

# Computer Science & IT

## Database Management System

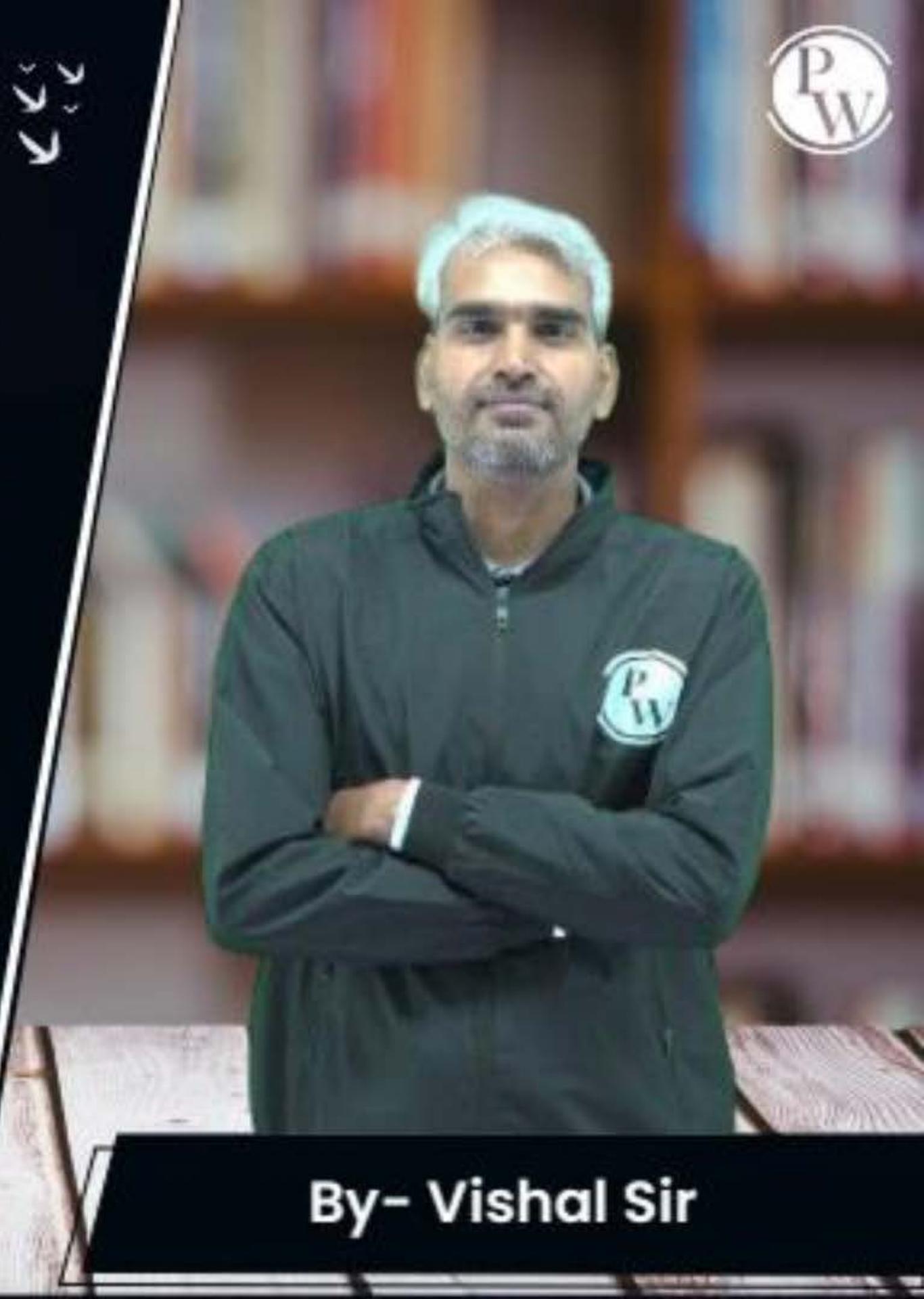


**Transaction & concurrency control**

Lecture No. 04



By- Vishal Sir



# Recap of Previous Lecture

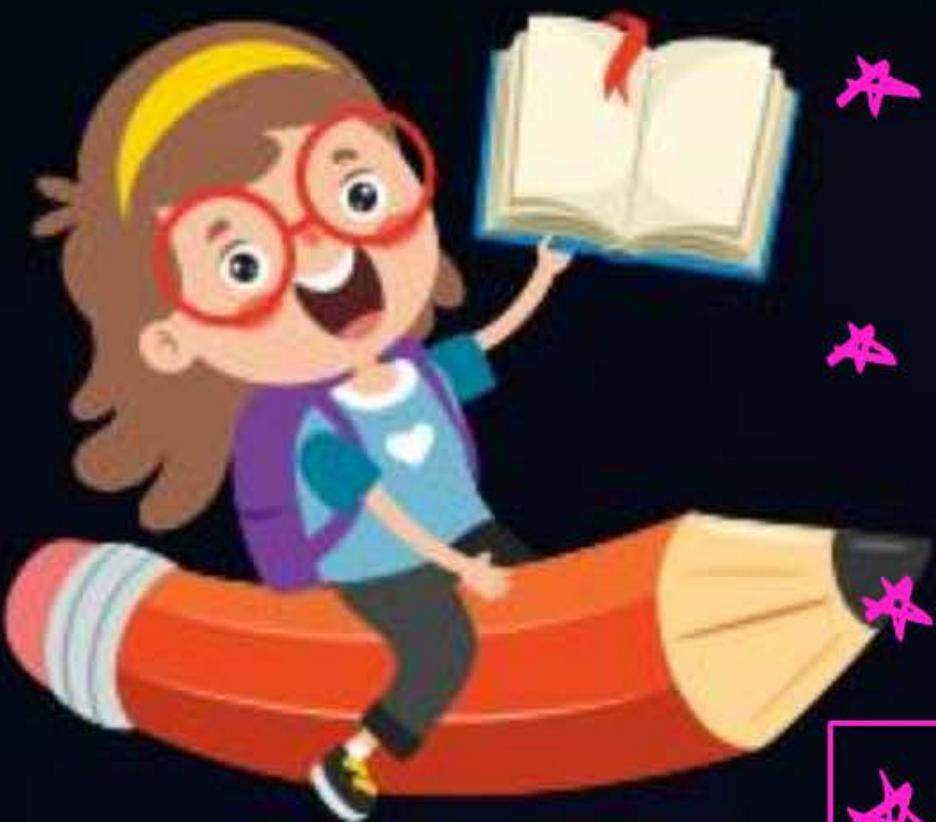


\* **Topic** Equivalent schedules

\* **Topic** Serializable schedules ✓



# Topics to be Covered



- ★ **Topic** RW problem
- ★ **Topic** WR problem
- ★ **Topic** WW problem
- ★ **Topic** Lost update problem
- ★ **Topic** Classification of schedules

