

CS & IT ENGINEERING



Algorithms

Dynamic Programming (DP)

Lecture No.- 03

By- Aditya Jain sir



GATE WALLAH

Recap of Previous Lecture



Topic

Topic

DP Strategies

SSSP

APSP

Topics to be Covered



Topic

Topic

Topic

0/1 Knapsack

LCS



About Aditya Jain sir

1. Appeared for GATE during BTech and secured AIR 60 in GATE in very first attempt - City topper
2. Represented college as the first Google DSC Ambassador.
3. The only student from the batch to secure an internship at Amazon. (9+ CGPA)
4. Had offer from IIT Bombay and IISc Bangalore to join the Masters program
5. Joined IIT Bombay for my 2 year Masters program, specialization in Data Science
6. Published multiple research papers in well known conferences along with the team
7. Received the prestigious excellence in Research award from IIT Bombay for my Masters thesis
8. Completed my Masters with an overall GPA of 9.36/10
9. Joined Dream11 as a Data Scientist
10. Have mentored 12,000+ students & working professions in field of Data Science and Analytics
11. Have been mentoring & teaching GATE aspirants to secure a great rank in limited time
12. Have got around 27.5K followers on Linkedin where I share my insights and guide students and professionals.



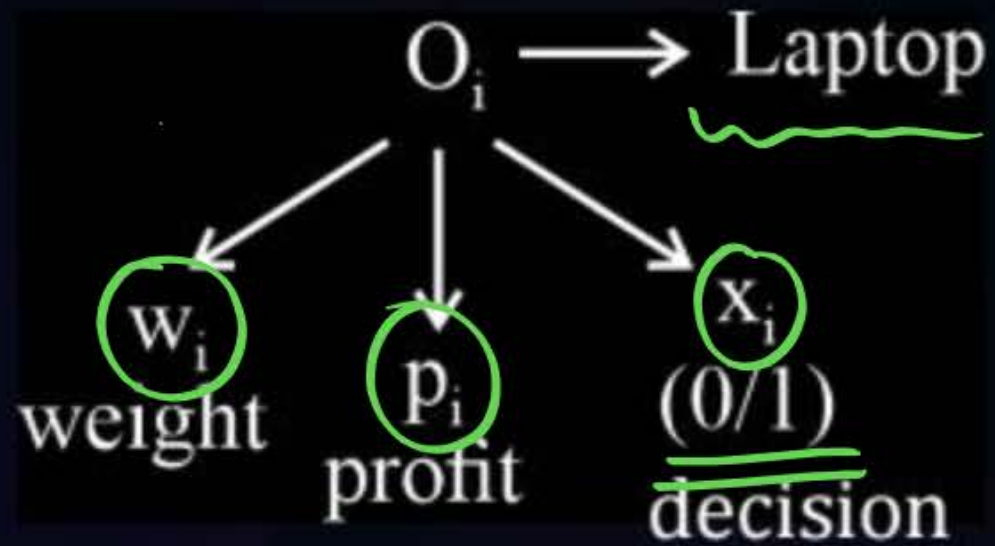
Telegram Link for Aditya Jain sir: [https://t.me/AdityaSir PW](https://t.me/AdityaSir_PW)



Topic : Dynamic Programming

0/1 Knapsack (Binary Knapsack):

- Knapsack Capacity : M
- no. of objects $\rightarrow n$





Topic : Dynamic Programming

Objective Function:

$$\max \sum_{i=1}^n p_i * \underline{x_i}$$

Such that:

$$\sum w_i * x_i \leq M$$

$$x_i = \{0, 1\}$$



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Dynamic Programming Approach:

- Let $Knap(n, M)$ represent profit with n -objects and Knapsack of Capacity M .



Topic : Dynamic Programming

V.IMP

Recurrence:

$$\text{01 Knap}(\underline{n}, M) = \text{01 Knap}(\underline{n-1}, M), \underline{w_n} > M \rightarrow \text{Exclude } O_n \text{ (no option)}$$

$$\text{01 Knap}(n, M) = \max \begin{cases} \text{01 Knap}(\underline{n-1}, M) \\ \text{01 Knap}(\underline{n-1}, \underline{M - w_n}) + p_n \end{cases}, w_n \leq M$$

Initialization,

$$\text{01 Knap}(\underline{n}, M) = 0, \text{ if } n = 0 \text{ or } M = 0$$

TD



Topic : Dynamic Programming

Example:

To understand if there are overlapping Sub-problem or not?

