

# MECHANICAL BEHAVIOUR [MLL251] OF MATERIALS

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# RACHIT DHAR

Quizzes - 3 (or 2), 15% weightage. No cheat sheet

Attendance is essential for scoring marks.

Tutorials & Assignments : 4 - 5 assignments (maybe more)

15% weightage

can use computers to solve

Minor - 20%

Major - 30%

cheat sheet

may be allowed (generally)

Or maybe not [ paper will be made easier without cheathheet ]

Term Paper - 20%.

↓ (Not like conventional term paper)

⇒ theme:

Materials Science & Society

(focusing on Mechanical Behaviour)

↓ make use of any of art, music, poetry, movies, ad, startup pitch, drama, etc.

Groups of around 5 people

↓ prepare group by around mid-August

Name the team as well  
(most likely are probably there for the name)

↓ create a report as well

## Textbooks:

1. Mechanical Metallurgy, G.E. Dieter ← known as Bible

These 2 are good

2. Mechanical Behavior of Materials by William H. Hosford

3. Mechanical behavior of materials : Meyers and Chawla

4. "Mechanical Behaviour of Engineering Materials - Metals, Ceramics,

Polymers, and Composites" by Joachim Köbler, Martin Bäker, Harald Harders

↓ short & concise

↓ but may require quite extensive time-to-understanding

↓ & starting on internet

↓ good for polymers & composites

## LECTURE - 1

1]

Why we study Mechanical properties of materials?

- I) Why does stuff fail?
- II) How does it fail
- III) What type of stuff fails?
- IV) Can we prevent it?

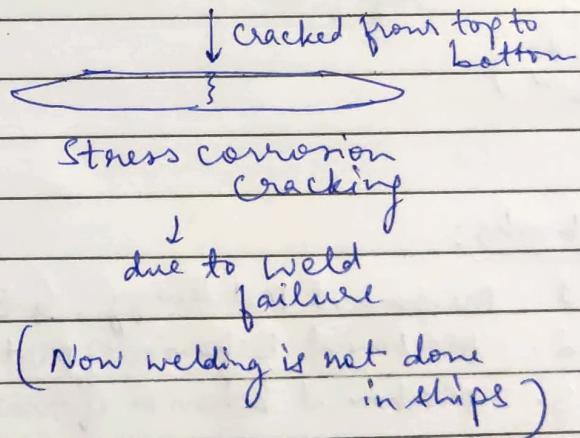
2] Atomic structure → Microstructure  
connect it to

For BCC materials

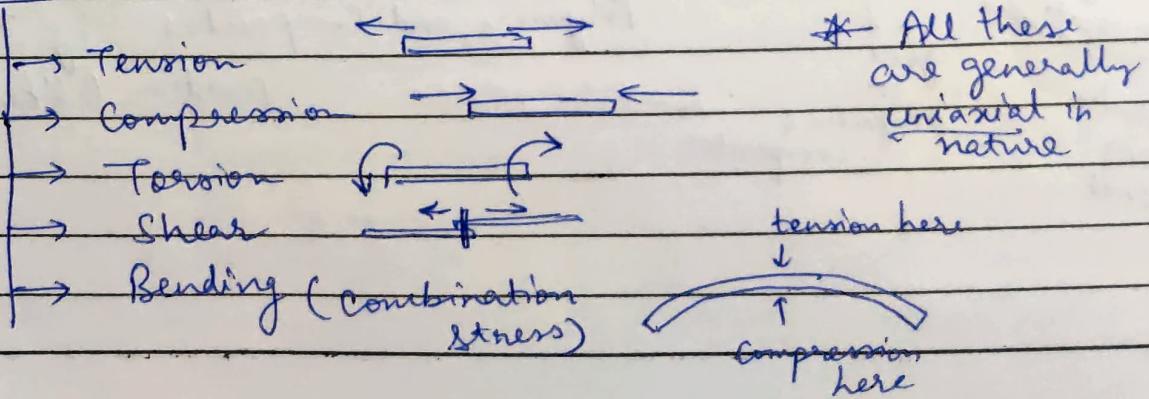
eg: Steel: At v. low temperatures ( $< -100^{\circ}\text{C}$ ) \*  
 loses the ability to plastically deform → ductile to brittle transformation occurs  
 can crack easily (e.g. Titanic)

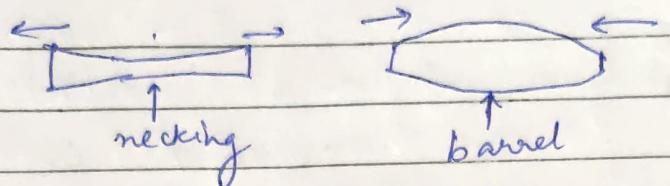
## LECTURE - 2

3] Types of Failures



4] Stress & Strain





} These are  
NOT ideal  
cases

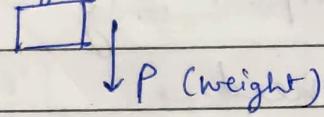
( In ideal, uniform change  
in thickness  
Should occur  
Everywhere, not just  
middle )

NOTE: In this course we'll most  
NOT deal with  
gradients

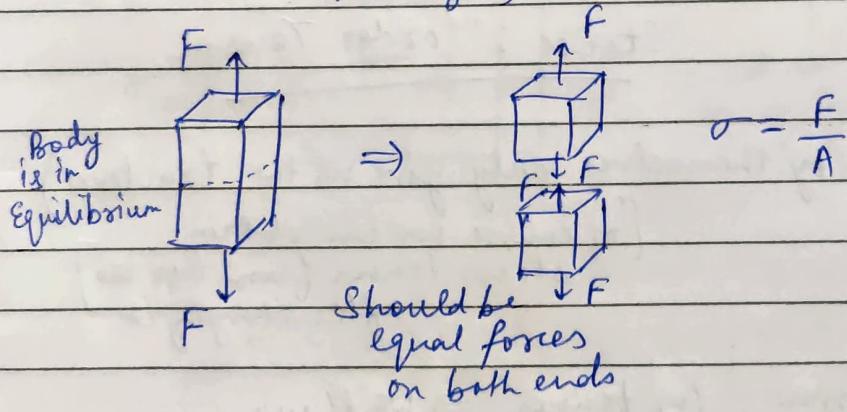
mostly homogeneous behavior will be discussed

## 5] Stress

$$\text{d} = 2r \quad A = \pi r^2$$



$$\text{Stress, } \sigma = \frac{P}{A} = \frac{P}{\pi r^2}$$

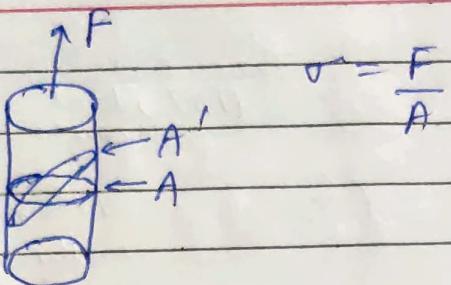


(∴ we are not accelerating, only internally forces should act)

## 6] Stress, $\sigma \rightarrow$ 2<sup>nd</sup> order Tensor

i.e. it is a specific form of  
coord. transformation  
relating 2 vector

\* When talking of Stress as a vector  $\Rightarrow$  referring to  
Stress Vector or Traction



When talking of stress vector, it is  $\frac{F}{A}$  and takes direction of force

(i.e. Traction for A is  $\frac{F}{A}$  along  $\vec{F}$   
and for A' is  $\frac{F}{A'}$  along  $\vec{F}$ )

So stress vector need NOT be  $\perp^{\circ}$  to plane.

