



# Enhancing HTA model adaptations with AI: Leveraging large language models and R-Shiny for local cost-effectiveness analyses

Hong Xiao, Karam Diaby, Zhen Zhang



# Disclaimer

The opinions expressed in this presentation are solely those of the presenters and not those of Otsuka Pharmaceutical Development & Commercialization, Inc.

# Today's discussion topics



Opening remarks, context & objectives



Country requirements & concept presentation



Case study: Using LLMs and R-Shiny for model adaptation



Key takeaways



**Opening remarks,  
context & objectives**

# Background



Global pharma companies build global HTA models.



Local affiliates face challenges adapting them:

Limited manpower.

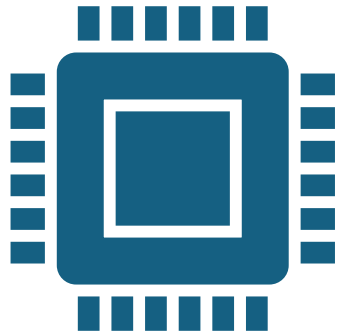
Limited access to country-specific data.

Bandwidth constraints.



Adaptation processes are manual, slow, error-prone.

# What are LLMs & R-Shiny?



LLMs: AI tools that understand and generate text, helping HTA teams automate data extraction and interpretation and fill local data gaps.



R-Shiny: Open-source framework that enables the creation of interactive/transparent web applications from R.

# Session objectives

01

Simplify and accelerate local model adaptation.

02

Enhance reproducibility in economic model involving local adaptations.

03

Free up teams to focus on interpretation, not data cleaning.



# Country requirements & concept presentation



# Country specific needs

## Why adapt global models?

- Clinical differences (treatment standards)
- Cost variations (healthcare pricing)
- Epidemiological differences (incidence, survival)
- Utility differences (HRQoL values)

Ignoring these leads to loss of relevance or HTA rejection.

# Challenges in model adaptation

The image shows four light blue rounded rectangular boxes with dark blue borders, arranged horizontally. Each box contains a text label representing a challenge in model adaptation. The boxes are slightly offset to the right, creating a layered effect.

Data  
unavailability or  
poor  
accessibility

Inconsistent  
model  
documentation

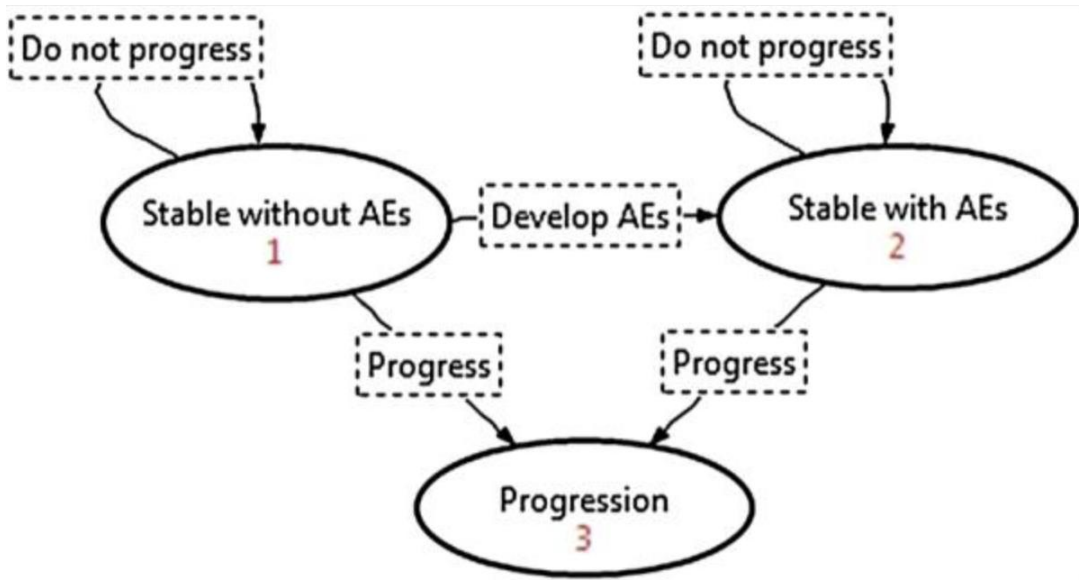
Time-consuming  
manual  
parameter  
updates

Risk of human  
error

# AI-enhanced step-by-step adaptation

| Step | Action   | How AI Helps                              |
|------|--|---|
| 1    | Identify model components needing localization | NLP parsing of model files                |
| 2    | Extract local parameters                       | Prompt-based search across local datasets |
| 3    | Search and recommend replacements              | Intelligent data queries                  |
| 4    | Modify model dynamically                       | Auto-suggest edits                        |
| 5    | Quality control                                | AI-generated checklists                   |
| 6    | Documentation generation                       | Auto-documented assumptions and sources   |

# We adapted a global Markov model to UK and Canada requirements using LLMs + R-Shiny



Case study based on a global cost-effectiveness model originally published by Diaby et al. 2014. *Breast Cancer Res Treat.* 147(2):433–441.

