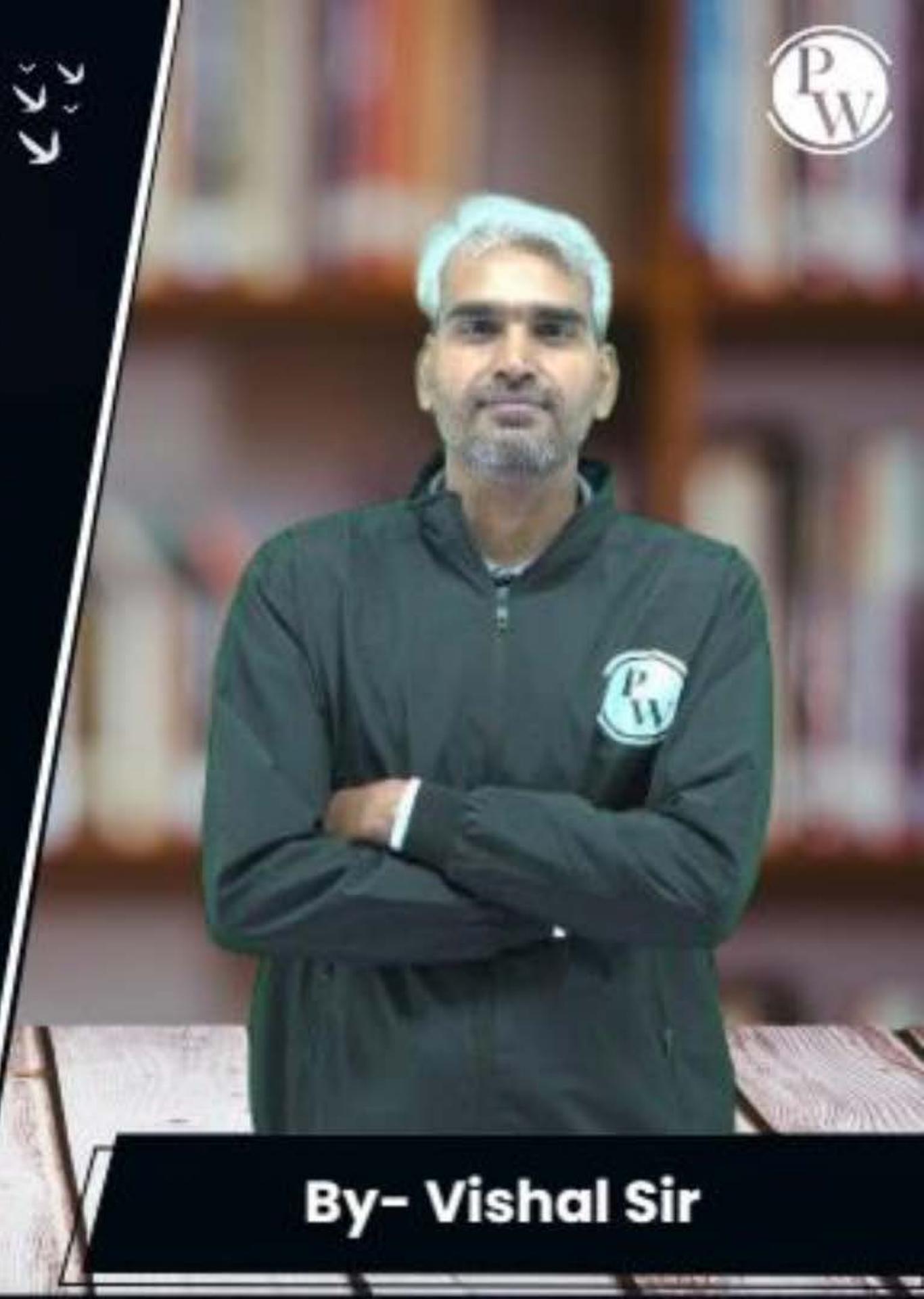


# Computer Science & IT

## Database Management System

File organization and indexing

Lecture No. 03



By- Vishal Sir

# Recap of Previous Lecture



- ✓ **Topic** Index file
- ✓ **Topic** IO cost with index file
- ✓ **Topic** Sparse and Dense index
- ✓ **Topic** Primary, Clustering and Secondary index