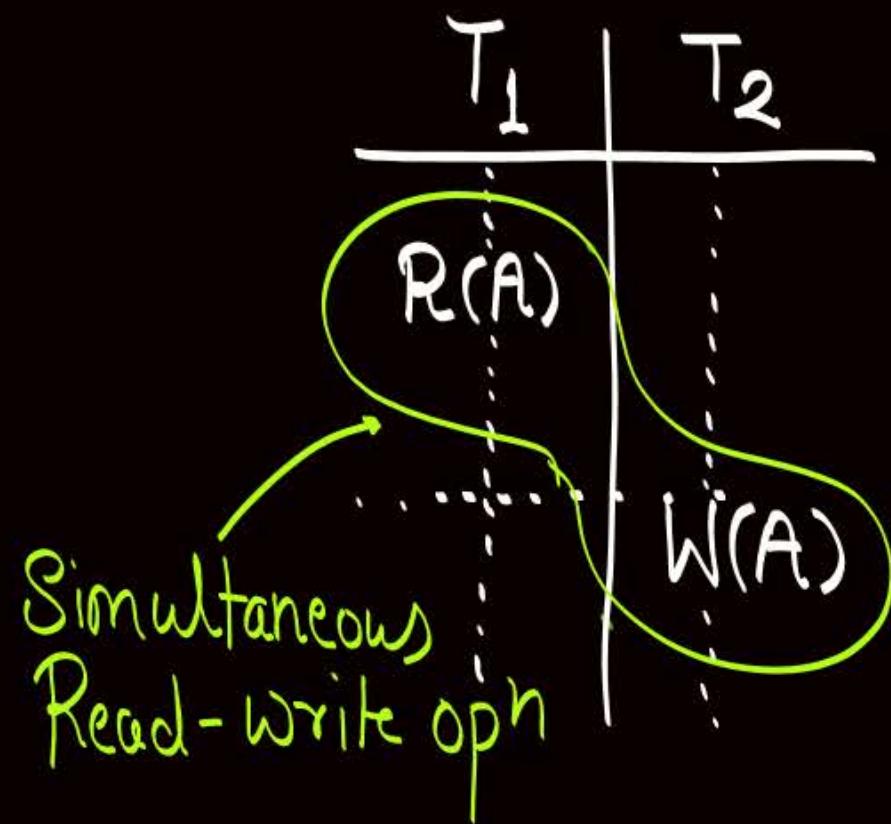


## Topic : Problems because of concurrent execution

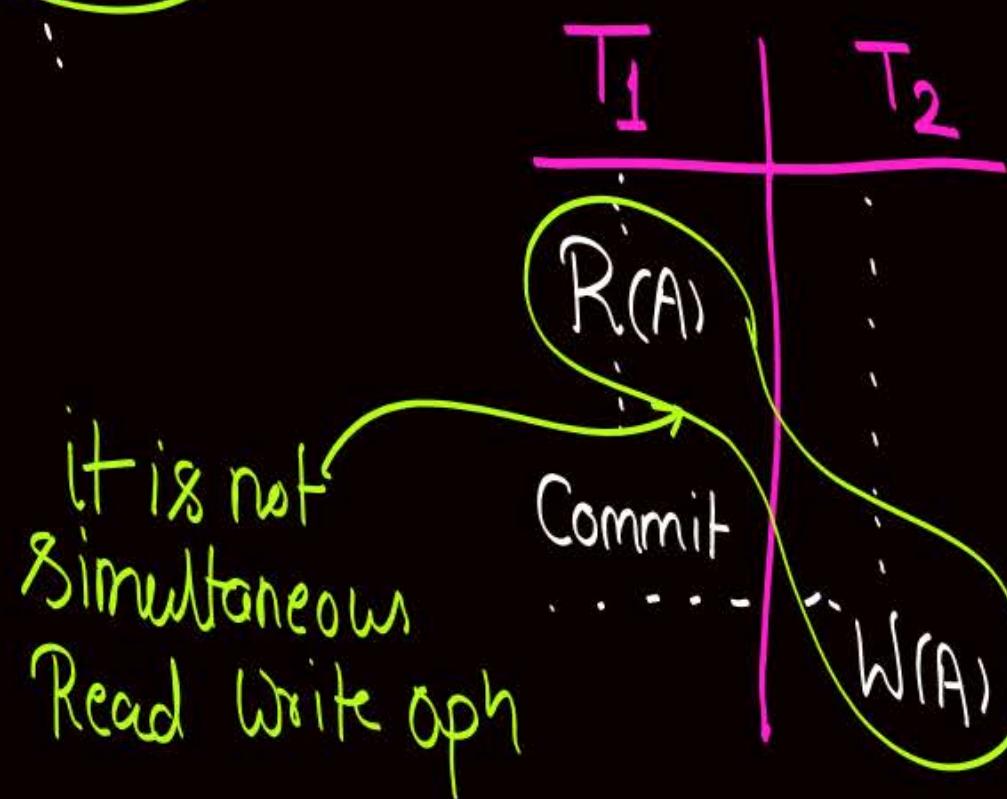
P  
W

- ✓ ① R W Problem / Read-Write Problem / Write after Read Problem  
Sequence of opn
- ✓ ② W R Problem / Write-Read Problem / Read after Write problem / Dirty Read Problem
- ✓ ③ W W Problem / Write-Write Problem / Write-after-Write Problem
- ✓ ④ Lost update problem

# RW Problem / Read-Write Problem / Write after Read Problem

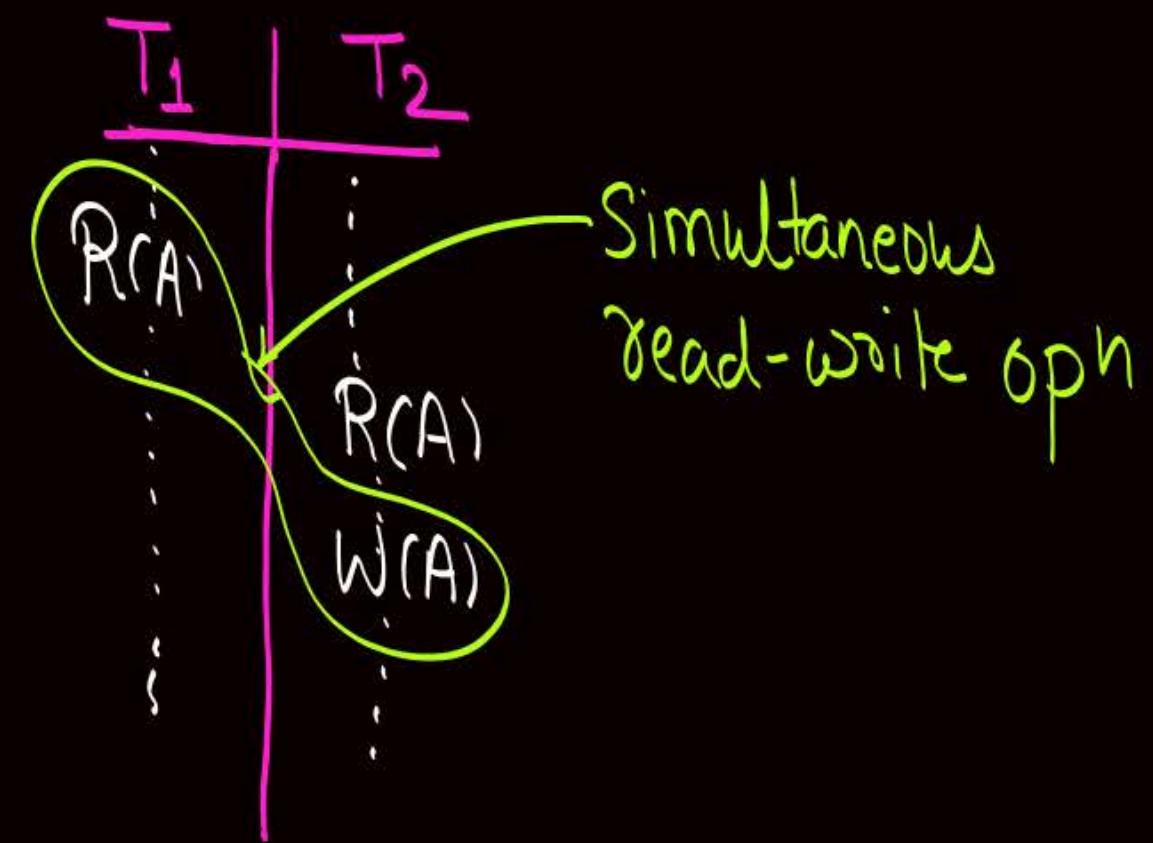


Simultaneous  
Read-Write opn



It is not  
simultaneous  
Read Write opn

If transaction  $T_2$  updates the dataitem 'A'  
which is already read by an uncommitted  
transaction  $T_1$ , then that sequence of opn  
is called simultaneous Read-Write opn.



