

enda item No.

To consider the amendment in Regulation-2 w.r.t. the pattern for RAT and procedure to admission in Ph.D Programme.

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REGULATION NO. 2

REGULATIONS FOR THE AWARD OF Ph.D. DEGREE

(Effective from Session 2013 onwards)

EXISTING	PROPOSED
<p>In exercise of the powers conferred by as per clause 18 of Amended Ordinance 12 governing programme leading to Degree of Doctor of Philosophy, G.G.S.Indraprastha University makes the following regulations namely:-</p> <p>1. Short title, Application and Commencement:</p> <ul style="list-style-type: none">(i) These regulations may be called Guru Gobind Singh Indraprastha University regulations 2010 for the award of Ph.D. degree.(ii) They shall apply to all the University School of Studies, Affiliated Colleges, Constituent College and University approved Research Centers(iii) They will come into force immediately from the date of notification on the University Web site.(iv) A Ph.D. Programme will be run by the concerned school of the University with the help of School Research Committee (SRC) and Board of Studies (BOS). <p>2. Eligibility criteria for Ph.D. supervisors:</p> <ul style="list-style-type: none">(i) Only Recognized Supervisor having a minimum of eight publications in International/National referred Journals and three years Research experience after Ph.D will be eligible to supervise Research Scholars of University School of Studies, Affiliated Colleges, Approved Research Centre and Constituent College (Annexure-III). The list of recognized Supervisors shall be notified by Director, Research & Consultancy (DRC).(ii) The Regular Faculty has to be approved as supervisor in the respective University School of Studies which is offering the course as per the nomenclature of the paper.(iii) The number of Doctoral students will be decided every year depending upon the available Faculty Supervisor, funds and Infrastructural facilities and will be notified by the University to a maximum of twice every year.	<p>In exercise of the powers conferred by as per clause <u>8 of Ordinance</u> 12 Governing Programme Leading to Degree of Doctor of Philosophy, G.G.S.Indraprastha University makes the following regulations namely:-</p> <p>1. Short title, Application and Commencement:</p> <p>NO CHANGE</p> <p>2. Eligibility criteria for Ph.D. supervisors:</p> <p>NO CHANGE</p>

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Procedure for admission:

- (i) Doctoral students will be admitted through a candidacy exam Research Aptitude Test (RAT) conducted by the University for all the candidates who have applied against an advertisement for admission in Ph.D Programme.
- (ii) The requirement of slots for Research Scholars for Ph.D Programme will be sent by the Dean of concerned schools to the office of DRC to a maximum of twice every year along with areas of Research. Dean of the concerned school shall also send the available slots of the Approved Research Centre, Affiliated Colleges/University Maintained Colleges and constituent colleges to finalize the available research slots.
- (iii) The DRC office will advertise the requirement in various leading newspapers along with the declaration of the date of test and also the number of fellowship allocated to various schools of the University for which criteria will be evolved. The suitable application form shall be designed by the office of the DRC. (Annexure-IV).
- (iv) The DRC office will be nodal point for the receipt of the application; no application will be entertained after the last date is over under any circumstances.
- (v) The DRC office will classify various applications received and school-wise process the applications. A copy of applications with the list of candidates shall be sent to different Schools of the University. Allocation of roll no notification and conduction of RAT shall be the joint effort of the DRC and COE.
- (vi) After the conduct of the test, result will be sent to DRC & School for further action. RAT shall be considered as a test for eligibility only to the respective schools. Each school will decide the number of candidates to be called for interview based on test result, availability of slots and the area in which the candidate wish to carry research work. Maximum number of candidates to be called for interview will be minimum two times and will not exceed eight times the number of slots available with the school.

3. Procedure for admission:

- (i) Doctoral students will be admitted through Research Aptitude Test (RAT) (except for foreign nationals). RAT will be a two stage process consisting of General Aptitude Test (GAT) and discipline-wise test, except for University School of Medicine & Para Medical Health Sciences (USMPMHS). For USMPMHS, RAT will consist of GAT, only. RAT will be followed by Interview.
- (ii) The requirement of slots for Research Scholars for Ph.D Programme will be sent by the Dean of concerned schools to the office of Research & Consultancy to a maximum of twice every year along with areas of Research. Dean of the concerned school shall also send the available slots of the Approved Research Centre, Affiliated Colleges/University Maintained Colleges and constituent colleges to finalize the available research slots.
- (iii) Advertisement to be made in leading news papers and on the University website after getting the number of slots available in each school by the office of R&C.
- (iv) Application forms to be received by the office of the DRC. School wise classification and counting of the forms received till last date will be made by the office of the DRC. The OMR for the application form will be designed by the COE in coordination with DRC.
- (v) After classification and counting, forms will be sent to the examination division.
- (vi) Admit card for the Research Aptitude Test (RAT) will be generated and sent to all the applicants. The syllabus for GAT to be decided by DRC. It will be a qualifying test and examination division will conduct it for all the applicants.
- (vii) Result of the candidates for GAT will be prepared by the examination division. This result will be made

This would include the slots in affiliated colleges/ approved research centres/university constituent colleges/university maintained colleges under preview of the concerned school. Number of scholars to be called for interview shall be approved by the SRC. The criteria laid down by the school for selection has to be approved by the Vice-Chancellor prior to the conduct of the interview to be organized by the respective schools of studies. The copy of the approved criteria should be sent to DRC office for records.

(vii) It shall be followed by an interview to be organized by the respective School of Studies, for University Schools/Approved Research Centers/ Affiliated Colleges/ Constituent Colleges by a selection committee duly approved by the Vice Chancellor. Prior to the interview a notification of the dates of the interview by displaying a list of candidates in alphabetical order will be done by the respective schools of studies. The selection committee shall be different for different type of Programmes.

(viii) Evaluation of candidate has to be done on the basis of the academic record of candidate and presentation in the interview.

(ix) Once the interview panel has interviewed the candidates and prepared the merit list, the consent of the prospective supervisor(s) concerned will be obtained in the form of Yes or No in order of preference. In case the consent of the supervisor is not accorded then the candidate will not be admitted for admission to the Ph.D Programme.

available to the schools, by the examination division, through the office of the DRC, for further necessary action.

(viii). Except for the University School of Medicine & Para Medical Health (USMPMHS), GAT will be followed by school wise discipline specific test and interview for the eligible candidates.

(a) Dean of the school will constitute a five member committee for the conduction of the discipline specific test for a school. The Dean to be the chairperson of the committee (hereafter, named the Ph.D. Admission Committee). Thus, other than the Dean, four members to be nominated from the faculty members who are recognized supervisors of the University by the Dean. The approval of Vice Chancellor needs to be taken for this committee. The committee will be responsible for deciding the structure of the question paper, setting of the question paper, conduct of the written examination, evaluation of the answer script and preparation of the merit list (discipline wise) of the written test. The responsibility of setting the question paper should lie with only one member of the Ph. D admission committee.

(b) Ph. D. admission committee will decide an appropriate cutoff on the result of GAT examination to call suitable number candidates for written examination. This list of qualified candidates may be uploaded on the University website by the individual schools.

(c) The syllabus for different disciplines of admission to be finalized by the SRC and BOS of the concerned school; and after approval of the Vice-Chancellor to be sent to the DRC for further processing, by the Dean of the school.

This information is to be provided to the student/applicant before examination.

