

# 힙 구조



# Double-ended Priority Queues



# ❑ Double-Ended Priority Queue

- It supports the following operations:
  1. Insert an element with an arbitrary key.
  2. Delete an element with the largest key.
  3. Delete an element with the smallest key.

# □ Class "DoubleEndedPriorityQ"

## ■ Public Functions

- public DoubleEndedPriorityQ () {...}
- public DoubleEndedPriorityQ (int givenMaxSize) {...}
- public boolean isEmpty () {...}
- public boolean isFull () {...}
- public int size () {...}
- public boolean add (Element anElement) {...}
- public int min () {...}
- public int max () {...}

# ❏ Implementation

- Using **Min-Max Heaps** or **Deaps**

- Private Instance Variables

```
public class DoubleEndedPriorityQ {  
    private int    _maxSize ;  
    private int    _size ;  
    private int[]  _heap ;  
  
    .....  
}
```

# Min-Max Heaps

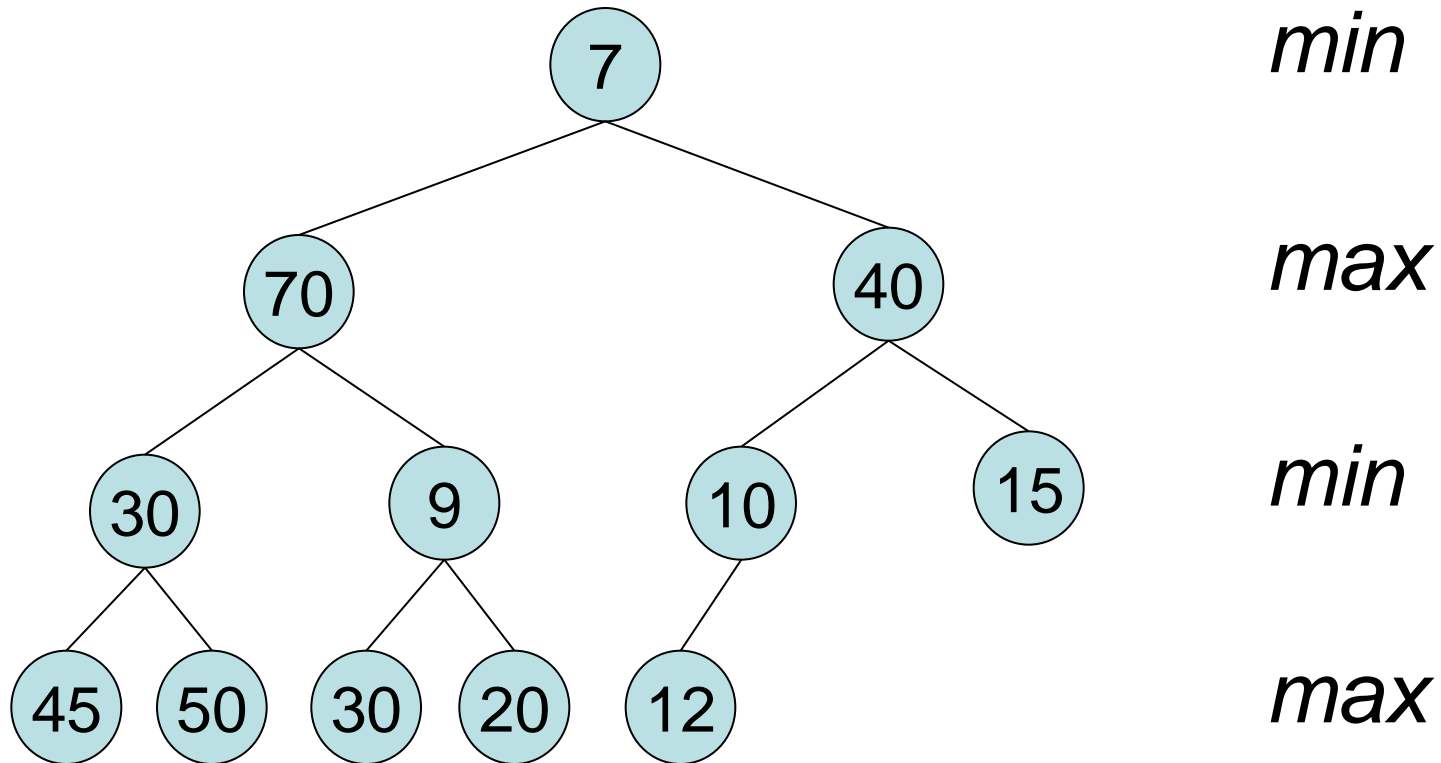


# □ Min-Max Heaps

- A complete binary tree such that if it is not empty, each element has a field, called *key*.
- Alternating levels of this tree are min levels and max levels, respectively.
- The root is on a min level.
- Let  $x$  be a node in a min-max heap.
  - If  $x$  is on a min level, then the element in  $x$  has the minimum key from among all elements in the subtree with root  $x$ .
    - ◆ We call this node a *min node*.
  - Similarly, if  $x$  is on a max level, then the element in  $x$  has the maximum key from among all elements in the subtree with root  $x$ .
    - ◆ We call this node a *max node*.



