

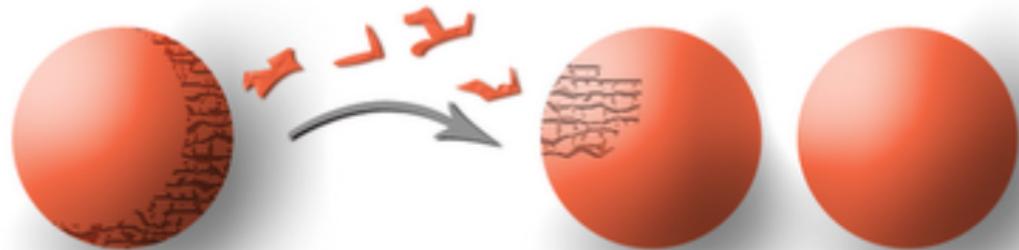
Imagining the Banach-Tarski Paradox

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University of North Florida

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Introduction



The Banach-Tarski Paradox: *A solid ball in 3-dimensional space can be split into a finite number of non-overlapping pieces, which can then be put back together in a different way to yield two identical copies of the original ball.*

¹Source: Wikipedia

Banach-Tarski in Pop Culture



Futurama June 23, 2011

Banach-Tarski in Pop Culture



XKCD Oct 11, 2010

Definition

Paradoxical

Let X be an infinite set and suppose $E \subseteq X$. We say that E is *paradoxical* if for some positive integers n, m there are pairwise disjoint subsets $A_1, \dots, A_n, B_1, \dots, B_m$ of E and corresponding permutations $g_1, \dots, g_n, h_1, \dots, h_m$ of X such that

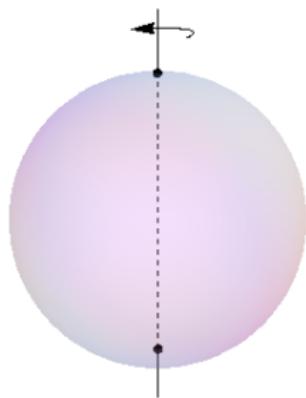
$$\bigcup g_i(A_i) = E \text{ and } \bigcup h_k(B_k) = E.$$

²The formal definition typically given involves that of a group G acting on a set X . To simplify the presentation for an audience of undergraduates, the definition was modified to remove references to group actions, and instead uses sets and permutations. It can be shown that if X is infinite, then it is paradoxical under the group of all permutations.

Example: Rotations by $\arccos \frac{1}{3} \approx 70.53^\circ$

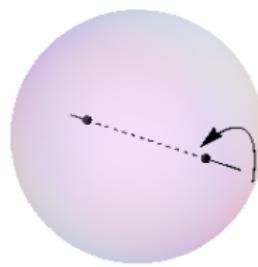
$$\sigma^{\pm 1} = \begin{pmatrix} \frac{1}{3} & \mp \frac{2\sqrt{2}}{3} & 0 \\ \pm \frac{2\sqrt{2}}{3} & \frac{1}{3} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

*Rotation by $\arccos \frac{1}{3}$
around z-axis*



$$\tau^{\pm 1} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{3} & \mp \frac{2\sqrt{2}}{3} \\ 0 & \pm \frac{2\sqrt{2}}{3} & \frac{1}{3} \end{pmatrix}$$

*Rotation by $\arccos \frac{1}{3}$
around x-axis*



Example: Rotations by $\arccos\frac{1}{3} \approx 70.53^\circ$

Why rotate by $\arccos\frac{1}{3}$?

- Angle is an irrational multiple of π
- Iterations of rotations take points to unique images
- Moreover, products of rotations take points to unique images

³Fixed points of rotations are addressed later on in the presentation.



A paradoxical set of rotations

F is Paradoxical with Respect to Itself

The set F of finite (reduced) products of the matrices σ , σ^{-1} , τ , and τ^{-1} is paradoxical.

$$\sigma^{\pm 1} = \begin{pmatrix} \frac{1}{3} & \mp \frac{2\sqrt{2}}{3} & 0 \\ \pm \frac{2\sqrt{2}}{3} & \frac{1}{3} & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \tau^{\pm 1} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{3} & \mp \frac{2\sqrt{2}}{3} \\ 0 & \pm \frac{2\sqrt{2}}{3} & \frac{1}{3} \end{pmatrix}$$

Examples:

$$\sigma\sigma^{-1} = \text{id}$$

$\sigma\sigma$

ΤΤσ

$$\sigma\tau\tau\sigma^{-1}\tau^{-1}\sigma\sigma$$

$$\tau^{-1} \sigma \tau \sigma \sigma \tau \sigma^1 \tau \tau$$

TTTTTTTTTTTTTTTTTTTTTTTTTT

A paradoxical set of rotations

$P(\sigma)$ σ	$P(\sigma^{-1})$ σ^{-1}	$P(\tau)$ τ	$P(\tau^{-1})$ τ^{-1}
$\sigma\sigma$	$\sigma^{-1}\sigma^{-1}$	$\tau\tau$	$\tau^{-1}\tau^{-1}$
$\sigma\sigma\dots$	$\sigma^{-1}\sigma^{-1}\dots$	$\tau\tau\dots$	$\tau^{-1}\tau^{-1}\dots$
$\sigma\tau$	$\sigma^{-1}\tau$	$\tau\sigma$	$\tau^{-1}\sigma$
$\sigma\tau\dots$	$\sigma^{-1}\tau\dots$	$\tau\sigma\dots$	$\tau^{-1}\sigma\dots$
$\sigma\tau^{-1}$	$\sigma^{-1}\tau^{-1}$	$\tau\sigma^{-1}$	$\tau^{-1}\sigma^{-1}$
$\sigma\tau^{-1}\dots$	$\sigma^{-1}\tau^{-1}\dots$	$\tau\sigma^{-1}\dots$	$\tau^{-1}\sigma^{-1}\dots$