- (d) Based on the result of the discipline wise written examination, maximum eight time candidates may be called for the interview. The interview to be conducted by Ph.D admission committee with all prospective guides shall be invited as observers in the interview.
- (e) The admission will be based on marks of the discipline wise written examination and marks obtained in the Interview. 50% weightage (out of 100 marks) will be given to each for final ranking. The Ph.D admission committee shall prepare the final merit list and communicate to the DRC for further necessary action.
- (f) Availability of the slots and specialization of the faculty should be taken care while preparing the final admission list. The candidates to be taken as a research scholar from the merit list sequentially. No merit list jumps are allowed.
- (ix) For the University School of Medicine & Para Medical Health Sciences, GAT will be the only test. The selection for registration of the Ph.D. candidates to be done using the following procedure:
 - i. Eight times the number of slots for Ph.D. to be shortlisted for the interview process, discipline wise.
 - ii. The interview committee consisting of five members (recognized supervisor) to be approved by Vice Chancellor and to be proposed by the Dean.
 - iii. The shortlisted candidates to be evaluated on the basis of Past Academic record (50% weightage out of 100) and interview (50% weightage)

	<p>out of 100). The SRC to formulate the guidelines for marks awarding for the academic record portion and to be approved by Vice Chancellor.</p>
(x)	<p>The final merit list of each school alongwith complete documentation and marks of the interview shall be sent to DRC for getting it approved by the Vice Chancellor for the grant of provisional admission to Ph.D Programme.</p>
(xi)	<p>The list of provisionally selected candidates for the admission to Ph.D programme shall be notified by DRC. The provisionally selected scholars have to fill the form (Annexure-V). The list of provisionally admitted scholars duly approved by the Vice Chancellor will be sent to the respective schools alongwith the name of Supervisor after the submission of the prescribed fee by the candidates as prescribed in Annexure-VI.</p>
(xii)	<p>Only predetermined number will be given the University Fellowship.</p>
(xiii)	<p>Reservation policy will be applicable as per University rules</p>
(xiv)	<p>The above provisions of entrance examination will not be applicable to students of foreign nationality provided they meet the requirement of equivalency of eligibility.</p>
4. Allocation of Supervisor and Joint Supervisor:	<p>The allocation of Supervisor will be done as per the rules laid down in Amended Ordinance 12 of IPV act 1998 leading to Ph.D. Degree. Joint Supervisor is allowed as per clause 8(b) of Amended Ordinance 12. Pre registration of the candidates shall be made as per the modified Ordinance 12.</p>
5. Course Work:	<p>After being admitted, each Ph.D. student shall be required to undertake course work for a minimum period of two semesters. The Ph.D. requires classroom courses, which are</p>
	<p>out of 100). The SRC to formulate the guidelines for marks awarding for the academic record portion and to be approved by Vice Chancellor.</p>
iv.	<p>The final result to be prepared by the interview committee and to be communicated to DRC for further processing for registration.</p>
(x)	<p>The final merit list of each school alongwith complete documentation and <u>marks shall be sent to DRC for</u> getting it approved by the Vice Chancellor for the grant of provisional admission to Ph.D Programme.</p>
(xi)	<p>The list of provisionally selected candidates for the admission to Ph.D programme shall be notified by DRC. The provisionally selected scholars have to fill the form (Annexure-V). The list of provisionally admitted scholars duly approved by the Vice Chancellor will be sent to the respective schools alongwith the name of Supervisor after the submission of the prescribed fee by the candidates as prescribed in Annexure-VI.</p>
(xii)	<p>Only predetermined number <u>may get the University fellowship.</u></p>
(xiii)	<p>NO CHANGE</p>
(xiv)	<p>The above provisions of entrance examination will not be applicable to students of foreign <u>nationals</u> provided they meet the requirement of equivalency of eligibility.</p>
4. Allocation of Supervisor and Joint Supervisor:	<p>NO CHANGE</p>
5. Course Work:	<p>After being admitted, each Ph.D. student shall be required to undertake course work for a minimum period of two semesters. The Ph.D. requires classroom courses, which are</p>

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normally completed in two semesters.

- (ii) The course work is divided into two categories:
- (a) Doctoral proficiency Study (Core Course): Mandatory for all the students admitted for the Ph.D. Programme in G.G.S. Indraprastha University. The subject in the common core of knowledge encompasses the Research methodology, the Philosophy of Science/ Technology/ Management/ Law and Research Ethics/ Technical writing. Core courses taken at GGSIPU must be passed with 50 % of total marks.

A student shall be required to have a minimum attendance of 70% or more in the aggregate of all the courses taken together in a semester. Student who has been detained due to shortage of attendance shall not be allowed to be promoted to the next semester and he/she will be required to take re-admission and repeat all courses of the said semester with the next batch of students. Director, Research and Consultancy shall announce the names of all such students who are not eligible to appear in the semester-end examination, at least 4 calendar days before the start of the semester-end examination and simultaneously intimate the same to the Controller of Examinations. In case any student appears by default, who in fact has been detained his/her result shall be treated as null and void.

- (b) Directed Study: On the recommendation of respective SRC, the Directed Study Courses requirements shall be finalized (0-9 credits). The courses may be offered in the USS/constituent colleges/ Approved research Centers depending upon the feasibility and shall generally be conducted on Saturday and Sunday barring gazetted Holidays at each semester.

(A) Doctoral proficiency Courses:

- (i) Research Methodology (I) ----- 3 Credit
- (ii) Research Methodology (II) ----- 2 Credit
- (iii) Research Ethics and Technical writings ----- 2 Credit
- (iv) Philosophy of Science & Technology/

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<p>Management/ Law/Medicine ----- 2 Credit</p> <p>Course work for (A) above shall be conducted by DRC in consultation with the schools offering that course. The teaching will be carried on weakened and examination will be conducted by COE. The syllabus of the courses shall be approved by respective BOS of the school offering the course and the Academic Council.</p>	<p style="text-align: right;">(31)</p> <p>NO CHANGE</p>
<p>(B) Directed Study Courses (Special Elective Courses):</p>	<p>(B) Directed Study Courses (Special Elective Courses):</p>
<p>(a) The School Research Committee shall meet within 4 weeks from the date of communication of provisional registration of the candidate to prescribe directed study course work for the research scholar with appropriate justification. The directed courses for Ph.D scholars are to be decided by the supervisor and SRC and syllabus for directed courses to be approved by the SRC, BOS and by the sub-committee of the academic council, along with the teachers for directed courses. (Annexure-VII)</p>	<p>(a) NO CHANGE</p>
<p>(b) A minimum of 0 course to three courses with 3 credit each relevant to the area of research shall be recommended by the School Research Committee for individual Scholar.</p>	<p>(b) NO CHANGE</p>
<p>(c) If any course specific to the area of research has to be newly designed, then such course shall be formulated as a special elective to be covered in not less than 42 contact hours of instruction and the course syllabus shall be designed by the SRC and got approved by the B.O.S. These courses shall be of advance level. If access to research courses is limited and makes it difficult for the candidate to assemble a course Programme which complements work with the thesis within a reasonable time scale, an individual study syllabus may be approved by BOS as part of the organized academic course work component. Assessment will normally be based on written work.</p>	<p>(c) NO CHANGE</p>
<p>(d) Directed Study Courses recommended by the School Research Committee may be offered by the Course Instructor - Supervisor/Expert in the respective school/ Constituent college/Approved Research Centre/University notified Research Centre(s). Students with special elective</p>	<p>(d) NO CHANGE</p>

