

# Sardar Patel Institute of Technology

Bhavan's Campus, Munshi Nagar, Andheri (West), Mumbai-400058  
(An Autonomous Institute Affiliated to University of Mumbai)

## End Semester Examination

April 2018

Max. Marks: 100

Class: SE

Course Code: CE42, IT43

Branch: COMP, IT

Name of the Course: Database Management System

Duration: 180 Minutes

Semester: 4

### Instructions:

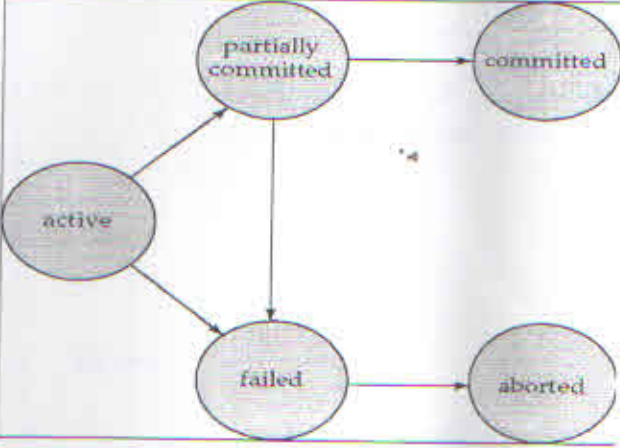
- (1) All Questions are Compulsory
- (2) Draw neat diagrams
- (3) Assume suitable data if necessary

| Question No. |  | Max. Marks | CO |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
|--------------|--|------------|----|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|-------|---|
| Q1           | Answer any 4 out of 6  |            |    |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
| (a)          | <p>Discuss the advantages of Database over File Systems.</p> <p>Soln:</p> <ul style="list-style-type: none"><li>• Data redundancy and inconsistency</li><li>• Data isolation</li><li>• Integrity problems</li><li>• Atomicity problems</li><li>• Concurrent access anomalies</li></ul>   | 05         | 1  |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
| (b)          | <p>Consider the following table.</p> <table><tr><th>A</th><th>B</th><th>C</th></tr><tr><td>10</td><td>b1</td><td>c1</td></tr><tr><td>10</td><td>b2</td><td>c2</td></tr><tr><td>11</td><td>b4</td><td>c1</td></tr><tr><td>12</td><td>b3</td><td>c4</td></tr><tr><td>13</td><td>b1</td><td>c1</td></tr><tr><td>14</td><td>b3</td><td>c4</td></tr></table> <p>Which of the following dependencies may hold in the above relation? If the dependency cannot hold, explain which tuple causes violation.</p> <ol style="list-style-type: none"><li><math>B \rightarrow C</math></li><li><math>A \rightarrow B</math></li><li><math>B \rightarrow A</math></li><li><math>C \rightarrow A</math></li><li><math>C \rightarrow B</math></li></ol> <p>Soln:</p> <p>The following dependencies will hold in the above relation</p> <p><math>B \rightarrow C</math></p> <p><math>C \rightarrow B</math></p> <p>The dependencies, <math>A \rightarrow B</math>, <math>B \rightarrow A</math>, <math>C \rightarrow A</math>, will not hold in the above relation as they will not uniquely identify a tuple.</p> | A          | B  | C | 10 | b1 | c1 | 10 | b2 | c2 | 11 | b4 | c1 | 12 | b3 | c4 | 13 | b1 | c1 | 14 | b3 | c4 | 1+1+3 | 4 |
| A            | B  | C          |    |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
| 10           | b1   | c1         |    |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
| 10           | b2   | c2         |    |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
| 11           | b4   | c1         |    |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
| 12           | b3   | c4         |    |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
| 13           | b1   | c1         |    |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |
| 14           | b3   | c4         |    |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |       |   |