\* But when we require force to be measured  
along dir<sup>n</sup>  $\perp^{\circ}$  to the area  
or parallel only

then we need  
Stress 2<sup>nd</sup> order Tensor

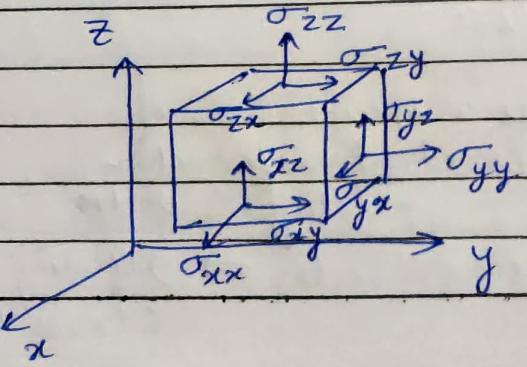
( $\therefore \sigma = \frac{F}{A}$  and  $\sigma = \frac{F}{A'}$  by themselves only give us the traction)  
[of course we can obtain stress tensor from this too]  
after changing it

NOTE! To avoid confusion, for tensor  $\Rightarrow$  we'll use "Stress"

for vector  $\Rightarrow$  we'll use "Traction"

(sometimes symbol 't' used)

7] Stress



$6 \times 3 = 18$  components

(9 others are on the backside of the cube)

But for back planes, this can be simplified

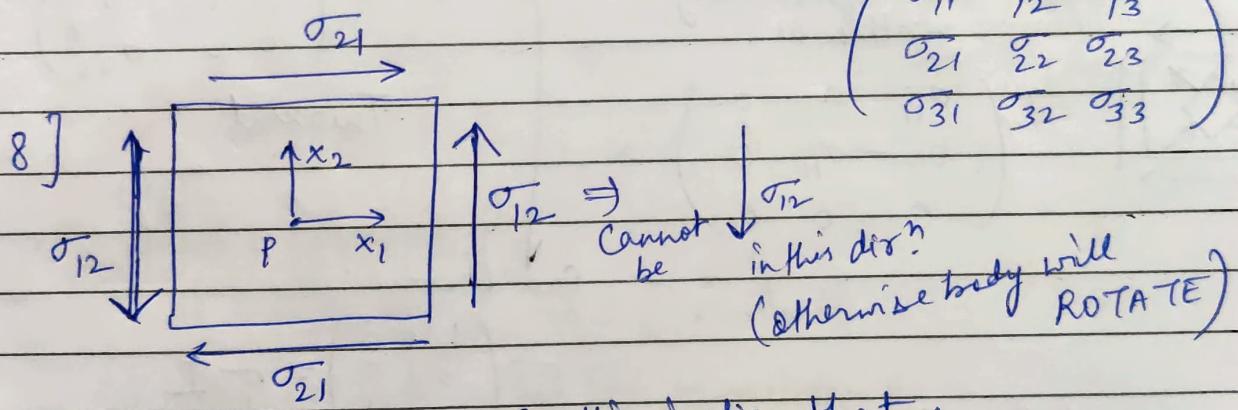
$\Rightarrow$  For body to be in static eqm :  $\sigma_{ij} = \sigma_{(-i)(-j)}$

So our 18 components actually becomes 9 components  
So stress tensor is :

Cauchy Stress Tensor,  $\sigma = \begin{pmatrix} \sigma_{xx} & \sigma_{xy} & \sigma_{xz} \\ \sigma_{yx} & \sigma_{yy} & \sigma_{yz} \\ \sigma_{zx} & \sigma_{zy} & \sigma_{zz} \end{pmatrix}$

or

$$\begin{pmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{pmatrix}$$



So this implies that :

$$[\sigma_{ij} = \sigma_{ji}]$$

So we get: only 6 independent components

$$\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{pmatrix}$$

↑ Shear stresses  
↑ Normal stresses

← So stress tensor is symmetric

Similarly, Strain tensor is also symmetric.

\* NOTE: Both stress & strain tensors are field tensors  
(i.e. at each point in space they have some value)

9]

Examples

$$\tilde{\sigma} = \begin{pmatrix} \sigma & 0 & 0 \\ 0 & \sigma & 0 \\ 0 & 0 & \sigma \end{pmatrix}$$

Uniaxial stress.

$$\tilde{\sigma} = \begin{pmatrix} \sigma_{11} & \sigma_{12} & 0 \\ \sigma_{12} & \sigma_{22} & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Plane stress

$$\tilde{\sigma} = \begin{pmatrix} 0 & \sigma_{12} & 0 \\ \sigma_{12} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Pure shear

$$\tilde{\sigma} = \begin{pmatrix} \sigma & 0 & 0 \\ 0 & \sigma & 0 \\ 0 & 0 & \sigma \end{pmatrix}$$

Hydrostatic or Spherical Stress State

$$\tilde{\sigma} = \begin{pmatrix} \sigma_{11} & 0 & 0 \\ 0 & \sigma_{22} & 0 \\ 0 & 0 & \sigma_{33} \end{pmatrix}$$

Triaxial

↓

this can be equivalently written as:

$$\begin{pmatrix} \sigma_{11} & 0 & 0 \\ 0 & -\sigma_{11} & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Can become:

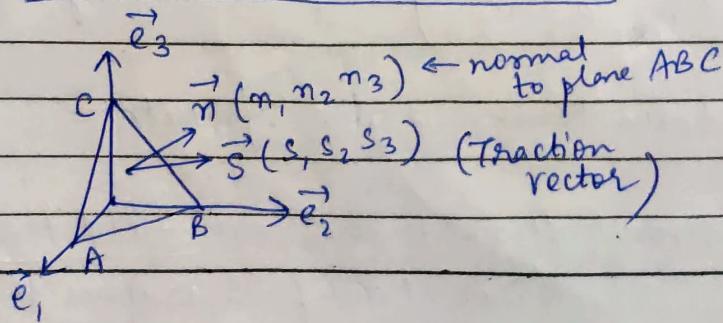
$$\sigma \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \sigma I$$

if negative  
this is called  
Pressure

i.e. (pressure must be equal)

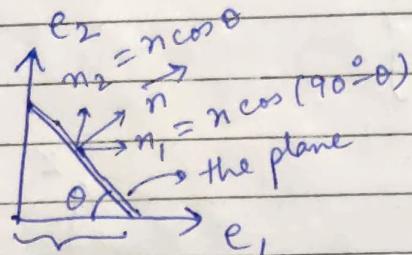
$$\tilde{\sigma} = \begin{pmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_1 & 0 \\ 0 & 0 & \sigma_2 \end{pmatrix}$$