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	courses, the approved syllabus along with the name(s) of the instructor should be sent to the office of the DRC for records.	
(e)	The question paper for the Internal Assessment and the final semester examination in respect of Directed Study Courses may be set by the Course Instructor.	(e) NO CHANGE
(f)	The Semester Examination for the Directed Special Courses should be conducted in the Respective Schools/ Constituent College/ Approved Research Centre.	(f) NO CHANGE
(g)	The evaluation of answer scripts of the Direct Study Courses should be done by the Course Instructor. The pass marks would be 50% of the total marks for each course.	(g) NO CHANGE
(h)(i)	The final marks for Directed Study Courses out of 100 marks (Internal Assessment (50 marks) & End Semester Examination (50 marks)) may be sent to the office of the DRC & COE duly signed by the Course Instructor and Dean of the Concerned School for final notification of the result by C.O.E as per Annexure-VIII. The office of the DRC will be provided with complete documentation for completion of directed courses, along with approved syllabus, attendance and name of teachers and grades.	(h)(i) The final marks for Directed Study Courses out of 100 marks Internal Assessment (<u>40</u> marks) & End Semester Examination (<u>60</u> marks)) may be sent to the office of the <u>R&C</u> & COE duly signed by the Course Instructor and Dean of the Concerned School for final notification of the result by C.O.E as per Annexure-VIII. The office of the DRC will be provided with complete documentation for completion of directed courses, along with approved syllabus, attendance and name of teachers and grades.
(ii)	The notification of the course fee for the Direct Study Courses will be the responsibility of the concerned school. The fee has to be deposited within one month from the date of prescribing the Directed Study Course.	(ii) NO CHANGE
(i)	In this context, the course fee for the Direct Study Courses need not to be sent to the University if the course has to be conducted in any Institute. This examination amount may be paid to the concerned institution which is offering the course and the scholar is taking the Special Elective Courses (Directed Study). However, this is not applicable to University School of Studies and in this case the scholars have to pay the course fee to the University.	(j) NO CHANGE
(6)	Combined results of A & B will be sent to the respective school for display. Final registration of scholars should be initiated as per the amended Ordinance-12 by the respective schools on the recommendation of	(6) NO CHANGE

<p>DRC. Final list of scholars with the research topic shall be sent to DRC by the respective schools.</p>	
<p>(7) Duration of Course work:</p> <ul style="list-style-type: none"> (i) The prescribed course work shall normally be completed within one year from the date of provisional registration. The course work may be completed in four semesters or less. Exceptionally, one extra semester may be granted in deserving cases to complete the course work. This may be brought on record along with justification for granting extra semester. A student who does not complete the course work by the end of extended period is liable to have his or her admission to the Ph.D. programme cancelled. (ii) Only courses taken after the date of provisional registration shall count towards this requirement. Any courses already passed by the candidate prior to the registration shall not be counted for this purpose. (iii) Candidates with the M.Phil Degree in the Science and Humanities LLM in Law and Legal Studies may be exempted from the prescribed course work (B) if the M.Phil Degree is related to the proposed Ph.D. field of research and if duly recommended by the School Research Committee. However, the student has to pass Course (A). 	
<p>(8) Independent Research Scholars:</p> <p>Teachers on regular employment in this University who carry out doctoral research independently without the guidance of a Research Supervisor may be considered to become Independent Research Scholar subject to fulfilling the criterion of course work and have a teaching experience of five years and 5 independent Research publications in refereed Internationally Recognized Journals.</p>	
<p>(9) Recognized Supervisor of University/ Constituent Colleges/University Maintained Colleges/ Affiliated Colleges/ Approved Research Centre.</p> <ul style="list-style-type: none"> (a) The individuals mentioned in 2.(i). desirous of getting themselves recognized as Research Supervisors must apply to the University and seek recognition. The University has the right to accept or reject the applications of individuals for recognition as Research Supervisors without assigning any reason. 	

Such recognition shall normally be given only for the subject in which the individual has contributed original research and after getting the opinion of a School Research Committee nominated by the Vice-Chancellor. The action shall be coordinated by DRC. While applying for Recognized Supervisor the institute should pay application processing fee to be notified from time to time by the University, in the form of Demand Draft in favor of Registrar, G.G.S.Indraprastha University. The payment of processing fees will be given by the institute of the University Affiliated College and Approved Research Centers. The requirement of minimum eight publications and three years post Ph.D. experience for individual is mandatory.

(b) Further, a faculty member already recognized as a Research Supervisor in the field in which he/she has obtained his/her doctoral degree, but working as a regular member of the faculty in a different Department, can guide scholars for Ph.D. either in his/her field of Doctoral research or in the area of his/her specialization in the department where he/she serves without having to separately obtain recognition as a Research Supervisor for this purpose.

(10) Research in another related Discipline:

Candidates shall normally be eligible for registration for Ph.D. Programme in the discipline in which he/she has obtained Master's Degree. He / She shall be allowed to join for Ph.D. programme only in that discipline which is named after the subject of his / her Master's degree. It shall, however, be open to a candidate to apply for admission to a doctoral programme in another discipline related to the subject in which he/she has obtained Master's degree. In case the candidate is selected by the school and if the candidate registers for a Ph.D. in a relevant discipline different from his / her Master's degree, the candidate would be given Ph.D. Degree only in that discipline where he/she registers for the Ph.D. Programme. The guide should be a recognized guide in the area of research irrespective of the department he/she works. Since the title of the thesis is given in the Ph.D. Degree Certificate itself, the interdisciplinary nature of the research topic would become self-evident. Every school shall decide the relevant discipline/field of the Master's Degree for the admission to Ph.D Degree to the concerned school.

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- (a). Registration of any candidate under the supervision of his/her relative is prohibited.
- (b). A Scholar provisionally registered for the Ph.D. degree should not register for any other degree of any University either in formal programme or non-formal programme.

NO CHANGE

(12) Provision for change of Research Supervisor:

- (i) If a research scholar wants to change his / her supervisor for valid reasons, he/she shall write to Dean of the concerned school. The SRC may approve the request of the research scholar to change his/her Research Supervisor, which require to be approved by the Vice Chancellor.
- (ii) **The Maximum Time Period For Change Of Research Plan Topic/ Title Of Ph.D Programme**

"A Research Scholar, whose research plan and research title/ topic has been approved by the SRC and Board of Studies may get it modified/ changed within a maximum period of 12 months from the date of confirm registration. However, the modification will be carried out on the recommendation of Supervisor, SRC/ Board of Studies and with the approval of the Vice Chancellor on valid grounds, failing which the same may be considered as a fresh.

(13) Monitoring the Progress of Candidates:

(a) Discussions with Supervisors:

Each PhD scholar is required to meet his / her Supervisor(s) at least once in a week and report the progress about the research work done.

(b) Progress report:

Every six months, commencing from the date of initial registration, the candidate shall submit progress reports in the prescribed format to the Research Supervisor. The Research Supervisor shall arrange to make a presentation by the candidate of his/her work twice in a year before the School Research committee. A School Research Committee shall review the progress of the candidate,

(12) Provision for change of Research Supervisor:

NO CHANGE

(ii) The Maximum Time Period For Change Of Research Plan Topic/ Title Of Ph.D Programme

"A Research Scholar, whose research plan and research title/ topic has been approved by the SRC and Board of Studies may get it modified/ changed within a maximum period of 12 months from the date of final registration. However, the modification will be carried out on the recommendation of Supervisor, SRC/ Board of Studies and with the approval of the Vice Chancellor on valid grounds, failing which the same may be considered as a fresh.

