

# Lecture 6

## Generalized Quantum Systems

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# Postulates 2 and 3 of QM

## Postulate 2

A physical observable is represented mathematically by an operator  $\hat{A}$  that acts on kets.

## Postulate 3

The only possible result of a measurement of an observable is one of the eigenvalues  $a_n$  corresponding to the operator  $\hat{A}$ .

Note the (^) superscript notation is used to signify that the mathematical object is an operator. Most of the time, I will suppress this notation.

## Properties of Operators Corresponding to Observables

- Physical observables are represented by Hermitian matrices.
- A Hermitian matrix  $\hat{A}$  is a square matrix that satisfies the condition

$$\hat{A} = \hat{A}^\dagger \quad (1)$$

Definition:  $(\hat{A}^\dagger)_{ij} = A_{ji}^*$

- Hermitian matrices have real eigenvalues.

Proof: Consider the quantity

$$\text{Let } \hat{A} |a_n\rangle = a_n |a_n\rangle$$

$$\langle a_m | \hat{A} | a_n \rangle - \langle a_m | \hat{A}^\dagger | a_n \rangle = 0$$

This statement is true by the definition of a Hermitian matrix (Eq.1).

$$\underbrace{\langle a_m | \hat{A} | a_n \rangle}_{a_n \langle a_m | a_n \rangle} - \underbrace{\langle a_m | \hat{A}^\dagger}_{(\hat{A}|a_m\rangle)^\dagger = a_m^* \langle a_m|} |a_n\rangle = 0$$
$$= (a_n - a_m^*) \langle a_m | a_n \rangle = 0$$

## Properties of Operators Corresponding to Observables

Consider the case  $m = n$ :

$$\begin{aligned}(a_n - a_n^*) \langle a_n | a_n \rangle &= 0 \\ \langle a_n | a_n \rangle &\neq 0 \therefore (a_n - a_n^*) = 0 \\ \Rightarrow a_n &= a_n^* \therefore a_n \in \mathbb{R}\end{aligned}$$

Physically, all measurement outcomes corresponding to an observable must be real. This property of Hermitian matrices ensures real outcomes.

- 2 All eigenvectors with distinct eigenvalues are orthonormal.

Proof: The eigenvalues  $\{a\}$  of a Hermitian matrix are real. We showed that

$$\begin{aligned}(a_n - a_m^*) \langle a_m | a_n \rangle &= 0 \\ \Rightarrow (a_n - a_m) \langle a_m | a_n \rangle &= 0\end{aligned}$$

If  $a_n \neq a_m$ , then  $\langle a_m | a_n \rangle = 0$ .

## Operator Representation

- Let  $\{|a\rangle\}$  be the set of eigenvectors (I will also refer to them as eigenkets) corresponding to operator  $\hat{A}$  and  $\{a\}$  be the corresponding set of eigenvalues.

$$|\psi\rangle = \sum_m c_m |a_m\rangle \quad (2)$$

where  $\{c\}$  are the set of probability amplitudes.

- The eigenvectors  $\{|a\rangle\}$  form an orthonormal set, with

$$\langle a_n | a_m \rangle = \delta_{nm} \quad (3)$$

where  $\delta_{nm}$  is the Kronecker delta function

$$\delta_{nm} = \begin{cases} 1, & n = m \\ 0, & n \neq m \end{cases} \quad (4)$$

- We can use Eq.3 to calculate the probability of being in the state  $|a_n\rangle$ .

$$P_n = |\langle a_n | \psi \rangle|^2 = \left| \sum_m c_m \langle a_n | a_m \rangle \right|^2 = |c_n|^2 \quad (5)$$

## Operator Representation

- Based on Postulate 3, we seek to find the representation of  $\hat{A}$  in the eigenbasis  $\{|a\rangle\}$ .

$$\hat{A} |a_n\rangle = a_n |a_n\rangle$$

- The operator  $\hat{A}$  acting on an eigenvector  $|a_n\rangle$  returns the eigenvector  $|a_n\rangle$  scaled by the corresponding eigenvalue  $a_n$ .
- The matrix elements of  $\hat{A}$  are given by

$$A_{nm} := \langle a_n | \hat{A} | a_m \rangle = \langle a_n | (\hat{A} | a_m \rangle) = a_m \langle a_n | a_m \rangle = a_m \delta_{nm} \quad (6)$$

- We see from the form of Eq.6, that the matrix representation of an operator is diagonal when expressed in its eigenbasis, with the diagonal elements being the eigenvalues of the operator.

