

# Searching



# Search

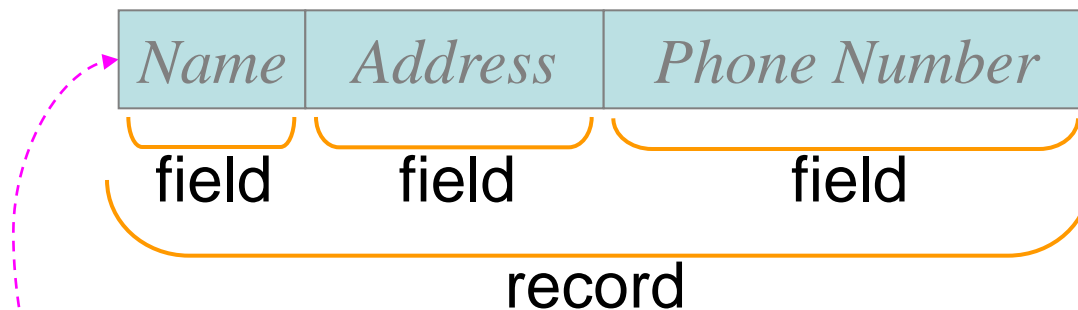
**Sequential Search**

**Binary Search**

**Interpolation Search**

# □ Terms

- **List** : A collection of records in **Memory**.
- **File** : A collection of records in **External Storage**.
- **Key** : The field used to distinguish among records.  
(Example) Telephone Directory File



Key: The search is performed on this '*Name*' field.

# □ Search

■ What is the SEARCH ?

⇒ Find the record with the given key value.

⇒ Find  $i$  such that

$(\_elements[i].key == givenKey)$

for the given key value  $givenKey$ .

# Sequential Search

# □ Sequential Search Algorithm

```
public class Element {
    private int key;
    ....
}
```

```
static final int MAX_SIZE = 1000 ; /* maximum size of list plus one */
private Element[] _elements = new Element[MAX_SIZE];
```

```
public int sequentialSearch (int givenKeyValue, int givenSize)
{
    // Search an array "_elements[]" that has "givenSize" numbers.
    // Return i if (_elements[i].key = givenKey),
    // Return -1 if (givenKey is not in the _elements[].key).
    int i ;
    _elements[givenSize].setKey(givenKeyValue) ;
    /* a sentinel that signals the end of the list */
    for ( i=0 ; _elements[i].key() != givenKeyValue && i<givenSize ; i++ ) ;
    return ((i < givenSize) ? i : -1) ;
    // if (i < givenSize) then return i else return -1 ;
}
```

# □ Analysis

## ■ What is the role of the sentinel ?

- It simplifies the loop condition.
- N: "givenSize"
- Worst case
  - ◆  $(N + 1)$  key comparisons  $\rightarrow O(N)$
- Average case
  - ◆ If the keys are distinct and  $\_elements[i].key == givenKey$  then  $(i+1)$  key comparisons are made.

$$\Rightarrow \sum_{i=0}^{N-1} \frac{i+1}{N} = \frac{1}{N} \sum_{i=1}^N i = \frac{1}{N} \times \frac{N(N+1)}{2} = \frac{N+1}{2} = O(N)$$



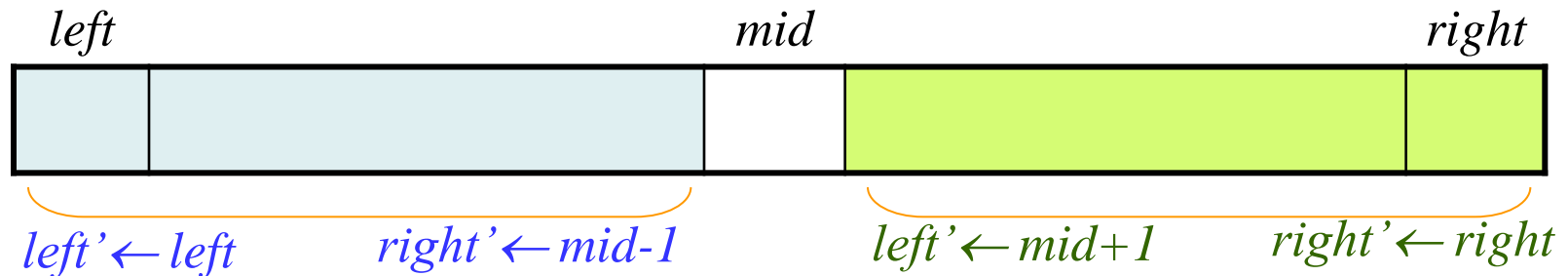
# Binary Search





## Basic idea

- It assumes that the searching values are already sorted in non-decreasing order.
  - $\_elements[0].key \leq \_elements[1].key \leq \dots \leq \_elements[n-1].key$
- If we compare the given value with the value in the middle position, **we can consider only the half of the list for the next comparison.**
  - Initially,  $left \leftarrow 0$  and  $right \leftarrow givenSize-1$ .
  - $mid \leftarrow \lfloor (left + right) / 2 \rfloor$ .



