Practical course using the R software

Analysing outbreak data using \mathbb{Q} : some exploratory approaches

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Abstract

This practical introduces some simple analyses of pathogen genome data collected during disease outbreaks, using the \mathfrak{Q} software [4]. We illustrate how different approaches including phylogenetics, genetic clustering and SeqTrack [2] can be used to uncover the features of a disease outbreak, and possibly help designing containment strategies. This tutorial uses the packages ape [3] for phylogenetic analyses and adegenet [1] for genetic clustering and transmission tree reconstruction (SeqTrack algorithm). While the data and analysed outbreak are purely fictional, the methodology presented here will be useful for the first exploration of a range of actual disease outbreaks.

Imperial College London

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1 Introduction

1.1 An emerging pathogen outbreak

A new virus has just emerged in the small city of Arkham, Massachusetts (USA), causing an outbreak of a very peculiar and unique disease. The most common symptoms include dementia and possible fever, resulting in frequently attempted cannibalism and subsequent isolation of the patients (Figure 1).



Figure 1: Example of a "mild" case.

Unfortunately, in a smaller number of more concerning cases the patients were seen to grow fangs, claws, and various numbers of tentacles and pseudopods, and were subsequently shot by the police forces (Figure 2). Authorities refer to the two types of cases as "mild" and "severe", respectively.



Figure 2: Example of a "severe" case.

1.2 Your objective

An expert in the analysis of disease outbreaks, you have been mandated for the analysis of the first collected data. So far, the mode of transmission of the disease is not obvious, but the pathogen has been identified as a virus, and its genome sequenced. Your task is to exploit this information to cast some light on who infected whom.

2 First look at the data

We first load two R packages used for the analysis of the data, ape (for phylogenetics) and adequate (for genetic clustering and SegTrack).

```
> library(ape)
> library(adegenet)
```

The data consists of two files: one file cases.csv containing description of the first 30 cases sampled so far, and a DNA alignment in fasta format (alignment.fa) containing one viral genome sequence for each case. We read these data directly from the server where they are available, starting with case description:

> cases <- read.csv("http://adegenet.r-forge.r-project.org/files/fakeOutbreak/cases.csv")
> cases

```
id collec.dates sex age peak.fever outcome 1 2013-02-18 m 30 37.5 mild
                                                                                  notes
                           m
                                           37.5
                               40
          2013-02-20
                           f
                                           38.5
                                                     mild
234567
     3
                                           38.0
          2013-02-21
                           f
                               32
                                                     mild
                                           38.5
     4
          2013-02-21
                               35
                           m
                                                     mild
     5
                           f
                                3
          2013-02-22
                                           39.5
                                                     mild
          2013-02-24
                               34
                                           39.0
                                                     mild
          2013-02-23
                               61
                                           40.0
                           m
                                                   severe
8
     8
                           f
          2013-02-24
                               68
                                           39.5
                                                   severe
          2013-02-24
     9
                               35
                                           39.5
                           _{\mathtt{f}}^{\mathtt{m}}
                                                     mild
10 10
                               34
          2013-02-24
                                           39.5
                                                     mild
          2013-02-26
                               26
11
   11
                           m
                                           39.0
                                                     mild
12
   12
          2013-02-25
                           f
                               69
                                           37.5
                                                   severe
13
   13
          2013-02-25
                           m
                               19
                                           40.5
                                                     mild
          2013-02-25
14
   14
                           f
                                           37.5
                               66
                                                     mild
                           f
                                           37.0
15
   15
          2013-02-25
                                3
                                                     mild
          2013-02-26
16
   16
                           m
                               19
                                           37.0
                                                     mild
17
   17
          2013-02-26
                               35
                                           38.5
                           m
                                                     mild
18
   18
          2013-02-27
                               37
                                           37.0
                           m
                                                     mild
                                           37.5
          2013-02-26
19
   19
                               11
                                                     mild
                           m
   20
21
22
23
24
25
26
27
          2013-02-28
2013-02-27
20
21
22
23
24
                                           37.5
                           m \\
                               35
                                                     mild
                                           37.0
                           m
                               49
                                                     mild
          2013-02-28
                               35
                                           37.0
                                                     mild
                           m
          2013-02-26
                           m
                               34
                                           37.0
                                                     mild
          2013-02-27
                               59
                                           37.5
                           m
                                                   severe
25
26
27
                                           37.0
          2013-02-26
2013-02-26
                           f
                               47
                                                     mild
                               34
                                           37.0
                                                     mild
          2013-02-28
                               26
                                           37.5
                                                     mild
28
   28
          2013-02-27
                           f
                                                           possible-contamination
                               16
                                           37.0
                                                     mild
   29
29
          2013-03-01
                           f
                               15
                                           41.0
                                                     mild
30 30
          2013-03-01
                                           37.0
                               40
                                                     mild
```

