

# Drought in tropical forests

The role of tree height and wood density for hydraulic efficiency, productivity and vulnerability to cavitation of trees along a lowland precipitation gradient

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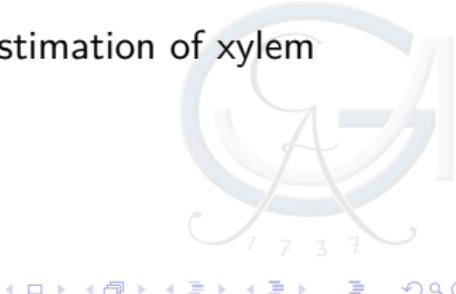
# Structure of my PhD project

- **Chapter 1:** Predicting radial sap flow profiles from Costa Rican tropical dry forest species
- **Chapter 2:** Predicting plant vulnerability to embolism in Costa Rican humid tropical forest species
- **Chapter 3:** Relationship between productivity, structural and functional, wood anatomical and hydraulic traits of tropical forest species from Costa Rica



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- **Bonus Chapter:** Maximum-likelihood estimation of xylem vessel lengths



# Structure of this presentation

- ① Introduction
- ② Predicting radial sap flow profiles from Costa Rican tropical dry forest species
- ③ Predicting plant vulnerability to embolism in Costa Rican humid tropical forest species
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- ④ Relationship between productivity, structural and functional, wood anatomical and hydraulic traits of tropical forest species from Costa Rica
- ⑤ Maximum-likelihood estimation of xylem vessel lengths: **Not in the focus of this presentation!**

# Introduction

- Basics about plant water relations
- Why is it important to know about drought effects in the tropics?



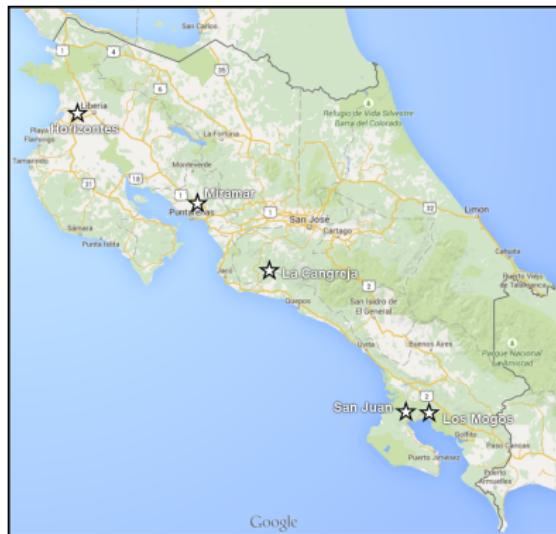
# Main research questions

- This one's gonna be tough



# Design of the study

- 5 research sites along a rainfall gradient on the Pacific shoreline of Costa Rica
- Gradient from tropical dry forest to humid tropical lowland forest
- Based on existing research sites of the **Instituto Tecnológico de Costa Rica**



# Design of the study

- At each of the 5 research sites:
  - 8 species representing a gradient in tree height and wood density
  - 5 replicates per species
    - ⇒ 40 trees per site, 200 trees in total
- Field measurements of temperature, relative humidity and precipitation



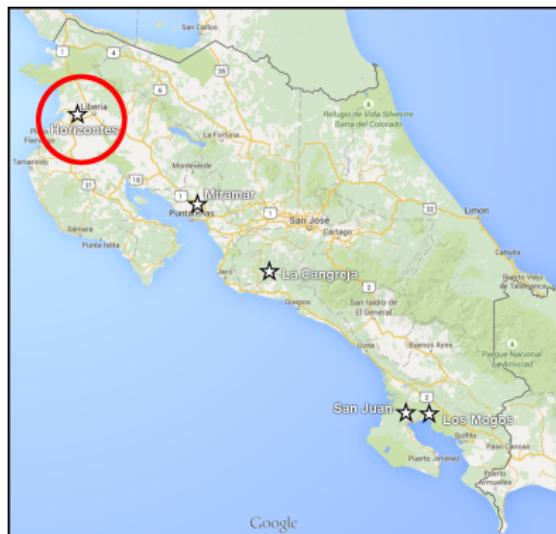
# Problems with the design

- Opportunistic use of pre-existing plots
- Different plot sizes and numbers at each site
- Differences in historic land use (pristine primary forest vs. disturbed primary forest vs. secondary forest)
  - Plot-based comparisons are difficult
  - ⇒ Not that important for our (eco-physiological) research questions, but limits usability of plot network for other studies

