Dynegy Inc.

application authorization & consent for release of information

please read carefully

We welcome your application with Dynegy Inc. (hereafter referred to as "company"). We are proud that our success is in part attributed to the quality and caliber of our employees.

Notice: Title 15 of the U.S. Code, Section 1681 and following, requires that we advise you that a routine inquiry may be made which will provide appropriate information regarding character and general reputation. Upon written request, additional information as to the nature and scope of the report, if one is made, will be provided.

Dynegy recognizes the importance of maintaining a safe workplace with honest, trustworthy, qualified and reliable employees who do not present a risk of serious harm to their co-employees or others. For the benefit of all employees and the company, in furthering these interests and enforcing the company's policies, the company may perform applicant background checks and employee investigations. The background checks and investigations may be performed by the company in whole or in part, at the company's discretion. As a condition of employment and/or continued employment, we require all applicants to consent to and authorize a pre-employment verification of the background information submitted on their application or resume.

The company's applicant background checks and employee investigations may also include the use of consumer reporting agencies to gather and report information to the company in the form of consumer or investigative consumer reports regulated by federal law. Such reports, if obtained, will be prepared by consumer reporting agencies and may contain information concerning your credit standing and worthiness, credit capacity, character, general reputation, personal characteristics or mode of living. Federal law defines a "consumer reporting agency" as any person (or entity) which for monetary fees, dues or on a cooperative nonprofit basis, regularly engages in whole or in part the practice of assembling or evaluating consumer credit information or other information on consumers for the purpose of furnishing reports to third parties. Dynegy is not a consumer reporting agency.

The types of reports that may be requested from consumer reporting agencies under this policy include, but are not limited to, credit reports, criminal record checks, court records checks, driving records and/or summaries of educational and employment records and histories. The information contained in these reports may be obtained by a consumer reporting agency from public record sources or through personal interviews with your co-workers, neighbors, friends, associates, current or former employers or other personal acquaintances. Any information contained in such reports may be taken into consideration in evaluating your suitability for employment, promotion, reassignment or retention as an employee.

If the company requests an investigative consumer report to be performed by a consumer reporting agency, as defined by federal law, you will receive a notice indicating that the report has been requested no later than three days after the request is made to the agency. This additional notice, if issued, will provide you with further information pertaining to federal law governing investigative consumer reports. You will not receive such a notice if the investigation is performed by the company or a person or entity other than a consumer reporting agency.

If any adverse decision is made with regard to your reputation for employment or subsequent employment with the company, if any, based entirely or in part on the information contained in a consumer report or investigative consumer report prepared by a consumer reporting agency, you will be notified and given a copy of the report, as well as a summary of your applicable rights. If you have ever filed for bankruptcy, no employment decision will be based solely on this information.

Your signature below indicates that you have carefully read and understand that the company may request and review consumer reports or investigative consumer reports regarding you, consistent with this policy, both in connection with your application for employment and during the course of your employment, if any, and that you consent to the release of such consumer reports or investigative consumer reports to the company for employment purposes, including any future decisions concerning your employment, promotion, reassignment or retention as an employee of Dynegy Inc.

Refusal to consent to a consumer report or investigative consumer report as required by this notice and the company's policies, or any other attempt to interfere or failure to cooperate with the company's lawful investigation of an applicant or employee, may result in rejection of an application, withdrawal of an offer of employment or discipline, up to and including termination from employment.

To the extent permitted by applicable law, this release and authorization acknowledges that the company may now or at any time while I am employed verify education, credit and employment/work history; contact personal references; obtain motor vehicle records; receive any criminal history record information pertaining to me which may be in the files of any federal, state or local criminal justice agency in any state; and/or obtain other information as deemed necessary to fulfill the job requirements. I authorize Intelnet and any of its agents or designated company personnel, to disclose the results of this verification process and/or interview either orally or in writing to the designated authorized representatives of this company.

I have read and understand this release and consent and authorize the background verification. I authorize persons, schools, current and former employers and other organizations or agencies to provide Dynegy or any other agent or representative of Dynegy with all information that may be requested. I do hereby agree to forever release and discharge Dynegy, its agents and their associates, to the full extent permitted by law from any claims, damages, losses, liabilities, costs and expenses, or any other charge or complaint filed with any agency arising from the retrieving and reporting of information. According to the Federal Fair Credit Report Act, I am entitled to know if employment was denied based on information and of the nature and scope of the investigative report. By checking here _____ I do hereby request a copy of my credit report if that report is made part of this investigation. I agree that any copy of this document is as valid as the original.