# Topics to be Covered

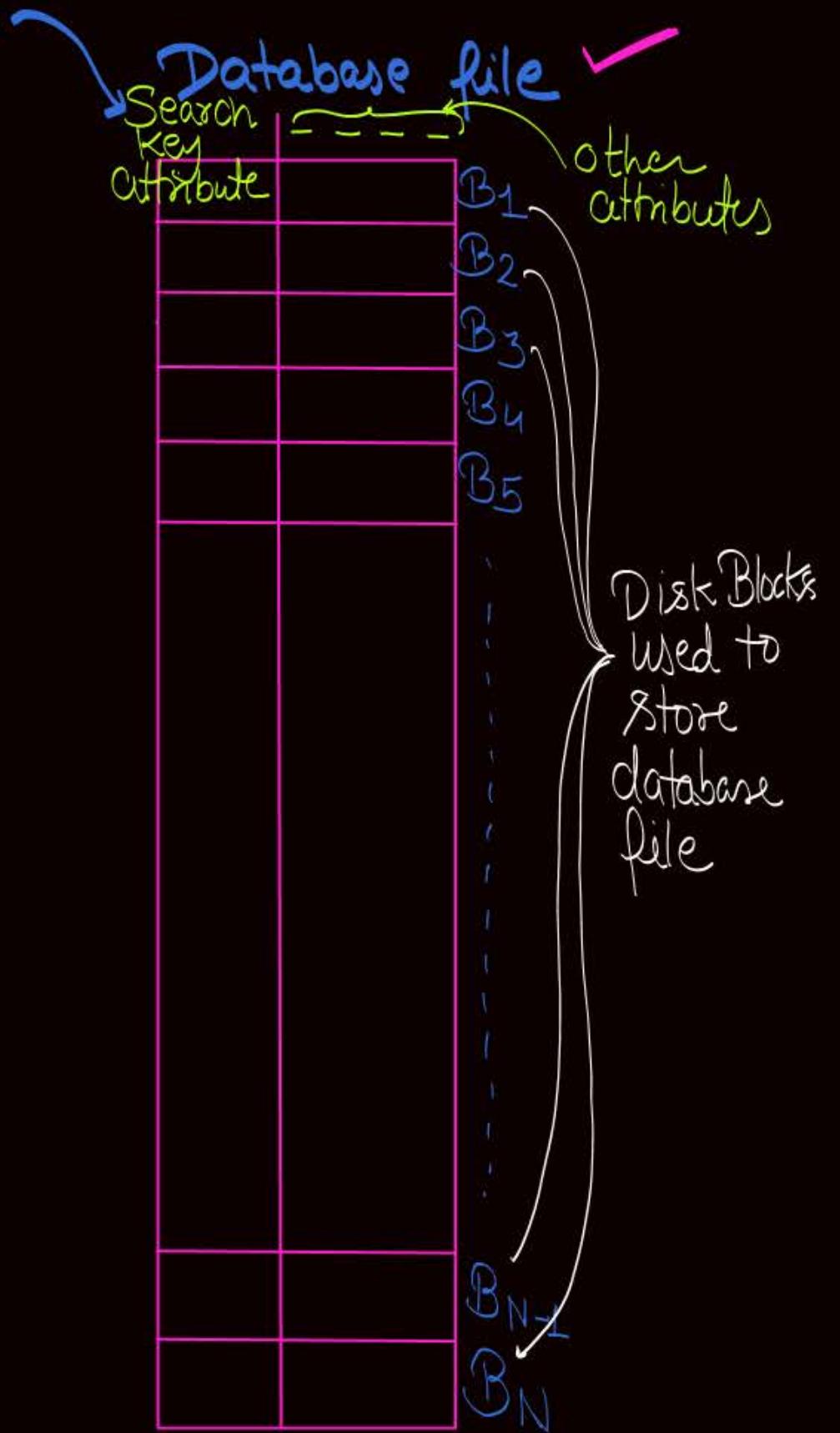


- **Topic** Multi-level index tree
- **Topic** Structure of B tree
- **Topic**

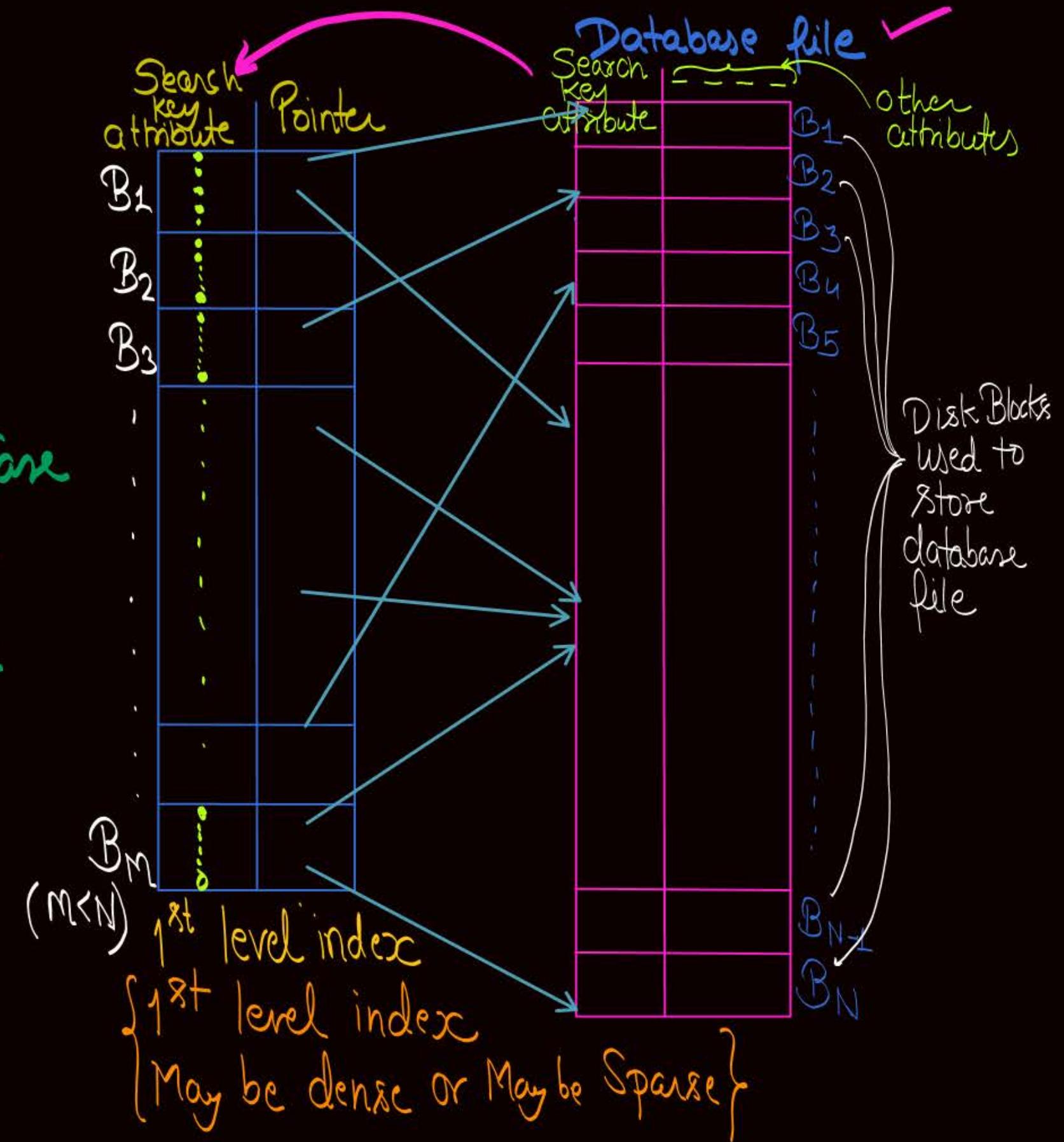


## Topic : Multi-level Index

Multi-level index is used to reduce the IO Cost w.r.t. single level index



$\lceil \log_2 M \rceil + 1$   
 Will be Worst Case  
 IO Cost using  
 Single level index

