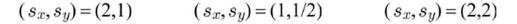
# **Chapter IV Spaces and Transforms**

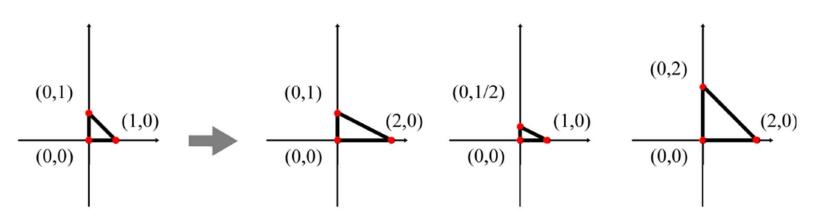
#### Scaling

• 2D scaling with the scaling factors,  $s_x$  and  $s_y$ , which are independent.

$$\begin{pmatrix} s_x & 0 \\ 0 & s_y \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} s_x x \\ s_y y \end{pmatrix}$$

Examples



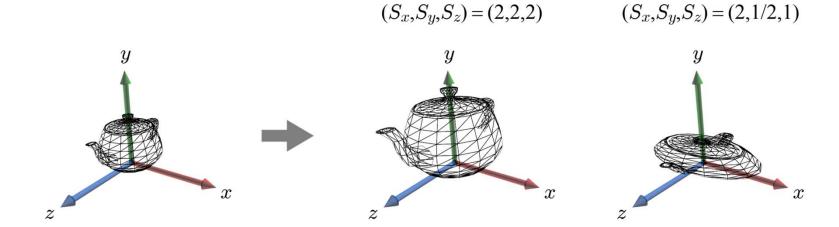


 When a polygon is scaled, all of its vertices are processed by the same scaling matrix.

#### Scaling (cont'd)

3D scaling

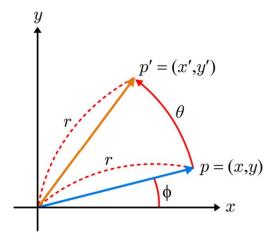
$$\begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} s_x x \\ s_y y \\ s_z z \end{pmatrix}$$



- In the same manner, the transform for a polygon mesh applies to all of its vertices.
- If all of the scaling factors are identical, the scaling is called *uniform*. Otherwise, it is a *non-uniform scaling*.

#### Rotation

#### 2D rotation



$$x = rcos\phi$$
$$y = rsin\phi$$

$$x' = r\cos(\phi + \theta)$$

$$= r\cos\phi\cos\theta - r\sin\phi\sin\theta$$

$$= x\cos\theta - y\sin\theta$$

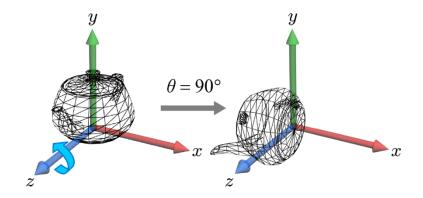
$$y' = rsin(\phi + \theta)$$

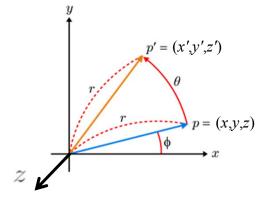
$$= rcos\phi sin\theta + rsin\phi cos\theta$$

$$= xsin\theta + ycos\theta$$

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

- 2D rotation is defined "about the origin." In contrast, 3D rotation requires the *rotation axis*.
- Let's consider 3D rotations about x-axis  $(R_x)$ , y-axis  $(R_y)$ , and z-axis  $(R_z)$
- First of all,  $R_z$ .