# First chapter: radial sap flow profiles

## Sap flow measurements:

- Practical limitations → only in dry forest (Horizontes)
- 4 measurement campaigns of ± 1 week during rainy season of 2015
- 40 trees of 8 species
- measured with the Heat Field Deformation (HFD) method

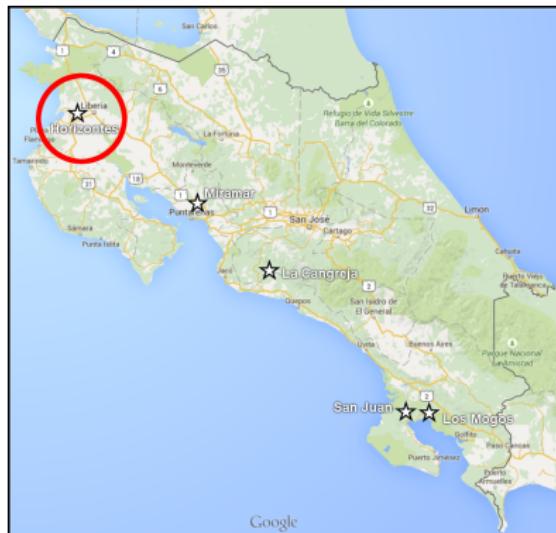


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# First chapter: radial sap flow profiles

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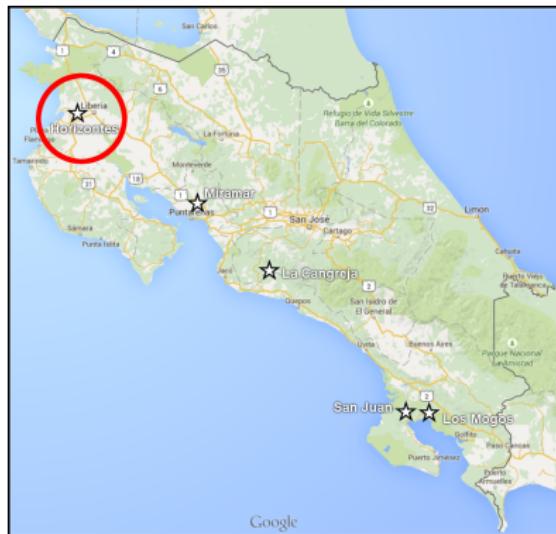
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# Heat field deformation sensors

## Working principle:

- 1 heater and 3 temperature sensors inserted into wood
- Heater heats constantly with known caloric input
- Sap movement → faster heat transport in flow direction
- Temperature differences between sensors are used for estimation of sap flux density at different depths

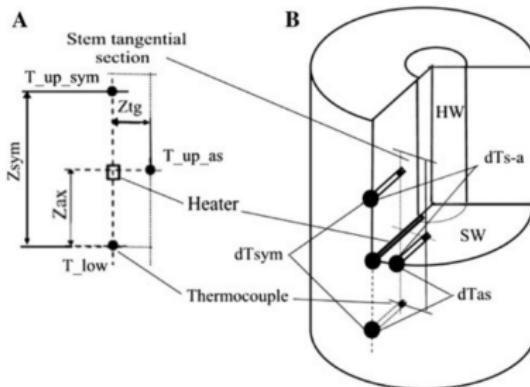


Image source: Nadezhina et al., 2012

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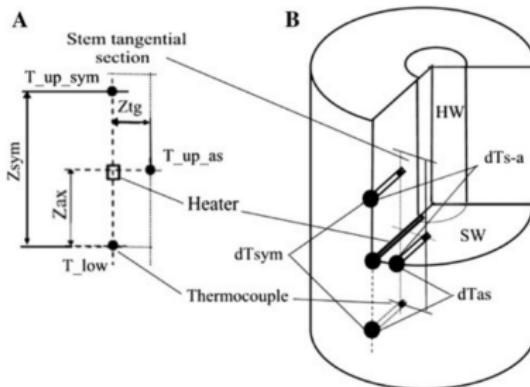