The data contain the following fields: id is the identifier of the cases, collec.dates are collection dates (in format yyyy-mm-dd), the gender (sex) and age (age) of the patients, the highest temperature of the case (peak.fever), and the outcome of the case (outcome). The additional field notes has been used for notes on the samples, and indicates that sample 28 might have experienced DNA contamination (possible mixture of different samples).

As operations on the collection dates will be useful, we convert the dates into Date objects; we also create a new object days, which gives collection times in number of days after the first sample (which has been sampled, by definition, on day 0):

```
> dates <- as.Date(cases$collec.dates)
> head(dates)

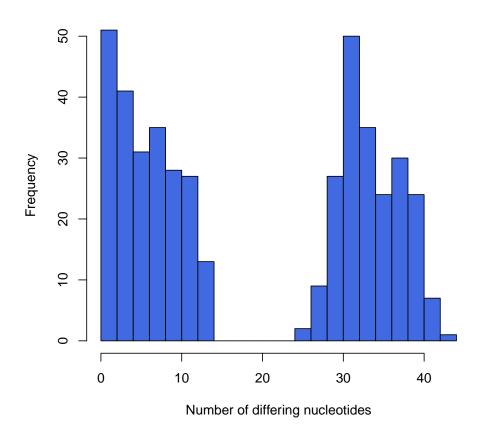
[1] "2013-02-18" "2013-02-20" "2013-02-21" "2013-02-21" "2013-02-22"
[6] "2013-02-24"

> range(dates)

[1] "2013-02-18" "2013-03-01"
```

```
> days <- as.integer(difftime(dates, min(dates), unit="days"))</pre>
      0 2 3 3 4
                       6 5 6
                                 6
                                    6 8 7 7 7 7 8 8 9 8 10 9 10 8 9 8
      8 10
             9 11 11
   DNA sequences for the 30 cases are read from the server using fasta2DNAbin:
> dna <- fasta2DNAbin("http://adegenet.r-forge.r-project.org/files/fakeOutbreak/alignment.fa")</pre>
 Converting FASTA alignment into a DNAbin object...
 Finding the size of a single genome...
 genome size is: 10,000 nucleotides
( 168 lines per genome )
 Importing sequences...
Forming final object...
...done.
> dna
30 DNA sequences in binary format stored in a matrix.
All sequences of same length: 10000
Labels: 1 2 3 4 5 6 ...
Base composition:
a c g t
0.251 0.242 0.251 0.256
   To have an idea of the existing diversity in these sequences, we compute the
simple pair-wise Hamming distances and plot their distribution:
> D <- dist.dna(dna, model="N")</pre>
> hist(D, col="royalblue", nclass=30,
+ main="Distribution of pairwise genetic distances",
+ xlab="Number of differing nucleotides")
```

Distribution of pairwise genetic distances



For such a small temporal scale and genome, the amount of diversity is considerable. The fact that the distribution is clearly bimodal suggests the existence of at least two clades (and possibly more).

