

CHAP: 10 RECURRENCE RELATIONS & RECURSIVE ALGORITHMS

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IInd Edition

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10.1

- To find the Time Complexity of Algo. we need numeric function of Algo. but it is not easy to determine directly from Algo. So, for recursive algo. initially we write recurrence relation (Also called difference equation - For a numeric function $(a_0, a_1, a_2, \dots, a_n, \dots)$, an equation relating a_n , for any n , to one or more of the a_i 's, $i < n$ is called recurrence Relation).
- We derive characteristic equation & find the roots of that characteristic eqn. to find Total Solⁿ of recurrence relation
- 'Total Solⁿ' = numeric function of Algo. = homogeneous Solⁿ + Particular Solⁿ.

$$a_n = a_n^{(h)} + a_n^{(p)}$$

- So, in discrete computation problem, some times its easy to obtain a specification of Numeric function in terms of recurrence relation ~~than~~ to obtain a general expression for the value of the numeric function at n or a closed form expression for its generating function.

Ex: $a_n = (3^0, 3^1, 3^2, 3^3, \dots, 3^n, \dots) = \text{numeric function}$

$$\begin{aligned} A(z) &= a_0 + a_1 z + a_2 z^2 + \dots + a_n z^n + \dots \\ &= 3^0 + 3^1 z + 3^2 z^2 + 3^3 z^3 + \dots + 3^n z^n + \dots \end{aligned} \quad \left. \vphantom{\begin{aligned} A(z) &= a_0 + a_1 z + a_2 z^2 + \dots + a_n z^n + \dots \\ &= 3^0 + 3^1 z + 3^2 z^2 + 3^3 z^3 + \dots + 3^n z^n + \dots \end{aligned}} \right\} \rightarrow \text{generating function of } a_n$$

closed form of $a_n(A(z))$ is.

$$A(z) = \frac{1}{1-3z}$$

Importance:

Ex: for fibonacci series: $1, 1, 2, 3, 5, 8, 13, 21, 34, \dots$

numeric function = } \rightarrow very difficult to write from the Algorithm.

\therefore must write, recurrence relation,

$$a_n = a_{n-1} + a_{n-2}$$

$$\therefore a_n - a_{n-1} - a_{n-2} = 0$$

$$\therefore \boxed{x^2 - x - 1 = 0} \rightarrow \text{Characteristic equation}$$

\therefore roots of this characteristic equation will be

$$\alpha_1 = \frac{1 + \sqrt{5}}{2}$$

\therefore solⁿ of ~~charact.~~ recurrence relation (difference equation) will be,

$$a_n = a_n^{(h)} + a_n^{(p)} = A_1 \left(\frac{1 + \sqrt{5}}{2} \right)^n + A_2 \left(\frac{1 - \sqrt{5}}{2} \right)^n + a_n^{(p)}$$

here, $a_n^{(p)} = 0$

$$\boxed{a_n = A_1 \left(\frac{1 + \sqrt{5}}{2} \right)^n + A_2 \left(\frac{1 - \sqrt{5}}{2} \right)^n} \quad \text{--- (I)}$$

$\rightarrow A_1, A_2$, = Constant Coefficients whose value can be determined using given boundary values, like $a_0 = 1, a_1 = 1$.

\therefore from equ. (I) $a_0 = 1$

$$A_1 \left(\frac{1 + \sqrt{5}}{2} \right)^0 + A_2 \left(\frac{1 - \sqrt{5}}{2} \right)^0 = 1 \Rightarrow A_1 + A_2 = 1$$

$$\Rightarrow A_1 = 1 - A_2 \quad \text{--- (II)}$$

also, $a_1 = 1$ & using equ. (I) $A_1 \left(\frac{1 + \sqrt{5}}{2} \right) + A_2 \left(\frac{1 - \sqrt{5}}{2} \right) = 1$

