

Computer Science & IT

Database Management System



File organization and indexing

Lecture No. 03



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Recap of Previous Lecture



- ✓ **Topic** Index file
- ✓ **Topic** IO cost with index file
- ✓ **Topic** Sparse and Dense index
- ✓ **Topic** Primary, Clustering and Secondary index

Topics to be Covered



Topic

Multi-level index tree



Topic

Structure of B tree

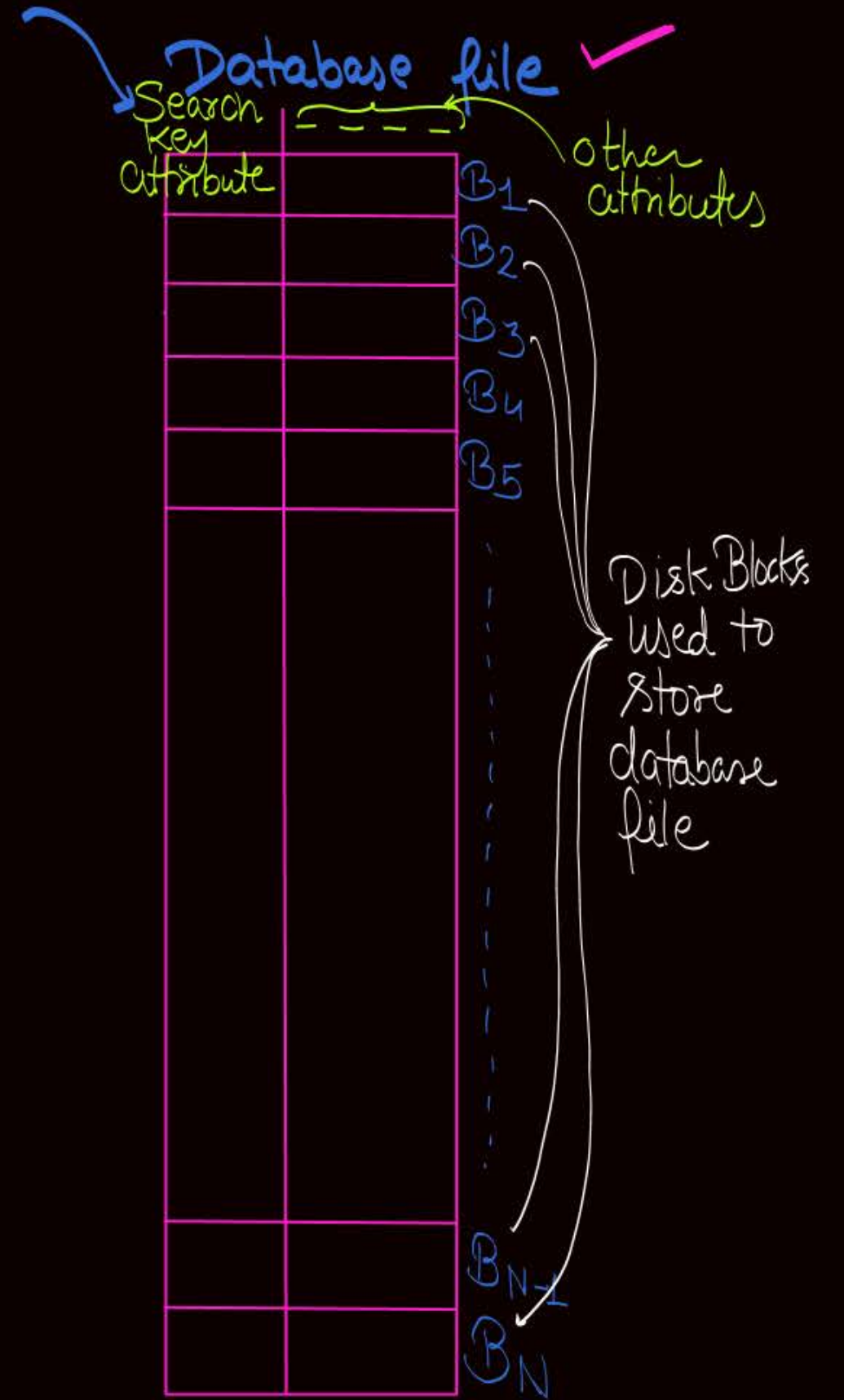
Topic



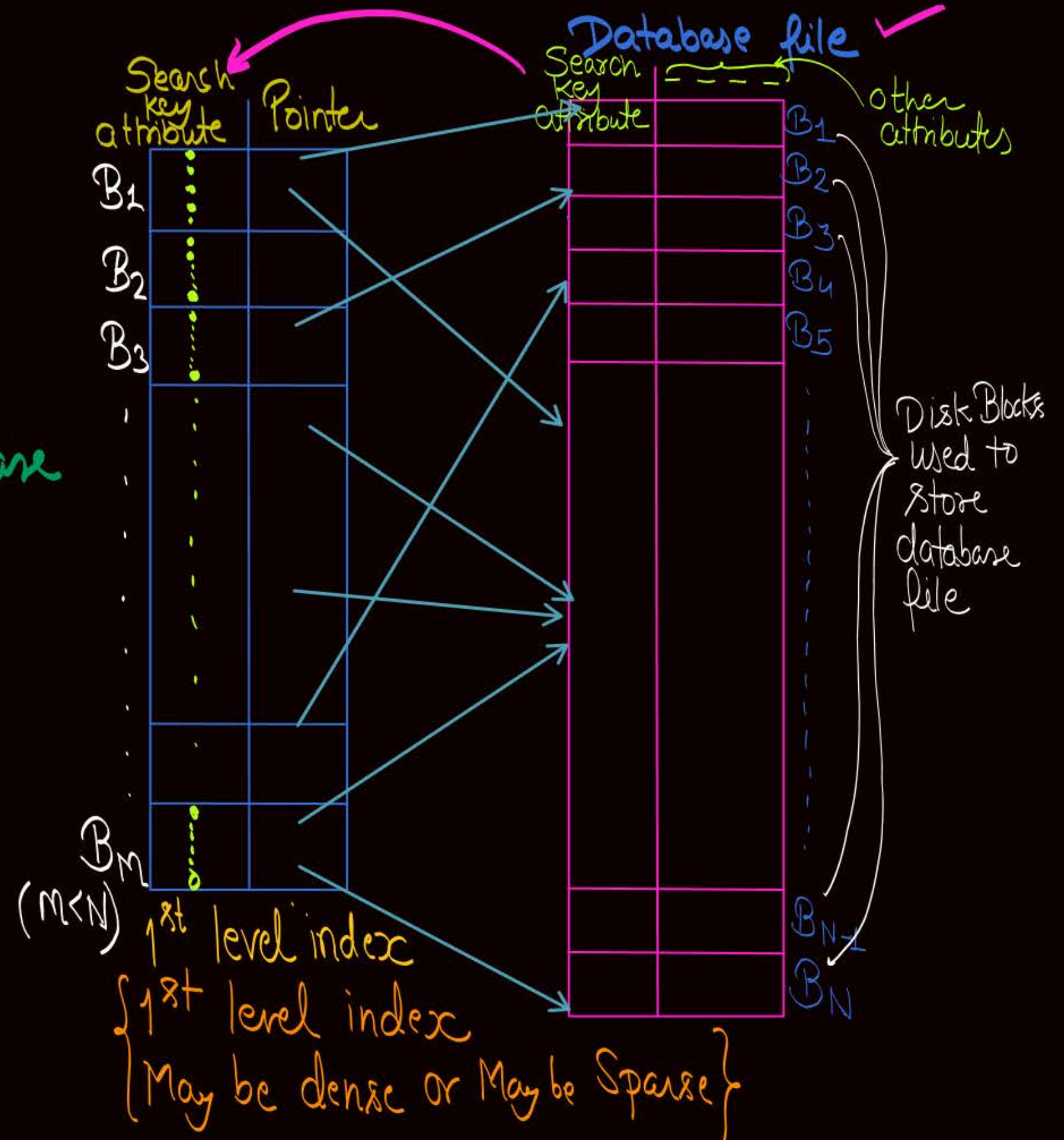


Topic : Multi-level Index

Multi-level index is used to reduce the IO Cost w.r.t. Single level index



$\lceil \log_2 M \rceil + 1$
 Will be Worst Case
 IO Cost using
 Single level index



