



# China's National Defense in the New Era

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## Contents

Preface

I. International Security Situation

II. China's Defensive National Defense Policy in the New Era

III. Fulfilling the Missions and Tasks of China's Armed Forces in the New Era

IV. Reform in China's National Defense and Armed Forces

V. Reasonable and Appropriate Defense Expenditure

VI. Actively Contributing to Building a Community with a Shared Future for Mankind

Closing Remarks

Appendices

Acronyms

## Preface

Today, with their interests and security intertwined, people across the world are becoming members of a community with a shared future. China is at a critical stage of completing the building of a moderately prosperous society in all respects and embarking on the new journey of building a modernized socialist country in an all-round way. Socialism with Chinese characteristics has entered a new era.

The Chinese government is issuing *China's National Defense in the New Era* to expound on China's defensive national defense policy and explain the practice, purposes and significance of China's efforts to build a fortified national defense and a strong military, with a view to helping the international community better understand China's national defense.

## I. International Security Situation

The world today is undergoing profound changes unseen in a century. As economic globalization, the information society, and cultural diversification develop in an increasingly multi-polar world, peace, development and win-win cooperation remain the irreversible trends of the times. Nonetheless, there are prominent destabilizing factors and uncertainties in international security. The world is not yet a tranquil place.

### **The International Strategic Landscape Is Going Through Profound Changes**

As the realignment of international powers accelerates and the strength of emerging markets and developing countries keeps growing, the configuration of strategic power is becoming more balanced. The pursuit of peace, stability and development has become a universal aspiration of the international community with forces for peace predominating over elements of war. However, international security system and order are undermined by growing hegemonism, power politics, unilateralism and constant regional conflicts and wars.

International strategic competition is on the rise. The US has adjusted its national security and defense strategies, and adopted unilateral policies. It has provoked and intensified competition among major countries, significantly increased its defense expenditure, pushed for additional capacity in nuclear, outer space, cyber and missile defense, and undermined global strategic stability. NATO has continued its enlargement, stepped up military deployment in Central and Eastern Europe, and conducted frequent military exercises. Russia is strengthening its nuclear and non-nuclear capabilities for strategic containment, and striving to safeguard its strategic security space and interests. The European Union (EU) is accelerating its security and defense integration to be more independent in its own security.

Global and regional security issues are on the increase. International arms control and disarmament efforts have suffered setbacks, with growing signs of arms races. The non-proliferation of weapons of mass destruction remains problematic. The international non-proliferation regime is compromised by pragmatism and double standards, and hence faces new challenges. Extremism and terrorism keep spreading. Non-traditional security threats involving cyber security, bio-security and piracy are becoming more pronounced. The Iranian nuclear issue has taken an unexpected turn, and there is no easy political solution to the Syrian issue. The security of individual countries is becoming increasingly intertwined, interlinked and interactive. No country can respond alone or stand aloof.

### **The Asia-Pacific Security Situation Remains Generally Stable**

Asia-Pacific countries are increasingly aware that they are members of a community with shared destiny. Addressing differences and disputes through dialogue and consultation has become a preferred policy option for regional countries, making the region a stable part of the global landscape. The Shanghai Cooperation Organization (SCO) is forging a constructive partnership of non-alliance and non-confrontation that targets no third party, expanding security and defense cooperation and creating a new model for regional security cooperation. The China-ASEAN Defense Ministers' Informal Meeting and the ASEAN Defense Ministers' Meeting Plus (ADMM-Plus) play positive roles in enhancing trust among regional countries through military exchanges and cooperation. The situation of the South China Sea is generally stable and improving as regional countries are properly managing risks and differences. Steady progress has been made in building a coordinated counter-terrorism mechanism among the militaries of the regional countries. A balanced, stable, open and inclusive Asian security architecture continues to develop.

As the world economic and strategic center continues to shift towards the Asia-Pacific, the region has become a focus of major country competition, bringing uncertainties to regional security. The US is strengthening its Asia-Pacific military alliances and reinforcing military deployment and intervention, adding complexity to regional security. The deployment of the Terminal High Altitude Area Defense (THAAD) system in the Republic of Korea (ROK) by the US has severely undermined the regional strategic balance and the strategic security interests of regional countries. In an attempt to circumvent the post-war mechanism, Japan has adjusted its military and security policies and increased input accordingly, thus becoming more outward-looking in its military endeavors. Australia continues to strengthen its military alliance with the US and its military engagement in the Asia-Pacific, seeking a bigger role in security affairs.

Regional hotspots and disputes are yet to be resolved. Despite positive progress, the Korean Peninsula still faces uncertainty. South Asia is generally stable while conflicts between India and Pakistan flare up from time to time. Political reconciliation and reconstruction in Afghanistan is making progress in the face of difficulties. Problems still exist among regional countries, including disputes over territorial and maritime rights and interests, as well as discord for ethnic and religious reasons. Security hotspots rise from time to time in the region.

### **China's Security Risks and Challenges Should Not Be Overlooked**

China continues to enjoy political stability, ethnic unity and social stability. There has been a notable increase in China's overall national strength, global influence, and resilience to risks.

China is still in an important period of strategic opportunity for development. Nevertheless, it also faces diverse and complex security threats and challenges.

The fight against separatists is becoming more acute. The Taiwan authorities, led by the Democratic Progressive Party (DPP), stubbornly stick to “Taiwan independence” and refuse to recognize the *1992 Consensus*, which embodies the one-China principle. They have gone further down the path of separatism by stepping up efforts to sever the connection with the mainland in favor of gradual independence, pushing for *de jure* independence, intensifying hostility and confrontation, and borrowing the strength of foreign influence. The “Taiwan independence” separatist forces and their actions remain the gravest immediate threat to peace and stability in the Taiwan Strait and the biggest barrier hindering the peaceful reunification of the country. External separatist forces for “Tibet independence” and the creation of “East Turkistan” launch frequent actions, posing threats to China’s national security and social stability.

China’s homeland security still faces threats. Land territorial disputes are yet to be completely resolved. Disputes still exist over the territorial sovereignty of some islands and reefs, as well as maritime demarcation. Countries from outside the region conduct frequent close-in reconnaissance on China by air and sea, and illegally enter China’s territorial waters and the waters and airspace near China’s islands and reefs, undermining China’s national security.

China’s overseas interests are endangered by immediate threats such as international and regional turmoil, terrorism, and piracy. Chinese diplomatic missions, enterprises and personnel around the world have been attacked on multiple occasions. Threats to outer space and cyber security loom large and the threat of non-traditional security issues posed by natural disasters and major epidemics is on the rise.

### **Global Military Competition Is Intensifying**

Major countries around the world are readjusting their security and military strategies and military organizational structures. They are developing new types of combat forces to seize the strategic commanding heights in military competition. The US is engaging in technological and institutional innovation in pursuit of absolute military superiority. Russia is advancing its New Look military reform. Meanwhile, the UK, France, Germany, Japan and India are rebalancing and optimizing the structure of their military forces.

Driven by the new round of technological and industrial revolution, the application of cutting-edge technologies such as artificial intelligence (AI), quantum information, big data, cloud computing and the Internet of Things is gathering pace in the military field. International military competition

is undergoing historic changes. New and high-tech military technologies based on IT are developing rapidly. There is a prevailing trend to develop long-range precision, intelligent, stealthy or unmanned weaponry and equipment. War is evolving in form towards informationized warfare, and intelligent warfare is on the horizon.

Great progress has been made in the Revolution in Military Affairs (RMA) with Chinese characteristics. However, the People's Liberation Army (PLA) has yet to complete the task of mechanization, and is in urgent need of improving its informationization. China's military security is confronted by risks from technology surprise and growing technological generation gap. Greater efforts have to be invested in military modernization to meet national security demands. The PLA still lags far behind the world's leading militaries.

## **II. China's Defensive National Defense Policy in the New Era**

The socialist system of China, the strategic decision to follow the path of peaceful development, the independent foreign policy of peace, and the best of cultural traditions – considering peace and harmony as fundamentals – determine that China will pursue a national defense policy that is defensive in nature.

### **Resolutely Safeguarding China's Sovereignty, Security and Development Interests**

This is the fundamental goal of China's national defense in the new era.

China's national defense aims:

- to deter and resist aggression;
- to safeguard national political security, the people's security and social stability;
- to oppose and contain "Taiwan independence";
- to crack down on proponents of separatist movements such as "Tibet independence" and the creation of "East Turkistan";
- to safeguard national sovereignty, unity, territorial integrity and security;
- to safeguard China's maritime rights and interests;
- to safeguard China's security interests in outer space, electromagnetic space and cyberspace;
- to safeguard China's overseas interests; and

- to support the sustainable development of the country.

China resolutely safeguards its national sovereignty and territorial integrity. The South China Sea islands and Diaoyu Islands are inalienable parts of the Chinese territory. China exercises its national sovereignty to build infrastructure and deploy necessary defensive capabilities on the islands and reefs in the South China Sea, and to conduct patrols in the waters of Diaoyu Islands in the East China Sea. China is committed to resolving related disputes through negotiations with those states directly involved on the basis of respecting historical facts and international law. China continues to work with regional countries to jointly maintain peace and stability. It firmly upholds freedom of navigation and overflight by all countries in accordance with international law and safeguards the security of sea lines of communication (SLOCs).

To solve the Taiwan question and achieve complete reunification of the country is in the fundamental interests of the Chinese nation and essential to realizing national rejuvenation. China adheres to the principles of “peaceful reunification”, and “one country, two systems”, promotes peaceful development of cross-Straits relations, and advances peaceful reunification of the country. Meanwhile, China resolutely opposes any attempts or actions to split the country and any foreign interference to this end. China must be and will be reunited. China has the firm resolve and the ability to safeguard national sovereignty and territorial integrity, and will never allow the secession of any part of its territory by anyone, any organization or any political party by any means at any time. We make no promise to renounce the use of force, and reserve the option of taking all necessary measures. This is by no means targeted at our compatriots in Taiwan, but at the interference of external forces and the very small number of “Taiwan independence” separatists and their activities. The PLA will resolutely defeat anyone attempting to separate Taiwan from China and safeguard national unity at all costs.

### **Never Seeking Hegemony, Expansion or Spheres of Influence**

This is the distinctive feature of China's national defense in the new era.

Though a country may become strong, bellicosity will lead to its ruin. The Chinese nation has always loved peace. Since the beginning of modern times, the Chinese people have suffered from aggressions and wars, and have learned the value of peace and the pressing need for development. Therefore, China will never inflict such sufferings on any other country. Since its founding 70 years ago, the People's Republic of China (PRC) has never started any war or conflict. Since the introduction of reform and opening-up, China has been committed to promoting world peace, and has voluntarily downsized the PLA by over 4 million troops. China

has grown from a poor and weak country to be the world's second largest economy neither by receiving handouts from others nor by engaging in military expansion or colonial plunder. Instead, it has developed through its people's hard work and its efforts to maintain peace. China has made every effort to create favorable conditions for its development through maintaining world peace, and has equally endeavored to promote world peace through its own development. China sincerely hopes that all countries will choose the path of peaceful development and jointly prevent conflicts and wars.

China is committed to developing friendly cooperation with all countries on the basis of the Five Principles of Peaceful Coexistence. It respects the rights of all peoples to independently choose their own development path, and stands for the settlement of international disputes through equal dialogue, negotiation and consultation. China is opposed to interference in the internal affairs of others, abuse of the weak by the strong, and any attempt to impose one's will on others. China advocates partnerships rather than alliances and does not join any military bloc. It stands against aggression and expansion, and opposes arbitrary use or threat of arms. The development of China's national defense aims to meet its rightful security needs and contribute to the growth of the world's peaceful forces. History proves and will continue to prove that China will never follow the beaten track of big powers in seeking hegemony. No matter how it might develop, China will never threaten any other country or seek any sphere of influence.

### **Implementing the Military Strategic Guideline for a New Era**

This is the strategic guidance for China's national defense in the new era.

The military strategic guideline for a new era adheres to the principles of defense, self-defense and post-strike response, and adopts active defense. It keeps to the stance that "we will not attack unless we are attacked, but we will surely counterattack if attacked", places emphasis on both containing and winning wars, and underscores the unity of strategic defense and offense at operational and tactical levels.

Implementing the military strategic guideline for a new era, China's armed forces strive to keep in alignment with and contribute to the general strategies of the Communist Party of China (CPC) and the country, adopt a holistic approach to national security, strengthen the awareness of potential dangers, crises and wars, and actively adapt to the new landscape of strategic competition, the new demands of national security, and new developments in modern warfare, so as to effectively fulfill their tasks and missions in the new era.

To respond to the security threats facing the country, China's armed forces take solid steps to strengthen military preparedness and comprehensively enhance combat capabilities for the new era. Efforts have been made to build the military strategy into a balanced and stable one for the new era, which focuses on defense and coordinates multiple domains. Based on the idea that China's national defense is the responsibility of all Chinese people, China's armed forces give full play to the overall power of the people's war by innovating in its strategies, tactics and measures.

China is always committed to a nuclear policy of no first use of nuclear weapons at any time and under any circumstances, and not using or threatening to use nuclear weapons against non-nuclear-weapon states or nuclear-weapon-free zones unconditionally. China advocates the ultimate complete prohibition and thorough destruction of nuclear weapons. China does not engage in any nuclear arms race with any other country and keeps its nuclear capabilities at the minimum level required for national security. China pursues a nuclear strategy of self-defense, the goal of which is to maintain national strategic security by deterring other countries from using or threatening to use nuclear weapons against China.