$$\Rightarrow (1 - A_2)(1 + \sqrt{5}) + A_2(1 - \sqrt{5}) = 2 \quad [\because \text{from (II)}]$$

$$\Rightarrow 1 + \sqrt{5} - A_2 - A_2\sqrt{5} + A_2 - A_2\sqrt{5} = 2$$

$$1 + \sqrt{5} - 2A_2\sqrt{5} = 2$$

$$\therefore 2\sqrt{5} A_2 = \sqrt{5} - 1 \Rightarrow$$

$$\boxed{A_2 = \frac{-1 + \sqrt{5}}{2\sqrt{5}}}$$

$$\boxed{A_1 = \frac{1 - \sqrt{5}}{2\sqrt{5}}}$$

(*) LINEAR RECURRENCE RELATIONS WITH CONSTANT CO-EFFICIENTS :

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$$C_0 a_n + C_1 a_{n-1} + C_2 a_{n-2} + C_3 a_{n-3} + \dots + C_k a_{n-k} = \begin{cases} 0 & \text{--- CASE-I} \\ f(n) & \text{--- CASE-II} \\ \beta^n f(n) & \text{--- CASE-III} \end{cases} \quad (1)$$

→ equation (1) is general form of linear Recurrence Relation (difference equation), which is of kth Order.

Where, $C_0, C_k \neq 0$

→ if k-boundary values will be given then we can determine values of Co-efficients in the numeric function.

(*) CASE-I: Homogeneous Solutions:

→ for any linear recurrence relation,

$$\text{Total Sol}^n = \text{homogeneous Sol}^n + \text{Particular Sol}^n$$

$$\therefore a_n = a_n^{(h)} + a_n^{(p)}$$

→ Solⁿ of homogeneous linear recurrence relation (CASE-I in eq (1)) will be in the form of $A_i \alpha_i^n$ where, A_i = Co-efficient
 α_i = Characteristic roots

→ Based on root α get simplified characteristic equation of recurrence relation,

$$C_0 \alpha^k + C_1 \alpha^{k-1} + C_2 \alpha^{k-2} + \dots + C_k \alpha^{k-k} = 0$$

→ if all the characteristic roots will be distinct, then,

$$a_n^{(h)} = \sum_{i=1}^k A_i \alpha_i^n \Rightarrow \text{homogeneous Sol}^n$$

Ex: 10.2 Solve the difference equation is

Solⁿ:

$$a_n + 6a_{n-1} + 12a_{n-2} + 8a_{n-3} = 0$$

Characteristic equation for given difference equation,

$$\lambda^3 + 6\lambda^2 + 12\lambda + 8 = 0 \quad (\because k=3)$$

$$\therefore (\lambda+2)(\lambda^2+4\lambda+4) = 0$$

$$\therefore (\lambda+2)(\lambda+2)^2 = 0$$

$\therefore \boxed{\lambda_1, \lambda_2, \lambda_3 = -2} \rightarrow$ all three roots are common.

\therefore homogeneous Solⁿ $\boxed{a_n^{(h)} = (A_1\lambda^2 + A_2\lambda + A_3)(-2)^n}$

Ex: 10.3: Solve the difference equation: $4a_n - 20a_{n-1} + 17a_{n-2} - 4a_{n-3} = 0$

Solⁿ: Characteristic equ.,

$$4\lambda^3 - 20\lambda^2 + 17\lambda - 4 = 0 \quad (\because k=3)$$

$$\therefore (\lambda-4)(4\lambda^2 - 4\lambda + 1) = 0$$

$$\therefore (\lambda-4)(2\lambda-1)^2 = 0$$

$$\therefore \lambda_1 = 4, \lambda_2 = \lambda_3 = \frac{1}{2}$$

\therefore homogeneous Solⁿ $\boxed{a_n^{(h)} = A_1 4^n + (A_2\lambda + A_3)\left(\frac{1}{2}\right)^n}$

(*) CASE-II: if $C_0a_n + C_1a_{n-1} + C_2a_{n-2} + \dots + C_ka_{n-k} = f(n)$ then.

