

# Drought in tropical forests

The role of tree height and wood density for hydraulic efficiency, productivity and vulnerability to cavitation of trees along a lowland precipitation gradient

Roman Link

Department of Plant Ecology and Ecosystem Research  
Georg August University of Göttingen

January 25, 2018



# Structure of my PhD project

- **Chapter 1:** Predicting radial sap flow profiles from Costa Rican tropical dry forest species
- **Chapter 2:** Estimating plant vulnerability to embolism in Costa Rican humid tropical forest species
- **Chapter 3:** Relationship between productivity, structural, functional, wood anatomical and hydraulic traits of tropical forest species from Costa Rica



# Structure of my PhD project

- **Chapter 1:** Predicting radial sap flow profiles from Costa Rican tropical dry forest species
- **Chapter 2:** Estimating plant vulnerability to embolism in Costa Rican humid tropical forest species
- **Chapter 3:** Relationship between productivity, structural, functional, wood anatomical and hydraulic traits of tropical forest species from Costa Rica



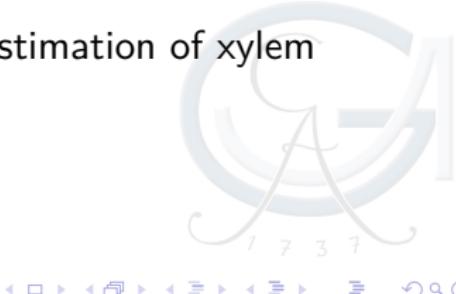
# Structure of my PhD project

- **Chapter 1:** Predicting radial sap flow profiles from Costa Rican tropical dry forest species
- **Chapter 2:** Estimating plant vulnerability to embolism in Costa Rican humid tropical forest species
- **Chapter 3:** Relationship between productivity, structural, functional, wood anatomical and hydraulic traits of tropical forest species from Costa Rica



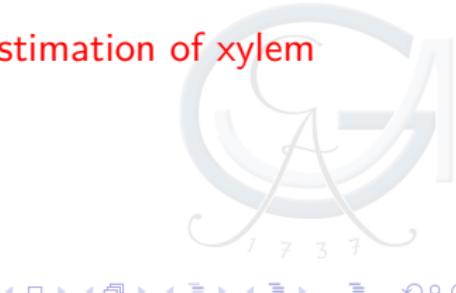
# Structure of my PhD project

- **Chapter 1:** Predicting radial sap flow profiles from Costa Rican tropical dry forest species
- **Chapter 2:** Estimating plant vulnerability to embolism in Costa Rican humid tropical forest species
- **Chapter 3:** Relationship between productivity, structural, functional, wood anatomical and hydraulic traits of tropical forest species from Costa Rica
- **Bonus Chapter:** Maximum-likelihood estimation of xylem vessel lengths



# Structure of my PhD project

- **Chapter 1:** Predicting radial sap flow profiles from Costa Rican tropical dry forest species
- **Chapter 2:** Estimating plant vulnerability to embolism in Costa Rican humid tropical forest species
- **Chapter 3:** Relationship between productivity, structural, functional, wood anatomical and hydraulic traits of tropical forest species from Costa Rica
- **Bonus Chapter:** Maximum-likelihood estimation of xylem vessel lengths



# Structure of my PhD project

- **Chapter 1:** Predicting radial sap flow profiles from Costa Rican tropical dry forest species
- **Chapter 2:** Estimating plant vulnerability to embolism in Costa Rican humid tropical forest species
- **Chapter 3:** Relationship between productivity, structural, functional, wood anatomical and hydraulic traits of tropical forest species from Costa Rica
- **Bonus Chapter:** Maximum-likelihood estimation of xylem vessel lengths: **Not in the focus of this presentation!**



# Introduction

- Basics about plant water relations
- Why is it important to know about drought effects in the tropics?



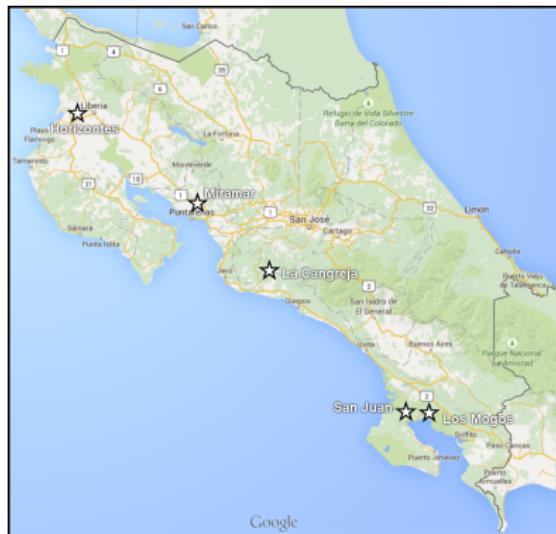
# Main research questions

- This one's gonna be tough



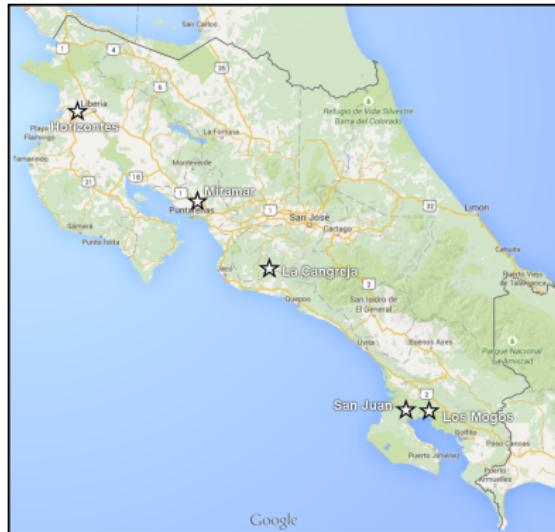
# Design of the study

- 5 research sites along a rainfall gradient on the Pacific shoreline of Costa Rica
- Gradient from tropical dry forest to humid tropical lowland forest
- Based on existing research sites of the Instituto Tecnológico de Costa Rica



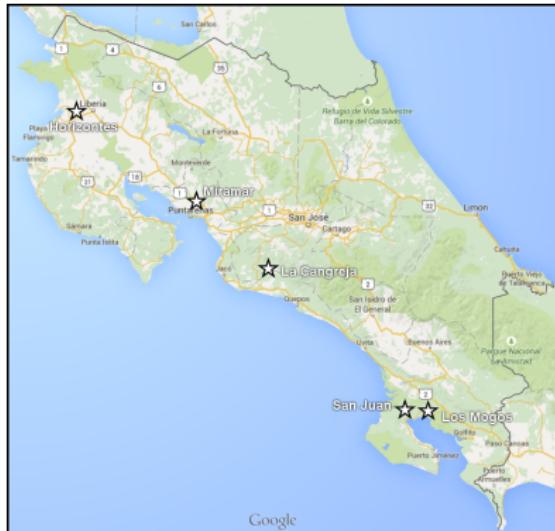
# Design of the study

- 5 research sites along a rainfall gradient on the Pacific shoreline of Costa Rica
- Gradient from tropical dry forest to humid tropical lowland forest
- Based on existing research sites of the Instituto Tecnológico de Costa Rica



# Design of the study

- 5 research sites along a rainfall gradient on the Pacific shoreline of Costa Rica
- Gradient from tropical dry forest to humid tropical lowland forest
- Based on existing research sites of the **Instituto Tecnológico de Costa Rica**



# Design of the study

At each of the 5 research sites:

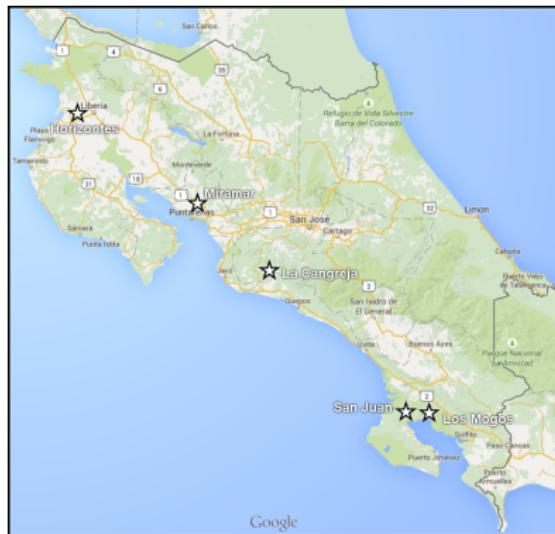
- 8 species representing a gradient in tree height and wood density
  - 5 replicates per species (only mature trees)
- ⇒ 40 trees per site, 200 trees in total



# Design of the study

At each of the 5 research sites:

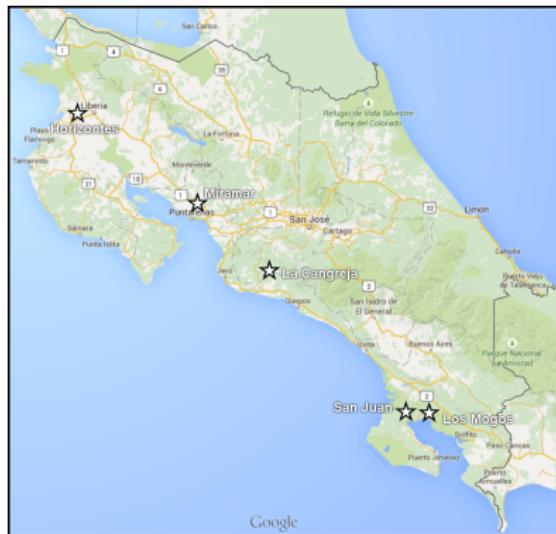
- 8 species representing a gradient in tree height and wood density
- 5 replicates per species (only mature trees)  
⇒ 40 trees per site, 200 trees in total



# Design of the study

At each of the 5 research sites:

- 8 species representing a gradient in tree height and wood density
  - 5 replicates per species (only mature trees)
- ⇒ 40 trees per site, 200 trees in total



# Design of the study

- **Variables measured at all sites**

- Tree level

- Diameter at breast height
    - Tree height
    - Tree growth (basal area/aboveground biomass increment)
    - Wood density
    - Sapwood non-structural carbohydrate (NSC) content

- Site level

- Temperature
    - Relative humidity
    - Precipitation

- **Variables measured at a subset of sites**

- Sap flow (only at one site)
  - Branch vulnerability to embolism (only at two sites)



# Design of the study

## ● Variables measured at all sites

- Tree level
  - Diameter at breast height
  - Tree height
  - Tree growth (basal area/aboveground biomass increment)
  - Wood density
  - Sapwood non-structural carbohydrate (NSC) content
- Site level
  - Temperature
  - Relative humidity
  - Precipitation

## ● Variables measured at a subset of sites

- Sap flow (only at one site)
- Branch vulnerability to embolism (only at two sites)



# Problems with the design

- Opportunistic use of pre-existing plots
  - Different plot sizes and numbers at each site
  - Differences in historic land use (pristine primary forest vs. disturbed primary forest vs. secondary forest)
  - Cooperation with forestry department (foresters do forester things...)



# Problems with the design

- Opportunistic use of pre-existing plots
  - Different plot sizes and numbers at each site
  - Differences in historic land use (pristine primary forest vs. disturbed primary forest vs. secondary forest)
  - Cooperation with forestry department (foresters do forester things...)