Markov model compares everolimus + exemestane vs. exemestane alone for HR+/HER2– metastatic breast cancer in U.S., with lifetime horizon and 6-week cycles.

Model adaptation for UK and Canada to explore localized HTA requirements.



# Case study: Using LLMs and R-Shiny for model adaptation

# Input parameters to be localized include cost, utilities and transition probabilities

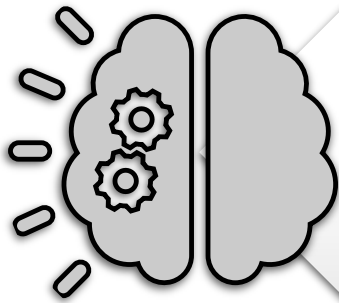
## United Kingdom (NICE / NHS)

- Costs: NHS Reference Costs (NHS Digital)
- Utilities: EQ-5D from NICE evidence summaries (NICE TA Documents)
- Transitions: UK cancer registry (Cancer Research UK)

## Canada (CADTH / CIHI)

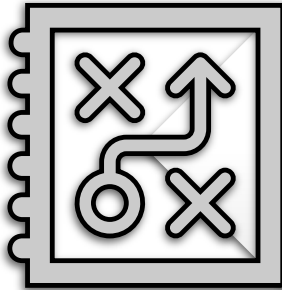
- Costs: CIHI Case Costing and Public Drug Plans (CIHI Database)
- Utilities: Canadian health utility compendium (Canadian Utility Compendium)
- Transitions: Canadian Cancer Registry (Statistics Canada)

# Implementing model adaptations



AI-powered Retrieval-Augmented Generation (RAG) used to:

- Search and extract local clinical and economic parameters.
- Maintain traceability and documentation of all substitutions.



R-Shiny interface dynamically applies updates to the model structure and reruns simulations.

# LLM & R-Shiny Setup

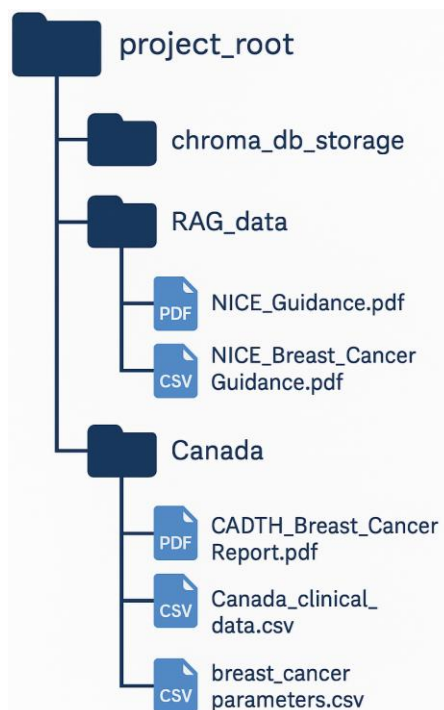




# LLMs + R-SHINY ILLUSTRATION

# R-Shiny + LLM + RAG overview

## RAG data structure



Indexed in ChromaDB

RHTA example

Introduction

Input

Results

Sensitivity

Click to Simulate results

### Settings

**Country settings:**

Country:

Currency:

Cycle length (weeks):

Time horizon (weeks):

**Transition Parameters**

$\lambda_0$  (Arm 0 Progression):

$\gamma_0$  (Arm 0 Progression):

$\lambda_1$  (Arm 1 Progression):

$\gamma_1$  (Arm 1 Progression):

AE Probability (Arm 0 per cycle):

AE Probability (Arm 1 per cycle):

**Cost Inputs (per 6-week cycle)**

Arm 0 Drug Cost:

Arm 1 Drug Cost:

CT Scan Cost:

Lab Test Cost:

Physician Visit Cost:

AE One-Time Cost (Arm 0):

AE One-Time Cost (Arm 1):

**Utility Inputs**

Utility (Stable Disease):

Disutility (AE Arm 0):

Disutility (AE Arm 1):

