# CSIT881 Programming and Data Structures

Introduction to Algorithm and Data Structure



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### **Objectives**

- Introduction to Algorithm
- Big O notation
- Introduction to Data structure

#### Encyclopedia Britannica:

An algorithm is a specific procedure for solving a well-defined computational problem.

#### Cambridge Dictionary:

An algorithm is a set of mathematical instructions or rules that, especially if given to a computer, will help to calculate an answer to a problem.

#### Oxford Languages:

An algorithm is a process or set of rules to be followed in calculations or other problem-solving operations, especially by a computer.

 Deterministic algorithm: always goes through the same internal states and produces the same output result.

• Randomized algorithm: uses a source of randomness as part of its logic and obtains the result by chance; output may change between different runs.

#### **Deterministic algorithm:**

Given the same input, the algorithm

- takes the same amount of time, memory, resources to run
- follows the same programming instructions at each execution
- produces the same result output

#### Randomized algorithm:

Given the same input, the algorithm

- may take different amount of time, memory, resources to run at different time
- may follow different path of programming instructions at different execution
- may produce different result output

#### Randomized algorithm:

- Monte Carlo algorithm: a randomized algorithm whose output may be incorrect with a certain probability.
- Las Vegas algorithm: a randomized algorithm that always gives correct results.

**Example.** (Primality Test Problem) Given an integer n>1, determine whether n is a prime number or a not?

#### Simple Division Test Algorithm (deterministic algorithm)

For each integer k from 2 to (n-1), test to see if n divide k or not. If n divides any k then n is a composite number.
 Otherwise, n is a prime number.

#### pseudocode

```
FOR k from 2 to (n-1) ←

IF n divides k

RETURN n is NOT prime

END IF

END FOR

RETURN n is prime
```

An improvement: only need to test for k from 2 to sqrt(n)

**Example.** (Primality Test Problem) Given an integer n>1, determine whether n is a prime number or a not?

Fermat primality test (Monte Carlo randomized algorithm) based on the following Fermat's little theorem:

```
if n is a prime then for any 0 < k < n, k^{n-1} - 1 \text{ is divisible by n.}
```

• Select a random integer 0 < k < n and test if  $k^{n-1} - 1$  is divisible by n or not. If not then n is not a prime, otherwise, n is a prime.

#### Fermat primality test

based on the following *Fermat's little theorem*:

```
if n is a prime then for any 0 < k < n, k^{n-1} \ - \ 1 \ \mbox{is divisible by n.}
```

#### pseudocode

```
\begin{array}{l} k = \text{ random from 1 to (n-1)} \\ r = k^{n-1} \text{ modulo n} \\ \text{IF r != 1} \\ \text{ RETURN n is NOT prime} \\ \text{END IF} \\ \end{array}
```

Why this algorithm may output incorrect result?

#### Fermat primality test

based on the following *Fermat's little theorem*:

```
if n is a prime then for any 0 < k < n, k^{n-1} - 1 \text{ is divisible by n.}
```

Why this algorithm may output incorrect result?

- if  $k^{n-1}$  != 1 (mod n) then n is definitely not a prime
- is  $k^{n-1} = 1 \pmod{n}$  then n may be a prime and n may not be a prime we don't know for sure, for example, n = 13 x 17 = 221 is not a prime, but for k = 38 we have  $38^{220} = 1 \pmod{221}$

#### Fermat primality test

An improvement: to reduce the chance that the program outputs incorrect result, we may run it many times

pseudocode

```
REPEAT t times k = \text{random from 1 to (n-1)} r = k^{n-1} \text{ modulo n} IF r != 1 RETURN n \text{ is NOT prime} END IF RETURN n \text{ is prime}
```

**Algorithm analysis**: determine efficiency of an algorithm by looking at computational resource used by the algorithm:

- running time: how long does the algorithm take to complete?
- memory usage: how much working memory is needed by the algorithm?

The computational resource (running time, memory usage) used by the algorithm depends on the input of the algorithm.

- For some input, the algorithm may run very fast; but for other input, it may run very long.
- For some input, the algorithm may use a lot of memory; but for other input, it may use less memory.

#### Algorithm analysis:

- worst-case complexity: measures computational resource in the worst case scenario, i.e. in the case it requires the longest running time (or largest memory usage)
- best-case complexity: measures computational resource in the best case scenario, i.e. in the case it requires the shortest running time (or least amount of memory)
- average-case complexity: measures computational resource used by the algorithm, averaged over all possible inputs

#### Big O notation:

As the input size of the algorithm grows, the computational resource (running time, memory usage) used by the algorithm also grows.