# Problems with the design

- Opportunistic use of pre-existing plots
  - Different plot sizes and numbers at each site
  - Differences in historic land use (pristine primary forest vs. disturbed primary forest vs. secondary forest)
  - Cooperation with forestry department (foresters do forester things...)



# Problems with the design

- Opportunistic use of pre-existing plots
  - Different plot sizes and numbers at each site
  - Differences in historic land use (pristine primary forest vs. disturbed primary forest vs. secondary forest)
  - Cooperation with forestry department (foresters do forester things...)



# Problems with the design

- Opportunistic use of pre-existing plots
  - Different plot sizes and numbers at each site
  - Differences in historic land use (pristine primary forest vs. disturbed primary forest vs. secondary forest)
  - Cooperation with forestry department (foresters do forester things...)

→ Plot-based comparisons are difficult



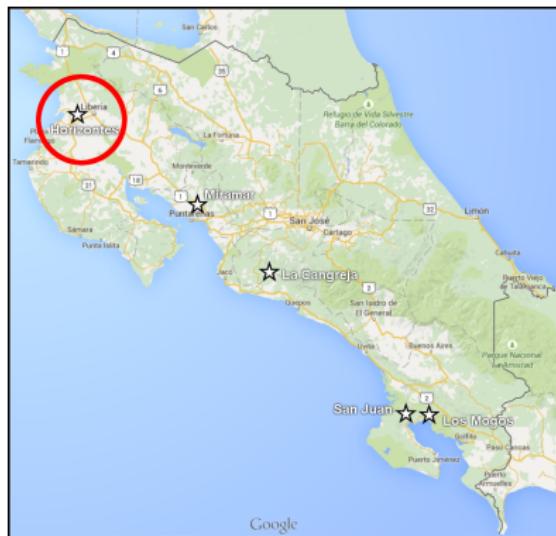
# Problems with the design

- Opportunistic use of pre-existing plots
  - Different plot sizes and numbers at each site
  - Differences in historic land use (pristine primary forest vs. disturbed primary forest vs. secondary forest)
  - Cooperation with forestry department (foresters do forester things...)
- Plot-based comparisons are difficult
- ⇒ Not that important for my (eco-physiological) research questions, but limits usability of plot network for other research areas

# First chapter: radial sap flow profiles

## Sap flow measurements:

- Practical limitations → only in dry forest (Horizontes)
- 4 measurement campaigns of ± 1 week during rainy season of 2015
- 40 trees of 8 species
- Measured with the Heat Field Deformation (HFD) method



# First chapter: radial sap flow profiles

## Sap flow measurements:

- Practical limitations → only in dry forest (Horizontes)
- 4 measurement campaigns of  $\pm 1$  week during rainy season of 2015
- 40 trees of 8 species
- Measured with the Heat Field Deformation (HFD) method

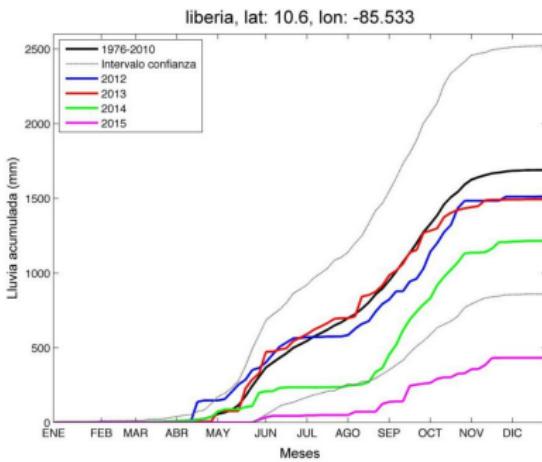


Image source: Instituto Meteorológico Nacional de Costa Rica

# First chapter: radial sap flow profiles

## Sap flow measurements:

- Practical limitations → only in dry forest (Horizontes)
- 4 measurement campaigns of ± 1 week during rainy season of 2015
- **40 trees of 8 species**
- Measured with the Heat Field Deformation (HFD) method

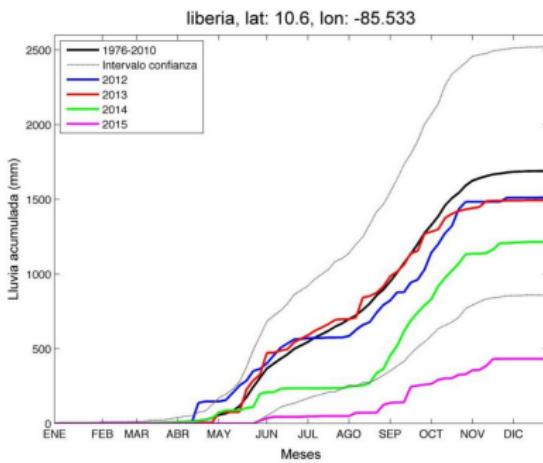


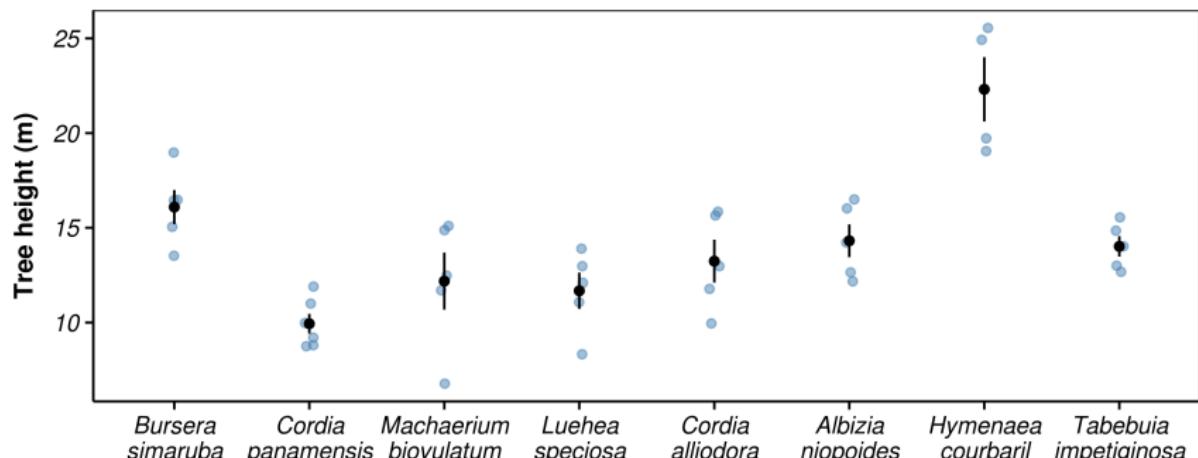
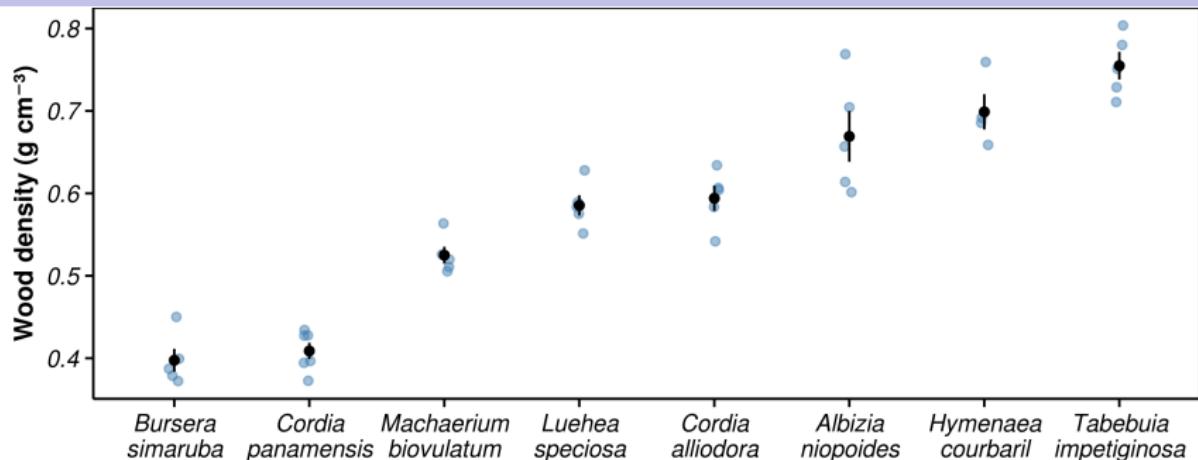
Image source: Instituto Meteorológico Nacional de Costa Rica

# First chapter: radial sap flow profiles

## Sap flow measurements:

- Practical limitations → only in dry forest (Horizontes)
- 4 measurement campaigns of  $\pm 1$  week during rainy season of 2015
- 40 trees of 8 species
- Measured with the Heat Field Deformation (HFD) method





# First chapter: radial sap flow profiles

## Additionally measured:

- Soil water content
  - 1 measurement for each of the 4 campaign
  - 1 soil sample per subplot ( $4 \times 45$  in total)
- Vertical microclimate
  - Temperature + air humidity tracked with *iButtons*
  - Measured from ground level to canopy in 5 m steps
  - 3 measurement lines



# Heat field deformation sensors

## Working principle:

- 1 heater and 3 temperature sensors inserted into wood
- Heater heats constantly with known caloric input
- Sap movement → faster heat transport in flow direction
- Temperature differences between sensors are used for estimation of sap flux density at different depths

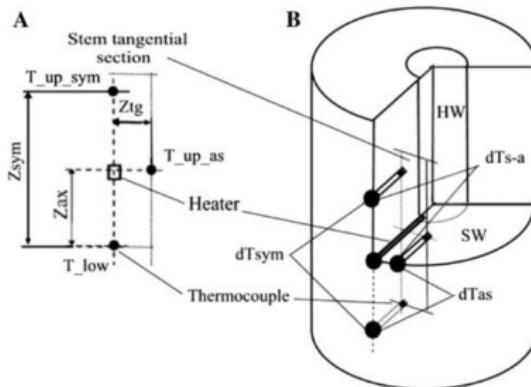


Image source: Nadezhina et al., 2012

# Heat field deformation sensors

## Working principle:

- 1 heater and 3 temperature sensors inserted into wood
- Heater heats constantly with known caloric input
- Sap movement → faster heat transport in flow direction
- Temperature differences between sensors are used for estimation of sap flux density at different depths

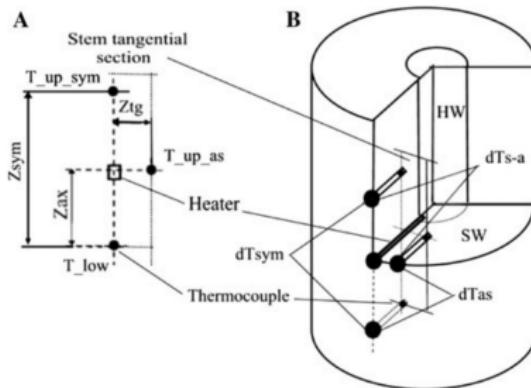


Image source: Nadezhina et al., 2012

# Heat field deformation sensors

## Working principle:

- 1 heater and 3 temperature sensors inserted into wood
- Heater heats constantly with known calorific input
- Sap movement → faster heat transport in flow direction
- Temperature differences between sensors are used for estimation of sap flux density at different depths