let Blocking factor = P

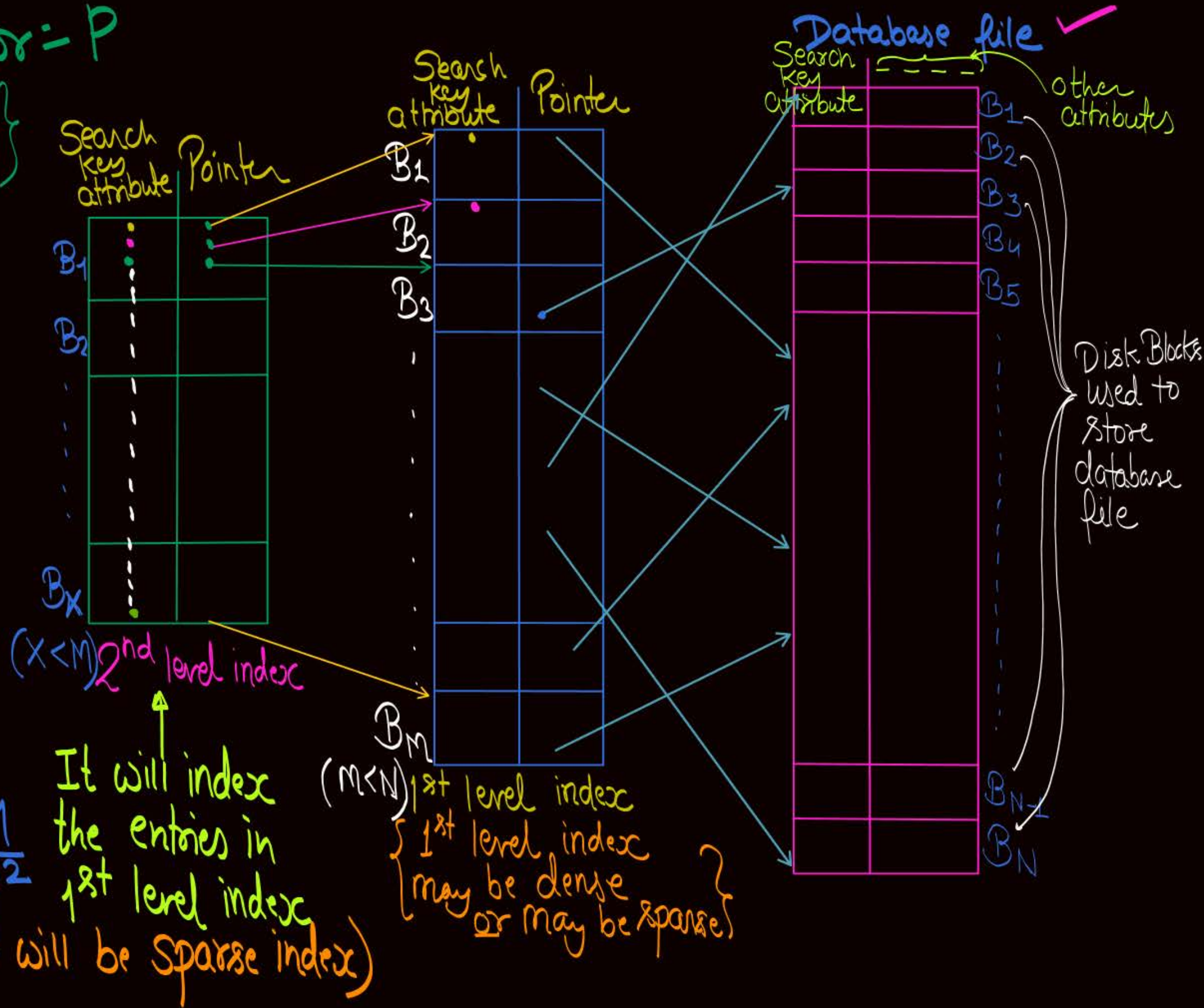
{w.r.t. blocks of
2nd level index}

then

disk blocks required for 2nd level index = $\left\lceil \frac{M}{P} \right\rceil$

Disk block required for 3rd level index = $\left\lceil \frac{\left\lceil \frac{M}{P} \right\rceil}{P} \right\rceil \approx \frac{M}{P^2}$

It will index the entries in 1st level index (it will be sparse index)



(Key size + Pointer size)