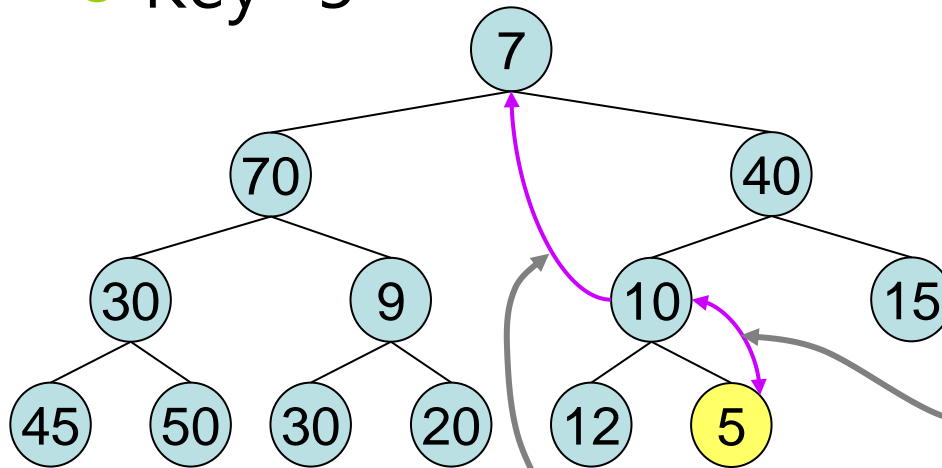
# ■ An Example of Min-Max Heap



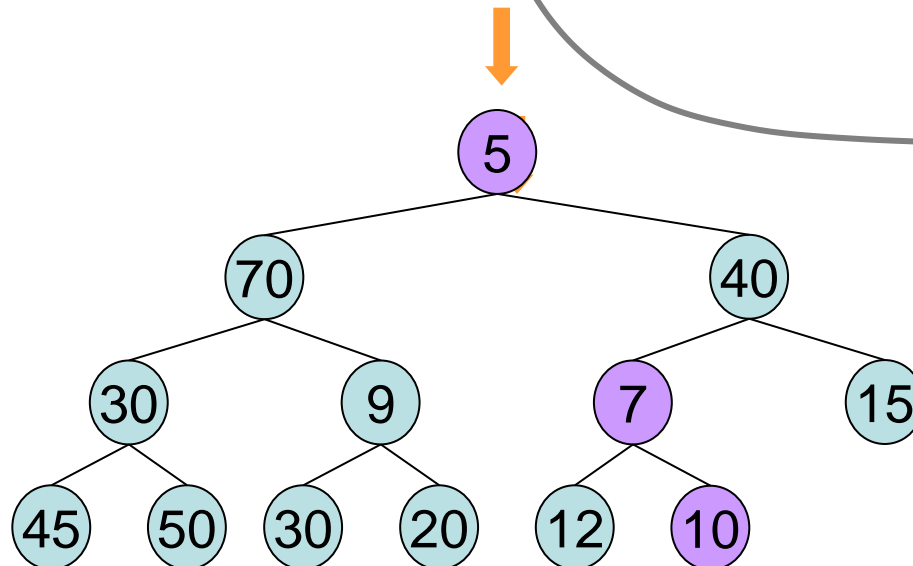


# Insertion

● Key=5



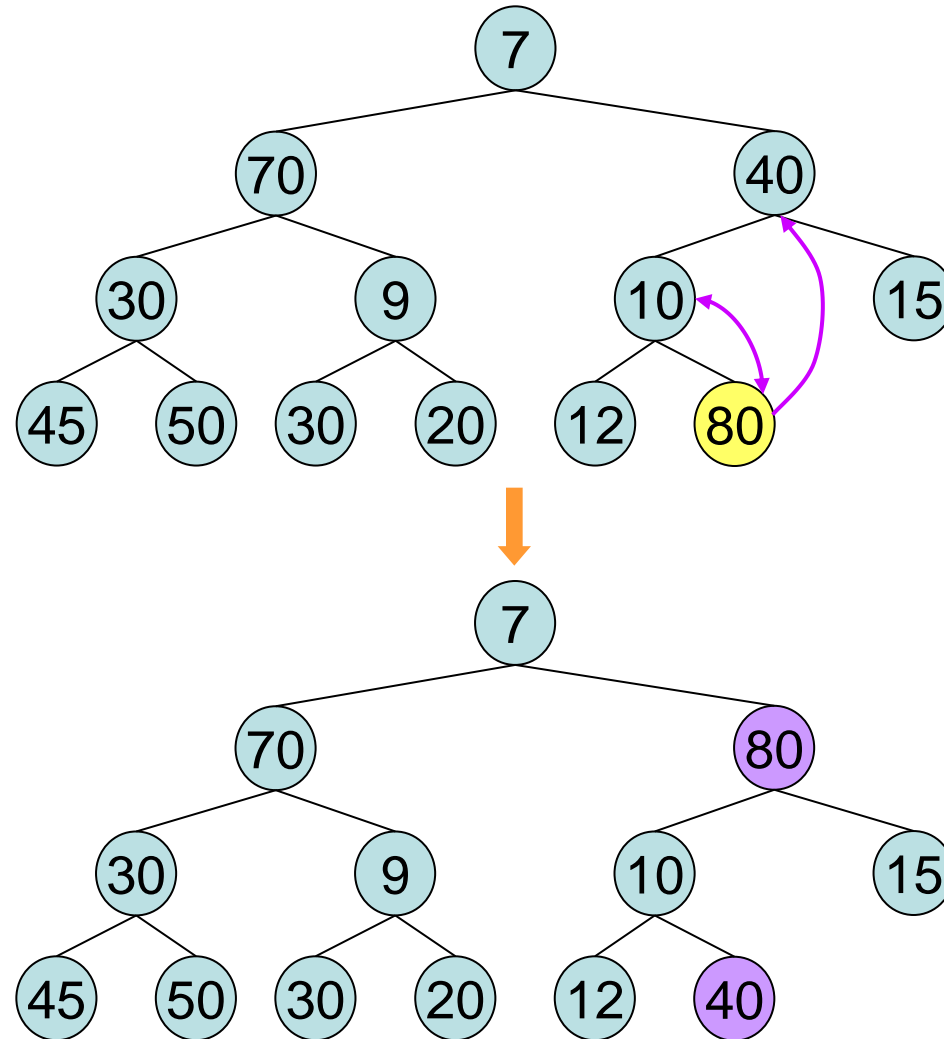
- Determine whether the inserted node will be located on the min level or on the max level by comparing its parent node.
- In this case, '5' will be put to the min level.



- Then, '5' is exchanged with '10'.
- '5' will go up only through the min levels.