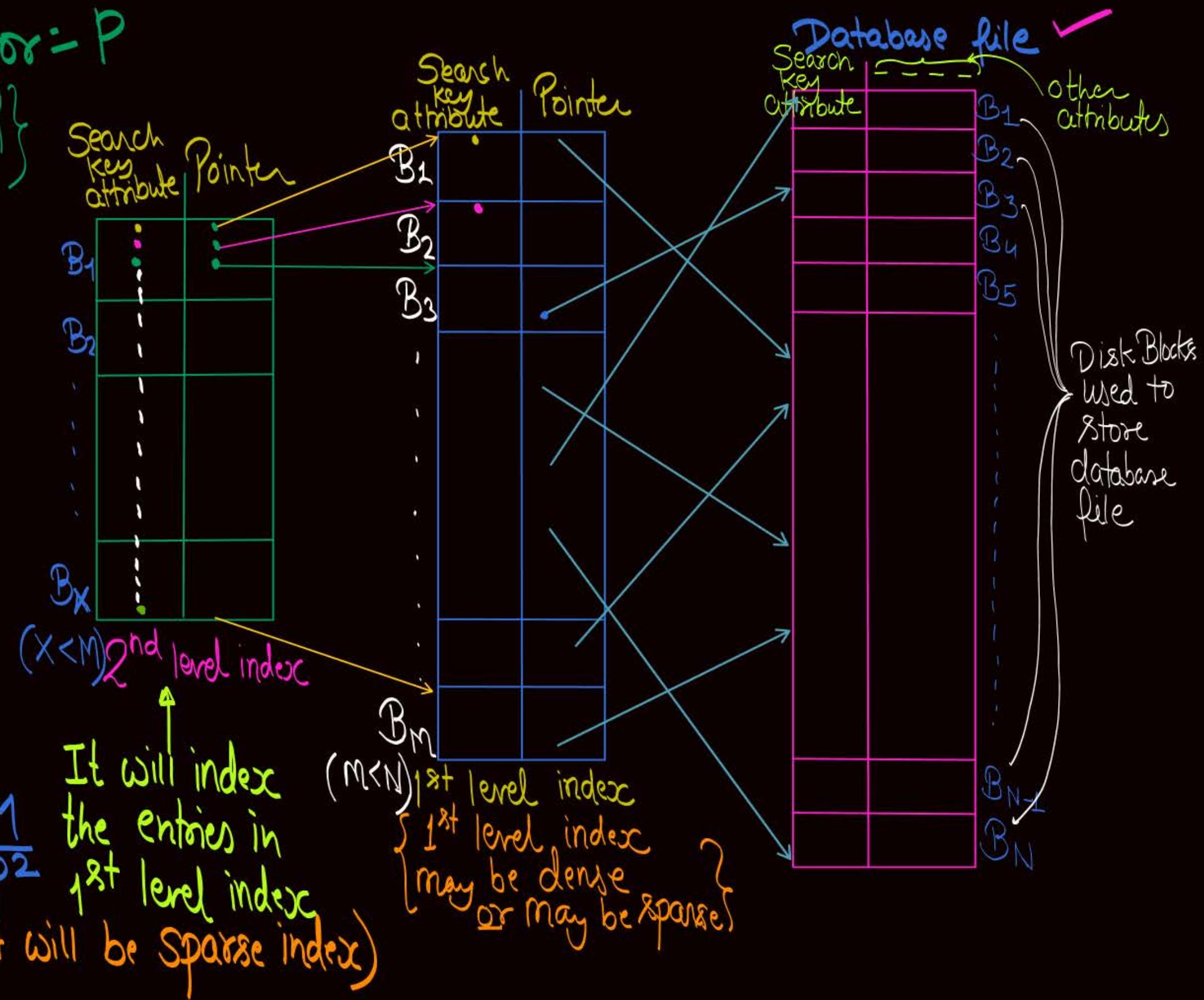


let Blocking Factor = P  
 { w.r.t. blocks of }  
 { 2nd level index }

then  
 # disk blocks required for 2nd level index

$$= \left\lceil \frac{M}{P} \right\rceil$$

# Disk block required for 3rd level index  
 =  $\left\lceil \frac{\left\lceil \frac{M}{P} \right\rceil}{P} \right\rceil \approx \frac{M}{P^2}$   
 (it will be sparse index)



Entry size = 50B

$$BF = \frac{1KB}{50B} = \text{Block size}$$

$$BF \approx 20$$

leaf - Previous - Previous - Previous - ...

