An introduction to VPA

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1 Introduction

VPA (Variant Pattern Analyzer) is an R/Bioconductor package for prioritizing variants with user-specified frequency pattern from multiple study subjects in next generation sequencing study. The package starts from individual files of sequence and variant calls and extract variants with user-specified frequency pattern across the study subjects of interest. Positional level quality criteria including phred-like quality score and sequencing depth can be incorporated into variant extraction. It can be used in studies with matched pair design as well as studies with multiple distinct groups of subjects. Written in open source R environment, it provides the flexibility for users to adopt, extend and customize the functionality for their specific needs.

The input for VPA consists basically of two types of files for each sample subject, containing position-level information of variant calls (required) and sequence calls (optional). The specific format of input files is Variant Call Format (VCF). VCF format has been widely used in the next-generation sequencing studies. The variant and sequence call data in VCF format can be generated

using popular SAMtools or GATK. It contains quality information of all sequenced positions, such as sequencing depth and phred-like quality score.

The VPA package has been implemented in both sequential mode and parallel mode.

First of all, the package should be installed and loaded.

> library(VPA)

A test data set is available in the sub-directory 'extdata' of package 'VPA'.

> dirpath <- system.file("extdata", package = "VPA")</pre>

2 Work flow

For a next-generation sequencing study, samples from different sources can often be classified into groups with distinct phenotype. For example, In an application of three-group design, samples can assigned to group with aggressive phenotype, group with benign phenotype, and group with normal phenotype. Variants with certain frequency pattern relating to phenotype status of groups could be of interest for prioritization, such as recurrent in group with aggressive phenotype, not recurrent in group with benign phenotype, and not observed in group with normal phenotype.

It takes three simple steps to extract the variants with user-specified frequency pattern and quality criteria.

2.1 Quality filtering

The first step is to load variant and sequence call data in VCF format and perform position-level quality filtering. The function LoadFiltering is designed to conduct this preprocessing procedure. The index1.txt file contains the annotation information of each sample. Each row is for one sample. The four columns are separated by tab, including sample name (required), group status (required), variant call file name (required) and sequence call file name (optional). Sample name column lists the sample name. Group status column lists status (e.g., aggressive, benign or normal) of group each sample belongs to. Variant call file name column lists the path of VCF formatted variant call file. Sequence call file name column lists the path of compressed VCF sequence call file. The high-volume data in tab-delimited VCF formats can be efficiently compressed by bgzip program and retrieved through tabix program from open-source SAM-tool package. If the VCF format file is compressed by bgzip, tabix should be installed. The path of tabix could be specified in the optional argument of LoadFiltering function if it is not in the PATH system environment.

```
> read.table(file.path(dirpath, "index1.txt"), sep = "\t")
```

```
V1 V2 V3 V4

1 1151HZ0001 A 1151HZ0001.flt.vcf 1151HZ0001.vcf.gz

2 1151HZ0002 A 1151HZ0002.flt.vcf 1151HZ0002.vcf.gz

3 1151HZ0004 A 1151HZ0004.flt.vcf 1151HZ0004.vcf.gz

4 1151HZ0005 A 1151HZ0005.flt.vcf 1151HZ0005.vcf.gz

5 1151HZ0006 B 1151HZ0006.flt.vcf 1151HZ0006.vcf.gz

6 1151HZ0007 B 1151HZ0007.flt.vcf 1151HZ0007.vcf.gz
```

```
> varflt <- LoadFiltering(file.path(dirpath, "index1.txt"), datadir = dirpath,
      filtering = TRUE)
Load variants for 1151HZ0001:
Read data...
Format to vcf object...
Load variants for 1151HZ0002:
Read data...
Format to vcf object...
Load variants for 1151HZ0004:
Read data...
Format to vcf object...
Load variants for 1151HZ0005:
Read data...
Format to vcf object...
Load variants for 1151HZ0006:
Read data...
Format to vcf object...
Load variants for 1151HZ0007:
Read data...
Format to vcf object...
Extract sequence level data ...
retrieve calls from 1151HZ0001 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0002 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0004 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0005 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0006 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0007 with tabix...
Format to vcf object...
```

