

# CS & IT ENGINEERING



## Algorithms

### Dynamic Programming (DP)

Lecture No.- 03

By- Aditya Jain sir



# Recap of Previous Lecture



Topic

Topic

DP Strategies

SSSP

APSP

# Topics to be Covered



Topic

Topic

Topic

0/1 Knapsack

LCS

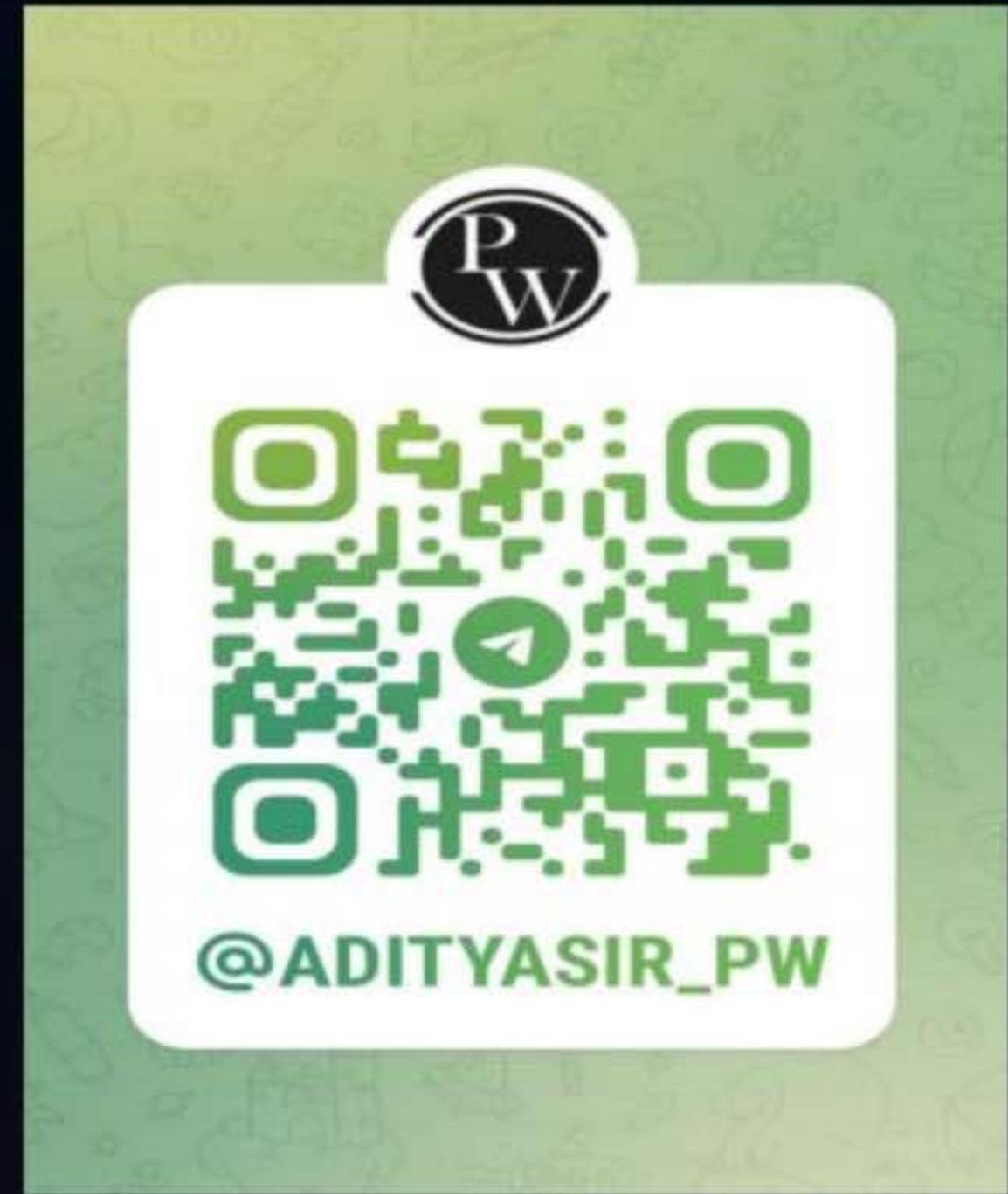




## About Aditya Jain sir



1. Appeared for GATE during BTech and secured AIR 60 in GATE in very first attempt - City topper
2. Represented college as the first Google DSC Ambassador.
3. The only student from the batch to secure an internship at Amazon. (9+ CGPA)
4. Had offer from IIT Bombay and IISc Bangalore to join the Masters program
5. Joined IIT Bombay for my 2 year Masters program, specialization in Data Science
6. Published multiple research papers in well known conferences along with the team
7. Received the prestigious excellence in Research award from IIT Bombay for my Masters thesis
8. Completed my Masters with an overall GPA of 9.36/10
9. Joined Dream11 as a Data Scientist
10. Have mentored 12,000+ students & working professionals in field of Data Science and Analytics
11. Have been mentoring & teaching GATE aspirants to secure a great rank in limited time
12. Have got around 27.5K followers on Linkedin where I share my insights and guide students and professionals.



Telegram Link for Aditya Jain sir: [https://t.me/AdityaSir\\_PW](https://t.me/AdityaSir_PW)



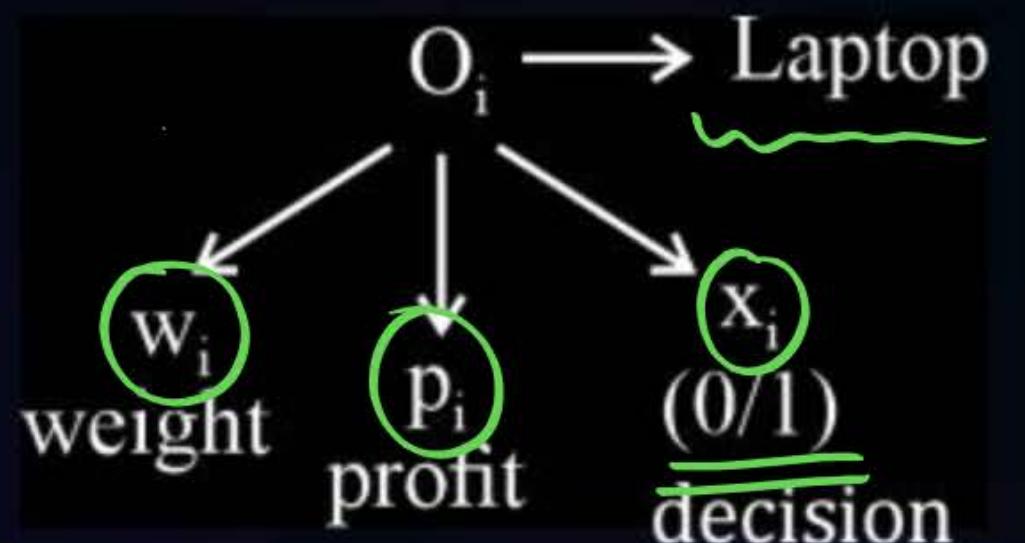
## Topic : Dynamic Programming



### 0/1 Knapsack (Binary Knapsack):

- Knapsack Capacity :  $M$
- no. of objects  $\rightarrow n$

==





## Topic : Dynamic Programming



### Objective Function:

$$\max \sum_{i=1}^n p_i * x_i$$

Such that:

$$\sum w_i * x_i \leq M$$

$$x_i = \{0, 1\}$$



## Topic : Dynamic Programming



### Dynamic Programming Approach:

- Let  $01\text{ Knap}(n, M)$  represent profit with  $n$ -objects and Knapsack of Capacity  $M$ .