We use big O notation to express the growing value of the computational resource as a function of the input size.

- O(1): constant
- O(log n): logarithmic measure the bit length of n
- O(n): linear
- O(n<sup>2</sup>): quadratic

: belong

#### Big O notation:

Mathematical definition:

```
f(n) = O(g(n)) if there exists a constant c such that f(n) < c g(n) when n tends to infinity.
```

#### Remarks:

- only consider large values of n when working with big O notation, ignore small values of n;
- ignore multiplicative constant;
- only consider the largest term in a sum.

#### Big O notation:

Example: suppose that the running time of an algorithm on input size n is  $(3 n^2 + 100 n + 2000)$ , write this running time in big O notation.

- only consider large values of n when working with big O notation, ignore small values of n:
  - $\circ$  100 n < n<sup>2</sup> (when n tends to infinity)
  - $\circ$  2000 <  $n^2$  (when n tends to infinity)
- only consider the largest term in a sum:

$$\circ$$
 3 n<sup>2</sup> + 100 n + 2000 = O(3 n<sup>2</sup> + n<sup>2</sup> + n<sup>2</sup>) = O(5 n<sup>2</sup>)

- ignore multiplicative constant:
  - $\circ$  3 n<sup>2</sup> + 100 n + 2000 = O(5 n<sup>2</sup>) = O(n<sup>2</sup>)

#### Big O notation:

 $1 < \log(\log(n)) < \log(n) < n < n \log(n) < n^2 < n^2 \log(n) < n^3 < n^3 \log(n) < n^4 < n^5 < n^6 < ...$ 

- 10000 = O(1)
- 4 n + 7 = O(n)
- $n + 3 \log(n) + 100 = O(n)$
- $5 \log(n) + 100 = O(\log(n))$
- $3 n^2 + 7 n + 8 = O(n^2)$
- $4 \text{ n log(n)} + 3 \text{ n}^2 + \text{n} = O(\text{n}^2)$

**Example**: write a program to search for an index at which the two lists of integers having the same number.

Input: two lists of integers of the same length n

Output: the first index at which the two lists have the same number, return -1 if not found

Example: if list1 is [4, 6, -3, **7**, 1, 5]

and list2 is [8, -6, 8, 7, 4, 5] then the matching index is 3

**Example**: write a program to search for an index at which the two lists of integers having the same number.

Consider the following two algorithms:

pseudocode

```
Function algorithm1 (list1, list2)
{
    n = length of list1
    index = -1
    FOR i from 0 to (n-1)
        IF list1[i] = list2[i] and index = -1
            index = i
        END IF
    END FOR
    RETURN index
```

```
pseudocode
Function algorithm2 (list1, list2)
{
    n = length of list1
    FOR i from 0 to (n-1)
        IF list1[i] = list2[i]
             RETURN i
        END IF
    END FOR
    RETURN -1
```

These two algorithms have different **best-case complexity**, but the same **worst-case complexity** and **average-case complexity**. Why?

```
Function algorithm1 (list1, list2)
{
    n = length of list1
    index = -1
    FOR i from 0 to (n-1)
        IF list1[i] = list2[i] and index = -1
            index = i
        END IF
    END FOR
    RETURN index
```

For any input, the program will run the whole loop

```
FOR i from 0 to (n-1)
```

worst-case complexity: running time O(n)
best-case complexity: running time O(n)
average-case complexity: running time O(n)

```
Function algorithm2 (list1, list2)
    n = length of list1
    FOR i from 0 to (n-1)
        IF list1[i] = list2[i]
            RETURN i
        END IF
    END FOR
    RETURN -1
```

#### worst-case complexity: running time O(n)

- when no matching found, or
- a matching found at the end of the lists

#### **best-case complexity**: running time O(1)

when matching found at the beginning of the lists

```
Function algorithm2 (list1, list2)
{
    n = length of list1
    FOR i from 0 to (n-1)
        IF list1[i] = list2[i]
            RETURN i
        END IF
    END FOR
    RETURN -1
```

#### average-case complexity: running time O(n)

```
Matching found at index 0 \rightarrow \text{running time } 1

Matching found at index 1 \rightarrow \text{running time } 2

Matching found at index 2 \rightarrow \text{running time } 3...

Matching found at index n-1 \rightarrow \text{running time } n

No matching found \rightarrow \text{running time } n+1

(1+2+3+...+n+(n+1))/(n+1)=(n+2)/2 \implies O(n)
```

A **data structure** is a formal structure for the organization of information:

- a collection of data values;
- the relationships among them;
- the operations that can be applied to the data.

Examples of data structure: Array, Linked List, Doubly Linked List, Stack, Queue, Binary Tree, Hash Table, ...