Simultaneous  
Read-Write opn

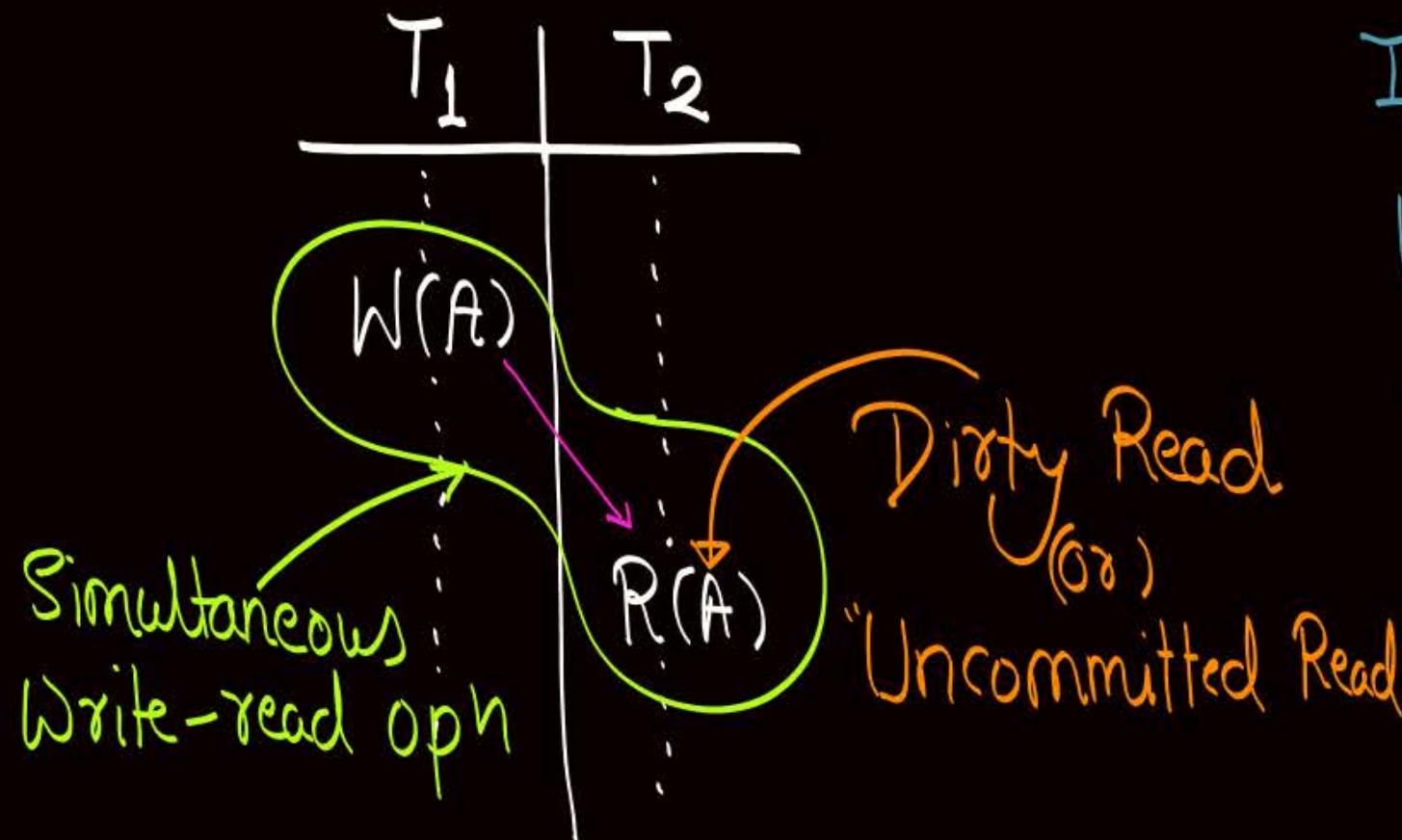


## Topic : RW problem

A schedule is said to have RW problem if and only if,

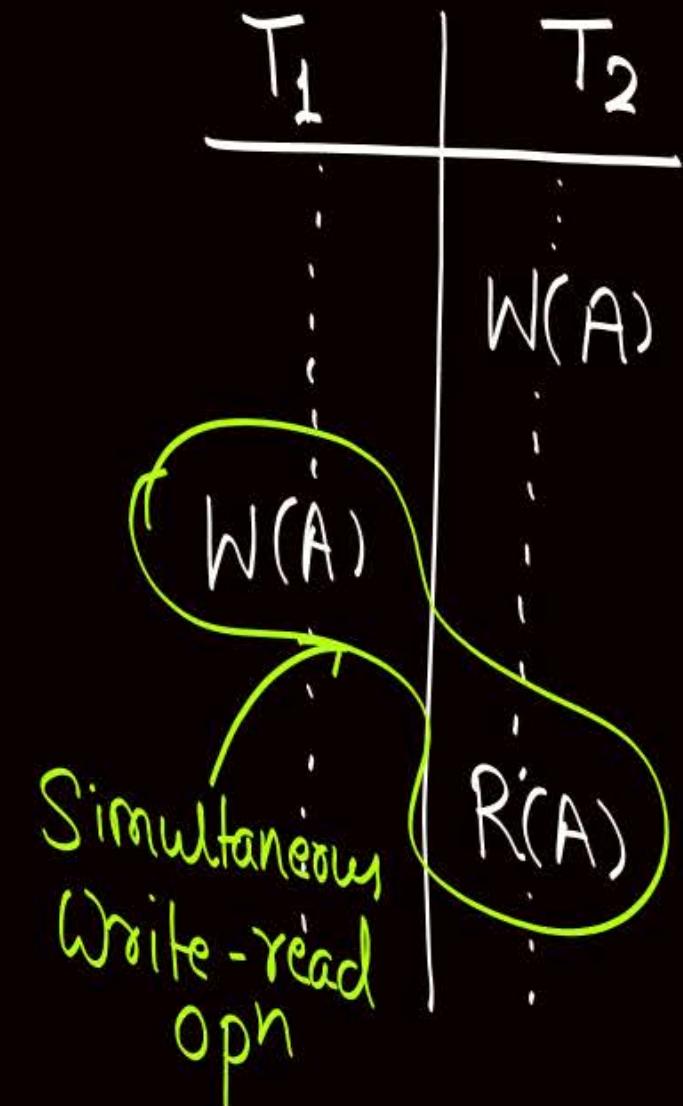
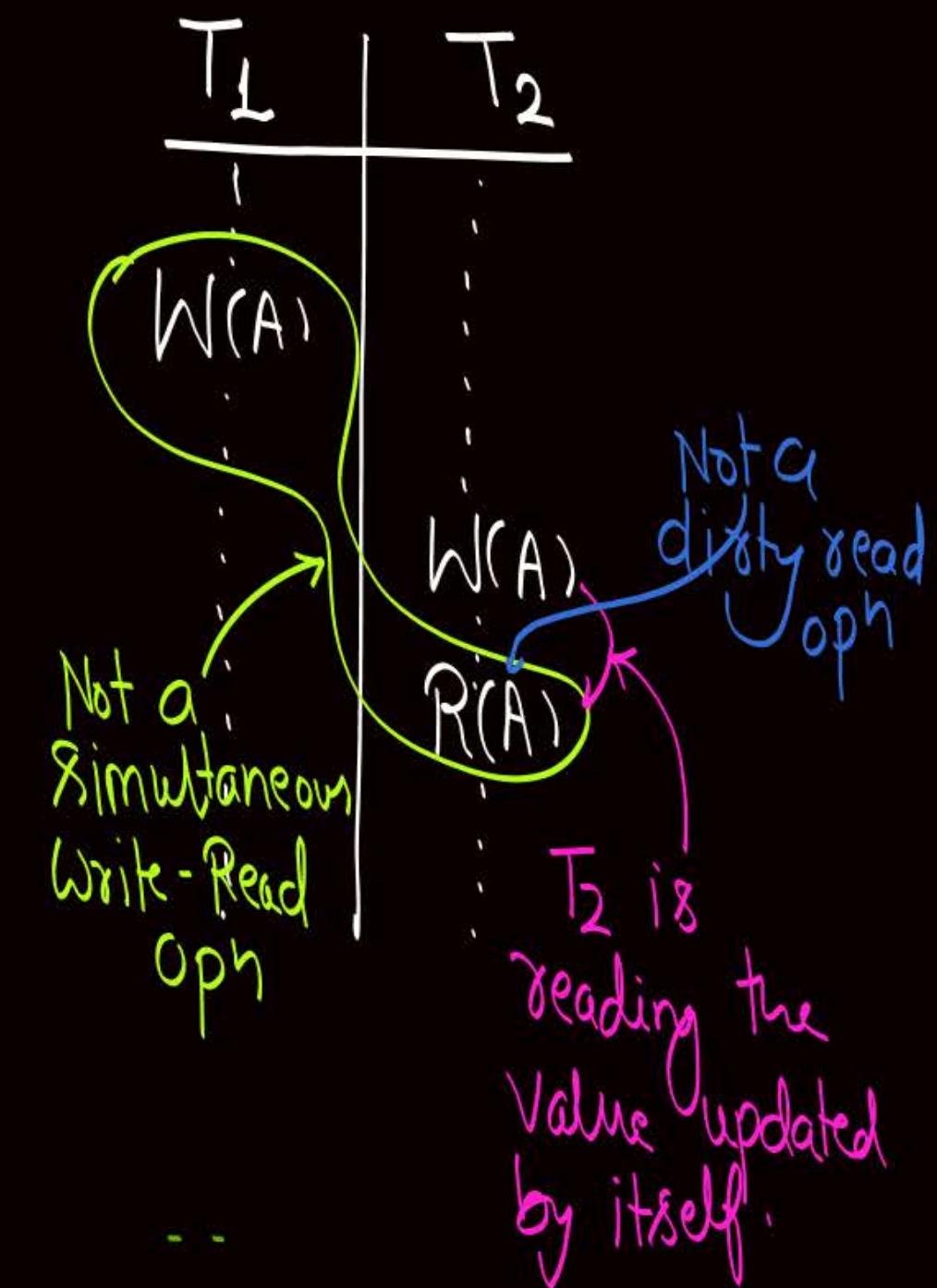
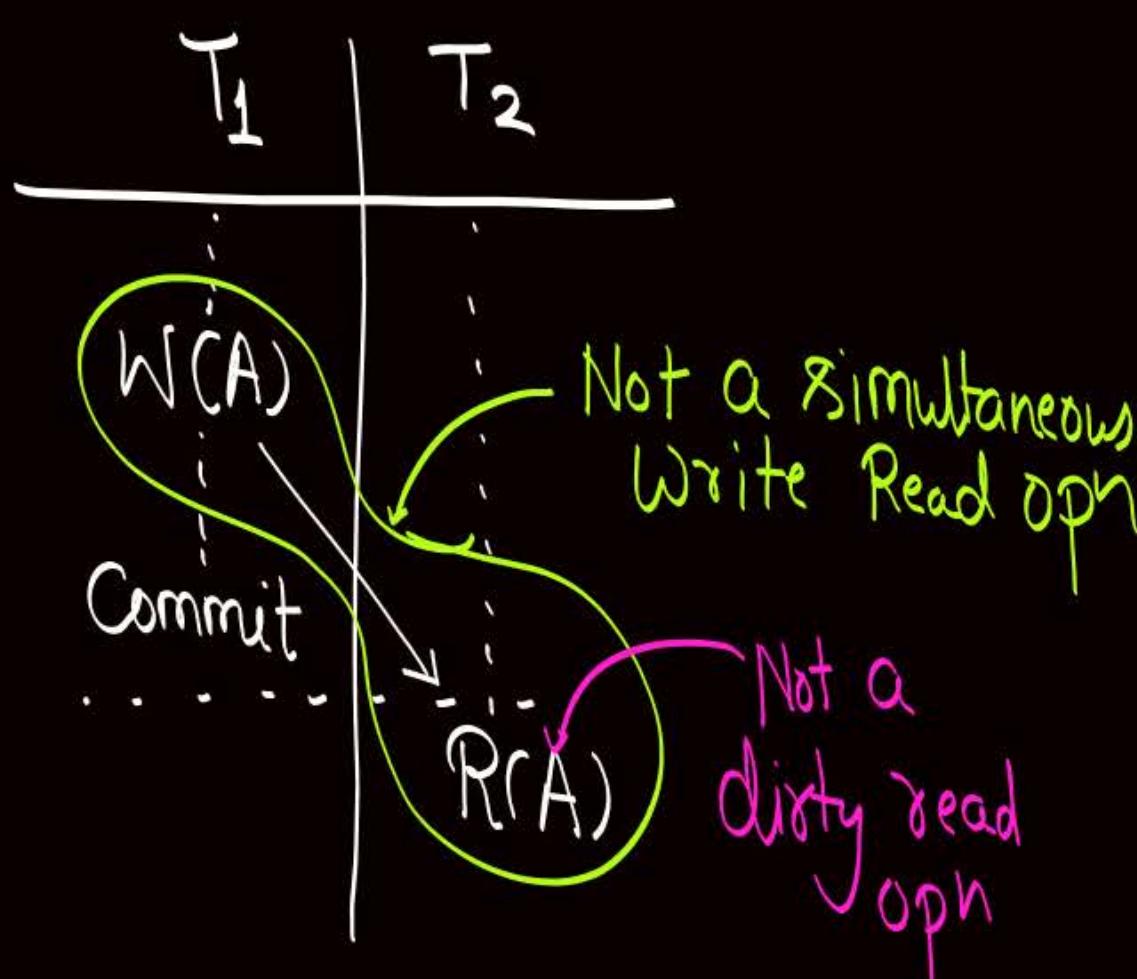
- ① Simultaneous Read-write opn should exist
- and ② Schedule must be a non-serializable schedule

# WR Problem / Write-Read Problem / Read after Write Problem or Dirty Read Problem



If transaction  $T_2$  reads the value updated by an uncommitted transaction  $T_1$ , then that sequence of operation is called "Simultaneous write-read opn" And that read opn is called "dirty read" or "uncommitted read".