(13) Monitoring the Progress of Candidates:

(a) Discussions with Supervisors:

NO CHANGE

(b)(i) Progress report:

In pursuance of Clause 9.9 & 9.10 of Ordinance every scholar shall submit six monthly progress report commencing from the date of final registration, in the prescribed format to the Research Supervisor. The Research Supervisor shall arrange to make a presentation by the candidate of his/her work twice in a year before the School Research committee. A School Research Committee shall review the progress of the candidate, and the Dean will

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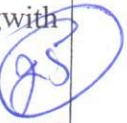
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	<p>and the Dean will send the minutes of the SRC meeting detailing the progress report to the Director Research & Consultancy (Annexure-IX). Non submission of progress reports by the student may lead to the cancellation of the registration of the candidate.</p>	<p>send the minutes of the SRC meeting detailing the progress report to the Director Research & Consultancy (Annexure-IX). Non submission of progress reports by the student may lead to the cancellation of the registration of the candidate.</p>
(c)	<p>Pre-Thesis Submission:- On the completion of research work, scholars will request to allow for submission of thesis on the recommendation of supervisor, subject to the condition that the scholar has got atleast two research publications in the topic of Ph.D. research work accepted/published in national/ international journals.</p>	<p><u>(ii) Six monthly progress report may be taken twice in a year of scholars who are finally registered. The scholars registered between January to July may submit their progress report in July – August and those scholars who have been registered between July to December may submit their progress report in December – January and accordingly.</u></p>
		<p>NO CHANGE</p>
(i)	<p>Dean will arrange the meeting of SRC, the candidate shall submit to the SRC through his supervisor(s), 8 copies of the Synopsis of his research work including bibliography (Annexure-X & XI), key word for synopsis submission (Annexure-XII) and make a presentation to SRC in presence of the supervisor..</p>	<p>NO CHANGE</p>
(ii)	<p>The SRC will approve a panel of at least six experts in the subject area of research work with preferably at least three experts from outside India.</p>	<p>NO CHANGE</p>
(iii)	<p>The panel of examiners as approved by SRC along with synopsis of the thesis will be sent to the Vice Chancellor through COE in a sealed cover and the Vice Chancellor will appoint the Board of Examiners for the thesis. The Vice Chancellor may add any other name(s) in the panel/ board.</p>	<p>NO CHANGE</p>
(iv)	<p>The name(s) of Research Scholars who have submitted the thesis and been awarded degree by the university shall be sent by COE to DRC for each case for records.</p>	<p>The name(s) of Research Scholars who have submitted the thesis and been awarded degree by the university shall be sent by COE to DRC <u>for record purpose.</u></p>
(14)	<p>Cancellation of Registration:</p> <p>The registration of a candidate shall be cancelled as per clause 17 of Amended Ordinance-12: Governing Programme</p>	<p>Cancellation of Registration:</p> <p>The registration of a candidate shall be cancelled as per clause <u>17 of Ordinance-12;</u> Governing Programme leading to Degree of</p>

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<p>leading to Degree of Doctoral of Philosophy and also not depositing the requisite annual fee alongwith continuation proforma. (Annexure-XIII)</p>	<p>Doctoral of Philosophy and also not depositing the requisite annual fee alongwith continuation proforma. (Annexure-XIII) </p>
<p>(15) <u>The Act of Plagiarism:</u></p> <p>In the case of research scholars who have copied a dissertation / thesis / book for Ph.D. degree his / her thesis shall be forfeited and his / her research registration shall be terminated in this university and also he / she shall be debarred to register for any other programme in this University.</p> <p>All such type of cases shall be referred to "University Ethical Committee" for appropriate recorded action.</p>	<p>NO CHANGE</p>
<p>(16) <u>Appointment of Supervisor(s)/Joint Supervisor(s)/Caretaker Supervisor (When original supervisor is not available):</u></p> <p>A faculty member appointed as a Ph.D. supervisor is normally expected to be available to a research student/candidate in the University /Constituent College/Approved Research Centers/Affiliated Colleges till the thesis is submitted. However, under unavoidable circumstances, such as-long leave; resignation; retirement; or death; a supervisor may not be available to the student/scholar. In such special cases, appointment of supervisor(s) will be regulated as under.</p>	<p>NO CHANGE</p>
<p>(A) Supervisor proceeds on long leave for less than 12 months:</p> <p>The supervisor proceeding on long leave for less than 12 months can continue to be supervisor/joint supervisor. However, on his/her recommendation, SRC may appoint a caretaker/joint-supervisor.</p> <p>(B) Supervisor proceeds on long leave of more than 12 months:</p> <p>(i) Where joint-supervisor (internal) exists</p> <p>The supervisor proceeding on long leave for more than 12 months can continue to be a joint-supervisor provided the SRC is convinced of effective supervision by him. SRC may appoint joint supervisor as supervisor or a new supervisor/joint</p>	<p>NO CHANGE</p>

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supervisor on the recommendation of joint-supervisor.

(ii) Where joint supervisor (internal) does not exist

(a) The supervisor proceeding on long leave for more than 12 months can continue to be a joint supervisor, provided the SRC is convinced of effective supervision by him. A supervisor/joint supervisor will be appointed by SRC and to be ratified by B.O.S..

(b) In case, the student has completed a period of more than 24 months but has not submitted synopsis for final submission of thesis, a joint-supervisor will be appointed by SRC and the original supervisor will continue.

(iii) Synopsis of the thesis/thesis is submitted:

(a) Provided, if the synopsis of the thesis has been submitted before the supervisor proceeds on leave, he/she will continue to be the supervisor and only a caretaker supervisor will be appointed by the SRC.

(b) The thesis has been submitted before the supervisor proceeds on leave, he/she will continue to be the supervisor and only a caretaker supervisor will be appointed by SRC.

(c) Further, if a major revision becomes necessary, and the sole supervisor is on leave, he/she should be asked to specifically state whether he/she would effectively help the student carrying out the major revisions within a reasonable time. In case the sole supervisor expresses his/her inability due to one reason or the other, the caretaker supervisor, if he/she provides the required help in carrying out the major revision, will automatically be treated as joint-supervisor of the candidate.

(iv) Supervisor extends Leave

Provided further, if a supervisor proceeds on leave for a period less than 12 months initially, but later extends his/her leave beyond 12 months, the above procedure will be followed. The extension granting authority will inform the Director Research and Consultancy accordingly.

(C) A Supervisor retires:

NO CHANGE

(C) A Supervisor retires:

G.G.S.I.P.U./JR (Coord.)
File/Dispatch No..... 443.....
21.3.13...

<p>A faculty member who is due to retire within the next two years can be appointed as a Joint-Supervisor and can continue to be the Joint-Supervisor even after his/her retirement provided the SRC is convinced of his/her availability/continued guidance to the student. In other cases, a faculty member on retirement may continue as (i) a Supervisor, if reemployed or appointed Emeritus Fellow; (ii) a Joint-Supervisor, if the synopsis of the thesis has been submitted. Appointment of another Supervisor, if necessary, will be as per rule 16(B)</p>	<p>A faculty member who is due to retire within the next <u>three</u> years can be appointed as a Joint-Supervisor and can continue to be the Joint-Supervisor even after his/her retirement provided the SRC is convinced of his/her availability/continued guidance to the student. In other cases, a faculty member on retirement may continue as (i) a Supervisor, if reemployed or appointed Emeritus Fellow; (ii) a Joint-Supervisor, if the synopsis of the thesis has been submitted. Appointment of another Supervisor, if necessary, will be as per rule 16(B)</p>
<p>(D) A Supervisor Resigns:</p> <p>A Supervisor/ joint-supervisor/ caretaker supervisor will be appointed, if necessary, as per rule 16(B).</p>	<p>NO CHANGE</p>
<p>(E) A Supervisor Dies:</p> <p>A new Supervisor will be appointed, if necessary, on the recommendation of SRC.</p>	<p>NO CHANGE</p>
<p>(17) The Dean should send the minutes of SRC for the appointment a new Supervisor/Joint Supervisor/Caretaker supervisor to DRC. If the supervisor cancels his/her long leave before his/her candidate(s) has been assigned to new supervisor, he/she will continue to be the supervisor.</p>	<p>NO CHANGE</p>
<p>(18) Leave Rules:</p> <p>(a) A fellowship holder scholar may be allowed casual leave up to 8 days per semester subject to the condition that such leave will not be allowed for longer than 5 days at a time. The casual leave cannot be combined with any other kind of leave other than the public holidays and casual leave cannot be carried over.</p> <p>(b) A student may be allowed leave during any period of University vacation up to a maximum of 10 days per annum on the recommendation of the supervisor to Dean of concerned school. Such leave not availed in one year may be carried over to the next year. The accumulated leave is limited to a maximum of 15 days.</p> <p>(c) Leave on medical grounds, duly supported by medical certificate may be granted to a student up to 7 days per semester.</p>	<p>NO CHANGE</p>

