

# Note

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## 1 Higgs Production

We want to apply deep learning methods to distinguish vector boson fusion (VBF) from gluon-gluon fusion (GGF) and Higgs production at the LHC.

We want to apply the CWoLa method, then we can use the real data without knowing the true label.

## 2 Sample Preparation

### 2.1 Monte Carlo samples

We consider Standard Model (SM) di-photon Higgs events produced via GGF and VBF channels at a center-of-mass energy of  $\sqrt{s} = 14$  TeV. The Higgs boson events are generated using **MadGraph** 3.3.1 [1] for both GGF and VBF production. The Higgs decays into the di-photon final state, and the parton showering and hadronization are simulated using **Pythia** 8.306 [2]. The detector simulation is conducted by **Delphes** 3.4.2 [3]. Jet reconstruction is performed using **FastJet** 3.3.2 [4] with the anti- $k_t$  algorithm [5] and a jet radius of  $R = 0.4$ . These jets are required to have transverse momentum  $p_T > 25$  GeV.

The following **MadGraph** scripts generate Monte Carlo samples for each production channel.

#### GGF Higgs Sample Generation

```
generate p p > h QCD<=99 [QCD]
output GGF_Higgs
launch GGF_Higgs
```

```
shower=Pythia8
detector=Delphes
```

```
analysis=OFF
madspin=OFF
done
```

```
set run_card nevents 100000
set run_card ebeam1 7000.0
set run_card ebeam2 7000.0
```

```
set run_card use_syst False
```

```
set pythia8_card 25:onMode = off
set pythia8_card 25:onIfMatch = 22 22
done
```

### **VBF Higgs Sample Generation**

```
define v = w+ w- z
generate p p > h j j $$v
output VBF_Higgs
launch VBF_Higgs
```

```
shower=Pythia8
detector=Delphes
analysis=OFF
madspin=OFF
done
```

```
set run_card nevents 100000
set run_card ebeam1 7000.0
set run_card ebeam2 7000.0
```

```
set run_card use_syst False
```

```
set pythia8_card 25:onMode = off
set pythia8_card 25:onIfMatch = 22 22
done
```

## 2.2 Event selection

The selection cuts after the **Delphes** simulation:

- $n_\gamma$  cut: The number of photons should be at least 2.
- $n_j$  cut: The number of jets should be at least 2.
- $m_{\gamma\gamma}$  cut: The invariant mass of two leading photons  $m_{\gamma\gamma}$  are required  $120 \text{ GeV} \leq m_{\gamma\gamma} \leq 130 \text{ GeV}$ .

Table 1 summarizes the cutflow number at different selection cuts.

Table 1: Number of passing events and passing rates for GGF and VBF Higgs production at different selection cuts.

Cut	GGF	pass rate	VBF	pass rate
Total	100000	1	100000	1
$n_\gamma$ cut	48286	0.48	53087	0.53
$n_j$ cut	9302	0.09	42860	0.43
$m_{\gamma\gamma}$ cut	8864	0.09	40694	0.41

Figure 1 shows the distributions of  $m_{jj}$  (the invariant mass of the two leading jets) and  $\Delta\eta_{jj}$  (the pseudorapidity difference between the two leading jets). The scatter plot of  $m_{jj}$  versus  $\Delta\eta_{jj}$  is presented in Figure 2.

## 2.3 Event image

The inputs for the neural networks are event images [6, 7, 8]. These images are constructed from events that pass the kinematic selection criteria described in section 2.2. Each event image has three channels corresponding to calorimeter towers, tracks, and photons. The following preprocessing steps are applied to all event constituents:

1. Translation: Compute the  $p_T$ -weighted center in the  $\phi$  coordinates, then shift this point to the origin.
2. Flipping: Flip the highest  $p_T$  quadrant to the first quadrant.
3. Pixelation: Pixelate in a  $\eta \in [-5, 5]$ ,  $\phi \in [-\pi, \pi]$  box, with  $40 \times 40$  pixels

Figure 3 shows the event images for GGF and VBF production modes.



Figure 1: Distributions of the invariant mass  $m_{jj}$  and pseudorapidity difference  $\Delta\eta_{jj}$  of the two leading jets. Red dashed lines are selection cuts used to construct mixed datasets.