$$n = 4, M = 3$$

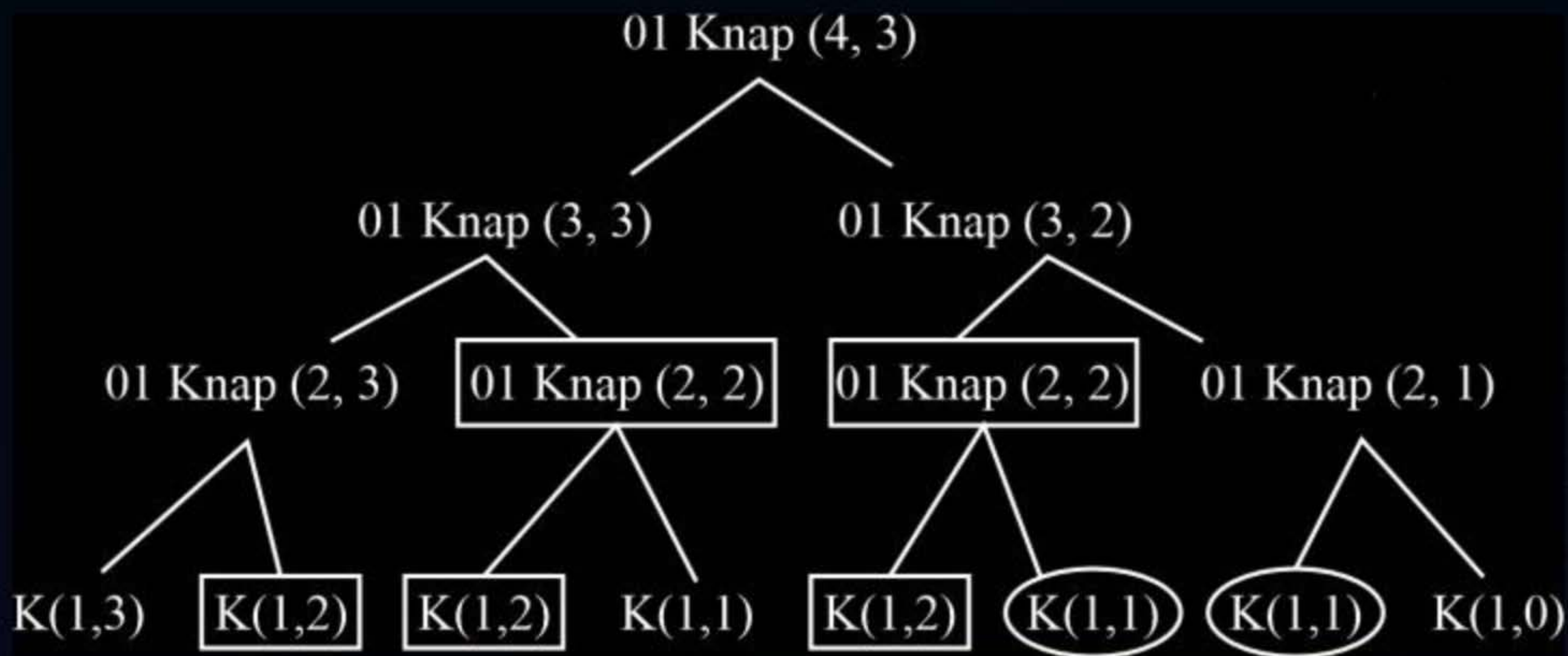
	01	02	03	04
P_i profits	10	20	30	40
W_i weights	1	1	1	1



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Top-Down (Recursive):





Topic : Dynamic Programming

Bottom-up Approach (Tabulation):

Example:

$$n = 4, M = 8$$

	01	02	03	04
P_i	1	2	5	6
W_i	2	3	4	5

Tabulation:

Let $X[0...n, 0...M]$ be an array of which $X[n, M] \Rightarrow$ final answer (max profit)



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P_i	W_i	M									
		0	1	2	3	4	5	6	7	8	
1	2	0	0	0	0	0	0	0	0	0	n
2	3	0	0	1	1	1	1	1	1	1	
5	4	0	0	1	2	2	3	3	3	3	
6	5	0	0	1	2	5	5	6	7	7	
		0	0	1	2	5	6	6	7	8	
		4									Maximum Profit

$M=8$
HW

KS (2, 3)



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$$X[i, j] = \max\{X[i-1, j], X[i-1, j-W_i] + P_i\}$$

$$X[2, 3] = \max\{X[1, 3], X[1, 3-3] + 2\}$$

$$X[2, 3] = \max\{1, 0+2\} = \max[1, 2] = 2$$

$X[2, 5]$ = max profit we can have with considering only 1st two objects and knapsack of capacity 5.



Topic : 0/1 Knapsack Code

```
Algo 01Knap(M, n, W, P) {  
  X[0...n, 0...M]  
  for (i = 0; i <= n; i++) {  
    for (j = 0; j <= M; j++) {  
      if (i == 0 or j == 0) {  
        X[i, j] = 0  
      } else if (W[i] ≤ j) {  
        X[i, j] = max(X[i-1, j], X[i-1, j-W[i]] + P[i])  
      } else {  
        X[i, j] = X[i-1, j]  
      }  
    }  
  }  
  return X[n, M]  
}
```

Handwritten green annotations: A vertical line with a downward arrow and a horizontal line with an 'M' above it, indicating the dimensions of the DP table.