# Insertion

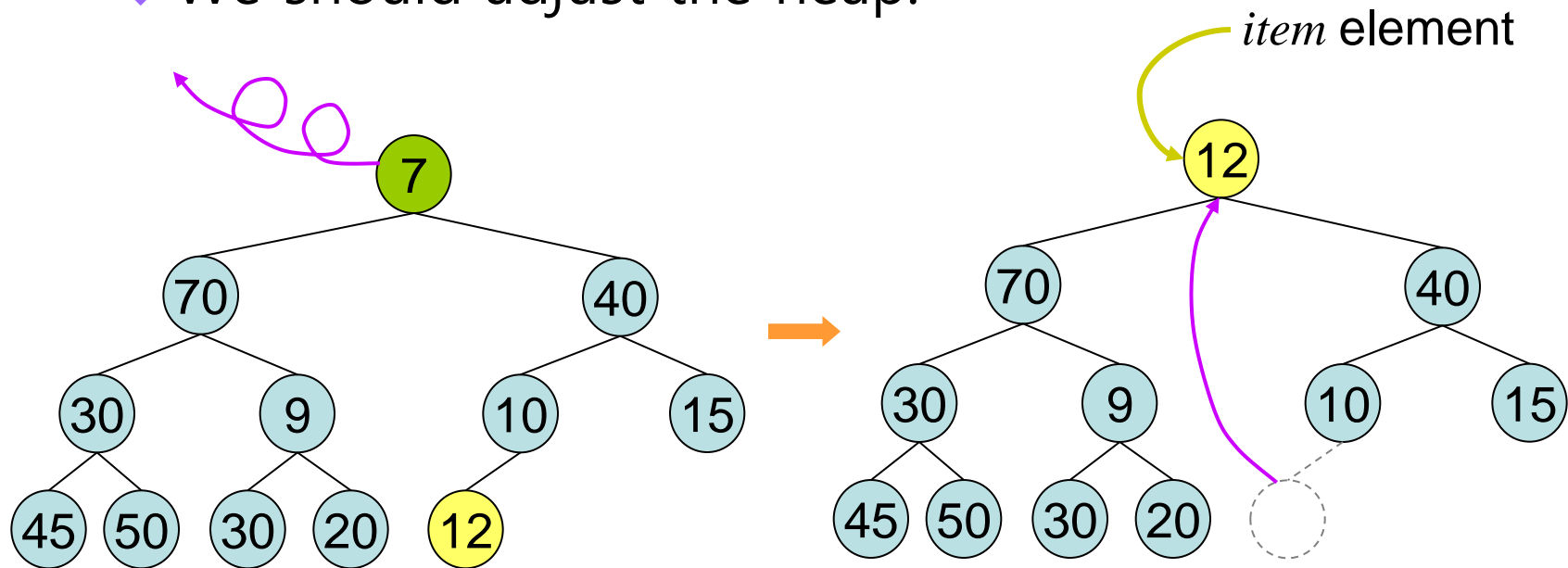
● Key=80



■ Analysis of Insert :  $O(\log n)$

## ■ Deletion of MIN

- The root has the smallest key.
  - ◆ So, the root is deleted as the min element.
- The last element of the heap is deleted and reinserted into the root.
  - ◆ We should adjust the heap.



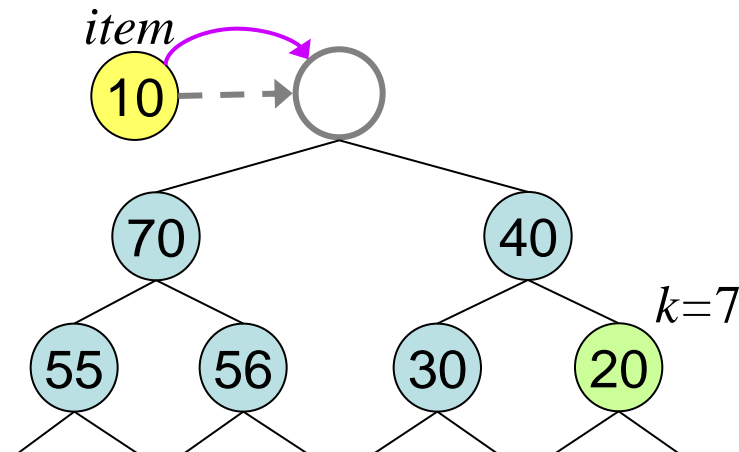
## ■ Adjusting the heap after deleting MIN.

- The root has no children.
  - ◆ The tree becomes empty after deletion.
- The root has at least one child.
  - ◆ The smallest key is in one of the children or grand-children of the root. Let this be node  $k$ .

(a)  $item.key \leq heap[k].key$

In this case, there is no element in the heap with key smaller than  $item.key$ .

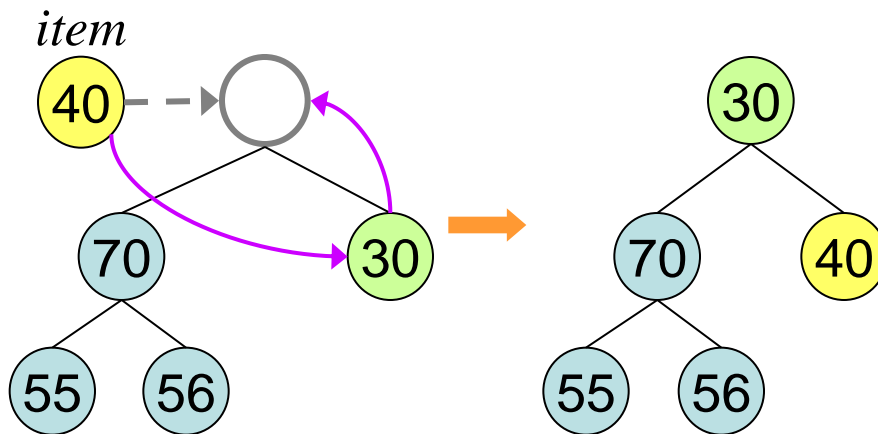
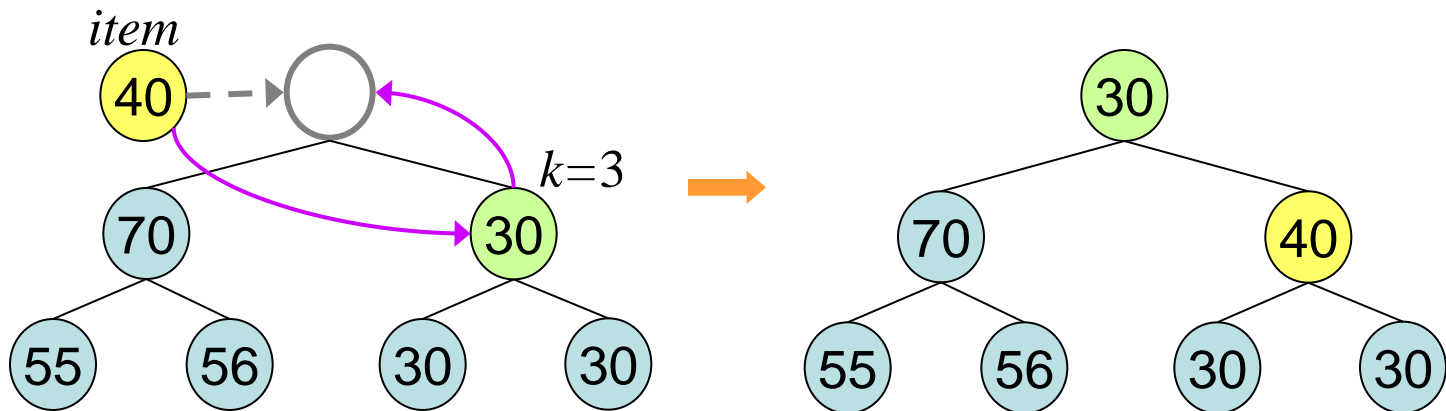
So,  $item$  may be inserted into the root.