## Topic : Dynamic Programming



Recurrence:

V. imp

$$01 \text{ Knap}(n, M) = \begin{cases} 01 \text{ Knap}(n-1, M), & w_n > M \\ \underline{\underline{=}} & \underline{\underline{=}} & \underline{\underline{=}} \end{cases} \rightarrow \text{Exclude } O_n \text{ (no option)}$$

$$01 \text{ Knap}(n, M) = \max \left\{ \begin{array}{l} 01 \text{ Knap}(n - 1, M) \\ 01 \text{ Knap}(\underline{n - 1}, \underline{M - w_n}) + p_n \end{array} \right. , \left. \begin{array}{l} \\ w_n \leq M \end{array} \right.$$

Initialization,

$$\underline{01 \text{ Knap}(n, M) = 0, \text{ if } n = 0 \text{ or } M = 0}$$

TD



## Topic : Dynamic Programming



### Example:

To understand if there are overlapping Sub-problem or not?

$$n = 4, M = 3$$

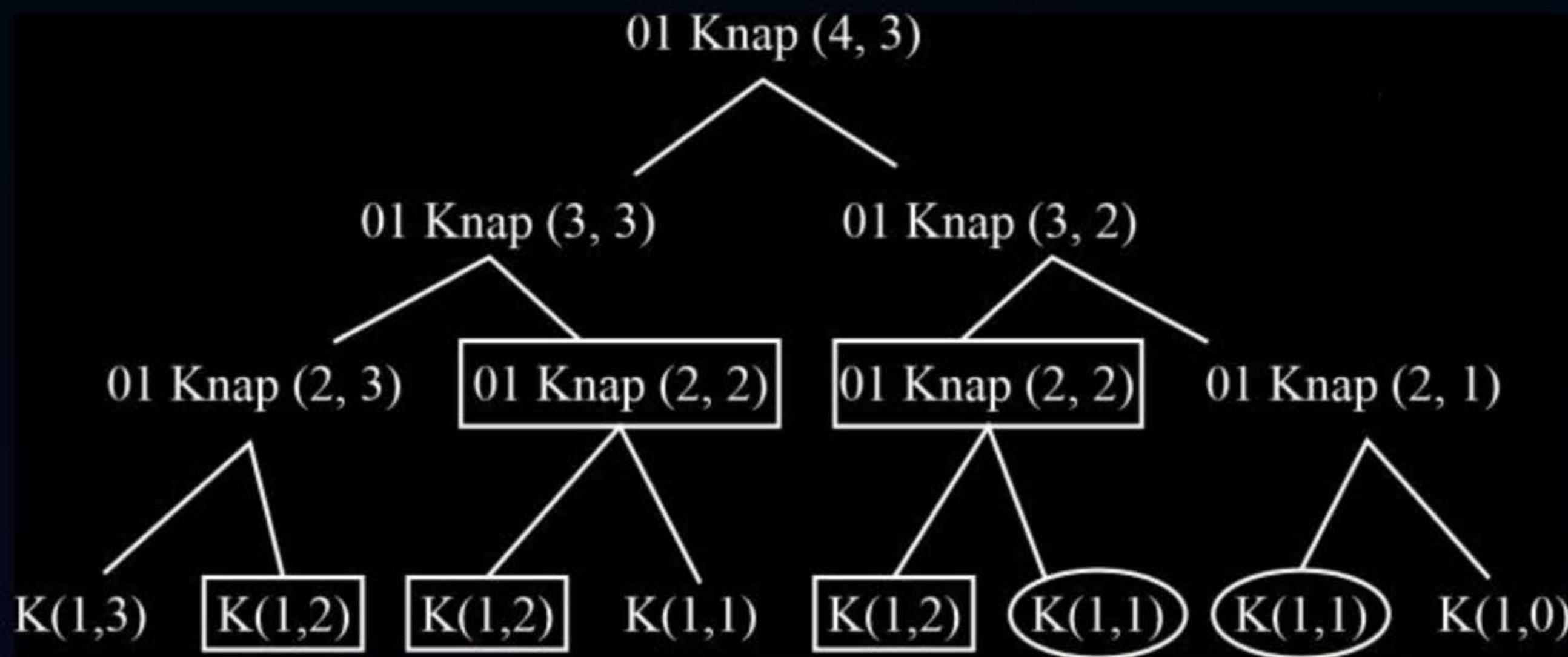
|               | 01 | 02 | 03 | 04 |
|---------------|----|----|----|----|
| $P_i$ profits | 10 | 20 | 30 | 40 |
| $W_i$ weights | 1  | 1  | 1  | 1  |



# Topic : Dynamic Programming



## Top-Down (Recursive):





## Topic : Dynamic Programming



**Bottom-up Approach (Tabulation):**

Example:

$$n = 4, M = 8$$

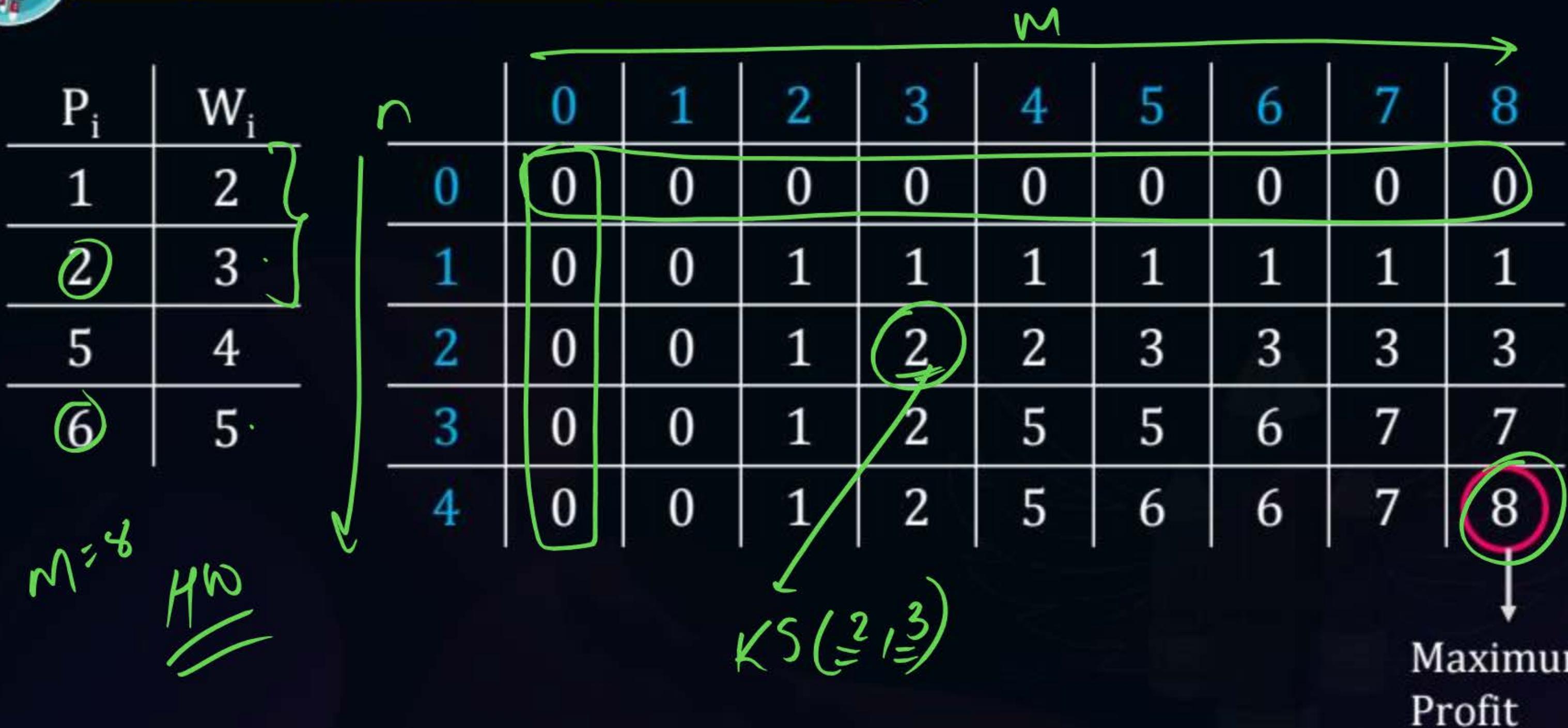
|       | 01 | 02 | 03 | 04 |
|-------|----|----|----|----|
| $P_i$ | 1  | 2  | 5  | 6  |
| $W_i$ | 2  | 3  | 4  | 5  |

Tabulation:

Let  $X[0..n, 0..M]$  be an array of which  $X[n, M] \Rightarrow$  final answer (max profit)



## Topic : Dynamic Programming





## Topic : Dynamic Programming



$$X[i, j] = \max\{X[i-1, j], X[i-1, j-W_i] + P_i\}$$

$$X[2, 3] = \max\{X[1, 3], X[1, 3-3] + 2\}$$

$$X[2, 3] = \max\{1, 0+2\} = \underline{\underline{\max[1, 2]}} = 2$$

$X[2, 5]$  = max profit we can have with considering only 1<sup>st</sup> two objects and knapsack of capacity 5.



## Topic : 0/1 Knapsack Code

```
Algo 01Knap(M, n, W, P) {  
    X[0...n, 0...M]  
    for (i = 0; i <=n; i++) {  
        for (j = 0; j <= M; j++) {  
            if (i == 0 or j == 0) {  
                X[i, j] = 0  
            } else if (W[i] ≤ j) {  
                X[i, j] = max(X[i-1, j], X[i-1, j-W[i]] + P[i])  
            } else {  
                X[i, j] = X[i-1, j]  
            }  
        }  
    }  
    return X[n, M]  
}
```





## Topic : 0/1 Knapsack Code

| X | 0 | 1 | 2 | . | . | . | M |
|---|---|---|---|---|---|---|---|
| 0 |   |   |   |   |   |   |   |
| . |   |   |   |   |   |   |   |
| . |   |   |   |   |   |   |   |
| . |   |   |   |   |   |   |   |
| n |   |   |   |   |   |   |   |

A green arrow points from the text  $X[n, M]$  to the bottom-right corner cell of the grid, which contains a small white circle.



## Topic : 0/1 Knapsack Code



#Q.  $n = 3, M = 6$

|       | 01 | 02 | 03 |
|-------|----|----|----|
| $P_i$ | 1  | 2  | 5  |
| $W_i$ | 2  | 3  | 4  |



## Topic : Dynamic Programming



### Complexity Analysis of 0/1 Knapsack:

#### 1. Time Complexity:

- $O(n * M)$

#### 2. Space Complexity:

- $O((n+1) * (M+1)) = \underline{\underline{O(n * M)}}$



## Topic : Dynamic Programming :(DP)



### 6. Sum of subset: (SOS)

**Problem Statement:-** Given a set of n-elements (integers) and also another elements (integer) 'M'.

The sum of subsets (SOS) problem is to determine If there exists a subset of the given elements whose sum equals to 'M'.

SOS → Decision Problem → o/p: True / False



## Topic : Dynamic Programming :(DP)



DP Problems So far:

1. Bellman - Ford → Minimize path cost (SSSP)
2. Floyd Warshall → Minimize path cost (APSP)
3. 0/1 knapsack → Maximize Profit
4. Longest common Subsequence (LCS) → Maximize length of common subsequence.
5. Matrix chain multiplication (MCM) → Minimize scalar multiplication



## Topic : Dynamic Programming :(DP)



SOS→ Very similar to 0/1 knapsack problem:

**Eg.1.** N = 5

$$A = [50, 30, 10, 40, 20]$$

M = 50

Subsets

{50}

{30, 20}

{10, 40}

Index

{1}

{2, 5}

{3, 4}



# Topic : Dynamic Programming :(DP)



## Enumeration

Brute Force:-

Check every possible subsets

$n$  elements  $\rightarrow 2^n \rightarrow$  subset

TC:  $O(2^n)$



## Topic : Dynamic Programming :(DP)



DP: SOS → Top-Down (Recurrence of SOS DP)

Target Sum  
↑  
 $n, A[A_1, \dots, A_n], M,$

Let SOS ( $n, m$ ) represent the solution to the SOS, with  $n$  elements and target sum ( $M$ ).