$$\text{Total Sol}^n a_n = \underbrace{a_n^{(h)}}_{\text{homogeneous Sol}^n} + \underbrace{a_n^{(p)}}_{\text{particular Sol}^n}$$

\rightarrow When $f(n)$ is of the form of a polynomial of degree t in n

$$\boxed{F_1n^t + F_2n^{t-1} + F_3n^{t-2} + \dots + F_tn + F_{t+1}}$$

the corresponding particular

Solⁿ will be of the form,

$$\boxed{P_1n^t + P_2n^{t-1} + P_3n^{t-2} + \dots + P_tn + P_{t+1}}$$

Example: difference equation is $a_n + 5a_{n-1} + 6a_{n-2} = 3n^2$

\therefore total solⁿ $a_n = a_n^{(h)} + a_n^{(p)}$

\Rightarrow for $a_n^{(h)}$, characteristic equation will be

$$x^2 + 5x + 6 = 0 \quad (\because k=2)$$

$$\therefore (x+2)(x+3) = 0$$

$$\therefore x_1 = -2, x_2 = -3$$

$$\therefore a_n^{(h)} = A_1(-2)^n + A_2(-3)^n$$

\Rightarrow for $a_n^{(p)}$, assume the general form of particular solⁿ

$$P_1 n^2 + P_2 n + P_3 \quad \text{where } P_1, P_2, P_3 = \text{constants.}$$

\Rightarrow Substituting the expression in L.H.S. in difference equation

we get,

$$(P_1 n^2 + P_2 n + P_3) + 5[P_1(n-1)^2 + P_2(n-1) + P_3] + 6[P_1(n-2)^2 + P_2(n-2) + P_3] = 3n^2$$

by simplifying we get,

$$12P_1 n^2 - (34P_1 - 12P_2)n + (29P_1 - 17P_2 + 12P_3) = 3n^2$$

$$\therefore \begin{array}{l|l|l} 12P_1 = 3 & -(34P_1 - 12P_2) = 0 & 29P_1 - 17P_2 + 12P_3 = 0 \\ \hline \boxed{P_1 = \frac{1}{4}} & \therefore 34P_1 = 12P_2 & \therefore P_3 = \frac{-29(\frac{1}{4}) + 17(\frac{17}{24})}{12} \\ & \therefore P_2 = \frac{17}{34} \left(\frac{1}{4} \right) & \\ & \boxed{P_2 = \frac{17}{24}} & \boxed{P_3 = \frac{115}{288}} \end{array}$$

$$\therefore a_n^{(p)} = P_1 n^2 + P_2 n + P_3$$

$$a_n^{(p)} = \frac{1}{4} n^2 + \frac{17}{24} n + \frac{115}{288}$$

$$\therefore a_n = a_n^{(h)} + a_n^{(p)}$$

Ex: 10.4: Solve the difference equation: $6a_n + 5a_{n-1} + 6a_{n-2} = 3n^2 - 2n + 1$

Sol.ⁿ: Homogeneous Sol.ⁿ $a_n^{(h)} = A_1(-2)^n + A_2(-3)^n$ ——— (I)

⇒ Now, for Particular Sol.ⁿ $a_n^{(p)}$, general Sol.ⁿ will be $P_1 n^2 + P_2 n + P_3$

$$\therefore 12P_1 n^2 - (34P_1 - 12P_2)n + (29P_1 - 17P_2 + 12P_3) = 3n^2 - 2n + 1$$

$$\therefore \begin{cases} 12P_1 = 3 \\ 34P_1 - 12P_2 = -2 \\ 29P_1 - 17P_2 + 12P_3 = 1 \end{cases} \Rightarrow \begin{cases} P_1 = \frac{1}{4} \\ P_2 = \frac{13}{24} \\ P_3 = \frac{71}{288} \end{cases}$$

$$\therefore a_n^{(p)} = \frac{1}{4}n^2 + \frac{13}{24}n + \frac{71}{288} \text{ ——— (II)}$$

