

# CS & IT ENGINEERING



## Operating System

Process Synchronization

Lecture -1



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# Recap of Previous Lecture



Topic

Multithreading

Topic

System Call: Fork()



# Topics to be Covered



**Topic**

**Synchronization**

**Topic**

**Race Condition**

**Topic**

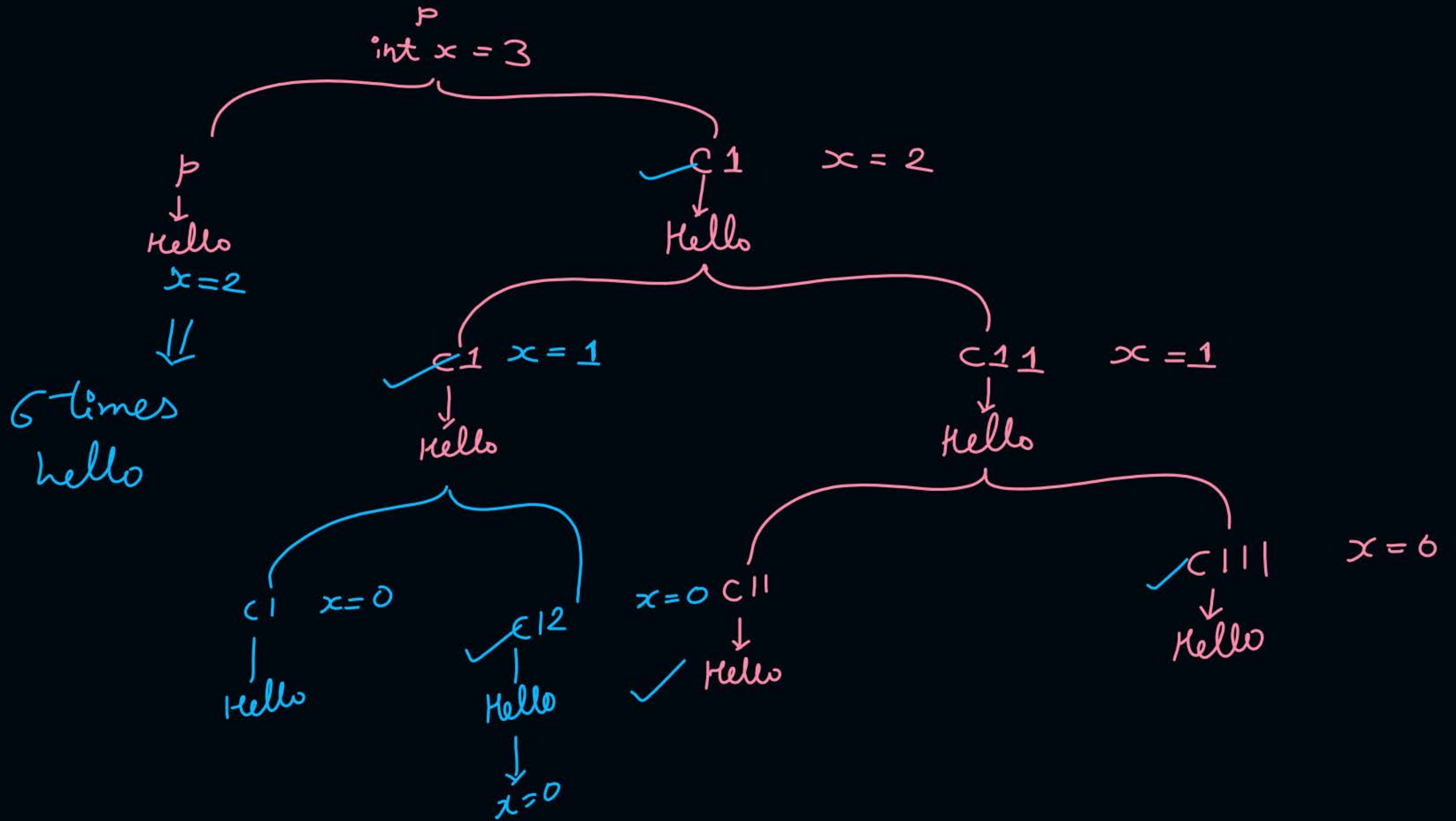
**Critical Section**

#Q. Consider the following code snippet using the `fork()` and `wait()` system calls. Assume that the code compiles and runs correctly, and that the system calls run successfully without any errors.

```
int x = 3;  
while(x > 0) {  
    fork();  
    printf("hello");  
    wait(NULL);  
    x--;  
}
```

The total number of times the `printf` statement is executed is 14?