Cylindrical stress

10] Relation b/w Traction & Stress

Doing force balance along dir<sup>n</sup> 1:

$$F_1 = S_1 \times A_r(ABC) = \sigma_{11} A_r(OBC) + \sigma_{21} A_r(OAC) + \sigma_{31} A_r(OAB)$$



$$A \cos \theta = A n_2$$

So the same expression becomes

$$\Rightarrow F_1 = \sigma_{11} A_r(ABC) n_1 + \sigma_{21} A_r(ABC) n_2 + \sigma_{31} A_r(ABC) n_3$$

$$\Rightarrow S_1 = \sigma_{11} n_1 + \sigma_{21} n_2 + \sigma_{31} n_3$$

When performed  
for all 3 dim's  
we get  $S_2$  and  $S_3$  similarly

In Einstein notation, this can be written as:

$$S_i = \sigma_{ij} n_j \quad (\text{implicitly a summation is present})$$

$$\therefore \text{We get } \boxed{\vec{S} = \sigma \vec{n}} \quad \begin{matrix} \downarrow \\ \text{just not drawn} \end{matrix}$$

### LECTURE-3

11] Previously we have seen:  $\vec{S} = \sigma \vec{n}$  ( $\sigma$  tensor helps relate  $S$  to  $n$ )

Now, taking case of  
Zero forces (No traction)  
on a plane  
(eg: x-y plane):

\* [But NOT every matrix that relates two vectors is necessarily a tensor]

$$\vec{S}' = \begin{Bmatrix} T_1 \\ T_2 \\ T_3 \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \\ 0 \end{Bmatrix} = \vec{\sigma} \vec{n} = \begin{pmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{pmatrix} \begin{Bmatrix} 0 \\ 0 \\ n \end{Bmatrix}$$

$$= \begin{Bmatrix} \sigma_{13}n \\ \sigma_{23}n \\ \sigma_{33}n \end{Bmatrix}$$

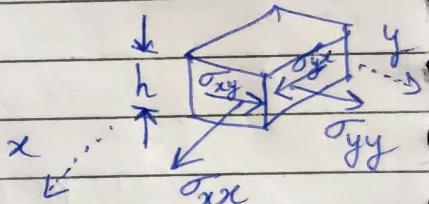
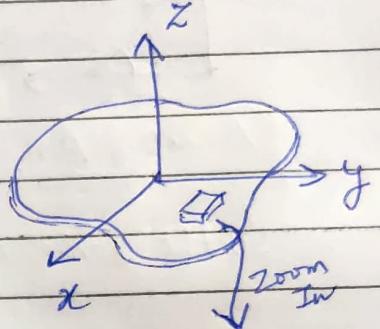
$$\Rightarrow \begin{Bmatrix} \sigma_{13} \\ \sigma_{23} \\ \sigma_{33} \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \\ 0 \end{Bmatrix}$$

Thus, this is condition of Plane stress

plane stress  $\Rightarrow$  when  $\sigma_3 = 0$  (in this case)

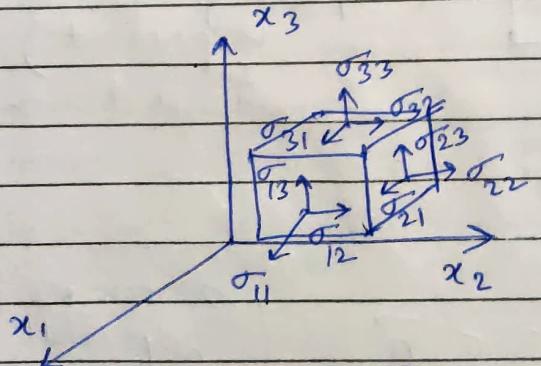
$\therefore$  we need to only be concerned with

$\sigma_x, \sigma_y$  and  $\tau_{xy}$



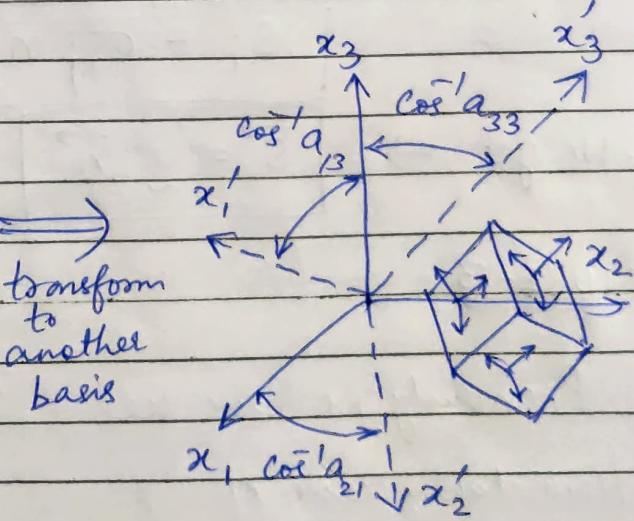
$$(\sigma_{xy} = \sigma_{yx})$$

## 12] Stress Transformation



$$\vec{\sigma} = \begin{pmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{pmatrix}$$

is in an  
orthonormal  
(Cartesian) basis  $\vec{e} (\vec{x}_1, \vec{x}_2, \vec{x}_3)$



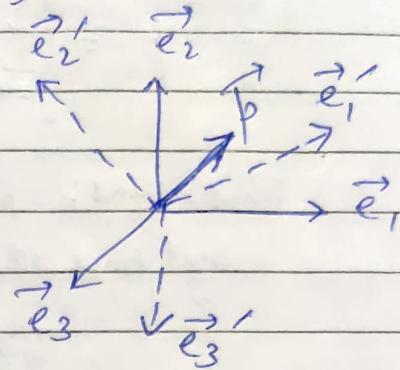
$$\vec{\sigma}' = \begin{pmatrix} \sigma'_{11} & \sigma'_{12} & \sigma'_{13} \\ \sigma'_{21} & \sigma'_{22} & \sigma'_{23} \\ \sigma'_{31} & \sigma'_{32} & \sigma'_{33} \end{pmatrix}$$

is in another  
orthonormal  
(Cartesian)  
basis:  
 $\vec{e}' (\vec{x}'_1, \vec{x}'_2, \vec{x}'_3)$

Now we need to find  $\sigma'$   
from a given  $\sigma$  (i.e. relation  
 $b/w \sigma$  and  $\sigma'$ )

13] Starting with vectors

Taking the case of  $\vec{p}$  & changing  
the basis from  
 $\vec{e}$  to  $\vec{e}'$



We can write:

$$\vec{p} = p_1 \vec{e}_1 + p_2 \vec{e}_2 + p_3 \vec{e}_3 = \sum_{i=1}^3 p_i \vec{e}_i = p_i \vec{e}_i \quad (\text{Einstein summation convention})$$

$$\text{Also, } \vec{p}' = \sum_{k=1}^3 p'_k \vec{e}'_k = p'_k \vec{e}'_k$$

Dot product of  $\vec{p}$  with  $\vec{e}'_j$ :

$$\begin{aligned} \vec{p} \cdot \vec{e}'_j &= p_1 \vec{e}_1 \cdot \vec{e}'_j + p_2 \vec{e}_2 \cdot \vec{e}'_j + p_3 \vec{e}_3 \cdot \vec{e}'_j \\ &= p_i \vec{e}_i \cdot \vec{e}'_j \end{aligned}$$

$$\Rightarrow p'_j = \sum_{i=1}^3 p_i \vec{e}_i \cdot \vec{e}'_j = p_i \vec{e}_i \cdot \vec{e}'_j$$