SOS ( $n, m$ ) = True/ False = 0/1



## Topic : Dynamic Programming :(DP)



$SOS(n, m) = T$  {There exists a subset from n elements that sum to M}  
 $= F$  { There does not exist}

OR

F or F → F ✓  
F or T → T  
T or F → T  
T or T → T



## Topic : Dynamic Programming :(DP)

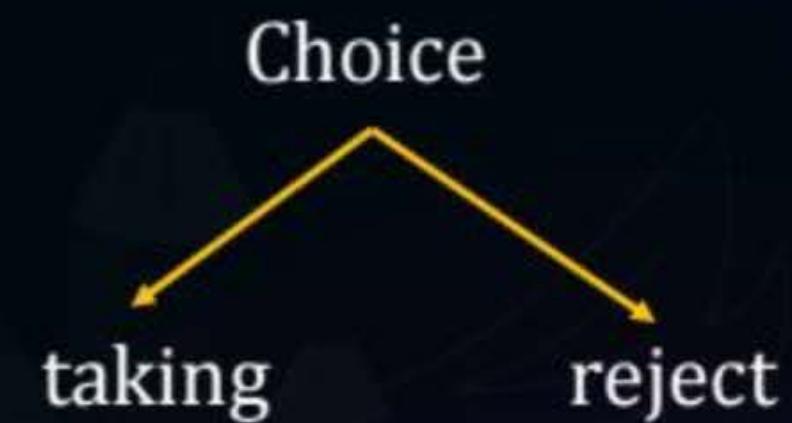


$SOS(n, M) = SOS(n-1, M), \underline{A_n > M} \{skip/ reject A_n\}$



$$SOS(n, M) = \begin{cases} SOS(n-1, M) \\ \text{or} \\ SOS(n - 1, M - \underline{A_n}) \end{cases}, \underline{\underline{A_n \leq M}}$$

If we can get to sum  $M$  from either taking  $A_n$  or Rejecting  $A_n$





## Topic : Dynamic Programming :(DP)



Boundary case

or

Base condition

$SOS(n, m) = \text{False}$ ,  $n = 0$  & M > 0 ✗

$SOS(n, m) = \text{True}$ , M = 0 &  $n \geq 0$



# Topic : Dynamic Programming :(DP)

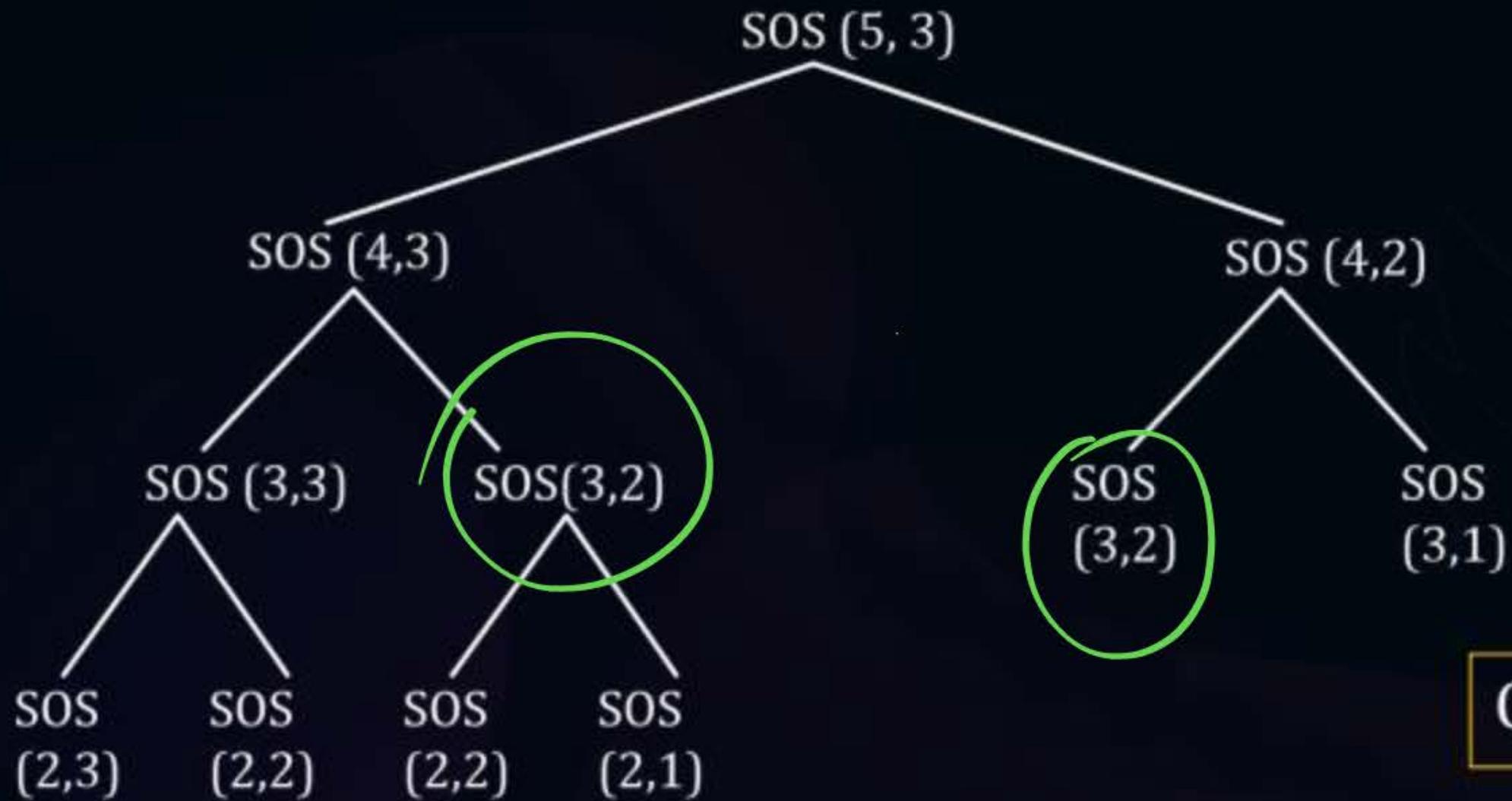


TOP - Down

E.g:-

$n = 5, A = [1, 1, 1, 1, 1]$

$M = 3$



Overlapping subproblems



## Topic : Dynamic Programming :(DP)



SOS → Bottom Up (Tabulation Method)

Given:-  $n, M$                      $x [0, \dots, n, 0 \dots, M]$

$X[i,j] = \text{True / False}$



A particular  $i, j$  call form  $x[.]$

Whether there exists a subset from the 'i' elements that sum to 'j'.

