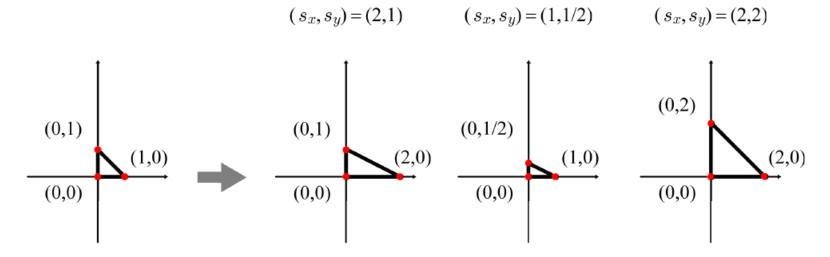
Chapter IV Spaces and Transforms

Scaling

• 2D scaling with the scaling factors, s_x and s_y , which are independent.

$$\begin{pmatrix} s_x & 0 \\ 0 & s_y \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} s_x x \\ s_y y \end{pmatrix}$$

Examples

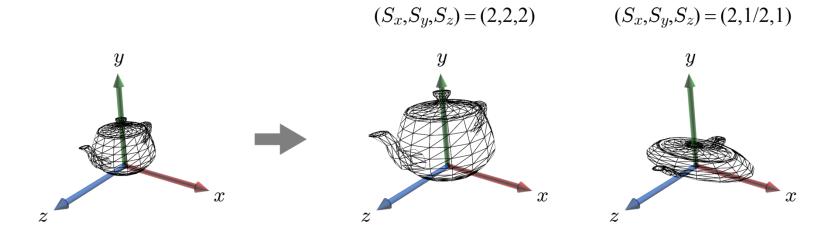


 When a polygon is scaled, all of its vertices are processed by the same scaling matrix.

Scaling (cont'd)

3D scaling

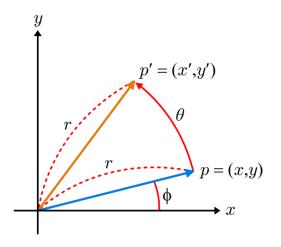
$$\begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} s_x x \\ s_y y \\ s_z z \end{pmatrix}$$



- In the same manner, the transform for a polygon mesh applies to all of its vertices.
- If all of the scaling factors are identical, the scaling is called *uniform*. Otherwise, it is a *non-uniform scaling*.

Rotation

2D rotation



$$x = rcos\phi$$
$$y = rsin\phi$$

$$x' = r\cos(\phi + \theta)$$

$$= r\cos\phi\cos\theta - r\sin\phi\sin\theta$$

$$= x\cos\theta - y\sin\theta$$

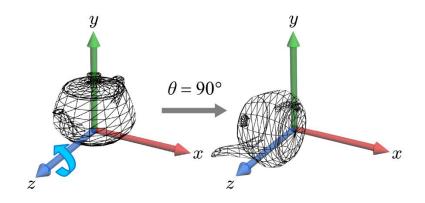
$$y' = rsin(\phi + \theta)$$

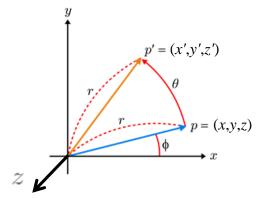
$$= rcos\phi sin\theta + rsin\phi cos\theta$$

$$= xsin\theta + ycos\theta$$

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

- 2D rotation is defined "about the origin." In contrast, 3D rotation requires the *rotation axis*.
- Let's consider 3D rotations about x-axis (R_x) , y-axis (R_y) , and z-axis (R_z)
- First of all, R_{7} .

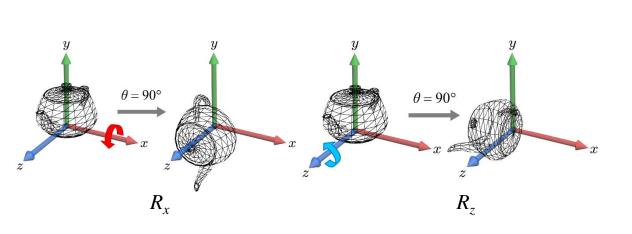




$$x' = x\cos\theta - y\sin\theta$$
$$y' = x\sin\theta + y\cos\theta$$
$$z' = z$$

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} \cos\theta - \sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

- Observation for R_x .
 - Obviously, x'=x.
 - In R_x , the z-axis is turned counter-clockwise by 90° from the y-axis "when seen from the rotation axis."
 - In R_z , the y-axis is turned counter-clockwise by 90° from the x-axis "when seen from the rotation axis."
 - With respect to the rotation axis, the role of x-axis in R_z is taken by the y-axis in R_x . Similarly, the role of y-axis in R_z is taken by the z-axis in R_x .
 - Then, R_x is obtained by making such replacements in R_z .