(b)  $item.key > heap[k].key$  and node  $k$  is a child of the root.

Then  $k$  is a max node. Hence, node  $k$  has no descendants with key larger than  $heap[k].key$ .

So, the element  $heap[k]$  may be moved to the root and item inserted into node  $k$ .



Note: Actually if node  $k$  has descendants, then they have the same key value as the  $heap[k].key$ .

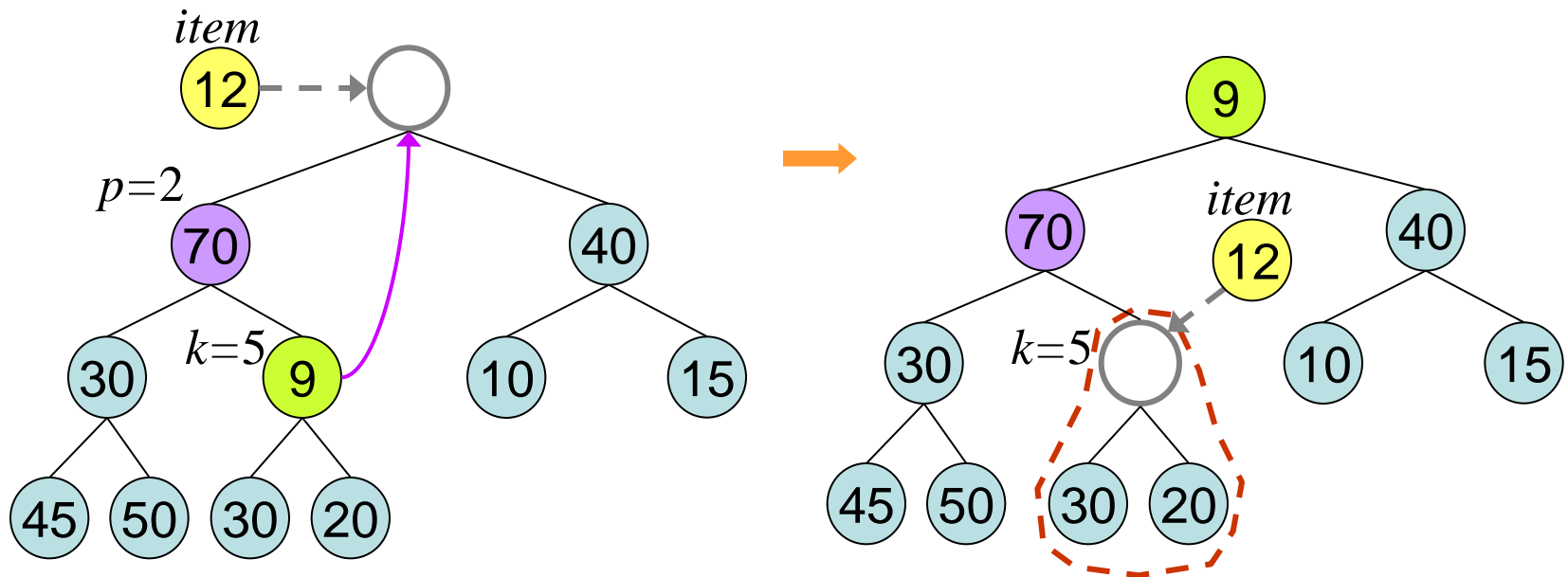
(c)  $item.key > heap[k].key$  and  $k$  is a grandchild of the root.

Let  $p$  be the parent of  $k$ . (i.e.,  $p = \lfloor k/2 \rfloor$ )

$heap[k]$  may be moved to the root.

①  $item.key \leq heap[p].key$

Repeat the above adjusting process for the sub min-max heap with root  $k$ .