Topic : 0/1 Knapsack Code

X	0	1	2	.	.	.	M
0							
.							
.							
.							
.							
n							

$X[n, M]$



Topic : 0/1 Knapsack Code

#Q. $n = 3, M = 6$

	01	02	03
P_i	1	2	5
W_i	2	3	4



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Complexity Analysis of 0/1 Knapsack:

1. Time Complexity:

- $O(n * M)$

2. Space Complexity:

- $O((n+1) * (M+1)) = \underline{\underline{O(n * M)}}$



Topic : Dynamic Programming :(DP)

6. Sum of subset: (SOS)

Problem Statement:- Given a set of n -elements (integers) and also another elements (integer) 'M'.

The sum of subsets (SOS) problem is to determine If there exists a subset of the given elements whose sum equals to 'M'.

SOS → Decision Problem → o/p: True / False



Topic : Dynamic Programming :(DP)

DP Problems So far:

1. Bellman – Ford → Minimize path cost (SSSP)
2. Floyd Warshall → Minimize path cost (APSP)
3. 0/1 knapsack → Maximize Profit
4. Longest common Subsequence (LCS) → Maximize length of common subsequence.
5. Matrix chain multiplication (MCM) → Minimize scalar multiplication



Topic : Dynamic Programming :(DP)

SOS → Very similar to 0/1 knapsack problem:

Eg.1. $N = 5$

$A = [50, 30, 10, 40, 20]$

$M = 50$

Subsets

Index

{50}

{1}

{30, 20}

{2, 5}

{10, 40}

{3, 4}



Topic : Dynamic Programming :(DP)

Enumeration

Brute Force:-

Check every possible subsets

n elements $\rightarrow 2^n \rightarrow$ subset

TC: $O(2^n)$



Topic : Dynamic Programming :(DP)

DP: SOS → Top-Down (Recurrence of SOS DP)

Target Sum
↑
 $n, A[A_i \dots A_n], M,$

Let SOS (n, m) represent the solution to the SOS, with n elements and target sum (M).

SOS (n, m) = True/ False = 0/1



Topic : Dynamic Programming :(DP)

$SOS(n, m) = T$ {There exists a subset from n elements that sum to M }

$= F$ { There does not exits}

OR

$F \text{ or } F \rightarrow F$ ✓

$F \text{ or } T \rightarrow T$

$T \text{ or } F \rightarrow T$

$T \text{ or } T \rightarrow T$



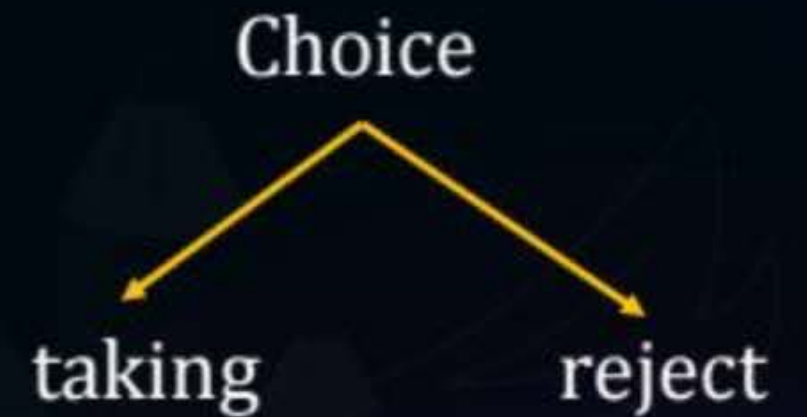
Topic : Dynamic Programming :(DP)

Vimp

$$\text{SOS}(n, M) = \text{SOS}(n-1, M), \underline{A_n > M} \text{ \{skip/ reject } A_n\}}$$

$$\text{SOS}(n, M) = \left\{ \begin{array}{l} \text{SOS}(n-1, M) \\ \text{or} \\ \text{SOS}(n-1, \underline{M - A_n}) \end{array} \right\}, \underline{A_n \leq M}$$