$$\frac{M}{2}$$

$$\frac{M}{2^2}$$

$$\frac{M}{2^3}$$

$$P^2$$

$$P^3$$

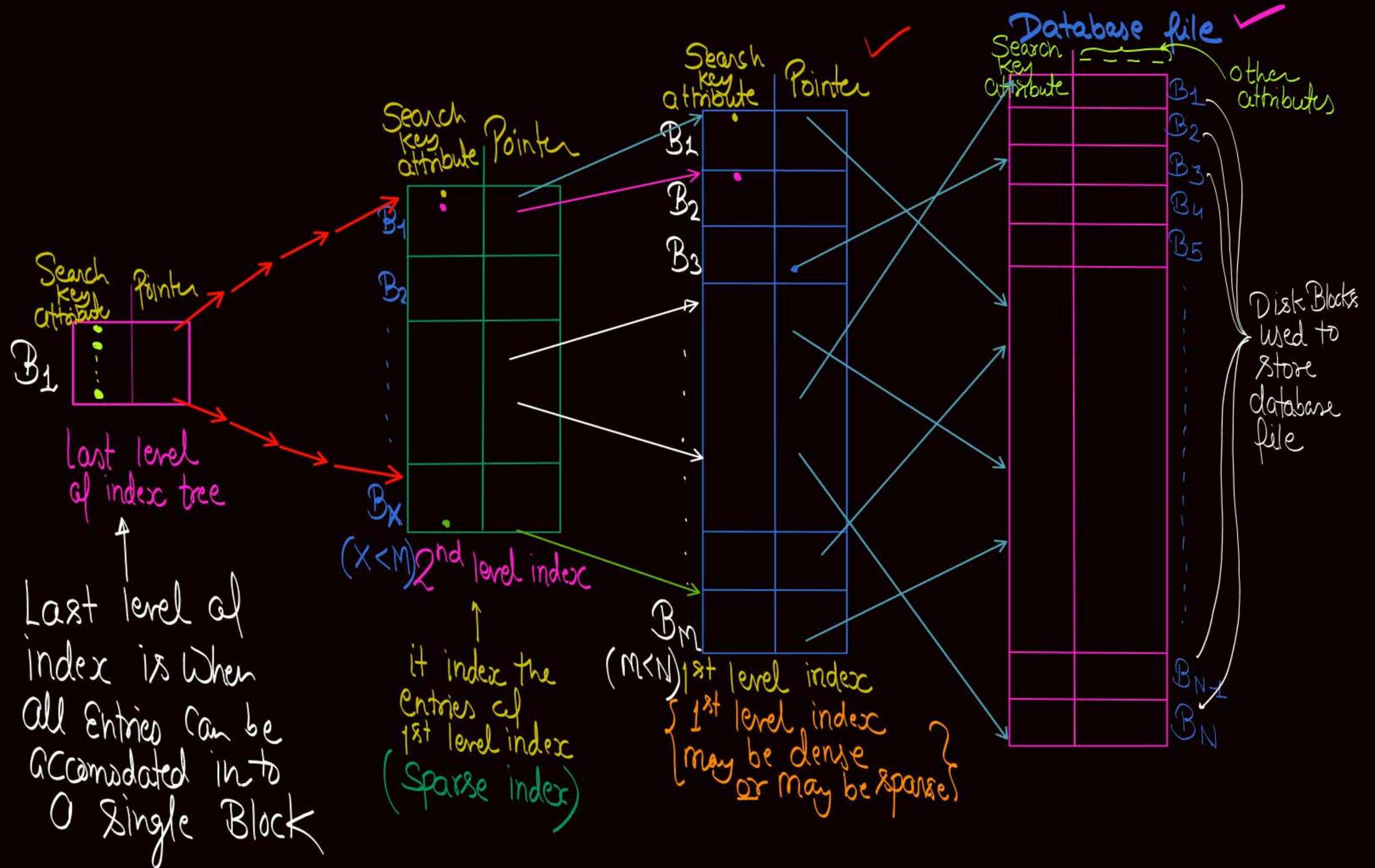
$$P$$

$$20^2$$

$$20^3$$

$\log_{10} M \approx 50$

$\log_2 M >> \log_{10} M$

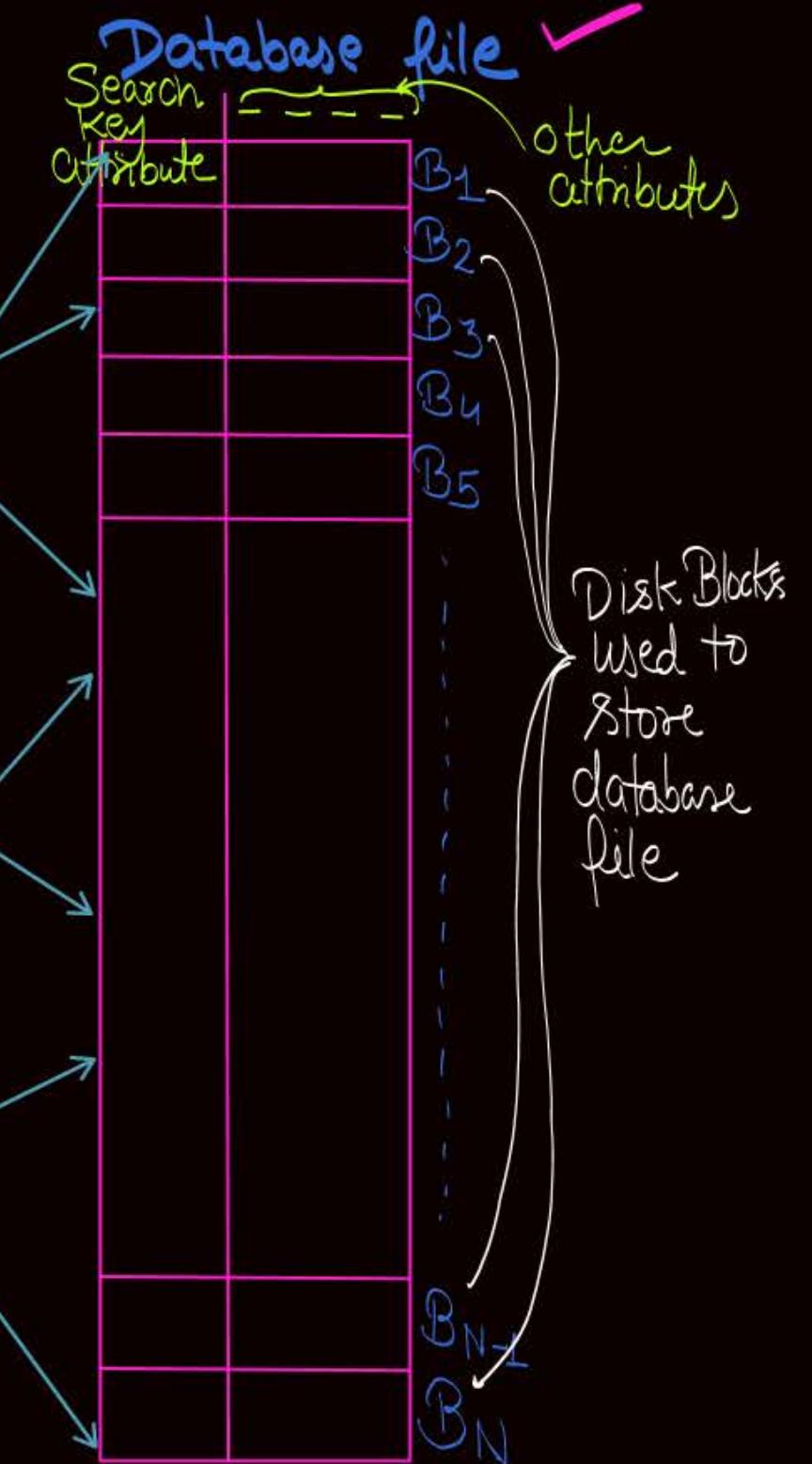
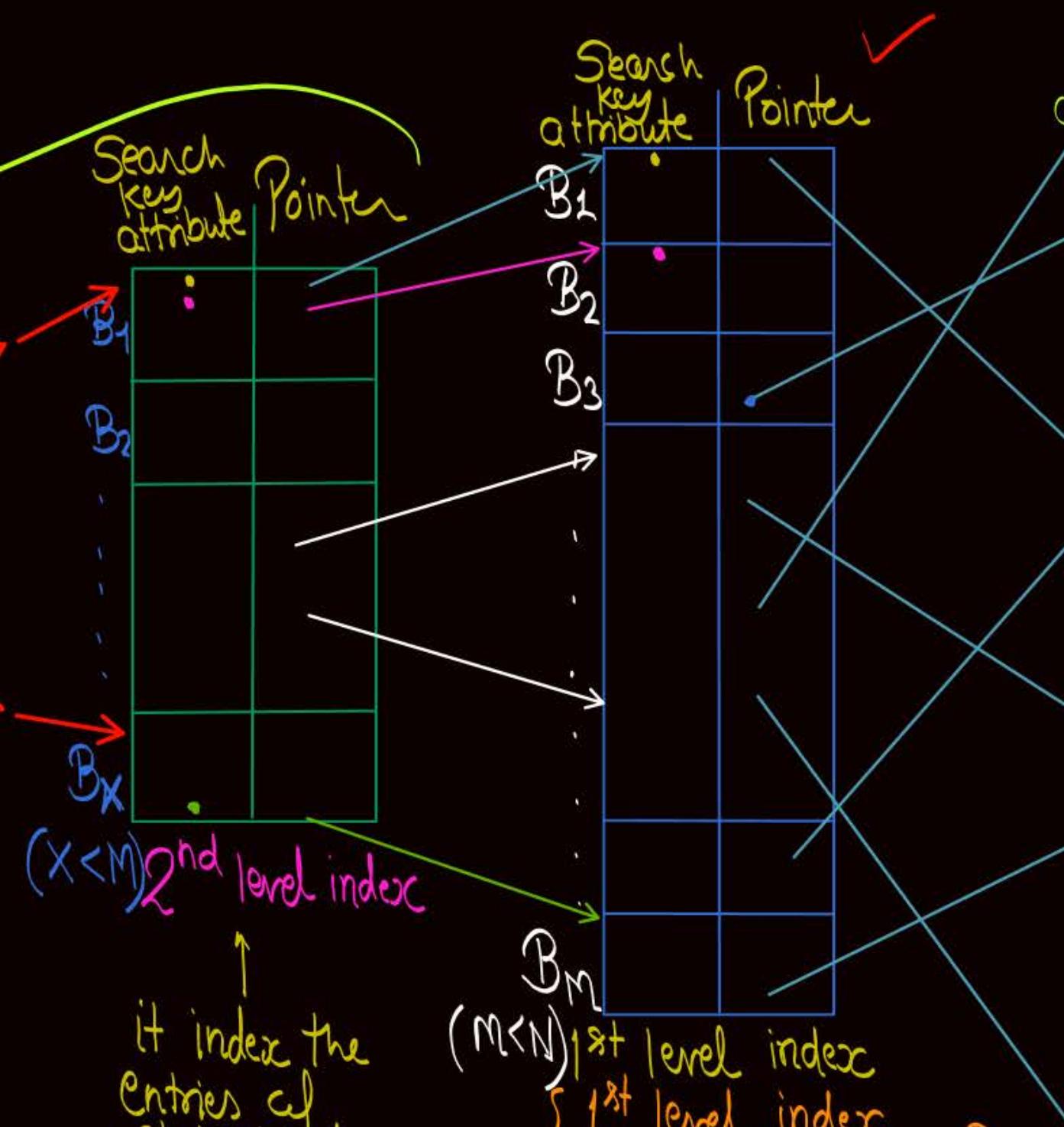