For the same data structure (say Binary Tree), different programming languages may provide different implementations:

- class name may be different between programming languages;
- class name may not be the same as the original data structure name;
- functions name may be different between programming languages;
- some functions are implemented in one programming language but not in the others; etc...

With the diversity of programming language implementations, how can we provide a unified solution to a particular problem using data structure?

With the diversity of programming language implementations, how can we provide a unified solution to a particular problem using data structure?

Strategy: using abstract data type

An **abstract data type** (ADT) is a data structure model characterised by its functionalities and behaviors from the point of view of a user.

With abstract data type, we can describe an algorithm to solve a particular problem using pseudocode.

An **abstract data type** (ADT) is a data structure model characterised by its functionalities and behaviors from the point of view of a user.



**Example:** A stack is an abstract data type with the following operations:

- push(item): add an item onto the top of the stack;
- pop(): remove the item from the top of the stack and return it;
- top(): look at the item at the top of the stack, but do not remove it.

**Example:** 

Stack

Different programming languages (Java, C++, Python, etc.) may provide different implementations of this (ADT) stack.



The implementation classes may have different names, but that is NOT important.

The important thing is that they all have these basic operations: push(item), pop(), and top() with the expected behavior Last-In-First-Out

#### Working with abstract data types:

- we are only concerned with the behaviors of a data structure and what operations we can do with the data structure to solve the problem at hand;
- not really concerned about how it is actually implemented under the hood;
- in software development, we need to look at the API to learn about the operations and behaviors of an abstract data type; we do not really need to look at the implementation details;
- study algorithm with abstract data types and pseudocode helps us to implement solution in any programming language.

To decide to use the data structure or not?

Ask yourself this question:

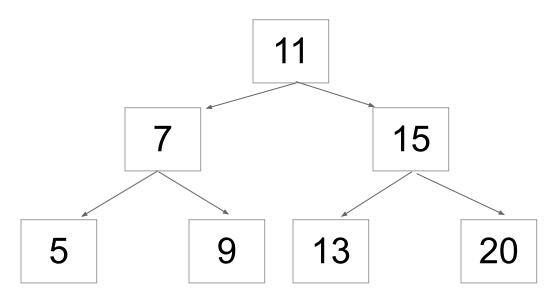
 with the behaviors of the data structure and the operations we are allowed to use with this data structure, can we efficiently solve the problem that we need to solve?

Examples of using data structure to solve problem:

Parenthesis checking using Stack

$$4 * \{z - [(a+b) * c]\}$$

Searching using Binary search tree



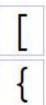
In mathematics, we use different types of parenthesis, such as (, ), {, }, [, ], to write an expression

$$4 * \{z - [(a+b) * c]\}$$

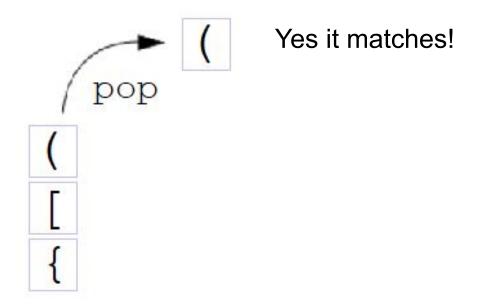
We can use Stack to check the validity of these expressions to make sure every open parentheses matches with a closed parentheses.

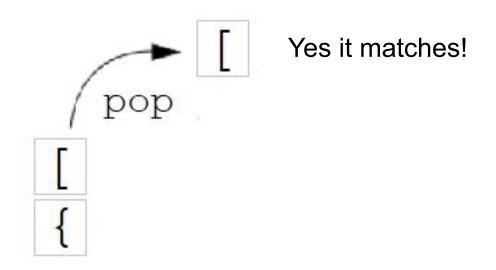
4 \* {
$$z - (a+b) * c}$$
}   
encounter open symbol push it in Stack