<p>9) For all practical purpose, the supervisor shall be responsible for the attendance, if required and progress of the work of students.</p>	<p style="text-align: right;">(22)</p> <p>NO CHANGE</p>
<p>(20) <u>THESIS SUBMISSION (Annexure-XIV & XV)</u></p> <ol style="list-style-type: none"> 1. Thesis should be type-written on good quality A-4 size paper in double space with sufficient margins. 2. Suitable reproduction of Indian-ink diagrams should be used. Photographs should be suitably mounted on the same quality paper as the thesis. 3. References should be given in a style in the text consistent with a standard journal in the field. 4. Three copies of the thesis in soft binding alongwith one copy on 3.5" floppy for record must be submitted to COE for evaluation. In case of a candidate being supervised by more than one supervisor, appropriate number of additional copies must be submitted. 5. The cover should have the following printed on it in block letters: 	<p>(20) <u>THESIS SUBMISSION (Annexure-XIV & XV)</u></p> <p style="text-align: right;">NO CHANGE</p>
<p style="text-align: center;">TITLE OF THESIS, BY NAME OF AUTHOR, NAME OF THE SCHOOL, Submitted in fulfillment of the requirement of the degree of Doctor of Philosophy to the</p>  <p>Guru Gobind Singh Indraprastha University Dwarka Campus, Sec-16-C, Delhi-110 075 Month & Year</p>	<p style="text-align: center;">TITLE OF THESIS, BY NAME OF AUTHOR, NAME OF THE SCHOOL, Submitted in fulfillment of the requirement of the degree of Doctor of Philosophy to the</p>  <p>Guru Gobind Singh Indraprastha University Dwarka Campus, Sec-16-C, Delhi-110 078 Month & Year</p>
<p>(21) <u>Final Submission :</u></p> <ol style="list-style-type: none"> 1. After the thesis have been submitted and conduct of viva viva-voce examination, two copies of the thesis in maroon colour hard cover binding must be submitted. The cover should have the material indicated in the item 5 above printed on it. Besides, the following should be printed on the spine of the thesis: <ul style="list-style-type: none"> (a) The year of publication at the top; (b) the author's last name in the middle; and (c) Ph.D. at the 	<p>(21) <u>Final Submission :</u></p> <ol style="list-style-type: none"> 1. After the thesis have been submitted and conduct of viva viva-voce examination, two copies of the thesis in maroon colour hard cover binding must be submitted. The cover should have the material indicated in <u>20.5</u> to be printed on it. Besides, the following should be printed on the spine of the thesis:

<p>bottom.</p> <p>2. The contents of the thesis should have the following format:</p> <p>(i) Inner cover page; (ii) Certificate of the Supervisor(s); (iii) Acknowledgements; (iv) Abstract; (v) Table of Contents; (vi) List of Figures/Tables; (vii) Body of the thesis; (viii) References; (ix) Appendices; and (x) Brief bio-data of the author.</p> <p>3. The inner cover page should read as follows:</p> <p style="text-align: center;">TITLE OF THESIS BY NAME OF AUTHOR, NAME OF THE SCHOOL Submitted in fulfillment of the requirement of the degree of Doctor of Philosophy to the</p>  <p>Guru Gobind Singh Indraprastha University Dwarka Campus, Sec-16-C, Delhi-110 078 Month & Year</p>	<p style="text-align: right;">(g1)</p> <p style="text-align: center;">NO CHANGE</p> <p style="text-align: center;">TITLE OF THESIS BY NAME OF AUTHOR, NAME OF THE SCHOOL Submitted in fulfillment of the requirement of the degree of Doctor of Philosophy to the</p>  <p>Guru Gobind Singh Indraprastha University Dwarka Campus, Sec-16-C, Delhi-<u>110 078</u> Month & Year</p>
<p>(22) Depository with University Library/Website.</p> <p>The examination branch shall send a soft copy of the Ph.D thesis to the University Resource Centre (URIC) within a period of thirty days, for uploading the same on the University website in the PDF format.</p>	<p style="text-align: center;">NO CHANGE</p>
<p>(23) Depository with UGC:</p> <p>Following the successful completion of the evaluation process and announcements of the award of Ph.D, the University shall submit a soft copy of the Ph.D thesis to the UGC within a period of thirty days, for hosting the same in INFLIBNET, accessible to all Institutions / Universities.</p>	<p>(23) Depository with UGC:</p> <p>Following the successful completion of the evaluation process and announcements of the award of Ph.D, <u>the office of R&C/ COE</u> shall submit a soft copy of the Ph.D thesis to the UGC within a period of thirty days, for hosting the same in INFLIBNET, accessible to all Institutions / Universities.</p>
<p>(24) Power to Modify:</p> <p>Notwithstanding anything contained in the above said regulations, Vice Chancellor reserves the right to add and modify any of the above said regulations from time to time.</p>	<p style="text-align: center;">NO CHANGE</p>

Submitted for deliberation and approval of the Academic Council

G.G.S.I.P.U./JR (Coord.)
File/Dispatch No.443.....
Date.....21.3.13.....

20

**Guru Gobind Singh Indraprastha University
Dwarka Campus, Sec-16-C, Delhi 110 078**

PROFORMA FOR RECOGNITION AS Ph.D. SUPERVISOR

Norms for Supervisor Recognition as per clause 8 of Amended Ordinance 12 of G.G.S.I.P.V. Act 1998.

“The Applicant for Supervisor recognition shall have a minimum of eight publications to his / her credit in referred International Journals with a minimum of 3 years teaching / research experience after obtaining Ph.D. Degree”

1. Name (in **Block Letters**) :
2. (A) School and Official Address :
- (b) Phone No. & E-Mail ID :
3. Date of Birth :
3. Designation :
4. Qualifications :
5. Area of Specialization :
6. i) Experience (No. of Years) :
 - (a) As Lecturer/ Assistant Professor : (From _____ to _____)
 - (b) As Reader/ Associate Professor : (From _____ to _____)
 - (c) As Professor : (From _____ to _____)
 - (d) Total Teaching : _____ Years _____ months
- ii) Industrial Experience : (From _____ to _____)
- iii) Scientific Experience : (From _____ to _____)
7. Membership in Professional Bodies :
8. Number of sponsored research projects
(As Principal Investigator give details separately) :
9. Research Guidance (No. of Thesis guided)
Master's/M.Tech/M.Phil. /Ph.D. guidance :
10. Publications (Please attach list of publications and reprints of at least eight publications published in refereed Journals) :
 - (a) Number of papers in Referred International Journals. :
 - (b) Number of Papers published in Refereed Conference Proceedings :
10. Details of recognition of your Organization

G.G.S.I.P.V. (Coord.)
File/Dispach No. 443
Date 21/3/13

by G.G.S.I.P. University for Conducting
Research (for approved research Centres):

11. Any other relevant information : (A)

Date :

Applicant Signature

Place :

This is to certify that the above mentioned information was considered (as by the School Research Committee in its meeting held on _____ (date of meeting) and was approved for consideration as a supervisor for Ph.D. work. The minutes of the meeting is annexed.

Date :

Place :

Signature Dean/ Director of the Institution/
Dean (with seal)

Encl : 1. List of publications along with reprints of eight publications:
2 Self attested copy of Ph.D. Degree

- Note: I)** The applications will be processed only if the enclosures mentioned above are properly attached.
- II)** The applicants are eligible for supervisor recognition is as per clause 8 and amendments of Ph.D. Ordinance 12 of G.G.S.I.P.V. Act 1998.
- III)** A copy of the Minutes of the SRC/BOS.

