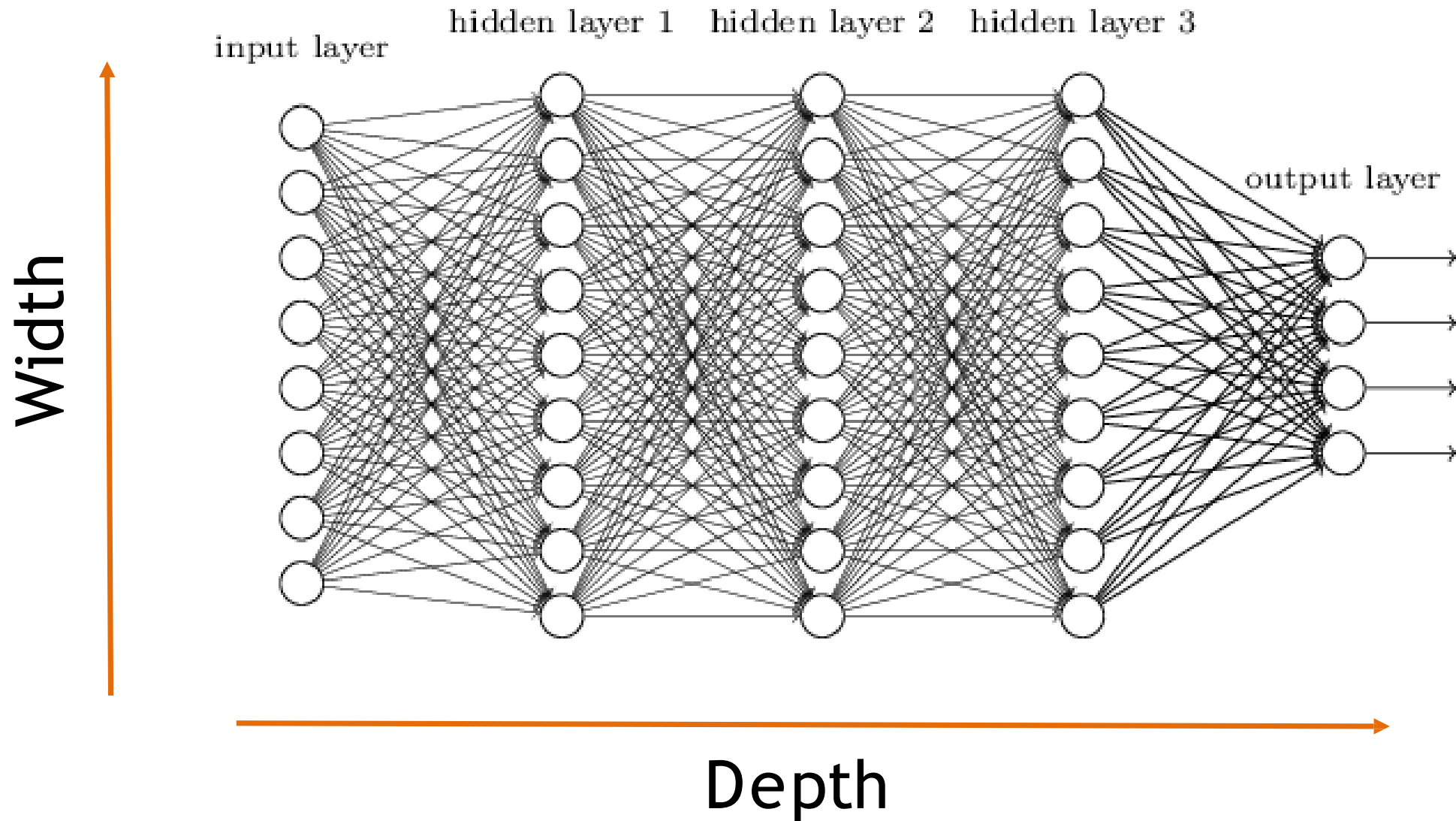


CNNs

Marcus Rüb

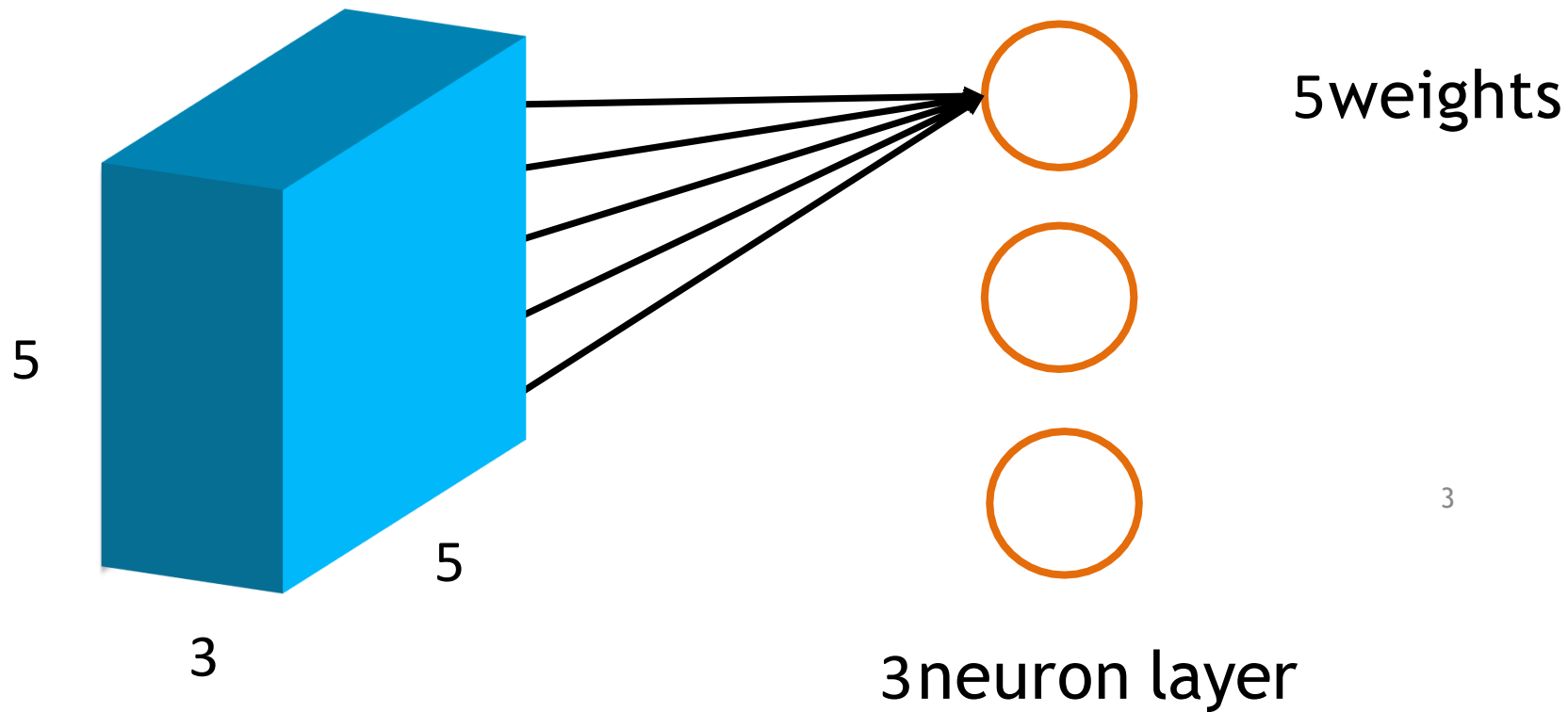
**Hahn-Schickard Villingen-Schwenningen
Marcus.rueb@hahn-schickard.de**