From 2<sup>nd</sup> level onwards all other levels of index are sparse index

Last level of index tree

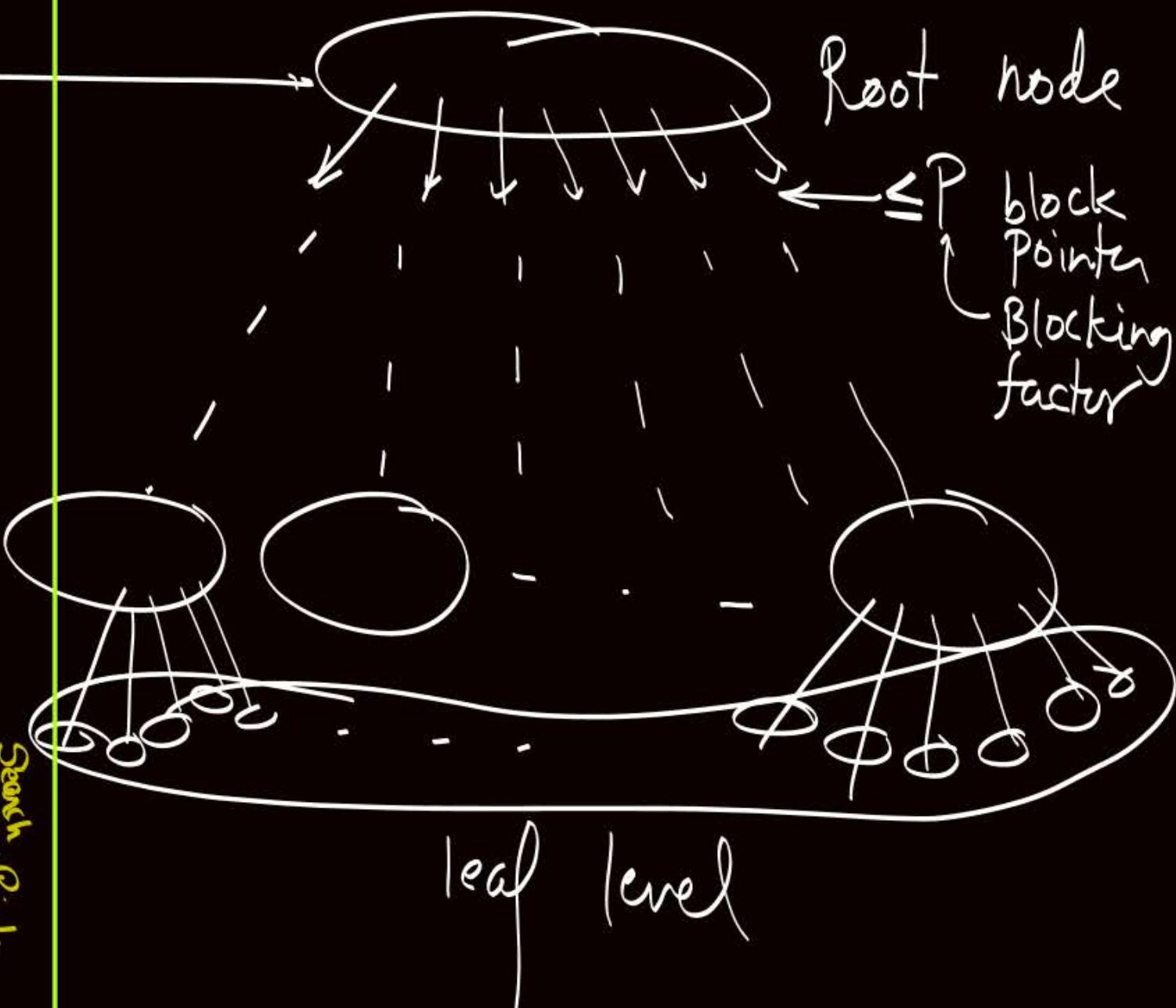
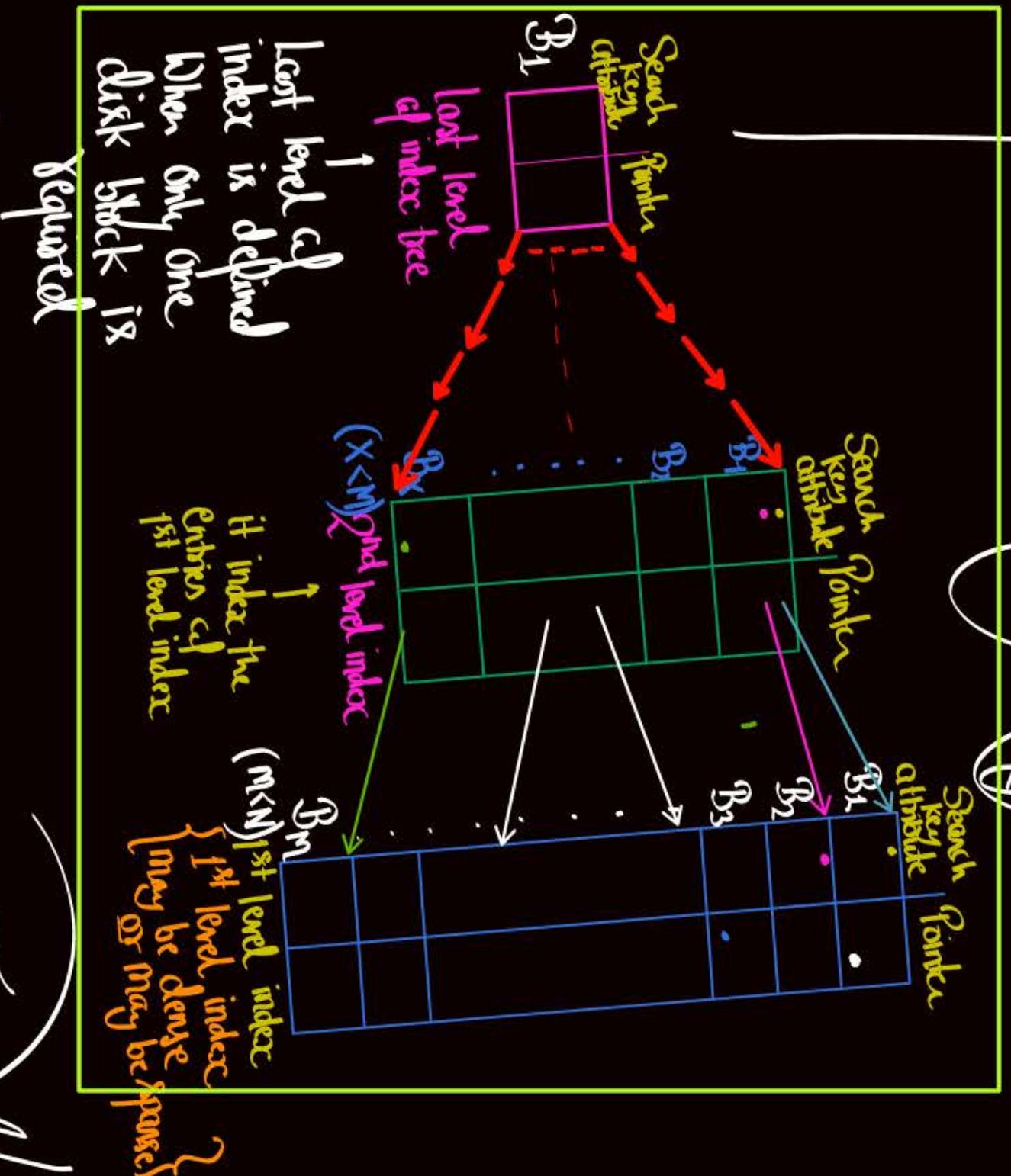
Last level of index is when all entries can be accommodated into a single block

