# Attention U-Net: Looking for Where to look for the Pancreas

Ozan Oktay, Jo Schlemper, Loic Le Folgoc, Matthew Lee, Mattias Heinrich, Kazunari Misawa, Kensaku Mori, Steven McDonagh, Nils Y Hammerla, Bernhard Kainz, Ben Glocker, and Daniel Rueckert]

**Summarized by:** 

Raaghav Radhakrishnan – 246097 Data Analytics II – 07.05.2019

### Overview

- 1. Introduction
- 2. Related Work
- 3. Problem Definition
- 4. Baseline Methods
- 5. Attention U Net
- 6. Experiment and Results
- 7. Future Work
- 8. Conclusion

# 1. Introduction

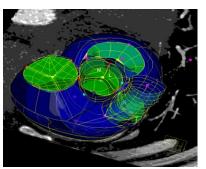
Image Segmentation for Medical Imaging

### Introduction

- Medical Image Segmentation is the process of automatic or semiautomatic detection of boundaries
- It is extensively studied because manual, dense labelling large amounts of data is a tedious and error prone task
- A major difficulty is the high variability in medical images
- Possible applications are automatic detection/measurement of organs, cell counting, or simulations based on the extracted boundary information

## Introduction

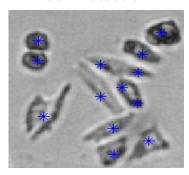
**Heart Vessels and Valves** 



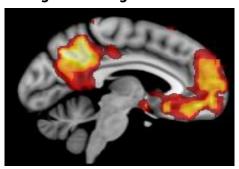
**Coronary Vessel** 



**Cell Detection** 



**Resting state Magnetic Resonance** 



Vessel Seg. And Blood Flow



# 2. Related Work

State-of-the-art CT pancreas segmentation and Attention methods

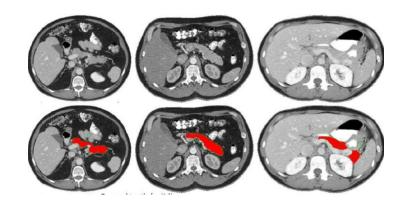
### **Related Work**

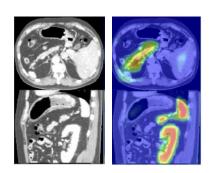
### **CT Pancreas Segmentation**

- U-Net
- Hierarchical 3D FCN
- Dense Dilated FCN
- Holistically Nested FCN
- FCN 2D
- Single and Multi-Model 2D FCN

#### **Attention Gates**

- Learn to Pay Attention
- Attention in CNNs





### **Related Work**

### **CT Pancreas Segmentation**

- U-Net
- Hierarchical 3D FCN
- Dense Dilated FCN
- Holistically Nested FCN
- FCN 2D
- Single and Multi-Model 2D FON

### **Attention Gates**

- Learn to Pay Attention
- Attention in CNNs

**Baseline Methods** 

# 3. Problem Definition

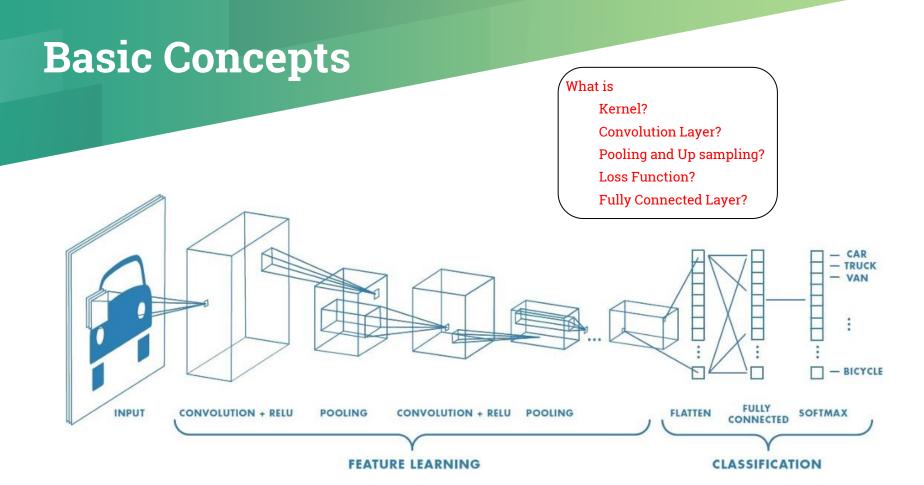
### **Problem Definition**

FCNs and the U-Net rely on multi-cascaded CNNs:

- Extract an ROI and make dense predictions on it
- Excessive and redundant use of computational resources and model parameters
- Similar low-level features are repeatedly extracted by models
- Use of explicit external tissue/organ localisation modules
- Difficult to reduce false-positive predictions for small objects that show large shape variability

# 4. Baseline Methods

Basic Concepts, U – Net and Attention Gates



**Neural Network Architecture** 

## **Basic Concepts**

#### Kernel

- A small matrix
- Used for blurring, edge detection, sharpening and more
- Accomplished by convolving kernel over image

$$\begin{pmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{pmatrix} \qquad \begin{pmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{pmatrix} \qquad \begin{pmatrix} 1/16 & 1/8 & 1/16 \\ 1/8 & 1/4 & 1/8 \\ 1/16 & 1/8 & 1/16 \end{pmatrix}$$

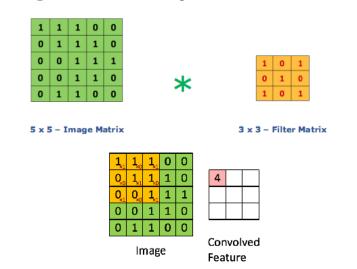
**Edge Detection** 

Sharpening

Gaussian Blur (3x3)

### **Convolution Layer**

- Layer to extract features from an input image
- Preserves the relationship between pixels by learning image features
- Multiplication of image matrix with kernel



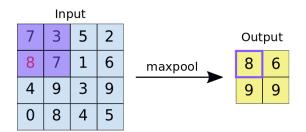
## **Basic Concepts**

### Pooling - Down Sampling

- Reduce the number of parameters
- Reduces the dimensionality but retains important information

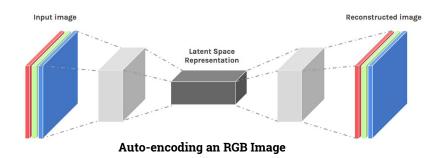
### **Types**

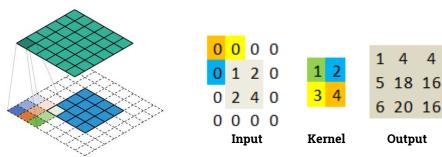
- Max Pooling
- Average Pooling and more



#### **Up sampling – Transposed Convolution**

- To decompress abstracted representation into a different domain
- · Example: Semantic Segmentation



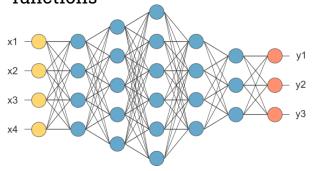


**Transposed Convolution** 

# **Basic Concepts**

### **Fully Connected Layer**

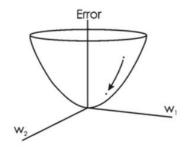
 Converts feature map to vector of features and combines them to create a model and uses activation functions



$$f(x) = \max(0, x)$$
  $f(x) = \frac{1}{1 + e^{-x}}$  ReLU Sigmoid

#### **Loss Function**

- Evaluates how well an algorithm models the given data
- A measure of how good a prediction model predicts the expected target



 Through Back Propagation Weights has to be adjusted in such a way that the error decreases

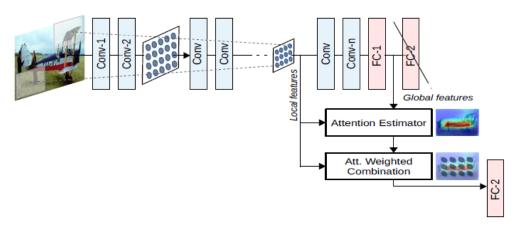
$$L = \sum_{i=1}^{n} \frac{1}{2} (target - output)^{2} \qquad w_{new} = w_{old} - \eta. \frac{\partial L}{\partial w}$$