Entry size = 50B

$$Bf = \frac{1KB = \text{Block size}}{50B = \text{Entry size}}$$

$$Bf \approx \underline{\underline{20}}$$

leaf \rightarrow Previous \rightarrow Previous \rightarrow Previous \dots

M

$$\underline{\underline{\frac{M}{2}}}$$

$$\underline{\underline{\frac{M}{2^2}}}$$

$$\underline{\underline{\frac{M}{2^3}}} \downarrow$$

P

P² ✓

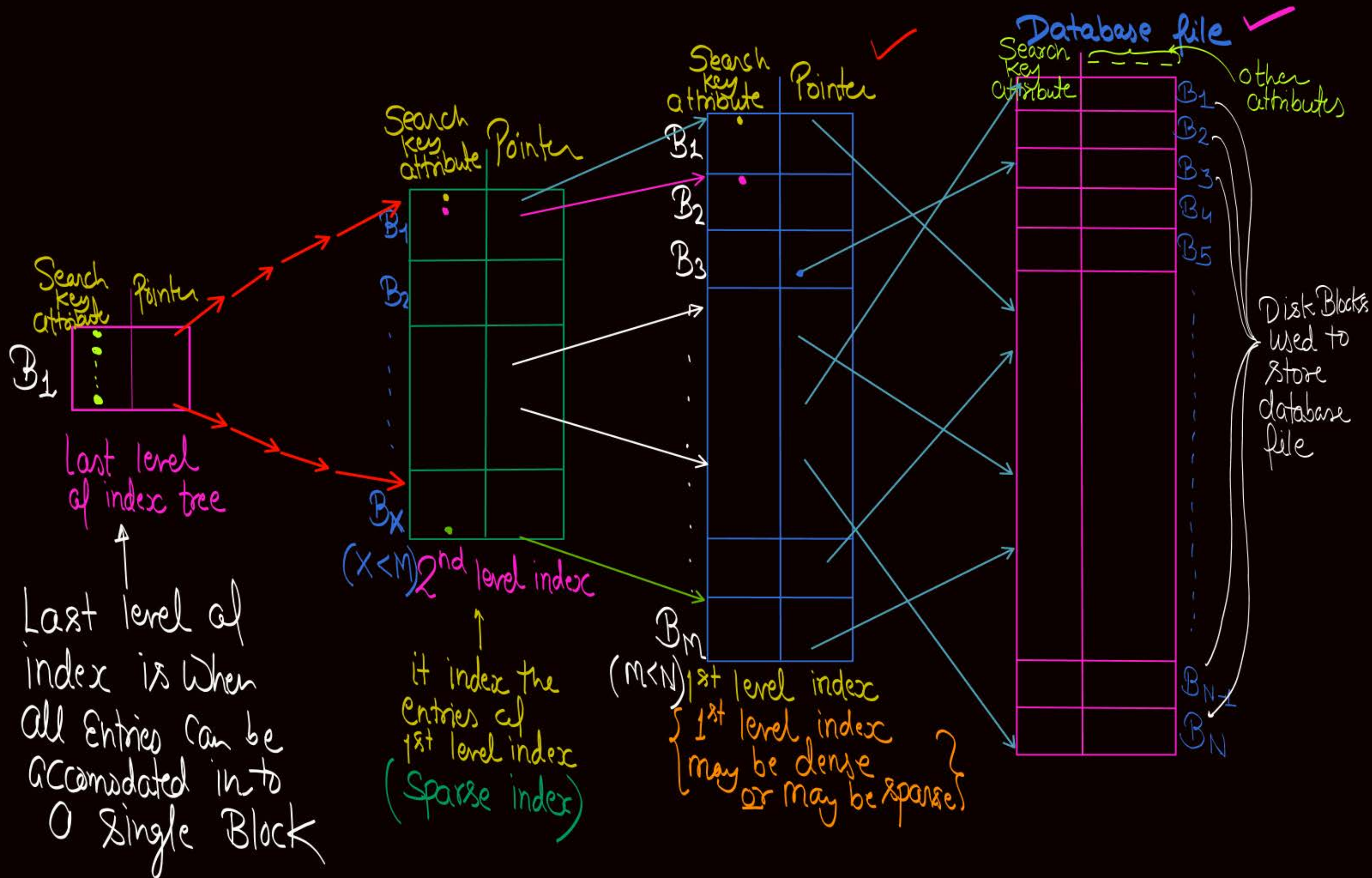
P³ ✓

$$\underline{\underline{20^2}}$$

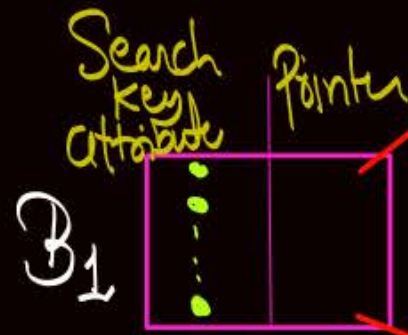
$$\underline{\underline{20^3}} \downarrow$$

$$\lceil \log_{P \approx 50} M \rceil$$

$$\boxed{\log_2 M \gg \log_{30} M}$$



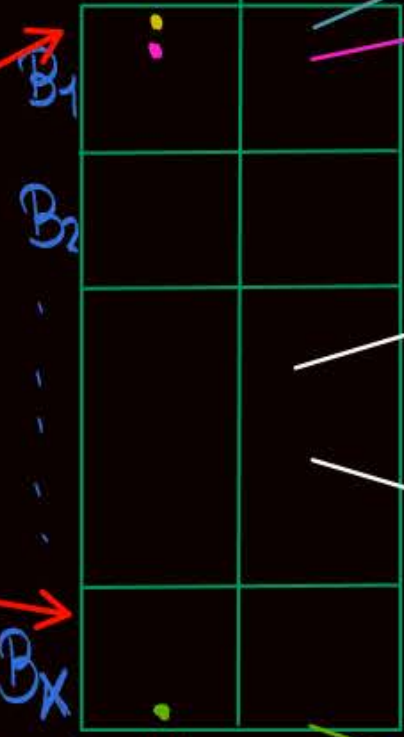
From 2nd level onwards all other levels of index are Sparse index