(Sparse index)



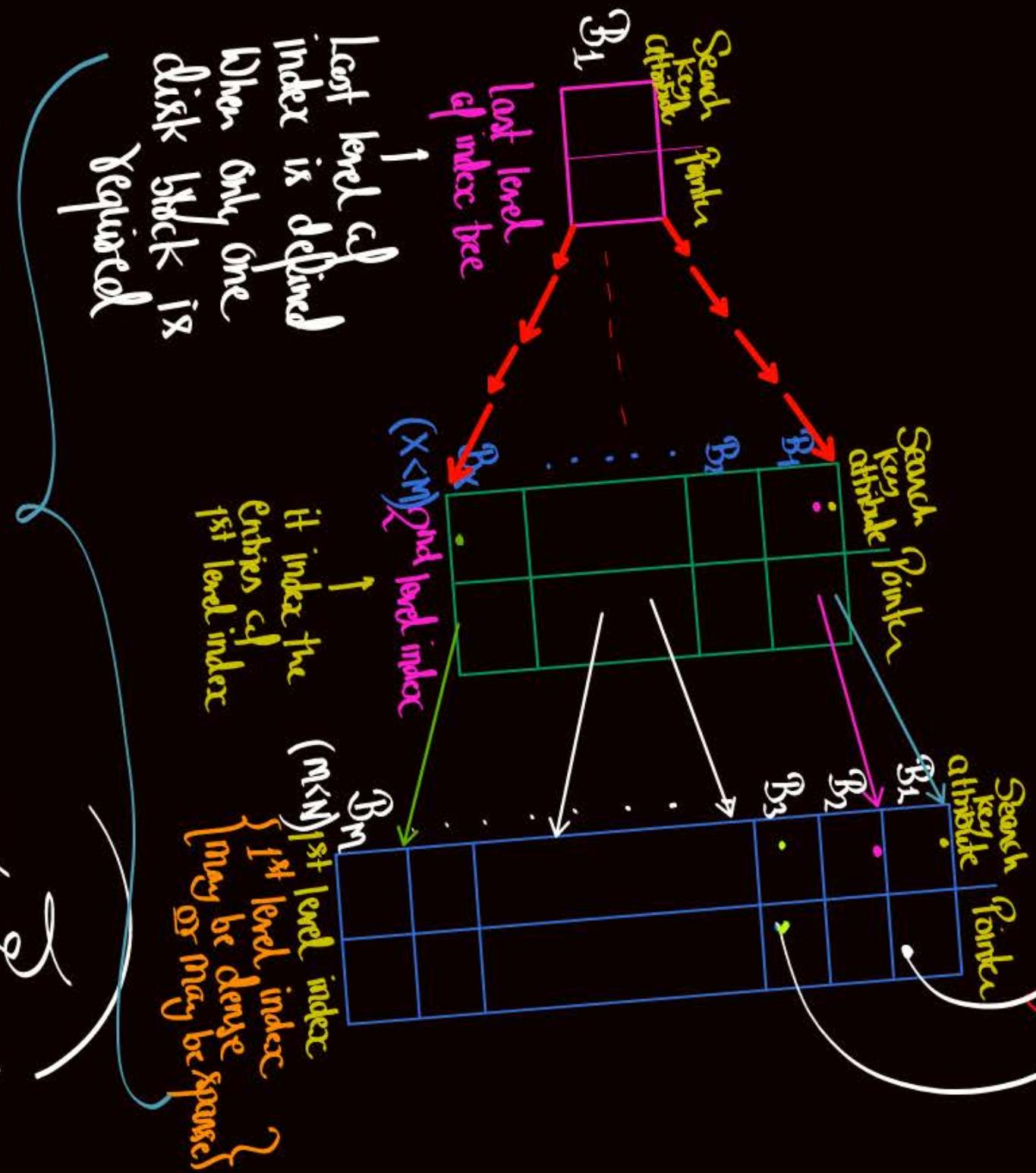
Multi-level index tree

(a) leaf level  
of Multi-level  
index tree



leaf level  
at Multi-level  
index tree

No. of  
levels in  
Multi-level  
index =



Note :-

IO Cost using multi-level index

$$\text{IO Cost using multi-level Index} = \left( \begin{array}{l} \text{Number of levels} \\ \text{in multi-level} \\ \text{index tree} \end{array} \right) + 1$$