# WR Problem / Write-Read Problem / Read after Write Problem (or) Dirty Read Problem



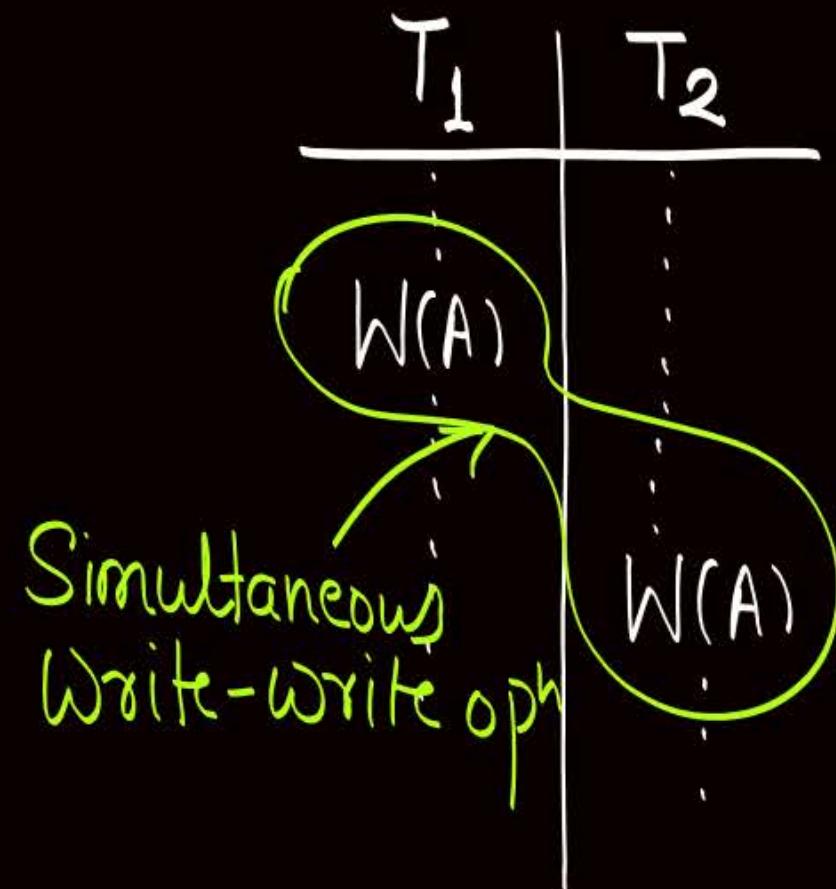


## Topic : WR problem

## Read after Write Problem / Dirty Read Problem

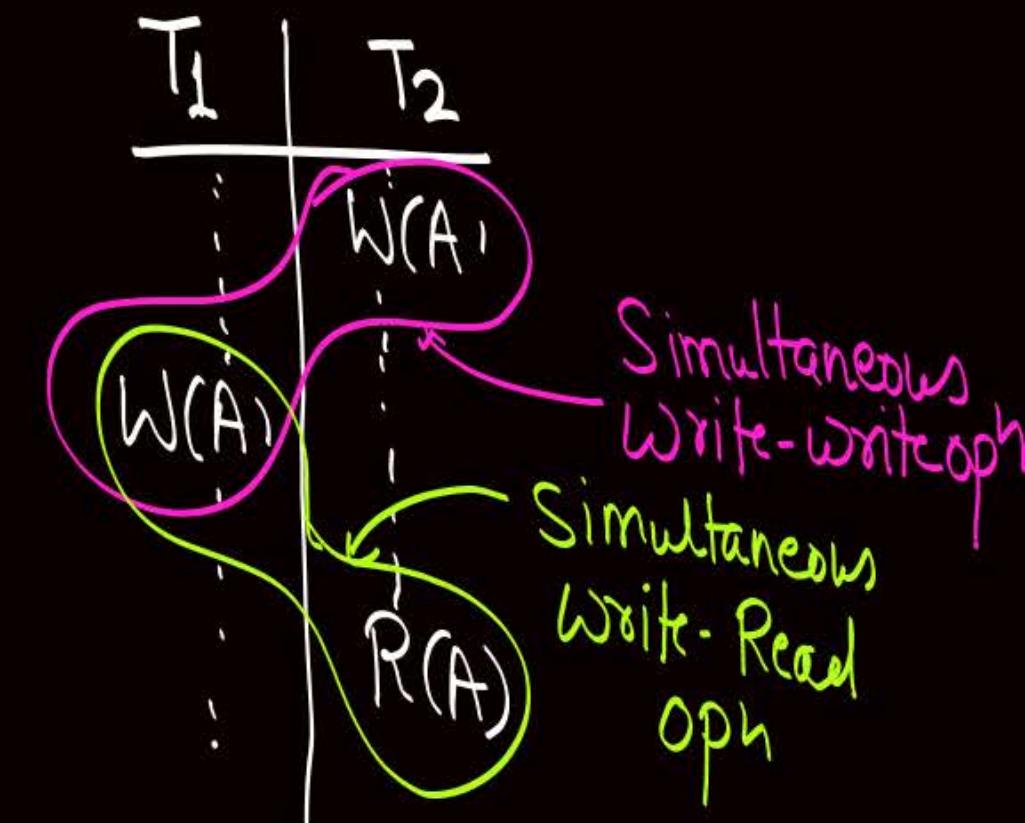
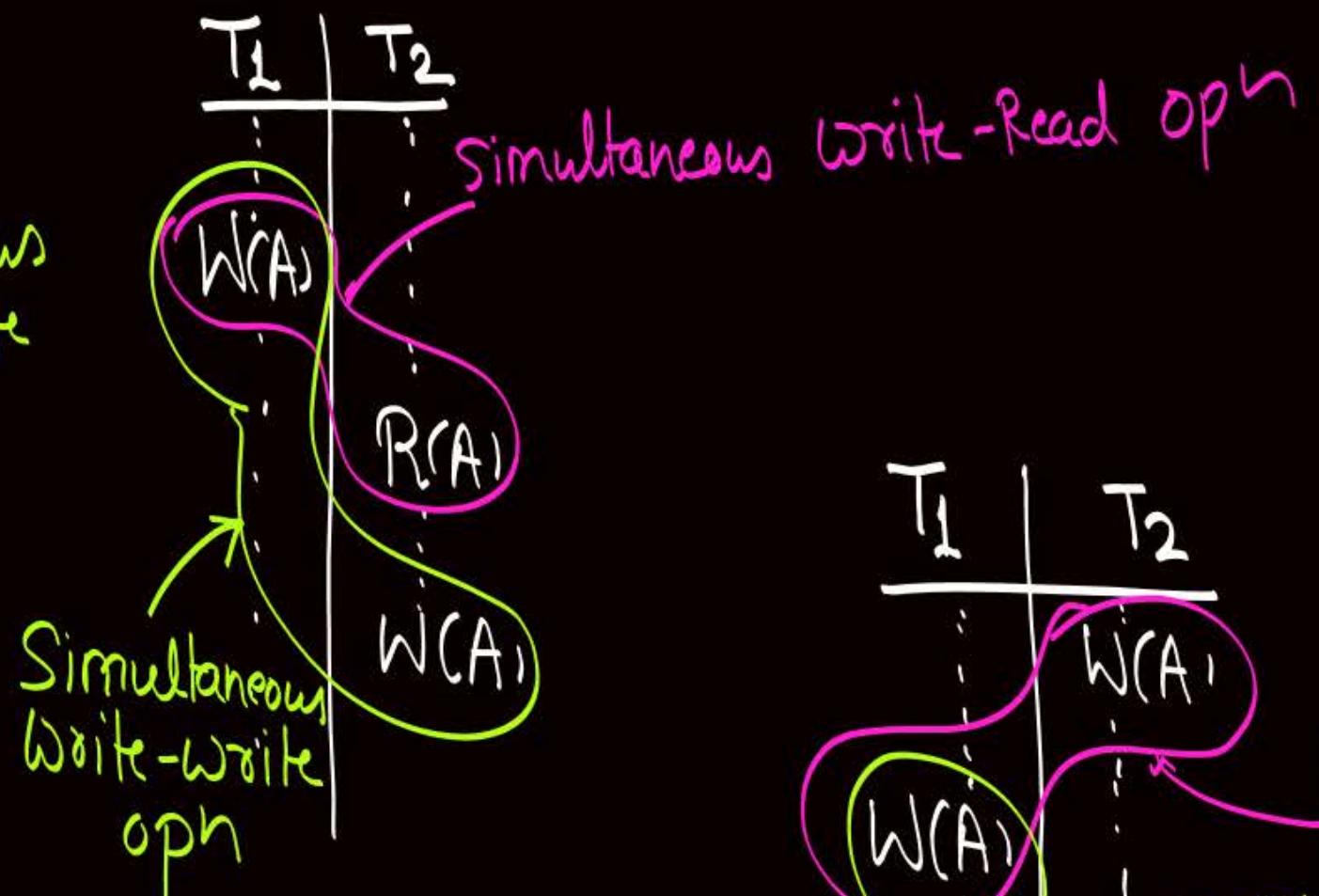
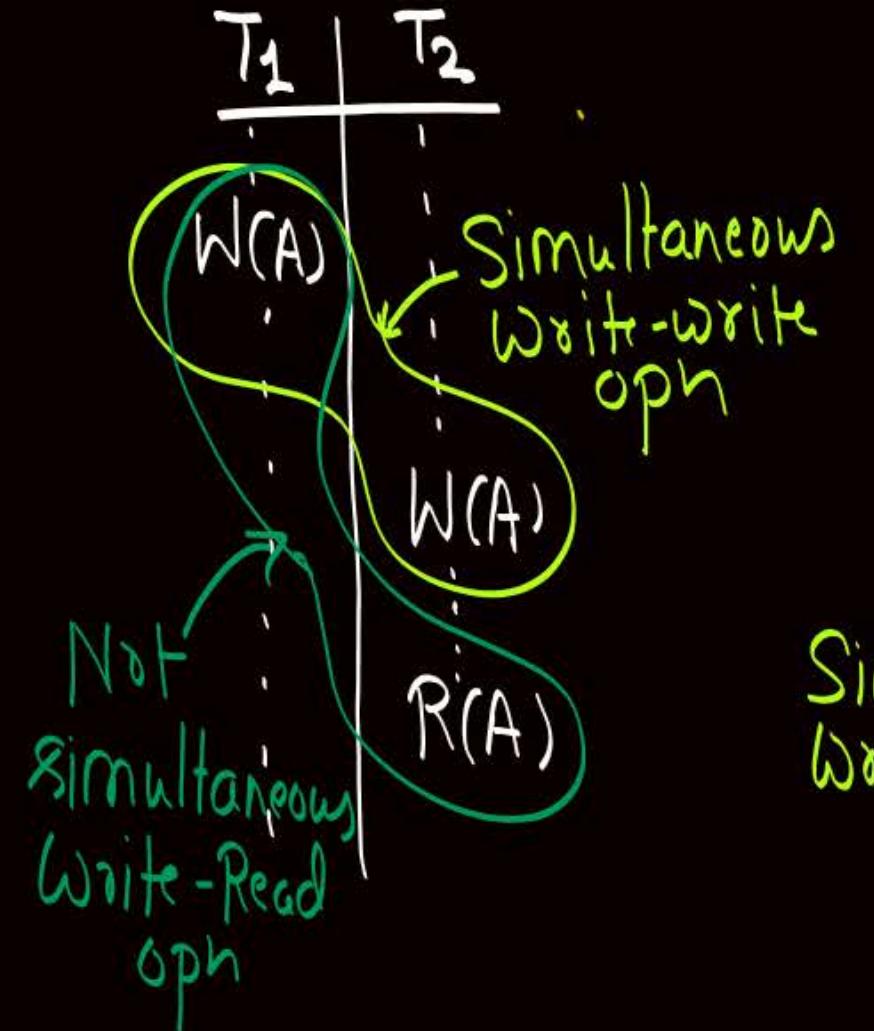
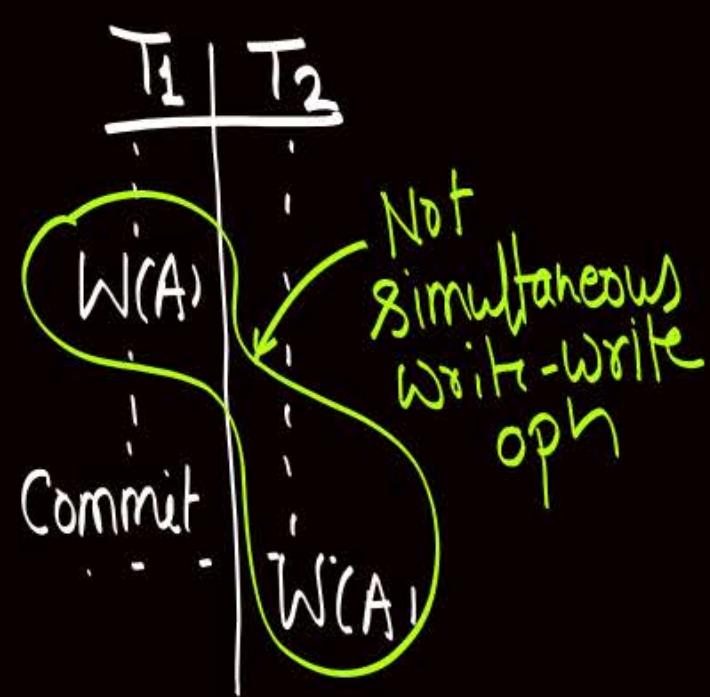
- \* A schedule is said to have WR problem if and only if,
  - ① Simultaneous Write-Read op<sup>h</sup> should exist  
{ i.e. Uncommitted Read op<sup>h</sup> must exist }
  - and ② Schedule Must be a non-serializable schedule.

