make the coefficient of the  $|+\rangle$  real and positive.
- Let  $\alpha = \gamma = 0 \Rightarrow \frac{1}{2} (1 + e^{i(\delta-\beta)}) = 0$
- Let  $\beta = 0$  and  $\delta = \pi$  (again by convention)

$$\Rightarrow |+\rangle_x = \frac{1}{\sqrt{2}}(|+\rangle + |-\rangle)$$

$$|-\rangle_x = \frac{1}{\sqrt{2}}(|+\rangle - |-\rangle)$$

## Note

See the end of the lecture for a derivation of the basis states of  $S_y$ .

## Example: Probability of Measurement Outcomes

**Example:** Given the state  $|\psi\rangle = 5|+\rangle + |-\rangle$ , calculate the probability that a measurement of

①  $S_z$  yields  $\frac{\hbar}{2}$  and  $-\frac{\hbar}{2}$

②  $S_x$  yields  $\frac{\hbar}{2}$  and  $-\frac{\hbar}{2}$

- First, ensure that  $|\psi\rangle$  is normalized so that the sum of the possible measurement outcomes sums to unity.

$$|\psi\rangle_N = C|\psi\rangle$$

$$_N\langle\psi|\psi\rangle_N = 1$$

$$\Rightarrow |C|^2(5\langle+| + \langle-|)(5|+\rangle + |-\rangle) = 1$$

$$\Rightarrow 26|C|^2 = 1$$

$$C = \frac{1}{\sqrt{26}} \quad (\text{Set overall phase factor} = 1)$$

$$|\psi\rangle_N = \frac{1}{\sqrt{26}}(5|+\rangle + |-\rangle)$$

## Example: Probability of Measurement Outcomes

- Second, by Postulate 4, calculate the magnitude-squared of the projection of  $|\psi\rangle_N$  on to appropriate eigenvector of  $S_z$  and  $S_x$ .
  - Probability that a measurement of  $S_z$  yields  $\frac{\hbar}{2}$  and  $-\frac{\hbar}{2}$

$$\begin{aligned}P\left(\frac{\hbar}{2}\right) &= |\langle +|\psi\rangle_N|^2 \\&= \left| \frac{5}{\sqrt{26}} \langle +|+\rangle + \frac{1}{\sqrt{26}} \langle +|- \rangle \right|^2 \\&= \frac{25}{26}\end{aligned}$$

$$\begin{aligned}P\left(-\frac{\hbar}{2}\right) &= |\langle -|\psi\rangle_N|^2 \\&= \left| \frac{5}{\sqrt{26}} \langle -|+\rangle + \frac{1}{\sqrt{26}} \langle -|- \rangle \right|^2 \\&= \frac{1}{26}\end{aligned}$$

## Example: Probability of Measurement Outcomes

- Probability that a measurement of  $S_x$  yields  $\frac{\hbar}{2}$  and  $-\frac{\hbar}{2}$

$$\begin{aligned} P\left(\frac{\hbar}{2}\right) &= \left| {}_x\langle +|\psi\rangle_N \right|^2 \\ &= \left| \frac{1}{\sqrt{26}} \cdot \frac{1}{\sqrt{2}} (\langle +| + \langle -|)(5|+\rangle + |-\rangle) \right|^2 \\ &= \frac{1}{52} |5\langle +|+\rangle + \langle -|-\rangle|^2 \\ &= \frac{9}{13} \end{aligned}$$

$$\begin{aligned} P\left(-\frac{\hbar}{2}\right) &= \left| {}_x\langle -|\psi\rangle_N \right|^2 \\ &= \left| \frac{1}{\sqrt{26}} \cdot \frac{1}{\sqrt{2}} (\langle +| - \langle -|)(5|+\rangle + |-\rangle) \right|^2 \\ &= \frac{1}{52} |5\langle +|+\rangle - \langle -|-\rangle|^2 \\ &= \frac{4}{13} \end{aligned}$$

## Change of Basis

Because the eigenvectors of  $S_z$  and  $S_x$  form a complete basis, we can represent an arbitrary state  $|\psi\rangle$  using either basis.