# Operator Representation

- Let  $\hat{A}$  be an operator corresponding to an observable in a 2-dimensional Hilbert space.

$$\hat{A} |a_1\rangle = a_1 |a_1\rangle$$

$$\hat{A} |a_2\rangle = a_2 |a_2\rangle$$

$$\hat{A} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}$$

$$A_{11} = a_1 \quad A_{12} = A_{21} = 0 \quad A_{22} = a_2$$

$$\hat{A} = \begin{pmatrix} a_1 & 0 \\ 0 & a_2 \end{pmatrix}$$

## Eigenvectors of $\hat{A}$

- To find for the eigenvectors of  $\hat{A}$ , we solve the eigenvalue equation.

$$\hat{A} |\psi\rangle = \lambda |\psi\rangle$$

- Let  $|\psi\rangle = \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$ .

$$\begin{pmatrix} a_1 & 0 \\ 0 & a_2 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \lambda \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$$

$$\begin{pmatrix} a_1 c_1 - \lambda c_1 \\ a_2 c_2 - \lambda c_2 \end{pmatrix} = 0$$

- $(\lambda = a_1) \Rightarrow \begin{pmatrix} 0 \\ c_2(a_2 - a_1) \end{pmatrix} = 0$

- In general  $a_1 \neq a_2 \therefore c_2 = 0$

$$\lambda = a_1, \quad |a_1\rangle = \begin{pmatrix} c_1 \\ 0 \end{pmatrix}$$

# Eigenvectors of $\hat{A}$

- We require the basis vectors to have a unit norm.

$$\langle a_1 | a_1 \rangle = |c_1|^2 = 1$$

$$|a_1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

- $(\lambda = a_2) \Rightarrow \begin{pmatrix} (a_1 - a_2)c_1 \\ 0 \end{pmatrix} = 0$

- In general,  $a_1 \neq a_2 \therefore c_1 = 0$

$$\lambda = a_2, \quad |a_2\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- Note that the eigenvectors of the operator are orthogonal unit vectors.

## Representation of the Operators $S_x$ , $S_y$ and $S_z$

- As an example, consider the representation of the three components of the angular momentum operator for a spin-1/2 particle.
- In this example, we choose the  $S_z$ -basis to represent the three operators.
- By Postulate 3, we know that  $\pm \frac{\hbar}{2}$  are the two eigenvalues for each operator.
- Representation of  $S_z$  in the  $S_z$ -basis:**
- We know that the eigenvectors corresponding to  $S_z$  are  $|\pm\rangle$ . We've also seen that eigenvectors are unit vectors if represented in their own basis. Therefore, in the  $S_z$  basis, we have

$$|+\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |-\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- We know that the states  $|\pm\rangle$  are eigenstates of  $S_z$ , with eigenvalues  $\pm \frac{\hbar}{2}$ .

$$S_z |\pm\rangle = \pm \frac{\hbar}{2} |\pm\rangle$$

## Representation of the Operators $S_x$ , $S_y$ and $S_z$

- Using Eq.6, we find the matrix elements of  $S_z$ .

$$(S_z)_{11} = \langle +|S_z|+ \rangle = \frac{\hbar}{2}, \quad (S_z)_{12} = \langle +|S_z|- \rangle = 0$$

$$(S_z)_{21} = \langle -|S_z|+ \rangle = 0, \quad (S_z)_{22} = \langle -|S_z|- \rangle = -\frac{\hbar}{2}$$

$$S_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Representation of  $S_x$  in the  $S_z$ -basis:

- We need to evaluate the matrix elements  $\langle +|S_x|+$ ,  $\langle +|S_x|- \rangle$ ,  $\langle -|S_x|+ \rangle$  and  $\langle -|S_x|- \rangle$ . This requires that we know how the operator  $S_x$  acts on the states  $|\pm\rangle$ .
- It's not immediately obvious how to do compute this, since  $|\pm\rangle$  are not eigenstates of the operator  $S_x$ . We do know however how  $S_x$  acts on  $|\pm\rangle_x$ , since these are it's eigenstates.

$$S_x |\pm\rangle_x = \pm \frac{\hbar}{2} |\pm\rangle_x$$

## Representation of the Operators $S_x$ , $S_y$ and $S_z$

- We further know how to represent the states  $|\pm\rangle_x$  in the  $S_z$ -basis.

$$|+\rangle_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad |-\rangle_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

- To evaluate the matrix elements of  $S_x$ , however, we need to know how to represent the  $S_z$ -eigenstates  $|\pm\rangle$  in terms of the eigenstates in the  $S_x$ -basis.
- We can apply a change of basis to represent  $|\pm\rangle$  in the  $S_x$ -basis.  
See Lecture 5 for details.