This implies that we can write:

$$\begin{bmatrix} p'_1 \\ p'_2 \\ p'_3 \end{bmatrix} = \begin{bmatrix} \vec{e}'_1 \cdot \vec{e}_1 & \vec{e}'_1 \cdot \vec{e}_2 & \vec{e}'_1 \cdot \vec{e}_3 \\ \vec{e}'_2 \cdot \vec{e}_1 & \vec{e}'_2 \cdot \vec{e}_2 & \vec{e}'_2 \cdot \vec{e}_3 \\ \vec{e}'_3 \cdot \vec{e}_1 & \vec{e}'_3 \cdot \vec{e}_2 & \vec{e}'_3 \cdot \vec{e}_3 \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ p_3 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ p_3 \end{bmatrix}$$

In Einstein notation:

$$p'_i = \sum_{j=1}^3 (\vec{e}'_i \cdot \vec{e}_j) p_j = \sum_{j=1}^3 a_{ij} p_j = a_{ij} p_j$$

OR cosine between

Taking this matrix  $\Rightarrow$  We get:  
as  $A$  (i.e.  $A = [a_{ij}]$ )

$$\vec{p}' = A \vec{p}$$

$A$  is called Transformation matrix

where  $a_{ij}$   
(is the dot product  
of new-i & old-j  
dimensions)

Now, in order to do the reverse

i.e. go from new  $\xrightarrow{\leftarrow}$  to old system:

$$\Rightarrow p_i = \sum_{j=1}^3 (e_i \cdot e_j) p'_j = \sum_{j=1}^3 a_{ij}^T p'_j = a_{ij}^T p'_j$$

(or  $a_{ij} p'_j$ )

This means:  $\vec{p} = A^T \vec{p}'$

But since also:  $\vec{p}' = A \vec{p} \Rightarrow A^{-1} \vec{p}' = \vec{p}$

Thus we get:  $A^T = A^{-1}$  for Transformation matrix

Inverse is given by the Transpose

#### 14] Extending to 2<sup>nd</sup> Rank Tensor

Old system:  $\vec{p} = B \vec{v}$  or  $p_i = B_{ij} v_j$  (such as  $\vec{T} = \underline{\sigma} \vec{n}$ )

New system:  $p'_m = B'_{mn} v'_n$  (such as  $\vec{T}' = \underline{\sigma}' \vec{n}'$ )

Now using  $\Rightarrow p'_i = a_{ij} p_j$ , we get that:

$$p'_m = a_{mn} p_n = B'_{mn} v'_n \\ = B'_{mn} a_{np} v_p$$

Thus implying  $\Leftarrow$

$$a_{mn} p_n = B'_{mn} a_{np} v_p \quad (\text{such as } \vec{A} \vec{T} = \underline{\sigma}' \vec{A} \vec{n})$$

From the beginning ( $p_i = B_{ij} v_j$ )  $\Rightarrow$

(Taking  $p_n = B_{np} v_p$ )

$$\therefore a_{mn} \cdot B_{np} v_p = B'_{mn} a_{np} v_p \quad (\text{such as } \vec{A} \underline{\sigma} \vec{n} = \underline{\sigma}' \vec{A} \vec{n})$$

$\therefore$  In Matrix form

$$[A][B]\vec{v} = [B'][A]\vec{v}$$

$$\Rightarrow [B]\vec{v} = [A^{-1}][B'][A]\vec{v} = [A^T][B'][A]\vec{v}$$

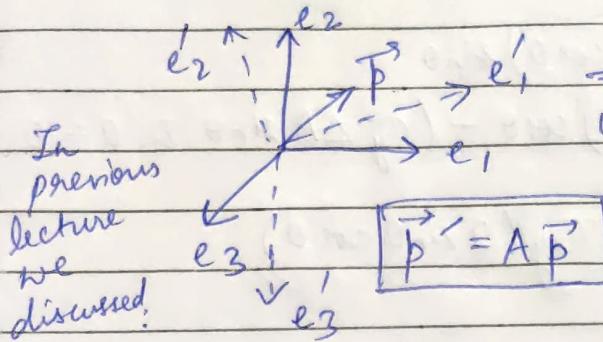
$$\Rightarrow [B] = [A^T][B'][A] \quad (\text{such as: } \underline{\sigma} = A^T \underline{\sigma}' A)$$

and also,  $[B'] = [A][B][A^T]$

$$\text{and } \underline{\sigma}' = A \underline{\sigma} A^T$$

## LECTURE-4

Starting with vectors:



Transformation for Rank-2 Tensors:

$$\underline{\sigma} = \underline{A}^T \underline{\sigma}' \underline{A}$$

$$\text{and } \underline{\sigma}' = \underline{A} \underline{\sigma} \underline{A}^T$$

15] We can write:  $\underline{\sigma}' = \underline{A} \underline{\sigma} \underline{A}^T$

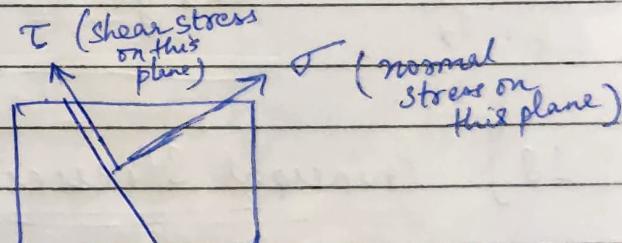
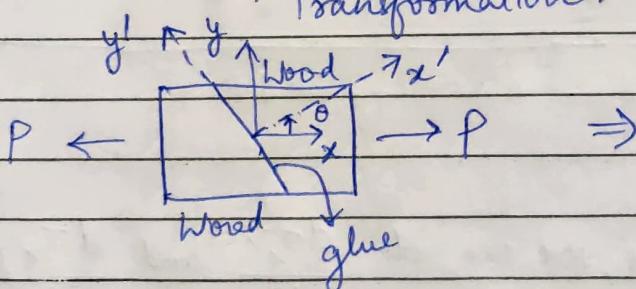
$$\underline{\sigma}'_{ij} = \sum_{k,l}^3 a_{ik} a_{jl} \underline{\sigma}_{kl} = a_{ik} a_{jl} \underline{\sigma}_{kl}$$

$$\text{for eg: } \underline{\sigma}'_{11} = a_{1k} a_{1l} \underline{\sigma}_{kl} = \sum_{k=1}^3 a_{1k} \left( \sum_{l=1}^3 a_{1l} \underline{\sigma}_{kl} \right)$$

16] We can also write  $\underline{\sigma}$  as:  $\underline{\sigma} = \begin{pmatrix} \sigma_x & \tau_{xy} & \tau_{xz} \\ \tau_{xy} & \sigma_y & \tau_{yz} \\ \tau_{xz} & \tau_{yz} & \sigma_z \end{pmatrix}$

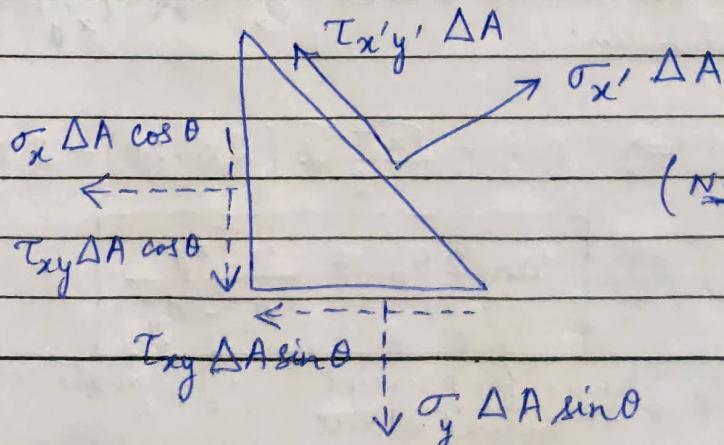
One example of 2D

Transformation:



We can ask the question  
"Can the glue sustain the forces?"