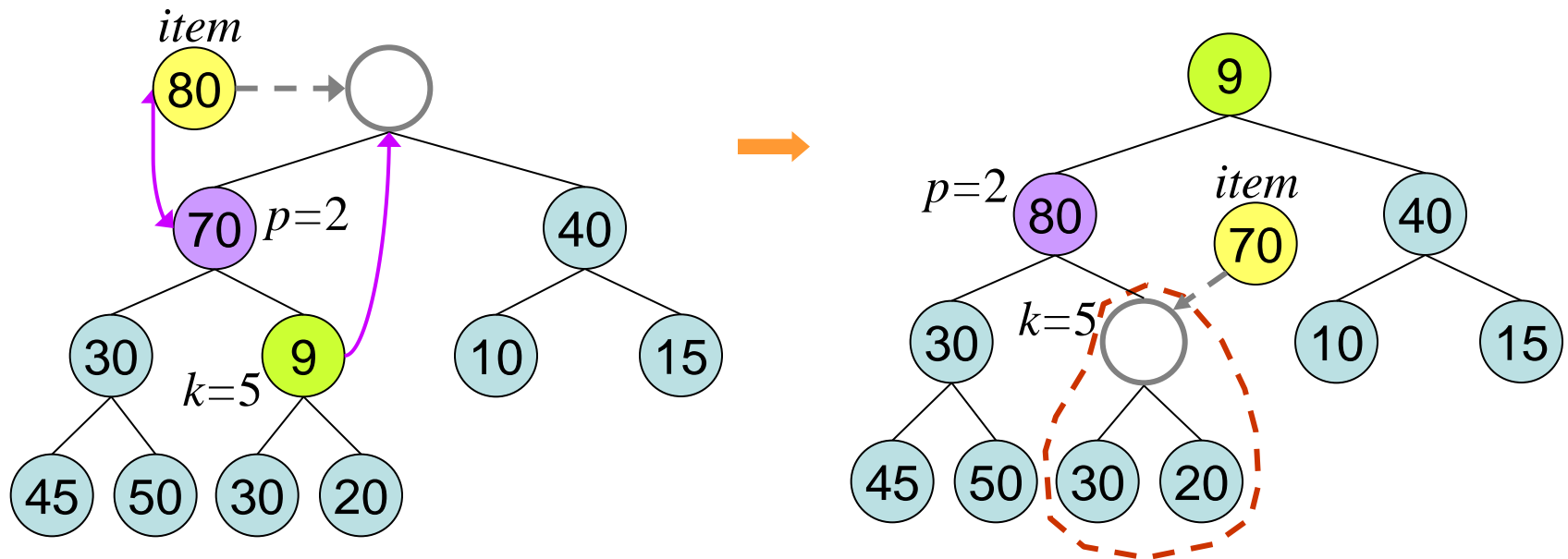


②  $item.key > heap[p].key$

$item$  and  $heap[p]$  are interchanged.

The max node  $p$  contains the largest key in the sub-heap with the root  $p$ .

Repeat the above adjusting process for the sub min-max heap with root  $k$ .



■ Analysis of Delete MIN :  $O(\log n)$ .



# Deaps



# □ Deaps

## ■ Double-ended heap

- supports the double ended priority queue operations.

- ◆ insert
- ◆ delete min
- ◆ delete max

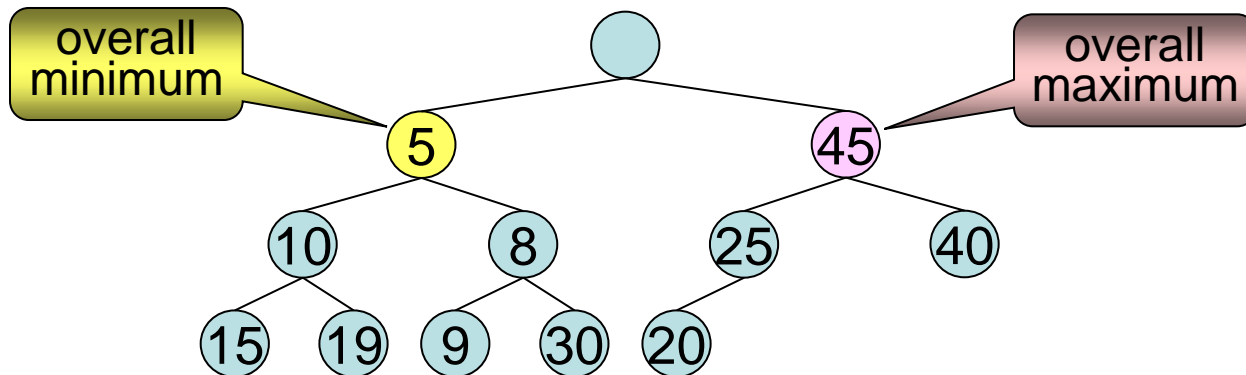
## ■ $O(\log n)$ for each operation

- $n$  is the size of a deap.

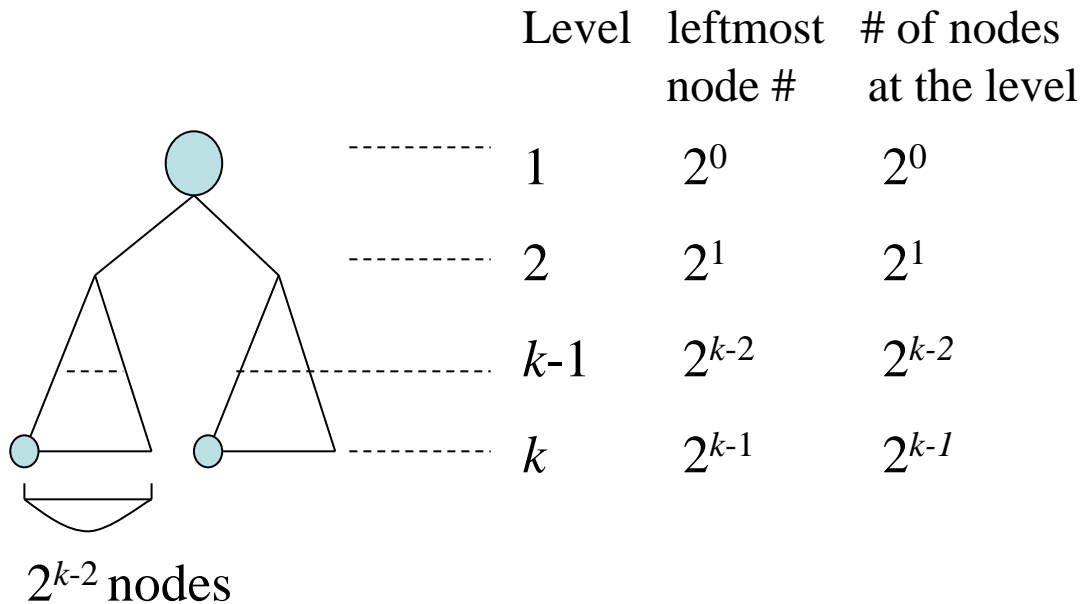
# Deaps

- A **deap** is a complete binary tree that is either empty or satisfies the following properties:
  1. The root contains no element.
  2. The left subtree is a min-heap.
  3. The right subtree is a max-heap.
  4. If the right subtree is not empty, then let  $i$  be any node in the left subtree.  
Let  $j$  be the corresponding node in the right subtree.  
If such a  $j$  does not exist, then let  $j$  be the node in the right subtree that corresponds to the parent of  $i$ .  
The key in node  $i$  is less than or equal to the key in  $j$ .

Let  $j$  be the corresponding node in the right subtree.  
If such a  $j$  does not exist, then let  $j$  be the node in the right subtree that corresponds to the parent of  $i$ .  
The key in node  $i$  is less than or equal to the key in  $j$ .



# □ How to compute the value of $j$ :



$$j = i + 2^{k-2}$$

$$2^{k-1} \leq i < 2^k$$

$$k - 1 \leq \log_2 i < k$$

$$k - 1 = \lfloor \log_2 i \rfloor$$

$$k = \lfloor \log_2 i \rfloor + 1$$

$$j = i + 2^{(\lfloor \log_2 i \rfloor + 1) - 2}$$

$$= i + 2^{\lfloor \log_2 i \rfloor - 1}$$

Consequently,

$$j = i + 2^{\lfloor \log_2 i \rfloor - 1};$$

$$\text{if } (j > n) j /= 2;$$

# □ Functions for Deap Insertion

## ■ $max\_heap(n)$

- Returns TRUE iff  $n$  is a position in the max-heap of the deap.