Figure 2: Scatter plot of  $m_{jj}$  versus  $\Delta\eta_{jj}$ . Red dashed lines are selection cuts used to construct mixed datasets.



(a) GGF: Calorimeter Tower



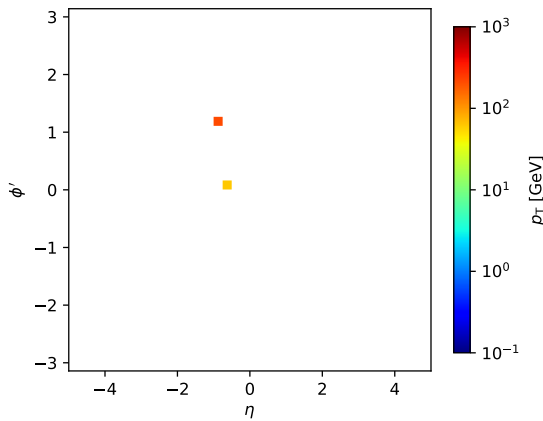
(b) VBF: Calorimeter Tower



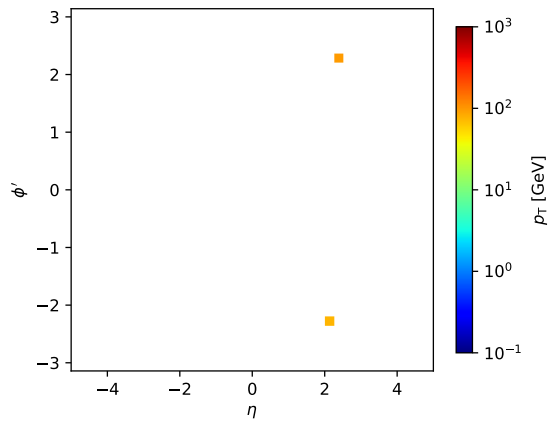
(c) GGF: Track



(d) VBF: Track



(e) GGF: Photon



(f) VBF: Photon

Figure 3: Event images for GGF and VBF production, separately shown for calorimeter towers, tracks, and photons.

## 2.4 Mixed datasets

Based on figure 1, we set selection cuts of  $m_{jj} > 300$  GeV and  $\Delta\eta_{jj} > 3.1$ . We consider three cases: applying each cut individually and simultaneously. These cuts define the signal region (SR), which is VBF-like, and the background region (BR), which is GGF-like. Table 2 summarizes the cutflow results for different selection criteria.

Table 2: Number of passing events and passing rates for GGF and VBF Higgs production under different selection cuts.

Cut	GGF	pass rate	VBF	pass rate
Total	100000	1.00	100000	1.00
$n_\gamma$ cut	9302	0.09	42860	0.43
$n_j$ cut	9302	0.09	42860	0.43
$m_{\gamma\gamma}$ cut	8864	0.09	40694	0.41
$m_{jj}$ cut: SR	2695	0.03	29496	0.29
$m_{jj}$ cut: BR	6169	0.06	11198	0.11
$\Delta\eta_{jj}$ cut: SR	2317	0.02	28160	0.28
$\Delta\eta_{jj}$ cut: BR	6547	0.07	12534	0.13
$m_{jj}, \Delta\eta_{jj}$ cuts: SR	1832	0.02	26446	0.26
$m_{jj}, \Delta\eta_{jj}$ cuts: BR	5684	0.06	9484	0.09

The total cross-section for VBF production is  $\sigma_{\text{VBF}} = 4.278$  pb $^{-1}$  at NNLO and for GGF production is  $\sigma_{\text{GGF}} = 54.67$  pb $^{-1}$  at N3LO, as referenced in [this link](#). The branching ratio for the di-photon decay channel is  $\Gamma(h \rightarrow \gamma\gamma) = 2.270 \times 10^{-3}$ , as given in [this link](#).

Assuming the luminosity of  $\mathcal{L} = 300$  fb $^{-1}$ , we can estimate the number of events belonging to the SR and BR. These results are summarized in table 3.

## 3 Training CNN

The total sample sizes are mentioned in section 2.4. We allocate 80% of the data for training and 20% for validation. The testing set consists of the SR's 10,000 VBF and 10,000 GGF events.