### **Attention Gates**

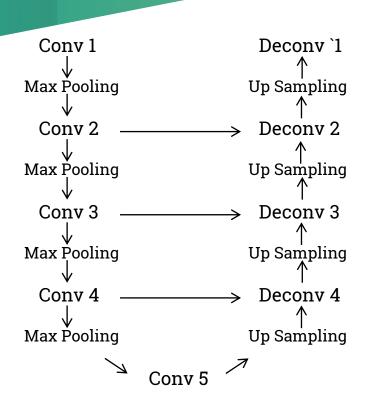
- A scalar matrix representing the relative importance of layer activations at spatial locations with respect to the target task
- Provides a straight forward way of determining the location of the object of interest and/or its segmentation mask
- Helps to identify discriminative visual properties across classes
- Training the smaller networks to mimic the attention maps of higherperformance network leads to gain in classification accuracy
- Trainable attention in CNNs falls under two categories:
  - Hard Attention
  - Soft Attention

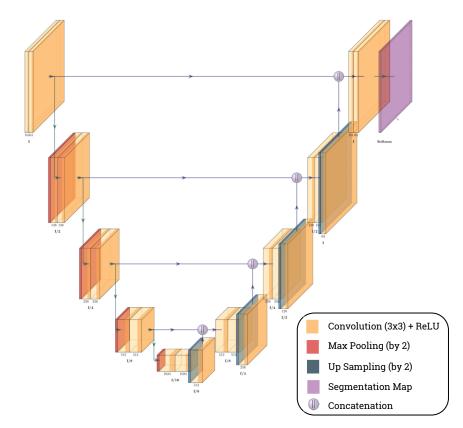
### **Attention Gates**

- Hard Attention Stochastic method where a decision is made by using an image region (low-order parameterisation)
- Soft Attention Deterministic method that is probabilistic and can be trained by backpropagation



### **U-Net**





### **U-Net**

- Extended FCN with few training images and yields more precise segmentations
- A contracting network supplemented by successive layers
- Pooling operators are replaced by upsampling operators resulting increase in resolution of the output
- To localize, high resolution features from the contracting path are combined with the upsampled output
- Upsampling part has a large number of feature channels that allows the network to propagate context information to high resolution layers
- This is followed by a convolution layer to assemble more precise output

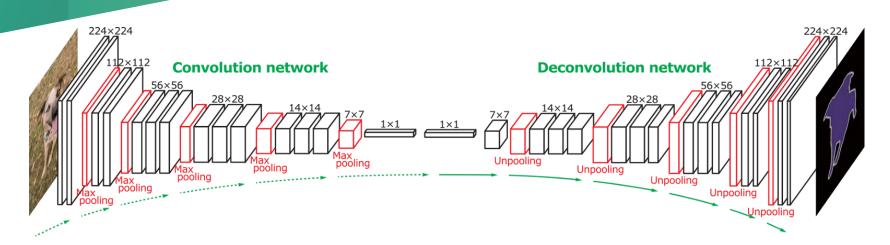
# 5. Attention U - Net

Methodology and Code review

### **Attention U-Net**

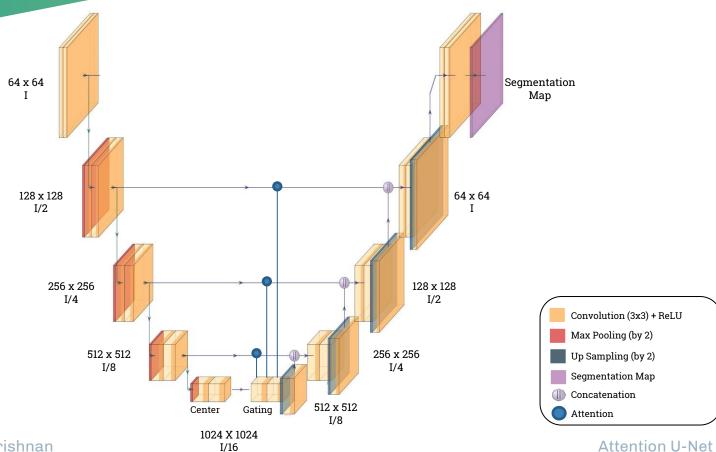
- An extension to standard U-Net model that improves model sensitivity to foreground pixels
- Uses grid-based gating that allows attention coefficients to be more specific to local regions
- Input image is progressively filtered and downsampled in the encoding part
- Attention gates filter the features propagated through skip connections
- The bottleneck layer is upsampled and concatenated with attention layer for better representation of the region of interest

### **Attention U-Net**



- FCNs outperform traditional approaches due to the fact that
  - 1. Domain specific image features are learnt using SGD optimisation
  - 2. Learnt kernels are shared across pixels
  - 3. Exploit structural information and achieve robust and accurate performance