G.G.S.I.P.V. (Coord.)
File/Ref. No. 443
Date 21.3.13


GURU GOBIND SINGH INDRAPIRASTHA UNIVERSITY
DWARKA CAMPUS, SEC-16-C, DELHI-110
APPLICATION FORM FOR ADMISSION TO Ph. D PROGRAMME

*To be filled in by the Candidate in his/her own handwriting in capital letters

**Please attach attested copies of all the documents/certificates/testimonials.

Reg.No. _____
 (To be filled by office)

Tick any one (Full Time/ Part Time)
Full Time _____ **Part Time** _____

Photo Paste

1 Discipline of study/Area of specialization :

2(i) University School of Study/Constituent

College/Approved Research Centre/Affiliated College :

(ii) Subject Code/ Discipline Code :

3 Name of Applicant in full :

(in block letters as per matriculation certificate) (In English)

4 Father's Name :

5 Mother's Name :

6 Date of Birth :

(as per matriculation certificate)

7 Nationality :

8 Category : General /SC/ST/OBC/UR :

9(a) Correspondence Address with telephone no.. if any :

Phone No with STD Code : _____ Mobile No : _____

Email ID : _____

9(b) Permanent Home Address with telephone no :

Phone No with STD Code : _____ Mobile No : _____

10 Education Qualification(s):

Examination	Year of passing	Institution	University	Subject(s)	Marks obtained/ CGPA*	%age of marks (upto one decimal place)
10 th						
+2						
B.Sc/B.E./B.Tech						
M.Sc						
M.Phil/M.Tech						
Any other						

* If CGPA then conversion to percentage equivalence must be mentioned along with documentary Proofs

11 Whether qualified in GATE/JRF/ UGC
 (CSIR) :

If yes, GATE/JRF/UGC(CSIR) score : _____ Year of passing : _____

%age of M. Phill Degree : _____

12 Experience in chronological order starting from the latest:

S. No.	Name of organization with address	From	To	Total Period

List of Enclosures:
1 B.Sc./B.Tech/B.E. Examination certificate indicating the detail marks Yes/ No

2 M.Sc. (as applicable) Examination certificate indicating the detail marks Yes/ No

3 M.Tech /M.Phil (as applicable)) Examination certificate indicating the detail marks Yes/ No

4 GATE/JRF / UGC (CSIR)qualifying certificate Yes/ No

5 Xth Certificate/Degree (for date of birth proof) Yes/ No

UNDERTAKING BY THE APPLICANT

I clearly understand that my admission to Ph.D. Programme in the School _____ is SUBJECT TO THE RULES AND REGULATION OF GGSIU University.

I also understand that the admission is being allowed to me on the basis of the information furnished by me. In case any information/particular is found false/wrong at any stage. GGSIU University can cancel my admission and all the fees deposited by me shall be forfeited. In such case, I shall have no claim, whatsoever, in respect of my admission.

Date: _____

Place: _____

(Full Name): _____

Full Signature of the Candidate: _____ Coord.)

FOR OFFICE USE ONLY

G.O. _____

File/Date _____

Date.....21.2.13.....Coord. _____

FOR OFFICE USE ONLY

To filled by Candidate Only)

(17)

1 Name _____

2 Father's Name _____

3 Address _____

Photo Paste

Signature

4 Centre of Examination or Centre Code _____
(To be filled by office)

5 Examination roll No. issued by the University for RAT Examination : _____
(To be filled by office)

(Signature of COE)

FOR OFFICE USE ONLY (Duplicate)

(To filled by Candidate Only)

1 Name _____

2 Father's Name _____

3 Address _____

Photo Paste

Signature

4 Centre of Examination or Centre Code _____
(To be filled by office)

5 Examination roll No. issued by the University for RAT Examination : _____
(To be filled by office)

(Signature of COE)

ACKNOWLEDGEMENT

Received application for Ph.D Programme in (Name of the school) _____ from
(Name) _____ on _____

Registration No. _____

(Signature of the candidates)

(Signature of the Authorized person)

G.C
File/Date
21/3/13
(Coord.)
443
21/3/13

**GURU GOBIND SINGH INDRAJAPRASTHA UNIVERSITY,
DWARKA CAMPUS, DELHI - 110 078,
WEBSITE: <http://ipu.ac.in>**

APPLICATION FORM FOR PRELIMINARY REGISTRATION IN THE Ph.D PROGRAMME

1	Academic Session:		Attach the Photograph					
	<input type="text"/>	<input type="text"/>						
2	Full Time:	<input type="checkbox"/>	Part Time:	<input type="checkbox"/>				
3	Roll No. (For Office use only): _____							
4	Broad Discipline: _____							
5	Name of the School/ ARC/ Affiliated Institute: _____							
6	Name of the Supervisor's with Ref. No. _____							
7	Name of the Research Scholar (In Capital Letters): _____							
8	Address of the Research Scholar: _____ _____							
9	E Mail Id: _____							
10	Contact No. _____							
11	Father's/ Husband's Name: _____							
12	Mother's Name: _____							
13	Date of Birth: _____							
14	Category:	Gen:	<input type="checkbox"/>	<input type="checkbox"/>	SC: <input type="checkbox"/>	<input type="checkbox"/>	S T: <input type="checkbox"/>	<input type="checkbox"/>
15	Details of the Academic Qualifications & Experience, if applicable, on the basis of which admission is being sought:							
(a) Academic Qualifications (Attach Documentary Evidence(s)):								
S. No.	Examination	School/ College/ University	Examination Passed (Subjects)		Year of Passing	%age of marks secured/ CGPA		
1	Secondary							
2	Sr. Secondary							
3	Graduation							
4	Post Graduation							
5	Others							

(b) Qualified NET(JRF)/ GATE (Yes/No): With Details: _____

(c) Details of the Teaching/ Research Experience (Attach Documentary Evidence (s))

1 _____

(Coord.)
File/Dispenser No. 443
Date 21.3.13

2

3

16

UNDERTAKING

I undertake that all the course work prescribed by the University for Ph.D Programme shall be successfully completed by me, I shall complete the minimum residency period as required by University.
I shall abide by all the rules and regulations of the University as in force from time to time.

Signature of the Research Scholar with Date

RECOMMENDATION OF THE DEAN

Recommended/ Not Recommended for _____
 Preliminary Registration for the Ph.D
 Programme _____

Signature of the Proposed Supervisor with Date

Signature of the Dean with Date

Signature of the DRC with Date

FEE STRUCTURE FOR PRELIMINARY REGISTRATION/ COURSE WORK

1	Preliminary Registration fees (This include the fee of the first year)	(₹) <u>10,000/- US\$ 1000/ 2000</u>
2	Fee for <u>Four Core Course</u> work (<u>of 9 Credits</u>)	(₹) <u>15,000/- US\$ 600</u>
	TOTAL	(₹) <u>.25,000/- or US\$ 1600/ 2600</u>
3	Fee receipt No. with Date:	_____

Signature of Verifying Officer
with date

G.G.S.I.T. (Coord.)
 File/Dispach no..... 443
 Date..... 21/3/13

CHECK LIST

(14)

- 1 Document(s) for Date of Birth/ Secondary School Certificate.
- 2 Sr. Secondary School Ceritificate.
- 3 Sr. Secondary Marks Sheet.
- 4 Graduation Marks Sheet.
- 5 Graduation Degree.
- 6 Post Graduation Marks Sheet.
- 7 Post Graduation Degree.
- 8 Certificate for SC/ST.
- 9 Certificate for Qualifying NET/GATE.
- 10 If approved for Part Time, copy of N.O.C from concerned Department.
- 11 Experience Certificate, If any
- 12 Document related to Research Work/Papers, if any.
- 13 Other Document(s)

(Signature of the Scholar with Date)

Address: _____

(Signature of the Verifying Officer with Date)

G.G.S.T. (Coord.)
File No. 443
Date 21.3.11

(13)

**GURU GOBIND SINGH
INDRAPRASTHA UNIVERSITY
FEE STRUCTURE FOR Ph.D REGISTRATION**
(Effective from the Academic Session 2013 Onwards)

Fee for the Ph.D Programme Fulltime/ Part-time/ Employees of the GGSIP University, Affiliated Colleges, Employees of Private/Non-Governmental Organizations/ Research Scholars getting Fellowships from AICTE, UGC, DST, CSIR, any State/ Central Government Organizations/ Institutions/ Industry sponsored.