## ■ $min\_partner(n)$

- Computes the min-heap node that corresponds to the max-heap position  $n$ . The value is  $(n - 2^{\lfloor \log_2 n \rfloor - 1})$ .

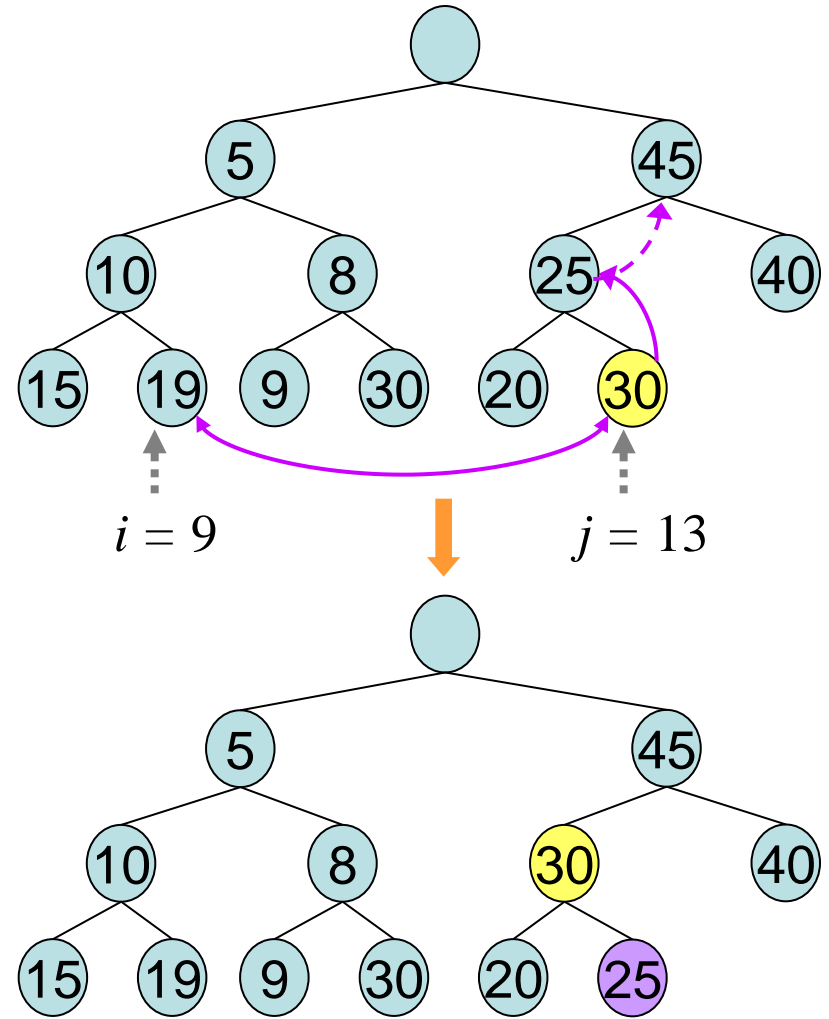
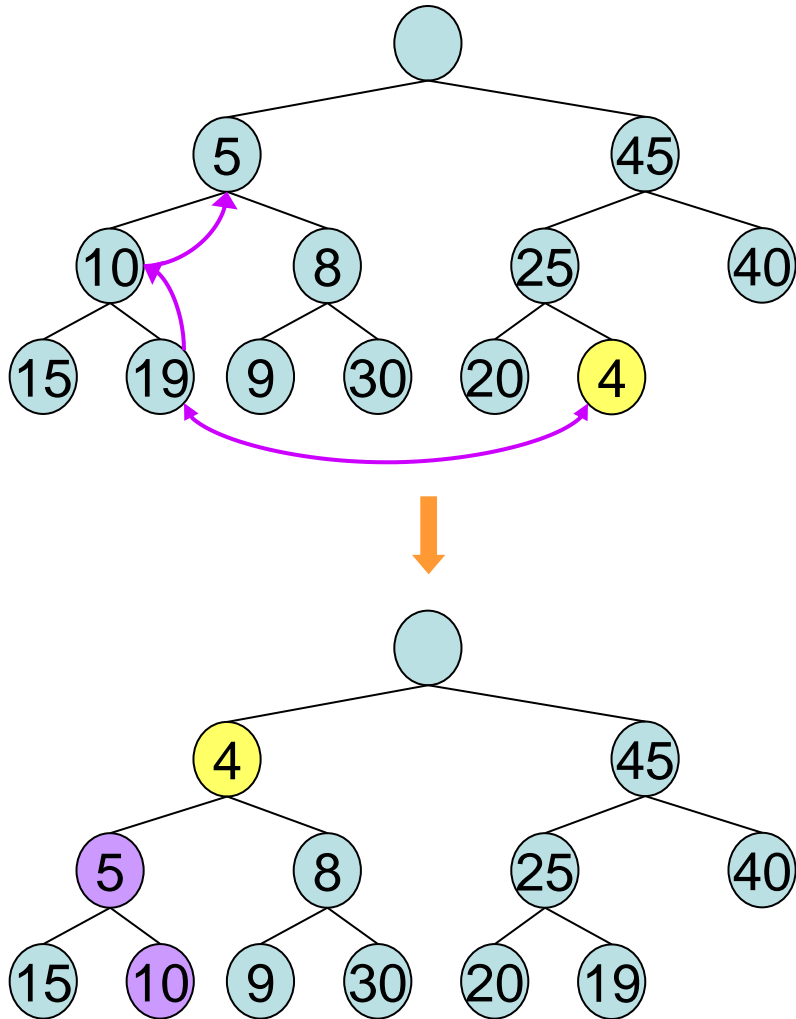
## ■ $max\_partner(n)$

- Computes the max-heap node that corresponds to the min-heap position  $n$ . The value is: if  $n \leq N$  then  $(n + 2^{\lfloor \log_2 n \rfloor - 1})$ , else  $(n + 2^{\lfloor \log_2 n \rfloor - 1})/2$ .