### **Architecture**

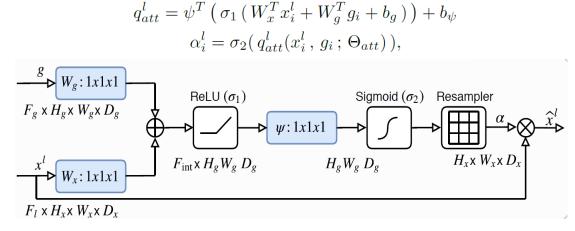


# **Attention Gates in U-Net**

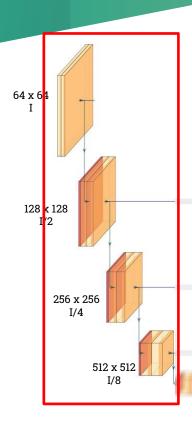
- Features on the coarse spatial grid level model location and relationship between tissues at global scale
- Remains difficult to reduce false-positive predictions of objects that show large shape-variability
- Integrating AGs in CNNs progressively suppress feature responses in irrelevant background regions without cropping an ROI
- Highlights salient features that are passed through skip connections
- Information extracted from coarse scale is used in gating to eliminate irrelevant and noisy responses in skip connections

# Attention Gates in U-Net

- Input features  $(x^l)$  are scaled with attention coefficients  $(\alpha)$
- Spatial regions are selected by analysing activations and information provided by gating signal



# Code Review -Encoder



```
self.conv1 = UnetConv3(self.in_channels, filters[0], self.is_batchnorm)
self.maxpool1 = nn.MaxPool3d(kernel_size=(2, 2, 1))

self.conv2 = UnetConv3(filters[0], filters[1], self.is_batchnorm)
self.maxpool2 = nn.MaxPool3d(kernel_size=(2, 2, 1))

self.conv3 = UnetConv3(filters[1], filters[2], self.is_batchnorm)
self.maxpool3 = nn.MaxPool3d(kernel_size=(2, 2, 1))

self.conv4 = UnetConv3(filters[2], filters[3], self.is_batchnorm)
self.maxpool4 = nn.MaxPool3d(kernel_size=(2, 2, 1))
```



(1)

# Code Review -**Bottle-neck**

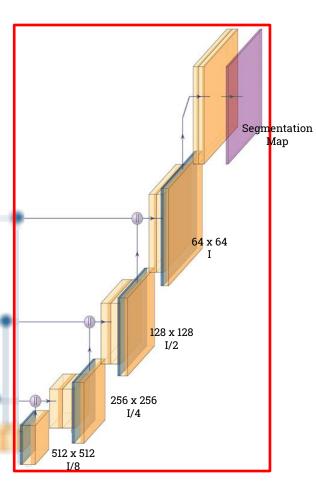
```
self.center = UnetConv3(filters[3], filters[4], self.is_batchnorm)
                                          (1)
                                                  self.gating = UnetGridGatingSignal3(filters[4], filters[3], kernel_size=(1, 1, 1), is_batchnorm=self.is_batchnorm)
                                                                                                                          # Attention Mechanism
                                                                                                                          g_conv4, att4 = self.attentionblock4(conv4, gating)
                                                                                                                 2
                                                                                                                          g conv3, att3 = self.attentionblock3(conv3, gating)
        W_g: 1x1x1
                                                                                                                          g conv2, att2 = self.attentionblock2(conv2, gating)
                            ReLU(\sigma_1)
                                                Sigmoid (\sigma_2) Resampler
        F_{\varrho} \times H_{\varrho} \times W_{\varrho} \times D_{\varrho}
                                                            H_{x}x W_{x}x D
                          F_{\text{int}} \times H_{\varrho} W_{\varrho} D_{\varrho}
                                            H_g W_g D_g
         W_x: 1x1x1
        F_l \times H_r \times W_r \times D_r
       theta x = self.theta(x)
(3)
       theta x size = theta x.size()
       phi_g = F.upsample(self.phi(g), size=theta_x_size[2:], mode=self.upsample_mode)
(4)
       f = F.relu(theta_x + phi_g, inplace=True)
      sigm_psi_f = F.sigmoid(self.psi(f))
(5)
       sigm_psi_f = F.upsample(sigm_psi_f, size=input_size[2:], mode=self.upsample_mode)
       y = sigm psi f.expand as(x) * x
```