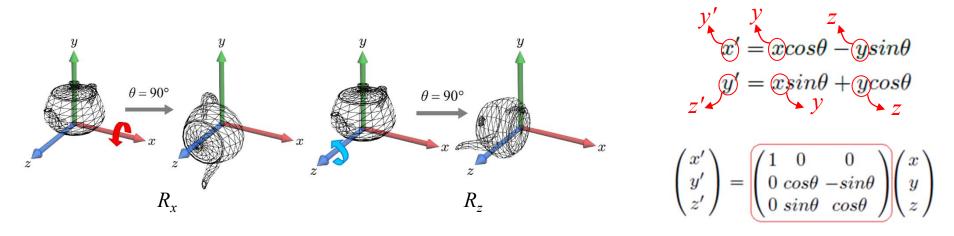




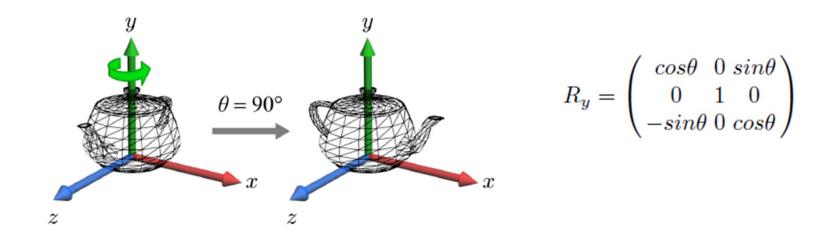
$$x' = x\cos\theta - y\sin\theta$$
$$y' = x\sin\theta + y\cos\theta$$
$$z' = z$$

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} \cos\theta - \sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

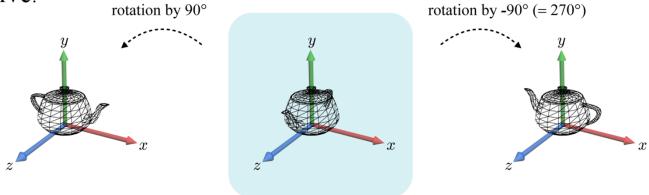
- Observation for  $R_x$ .
  - Obviously, x'=x.
  - In  $R_x$ , the z-axis is turned counter-clockwise by 90° from the y-axis "when seen from the rotation axis."
  - In  $R_z$ , the y-axis is turned counter-clockwise by 90° from the x-axis "when seen from the rotation axis."
  - With respect to the rotation axis, the role of x-axis in  $R_z$  is taken by the y-axis in  $R_x$ . Similarly, the role of y-axis in  $R_z$  is taken by the z-axis in  $R_x$ .
  - Then,  $R_x$  is obtained by making such replacements in  $R_z$ .



• In the same manner, we can define the matrix for  $R_{\nu}$ .



The *sign* of the rotation angle is determined as follows: Look at the origin of the coordinate system such that the axis of rotation points toward you. If the rotation is counter-clockwise, the angle is positive. If the rotation is clockwise, it is negative.



• Note that rotation by  $-\theta$  is equivalent to rotation by  $(2\pi - \theta)$ .

#### **Translation**

• Translation is represented as *vector addition*.

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} + \begin{pmatrix} d_x \\ d_y \\ d_z \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \end{pmatrix} \tag{x+d_x, y+d_y, z+d_z}$$

- Affine transform
  - Linear transform represented by *matrix multiplication* 
    - Scaling
    - Rotation
    - etc.
  - Translation

#### Translation and Homogeneous Coordinates

- Fortunately, we can describe translation as matrix multiplication if we use the *homogeneous coordinates*.
- Given the 3D Cartesian coordinates (x, y, z) of a point, we can simply take (x, y, z, 1) as its homogeneous coordinates.
- We can then describe translation as *matrix multiplication*.

$$\begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \\ 1 \end{pmatrix} \iff \begin{pmatrix} x \\ y \\ z \end{pmatrix} + \begin{pmatrix} d_x \\ d_y \\ d_z \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \end{pmatrix}$$

#### Homogeneous Coordinates

- For a point, the fourth component of the homogeneous coordinates is not necessarily 1 and is denoted by w.
- Cartesian coordinates → homogeneous coordinates
  - Cartesian coordinates (x, y, z) are converted into homogeneous coordinates (wx, wy, wz, w) with non-zero w.
  - For example, the Cartesian coordinates (1,2,3) can be converted into multiple homogeneous coordinates, (1,2,3,1), (2,4,6,2), (3,6,9,3), etc.
- Homogeneous coordinates → Cartesian coordinates
  - Given the homogeneous coordinates (x, y, z, w), the corresponding Cartesian coordinates are (x/w, y/w, z/w).

$$\begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \\ 1 \end{pmatrix} \iff \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 2x \\ 2y \\ 2z \\ 2 \end{pmatrix} = \begin{pmatrix} 2x + 2d_x \\ 2y + 2d_y \\ 2z + 2d_z \\ 2 \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \\ 1 \end{pmatrix}$$

#### Homogeneous Coordinates (cont'd)

• For handling the homogeneous coordinates, the 3x3 matrices for scaling and rotation need to be altered.