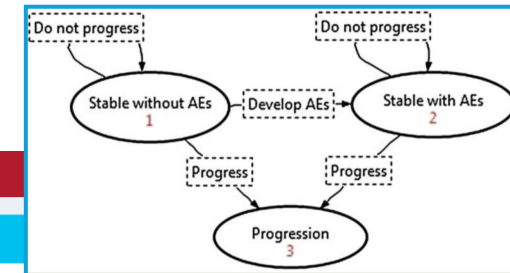
R-shiny automation



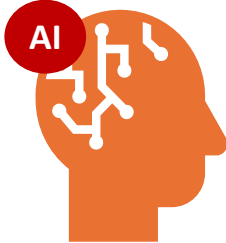
FastAPI + Ollama API



R to call LLM (llama2, DeepSeek, etc.) with RAG



# Example data for RAG



*Country-specific  
model for HTA*

Prompt: *“Extract standard clinical and economic parameters for breast cancer treatment in UK”*

Context:

CSV files



PDF files



| text   | country | source                        | topic               |
|--|---------|-------------------------------|---------------------|
| Breast cancer progression rate is 0.07 per cycle, according to Cancer Research UK (2023). Gamma factor is 0.55.        | UK      | Cancer Research UK 2023       | Clinical Parameters |
| Arm 0 treatment cost is £200 per 6-week cycle (NHS Digital, 2022). Arm 1 treatment cost is £10,000 per 6-week cycle.   | UK      | NHS Digital 2022              | Cost                |
| Utilities for stable disease state are reported as 0.72 based on EQ-5D measures (NICE TA123).                          | UK      | NICE TA123                    | Quality of Life     |
| In Canada, breast cancer progression rate is 0.065 per cycle, with gamma factor 0.56 (Canadian Cancer Registry, 2023). | Canada  | Canadian Cancer Registry 2023 | Clinical Parameters |
| Arm 0 cost: CAD 300; Arm 1 cost: CAD 12,000 per 6-week cycle (CIHI Case Costing 2023).                                 | Canada  | CIHI Case Costing 2023        | Cost                |
| Utility for stable disease in Canada is 0.71 based on EQ-5D from the Canadian Utility Compendium (2022).               | Canada  | Canadian Utility Compendium   | Quality of Life     |

NICE\_Guidance.pdf

Clinical Effectiveness

In the clinical trials reviewed, the median progression-free survival for patients receiving exemestane alone was 5.7 months, compared with 11.0 months for those receiving everolimus plus exemestane. The hazard ratio for progression was 0.45 (95% CI: 0.38–0.54), indicating a significant benefit for the combination therapy. The incidence of grade 3/4 adverse events was 23% in the exemestane group and 45% in the combination group.

Costs and Resource Use

The cost of everolimus (10 mg daily) is £2,500 per 28-day cycle, while exemestane costs £125 per 28-day cycle. Administration and monitoring costs are estimated at £150 per cycle. The cost of managing adverse events was estimated at £1,200 per event (grade 3/4).

# Updated model results with AI fetched local parameters

 Fetch AI Parameters

## Simulation Results

Country Selected: UK (NICE/NHS)

Microsimulation results (n = 1000 patients per arm):

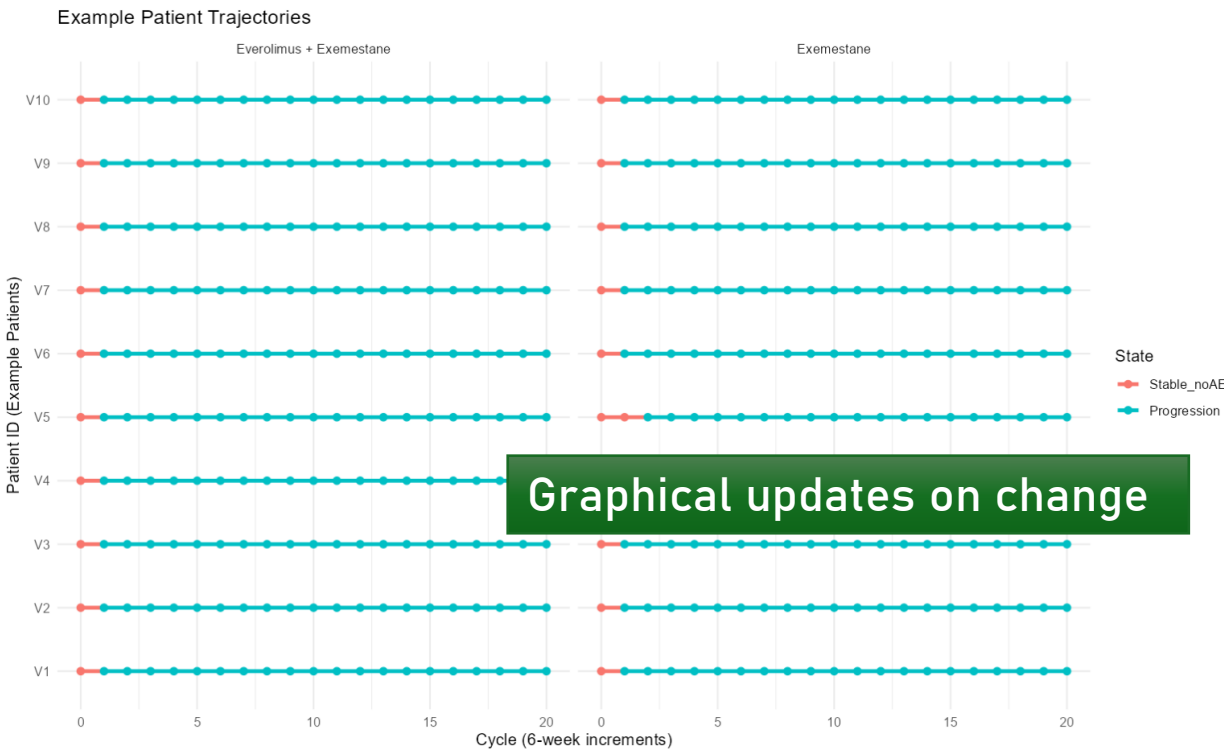
Arm 0 (Exemestane): Avg Cost £ 271.6 , Avg QALYs: 0.008