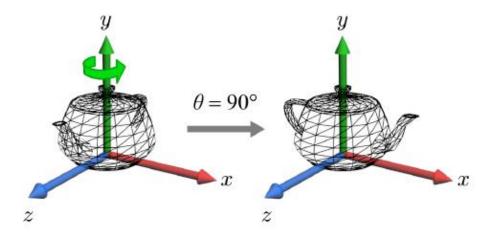
$$y' \quad y \quad z \\ x' = x \cos\theta - y \sin\theta$$

$$y' = x \sin\theta + y \cos\theta$$

$$z' \quad y \quad z$$

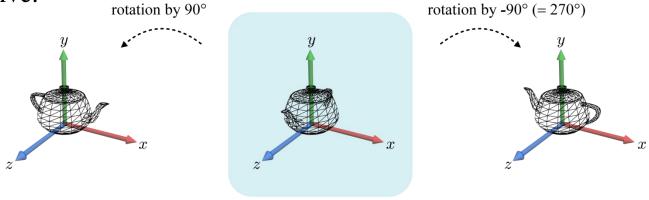
$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta \\ 0 & \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

• In the same manner, we can define the matrix for R_y .



$$R_y = \begin{pmatrix} \cos\theta & 0\sin\theta \\ 0 & 1 & 0 \\ -\sin\theta & 0\cos\theta \end{pmatrix}$$

The *sign* of the rotation angle is determined as follows: Look at the origin of the coordinate system such that the axis of rotation points toward you. If the rotation is counter-clockwise, the angle is positive. If the rotation is clockwise, it is negative.



• Note that rotation by $-\theta$ is equivalent to rotation by $(2\pi - \theta)$.

Translation

Translation is represented as vector addition.

- Affine transform
 - Linear transform represented by matrix multiplication
 - Scaling
 - Rotation
 - etc.
 - Translation

Translation and Homogeneous Coordinates

- Fortunately, we can describe translation as matrix multiplication if we use the *homogeneous coordinates*.
- Given the 3D Cartesian coordinates (x, y, z) of a point, we can simply take (x, y, z, 1) as its homogeneous coordinates.
- We can then describe translation as *matrix multiplication*.

$$\begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \\ 1 \end{pmatrix} \iff \begin{pmatrix} x \\ y \\ z \end{pmatrix} + \begin{pmatrix} d_x \\ d_y \\ d_z \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \end{pmatrix}$$

Homogeneous Coordinates

- For a point, the fourth component of the homogeneous coordinates is not necessarily 1 and is denoted by w.
- Cartesian coordinates → homogeneous coordinates
 - Cartesian coordinates (x, y, z) are converted into homogeneous coordinates (wx, wy, wz, w) with non-zero w.
 - For example, the Cartesian coordinates (1,2,3) can be converted into multiple homogeneous coordinates, (1,2,3,1), (2,4,6,2), (3,6,9,3), etc.
- Homogeneous coordinates → Cartesian coordinates
 - Given the homogeneous coordinates (x, y, z, w), the corresponding Cartesian coordinates are (x/w, y/w, z/w).

$$\begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \\ 1 \end{pmatrix} \iff \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 2x \\ 2y \\ 2z \\ 2 \end{pmatrix} = \begin{pmatrix} 2x + 2d_x \\ 2y + 2d_y \\ 2z + 2d_z \\ 2 \end{pmatrix} = \begin{pmatrix} x + d_x \\ y + d_y \\ z + d_z \\ 1 \end{pmatrix}$$

Homogeneous Coordinates (cont'd)

• For handling the homogeneous coordinates, the 3x3 matrices for scaling and rotation need to be altered.

$$\begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{pmatrix} \implies \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$R_y = \begin{pmatrix} \cos\theta & 0 & \sin\theta \\ 0 & 1 & 0 \\ -\sin\theta & 0 & \cos\theta \end{pmatrix} \implies \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