* CASE-III: INHOMOGENEOUS LINEAR RECURRENCE RELATION:

$$\rightarrow \text{if } C_0 a_n + C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_k a_{n-k} = \beta^n f(n) \text{ ——— (I)}$$

Where, $\beta = \text{constant}$

$f(n) = \text{polynomial of degree } t$

the corresponding particular Sol.ⁿ will be,

$$(P_1 n^t + P_2 n^{t-1} + P_3 n^{t-2} + \dots + P_{t+1}) \beta^n$$

⇒ CASE-III: Subcase: if for equ. (I) β is characteristic root in the multiplicity m then, the corresponding particular Sol.ⁿ will be,

$$\sum_{j=0}^m (P_1 n^t + P_2 n^{t-1} + P_3 n^{t-2} + \dots + P_{t+1}) \beta^{n+j}$$

∴ in such case $(\alpha - \beta)^m$ will be the factors of the characteristic equation.

Ex: 10.5 Solve the difference equation: $a_n - 5a_{n-1} + 6a_{n-2} = 1$

Solⁿ: Here, characteristic eq. will be \Rightarrow To find particular Solⁿ.

$$x^2 - 5x + 6 = 0$$

$$\therefore (x-2)(x-3) = 0$$

$$\therefore x_1 = 2, x_2 = 3$$

$$\therefore a_n^{(h)} = A_1 2^n + A_2 3^n \quad \text{--- (I)}$$

$$b_n = 1 \quad \therefore \text{degree is zero}$$

\therefore Solⁿ of particular Solⁿ is

$$a_n^{(p)} = P$$

\therefore by putting particular Solⁿ in given difference equation.

$$P - 5P + 6P = 1$$

$$2P = 1$$

$$P = \frac{1}{2}$$

$$\therefore a_n^{(p)} = \frac{1}{2} \quad \text{--- (II)}$$

\therefore total Solⁿ from (I) & (II)

$$a_n = A_1 2^n + A_2 3^n + \frac{1}{2}$$

Continue... Ex: ~~10.6~~ : $a_n + 5a_{n-1} + 6a_{n-2} = 4 \cdot 4^n$

\Rightarrow To find particular Solⁿ general Solⁿ will be

$$a_n^{(p)} = P 4^n$$

$$42 = f(x) \rightarrow \text{of degree zero}$$

$$4^n = x^n$$

\therefore from given difference equation,

$$P 4^n + 5P 4^{n-1} + 6P 4^{n-2} = 4 \cdot 4^n$$

$$\therefore P + 5P \cdot \frac{1}{4} + 6P \cdot \frac{1}{16} = 4$$

$$\therefore \left(P + \frac{5P}{4} + \frac{6P}{16} \right) = 4 \Rightarrow \frac{P}{16} (16 + 20 + 6) = 4$$

$$\Rightarrow P = 16$$

$$\therefore a_n^{(p)} = 16 \cdot 4^n$$

\therefore Total Solⁿ

$$a_n = a_n^{(h)} + a_n^{(p)}$$

$$a_n = A_1 (-2)^n + A_2 (-3)^n + 16 \cdot 4^n$$

Ex: 10.6 Solve the difference equation: $a_n + a_{n-1} = 3n \cdot 2^n$

Solⁿ: for homogeneous solⁿ characteristic equation,

$$\alpha + 1 = 0 \quad \therefore a_n^{(h)} = A_1 (-1)^n \quad \text{--- (I)}$$

$$\therefore \alpha = -1$$

for particular solⁿ

here $f(n) = 3n \rightarrow$ of degree 1
 $\beta^n = 2^n$

$$\therefore a_n^{(p)} = (P_1 n + P_2) \cdot 2^n$$

\rightarrow Putting in given difference equation,

$$(P_1 n + P_2) \cdot 2^n + (P_1 (n-1) + P_2) \cdot 2^{n-1} = 3n \cdot 2^n$$

$$\therefore \frac{3}{2} P_1 n \cdot 2^n + \left(-\frac{1}{2} P_1 + \frac{3}{2} P_2\right) 2^n = 3n \cdot 2^n$$

