

# Spheres On Spheres: Adams Operations and the Hopf Invariant

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## 1 Introduction

The Hopf fibration  $S^3 \rightarrow S^2$  is well-known as one of the first classical examples of a nontrivial fiber bundle.

Remarkably, not only are the total and base spaces spheres, but the fiber of each point is homeomorphic to the sphere  $S^1$ .

A natural question to ask upon observing this is whether this is the only such fibration consisting entirely of spheres. Namely, for which triples of integers  $(l, m, n)$  do we have a fibration of spheres  $S^m \rightarrow S^n$  with fiber  $S^l$ ?

While this seems to be a rather superficial question, the answer is quite interesting. There are exactly *four* such fibrations.

**Theorem 1.1.** *The only fibrations between spheres are:*

- $S^1 \rightarrow S^1$  with fiber  $S^0$
- $S^3 \rightarrow S^2$  with fiber  $S^1$  (Hopf fibration)
- $S^7 \rightarrow S^4$  with fiber  $S^3$
- $S^{15} \rightarrow S^8$  with fiber  $S^7$

**Proposition 1.2.** *These fibrations exist.*

*Proof.* Constructing these is actually quite simple. Let  $\mathbb{A}$  be equal to  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{H}$  (the quaternions), or  $\mathbb{O}$  (the octonions).

Note that  $\mathbb{A}$  is a real division algebra. We can construct the projective line  $\mathbb{A}\mathbb{P}^1$  over  $\mathbb{A}$  by taking the quotient of  $\mathbb{A}^2 - \{0\}$  by the equivalence relation  $(a_0, a_1) \sim (\lambda a_0, \lambda a_1)$  for

any  $\lambda \in \mathbb{A}$ . Furthermore,  $\mathbb{A}\mathbb{P}^1$  inherits the topological structure given by viewing  $\mathbb{A}$  as a real vector space.

Letting  $\dim_{\mathbb{R}} \mathbb{A} = k$ , we have that  $\mathbb{A}\mathbb{P}^1$  is homeomorphic to the sphere  $S^k$ . This is clear for the real and complex cases and is not difficult to show for the others.

Next, observe that we can canonically embed  $S^{2k-1}$  into  $\mathbb{A}^2$  as the set of vectors of unit norm.

Our fibration is then given by the restriction of the quotient map  $\mathbb{A}^2 - \{0\} \rightarrow \mathbb{A}\mathbb{P}^1$ . The fiber of a point  $(a_0, a_1) \in \mathbb{A}\mathbb{P}^1$  is the set of vectors of unit norm in the line  $\{(\lambda a_0, \lambda a_1) \mid \lambda \in \mathbb{A}\}$ . By definition, this is a copy of  $S^{k-1}$ .

Our four algebras have  $k = 1, 2, 4, 8$ , giving us our four fibrations between spheres. ■

The more difficult part, of course, is proving that no other fibrations exist. We can turn to the most basic tool of homotopy theory, the long exact sequence of a fibration, to establish a preliminary result.

**Proposition 1.3.** *Any fibration  $S^m \rightarrow S^n$  with fiber  $S^l$  must satisfy  $l = n - 1$ .*

*Proof.* Apply the long exact sequence of a fibration. The sequence

$$\pi_n(S^m) \rightarrow \pi_n(S^n) \rightarrow \pi_{n-1}(S^l) \rightarrow \pi_{n-1}(S^m)$$

is exact.

The leftmost and rightmost groups vanish since  $m > n$ . As a result, we find  $\pi_{n-1}(S^l) \simeq \pi_n(S^n) = \mathbb{Z}$ , so we must have  $l \leq n - 1$ .

Furthermore, we have for any  $k > 1$  that

$$\pi_{n-k+1}(S^n) \rightarrow \pi_{n-k}(S^l) \rightarrow \pi_{n-k}(S^m)$$

is exact, so it follows that  $\pi_{n-k}(S^l) = 0$  for all  $k > 1$ .

From this, we immediately find that  $l = n - 1$ . ■

Determining which triples form fibrations from this set onwards is significantly more difficult and follows from a much deeper theorem known as Adam's theorem or the Hopf Invariant One Theorem. While there are a couple of methods of proving Adam's theorem, we will discuss Atiyah's beautiful K-theoretic proof in this paper.