To locate an entry in the 1<sup>st</sup> level (leaf level) index. Starting from root level (from each level) One block will be transferred

$\approx \lceil \log_B \frac{M}{Bf} \rceil$

W.r.t. index block (2<sup>nd</sup> level onwards)

To access the record from the database file based on the address obtained from the entry of first level index

Note :-

IO Cost using multi-level index

$$\text{IO cost using multi-level Index} = \left( \begin{array}{l} \text{Number of levels} \\ \text{in multi-level} \\ \text{index tree} \end{array} + 1 \right)$$

it will be same for all access

i.e., Same IO Cost for all three cases,

Best Case, Worst Case, Average Case



## Topic : Multi-level Index

In multi-level index,

- ④ ① 1<sup>st</sup> level of index may be dense or may be sparse
- ④ ② Except first level, all other levels of index are always sparse index

- Multi-level index is suitable only for static database i.e. the database in which insertion & deletion are very rare
  - But if database is dynamic { i.e. insert and delete op's are frequent } then after every insertion and/or deletion we may have to restructure all the levels of multi-level index. and it will be a time consuming operation
- \* so for dynamic database we use
- B tree or B+ tree  
these are self Balancing multi-way Search tree

## \* Self-balancing multi-way search tree

B tree

B+ tree

These are balanced

multi-way search tree

m-way search tree

it may be any value  $\geq 2$

i.e all leaf  
nodes are  
at same level

# Structure of B tree



## Topic : B Tree



### \* Order of a node of B tree

In general, order of a node of B tree is defined as the maximum number of child pointers a node can have.

Consider a B tree of order =  $P$ ,

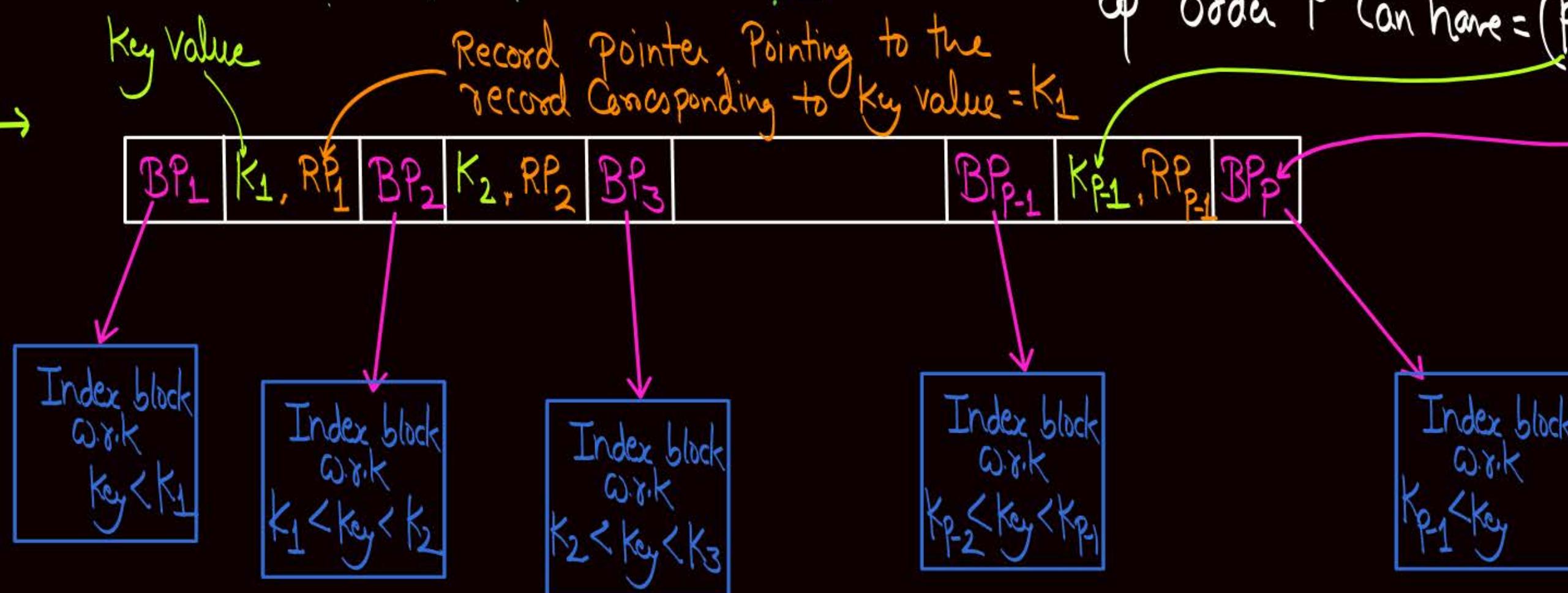
\* Structure of an internal node of B tree

- All keys within the node will always be stored in ascending order  
i.e.  $K_1 < K_2 < K_3 \dots < K_{P-1}$