$$\begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{pmatrix} \implies \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$R_y = \begin{pmatrix} \cos\theta & 0 & \sin\theta \\ 0 & 1 & 0 \\ -\sin\theta & 0 & \cos\theta \end{pmatrix} \implies \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

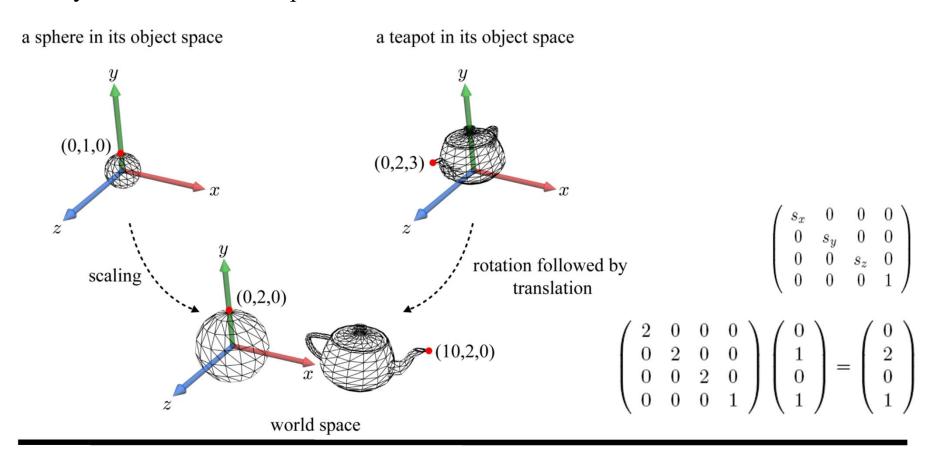
• Now that both linear transform and the translation are represented in 4x4 matrices, the linear transform and the translation can be combined into a single 4x4 matrix.

$$\begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} s_x & 0 & 0 & d_x \\ 0 & s_y & 0 & d_y \\ 0 & 0 & s_z & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

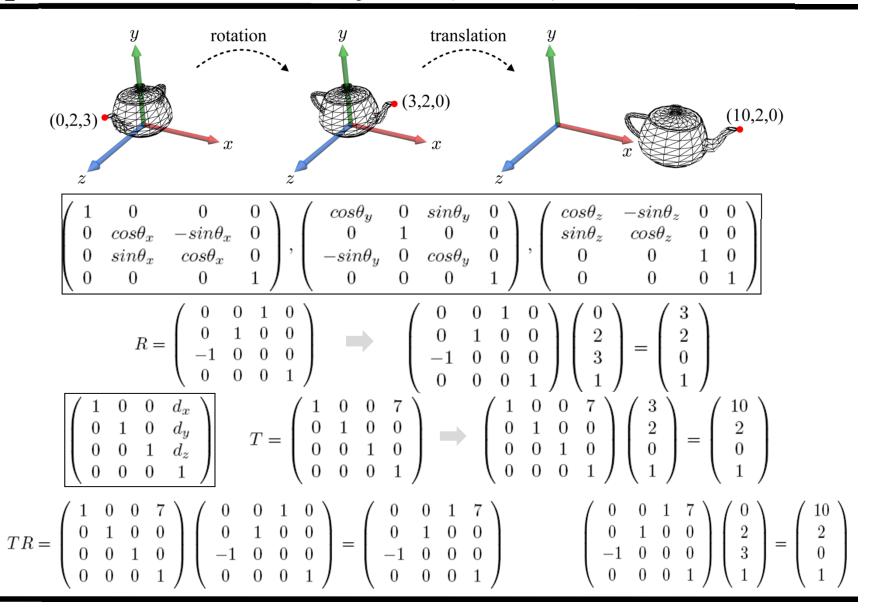
• No matter how many linear transforms and translations are given, they can be combined into a single 4x4 matrix.

## Application: World Transform

- The coordinate system used for creating an object is named *object space*.
- The object space for a model typically has no relationship to that of another model. The *world transform* 'assembles' all models into a single coordinate system called *world space*.