### **Continuing to Strengthen the Military in the Chinese Way**

This is the path forward for China's national defense in the new era.

Building a fortified national defense and a strong military commensurate with the country's international standing and its security and development interests is a strategic task for China's socialist modernization. Drawing lessons from history, China strengthens its national defense and military to provide security guarantee for its peaceful development.

To strengthen China's national defense and military in the new era, it is imperative to comprehensively implement Xi Jinping's thinking on strengthening the military, thoroughly deliver on Xi Jinping's thinking on military strategy, continue to enhance the political loyalty of the armed forces, strengthen them through reform and technology, run them in accordance with the law, and focus on the capabilities to fight and win. Efforts will be made to advance the integrated development of mechanization and informationization, speed up the development of intelligent military, create a modernized military force structure with Chinese characteristics, improve and develop socialist military institutions with Chinese features, and constantly enhance the capabilities to fulfill the missions and tasks in the new era.

The strategic goals for the development of China's national defense and military in the new era are:



- to generally achieve mechanization by the year 2020 with significantly enhanced informationization and greatly improved strategic capabilities;
- to comprehensively advance the modernization of military theory, organizational structure, military personnel, and weaponry and equipment in step with the modernization of the country and basically complete the modernization of national defense and the military by 2035; and
- to fully transform the people's armed forces into world-class forces by the mid-21st century.

### **In the Service of Building of a Community with a Shared Future for Mankind**

This is the global significance of China's national defense in the new era.

The dream of the Chinese people is closely connected with the dreams of peoples around the world. Peace, stability and prosperity in China present opportunities and benefits to the rest of the world. A strong military of China is a staunch force for world peace, stability and the building of a community with a shared future for mankind.

China's armed forces advocate common, comprehensive, cooperative and sustainable security, uphold justice while pursuing shared interests, and actively participate in the reform of global security governance system. Efforts are made to deepen bilateral and multilateral security cooperation, promote a coordinated, inclusive and complementary cooperation among security mechanisms, and contribute to a security architecture featuring equality, mutual trust, fairness, justice, joint contribution and shared benefits.

Committed to the principle of win-win cooperation, China's armed forces will fulfill their international responsibilities and obligations, and provide more public security goods to the international community to the best of their capacity. They actively participate in the UN peacekeeping operations (UNPKOs), vessel protection operations, and international efforts in humanitarian assistance and disaster relief (HADR), strengthen international cooperation in arms control and non-proliferation, play a constructive role in the political settlement of hotspot issues, jointly maintain the security of international passages, and make concerted efforts to respond to global challenges such as terrorism, cyber security and major natural disasters, thus making a positive contribution to building a community with a shared future for mankind.

### **III. Fulfilling the Missions and Tasks of China's Armed Forces in the New Era**

In the new era, to meet the strategic demands of national security and development, China's armed forces firmly implement the missions and tasks entrusted by the CPC and the people.

They endeavor to provide strategic support for consolidating the leadership of the CPC and the socialist system, safeguarding national sovereignty, unity and territorial integrity, protecting China's overseas interests, and promoting world peace and development.

### **Safeguarding National Territorial Sovereignty and Maritime Rights and Interests**

China has a land border of more than 22,000 km and a coastline of over 18,000 km, China surpasses most of countries in the number of neighboring countries, the length of land border, and the complexity of maritime security. Therefore, it is a daunting task for China to safeguard its territorial sovereignty, maritime rights and interests, and national unity.

China's armed forces maintain a rigorous guard against encroachment, infiltration, sabotage or harassment so as to safeguard border security and stability. China has signed border cooperation agreements with 9 neighboring countries and set up border meeting mechanisms with 12 countries. China's armed forces have established mechanisms for exchanges with neighboring countries at three levels: national defense ministry, Theater Commands (TCs), and border troops. They conduct regular friendly mutual visits, working meetings, joint patrols and joint exercises targeting transnational crime with their foreign counterparts. They work together with Kazakhstan, Kyrgyzstan, Russia and Tajikistan to implement the border disarmament treaty. They strive to promote stability and security along the border with India, and take effective measures to create favorable conditions for the peaceful resolution of the Donglang (Doklam) standoff. They enhance control along the border with Afghanistan to guard against the infiltration of terrorists. They strengthen security management along the border with Myanmar, so as to secure stability and public safety in the border areas. Since 2012, China's border troops have completed over 3,300 joint patrols and conducted over 8,100 border meetings with their foreign counterparts. They have cleared mines from 58 square kilometers of land, closed 25 square kilometers of landmine area, and disposed of 170,000 explosive devices such as landmines along the borders with Vietnam and Myanmar.

China's armed forces defend important waters, islands and reefs in the East China Sea, the South China Sea and the Yellow Sea, acquire full situation awareness of adjacent waters, conduct joint rights protection and law enforcement operations, properly handle maritime and air situations, and resolutely respond to security threats, infringements and provocations on the sea. Since 2012, China's armed forces have deployed vessels on over 4,600 maritime security patrols and 72,000 rights protection and law enforcement operations, and safeguarded maritime peace, stability and order.

China's armed forces conduct air defense, reconnaissance and early warning, monitor China's territorial air and peripheral air space, carry out alert patrols and combat takeoff, and effectively respond to emergencies and threats to maintain order and security in the air.

Aiming at safeguarding national unity, China's armed forces strengthen military preparedness with emphasis on the sea. By sailing ships and flying aircraft around Taiwan, the armed forces send a stern warning to the "Taiwan independence" separatist forces.

### **Maintaining Combat Readiness**

Maintaining combat readiness is an important assurance of effective response to security threats and fulfillment of tasks. The Central Military Commission (CMC) and the TCs' joint operations commands perform combat readiness duties strictly, and conduct regular inspections and drills to ensure combat readiness at all times. Consistent efforts are made to improve the capabilities of joint operations command to exercise reliable and efficient command over emergency responses, and to effectively accomplish urgent, tough and dangerous tasks. In 2018, the CMC conducted surprise inspections throughout the armed forces and organized readiness drills for the units, covering 21 provinces, autonomous regions and municipalities directly under the central government, and parts of the East China Sea and South China Sea.

The PLA and the People's Armed Police Force (PAP) give greater priority to combat readiness. Efforts are made to strictly act on relevant regulations and procedures, fulfill readiness duties, conduct targeted exercises and training, and maintain standardized order, with a view to staying ready to act when required and effectively carrying out readiness (combat) duties.

### **Carrying Out Military Training in Real Combat Conditions**

Military training is the basic practice of the armed forces in peacetime. China's armed forces put military training in an important position and take combat effectiveness as the sole and fundamental criterion. In order to enhance realistic training, they optimize the policy framework and criteria in this respect, establish and improve the relevant supervision system, conduct supervision on military training for emergencies and combat across the services, implement the responsibility system for training and readiness, and organize extensive contests and competitions to encourage officers and soldiers to step up military training.

Military training in real combat conditions across the armed forces is in full swing. Since 2012, China's armed forces have carried out extensive mission-oriented training tailored to the specific

needs of different strategic directions and exercises of all services and arms, including 80 joint exercises at and above brigade/division level.

The TCs have strengthened their leading role in joint training and organized serial joint exercises codenamed *the East*, *the South*, *the West*, *the North* and *the Central*, to improve joint combat capabilities.

The PLA Army (PLAA) has organized training competitions and conducted live exercises codenamed *Stride* and *Firepower*. The PLA Navy (PLAN) has extended training to the far seas and deployed the aircraft carrier task group for its first far seas combat exercise in the West Pacific. It has organized naval parades in the South China Sea and the waters and airspace near Qingdao, and conducted a series of live force-on-force exercises codenamed *Mobility* and systematic all-elements exercises. The PLA Air Force (PLAAF) has strengthened systematic and all-airspace training based on operational plans. It has conducted combat patrols in the South China Sea and security patrols in the East China Sea, and operated in the West Pacific. It has completed a series of regular system-vs.-system exercises such as *Red Sword*. The PLA Rocket Force (PLARF) has organized force-on-force evaluation-oriented training and training based on operational plans at brigade and regiment levels, strengthened training for joint strikes, and completed regular exercises such as *Heavenly Sword*. The PLA Strategic Support Force (PLASSF) has made active efforts to integrate into the joint operations systems. It has carried out confrontational training in new domains and trained for emergencies and combats. The PLA Joint Logistic Support Force (PLAJLSF) has striven to align itself with the joint operations systems, and conducted exercises such as *Joint Logistics Mission 2018*. The PAP has developed to meet the requirements of nationwide coverage, effective connectivity, all-area response and integrated functions, and conducted a series of exercises including *Guard*.

## **Safeguarding Interests in Major Security Fields**

Nuclear capability is the strategic cornerstone to safeguarding national sovereignty and security. China's armed forces strengthen the safety management of nuclear weapons and facilities, maintain the appropriate level of readiness and enhance strategic deterrence capability to protect national strategic security and maintain international strategic stability.

Outer space is a critical domain in international strategic competition. Outer space security provides strategic assurance for national and social development. In the interest of the peaceful use of outer space, China actively participates in international space cooperation, develops relevant technologies and capabilities, advances holistic management of space-based

information resources, strengthens space situation awareness, safeguards space assets, and enhances the capacity to safely enter, exit and openly use outer space.

Cyberspace is a key area for national security, economic growth and social development. Cyber security remains a global challenge and poses a severe threat to China. China's armed forces accelerate the building of their cyberspace capabilities, develop cyber security and defense means, and build cyber defense capabilities consistent with China's international standing and its status as a major cyber country. They reinforce national cyber border defense, and promptly detect and counter network intrusions. They safeguard information and cyber security, and resolutely maintain national cyber sovereignty, information security and social stability.

### **Countering Terrorism and Maintaining Stability**

China firmly opposes all forms of terrorism and extremism. As mandated by law, China's armed forces participate in operations for maintaining social order, prevent and combat violence and terrorism, safeguard political security and social stability, and secure the public's right to live and work in peace.

The PAP fulfills missions such as guarding key targets, on-site security protection, setting check points on key passages, and armed urban patrols. In accordance with the law, the PAP supports civil authorities in law enforcement operations to combat criminal gangs and terrorist activities, actively participates in the maintenance of public order, and prevents and responds to potential threats to China's political security and social order, thus making a significant contribution to the Peaceful China initiative. Since 2012, the PAP has deployed large numbers of troops annually in security duties, counter-terrorism, emergency response, and maritime rights protection and law enforcement. It has completed around 10,000 security assignments during major events such as the G20 Summit, the APEC Economic Leaders' Meeting, the Belt and Road Forum for International Cooperation, the BRICS Leaders Meeting, and the SCO Qingdao Summit, and participated in the response to 671 hostage situations, incidents of severe violence, and terrorist attacks. Since 2014, the PAP has assisted the government of Xinjiang Uygur Autonomous Region in taking out 1,588 violent terrorist gangs and capturing 12,995 terrorists.

The PLA supports the civil authorities in maintaining social stability, provides security for major events, and responds to emergencies in accordance with the law. It is mainly tasked with missions such as counter-terrorism, NBCE detection and test, medical relief, and transport support. It disposes of potential safety hazards in the waters and protects security in the air over and around major event venues.

## Protecting China's Overseas Interests

Overseas interests are a crucial part of China's national interests. One of the missions of China's armed forces is to effectively protect the security and legitimate rights and interests of overseas Chinese people, organizations and institutions.

The PLA actively promotes international security and military cooperation and refines relevant mechanisms for protecting China's overseas interests. To address deficiencies in overseas operations and support, it builds far seas forces, develops overseas logistical facilities, and enhances capabilities in accomplishing diversified military tasks. The PLA conducts vessel protection operations, maintains the security of strategic SLOCs, and carries out overseas evacuation and maritime rights protection operations.

In August 2017, the PLA Djibouti Support Base entered service. The base has provided equipment for the maintenance of four escort task groups, offered medical services for over 100 officers and sailors on board, conducted joint medical exercises with foreign militaries, and donated over 600 teaching aids to local schools.

When the security situation in Yemen deteriorated in March 2015, a PLAN escort task group sailed to the Gulf of Aden, berthed for the first time directly in an engagement area, and evacuated 621 Chinese citizens and 279 foreign citizens from 15 countries including Pakistan, Ethiopia, Singapore, Italy, Poland, Germany, Canada, the UK, India and Japan.

## Participating in Disaster Rescue and Relief

Participating in national development and protecting the public's rights to work in peace are the responsibilities of China's armed forces mandated by the Constitution of the PRC. As stipulated in the *Regulations on Participation in Emergency Rescue and Disaster Relief by China's Armed Forces*, China's armed forces are mainly tasked with rescuing, transferring and evacuating trapped populations; ensuring the security of important targets; salvaging and transporting important materials; conducting specialized operations such as restoration of transport facilities including roads, bridges and tunnels, maritime search and rescue, NBC rescue, epidemic control and medical relief; eliminating or controlling other major threats, dangerous situations and disasters; and supporting civil authorities in post-disaster reconstruction.