17]



(NOTE: These "dotted" vector forces are actually not in those directions. [they would be in the opposite dir.'s])

We have drawn these just to "cancel out" the forces from the new system)

So we will get; along x dir<sup>n</sup> :

$$\sigma_x \Delta A - (\tau_{xy} \Delta A \sin \theta) \cos \theta - (\tau_{xy} \Delta A \cos \theta) \sin \theta - (\sigma_y \Delta A \cos \theta) \cos \theta - (\sigma_y \Delta A \sin \theta) \sin \theta = 0$$

$$\Rightarrow \sigma'_x = \sigma_x \cos^2 \theta + \sigma_y \sin^2 \theta + \tau_{xy} (2 \sin \theta \cos \theta)$$

And along y dir<sup>n</sup>:

$$0 = \tau_{x'y'} \Delta A + (\tau_{xy} \Delta A \sin \theta) \sin \theta - (\tau_{xy} \Delta A \cos \theta) \cos \theta - (\sigma_y \Delta A \sin \theta) \cos \theta + (\sigma_x \Delta A \cos \theta) \sin \theta$$

Using Trigonometric Identities  
these can be written as:

$$\sigma'_x = \frac{\sigma_x + \sigma_y}{2} + \frac{\sigma_x - \sigma_y}{2} \cos 2\theta + \tau_{xy} \sin 2\theta$$

$$\sigma'_y = \frac{\sigma_x + \sigma_y}{2} - \frac{\sigma_x - \sigma_y}{2} \cos 2\theta - \tau_{xy} \sin 2\theta$$

$$\tau'_{x'y'} = -\frac{\sigma_x - \sigma_y}{2} \sin 2\theta + \tau_{xy} \cos 2\theta$$

obtained  
by simply  
putting  $\theta + 90^\circ$   
in the equation  
for  $\sigma'_x$

## 18] Principal Stresses

From the above equations, we can do  $\frac{d\sigma'_x}{d\theta}$  and  $\frac{d\tau'_{x'y'}}{d\theta} = 0$   
to obtain the angles for which either  $\sigma'_x$  or  $\tau'_{x'y'}$   
are maximum or minimum:

$$\frac{d\sigma'_x}{d\theta} = 0 \Rightarrow 0 = -\frac{\sigma_x - \sigma_y}{2} (2 \sin 2\theta) + 2 \tau_{xy} \cos 2\theta$$

$$\Rightarrow \tan 2\theta_p = \frac{2 \tau_{xy}}{\sigma_x - \sigma_y}$$

$\theta_p$  : angle for principal normal stress (i.e. angle corresponding to max. & min. normal stress)

$$\text{Similarly: } \frac{d\tau_{x'y'}}{d\theta} = 0 \Rightarrow \boxed{\tan 2\theta_s = -\frac{\sigma_x - \sigma_y}{2\tau_{xy}}}$$

$\theta_s$ : angle at which element will be subjected to max<sup>m</sup> & min<sup>m</sup> shear

### LECTURE-5 (Questions)

Find eigenvectors & eigenvalues for:

$$Q \sim A = \begin{pmatrix} -5 & 2 \\ 2 & -2 \end{pmatrix}$$

$$\left| \begin{pmatrix} -5-\lambda & 2 \\ 2 & -2-\lambda \end{pmatrix} \right| = 0$$

$$(\lambda+5)(\lambda+2) - 4 = 0$$

$$2\lambda + \lambda^2 + 5\lambda + 10 - 4 = 0$$

~~$$\lambda = -6 \quad \lambda^2 + 7\lambda + 6 = 0$$~~

$$\Rightarrow (\lambda+6)(\lambda+1) = 0$$

$$\Rightarrow \lambda = -1 \text{ or } -6$$

$$\text{for } \lambda = -1 \Rightarrow \begin{pmatrix} -4 & 2 \\ 2 & -1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow \vec{x}_1 = \begin{pmatrix} 1 \\ 2 \end{pmatrix}$$

$$\text{for } \lambda = -6 \Rightarrow \begin{pmatrix} 1 & 2 \\ 2 & 4 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow \vec{x}_2 = \begin{pmatrix} 2 \\ -1 \end{pmatrix}$$

$Q \sim$  Diagonalize:

$$A = \begin{pmatrix} -1 & 5 \\ 5 & 1 \end{pmatrix}$$

$$\left| \begin{pmatrix} -1-\lambda & 5 \\ 5 & 1-\lambda \end{pmatrix} \right| = 0 \Rightarrow -(1-\lambda)(1+\lambda) - 25 = 0$$

$$\Rightarrow \lambda^2 - 26 = 0$$

~~$$\Rightarrow \lambda^2 - 2\lambda - 24 = 0$$~~

~~$$\Rightarrow (\lambda-6)(\lambda+4) = 0$$~~

$$\lambda = 6 \text{ or } -4 \quad \lambda = -5.099 \text{ and } 5.099$$

$$\lambda = 6 \Rightarrow \begin{pmatrix} -7 & 5 \\ 5 & -5 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow \text{and so on...}$$

(after this, perform diagonalization)

## LECTURE-5

### 19] Principal Stresses

Previously we have identified the planes  
 ↓ for which stress will be max or min

Now, we have to find the magnitudes of these principal stresses

$$\begin{pmatrix} \sigma_x & \tau_{xy} & 0 \\ \tau_{xy} & \sigma_y & 0 \\ 0 & 0 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

20] We want a relation for  $\sigma_x'$  and  $\tau_{x'y'}$  (which we can also denote by simply  $\sigma$  and  $\tau$ )  
 ↓  
 such that we have eliminated the presence of the Trig functions (and thus, also  $\theta$ )

We know:

$$\sigma_x' = \frac{\sigma_x + \sigma_y}{2} + \frac{\sigma_x - \sigma_y}{2} \cos 2\theta + \tau_{xy} \sin 2\theta$$

$$\text{and } \tau_{x'y'} = -\frac{\sigma_x - \sigma_y}{2} \sin 2\theta + \tau_{xy} \cos 2\theta$$

So we can write:

$$\left(\sigma - \underbrace{\frac{\sigma_x + \sigma_y}{2}}_{\sigma_{\text{avg}}}\right)^2 = \left(\frac{\sigma_x - \sigma_y}{2}\right)^2 \cos^2 2\theta + 2 \left(\frac{\sigma_x - \sigma_y}{2}\right) \underbrace{\tau_{xy} \sin 2\theta}_{\tau^2 \sin^2 2\theta} + \tau_{xy}^2 \sin^2 2\theta$$

$$\text{and also, } \tau^2 = \left(\frac{\sigma_x - \sigma_y}{2}\right)^2 \sin^2 2\theta + \tau_{xy}^2 \cos^2 2\theta$$