$$\therefore \frac{3}{2} P_1 = 3 \quad \left| \quad -\frac{1}{2} P_1 + \frac{3}{2} P_2 = 0 \right.$$

$$\therefore \boxed{P_1 = 2} \quad \left| \quad -\frac{1}{2} \times 2 + \frac{3}{2} P_2 = 0 \right.$$

$$\therefore \frac{3}{2} P_2 = 1$$

$$\therefore \boxed{P_2 = \frac{2}{3}}$$

$$\therefore a_n^{(p)} = \left(2n + \frac{2}{3}\right) \cdot 2^n \quad \text{--- (II)}$$

from (I) & (II), total solⁿ will be

$$\boxed{a_n = A_1 (-1)^n + \left(2n + \frac{2}{3}\right) 2^n}$$

Ex: 10.7: Solve the difference equation: $a_n - 2a_{n-1} = 3 \cdot 2^n$

Solⁿ: for homogeneous solⁿ characteristic equation will be

$$\alpha - 2 = 0$$

$$\therefore \alpha = 2 \quad \rightarrow \quad \beta = 2 \text{ is one of the characteristic root in the multiplicity 1.}$$

$$\therefore \boxed{a_n^{(h)} = A_1 2^n} \quad \text{--- (I)}$$

Continue. ex: 10.7

⇒ for Particular Sol.ⁿ

as β is one of the characteristic root in the multiplicity 1
Particular Sol.ⁿ will be

$$a_r^{(P)} = P \cdot 2^r \quad \text{--- (II)}$$

⇒ substituting (II) in given difference equation, we get,

$$P \cdot 2^r - 2P(\lambda-1)2^{r-1} = 3 \cdot 2^r$$

$$P \cdot 2^r - 2P \cdot 2^{r-1} + 2P \cdot 2^{r-1} = 3 \cdot 2^r$$

$$\therefore P = 3$$

$$\therefore a_r^{(P)} = 3r \cdot 2^r \quad \text{--- (III)}$$

$$\therefore a_r = a_r^{(h)} + a_r^{(P)} = A_1 \cdot 2^r + 3r \cdot 2^r \Rightarrow \boxed{a_r = (A_1 + 3r) \cdot 2^r}$$

Ex: 10.8 Solve the difference equation:

$$a_r - 4a_{r-1} + 4a_{r-2} = (r+1)2^r$$

Sol.ⁿ for particular Sol.ⁿ

$$a_r^{(P)} = (P_1 r + P_2) \cdot 2^r \cdot r^2 \quad \text{--- (II)}$$

here,
f(x) = (x+1) of
degree 1
 $\beta = 2$

∴ Substituting (II) in given
difference equation, we get

$$(P_1 r + P_2) 2^r \cdot r^2 - 4 \cdot 2^{r-1} (r-1)^2 [P_1 (r-1) + P_2]$$

$$+ 4 \cdot 2^{r-2} (r-2)^2 [P_1 (r-2) + P_2] = 2^r \cdot r + 2^r$$

⇒ by simplifying

$$6P_1 r \cdot 2^r = r \cdot 2^r$$

$$\therefore \boxed{P_1 = \frac{1}{6}}$$

$$(-12P_1 + 2P_2) \cdot 2^r = 2^r$$

$$P_2 = \frac{12P_1 + 1}{2}$$

$$\therefore \boxed{P_2 = 1}$$

⇒ for homogeneous Sol.ⁿ

$$a_r - 4a_{r-1} + 4a_{r-2} = 0$$

$$\therefore x^2 - 4x + 4 = 0$$

$$\therefore (x-2)^2 = 0$$

$$\therefore \boxed{x_1 = x_2 = 2} \Rightarrow \boxed{a_r = (A_1 r + A_2) 2^r} \quad \text{--- (I)}$$

also, $\beta = 2$

⇒ ∴ β is one of the characteristic
root in the multiplicity 2.