- $|\psi\rangle$  represented in the  $S_z$  basis:

$$|\psi\rangle = a|+\rangle + b|-\rangle$$

- $|\psi\rangle$  represented in the  $S_x$  basis:

$$|\psi\rangle = a'|+\rangle_x + b'|-\rangle_x$$

- Solve for coefficient  $\{a', b'\}$  in terms of  $\{a, b\}$ .

$$a' = {}_x\langle +|\psi\rangle = {}_x\langle +|(a|+\rangle + b|-\rangle)$$

$$b' = {}_x\langle -|\psi\rangle = {}_x\langle -|(a|+\rangle + b|-\rangle)$$

## Change of Basis

- We can organize the above quantity into a matrix equation

$$\begin{pmatrix} a' \\ b' \end{pmatrix} = \underbrace{\begin{pmatrix} {}_x\langle +|+ \rangle & {}_x\langle +|- \rangle \\ {}_x\langle -|+ \rangle & {}_x\langle -|- \rangle \end{pmatrix}}_U \begin{pmatrix} a \\ b \end{pmatrix}$$

- The matrix  $U$  is referred to as a transformation matrix.

$$U_{11} = {}_x\langle +|+ \rangle = \frac{1}{\sqrt{2}}(\langle +| + \langle -|) |+\rangle = \frac{1}{\sqrt{2}}$$

$$U_{12} = {}_x\langle +|- \rangle = \frac{1}{\sqrt{2}}(\langle +| + \langle -|) |- \rangle = \frac{1}{\sqrt{2}}$$

$$U_{21} = {}_x\langle -|+ \rangle = \frac{1}{\sqrt{2}}(\langle +| - \langle -|) |+\rangle = \frac{1}{\sqrt{2}}$$

$$U_{22} = {}_x\langle -|- \rangle = \frac{1}{\sqrt{2}}(\langle +| - \langle -|) |- \rangle = -\frac{1}{\sqrt{2}}$$

## Change of Basis

- $U_{z \rightarrow x}$  transforms a vector from the  $z$ -basis to the  $x$ - basis.

$$U_{z \rightarrow x} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

**Example:** Given the normalized state vector  $|\psi\rangle = \frac{1}{\sqrt{26}}(5|+\rangle + |-\rangle)$  in the  $S_z$  basis, calculate  $|\psi\rangle$  in the  $S_x$  basis.

$$\begin{pmatrix} a' \\ b' \end{pmatrix} = \frac{1}{\sqrt{26}} \cdot \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 5 \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} a' \\ b' \end{pmatrix} = \frac{1}{\sqrt{52}} \begin{pmatrix} 6 \\ 4 \end{pmatrix} = \frac{1}{\sqrt{13}} \begin{pmatrix} 3 \\ 2 \end{pmatrix}$$

$$|\psi\rangle = \frac{1}{\sqrt{13}}(3|+\rangle_x + 2|-\rangle_x)$$

# The Transformation Matrix

- Let  $|\psi\rangle$  be a quantum state that belongs to a Hilbert space  $H$ .
- Let  $\{|a\rangle\}$  and  $\{|b\rangle\}$  be two complete orthonormal bases that span  $H$ .
- We can represent the state  $|\psi\rangle$  in both basis.

$$|\psi\rangle = \sum_n \langle a_n | \psi \rangle |a_n\rangle = \sum_n A_n |a_n\rangle$$

$$|\psi\rangle = \sum_n \langle b_n | \psi \rangle |b_n\rangle = \sum_m B_m |b_m\rangle$$

where  $A_n = \langle a_n | \psi \rangle$  and  $B_n = \langle b_n | \psi \rangle$  are the coefficients of the basis vectors in the  $a$  and  $b$  bases, respectively.