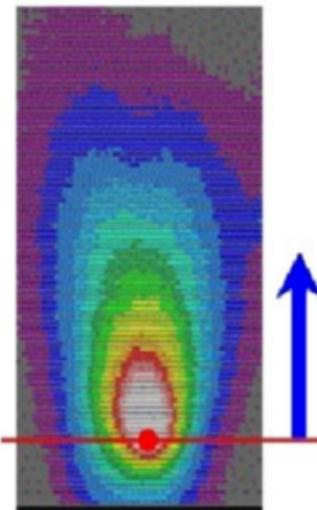


Image source: Nadezhdina et al., 2012

# Heat field deformation sensors

## Working principle:

- 1 heater and 3 temperature sensors inserted into wood
- Heater heats constantly with known caloric input
- Sap movement → faster heat transport in flow direction
- Temperature differences between sensors are used for estimation of sap flux density at different depths

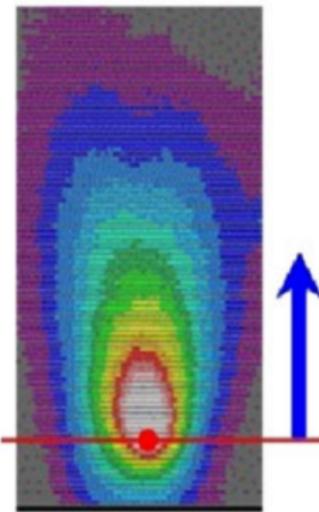


Image source: Nadezhdina et al., 2012



# Heat field deformation sensors

- Original idea: comparison of sap flow and plant water use between species with different trait combinations



# Heat field deformation sensors

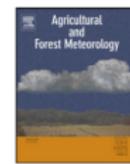
- Problem: newer research indicates that
  - a) The mechanistic explanation of the HFD method (Nadezhina et al., 2012) is flawed (Vandegehuchte & Steppe, 2012)  
→ species-specific calibration likely necessary in most cases
  - b) HFD calibration parameters are not consistent within species (Fuchs et al., 2017)



Contents lists available at SciVerse ScienceDirect

Agricultural and Forest Meteorology

journal homepage: [www.elsevier.com/locate/agrformet](http://www.elsevier.com/locate/agrformet)



Short communication

Interpreting the Heat Field Deformation method: Erroneous use of thermal diffusivity and improved correlation between temperature ratio and sap flux density

Maurits W. Vandegehuchte\*, Kathy Steppe

Laboratory of Plant Ecology, Faculty of Bioscience Engineering, Ghent University, Coupure links 653, 9000 Gent, Belgium

# Heat field deformation sensors

- Problem: newer research indicates that
  - a) The mechanistic explanation of the HFD method (Nadezhina et al., 2012) is flawed (Vandegehuchte & Steppe, 2012)  
→ species-specific calibration likely necessary in most cases
  - b) **HFD calibration parameters are not consistent within species** (Fuchs et al., 2017)

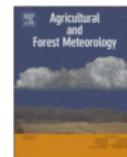
Agricultural and Forest Meteorology 244–245 (2017) 151–161



Contents lists available at ScienceDirect

Agricultural and Forest Meteorology

journal homepage: [www.elsevier.com/locate/agrformet](http://www.elsevier.com/locate/agrformet)



Calibration and comparison of thermal dissipation, heat ratio and heat field deformation sap flow probes for diffuse-porous trees

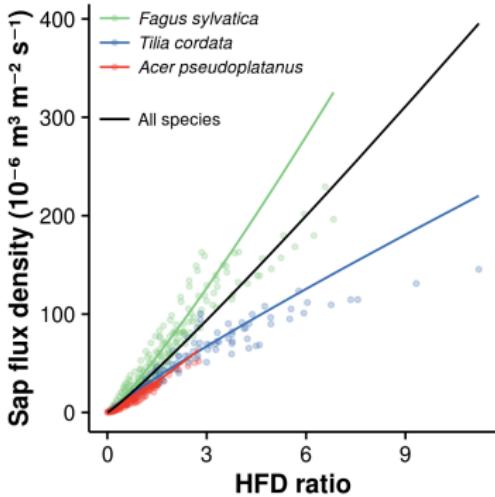
Sebastian Fuchs, Christoph Leuschner, Roman Link, Heinz Coners, Bernhard Schuldt\*

Plant Ecology, Albrecht von Haller Institute for Plant Sciences, University of Goettingen, Untere Karzpille 2, 37073 Goettingen, Germany



# Heat field deformation sensors

- Problem: newer research indicates that
  - a) The mechanistic explanation of the HFD method (Nadezhina et al., 2012) is flawed (Vandegehuchte & Steppe, 2012)  
→ species-specific calibration likely necessary in most cases
  - b) HFD calibration parameters are not consistent within species (Fuchs et al., 2017)



# Heat field deformation sensors

- Problem: newer research indicates that
  - a) The mechanistic explanation of the HFD method (Nadezhina et al., 2012) is flawed (Vandegehuchte & Steppe, 2012)  
→ species-specific calibration likely necessary in most cases
  - b) HFD calibration parameters are not consistent within species (Fuchs et al., 2017)
- *Relative values* are probably reliable, *absolute values* have to be handled with care

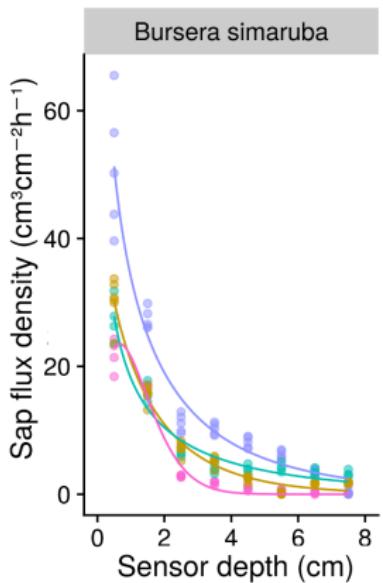


# Heat field deformation sensors

- Problem: newer research indicates that
    - a) The mechanistic explanation of the HFD method (Nadezhina et al., 2012) is flawed (Vandegehuchte & Steppe, 2012)  
→ species-specific calibration likely necessary in most cases
    - b) HFD calibration parameters are not consistent within species (Fuchs et al., 2017)
  - *Relative values* are probably reliable, *absolute values* have to be handled with care
- ⇒ **Decision for analysis: better to put focus on radial gradients of sap flux**



# Research questions & hypotheses

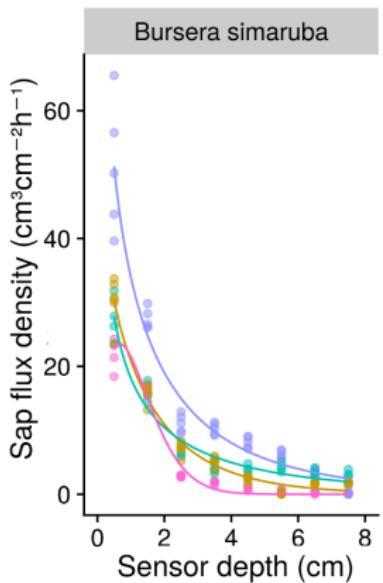


- Radial sap flow gradients

- very important for studies of plant water use
- few methods take them into account
- sensors are expensive and error-prone
- species specific measurement: problematic in the tropics

⇒ **Question:** Is it possible to predict the shape of radial sap flow profiles based on tree traits?

# Research questions & hypotheses

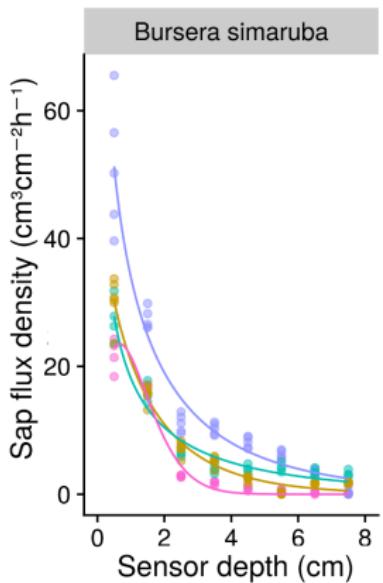


- Radial sap flow gradients

- very important for studies of plant water use
- few methods take them into account
- sensors are expensive and error-prone
- species specific measurement: problematic in the tropics

⇒ **Question:** Is it possible to predict the shape of radial sap flow profiles based on tree traits?

# Research questions & hypotheses

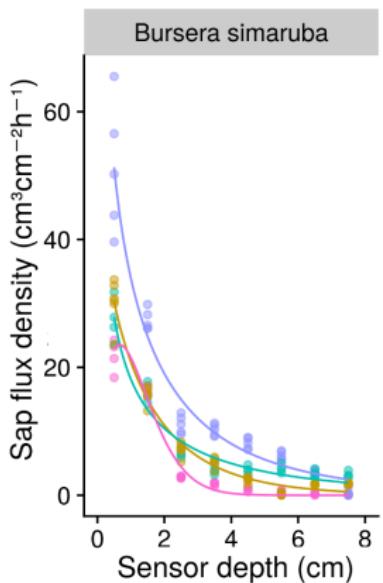


- Radial sap flow gradients

- very important for studies of plant water use
- few methods take them into account
- **sensors are expensive and error-prone**
- species specific measurement: problematic in the tropics

⇒ **Question:** Is it possible to predict the shape of radial sap flow profiles based on tree traits?

# Research questions & hypotheses

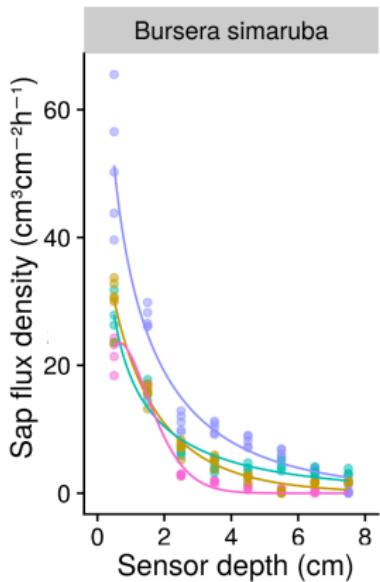


- Radial sap flow gradients

- very important for studies of plant water use
- few methods take them into account
- sensors are expensive and error-prone
- **species specific measurement: problematic in the tropics**

⇒ **Question:** Is it possible to predict the shape of radial sap flow profiles based on tree traits?

# Research questions & hypotheses

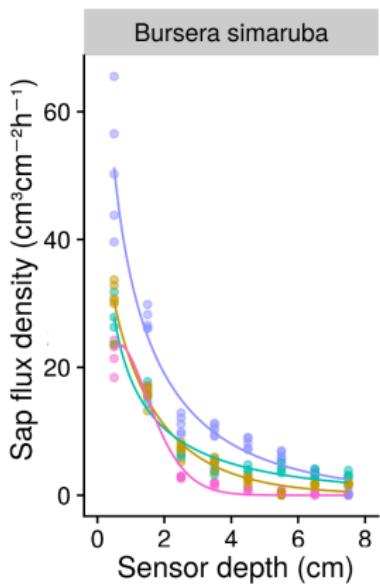


- Radial sap flow gradients

- very important for studies of plant water use
- few methods take them into account
- sensors are expensive and error-prone
- species specific measurement: problematic in the tropics

⇒ **Question:** Is it possible to predict the shape of radial sap flow profiles based on tree traits?