- Sort products based on left-most rotation in product

A paradoxical set of rotations

$P(\sigma)$	$P(\sigma^{-1})$	$P(\tau)$	$P(\tau^{-1})$
σ	σ^{-1}	τ	τ^{-1}
$\sigma\sigma$	$\sigma^{-1}\sigma^{-1}$	$\tau\tau$	$\tau^{-1}\tau^{-1}$
$\sigma\sigma\dots$	$\sigma^{-1}\sigma^{-1}\dots$	$\tau\tau\dots$	$\tau^{-1}\tau^{-1}\dots$
$\sigma\tau$	$\sigma^{-1}\tau$	$\tau\sigma$	$\tau^{-1}\sigma$
$\sigma\tau\dots$	$\sigma^{-1}\tau\dots$	$\tau\sigma\dots$	$\tau^{-1}\sigma\dots$
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$\sigma\tau^{-1}\dots$	$\sigma^{-1}\tau^{-1}\dots$	$\tau\sigma^{-1}\dots$	$\tau^{-1}\sigma^{-1}\dots$

- Sort products based on left-most rotation in product
- Divide into two groups

A paradoxical set of rotations

$P(\sigma)$	$\sigma P(\sigma^{-1})$	$P(\tau)$	$\tau P(\tau^{-1})$
σ	$\sigma\sigma^{-1}$	τ	$\tau\tau^{-1}$
$\sigma\sigma$	$\sigma\sigma^{-1}\sigma^{-1}$	$\tau\tau$	$\tau\tau^{-1}\tau^{-1}$
$\sigma\sigma\dots$	$\sigma\sigma^{-1}\sigma^{-1}\dots$	$\tau\tau\dots$	$\tau\tau^{-1}\tau^{-1}\dots$
$\sigma\tau$	$\sigma\sigma^{-1}\tau$	$\tau\sigma$	$\tau\tau^{-1}\sigma$
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- Sort products based on left-most rotation in product
- Divide into two groups
- Apply σ and τ rotations

A paradoxical set of rotations

$P(\sigma)$	$\sigma P(\sigma^{-1})$	$P(\tau)$	$\tau P(\tau^{-1})$
σ	id	τ	id
$\sigma\sigma$	σ^{-1}	$\tau\tau$	τ^{-1}
$\sigma\sigma\dots$	$\sigma^{-1}\dots$	$\tau\tau\dots$	$\tau^{-1}\dots$
$\sigma\tau$	τ	$\tau\sigma$	σ
$\sigma\tau\dots$	$\tau\dots$	$\tau\sigma\dots$	$\sigma\dots$
$\sigma\tau^{-1}$	τ^{-1}	$\tau\sigma^{-1}$	σ^{-1}
$\sigma\tau^{-1}\dots$	$\tau^{-1}\dots$	$\tau\sigma^{-1}\dots$	$\sigma^{-1}\dots$

- Sort products based on left-most rotation in product
- Divide into two groups
- Apply σ and τ rotations
- Adjacent rotations cancel to yield paradoxical decomposition

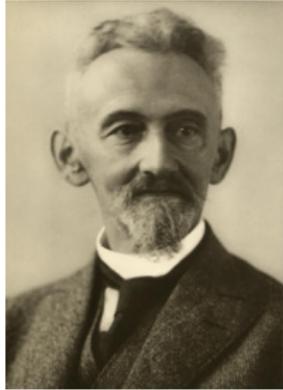
A paradoxical set of rotations

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$\sigma\tau\dots$	$\tau\dots$	$\tau\sigma\dots$	$\sigma\dots$
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$\sigma\tau^{-1}\dots$	$\tau^{-1}\dots$	$\tau\sigma^{-1}\dots$	$\sigma^{-1}\dots$

$$P(\sigma) \cup \sigma P(\sigma^{-1}) = F = P(\tau) \cup \tau P(\tau^{-1})$$

- Sort products based on left-most rotation in product
- Divide into two groups
- Apply σ and τ rotations
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The Hausdorff Paradox



Felix Hausdorff

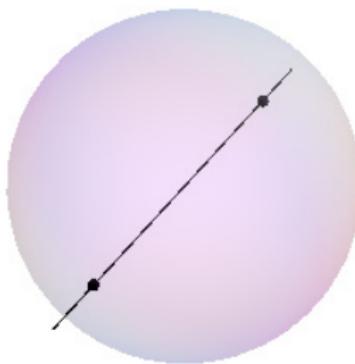
In 1914, Felix Hausdorff finds a way to leverage the paradox on F to a subset of the hollow sphere, S^2 .

He originally used a different set of rotations, but the idea is similar to what is presented here.

The Hausdorff Paradox

$S^2 \setminus D$ is Paradoxical (Felix Hausdorff, 1914)

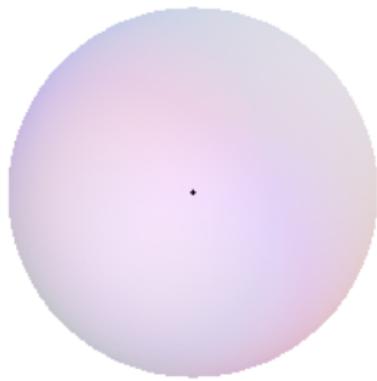
Let D be the set of all fixed points of the hollow sphere S^2 under the rotations in F . The set $S^2 \setminus D$ is paradoxical using four pieces.