## Application: World Transform (cont'd)



#### Affine Transform

• A rotation,  $R_{\nu}$ , followed by a translation, T

- Observe that, in the combined matrix, the upper-left 3x3 sub-matrix is filled with the input rotation, and the fourth column is with the input translation.
- Now reverse the order and observe that matrix multiplication is not commutative.

$$\begin{split} R_y T &= \begin{pmatrix} \cos\theta & 0 \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & 0 \\ \cos\theta & 0 & \sin\theta & d_x \cos\theta + d_z \sin\theta \\ 0 & 1 & 0 & d_y \\ -\sin\theta & 0 & \cos\theta & -d_x \sin\theta + d_z \cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix} \overset{y}{\underset{z}{\text{(0,2,3)}}} \end{split}$$

#### Affine Transform (cont'd)

- Suppose that a series of linear transforms and translations is concatenated to make a single 4x4 affine matrix.
  - Its fourth row is always (0 0 0 1)
  - The 3x4 elements are denoted by [L|t], i.e., by a 3x3 matrix L augmented with a 3D vector t. L represents a 'combined' linear transform, which does not include any terms from the input translations, whereas t represents a 'combined' translation, which may contain the input linear-transform terms.

$$TR_{y} = \begin{pmatrix} 1 & 0 & 0 & d_{x} \\ 0 & 1 & 0 & d_{y} \\ 0 & 0 & 1 & d_{z} \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \qquad R_{y}T = \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & d_{x} \\ 0 & 1 & 0 & d_{y} \\ 0 & 0 & 1 & d_{z} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} \cos\theta & 0 & \sin\theta & d_{x} \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

## Affine Transform (cont'd)

• Let us take  $R_vT$  from the previous slide and combine with a scaling.

$$S(R_yT) = \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\theta & 0 & \sin\theta & d_x \cos\theta + d_z \sin\theta \\ 0 & 1 & 0 & d_y \\ -\sin\theta & 0 & \cos\theta & -d_x \sin\theta + d_z \cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} s_x \cos\theta & 0 & s_x \sin\theta & s_x d_x \cos\theta + s_x d_z \sin\theta \\ 0 & s_y & 0 & s_y d_y \\ -s_z \sin\theta & 0 & s_z \cos\theta - s_z d_x \sin\theta + s_z d_z \cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Again, this is denoted by [L|t], where L represents a 'combined' linear transform and t represents a 'combined' translation.

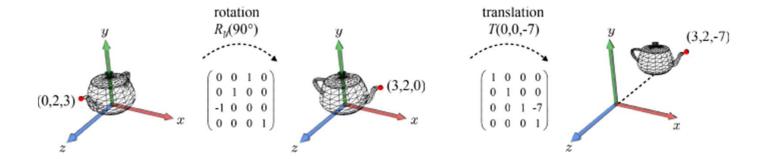
## Affine Transform (cont'd)

• Given a 3x4 matrix for an affine transform, [L|t], its application to an object is described as follows: L is applied first and then the linearly-transformed object is translated by t.

$$R_{y}T = \begin{pmatrix} \cos\theta & 0 \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & d_{x} \\ 0 & 1 & 0 & d_{y} \\ 0 & 0 & 1 & 1 \\ \cos\theta & 0 & \sin\theta & d_{x}\cos\theta + d_{z}\sin\theta \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

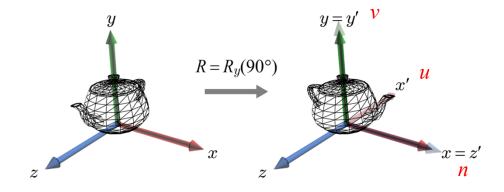
$$= \begin{pmatrix} \cos\theta & 0 \sin\theta & 0 & 0 \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & -7 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



#### Rotation and Object-space Basis

- An object can be thought of as being stuck to its object space, i.e., each vertex of the object is fixed and immovable within the object space.
- Initially the object space can be considered identical to the world space.
- A rotation applied to an object defines its orientation, and obviously the orientation is described by the axes of the 'rotated' object space.



- In the above example, x', y', and z' are the object-space axes and x, y, and z are the world-space axes.
- Let us denote the unit vectors along x', y', and z' by u, v, and n, respectively:  $\{u, v, n\}$  is the *basis* of the object space, describing the object's orientation.