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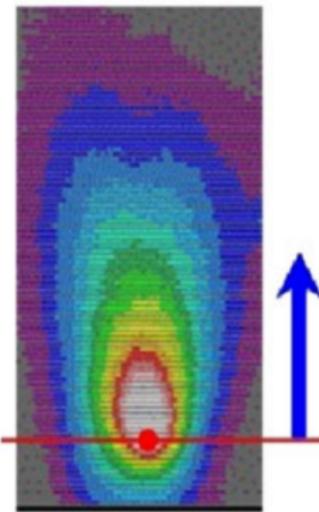


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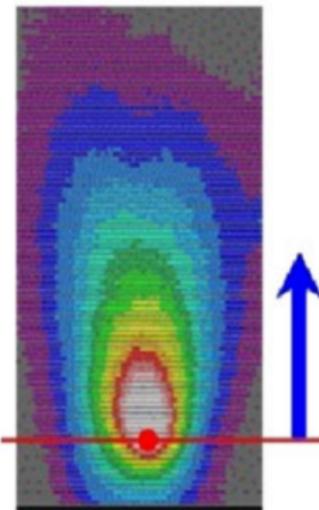


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# Heat field deformation sensors

- Original idea: Comparison of sap flow and plant water use between species with different trait combinations



# Heat field deformation sensors

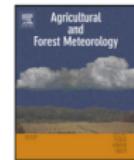
- Problem: newer research indicates that
  - a) The mechanistic explanation of the HFD method (Nadezhina et al., 2012) is flawed (Vandegehuchte et al., 2012)  
→ species-specific calibration likely necessary in most cases
  - b) Calibration parameters are not consistent within species (Fuchs et al., 2017)



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Agricultural and Forest Meteorology

journal homepage: [www.elsevier.com/locate/agrformet](http://www.elsevier.com/locate/agrformet)



Short communication

Interpreting the Heat Field Deformation method: Erroneous use of thermal diffusivity and improved correlation between temperature ratio and sap flux density

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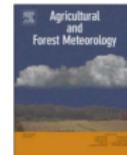
Agricultural and Forest Meteorology 244–245 (2017) 151–161



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Calibration and comparison of thermal dissipation, heat ratio and heat field deformation sap flow probes for diffuse-porous trees

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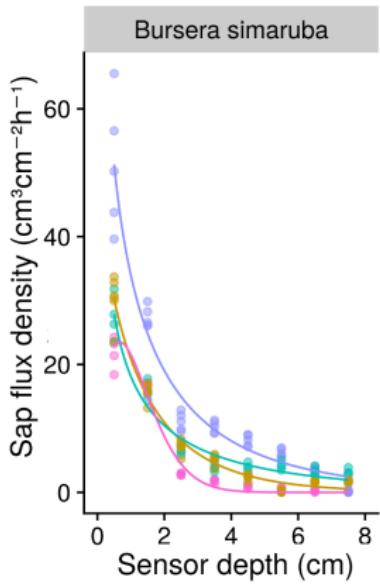


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→ species-specific calibration likely necessary in most cases
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  - Relative values are probably reliable, absolute values have to be handled with care
- ⇒ **Decision for analysis: focus on radial gradients of sap flux**