Before diving straight into the proof, we spend a couple of sections developing the necessary theory. In Section 2, we will construct and prove some basic properties of the

Adams operations on K-theory. Then, in Section 3, we will construct the Atiyah-Hirzebruch spectral sequence for generalized cohomology theories.

Following this, we are ready to present the main result. In Section 4, we introduce the Hopf invariant and prove Adam's theorem.

## 2 Adams Operations

Complex K-theory, like other cohomology theories, is functorial. First, it sends a topological space  $X$  to the ring  $K(X)$ . Next, given any continuous map  $f : X \rightarrow Y$ , there is an induced pullback map  $f^* : K(Y) \rightarrow K(X)$ . Therefore, K-theory satisfies the conditions to be a **functor** from the category of topological spaces to the category of rings.

The Adams operations on complex K-theory are a special case of a **cohomology operation**, which are simply natural transformations from the K-theory functor to itself.

We first define these operations in the following existence theorem.

**Theorem 2.1.** *There exist cohomology operations called **Adams operations**  $\psi^k$  that satisfy  $\psi^k(L_1 \oplus \cdots \oplus L_n) = L_1^k \oplus \cdots \oplus L_n^k$  for line bundles  $L_1, L_2, \cdots, L_n$ .*

Before we can construct the Adams operations, we must make use of a quick lemma, which follows by simple algebra.

**Lemma 2.2.** *Let  $\sigma_k(x_1, x_2, \cdots, x_n)$  be the  $k$ th symmetric sum of the variables  $x_i$ .*

*Then there exists a unique polynomial  $s_k$  such that  $s_k(\sigma_1(x_1, \cdots, x_n), \cdots, \sigma_n(x_1, \cdots, x_n)) = x_1^k + \cdots + x_n^k$ .*

Now we can prove our theorem.

*Proof.* For a line bundle  $L$ , we have  $\Lambda^k(L) = L$  if  $k = 1$  and 0 if  $k > 1$ . Note that the exterior power  $\Lambda^k$  of  $L_1 \oplus \cdots \oplus L_n$  is equal to

$$\bigoplus_{\sum e_i = k} \Lambda^{e_1}(L_1) \otimes \Lambda^{e_2}(L_2) \otimes \cdots \otimes \Lambda^{e_n}(L_n)$$

If any of the  $e_i$  are greater than 1, then that term in the direct sequence cancels out. We are therefore left with the  $k$ th symmetric sum of the  $L_i$ . As a result, we can immediately apply our lemma and define

$$\psi^k(L_1 \oplus \cdots \oplus L_n) = s_k(\Lambda^1(L_1 \oplus \cdots \oplus L_n), \cdots, \Lambda^n(L_1 \oplus \cdots \oplus L_n))$$

We can then extend this to general  $E$  by setting  $\psi^k(E) = s_k(\Lambda^1(E), \dots, \Lambda^n(E))$ . ■

Next, we prove some properties of these Adams operations.

**Lemma 2.3.** *The Adams operations satisfy the following identities:*

- $\psi^k \psi^l = \psi^{kl}$
- $\psi^p(E) = E^p \pmod{p}$  for prime  $p$
- $\psi^k : \tilde{K}(S^{2n}) \rightarrow \tilde{K}(S^{2n})$  is multiplication by  $k^n$ .

*Proof.* The first identity is an immediate consequence of the splitting principle.

To prove the next identity, we again apply the splitting principle and notice that  $(L_1 \oplus \dots \oplus L_n)^p$  is equal to  $\oplus L_i^p$  plus  $p$  times several other terms. Therefore, taking this mod  $p$  gives us  $\oplus L_i^p$  as desired.

For the final identity, first consider  $n = 1$ . We have that the Bott generator is  $b_2 = [H] - 1$ , where  $H$  is the hyperplane bundle. Then, applying  $\psi^k$  gives us  $[H]^k - 1 = (1 + b_2)^k - 1$ . Since  $b_2^i = 0$  for any  $i > 1$ , we have this is equal to  $1 + kb_2 - 1 = kb_2$ .

Next, we induct using the external tensor product. We have the Bott generator  $b_{2n}$  is equal to  $b_{2n-2} \otimes b_2$ . Since  $\psi^k$  is a ring homomorphism, we find that  $\psi^k(b_{2n}) = \psi^k(b_{2n-2}) \otimes \psi^k(b_2) = k^{n-1} b_{2n-2} \otimes kb_2 = k^n b_{2n}$ . ■

### 3 The Atiyah-Hirzebruch Spectral Sequence

This section assumes familiarity with the basic definitions regarding spectral sequences. Interested readers can find the necessary material in [Beh].