## Rotation and Object-space Basis (cont'd)

- In general, the world space is associated with the standard basis,  $\{e_1, e_2, e_3\}$ .
- Initially the object space is identical to the world space, but it is *rotated* (by *R*) to have the orientation  $\{u, v, n\}$ . Specifically,  $e_1$  is rotated into u, and it is described as follows:

$$Re_1 = R \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} u_x \\ u_y \\ u_z \end{pmatrix}$$

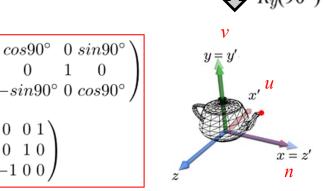
Similarly, R transforms  $e_2$  and  $e_3$  into v and n, respectively:

$$Re_2 = R \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} \quad Re_3 = R \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} n_x \\ n_y \\ n_z \end{pmatrix}$$

The above three are combined:

$$R\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} u_x & v_x & n_x \\ u_y & v_y & n_y \\ u_z & v_z & n_z \end{pmatrix}$$

$$R_y(90^\circ) = \begin{pmatrix} \cos 90^\circ & 0 \sin 90^\circ \\ 0 & 1 & 0 \\ -\sin 90^\circ & 0 \cos 90^\circ \end{pmatrix}$$
$$= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{pmatrix}$$



R's columns are u, v, and n: Given a rotation matrix, the object-space basis with respect to the world space is immediately determined, and vice versa.

#### Inverses of Translation and Scaling

Inverse translation

$$\begin{pmatrix} 1 & 0 & 0 & -d_x \\ 0 & 1 & 0 & -d_y \\ 0 & 0 & 1 & -d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \iff \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \tag{$(x, y, z)$} \tag{$(x, y, z)$}$$

Inverse transform in inverse matrix

$$TT^{-1} = \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & -d_x \\ 0 & 1 & 0 & -d_y \\ 0 & 0 & 1 & -d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = I$$

Inverse scaling

$$\begin{pmatrix} \frac{1}{s_x} & 0 & 0 & 0 \\ 0 & \frac{1}{s_y} & 0 & 0 \\ 0 & 0 & \frac{1}{s_z} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \iff \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

#### Inverse Rotation

- Note that  $\{u, v, n\}$  is an orthonormal basis, i.e.,  $u \cdot u = v \cdot v = n \cdot n = 1$  and  $u \cdot v = v \cdot n = n \cdot u = 0$ .
- Let's multiply R's transpose  $(R^T)$  with R:

$$R^{T}R = \begin{pmatrix} u_{x} & u_{y} & u_{z} \\ v_{x} & v_{y} & v_{z} \\ n_{x} & n_{y} & n_{z} \end{pmatrix} \begin{pmatrix} u_{x} & v_{x} & n_{x} \\ u_{y} & v_{y} & n_{y} \\ u_{z} & v_{z} & n_{z} \end{pmatrix}$$

$$= \begin{pmatrix} u \cdot u & u \cdot v & u \cdot n \\ v \cdot u & v \cdot v & v \cdot n \\ n \cdot u & n \cdot v & n \cdot n \end{pmatrix}$$

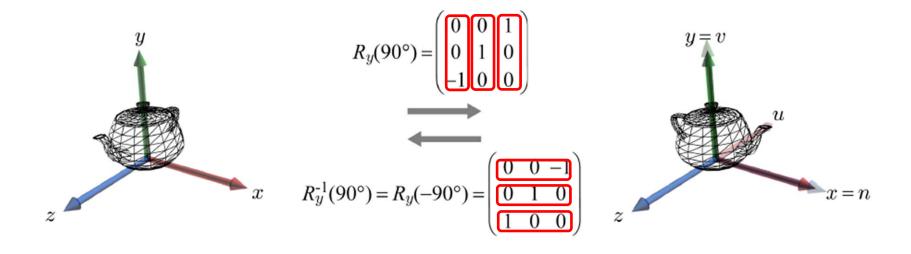
$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= I$$

- This says that  $R^{-1}=R^T$ , i.e., the inverse of a rotation matrix is its transpose.
- Because u, v, and n form the *columns* of R, they form the *rows* of  $R^{-1}$ .