# Research questions & hypotheses



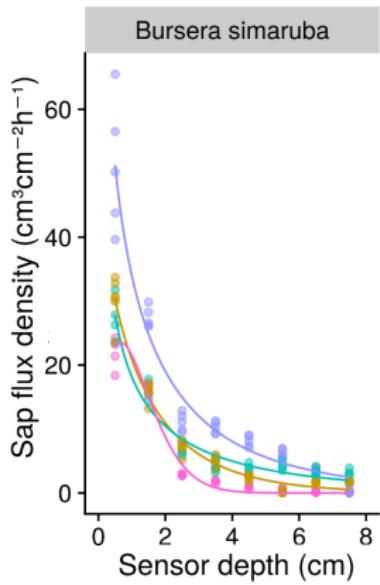
- Radial sap flow gradients

- very important for studies of plant water use
- few methods take them into account
- sensors are expensive and error-prone
- species specific measurement: problematic in the tropics

⇒ **Question:** Is it possible to predict the shape of radial sap flow profiles based on tree traits?

⇒ **Hypothesis:** The shape of radial sap flow profiles depends on **wood density** and **tree height**

# Data analysis

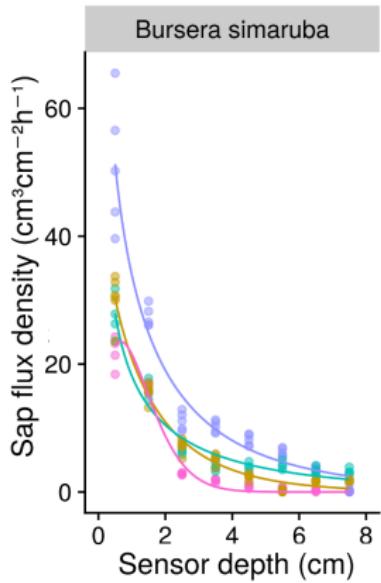


## Nonlinear relationship

- Parameters that control the shape of the nonlinear relationship depend on other variables
- Hierarchical data structure (repeated observations in replicate trees from different species)



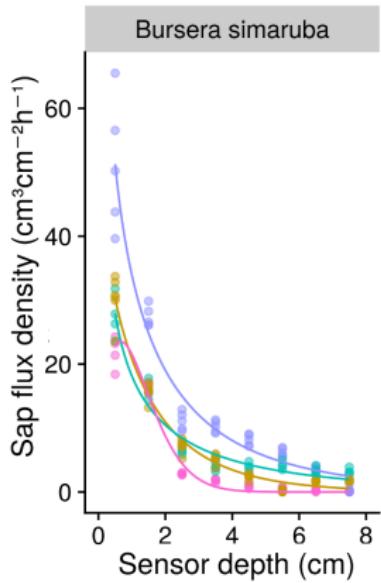
# Data analysis



- Nonlinear relationship
- Parameters that control the shape of the nonlinear relationship depend on other variables
- Hierarchical data structure  
(repeated observations in replicate trees from different species)



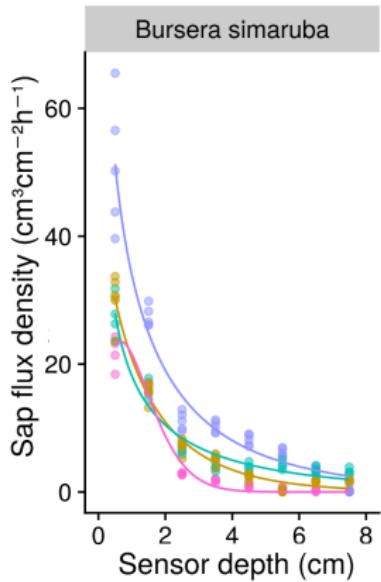
# Data analysis



- Nonlinear relationship
- Parameters that control the shape of the nonlinear relationship depend on other variables
- Hierarchical data structure (repeated observations in replicate trees from different species)



# Data analysis



- Nonlinear relationship
  - Parameters that control the shape of the nonlinear relationship depend on other variables
  - Hierarchical data structure (repeated observations in replicate trees from different species)
- ⇒ How to analyze?

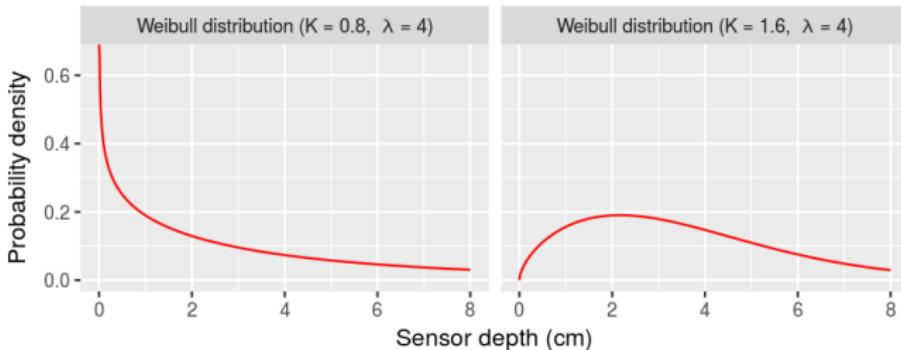
# Data analysis

- Analysis based on **Bayesian nonlinear hierarchical models**
  - **First stage of the model:** Nonlinear relationship between sensor depth and predicted flux density modeled by density function of the Weibull distribution
  - **Second stage of the model:** Parameters of the Weibull distribution modeled as a function of wood density, tree height and their interaction, accounting for species and stem specific random variation



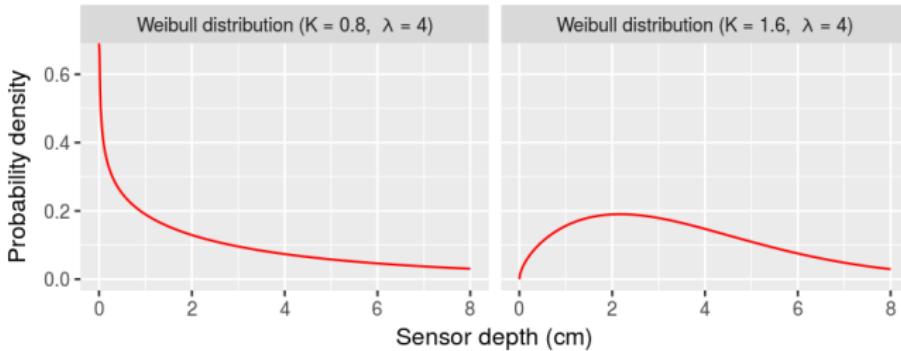
# Data analysis

- Analysis based on **Bayesian nonlinear hierarchical models**
  - **First stage of the model:** Nonlinear relationship between sensor depth and predicted flux density modeled by density function of the Weibull distribution
  - **Second stage of the model:** Parameters of the Weibull distribution modeled as a function of wood density, tree height and their interaction, accounting for species and stem specific random variation



# Data analysis

- Analysis based on **Bayesian nonlinear hierarchical models**
  - **First stage of the model:** Nonlinear relationship between sensor depth and predicted flux density modeled by density function of the Weibull distribution
  - **Second stage of the model:** Parameters of the Weibull distribution modeled as a function of wood density, tree height and their interaction, accounting for species and stem specific random variation



# Data analysis

- Analysis based on **Bayesian nonlinear hierarchical models**
  - **First stage of the model:** Nonlinear relationship between sensor depth and predicted flux density modeled by density function of the Weibull distribution
  - **Second stage of the model:** Parameters of the Weibull distribution modeled as a function of wood density, tree height and their interaction, accounting for species and stem specific random variation
  - Model fitting with the **Stan modeling language**



# Data analysis

- Analysis based on **Bayesian nonlinear hierarchical models**
  - **First stage of the model:** Nonlinear relationship between sensor depth and predicted flux density modeled by density function of the Weibull distribution
  - **Second stage of the model:** Parameters of the Weibull distribution modeled as a function of wood density, tree height and their interaction, accounting for species and stem specific random variation
  - Model fitting with the **Stan modeling language**
- Models still need tuning → shown results are from preliminary model based on R package **nlme**



# Model equations of the preliminary model

## Model equation

- $SFD_{ijk} \sim \text{Normal}(\mu_{ijk}, \sigma_{ijk})$
- $\mu_{ijk} = c_{jk} \cdot \text{Weibull}(\text{depth}_{ijk} | \lambda_{jk}, K_{jk})$   
 $= c_{jk} \cdot \frac{K_{jk}}{\lambda_{jk}} \cdot \left( \frac{\text{depth}_{ijk}}{\lambda_{jk}} \right)^{K_{jk}-1} \cdot \exp\left(-\left(\frac{\text{depth}_{ijk}}{\lambda_{jk}}\right)^{K_{jk}}\right)$

## Parameter models

- $\lambda_{jk} = \exp(\beta_{\lambda 0} + \beta_{\lambda 1} \cdot WD + \beta_{\lambda 2} \cdot H + \beta_{\lambda 3} \cdot WD \cdot H + \epsilon_{j\lambda} + \epsilon_{k\lambda})$
- $K_{jk} = \exp(\beta_{K 0} + \beta_{K 1} \cdot WD + \beta_{K 2} \cdot H + \beta_{K 3} \cdot WD \cdot H + \epsilon_{jK} + \epsilon_{kK})$
- $c_{jk} = \exp(c_0 + \epsilon_{jc})$