Fully Connected Neural Network



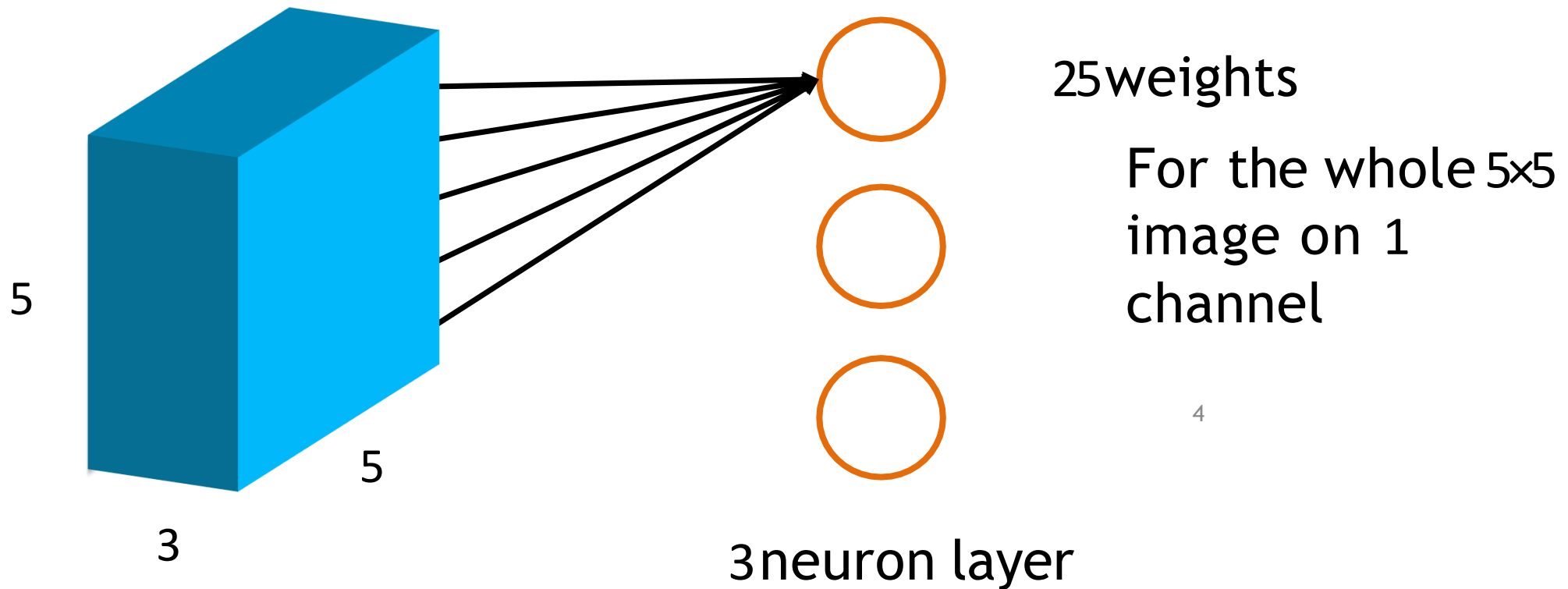
Problems using FC Layers on Images

- How to process a tiny image with FC layers



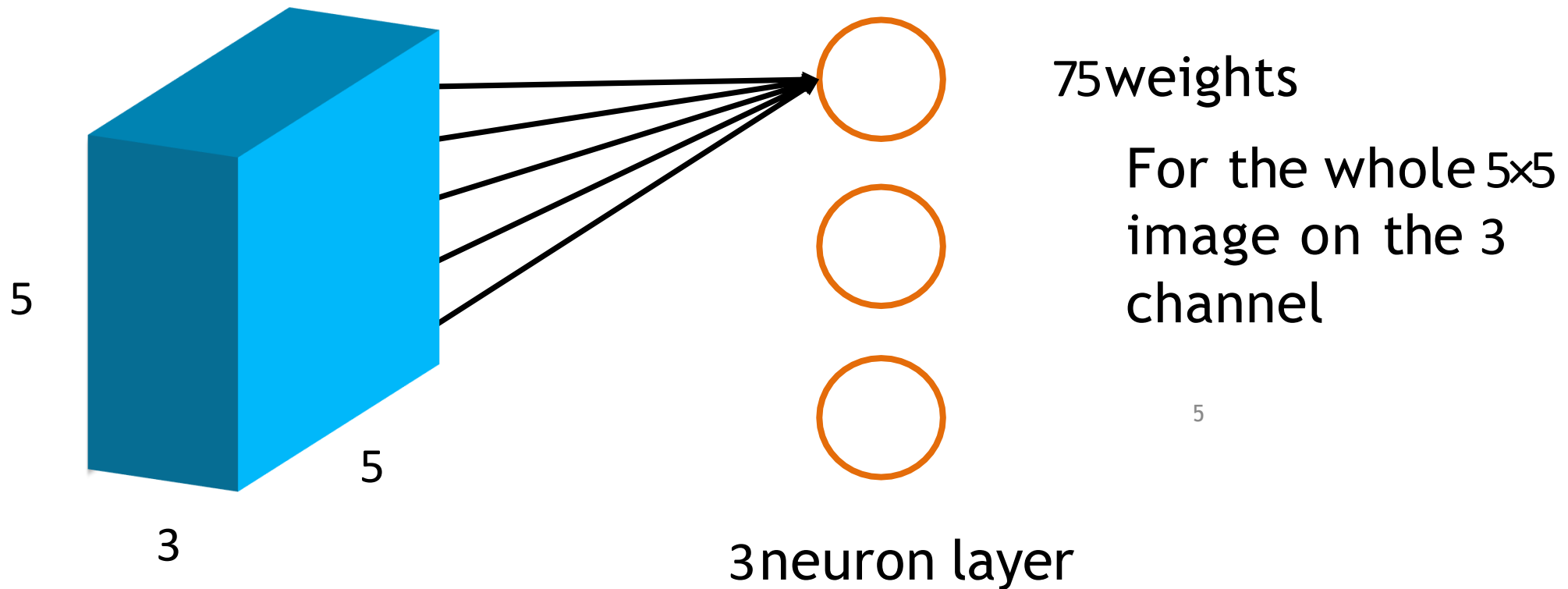
Problems using FC Layers on Images

- How to process a tiny image with FC layers



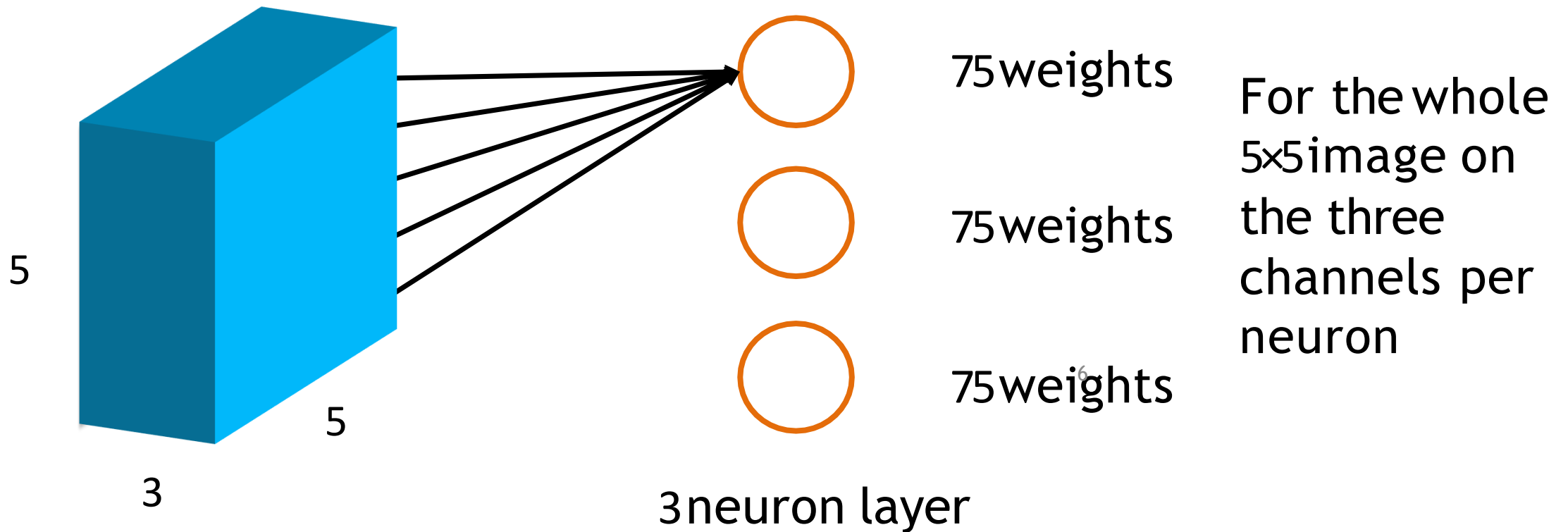
Problems using FC Layers on Images

- How to process a tiny image with FC layers



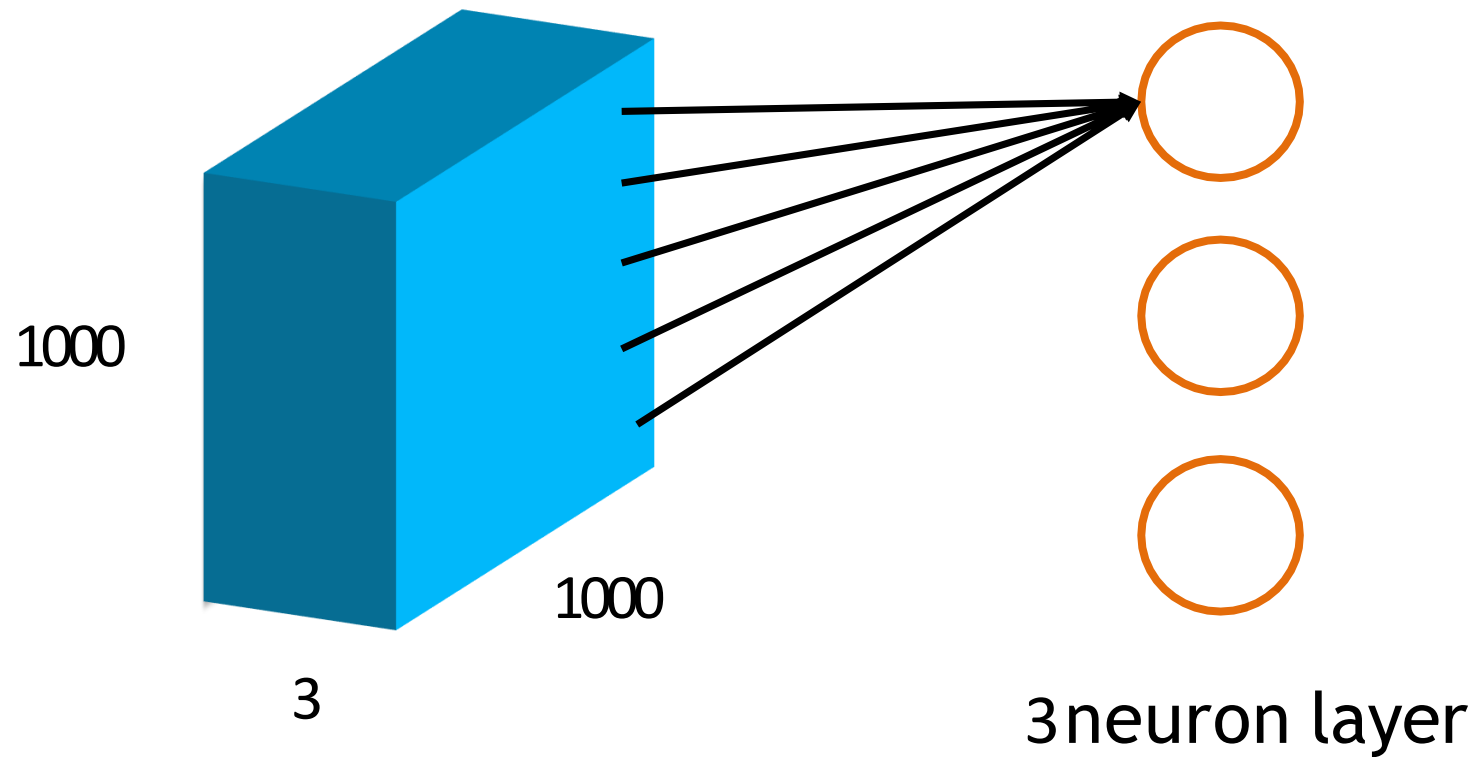
Problems using FC Layers on Images

- How to process a tiny image with FC layers



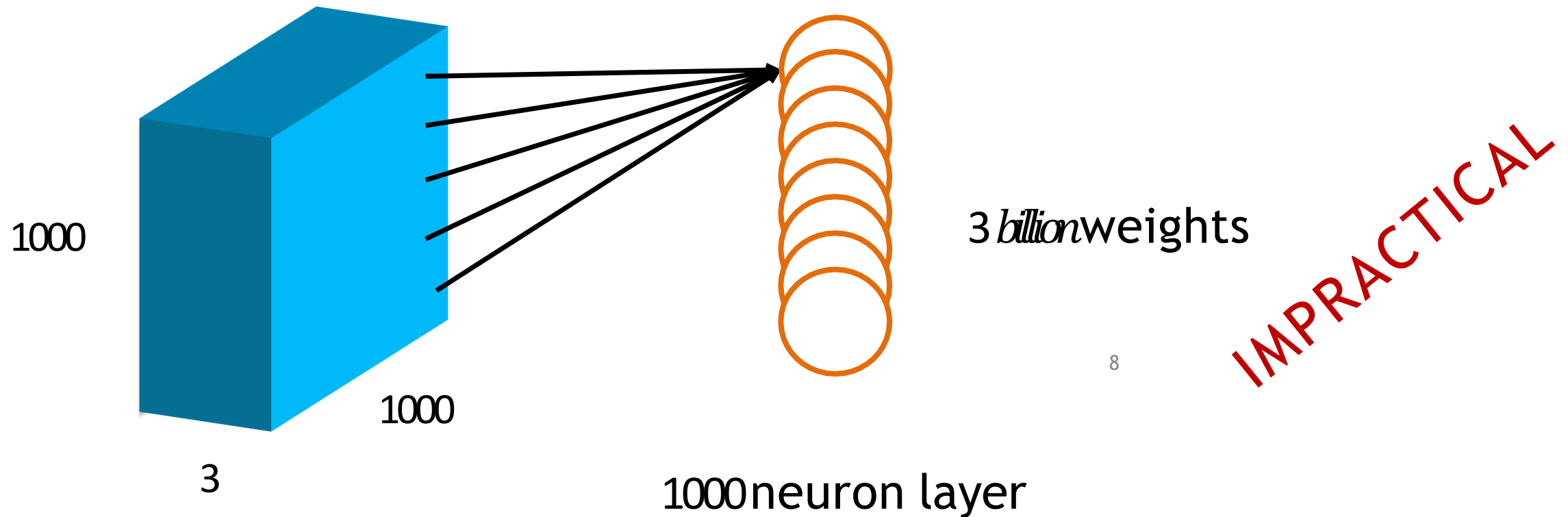
Problems using FC Layers on Images

- How to process a normal image with FC layers



Problems using FC Layers on Images

- How to process a normal image with FC layers



Why not simply more FC Layers?

We cannot make networks arbitrarily complex

- Why not just go deeper and get better?
 - No structure!!
 - It is just brute force!
 - Optimization becomes hard
 - Performance plateaus / drops!

Better Way than FC ?

- We want to restrict the degrees of freedom
 - We want a layer with structure
 - Weight sharing → using the same weights for different parts of the image

Using CNNs in Computer Vision

Classification



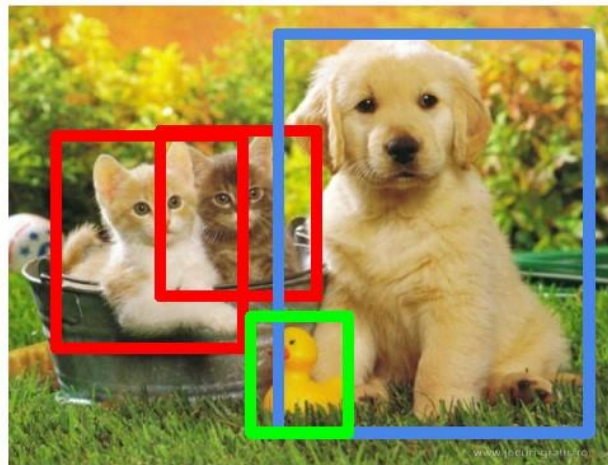
CAT

**Classification
+ Localization**



CAT

Object Detection



CAT, DOG, DUCK

**Instance
Segmentation**



CAT, DOG, DUCK

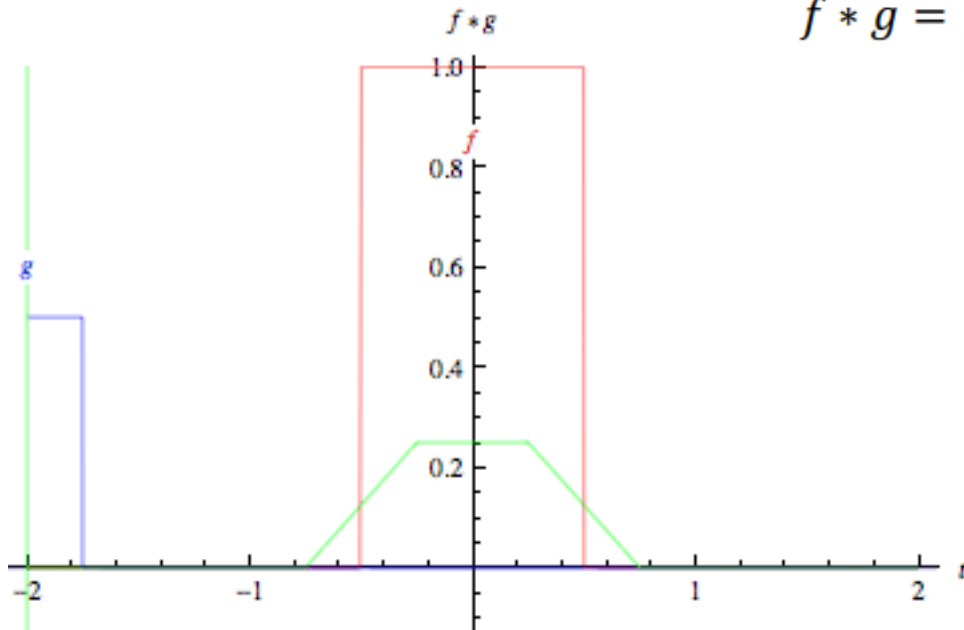
Single object

Multiple objects

Convolutions

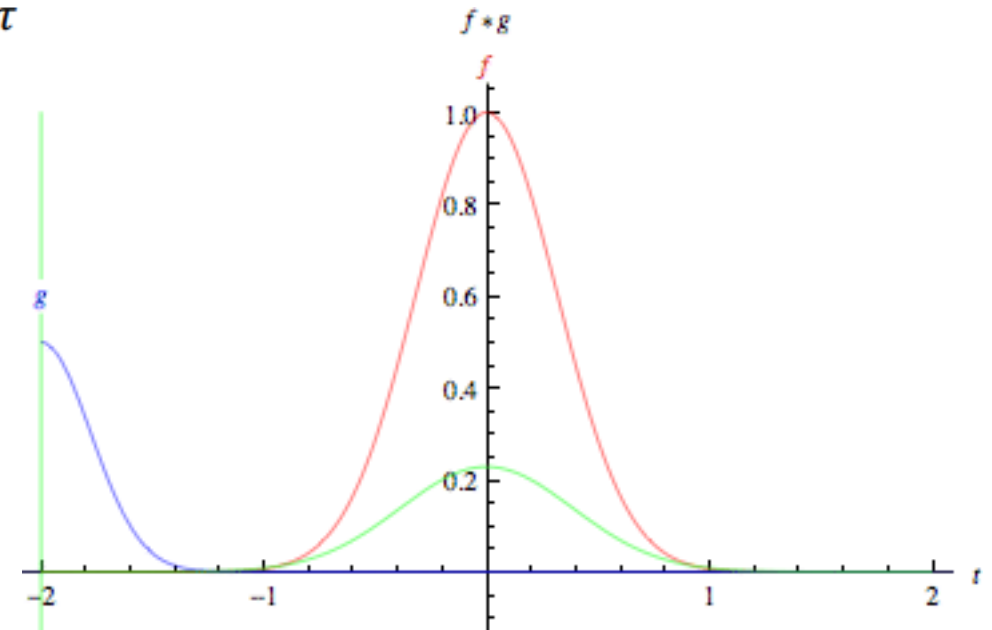
What are Convolutions?

$$f * g = \int_{-\infty}^{\infty} f(\tau)g(t - \tau)d\tau$$



Convolution of two box functions

f = red
 g = blue
 $f * g$ = green



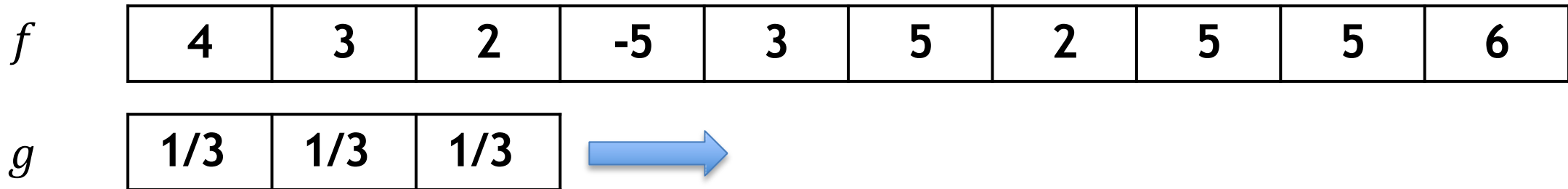
Convolution of two Gaussians

Application of a filter to a function

- The ‘smaller’ one is typically called the filter kernel

What are Convolutions?


Discrete case: box filter



‘Slide’ filter kernel from left to right; at each position, compute a single value in the output data

What are Convolutions?

Discrete case: box filter

f	4	3	2	-5	3	5	2	5	5	6
g	1/3	1/3	1/3							
$f * g$		3								

$$4 \cdot \frac{1}{3} + 3 \cdot \frac{1}{3} + 2 \cdot \frac{1}{3} = 3$$

What are Convolutions?

Discrete case: box filter

f	4	3	2	-5	3	5	2	5	5	6
g		1/3	1/3	1/3						
$f * g$		3	0							

$$3 \cdot \frac{1}{3} + 2 \cdot \frac{1}{3} + (-5) \cdot \frac{1}{3} = 0$$

What are Convolutions?

Discrete case: box filter

f	4	3	2	-5	3	5	2	5	5	6
g			1/3	1/3	1/3					
$f * g$		3	0	0						

$$2 \cdot \frac{1}{3} + (-5) \cdot \frac{1}{3} + 3 \cdot \frac{1}{3} = 0$$

What are Convolutions?

Discrete case: box filter

f	4	3	2	-5	3	5	2	5	5	6
g				1/3	1/3	1/3				
$f * g$		3	0	0	1					

$$(-5) \cdot \frac{1}{3} + 3 \cdot \frac{1}{3} + 5 \cdot \frac{1}{3} = 1$$

What are Convolutions?

Discrete case: box filter

f	4	3	2	-5	3	5	2	5	5	6
g					1/3	1/3	1/3			
$f * g$		3	0	0	1	10/3				

$$3 \cdot \frac{1}{3} + 5 \cdot \frac{1}{3} + 2 \cdot \frac{1}{3} = \frac{10}{3}$$

What are Convolutions?

Discrete case: box filter

f	4	3	2	-5	3	5	2	5	5	6
g						1/3	1/3	1/3		
$f * g$		3	0	0	1	10/3	4			

$$5 \cdot \frac{1}{3} + 2 \cdot \frac{1}{3} + 5 \cdot \frac{1}{3} = 4$$

What are Convolutions?

Discrete case: box filter


f	4	3	2	-5	3	5	2	5	5	6
g							1/3	1/3	1/3	
$f * g$		3	0	0	1	10/3	4	4		

$$2 \cdot \frac{1}{3} + 5 \cdot \frac{1}{3} + 5 \cdot \frac{1}{3} = 4$$

What are Convolutions?

Discrete case: box filter

f	4	3	2	-5	3	5	2	5	5	6
g								1/3	1/3	1/3
$f * g$		3	0	0	1	10/3	4	4	16/3	



$$5 \cdot \frac{1}{3} + 5 \cdot \frac{1}{3} + 6 \cdot \frac{1}{3} = \frac{16}{3}$$

What are Convolutions?

Discrete case: box filter

4	3	2	-5	3	5	2	5	5	6
---	---	---	----	---	---	---	---	---	---

$1/3$	$1/3$	$1/3$
-------	-------	-------

??	3	0	0	1	$10/3$	4	4	$16/3$??
----	---	---	---	---	--------	---	---	--------	----

What to do at boundaries?

What are Convolutions?

Discrete case: box filter

4	3	2	-5	3	5	2	5	5	6
---	---	---	----	---	---	---	---	---	---

1/3	1/3	1/3
-----	-----	-----

??	3	0	0	1	10/3	4	4	16/3	??
----	---	---	---	---	------	---	---	------	----

What to do at boundaries?

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Option 1: Shrink

3	0	0	1	10/3	4	4	16/3
---	---	---	---	------	---	---	------

What are Convolutions?

Discrete case: box filter

0	4	3	2	-5	3	5	2	5	5	6	0
---	---	---	---	----	---	---	---	---	---	---	---

1/3	1/3	1/3
-----	-----	-----

??	3	0	0	1	10/3	4	4	16/3	??
----	---	---	---	---	------	---	---	------	----

$$0 \cdot \frac{1}{3} + 4 \cdot \frac{1}{3} + 3 \cdot \frac{1}{3} = \frac{7}{3}$$

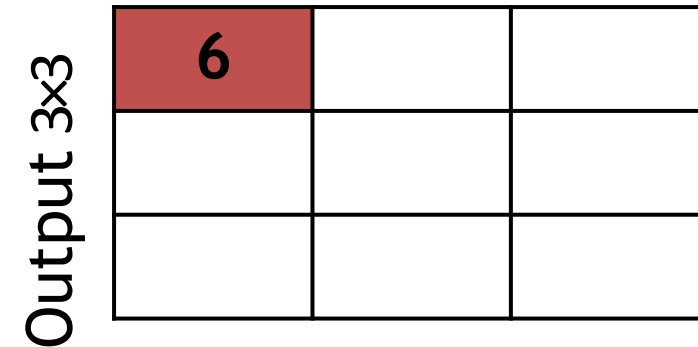
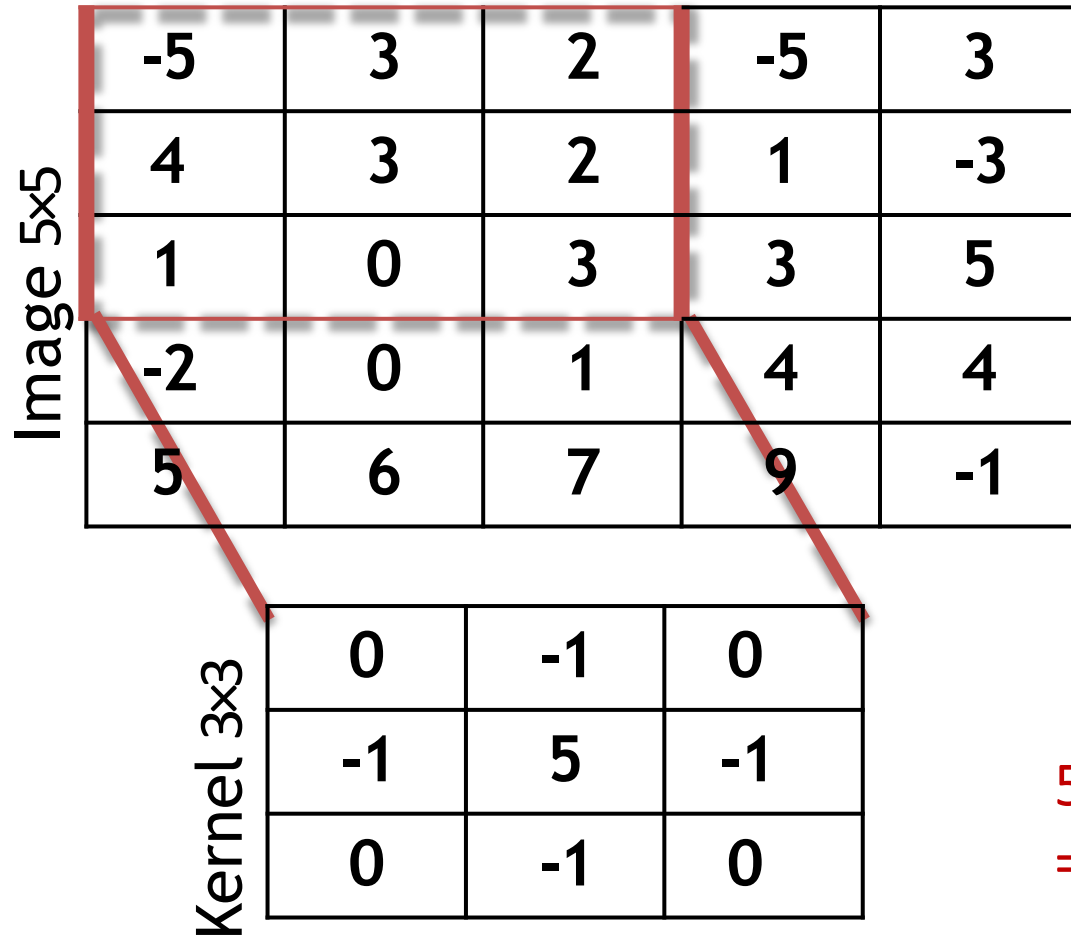
What to do at boundaries?