It may be interesting to see if this remarkable polymorphism is distributed randomly across the genome. We can extract SNPs very simply from the DNA sequences using seg.sites:

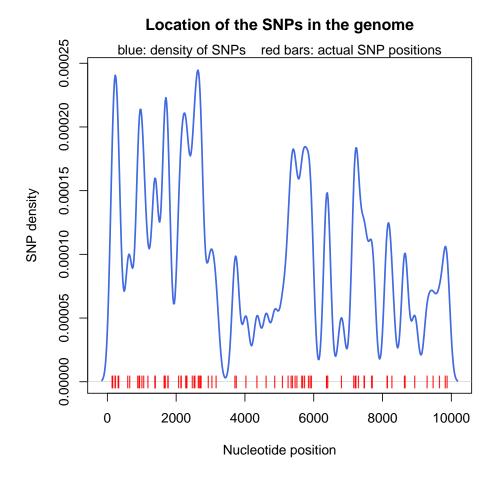
```
> snps <- seg.sites(dna)
> head(snps)
```

[1] 142 161 226 236 313 331

> length(snps)

[1] 79

There are 79 polymorphic sites in the sample. We can visualize their position, and try to detect hotspots of polymorphism by computing the density of SNPs as we move along the genome:



Here, the polymorphism seems to be distributed fairly randomly.

3 Phylogenetic analysis

The genetic relationships between a set of taxa are often best inferred using phylogenetic trees. Here, we reconstruct a phylogenetic trees using the usual Neighbour-Joining algorithm on pairwise genetic distances. As the mere numbers of differing nucleotides may be too crude a measure of genetic differentiation, we use Tamura and Nei's distance, which handles different rates for transitions and transversions (see ?dist.dna for other available distances):

```
> D.tn93 <- dist.dna(dna, model="TN93")</pre>
```

The package ape makes the construction of phylogenies from distances matrices easy; in the following, we create a Neighbour-Joining tree (nj) based on our new distance matrix (D.tn93), we root this tree to the first sample (root), and ladderize it to make it prettier (ladderize):

```
> tre <- root(tre,1)
> tre <- ladderize(tre)</pre>
```

We also rename the tips of the tree (tre\$tip.label) to include the collection dates after the case indices:

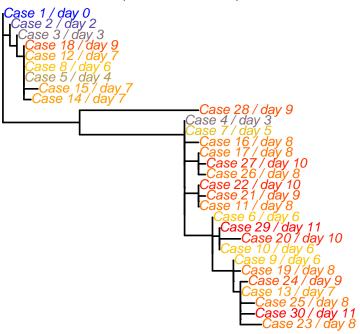
```
> tre$tip.label <- paste("Case ",1:30, " / day ", days, sep="")</pre>
```

Finally, we plot the resulting tree, using colors to represent collection dates (blue: ancient; red: recent):

```
> plot(tre,edge.width=2, tip.col=num2col(days, col.pal=seasun))
> title("Neighbour-Joining tree (TN93 distances)")
> mtext(side=3, text="(rooted to first case)")
```

Neighbour-Joining tree (TN93 distances)

(rooted to first case)



The tree clearly shows at least two distinct clades, possibly three. This could be due to discontinuous sampling, but the dates/colors clearly show that this is not the case: case 4 was sampled on day 3, and is genetically very distinct from e.g. cases 1–3.

4 Identifying clusters of cases

Identifying clusters of cases from a phylogeny is not always straightforward. Adegenet implements a simple clustering approach based on the number of mutations separating sequences, classifying them in the same cluster if their distance is less than a given threshold. This function is called **gengraph**, and can be used with an interactive mode (by default), using:

```
> clust <- gengraph(D)
```

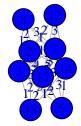
(legend: sequences are the nodes of the graphs; edges link sequences from the same cluster; numbers on the edges indicate numbers of mutations)

Try a few values; you should see that 3 groups are obtained for anything between 15 and 25 mutations, with the result looking like this:

Clusters obtained by gengraph



> plot(clust\$g, main="Clusters obtained by gengraph")





> cases[28,]

This confirms what the phylogeny suggested: there are two distinct clades, and one outlier (case 28), which is very likely an indication that this sample was indeed contaminated — as a reminder:

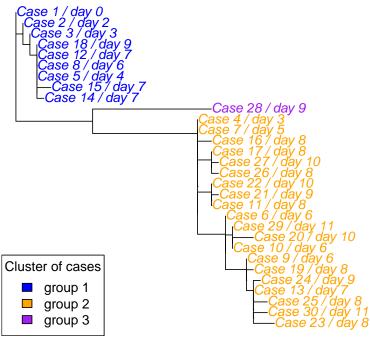
```
id collec.dates sex age peak.fever outcome notes
28 28 2013-02-27 f 16 37 mild possible-contamination
```

We can verify the congruence of the groups and the phylogeny easily:

```
> plot(tre, tip.color=clust$col[clust$clust$membership])
> title("Neighbour-Joining tree (TN93 distances)")
> mtext(side=3, text="(rooted to first case)")
> legend("bottomleft", fill=clust$col, legend=paste("group",1:3), title="Cluster of cases")
```

Neighbour-Joining tree (TN93 distances)

(rooted to first case)



5 Analysis using SeqTrack

5.1 Transmission tree reconstruction using SeqTrack

The phylogenetic tree gives us an idea of the possible chains of transmissions, but overlooks the collection dates. The SeqTrack algorithm has been designed to fill this gap. It aims to reconstruct ancestries between the sampled sequences based on their genetic distances and collection dates, so that the obtained tree has maximum parsimony. It is implemented in adegenet by the function seqTrack (see ?seqTrack). Here, we use SeqTrack on the matrix of pairwise distances (distmat), indicating the labels of the cases (x.names=cases\$id) and the collection dates (x.dates=dates):

```
> distmat <- as.matrix(D)</pre>
> res <- seqTrack(distmat, x.names=cases$id, x.dates=dates)
> class(res)
[1] "seqTrack"
                        "data.frame"
> res
    id ances weight
                                   date ances.date
             NA
                      NA 2013-02-18
                           2013-02-20
                                          2013-02-18
234567
     2
3
4
                      1 2013 02 20 2013 02 10
1 2013-02-21 2013-02-20
26 2013-02-21 2013-02-18
1 2013-02-22 2013-02-21
                                          2013-02-20
2013-02-18
              2
              1
     5
              3
     6
7
                           2013-02-24
                                          2013-02-21
                           2013-02-23
                                          2013-02-21
              4
                          2013-02-24 2013-02-22
2013-02-24 2013-02-21
2013-02-24 2013-02-21
8
     8
              5
9
     9
              4
10 10
              4
    11
              4
                           2013-02-26
                                          2013-02-21
12
              5
                          2013-02-25
                                          2013-02-22
    12
              9
                           2013-02-25
13
    13
                        1
                                          2013-02-24
                          2013-02-25 2013-02-22
2013-02-25 2013-02-22
14
    14
              5
              5
15 15
16
    16
              4
                           2013-02-26
                                          2013-02-21
17
              4
                           2013-02-26 2013-02-21
    17
18
              5
                        0
                          2013-02-27
                                          2013-02-22
    18
                          2013-02-26 2013-02-24
2013-02-28 2013-02-24
19
    19
              9
20 20 21 21 22 22 23 23 24 24 25 25 26 26 27 27
             10
                          2013-02-27
2013-02-28
             11
                                          2013-02-26
             11
                                          2013-02-26
                        3 2013-02-26 2013-02-25
1 2013-02-27 2013-02-25
             13
             13
                                          2013-02-25
2013-02-21
                           2013-02-26
             13
                          2013-02-26
              4
             17
                           2013-02-28
                                          2013-02-26
28 28
29 29
                       28
                          2013-02-27 2013-02-18
              1
                           2013-03-01 2013-02-24
             10
                        0
30 30
             13
                          2013-03-01 2013-02-25
following information:
```

The result res is a data.frame with the special class seqTrack, containing the

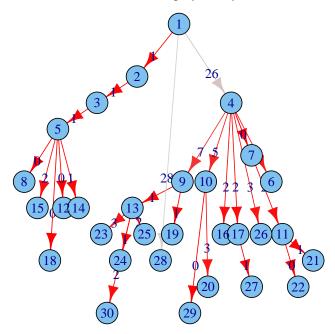
- * res\$id: the indices of the cases.
- * res\$ances: the indices of the putative ancestors of the cases.
- * res\$weight: the number of mutations corresponding to the ancestries.
- * res\$date: the collection dates of the cases.
- * res\$ances.date: the collection dates of the putative ancestors.