For the Indian Scholars

1. Registration/ Provisional Registration Fee
(₹) 10,000/-
(This includes the fee for the first year).
2. (a) Annual fee should be paid in August for the scholars admitted between August to December.

(b) Annual fee should be paid in January for the scholars admitted between January to July.
(₹) 2,000/-
3. Fee payable at the time of Ph.D thesis submission.
(₹) 12,000/-
4. Fee for course work, per course.
(₹) 5,000/-

With the approval of the Vice-Chancellor, Ph.D scholar not receiving any financial assistance/fellowship/ salary may be exempted either fully or partially the 'Fee payable for Course Work'.

For the Foreign Scholars

1. Registration/ Provisional Registration Fee:
(i) For Developing Countries
US\$ 1000
(ii) For Developed Countries
US\$ 2000 (This includes the fee for the first year).
2. Annual fee should be paid in August for the scholars admitted between August to December.

(b) Annual fee should be paid in January for the scholars admitted between January to July.
(i) For Developing Countries
US\$ 500
(ii) For Developed Countries
US\$ 1000
3. Fee payable at the time of Ph.D thesis submission.
(i) For Developing Countries

NO CHANGE

NO CHANGE

NO CHANGE

NO CHANGE

NO CHANGE

4. Fee for course work, per course of 3 credits.
(₹) 5,000/-

To be deleted

NO CHANGE

NO CHANGE

NO CHANGE

NO CHANGE

G.G.S.I.P.U.
File/Date _____
Date _____
943
21.3.13

US\$ 500

(ii) For Developed Countries
US\$ 1000

4. Fee for course work, per course.
US\$ 200

The foreign scholars can make the payment of prescribed fees in US Dollar OR in Indian Rupees as per the current exchange rate of US Dollar duly certified by the concerned draft issuing bank on the day of the purchase of the bank draft.

Last date of submission of Annual Fee shall be 31st August (for the scholars admitted between August to December) or 31st January (for the scholars admitted between January to July), otherwise admission to Ph.D Programme stands cancelled.

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NO CHANGE

G.G.C - 2017 (Coord.)
File/No. 943
Date 21/3/15



OFFICE OF THE DIRECTOR (RESEARCH & CONSULTANCY)
Ph: 011-25302123

(DIRECTED COURSES)
(To be filled by individual scholar)

A. DETAILS OF THE SCHOLAR : Session _____.

1. Name of the Scholar : _____.

2. Pre Ph.D. Registration No : _____.

3. Address of the scholar with E-mail id &
contact No. & Mobile :

4.(a) University School in which the
candidate is registered : _____.

(b) Name of University School/ Constituent College/
Affiliated College/ Approved Research Centre : _____.

5. Category of registration (Full-Time/ Part-Time) : _____.

B. COURSE WORK TO BE REGISTERED IN THE CURRENT SEMESTER:

Sl. No	Course Code No.	Course Title	Credits	Signature of the Course Instructor
1				
2				
3				
4				

Signature of the Research scholar with date

G.G.S. (Coord.)
File/Date _____
Date _____ 21/3/13

Recommended and Forwarded

(10)

Give specific reasons for allowing the candidate to do advance level course work (if less than 9 credits) as approved by SRC:

Signature of the Dean with date

Approved / Not Approved

(For Official Use Only):

(DRC)

Specific remarks if any:

Note:

1. Research scholars should take Advance PG Level courses only
2. Special Elective (advance level course):
 - (i) If it is already approved course, Dean should furnish the course code number and copy of the minutes of the Board of Studies.
 - (ii) If it is a new course the Dean should get the approval for the syllabus by B.O.S. the academic council before registering for the course through the concerned Board of Studies
3. Research scholars should obtain permission only for the course to be registered during that semester.

G.G.S. (Examination Board.)
File/No. 443
Date 21.3.13



(a)

DIRECTED COURSES

Year :	
Enrolment No. :	
Ph.D (FT/ PT) :	

COURSE WORK – RESULTS

(For Research Scholars attended course work in University Schools)

Name of the Research Scholar : _____

Enrollment No. : _____

Name of the University School of Studies : _____

Name of the Course Instructor : _____

S. No.	Course Code	Title of the Course	Examination			Remarks
			Minor-I	End-Term Examination	Total (Out of 100)	
1.						
2.						
3.						
4.						

Signature of the Course Instructor

Signature of the Dean of USS

G.G.S.I.U. (Coord.)
File/Dis. 443
..... 26/3/13

PROFORMA FOR SIX MONTHLY PROGRESS REPORT OF Ph.D RESEARCH SCHOLAR

1. Name of the Scholar :
2. Enrolment No.:
3. Date of Preliminary Registration :
4. Date of Final Registration :
5. Name of the School :
6. Name of the Supervisor :
7. Topic of Research:

8. Period of Report: From: To

9. Research papers published/accepted for publication/communicated for publication (Details of authors, title, journal, volume, page number (Enclose the copies of Preprints) :

Date:**Signature of Scholar****Date:****Signature of the Supervisor**RECOMMENDATION OF SRC

The S.R.C of University School of
 held on (Date), in which scholar (Name)
 Enrolment No. presented six monthly progress report for the period from to

The SRC recommendation the following:

- (i) "X" grade (if the progress is satisfactory).
- (ii) "U" grade (if the progress is unsatisfactory and a warning would be issued to the candidate).

Date:**Signature of the Dean**

G.G.S.T.D. (Coord.)
 File/..... 443
 Date 21/3/13

(X)

Annexure-X

CHECK LIST WHILE SUBMITTING Ph.D. SYNOPSIS

- | | |
|--|--------|
| 1. Proforma for submission of Synopsis | YES/NO |
| 2. 8 copies of the Synopsis as per the norms of <u>GGS IP University Regulations</u> | YES/NO |
| 3. Panel of Examiners (both Indian and Foreign) with complete and correct postal address including Phone No, Mobile No, Fax No (if available) and correct E-mail ID (typed only) in a closed cover | YES/NO |
| 4. Copy of the Provisional Confirmation order | YES/NO |
| 5. Xerox copy of the journal /conference/ approved patent of the Scholar | YES/NO |
| 6. Synopsis Keyword format (typed only) | YES/NO |
| 7. Copy of the fee challan and Progress report for all the semesters till the submission of Synopsis | YES/NO |
| 8. Whether Synopsis submitted within the time duration | YES/NO |
| 9. If No, Extension of time obtained. | YES/NO |
| 10. Copy of the Extension order enclosed, if applicable | YES/NO |
| 11. Contact Phone No, Mobile No and E-mail ID of the Supervisor | YES/NO |
| 12. Copy of the circulars for the seminar presentations | YES/NO |
| 13. Covering letter duly signed by the Supervisor and forwarded through the Dean/ Director of the Centre | YES/NO |

Checked and found correct

Signature of the Supervisor

Signature of the Dean

G.G.S.I.P. (Coord.)