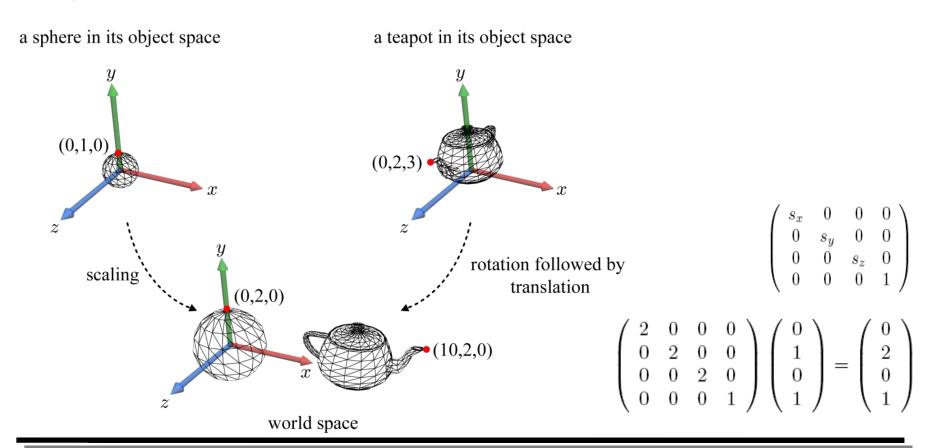
Now that both linear transform and the translation are represented in 4x4 matrices, the linear transform and the translation can be combined into a single 4x4 matrix.

$$\begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} s_x & 0 & 0 & d_x \\ 0 & s_y & 0 & d_y \\ 0 & 0 & s_z & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

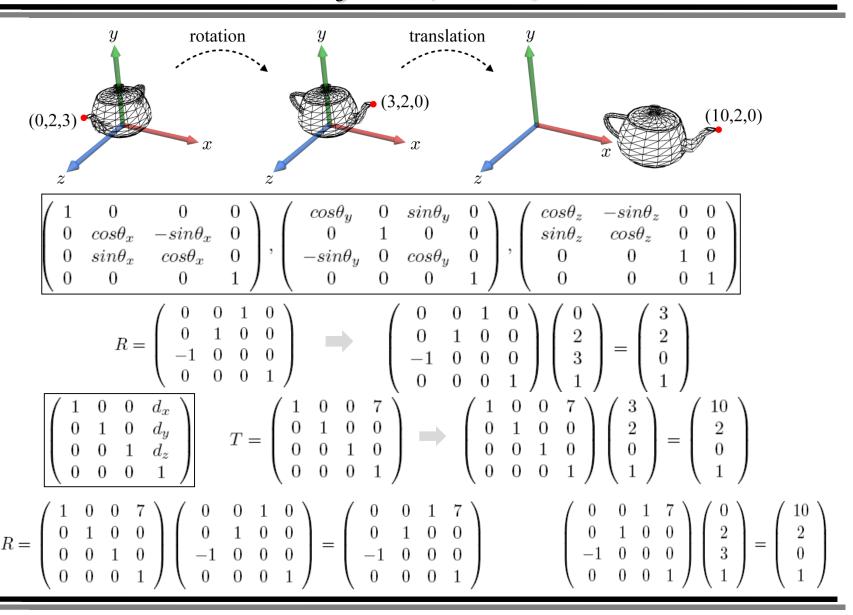
• No matter how many linear transforms and translations are given, they can be combined into a single 4x4 matrix.

Application: World Transform

- The coordinate system used for creating an object is named *object space*.
- The object space for a model typically has no relationship to that of another model. The *world transform* 'assembles' all models into a single coordinate system called *world space*.



Application: World Transform (cont'd)



Affine Transform

• A rotation, R_v , followed by a translation, T

$$TR_{y} = \begin{pmatrix} 1 & 0 & 0 & d_{x} \\ 0 & 1 & 0 & d_{y} \\ 0 & 0 & 1 & d_{z} \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 & \sin\theta & d_{x} \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & d_{z} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 & \sin\theta & d_{x} \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & d_{z} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Observe that, in the combined matrix, the upper-left 3x3 sub-matrix is filled with the input rotation, and the fourth column is with the input translation.
- Now reverse the order and observe that matrix multiplication is not commutative.

$$R_{y}T = \begin{pmatrix} \cos\theta & 0 \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & d_{x} \\ 0 & 1 & 0 & d_{y} \\ 0 & 0 & 1 & 0 \\ \cos\theta & 0 & \sin\theta & d_{x}\cos\theta + d_{z}\sin\theta \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 \sin\theta & 0 \\ \cos\theta & 0 & \sin\theta & d_{x}\cos\theta + d_{z}\sin\theta \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 \sin\theta & 0 \\ \cos\theta & 0 & \sin\theta & d_{x}\cos\theta + d_{z}\sin\theta \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ \cos\theta & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ \cos\theta & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ \cos\theta & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ \cos\theta & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Affine Transform (cont'd)