Last level of index tree

Last level of index is when all entries can be accommodated into a single block

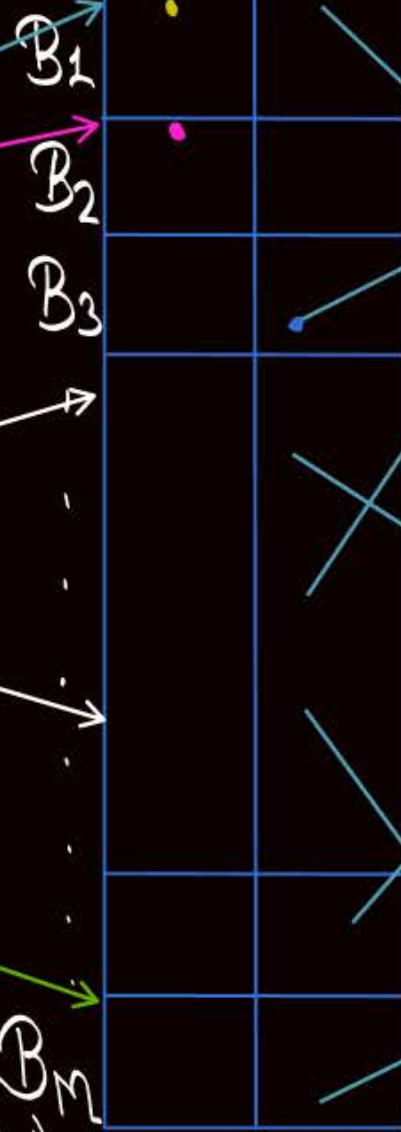
Search key attribute Pointer



$(x < m)$ 2nd level index

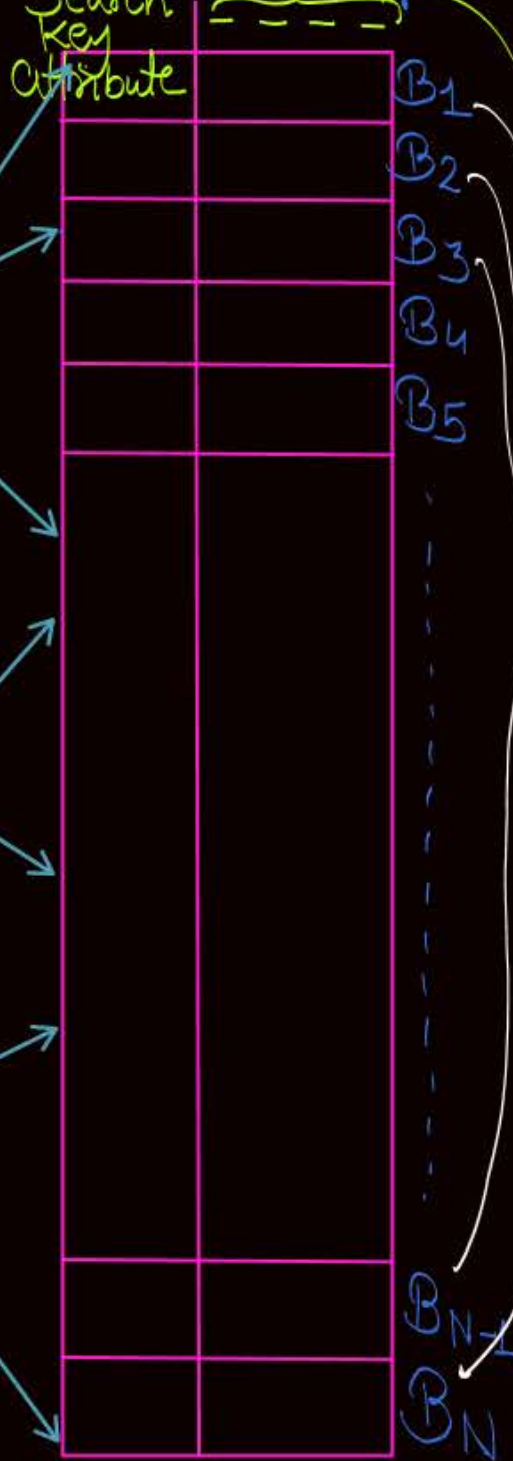
it indexes the entries of 1st level index (Sparse index)