Fixed points of a rotation: two points of intersection
between the axis of rotation and the sphere

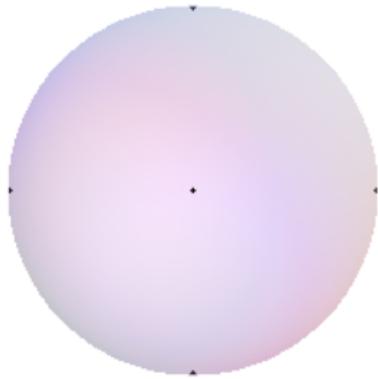
The Hausdorff Paradox

First we look at the image of a single point under rotations in F ,
the finite reduced products of σ , σ^{-1} , τ , and τ^{-1} :



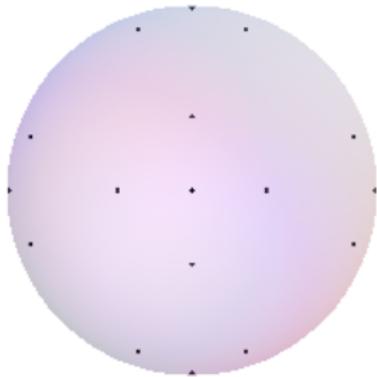
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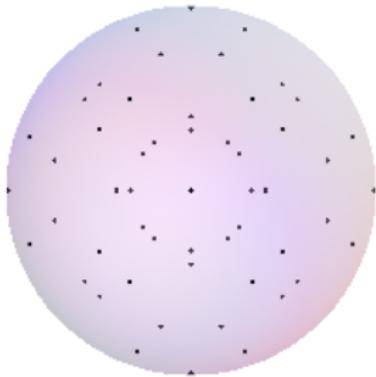
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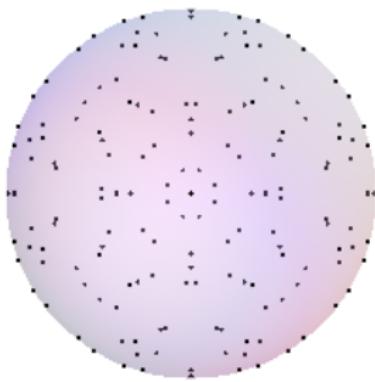
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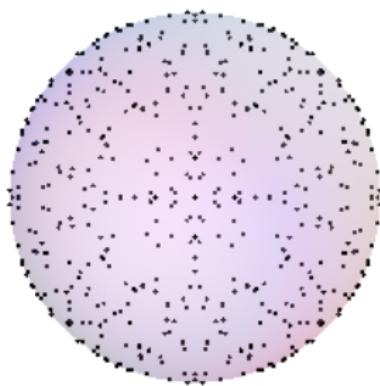
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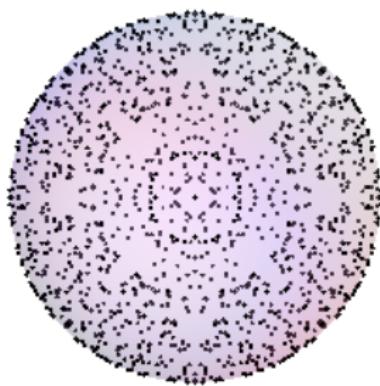
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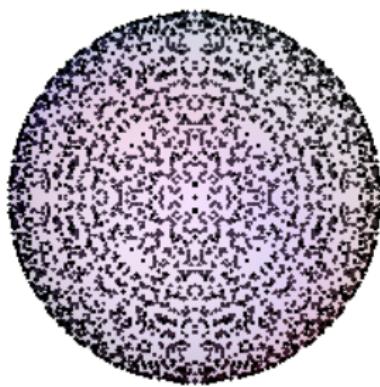
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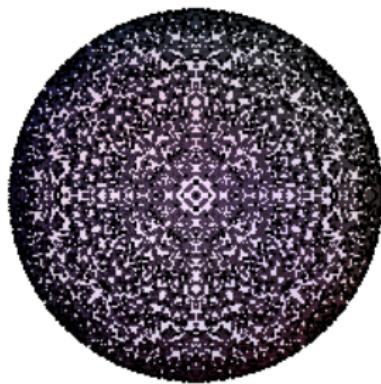
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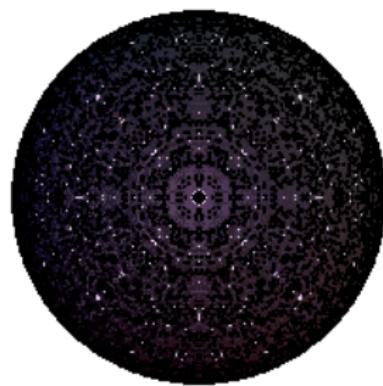
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The Hausdorff Paradox

How do we create a correspondence between a partition of $S^2 \setminus D$ and F ?

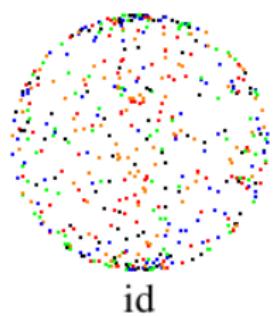
- Each image set is countable, since F is
- An uncountable number of these image sets partition $S^2 \setminus D$
- Using the Axiom of Choice, we create a choice set by choosing one representative from each image set in the partition
- The choice set is uncountable and, when rotated by elements of F , creates the correspondence we're looking for

The Hausdorff Paradox



The Hausdorff Paradox

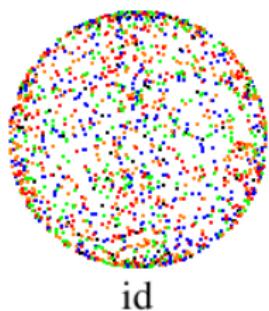
σ σ^{-1}



τ τ^{-1}

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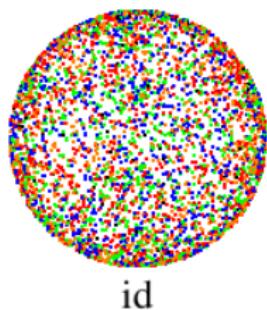
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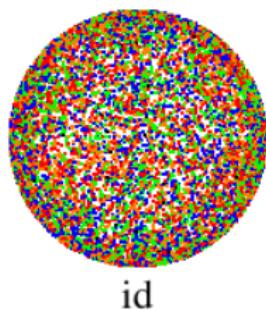
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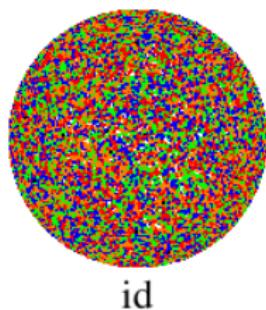
σ σ^{-1}