## ■ $min\_insert$ and $max\_insert$

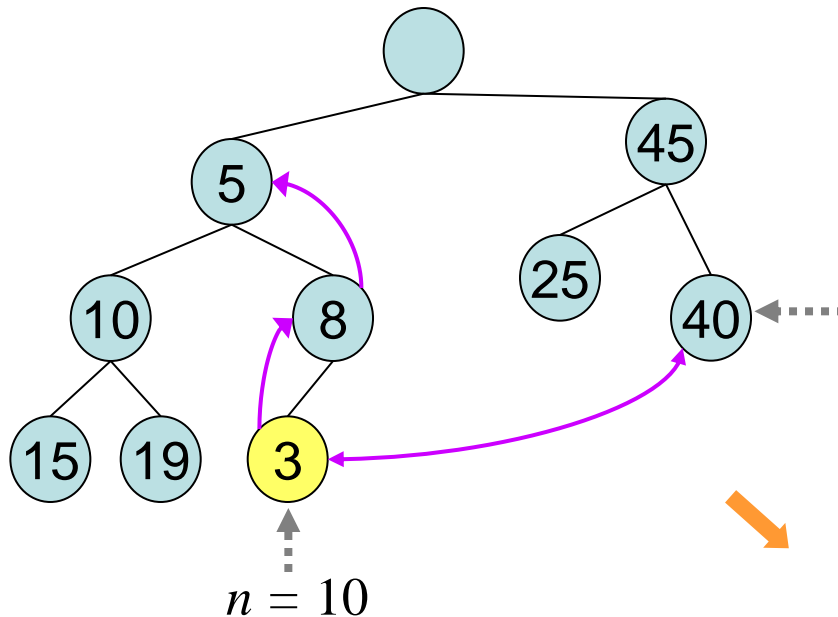
# □ Insertion to a Deap [1]

■ On a MAX side:

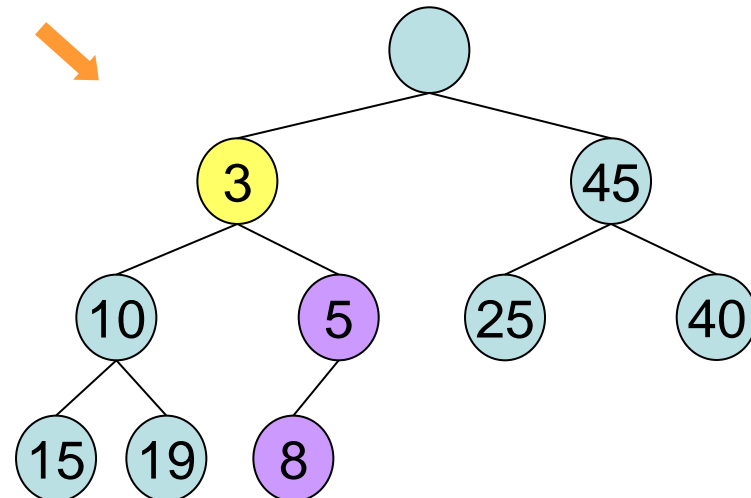


# Insertion to a Deap [2]

On a MIN side:



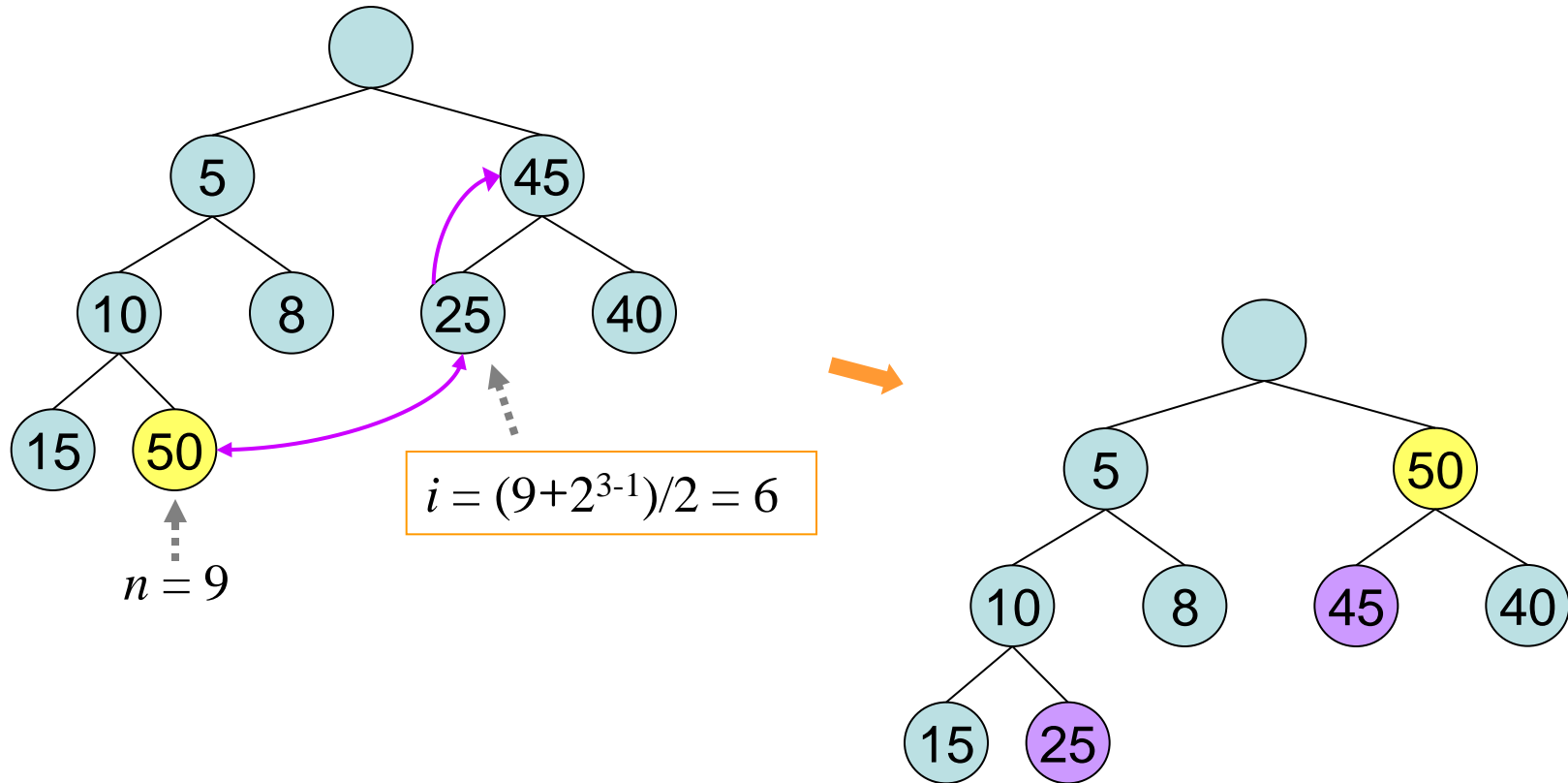
$$\begin{aligned}
 i &= (n + 2^{\lceil \log_2 n \rceil - 1}) / 2 \\
 &= (10 + 2^{3-1}) / 2 \\
 &= 7
 \end{aligned}$$