The arguments "filtering" is used to decide whether position-level quality filtering should be conducted. For each genomic position of variant call, VPA will first retrieve variant call information from the subject(s) with variant called at this position. If the sequence call files are specified in the index1.txt file, it will take advantage of tabix function to retrieve sequence call information overlapping variant call position from the subject(s) without variant called at the same position. It will filter out the variant positions which don't reach the user-specified quality criteria from further analysis. The quality criteria, including sequencing depth and phred-like quality score, can be set in the optional argument of LoadFiltering function. By default, the phred-like quality score is set at ≥ 20 and the sequencing depth is set at $\geq 10x$.

```
> varflt
```

\$vcflist
\$vcflist\$`1151HZ0001`

```
VCF data
Calls: 49 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$vcflist$`1151HZ0002`
VCF data
Calls: 45 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$vcflist$`1151HZ0004`
VCF data
Calls: 41 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$vcflist$`1151HZ0005`
VCF data
Calls: 38 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$vcflist$`1151HZ0006`
VCF data
Calls: 42 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$vcflist$`1151HZ0007`
VCF data
Calls: 46 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarIndex
         1151HZ0001 1151HZ0002 1151HZ0004 1151HZ0005 1151HZ0006 1151HZ0007
1:567825
                 NA
                            NA
                                       NA
                                                   NA
                                                              NA
                                                                         NA
1:800383
                 NA
                            NA
                                       NA
                                                   NA
                                                              NA
                                                                         NA
1:808631
               TRUE
                         FALSE
                                    FALSE
                                                FALSE
                                                           FALSE
                                                                       TRUE
. . . . . .
$Samples
    V1
                  V2 V3
                                            ۷4
[1,] "1151HZ0001" "A" "1151HZ0001.flt.vcf" "1151HZ0001.vcf.gz"
```

2.2 Specifying Pattern

.

The pattern of variant frequency can be customized by user according to the experiment design. The minimum and maximum frequency value in each group are sufficient to make up of a pattern matrix. In the test data set, there are 4

[2,] "1151HZ0002" "A" "1151HZ0002.flt.vcf" "1151HZ0002.vcf.gz" [3,] "1151HZ0004" "A" "1151HZ0004.flt.vcf" "1151HZ0004.vcf.gz"

case samples and 2 control samples respectively. It is an unpaired design. To prioritize variants that occur in at least one case sample but do not occur in any of the control samples, the minimum and maximum frequency in case group will be set as 1/4 and 1, respectively. The minimum and maximum frequency in control group will be both set as 0.

```
> pattern <- cbind(A = c(1/4, 1), B = c(0, 0))
> pattern

A B
[1,] 0.25 0
[2,] 1.00 0
```

The column names of the resulted frequency matrix correspond to the group names in the index1.txt file. The first row of the matrix lists the minimum frequency values of each group and the second row of the matrix lists the maximum frequency values of each group.

2.3 Extracting variants

Once an object for the list of qualified genomic position of variant call is obtained and the frequency pattern is defined, the last step is to extract variants with desired pattern. The Patterning function is designed for this purpose, with the the object of qualified variants from the first step and the object of customized pattern from the second step as input for the function.

```
> varpat1 <- Patterning(varflt, pattern)</pre>
> varpat1
$VarVCF
$VarVCF$`1151HZ0001`
VCF data
Calls: 15 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarVCF$\\1151HZ0002\\
VCF data
Calls: 11 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarVCF$`1151HZ0004`
VCF data
Calls: 10 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarVCF$ 1151HZ0005
VCF data
Calls: 8 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarVCF$`1151HZ0006`
```

```
VCF data
Calls: 0 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarVCF$`1151HZ0007`
VCF data
Calls: 0 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarFrequency
            Α
                В
1:808631 0.25 0.5
1:808922 0.75 1.0
1:808928 0.25 0.5
$Pattern
[1,] 0.250
[2,] 1.00 0
. . . . . .
$Samples
                  V2 V3
[1,] "1151HZ0001" "A" "1151HZ0001.flt.vcf" "1151HZ0001.vcf.gz"
[2,] "1151HZ0002" "A" "1151HZ0002.flt.vcf" "1151HZ0002.vcf.gz"
[3,] "1151HZ0004" "A" "1151HZ0004.flt.vcf" "1151HZ0004.vcf.gz"
. . . . . .
```

The result is a varlist object, including VarVCF, VarFrequency, Pattern and Samples. VarVCF is a list of VCF format variant data fitting the specified frequency pattern and quality criteria. VarFrequency is a matrix recording every variant's frequency in each group.