Federal Fair Credit Report Act, I am entitled to know if employment was denied based on information and of the nature and scope of the investigative report. By checking here I do hereby request a copy of my credit report if that report is ma part of this investigation. I agree that any copy of this document is as valid as the original.		
Applicant: Please clearly print the following informat	ion.	
Last Name	First Name	Middle Initial
Address —	City/State	Zip
Soc. Sec. No	Home Phone	
Email		
Signature ————————————————————————————————————		- Date
HR Use Requisition No. Only		

Dynegy Inc. application for employment we believe in people



when we believe in people, we work as a team. we choose diversity. we work with Integrity. we are performance driven. we care for our communities.

All qualified applicants receive consideration for employment without regard to race, color, religion, sex, age,

Instructions: Please print or type your answe	rs to all questions carefully and com	ppletely.
Job Applied for:		For HR Use Only:
Location Preference:		Reg. No.:
Date available for employment:		EEO Code:
Date available for employment:		EEO Sub:
general information:		
Last Name	First Name	Middle Initial
Address	City/State	Zip
Soc. Sec. No.	Home Phone	
Business Phone	Email	
	ompany? □ Yes □ No	(for verification of records):
Are you a previous employee of any Dynegy co	ompany?	
If yes, indicate where, when and reason for le List any relatives currently employed by a Dyn	ompany?	
If yes, indicate where, when and reason for le List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.?	ompany?	
If yes, indicate where, when and reason for le List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.? If hired, can you provide proof that you are over	egy company: Yes No No No Yes No Yes No Yes No Yes No Yes No Yes No	
If yes, indicate where, when and reason for le List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.? If hired, can you provide proof that you are own Are you presently under any agreement or employed.	egy company: Yes No No No Yes No Yes No Yes No Yes No Yes No Yes No	
f yes, indicate where, when and reason for le List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.? If hired, can you provide proof that you are ow Are you presently under any agreement or employed prosition of application?	egy company: Yes No No Yes No Yes No Yes No Yer 18 years of age? Yes No Ployment contract that would limit you	our ability to perform all functions required for
f yes, indicate where, when and reason for le List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.? If hired, can you provide proof that you are ow Are you presently under any agreement or employed prosition of application? Yes No Can you perform the essential functions of the	egy company: P	our ability to perform all functions required for or without accommodation? Yes No
List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.? If hired, can you provide proof that you are own the position of application? \(\text{Yes} \) Yes \(\text{N} \) No Can you perform the essential functions of the foundation, what would it be	ompany?	our ability to perform all functions required for or without accommodation? Yes No
List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.? If hired, can you provide proof that you are own the position of application? Yes No Can you perform the essential functions of the you need accommodation, what would it be?	ompany?	our ability to perform all functions required for or without accommodation? Yes No
If yes, indicate where, when and reason for le List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.? If hired, can you provide proof that you are ow Are you presently under any agreement or employed proof that you are own Can you perform the essential functions of the you need accommodation, what would it be? Note: You can request accommodation now or	ompany?	our ability to perform all functions required for or without accommodation? Yes No
List any relatives currently employed by a Dyn Are you legally authorized to work in the U.S.? If hired, can you provide proof that you are own Are you presently under any agreement or empthe position of application? Yes No Can you perform the essential functions of the lif you need accommodation, what would it be Note: You can request accommodation now or Have you ever been convicted of, pled no contribution.	egy company: P	our ability to perform all functions required for or without accommodation?

Note: A conviction or deferred adjudication will not necessarily bar you from employment.

referral source			
□ Employee Referral			
□ Internet Site	☐ Career Fair/Location		
☐ Advertisement-Name of Publication	Other		
education and training			
School Name	City & State	Graduated	Major, Degree Certificate Rec'd
High School		□ Yes □ N	lo
Business, Trade or Tech School		□ Yes □ N	lo
Undergraduate College or University		□ Yes □ N	lo
Graduate College or University	_	□ Yes □ N	lo
Scholastic Honors/Scholarships/Achievements			
Professional Certificates – Specify states of registration are	nd license numbers		
Specify language(s) in which you are proficient		🖵 read	□ write □ speak
□ Spreadsheet Experience	□ CAD		Heavy Equipment
□ Word-processing Experience	☐ Computer Graphics		Motor Vehicles
□ Programming Language(s)	□ Drafting		Construction Equipment
□ Other computer skill(s)	□ Other		Maintenance Equipment
work experience			
Dates and explanations must be provided for each period attached as a supplement, but NOT as a replacement for please attach an additional sheet.)		-	· ·
Most Recent Employer	Telephone	•	
From (mo/yr)	To (mo/yr)		
Address	City/State		Zip
Supervisor's Name	Supervisor's Title		
Last Salary \$ Per	Position/Title		
May we contact your employer? \square Yes \square No			
Additional compensation			
Responsibilities/Duties			
Specific reason this employer would give for your leaving	ng		

Previous Employer		Telephone	
From (mo/yr)		To (mo/yr)	
Address		City/State	Zip
Supervisor's Name		Supervisor's Title	
Last Salary \$	Per	Position/Title	
Additional compensation			
Responsibilities/Duties			
		leaving	
Previous Employer		Telephone	
From (mo/yr)		To (mo/yr)	
Address		City/State	Zip
Supervisor's Name		Supervisor's Title	
Last Salary \$	Per	Position/Title	
Additional compensation			
		leaving	
Previous Employer		Telephone	
		To (mo/yr)	
		City/State	
		Supervisor's Title	·
Last Salary \$	Per	Position/Title_	
Responsibilities/Duties			
Specific reason this employer			
	_ ,	-	

business references

Signature

Please list three references with whom you have worked and who are not related to you.