Option 2: Pad (often 0's)

25

7/3	3	0	0	1	10/3	4	4	16/3	11/3
-----	---	---	---	---	------	---	---	------	------

Convolutions on Images



$$5 \cdot 3 + (-1) \cdot 3 + (-1) \cdot 2 + (-1) \cdot 0 + (-1) \cdot 4 = 15 - 9 = 6$$

Convolutions on Images

Image 5x5

-5	3	2	-5	3
4	3	2	1	-3
1	0	3	3	5
-2	0	1	4	4
5	6	7	9	-1

Kernel 3x3

0	-1	0
-1	5	-1
0	-1	0



Output 3x3

6	1	

$$5 \cdot 2 + (-1) \cdot 2 + (-1) \cdot 1 + (-1) \cdot 3 + (-1) \cdot 3 = 10 - 9 = 1$$

Convolutions on Images

Image 5x5

-5	3	2	-5	3
4	3	2	1	-3
1	0	3	3	5
-2	0	1	4	4
5	6	7	9	-1

Kernel 3x3

0	-1	0
-1	5	-1
0	-1	0

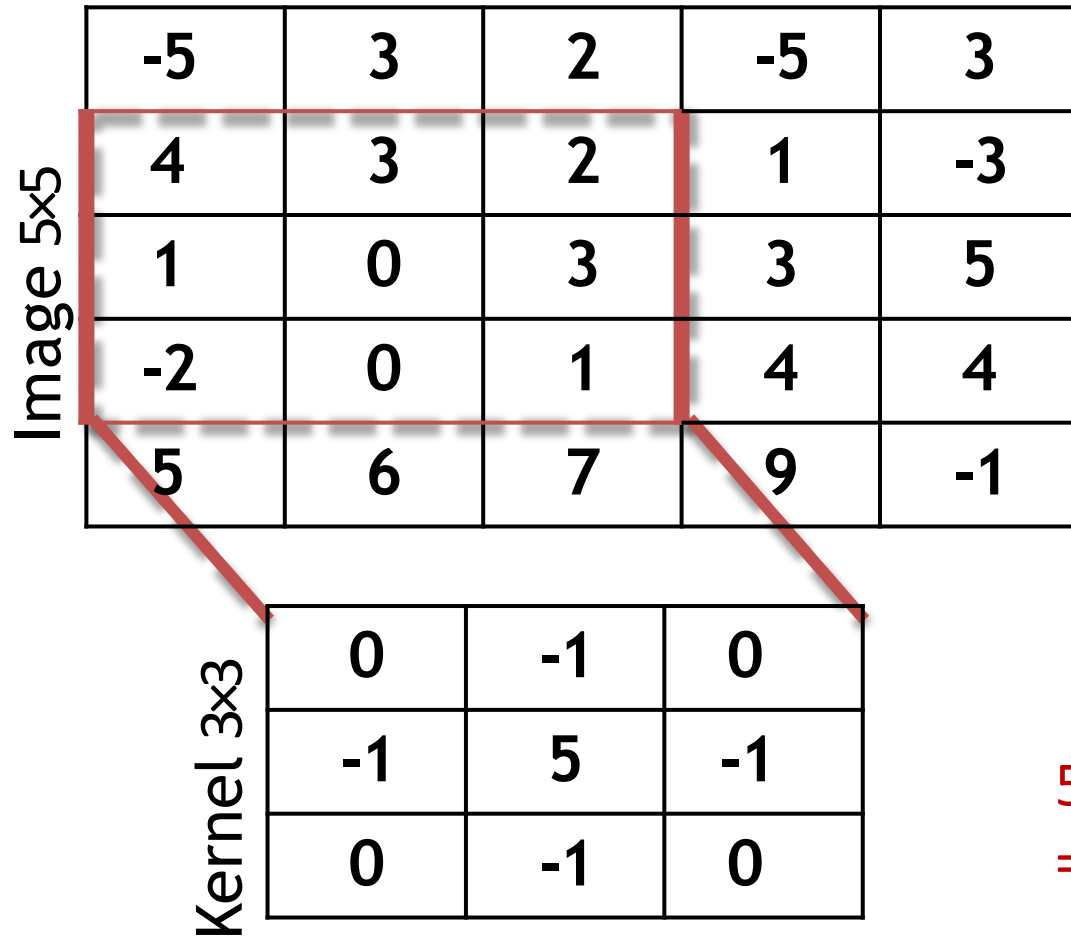


Output 3x3

6	1	8

$$\begin{aligned} &5 \cdot 1 + (-1) \cdot (-5) + (-1) \cdot (-3) + (-1) \cdot 3 \\ &+ 1 \cdot 2 \\ &= 5 + 3 = 8 \end{aligned}$$

Convolutions on Images



Output 3x3

6	1	8
-7		

$$5 \cdot 0 + (-1) \cdot 3 + (-1) \cdot 0 + (-1) \cdot 1 + (-1) \cdot 3 = 0 - 7 = -7$$

Convolutions on Images

Image 5x5

-5	3	2	-5	3
4	3	2	1	-3
1	0	3	3	5
-2	0	1	4	4
5	6	7	9	-1

Kernel 3x3

0	-1	0
-1	5	-1
0	-1	0



Output 3x3

6	1	8
-7	9	

$$5 \cdot 3 + (-1) \cdot 2 + (-1) \cdot 3 + (-1) \cdot 1 + (-1) \cdot 0 = 15 - 6 = 9$$

Convolutions on Images

Image 5x5

-5	3	2	-5	3
4	3	2	1	-3
1	0	3	3	5
-2	0	1	4	4
5	6	7	9	-1

Kernel 3x3

0	-1	0
-1	5	-1
0	-1	0



Output 3x3

6	1	8
-7	9	2

$$5 \cdot 3 + (-1) \cdot 1 + (-1) \cdot 5 + (-1) \cdot 4 + (-1) \cdot 3 = 15 - 13 = 2$$

Convolutions on Images

Image 5x5

-5	3	2	-5	3
4	3	2	1	-3
1	0	3	3	5
-2	0	1	4	4
5	6	7	9	-1

Kernel 3x3

0	-1	0
-1	5	-1
0	-1	0

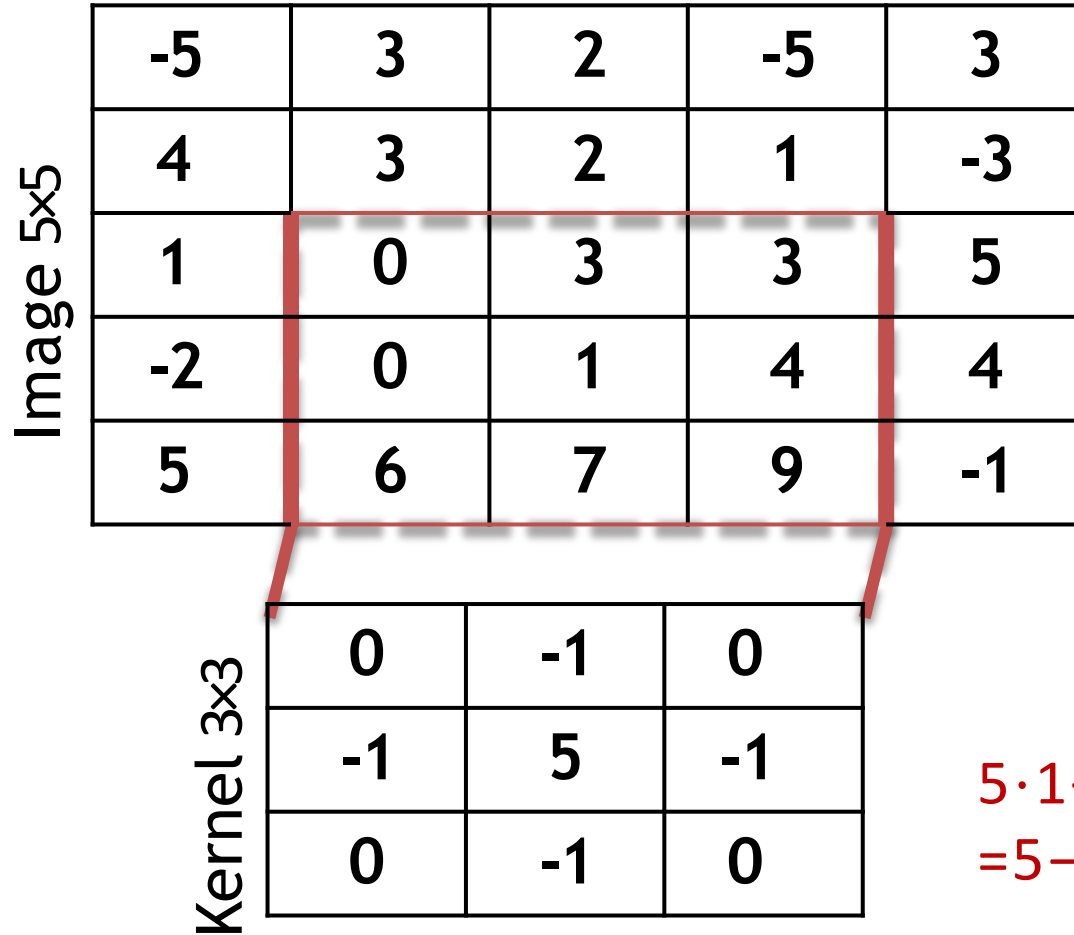


Output 3x3

6	1	8
-7	9	2
-5		

$$\begin{aligned} &5 \cdot 0 + (-1) \cdot 0 + (-1) \cdot 1 + (-1) \cdot 6 \\ &+ (-1) \cdot (-2) \\ &= -5 \end{aligned}$$

Convolutions on Images



Output 3x3

6	1	8
-7	9	2
-5	-9	

$$5 \cdot 1 + (-1) \cdot 3 + (-1) \cdot 4 + (-1) \cdot 7 + (-1) \cdot 0 = 5 - 14 = -9$$

Convolutions on Images

Image 5x5

-5	3	2	-5	3
4	3	2	1	-3
1	0	3	3	5
-2	0	1	4	4
5	6	7	9	-1

Kernel 3x3

0	-1	0
-1	5	-1
0	-1	0



Output 3x3

6	1	8
-7	9	2
-5	-9	3

$$5 \cdot 4 + (-1) \cdot 3 + (-1) \cdot 4 + (-1) \cdot 9 + (-1) \cdot 1 = 20 - 17 = 3$$

Image Filters

- Each kernel gives us a different image filter

Input



Edge detection

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$



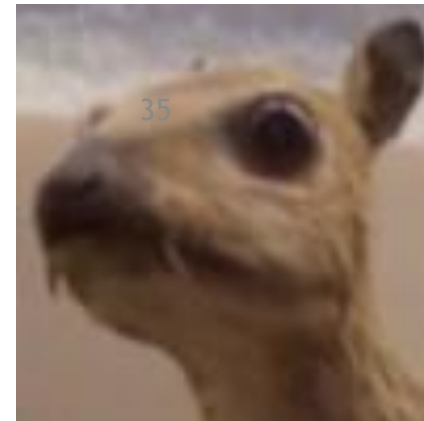
Box mean

$$\frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



Sharpen

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$



Gaussian blur

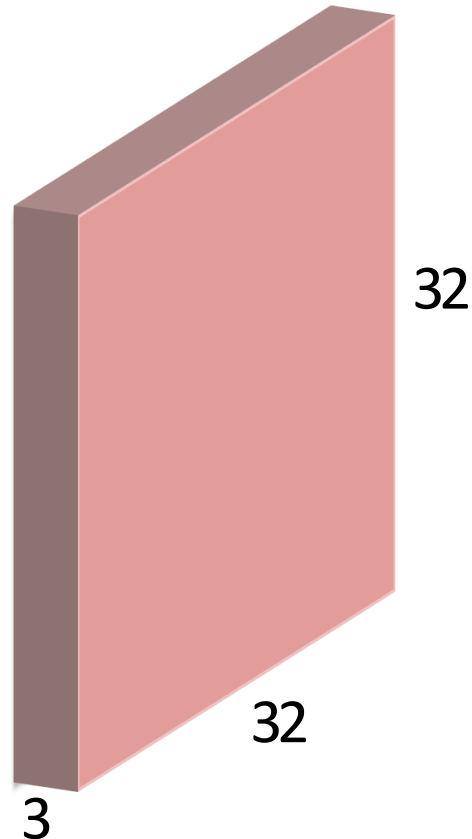
$$\frac{1}{16} \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}$$

LET'S LEARN THESE FILTERS!

Convolutions on RGB Images

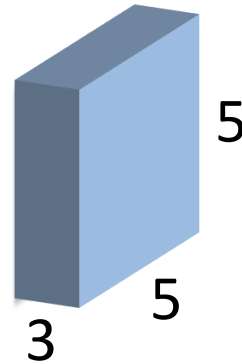
width height depth

image 32×32×3



Depth dimension **must** match;
i.e., filter extends the full depth of the
input

filter 5×5×3

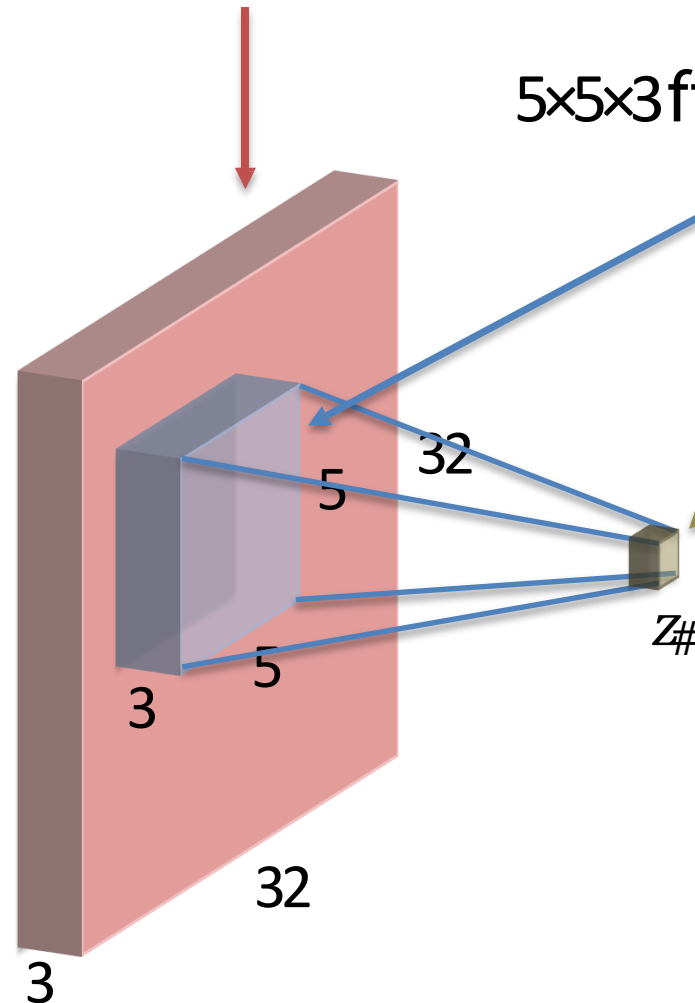


Convolve filter with image
i.e., ‘slide’ over it and:
– apply filter at each location
– dot products

Images have depth: e.g. RGB -> 3 channels

Convolutions on RGB Images

32x32x3 image (pixels X)



5x5x3 filter (weights vector w)

1 number at a time:

equal to dot product between filter weights w and x_i -th chunk of the image. Here: $5 \cdot 5 \cdot 3 = 75$ -dim dot product + bias

$$z = w^t x_i + b$$

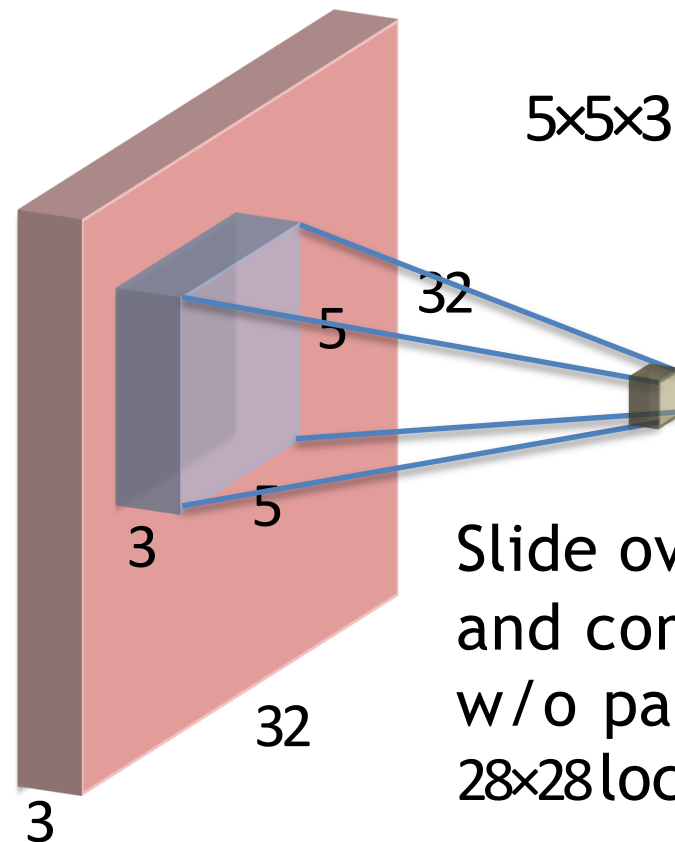
(5x5x3 x1)