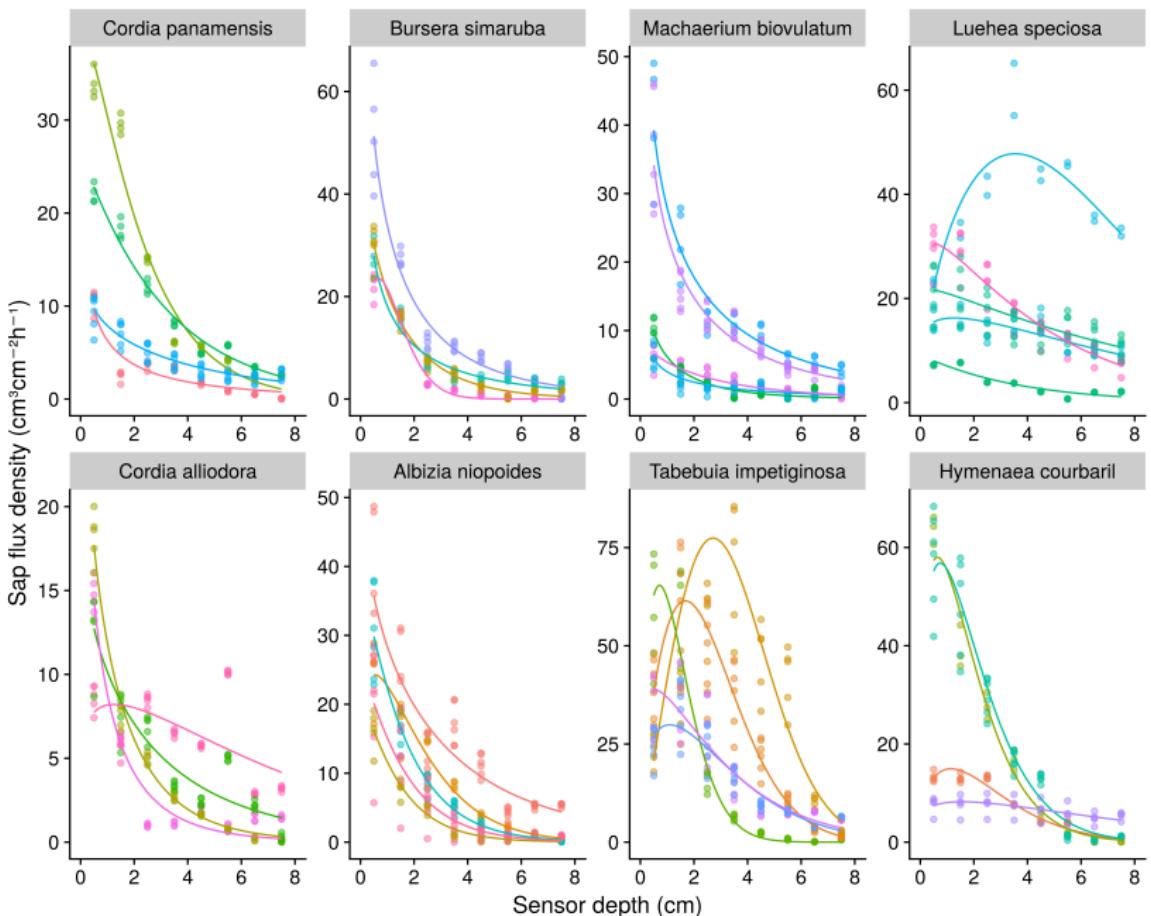
## Random effects

- $\epsilon_j \sim \text{MultiNormal}(0, \Sigma_j)$
- $\epsilon_k \sim \text{MultiNormal}(0, \Sigma_k)$

## Variance covariates

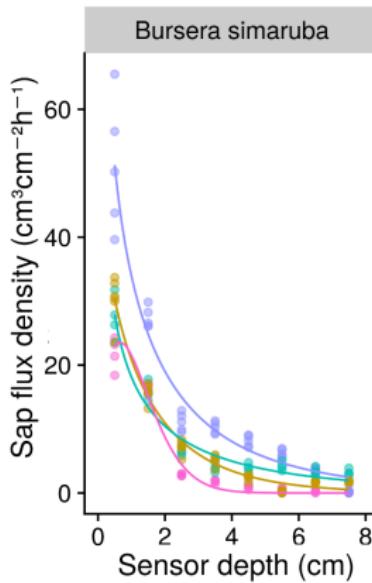
- $\sigma_{ijk}^2 = \sigma_0^2 \cdot \exp(2 \cdot \delta \cdot \mu_{ijk})$





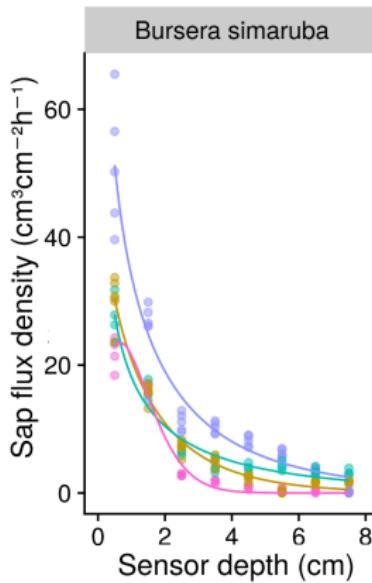
# Preliminary results I - predicted profiles

- Model explains a large part of the observed variance in the dataset (conditional pseudo- $R^2 = 0.918$ )
- Most of this variance is explained by random differences between species and stems (marginal pseudo- $R^2 = 0.329$ )

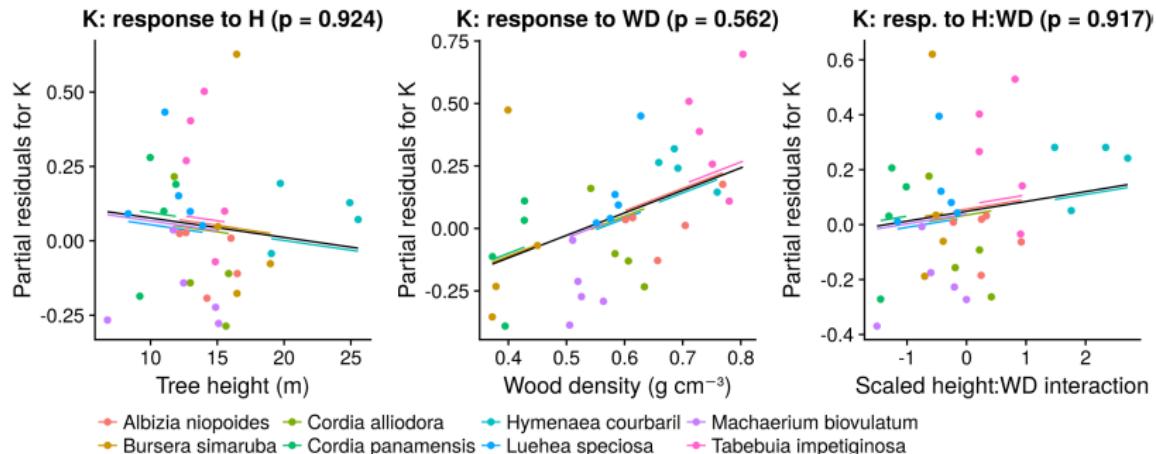


# Preliminary results I - predicted profiles

- Model explains a large part of the observed variance in the dataset (conditional pseudo- $R^2 = 0.918$ )
- Most of this variance is explained by random differences between species and stems (marginal pseudo- $R^2 = 0.329$ )



# Preliminary results II - parameter models

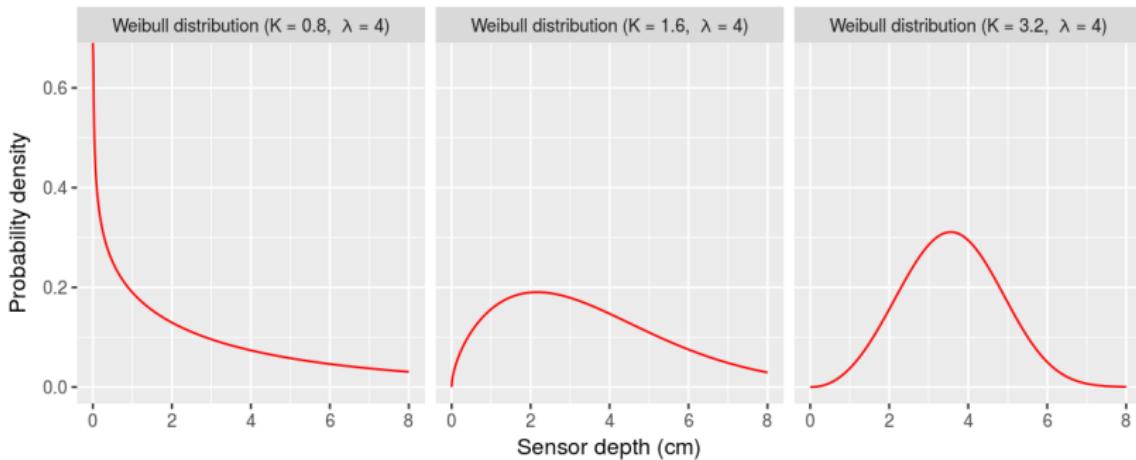


- **Weibull shape parameter K**

- No significant height- and wood density effects



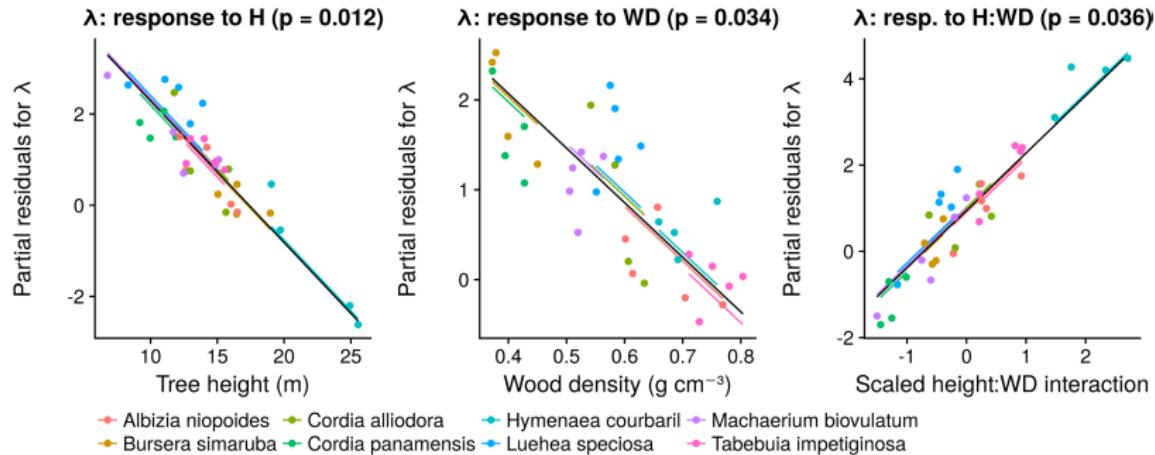
# Preliminary results II - parameter models



- **Weibull shape parameter K**
  - No significant height- and wood density effects



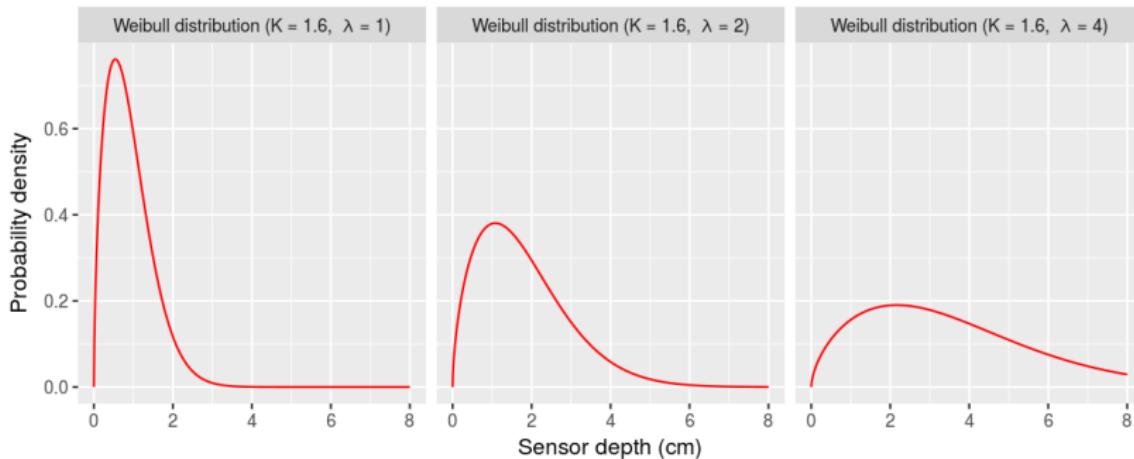
# Preliminary results II - parameter models