Search key attribute Pointer



$(m < n)$ 1st level index
 1st level index may be dense or may be sparse

Database file



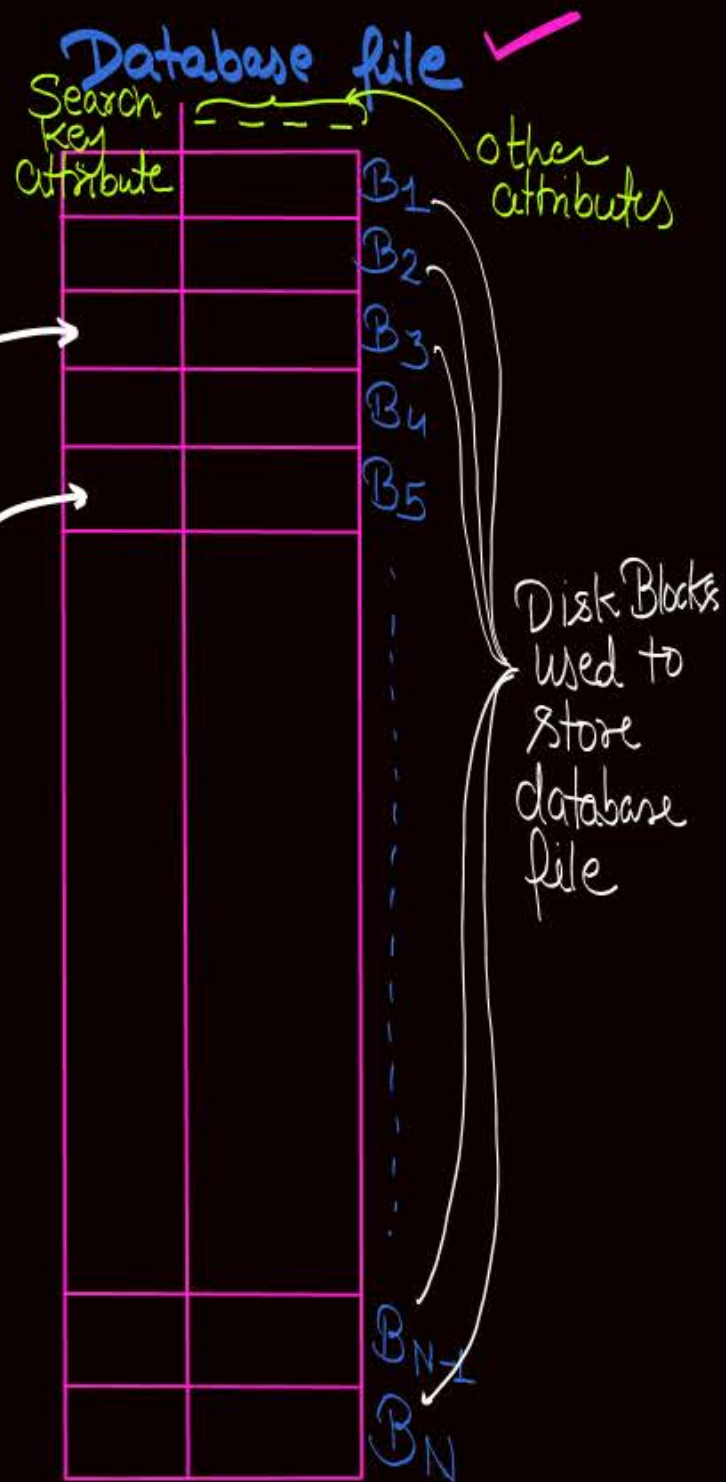
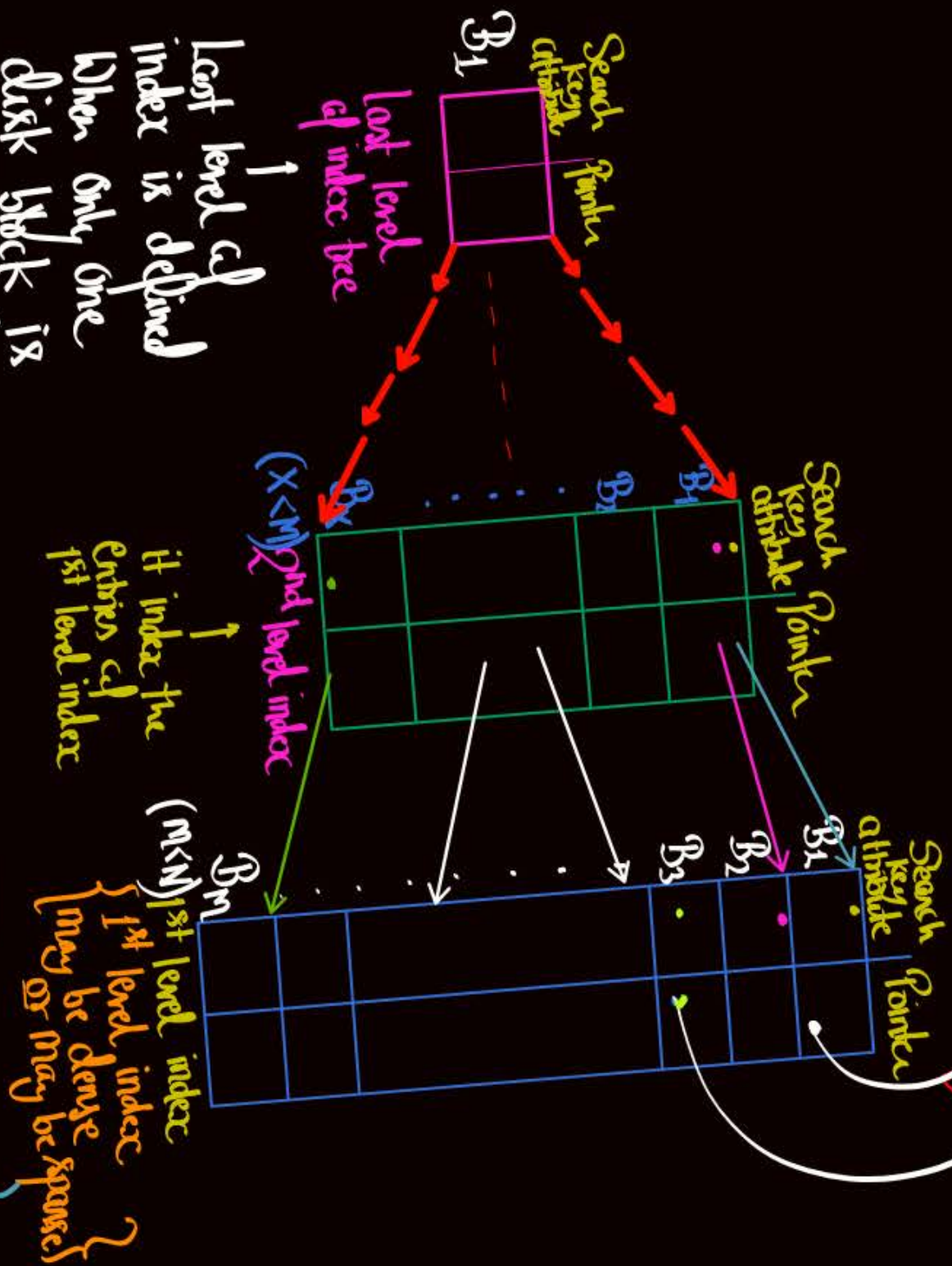
other attributes

Disk blocks used to store database file

No. of levels in Multi-level index =

leaf level of Multi-level index tree

leaf level of index is defined when only one disk block is required



Disk Blocks used to store database file

Note :- \rightarrow

IO Cost using multi-level index

IO Cost
using multi-level
Index

= (Number of levels
in multi-level
index tree) + 1

To locate an entry
in the 1st level (leaf level)
index, starting from
root level (from each level)
One block will be transferred

$\approx \lceil \log_{Bf} M \rceil$
Blocking factor
w.r.t. index block
(2nd level onwards)
No. of disk blocks
w.r.t. 1st level
index

To access the
record from the
database file based
on the address obtained
from the Entry of
first level index

Note :- →

IO Cost using multi-level index

$$\text{IO Cost using multi-level Index} = \left(\text{Number of levels in multi-level index tree} + 1 \right)$$

it will be same for all access

ie, Same IO Cost for all three cases,

Best Case, Worst Case, Average Case



Topic : Multi-level Index

- In multi-level index,

- ⊕ (1) 1st level of index may be dense or may be sparse
- ⊕ (2) Except first level, all other levels of index are always sparse index

⊕ Multi-level index is suitable only for static database
i.e. the database in which insertion & deletion are very rare

⊙ But if database is dynamic {i.e. insert and delete opⁿ are frequent}
then after every insertion and/or deletion we may have to
restructure all the levels of multi-level index. and it
will be a time consuming operation

* ∴ for dynamic database we use

→ B tree or B+ tree
these are self Balancing multi-way search tree

* Self-balancing multi-way search tree

B tree

B+ tree



These are balanced

multi-way search tree

← i.e. all leaf nodes are at same level

↓
m-ary search tree

└ it may be any value ≥ 2

Structure of B tree



Topic : B Tree



Order of a node of B tree

In general, order of a node of B tree is defined as the maximum number of Child pointer a node can have