It we can get to sum M from either taking A_n or Rejecting A_n





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Boundary case

or

Base condition

$SOS(n, m) = \text{False}, n = 0 \ \& \ \underline{M > 0}$ ✱

$SOS(n, m) = \underline{\text{True}}, \underline{M = 0} \ \& \ n \geq 0$



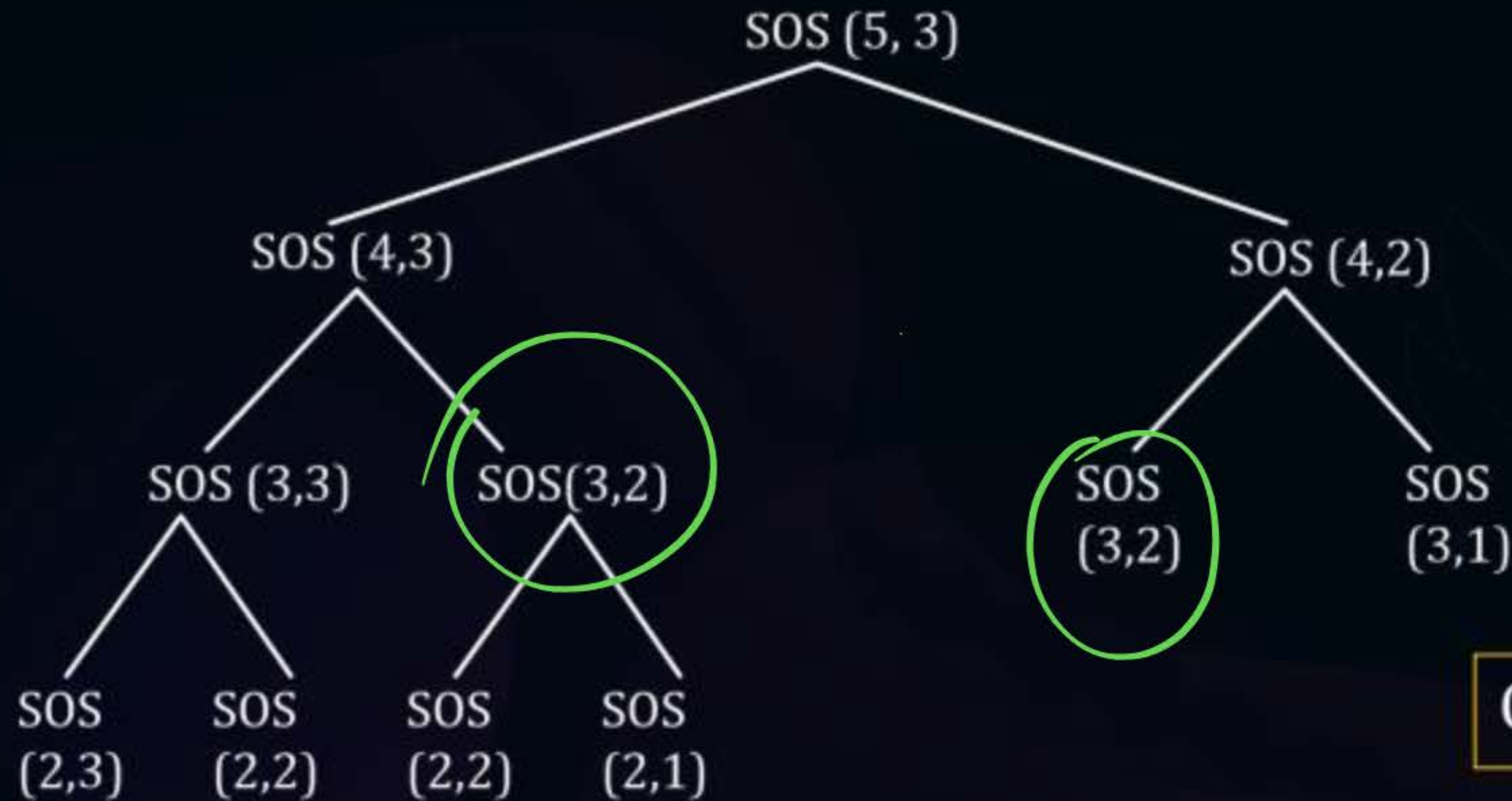
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TOP – Down

E.g.:-

$n = 5, A = [1, 1, 1, 1, 1]$

$M = 3$



Overlapping subproblems



Topic : Dynamic Programming :(DP)

SOS → Bottom Up (Tabulation Method)

Given:- n, M $x [0, \dots, n, 0 \dots M]$

$X[i, j] = \text{True / False}$



A particular i, j call form $x[,]$

Whether there exists a
subset from the 'i'
elements that sum to 'j'.

E.g.2. $A = [2, 8, 4, 11, 9]$

$n = 5,$

$m = 6$



Topic : Dynamic Programming :(DP)

$M \rightarrow$

	X	0	1	2	3	4	5	6
A_i	0	T	F	F	F	F	F	F
2	1	T	F	T	F	F	F	F
8	2	T	F	T	F	F	F	F
4	3	T	F	T	F	T	F	T
11	4	T	F	T	F	T	F	T
9	5	T	F	T	F	T	F	T

Final Answer



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Imp ~~Shortest~~ Shortcut

In $A[i, j] = \text{True}$ then the entire column 'j' can be set to True.



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DP: SOS: Tabulation (Bottom -up) Code

Algo SOS (n, M, A)

```
    A [1.....n]
{
    X [0....n, 0.....M] → Auxiliary Space
    for i = (0 to n):
    {
        for j = (0 to M)
        {
            if (i ≥ 0 and j = 0)
            {
                X [i, j] = True;
            }
        }
    }
}
```




Topic : Dynamic Programming :(DP)



```
        else if [i = 0 and j > 0]
            x [i,j] = False;
        }
        else if (A [i] > j)
        {
            x [i,j] = x [i-1 , j]
        }
    else
    {
        x [i,j] = (x[i-1, j]) or (x[i-1, j - A [i]])
    }
}
}
```



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Complexity Analysis of Bottom- up (DP) Approach of SOS:

1. Time complexity : $O(n*M)$
2. Space complexity : $O(n*M)$

Note:-





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Longest Common Sub-Sequence (LCS):

- Based on Strings
- A sequence/group/array of one or more characters.