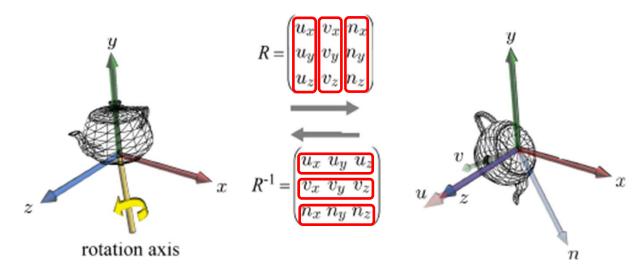
#### Inverse Rotation (cont'd)

$$R_y^{-1}(90^\circ) = R_y(-90^\circ) = \begin{pmatrix} \cos(-90^\circ) & 0 \sin(-90^\circ) \\ 0 & 1 & 0 \\ -\sin(-90^\circ) & 0 \cos(-90^\circ) \end{pmatrix} = \begin{pmatrix} 0 & 0 & -1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$



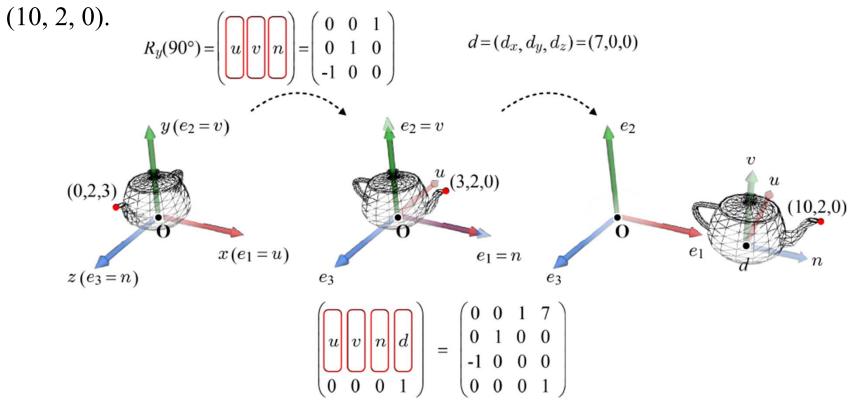
#### Rotation - Summary

- What has been presented so far applies in general.
- Consider a rotation "about an arbitrary axis."
  - Suppose that its matrix *R* is obtained somehow. In fact, it is computable.
  - Then, the rotated object-space basis  $\{u, v, n\}$  is immediately determined by taking the columns of R.
  - Inversely, if  $\{u, v, n\}$  is known a priori, R is also immediately determined. Fig.
  - Of course,  $R^{-1}=R^T$ .



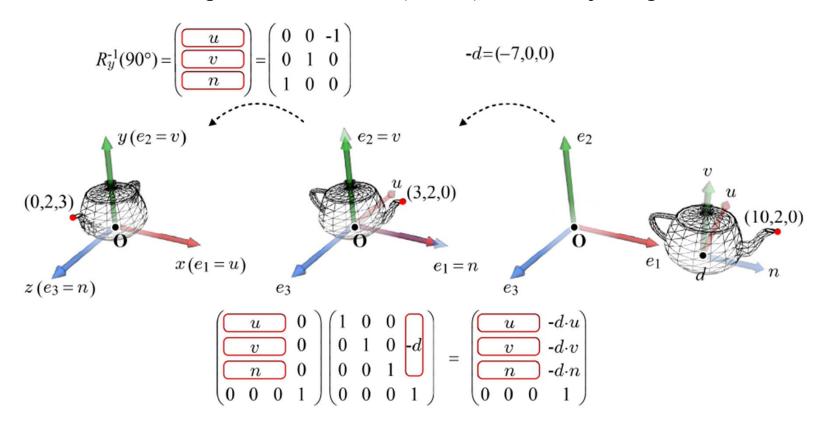
## Basis Change and Space Change

- In the example below, we have two distinct spaces: the world space,  $\{e_1, e_2, e_3, \mathbf{O}\}$ , and the object space,  $\{u, v, n, d\}$ . A point is given distinct coordinates in different spaces: the object-space coordinates of the teapot's mouth end remain fixed, (0, 2, 3), whereas its world-space coordinates are (10, 2, 0).
- [R|d] transforms the object-space coordinates, (0, 2, 3), to the world-space ones,



#### Basis Change and Space Change (cont'd)

- Consider the inverse transform. It is conceptually equivalent to transforming the object space such that it becomes identical to the world space.
- As the object space is now identical to the world space, we can take the transformed world-space coordinates, (0, 2, 3), as the object-space coordinates.



#### Basis Change and Space Change (cont'd)

- The previous two pages showed *space-change* matrices. One is form the object space to the world space, and the other is from the world space to the object space.
- In contrast, the rotations change the bases, not the origin. They are *basis-change* matrices.