seqTrack objects can be plotted simply using:

```
> g <- plot(res, main="SeqTrack reconstruction of the outbreak")
> mtext(side=3, text="red: no/few mutations; grey: many mutations")
```

SeqTrack reconstruction of the outbreak

red: no/few mutations; grey: many mutations



> g

```
IGRAPH DNW- 30 29 -- + attr: name (v/c), dates (v/n), weight (e/n), label (e/n), color (e/c)
```

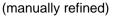
Each sequence/case is a node of the graph, and arrows model putative ancestries/transmissions. The number of mutations between ancestors and descendents are indicated by the color of the arrows (red = no/few mutations; light grey= many mutations) and the numbers in blue. Time is represented on the y axis (up: ancient; down: recent). Note that the function plot here returns a graph object which can be used for further visualization. In particular, tkplot offers a basic interface for interactive graphics which you can try using:

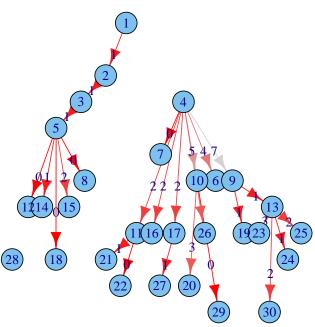
> tkplot(g)

One of the basic limitations of SeqTrack is made quite obvious here: all sequences are forced to coalesce to the initial one, while there are some clearly distinct clusters indicative of two separate introductions (cases 1 and 4). Sequence 28 cannot be trusted, so it is pointless to seek its ancestry. We can fix all this manually:

```
> res$ances[4] <- NA
> res$ances[28] <- NA
> plot(res, main="SeqTrack reconstruction of the outbreak")
> mtext(side=3, text="(manually refined)")
```

SeqTrack reconstruction of the outbreak





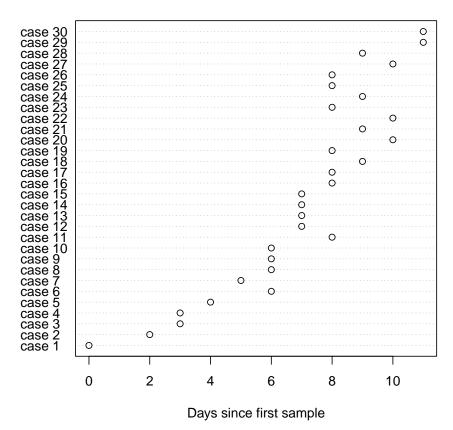
5.2 Inference from the reconstructed tree

One of the first concerns once we inferred a transmission tree is the identification of key individuals for the spread of the epidemic. This can be assessed by computing the number of secondary cases per infected individual, that is, the individual effective reproduction numbers (R_i) . We compute these values from the SegTrack output:

Now that we have this proxy for the "infectiousness" of individuals, we can try to correlate it to other factors such as age, sex, or other measured covariates. Note that we only have a snapshot of an ongoing epidemic, so we probably have not measured the infectiousness of the last infected individuals. Let us first have another look at the distribution of the collection dates:

```
> dotchart(days,labels=paste("case", 1:30),
+ xlab="Days since first sample",
+ main="Distribution of the collection dates")
```

Distribution of the collection dates



There is no obvious way of defining a threshold date, but keeping all cases until day 8 (included) seems to exclude most recent cases while conserving a fair portion of the sample.