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|-----|--|-------------------------------------|---|
| (c) | <p>Explain generalization and specialization with appropriate examples.</p> <p>Soln:</p> <ul style="list-style-type: none"> <li>• The process of designating subgroupings within an entity set.</li> <li>• Depicted by a <i>triangle</i> component labeled ISA (E.g. <i>customer</i> "is a" <i>person</i>).</li> <li>• <b>Attribute inheritance</b> – a lower-level entity set inherits all the attributes and relationship participation of the higher-level entity set to which it is linked.</li> <li>• The ISA relationship also referred to as <b>superclass - subclass</b> relationship</li> <li>• example</li> </ul>            | 3+2                                 |   |
| (d) | <p>Discuss why assertions must be used with utmost care.</p> <p>Soln:</p> <ul style="list-style-type: none"> <li>• An assertion is a predicate expressing condition that we wish the database always satisfies.</li> <li>• An assertion in SQL takes the form:<br/>Create assertion &lt;assertion-name&gt; check &lt;predicate&gt;</li> <li>• When an assertion is made, the system tests its validity and tests it again on every update that may violate the assertion.</li> <li>• This testing may introduce a significant amount of overhead. Hence, assertions must be used with care.</li> <li>• Example of assertion</li> </ul> | <p>1</p> <p>1</p> <p>2</p> <p>1</p> | 3 |
| (e) | <p>Illustrate with the help of a diagram the various transaction states.</p> <p>Soln:</p>  <pre> graph LR     active((active)) --&gt; partially_committed((partially committed))     active --&gt; failed((failed))     partially_committed --&gt; committed((committed))     partially_committed --&gt; failed     failed --&gt; aborted((aborted))   </pre> <p><b>Active</b> – the initial state; the transaction stays in this state while it is executing</p>   | 2+3                                 | 5 |



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|        | <p><b>Partially committed</b> – after the final statement has been executed.</p> <p><b>Failed</b> -- after the discovery that normal execution can no longer proceed.</p> <p><b>Aborted</b> – after the transaction has been rolled back and the database restored to its state prior to the start of the transaction. Two options after it has been aborted:</p> <ul style="list-style-type: none"> <li>• <b>restart the transaction:</b> can be done only if hardware or software error.</li> <li>• <b>kill the transaction:</b> It is usually done because of some internal logical error that can be corrected only by rewriting the application program.</li> </ul> <p><b>Committed</b> – after successful completion.</p>  |     |   |
| (f)    | <p>Explain the role of DBA</p> <p>Soln:</p> <ol style="list-style-type: none"> <li>1. Schema definition</li> <li>2. storage structure and access method definition</li> <li>3. schema and physical organization modification</li> <li>4. granting of authorization for data access</li> <li>5. routine maintenance</li> </ol>  | 05  | 1 |
| Q2 (a) | <p>Draw an ER diagram for Library Management System and map the ER diagram to relations.</p> <p>Soln:</p> <p>The ER diagram must include all the concepts like entity, weak entity, relationships, mapping cardinalities, types of attributes, participation constraints.</p> <p>Mapping to tables</p>   | 6+4 | 2 |
| Q2 (b) | <p>Consider the relational database given below, where the primary keys are underlined.</p> <p>employee (person-name, <u>street</u>, city)</p> <p>works (person-name, company-name, salary)</p> <p>company (company-name, city)</p> <p>manages (person-name, manager-name)</p> <p>Give an expression in the relational algebra to express each of the following queries:</p> <ol style="list-style-type: none"> <li>Find the names of all employees who work for First Bank Corporation.</li> </ol> <p>Soln:</p> $\Pi_{\text{person-name}} (\sigma_{\text{company-name} = \text{"First Bank Corporation"}} (\text{works}))$ <ol style="list-style-type: none"> <li>Find the names and cities of residence of all employees who work for First Bank Corporation.</li> </ol> | 2*5 | 2 |



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|        | <p>Soln:<br/> <math>\Pi</math>person-name, city (employee (<math>\sigma</math>company-name = "First Bank Corporation" (works)))</p> <p>iii. Find the names, street address, and cities of residence of all employees who work for First Bank Corporation and earn more than \$10,000 per annum.<br/> Soln:<br/> c. <math>\Pi</math>person-name, street, city (<math>\sigma</math>(company-name = "First Bank Corporation" <math>\wedge</math> salary &gt; 10000) works employe</p> <p>iv. Find the names of all employees in this database who live in the same city as the company for which they work.<br/> Soln:<br/> <math>\Pi</math>person-name (employee works company)</p> <p>v. Assume the companies may be located in several cities. Find all companies located in every city in which Small Bank Corporation is located.<br/> Soln:<br/> <math>\Pi</math>company-name (company <math>\div</math> (<math>\Pi</math>city (<math>\sigma</math>company-name = "Small Bank Corporation" (company))))</p> |         |   |
| Q3 (a) | <p>What is a view in SQL? How is it defined? Discuss the problems that may arise while updating a view.<br/> Soln:<br/> In SQL, a view is a virtual table based on the result-set of an SQL statement.<br/> A view contains rows and columns, just like a real table. The fields in a view are fields from one or more real tables in the database.<br/> Syntax:<br/> CREATE VIEW view_name AS<br/> SELECT column1, column2, ...<br/> FROM table_name<br/> WHERE condition;</p> <p>Example</p> <p>Problems in modification</p> <p>OR</p> <p>Explain referential integrity with example.<br/> Soln:<br/> definition<br/> syntax<br/> on delete/update: no action, set null, set default, cascade</p>  | 2+2+6   | 3 |
|        |  | 2+2+4+2 |   |