**GATE-2024**

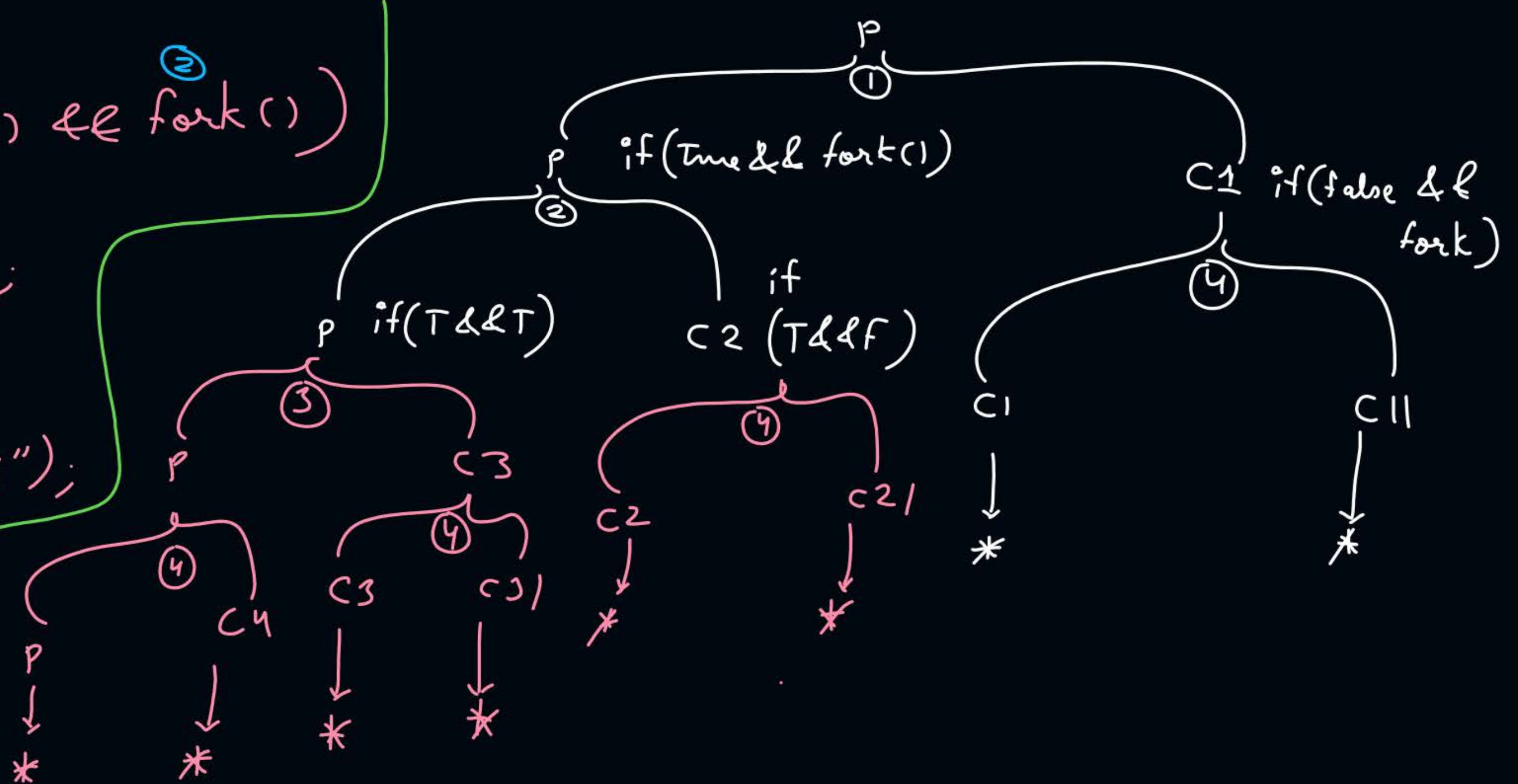




Ques)

```
if (fork() && fork())  
{  
    fork();  
}  
fork();  
printf(" * ");  
}
```

Ans = 8





## Topic : Process Types



1. Independent
2. Cooperating/Coordinating/Communicating





## Topic : Problems Without Synchronization

Problems without Synchronization:

- Inconsistency
- Loss of Data
- Deadlock





## Topic : Race Condition



A race condition is an undesirable situation, it occurs when the final result of concurrent processes depends on the sequence in which the processes complete their execution.