Arm 1 (Everolimus + Exemestane): Avg Cost £ 1266.85 , Avg QALYs: 0.011

ICER: £ 384209.3 per QALY gained

Dynamic update with AI parameters

## Example Patient Trajectories



## AI Answer & Traceability

 AI Extracted Parameters:

lambda0: 0.71

gamma0: 0.72

lambda1: 0.78

cost\_rx0: 2500

cost\_rx1: 10000

cost\_ct: 150

cost\_lab: 150

cost\_visit: 150

cost\_AE0: 200

cost\_AE1: 1200

utility\_stable: 0.78

AI's raw response in JSON format

Track parameters with RAG data source

✓ Updated Parameters: lambda0, gamma0, lambda1, cost\_rx0, cost\_rx1, cost\_ct, cost\_lab, cost\_visit, cost\_AE0, cost\_AE1

⚠ Using Default: gamma1, p\_AE0, p\_AE1, disutility\_AE0, disutility\_AE1

 Sources Context Used:

ID: CSV, Source: CSV, Topic: Quality of Life, Country: Canada

ID: CSV, Source: CSV, Topic: Quality of Life, Country: UK

ID: NICE\_Guidance.pdf, Source: NICE\_Guidance.pdf, Topic: NA, Country: UK

ID: NICE\_Breast\_Cancer\_Guidance.pdf, Source: NICE\_Breast\_Cancer\_Guidance.pdf, Topic: NA, Country: UK

ID: CSV, Source: CSV, Topic: Cost, Country: UK

Show 5 entries

Search:


|   | source                          | country | row | topic           |
|---|---------------------------------|---------|-----|-----------------|
| 1 | CSV                             | Canada  | 6   | Quality of Life |
| 2 | CSV                             | UK      | 3   | Quality of Life |
| 3 | NICE_Guidance.pdf               | UK      |     |                 |
| 4 | NICE_Breast_Cancer_Guidance.pdf | UK      |     |                 |
| 5 | CSV                             | UK      | 2   | Cost            |

Showing 1 to 5 of 5 entries

Previous

1

Next

 Download Sources (.csv)

Downloadable RAG source for validation

# Unlocking the Potential of LLMs + R-Shiny in HEOR – Key takeaways

Interactive dashboards, powered by LLMs, that present complex data in visually compelling and easily digestible format can be created using Shiny.

Stakeholders can be provided access to Shiny apps, enabling real-time adaptation/collaboration and informed decision-making.

Flexibility allows development of interactive models that can be deployed in several markets.

Customized, dynamic reports consolidating key health economics insights can be leveraged by LLMs and produce robust adapted models, improving efficiency.

R-Shiny applications can be deployed on cloud platforms, ensuring scalability and secure access to health economic data and analyses representing the reality of each markets.

# Questions





# Contacts

- **Hong Xiao, Ph.D.**
  - Director, Outcomes research methods, Global Value and Real-World Evidence (GVRWE)
  - Email: [hong.xiao@otsuka-us.com](mailto:hong.xiao@otsuka-us.com)
- **Karam Diaby, Ph.D.**
  - Director, Health Economics and Value Evidence Partnership, Global Value and Real-World Evidence (GVRWE)
  - Email: [vakaramoko.diaby@otsuka-us.com](mailto:vakaramoko.diaby@otsuka-us.com)
- **Zhen Zhang, Ph.D.**
  - Director, Head of Statistics, Medical and Real-World Data Analytics
  - Email: [zhen.zhang@otsuka-us.com](mailto:zhen.zhang@otsuka-us.com)