# ■ Insertion to a Deap

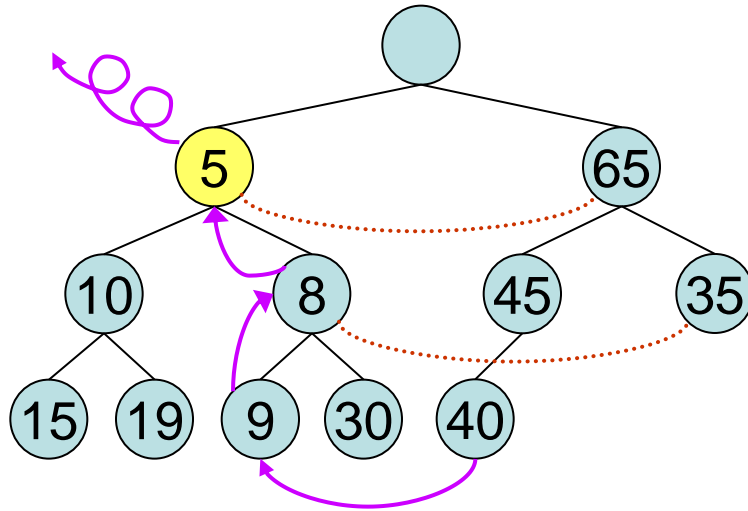
- On a MIN side:



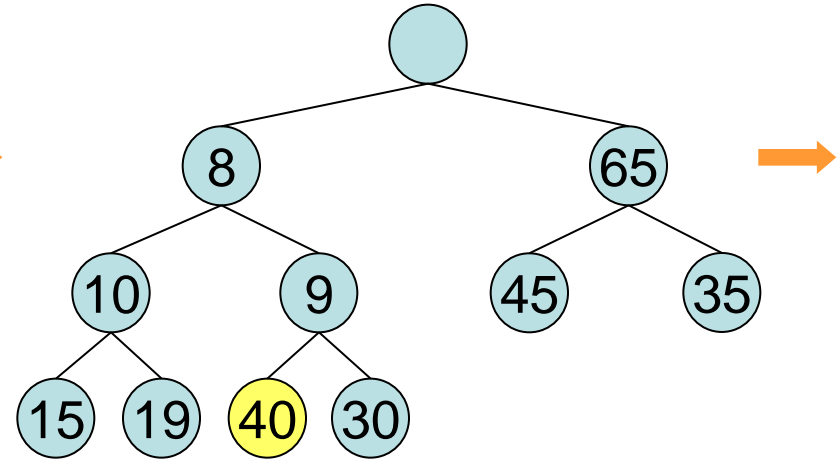
- Analysis of Insert :  $O(\log n)$

# Deletion of Min/Max [1]

## Deletion of Min



- Node 8 can be moved up since  $8 \leq 35 \leq 65$ .
- Node 9 can be moved up since  $9 \leq 35$ .

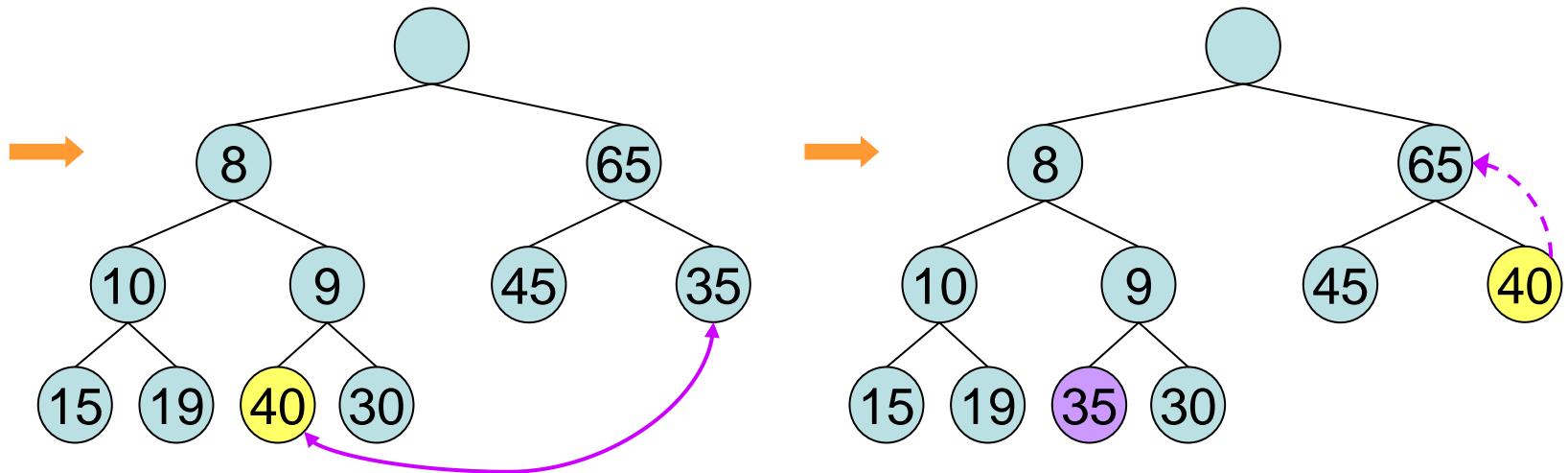


At this time, we should insert 40 on the MIN side.

# Deletion of Min/Max [2]

## Deletion of Min (Cont'd)

- Modified Insertion



- Analysis of Delete :  $O(\log n)$

## Delete of Max

- It is performed in a similar manner

# End of Heap Structures