> toKeep <- days<9

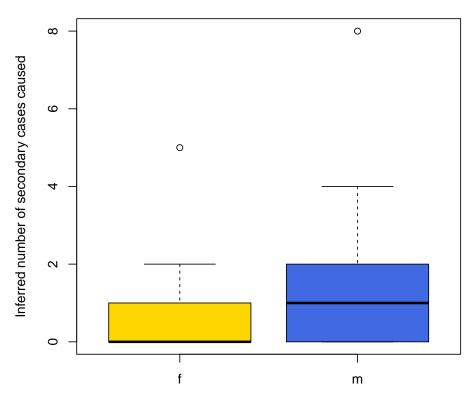
We can now examine and test possible relationships between R_i (object Rindiv) and covariates in cases. For a reminder:

> head(cases)

```
id collec.dates sex age peak.fever outcome notes
1 2013-02-18 m 30 37.5 mild
         2013-02-18
                                        37.5
                                                  mild
1
2
   2
         2013-02-20
                                        38.5
                         f
                             40
                                                  mild
         2013-02-21
                         f
                            32
                                        38.0
                                                  mild
         2013-02-21
                            35
                                        38.5
                                                  mild
                         m
   5
         2013-02-22
                              3
                                        39.5
                         f
                                                  mild
         2013-02-24
                         f
                             34
                                        39.0
                                                  mild
```

Interprete the following graphs and tests:

Inferred infectivity vs gender

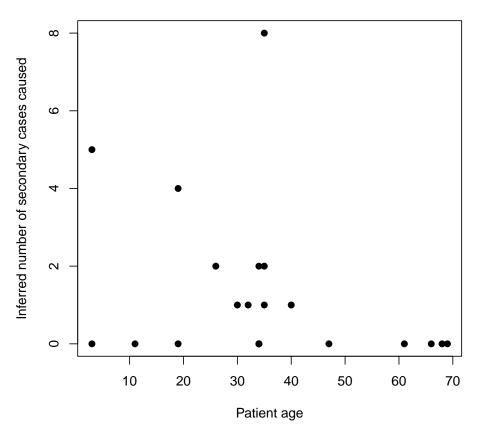


Patient gender

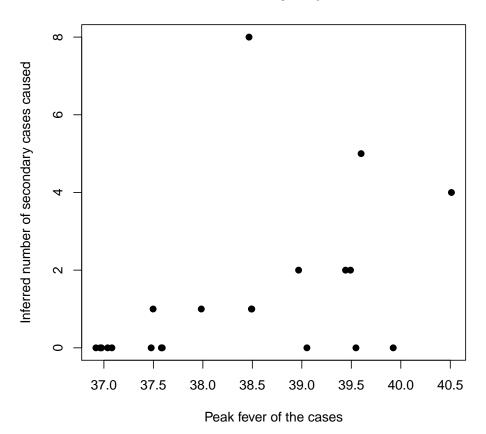
> t.test(Rindiv[toKeep]~cases\$sex[toKeep])

```
Welch Two Sample t-test
```

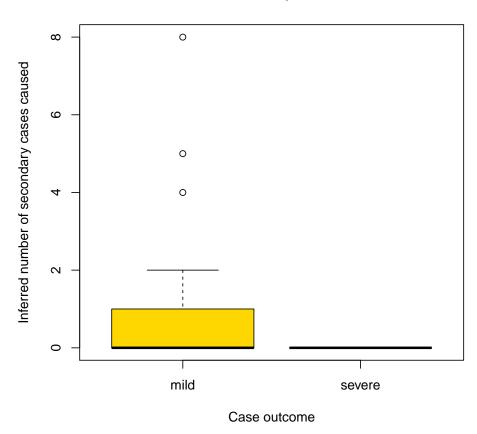
Inferred infectivity vs age



Inferred infectivity vs peak fever



Inferred infectivity vs outcome



> t.test(Rindiv~cases\$outcome)

```
Welch Two Sample t-test

data: Rindiv by cases$outcome
t = 2.7605, df = 24, p-value = 0.01088
alternative hypothesis: true difference in means is not equal to 0
95 percent confidence interval:
0.2725258 1.8874742
sample estimates:
mean in group mild mean in group severe
1.08
0.00
```

5.3 Update from detailed case investigations

As you were finishing your analyses, you have been updated on the situation by the authorities. Apparently, detailed investigations have helped casting light on the transmissions that took place for the first 25 cases. Information on likely infectors is contained in the following file:

> newinfo <- read.csv("http://adegenet.r-forge.r-project.org/files/fakeOutbreak/update.csv")
> newinfo

```
infection.dates infectors
1 2013-02-15 NA
2 2013-02-17 1
3 2013-02-19 2
4 2013-02-19 NA
5 2013-02-21 3
6 2013-02-21 4
7 2013-02-21 4
8 2013-02-22 5
```

```
2013-02-22
2013-02-23
9
                                 6
7
10
          2013-02-23
11
          2013-02-23
12
                                 895577
13
          2013-02-23
14
          2013-02-24
15
          2013-02-24
16
          2013-02-24
17
          2013-02-24
          2013-02-25
                                 8
18
                                 9
19
          2013-02-25
20
          2013-02-25
                                10
21
          2013-02-25
                                11
22
          2013-02-25
                                11
23
          2013-02-25
                                13
          2013-02-25
24
                                13
          2013-02-25
25
                                13
```

It is fairly straightforward to compare SeqTrack's results to this new data; we just need to avoid comparing NAs (as NA==NA is NA, not TRUE), so we replace unknown ancestries (NA) with 0.

```
> res$ances[is.na(res$ances)] <- 0</pre>
 newinfo$infectors[is.na(newinfo$infectors)] <- 0</pre>
> comp <- rbind(res$ances[1:25], newinfo$infectors)
> rownames(comp) <- c("SeqTrack","investigations")</pre>
> colnames(comp) <- paste("case", 1:25)</pre>
> comp
                          case 2 case 3
                                           case 4 case 5 case 6 case 7 case 8 case
                  case 1
                                                  0
                                                          3
                                                                   4
SeqTrack
                                         2
                                                                            4
                                                                                    5
                        0
                                         2
investigations
                        0
                                                  0
                                                           3
                                                                   4
                  case 10
                           case 11
                                      case 12
                                               case 13 case 14
                                                                   case 15
                                                                             case 16
                                                                                             17
SeqTrack
                                             8
investigations
                                                                                             25
13
                                            20 case 21 case 22 case
                                                                         23 case 24
                            case 19
                  case 18
                                     case
                                                                                      case
                                            10
                                                                         13
                                                                                   13
SeqTrack
                                                      11
                                                               11
investigations
                          8
                                   9
                                            10
                                                      11
                                                               11
                                                                         13
                                                                                   13
                                                                                             13
> mean(comp[1,] == comp[2,])
[1] 0.72
```

Not too bad: SeqTrack and the detailed field investigations agree in 72% of cases.

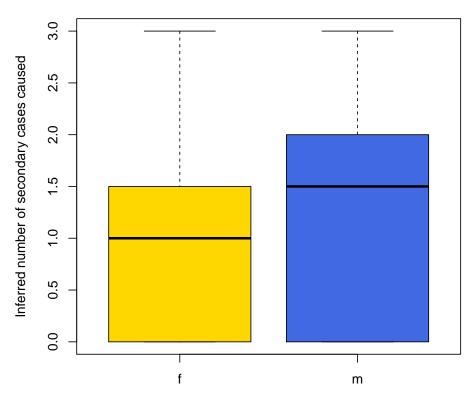
Let us examine again the possible effect of covariates on individual reproduction numbers R_i , this time computing R_i from the investigation data:

```
> Rindiv2 <- sapply(1:30, function(i) sum(newinfo$infectors==i, na.rm=TRUE))</pre>
 names(Rindiv2) <- paste("case",1:30,sep="")</pre>
> Rindiv2
        case2
                case3
                       case4
                               case5
                                      case6
                                              case7
                                                      case8
                                                             case9 case10 case11
 case1
                                                  3
                                     case17
case12
       case13
               case14
                      case15
                              case16
                                             case18
                                                    case19 case20 case21
                    0
                                           0
                                   0
                                                  0
case23 case24 case25
                      case26 case27 case28
                                             case29
                                                    case30
```