Thus, by adding both, we get:

$$\boxed{(\sigma - \sigma_{\text{avg}})^2 + \tau^2 = \left(\frac{\sigma_x - \sigma_y}{2}\right)^2 + \tau_{xy}^2}$$

21] From the previous equation, we get that:

I] Principal stress will occur  
when shear stress is zero

i.e.  $\tau = 0$  and  $\sigma_{1,2} = \frac{\sigma_x + \sigma_y}{2} \pm \sqrt{\left(\frac{\sigma_x - \sigma_y}{2}\right)^2 + \tau_{xy}^2}$

II] Principal shear stress  
directly given by the above  
square root term  
(since it will occur  
when  $\sigma = \sigma_{avg}$ )

i.e.  $\sigma = \sigma_{avg}$  and  $\tau_{max} = \sqrt{\left(\frac{\sigma_x - \sigma_y}{2}\right)^2 + \tau_{xy}^2}$

From these two expressions, we can obtain  
a relation b/w  $\sigma_1$ ,  $\sigma_2$  and  $\tau_{max}$ :

$$\Rightarrow \sigma_1 - \sigma_2 = 2\tau_{max}$$

or

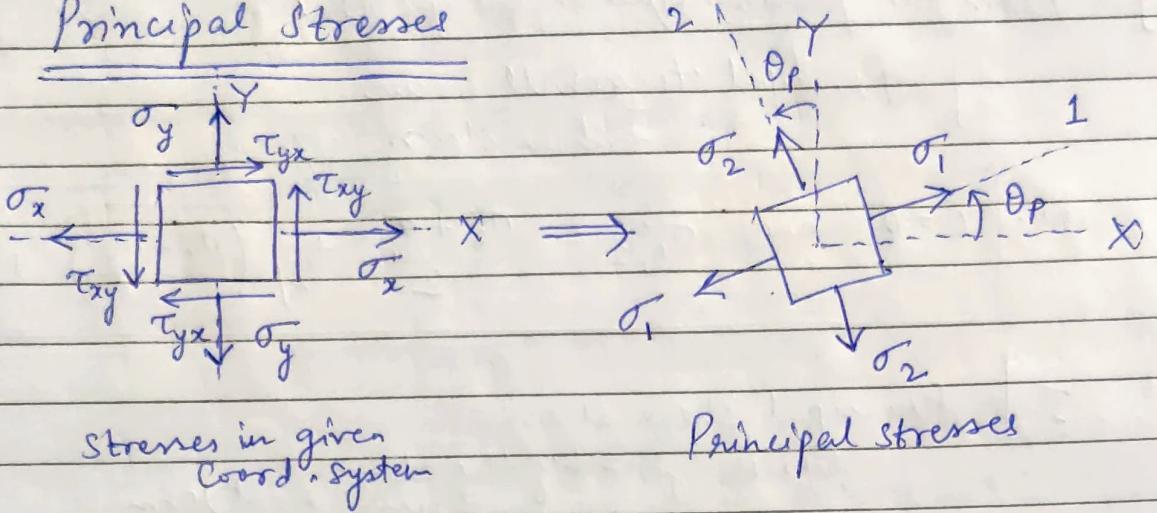
$$\tau_{max} = \frac{\sigma_1 - \sigma_2}{2}$$

Also, another important property we obtain is:

$$\sigma_1 + \sigma_2 = \sigma_x + \sigma_y$$

Later we shall  
see that  
the Trace of the  
stress tensor  
is an Invariant  
quantity)

## 22] Principal Stresses



Stresses in given  
Coord. system

Principal stresses

There will be  
a set of planes  
where only Normal stresses  
+ shear stresses  
are zero

These planes are  
called  $\rightarrow$  Principal planes

and stresses  
are called  $\rightarrow$  Principal stresses

\* NOTE: Principal directions are orthogonal

## 23] Principal Stress in 3D

$$\begin{pmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{pmatrix}$$

Generally,  $\sigma_1 > \sigma_2 > \sigma_3$

\* NOTE: Eigenvalues  
of Stress Tensor  
= Principal  
Stresses

## 24] Eigenvalues and Eigenvectors of Matrices

of the form :  $A\vec{x} = \lambda\vec{x}$  (e.g. Schrödinger equation:  
 $\hat{H}\psi = E\psi$ )

for stresses, e.g:  $\tilde{\sigma}\vec{x} = \sigma_{\text{principal}}\vec{x}$

## 25] Eigenvalues

Starting with an equation of the form:

$$A\vec{x} = \lambda \vec{x}$$

\* NOTE: The eigenvalue-matrix pair is unique in this way

$\vec{x} = \vec{0}$  is a solution (Trivial sol.)

But for Non-Trivial solutions:

$$A\vec{x} = \lambda \vec{x}$$

( $\vec{x}$ : eigenvectors)  
( $\lambda$ : eigenvalues)

$$\Rightarrow a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = \lambda x_1$$

$$a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n = \lambda x_2$$

⋮ ⋮ ⋮

$$a_{n1}x_1 + a_{n2}x_2 + \dots + a_{nn}x_n = \lambda x_n$$

}  $n$  different equations

$$\Rightarrow (a_{11} - \lambda)x_1 + a_{12}x_2 + \dots + a_{1n}x_n = 0$$

$$a_{21}x_1 + (a_{22} - \lambda)x_2 + \dots + a_{2n}x_n = 0$$

⋮ ⋮ ⋮

$$a_{n1}x_1 + a_{n2}x_2 + \dots + (a_{nn} - \lambda)x_n = 0$$

$$\Rightarrow (A - \lambda I)\vec{x} = \vec{0}$$

(where  $I$  is the identity matrix)

or

$$\underbrace{\begin{pmatrix} a_{11} - \lambda & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} - \lambda & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} - \lambda \end{pmatrix}}_{\text{characteristic matrix}} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

By Cramer's Rule, this homogeneous linear system of eq.'s has a non-trivial solution if and only if:

Characteristic Equation



$$|A - \lambda I| = 0$$

## 26] Some important theorems :

- i)  $A^T$  has same eigenvalues as  $A$
  - ii)  $A$  and  $A^n \rightarrow$  same eigenvectors  
But, if eigenvalue of  $A$  is  $\lambda$ , then for  $A^n$  it will be  $\lambda^n$
  - iii) If  $\det(A) = 0$ , then one of the eigenvalues will be zero
  - iv) Symmetric matrix has ortho-normal basis of eigenvectors (i.e. all eigenvectors are  $\perp$  to each other)
- (\*NOTE: Stress, Strain  
both are symmetric matrices (technically 2<sup>nd</sup> order tensors))

## 27] Diagonalization

Taking an  $n \times n$  matrix  $A$

Let's say its eigenvectors are given by:

$$X = (\{\vec{x}\} \{\vec{y}\} \{\vec{z}\})$$

$$= \begin{pmatrix} x_1 & y_1 & z_1 \\ x_2 & y_2 & z_2 \\ x_3 & y_3 & z_3 \end{pmatrix}$$

Then,  $D = X^{-1} A X$  is called the diagonal matrix.