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|        |  |           |   |
|--------|--|-----------|---|
|        | example  |           |   |
| 3 (b)  | <p>Consider the following schema and solve the queries</p> <p>Course (course_no, title)<br/>Offering (course_no, off_to, off_date, location)<br/>Teacher (course_no, off_no, emp_no)<br/>Enrolment (course_no, off_no, stud_no, grade)<br/>Employee (emp_no, emp_name, job, sal)<br/>Student (stud_no, stud_name, phone_no)</p> <ol style="list-style-type: none"> <li>List all the teachers who conduct the course titled "Database Systems"<br/>Soln:<br/>select empno from teacher where course_no =(Select course_no from course where title like 'Database Systems')</li> <li>List all the courses offered in 'Thane' on 15/8/15.<br/>Soln:<br/>Select course_no from offering where location like 'thane' and off-date like '1t-aug-2015'</li> <li>Find the courses enrolled by "Monali".<br/>Soln:<br/>Select course-no from enrolment where stud_no =<br/>(Select stud_no from student where stud_name like 'monali')</li> <li>List all the employees jobwise having average salary greater than 5000.<br/>Soln:<br/>Select job, count(*)<br/>From employee<br/>Groupby job<br/>Having avg(sal)&gt;5000;</li> <li>Add the date_of_birth attribute in the student table<br/>Soln:<br/>Alter table student add column date_of_birth<br/>varchar2(10);</li> </ol> | 5*2       | 3 |
| Q4 (a) | <p>What are triggers? Explain with example.<br/>Soln:</p> <ul style="list-style-type: none"> <li>Define trigger</li> <li>Explain ECA model</li> <li>Explain row level and statement level trigger</li> <li>Syntax</li> <li>example</li> </ul>  | 2+2+2+2+2 | 4 |



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Q4 (b)

Consider the relation  $R(A, B, C, D, E, F, G, H, I, J)$  with following functional dependencies:

$AB \rightarrow C$

$BD \rightarrow EF$

$AD \rightarrow GH$

$A \rightarrow I$

$H \rightarrow J$

Determine the candidate key for R and normalize the relation to 3<sup>rd</sup> normal form. Justify every step.

Soln:

$\{A, B\}^+ = \{A, B, C, I\}$

$\{B, D\}^+ = \{B, D, E, F\}$

$\{A, D\}^+ = \{A, D, G, H, I, J\}$

$\{A\}^+ = \{A, I\}$

$\{H\}^+ = \{H, J\}$

$\{A, B, D\}^+ = \{A, B, C, D, E, F, G, H, I, J\}$

The key of the relation R is ABD

**2NF**

**r1**

|   |   |   |
|---|---|---|
| A | B | C |
|---|---|---|

**r2**

|   |   |   |   |
|---|---|---|---|
| B | D | E | F |
|---|---|---|---|

**r3**

|   |   |   |   |   |
|---|---|---|---|---|
| A | D | G | H | J |
|---|---|---|---|---|

**r4**

|   |   |
|---|---|
| A | I |
|---|---|

**3NF**

**r3 violates 3NF therefore decompose as follows**

**r31**

|   |   |   |   |
|---|---|---|---|
| A | D | G | H |
|---|---|---|---|

**r32**

|   |   |
|---|---|
| H | J |
|---|---|

The final tables after 2NF and 3NF are r1, r2, r31, r32, r4

OR

Consider the relation  $R(M, Y, P, MP, C)$  with following functional dependencies:

$M, Y \rightarrow P$

$M \rightarrow MP$

$MP \rightarrow C$

Determine the candidate key for R and normalize the relation to 3<sup>rd</sup> normal form. Justify every step.

Soln:

$\{M, Y\}^+ = \{M, Y, P, MP\}$

$\{M\}^+ = \{M, MP, C\}$

$\{MP\}^+ = \{MP, C\}$

2NF:

TABLE 1: M, Y, P, MP

TABLE 2: M, MP, C

3NF:

6+2+2

3+4+3



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TABLE 1.1 : M,Y,P  
TABLE 1.2 : M,MP  
TABLE 2.1: MP, C

Q5

Answer any 4 out of 6

a. Deadlock with wait-for graph.

Soln:

A system is in a **deadlock** if it there exists a set of transactions such that every transaction in the set is waiting for another transaction in the set.

Deadlocks can be described as a *wait-for graph*, which consists of a pair  $G = (V, E)$ ,

★  $V$  is a set of vertices (all the transactions in the system)

★  $E$  is a set of edges; each element is an ordered pair  $T_i \rightarrow T_j$ .