# Code Review – Decoder

```
up4 = self.up_concat4(g_conv4, center)
up3 = self.up_concat3(g_conv3, up4)
up2 = self.up_concat2(g_conv2, up3)
up1 = self.up_concat1(conv1, up2)
```

```
self.up_concat4 = UnetUp3(filters[4], filters[3], self.is_deconv, self.is_batchnorm)
self.up_concat3 = UnetUp3(filters[3], filters[2], self.is_deconv, self.is_batchnorm)
self.up_concat2 = UnetUp3(filters[2], filters[1], self.is_deconv, self.is_batchnorm)
self.up_concat1 = UnetUp3(filters[1], filters[0], self.is_deconv, self.is_batchnorm)
```

```
outputs2 = self.up(inputs2)
offset = outputs2.size()[2] - inputs1.size()[2]
padding = 2 * [offset // 2, offset // 2, 0]
outputs1 = F.pad(inputs1, padding)
return self.conv(torch.cat([outputs1, outputs2], 1))
```



# 6. Experiments and Results

Experiments and comparisioins with baseline results

# **Experiments**

### • Evaluation Datasets

CT - 150	150 abdominal 3D CT scans (Gastric cancer)
CT - 82	82 contrast enhanced 3D CT scans

### Implementation Details

ML Library	PyTorch
Data-augmentation	Yes
Loss Function	Sorensen – Dice Loss

Sorensen – Dice Loss

$$D = \frac{2\sum_{i}^{n} p_{i} g_{i}}{\sum_{i}^{n} p_{i}^{2} + \sum_{i}^{n} g_{i}^{2}}$$

### Results

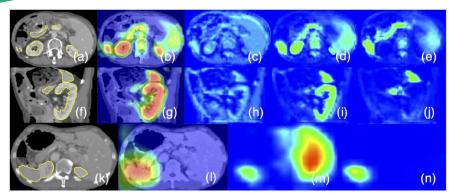
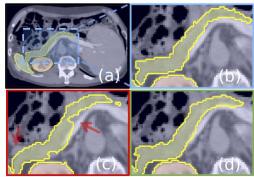


Figure: Axial and sagittal views with feature activations



**Figure**: a,b: Ground Truth c,d: U-Net and Attention U-Net

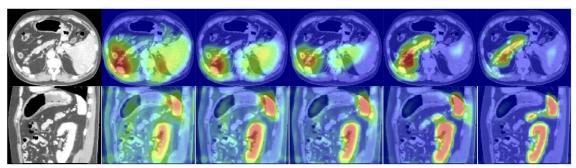


Figure: Attention coefficients across epochs (3,6,10,60,150)

### Results

#### Table: Multi-class CT abdominal segmentation results obtained on the CT-150 dataset

Method (Train/Test Split)	U-Net (120/30)	Att U-Net (120/30)	U-Net (30/120)	Att U-Net (30/120)
Pancreas DSC Pancreas Precision Pancreas Recall Pancreas S2S Dist (mm)	0.814±0.116 0.848±0.110 0.806±0.126 2.358±1.464	0.840±0.087 0.849±0.098 0.841±0.092 1.920±1.284	0.741±0.137 0.789±0.176 0.743±0.179 3.765±3.452	$0.767\pm0.132$ $0.794\pm0.150$ $0.762\pm0.145$ $3.507\pm3.814$
Spleen DSC Kidney DSC Number of Params Inference Time	0.962±0.013 0.963±0.013 5.88 M 0.167 s	0.965±0.013 0.964±0.016 6.40 M 0.179 s	0.935±0.095 0.951±0.019 5.88 M 0.167 s	<b>0.943±0.092</b> 0.954±0.021 6.40 M 0.179 s

#### Table:`Segmentation experiments on CT-150 with higher capacity U-Net models

Method	Panc. DSC	Panc. Precision	Panc. Recall	S2S Dist (mm)	# of Pars	Run Time
U-Net (120/30)		$0.849 \pm .111$	$0.814 \pm .125$	2.383±1.918	6.44 M	0.191 s
U-Net (120/30)		$0.861 \pm .082$	$0.807 \pm .121$	2.202±1.144	10.40 M	0.222 s

### Results

Table: Pancreas segmentation on TCIA Pancreas – CT Dataset (82)