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String:

1) Substring:

- A contiguous sequence of 1 or more characters from the given string.



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String:

2) Subsequence:

- A sequence of 1 or more characters from the given string, that may or may not be contiguous, but their relative order is maintained.



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Example:

- String: "ABC" \rightarrow length = 3
- Sub-strings: A, B, C \rightarrow 3

"ABC"

AB, BC \rightarrow 2

ABC \rightarrow 1

No. of substrings = $3 + 2 + 1 = 6$



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Important Result:

Given : $S[1, \dots, n]$

#Q. What are the total number of sub-strings of at-least 1 length (non-empty sub-strings)?

$$\underline{\underline{n=4}}$$

$$\frac{n(n+1)}{2}$$



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Sub-Sequence:

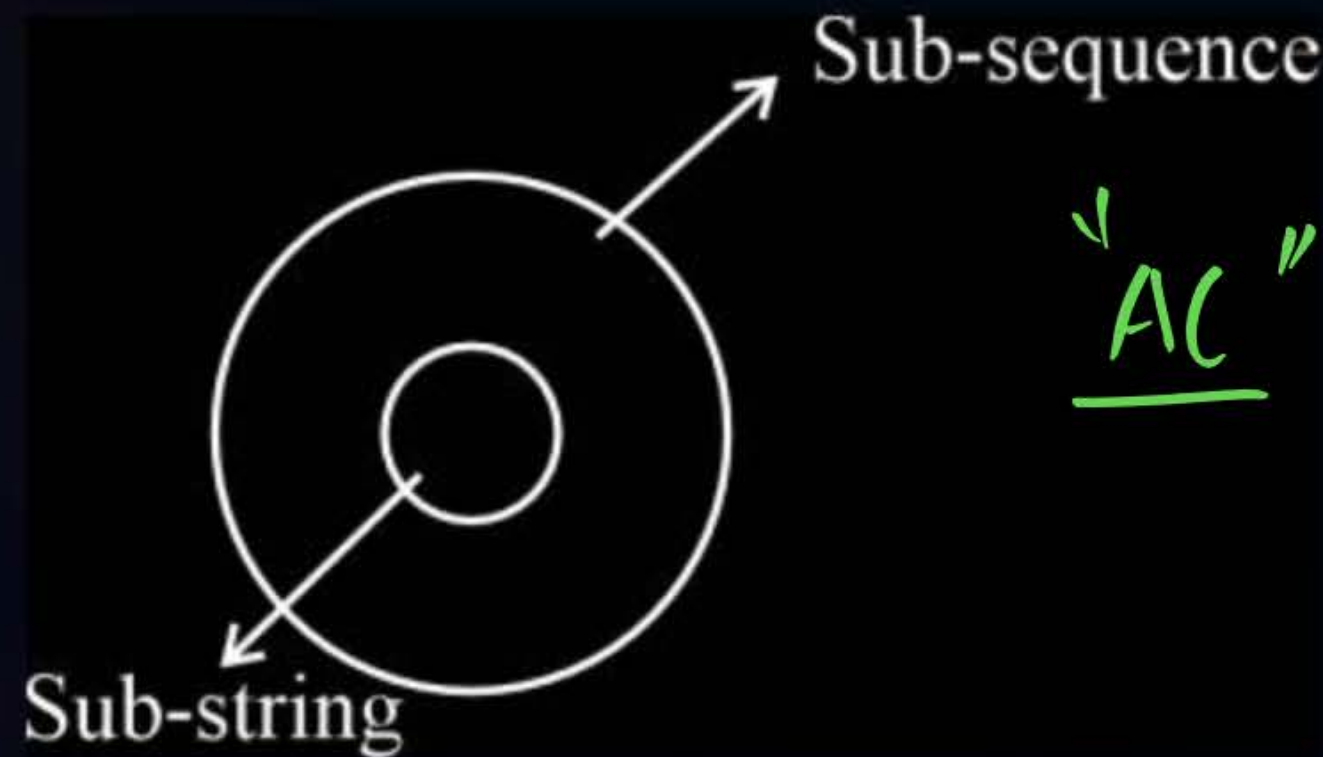
- String = "ABC"
- Sub-Sequence = A, B, C, AB, BC, AC, ABC → Valid sub-sequence
- CA, CB → relative order not maintained, not a sub-sequence.



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Important:

- Every substring is also a sub-sequence, but a Sub-sequence may or may not be a substring.



[MSQ]

#Q. Given a string $S = \text{"CABDABBBCD"}$.
Which of the following are valid sub-sequences?