τ τ^{-1}

The Hausdorff Paradox

σ σ^{-1}



id

τ τ^{-1}

The Hausdorff Paradox

Piecewise Congruence

Piecewise congruent, $A \sim B$

Suppose $A, B \subseteq X$. Then A and B are *piecewise congruent* if for some positive integer n , there exists a

- partition of A , $\{A_i : 1 \leq i \leq n\}$
- partition of B , $\{B_i : 1 \leq i \leq n\}$
- set of rigid motions g_1, \dots, g_n of X

such that $g_i(A_i) = B_i$ for each $1 \leq i \leq n$. We write that $A \sim B$ if such a correspondence exists.

Piecewise congruence preserves paradoxes

If $A \sim B$ and A is paradoxical, then B is paradoxical.

⁴This definition is also referred to as *equidecomposable*.

“Filling in a Point”

$$S^1 \sim S^1 \setminus (1, 0)$$

The unit circle S^1 is piecewise congruent to $S^1 \setminus (1, 0)$.

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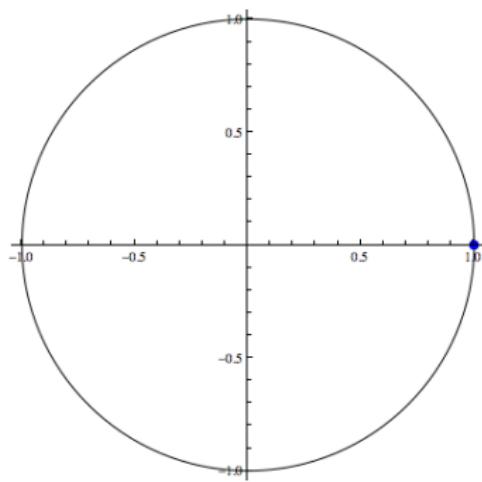
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Create partition set:

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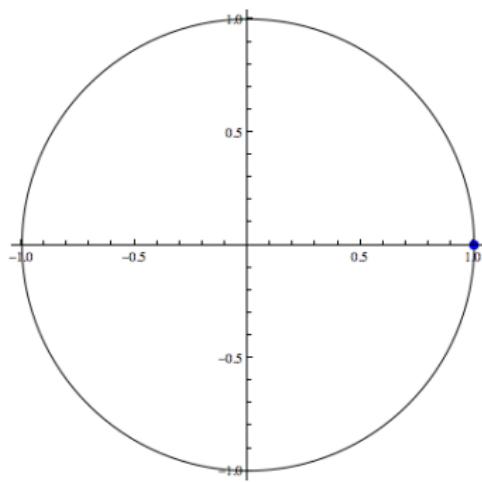
Create partition set:

- ➊ Isolate the “hole”

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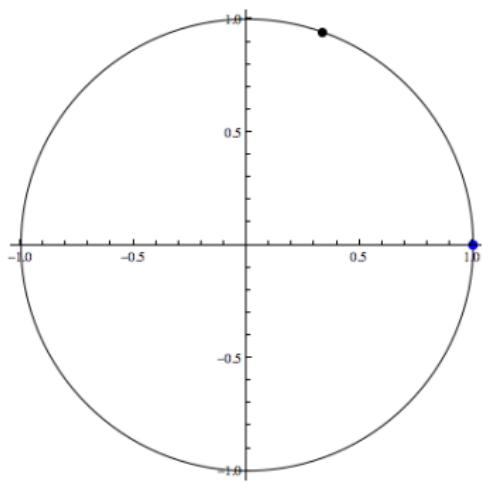
Create partition set:

- ① Isolate the “hole”
- ② Repeatedly apply rotation
 $\phi = \arccos \frac{1}{3}$ to the hole