$$\begin{aligned}|+\rangle &= {}_x\langle +|+|+\rangle_x + {}_x\langle -|+|-\rangle_x \\|-\rangle &= {}_x\langle +|-|+\rangle_x + {}_x\langle -|-|-\rangle_x\end{aligned}$$

$$|+\rangle = \frac{1}{\sqrt{2}}(|+\rangle_x + |-\rangle_x)$$

$$|-\rangle = \frac{1}{\sqrt{2}}(|+\rangle_x - |-\rangle_x)$$

## Representation of the Operators $S_x$ , $S_y$ and $S_z$

- Calculate the matrix elements of  $S_x$

$$\begin{aligned}\langle +|S_x|+\rangle &= \frac{1}{2}({}_x\langle +| + {}_x\langle -|)S_x(|+\rangle_x + |-\rangle_x) \\ &= \frac{1}{2}({}_x\langle +| + {}_x\langle -|)(\frac{\hbar}{2}|+\rangle_x - \frac{\hbar}{2}|-\rangle_x) \\ &= 0\end{aligned}$$

$$\begin{aligned}\langle +|S_x|-\rangle &= \frac{1}{2}({}_x\langle +| + {}_x\langle -|)S_x(|+\rangle_x - |-\rangle_x) \\ &= \frac{1}{2}({}_x\langle +| + {}_x\langle -|)(\frac{\hbar}{2}|+\rangle_x + \frac{\hbar}{2}|-\rangle_x) \\ &= \frac{\hbar}{2}\end{aligned}$$

$$\begin{aligned}\langle -|S_x|+\rangle &= \frac{1}{2}({}_x\langle +| - {}_x\langle -|)S_x(|+\rangle_x + |-\rangle_x) \\ &= \frac{1}{2}({}_x\langle +| - {}_x\langle -|)(\frac{\hbar}{2}|+\rangle_x - \frac{\hbar}{2}|-\rangle_x) \\ &= \frac{\hbar}{2}\end{aligned}$$

## Representation of the Operators $S_x$ , $S_y$ and $S_z$

$$\begin{aligned}\langle -|S_x|-\rangle &= \frac{1}{2}({}_x\langle +| - {}_x\langle -|)S_x(|+\rangle_x - |-\rangle_x) \\ &= \frac{1}{2}({}_x\langle +| - {}_x\langle -|)(\frac{\hbar}{2}|+\rangle_x + \frac{\hbar}{2}|-\rangle_x) \\ &= 0\end{aligned}$$

$$S_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

- Representation of  $S_y$  in the  $S_z$ -basis:

$$|+\rangle_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}, \quad |-\rangle_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix}$$

- To evaluate the matrix elements of  $S_y$ , we need to know how to represent the  $S_z$ -eigenstates  $|\pm\rangle$  in terms of the eigenstates in the  $S_y$ -basis.

## Representation of the Operators $S_x$ , $S_y$ and $S_z$

- We can apply a change of basis to represent  $|\pm\rangle$  in the  $S_y$ -basis.

$$|+\rangle = {}_y\langle +|+\rangle |+\rangle_y + {}_y\langle -|+\rangle |-\rangle_y$$

$$|-\rangle = {}_y\langle +|-|+\rangle_y + {}_y\langle -|-|-\rangle_y$$

$$|+\rangle = \frac{1}{\sqrt{2}}(|+\rangle_y + |-\rangle_y)$$

$$|-\rangle = -\frac{i}{\sqrt{2}}(|+\rangle_y - |-\rangle_y)$$

- Calculate the matrix elements of  $S_y$

$$\begin{aligned}\langle +|S_y|+\rangle &= \frac{1}{2}({}_y\langle +| + {}_y\langle -|)S_y(|+\rangle_y + |-\rangle_y) \\ &= \frac{1}{2}({}_y\langle +| + {}_y\langle -|)(\frac{\hbar}{2}|+\rangle_y - \frac{\hbar}{2}|-\rangle_y) \\ &= 0\end{aligned}$$

## Representation of the Operators $S_x$ , $S_y$ and $S_z$

$$\begin{aligned}\langle + | S_y | - \rangle &= -\frac{i}{2}({}_y\langle +| + {}_y\langle -|)S_y(|+\rangle_y - |-\rangle_y) \\ &= -\frac{i}{2}({}_y\langle +| + {}_y\langle -|)(\frac{\hbar}{2}|+\rangle_y + \frac{\hbar}{2}|-\rangle_y) \\ &= -i\frac{\hbar}{2}\end{aligned}$$

$$\begin{aligned}\langle - | S_y | + \rangle &= \frac{i}{2}({}_y\langle +| - {}_y\langle -|)S_y(|+\rangle_y + |-\rangle_y) \\ &= \frac{i}{2}({}_y\langle +| - {}_y\langle -|)(\frac{\hbar}{2}|+\rangle_y - \frac{\hbar}{2}|-\rangle_y) \\ &= i\frac{\hbar}{2}\end{aligned}$$

$$\begin{aligned}\langle - | S_y | - \rangle &= \frac{1}{2}({}_y\langle +| - {}_y\langle -|)S_y(|+\rangle_y - |-\rangle_y) \\ &= \frac{1}{2}({}_y\langle +| - {}_y\langle -|)(\frac{\hbar}{2}|+\rangle_y + \frac{\hbar}{2}|-\rangle_y) \\ &= 0\end{aligned}$$

## Representation of the Operators $S_x$ , $S_y$ and $S_z$

$$S_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$