Homework #2

Due date & time: November 08, 2024, 10:00AM

Do the following problems and exercises.

- 1. Discuss why ES and steady-state GAs form two extremes regarding the population size and the number of offspring created.
- 2. Given a population of μ individuals, which are bit-strings of length L. Let the frequency of allele 1 be 0.25 at position i, that is, 25% of all individuals contains a 1, and 75% a 0 at the ith position on the chromosome. How does this allele frequency change after performing k crossover operations with one-point crossover? How does it change if uniform crossover is performed?
- 3. In order to minimize the n-dimensional sphere model

$$f(x) = \sum_{i=1}^{n} x_i^2, \quad x_i \in \mathbb{R}, i = 1, \dots, n,$$

where n = 10, implement the following evolution strategies:

- (a) (1, 1)-ES with fixed step-sizes for Gaussian mutation;
 - $\sigma = 0.01, 0.1, \text{ and } 1.0$
- (b) (1+1)-ES with fixed step-sizes for Gaussian mutation.
 - $\sigma = 0.01, 0.1, \text{ and } 1.0$

The starting point for all experiments is (1, 1, ..., 1). The termination criteria are either (1) the objective value of the individual is equal to or less than 0.005, or (2) the number of generation/iteration is equal to or greater than 10 million (10,000,000). Do ten independent runs of each experiment and record the time (in terms of the number of generations/iterations) when the search stops. Organize two tables for (1,1)-ES and (1+1)-ES, respectively. The table should look like

| (1, 1)-ES | $\sigma = 0.01$ | $\sigma = 0.1$ | $\sigma = 1.0$ |
|-----------|-----------------|----------------|----------------|
| Run #1 | • • • | | • • • |
| • • • | • • • | • • • | • • • |
| Run #10 | | | |

- 4. Observe the running processes of problem 3. Compare and contrast the results you obtained in problem 3 and discuss what you think about the difference between (1,1)-ES and (1+1)-ES.
- 5. Repeat problem 3 with uncorrelated Gaussian mutation with n stepsizes. Use the step-sizes specified for each condition in problem 3 as the starting step-sizes. Decide your own τ , τ' , and ε_0 for mutating the individual.
- 6. Compare and contrast the results you obtained in problems 3 and 5. Discuss what you think about the self-adaptation.
- 7. Repeat problem 3 with the 1/5-rule. Use the step-sizes specified for each condition in problem 3 as the starting step-sizes and adjust them with the 1/5-rule. Decide your own G and a for using the 1/5-rule.
- 8. Compare and contrast the results you obtained in problems 3, 5, and 7. Discuss what you think about the 1/5-rule for the self-adaptation of strategic parameters.