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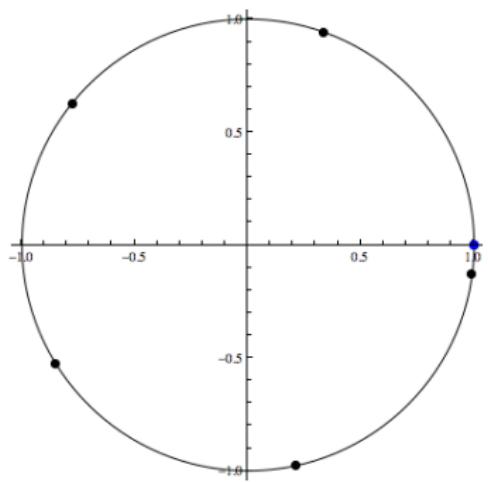
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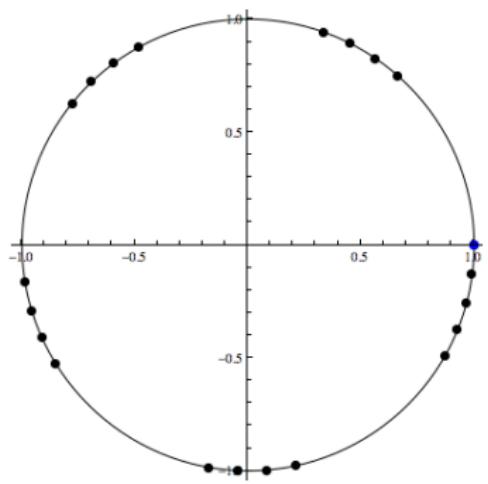
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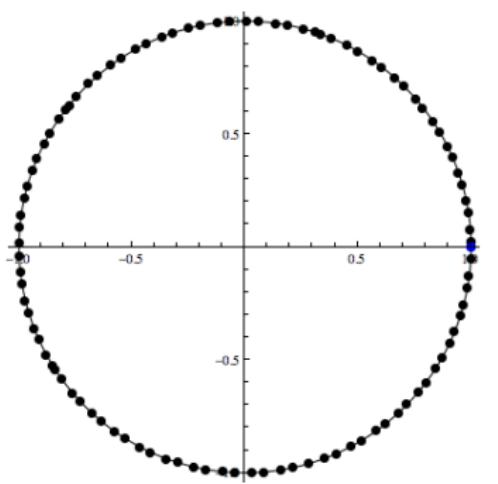
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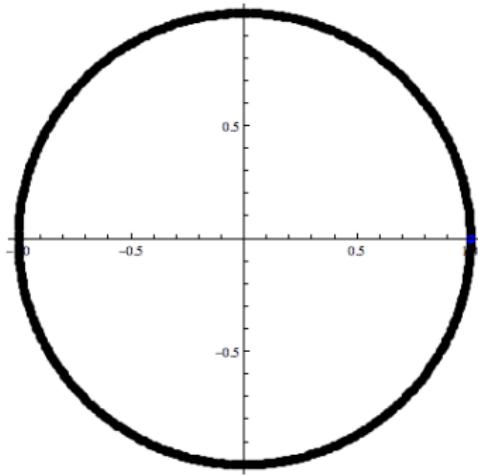
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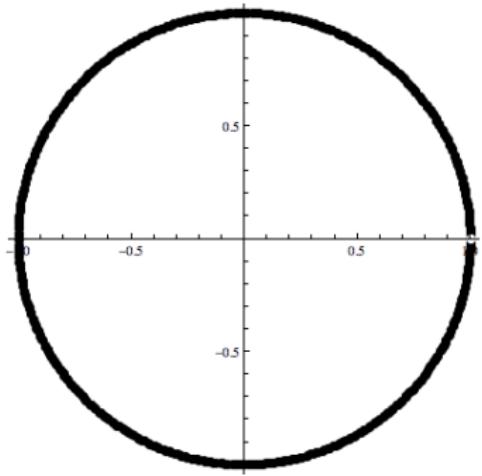
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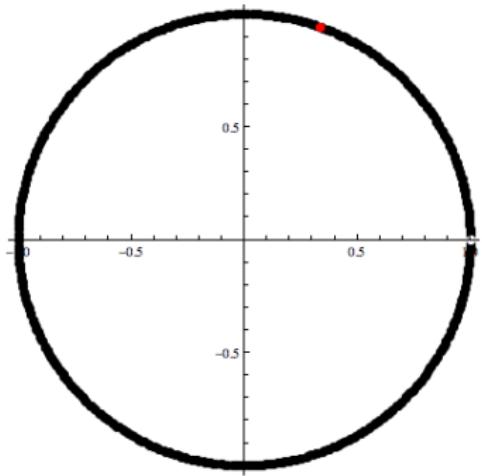
Create partition set:

- ➊ Isolate the “hole”
- ➋ Repeatedly apply rotation $\phi = \arccos \frac{1}{3}$ to the hole
- ➌ Look only at the image set of the hole, $\overline{(1, 0)}$

“Filling in a Point”

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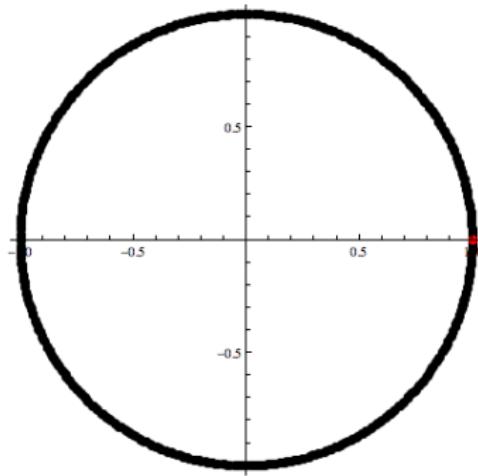
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- ➍ Apply inverse rotation, ϕ^{-1}

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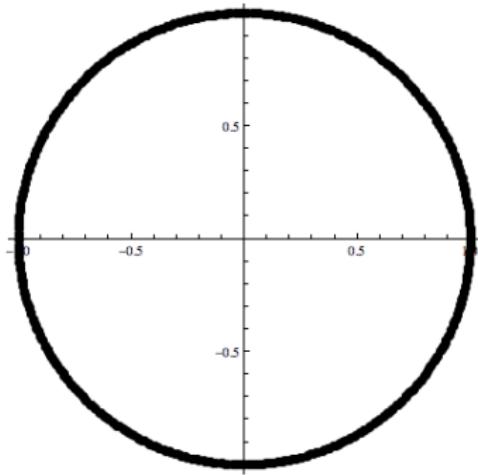
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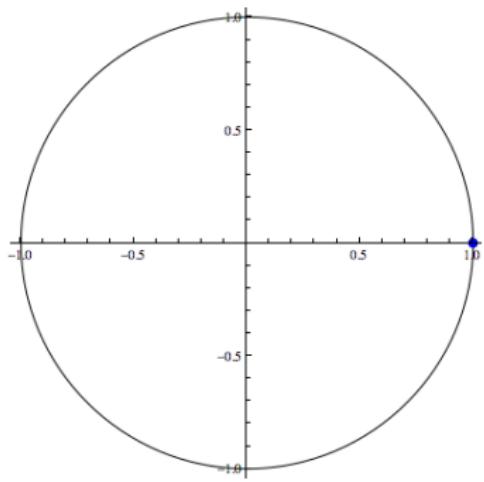
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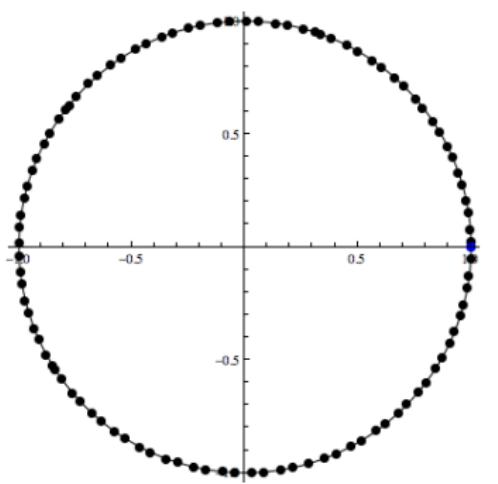
Piecewise congruent partition:



“Filling in a Point”

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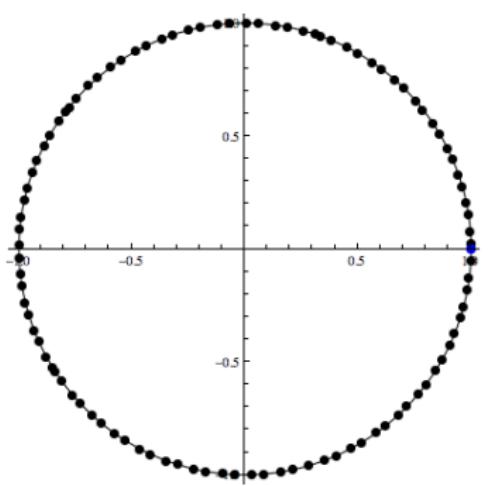
Piecewise congruent partition:

- The image set, $\overline{(1, 0)}$

“Filling in a Point”

$$S^1 \sim S^1 \setminus (1, 0)$$

The unit circle S^1 is piecewise congruent to $S^1 \setminus (1, 0)$.



Piecewise congruent partition:

- The image set, $\overline{(1, 0)}$
- The rest of the points,
 $S^1 \setminus \overline{(1, 0)}$

“Filling in the set D ”

$$S^2 \sim S^2 \setminus D$$

The unit sphere S^2 is piecewise congruent to $S^2 \setminus D$.

“Filling in the set D ”

$$S^2 \sim S^2 \setminus D$$

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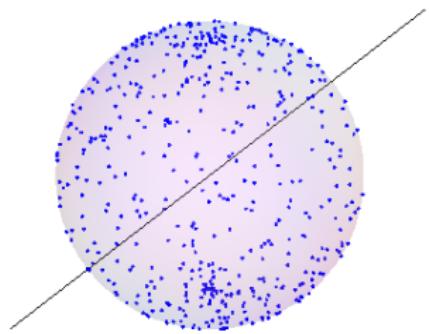
“Filling in the set D ”

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The unit sphere S^2 is piecewise congruent to $S^2 \setminus D$.

Create partition set:

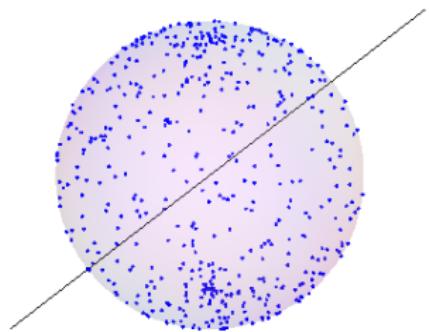
- ➊ Isolate the “holes,” D



“Filling in the set D ”

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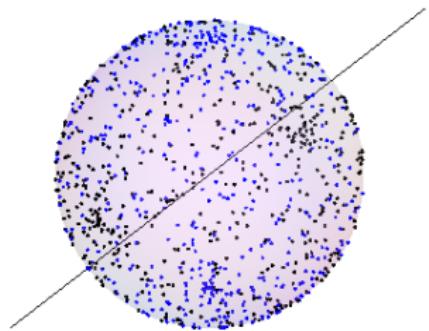
Create partition set:

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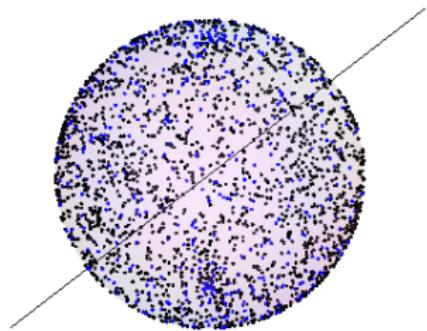
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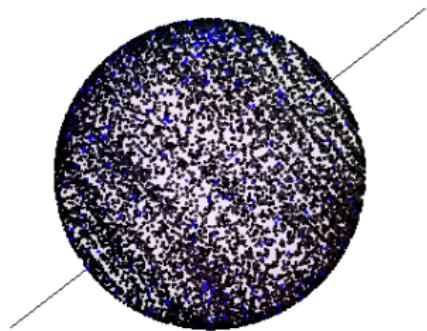
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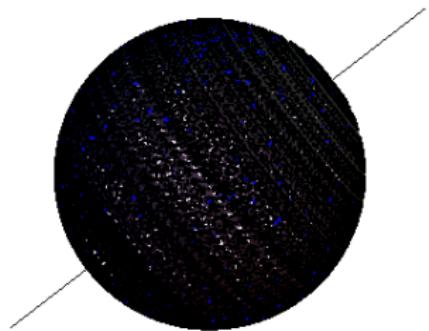
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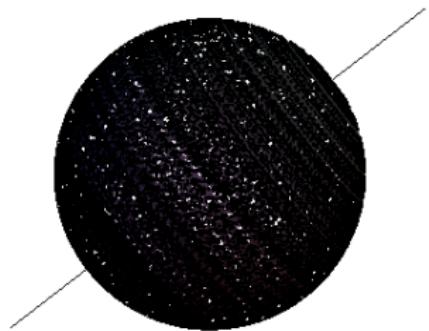
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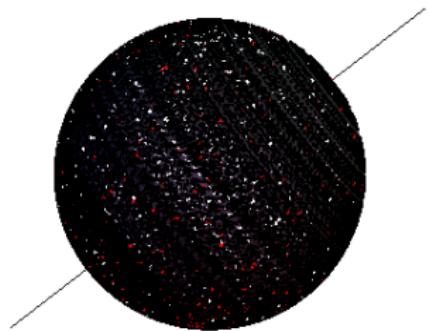
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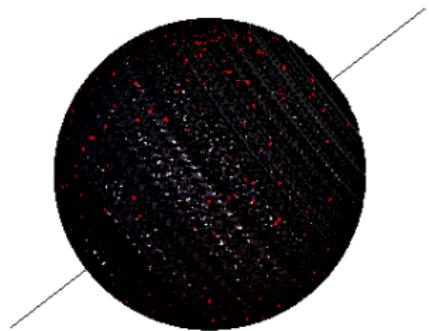
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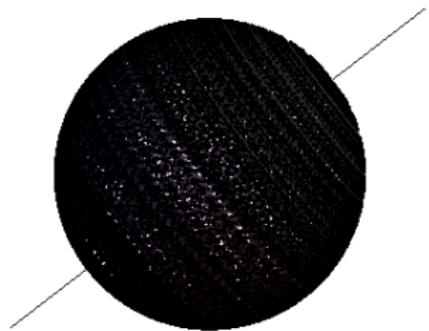
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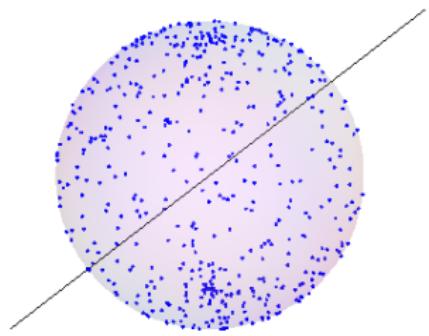
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Piecewise congruent partition:



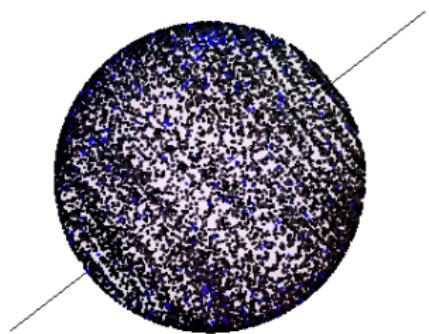
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Piecewise congruent partition:

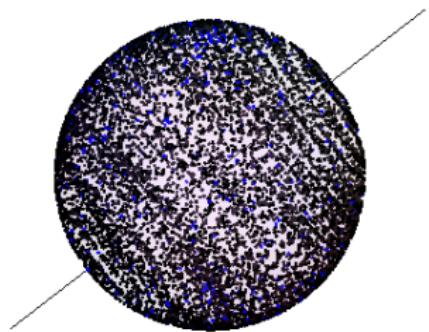
- The image set, \overline{D}



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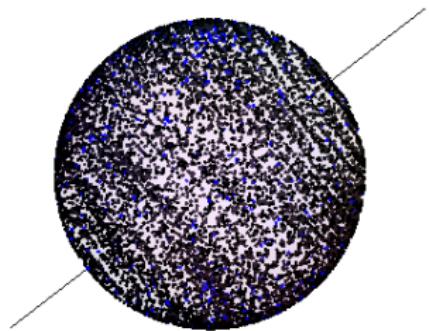
Piecewise congruent partition:

- The image set, \overline{D}
- The rest of the points, $S^2 \setminus \overline{D}$

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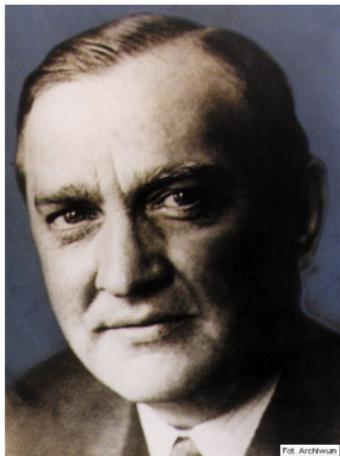


Piecewise congruent partition:

- The image set, \overline{D}
- The rest of the points, $S^2 \setminus \overline{D}$

By the Hausdorff Paradox,
and since piecewise congruence
preserves paradoxical
decompositions,
 S^2 is Paradoxical!

The Banach-Tarski Paradox



Stefan Banach



Alfred Tarski

The Banach-Tarski Paradox

$B \setminus (0, 0, 0)$ is paradoxical

The unit ball minus the origin $B \setminus (0, 0, 0)$ is paradoxical with respect to rotations in \mathbb{R}^3 .

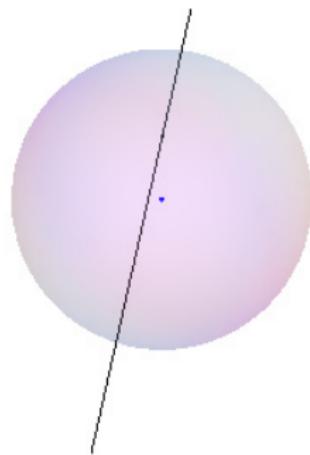
- Begin with the Hausdorff Paradox decomposition
- Use radial correspondence between S^2 and $B \setminus (0, 0, 0)$
- Apply the same rotations needed to create paradox with S^2

The Banach-Tarski Paradox

The Banach-Tarski Paradox

$$B \setminus (0, 0, 0) \sim B$$

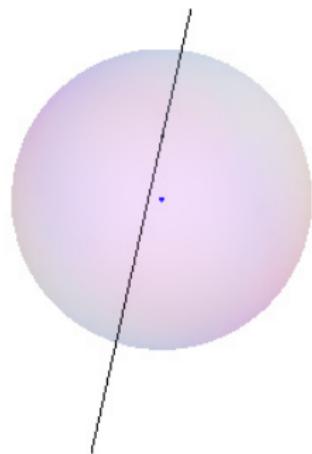
The unit ball minus origin $B \setminus (0, 0, 0)$ is piecewise congruent to B with respect to isometries of \mathbb{R}^3 .