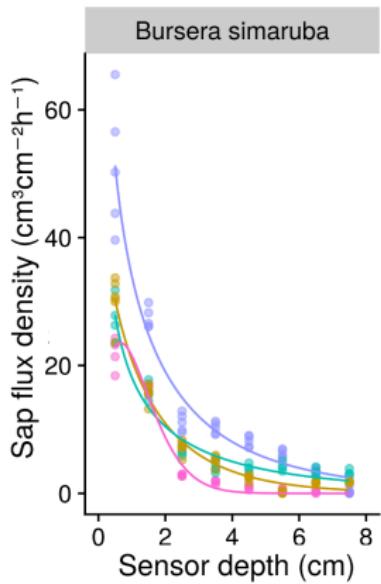
# Research questions & hypotheses



- For studies of water use radial gradients in sap flow are very important, but only few methods take it into account
  - Species specific measurements are problematic in the tropics
- ⇒ **Question:** Is it possible to predict the shape of the radial sap flow profile based on tree traits?



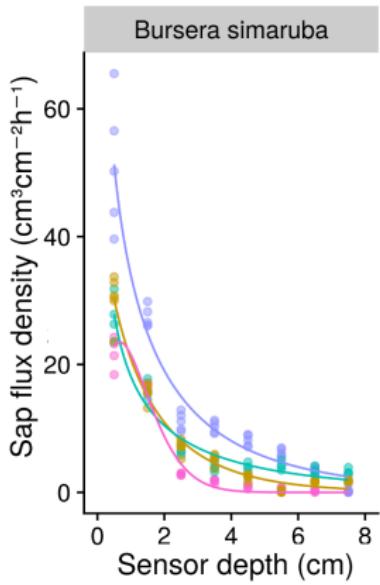
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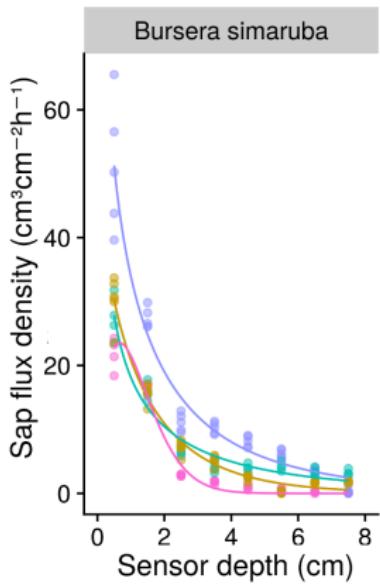


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- ⇒ **Question:** Is it possible to predict the shape of the radial sap flow profile based on tree traits?
- ⇒ **Hypothesis:** The shape of the radial sap flow profile is related to wood density and tree height

# Data analysis

- Modeled with **Bayesian nonlinear hierarchical model**
  - **First stage of the model:** Nonlinear relationship between sensor depth and predicted flux density modeled by density function of the Weibull distribution
  - **Second stage of the model:** Parameters of the Weibull distribution modeled as a function of wood density, tree height and their interaction, accounting for species and stem specific random variation
  - Model fitting with **Stan modeling language**



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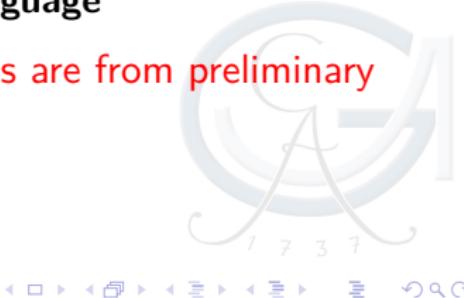
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  - Model fitting with **Stan modeling language**
- Model still need tunings → shown results are from preliminary model based on R package nlme



# Model equations of the preliminary model

## Model equation

- $SFD_{ijk} \sim \text{Normal}(\mu_{ijk}, \sigma_{ijk})$
- $\mu_{ijk} = c_{jk} \cdot \text{Weibull}(\text{depth}_{ijk} | \lambda_{jk}, K_{jk})$   
 $= c_{jk} \cdot \frac{K_{jk}}{\lambda_{jk}} \cdot \left( \frac{\text{depth}_{ijk}}{\lambda_{jk}} \right)^{K_{jk}-1} \cdot \exp\left(-\left(\frac{\text{depth}_{ijk}}{\lambda_{jk}}\right)^{K_{jk}}\right)$