Since 2012, the PLA and the PAP have deployed 950,000 soldiers, 1.41 million militia, 190,000 vehicles and items of equipment, and sortied 26,000 vessels and 820 aircraft in emergency response and disaster relief. They have participated in rescue and relief efforts such as the

earthquake in Ludian County of Yunnan Province, the rainstorm and flood in the middle and lower reaches of the Yangtze River, and the removal of the barrier lake in the Yarlung Zangbo River. They have assisted local governments to rescue and transfer over 5 million people, treated over 210,000 patients, transported over 360,000 tons of goods, and reinforced over 3,600 km of levees. In 2017, the PLA Macao Garrison sent 2,631 soldiers and over 160 vehicles to assist the government of Macao Special Administrative Region in its relief efforts in the wake of Typhoon Hato.

#### **IV. Reform in China's National Defense and Armed Forces**

The history of the people's armed forces is a history of reform and innovation. In the new era, China is advancing defense and military modernization across the board and deepening reform in national defense and armed forces in all respects, with a focus on removing institutional barriers and solving structural and policy-related problems to adapt to the trends of worldwide RMA and the demands of national security. Historic strides have been made in strengthening the armed forces.

##### **Reforming the Leadership and Command System**

The reform in the leadership and command system is a significant measure in response to the call of a modern and specialized military capable of fighting and winning wars in the information age, aiming to improve the operational effectiveness and development efficiency of the military. Adhering to the general principle of "the CMC exercising overall leadership, the TCs responsible for military operations and the services focusing on developing capabilities", the PLA endeavors to enhance the CMC's centralized and unified leadership and its functions of strategic command and strategic management. The PLA has dismantled the long-established systems of general departments, military area commands (MAC) and the force composition with a dominating land force, and established new leadership, management and operational command systems.

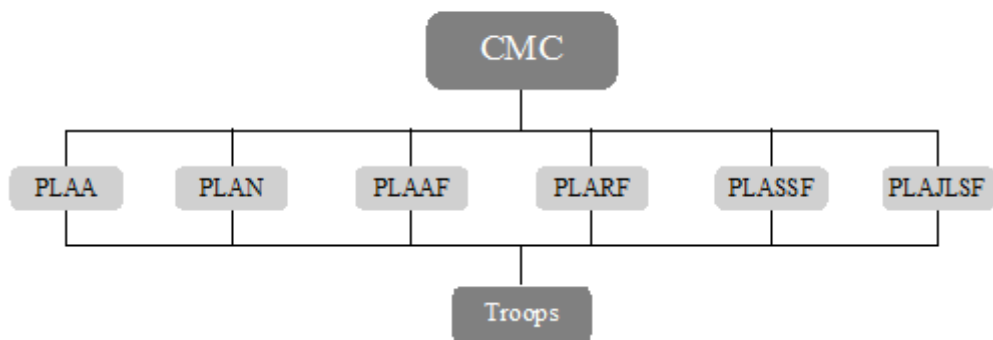
*Reorganizing and establishing new CMC functional organs.* To optimize the functional and institutional setup of the CMC organs, the former General Staff Headquarters, General Political Department, General Logistics Department and General Armaments Department have been reshuffled into 15 organs under the centralized CMC leadership to advise, execute and serve. Thus, the chains of command, development, management and supervision are more streamlined, and the responsibilities of decision-making, planning, execution and assessment are more properly delegated.

*Improving the leadership and management system for services and arms.* The PLA has:

- Established the PLAA leading organs by integrating the functions of the former general departments concerning the development of the land force;
- Established the PLASSF by combining strategic support forces across the services and CMC organs;
- Renamed the Second Artillery Force the PLARF; and
- Established the PLAJLSF by integrating strategic and campaign level forces mainly for general-purpose support.

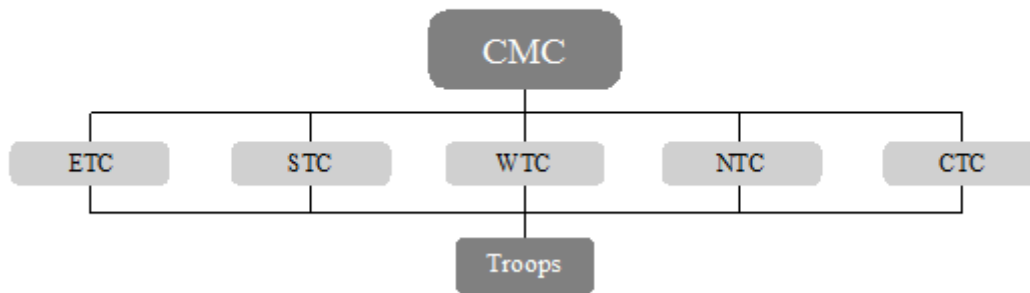
Thus, a CMC-Services-Troops leadership and management system has been put in place.

**Figure 1: Leadership and Management Structure of the PLA**



*Establishing and improving the joint operations command system.* By improving the joint operations command organ of the CMC and setting up those at the theater level, the PLA has established a lean and efficient joint operations command system composed of permanent and specialized commanding establishments for both peacetime and wartime operations. The former Shenyang, Beijing, Lanzhou, Jinan, Nanjing, Guangzhou and Chengdu MACs have been reorganized into 5 TCs: Eastern Theater Command (ETC), Southern Theater Command (STC), Western Theater Command (WTC), Northern Theater Command (NTC), and Central Theater Command (CTC). Thus, a CMC-TCs-Troops operations command system has been established.



**Figure 2: Operational Command Structure of the PLA**

*Building and improving the law-based supervision system.* The Chinese military has established the CMC Discipline Inspection Commission (CMCDIC, also the CMC Supervision Commission, CMCS) under the direct leadership of the CMC, and dispatched disciplinary inspection teams to the CMC functional organs and all TCs. It has set up the CMC Politics and Law Commission (CMCPLC) and established regional military courts and procuratorates. It has put into place the CMC Audit Office (CMCAO), reformed the audit-based oversight system, and implemented PLA-wide resident auditing. Thus, power is exercised in a way that decision-making, execution and supervision check each other and function in coordination.

### **Optimizing Size, Structure and Force Composition**

Reform in size, structure and force composition is a pivotal step to optimizing military organizational structure and establishing a modern military force structure with Chinese characteristics. Following the instruction to optimize structures, develop new-type forces, adjust proportions and reduce sizes, the PLA is striving to transform from a quantity-and-scale model to that of quality and efficiency, as well as from being personnel-intensive to one that is S&T-intensive.

*Adjusting scale and proportion, and restructuring force composition.* 300,000 personnel have been cut to keep the total active force at 2 million. Reform measures have been taken to transfer more officer positions to non-commissioned officers and civilian staff, downsize the leading organs at all levels by reducing their subordinate sections, leadership hierarchies and staff, and streamline the institutions and personnel in arts, sports, press, publication, logistical support, medical facilities, depots, and educational and research institutes. Thus, the number of personnel in the leading organs at and above regiment level has been cut by about 25%, and that of non-combat units by almost 50%. The PLA has significantly downsized the active force of the PLAA, maintained that of the PLAAF at a steady number, moderately increased that of the PLAN and PLARF, and optimized the force structures of all services and arms. The PLA has restructured

the defense reserves. The deployment of combat forces has been adjusted for a strategic configuration that meets the demands of safeguarding China's national security in the new era.

*Reorganizing the troops and rebuilding new-type combat forces.* The previous 18 group armies have been reorganized into 13 new ones. All major combat units of the PLA follow a group army-brigade-battalion system. Reform measures have been taken to reinforce the combat capacity of the arms, reduce the command hierarchies and combine the troops at lower levels. New types of combat forces have been enhanced to conduct special operations, all-dimensional offense and defense, amphibious operations, far seas protection and strategic projection, aiming to make the force composition complete, combined, multi-functional and flexible.

*Rebalancing and reorganizing military educational and research institutions.* The PLA and the PAP have restructured the previous 77 universities and colleges into 44. The National Defense University (NDU) and the National University of Defense Technology (NUDT) have been reorganized. China's armed forces have established the CMC Steering Committee on Military Scientific Research and reorganized the Academy of Military Sciences (AMS) and the research institutes of the services. Thus, the military scientific research forces have been rebalanced with the AMS as the lead, the research institutes of the services and arms as the main forces, and the research components in educational institutions and the troops as supplements.

## **Reforming Military Policies and Institutions**

China's armed forces take combat effectiveness as the criterion in the reform of military policies and institutions and encourage the initiative, enthusiasm and creativity of all members of the armed forces. Reform is designed to build and improve the system of socialist military policies and institutions with Chinese characteristics.

They have deepened reform in the institutions for Party building in the military to uphold the authority of the CPCCC and its centralized and unified leadership, and ensure the absolute leadership of the CPC over the military. Rules and regulations including the *Decision of China's Armed Forces on Strengthening Party Building in the Military in the New Era* have been formulated to improve the Party's institutions in the military in order to enhance its political and theoretical buildup, consolidate organizations, improve conduct, and enforce discipline.

They have innovated in policies and institutions for military force employment in a bid to effectively perform all missions and tasks in the new era. Rules and regulations have been formulated including the *Regulations on Vessel Protection Operations (Trial)*. The institutions of

military strategic guidance, regulations on combat readiness duties, and rules and regulations on joint operations have all been optimized.

They have reformulated policies and institutions to further develop combat capabilities. Laws and regulations have been formulated and amended including the *Law of the People's Republic of China on National Defense Transportation*, the *Law of the People's Republic of China on the Protection of Military Installations* and the *Regulations on Civilian Personnel in the Military*. Newly-updated military training regulations and outlines have been promulgated. They have made progress in establishing the career officers system, optimizing the institutions of military welfare and support, improving the military honors system, and refining policies and institutions in training, equipment development, logistics, military research and national defense mobilization. Meanwhile, bigger legislative steps have been taken in relation to military officers and military service.

They have reformed the policies and institutions for military management to elevate the efficacy of military systems and boost quality development of the military. Rules and regulations have been formulated including the newly-updated *Regulations on Routine Service of the People's Liberation Army (Trial)*, the *Regulations on Discipline of the People's Liberation Army (Trial)*, the *Regulations on Formation of the People's Liberation Army (Trial)*, and the *Regulations on Military Legislation*. China's armed forces are enhancing institutional innovation in strategic management, defense expenditure management, and the military judicial system.

They have suspended all paid services. As of June, 2018, paid services provided by leading organs, operational units, and military-affiliated public institutions at all levels had been basically suspended, involving 15 sectors such as real estate lease, agricultural and associated products, and hospitality. Over 100,000 such projects have been suspended as scheduled, accounting for 94% of the total. The armed forces have achieved the goal of withdrawing from running businesses.

## **Reshuffled PLA and PAP Troops**

The PLAA plays an irreplaceable role in maintaining China's national sovereignty, security and development interests. It comprises maneuver operation, border and coastal defense, and garrison forces. Under the PLAA, there are 5 TC army commands, the Xinjiang military command, and the Tibet military command. The ETC Army has under it the 71st, 72nd, and 73rd group armies; the STC Army has the 74th and 75th group armies; the WTC Army has the 76th and 77th group armies; the NTC Army has the 78th, 79th and 80th group armies; and the CTC Army has the 81st, 82nd and 83rd group armies. In line with the strategic requirements of

maneuver operations as well as multi-dimensional offense and defense, the PLAA is speeding up the transition of its tasks from regional defense to trans-theater operations, and improving the capabilities for precise, multi-dimensional, trans-theater, multi-functional and sustained operations, so as to build a new type of strong and modernized land force.

The PLAN has a very important standing in the overall configuration of China's national security and development. It comprises submarine, surface ship, aviation, marine, and coastal defense forces. Under the PLAN, there are the ETC Navy (Donghai Fleet), the STC Navy (Nanhai Fleet), the NTC Navy (Beihai Fleet), and the PLAN Marine Corps. Under the TC navies there are naval bases, submarine flotillas, surface ship flotillas and aviation brigades. In line with the strategic requirements of near seas defense and far seas protection, the PLAN is speeding up the transition of its tasks from defense on the near seas to protection missions on the far seas, and improving its capabilities for strategic deterrence and counterattack, maritime maneuver operations, maritime joint operations, comprehensive defense, and integrated support, so as to build a strong and modernized naval force.

The PLAAF plays a crucial role in overall national security and military strategy. It comprises aviation, airborne, ground-to-air missile, radar, ECM, and communications forces. Under the PLAAF, there are 5 TC air force commands and one airborne corps. Under the TC air forces, there are air bases, aviation brigades (divisions), ground-to-air missile brigades (divisions) and radar brigades. In line with the strategic requirements of integrating air and space capabilities as well as coordinating offensive and defensive operations, the PLAAF is accelerating the transition of its tasks from territorial air defense to both offensive and defensive operations, and improving its capabilities for strategic early warning, air strikes, air and missile defense, information countermeasures, airborne operations, strategic projection, and integrated support, so as to build a strong and modernized air force.

The PLARF plays a critical role in maintaining China's national sovereignty and security. It comprises nuclear missile, conventional missile and support forces, and subordinate missile bases. In line with the strategic requirements of having both nuclear and conventional capabilities and deterring wars in all battlespaces, the PLARF is enhancing its credible and reliable capabilities of nuclear deterrence and counterattack, strengthening intermediate and long-range precision strike forces, and enhancing strategic counter-balance capability, so as to build a strong and modernized rocket force.