# Example: Binary Search (*givenSize:12*)

■ `_elements[].key : {4, 15, 17, 26, 30, 46, 48, 56, 58, 82, 90, 95}`

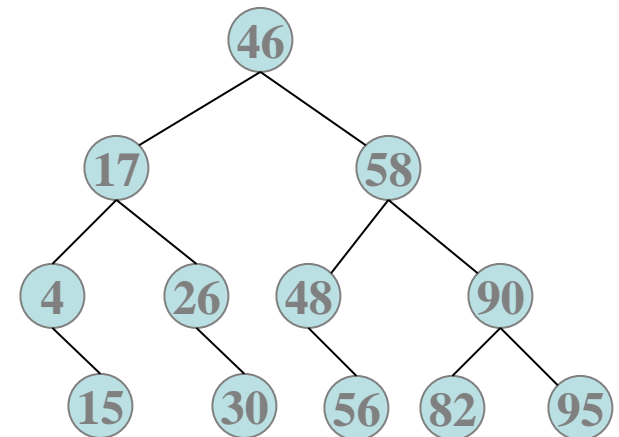
■ To find 56,

$\text{left} = 0, \text{right} = 11, \text{mid} = \lfloor (0+11)/2 \rfloor = 5, \text{\_elements}[5].\text{key} = 46;$   
 $\text{left} = 6, \text{right} = 11, \text{mid} = \lfloor (6+11)/2 \rfloor = 8, \text{\_elements}[8].\text{key} = 58;$   
 $\text{left} = 6, \text{right} = 7, \text{mid} = \lfloor (6+7)/2 \rfloor = 6, \text{\_elements}[6].\text{key} = 48;$   
 $\text{left} = 7, \text{right} = 7, \text{mid} = \lfloor (7+7)/2 \rfloor = 7, \text{\_elements}[7].\text{key} = 56:$  **FOUND;**

■ To find 35,

$\text{left} = 0, \text{right} = 11, \text{mid} = \lfloor (0+11)/2 \rfloor = 5, \text{\_elements}[5].\text{key} = 46;$   
 $\text{left} = 0, \text{right} = 4, \text{mid} = \lfloor (0+4)/2 \rfloor = 2, \text{\_elements}[2].\text{key} = 17;$   
 $\text{left} = 3, \text{right} = 4, \text{mid} = \lfloor (3+4)/2 \rfloor = 3, \text{\_elements}[3].\text{key} = 26;$   
 $\text{left} = 4, \text{right} = 4, \text{mid} = \lfloor (4+4)/2 \rfloor = 4, \text{\_elements}[4].\text{key} = 30;$   
 $\text{left} = 5, \text{right} = 4:$  **NOT FOUND;**

■ The tree is called a **Decision Tree**.



## ❏ Implementation of Binary search algorithm

```
private int binarySearch (int givenKey, int givenSize)
{
    /* search _elements[0],..., _elements[n-1] */
    int left = 0, right = givenSize-1, middle;
    while (left <= right) {
        middle = (left + right) / 2;
        switch (compare(_elements[middle].key(), givenKey)) {
            case -1 : left = middle + 1;
                break;
            case 0 : return middle; /* Found */
            case 1 : right = middle - 1;
        }
    }
    return -1;
}
```

## ❏ Recursive Approach for Binary Search

```
private int binarySearchRecursively (int givenKey, int left, int right) {  
    if ( left <= right ) {  
        int mid = (left + right) / 2 ;  
        if ( _elements[mid].key() == givenKey )  
            return mid ;  
        else if ( _elements[mid].key() > givenKey )  
            return binarySearchRecursively (givenKey, left, mid-1);  
        else if ( _elements[mid].key() < givenKey )  
            return binarySearchRecursively (givenKey, mid+1, right);  
    }  
    return -1;  
}
```

## ❑ Comparison function

- An implementation example:

```
private int compare ( int x, int y )
{
    /* compare x and y:
       return -1 for less than, 0 for equal, 1 for greater */
    if ( x < y )
        return -1;
    else if ( x == y )
        return 0;
    else
        return 1;
}
```

- Any type value can be implemented.