- Suppose that a series of linear transforms and translations is concatenated to make a single 4x4 affine matrix.
 - Its fourth row is always (0 0 0 1)
 - The 3x4 elements are denoted by [L|t], i.e., by a 3x3 matrix L augmented with a 3D vector t. L represents a 'combined' linear transform, which does not include any terms from the input translations, whereas t represents a 'combined' translation, which may contain the input linear-transform terms.

$$TR_{y} = \begin{pmatrix} 1 & 0 & 0 & d_{x} \\ 0 & 1 & 0 & d_{y} \\ 0 & 0 & 1 & d_{z} \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \qquad R_{y}T = \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & d_{x} \\ 0 & 1 & 0 & d_{y} \\ 0 & 0 & 1 & d_{z} \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} \cos\theta & 0 & \sin\theta & 0 \\ -\sin\theta & 0 & \cos\theta & 0 \\ 0 & 1 & 0 & d_{z} \\ -\sin\theta & 0 & \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Affine Transform (cont'd)

• Let us take R_vT from the previous slide and combine with a scaling.

$$S(R_yT) = \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\theta & 0 & \sin\theta & d_x \cos\theta + d_z \sin\theta \\ 0 & 1 & 0 & d_y \\ -\sin\theta & 0 & \cos\theta - d_x \sin\theta + d_z \cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} s_x \cos\theta & 0 & s_x \sin\theta & s_x d_x \cos\theta + s_x d_z \sin\theta \\ 0 & s_y & 0 & s_y d_y \\ -s_z \sin\theta & 0 & s_z \cos\theta - s_z d_x \sin\theta + s_z d_z \cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
in this is denoted by [III] where I represents a facultie of

Again, this is denoted by [L|t], where L represents a 'combined' linear transform and t represents a 'combined' translation.

Affine Transform (cont'd)

• Given a 3x4 matrix for an affine transform, [L|t], its application to an object is described as follows: L is applied first and then the linearly-transformed object is translated by t.

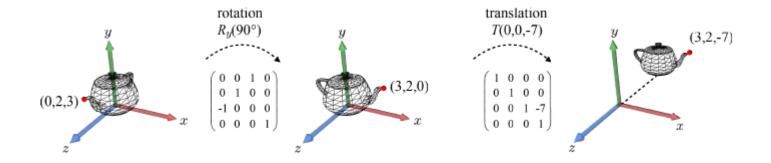
$$R_{y}T = \begin{pmatrix} \cos\theta & 0 \sin\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin\theta & 0 \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & d_{x} \\ 0 & 1 & 0 & d_{y} \\ 0 & 0 & 1 & d_{z} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 \sin\theta & 0 \\ -\sin\theta & 0 \cos\theta & -d_{x}\sin\theta & d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & 0 \sin\theta & d_{x}\cos\theta + d_{z}\sin\theta \\ 0 & 1 & 0 & d_{y} \\ -\sin\theta & 0 \cos\theta & -d_{x}\sin\theta + d_{z}\cos\theta \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

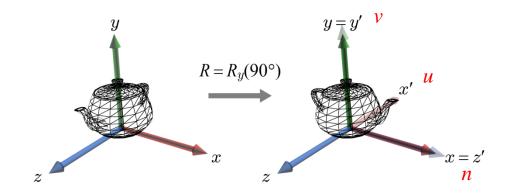
$$= \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & -7 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & -7 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



Rotation and Object-space Basis

- An object can be thought of as being stuck to its object space, i.e., each vertex of the object is fixed and immovable within the object space.
- Initially the object space can be considered identical to the world space.
- A rotation applied to an object defines its orientation, and obviously the orientation is described by the axes of the 'rotated' object space.



- In the above example, x', y', and z' are the object-space axes and x, y, and z are the world-space axes.
- Let us denote the unit vectors along x', y', and z' by u, v, and n, respectively: $\{u, v, n\}$ is the *basis* of the object space, describing the object's orientation.