## WW Problem / Write - Write Problem / Write after Write Problem



If transaction  $T_2$  updates the dataitem 'A' which is already updated by an uncommitted transaction  $T_1$ , then that sequence of operation is called Simultaneous write-write opn.

# WW Problem / Write - Write Problem / Write after Write Problem





## Topic : WW problem

- + A schedule is said to have WW problem if and only if,
  - ① Simultaneous Write-Write op should exist
  - And ② Schedule must be a non-serializable schedule



## Topic : Problems because of concurrent execution

- ① RW Problem / Read-Write Problem / Write after Read Problem
  - ② WR Problem / Write-Read Problem / Read after Write problem / Dirty Read Problem
  - ③ WW Problem / Write-Write Problem / Write-after-Write Problem
  - ④ Lost update problem } ← Lost update problem  
    is possible with  
    Serializable as well as  
    Non-Serializable Schedules
- These problems are possible only if schedule is Non-Serializable

Note :-

If schedule is serializable schedule,  
then none of RW Problem, WR Problem  
Or WW problem can exist in the schedule,  
but lost update problem is still possible

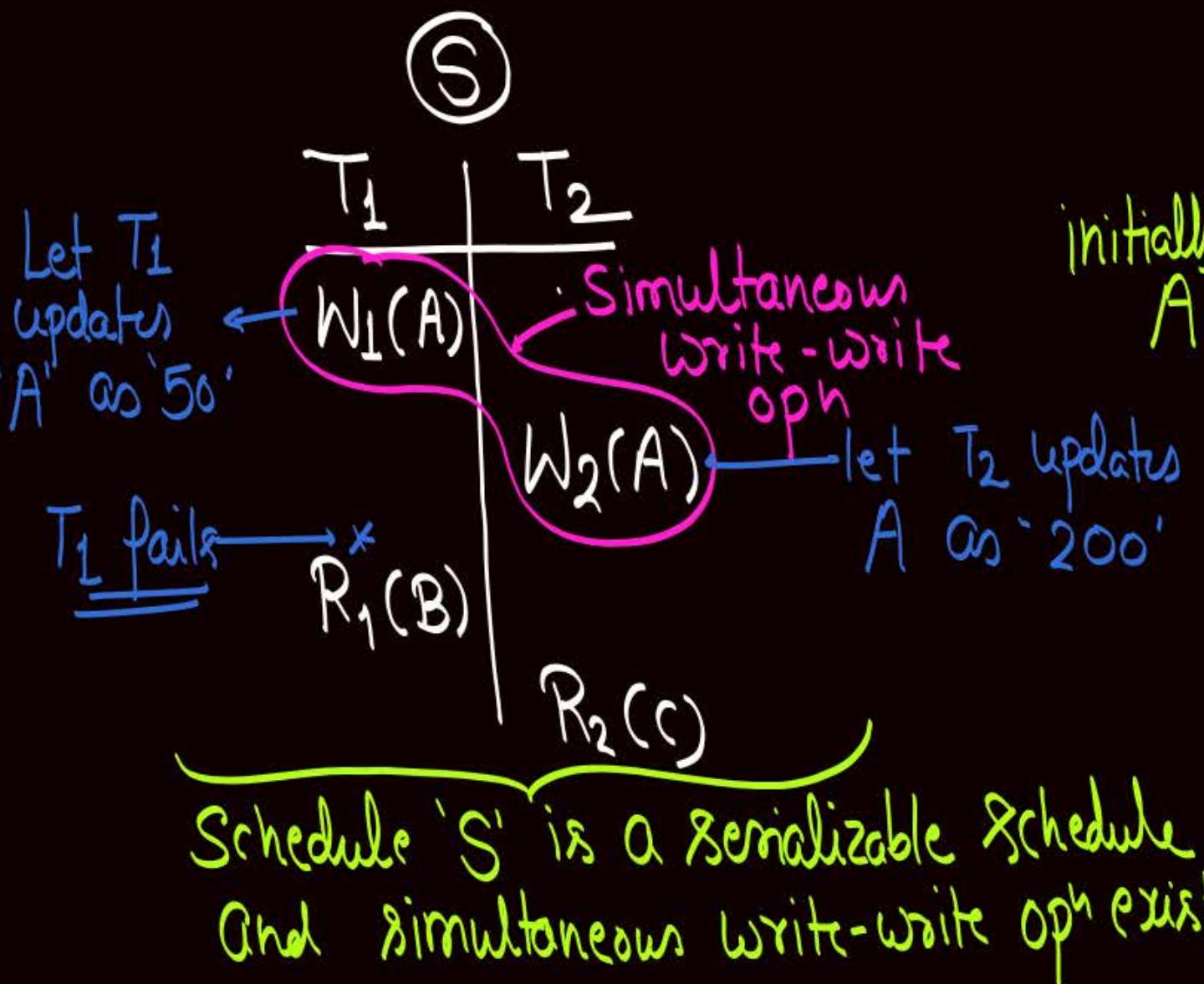


## Topic : Lost update problem

A schedule is said to have lost-update problem if and only if simultaneous Write-Write opn exists

in the schedule. { does not matter whether the schedule }  
is serializable or non-serializable }