(5x5x3)x1

1

Convolutions on RGB Images

32×32×3 image

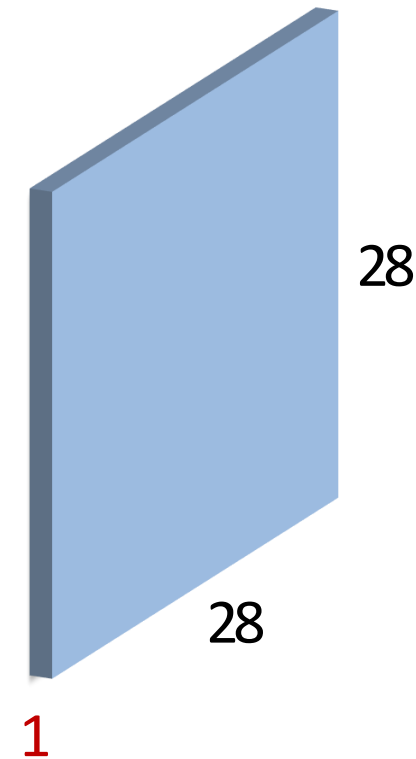


5×5×3 filter

Convolve

Slide over all spatial locations x_i and compute all output z_i ; w/o padding, there are 28×28 locations

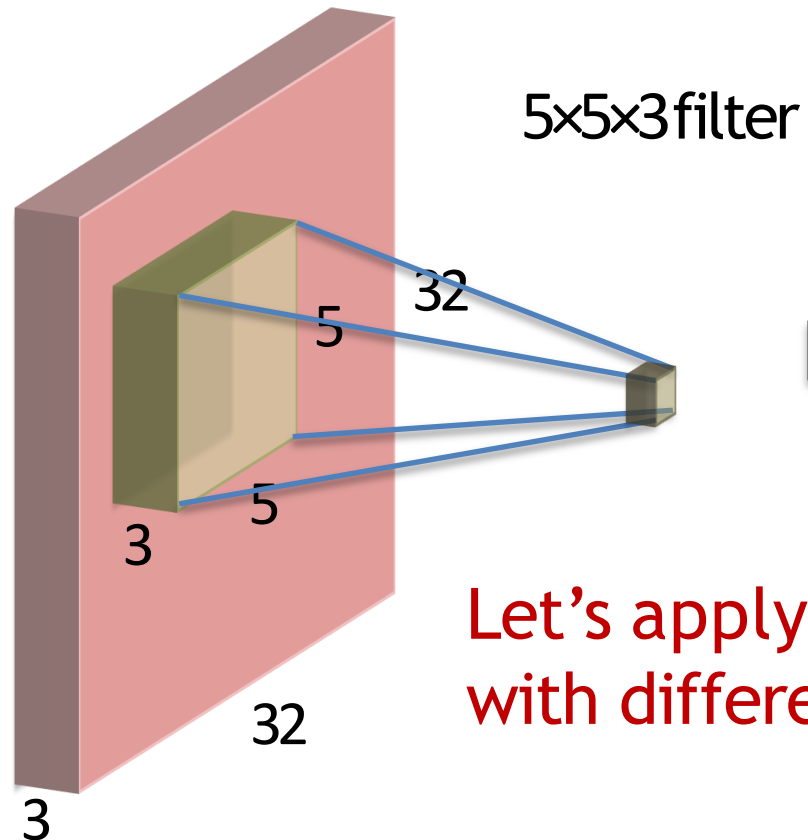
Activation map
(also feature map)



Convolution Layer

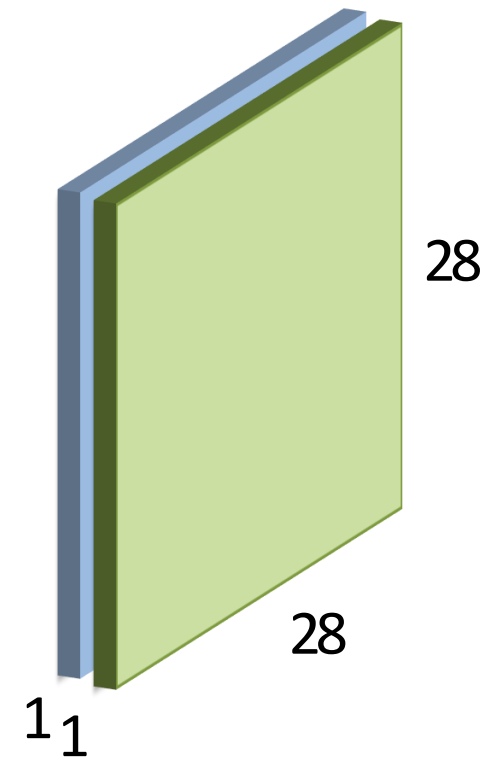
Convolution Layer

32×32×3 image



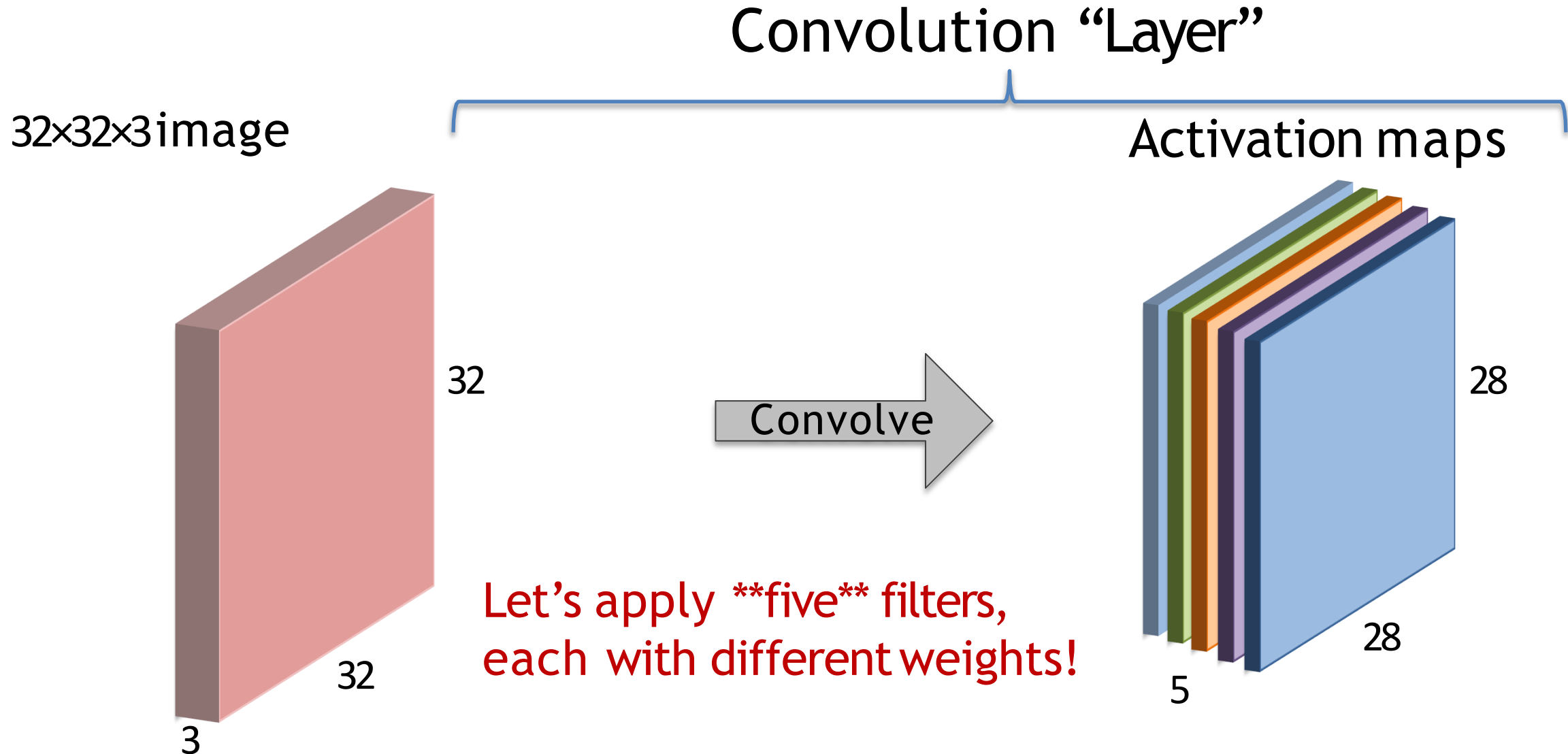
Convolve

Activation maps



Let's apply a different filter
with different weights!

Convolution Layer

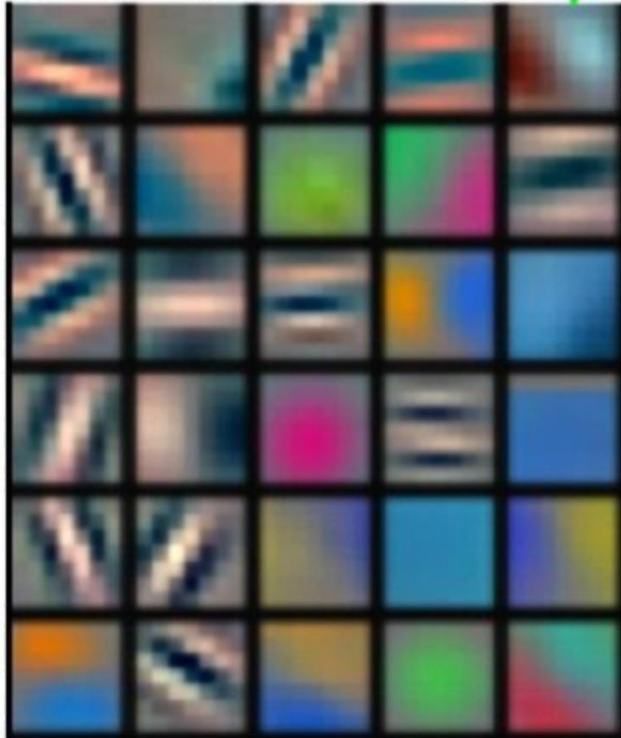


- A basic layer is defined by
 - Filter width and height (depth is implicitly given)
 - Number of different filter banks (#weight sets)
- Each filter captures a different image characteristic

Different Filters



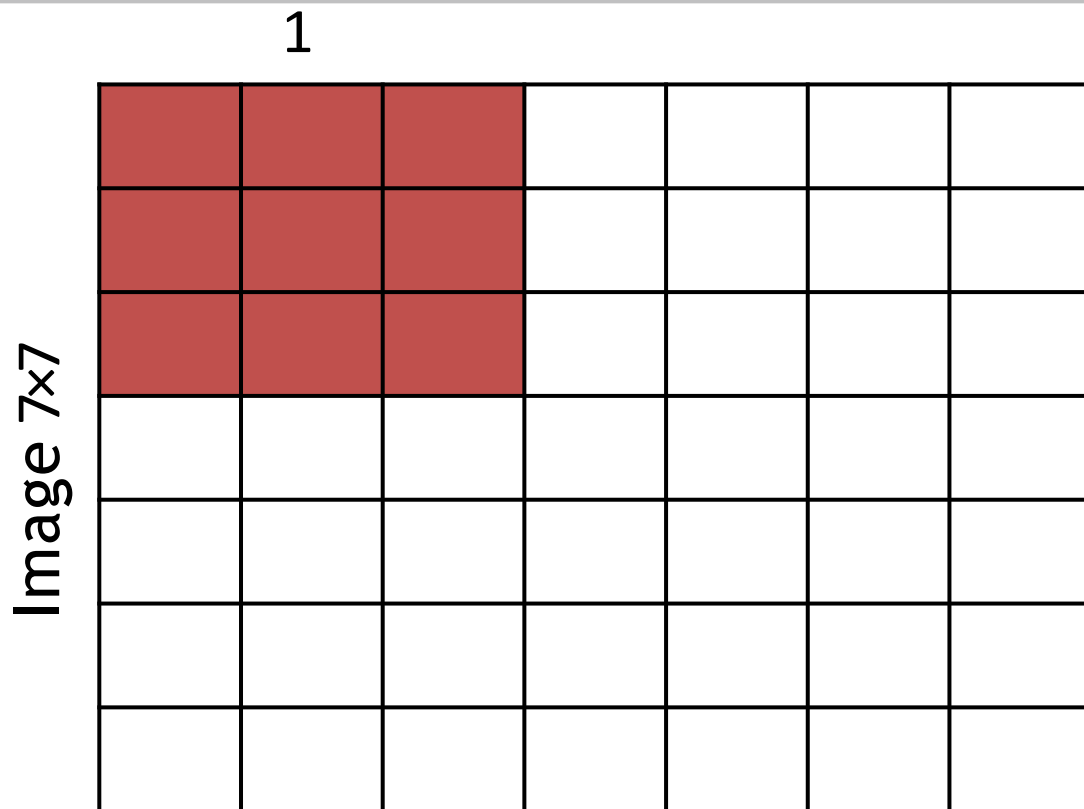
Low-Level
Feature



- Each filter captures different image characteristics:
 - Horizontal edges
 - Vertical edges
 - Circles
 - Squares
 - ...