The PLASSF is a new type of combat force for safeguarding national security and an important driver for the growth of new combat capabilities. It comprises supporting forces for battlefield

environment, information, communications, information security, and new technology testing. In line with the strategic requirements of integrating existing systems and aligning civil and military endeavors, the PLASSF is seeking to achieve big development strides in key areas and accelerate the integrated development of new-type combat forces, so as to build a strong and modernized strategic support force.

The PLAJLSF, as the main force for joint logistics as well as strategic and campaign level support, is an important component of the modern military force with Chinese characteristics. It comprises the support forces for inventory and warehousing, medical services, transport, force projection, oil pipelines, engineering and construction management, reserve assets management, and procurement. Under the PLAJLSF, there are 5 joint logistic support centers located respectively in Wuxi (Jiangsu Province), Guilin (Guangxi Zhuang Autonomous Region), Xining (Qinghai Province), Shenyang (Liaoning Province), and Zhengzhou (Henan Province), as well as the PLA General Hospital and the PLA Center for Disease Prevention and Control. In line with the requirements of joint support for joint operations and joint training, the PLAJLSF is being integrated into the joint operations system to enhance the capabilities of integrated joint logistics, so as to build a strong and modernized joint logistic support force.

The PAP shoulders important responsibilities in safeguarding national security, social stability and public wellbeing. China has adopted a CMC-PAP-Troops leadership and command system with the basic duties and nature of the PAP unchanged. The PAP is not in the force structure of the PLA. The PAP border defense, firefighting and security guard forces have been decommissioned. The coast guard under the leadership of State Oceanic Administration has been transferred to the PAP. PAP goldmine, forest and hydroelectricity forces have been reorganized into specialized forces of non-active service under corresponding state authorities. Meanwhile, the PAP customs guard forces have been withdrawn. In this way, the leadership, management, command and employment of the PAP has become more coherent. Following adjustment and reorganization, the PAP is mainly composed of the internal security corps, the mobile corps, and the coast guard. In line with the strategic requirements of performing multiple functions and effectively maintaining social stability, the PAP is enhancing capacity in guard duties, emergency response, counter-terrorism, maritime rights protection, administrative enforcement and disaster relief, so as to build a strong and modernized armed police force.

## **Promoting Defense and Military Development in All Respects**

*Placing theoretical and political buildup at the top of the agenda of the armed forces.* China's armed forces unswervingly take Xi Jinping's thinking on strengthening the military as the guidance,

firmly uphold General Secretary Xi Jinping as the core of the CPCCC and the whole Party, firmly uphold the authority of the CPCCC and its centralized and unified leadership, and follow the CMC Chairman responsibility system, in an effort to further strengthen the consciousness of the need to maintain political integrity, think in big-picture terms, follow the leadership core and keep in alignment. In accordance with the *Decision on Issues Relating to the Military Political Work in the New Era* issued in December 2014, China's armed forces have improved their political work and embarked on a new journey of development. In order to fully strengthen the Party leadership and Party building of the military in the new era, a CMC meeting on party building was held in August, 2018. Great efforts are being made to cultivate revolutionary officers and soldiers of the new era with faith, ability, courage and integrity, and build troops with iron-like faith, conviction, discipline and commitment.

*Promoting innovation in defense S&T and military theory.* China's armed forces are accelerating the implementation of the strategy to develop the military through S&T in a bid to maintain and enhance the strength of the areas where they lead, and intensify innovation in emerging areas. They have made great progress in independent innovation in some strategic, cutting-edge and disruptive technologies, and succeeded in developing strategic hi-tech products such as the Tianhe-2 supercomputer. Focusing on war and fighting wars, China's armed forces have innovated in military doctrines and delivered outcomes in military strategy, joint operations and informationization, which have provided a theoretical support to defense and military development.

*Establishing a modernized weaponry and equipment system.* China's armed forces are optimizing the overall composition of weaponry and equipment, coordinating the efforts of all services and arms in this regard, promoting the balanced development of main battle equipment, information systems, and support equipment, with a view to comprehensively raising standardization, serial development and interoperability. Old equipment is being phased out, and a system created that mainly comprises new and high-tech weaponry and equipment. Type 15 tanks, type 052D destroyers, J-20 fighters, and DF-26 intermediate and long-range ballistic missiles have been commissioned.

*Building a combat-oriented modern logistics system.* China's armed forces are putting in place a support mechanism combining centralized and decentralized support, as well as general and special-purpose supplies, with PLAJSF as the backbone force and service logistics units as supplements. They are also building a joint, lean and efficient logistic support system with the strategic and campaign level forces as the main force, the affiliated forces as the support, and the civil sectors as supplements. Logistics units have been incorporated into TC-level joint

training, trans-theater training by services and arms, and joint exercises and training with foreign militaries to strengthen the integrated training of logistical and operational forces. China's armed forces have acquired a rapid, multi-dimensional and precise support capability.

*Strengthening strategic management.* Adopting demand-oriented planning and planning-led resource allocation, China's armed forces have established and improved the strategic management procedures of demand-planning-budgeting-execution-evaluation. They have completed a system of strategic plans and programs composed of the development strategies of the military as a whole, and its key areas, branches, and the PAP. They have regulated military strategic planning, promulgated and implemented the *Outline of the 13th Five-Year Plan for Military Development*, and optimized the mechanisms for evaluation, supervision and control.

*Governing the military with strict discipline and in accordance with the law.* China's armed forces are building a military legal system with Chinese characteristics and pressing ahead with a fundamental transformation in how the military is run. They are strengthening oversight and supervision in military training and combat readiness to uproot peacetime ills. They are promoting legal awareness through public communication and education campaigns, establishing and improving the support mechanism of legal consultation and service, and advancing law-based management in the military. China's armed forces are striving to manage the troops more strictly in all respects. They have fully implemented military rules and regulations, restored and improved the traditional mechanism of using bugles to communicate and command, carried out safety inspections to identify and tackle potential problems, stepped up garrison military policing, strengthened the management of military vehicles by targeted measures, and set up a mechanism of regular notification on garrison military policing. These efforts have contributed to maintaining the positive image of the armed forces.

*Improving Party conduct, upholding integrity and continuing the fight against corruption.* China's armed forces are tightening political discipline and rules, investigating and dealing strictly with grave violations of CPC discipline and state laws as in the cases of Guo Boxiong, Xu Caihou, Fang Fenghui, and Zhang Yang. China's armed forces punish corruption in strict accordance with CPC discipline and relevant laws, and rectify any malpractice in key construction projects and the procurement of equipment and material. Points-of-contact for discipline supervision have been designated at the small-unit level to investigate and combat "micro corruption" and misconduct in all its forms among service members. China's armed forces have intensified political inspection by completing disciplinary inspections and re-inspections over all CMC functional organs, the TCs, services, AMS, NDU, NUDT and the PAP. They have worked to implement full-spectrum audit, intensify the audit of major fields, projects and funds, and perform strict audits over the

economic liabilities of officers in positions of leadership. Active efforts have been made to monitor the cost-effectiveness of applied funds, conduct whole-process audit, and combine civil and military efforts in auditing. Since 2012, they have carried out audits over 39,000 units and 13,000 PLA and PAP officers in positions of leadership at and above regiment level. As a result, notable achievements have been made in the fight against corruption in China's armed forces, and a healthy political atmosphere of integrity has formed.

*Modernizing national defense mobilization.* China has refined the system of national defense mobilization to enhance the development of its defense reserves. China is streamlining the number of primary militia nationwide, driving deeper reform of militia and reserve forces in their size, structure and composition, promoting integrated development and employment of the reserve and active forces, and extending the function of national defense mobilization from mainly supporting the land force to supporting all branches at a faster pace.

In the process of deepening the reform of the CPC and governmental institutions, the Ministry of Veterans Affairs of the PRC has been set up. Through a series of preferential measures, the veteran support system is progressing at provincial, prefectural, county, town and township (sub-district), and village (community) levels. Substantial steps have been taken to enhance the government's efforts to support the military and their families, and to strengthen the military's support to the government and the people. China's armed forces play an active role in poverty alleviation. The relationships between the military and the government and between the military and the people are getting even closer. There is a growing consensus across communities to respect and give preferential treatment to all service members.

## **V. Reasonable and Appropriate Defense Expenditure**

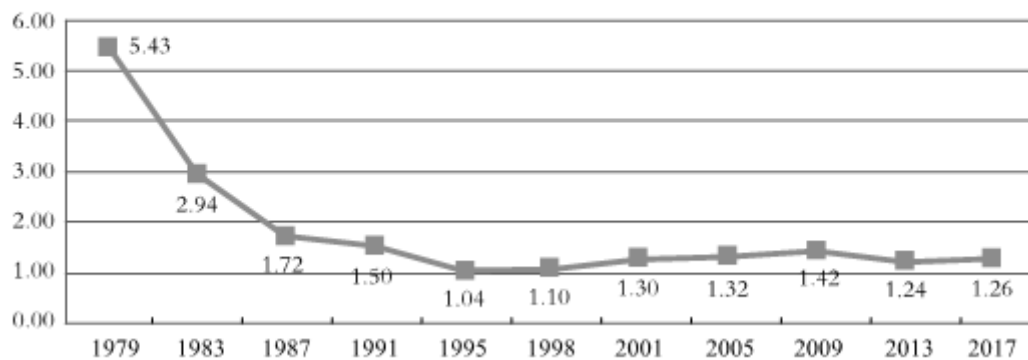
China attends to both development and security. It is making an integrated effort to build a prosperous country and a strong military, and striving for the coordinated development of national defense and the economy. Following the principle of building the armed forces through diligence and thrift, China takes into consideration the development of the economy and the demands of national defense, decides on the appropriate scale and composition of defense expenditure, and manages and applies these funds in accordance with law.

Since reform and opening-up, China has increased its defense expenditure from a level of sustainability to moderate growth. On the whole, defense expenditure has grown in tandem with the growth of the national economy and government expenditure. Defense expenditure as a percentage of GDP has fallen from a peak of 5.43% in 1979 to 1.26% in 2017. It has remained below 2% for the past three decades. Defense expenditure as a percentage of government

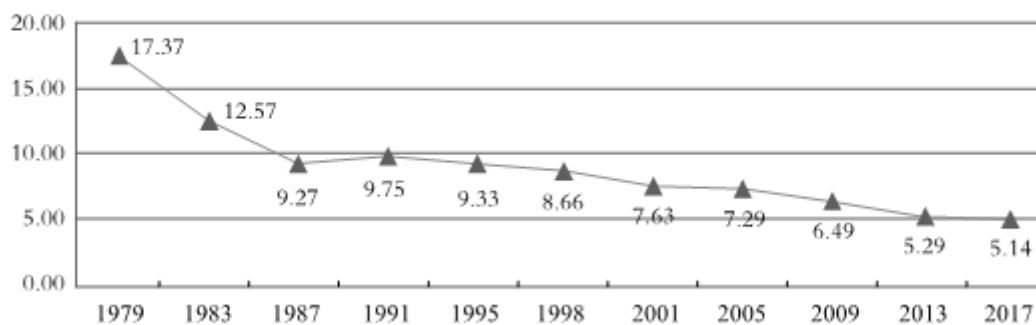


expenditure was 17.37% in 1979 and 5.14% in 2017, a drop of more than 12 percentage points. The figures are on a clear downward trend.

**Figure 3 China's Defense Expenditure as a Percentage of Its GDP (1979-2017) (%)**



**Figure 4 China's Defense Expenditure as a Percentage of Its Government Expenditure (1979-2017) (%)**



## China's Defense Expenditure Since 2012

In the new era, to keep pace with the country's modernization, China is focusing on building a fortified national defense and a strong military commensurate with the country's international standing, and its national security and development interests. China is striving to narrow the gap between its military and the world's leading militaries, and make up the deficiencies in the military's capabilities in modern warfare. Defense expenditure is growing steadily and the breakdown of spending is being continuously optimized.

In terms of usage, China's defense expenditure is assigned to three sectors – personnel, training and sustainment, and equipment. Personnel expenses mainly cover the salaries, allowances, food, bedding, clothing, insurance, subsidies and pensions for officers, non-ranking officers, soldiers and contracted civilians, as well as retirees supported from the defense budget. Training and sustainment expenses mainly cover training of the troops, institutional education, construction and maintenance of installations and facilities, and other expenditure on routine consumables.

Equipment expenses mainly cover R&D, testing, procurement, repairs, maintenance, transport and the storage of weaponry and equipment. In terms of scope, defense expenditure covers all active forces, reserve forces and militia.

Since 2012, the increase in defense expenditure has been primarily spent for the following purposes:

1. Adapting to national economic and social development, improving the wellbeing of service personnel, ensuring regular increases in military salaries, and bettering the working, training and living conditions of the troops;
2. Increasing input in weaponry and equipment development, phasing out the outdated, upgrading the old, and developing and procuring the new, such as aircraft carriers, fighters, missiles and main battle tanks, to steadily modernize weaponry and equipment;
3. Deepening national defense and military reform, supporting major reforms in military leadership and command systems, force structure and composition, and policies and institutions;
4. Supporting training in real combat conditions, enhancing strategic-level training, joint training at TCs' level and training of services and arms, and improving the conditions for simulated, networked and force-on-force training; and
5. Supporting diverse military tasks including the UNPKOs, vessel protection operations, humanitarian assistance operations and disaster relief efforts.