3 Parallel mode

The first step of the VPA package, *i.e.*, performing thorough position-level quality filtering using both variant and sequence call files, is the most time-consuming part. By default, the function LoadFiltering conduct quality filtering in a sequential mode. To speed up this step, We also implement the function to run the filtering in a parallel model. To run the LoadFiltering function in a parallel mode, one can set argument 'parallel=TRUE'.

```
> varflt <- LoadFiltering(file.path(dirpath, "index1.txt"), datadir = dirpath,
+ filtering = TRUE, parallel = TRUE, pn = 2)
Load variants for 1151HZ0001:
Read data...</pre>
```

```
Format to vcf object...
Load variants for 1151HZ0002:
Read data...
Format to vcf object...
Load variants for 1151HZ0004:
Read data...
Format to vcf object...
Load variants for 1151HZ0005:
Read data...
Format to vcf object...
Load variants for 1151HZ0006:
Read data...
Format to vcf object...
Load variants for 1151HZ0007:
Read data...
Format to vcf object...
Extract sequence level data ...
R Version: R version 2.11.1 (2010-05-31)
```

Library VPA loaded.

The argument 'pn=2' set the number of CPUs to be used. The function in parallel mode is implemented by the open source R package 'snowfall'. More arguments and details to run the function in parallel can be found in the manual of function sfInit.

Note that VPA package also allows user to perform fast quality filtering using only the variant call files. This can be performed by supplying the variant call files only in the index1.txt.

4 Case study

4.1 Unpaired multi-groups design

In an application of three-group design (e.g., group with aggressive phenotype, group with benign phenotype, group with normal phenotype) with 2 subjects per group, one might want to extract variants recurrent in aggressive phenotype group (i.e., frequency ≥ 0.5), not recurrent in benign phenotype group (i.e., frequency ≤ 0.5), and not observed in normal phenotype group at all (i.e., frequency = 0.0). The index2.txt file shows such a three-group design.

> read.table(file.path(dirpath, "index2.txt"), sep = "\t")

```
V1 V2 V3 V4

1 1151HZ0001 A 1151HZ0001.flt.vcf 1151HZ0001.vcf.gz

2 1151HZ0002 A 1151HZ0002.flt.vcf 1151HZ0002.vcf.gz

3 1151HZ0004 B 1151HZ0004.flt.vcf 1151HZ0004.vcf.gz

4 1151HZ0005 B 1151HZ0005.flt.vcf 1151HZ0005.vcf.gz

5 1151HZ0006 C 1151HZ0006.flt.vcf 1151HZ0006.vcf.gz

6 1151HZ0007 C 1151HZ0007.flt.vcf 1151HZ0007.vcf.gz
```

The first step is to load the VCF format data and perform position-level quality filtering. By default, the phred-like quality score is set at ≥ 20 and the sequencing depth is set at $\geq 10x$. User can set the quality criteria in the optional argument of LoadFiltering function.

```
> varflt <- LoadFiltering(file = file.path(dirpath, "index2.txt"),</pre>
      datadir = dirpath, filtering = TRUE)
Load variants for 1151HZ0001:
Read data...
Format to vcf object...
Load variants for 1151HZ0002:
Read data...
Format to vcf object...
Load variants for 1151HZ0004:
Read data...
Format to vcf object...
Load variants for 1151HZ0005:
Read data...
Format to vcf object...
Load variants for 1151HZ0006:
Read data...
Format to vcf object...
Load variants for 1151HZ0007:
Read data...
Format to vcf object...
Extract sequence level data ...
retrieve calls from 1151HZ0001 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0002 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0004 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0005 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0006 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0007 with tabix...
Format to vcf object...
```

For aggressive phenotype group, the minimum and maximum frequency will be set as 0.5 and 1, respectively. For benign phenotype group, the minimum and maximum frequency will be set as 0 and 0.5, respectively. For normal phenotype group, both the minimum and maximum frequency will be set as 0.

```
> pattern <- cbind(A = c(0.5, 1), B = c(0, 0.5), C = c(0, 0))
```

The variants with with such a frequency pattern can be extracted using Patterning function.

```
> varpat2 <- Patterning(varflt, pattern)
> varpat2
```

\$VarVCF

\$VarVCF\$`1151HZ0001`

VCF data

Calls: 15 postions, 1 sample(s)

Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1

\$VarVCF\$`1151HZ0002`

VCF data

Calls: 11 postions, 1 sample(s)

Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1

\$VarVCF\$`1151HZ0004`

VCF data

Calls: 5 postions, 1 sample(s)

Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1

\$VarVCF\$`1151HZ0005`

VCF data

Calls: 5 postions, 1 sample(s)

Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1

\$VarVCF\$`1151HZ0006`

VCF data

Calls: 0 postions, 1 sample(s)

Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1

\$VarVCF\$`1151HZ0007`

VCF data

Calls: 0 postions, 1 sample(s)

Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1

\$VarFrequency

A B C

1:808631 0.5 0.0 0.5

1:808922 1.0 0.5 1.0

1:808928 0.5 0.0 0.5

.

\$Pattern

A B C

[1,] 0.5 0.0 0

[2,] 1.0 0.5 0

.

\$Samples

V1 V2 V3

[1,] "1151HZ0001" "A" "1151HZ0001.flt.vcf" "1151HZ0001.vcf.gz"

[2,] "1151HZ0002" "A" "1151HZ0002.flt.vcf" "1151HZ0002.vcf.gz"

[3,] "1151HZ0004" "B" "1151HZ0004.flt.vcf" "1151HZ0004.vcf.gz"

. **. . . .**

4.2 Paired design

Paired design sequencing experiment can be used to identify somatic type of variant (i.e., variants occurring in cancer case subject but not in matched cancerfree subject). Recurrent somatic variants can be of great interests for follow up analysis. In a paired design sequencing study, VPA package can be used to identify somatic type of variants with the user customized frequency pattern. The "index3.txt" file shows a example of paired design with two cases and two matched controls. The identity of case to its matched control can be determined by the sample name column.

> read.table(file.path(dirpath, "index3.txt"), sep = "\t")

```
V1
                                      V3
1 1151HZ0001
                case 1151HZ0001.flt.vcf 1151HZ0001.vcf.gz
2 1151HZ0004
                case 1151HZ0004.flt.vcf 1151HZ0004.vcf.gz
3 1151HZ0001 control 1151HZ0006.flt.vcf 1151HZ0006.vcf.gz
4 1151HZ0004 control 1151HZ0007.flt.vcf 1151HZ0007.vcf.gz
The same three simple steps as described above can be used to extract the
variants of interest.
> varflt <- LoadFiltering(file = file.path(dirpath, "index3.txt"),</pre>
      datadir = dirpath, filtering = TRUE)
Load variants for 1151HZ0001:
Read data...
Format to vcf object...
Load variants for 1151HZ0004:
Read data...
Format to vcf object...
Load variants for 1151HZ0001:
Read data...
Format to vcf object...
Load variants for 1151HZ0004:
Read data...
Format to vcf object...
Extract sequence level data ...
retrieve calls from 1151HZ0001 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0004 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0001 with tabix...
Format to vcf object...
retrieve calls from 1151HZ0004 with tabix...
Format to vcf object...
> pattern <- cbind(case = c(0.5, 1), control = c(0, 0))
> varpat3 <- Patterning(varflt, pattern, paired = TRUE)</pre>
> varpat3
```

```
$VarVCF
$VarVCF$`1151HZ0001`
VCF data
Calls: 21 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarVCF$`1151HZ0004`
VCF data
Calls: 17 postions, 1 sample(s)
Names: HEAD CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1
$VarFrequency
         case
1:808631 0.5
1:808922 0.0
1:808928 0.5
. . . . . .
$Pattern
    case
[1,] 0.5
[2,] 1.0
. . . . . .
$Samples
[1,] "1151HZ0001" "case"
                            "1151HZ0001.flt.vcf" "1151HZ0001.vcf.gz"
[2,] "1151HZ0004" "case"
                            "1151HZ0004.flt.vcf" "1151HZ0004.vcf.gz"
[3,] "1151HZ0001" "control" "1151HZ0006.flt.vcf" "1151HZ0006.vcf.gz"
. . . . . .
```