Consider a B tree of order = p ,

★ Structure of an internal node of B tree

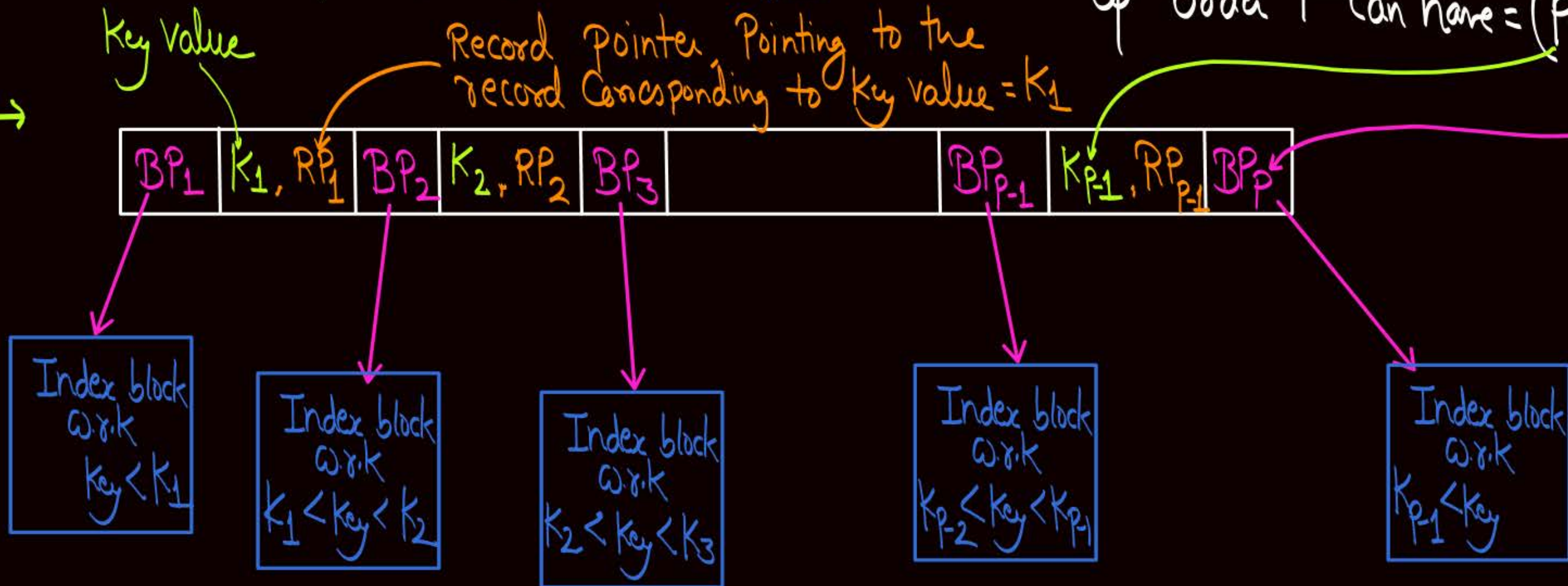
- All keys within the node will always be stored in ascending order
L i.e. $K_1 < K_2 < K_3 \dots < K_{p-1}$

↳ i.e. maximum number of Child pointer a node can have = p

∴ Maximum number of Keys a node of B tree of order ' p ' can have = $(p-1)$

✓ One node of B tree

↑
it is a disk block

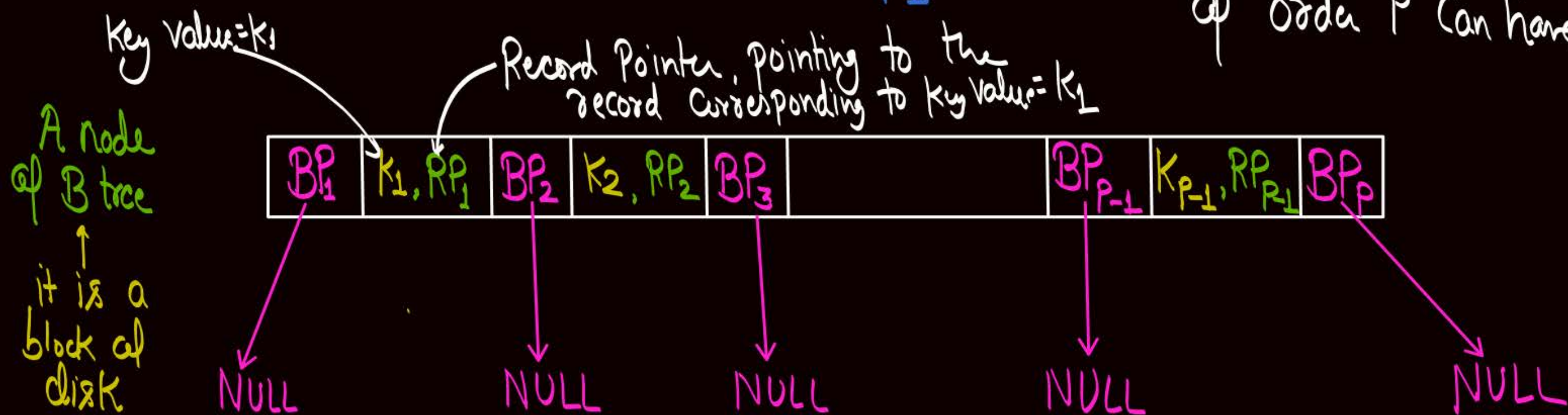


Consider a B tree of order = P ,

Structure of leaf node of B tree

All keys within the node will
always be stored in ascending order
i.e. $K_1 < K_2 < K_3 \dots < K_{P-1}$

ie. maximum number of
Child pointer a node
can have = P
∴ Maximum number of
Keys a node of B tree
of order ' P ' can have = $(P-1)$