From 2012 to 2017, China's defense expenditure increased from RMB669.192 billion to RMB1,043.237 billion. China's GDP and government expenditure grew at average rates of 9.04% and 10.43% respectively, calculated on the price of the indicated years, while its defense expenditure increased by an average of 9.42%. Defense expenditure accounted for 1.28% of GDP and 5.26% of government expenditure on average. The percentage of China's defense expenditure in GDP remained stable and grew in coordination with the increase of government expenditure.

China applies strict mechanisms of fiscal allocation and budget management on its defense expenditure. It pursues a level of defense spending that is demand-oriented, planning-led and consistent with its capacity. It endeavors to strengthen unified management, coordinate existing and incremental expenditure, gradually practice cost-effectiveness management, and steadily press ahead with reform that is centered on efficacy and efficiency. To improve and strengthen

budget management, China's armed forces are extending reform of the centralized collection and payment of military funds, accelerating standardization in relation to defense expenditure, and improving the management of assets and funds.

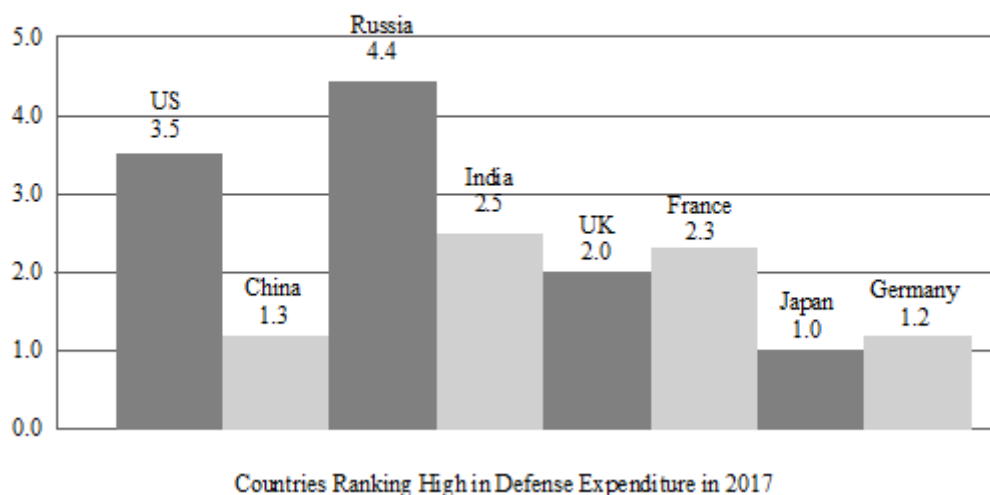
### Comparison of Defense Expenditure in the International Context

Among countries ranking high in defense expenditure in 2017, China's share of defense expenditure in GDP and government expenditure, as well as per capita and per-serviceperson defense spending, are all at a relatively low level.

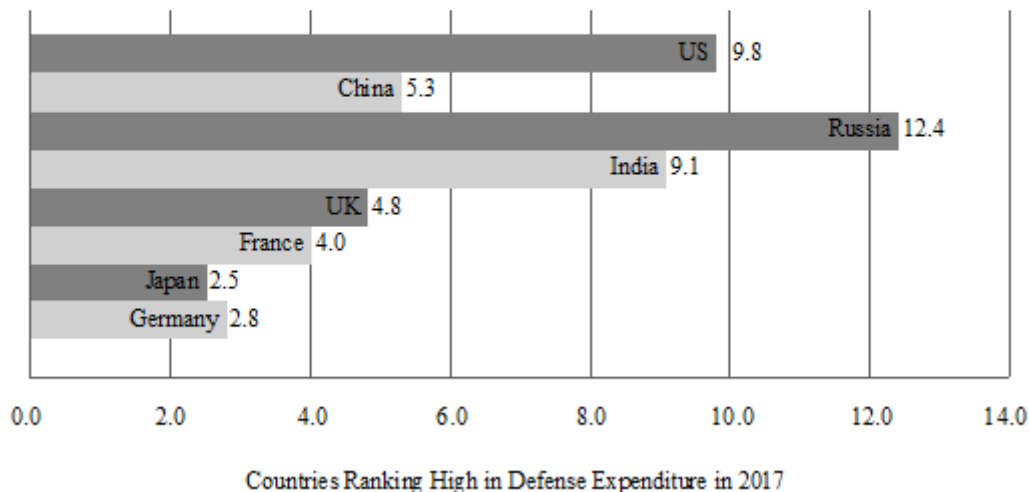
China has become the world's second largest economy. The fact that China's defense expenditure ranks second in the world is determined by the demands of its national defense, the size of its economy, and the defensive nature of its national defense policy. In terms of total spending, China's defense expenditure in 2017 was less than a quarter of that of the US.

As a percentage of GDP, from 2012 to 2017, China's average defense expenditure was about 1.3%. Comparative figures were: the US about 3.5%, Russia 4.4%, India 2.5%, the UK 2.0%, France 2.3%, Japan 1.0%, and Germany 1.2%. China ranks 6th among these countries in terms of defense expenditure as a percentage of GDP on average and is the lowest among the permanent members of the UN Security Council (UNSC).

**Figure 5 Average Ratio of Defense Expenditure to GDP by Country (2012-2017) (%)**



**Figure 6 Average Ratio of Defense Expenditure to Government Expenditure by Country (2012-2017) (%)**



As a ratio of spending to government expenditure, from 2012 to 2017, China's average defense expenditure was 5.3%. Comparative figures were: the US about 9.8%, Russia 12.4%, India 9.1%, the UK 4.8%, France 4.0%, Japan 2.5%, and Germany 2.8%. China ranks 4th among these countries in terms of defense expenditure as a percentage of government expenditure on average.

China's per capita defense expenditure in 2017 was RMB750 – 5% of the US, 25% of Russia, 231% of India, 13% of the UK, 16% of France, 29% of Japan, and 20% of Germany. China's per-serviceperson defense expenditure was RMB521,600 – 15% of the US, 119% of Russia, 166% of India, 27% of the UK, 38% of France, 35% of Japan, and 30% of Germany. China's defense expenditure ranks 7th and 6th in per capita and per-serviceperson terms respectively among these countries.

China reports and releases its defense expenditure through various mechanisms. Since 1978, the Chinese government has submitted annual budget reports to the National People's Congress and released the total amount of defense budget. In 1995, the Chinese government issued a white paper, *China: Arms Control and Disarmament*, releasing data concerning its defense expenditure to the world. Since 2007, China has joined the *UN Standardized Instrument for Reporting Military Expenditures* and has submitted annually to the UN the basic data on its defense expenditure for the latest fiscal year, reporting total spending as well as personnel expenses, training and sustainment expenses, and equipment expenses for the active force, reserve force and the militia respectively, along with an explanation of the application of China's defense expenditure and its ratio to GDP.

All in all, China's defense expenditure is open and transparent, and its spending is reasonable and appropriate. Compared to other major countries, the ratios of China's defense expenditure to GDP and to government expenditure, as well as the per capita defense expenditure of the country, remain at a relatively low level.

As the only major country yet to be completely reunified, and one of the countries with the most complex peripheral security environment, China faces serious challenges in safeguarding national sovereignty, territorial integrity, and maritime rights and interests. China is moving closer to the center of the world stage, and the international community expects more international public security goods from the Chinese military. In addition, China's armed forces are moving towards informationization and shouldering arduous tasks in following the trends of worldwide RMA and speeding up RMA with Chinese characteristics. There is still a wide gap between China's defense expenditure and the requirements for safeguarding national sovereignty, security and development interests, for fulfilling China's international responsibilities and obligations as a major country, and for China's development. In step with national economic development, defense expenditure of China will maintain a moderate and steady growth.

## **VI. Actively Contributing to Building a Community with a Shared Future for Mankind**

Building a community with a shared future for mankind conforms to the trends of the times for peaceful development, and reflects the common aspirations of all peoples throughout the world. China's armed forces have responded faithfully to the call for a community with a shared future for mankind. They are actively fulfilling the international obligations of the armed forces of a major country, comprehensively promoting international military cooperation for the new era, and striving for a better world of lasting peace and common security.

### **Resolutely Upholding the Purposes and Principles of the UN Charter**

As a founding member of the United Nations and a permanent member of the UNSC, China unswervingly endorses the central role of the UN in international affairs, and resolutely upholds international law and the basic norms governing international relations based on the purposes and principles of the UN Charter. It firmly maintains multilateralism, advances democracy in international relations, participates extensively in global security governance, actively engages in arms control and disarmament, and endeavors to offer Chinese proposals for resolving major issues and formulating important rules.

China has played a constructive role in the political settlement of regional hotspots such as the Korean Peninsula issue, the Iranian nuclear issue and Syrian issue. China opposes hegemony,

unilateralism and double standards, promotes dialogues and consultations, and fully and earnestly implements UNSC resolutions. China has actively participated in multilateral dialogues and negotiations on cyberspace and outer space, and pushed for the formulation of widely accepted international rules that are fair and equitable.

China actively participates in international arms control, disarmament and non-proliferation. China objects to arms race and strives to protect global strategic balance and stability. To this end, China has signed or acceded to dozens of relevant multilateral treaties including the Treaty on the Non-proliferation of Nuclear Weapons. In 2015 China announced the establishment of the USD1 billion China-UN Peace and Development Fund in the following decade, which was officially put into operation in 2016.

### **Building a New-Model Security Partnership Featuring Equality, Mutual Trust and Win-Win Cooperation**

China actively develops constructive relationships with foreign militaries. A new configuration of foreign military relations which is all-dimensional, wide-ranging and multi-tiered is taking shape. China has engaged in military exchanges with more than 150 countries and set up 130 offices of military attachés and military representatives at Chinese diplomatic missions abroad, while 116 countries have established military attaché's offices in China. In addition, China has put in place 54 defense consultation and dialogue mechanisms with 41 countries and international organizations. Since 2012, high level Chinese military delegations have visited over 60 countries, and defense ministers and commanders-in-chief from over 100 countries have visited China.

The military relationship between China and Russia continues to develop at a high level, enriching the China-Russia comprehensive strategic partnership of coordination for a new era and playing a significant role in maintaining global strategic stability. The Chinese and Russian militaries have continued the sound development of exchange mechanisms at all levels, expanded cooperation in high-level exchanges, military training, equipment, technology and counter-terrorism, and realized positive interaction and coordination on international and multilateral occasions. Since 2012, Chinese and Russian militaries have held 7 rounds of strategic consultations. From August to September 2018, at the invitation of the Russian side, the PLA participated in Russia's *Vostok* strategic exercise for the first time.

China actively and properly handles its military relationship with the US in accordance with the principles of non-conflict, non-confrontation, mutual respect and win-win cooperation. It strives to make the military-to-military relationship a stabilizer for the relations between the two countries

and hence contribute to the China-US relationship based on coordination, cooperation and stability. In 2014, China's Ministry of National Defense (MND) and the US Department of Defense signed the *Memorandum of Understanding on Notification of Major Military Activities and Confidence-Building Measures Mechanism* and the *Memorandum of Understanding Regarding the Rules of Behavior for Safety of Air and Maritime Encounters*. In 2015, the two countries agreed on the annexes on the military crisis notification mechanism and the rules of behavior for safety in air-to-air encounters. In 2017, the two countries established a diplomatic and security dialogue and joint staff dialogue mechanism with a view to actively strengthening strategic communication and managing risks and differences. The two militaries carry out institutionalized exchanges between the defense authorities, armies, navies and air forces, as well as practical cooperation in HADR, counter-piracy, and exchanges between academic institutions. China resolutely opposes the wrong practices and provocative activities of the US side regarding arms sales to Taiwan, sanctions on the CMC Equipment Development Department and its leadership, illegal entry into China's territorial waters and maritime and air spaces near relevant islands and reefs, and wide-range and frequent close-in reconnaissance. However, in China-US relations, the military-to-military relationship remains the generally stable one.

With a commitment to building a community with a shared future in its neighborhood, China endeavors to deepen military partnership with its neighbors. The PLA keeps close contacts with the military leaderships of the neighboring countries. Given more than 40 reciprocal military visits at and above service commander level every year, high-level military exchanges have covered almost all of China's neighbors and contributed to growing strategic mutual trust. China has set up defense and security consultations as well as working meeting mechanisms with 17 neighboring countries to keep exchange channels open. In recent years, China has regularly held serial joint exercises and training on counter-terrorism, peacekeeping, search and rescue, and tactical skills with its neighboring countries, and carried out extensive exchanges and practical cooperation on border and coastal defense, academic institutions, think tanks, education, training, medical science, medical service, and equipment and technology. In addition, defense cooperation with ASEAN countries is moving forward. The military relationships between China and its neighboring countries are generally stable.

China is actively developing its military relations with European countries. Exchanges and cooperation in all areas are making sound progress. Targeting a China-Europe partnership for peace, growth, reform and civilization, China conducts security policy dialogues, joint counter-piracy exercises and personnel training with the EU. In 2016, China held a desktop exercise on

non-combatant evacuation with the UK and a joint military medical exercise with Germany. In 2018, China and the EU held the third China-EU high-level seminar on security policy.

China is strengthening military exchanges with developing countries in Africa, Latin America, the Caribbean and the South Pacific by carrying out personnel training, conducting exchanges between mid-and-junior level officers, and providing assistance in military development and defense capabilities. In Beijing in 2018 China hosted the China-Africa Defense and Security Forum, the China and Latin-America High-level Defense Forum, and the Forum for Senior Defense Officials from Caribbean and South Pacific Countries.