## Weibull scale parameter $\lambda$

- Decreases significantly with tree height and wood density, but significantly less so in trees that are both large AND have hard wood

# Preliminary results II - parameter models



## • Weibull scale parameter $\lambda$

- Decreases significantly with tree height and wood density, but significantly less so in trees that are both large AND have hard wood

# Radial sap flow profiles - Conclusions

- Shape of the profile significantly depends on height and wood density
- Model describes observed radial profiles very well
- Explained variance is much lower when predicting onto new trees because of the high stem-specific variability
- Inclusion of other predictors might improve predictions (and consequently increase the value of the model for studies of plant water use)



# Radial sap flow profiles - Conclusions

- Shape of the profile significantly depends on height and wood density
- Model describes observed radial profiles very well
- Explained variance is much lower when predicting onto new trees because of the high stem-specific variability
- Inclusion of other predictors might improve predictions (and consequently increase the value of the model for studies of plant water use)



# Radial sap flow profiles - Conclusions

- Shape of the profile significantly depends on height and wood density
- Model describes observed radial profiles very well
- Explained variance is much lower when predicting onto new trees because of the high stem-specific variability
- Inclusion of other predictors might improve predictions (and consequently increase the value of the model for studies of plant water use)

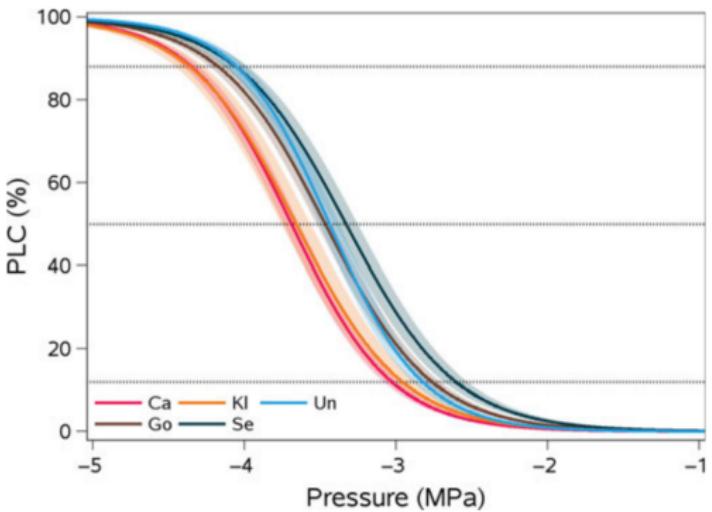


# Radial sap flow profiles - Conclusions

- Shape of the profile significantly depends on height and wood density
- Model describes observed radial profiles very well
- Explained variance is much lower when predicting onto new trees because of the high stem-specific variability
- Inclusion of other predictors might improve predictions (and consequently increase the value of the model for studies of plant water use)



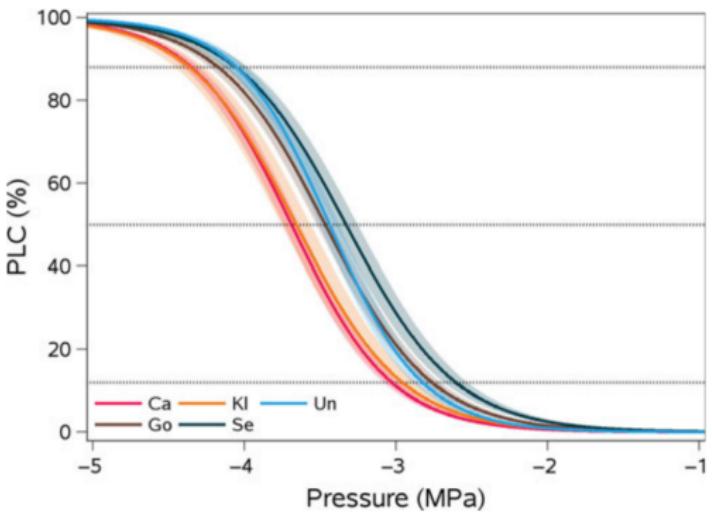
## Second chapter: vulnerability curves



- Relationship between **water potential** and **percentage loss of conductivity** (PLC)
  - shows the loss of conductive function under increasingly dry conditions

Image source: Schuldt et al., 2016

## Second chapter: vulnerability curves

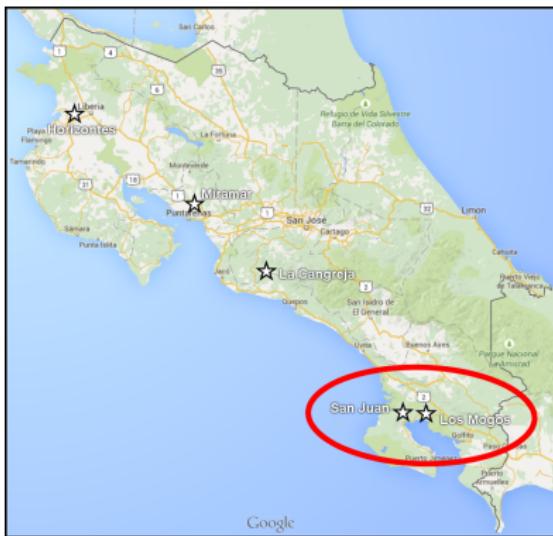


- **Parameters of vulnerability curves:** important predictors of drought response
  - **P<sub>50</sub>:** At what pressure does a plant lose 50% of its conductivity?
  - **Slope:** How fast does this loss occur?

Image source: Schuldt et al., 2016

# Second chapter: vulnerability curves

- Vulnerability curves of replicate samples from 30 trees of 10 tropical forest species from the Osa peninsula (56 in total)
- Collection of upper canopy branches in two campaigns in the rainy seasons of 2016 and 2017
- Measured with the Cavitron method using a novel 1 m rotor (courtesy of the lab of Sylvain Delzon, Bordeaux)



# Second chapter: vulnerability curves

- Vulnerability curves of replicate samples from 30 trees of 10 tropical forest species from the Osa peninsula (56 in total)
- Collection of upper canopy branches in two campaigns in the rainy seasons of 2016 and 2017
- Measured with the Cavitron method using a novel 1 m rotor (courtesy of the lab of Sylvain Delzon, Bordeaux)



# Second chapter: vulnerability curves

- Vulnerability curves of replicate samples from 30 trees of 10 tropical forest species from the Osa peninsula (56 in total)
- Collection of upper canopy branches in two campaigns in the rainy seasons of 2016 and 2017
- Measured with the Cavitron method using a novel 1 m rotor (courtesy of the lab of Sylvain Delzon, Bordeaux)



37

# Second chapter: vulnerability curves

- Vulnerability curves of replicate samples from 30 trees of 10 tropical forest species from the Osa peninsula (56 in total)
- Collection of upper canopy branches in two campaigns in the rainy seasons of 2016 and 2017
- Measured with the Cavitron method using a novel 1 m rotor (courtesy of the lab of Sylvain Delzon, Bordeaux)



## Second chapter: vulnerability curves

- Vulnerability curves of replicate samples from 30 trees of 10 tropical forest species from the Osa peninsula (56 in total)
- Collection of upper canopy branches in two campaigns in the rainy seasons of 2016 and 2017
- Measured with the Cavitron method using a novel 1 m rotor (courtesy of the lab of Sylvain Delzon, Bordeaux)



Foto: <http://sylvain-delzon.com/caviplace/>

## Second chapter: vulnerability curves

- Vulnerability curves of replicate samples from 30 trees of 10 tropical forest species from the Osa peninsula (56 in total)
- Collection of upper canopy branches in two campaigns in the rainy seasons of 2016 and 2017
- Measured with the Cavitron method using a novel 1 m rotor (courtesy of the lab of Sylvain Delzon, Bordeaux)



Foto: <http://sylvain-delzon.com/caviplace/>

# Second chapter: vulnerability curves

## Additionally measured for each tree:

- Maximum vessel length (1 per tree)
- Leaf nutrient contents (1 per sample)
- Specific leaf area (1 per sample)
- Anatomy of branch wood (2 per sample)
- Huber value (1 per sample)
- Branch non-structural carbohydrate storage (1 per tree)



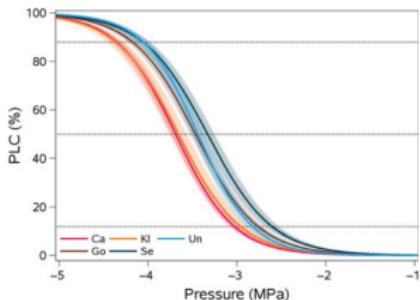
## Hypothesis

Plant vulnerability to embolism can be predicted by structural, functional and wood anatomical traits:

- Tree size (height and diameter)
- Wood density
- Vessel diameter & vessel density



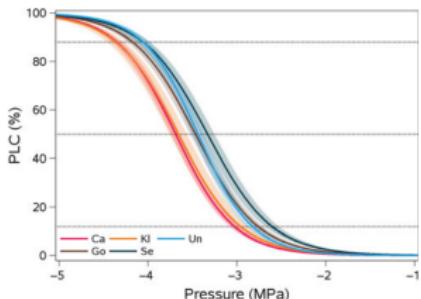
# Data analysis



- Nonlinear relationship
- Parameters that control the shape of the nonlinear relationship (P50 and slope) depend on other variables
- Hierarchical data structure (repeated observations on replicate samples from replicate trees belonging to different species)



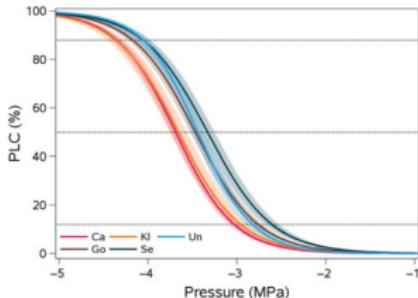
# Data analysis



- Nonlinear relationship
- Parameters that control the shape of the nonlinear relationship (P50 and slope) depend on other variables
- Hierarchical data structure (repeated observations on replicate samples from replicate trees belonging to different species)



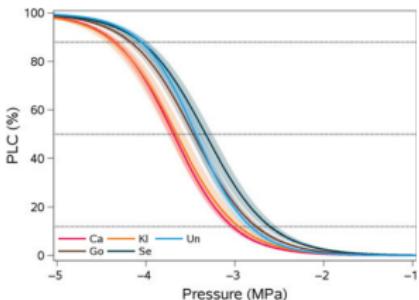
# Data analysis



- Nonlinear relationship
- Parameters that control the shape of the nonlinear relationship (P50 and slope) depend on other variables
- Hierarchical data structure (repeated observations on replicate samples from replicate trees belonging to different species)



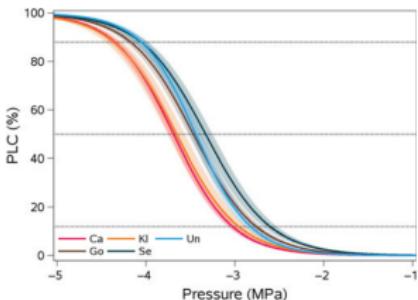
# Data analysis



- Nonlinear relationship
  - Parameters that control the shape of the nonlinear relationship (P50 and slope) depend on other variables
  - Hierarchical data structure (repeated observations on replicate samples from replicate trees belonging to different species)
- ⇒ **Nonlinear hierarchical models**  
(analogous to models for radial sap flow profiles)