→ due to lack of synchronization

$x$   
5

$\frac{P1 \rightarrow P2}{x=8} \mid \frac{P2 \rightarrow P1}{7}$

$P1$   

---

 $R1 \leftarrow x$   
 $R1 \leftarrow R1 + 2$   
 $x \leftarrow R1$

$P2$   

---

 $R2 \leftarrow x$   
 $R2 \leftarrow R2 + 3$   
 $x \leftarrow R2$

Case 1:-  $P_1$  then  $P_2$  9

Case 2 :-  $P_2$  then  $P_1$  7

Case 3:- Both read  $x$  concurrently then  $P_2$  writes last 14

Case 4:-  $\text{—————} || \text{—————} P1 \text{ ———} || \text{—————}$   
5

P2  
X = X + 4  
14

How many different values of X are possible after both processes finish executing? *Ans = 4 (5, 7, 9, 14)*



$$x = 4$$

#Q. The following pair of processes share a common variable X.

Process A	Process B
int Y;	int Z;
$Y = X * 2;$	$Z = X + 1;$
$X = Y;$	$X = Z;$

9, 10, 8, 5

X is set to 4 before either process begins execution. As usual, statements within a process are executed sequentially, but statements in process A may execute in any order with respect to statements in process B. How many different values of X are possible after both processes finish executing?



# [NAT]

The following two functions  $P1$  and  $P2$  that share a variable  $B$  with an initial value of 2 execute concurrently.

3, 4, 2

$P1 () \{$ $\quad C = B - 1;$ $\quad B = 2 * C;$ $\}$	$P2 () \{$ $\quad D = 2 * B;$ $\quad B = D - 1;$ $\}$
--	--

The number of distinct values that  $B$  can possibly take after the execution is 3.

[NAT]

Consider three concurrent processes P1, P2 and P3 as shown below, which access a shared variable D that has been initialized to 100.

$$D = 100 + 20 - 50 + 10 = 80$$

P1	P2	P3
⋮	⋮	⋮
$D = D + 20$	$D = D - 50$	$D = D + 10$
⋮	⋮	⋮
⋮	⋮	⋮

$$\begin{array}{r}
 Y = 130 \\
 X = 50 \\
 \hline
 \text{Ans} = 80
 \end{array}$$

The processes are executed on a uniprocessor system running a time-shared operating system. If the minimum and maximum possible values of D after the three processes have completed execution are X and Y respectively, then the value of  $Y - X$  is 80.

Ques) In prev. quest<sup>n</sup>, no. of distinct possible values D can take?

Ans = 7

Sol<sup>n</sup>

$$100 + 20 - 50 + 10$$

all 3  $\Rightarrow 80$

any 2  $\Rightarrow 70, 130, 60$

any 1  $\Rightarrow 120, 50, 110$

} 7  
distinct  
values



ques)

$$x = 20$$

P1

$$x = x + 1$$

P2

$$x = x + 2$$

P3

$$x = x + 4$$

P4

$$x = x + 8$$

21, 22, 24, 28

23, 25, 29, 26, 30, 34

27, 32, 33, 31, 35

} 15 distinct value

ans) In prev. quest<sup>n</sup> if value  $x$  can be operated only by one process at a time, then distinct possible values of  $x$  1?