The PLA adheres to the principles of mutual trust, mutual benefit and win-win cooperation in carrying out pragmatic exchanges and cooperation with foreign militaries. Since 2012, China has held over 100 joint exercises and training with more than 30 countries. These engagements have covered traditional and non-traditional security fields, in locations extending from China's periphery to the far seas, and the participating forces have expanded from land forces to multiple branches including the army, navy and air force. Cooperation and exchanges in personnel training have intensified. Since 2012, the PLA has sent over 1,700 military personnel to study in more than 50 countries. Over 20 Chinese military educational institutions have established and maintained inter-collegiate exchanges with their counterparts from more than 40 countries. Meanwhile, more than 10,000 foreign military personnel from over 130 countries have studied in Chinese military universities and colleges.

China's armed forces work to improve mechanisms for information release in order to comprehensively and objectively explain China's national defense and military development to domestic and international audiences. In April 2011, China's MND started to convene monthly press conferences to release important information on national defense and the military. Since 2012, multiple thematic press conferences have been held to brief on important events such as national defense and military reform and downsizing the PLA. The MND has organized multiple visits to and interviews with PLA units and academic institutions for nearly 100 domestic and foreign media. Since they were launched in May 2015, the official Weibo and WeChat accounts of the MND Information Office have attracted over 6 million followers.

### **Building a Regional Security Cooperation Architecture**

In June 2001, China, Kazakhstan, Kyrgyzstan, Russia, Tajikistan and Uzbekistan co-founded the Shanghai Cooperation Organization. The SCO has now grown into a new type of comprehensive



regional cooperation organization covering the largest area and population in the world. The Shanghai Spirit featuring mutual trust, mutual benefit, equality, consultation, respect for diverse civilizations and pursuit of common development has come into being. With its commitment to building an SCO community with a shared future and developing a new model of international relations, the organization has made a new contribution to regional peace and development. In June 2017, the SCO expanded for the first time and admitted India and Pakistan as member states. In April 2018, China hosted the first SCO Defense Ministers' Meeting since the organization expanded its membership. The member states continue to strengthen defense and security exchanges and cooperation, including *Peace Mission* exercises, and *Fanfare for Peace* military tattoos, to further promote good-neighborliness and strategic mutual trust, increase military cultural exchanges, and enhance unity and friendship.

China actively supports the institutional development of the Conference on Interaction and Confidence-Building Measures in Asia (CICA), advocates common, comprehensive, cooperative and sustainable security in Asia, and plays an important role in building an Asian security cooperation architecture.

In the principles of openness, inclusiveness and pragmatic cooperation, China actively participates in multilateral dialogues and cooperation mechanisms including the ADMM-Plus, ASEAN Regional Forum (ARF), Shangri-La Dialogue, Jakarta International Defense Dialogue and Western Pacific Naval Symposium, regularly holds China-ASEAN defense ministers' informal meetings, and proposes and constructively promotes initiatives to strengthen regional defense cooperation. The China-ASEAN *Maritime Exercise-2018*, the first between Chinese and ASEAN militaries, was held in October 2018 and demonstrated the confidence and determination of the countries in maintaining regional peace and stability.

China has initiated the Xiangshan Forum, a platform of exchange based in Beijing, in the spirit of equality, openness, inclusiveness and mutual learning. In 2014, the Xiangshan Forum was upgraded to a track-1.5 platform of international security and defense dialogue. In October 2018, the Xiangshan Forum was renamed the Beijing Xiangshan Forum. More than 500 participants from 67 countries and 7 international organizations attended the forum and exchanged new ideas and approaches for addressing regional security threats and challenges. Their discussions have played an active role in promoting security dialogue and mutual trust in the Asia-Pacific region.

### **Properly Coping with Disputes over Territory and Maritime Demarcation**

Upholding amity, sincerity, mutual benefit and inclusiveness in its neighborhood diplomacy, China is committed to building an amicable relationship and partnership with its neighbors, and peaceful resolution of disputes over territory and maritime demarcation through negotiation and consultation. China has settled its border issues with 12 of its 14 land neighbors and signed treaties on good-neighborliness, friendship and cooperation with 8 countries on its periphery.

China holds it a priority to manage differences and enhance mutual trust in maintaining the stability of its neighborhood. China has proposed a China-ASEAN defense ministers' hotline and established direct defense telephone links with Vietnam and the ROK. It has kept contact through telephone or fax, and conducted border meetings and joint patrols, with the militaries of the countries on its land borders on regular or irregular basis. Since 2014, five high-level border meetings between China and Vietnam have been held. To implement the important consensus reached by the leaders of China and India, the two militaries have exchanged high-level visits and pushed for a hotline for border defense cooperation and mechanisms for border management and border defense exchanges. Since the second half of 2016, China and the Philippines have increased dialogue on maritime security, bringing the two sides back on track in addressing the South China Sea issue through friendly consultation. In May 2018, the defense authorities of China and Japan signed a memorandum of understanding on maritime and air liaison and put it into practice in June.

China and the ASEAN countries have comprehensively and effectively implemented the DOC, and actively advanced the consultations on the COC. They are committed to extending practical maritime security cooperation, developing regional security mechanisms and building the South China Sea into a sea of peace, friendship and cooperation.

### **Actively Providing International Public Security Goods**

China actively supports the UNPKOs. It is a major contributor to the UN peacekeeping budget and the largest troop contributing country among the permanent members of the UNSC. As of December 2018, China has participated in 24 UN peacekeeping missions and has contributed more than 39,000 peacekeepers. 13 Chinese military personnel have sacrificed their lives in the UNPKOs. In the missions, China's peacekeepers have built and repaired over 13,000 kilometers of roads, cleared and disposed of 10,342 mines and various items of unexploded ordnance, transported more than 1.35 million tons of materials over a total distance of more than 13 million kilometers, treated over 170,000 patients, and fulfilled over 300 armed escorts and long or short-distance patrols.

In September 2015, China joined the UN Peacekeeping Capability Readiness System (PCRS) and built a peacekeeping standby force of 8,000 troops. In September 2017, China completed the registration of PCRS Level 1. In October 2018, 13 Chinese PCRS Level 1 units scored high in the UN assessment and were elevated to PCRS Level 2. Five among these units were elevated from Level 2 to Level 3 in February 2019. China has made active efforts to train international peacekeepers and trained over 1,500 individuals from dozens of countries. In December 2018, 2,506 peacekeepers from the PLA served in 7 UN missions and in the UN Department of Peacekeeping Operations.

In line with relevant UNSC resolutions, since December 2008, the Chinese government has dispatched naval ships to carry out regular vessel protection operations in the Gulf of Aden and the waters off the coast of Somalia. Chinese PLAN task groups cooperate with multiple naval forces in the area to safeguard international SLOCs. In the past decade, over 100 vessels and 26,000 officers and sailors have been regularly deployed in 31 convoys, each consisting of three to four ships, in vessel protection operations. They have provided security protection for over 6,600 Chinese and foreign ships, and rescued, protected or assisted over 70 ships in distress.

China's armed forces take an active part in the international efforts for HADR. Military professionals are dispatched to conduct disaster relief operations in affected countries, provide relief materials and medical aid, and strengthen international exchanges in this respect. Since 2012, China's armed forces have participated in the search for the missing Malaysian Airliner MH370, and in the relief operations for Typhoon Haiyan in the Philippines, the Ebola epidemic in West Africa, the water scarcity in Maldives, the earthquake in Nepal, and the flood caused by a dam collapse in Laos. Since it entered service a decade ago, the PLAN's hospital ship *Ark Peace* has fulfilled 7 voyages coded as *Mission Harmony* and visited 43 countries. During these visits, it provided medical services to the local communities, organized medical exchanges, and helped over 230,000 people.

China is active in international and regional counter-terrorism cooperation. It has strengthened such cooperation within the framework of the SCO. China hosts and participates in joint counter-terrorism exercises, cracks down on illegal trafficking of weapons, ammunition and explosives, cooperates with SCO members to identify and cut off channels for terrorist infiltration, and promotes international counter-terrorism intelligence exchange and information sharing. It hosts the Great Wall International Forum on Counter-Terrorism, and actively participates in multilateral counter-terrorism mechanisms such as the APEC Counter-Terrorism Working Group and the Global Counter-Terrorism Forum. Bilateral counter-terrorism consultations have been held with

certain countries. China initiated the establishment of the Quadrilateral Cooperation and Coordination Mechanism (QCCM), a counter-terrorism cooperation and coordination mechanism by the militaries of Afghanistan, China, Pakistan and Tajikistan. The QCCM has convened two military leaders’ meetings and conducted counter-terrorism exchange and cooperation, actively safeguarding regional security.

Closing Remarks

Peace is an aspiration for all peoples, and development is an eternal theme of humanity. Faced with global security challenges that are becoming ever more intricate and choices that have to be made at a crossroads of human development, China firmly believes that hegemony and expansion are doomed to failure, and security and prosperity shall be shared. China will remain committed to peaceful development and work with people of all countries to safeguard world peace and promote common development.

Guided by Xi Jinping’s thinking on strengthening the military, China’s national defense in the new era will stride forward along its own path to build a stronger military and endeavor to achieve the great goal of developing world-class forces in an all-round way. China’s armed forces have the determination, confidence and capability to prevail over all threats and challenges. They stand ready to provide strong strategic support for the realization of the Chinese Dream of national rejuvenation, and to make new and greater contributions to the building of a community with a shared future for mankind.

Appendices

Table 1 CMC Functional Organs

CMC Functional Organs	Duties
General Office	Mainly responsible for comprehensive coordination, consultation on decision-making, military legal affairs, information service, supervision and inspection
Joint Staff Department	Mainly responsible for combat planning, command and control, combat command support, studying and formulating military strategy and requirements, combat capability assessment, organizing and guiding joint training, construction for combat readiness, and day-to-day combat readiness duties
Political Work Department	Mainly responsible for Party building in the military, organization work, political education, and military human resources management
Logistic Support Department	Mainly responsible for the planning, policy making, research, standardization, inspection and supervision of logistic support

Equipment Development Department	Mainly responsible for planning, R&D, testing, authentication, procurement management, and information system buildup in equipment development
Training and Administration Department	Mainly responsible for organizing and guiding military training, training supervision, troops administration, and management of educational institutions
National Defense Mobilization Department	Mainly responsible for organizing and guiding national defense mobilization and defense reserves development, and commanding and managing provincial military commands
Discipline Inspection Commission	Mainly responsible for the Party's discipline supervision and inspection in the military
Politics and Law Commission	Mainly responsible for organizing and guiding political and legal work as well as security protection
Science and Technology Commission	Mainly responsible for the strategic management of national defense S&T, organizing and guiding cutting-edge technological innovation, and promoting the CMI of S&T
Office for Strategic Planning	Mainly responsible for strategic planning of national defense and the military, organizing the formulation of development plans, and coordinating resource allocation
Office for Reform and Organizational Structure	Mainly responsible for planning and coordinating national defense and military reform, guiding and promoting the implementation of the reforms in major areas, and the organizational structure management of the armed forces
Office for International Military Cooperation	Mainly responsible for international military exchanges and cooperation, and managing and coordinating the work of the armed forces related to foreign affairs
Audit Office	Mainly responsible for audit, supervision as well as organizing and guiding the audit of the armed forces
Agency for Offices Administration	Mainly responsible for serving and supporting the CMC's functional organs

Table 2 Breakdown of China's Defense Expenditure (2010-2017)

(in RMB billion yuan)

Year	Personnel Expense		Training and Sustainment Expense		Equipment Expense		Total
	Amount	Percentage (%)	Amount	Percentage (%)	Amount	Percentage (%)	

2010	185.931	34.9	170.047	31.9	177.359	33.2	533.337
2011	206.506	34.3	189.943	31.5	206.342	34.2	602.791
2012	195.572	29.2	232.994	34.8	240.626	36.0	669.192
2013	200.231	27.0	269.971	36.4	270.860	36.6	741.062
2014	237.234	28.6	267.982	32.3	323.738	39.1	828.954
2015	281.863	31.0	261.538	28.8	365.383	40.2	908.784
2016	306.001	31.3	266.994	27.4	403.589	41.3	976.584
2017	321.052	30.8	293.350	28.1	428.835	41.1	1043.237
Sources: Data on China's defense expenditure submitted to the UN by the Chinese government							

Table 3 China's Defense Expenditure Since 2012

Year	GDP (RMB billion)	Total Defense Expenditure (RMB billion)	CPI (Previous Year = 100)	Growth Rate of Government Expenditure (%)	Growth Rate of Defense Expenditure (%)	Defense Expenditure to GDP (%)	Defense Expenditure to Government Expenditure (%)	Real Defense Expenditure Growth Rate (Inflation- adjusted) (%)
2012	54036.74	669.192	102.6	15.29	11.02	1.24	5.31	8.42
2013	59524.44	741.062	102.6	11.32	10.74	1.24	5.29	8.14
2014	64397.4	828.954	102.0	8.25	11.86	1.29	5.46	9.86
2015	68905.21	908.784	101.4	15.87	9.63	1.32	5.17	8.23
2016	74358.55	976.584	102.0	6.75	7.46	1.31	5.20	5.46
2017	82712.17	1043.237	101.6	8.17	6.83	1.26	5.14	5.23
Sources: Statistical yearbooks and government documents released by the Chinese government and data on China's defense expenditure submitted to the UN by the Chinese government								