$$D = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}$$

$\leftarrow$  the eigenvalues of  $A$  occur as entries on the main diagonal

28) We have the  $X$  matrix as:

$$X = (\{\vec{x}\} \{\vec{y}\} \{\vec{z}\}) = \begin{pmatrix} x_1 & y_1 & z_1 \\ x_2 & y_2 & z_2 \\ x_3 & y_3 & z_3 \end{pmatrix}$$

For this we know,  $D = X^{-1}AX$

Now, for our case

↓  
we will be working with  
Stress & strain in place of A matrix

⇒ since they are symmetric, this means  
 $\vec{x}, \vec{y}$  and  $\vec{z}$   
are orthonormal

i.e.

$$\vec{x} \cdot \vec{y} = 0, \vec{y} \cdot \vec{z} = 0$$

$$\text{and } \vec{x} \cdot \vec{z} = 0$$

(from previous theorems)

From this it can be shown that

$$\Rightarrow [X^T = X^{-1}]$$

↓  
and hence:

$$D = X^TAX, \text{ if } X \text{ is orthogonal matrix}$$

## 29] Principal Stresses

Instead of A matrix → using stress tensor,  $\sigma$

We will get:

$$\begin{pmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{pmatrix} = \begin{pmatrix} x_1 & x_2 & x_3 \\ y_1 & y_2 & y_3 \\ z_1 & z_2 & z_3 \end{pmatrix} \begin{pmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{pmatrix} \begin{pmatrix} x_1 & y_1 & z_1 \\ x_2 & y_2 & z_2 \\ x_3 & y_3 & z_3 \end{pmatrix}$$

\* Here, a row of  $X^T$  is actually the same as a row of the transformation matrix A

↓  
\* Thus,  $X^T$  will be equal to A

(the row represents the dis. cosines or components of a new axis with respect to the old axes)

## LECTURE-6

### 30] Stress Invariants

We know:  $A\vec{x} = \lambda \vec{x}$

For stress:  $\tilde{\sigma}\vec{x} = \sigma_{\text{principal}} \vec{x}$

$$A\vec{x} = \lambda \vec{x} \Rightarrow \begin{pmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \sigma_{\text{principal}} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

Similarly,  $(A - \lambda I)\vec{x} = \vec{0}$

↓ becomes

$$\begin{pmatrix} \sigma_{11} - \sigma & \sigma_{12} & \sigma_{13} \\ \sigma_{12} & \sigma_{22} - \sigma & \sigma_{23} \\ \sigma_{13} & \sigma_{23} & \sigma_{33} - \sigma \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

Thus,  $\Rightarrow \det \begin{pmatrix} \sigma_{11} - \sigma & \sigma_{12} & \sigma_{13} \\ \sigma_{12} & \sigma_{22} - \sigma & \sigma_{23} \\ \sigma_{13} & \sigma_{23} & \sigma_{33} - \sigma \end{pmatrix} = 0$

↓ Upon expanding this we get:

$$\sigma^3 - \underbrace{(\sigma_{11} + \sigma_{22} + \sigma_{33})}_{I_1} \sigma^2 + \underbrace{(\sigma_{11}\sigma_{22} + \sigma_{22}\sigma_{33} + \sigma_{33}\sigma_{11} - \sigma_{12}^2 - \sigma_{23}^2 - \sigma_{31}^2)}_{I_2} \sigma - \underbrace{(\sigma_{11}\sigma_{22}\sigma_{33} + 2\sigma_{23}\sigma_{13}\sigma_{12} - \sigma_{11}\sigma_{23}^2 - \sigma_{22}\sigma_{13}^2 - \sigma_{33}\sigma_{12}^2)}_{I_3} = 0$$

We can write this as:

$$\boxed{\sigma^3 - I_1 \sigma^2 + I_2 \sigma - I_3 = 0}$$

this is the  
~ Characteristic  
Equation

Here,  $I_1, I_2$  and  $I_3$  are Invariants of the stress tensor

i.e. they don't change with coordinate

$I_1 = \text{Trace} \rightarrow$  first invariant given by: transformation

$I_2 = \text{Sum of}$  principal minors

↓                          → 2<sup>nd</sup> invariant

This means:

$$I_2 = \underbrace{\left| \begin{array}{cc} \sigma_{11} & \sigma_{12} \\ \sigma_{12} & \sigma_{22} \end{array} \right|}_{\text{by ignoring 3rd column & 3rd row}} + \underbrace{\left| \begin{array}{cc} \sigma_{11} & \sigma_{13} \\ \sigma_{13} & \sigma_{33} \end{array} \right|}_{\text{by ignoring 2nd column & 2nd row}} + \underbrace{\left| \begin{array}{cc} \sigma_{22} & \sigma_{23} \\ \sigma_{23} & \sigma_{33} \end{array} \right|}_{\text{by ignoring 1st column & 1st row}}$$

by ignoring 3<sup>rd</sup> column & 3<sup>rd</sup> row      by ignoring 2<sup>nd</sup> column & 2<sup>nd</sup> row      by ignoring 1<sup>st</sup> column & 1<sup>st</sup> row

$$= \frac{1}{2} (\sigma_{ii}\sigma_{jj} - \sigma_{ij}\sigma_{ji})$$

$I_3 = \text{Determinant} \rightarrow$  3<sup>rd</sup> invariant

$$= \left| \begin{array}{ccc} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{12} & \sigma_{22} & \sigma_{23} \\ \sigma_{13} & \sigma_{23} & \sigma_{33} \end{array} \right| = \frac{1}{6} (\sigma_{ii}\sigma_{jj}\sigma_{kk} + 2\sigma_{ij}\sigma_{ik}\sigma_{ki} - 3\sigma_{ij}\sigma_{ij}\sigma_{kk})$$

\* NOTE: ① For stress tensor (any symmetric matrix)

eigen vectors CHANGE

↓ with coord. transformation

But eigen values remain same (since they represent principal stresses)

② Geometrically they are

the 3 axes of the ellipsoid formed  
as  $\sigma_{ij}x_i x_j$

③ On the plane of max shear stresses → Normal stress may not be zero

But on plane of max normal stress (i.e. principal axes) → Shear stresses are zero.

### 31] Some important results:

- 1] Roots of  $\sigma^3 - I_1\sigma^2 + I_2\sigma - I_3 = 0 \Rightarrow$  are principal stresses
- 2] Trace of  $\tilde{\sigma}$  is a scalar & invariant  
(given by  $\sigma_{11} + \sigma_{22} + \sigma_{33}$  i.e.  
 $= \sigma_1 + \sigma_2 + \sigma_3$  }  $\rightarrow$  principal stresses  
\* Thus,  $I_1 = \sigma_1 + \sigma_2 + \sigma_3$