value  $\Rightarrow 35$

  
mutual exclusion

Ques)  $x = 20$

$$20 - 1 + 2 - 2 + 3$$

19, 22, 18,  
23, 21, 17, 20, 25,  
24,

$$\begin{array}{r} P1 \\ \hline x = x - 1 \end{array}$$

$$\begin{array}{r} P2 \\ \hline x = x + 2 \end{array}$$

$$\begin{array}{r} P3 \\ \hline x = x - 2 \end{array}$$

$$\begin{array}{r} P4 \\ \hline x = x + 3 \end{array}$$

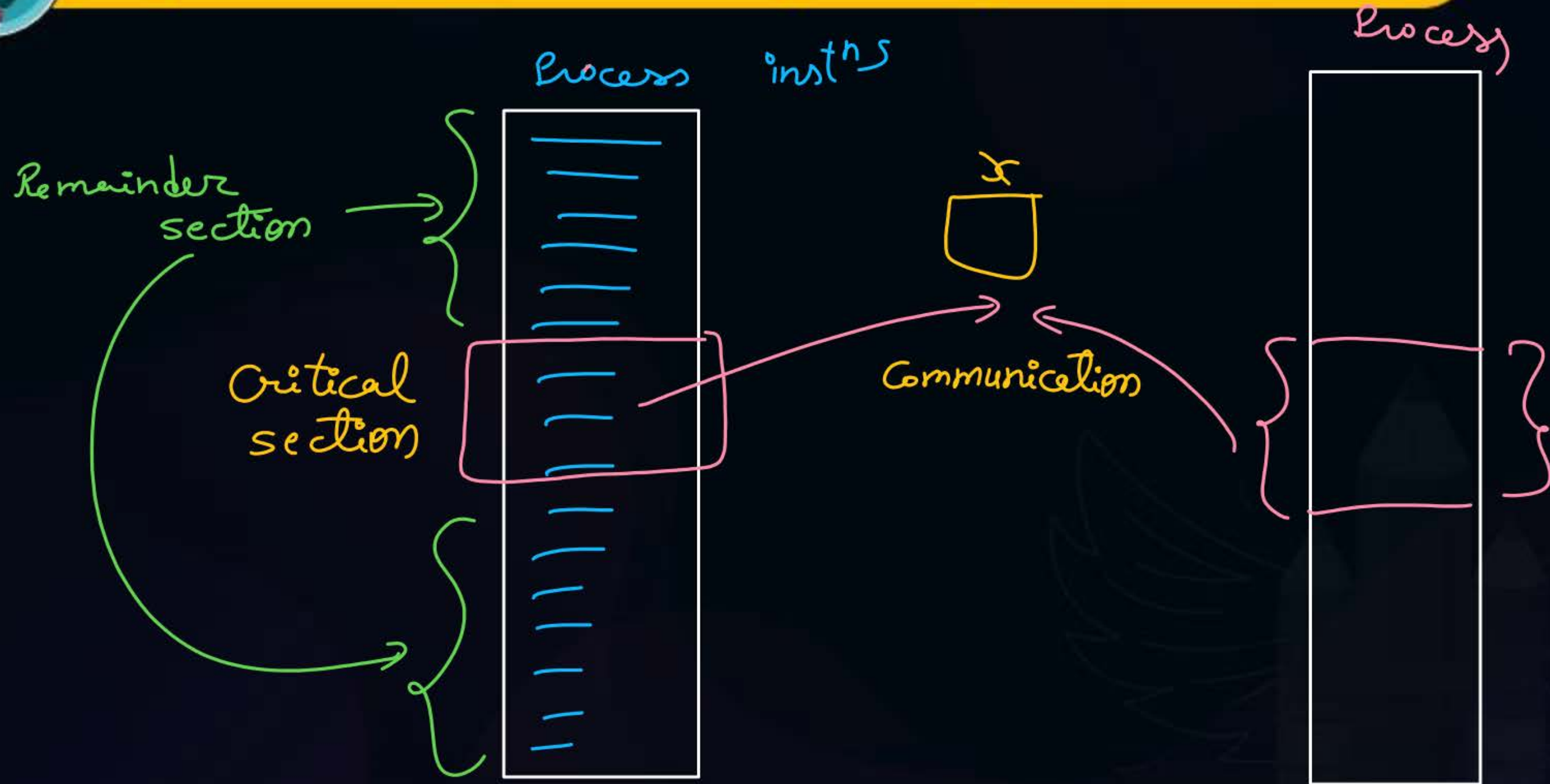
1. If no mutual exclusion then  $\max_{\min} \frac{25}{17} ?$   
no. of distinct values 9?

2. If mutual exclusion then  $\Rightarrow \max_{\min} \{22\}$   
distinct  $\Rightarrow 1$





# Topic : Entire Process Requires Synchronization?





## Topic : Critical Section



The critical section is a code segment where the shared variables can be accessed.



Communication happens .



## Topic : Solution of Critical Section Problem

Requirements of Critical Section problem solution:

1. Mutual Exclusion
2. Progress
3. Bounded Waiting





## Topic : Solution of Critical Section Problem

### **Mutual Exclusion:**

If one process is executing the critical section, then other process is not allowed to enter into critical section.



## Topic : Solution of Critical Section Problem



### Progress:

If no any process is in critical section and any process wants to enter into critical section, then the process must be allowed.





## Topic : Solution of Critical Section Problem

### **Bounded Waiting:**

If a process  $p_1$  is executing in critical section and other process  $p_2$  is waiting for critical section, then the waiting time of  $p_2$  must be bounded. Which means  $p_1$  must not enter in to critical section again and again by keeping  $p_2$  in waiting for long.





## 2 mins Summary

**Topic**

**Synchronization**

**Topic**

**Race Condition**

**Topic**

**Critical Section**



**Happy Learning**

**THANK - YOU**