**Table 4 Major Multilateral Treaties on Arms Control, Disarmament and Non-Proliferation Joined by China**

Category	Treaties	Time
Nuclear	Protocol to the Treaty on a Nuclear-Weapon-Free Zone in Central Asia	Signed in May 2014, approved in Apr. 2015 by the Standing Committee of the National People's Congress
	Protocol Additional to the Agreement Between the People's Republic of China and the International Atomic Energy Agency for the Application of Safeguards in China	Signed in Dec. 1998, entered into force in Mar. 2002
	Comprehensive Nuclear Test Ban Treaty	Signed in Sep. 1996
	Protocol I and II to the African Nuclear-Weapon-Free Zone Treaty	Signed in Apr. 1996, instrument of ratification deposited in Oct. 1997
	Convention on Nuclear Safety	Signed in Sep. 1994, ratified in Apr. 1996
	Treaty on the Non-Proliferation of Nuclear Weapons	Acceded in Mar. 1992
	Treaty on the Prohibition of the Emplacement of Nuclear Weapons and Other Weapons of Mass Destruction on the Sea-Bed and the Ocean Floor and in the Subsoil Thereof	Acceded in Feb. 1991
	Convention on the Physical Protection of Nuclear Material	Acceded in Feb. 1989
	Agreement Between the People's Republic of China and the International Atomic Energy Agency for the Application of Safeguards in China	Signed in Sep. 1988, entered into force in Sep. 1989
	Additional Protocol II and III to the South Pacific Nuclear-Free Zone Treaty	Signed in Feb. 1987, instrument of ratification deposited in Oct. 1988
	Additional Protocol II to the Treaty for the Prohibition of Nuclear Weapons in Latin America and the Caribbean	Signed in Aug. 1973, instrument of ratification deposited in Jun. 1974
Chemical	Convention on the Prohibition of Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction	Signed in Jan. 1993, instrument of ratification deposited in Apr. 1997

Category	Treaties	Time
Biological	Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction	Acceded in Nov. 1984
	Protocol for the Prohibition of the Use in War of Asphyxiating, Poisonous or Other Gases, and of Bacteriological Methods of Warfare	Statement issued to acknowledge the Protocol in Jul. 1952
Conventional	Protocol on Explosive Remnants of War (Protocol V) of Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or Have Indiscriminate Effects	Instrument of ratification deposited in Jun. 2010
	Protocol Against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, Supplementing the United Nations Convention Against Transnational Organized Crime	Signed in Dec. 2002
	Protocol on Blinding Laser Weapons Annexed to the Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects (Protocol IV)	Instrument of ratification deposited in Nov. 1998
	Amended Protocol on Prohibitions or Restrictions on the Use of Mines, Booby-Traps and Other Devices Annexed to the Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects (Amended Protocol II)	Instrument of ratification deposited in Nov. 1998
	Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects and Protocols I-III	Signed in Sep. 1981, instrument of ratification deposited in Apr. 1982; amendment of Article 1 of the Convention ratified in Jun. 2003, instrument of ratification deposited in Aug. 2003
Others	Convention on the Prohibition of Military or Any Other Hostile Use of Environmental Modification Techniques	Acceded in Jun. 2005
	Convention on Registration of Objects Launched into Outer Space	Acceded in Dec. 1988
	Agreement on the Rescue of Astronauts, the Return of Astronauts, and the Return of Objects Launched into Outer Space	Acceded in Dec. 1988



Category	Treaties	Time
	Convention on International Liability for Damage Caused by Space Objects	Acceded in Dec. 1988
	Treaty on Principles Concerning the Activities of States in the Exploration and Use of Outer Space Including the Moon and other Celestial Bodies	Acceded in Dec. 1983
	The Antarctic Treaty	Acceded in Jun. 1983

Table 5 Major Multilateral Counter-Terrorism Treaties Signed by China

Treaty	Date of Signature	Effective Date	Participation or Statement by China
Agreement on Quadrilateral Cooperation and Coordination Mechanism in Counter Terrorism by the Ministry of Defense/ Armed Forces/ Military of Afghanistan, China, Pakistan, and Tajikistan	Aug. 2017	Aug. 2017	Signed in Aug. 2017, effective in China
Convention of the Shanghai Cooperation Organization Against Terrorism	Jun. 2009	Jan. 2012	Signed in Jun. 2009, ratified in Dec. 2014
Agreement on Cooperation in Combating Illicit Trafficking in Arms, Ammunition and Explosives between the Governments of the Member States of the Shanghai Cooperation Organization	Aug. 2008	May 2010	Approved by the State Council in May 2012, instrument of approval deposited in Jul. 2012, effective in China
Agreement on the Procedure for Organizing and Conducting Joint Anti-Terrorism Exercise within Territories of Member States of the Shanghai Cooperation Organization	Aug. 2008	Nov. 2013	Approved in Jun. 2013, effective in China since Nov. 2013
Cooperation Agreement in the Sphere of Identifying and Cutting off the Channels Used by the Individuals Involved in Terrorist, Separatist and Extremist Activities to Enter the Shanghai Cooperation Organization Member States	Jun. 2006	Nov. 2008	Approved by the State Council in May 2012, instrument of approval deposited in Jul. 2012, effective in China

Table 6 Major Joint Exercises and Training by the PLA and the PAP with Foreign Counterparts Since 2012

No.	States/ Organizations	Codename	Location and Time
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No.	States/ Organizations	Codename	Location and Time
1	Belarus	United Shield-2017 Joint Anti-Terrorism Training	Held in Minsk, Belarus in Jul. 2017
2	Germany	Joint Rescue-2016 Joint Medical Exercise	Held in Chongqing, China in 2016
3	India	Hand in Hand Anti-Terrorism Joint Training	Held in Sichuan, China in Nov. 2013; in Maharashtra, India in Nov. 2014; in Yunnan, China in Oct. 2015; in Maharashtra, India in Nov. 2016; in Sichuan, China in Dec. 2018
4	Malaysia	Peace and Friendship Joint Military Exercises	Tabletop exercise held in Malaysia in Dec. 2014; in Malaysia in Sept. 2015; in Malaysia in Nov. 2016
5	Pakistan	Friendship Joint Anti-Terrorism Training	Held in Ningxia, China in Dec. 2015; at National Counter Terrorism Center Pabbi, Pakistan in Oct. 2016
		Eagle/Shahen Joint Training	A total of 6 training sessions held in China and Pakistan from 2013 to 2018
6	Russia	Joint Sea Exercises	Held in the Yellow Sea waters near Qingdao in Apr. 2012; in Peter the Great Gulf in Jul. 2013; in the north maritime and air space of the East China Sea to the east of Yangtze River Estuary in May 2014; in the east of the maritime and air space of the Mediterranean in May 2015; in Peter the Great Gulf, off the coast of Vladivostok, and the maritime and air space of Sea of Japan in Aug. 2015; in Zhanjiang and the east of the maritime and air space of Zhanjiang in Sep. 2016; in the Baltiysk, St. Petersburg and southeast Baltic Sea in Jul. 2017; in Vladivostok and the designated area between Peter the Great Gulf and the south Okhotsk Sea in Sep. 2017
		Aerospace Security Computer-Enabled Anti-Missile Command-and-Staff Exercises	Held in Russia in May 2016, and in China in Dec. 2017
7	Saudi Arabia	Explore-2016 Joint Anti-Terrorism Training of Special Forces	Held in China in Oct. 2016

No.	States/ Organizations	Codename	Location and Time
8	Sri Lanka	Silk Road Cooperation-2015 Joint Counter Terrorism Training for Special Operation Units	Held in Colombo, Sri Lanka in Jun. 2015
9	Tajikistan	Cooperation-2016 Joint Anti-Terrorism Exercise	Held in Badakhshan, Tajikistan in Oct. 2016
10	Thailand	Falcon Strike Joint Training	Held in Thailand in Nov. 2015; in Thailand in Aug. 2017; in Thailand in Sep. 2018
11	UK	Non-Combatant Evacuation Operation Desktop Exercises	Held in Nanjing in 2016; in London in 2017
12	US	The US-China Disaster Management Exchange	Held in Beijing, Kunming and Chengdu in 2012; in Hawaii in 2013; in Guangzhou and Haikou in 2015; in Seattle in 2015; in Kunming in 2016; in Portland in 2017; in Nanjing in 2018
13	Vietnam	China-Vietnam Joint Naval Patrols in the Beibu Gulf	Held in the shared fishing zone in the Beibu Gulf for 13 times from 2012 to 2018
14	Malaysia, Thailand	Peace and Friendship 2018 Joint Military Exercise	Held in Malaysia in Oct. 2018 by militaries of China, Malaysia and Thailand
15	ASEAN	Maritime Exercise-2018	Held in Zhanjiang and the maritime and air space to the east in Oct. 2018
16	EU	Cooperation-2018.10.EU Joint Medical Exercise	Held in the west Gulf of Aden in Oct. 2018
17	SCO	Peace Mission Joint Counter-Terrorism Exercises	Held in Khujand, Tajikistan in Jun. 2012; in Zhurihe Training Base in Inner Mongolia, China, in Aug. 2014; in the Edelweiss Training Area in Balykchy, Kyrgyzstan, in Sep. 2016; in Chebarkul, Chelyabinsk, Russia, in Aug. 2018

**Table 7 Major Regional Security Dialogues and Cooperation Platforms Joined by the PLA and the PAP**

(Up to Dec. 2018)

Platform	Year of Inception	Description
China-Africa Defense and Security Forum	2018	Hosted by China's MND, the forum was held in Beijing from 26th Jun. to 10th Jul., 2018. With the theme of "cooperation and mutual assistance", in-depth discussions were held on topics including China-Africa defense and security cooperation and Africa's security situation. 50 representatives attended from defense departments or militaries of African countries and African Union, including 12 chiefs or deputy chiefs of General Staff. It is the largest and highest-level mechanism for China-Africa defense and security dialogue and cooperation.
QCCM among the Military Forces of Afghanistan, China, Pakistan and Tajikistan	2016	The QCCM was built to provide coordination and mutual support in situation evaluation, evidence verification, intelligence sharing, capacity building, and training. China has always emphasized that the mechanism is not targeted against any other country or international organization and is ready to join all parties in expanding cooperation, increasing counter terrorism capacity, and safeguarding regional peace and stability. To date, two military leaders' meetings have been held.
Great Wall Counter-Terrorism International Forum	2016	The inaugural session of the forum was held in Beijing in Nov. 2016 with the theme of "counter-terrorism in urban areas". Representatives from 25 countries including Russia, France, Egypt and Brazil participated. The second forum was held in Beijing in May 2018 with the theme of "counter-terrorism in mountainous areas". Representatives from 27 countries including France, Nigeria, Chile and Pakistan participated.
China and Latin America High-Level Defense Forum	2012	The biennial forum is hosted by China's MND. With the theme of "enhancing mutual understanding and collaboration", the forum aims to promote Latin-American militaries' understanding of and trust in China and its military, and facilitate continued military exchanges and cooperation between the Chinese and Latin-American militaries. The forum is represented by defense and military leadership from major Spanish and Portuguese speaking countries.
ASEAN Defense Ministers' Meeting Plus	2010	ADMM-Plus is the largest and highest-level dialogue and cooperation mechanism on defense and security in the Asia-Pacific region. Since 2016, under the mechanism, the PLA has participated in a joint exercise on peacekeeping and demining in India, and exercises on maritime security and counter-terrorism in both Brunei and Singapore. From 2017 to 2020, China and Thailand co-chair the counter-terrorism expert working group.
Beijing Xiangshan Forum	2006	This annual forum is co-hosted by the China Association for Military Science and the China Institute for International Strategic Studies. The Xiangshan Forum was upgraded to a track-1.5 platform of international security and defense dialogue in 2014. It was renamed the Beijing Xiangshan Forum in 2018. The forum advocates the principles of equality, openness, inclusiveness and mutual learning. In Oct. 2018, the 8th Beijing Xiangshan Forum was held with the participation of over 500 representatives from 67 countries and 7 international organizations. The forum injected strong positive energy into regional and international security cooperation.

Platform	Year of Inception	Description
Shangri-La Dialogue	2002	The dialogue is hosted by the International Institute for Strategic Studies, a think tank based in London, and co-hosted by the Ministry of Defense of Singapore. It is held in early June every year in the Shangri-La Hotel in Singapore. At the 17th Shangri-La Dialogue in 2018, the Chinese delegation, on the topic of “Strategic Implications of Military Capability Development in the Asia-Pacific”, explained the important proposals of President Xi Jinping on building a new model of international relations and a community with a shared future for mankind, and actively promoted a joint effort with relevant countries to maintain regional security and stability.
SCO	2001	Established in 2001 on the basis of the Shanghai Five (China, Kazakhstan, Kyrgyzstan, Russia and Tajikistan) mechanism, the SCO aims to enhance mutual trust, good-neighborliness and friendship among the member states, encourages the member states to effectively cooperate in such fields as politics, economy, culture and education. The organization is committed to maintaining and safeguarding regional peace, security and stability and building a new international political and economic order that is democratic, fair and equitable. The member states have signed important documents including the Treaty on Long-term Good-neighborly Relations, Friendships and Cooperation among the Shanghai Cooperation Organization Member States. From 9th to 10th Jun. 2018 in Qingdao, President Xi Jinping presided over the first Council of Heads of State of the SCO with an expanded membership, and stressed that member states will continue to uphold the Shanghai Spirit, make joint efforts to build an SCO community with a shared future, and promote a new model of international relations.
Chiefs of Defense Conference	1998	Initiated by the US PACOM, the conference is held annually and alternates between the US and other Asia-Pacific countries. China has participated every year since 2014. At the conference in Sep. 2017, the Chinese side focused on explaining President Xi Jinping’s vision of building a community with a shared future for mankind and the new proposals on security, and advocated that relevant countries should enhance strategic mutual trust and cooperate to meet global challenges.
ASEAN Regional Forum	1994	The forum has a membership of 27 countries and is the official multilateral platform for security dialogue and cooperation in the Asia-Pacific. Since 2015, China has hosted important meetings and events including the ARF Peacekeeping Experts’ Meeting, the ARF Defense Officials’ Dialogue, the ARF Heads of Defense Universities/Colleges/Institutions Meeting, and the ARF Security Policy Conference.