Dimensions of a Convolution Layer

Convolution Layers: Dimensions

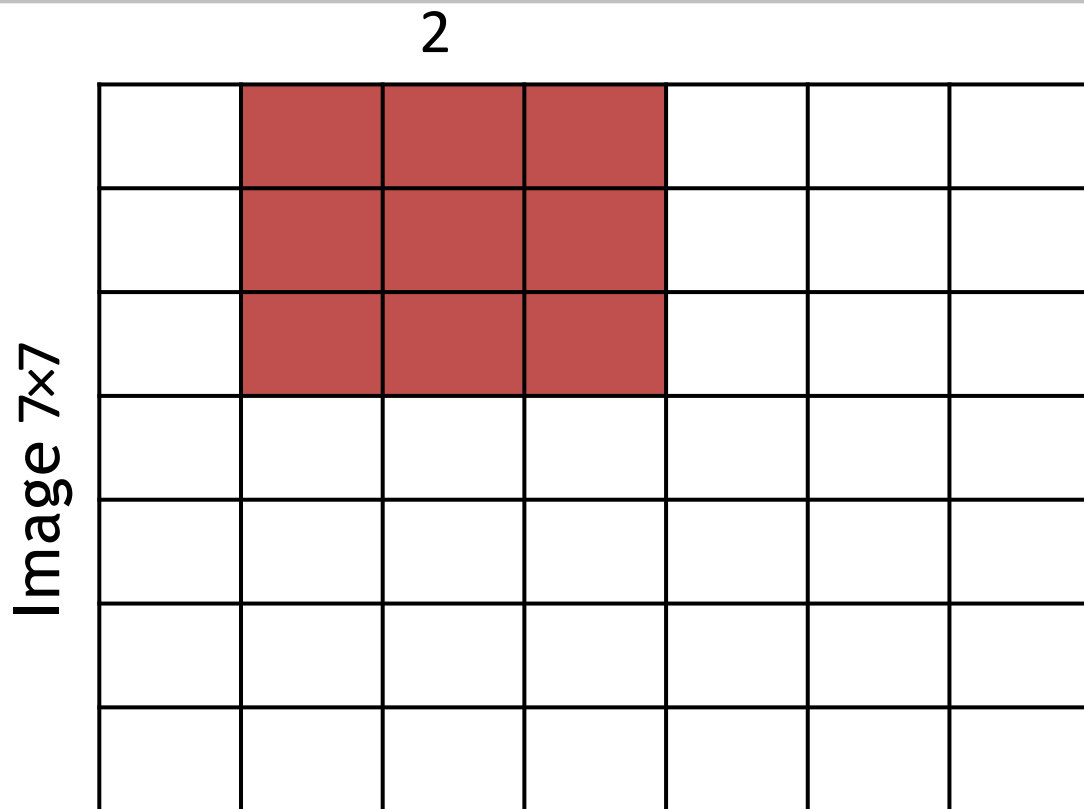


Input: 7x7

Filter: 3x3

Output: 5x5

Convolution Layers: Dimensions



Input: 7x7

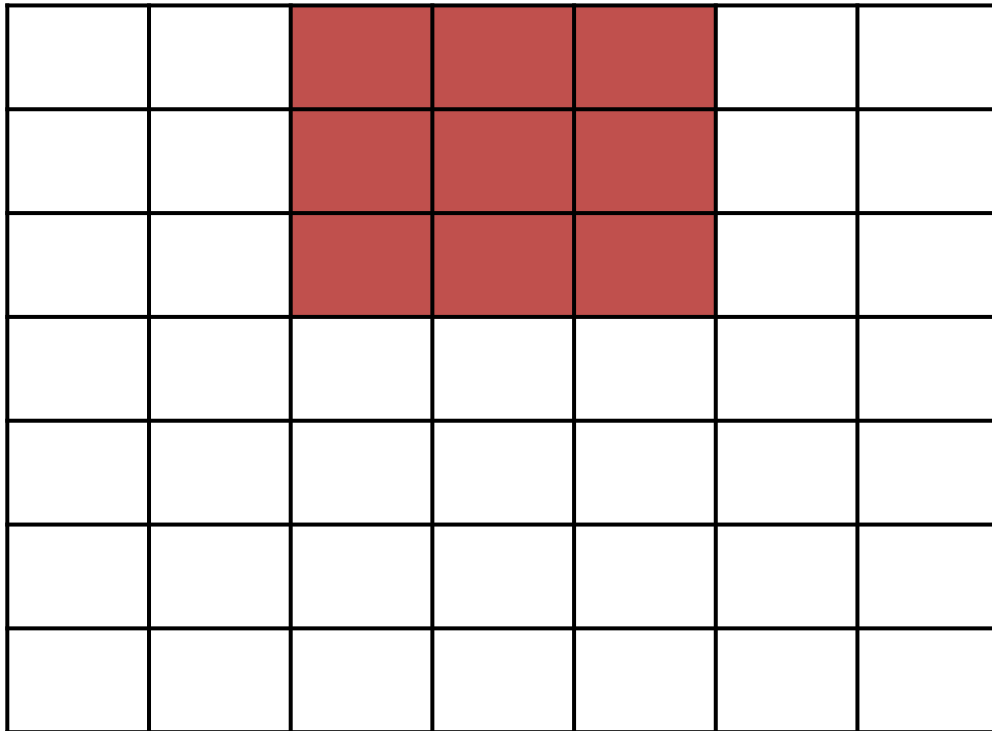
Filter: 3x3

Output: 5x5

Convolution Layers: Dimensions

3

Image 7x7



Input: 7x7

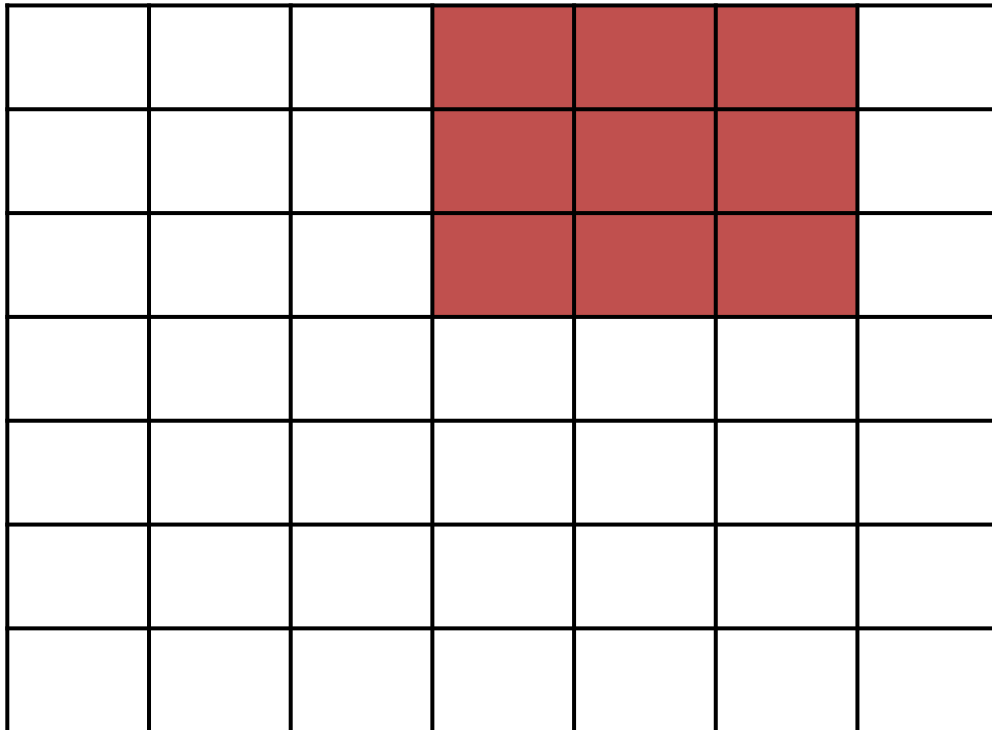
Filter: 3x3

Output: 5x5

Convolution Layers: Dimensions

4

Image 7x7



Input: 7x7

Filter: 3x3

Output: 5x5

Convolution Layers: Dimensions

5

Image 7x7

Input:

7x7

Filter:

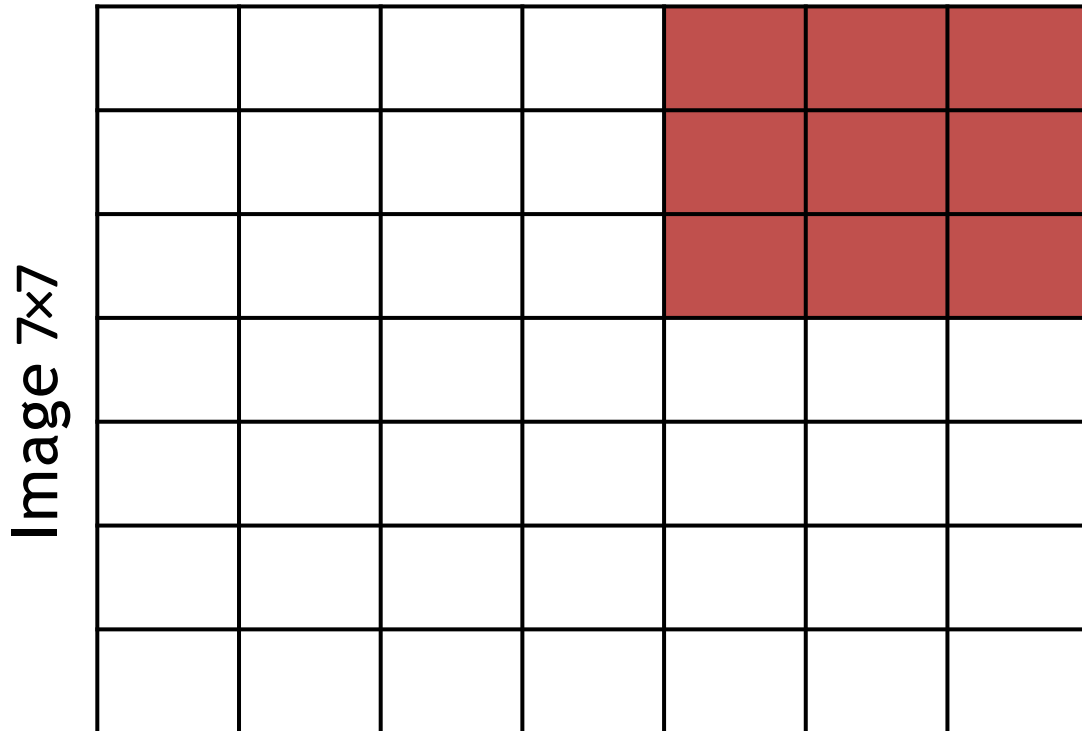
3x3

Output:

5x5

Convolution Layers: Stride

With a stride of 1



Input: 7x7

Filter: 3x3

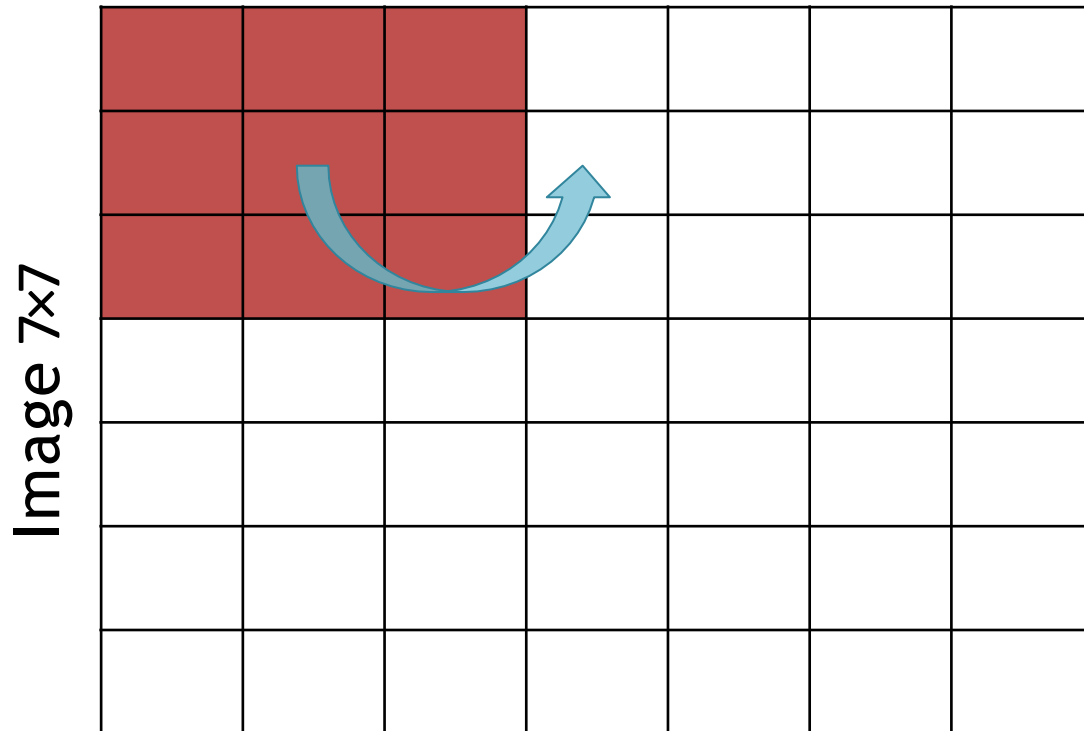
Stride: 1

Output: 5x5

Stride of S : apply filter
every S -th spatial location;
i.e. subsample the image

Convolution Layers: Stride

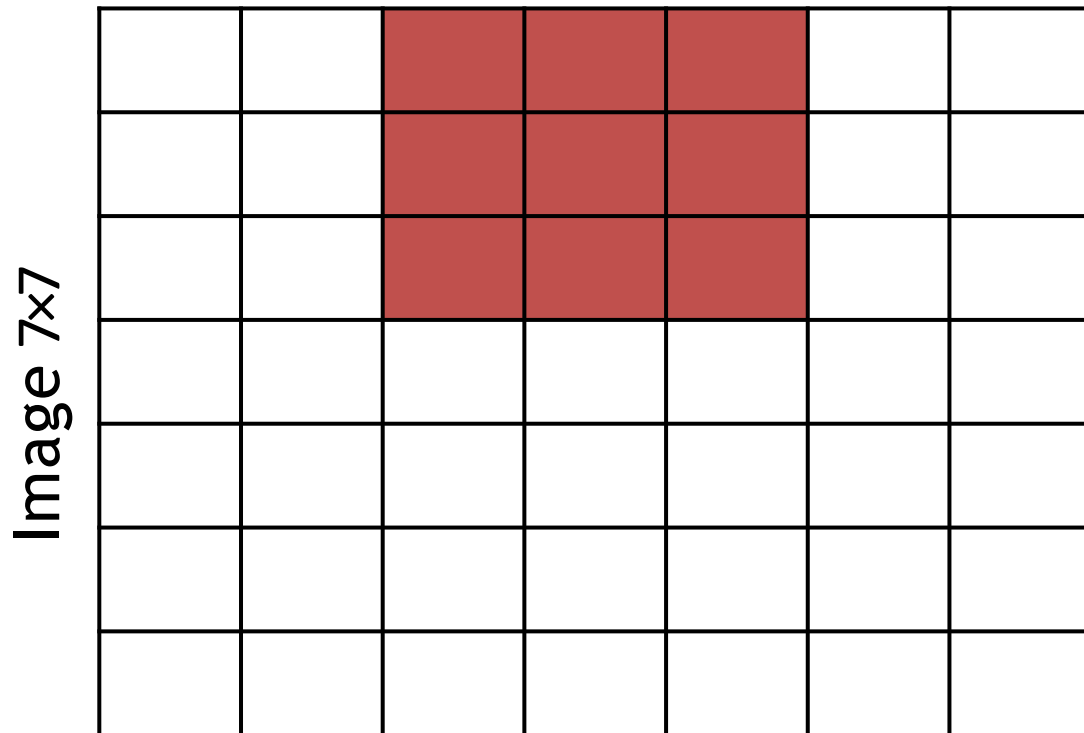
With a stride of 2



Input:	7x7
Filter:	3x3
Stride:	2
Output:	3x3

Convolution Layers: Stride

With a stride of 2



Input: 7x7

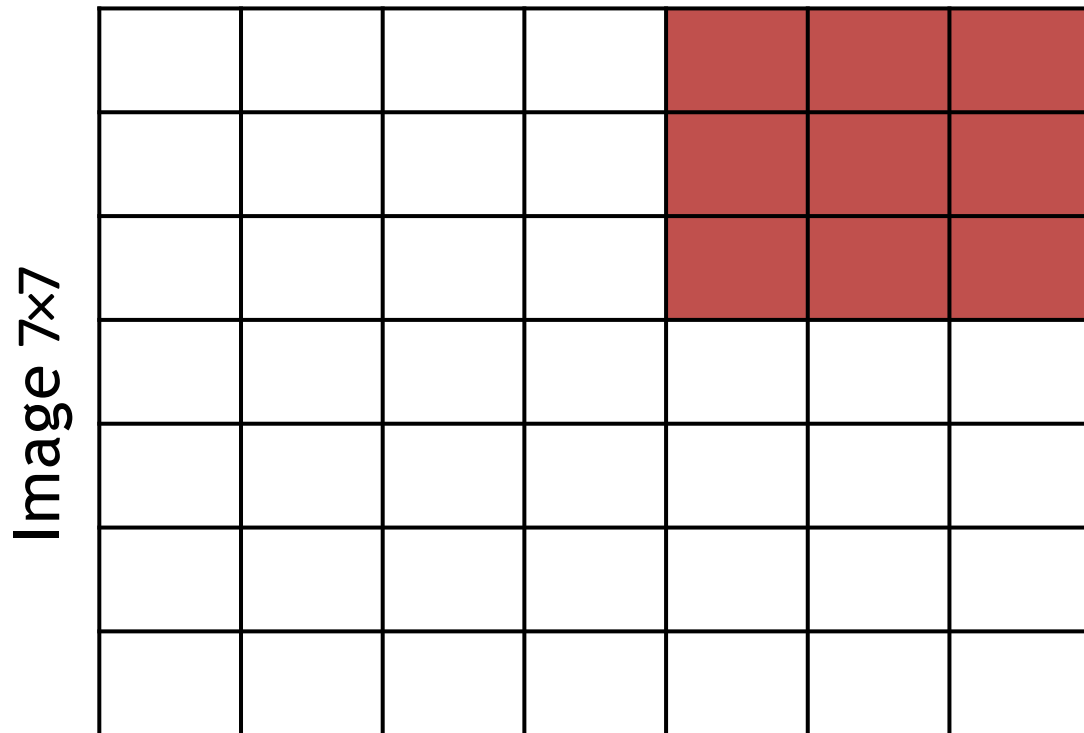
Filter: 3x3

Stride: 2

Output: 3x3

Convolution Layers: Stride

With a stride of 2



Input: 7x7

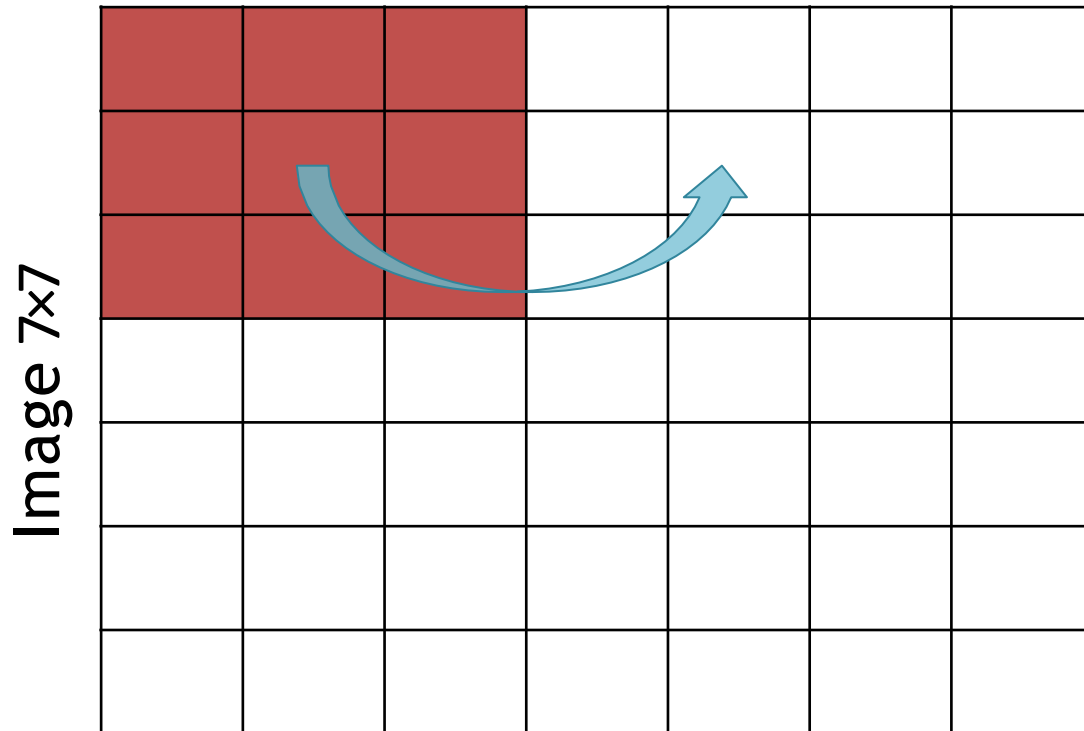
Filter: 3x3

Stride: 2

Output: 3x3

Convolution Layers: Stride

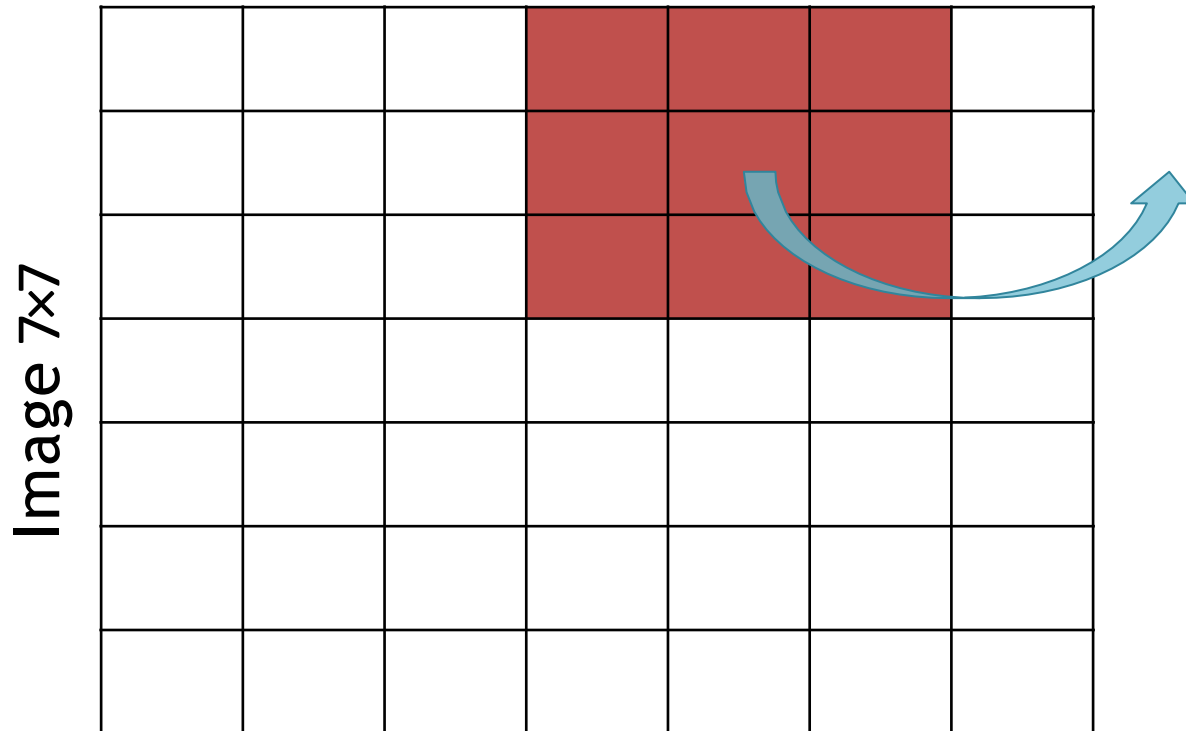
With a stride of 3



Input:	7x7
Filter:	3x3
Stride:	3
Output:	?x?