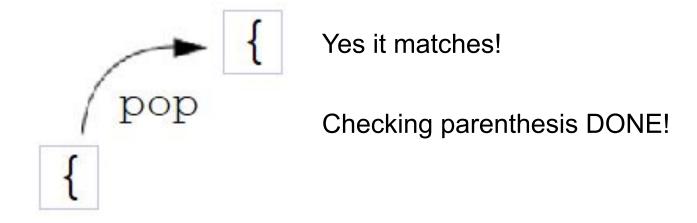




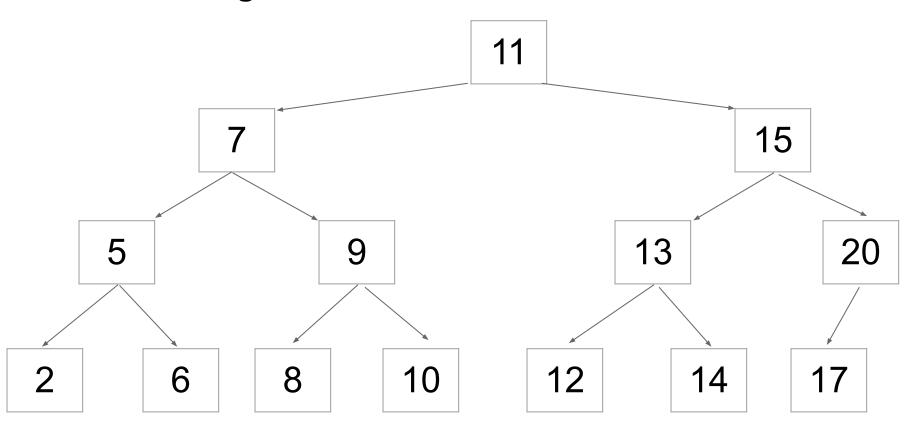


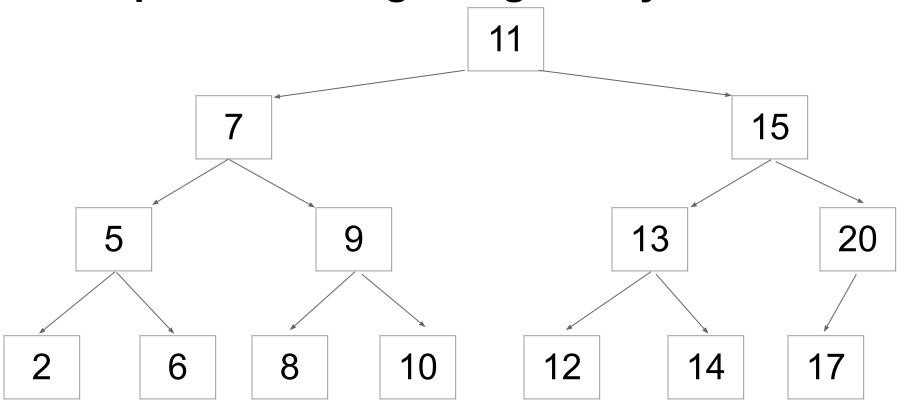






In a binary search tree, each node stores a key **greater than** all the keys in the node's **left subtree** and **less than** those in its **right subtree**.