Continue... ex. 10.8

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$$a_n = a_n^{(h)} + a_n^{(p)} = (A_1 n + A_2) \cdot 2^n + \left(\frac{1}{6}n + 1\right) 2^n \cdot n^2$$

Ex: 10.9 Solve the difference equation: $a_n = a_{n-1} + 7$.

Sol.ⁿ: \Rightarrow for particular Sol.ⁿ \Rightarrow for homogeneous Sol.ⁿ

here, $f(n) = 7$ which is of degree 0 and

$\beta = 1$ & also β is

one of the characteristic root in the multiplicity 1.

$$a_n - a_{n-1} = 0$$

$$\alpha - 1 = 0 \Rightarrow \alpha = 1$$

$$\therefore a_n^{(h)} = A_1 \cdot 1^n = \boxed{A_2} \text{ --- (I)}$$

$$\therefore a_n^{(p)} = P \cdot 1^n \cdot n^1 = Pn \text{ --- (II)}$$

\Rightarrow equ. (II) Substituting in given difference equation, we get

$$Pn - P(n-1) = 7$$

$$Pn - Pn + P = 7 \Rightarrow P = 7$$

$$\therefore a_n^{(p)} = 7n \Rightarrow \boxed{a_n = A_2 + 7n}$$

Ex: 10.10: Solve the difference equation: $a_n - 2a_{n-1} + a_{n-2} = 7$

Sol.ⁿ: \Rightarrow for particular Sol.ⁿ \Rightarrow for homogeneous Sol.ⁿ

here $f(n) = 7$ of degree zero

$\beta = 1$ which is also characteristic root in the multiplicity 2

$$\therefore a_n^{(p)} = P \cdot 1^n \cdot n^2 = \boxed{Pn^2} \text{ --- (II)}$$

$$a_n - 2a_{n-1} + a_{n-2} = 0$$

$$\therefore \alpha^2 - 2\alpha + 1 = 0 \Rightarrow (\alpha - 1)^2 = 0$$

$$\therefore \alpha_1 = \alpha_2 = 1$$

$$\therefore a_n^{(h)} = (A_1 n + A_2) 1^n \text{ --- (I)}$$

\Rightarrow Substituting equ. (II) in given difference equation, we get

$$P = \frac{7}{2} \therefore a_n^{(p)} = \frac{7}{2} n^2$$

$$\therefore \boxed{a_n = A_1 n + A_2 + \frac{7}{2} n^2}$$

Ex: 10.11 Solve the difference equation:

$$a_n - 5a_{n-1} + 6a_{n-2} = 2^n + n \quad \text{--- (I)}$$

Sol.ⁿ: \Rightarrow for particular Sol.ⁿ

here, $f(n) = 1$ which is of order zero

$p = 2$ is also

p is one of the characteristic root in the multiplicity 1

$\rightarrow \therefore$ partial sol.ⁿ $P_1 2^n \cdot n$

\rightarrow Also here $g(n) = n$

\therefore another partial sol.ⁿ $= P_2 n + P_3$

$$\therefore \boxed{a_n^{(P)} = P_1 n 2^n + P_2 n + P_3} \quad \text{--- (III)}$$

\rightarrow Substituting equ. (III) in given difference equation, we get

$$P_1 = -2, \quad P_2 = \frac{1}{2}, \quad P_3 = \frac{7}{4}$$

$$\therefore a_n = a_n^{(P)} + a_n^{(h)}$$

$$\therefore \boxed{a_n = A_1 3^n + A_2 2^n - 2n 2^{n+1} + \frac{1}{2}n + \frac{7}{4}} \quad \text{Ans.}$$