## Lost update Problem



Let initially the value of dataitem 'A' in the database is  $A = 100$

$S = W_1(A), W_2(A), R_1(B), R_2(C)$

Initially  $A = 100$

~~50~~

~~200~~

If  $T_1$  fails  
then we will roll-back  $T_1$   
or we will update the value  
of dataitem A in the  
database by 100 wrt

Transaction log

Start $T_1$ .
$(T_1, A, 100, 50)$
Start $T_2$
$(T_2, A, 50, 200)$

\*  $T_1$  fails

$T_2$  still thinks that value  
of 'A' in the database = 200  
but it is not  
i.e., Update of  $T_2$  is lost

Similar problem can exist in a non-serializable  
schedule as well (e.g. Just change  $R_1(B)$  by  $R_1(A)$ )

Schedules with  
lost update problem

Schedules  
with  
W W problem



## Topic : Classification of schedule

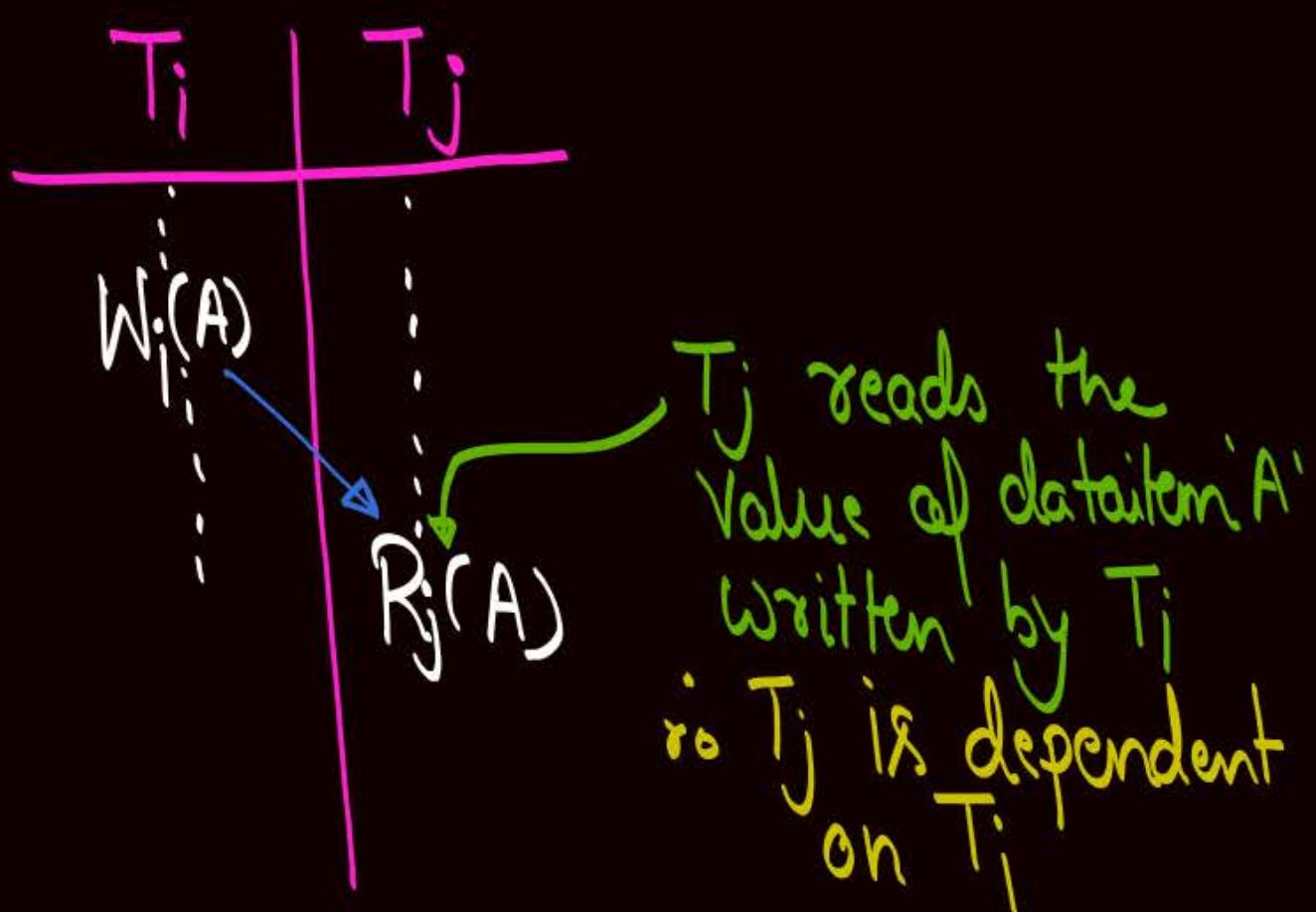
Classification based on  
Recoverability

- ① Irrecoverable schedule
- ② Recoverable schedule
- ③ Cascadedess rollback recoverable schedule
- ④ Strict recoverable schedule

Classification based on  
Serializability

- ① Conflict serializable schedule
- ② View serializable schedule

Note :- If transaction  $T_j$  reads the value written by an uncommitted transaction  $T_i$ , then transaction  $T_j$  is dependent on transaction  $T_i$ .



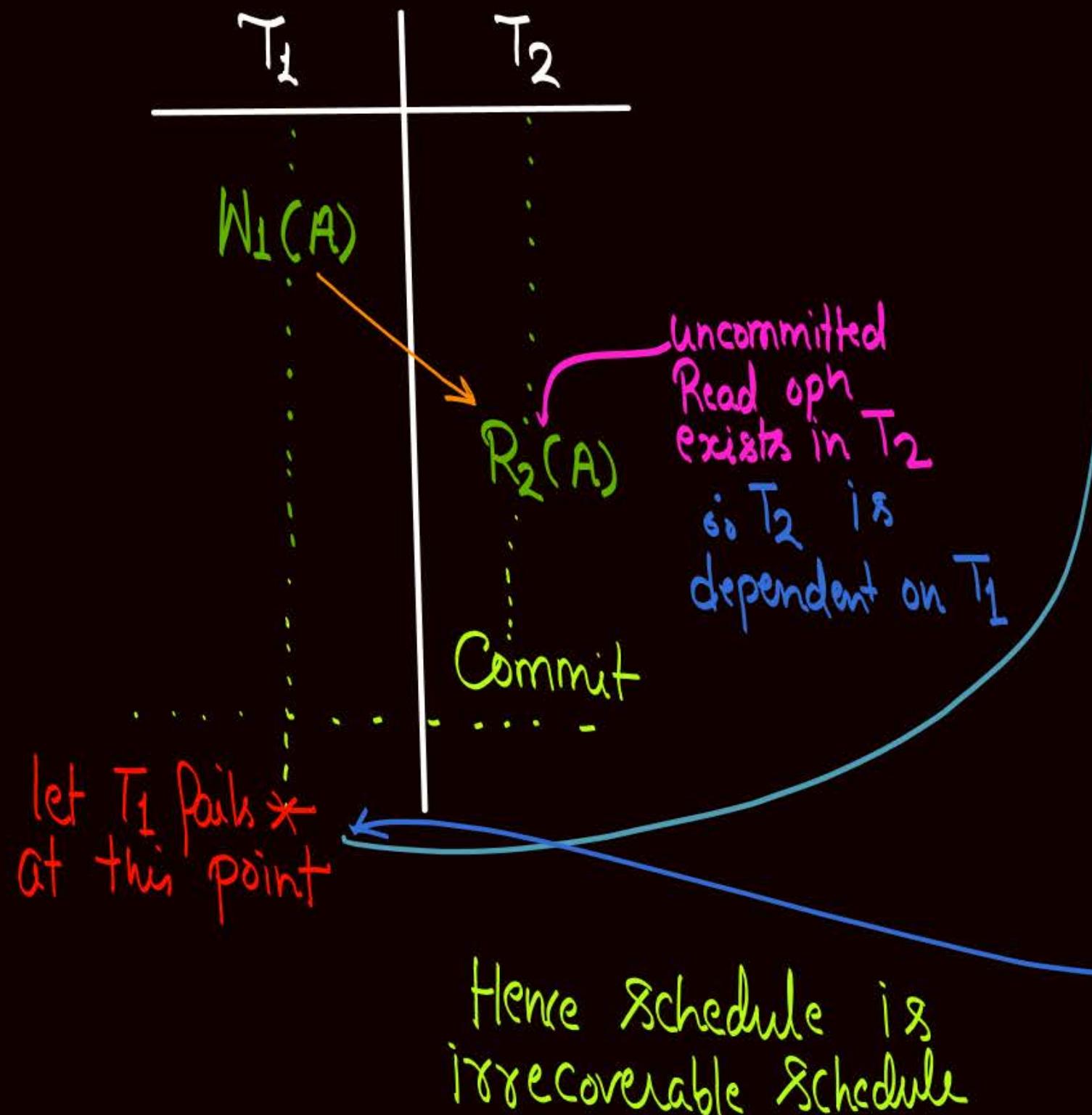
If transaction  $T_j$  is dependent on  $T_i$ , and for some reason if we rollback transaction  $T_i$ , then we must rollback transaction  $T_j$  as well.  
We can rollback the transaction only if it has not executed its commit op successfully.  
We can not rollback a committed transaction.