**E.g.2.**  $A = [2, 8, 4, 11, 9]$

$n = 5,$

$m = 6$



# Topic : Dynamic Programming :(DP)



|                | X | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|----------------|---|---|---|---|---|---|---|---|
| A <sub>i</sub> | 0 | T | F | F | F | F | F | F |
| 2              | 1 | T | F | T | F | F | F | F |
| 8              | 2 | T | F | T | F | F | F | F |
| 4              | 3 | T | F | T | F | T | F | T |
| 11             | 4 | T | F | T | F | T | F | T |
| 9              | 5 | T | F | T | F | T | F | T |

M →

Final Answer

The diagram illustrates a dynamic programming table with rows labeled  $A_i$  and columns labeled  $M \rightarrow$ . The table entries are either 'T' (True) or 'F' (False). A green path highlights the sequence of states from row 2 to row 5, starting at index 0 and moving to index 4. A pink circle highlights the final state at index 4 of row 5.



## Topic : Dynamic Programming :(DP)



Imp Shortest Shortcut

In  $A[i, j] = \text{True}$  then the entire column ' $j$ ' can be set to True.



## Topic : Dynamic Programming :(DP)



DP: SOS: Tabulation (Bottom -up) Code

```
Algo SOS (n, M, A)
{
    A [1.....n]
    X [0....n, 0.....M] → Auxiliary Space
    for i = (0 to n):
    {
        for j = (0 to M)
        {
            if (i ≥ 0 and j =0)
            {
                X [i, j] = True;
            }
        }
    }
}
```



## Topic : Dynamic Programming :(DP)

```
else if [i = 0 and j > 0]
    x [i,j] = False;
}
else if (A [i] > j)
{
    x [i,j] = x [i-1 , j]
}
else
{
    x [i,j] = (x[i-1, j]) or (x[i-1, j - A [i]])
}
}
```



## Topic : Dynamic Programming :(DP)



Complexity Analysis of Bottom- up (DP) Approach of SOS:

1. Time complexity :  $O(n*M)$
2. Space complexity :  $O(n*M)$

Note:-



## Topic : Dynamic Programming



### Longest Common Sub-Sequence (LCS):

- Based on Strings
- A sequence/group/array of one or more characters.



## Topic : Dynamic Programming



### String:

#### 1) Substring:

- A contiguous sequence of 1 or more characters from the given string.



## Topic : Dynamic Programming



### String:

#### 2) Subsequence:

- A sequence of 1 or more characters from the given string, that may or may not be contiguous, but their relative order is maintained.



## Topic : Dynamic Programming



### Example:

- String: "ABC" → length = 3
- Sub-strings: A, B, C → 3

AB, BC → 2

ABC → 1

No. of substrings =  $3 + 2 + 1 = 6$





## Topic : Dynamic Programming



### Important Result:

Given :  $S[1, \dots, n]$

#Q. What are the total number of sub-strings of at-least 1 length (non-empty sub-strings)?

$$\underline{\underline{n=4}}$$

$$\frac{n(n+1)}{2}$$



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### Sub-Sequence:

- String = "ABC"
- Sub-Sequence = A, B, C, AB, BC, AC, ABC → Valid sub-sequence
- CA, CB → relative order not maintained, not a sub-sequence.

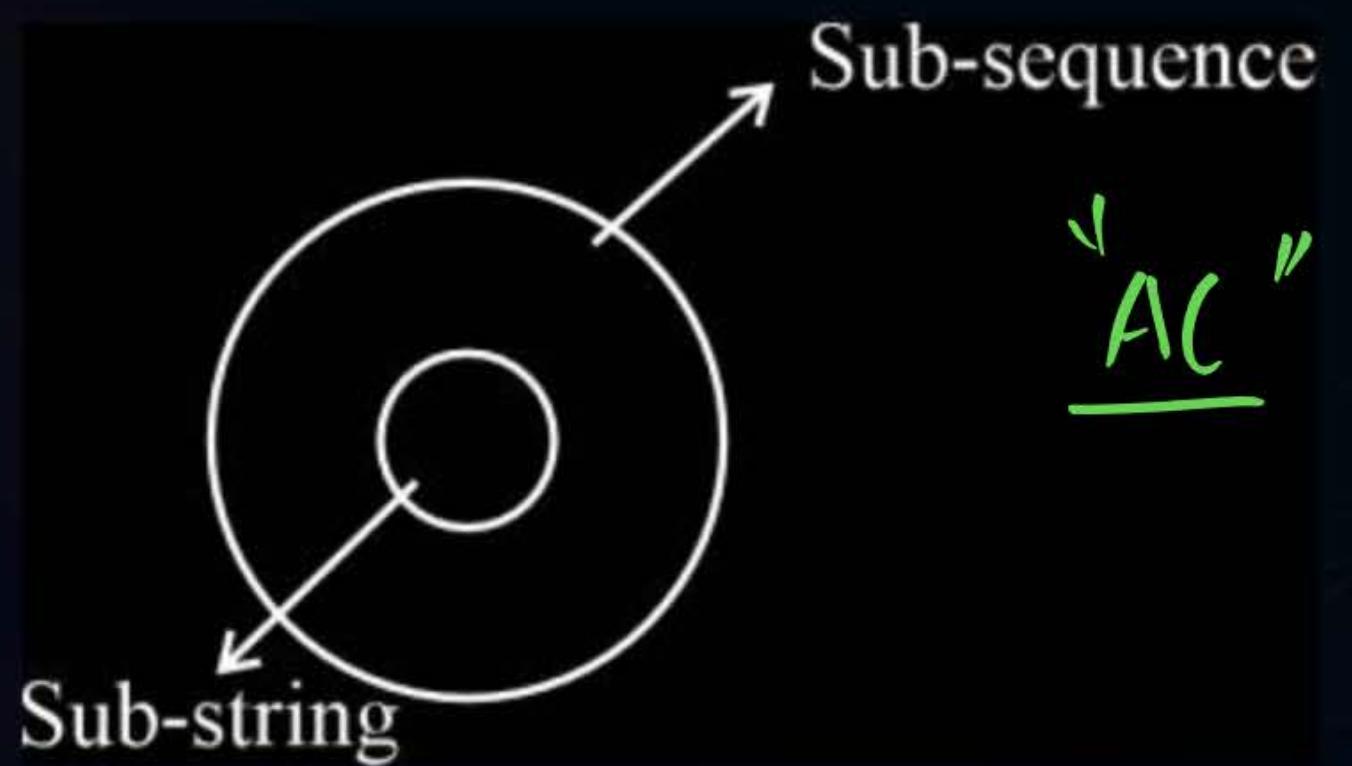


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### Important:

- Every substring is also a sub-sequence, but a Sub-sequence may or may not be a substring.



#Q. Given a string  $S = "CABDABBCD"$ .

Which of the following are valid sub-sequences?

A

BBD



B

BAC



C

CDCA



D

CADC



E

ADABD





## Topic : Dynamic Programming



### Longest Common Sub-Sequence:

#Q. Given a string of length 'n' characters, the number of sub-sequences possible are?