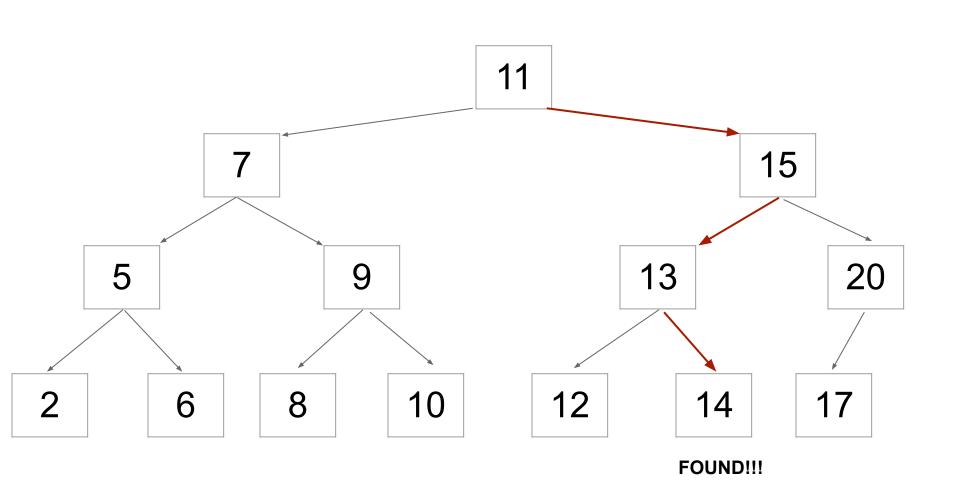




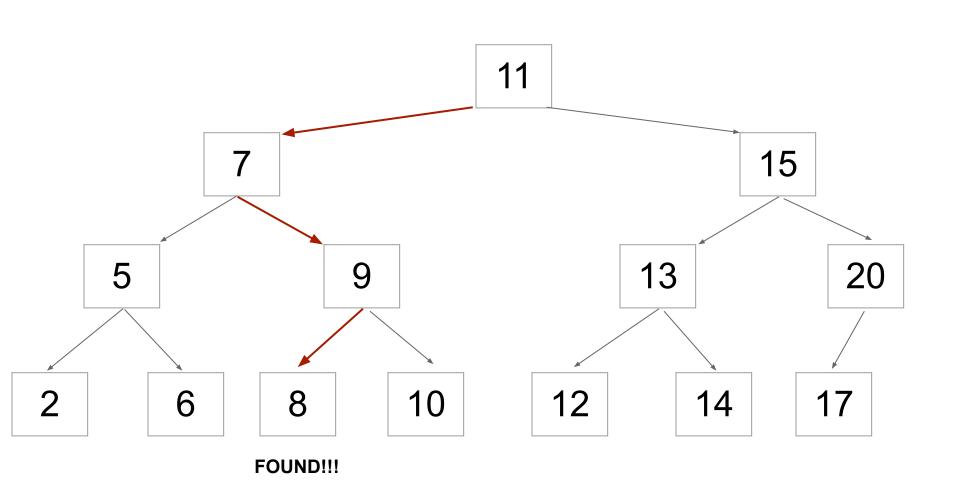
#### Notice that:

- All nodes on the left of 7 are less than 7;
- All nodes on the right of 15 are greater than 15; etc...

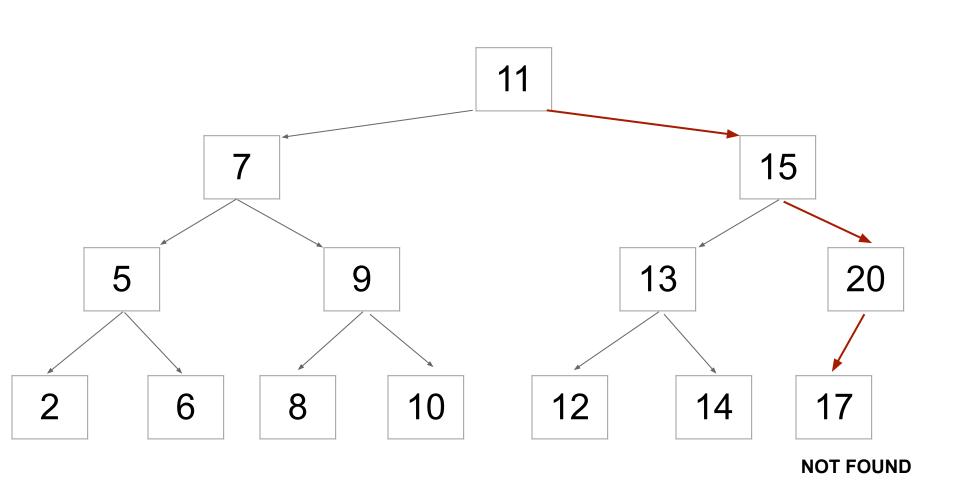
Searching for 14:



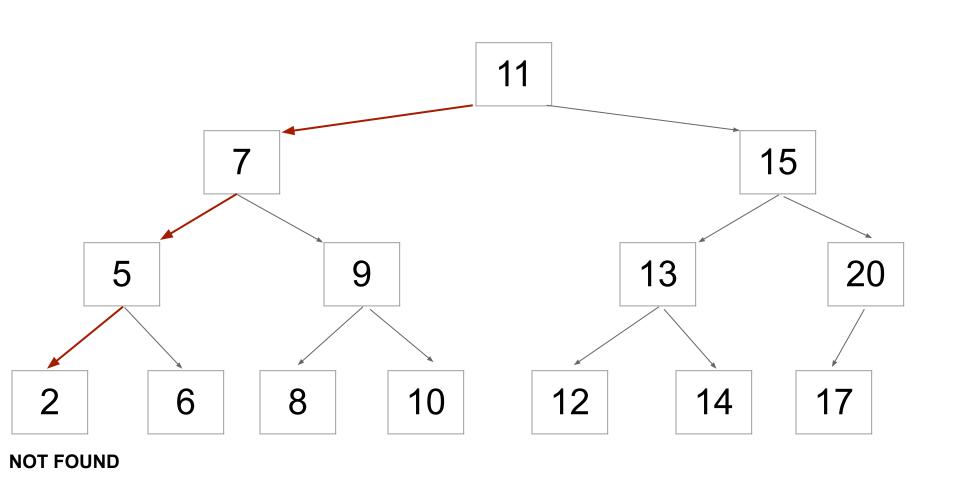
Searching for 8:



Searching for 16:



Searching for 3:



#### References

Python 3 documentation https://docs.python.org/3/

NumPy Reference https://numpy.org/doc/stable/reference/