↳ i.e. maximum number of child pointers a node can have =  $P$

∴ Maximum number of keys a node of B tree of order ' $P$ ' can have =  $(P-1)$

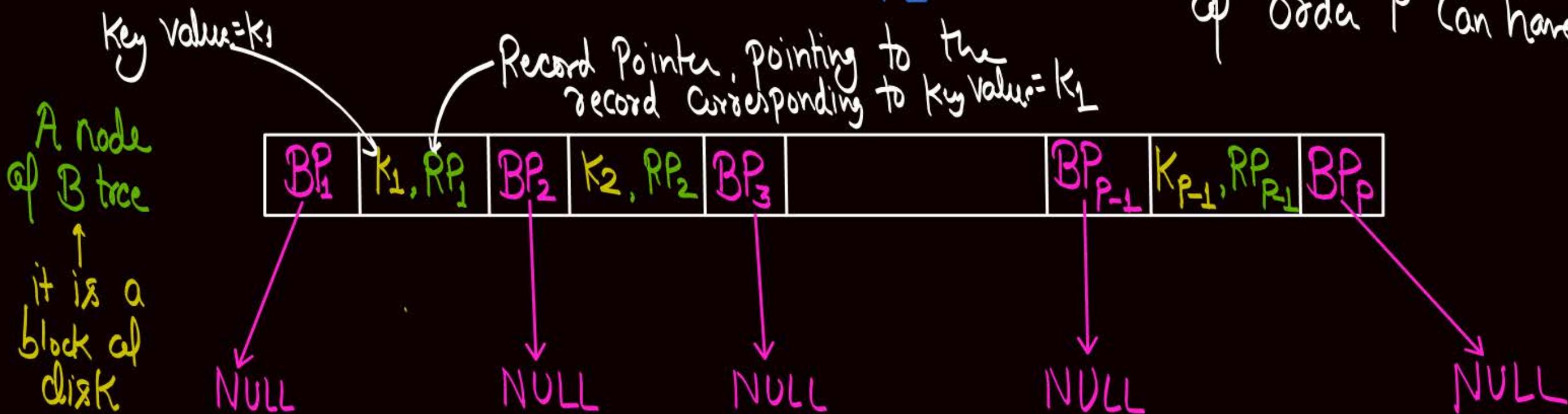
One node of B tree →  
it is a disk block



Consider a B tree of order =  $P$ ,

### Structure of leaf node of B tree

All keys within the node will always be stored in ascending order  
↳ i.e.  $K_1 < K_2 < K_3 \dots < K_{P-1}$



↳ i.e. maximum number of child pointers a node can have =  $P$

∴ Maximum number of keys a node of B tree of order 'P' can have =  $(P-1)$

• BP (Block Pointer) : It is a pointer that points  
to the index block at lower level  
of B tree

{ Child Pointer }  
{ Node Pointer }  
{ Tree Pointer }

• RP (Record Pointer) : It Points to the record in  
the database file corresponding  
to the associated key value

- \* Note :- In B tree, record pointers are present in internal node as well as in leaf node.
- \* Structure of B tree is different from the structure of multi-level index
- \* In multi-level index record pointers are present only at leaf level {i.e., 1<sup>st</sup> level index}

Note:- Each node of B tree is a disk block

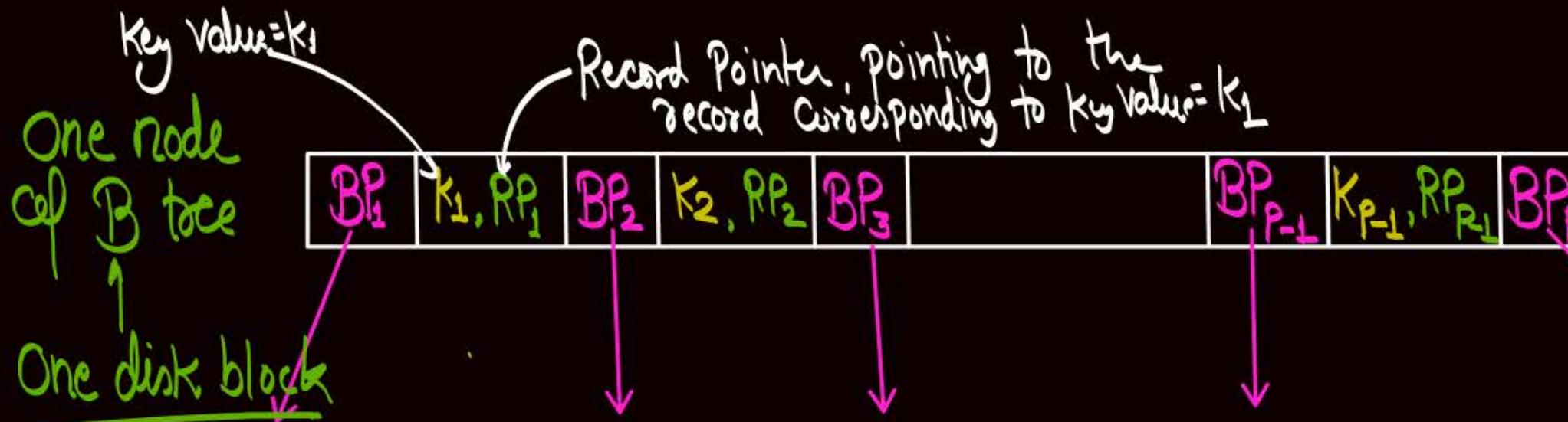
o Whatever information we want to store in One node of B tree must fit in a single disk block.

Consider a B tree of order =  $P$  ✓

↳ i.e. maximum number of

Child pointers a node  
can have =  $P$

∴ Maximum number of  
keys a node of B tree  
of order 'P' can have =  $(P-1)$



$$\left( P * \text{Block Pointer size} \right) + (P-1) * \left( \frac{\text{Key field size}}{\text{Record Pointer size}} \right) \leq \text{Disk Block size}$$

Using this equation we can determine the maximum order possible for a node of B tree



## Topic : B Tree

Consider a relations R with a key filed K. A B-tree of order P is used to access structures on K. Where 'P' denotes the maximum Number of tree pointers in a B-tree index node. Assume that key (k) is 30 bytes long disk block size 1024 byte. Each data pointer size  $P_D$  is 12 bytes long & each block pointer  $P_B$  is 10 byte long. In order for each B-Tree Node to fit in a single disk block, the maximum value of P is-

A

$$19 \left( P * \text{Block Pointer Size} \right) + (P-1) \left( \frac{\text{Key Field Size} + \text{Record Pointer Size}}{P} \right) \leq \text{Disk block size}$$
$$(P * 10) + (P-1)(30 + 12) \leq 1024$$

C

$$21 \quad 52P \leq 1024 + 42$$
$$P \leq \frac{1066}{52} \Rightarrow P \leq 20.5 \Rightarrow P_{\max} = 20$$

B

20

D

22

Order = Max. no. of child pointer a node of B tree can have

Consider a B tree of order = p

- Maximum number of child pointer a node can have is = p ✓
  - Maximum number of keys a node can have is =  $(p-1)$  ✓
  - Minimum number of child pointer a non-root node must have is =  $[p/2]$
  - Minimum number of keys a non-root node must have is =  $[p/2]-1$
- {
- Minimum number of child pointer a root node must have is = 2
  - Minimum number of keys root node must have is = 1

Each non-root node of B tree must be at least half full.



## 2 mins Summary



- Topic Multi-level index tree
- Topic Structure of B tree
- Topic

# THANK - YOU