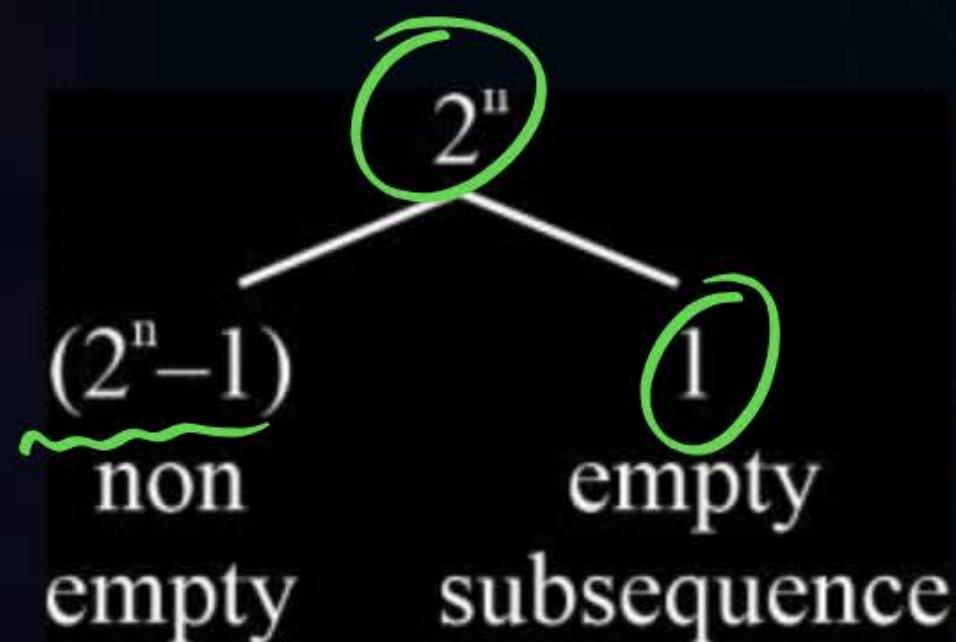
## Topic : Dynamic Programming



### Longest Common Sub-Sequence:



$\emptyset \rightarrow$  empty sub-sequence





## Topic : Dynamic Programming



Given

'n' All Subsequence  $\rightarrow A$       }  
'n' All Subsequence  $\rightarrow B$       } Common  $\rightarrow$  longest

- Brute Force/Enumeration:  $O(2^n)$
- Hence, we need DP based approach.



## Topic : Dynamic Programming



### Longest Common Subsequence (DP based approach):

Common Subsequence:

- Given two strings X and Y of length n and m respectively, a subsequence that is common to both X and Y is known as a common sub-sequence.



## Topic : Dynamic Programming



### Example:

#Q. X = "ABCD", Y = "B<sup>~</sup>DC"

Which sub-sequences are common to both?

1)

A

2)

B

3)

BC

4)

BD

5)

BCD

6)

DC

7)

BDC



## Topic : Dynamic Programming



### LCS Problem Statement:

#Q. Given strings 'A' and 'B' of length (Characters) 'n' & 'M' respectively. The LCS problem is to determine the subsequence that is of the longest length among all the subsequences that are common to both 'A' & 'B'?



## Topic : Dynamic Programming



**Example:**

S1 = "ABCBDAB"

S2 = "BDCABA"

Longest LCS = A green speaker icon indicating audio content.



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### Common Subsequences:

AB → 2

BCA → 3

BCB → 3

BCBA → 4

BCAB → 4

- Multiple longest common subsequences are possible.
- But LCS length is unique → 4





## Topic : Dynamic Programming



### DP based solution to LCS:

- Let 'i' and 'j' be indices in the strings 'X' and 'Y' respectively, such that.

$$X = [x_1, x_2, x_3, \dots, x_n]$$
$$Y = [y_1, y_2, y_3, \dots, y_m]$$



## Topic : Dynamic Programming



- Let  $L(i, j)$  represent the length of the common sub-sequence of the strings X and Y.

$$L(i, j) = \begin{cases} 1 + L(i-1, j-1); & \text{if } X[i] == Y[j] \\ \max\{L(i-1, j), L(i, j-1)\}; & \text{if } X[i] \neq Y[j] \end{cases}$$

The diagram shows two sequences, X and Y. Sequence X is represented by the letters 'X', 'Y', 'Z', 'X', 'Y', 'Z'. Sequence Y is represented by the letters 'Y', 'X', 'Y', 'Z', 'X', 'Y'. Green annotations include:

- A green underline under the first 'X' in X and the first 'Y' in Y.
- A green wavy line under the second 'Y' in X and the second 'X' in Y.
- A green curved arrow from the first 'X' in X to the first 'Y' in Y.
- A green curved arrow from the second 'Y' in X to the second 'X' in Y.



## Topic : Dynamic Programming



### Initialization:

- $L[0, j] = 0,$   
~~//~~  
1<sup>st</sup> string is exhausted  
if  $i = 0; j = 0, 1, 2, \dots, m$
- $L[i, 0] = 0,$   
~~//~~  
2<sup>nd</sup> string is exhausted  
if  $j = 0; i = 0, 1, 2, \dots, n$



## Topic : Dynamic Programming



### Example:

Case1:  $X[i] = Y[j]$

$X = \underline{\text{ABBCDCCABA}}$

$Y = \underline{\text{CBDADCBDAA}}$

A diagram illustrating a dynamic programming step. Two strings, X and Y, are shown. String X is "ABBCDCCABA" with the suffix "CCABA" underlined. String Y is "CBDADCBDAA" with the suffix "BDAA" underlined. A green arrow points from the underlined segment in X to the underlined segment in Y. A green circle highlights the character 'C' at the start of both underlined segments, indicating a matching character for the current step of the algorithm.



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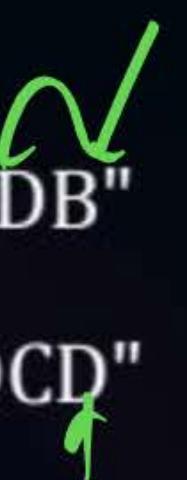