Convolution Layers: Stride

With a stride of 3



Input:	7x7
Filter:	3x3
Stride:	3
Output:	?x?

Convolution Layers: Stride

With a stride of 3

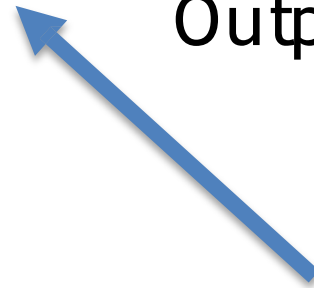
Image 7x7

Input: 7x7

Filter: 3x3

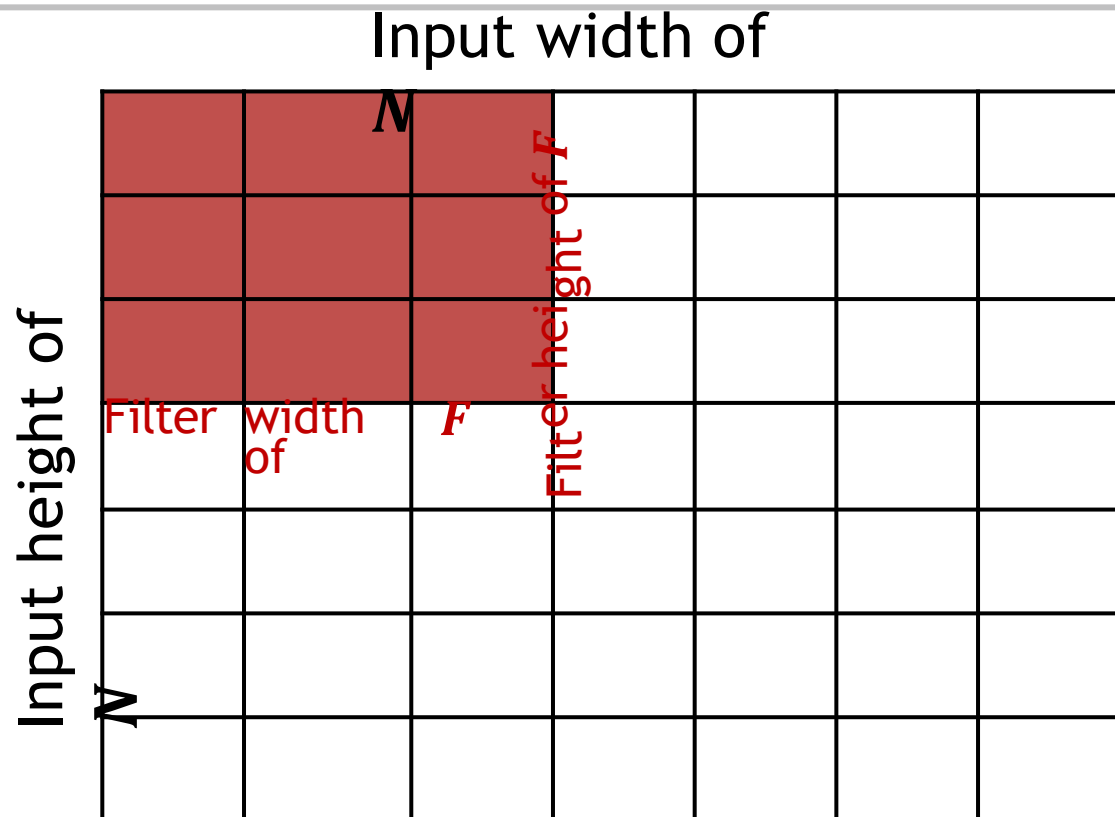
Stride: 3

Output: ?x?



Does not really fit (remainder left)
→ Illegal stride for input & filter size!

Convolution Layers: Dimensions



Input: $N \times N$

Filter: $F \times F$

Stride: S

Output: $\left(\frac{N-F}{S} + 1\right) \times \left(\frac{N-F}{S} + 1\right)$

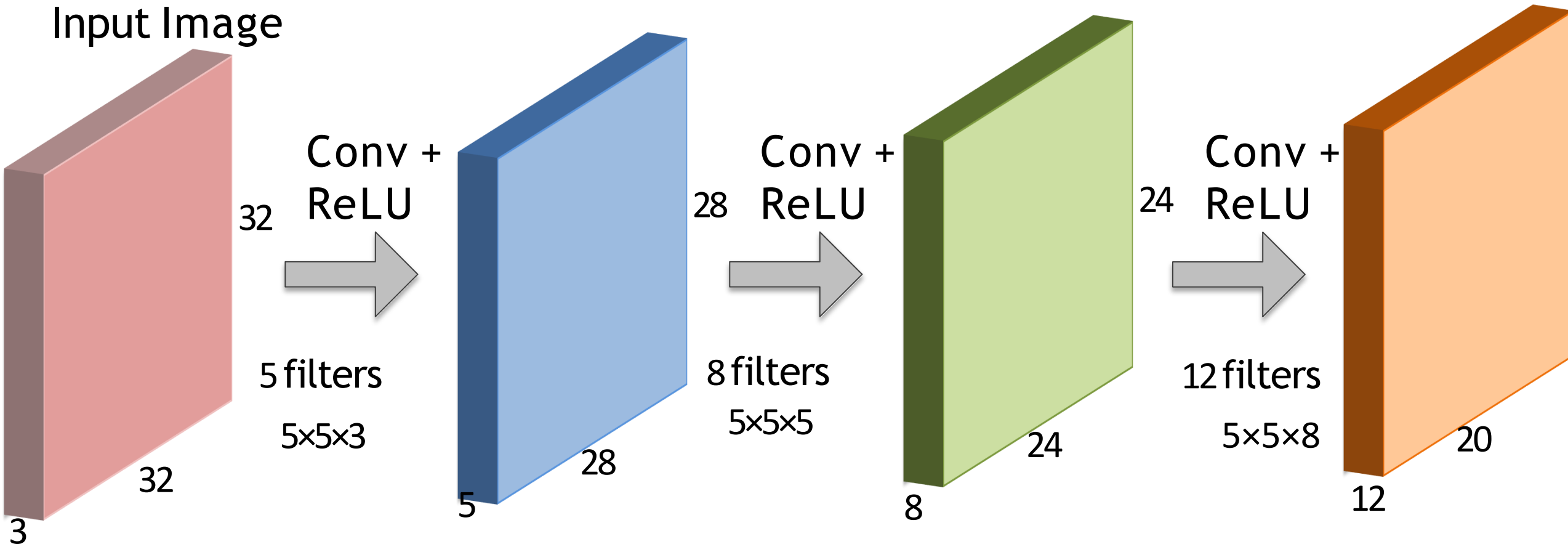
$$N = 7, F = 3, S = 1: \frac{7-3}{1} + 1 = 5$$

$$N = 7, F = 3, S = 2: \frac{7-3}{2} + 1 = 3$$

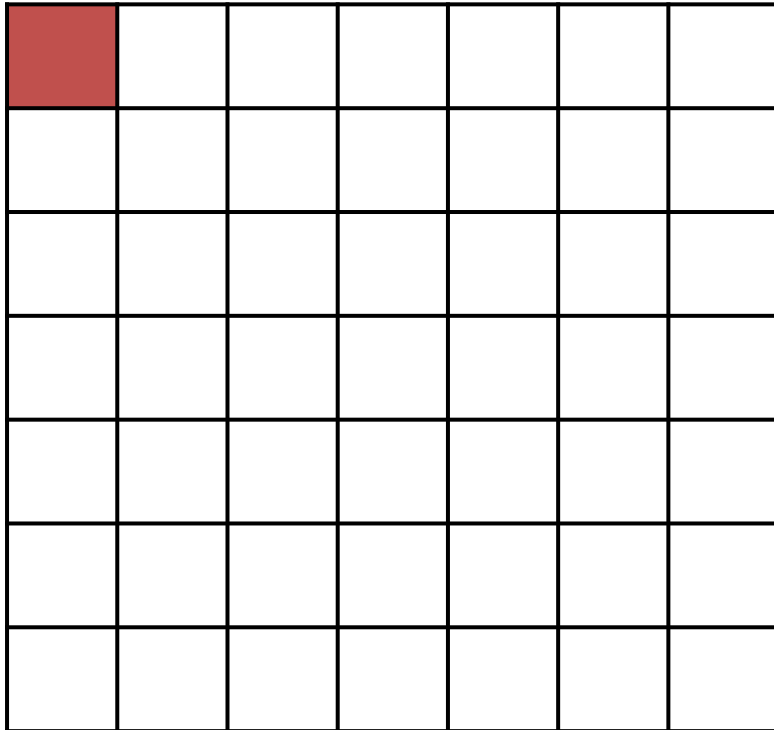
$$N = 7, F = 3, S = 3: \frac{7-3}{3} + 1 = 2.\bar{3}$$

Fractions are illegal

Convolution Layers: Dimensions



Shrinking down so quickly (32→28→24→20) is typically not a good idea...



Why padding?

- Sizes get small too quickly
- Corner pixel is only used once

Convolution Layers: Padding

Image 7x7+zero padding

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

Why padding?

- Sizes get small too quickly
- Corner pixel is only used once

Convolution Layers: Padding

Image 7x7+zero padding

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

Input ($N \times N$): 7x7

Filter ($F \times F$): 3x3

Padding (P): 1

Stride (S): 1

Output 7x7



Most common is 'zero' padding

Output Size:

$$\left(\left\lfloor \frac{N+2 \cdot P-F}{S} \right\rfloor + 1 \right) \times \left(\left\lfloor \frac{N+2 \cdot P-F}{S} \right\rfloor + 1 \right)$$

$\lfloor \rfloor$ denotes the floor operator (as in practice an integer division is performed)

Convolution Layers: Padding

Image 7x7+zero padding

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

Types of convolutions:

- Valid convolution: using no padding
- Same convolution: output=input size

Set padding to $P = \frac{F-1}{2}$

Convolution Layers: Dimensions

Example

Input image: 32×32×3

10 filters 5×5

Stride 1

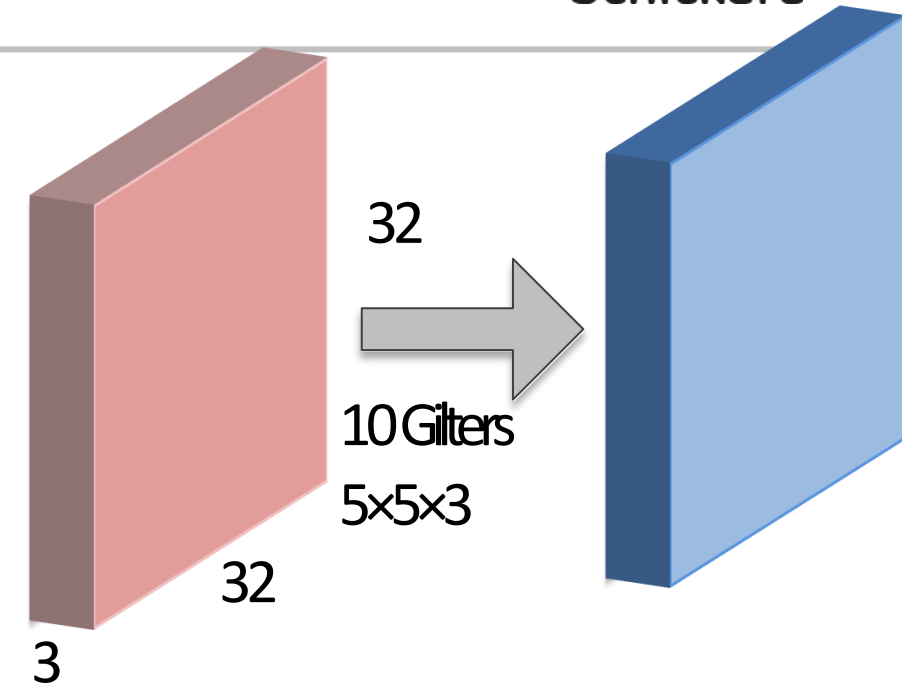
Pad 2

Depth of 3 is implicitly given

Output size is:

$$\frac{32 + 2 \cdot 2 - 5}{1} + 1 = 32$$

i.e. 32×32×10



Remember

$$\text{Output: } \left(\left\lfloor \frac{N + 2 \cdot P - F}{S} \right\rfloor + 1 \right) \times \left(\left\lfloor \frac{N + 2 \cdot P - F}{S} \right\rfloor + 1 \right)$$

Convolution Layers: Dimensions

Example

Input image: $32 \times 32 \times 3$

10 filters 5×5

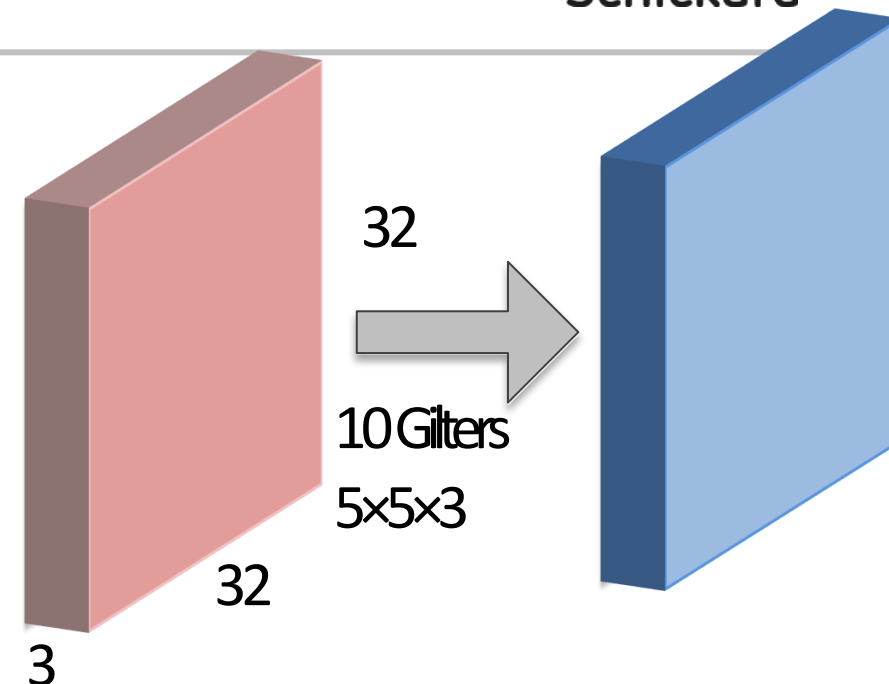
Stride 1

Pad 2

Output size is:

$$\frac{32 + 2 \cdot 2 - 5}{1} + 1 = 32$$

i.e. $32 \times 32 \times 10$



Remember

$$\text{Output: } \left(\left\lfloor \frac{N + 2 \cdot P - F}{S} \right\rfloor + 1 \right) \times \left(\left\lfloor \frac{N + 2 \cdot P - F}{S} \right\rfloor + 1 \right)$$

Convolution Layers: Dimensions

Example

Input image: $32 \times 32 \times 3$

10 filters 5×5

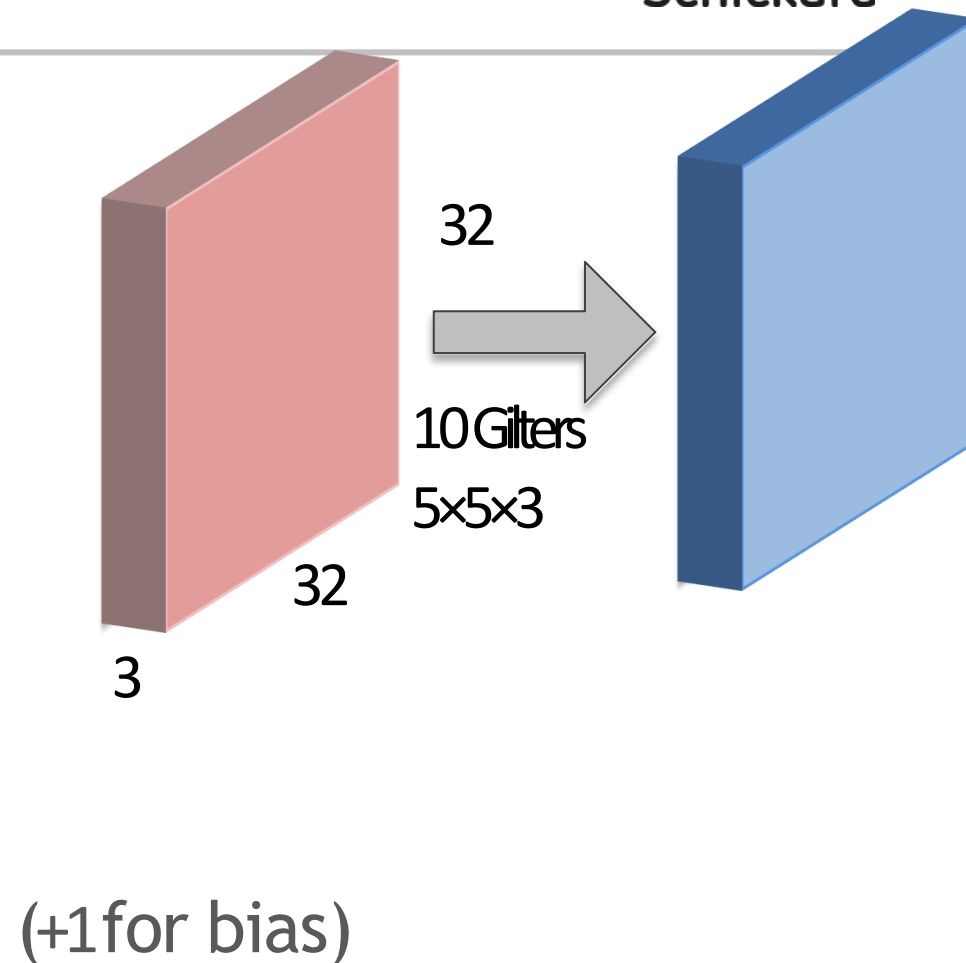
Stride 1

Pad 2

Number of parameters (weights):