# The Transformation Matrix

- We seek to find the transformation matrix  $U_{a \rightarrow b}$  that transforms  $|\psi\rangle$  from the  $a$  to the  $b$  basis.
- We note that the inner product  $\langle\psi|\psi\rangle$  is independent of the choice of basis.
- Let's express  $\langle\psi|\psi\rangle$  by expanding the bra  $\langle\psi|$  in the  $b$  basis and the ket  $|\psi\rangle$  in the  $a$  basis.

$$\langle\psi|\psi\rangle = \sum_m \sum_n (\langle b_m | \psi \rangle)^* \langle a_n | \psi \rangle \langle b_m | a_n \rangle = \sum_m \sum_n A_n B_m^* \langle b_m | a_n \rangle$$

- We can organize the above expression in matrix form

$$\langle\psi|\psi\rangle = (B_1^* B_2^* \dots) \underbrace{\begin{pmatrix} \langle b_1 | a_1 \rangle & \langle b_1 | a_2 \rangle & \cdots \\ \langle b_2 | a_1 \rangle & \langle b_2 | a_2 \rangle & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}}_{U_{a \rightarrow b}} \begin{pmatrix} A_1 \\ A_2 \\ \vdots \end{pmatrix}$$

$$\underbrace{|\psi\rangle}_{b\text{-basis}} = U_{a \rightarrow b} \underbrace{|\psi\rangle}_{a\text{-basis}}$$

- The transformation matrix  $U_{a \rightarrow b}$  allows us to compute the representation of the state  $|\psi\rangle$  in the  $b$ -basis, given that we know  $|\psi\rangle$  in the  $a$ -basis.

# The Transformation Matrix

- Likewise, we can also compute  $|\psi\rangle$  in the  $a$ -basis starting from the  $b$ -basis by calculating the inverse of  $U_{a \rightarrow b}$ .

$$U_{a \rightarrow b}^{-1} \underbrace{|\psi\rangle}_{b\text{-basis}} = \underbrace{U_{a \rightarrow b}^{-1} U_{a \rightarrow b}}_1 \underbrace{|\psi\rangle}_{a\text{-basis}}$$

$$\underbrace{|\psi\rangle}_{a\text{-basis}} = U_{a \rightarrow b}^{-1} \underbrace{|\psi\rangle}_{b\text{-basis}} := U_{b \rightarrow a} \underbrace{|\psi\rangle}_{b\text{-basis}}$$

$$\therefore \boxed{U_{b \rightarrow a} = U_{a \rightarrow b}^{-1}}$$

- Conservation of probability requires that  $\langle \psi | \psi \rangle$  is independent of the choice of basis.  
Therefore

$$\underbrace{\langle \psi | \psi \rangle}_{a\text{-basis}} = \underbrace{\langle \psi | \psi \rangle}_{b\text{-basis}}$$

$$\begin{aligned}\Rightarrow \underbrace{\langle \psi | \psi \rangle}_{a\text{-basis}} &= (U_{b \rightarrow a} |\psi\rangle)^\dagger (U_{b \rightarrow a} |\psi\rangle) \\ &= \langle \psi | U_{b \rightarrow a}^\dagger U_{b \rightarrow a} |\psi\rangle\end{aligned}$$

# The Transformation Matrix

- For the above equality to hold, we require that  $U_{b \rightarrow a}^\dagger U_{b \rightarrow a} = \mathbb{1}$ .
- In general, transformation matrices are unitary, by which we mean that they preserve the norm of the state vector and satisfy the condition:

$$UU^\dagger = \mathbb{1}$$

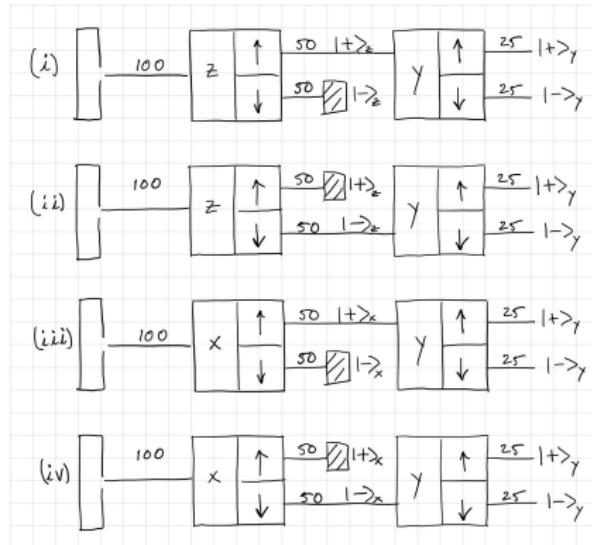
$$U^\dagger U = \mathbb{1}$$

- Since  $UU^\dagger = \mathbb{1}$ ,  $U^\dagger$  must also satisfy

$$U^\dagger = U^{-1}$$

## Eigenstates of the Operator $S_y$

- Consider the outcome of the following S-G measurements.



- We can express the basis states of the observable  $S_y$  in terms of the basis states of  $S_z$ .

$$|+\rangle_y = a|+\rangle + b|-\rangle$$

$$|-\rangle_y = c|+\rangle + d|-\rangle$$

## Eigenstates of the Operator $S_y$

- Utilize the measurement outcomes from experiments (i) and (ii).

$$\left| \langle +|+ \rangle_y \right|^2 = \frac{1}{2} \Rightarrow |a|^2 = \frac{1}{2}$$

$$\left| \langle -|+ \rangle_y \right|^2 = \frac{1}{2} \Rightarrow |b|^2 = \frac{1}{2}$$

$$\left| \langle +|- \rangle_y \right|^2 = \frac{1}{2} \Rightarrow |c|^2 = \frac{1}{2}$$

$$\left| \langle -|- \rangle_y \right|^2 = \frac{1}{2} \Rightarrow |d|^2 = \frac{1}{2}$$

$$|a| = |b| = |c| = |d| = \frac{1}{\sqrt{2}}$$

- Choose  $|a| = a$  and  $|c| = c$ ,  $b = |b|e^{i\beta}$  and  $d = |d|e^{i\delta}$ .

## Eigenstates of the Operator $S_y$

- Utilize the measurement outcomes from experiments (iii) and (iv).

$$\left| {}_x \langle +|+ \rangle_y \right|^2 = \left| \frac{1}{\sqrt{2}} (\langle +| + \langle -|)(a|+ \rangle + b|- \rangle) \right|^2 = \frac{1}{2}$$

$$\Rightarrow |a+b|^2 = 1$$

$$\underbrace{|a|^2 + |b|^2}_{=1} + a^*b + ab^* = 1$$

$$a^*b + ab^* = 0 \Rightarrow \frac{1}{2}(e^{i\beta} + e^{-i\beta}) = \cos \beta = 0$$

$$\left| {}_x \langle +|- \rangle_y \right|^2 = \left| \frac{1}{\sqrt{2}} (\langle +| + \langle -|)(c|+ \rangle + d|- \rangle) \right|^2 = \frac{1}{2}$$

$$\Rightarrow |c+d|^2 = 1$$

$$\underbrace{|c|^2 + |d|^2}_{=1} + c^*d + cd^* = 1$$

$$c^*d + cd^* = 0 \Rightarrow \frac{1}{2}(e^{i\delta} + e^{-i\delta}) = \cos \delta = 0$$

## Eigenstates of the Operator $S_y$

- Orthogonality condition

$${}_y\langle -|+ \rangle_y = 0$$

$$(c^* \langle + | + d^* \langle - |)(a |+ \rangle + b |- \rangle) = 0$$

$$c^*a + d^*b = 0$$

$$\Rightarrow 1 + e^{i(\beta - \delta)} = 0$$

$$\beta - \delta = \pi$$

$$\beta = \frac{\pi}{2}, \quad \delta = -\frac{\pi}{2}$$

## Eigenvectors of $S_y$

$$|+ \rangle_y = \frac{1}{\sqrt{2}}(|+ \rangle + i |- \rangle)$$

$$|- \rangle_y = \frac{1}{\sqrt{2}}(|+ \rangle - i |- \rangle)$$