A nice property of K-theory is that it satisfies the conditions to be a *generalized cohomology theory*.

**Definition 3.1.** A **generalized cohomology theory** is a set of functors  $\{h^i\}_{i \in \mathbb{Z}}$  from the category of CW-pairs to the category of abelian groups with natural transformations (or “boundary maps”)  $\delta^i : h^i \rightarrow h^{i+1}$  that satisfy the following axioms:

- Homotopy:  $h^i(f) = h^i(g)$  for any two homotopic maps  $f, g : (X, A) \rightarrow (Y, B)$

- Long Exact Sequence: The inclusions  $i : (A, \emptyset) \rightarrow (X, \emptyset)$  and  $j : (X, \emptyset) \rightarrow (X, A)$  induce the long exact sequence

$$\cdots \rightarrow h^i(X, A) \xrightarrow{h^i(j)} h^i(X, \emptyset) \xrightarrow{h^i(i)} h^i(A, \emptyset) \xrightarrow{\delta} h^{i+1}(X, A) \rightarrow \cdots$$

- Excision: If  $X = A \cup B$  is a CW-complex with subcomplexes  $A$  and  $B$ , then the inclusion  $i : (A, A \cap B) \rightarrow (X, B)$  induces an isomorphism  $h^i(i) : h^i(X, B) \rightarrow h^i(A, A \cap B)$ .
- Additivity: If  $(X, A) = \cup_{\alpha} (X_{\alpha}, A_{\alpha})$  then the inclusions induce an isomorphism  $h^i(X, A) \rightarrow \prod_{\alpha} h^i(X_{\alpha}, A_{\alpha})$ .

Note that these are exactly the Eilenberg-Steenrod axioms for regular cohomology, minus the “dimension axiom” which states that the cohomology of a point is 0. Due to the lack of this dimension axiom, generalized cohomology theories are more flexible and can often give more information about a topological space. However, they are often much more difficult to calculate.

This is where the Atiyah-Hirzebruch spectral sequence comes in. We use the shorthand  $h^i(X)$  for  $h^i(X, \emptyset)$ .

**Theorem 3.2.** *Given a generalized cohomology theory  $h$  and singular cohomology  $H$ , the **Atiyah-Hirzebruch spectral sequence** is a spectral sequence with  $E_2$  page satisfying  $E_2^{p,q} = H^p(X, h^q(*))$  abutting to  $h^{p+q}(X)$ .*

The Atiyah-Hirzebruch spectral sequence can be constructed using the method of exact couples. We give the outline of the construction below.

*Proof.* Given a CW-complex  $X$ , we have a natural filtration

$$X^{(0)} \hookrightarrow X^{(1)} \hookrightarrow X^{(2)} \hookrightarrow \cdots$$

where  $X^{(p)}$  is the  $p$ -skeleton of  $X$ .

For every  $p$ , we have the long exact sequence of a pair

$$\cdots \rightarrow h^i(X^{(p)}, X^{(p-1)}) \xrightarrow{h^i(j)} h^i(X^{(p)}) \xrightarrow{h^i(i)} h^i(X^{(p-1)}) \xrightarrow{\delta} h^{i+1}(X^{(p)}, X^{(p-1)}) \rightarrow \cdots$$

We can put these all together to create an exact couple

$$A \xrightarrow{i_*} A, A \xrightarrow{\delta} E, E \xrightarrow{j_*} A$$

where  $A = \bigoplus_{p,q} h^{p+q}(X^{(p)})$  and  $E = \bigoplus_{p,q} h^{p+q}(X^{(p)}, X^{(p-1)})$  are bigraded over  $p, q$ .

This yields a derived exact couple

$$A' \xrightarrow{i'} A', A' \xrightarrow{\delta'} E', E' \xrightarrow{j'} A'$$

where  $E' = \ker(\delta j_*) / \text{im}(\delta j_*)$  and  $A' = i_*(A)$ . The map  $i'$  is defined as the restriction of  $i_*$  to  $A'$  and  $j'$  is induced by  $j$  canonically. To define  $\delta'$ , pick  $a' \in A'$  and  $a$  such that  $i_*(a) = a'$ . Then we have that  $\delta(a)$  is in the kernel of  $\delta j_*$ , so we can define  $\delta'(a')$  to be the image of  $\delta_*(a)$  in  $E'$ .