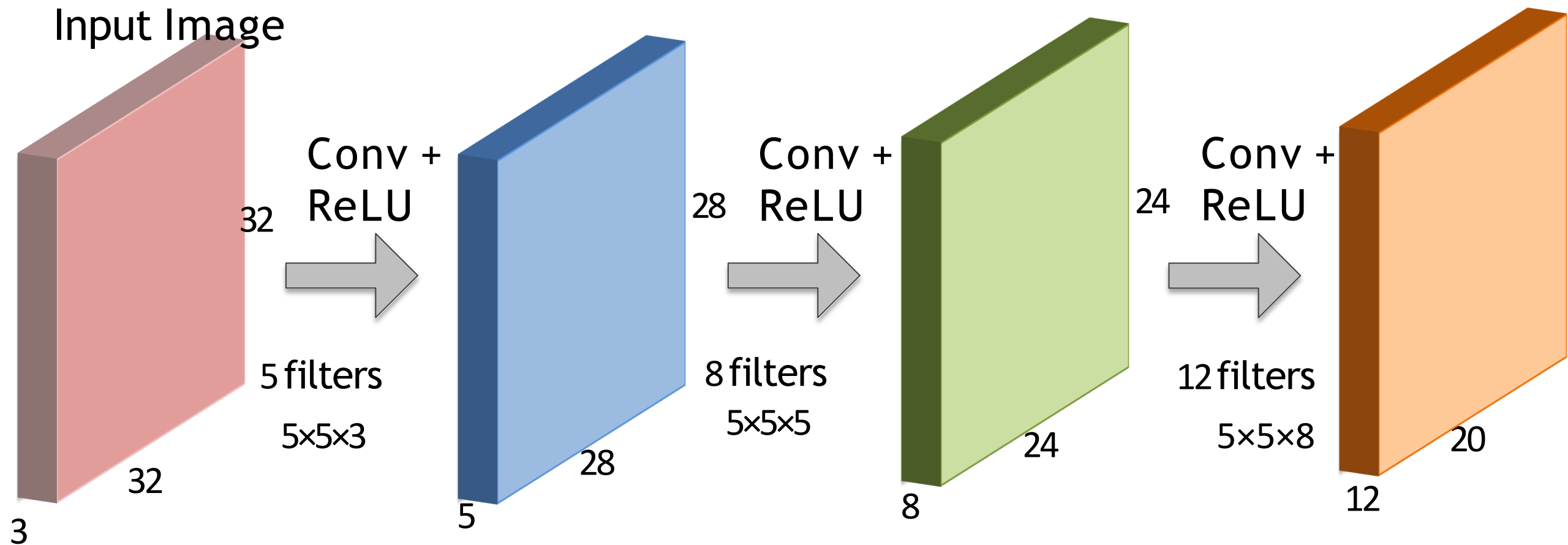
Each filter has $5 \times 5 \times 3 + 1 = 76$ params

-> $76 \cdot 10 = 760$ parameters in layer

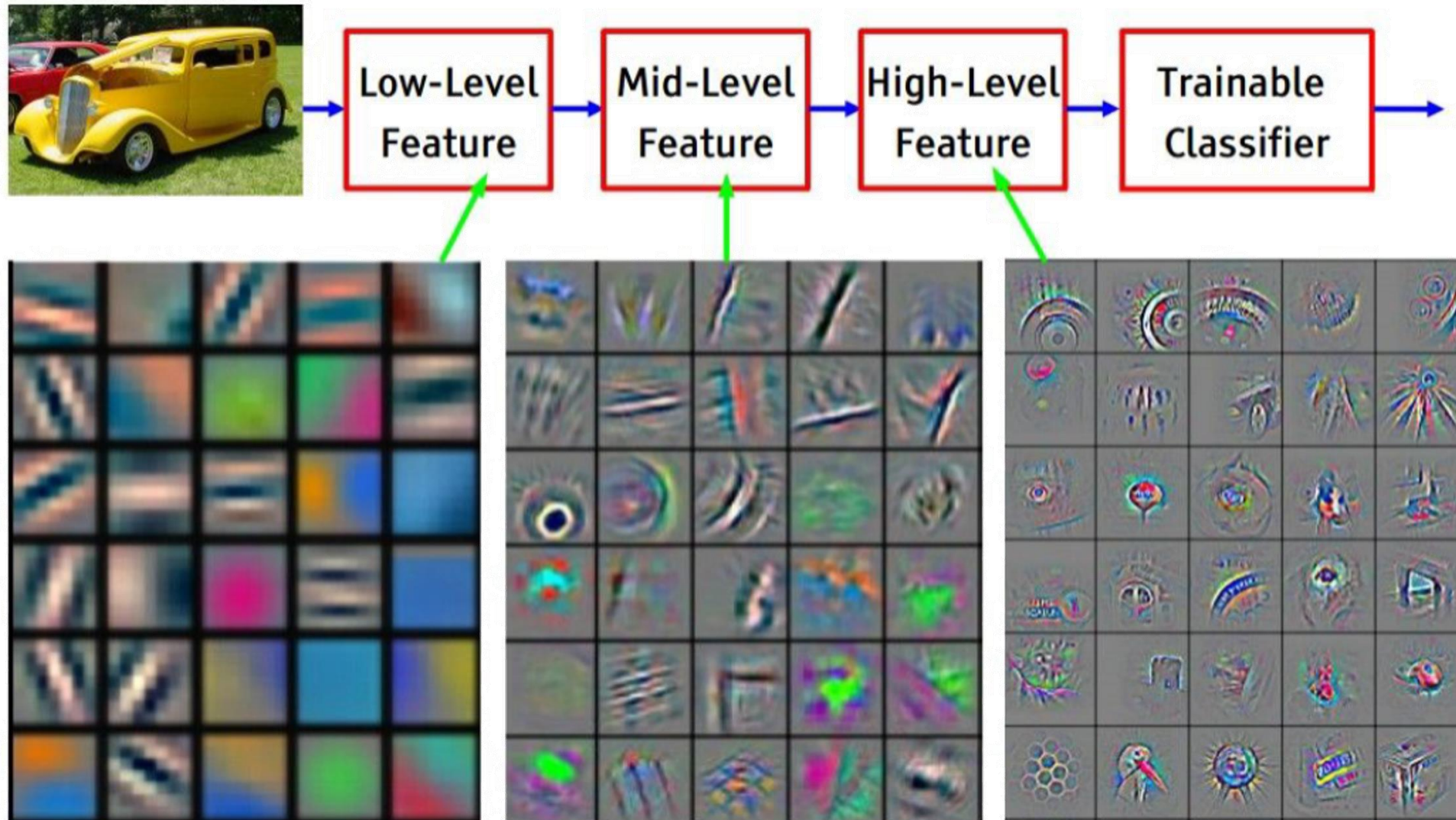


Convolutional Neural Network (CNN)

ConvNet is concatenation of Conv Layers and activations



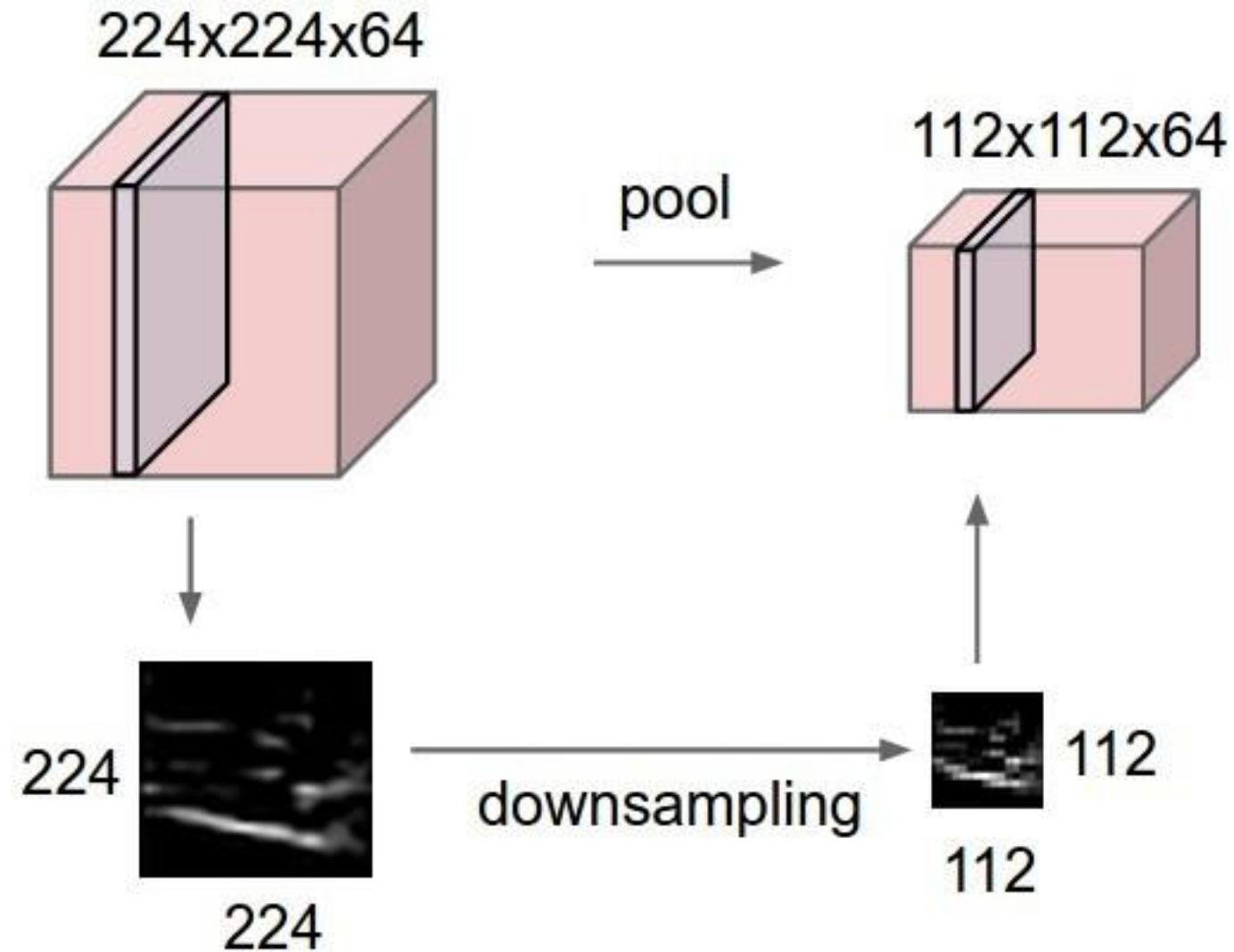
CNN Learned Filters



[Zeiler & Fergus, ECCV'14] Visualizing and Understanding Convolutional Networks

Pooling

Pooling Layer

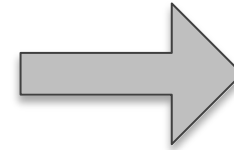


Pooling Layer: MaxPooling

Single depthslice of input

3	1	3	5
6	0	7	9
3	2	1	4
0	2	4	3

Max pool with
2×2 filters and stride 2



‘Pooled’ output

6	9
3	4

- Conv Layer = ‘Feature Extraction’
 - Computes a feature in a given region
- Pooling Layer = ‘Feature Selection’
 - Picks the strongest activation in a region

Pooling Layer

- Input is a volume of size $W_{in} \times H_{in} \times D_{in}$
- Two hyperparameters
 - Spatial filter extent F
 - Stride S

} Filter count K and padding P make no sense here
- Output volume is of size $W_{out} \times H_{out} \times D_{out}$
 - $W_{out} = \frac{W_{in} - F}{S} + 1$
 - $H_{out} = \frac{H_{in} - F}{S} + 1$
 - $D_{out} = D_{in}$
- Does not contain parameters; e.g. it's fixed function

Pooling Layer

- Input is a volume of size $W_{in} \times H_{in} \times D_{in}$
- Two hyperparameters
 - Spatial filter extent F
 - Stride S
- Output volume is of size $W_{out} \times H_{out} \times D_{out}$
 - $W_{out} = \frac{W_{in} - F}{S} + 1$
 - $H_{out} = \frac{H_{in} - F}{S} + 1$
 - $D_{out} = D_{in}$
- Does not contain parameters; e.g. it's fixed function

Common settings:

$$F = 2, S = 2$$

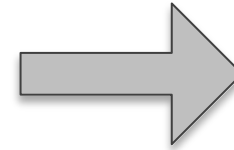
$$F = 3, S = 2$$

Pooling Layer: AveragePooling

Single depthslice of input

3	1	3	5
6	0	7	9
3	2	1	4
0	2	4	3

Average pool with
2×2 filters and stride 2

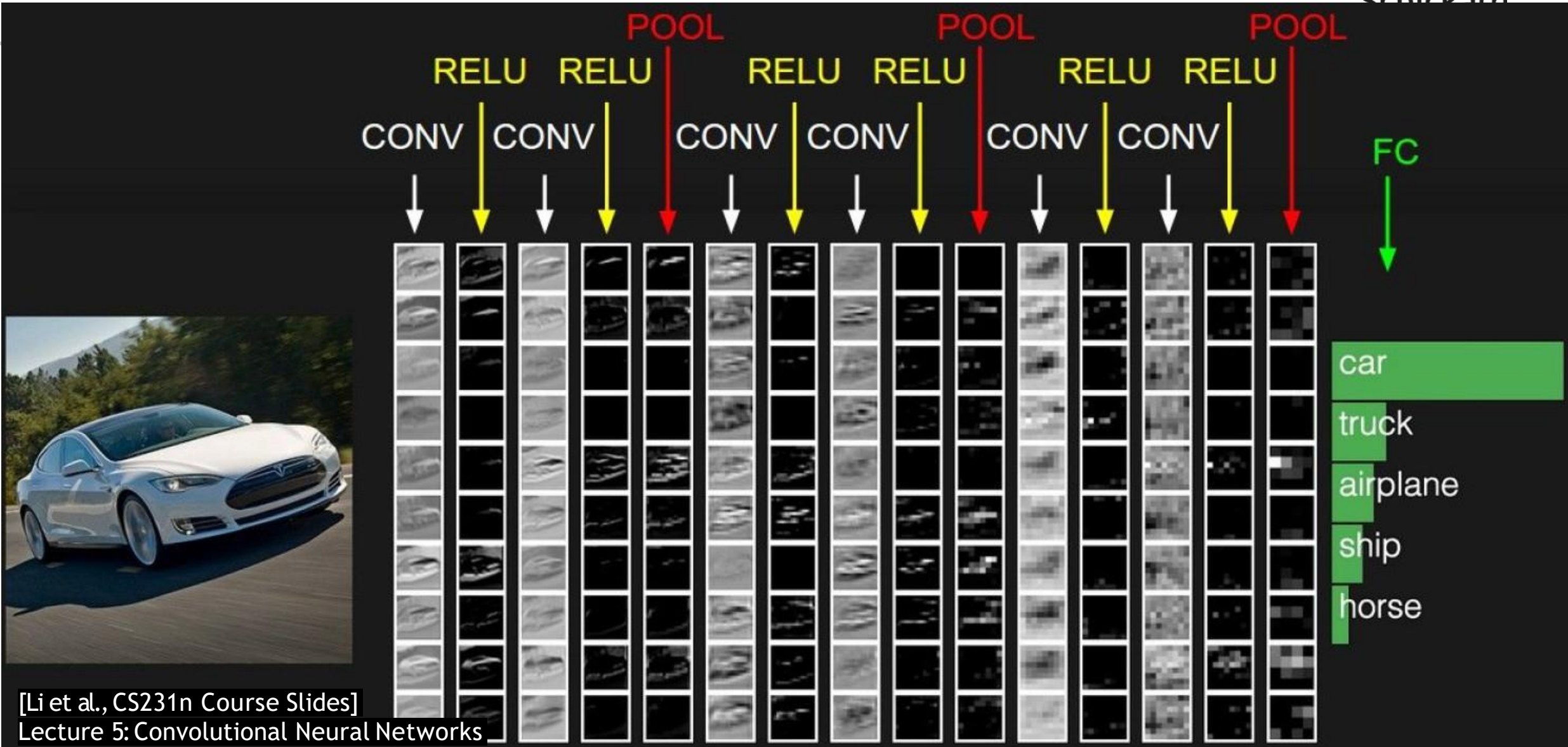


‘Pooled’ output

2.5	6
1.75	3

- Typically used deeper in the network

C N N Prototype



[Li et al., CS231n Course Slides]
Lecture 5: Convolutional Neural Networks

Final Fully-Connected Layer

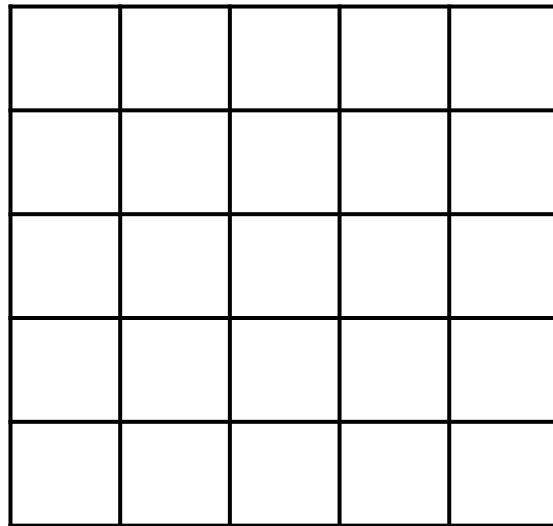
- Same as what we had in ‘ordinary’ neural networks
 - Make the final decision with the extracted features from the convolutions
 - One or two FC layers typically