The convolutional neural network (CNN) model structure is summarized in figure 4. The internal node uses the rectified linear unit (ReLU) as the activation function. The loss function is the binary cross-entropy. The Adam optimizer minimizes the loss value. The learning rate is  $10^{-4}$ , and the batch size is 512. We employ the early stopping technique to

Table 3: The number of events of mixed datasets under different selection cuts.

(a) $m_{jj} > 300$ GeV			(b) $\Delta\eta_{jj} > 3.1$		
	GGF	VBF		GGF	VBF
BR	2297	326	BR	2437	365
SR	1003	859	SR	863	820

(c) $m_{jj} > 300$ GeV, $\Delta\eta_{jj} > 3.1$		
	GGF	VBF
BR	2116	276
SR	682	770

prevent over-training issues with a patience of 10.

The training results are summarized in table 4. The performance of the  $\Delta\eta_{jj}$  cuts is better than the  $m_{jj}$  cut. Moreover, when both cuts are applied together, the performance is slightly worse than when applying either cut individually.

Table 4: The CNN training results. The ACC and AUC are evaluated based on 10 training. The selection cuts of  $m_{jj} > 300$  GeV and  $\Delta\eta_{jj} > 3.1$  are applied.

Cut	$M_1/M_2$		$S/B$	
	ACC	AUC	ACC	AUC
$m_{jj}$	$0.712 \pm 0.023$	$0.741 \pm 0.041$	$0.576 \pm 0.010$	$0.596 \pm 0.014$
$\Delta\eta_{jj}$	$0.828 \pm 0.043$	$0.889 \pm 0.050$	$0.604 \pm 0.014$	$0.630 \pm 0.015$
$m_{jj}, \Delta\eta_{jj}$	$0.753 \pm 0.022$	$0.792 \pm 0.035$	$0.573 \pm 0.007$	$0.596 \pm 0.008$

### 3.1 More events

This section assumes the luminosity of  $\mathcal{L} = 3000 \text{ fb}^{-1}$ . The number of events belonging to the SR and BR are summarized in table 5.

The training results are summarized in table 6. All datasets' performance is better than the results in table 4. The  $\Delta\eta_{jj}$  cut performs better than the  $m_{jj}$  cut. Moreover, when both cuts are applied together, the performance is slightly worse than the  $\Delta\eta_{jj}$  cut but better than  $m_{jj}$ . These results are similar to the previous one.

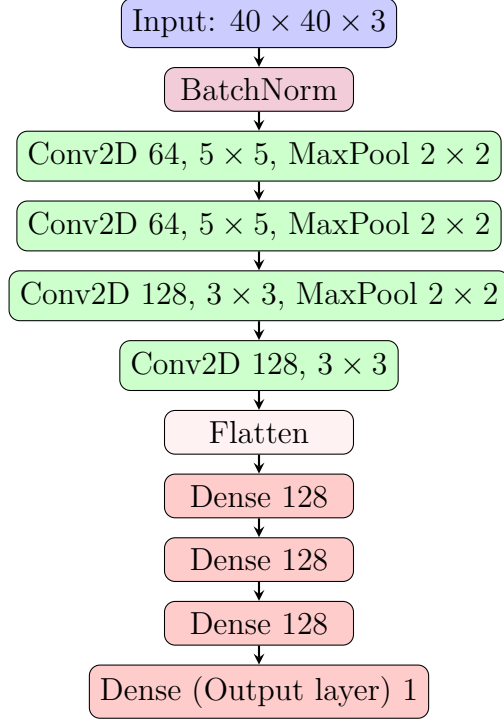


Figure 4: The architecture of the CNN model with key hyperparameters.

Table 5: The number of events of mixed datasets under different selection cuts.

(a) $m_{jj} > 300$ GeV			(b) $\Delta\eta_{jj} > 3.1$		
	GGF	VBF		GGF	VBF
BR	22967	3262	BR	24375	3652
SR	10034	8593	SR	8626	8204

(c) $m_{jj} > 300$ GeV, $\Delta\eta_{jj} > 3.1$		
	GGF	VBF
BR	21162	2763
SR	6821	7705



Table 6: The CNN training results. The ACC and AUC are evaluated based on 10 training. The selection cuts of  $m_{jj} > 300$  GeV and  $\Delta\eta_{jj} > 3.1$  are applied.