This is an exact couple itself, so we can continue to take successive derived couples. It is then immediate that the sequence  $E, E', E'', \dots$  forms the pages of a spectral sequence.

Diagram chasing through the differentials which are defined as the composition of  $\delta j$  at every exact couple, we see that they map  $E_r^{p,q}$  to  $E_r^{p+r, q-r+1}$ .

Therefore, eventually we can see that these differentials stabilize since  $E_r^{p,q} = 0$  for any  $p, q < 0$ . We call the stabilized groups  $E_\infty^{p,q}$ . We omit the proof, which can be found in [Adams], that  $E_\infty^{p,q} = h^{p+q}(X)$ .

Going back to our original  $E$ , we have that

$$\begin{aligned} E^{p,q} &= h^{p+q}(X^{(p)}, X^{(p-1)}) \\ &= \tilde{h}^{p+q}(X^{(p)} / X^{(p-1)}) \\ &= \tilde{h}^{p+q}(\bigvee S^p) \\ &= \prod \tilde{h}^{p+q}(S^p) \\ &= \prod h^q(*) \\ &= C_{cell}^p(X, h^q(*)) \end{aligned}$$

The identity  $\tilde{h}^{p+q}(S^p) = h^q(*)$  is immediate from applying excision to the pair  $(D^p, S^{p-1})$  to get that  $\tilde{h}^{p+q}(S^p) = \tilde{h}^{p+q-1}(S^{p-1})$  and the inducting.

It follows immediately that the  $E_2$  page is equal to  $H^p(X, h^q(*))$  as desired. ■

## 4 Adams' Theorem

Finally, we arrive at the proof of our main theorem. Before we proceed, we introduce the Hopf invariant.

**Definition 4.1.** Let  $f$  be a map  $S^{2n-1} \rightarrow S^n$ . Using this, we can form a CW complex  $C(f)$  given by attaching a  $2n$ -cell to  $S^n$  via  $f$ . By cellular cohomology, it is immediate that  $H^i(C(f)) = \mathbb{Z}$  for  $i = 0, n, 2n$  and 0 elsewhere.

We define the **Hopf invariant** of  $f$  to be the image of the generator of  $H^n(C(f))$  under the cup square  $H^n(C(f)) \rightarrow H^{2n}(C(f))$ .

**Lemma 4.2.** *The Hopf invariant is always 0 for odd  $n$ .*

*Proof.* This is immediate by graded commutativity of the cup product. If  $\alpha$  generates  $H^n(C(f))$ , then  $\alpha \smile \alpha = (-1)^{n^2}(\alpha \smile \alpha) = -(\alpha \smile \alpha)$ . Therefore, it must be equal to 0. ■

Consider our problem of classifying fibrations  $S^m \rightarrow S^n$ . We know that the fiber must be  $S^{n-1}$  from our derivation with the homotopy long exact sequence. From here, it is easy to deduce that  $m = 2n - 1$  by dimensional considerations.

To go further, we must use the following lemma, which is proved in [Price].

**Lemma 4.3.** *The map  $f$  can be a fibration for  $n > 1$  with fiber  $S^{n-1}$  only if it has Hopf invariant one.*

*Proof.* Consider the mapping cylinder  $M_f$  of  $f$ , which by definition is a disk bundle with fiber  $D^n$  over  $S^n$ .

We have a fiber bundle of pairs  $(D^n, S^{n-1}) \rightarrow (M_f, S^{2n-1}) \rightarrow (S^n, S^n)$ .

Note that the space  $C(f)$  is just  $M_f/S^{2n-1}$ .

By the Leray-Hirsch theorem, the Thom class  $\tau \in H^n(M_f, S^{2n-1})$  is a generator of  $H^n(C(f))$ . However, if  $\alpha$  is the generator of  $H^n(S^n)$ , then  $f^*\alpha$  also generates  $H^n(C(f))$ . It follows immediately that  $\tau = \pm f^*\alpha$ .