## Topic : Irrecoverable schedule

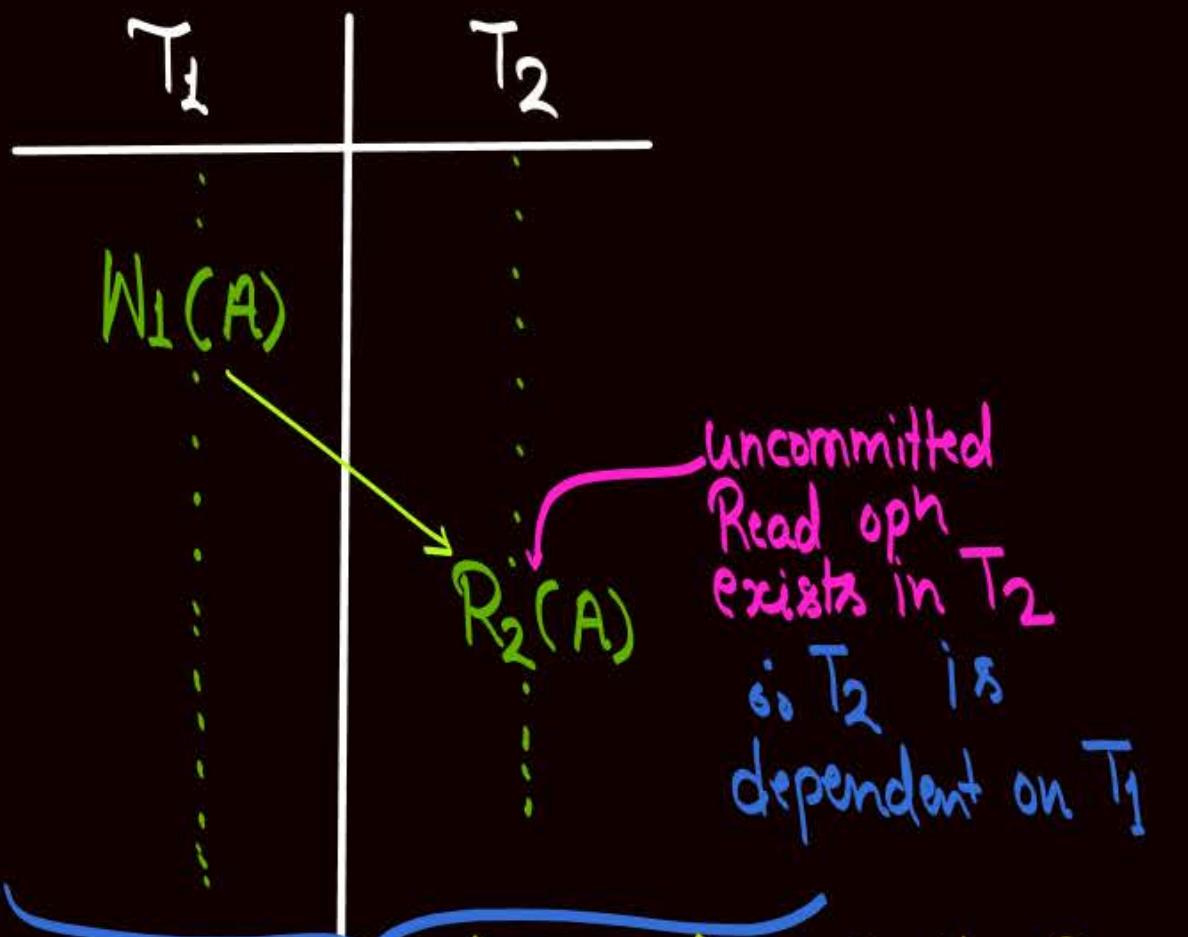
- It is not possible to rollback a committed transaction.
- If there arise a situation when we are required to rollback a committed transaction, then it will not be possible to rollback that transaction. And hence we will not be able to recover from that failure, such schedules are called irrecoverable schedule.

## Irrecoverable Schedule



T<sub>1</sub> Failed, ∴ we will rollback T<sub>1</sub>.  
Because of rollback of T<sub>1</sub>, we need to rollback all the transactions that are dependent on T<sub>1</sub>. { in our eg: T<sub>2</sub> is dependent on T<sub>1</sub>, ∴ we must rollback T<sub>2</sub> as well }  
But T<sub>2</sub> has already executed its commit opn, ∴ we can not rollback T<sub>2</sub>.  
Hence we can not recover from this failure.

## Irrecoverable Schedule

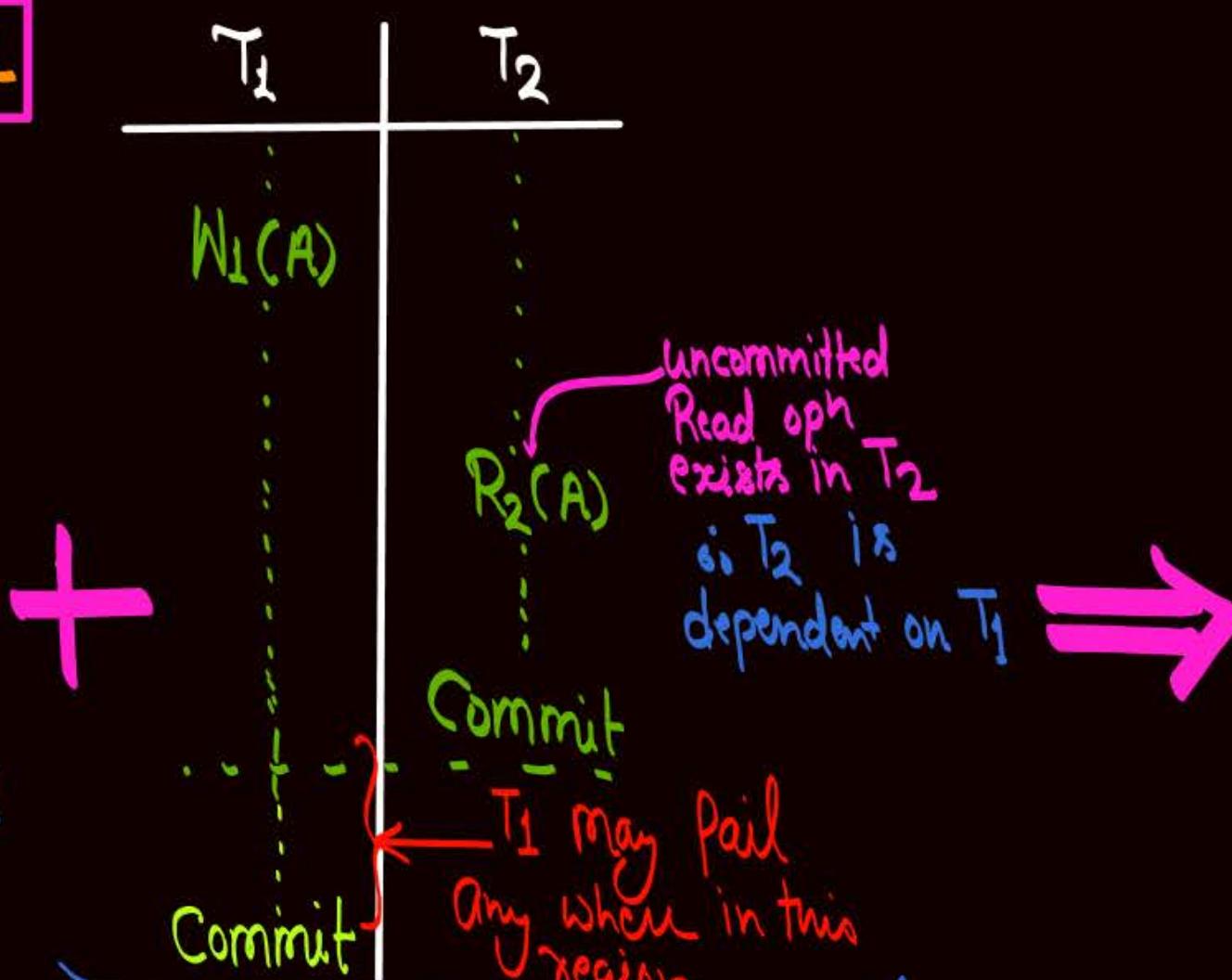


- No transaction has performed its Commit opn
    - If any failure occurs, then we can rollback both transaction.  
i.e., we will be able to recover from failure
- Hence, Schedule is Recoverable Schedule