Cut	$M_1/M_2$		$S/B$	
	ACC	AUC	ACC	AUC
$m_{jj}$	$0.907 \pm 0.002$	$0.969 \pm 0.002$	$0.598 \pm 0.008$	$0.625 \pm 0.009$
$\Delta\eta_{jj}$	$0.931 \pm 0.004$	$0.979 \pm 0.002$	$0.615 \pm 0.005$	$0.648 \pm 0.006$
$m_{jj}, \Delta\eta_{jj}$	$0.929 \pm 0.003$	$0.978 \pm 0.002$	$0.608 \pm 0.004$	$0.638 \pm 0.005$

## 4 $p_T$ normalization

To remove the potential dependence of the input samples on  $m_{jj}$ , we standardize the event images to remove the difference in input data distributions between the SR and BR. We calculate the mean and standard deviation of the event image transverse momentum and use these values to standardize each event image. We standardize each channel separately.

The number of events in the SR and BR are the same as previously in table 5.

The training results are summarized in table 7. The  $m_{jj}$  cut performs better than the previous one (table 6).

Table 7: The CNN training results with  $p_T$  normalization technique. The ACC and AUC are evaluated based on 10 training. The selection cuts of  $m_{jj} > 300$  GeV and  $\Delta\eta_{jj} > 3.1$  are applied.

Cut	$M_1/M_2$		$S/B$	
	ACC	AUC	ACC	AUC
$m_{jj}$	$0.874 \pm 0.004$	$0.946 \pm 0.003$	$0.624 \pm 0.005$	$0.663 \pm 0.006$
$\Delta\eta_{jj}$	$0.928 \pm 0.005$	$0.979 \pm 0.002$	$0.597 \pm 0.005$	$0.630 \pm 0.006$
$m_{jj}, \Delta\eta_{jj}$	$0.917 \pm 0.003$	$0.973 \pm 0.002$	$0.603 \pm 0.004$	$0.636 \pm 0.006$

## 5 Different cut setting

We set selection cuts of  $m_{jj} > 225$  GeV and  $\Delta\eta_{jj} > 2.3$  to ensure the SR and BR datasets have similar sizes. Table 8 summarizes the cutflow results for different selection criteria.

Assuming the luminosity of  $\mathcal{L} = 3000 \text{ fb}^{-1}$ , we can estimate the number of events belonging to the SR and BR. These results are summarized in table 9

Table 8: Number of passing events and passing rates for GGF and VBF Higgs production under different selection cuts.

Cut	GGF	pass rate	VBF	pass rate
Total	100000	1.00	100000	1.00
$n_\gamma$ cut	9302	0.09	42860	0.43
$n_j$ cut	9302	0.09	42860	0.43
$m_{\gamma\gamma}$ cut	8864	0.09	40694	0.41
$m_{jj}$ cut: SR	3638	0.04	32993	0.33
$m_{jj}$ cut: BR	5226	0.05	7701	0.08
$\Delta\eta_{jj}$ cut: SR	3611	0.04	32914	0.33
$\Delta\eta_{jj}$ cut: BR	5253	0.05	7780	0.08
$m_{jj}, \Delta\eta_{jj}$ cuts: SR	2842	0.03	31113	0.31
$m_{jj}, \Delta\eta_{jj}$ cuts: BR	4457	0.04	5900	0.06

Table 9: The number of events of mixed datasets under different selection cuts.

(a) $m_{jj} > 225$ GeV			(b) $\Delta\eta_{jj} > 2.3$		
	GGF	VBF		GGF	VBF
BR	19457	2244	BR	19557	2267
SR	13544	9612	SR	13444	9589

(c) $m_{jj} > 225$ GeV, $\Delta\eta_{jj} > 2.3$		
	GGF	VBF
BR	16594	1719
SR	10581	9064

The training results are summarized in table 10. The results are better than the table 7 by 1%. Similarly, the  $m_{jj}$  cut performs best.