## Parameter models

- $\lambda_{jk} = \exp(\beta_{\lambda 0} + \beta_{\lambda 1} \cdot WD + \beta_{\lambda 2} \cdot H + \beta_{\lambda 3} \cdot WD \cdot H + \epsilon_{j\lambda} + \epsilon_{k\lambda})$
- $K_{jk} = \exp(\beta_{K 0} + \beta_{K 1} \cdot WD + \beta_{K 2} \cdot H + \beta_{K 3} \cdot WD \cdot H + \epsilon_{jK} + \epsilon_{kK})$
- $c_{jk} = \exp(c_0 + \epsilon_{jc})$

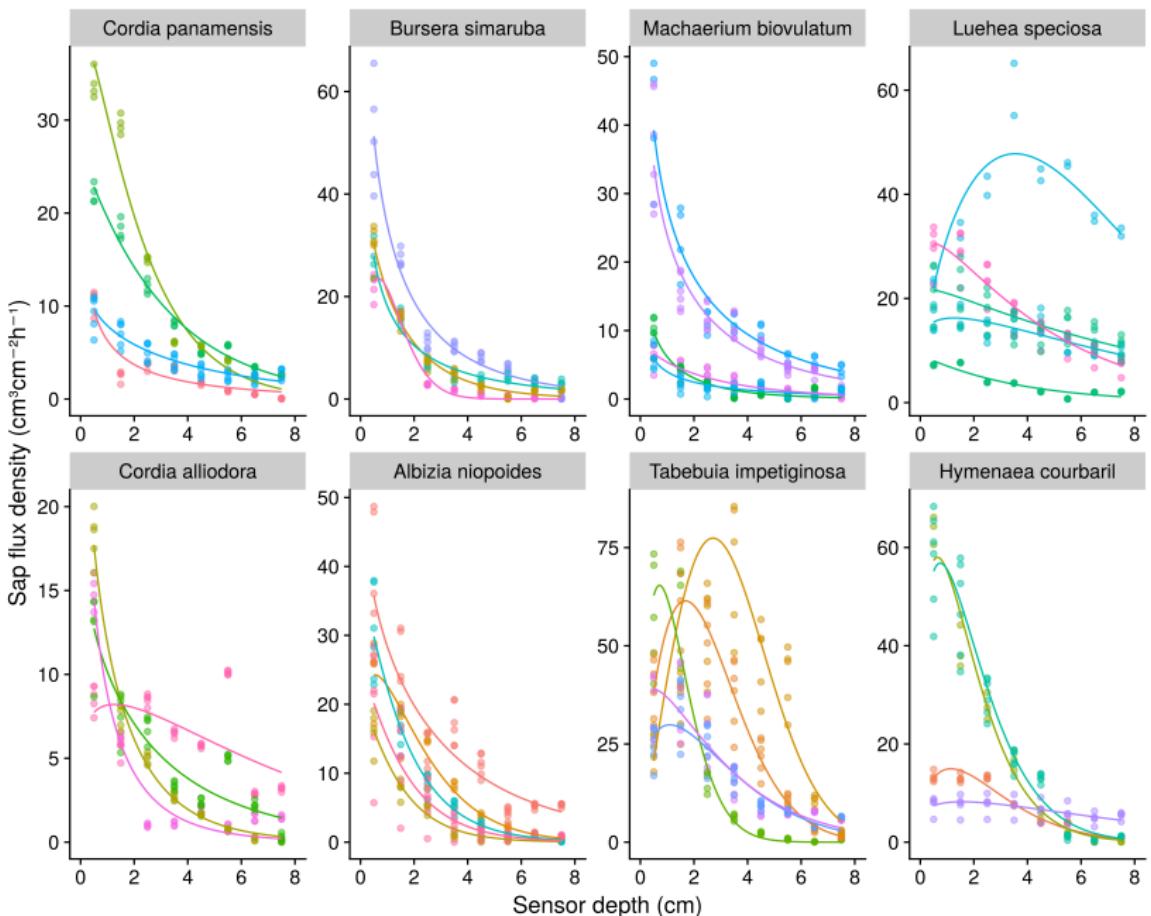
## Random effects

- $\epsilon_j \sim \text{MultiNormal}(0, \Sigma_j)$
- $\epsilon_k \sim \text{MultiNormal}(0, \Sigma_k)$

