

First-order logic tautology prover

Deadline: 24th June, 2022, 20:00

The objective of this assignment is to build a prover for tautologies of first-order logic based on Herbrand's theorem and the Davis-Putnam SAT solver. Modern provers are based on elaborations of this basic algorithm. On an input formula φ :

1. Convert $\neg\varphi$ to an equisatisfiable Skolem normal form $\psi \equiv \forall x_1, \dots, x_n \cdot \xi$, with ξ quantifier-free.
2. Verify that ψ is unsatisfiable: By Herbrand's theorem, it suffices to find n -tuples of ground terms $\bar{u}_1, \dots, \bar{u}_m$ (i.e., elements of the Herbrand universe) s.t.

$$\xi[\bar{x} \mapsto \bar{u}_1] \wedge \dots \wedge \xi[\bar{x} \mapsto \bar{u}_m]$$

is unsatisfiable.

3. Use the Davis-Putnam SAT solver for propositional logic to check that the formula above is unsatisfiable.

Notice that (A) if φ is a tautology, then the algorithm above will terminate correctly verifying that φ is indeed a tautology, and if φ is not a tautology, then the algorithm above will not terminate if (B) ξ contains free variables and the Herbrand universe is infinite, and will otherwise terminate reporting that φ is not a tautology if (C.1) ξ does not contain free variables, or (C.2) the Herbrand universe is finite.

Examples. For an instance of case (A), consider the *drinker's paradox* $\varphi \equiv \exists x \cdot (D(x) \rightarrow \forall y \cdot D(y))$, which is a tautology of first-order logic. Its negation $\neg\varphi$ is logically equivalent to $\forall x \cdot (D(x) \wedge \exists y \cdot \neg D(y))$, which in prenex normal form is $\forall x \cdot \exists y \cdot (D(x) \wedge \neg D(y))$. By Skolemisation we obtain the equisatisfiable formula in Skolem normal form

$$\psi \equiv \forall x \cdot \underbrace{(D(x) \wedge \neg D(f(x)))}_{\xi},$$

where we have introduced a new Skolem functional symbol " f ". Notice that at this point the Herbrand universe is empty (since there is no constant symbol), so we add to the signature an additional constant symbol c . (If there is already a constant symbol in the signature, then we do not need to add anything.) In this way the Herbrand universe contains all terms $c, f(c), f(f(c)), \dots$. We now instantiate x in ξ with the two ground terms $u_1 \equiv c$ and $u_2 \equiv f(c)$, obtaining the ground formula

$$\underbrace{(D(c) \wedge \neg D(f(c)))}_{\xi[x \mapsto c]} \wedge \underbrace{(D(f(c)) \wedge \neg D(f(f(c))))}_{\xi[x \mapsto f(c)]},$$

which is clearly propositionally unsatisfiable. This confirms that the drinker's paradox is a tautology of first-order logic.

For an instance of case (B), consider the non-tautology $\varphi \equiv \exists x \cdot \forall y \cdot P(x, y)$. By negating and Skolemising we get $\psi \equiv \forall x \cdot \neg P(x, f(x))$, we add a fresh constant symbol c to make the Herbrand universe nonempty, however every finite expansion

$$\neg P(c, f(c)) \wedge \neg P(f(c), f^2(c)) \wedge \dots \wedge \neg P(f^n(c), f^{n+1}(c))$$

is satisfiable (and thus ψ is satisfiable, and thus φ is not a tautology), however the program cannot determine this in a finite number of steps.

For an instance of case (C.1) consider the non-tautology $\varphi \equiv \forall x \cdot R(c, f(x))$, which after negation and Skolemisation becomes the satisfiable $\psi \equiv \neg R(c, f(d))$, which has no free variables and thus we can conclude that φ is a non-tautology. Note that the Herbrand universe is infinite in this case. Finally, for an instance of case (C.2) consider another non-tautology $\varphi \equiv \forall x, y \cdot \exists z \cdot R(x, y, z)$, after negation and Skolemisation we obtain $\psi \equiv \forall z \cdot \neg R(c, d, z)$ for two fresh constants c, d (comprising the entire Herbrand universe, which is thus finite in this case) and thus after verifying that the finite expansion $R(c, d, c) \wedge R(c, d, d)$ is satisfiable we can conclude that φ is a non-tautology.

Programming languages. The assignment can be solved using any modern programming language such as C, C++ (gcc), Java, Python, OCaml, Haskell... It is mandatory to provide a Makefile allowing the project to be automatically built and run in a modern computing environment. Typing “make” should result in an executable file called **F0-prover**, which will be run by the test suite to score the solution. In the file **F0-prover.hs** there is a simple skeleton written in Haskell correctly parsing the input. This can be used as a starting point to write the solution.

Input & output. The solution program must read the standard input **stdin**. The input consists of a single line encoding a formula of first-order logic φ according to the following Backus-Naur grammar (c.f. **Formula.hs**):

$$\begin{aligned} \varphi, \psi &::= \text{T} \mid \text{F} \mid \text{Rel "string"} [t_1, \dots, t_n] \mid \text{Not } (\varphi) \mid \text{And } (\varphi) (\psi) \mid \text{Or } (\varphi) (\psi) \mid \\ &\quad \text{Implies } (\varphi) (\psi) \mid \text{Iff } (\varphi) (\psi) \mid \\ &\quad \text{Exists "string"} (\varphi) \mid \text{Forall "string"} (\varphi) \end{aligned}$$

where **string** is any sequence of alphanumeric characters, and the terms t_i 's are in turn generated by the following grammar:

$$t ::= \text{Var "string"} \mid \text{Fun "string"} [t_1, \dots, t_n].$$

For example, the input for drinker's paradox is:

```
Exists "x" (Implies (Rel "D" [Var "x"]) (Forall "y" (Rel "D" [Var "y"])))
```

See also the tests in **./tests** for further examples of input formulas.

F0-prover should output 1 (tautology) or 0 (non-tautology). Any other output will be considered invalid.

Testing, scoring, and grading. The script `./run_tests.sh` scores the provided solution against examples of type A, B, and C. The program is run for 10 seconds on each input instance (to have an idea: on a 2,3 GHz Quad-Core Intel Core i5 with 16 GB RAM) and assigns a score as follows:

type	output 0	output 1	timeout	# instances
A	-2	+1	0	76
B	+2 (!)	-2	+1	5
C	0	-2	-1	50

The total score is the sum of the scores for every input. The total number of points for this project is 30. If the score is $x \in \{-232, \dots, 86\}$, then the number of awarded points is

$$\left\lceil \frac{\min(\max(x, 0), 81) \cdot 30}{81} \right\rceil.$$

Submission. The submission is done on moodle.