If  $T_i \rightarrow T_j$  is in  $E$ , then there is a directed edge from  $T_i$  to  $T_j$ , implying that  $T_i$  is waiting for  $T_j$  to release a data item.

When  $T_i$  requests a data item currently being held by  $T_j$ , then the edge  $T_i T_j$  is inserted in the wait-for graph. This edge is removed only when  $T_j$  is no longer holding a data item needed by  $T_i$ .

The system is in a deadlock state if and only if the wait-for graph has a cycle. Must invoke a deadlock-detection algorithm periodically to look for cycles.

Diagram for with and without wait for graph

b. What is the meaning of serializability? How do you check whether a schedule is conflict serializable or not?

Soln:

- Consists of a sequence of instructions from various transactions where the instructions belonging to one single transaction appear together in that schedule.
- For a set of 'n' transactions there can be 'n!' different valid serial schedules.
- A schedule is conflict serializable if and only if its precedence graph is acyclic.
- Cycle-detection algorithms exist which take order  $n^2$  time, where  $n$  is the number of vertices

5

2+3

2+3



in the graph.

- If precedence graph is **acyclic**, the serializability order can be obtained by a **topological sorting** of the graph.
- Example

c. Shadow Paging technique  
Soln:

- **Shadow paging** is an alternative to log-based recovery; this scheme is useful if transactions execute serially
- Idea: maintain *two* page tables during the lifetime of a transaction — the **current page table**, and the **shadow page table**
- Store the shadow page table in **nonvolatile** storage, such that state of the database prior to transaction execution may be recovered.
  - Shadow page table is **never modified** during execution
- To start with, both the page tables are identical. Only current page table is used for data item accesses during execution of the transaction.
- Whenever any page is about to be written for the first time
  - A copy of this page is made onto an unused page.
  - The current page table is then made to point to the copy
  - The update is performed on the copy
- To commit a transaction :
- Flush all modified pages in main memory to disk
- Output current page table to disk
- Make the current page table the new shadow page table, as follows:
  - keep a pointer to the shadow page table at a fixed (known) location on disk.
  - to make the current page table the new shadow page table, simply update the pointer to point to current page table on disk
- Once pointer to shadow page table has been written, transaction is committed.
- No recovery is needed after a crash — new transactions can start right away, using the shadow page table.
- Pages not pointed to from current/shadow page table should be freed (garbage collected).

d. Timestamp ordering protocol  
Soln:

- Suppose a transaction  $T_i$  issues a read( $Q$ )



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|--|---|------------------|--|
|  | <p>1. If <math>TS(T_i) \leq W\text{-timestamp}(Q)</math>, then <math>T_i</math> needs to read a value of <math>Q</math> that was already overwritten.<br/>Hence, the read operation is rejected, and <math>T_i</math> is rolled back.</p> <p>2. If <math>TS(T_i) \geq W\text{-timestamp}(Q)</math>, then the read operation is executed, and <math>R\text{-timestamp}(Q)</math> is set to <math>\max(R\text{-timestamp}(Q), TS(T_i))</math>.</p> <p>• Suppose that transaction <math>T_i</math> issues <math>write(Q)</math>.</p> <p>1. If <math>TS(T_i) &lt; R\text{-timestamp}(Q)</math>, then the value of <math>Q</math> that <math>T_i</math> is producing was needed previously, and the system assumed that that value would never be produced.<br/>Hence, the write operation is rejected, and <math>T_i</math> is rolled back.</p> <p>2. If <math>TS(T_i) &lt; W\text{-timestamp}(Q)</math>, then <math>T_i</math> is attempting to write an obsolete value of <math>Q</math>.<br/>Hence, this write operation is rejected, and <math>T_i</math> is rolled back.</p> <p>3. Otherwise, the write operation is executed, and <math>W\text{-timestamp}(Q)</math> is set to <math>TS(T_i)</math>.</p> <p>e. Two phase locking protocol<br/>Soln:</p> <ul style="list-style-type: none"> <li>• Shared mode lock</li> <li>• Exclusive mode lock</li> <li>• Growing phase</li> <li>• Shrinking phase</li> <li>• example</li> </ul> <p>f. Validation based protocol<br/>Soln:</p> <ol style="list-style-type: none"> <li>1. definition</li> <li>2. phases of protocol</li> <li>3. three timestamps associated</li> <li>4. validation test</li> <li>5. example</li> </ol> | <p>2+2+2+2+2</p> |  |
|  |   | <p>2+2+2+2+2</p> |  |