## Variance covariates

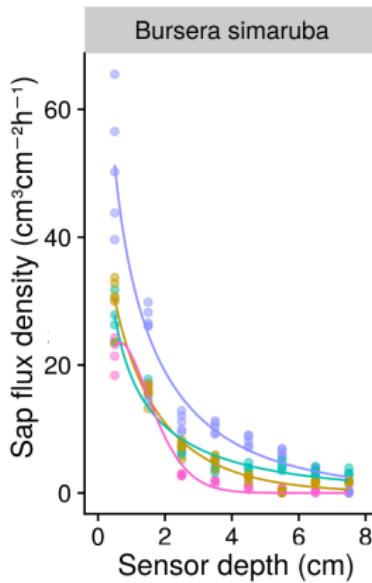
- $\sigma_{ijk}^2 = \sigma_0^2 \cdot \exp(2 \cdot \delta \cdot \mu_{ijk})$





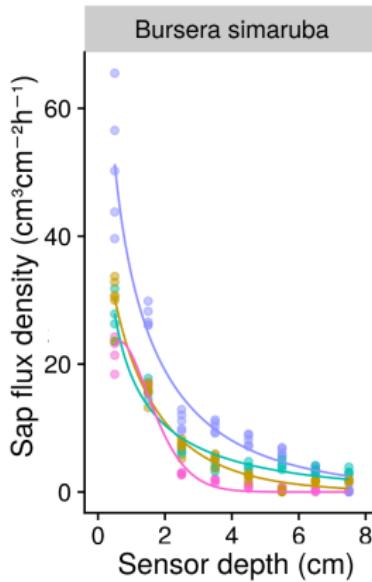
# Preliminary results I - predicted profiles

- Model explains a large part of the observed variance in the dataset (conditional pseudo- $R^2 = 0.918$ )
- Most of this variance is explained by random differences between species and stems (marginal pseudo- $R^2 = 0.329$ )