• BP (Block Pointer) : It is a pointer that points to the index block at lower level of B tree

{ Child Pointer
{ Node Pointer
{ Tree Pointer }

• RP (Record Pointer) : It points to the record in the database file corresponding the associated key value

* Note :-

In B tree, record pointers are present in internal node as well as in leaf node.

∴ Structure of B tree is different from the structure of multi-level index

* In multi-level index record pointers are present only at leaf level {i.e, 1st level index}

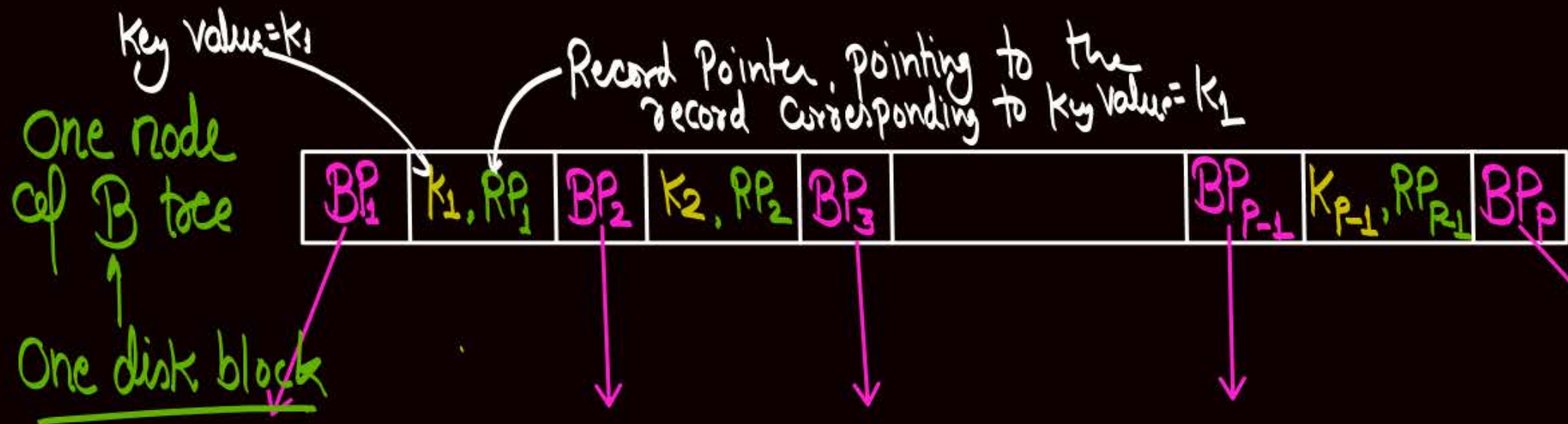
Note:-

Each node of B tree is a disk block
∴ Whatever information we want to store
in one node of B tree must fit
in a single disk block.

Consider a B tree of order = P ✓

↳ i.e., maximum number of child pointer a node can have = P

∴ Maximum number of keys a node of B tree of order ' P ' can have = $(P-1)$



$$\left(P * \text{Block Pointer size} \right) + (P-1) * \left(\text{key field size} + \text{Record Pointer size} \right) \leq \text{Disk Block size}$$

Using this equation we can determine the maximum order possible for a node of B tree



Topic : B Tree



Consider a relations R with a key filed K. A B-tree of order P is used to access structures on K. Where 'P' denotes the maximum Number of tree pointers in a B-tree index node. Assume that key (k) is 30 bytes long disk block size 1024 byte. Each data pointer size P_D is 12 bytes long & each block pointer P_B is 10 byte long. In order for each B-Tree Node to fit in a single disk block, the maximum value of P is-

A

19

C

21

B

20

D

22

$$\left(P \times \text{Block Pointer Size} \right) + (P-1) \left(\text{Key field size} + \text{Record Pointer size} \right) \leq \text{Disk block size}$$
$$(P \times 10) + (P-1)(30+12) \leq 1024$$

$$52P \leq 1024 + 42$$
$$P \leq \frac{1066}{52} \Rightarrow \boxed{P \leq 20.5} \Rightarrow P_{\max} = 20$$

Order = Max. no. of child pointer a node of B tree can have

Consider a B tree of order = p

- Maximum number of child pointer a node can have is = p ✓
- Maximum number of keys a node can have is = $(p-1)$ ✓
- Minimum number of child pointer a non-root node must have is = $\lceil p/2 \rceil$
- Minimum number of keys a non-root node must have is = $\lceil p/2 \rceil - 1$
- Minimum number of child pointer a root node must have is = 2
- Minimum number of keys root node must have is = 1

Each non-root node of B tree must be at least half full.



2 mins Summary



✓
Topic

Multi-level index tree

✓
Topic

Structure of B tree

Topic

THANK - YOU