Convolutions vs Fully-Connected

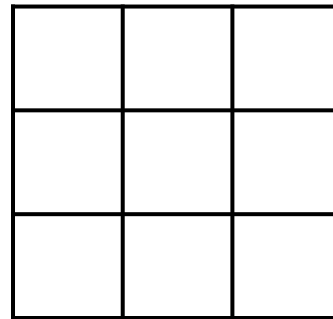
- In contrast to fully-connected layers, we want to restrict the degrees of freedom
 - FC is somewhat brute force
 - Convolutions are structured
- Sliding window to with the same filter parameters to extract image features
 - Concept of weight sharing
 - Extract same features independent of location

Receptive field

- Spatial extent of the connectivity of a convolutional filter

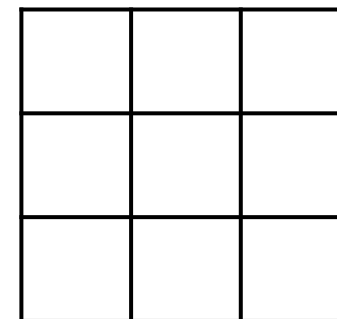


5x5 input



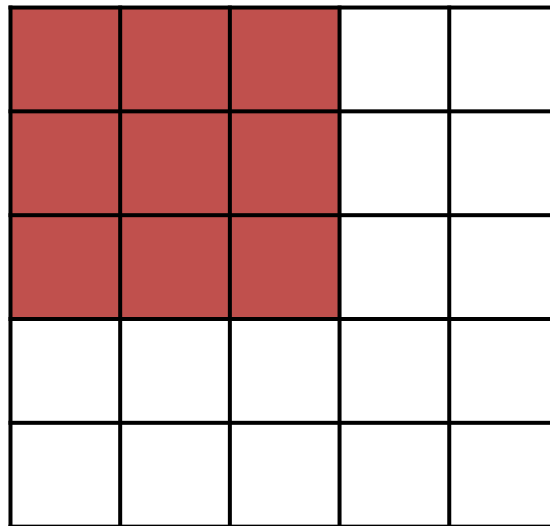
3x3 filter

=

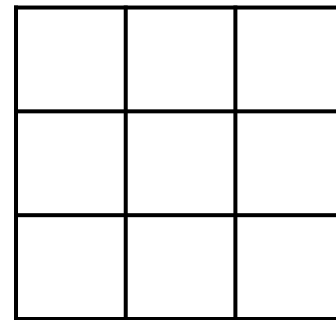


3x3 output

- Spatial extent of the connectivity of a convolutional filter

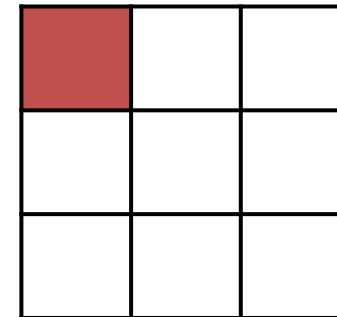


5x5 input



3x3 filter

=

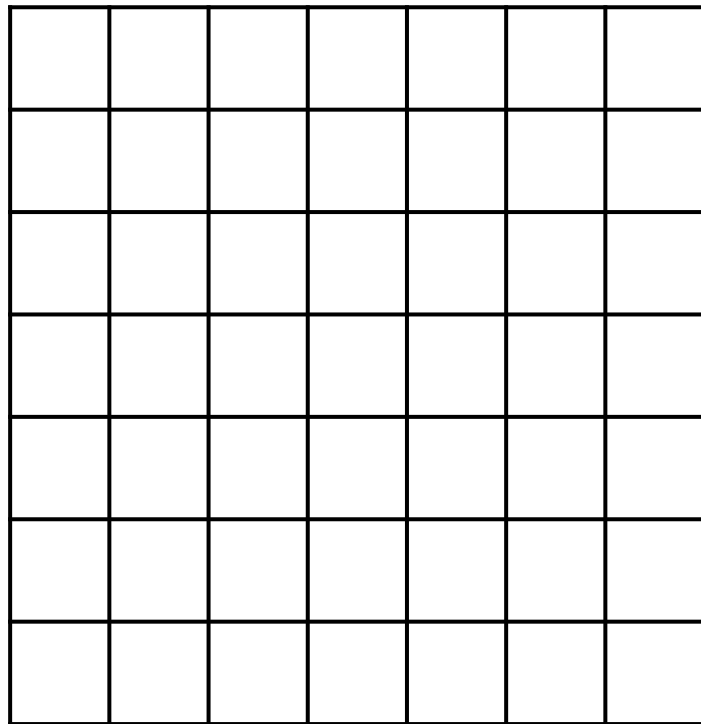


3x3 output

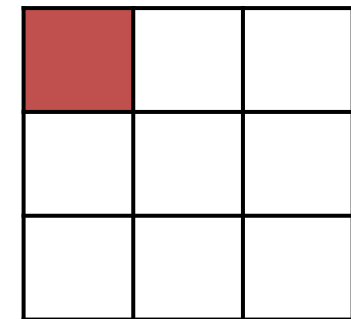
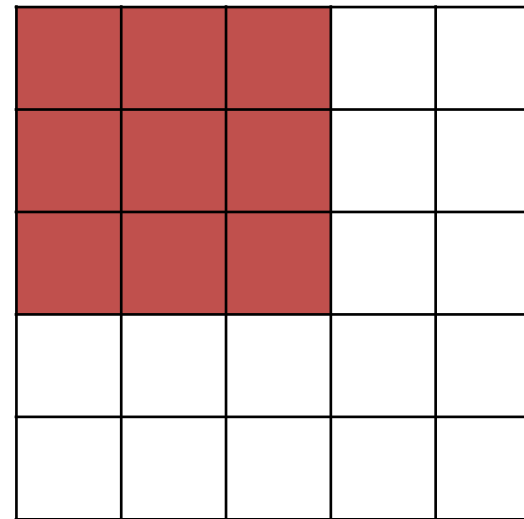
3x3 receptive field = 1 output pixel is connected to 9 input pixels

Receptive Field

- **Spatial extent of the connectivity of a convolutional filter**



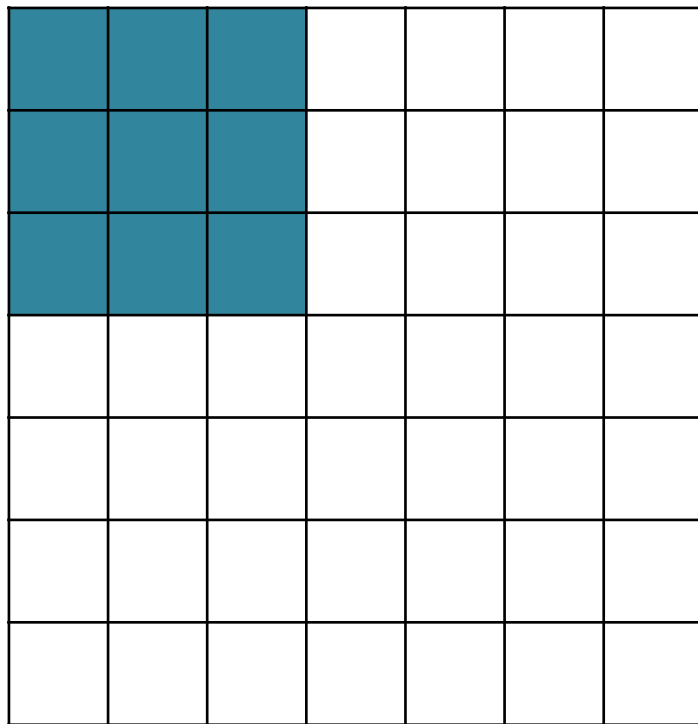
7x7 input



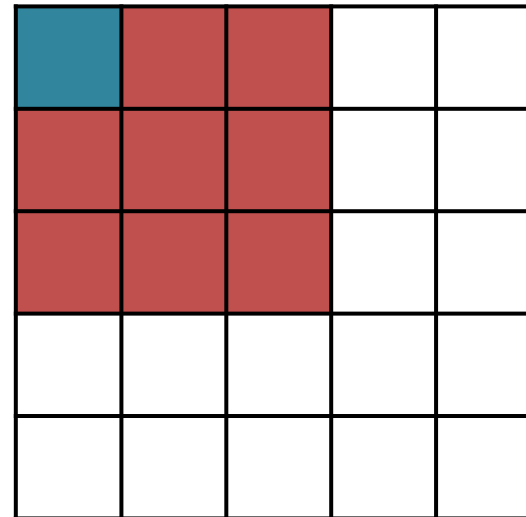
3x3 receptive field = 1 output pixel is connected to 9 input pixels

Receptive Field

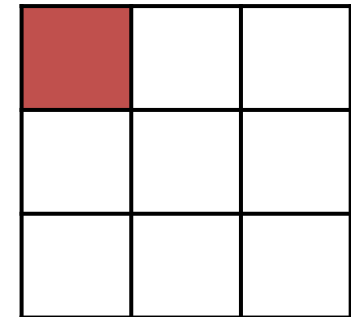
- **Spatial extent of the connectivity of a convolutional filter**



7x7 input

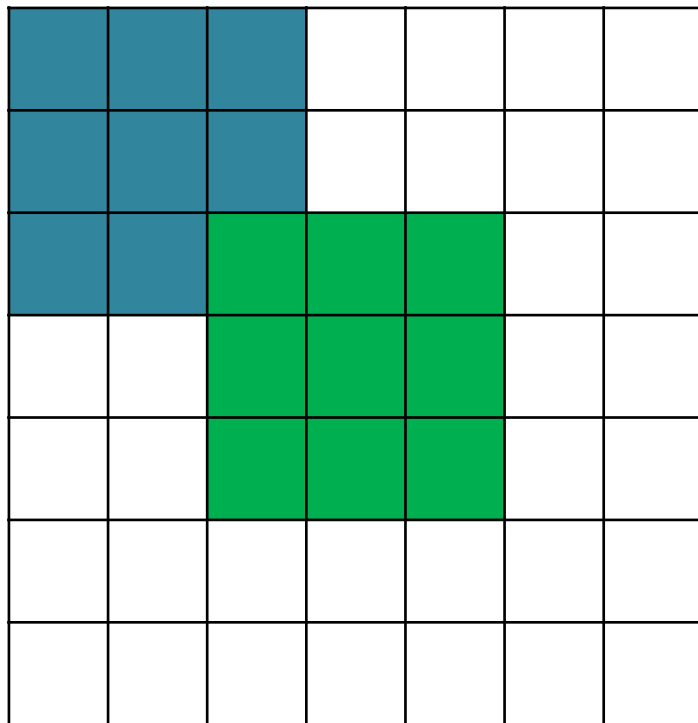


3x3 receptive field = 1 output pixel is connected to 9 input pixels

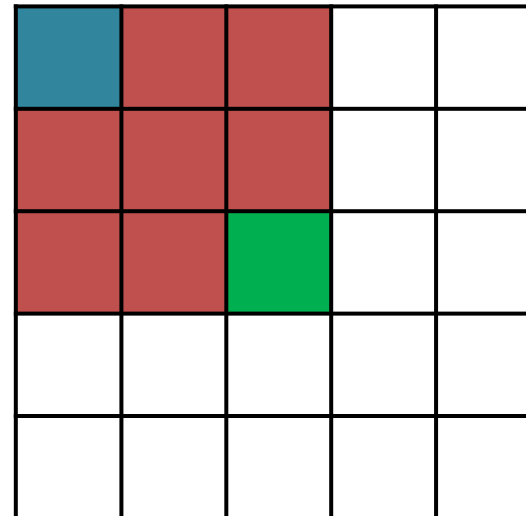


Receptive Field

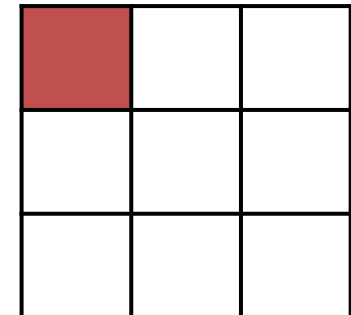
- Spatial extent of the connectivity of a convolutional filter



7x7 input

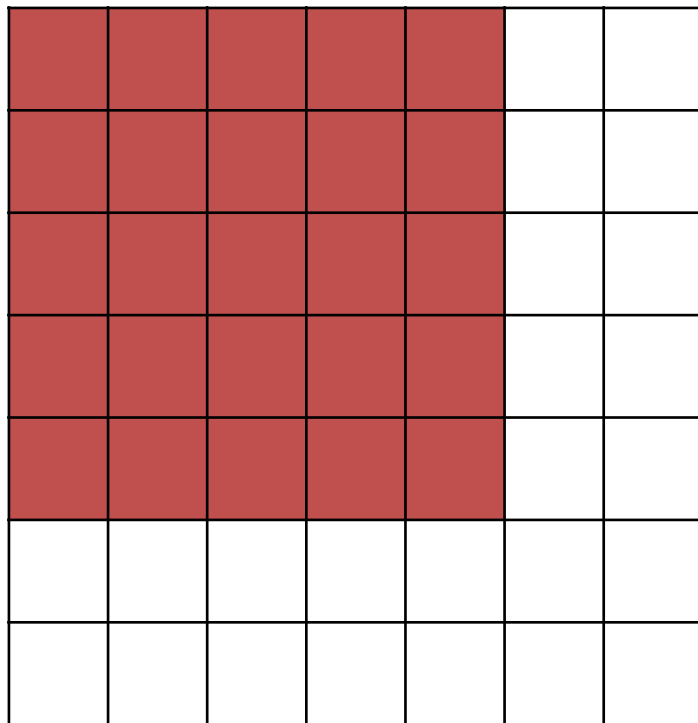


3x3 receptive field = 1 output pixel is connected to 9 input pixels

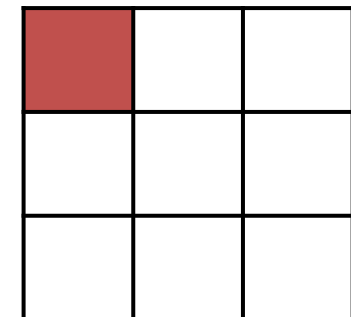
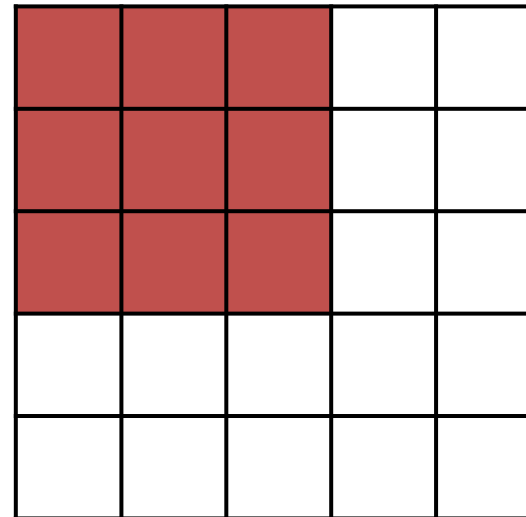


Receptive Field

- Spatial extent of the connectivity of a convolutional filter



7x7 input



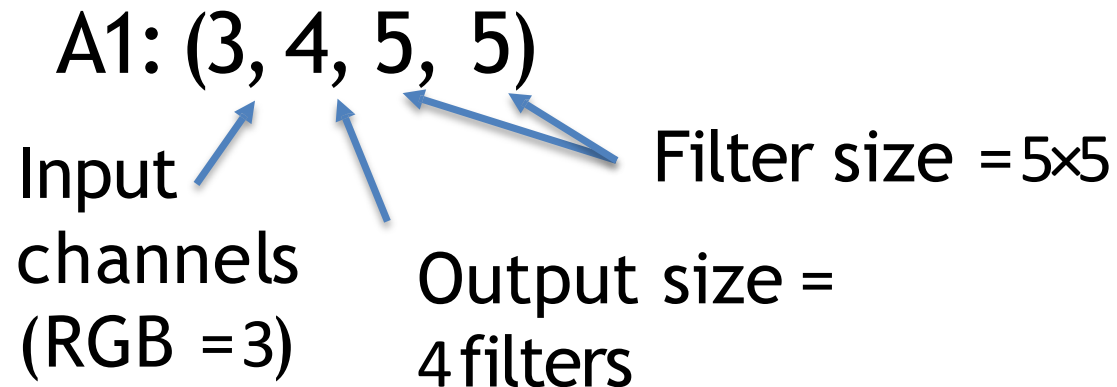
5x5 receptive field on the original input:
one output value is connected to 25 input pixels

https://colab.research.google.com/github/tensorflow/docs/blob/master/site/en/tutorials/keras/classification.ipynb#scrollTo=2tRmdq_8CaXb

- You are given a convolutional layer with 4 filters, kernel size 5, stride 1, and no padding that operates on an RGB image.
- Q1: What are the dimensions and the shape of its weight tensor?
 - ❑ A1: (3, 4, 5, 5)
 - ❑ A2: (4, 5, 5)
 - ❑ A3: depends on the width and height of the image

Example

- You are given a convolutional layer with 4 filters, kernel size 5, stride 1, and no padding that operates on an RGB image.
- Q1: What are the dimensions and the shape of its weight tensor?



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- Q1: What are the dimensions and the shape of its weight tensor?
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 - ❑ A2: (4, 5, 5)
 - ❑ A3: depends on the width and height of the image