5 Summary results

5.1 Variant frequency

> vfreq <- vcfreq(varpat1)</pre>

Several functions are also provided to output the variant analysis results. For example, the function vcfreq can be used to summarize the variant frequency of a varlist object. We take the result data "varpat1" as an example:

```
> vfreq[5:10, ]
          REF 1151HZ0001 1151HZ0002 1151HZ0004 1151HZ0005 1151HZ0006 1151HZ0007
                                                                                11 _ 11
1:906016 "G" "A"
                            11 _ 11
                                         11 _ 11
                                                      "-"
                                                                   11 _ 11
1:908749 "C" "T"
                            "-"
                                         "-"
                                                       "-"
                                                                    "-"
                                                                                 "-"
                            "-"
                                         "-"
                                                                   "-"
                                                                                "-"
1:909555 "A" "G"
                                                      "G"
                                         "-"
                                                                   "-"
                                                                                "-"
1:949053 "C" "G"
                            "G"
                                                      "G"
                                                      "-"
                                                                   "-"
1:970836 "G" "A"
                            ^{\prime\prime} – ^{\prime\prime}
                                         "A"
                                                                                 "-"
```

```
1:983006 "C" "T"
                        "-"
                                    "-"
                                               11 _ 11
                                                           "-"
                                                                      "-"
       Α
1:906016 "0.25" "0"
1:908749 "0.25" "0"
1:909555 "0.5" "0"
1:949053 "0.75" "0"
1:970836 "0.5" "0"
1:983006 "0.25" "0"
```

The resulted matrix lists reference, alternative sequences and frequencies across the study groups of all positions. The positions without variants are marked with "-".

5.2Variant information

For position(s) of interest, the function subvcf can be used to retrieve the detailed variant information. For example, the above position "1:906016" and "1:908749" contain variant call in sample "1151HZ0001".

```
> vcf1 <- subvcf(varpat1$VarVCF[[1]], CHRPOS = c("1:906016", "1:908749"))
> write.vcf(vcf1, file = "")
                    ID REF ALT QUAL FILTER
     CHROM POS
          "906016" "." "G" "A" "107" "."
[1,] "1"
           "908749" "." "C" "T" "93" "."
[2,] "1"
[1,] "DP=12; DP4=1,1,3,4; MQ=60; FQ=21; AF1=0.5; CI95=0.5,0.5; PV4=1,0.13,1,1"
[2,] "DP=35;DP4=15,0,15,2;MQ=60;FQ=96;AF1=0.5;CI95=0.5,0.5;PV4=0.49,1.7e-13,1,1"
     FORMAT
                1151HZ0001
[1,] "GT:PL:GQ" "0/1:137,0,48:51"
[2,] "GT:PL:GQ" "0/1:123,0,162:99"
The detailed sequence call information can also be retrieved from samples with-
```

out variant called at the same position.

 $> vcf2 \leftarrow pos2seq(Pos = rbind(c("1", "906016"), c("1", "908749")),$

```
Seqfile = file.path(dirpath, varpat1$Samples[5, 4]))
> vcf2$vcf
              V3 V4 V5 V6 V7
1 "1" "906016" "." "G" "X" "O" "."
2 "1" "908749" "." "C" "X" "O" "."
1 "DP=13;I16=4,7,0,0,382,13488,0,0,660,39600,0,0,96,1440,0,0"
2 "DP=30;I16=30,0,0,0,1063,38317,0,0,1769,105241,0,0,404,7116,0,0" "PL"
  V10
1 "0,33,255"
2 "0,90,206"
```

5.3 Output

The function write.vcf can be used to export the identified variant with user-specified frequency pattern and quality criteria as a plain text file in VCF format. It can be used as input file for further analysis.

> write.vcf(varpat1\$VarVCF[[1]], file = tempfile())

6 Session information

> sessionInfo()

R version 2.11.1 (2010-05-31) x86_64-unknown-linux-gnu

locale:

[1] LC_CTYPE=en_US.UTF-8 LC_NUMERIC=C

[3] LC_TIME=en_US.UTF-8 LC_COLLATE=en_US.UTF-8
[5] LC_MONETARY=C LC_MESSAGES=en_US.UTF-8

[7] LC_PAPER=en_US.UTF-8 LC_NAME=C
[9] LC_ADDRESS=C LC_TELEPHONE=C

[11] LC_MEASUREMENT=en_US.UTF-8 LC_IDENTIFICATION=C

attached base packages:

[1] tools stats graphics grDevices utils datasets methods

[8] base

other attached packages:

[1] snowfall_1.84 snow_0.3-3 VPA_0.2.2