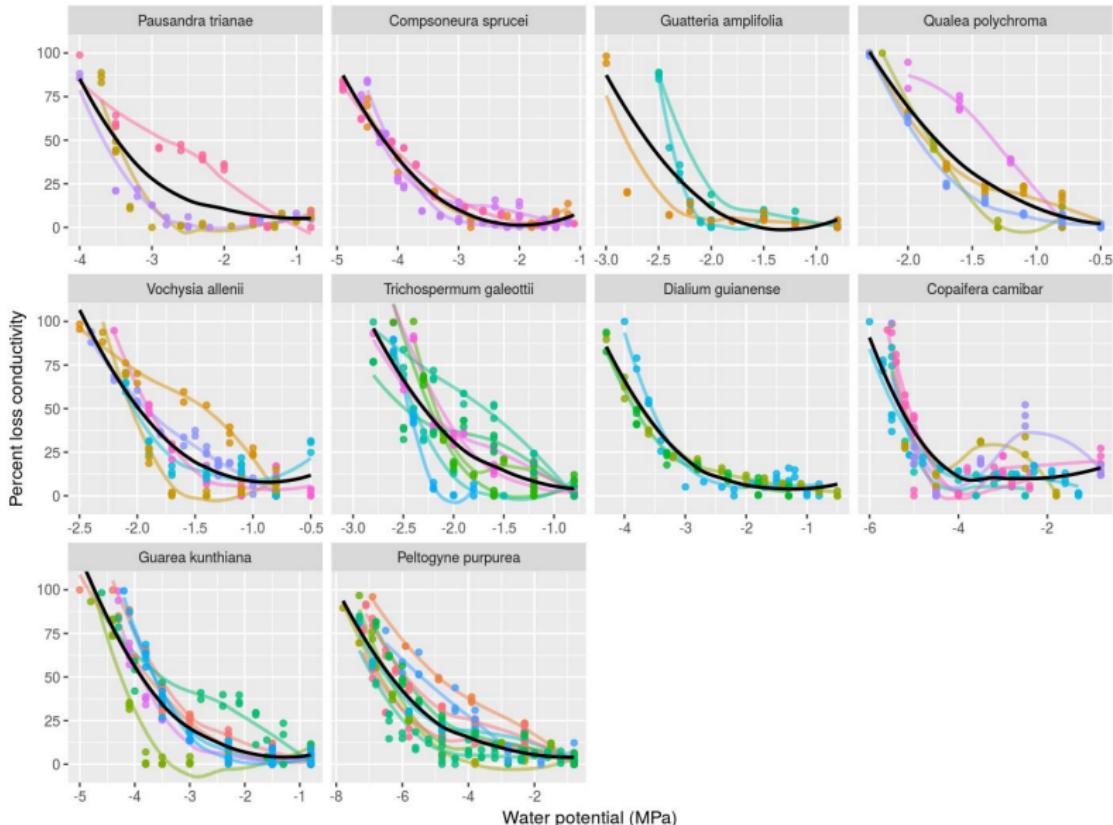
# Data analysis



- Nonlinear relationship
  - Parameters that control the shape of the nonlinear relationship (P50 and slope) depend on other variables
  - Hierarchical data structure (repeated observations on replicate samples from replicate trees belonging to different species)
- ⇒ **Nonlinear hierarchical models**  
(analogous to models for radial sap flow profiles)
- ⇒ **Data analysis in progress**



# Observed vulnerability curves



## Third chapter: moving on to the big picture

- Do structural, functional and wood anatomical traits explain changes in productivity and hydraulic traits observed along the rainfall gradient?
- What is the role of non-structural carbohydrate storage?



# Synthesizing the results of the gradient study

## Variables that are relevant for the synthesis

- Tree size (tree height + diameter at breast height)
- Wood density
- Wood anatomy (average vessel diameter, vessel density, potential hydraulic conductivity)
- Wood non-structural carbohydrate contents
- Productivity (basal area increment/aboveground biomass increment)
- Climate information (?)



# Synthesizing the results of the gradient study

## Variables that are relevant for the synthesis

- Tree size (tree height + diameter at breast height)
- Wood density
- Wood anatomy (average vessel diameter, vessel density, potential hydraulic conductivity)
- Wood non-structural carbohydrate contents
- Productivity (basal area increment/aboveground biomass increment)
- Climate information (?)



# Synthesizing the results of the gradient study

## Variables that are relevant for the synthesis

- Tree size (tree height + diameter at breast height)
- Wood density
- Wood anatomy (average vessel diameter, vessel density, potential hydraulic conductivity)
- Wood non-structural carbohydrate contents
- Productivity (basal area increment/aboveground biomass increment)
- Climate information (?)



# Synthesizing the results of the gradient study

## Variables that are relevant for the synthesis

- Tree size (tree height + diameter at breast height)
- Wood density
- Wood anatomy (average vessel diameter, vessel density, potential hydraulic conductivity)
- **Wood non-structural carbohydrate contents**
- Productivity (basal area increment/aboveground biomass increment)
- Climate information (?)



# Synthesizing the results of the gradient study

## Variables that are relevant for the synthesis

- Tree size (tree height + diameter at breast height)
- Wood density
- Wood anatomy (average vessel diameter, vessel density, potential hydraulic conductivity)
- Wood non-structural carbohydrate contents
- Productivity (basal area increment/aboveground biomass increment)
- Climate information (?)



# Synthesizing the results of the gradient study

## Variables that are relevant for the synthesis

- Tree size (tree height + diameter at breast height)
- Wood density
- Wood anatomy (average vessel diameter, vessel density, potential hydraulic conductivity)
- Wood non-structural carbohydrate contents
- Productivity (basal area increment/aboveground biomass increment)
- Climate information (?)



# Synthesizing the results of the gradient study

## Variables that are relevant for the synthesis

- Tree size (tree height + diameter at breast height)
- Wood density
- Wood anatomy (average vessel diameter, vessel density, potential hydraulic conductivity)
- Wood non-structural carbohydrate contents
- Productivity (basal area increment/aboveground biomass increment)
- Climate information (?)
- **Sap flow data and vulnerability curves are unfortunately not available for all sites and cannot be included**

# Research questions & hypotheses

## Hypotheses from the project proposal related to these variables

- **Productivity**

- increases with potential hydraulic conductivity
- is related to tree height
- is related to wood density (only at seasonally dry sites)

- **Potential hydraulic conductivity**

- increases with tree height

- **Average vessel diameter**

- increases with tree height (in trunk and branches)

- **NSC storage**

- increases with tree size
- is higher at seasonally dry sites
- is higher in deciduous trees/trees with isohydric drought response



# Research questions & hypotheses

## Hypotheses from the project proposal related to these variables

- **Productivity**

- increases with potential hydraulic conductivity
- is related to tree height
- is related to wood density (only at seasonally dry sites)

- **Potential hydraulic conductivity**

- increases with tree height

- **Average vessel diameter**

- increases with tree height (in trunk and branches)

- **NSC storage**

- increases with tree size
- is higher at seasonally dry sites
- is higher in deciduous trees/trees with isohydric drought response



# Research questions & hypotheses

## Hypotheses from the project proposal related to these variables

- **Productivity**

- increases with potential hydraulic conductivity
- is related to tree height
- is related to wood density (only at seasonally dry sites)

- **Potential hydraulic conductivity**

- increases with tree height

- **Average vessel diameter**

- increases with tree height (in trunk and branches)

- **NSC storage**

- increases with tree size
- is higher at seasonally dry sites
- is higher in deciduous trees/trees with isohydric drought response



# Research questions & hypotheses

## Hypotheses from the project proposal related to these variables

- **Productivity**

- increases with potential hydraulic conductivity
- is related to tree height
- is related to wood density (only at seasonally dry sites)

- **Potential hydraulic conductivity**

- increases with tree height

- **Average vessel diameter**

- increases with tree height (in trunk and branches)

- **NSC storage**

- increases with tree size
- is higher at seasonally dry sites
- is higher in deciduous trees/trees with isohydric drought response

# Data analysis

- Large amount of interrelated causal hypotheses about relationships between variables
- ⇒ Instead of focusing on the bivariate relationships in our system one at a time, test them all at once



# Data analysis

- Large amount of interrelated causal hypotheses about relationships between variables
- ⇒ Instead of focusing on the bivariate relationships in our system one at a time, test them all at once



# Data analysis

- Large amount of interrelated causal hypotheses about relationships between variables
- ⇒ Instead of focusing on the bivariate relationships in our system one at a time, test them all at once
- ⇒ **Structural equation modeling**
  - Modeling framework for the multivariate analysis of networks of causal hypotheses
  - Allows to test whether a system significantly deviates from a model based on a-priori hypotheses about the system

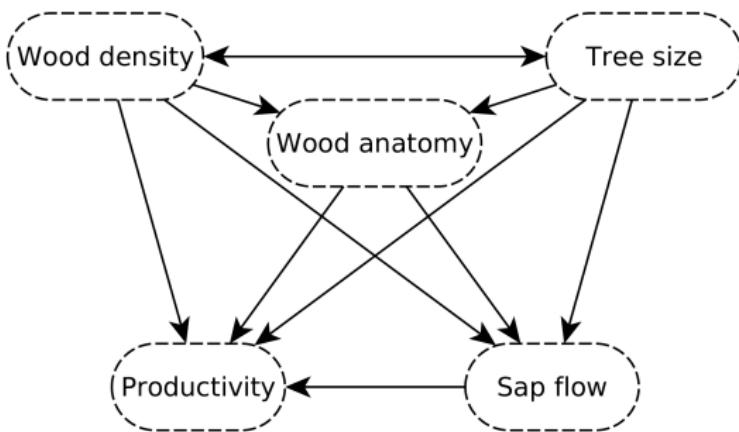
# Data analysis

- Dataset is not complete (NSC data are being measured)
- To show what the analysis will look like - results from a study using an analogous model:

Kotowska, M.M., Röll, A., [Link, R.M.](#), Hertel, D., Hölscher, D., Leuschner, C., Waite, P.A., Moser, G., Toja, A, Schuldt, B. (2018): *Tree size in combination with wood anatomy determines whole-tree water use and productivity in the tropics* (in preparation)