	Method	Dice Score	Precision	Recall	S2S Dist (mm)
BFT	U-Net [24]	0.690±0.132	$0.680\pm0.109$	0.733±0.190	6.389±3.900
	Attention U-Net	<b>0.712</b> ± <b>0.110</b>	$0.693\pm0.115$	<b>0.751</b> ± <b>0.149</b>	<b>5.251</b> ± <b>2.551</b>
AFT	U-Net [24]	0.820±0.043	0.824±0.070	0.828±0.064	2.464±0.529
	Attention U-Net	<b>0.831±0.038</b>	0.825±0.073	<b>0.840</b> ± <b>0.053</b>	<b>2.305</b> ± <b>0.568</b>
SCR	U-Net [24]	0.815±0.068	0.815±0.105	0.826±0.062	2.576±1.180
	Attention U-Net	0.821±0.057	0.815±0.093	<b>0.835</b> ± <b>0.057</b>	2.333±0.856

#### **Table:** State-of-the-art CT pancreas segmentation methods on CT-150 dataset

Method	Dataset	Pancreas DSC	Train/Test	# Folds
Hierarchical 3D FCN [27]	CT-150	$82.2 \pm 10.2$	Ext/150	-
Dense-Dilated FCN [6]	CT-82 & Synapse <sup>3</sup>	$66.0 \pm 10.0$	63/9	5-CV
2D U-Net [8]	CT-82	$75.7 \pm 9.0$	66/16	5-CV
Holistically Nested 2D FCN Stage-1[26]	CT-82	$76.8 \pm 11.1$	62/20	4-CV
Holistically Nested 2D FCN Stage-2[26]	CT-82	$81.2 \pm 7.3$	62/20	4-CV
2D FCN [4]	CT-82	$80.3 \pm 9.0$	62/20	4-CV
2D FCN + Recurrent Network [4]	CT-82	$82.3 \pm 6.7$	62/20	4-CV
Single Model 2D FCN [38]	CT-82	$75.7 \pm 10.5$	62/20	4-CV
Multi-Model 2D FCN [38]	CT-82	$82.2 \pm 5.7$	62/20	4-CV

# 7. Future Work

Future work proposed by the authors

### **Future Work**

- Transfer learning and multi-stage training schemes
- Gates can be trained accordingly in fine-tuning stage
- To initialise attention network, pre-trained U-Net weights can be used
- Highway networks to allow better gradient backpropagation
- Improve performance by utilising fine resolution input batches



### Conclusion

- A novel AG model was applied to medical image segmentation
- Eliminates the use of external object localisation model
- Focuses on the relevant region eliminating the extraneous regions
- Can be applied to image classification and regression
- Highly beneficial for tissue/organ identification and localisation

### References

- Long, J., Shelhamer, E., Darrell, T.: Fully convolutional networks for semantic segmentation.In: IEEE CVPR. pp. 3431–3440 (2015)
- Ronneberger, O., Fischer, P., Brox, T.: U-net: Convolutional networks for biomedical image segmentation. In: MICCAI. pp. 234–241. Springer (2015)
- Jetley, S., Lord, N.A., Lee, N., Torr, P.: Learn to pay attention. In: International Conference on Learning Representations (2018) <a href="https://openreview.net/forum?id=HyzbhfWRW">https://openreview.net/forum?id=HyzbhfWRW</a>
- Milletari, F., Navab, N., Ahmadi, S.A.: V-net: Fully convolutional neural networks for volumetric medical image segmentation. In: 3D Vision. pp. 565–571. IEEE(2016)
- https://github.com/ozan-oktay/Attention-Gated-Networks

### References

- <a href="https://medium.com/@RaghavPrabhu/understanding-of-convolutional-neural-network-cnn-deep-learning-99760835f148">https://medium.com/@RaghavPrabhu/understanding-of-convolutional-neural-network-cnn-deep-learning-99760835f148</a>
- https://www.mathworks.com/solutions/deep-learning/convolutional-neural-network.html
- <a href="https://www.mathworks.com/help/deeplearning/examples/create-simple-deep-learning-network-for-classification.html">https://www.mathworks.com/help/deeplearning/examples/create-simple-deep-learning-network-for-classification.html</a>
- https://adeshpande3.github.io/adeshpande3.github.io/A-Beginner%27s-Guide-To-Understanding-Convolutional-Neural-Networks/
- https://medium.com/apache-mxnet/transposed-convolutions-explained-with-ms-excel-52d13030c7e8

# Thank You!!