Page No. 443
Date 21.3.13



**GURU GOBIND SINGH INDRAPIRASTHA UNIVERSITY
DWARKA CAMPUS SECT-16-C, DELHI-110 078.
Website: www.ipu.ac.in**

PROFORMA FOR SUBMISSION OF SYNOPSIS

1. Details of the Research Scholar

- (a) Name :
 (b) Enrolment No. :
 (c) E-mail ID :
 (d) Contact Number :
 (e) Name of USS/ :

2. Registration Details

- (a) Category of registration : Full - Time/Part - Time
 (b) Date of provisional registration :
 (c) Whether provisional registration confirmed? : Yes/No

3. Name of the USS/ ARC/Institute
where the research is conducted :

- 4.(a) Supervisor's Name Contact No. & Email ID :
 (b) Joint Supervisor's Name (if any) Contact No. & Email ID :

- 5(a) Month and Year of Registration :
 (b) Period of break of study granted, if any :

- 6 (a). Date of final registration :
 (b). Date of completion of minimum period :
 (c) Date of completion of maximum period :
 (d) Extension of period approved (mention date) :
 (e) Date of submission of synopsis :

7. Annual Fee Payment Details:

Month and year							
Amount Paid							

8. Course Work Details:

Course Code	Course Title	Credits	Core Course / Elective/ Special Elective	Marks
Comprehensive Examination				Pass / Fail

9. Progress Report:

GURU GOBIND SINGH INDRAPIRASTHA UNIVERSITY (CONT'D.)
443
21.3.13



<u>Progress Report</u>	<u>1st</u>	<u>2nd</u>	<u>3rd</u>	<u>4th</u>		
<u>Period of Progress Report</u>						
<u>Date of presentation in SRC</u>						

10. Proof for the six monthly progress report presentations (attach the copy of SRC minutes) :

11. Publications Details:

<u>Journal name</u>	<u>Publication details (Author names/ Title/ Publication year/ Vol. No./ pages)</u>

Photo copy of the papers and proof for impact factor should be enclosed.

12. Whether synopsis submitted within the maximum duration : YES/NO

If No, copy of the Extension order should be enclosed:

Certify that the information furnished above are true and correct to the best of my knowledge.

Signature of the Scholar

Signature of the Supervisor

**Signature of the Joint Supervisor
(if applicable)**

(Signature of the Dean with Seal)

Enclosure: 1. Copy of SRC minutes for each progress report presentation are enclosed.
 2. Photocopy of reprints/ papers

(For Office use only)

Date of the Receipt in the DRC office :

Signature of the DRC with Date

G.C.S. (Coord.)
File..... 441.....
Date..... 21.8.13.....



**GURU GOBIND SINGH INDRAPIRASTHA UNIVERSITY
DWARKA CAMPUS SECT-16-C, DELHI-110 078.
Website: www.ipu.ac.in**

KEYWORD FOR SYNOPSIS SUBMISSION

Name of the Research Scholar :

Enrolment No. :

Name of the USS/ ARC/ Institute :

Name of the Supervisor :

Name of the Joint Supervisor (if applicable) :

Title of the thesis :

Broad area of research/ Key words
(Minimum five key words required) :

**Signature of the
Scholar**

**Signature of the Supervisor
Supervisor**

Signature of the Joint

(if applicable)

(Signature of the Dean with Seal)

Office Use Only -----

Date of the Receipt in the DRC office :

Signature of the DRC with Date

G.G.S.I.I.R (Coord.)
File/Disp. 443
Date 21.3.14



(3)

GURU GOBIND SINGH INDRAPIRASTHA UNIVERSITY
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Website: www.ipu.ac.in

Annexure-XIII

REGISTRATION CONTINUATION FORM
(Period: _____)

(i) Name in Block Letters	:	
(ii) Enrolment No.	:	
(iii) Month & Year of admission	:	
(iv) Date of joining	:	
(v) Name of the School	:	
(vi) Supervisor's Name	:	
(vii) Category of Registration	:	Full-Time / Part-Time
(viii) Date of Confirmation (final registration)	:	
(ix) Amount of payment of Fee	:	
(x) ₹: 2000/- US\$: 500 (for developing Countries) US\$: 1000 (for Developed Countries)	:	
(xi) Date of Payment of Fee	:	
(xii) Fee Receipt No.	:	

Date:

Place:

Signature of the Scholar

Signature of the Supervisor

(Signature of the Dean with Seal)

----- Office Use Only -----

Date of the Receipt in the DRC office

Signature of the DRC with Date

G.G.S.I.P.U.R (Coord.)
Prof.Dr. 443
Dated : 21.3.13



GURU GOBIND SINGH INDRAPIRASTHA UNIVERSITY
DWARKA CAMPUS SECT-16-C, DELHI-110 078
Website: www.ipu.ac.in

CHECK LIST WHILE SUBMITTING THESIS

- | | |
|--|--------|
| 1. 3 Copies of the Thesis prepared as per the guidelines of the GGS IP University | YES/NO |
| 2. Abstract/Summary– One copy each | YES/NO |
| 3. Whether Thesis submitted within the maximum duration | YES/NO |
| 4. If no, Extension of time obtained. | YES/NO |
| 5. Copy of the Extension order enclosed, if applicable | YES/NO |
| 6. Whether Thesis submitted within three months of Synopsis submission | YES/NO |
| 7. If no, a copy of extension by the Dean on the recommendation of SRC is enclosed | YES/NO |
| 8. Proforma for submission of Thesis | YES/NO |
| 9. No dues Certificate (original) (Annexure-XVI) | YES/NO |
| 10. Covering letter duly signed by the Supervisor and forwarded through the Dean/ Director of the Centre | YES/NO |
| 11. Details of the Fee deposited (Annexure-IV) | YES/NO |

Checked and found correct

Signature of the Supervisor

(Signature of the Dean with Seal)

----- Office Use Only -----

Date of the Receipt in the DRC office

:

Signature of the DRC with Date

G.G.S.I.U. (Coord.)
File/Disp. No. 443
Date 21.3.13

GURU GOBIND SINGH INDRA PRASHTHA UNIVERSITY**DWARKA CAMPUS SECT-16-C, DELHI-110 078.**Website: www.ipu.ac.in**PROFORMA FOR SUBMISSION OF THESIS****1. Details of the Research Scholar**

- (a) Name :
 (b) Enrolment No. :
 (c) E-mail ID :
 (d) Contact Number :
 (e) Designation :
 (f) Name of USS/ :

2. Registration Details

- (a) Category of registration : Full - Time/Part - Time
 (b) Date of provisional registration :
 (c) Whether provisional registration confirmed? : Yes/No

3. Name of the USS/ARC/Institute where the research is conducted :**4.(a) Supervisor's Name Contact No. & Email ID :****(b) Joint Supervisor's Name (if any) Contact No. & Email ID :****5(a) Month and Year of Registration :****(b) Period of break of study granted, if any :****6 (a). Date of final registration :****(b). Date of completion of minimum period :****(c). Date of completion of maximum period :****(d). Extension of period approved (mention date) :****(e). Date of submission of synopsis :****7(a) Date of SRC meeting for approval of synopsis :****(b) Date of submission of thesis :****8(a) Extension of time for Thesis submission beyond 3 months after the submission of synopsis (if any) copy of extension letter enclosed :****9. Fee payable at the time of submission of Thesis details :**

Amount (₹)	DD No./ University Fee Receipt No.	Date of Deposit of Fee	Bank Name Yes/No	Branch
(₹): 12,000/- US\$ 500/ US\$1000				

10. Whether No Dues Certificate is enclosed :

Certify that the information furnished above are true and correct to the best of my knowledge.

Signature of the Scholar**Signature of the Supervisor****Signature of the Joint Supervisor**

(For Office use only) Checked and Accepted

Date of the Receipt in the DRC office :**Signature of the DRC with Date**

G.G.S.I.P.II /JR (Coord.)
 File No. 443
 Date 21.3.13