Please list three references with whom you	nave worked and who are not related to you.
Name	
Title	Company
Telephone	Fax Number
Email	
Name	
Title	Company
Telephone	Fax Number
Email	
Name	
Title	Company
Telephone	Fax Number
Email	
	cation are true and correct. If employed, I realize any false information on this appli- n agreement with and acknowledge the following:
by the company. I understand an offer of	in, including any blood, urine, saliva or other drug screening test, as may be required employment may be revoked or my employment may be terminated for failure to meet luding drug screening, established by Dynegy.
2. If employed, I agree to comply with all ploclothing or equipment as required by Dyn	ant, field and company policies, rules and regulations, and to wear or use protective egy.
application or any other document submit tion or omission. I further understand and	nection with my seeking employment at Dynegy, including statements on this tted as part of the employment process, are true and are without any misrepresentad agree that any misrepresentation or omission made by me on this application or any employment process will be grounds for my immediate discharge from employment or
4. I hereby agree that, if employed, I will no company, or at anytime after my employm	ot divulge any confidential Dynegy information to third parties while employed at the ment with the company.
will not be guaranteed for any time period	oyed by Dynegy, my employment will be "at will." I understand that my employment d and may be terminated by Dynegy or me at any time for any reason. I do not have understand that no written contract of employment will be offered to me unless gy management and legal counsel.

______ Date _____

HR Use Only	Requisition No.
Only	
	Middle Initial

Dynegy Inc. voluntary AA/EEO self identification

Last Name _ First Name ___ Soc. Sec. No. Department_ Date To all applicants: Qualified applicants are considered for employment, and employees are treated during employment, without regard to race, color, religion, age, sex, national origin, disability, veteran status, marital status or any other factor protected by federal, state or local law. We value the contribution that a diverse applicant and employee population can bring to Dynegy. As part of our continuing commitment to Equal Employment Opportunity, the company has an Affirmative Action Program for women, minorities, individuals with disabilities, Vietnam-Era Veterans and Special Disabled Veterans. This Plan reflects our policy of hiring and promoting individuals based on ability and potential and without regard to those facts that have no bearing on the execution of job responsibilities. In order to help us comply with federal and state equal employment opportunity recordkeeping, reporting and other legal requirements, please answer the questions below. Provision of this information is VOLUNTARY. This information will not be used for employment decisions and will not become a part of your applicant file. This information will be kept in a Confidential File, separate from the application for employment, and will be used only in accordance with EEO regulations and with the Americans with Disabilities Act and Rehabilitation Act of 1973, as amended. section 1 ☐ Female sex: ☐ Male race/ethnic groups: (choose one) □ (1) white All persons not of Hispanic origin and having origins in any of the original peoples of Europe, North Africa or the Middle East. All persons not of Hispanic origin and having origins in any of the black racial groups of Africa. □ (2) black ☐ (3) hispanic All persons of Mexican, Puerto Rico, Cuban, Central or South American, or other Spanish culture or origin, regardless of race. All persons having origins in any of the original peoples of the Far East, Southeast Asia, the East □ (4) asian or pacific islander Indian subcontinent or the Pacific Islands (i.e., China, India, Japan, Korea, the Philippine Islands All persons having origins in any of the original peoples of North America and who maintain cultural ☐ (5) native american or alaskan native identification through tribal affiliation or community recognition. section 2 Are you a Vietnam Era Veteran? ☐ Yes □ No Vietnam Era Veteran - A person who (1) served on active duty for a period of more than 180 days, and was discharged or released therefrom with other than a dishonorable discharge, if any part of such active duty occurred in the Republic of Vietnam between February 28, 1961 and May 7, 1975, or, in all other cases, between August 5, 1964 and May 7, 1975; or (2) was discharged or released from active duty for a service-connected disability if any part of such active duty was performed during the above time frames. Do you qualify as an Other Veteran? ☐ Yes ☐ No Other Veteran - Veteran who served on active duty during a war or campaign or expedition for which a campaign badge has been authorized. Government officials may be informed where required. Your participation is voluntary - failure to respond will not result in adverse treatment. **Signature Date**