**Table 8 Main UNPKOs the PLA Participated**  
(Up to Dec. 2018)

Starting Date	Mission Area	Troops	Mission Description
Jan. 2014	Mali (MINUSMA)	Force protection unit of 170 troops, engineering unit of 155 troops, and medical unit of 70 troops	In Mali, the engineering unit built and repaired 2,900 m of roads, leveled 400,000 m <sup>2</sup> of ground, and installed 667 prefabricated houses; the force protection unit

			conducted 2,710 armed patrols and security tasks; and the medical unit treated 8,120 patients.
Jan. 2012	South Sudan (UNMISS)	Infantry battalion of 700 troops, engineering unit of 268 troops, and medical unit of 63 troops	In South Sudan, the engineering unit built and maintained 5,365 km of roads, repaired 7 bridges, and installed 72 prefabricated houses; the medical unit treated 21,000 patients; the infantry battalion conducted 63 long/short-distance patrols, and carried out 216 armed escorts and 42 inspections in refugee camps, covering a total mileage of 1,020,000 km.
Nov. 2007	Sudan (UNAMID)	Engineering unit of 225 troops, helicopter unit of 140 troops	In Darfur, Sudan, the engineering unit built and repaired 89 km of roads, installed 400 prefabricated houses and drilled 14 wells; the helicopter unit flew 800 sorties totaling 1,150 hours, transporting 5,500 persons and 230 tons of materials.
Apr. 2006	Lebanon (UNIFIL)	Multi-functional engineering unit of 180 troops, construction engineering unit of 200 troops, and medical unit of 30 troops	In Lebanon, the engineering unit cleared 10,342 mines and items of unexploded ordnance; completed maintenance tasks on houses and equipment; and received and treated 78,900 patients.
Apr. 2003	DR Congo (MONUSCO)	Engineering unit of 175 troops and medical unit of 43 troops	In the Democratic Republic of the Congo, the engineering unit built 4,650 km of roads and 214 bridges; and the medical unit treated 35,000 patients.
Notes: In addition to the units, the PLA has sent over 80 military observers, staff officers and military officers under UN contract to the 5 above-mentioned UNPKOs, UNTSO, MINURSO and UN Headquarters.			

Table 9 Major International HADR Operations Participated by the PLA Since 2012

Starting Date	Countries Concerned	Mission Description
Jun. 2018	Papua New Guinea, Vanuatu, Fiji, Tonga, Venezuela, Grenada, The Commonwealth of Dominica, Antigua and Barbuda, The Dominican Republic, Ecuador and Chile	The PLAN hospital ship <i>Ark Peace</i> sailed over 30,000 nautical miles for the tasks of Mission Harmony-2018, diagnosed and treated 50,884 people, hospitalized 288 people, performed 288 surgical operations, and provided auxiliary examinations for more than 20,000 people.
Jul. 2018	Laos	After the collapse of the Xe Pian-Xe Namnoy hydropower dam, under construction in southern province Attapeu of Laos, the PLA sent its medical unit participating in the Peace Train-2018 humanitarian medical relief joint exercise to the disaster-hit area by land and air, taking ambulances, epidemic prevention vehicles, first-aid equipment and medicine with them, to join the rescue, medical treatment and epidemic prevention efforts.

Starting Date	Countries Concerned	Mission Description
Aug. 2017	Sri Lanka	The PLAN task group visited Sri Lanka at a time when the country had been hit by a severe flood. The task group participated immediately in the disaster relief efforts by sending a medical team to the affected area to provide medical services and epidemic prevention, and donating relief materials including rubber boats.
Jun. 2016	Vietnam	After the air crash in Vietnam, the PLA sent naval vessels and aircraft to assist in searching for the crashed plane and rescuing the crew.
Aug. 2015	Myanmar	In the severe flood in Myanmar, the PLA deployed 2 transport aircraft to deliver 3 batches of tents, blankets and generators.
May. 2015	Nepal	After the strong earthquake in Nepal, the PLA sent 8 specialized teams totaling 1,088 people including rescue teams, medical and epidemic prevention teams, and transport teams to the affected area. They treated 5,294 people, decontaminated an area of 940,000 m <sup>2</sup> , airlifted 690 tons of relief materials and restored over 330 km of roads.
Jan. 2015	Malaysia	After the severe flood in Malaysia, the PLAAF sent aircraft to transport relief materials such as tents, water pumps and water purification facilities.
Dec. 2014	Maldives	In the severe water scarcity in Male, the capital city of Maldives, the PLAN submarine support ship <i>Changxingdao</i> used its ship borne desalting devices to provide fresh water for the affected population. The PLAAF provided emergency delivery of over 40 tons of bottled water by an IL-76.
Aug. 2014	The Republic of Guinea, Liberia, Sierra Leone and Guinea-Bissau	After the outbreak of Ebola in west Africa, the PLA sent 524 medical staff in 7 groups to conduct epidemic prevention operations. They built a treatment center, hospitalized 938 suspected patients and trained more than 3,000 local medical staff.
Mar. 2014	Malaysia, Australia	After the Malaysian Airliner MH370 lost contact, the PLAN sent 9 surface vessels, 6 ship borne helicopters and 2,185 service members to the search missions in the Malacca Strait, the Adman Sea, and waters in southwest and west to Australia. The vessels sailed 98,000 nautical miles in 7,679 sailing hours and searched 744,000 km <sup>2</sup> of sea. The PLAAF sent 2 IL-76 and 1 Y-8C to Malaysia and Australia on multinational joint search and rescue missions. The PLAAF organized 35 search flight sorties totaling 267 hours and 3 minutes, and covering a total flight distance of 167,000 km.

Starting Date	Countries Concerned	Mission Description
Nov. 2013	The Philippines	After Typhoon Haiyan struck the Philippines, the PLAN hospital ship <i>Ark Peace</i> was sent to conduct medical aid operations. In total, it treated 2,208 injured people, hospitalized 113 people, performed 44 surgical operations, transported 757 people in 76 helicopter sorties and 718 people in 104 boat sorties.

Table 10 Participation of the PLA in the Fanfare for Peace Military Tattoos

No.	Date	Location	Participating Bands	Activities
1st	20th-29th Aug. 2014	Beijing and Inner Mongolia, China	9 military bands from China, Kazakhstan, Kyrgyzstan, Russia and Tajikistan, 660 people in total	The opening ceremony and marching performance in China's National Indoor Stadium, a parade and a pageant performance at the Olympic Center, and the closing ceremony of the military tattoo and of the Peace Mission-2014 joint counter-terrorism exercise in Zhurihe Training Base in Inner Mongolia
2nd	29th-30th Jun. 2015	St. Petersburg, Russia	12 military bands from Russia, Kazakhstan, China, Tajikistan and Kyrgyzstan, over 300 people in total	A concert and a parade at the Palace Square of Russia and a pageant performance in the Peter and Paul Fortress
3rd	7th-8th Jun. 2016	Astana, Kazakhstan	7 military bands from Kazakhstan, China, Kyrgyzstan, Russia and Tajikistan, nearly 350 people in total	A concert in the concert hall of the Military Museum of Kazakhstan, a pageant performance at Bayterek Square, and a parade for the defense ministers on the square in front of the National Opera House
4th	26th-28th Aug. 2017	Shanghai, China	6 military bands from China, Kyrgyzstan, Pakistan, Russia and Tajikistan, 426 people in total	The opening ceremony and marching performance in Yuanshen Gymnasium, a pageant performance at the Expo Park of Shanghai, and a second performance in the Yuanshen Gymnasium
5th	21st-25th Apr. 2018	Beijing, China	8 military bands from China, Kyrgyzstan, Tajikistan, Uzbekistan, India, Pakistan, Russia and Belarus, over 400 people in total	The opening ceremony and marching performance at the Juyongguan Pass of the Great Wall with the defense ministers in the audience, a parade in the Beijing's Olympic Park and a second performance at the Juyongguan Pass of the Great Wall

## Acronyms

ADMM-Plus	ASEAN Defense Ministers' Meeting Plus
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<b>AI</b>	<b>artificial intelligence</b>
<b>AMS</b>	<b>Academy of Military Sciences</b>
<b>ARF</b>	<b>ASEAN Regional Forum</b>
<b>ASEAN</b>	<b>Association of Southeast Asian Nations</b>
<b>BRICS</b>	<b>Brazil, Russia, India, China and South Africa</b>
<b>CICA</b>	<b>Conference on Interaction and Confidence-Building Measures in Asia</b>
<b>CMC</b>	<b>Central Military Commission</b>
<b>CMCAO</b>	<b>CMC Audit Office</b>
<b>CMCDIC</b>	<b>CMC Discipline Inspection Commission</b>
<b>CMCPLC</b>	<b>CMC Politics and Law Commission</b>
<b>CMCSC</b>	<b>CMC Supervision Commission</b>
<b>CMI</b>	<b>civil-military integration</b>
<b>COC</b>	<b>Code of Conduct in the South China Sea</b>
<b>CPC</b>	<b>Communist Party of China</b>
<b>CPCCC</b>	<b>CPC Central Committee</b>
<b>CPI</b>	<b>consumer price index</b>

<b>DOC</b>	<b>Declaration on the Conduct of Parties in the South China Sea</b>
<b>ECM</b>	<b>electronic countermeasures</b>
<b>EU</b>	<b>European Union</b>
<b>GDP</b>	<b>gross domestic product</b>
<b>HADR</b>	<b>humanitarian assistance and disaster relief</b>
<b>IT</b>	<b>information technology</b>
<b>MAC</b>	<b>Military Area Command</b>
<b>MINURSO</b>	<b>United Nations Mission for the Referendum in Western Sahara</b>
<b>MINUSMA</b>	<b>United Nations Multidimensional Integrated Stabilization Mission in Mali</b>
<b>MND</b>	<b>Ministry of National Defense</b>
<b>MONUSCO</b>	<b>United Nations Organization Stabilization Mission in the DR Congo</b>
<b>NATO</b>	<b>North Atlantic Treaty Organization</b>
<b>NBC</b>	<b>nuclear, biological and chemical weapons</b>
<b>NBCE</b>	<b>nuclear, biological and chemical weapons and explosives</b>
<b>NDU</b>	<b>National Defense University</b>

<b>NUDT</b>	<b>National University of Defense Technology</b>
<b>PACOM</b>	<b>Pacific Command</b>
<b>PAP</b>	<b>People's Armed Police</b>
<b>PCRS</b>	<b>Peacekeeping Capability Readiness System</b>
<b>PLA</b>	<b>People's Liberation Army</b>
<b>PLAA</b>	<b>PLA Army</b>
<b>PLAAF</b>	<b>PLA Air Force</b>
<b>PLAJLSF</b>	<b>PLA Joint Logistic Support Force</b>
<b>PLAN</b>	<b>PLA Navy</b>
<b>PLARF</b>	<b>PLA Rocket Force</b>
<b>PLASSF</b>	<b>PLA Strategic Support Force</b>
<b>PRC</b>	<b>People's Republic of China</b>
<b>QCCM</b>	<b>Quadrilateral Cooperation and Coordination Mechanism</b>
<b>RMA</b>	<b>Revolution in Military Affairs</b>
<b>R&amp;D</b>	<b>research and development</b>

<b>SCO</b>	<b>Shanghai Cooperation Organization</b>
<b>SLOCs</b>	<b>sea lines of communication</b>
<b>S&amp;T</b>	<b>science and technology</b>
<b>TC</b>	<b>Theater Command</b>
<b>ETC</b>	<b>Eastern Theater Command</b>
<b>STC</b>	<b>Southern Theater Command</b>
<b>WTC</b>	<b>Western Theater Command</b>
<b>NTC</b>	<b>Northern Theater Command</b>
<b>CTC</b>	<b>Central Theater Command</b>
<b>THAAD</b>	<b>Terminal High Altitude Area Defense</b>
<b>UN</b>	<b>United Nations</b>
<b>UNMISS</b>	<b>UN Mission in South Sudan</b>
<b>UNAMID</b>	<b>UN-African Union Hybrid Operation in Darfur</b>
<b>UNIFIL</b>	<b>UN Interim Force in Lebanon</b>
<b>UNSC</b>	<b>UN Security Council</b>
<b>UNPKO</b>	<b>UN peacekeeping operation</b>

UNTSO	UN Truce Supervision Organization

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