## Irrecoverable Schedule

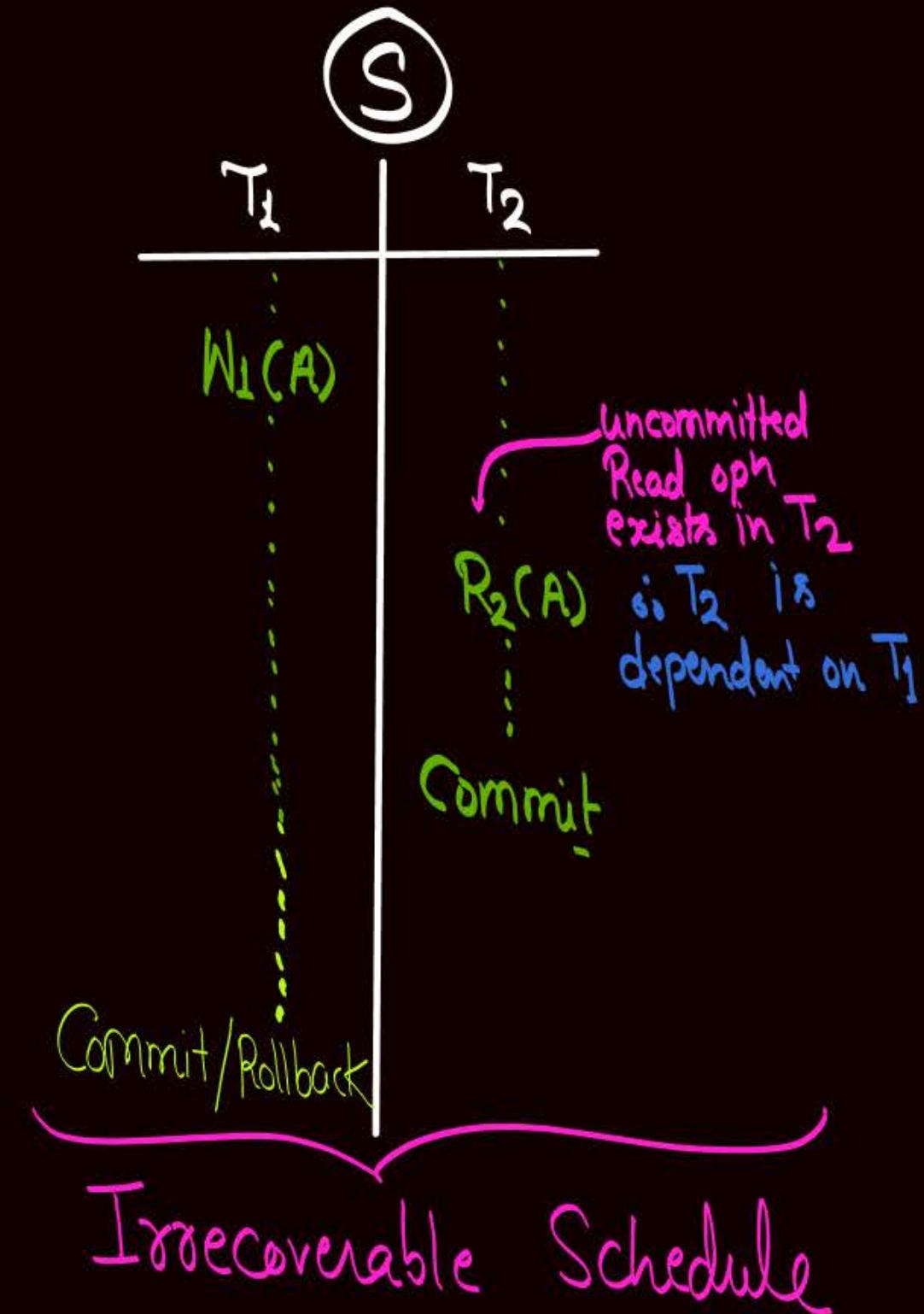
$T_1$	$T_2$
$W_1(A)$	
	$R_2(A)$
	Uncommitted Read opn exists in $T_2$ so $T_2$ is dependent on $T_1$
	<u>Commit</u>
	<u>Rollback/Abort</u>

Irrecoverable Schedule



After Commit of transaction  $T_2$  schedule becomes unsafe, because  $T_1$  may fail anywhere after the Commit of  $T_2$  and before Commit of  $T_1$

If this happens then we will not be able to recover from that failure  
Hence, Schedule is "irrecoverable Schedule"



Irrecoverable Schedule

## Irrecoverable Schedule

Let two transactions  $T_1$  &  $T_2$  exists in a schedule such that  $T_2$  is dependent on  $T_1$ ,

$T_1$	$T_2$
$: \quad W_1(A)$	$: \quad$
$: \quad$	$: \quad$
$: \quad R_2(A)$	$\begin{array}{l} \text{uncommitted} \\ \text{Read opn} \\ \text{exists in } T_2 \\ \text{so } T_2 \text{ is} \\ \text{dependent on } T_1 \end{array}$
$: \quad$	$: \quad$
$\dots \quad \text{Commit}$	$\dots \quad$
$\quad \quad \quad \text{Commit/Rollback}$	$\quad \quad \quad$

Irrecoverable Schedule

If transaction  $T_2$  {i.e. dependent transaction}  
Commit before the Commit/Rollback  
of transaction  $T_1$  {i.e. transaction on  
which  $T_2$  depends}, then such  
schedule is called  
"Irrecoverable Schedule"

## Irrecoverable Schedule

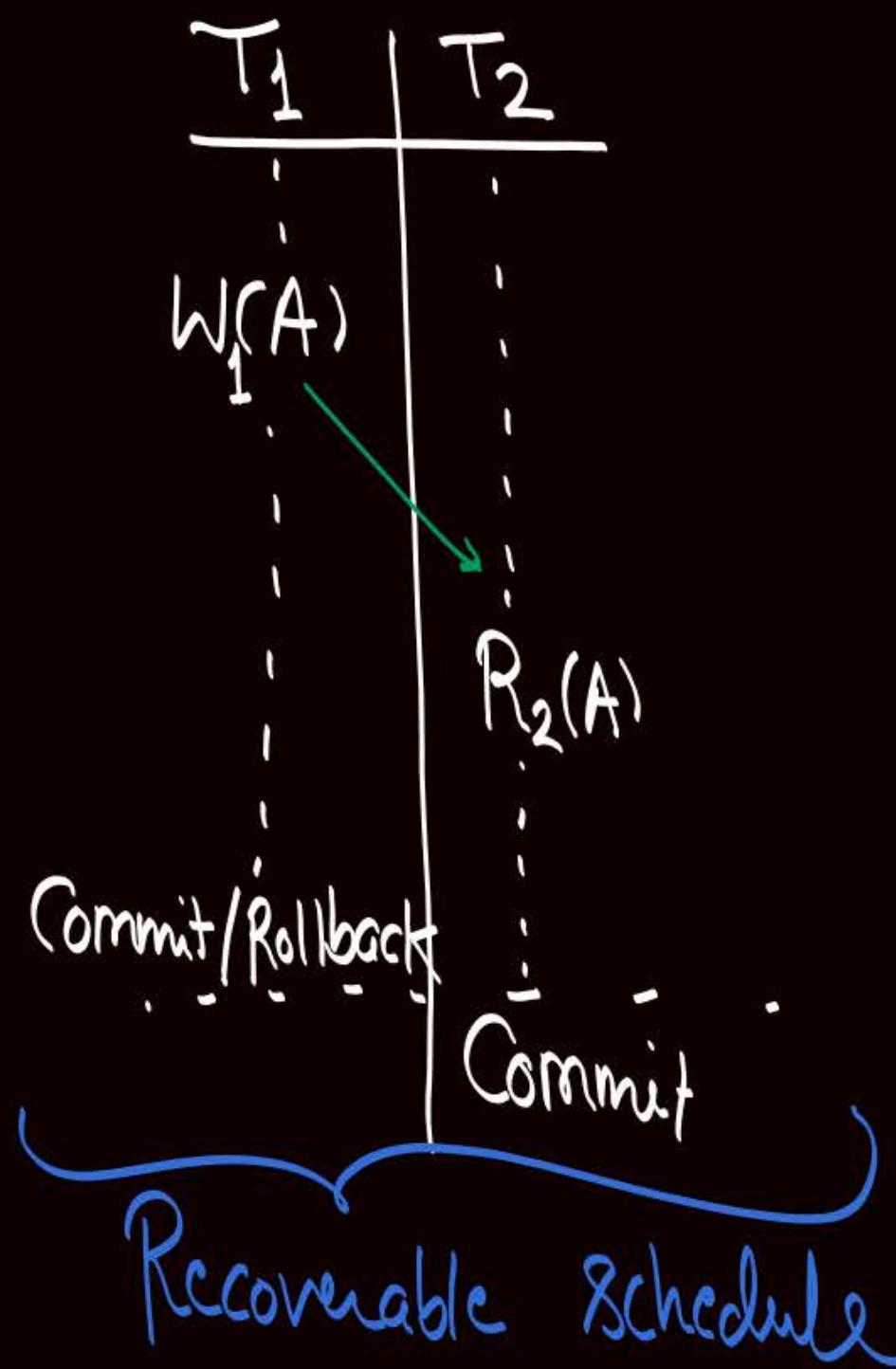
→ Let two transactions  $T_1$  &  $T_2$  exists in a schedule such that  $T_2$  is dependent on  $T_1$ ,

## Irrecoverable Schedule

Uncommitted read opn are necessary (but not sufficient) for a schedule to be irrecoverable schedule.

- i.e., ① If uncommitted read opn does not exist in a schedule, then that schedule is always recoverable  
And ② If uncommitted read opn exists in a schedule then that schedule may or may not be an irrecoverable schedule.

# Recoverable Schedule



for a schedule to be called  
recoverable schedule.

Either    Uncommitted read opn  
should not exist in the  
schedule

Or    if any uncommitted read opn  
exist, then Commit opn of  
dependent transaction must appear  
after the Commit / Rollback of the  
transaction on which it depends



## 2 mins Summary



- Topic** RW problem
- Topic** WR problem
- Topic** WW problem
- Topic** Lost update problem
- Topic** Classification of schedules

# THANK - YOU