Rotation and Object-space Basis (cont'd)

- In general, the world space is associated with the standard basis, $\{e_1, e_2, e_3\}$.
- Initially the object space is identical to the world space, but it is *rotated* (by R) to have the orientation $\{u, v, n\}$. Specifically, e_1 is rotated into u, and it is described as follows:

$$Re_1 = R \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} u_x \\ u_y \\ u_z \end{pmatrix}$$

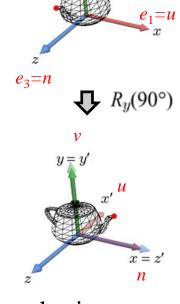
• Similarly, R transforms e_2 and e_3 into v and n, respectively:

$$Re_2 = R \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} \quad Re_3 = R \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} n_x \\ n_y \\ n_z \end{pmatrix}$$

• The above three are combined:

$$R\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} u_x & v_x & n_x \\ u_y & v_y & n_y \\ u_z & v_z & n_z \end{pmatrix}$$

$$R_y(90^\circ) = \begin{pmatrix} \cos 90^\circ & 0 \sin 90^\circ \\ 0 & 1 & 0 \\ -\sin 90^\circ & 0 \cos 90^\circ \end{pmatrix}$$
$$= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{pmatrix}$$



• R's columns are u, v, and n: Given a rotation matrix, the object-space basis with respect to the world space is immediately determined, and vice versa.

Inverses of Translation and Scaling

Inverse translation

$$\begin{pmatrix} 1 & 0 & 0 & -d_x \\ 0 & 1 & 0 & -d_y \\ 0 & 0 & 1 & -d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \iff \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 & -d_x \\ 0 & 1 & 0 & -d_y \\ 0 & 0 & 1 & -d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \iff \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \tag{(x,y,z)} \tag{(x,y,z)}$$

Inverse transform in inverse matrix

$$TT^{-1} = \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & -d_x \\ 0 & 1 & 0 & -d_y \\ 0 & 0 & 1 & -d_z \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = I$$

Inverse scaling

$$\begin{pmatrix} \frac{1}{s_x} & 0 & 0 & 0 \\ 0 & \frac{1}{s_y} & 0 & 0 \\ 0 & 0 & \frac{1}{s_z} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \iff \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Inverse Rotation



- Note that $\{u, v, n\}$ is an orthonormal basis, i.e., $u \cdot u = v \cdot v = n \cdot n = 1$ and $u \cdot v = v \cdot n = n \cdot u = 0$.
- Let's multiply R's transpose (R^T) with R:

$$R^{T}R = \begin{pmatrix} u_{x} & u_{y} & u_{z} \\ v_{x} & v_{y} & v_{z} \\ n_{x} & n_{y} & n_{z} \end{pmatrix} \begin{pmatrix} u_{x} & v_{x} & n_{x} \\ u_{y} & v_{y} & n_{y} \\ u_{z} & v_{z} & n_{z} \end{pmatrix}$$

$$= \begin{pmatrix} u \cdot u & u \cdot v & u \cdot n \\ v \cdot u & v \cdot v & v \cdot n \\ n \cdot u & n \cdot v & n \cdot n \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

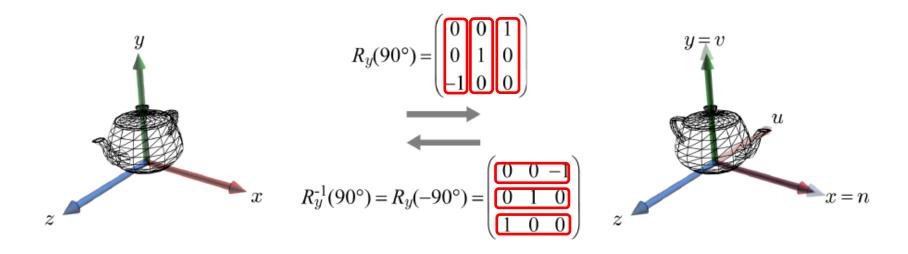
$$= I$$

- This says that $R^{-1}=R^T$, i.e., the inverse of a rotation matrix is its transpose.
- Because u, v, and n form the *columns* of R, they form the *rows* of R^{-1} .

Inverse Rotation (cont'd)



$$R_y^{-1}(90^\circ) = R_y(-90^\circ) = \begin{pmatrix} \cos(-90^\circ) & 0 \sin(-90^\circ) \\ 0 & 1 & 0 \\ -\sin(-90^\circ) & 0 \cos(-90^\circ) \end{pmatrix} = \begin{pmatrix} 0 & 0 & -1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$



Rotation - Summary



- What has been presented so far applies in general.
- Consider a rotation "about an arbitrary axis."
 - Suppose that its matrix R is obtained somehow. In fact, it is computable.
 - Then, the rotated object-space basis $\{u, v, n\}$ is immediately determined by taking the columns of R.
 - Inversely, if $\{u, v, n\}$ is known a priori, R is also immediately determined. Fig.
 - Of course, $R^{-1}=R^T$.