# □ Time Complexity of Binary Search

## ■ Worst case comparison

Let  $c$  be the number of comparisons in the worst case.

$$\text{Then, } \lceil n / 2^c \rceil = 1$$

$$\text{Roughly, } n / 2^c = 1$$

$$2^c = n$$

$$\text{So, } c = \log n = O(\log n)$$

# Interpolation Search



## Basic Idea

- If we are looking for a name beginning with  $w$  in the telephone directory, we start the search towards the end of the directory rather than the middle.
- Use the value of *givenKey* for deciding the middle position.
  - $mid = (givenKey - \_elements[left].key) / (\_elements[right].key - \_elements[left].key) * (right - left) + left$
  - Initially:  
 $left = 0$ , and  $right = givenSize - 1$ .  
 So,  $mid = (givenKey - \_elements[0].key) / (\_elements[givenSize-1].key - \_elements[0].key) * (givenSize - 1)$

# List Verification

# □ List verification

- We compare lists to verify whether they are identical or to identify the differences.
  - `_elements1[ ]`:  $n$  records (`_elements1[0]` to `_elements1[n-1]`)
  - `_elements2[ ]`:  $m$  records (`_elements2[0]` to `_elements2[m-1]`)
- We consider the 2 cases:
  - `_elements1` and `_elements2` are **UNORDERED**.
  - `_elements1` and `_elements2` are **ORDERED**.

# □ List verification for Unordered Lists

## ■ Basic idea:

```
for (each record in _elememts1[]) /*  $n$  times */ {  
    search _elememts2[] sequentially; /*  $O(m)$  */  
}
```

## ■ Time complexity: Totally, $O(nm)$ .

## □ List verification for unordered lists [1]

```
private verify1 (Element[] givenElements, int n, int m)
/* compare two unordered lists this._elements and givenElements */
{
    int i, comparisonResult ;
    boolean[] marked = new boolean[MAX_SIZE] ;
    for ( j=0 ; j < m ; j++ ) {
        marked[j] = false ;
    }
    for ( i=0 ; i < n ; i++ ) {
        comparisonResult = SequentialSearch(givenElements, m, _elements[i].key()) ;
        if ( (comparisonResult < 0 ) {
            System.out.println( this. _elements[i].key() + " is not in givenElements.");
        }
        else {
            /* check each of the other fields from _elements[i] and givenElements[j],
             * and print out any discrepancies */
            marked[j] = true;
        }
    }
    for ( j=0 ; j < m ; j ++ ) {
        if ( !marked[j] )
            System.out.println(givenElements[j].key() + " is not in elements.");
    }
}
```

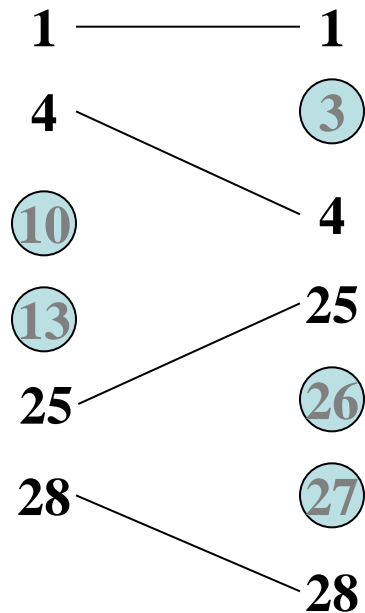
## □ List verification for unordered lists [2]

- If we want to know only the elements with the same key:

```
private List verify1 (Element[] givenElements, int n, int m)
/* compare two unordered lists this._elements and givenElements */
{
    int i ;
    int comparisonResult ;
    List commonElementList = new List() ;

    for ( i=0 ; i < n ; i++ ) {
        comparisonResult =
            SequentialSearch(givenElements, m, _elements[i].key()) ;
        if ( (comparisonResult == 0 ) { // the same keys are found
            commonElementList .add(_elements[i]) ;
        }
    }
    return commonElementList ;
}
```

# □ List verification for Ordered Lists



For sorting list1:  $O(n \log n)$

list2:  $O(m \log m)$

Comparisons:  $O(n + m)$

Totally:  $O(n \log n + m \log m + n + m)$

$= O(\max[n \log n, m \log m])$



# □ Algorithm for ordered lists [1]

```
private void verify2 (Element[] givenElements, int n, int m)
/* Same task as verify1, but this._elements and givenElements are ordered
*/
{
    int    i, j ;
    sort (this._elements, n) ;
    sort (givenElements, m) ;
    i = j = 0 ;
```

# □ Algorithm for ordered lists [2]

```

while (i < n && j < m) {
    if (_elements[i].key() < givenElements[j].key()) {
        System.out.println (_elements[i].key() + " is not in givenElements ");
        i++; /* ① */
    }
    else if (_elements[i].key() == givenElements[j].key()) {
        /* compare _elements[i] and givenElements[j]
        * on each of the other fields and
        * report any discrepancies */
        i++; j++; /* ② */
    }
    else {
        System.out.println(givenElements[j].key() + " is not in elements ");
        j++; /* ③ */
    }
} /* end of while */
for ( ; i < n; i ++ )
    System.out.println (_elements[i].key() + " is not in givenElements.");
for ( ; j < m; j ++ )
    System.out.println (givenElements[j].key() + " is not in elements.");

```

*The worst case regarding the number of comparisons is when the loop variables are increased separately (① and ③), not both at the same time (②).*

# End of Searching