A

BBD ✓

B

BAC ✓

C

CDCA ✗

D

CADC ✓

E

ADABD ✓



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Longest Common Sub-Sequence:

#Q. Given a string of length 'n' characters, the number of sub-sequences possible are?

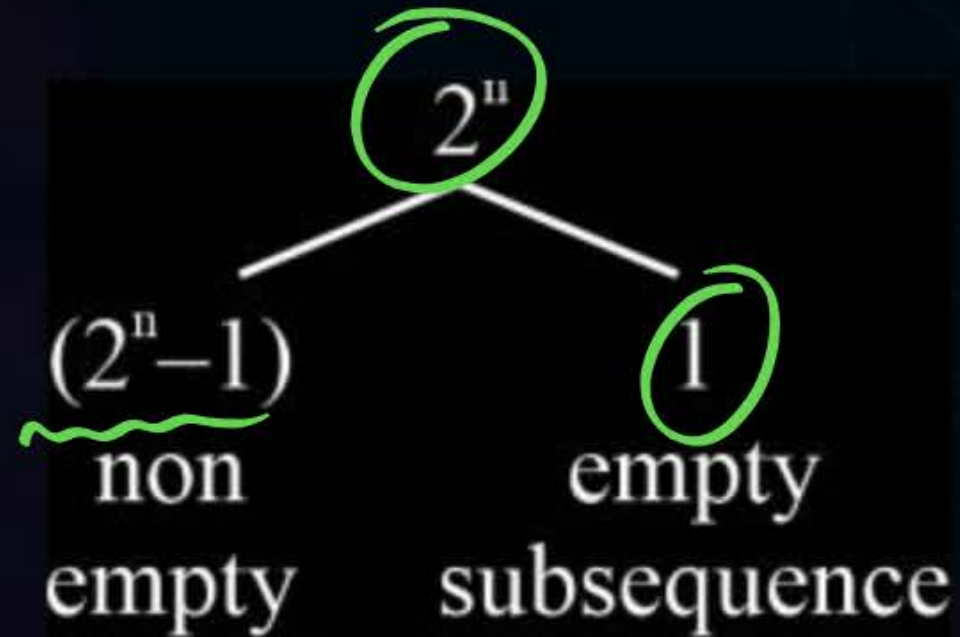


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Longest Common Sub-Sequence:



$\phi \rightarrow$ empty sub-sequence





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Given

'n' All Subsequence $\rightarrow A$
'n' All Subsequence $\rightarrow B$ } Common \rightarrow longest

- Brute Force/Enumeration: $O(2^n)$
- Hence, we need DP based approach.



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Longest Common Subsequence (DP based approach):

Common Subsequence:

- Given two strings X and Y of length n and m respectively, a subsequence that is common to both X and Y is known as a common sub-sequence.



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Example:

#Q. $X = \text{"ABCD"} , Y = \text{"BDC"}$

Which sub-sequences are common to both?

1) A ✗

2) B ✓

3) BC ✓

4) BD ✓

5) BCD ✗

6) DC ✗

7) BDC ✗



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LCS Problem Statement:

#Q. Given strings 'A' and 'B' of length (Characters) 'n' & 'M' respectively. The LCS problem is to determine the subsequence that is of the longest length among all the subsequences that are common to both 'A' & 'B'?



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Example:

S1 = "ABCBDA B"

S2 = "BDCABA"

Longest LCS = 4



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Common Subsequences:

$AB \rightarrow 2$

$BCA \rightarrow 3$

$BCB \rightarrow 3$

$BCBA \rightarrow 4$

$BCAB \rightarrow 4$

- Multiple longest common subsequences are possible.
- But LCS length is unique $\rightarrow 4$



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DP based solution to LCS:

- Let 'i' and 'j' be indices in the strings 'X' and 'Y' respectively, such that.

$$X = [x_1, x_2, x_3, \dots, x_n]$$

$$Y = [y_1, y_2, y_3, \dots, y_m]$$



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- Let $L(i, j)$ represent the length of the common sub-sequence of the strings X and Y .

$$\begin{aligned} L(i, j) &= 1 + L(i-1, j-1); \text{ if } X[i] == Y[j] \\ &= \max\{L(i-1, j), L(i, j-1)\}; \text{ if } X[i] \neq Y[j] \end{aligned}$$





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Initialization:

- $L[0, j] = 0$,
1st string is exhausted
if $i = 0; j = 0, 1, 2, \dots, m$
- $L[i, 0] = 0$,
2nd string is exhausted
if $j = 0; i = 0, 1, 2, \dots, n$



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Example:

Case1: $X[i] = Y[j]$

X = "ABBCDCCABA"

Y = "CBDADCBDAA"



Topic : Dynamic Programming

Example:

Case2: $X[i] \neq Y[j]$

$X = \text{"ABCDBBCCADB"}$

$Y = \text{"BDBC AAABDCD"}$

$\max\{L(i, j-1), L(i-1, j)\}$

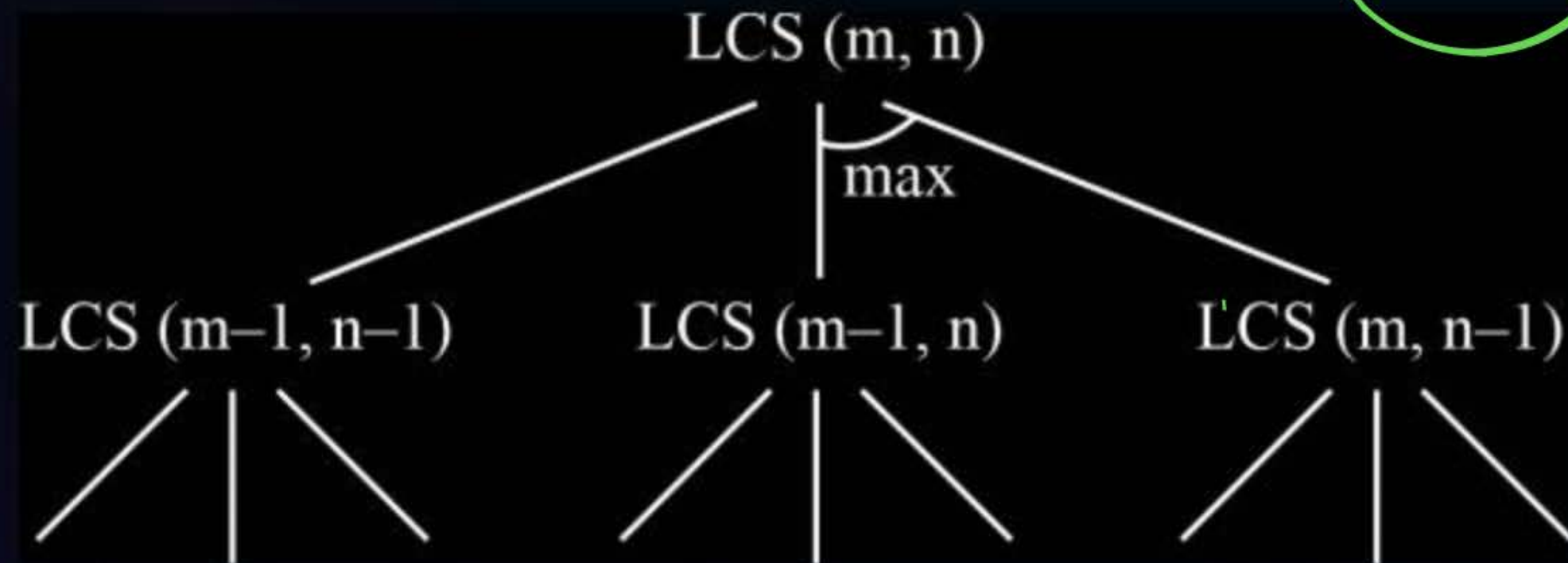


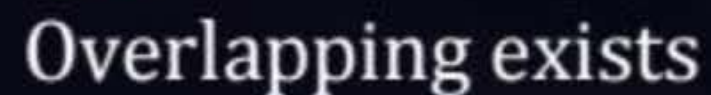
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Overlapping Subproblems:

$$|X| = n, |Y| = m$$

$$x = 4$$
$$y = 5$$



 $|X| = 4, |Y| = 5$ 



Topic : Dynamic Programming

Example:

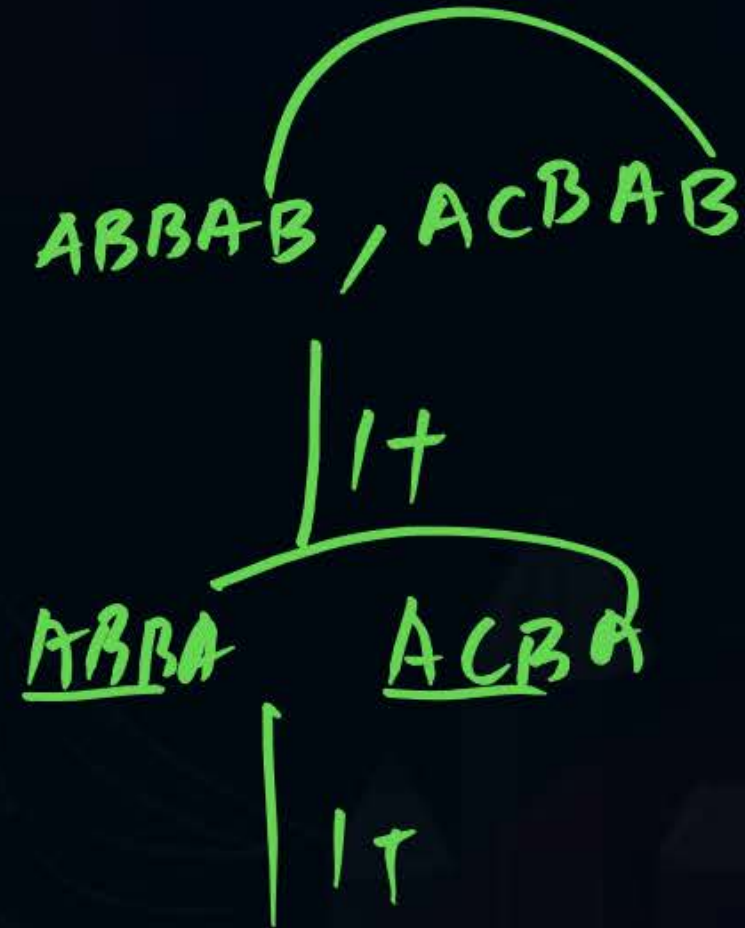
Top-Down (Memorization/Recurrence):