Again, we discard the most recent cases (collection on day 9 and later; this information is still in toKeep). What can you conclude from the following graphs and tests:

```
> boxplot(Rindiv2[toKeep]~cases$sex[toKeep], xlab="Patient gender",
+ ylab="Inferred number of secondary cases caused", col=c("gold","royalblue"))
> title("Inferred infectivity vs gender")
```

Inferred infectivity vs gender

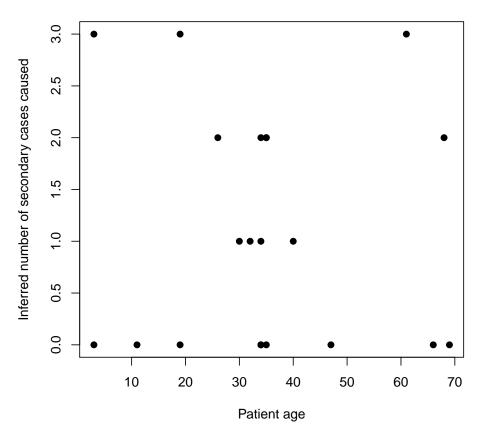


Patient gender

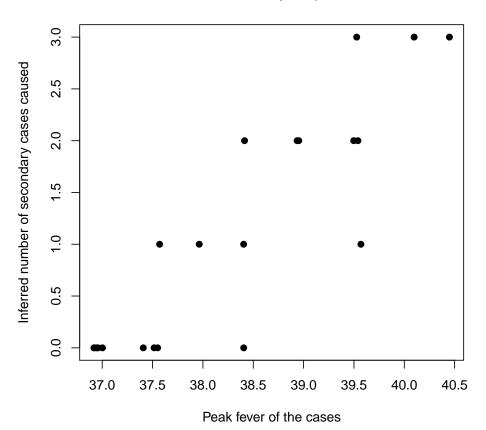
> t.test(Rindiv2[toKeep]~cases\$sex[toKeep])

```
Welch Two Sample t-test
```

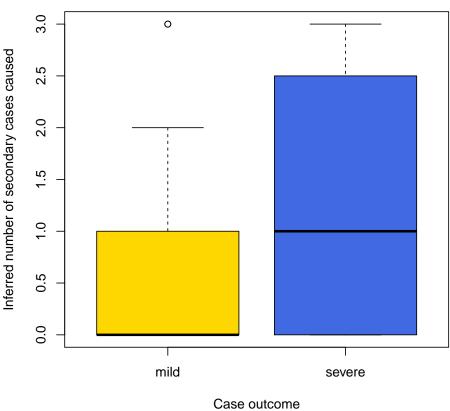
Inferred infectivity vs age



Inferred infectivity vs peak fever



Inferred infectivity vs outcome



> t.test(Rindiv2~cases\$outcome)

```
Welch Two Sample t-test
data: Rindiv2 by cases$outcome t = -0.7189, df = 3.432, p-value = 0.5181 alternative hypothesis: true difference in means is not equal to 0
95 percent confidence interval:
 -2.859747
                1.744363
sample estimates:
  mean in group mild mean in group severe
```

What can you say about the transmissibility of this disease? Should prophylaxis target specific groups of individuals? Looking back at the data, especially the most recent cases:

> tail(cases, 10)

```
notes
21 21
22 22
23 23
24 24
25 25
26 26
27 27
28 28
29 29
30 30
                           m
          2013-02-26
                           \mathbf{m}
                              34
                                          37.0
                                                    mild
          2013-02-27
2013-02-26
                           m
                              59
                                          37.5
                                                  severe
                               47
                                          37.0
                                                    mild
                           f
f
                                          37.0
          2013-02-26
                               34
                                                    mild
          2013-02-28
                              26
                                          37.5
                                                    mild
          2013-02-27
                           f
                               16
                                          37.0
                                                    mild possible-contamination
          2013-03-01
2013-03-01
                               15
                                          41.0
                                                    mild
                               40
                                          37.0
                                                    mild
```

Which individual(s) would you recommend isolating in priority?

References

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- [3] E. Paradis, J. Claude, and K. Strimmer. APE: analyses of phylogenetics and evolution in R language. *Bioinformatics*, 20:289–290, 2004.
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