### 3] Maximum shear stress in 3D is :

$$\boxed{\tau_{\max} = \frac{\sigma_{\max} - \sigma_{\min}}{2}}$$

NOTE: Max. shear always occurs in coord. system orientation

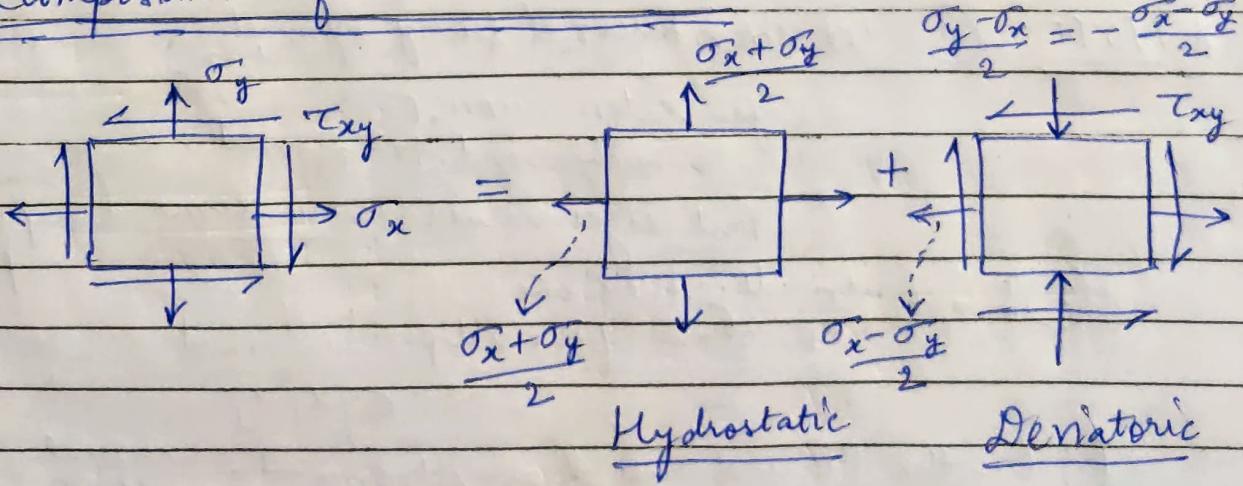
e.g.:  $\leftrightarrow$  rotated  $45^\circ$  from principal coord. system

If max & min principal stresses are  $\sigma_1$  and  $\sigma_3$

$\Rightarrow$  Max shear orientation

is obtained by rotating the principal coord. system by  $45^\circ$  in the (1-3) plane.

### 32] Decomposition of Stress Tensor - 2 D



Thus, we can decompose ("break") the stress tensor into:

$$\begin{pmatrix} \sigma_x & \tau_{xy} \\ \tau_{xy} & \sigma_y \end{pmatrix} = \begin{pmatrix} \frac{\sigma_x + \sigma_y}{2} & 0 \\ 0 & \frac{\sigma_x + \sigma_y}{2} \end{pmatrix} + \begin{pmatrix} \frac{\sigma_x - \sigma_y}{2} & \tau_{xy} \\ \tau_{xy} & -\frac{\sigma_x - \sigma_y}{2} \end{pmatrix}$$

Hydrostatic                            Deviatoric

### 33] Decomposition in 3D

$$\begin{pmatrix} \bar{\sigma}_{11} & \bar{\sigma}_{12} & \bar{\sigma}_{13} \\ \bar{\sigma}_{12} & \bar{\sigma}_{22} & \bar{\sigma}_{23} \\ \bar{\sigma}_{13} & \bar{\sigma}_{23} & \bar{\sigma}_{33} \end{pmatrix} = \underbrace{\begin{pmatrix} \bar{\sigma}_{11} - \bar{\sigma}_n & \bar{\sigma}_{12} & \bar{\sigma}_{13} \\ \bar{\sigma}_{12} & \bar{\sigma}_{22} - \bar{\sigma}_n & \bar{\sigma}_{23} \\ \bar{\sigma}_{13} & \bar{\sigma}_{23} & \bar{\sigma}_{33} - \bar{\sigma}_n \end{pmatrix}}_{\text{Deviatoric}} + \underbrace{\begin{pmatrix} \bar{\sigma}_n & 0 & 0 \\ 0 & \bar{\sigma}_n & 0 \\ 0 & 0 & \bar{\sigma}_n \end{pmatrix}}_{\text{Hydrostatic}}$$

where,  $\bar{\sigma}_n = \frac{\bar{\sigma}_1 + \bar{\sigma}_2 + \bar{\sigma}_3}{3}$

### 34] Decomposition Invariants

→ \*NOTE: This discussion is important for the theory of plasticity

$$\underbrace{\begin{pmatrix} \bar{\sigma}_{11} - \bar{\sigma}_n & \bar{\sigma}_{12} & \bar{\sigma}_{13} \\ \bar{\sigma}_{12} & \bar{\sigma}_{22} - \bar{\sigma}_n & \bar{\sigma}_{23} \\ \bar{\sigma}_{13} & \bar{\sigma}_{23} & \bar{\sigma}_{33} - \bar{\sigma}_n \end{pmatrix}}_{\text{Deviatoric}} \Rightarrow I_1^{\text{Deviatoric}} = 0$$

i.e. this is  
Pure Shear (because there must be a coord. transformation where all normal stresses will become zero)

$$\underbrace{\begin{pmatrix} \bar{\sigma}_n & 0 & 0 \\ 0 & \bar{\sigma}_n & 0 \\ 0 & 0 & \bar{\sigma}_n \end{pmatrix}}_{\text{Hydrostatic}} \Rightarrow I_1^{\text{hydrostatic}} = I_1 = 3\bar{\sigma}_h = \bar{\sigma}_{11} + \bar{\sigma}_{22} + \bar{\sigma}_{33} = \bar{\sigma}_1 + \bar{\sigma}_2 + \bar{\sigma}_3$$

(where,  $\bar{\sigma}_h = \frac{\bar{\sigma}_1 + \bar{\sigma}_2 + \bar{\sigma}_3}{3}$ )

### 35] Invariants of Deviatoric Stress Tensor

We know:  $\sigma^3 - I_1 \sigma^2 + I_2 \sigma - I_3 = 0$

(characteristic equation for stress tensor)

Let's say, characteristic eqn for deviatoric stress tensor is:

$$S^3 - J_1 S^2 - J_2 S - J_3 = 0$$

↓ (deliberately given -ve sign bcz it turns out to be that way when we calculate it)

From this we get:

1st Invariant:  $J_1 = (\sigma_x - \sigma_m) + (\sigma_y - \sigma_m) + (\sigma_z - \sigma_m) = 0$

2nd Invariant:  $J_2 = \frac{1}{6} \left[ (\sigma_y - \sigma_z)^2 + (\sigma_z - \sigma_x)^2 + (\sigma_x - \sigma_y)^2 + 6(\tau_{xy}^2 + \tau_{yz}^2 + \tau_{xz}^2) \right]$

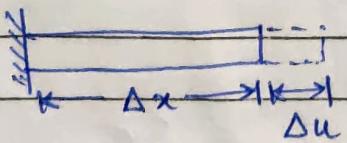
3rd Invariant:  $J_3 = \text{determinant of deviatoric stress matrix.}$

### LECTURE - 7

#### 36] Strain

1D case:

becomes



$$\Delta x \rightarrow \Delta x + \Delta u$$

$$\therefore \text{Strain } (\varepsilon) = \frac{\text{change in length}}{\text{original length}}$$

$$= \frac{\Delta u}{\Delta x}$$

In the limit  $\Rightarrow \lim_{(\Delta x \rightarrow 0)} \varepsilon = \frac{\partial u}{\partial x}$  (where,  $u$  = displacement)