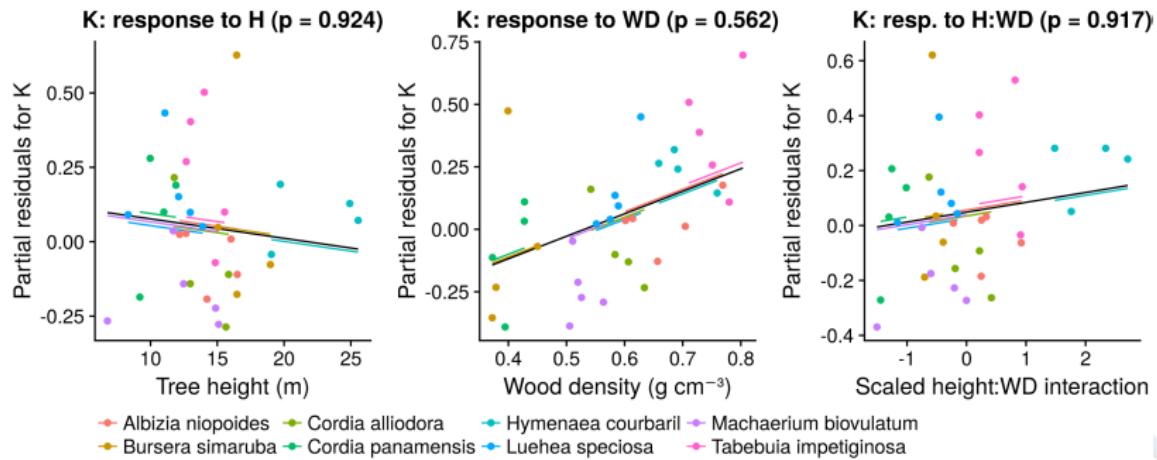


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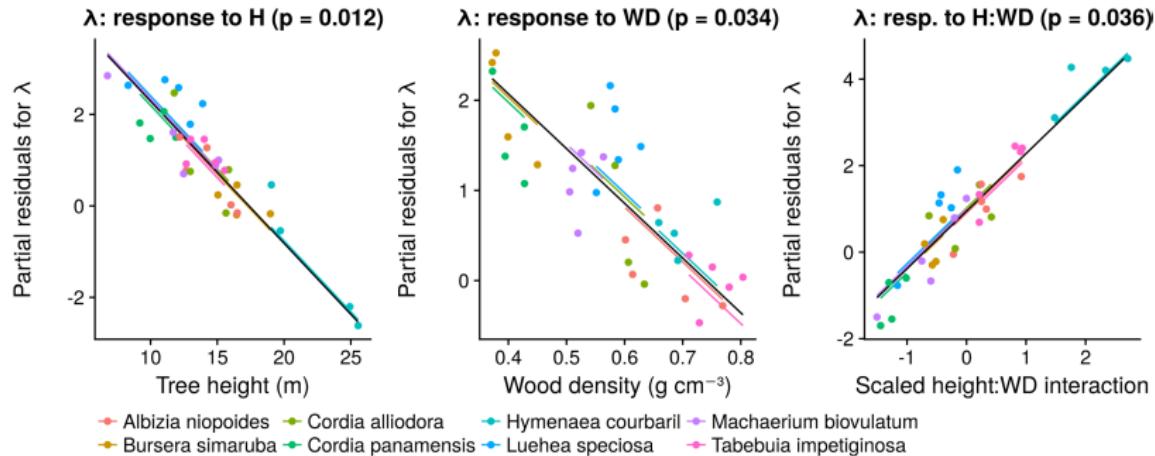
# Preliminary results II - parameter models



## • Weibull shape parameter K

- No significant height- and wood density effects

# Preliminary results II - parameter models



## • Weibull scale parameter $\lambda$

- Decreases significantly with tree height and wood density, but significantly less so in trees that are both large AND have hard wood

# Radial sap flow profiles - conclusions

- Very good predictions possible with a nonlinear hierarchical model
- Shape of the profile significantly depends on height and wood density
- Predictive power for predictions on new trees is low because of high stem-specific variability



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# Vulnerability curves

- What are vulnerability curves?
- What kind of information do they offer?



# The Cavi1000

- Some photos, basic information about how it works



# Research questions & hypotheses

- Plant vulnerability to embolism can be predicted by structural, functional and wood anatomical traits



# Data analysis

- Non-linear Bayesian hierarchical model
- Compare to HFD model, mention Ogle et al. 2009
- ONE SLIDE!



# Observed vulnerability curves

- Do not overinterpret!



# Big picture

- Analyzed variables (methods section)
- Design



# Growth data

- short description
- picture



# Wood anatomy

- short description
- picture



# Non-structural carbohydrates

- short description
- picture
- data not available so far



# Research questions & hypotheses

- Lots and lots of hypotheses



# Data analysis

- Short explanation of structural equation models



# Meta-model & causal diagram

- figures on one or two slides



# Example for SEM: Martyna's paper

- Meta-model, causal diagram & final path model



# Summary

- Sap flow
- Vulnerability curves
- SEM



# Thanks & goodbye

- Names of assistants (pictures?)



# References

- **Fuchs S, Leuschner C, Link R, Coners H, Schuldt B, 2017.** Calibration and comparison of thermal dissipation, heat ratio and heat field deformation sap flow probes for diffuse-porous trees, *Agricultural and Forest Meteorology* **244–245**, 151–161.  
<https://doi.org/10.1016/j.agrformet.2017.04.003..>