Table 10: The CNN training results with  $p_T$  normalization technique. The ACC and AUC are evaluated based on 10 training. The selection cuts of  $m_{jj} > 225$  GeV and  $\Delta\eta_{jj} > 2.3$  are applied.

Cut	$M_1/M_2$		$S/B$	
	ACC	AUC	ACC	AUC
$m_{jj}$	$0.864 \pm 0.004$	$0.940 \pm 0.004$	$0.632 \pm 0.006$	$0.673 \pm 0.007$
$\Delta\eta_{jj}$	$0.913 \pm 0.006$	$0.972 \pm 0.003$	$0.605 \pm 0.007$	$0.640 \pm 0.009$
$m_{jj}, \Delta\eta_{jj}$	$0.896 \pm 0.007$	$0.961 \pm 0.004$	$0.616 \pm 0.005$	$0.653 \pm 0.006$

## 6 Supervised training

This section tests the supervised training on CNN. The training, validation, and testing sample size are summarized in table 11. The events passing all selection requirements (section 2.2) are considered.

Table 11: Sizes of various samples used for supervised training.

	Training	Validation	Testing
GGF	100k	25k	25k
VBF	100k	25k	25k

The training results are summarized in table 12. These results demonstrate the upper limit of CNN training.

Table 12: The CNN training results with  $p_T$  normalization technique. The ACC and AUC are evaluated based on 10 training.

ACC	AUC
$0.784 \pm 0.001$	$0.861 \pm 0.001$

### 6.1 Testing sample in SR and BR

The testing events used to evaluate the table 12 are all events passing the selection and not restricted to the particular SR. Thus, to make a fair comparison with previous results,

we need to evaluate the training performance on the events in SR and BR.

The new testing dataset consists of the 10,000 VBF and 10,000 GGF events from SR and BR. The number of SR and BR events are computed from table 8.

The training results of table 10 are re-evaluated on the new testing set and shown in table 13. The results are better than the table 10. It seems that the events in the BR can be distinguished better than the events in SR.

Table 13: The CNN training results with  $p_T$  normalization technique. The ACC and AUC are evaluated based on 10 training. The selection cuts of  $m_{jj} > 225$  GeV and  $\Delta\eta_{jj} > 2.3$  are applied.

Cut	$M_1/M_2$		$S/B$	
	ACC	AUC	ACC	AUC
$m_{jj}$	$0.863 \pm 0.004$	$0.940 \pm 0.002$	$0.716 \pm 0.003$	$0.780 \pm 0.004$
$\Delta\eta_{jj}$	$0.914 \pm 0.004$	$0.972 \pm 0.003$	$0.702 \pm 0.003$	$0.754 \pm 0.003$
$m_{jj}, \Delta\eta_{jj}$	$0.896 \pm 0.006$	$0.962 \pm 0.004$	$0.723 \pm 0.003$	$0.780 \pm 0.002$

## 7 Use jet tagging results to construct mixed datasets

This section uses the jet tagging results to construct the mixed datasets.

Assuming the luminosity of  $\mathcal{L} = 3000 \text{ fb}^{-1}$ , we can estimate the number of events belonging to the SR and BR. The SR and BR are defined based on the number of the gluon jets  $n_g$ . These results are summarized in table 14.

Table 14: The number of events of mixed datasets under different selection cuts.

(a) $n_g = 2$			(b) $n_g \geq 1$		
	GGF	VBF		GGF	VBF
BR	30752	11779	BR	16828	10229
SR	2941	47	SR	16865	1596

For now, we use the truth information from **Delphes** and do not consider the mis-tagging case.

The training results are summarized in table 15. The results are worse than the table 13.

Table 15: The CNN training results with  $p_T$  normalization technique. The ACC and AUC are evaluated based on 10 training. The selection cuts of the number of gluon jets are applied.

Cut	$M_1/M_2$		$S/B$	
	ACC	AUC	ACC	AUC
$n_g = 2$	$0.934 \pm 0.000$	$0.689 \pm 0.012$	$0.662 \pm 0.006$	$0.719 \pm 0.008$
$n_g \geq 1$	$0.623 \pm 0.005$	$0.642 \pm 0.005$	$0.653 \pm 0.008$	$0.706 \pm 0.009$

## References

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