### Example:

Case2:  $X[i] \neq Y[j]$

$X = "ABCDBBCCADB"$

$Y = "BDBC\color{red}{AA}ABDCD"$



$$\max\{L(i, j-1), L(i-1, j)\}$$

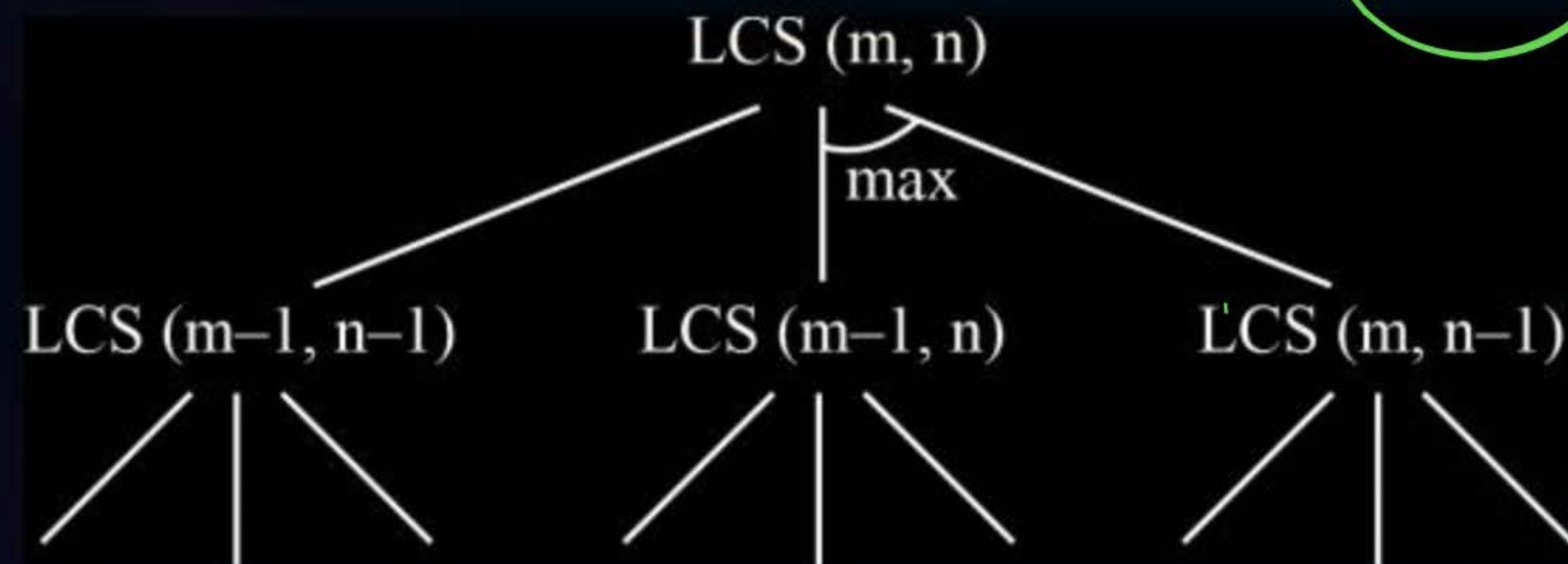


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## Overlapping Subproblems:

$$|X| = n, |Y| = m$$



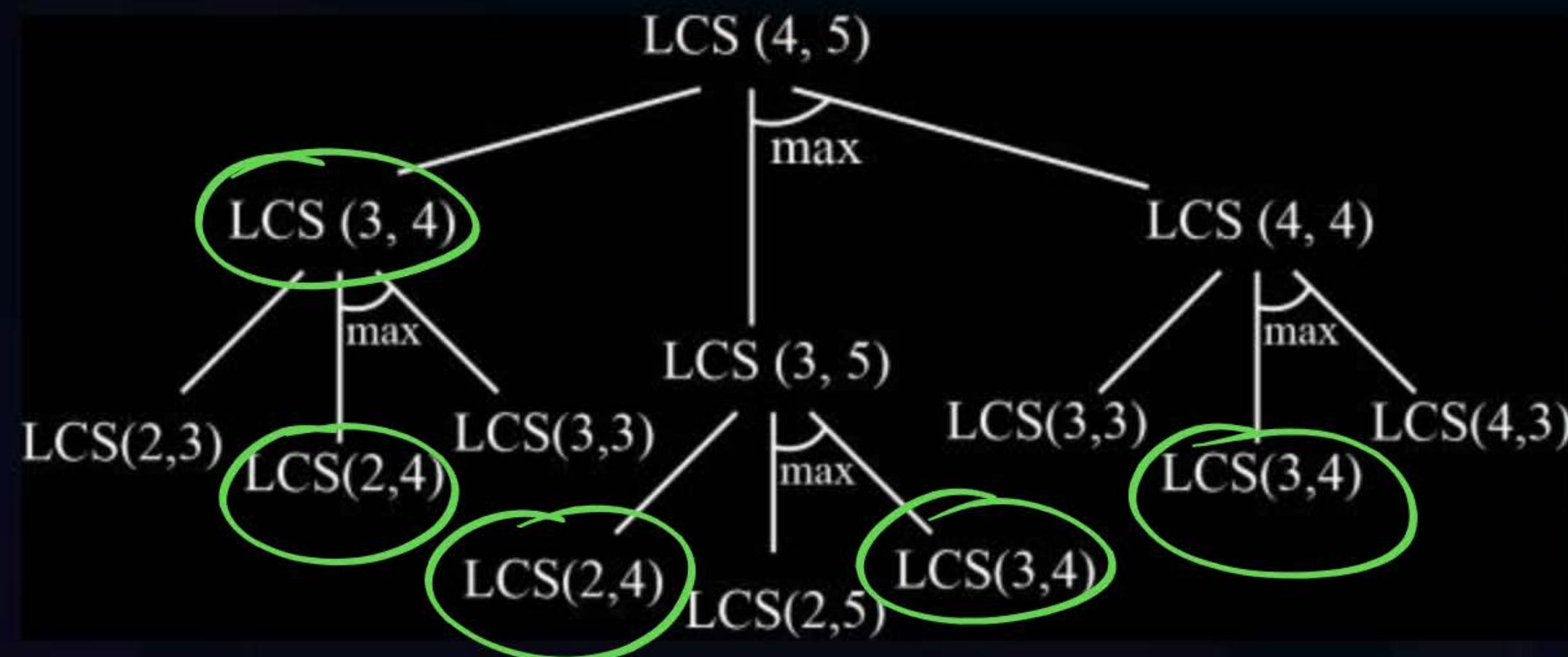


# Topic : Dynamic Programming



## Overlapping Subproblems:

$$|X| = 4, |Y| = 5$$



Overlapping exists



## Topic : Dynamic Programming



### Example:

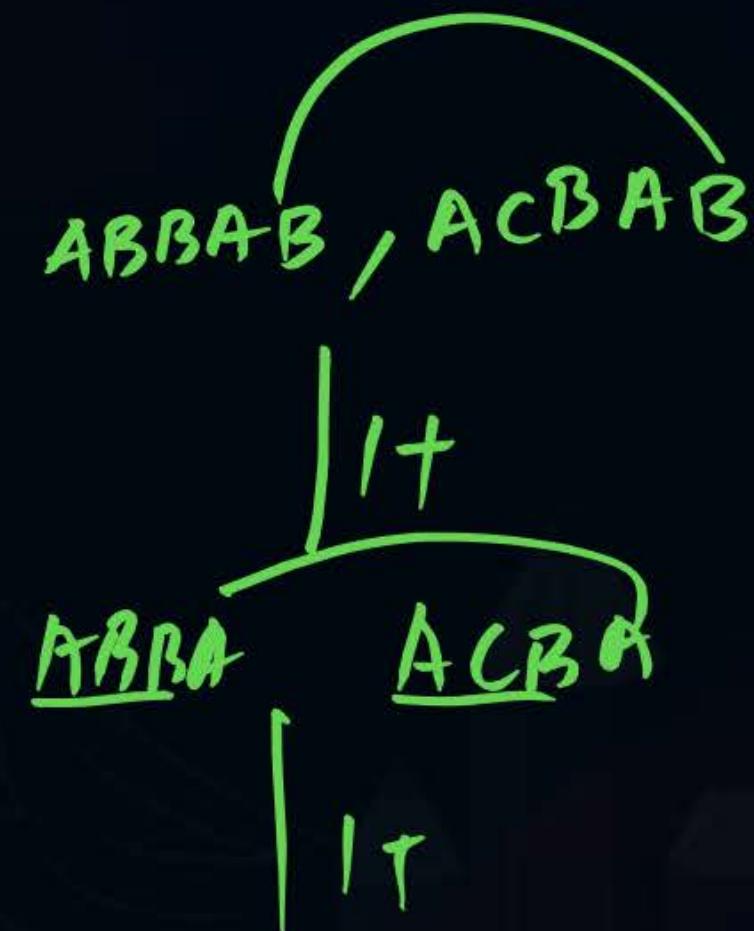
Top-Down (Memorization/Recurrence):

X = "ABBAB"

Y = "ACBAB"

LCS : "ABAB"

Length = 4





## Topic : Dynamic Programming



### Example:

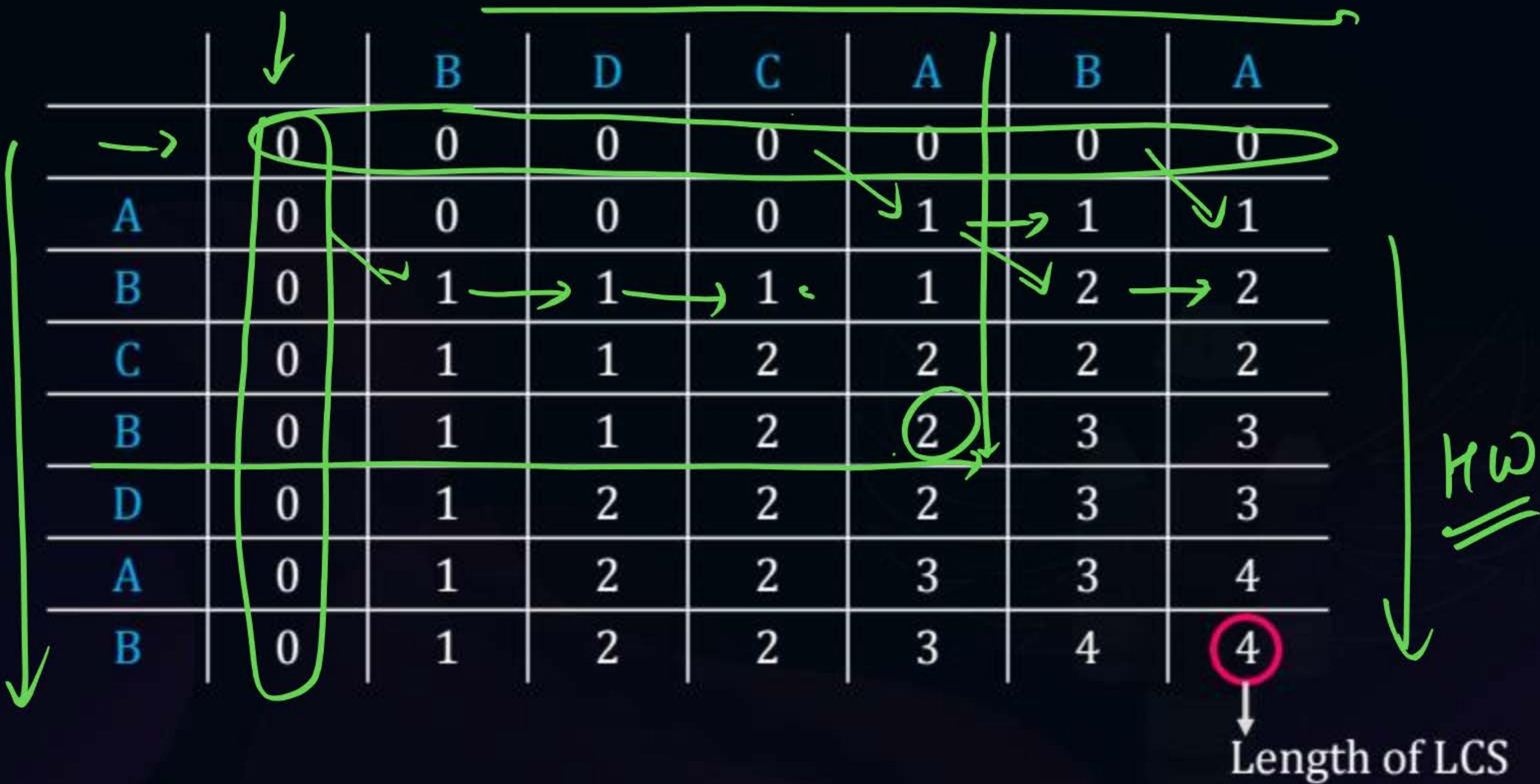
Bottom-up Approach (Tabulation):

X = "ABCBDAB" → length = 7

Y = "BDCABA" → length = 6



# Topic : Dynamic Programming





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### LCS Recurrence:

- $LCS(i, j) = 1 + LCS(i-1, j-1)$ ; if  $X[i] = Y[j]$
- $LCS(i, j) = \max\{LCS(i, j-1), LCS(i-1, j)\}$ ; if  $X[i] \neq Y[j]$



## Topic : Dynamic Programming



Algorithm LCS based on Bottom-up Tabulation method :

**Algorithm LCS (x, y)**

**Integer x[1..n], y [1..m];**

{

    integer L [0..n , 0..m];

1.     for i  $\leftarrow$  1 to n

        L [i, 0] = 0;

2.     for j  $\leftarrow$  1 to m

        L [0 , j] = 0;

3.     for i  $\leftarrow$  1 to n

        for j  $\leftarrow$  1 to m

Hω



## Topic : Dynamic Programming



```
if (x [i] == y [j]) then  
    L[i, j] = 1 + L [i - 1, j - 1];  
else  
    L[i, j] = max {L [i, j - 1], L [i - 1, j]};
```

hw



**THANK - YOU**