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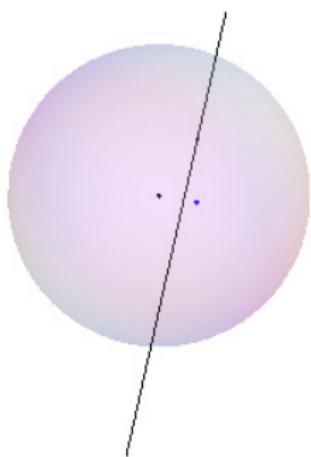


- 1 Isolate the “hole”

The Banach-Tarski Paradox

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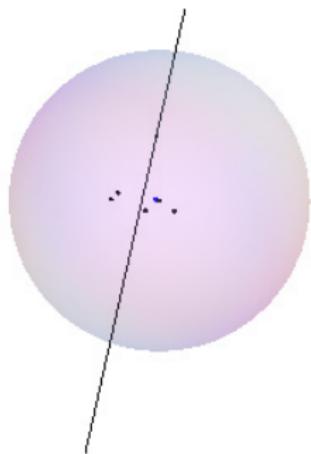


- ➊ Isolate the “hole”
- ➋ Repeatedly apply rotation ϕ around ℓ to the hole

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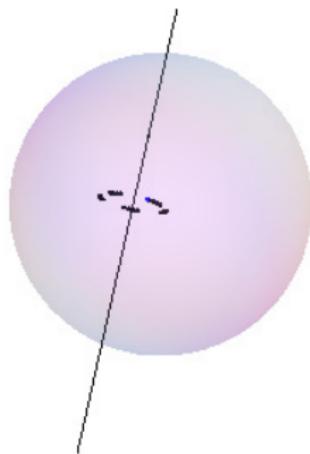


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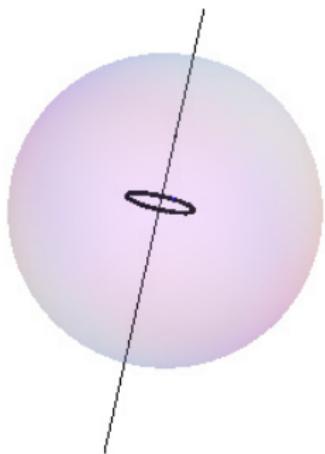


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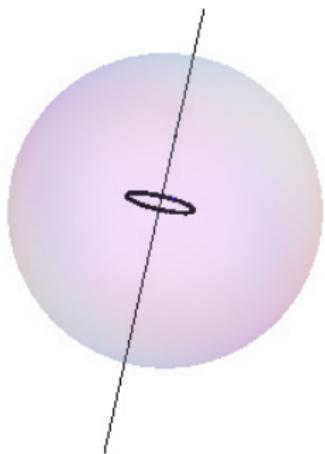


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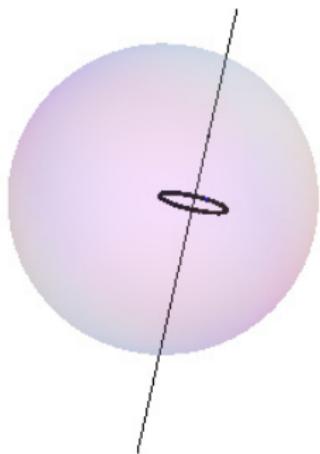


- ➊ Isolate the “hole”
- ➋ Repeatedly apply rotation ϕ around ℓ to the hole
- ➌ Look only at the image set of the hole, $\overline{(0, 0, 0)}$

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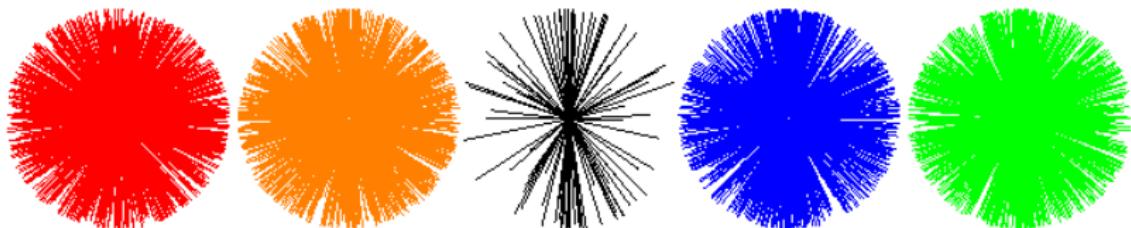


- ➊ Isolate the “hole”
- ➋ Repeatedly apply rotation ϕ around ℓ to the hole
- ➌ Look only at the image set of the hole, $\overline{(0, 0, 0)}$
- ➍ Apply inverse rotation, ϕ^{-1} to fill in the holes

The Banach-Tarski Paradox

The Banach-Tarski Paradox (*Banach and Tarski, 1935*)

The solid unit ball centered at the origin in \mathbb{R}^3 is paradoxical using isometries on \mathbb{R}^3 .



References

-  S. Wagon, *The Banach-Tarski Paradox*, Cambridge Univ. Press, Cambridge, 1993, pp. 1–28.