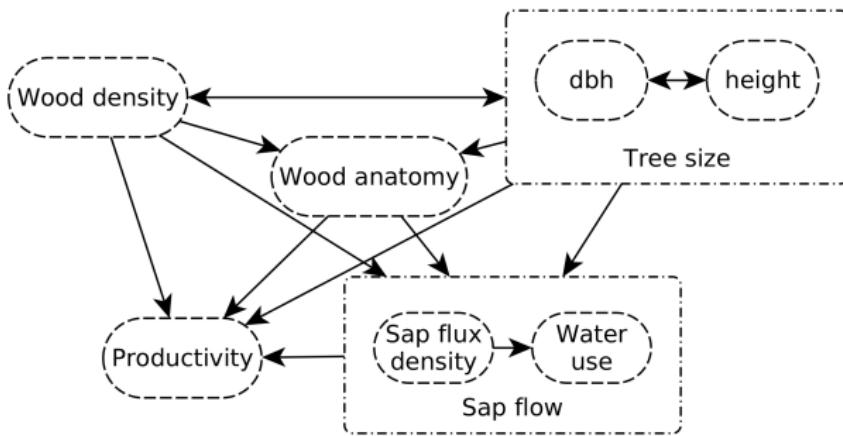


# Meta-model



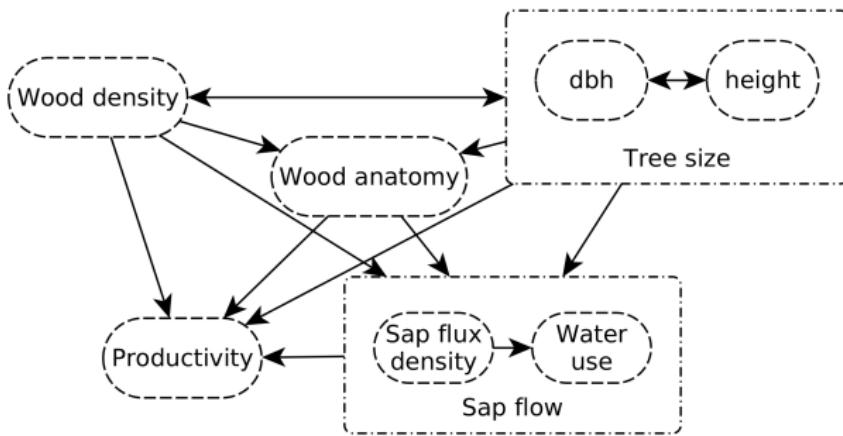
- **Meta-model:** relationships between theoretical entities/constructs of interest
- Updated because to reflect the different effects of the components of both tree size and sap flow

# Meta-model



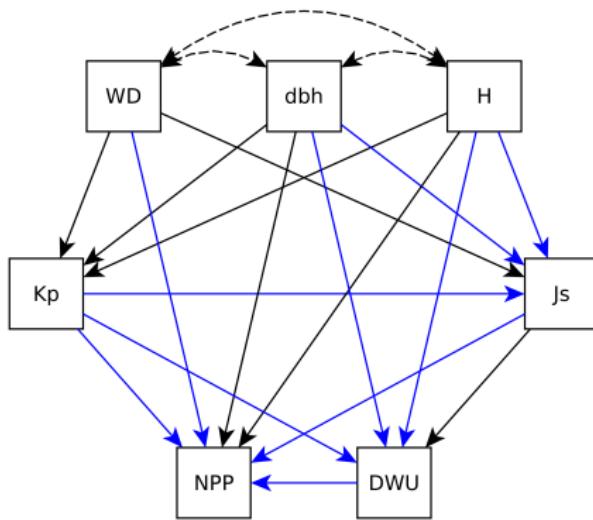
- **Meta-model:** relationships between theoretical entities/constructs of interest
- Updated because to reflect the different effects of the components of both tree size and sap flow

# Meta-model



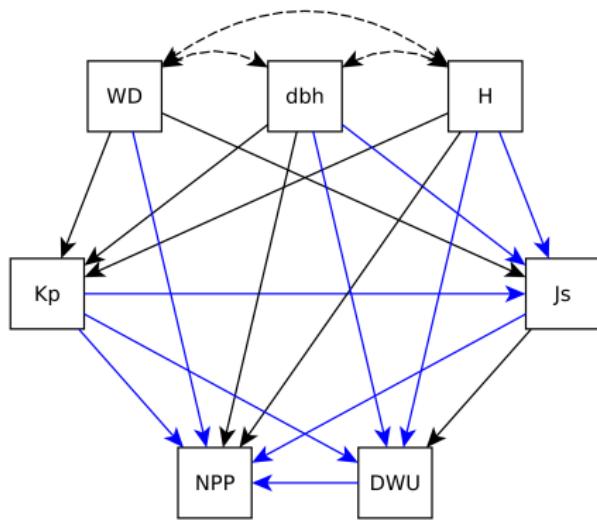
- **Meta-model:** relationships between theoretical entities/constructs of interest
- Updated because to reflect the different effects of the components of both tree size and sap flow
- **For our model: remove sap flow component, add component for NSC**

# Causal diagram



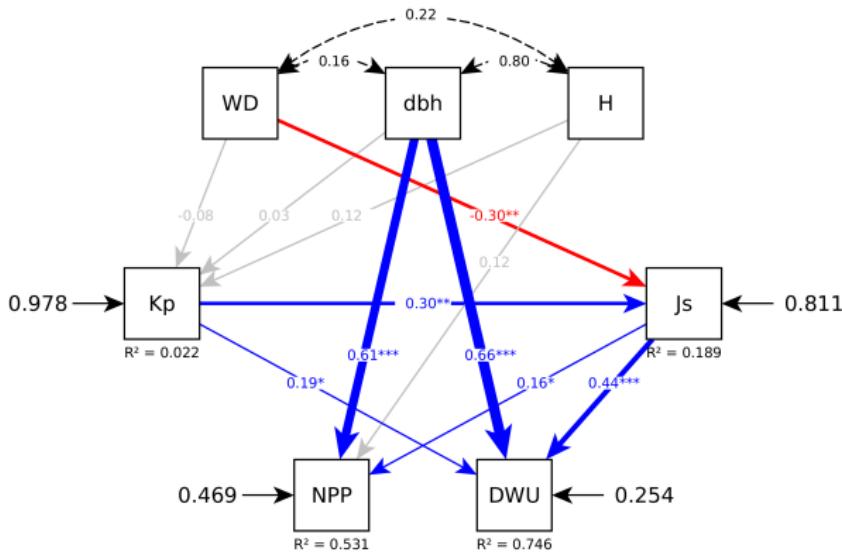
- **Causal diagram:** Representation of the variables in the model and the assumed causal links
- Blue arrows: links related to a priori hypotheses

# Causal diagram



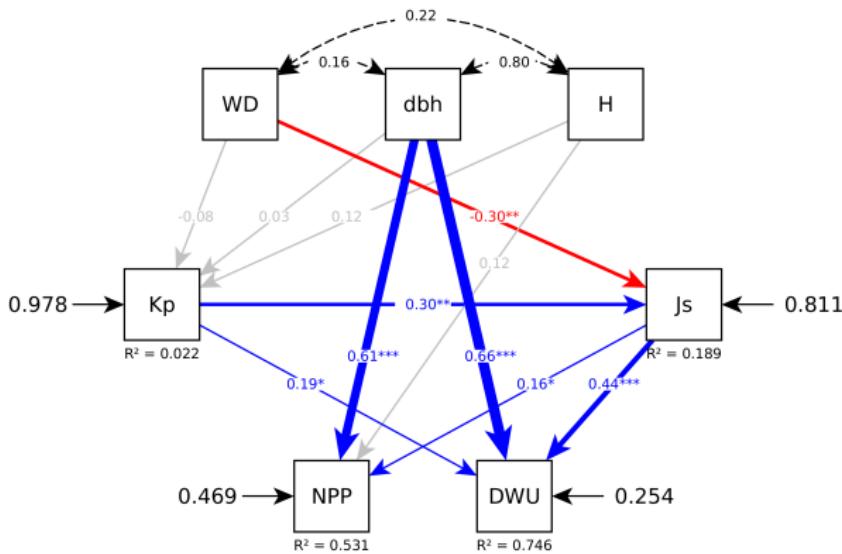
- **Causal diagram:** Representation of the variables in the model and the assumed causal links
- **Blue arrows:** links related to a priori hypotheses

# Path diagram of final model



- $\chi^2 = 3.35$ , df = 7, p = 0.850; CFI = 1.00, RMSEA = 0.00
- Links were removed when related to tests of a priori hypotheses that were not significant

# Path diagram of final model



- $\chi^2 = 3.35$ , df = 7, p = 0.850; CFI = 1.00, RMSEA = 0.00
- Links were removed when related to tests of a priori hypotheses that were not significant

# Summary: current state of the project

- **Chapter 1: radial sap flow profiles**

- Dataset complete
- Preliminary results: shape of radial profiles significantly predicted by wood density and tree height, but effect minute compared to random stem differences
- **Current state: data analysis**

- **Chapter 2: Vulnerability curves**

- Leaf nutrient contents, branch NSC and branch anatomy missing
- **Current state: waiting for data**

- **Chapter 3: Structural equation models**

- NSC dataset missing
- **Current state: waiting for data**

- **Bonus chapter: Vessel lengths**

- **Current state: submitted**



# Summary: current state of the project

- **Chapter 1: radial sap flow profiles**

- Dataset complete
- Preliminary results: shape of radial profiles significantly predicted by wood density and tree height, but effect minute compared to random stem differences
- **Current state: data analysis**

- **Chapter 2: Vulnerability curves**

- Leaf nutrient contents, branch NSC and branch anatomy missing
- **Current state: waiting for data**

- **Chapter 3: Structural equation models**

- NSC dataset missing
- Current state: waiting for data

- **Bonus chapter: Vessel lengths**

- Current state: submitted



# Summary: current state of the project

- **Chapter 1: radial sap flow profiles**
  - Dataset complete
  - Preliminary results: shape of radial profiles significantly predicted by wood density and tree height, but effect minute compared to random stem differences
  - **Current state: data analysis**
- **Chapter 2: Vulnerability curves**
  - Leaf nutrient contents, branch NSC and branch anatomy missing
  - **Current state: waiting for data**
- **Chapter 3: Structural equation models**
  - NSC dataset missing
  - **Current state: waiting for data**
- **Bonus chapter: Vessel lengths**
  - **Current state: submitted**



# Summary: current state of the project

- **Chapter 1: radial sap flow profiles**
  - Dataset complete
  - Preliminary results: shape of radial profiles significantly predicted by wood density and tree height, but effect minute compared to random stem differences
  - **Current state: data analysis**
- **Chapter 2: Vulnerability curves**
  - Leaf nutrient contents, branch NSC and branch anatomy missing
  - **Current state: waiting for data**
- **Chapter 3: Structural equation models**
  - NSC dataset missing
  - **Current state: waiting for data**
- **Bonus chapter: Vessel lengths**
  - **Current state: submitted**





Thank you

# References

- **Fuchs S, Leuschner C, Link R, Coners H, Schuldt B, 2017.** Calibration and comparison of thermal dissipation, heat ratio and heat field deformation sap flow probes for diffuse-porous trees, *Agricultural and Forest Meteorology* **244–245**, 151-161. <https://doi.org/10.1016/j.agrformet.2017.04.003>.
- **Nadezhina, N, Vandegehuchte, MW, Steppe, K, 2012.** Sap flux density measurements based on the heat field deformation method. *Trees* **26(5)**, 1439-1448.
- **Schuldt B, Knutzen F, Delzon S, Jansen S, Müller-Haubold H, Burlett R, Clough, Y, Leuschner, C, 2016.** How adaptable is the hydraulic system of European beech in the face of climate change-related precipitation reduction?. *New Phytologist* **210(2)**, 443-458.
- **Vandegehuchte, MW, Steppe, K, 2012.** Interpreting the Heat Field Deformation method: Erroneous use of thermal diffusivity and improved correlation between temperature ratio and sap flux density. *Agricultural and Forest Meteorology* **162**, 91-97.