X = "ABBAB"

Y = "ACBAB"

LCS : "ABAB"

Length = 4





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Example:

Bottom-up Approach (Tabulation):

$X = \text{"ABCB DAB"}$ \rightarrow $\text{length} = 7$

$Y = \text{"BDCABA"}$ \rightarrow $\text{length} = 6$



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		B	D	C	A	B	A
→	0	0	0	0	0	0	0
A	0	0	0	0	1	1	1
B	0	1	1	1	1	2	2
C	0	1	1	2	2	2	2
B	0	1	1	2	2	3	3
D	0	1	2	2	2	3	3
A	0	1	2	2	3	3	4
B	0	1	2	2	3	4	4

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HW

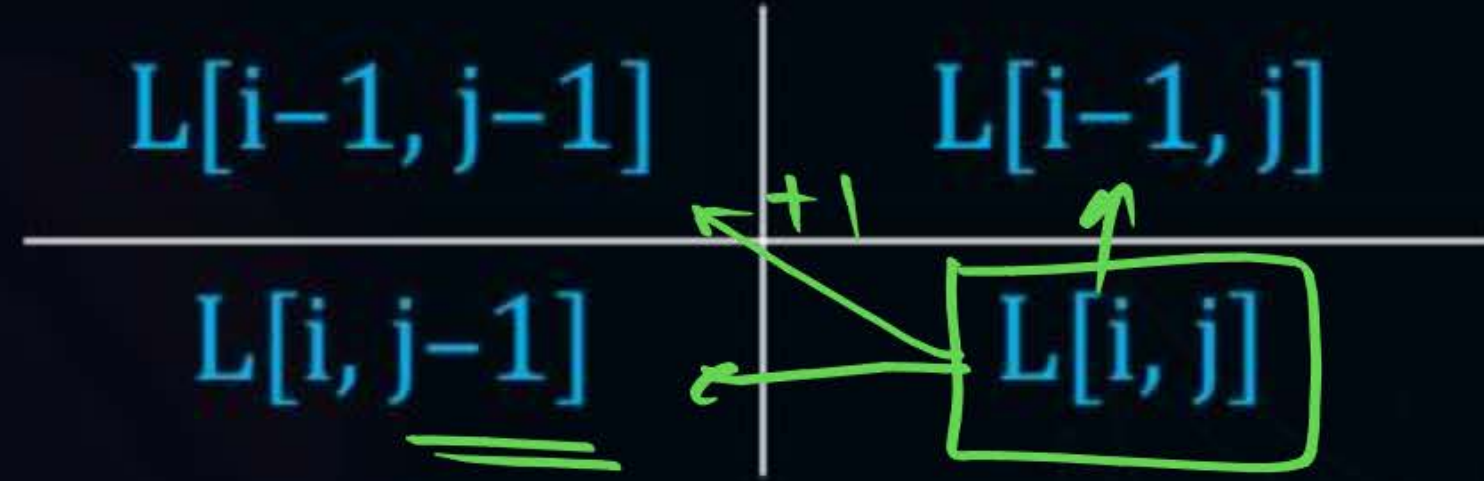
Length of LCS



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BU





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LCS Recurrence:

- $\text{LCS}(i, j) = 1 + \text{LCS}(i-1, j-1); \text{ if } X[i] = Y[j]$
- $\text{LCS}(i, j) = \max\{\text{LCS}(i, j-1), \text{LCS}(i-1, j)\}; \text{ if } X[i] \neq Y[j]$



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Algorithm LCS based on Bottom-up Tabulation method :

Algorithm LCS (x, y)

Integer x[1..n], y [1..m];

{

integer L [0..n , 0..m];

1. for i \leftarrow 1 to n

L [i, 0] = 0;

2. for j \leftarrow 1 to m

L [0 , j] = 0;

3. for i \leftarrow 1 to n

for j \leftarrow 1 to m

HW



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if ($x[i] == y[j]$) then

$L[i, j] = 1 + L[i - 1, j - 1];$

else

$L[i, j] = \max \{L[i, j - 1], L[i - 1, j]\};$

hw



THANK - YOU