By the Thom isomorphism theorem,  $H^n(S^n) \simeq H^{2n}(M_f, S^{2n-1})$  by taking the cup product with  $\tau$ . It follows that the generator of  $H^{2n}(M_f, S^{2n-1})$  is  $f^*\alpha \smile \tau$ , so it generates  $H^{2n}(C(f))$  as well. This is equal to  $\pm(f^*\alpha)^2$ , so the Hopf invariant is 1. ■

Therefore, it now suffices to establish when a map can have Hopf invariant one, which we prove in the style of [Sia].

**Theorem 4.4.** (*Adams Theorem*) Any map  $f : S^{2n-1} \rightarrow S^n$  for  $n > 1$  has Hopf invariant one iff  $n = 2, 4, 8$ .

*Proof.* First, we apply the Atiyah-Hirzebruch spectral sequence to calculate  $K(C(f))$ . The K-theory of a point is just  $\mathbb{Z}$ , so the  $E_2$  page is given by  $E_2^{p,q} = \mathbb{Z}$  for  $p = 0, n, 2n$ .

The differentials are 0 up to the  $E_n$  page, where we have maps  $E_n^{p,q} \rightarrow E_n^{p+n,q-n+1}$ . Unrolling the definition of the Atiyah-Hirzebruch sequence leads us to see that these differentials are 0 as well, so the sequence collapses and the K-theory of  $C(f)$  is exactly the ordinary cohomology ring.

As a result, we have  $\tilde{K}(C(f))$  is free with two generators in degrees  $n$  and  $2n$ .

We pick the generators  $\alpha_n, \alpha_{2n}$  such that  $\alpha_n$  restricts to a generator of  $\tilde{K}(S^n)$  and  $\alpha_{2n}$  is the pullback of a generator of  $\tilde{K}(S^{2n})$  under the map  $C(f) \rightarrow C(f)/S^n = S^{2n}$ .

Recall that  $\psi^k$  is multiplication by  $k^n$  on  $\tilde{K}(S^{2n})$ . Since the Hopf invariant is 0 when  $n$  is odd, we can restrict to when  $n$  is even. As a result, we find that by construction of our generators

$$\psi^2(\alpha_n) = 2^{n/2}\alpha_n + \mu\alpha_{2n}$$

for some integer  $\mu$ . We also have however that  $\psi^2(\alpha_n) = \alpha_n^2 = H(f)\alpha_{2n}$  modulo 2, so it follows that  $\mu \bmod 2$  is the Hopf invariant mod 2. Now it suffices to find when  $\mu$  is equal to 1.

We have  $\psi^k(\alpha_n) = k^{n/2}\alpha_n + \mu_k\alpha_{2n}$ . We have  $\psi^k\psi^2 = \psi^{k+2} = \psi^2\psi^k$ .

Evaluating both of these on  $\alpha_n$ , we get

$$\psi^k\psi^2(\alpha_n) = k^{n/2}(2^{n/2}\alpha_n + \mu\alpha_{2n}) + \mu_k\psi^k(\alpha_{2n}) = k^{n/2}(2^{n/2}\alpha_n + \mu\alpha_{2n}) + 2^n\mu_k\alpha_{2n}$$

and

$$\psi^2\psi^k(\alpha_n) = 2^{n/2}(k^{n/2}\alpha_n + \mu_k\alpha_{2n}) + k^n\mu\alpha_{2n}$$

Therefore, we can compare coefficients to get

$$2^{n/2}\mu_k + k^n\mu = k^{n/2}\mu + 2^n\mu_k$$

which implies

$$k^{n/2}(k^{n/2} - 1)\mu = 2^{n/2}(2^{n/2} - 1)\mu_k$$

If  $\mu$  is odd, then we must have  $2^{n/2}$  divides  $k^{n/2} - 1$  for any odd  $k$ . If  $n > 2$ , then  $k^{n/2} - 1$  is only equal to 0 modulo 4 if  $n/2$  is even.



Then, we can take  $k = 1 + 2^{n/4}$  to get that  $2^{n/2}$  divides  $(1 + 2^{n/4})^{n/2} - 1$ , which implies that  $2^{n/2}$  divides  $\frac{n}{2}2^{n/4}$ , which is equivalent to  $2^{n/4}$  divides  $n/2$ .

We have  $2^{2.5} > 5$  for, so we can only consider  $1 < n/2 < 4$ . A quick check tells us that  $n = 4, 8$  are the only possibilities for  $n > 2$ .

We also have  $n = 2$  clearly works, which concludes our proof. ■

## 5 Bibliography

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