MetaL — A Library for Formalised Metatheory in Agda

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Abstract. There are now many techniques for formalising metatheory (nominal sets, higher-order abstract syntax, etc.) but, in general, each requires the syntax and rules of deduction for a system to be defined afresh, and so all the proofs of basic lemmas must be written anew when we work with a new system, and modified every time we modify the system.

In this rough diamond, we present an early version of MetaL ("Metatheory Library"), a library for formalised metatheory in Agda. There is a type Grammar of grammars with binding, and types Red G of reduction relations and Rule G of sets of rules of deduction over G: Grammar. A grammar is given by a set of constructors, whose type specifies how many arguments it takes, and how many variables are bound in each argument. Reduction relations and rules of deduction are given by patterns, or expressions involving second-order variables.

The library includes a general proof of the substitution lemma. The final version is planned to include proofs of Church-Rosser for reductions with no critical pairs, and Weakening and Substitution lemmas for appropriate sets of rules of deduction.

MetaL has been designed with the following criteria in mind. It is easy to specify a grammar, reduction rule or set of rules of deduction: the Agda definition is the same length as the definition on paper. The general results are immediately applicable. When working within a grammar G, it should be possible to define functions by induction on expressions, and prove results by induction on expressions or induction on derivations, using only Agda's built-in pattern matching.

1 Introduction

1.1 Design Criteria

This library was produced with the following design goals.

 The library should be modular. There should be a type Grammar, and results such as the Substitution Lemma should be provable 'once and for all' for all grammars.¹

¹ For future versions of the library, we wish to have a type of reduction rules over a grammar, and a type of theories (sets of rules of deduction) over a grammar.

- It should be possible for the user to define their own operations, such as path substitution
- Operations which are defined by induction on expressions should be definable
 by induction in Agda. Results which are proved by induction on expressions
 should be proved by induction in Agda.

2 Grammar

Example 1 (Simply Typed Lambda Calculus). For a running example, we will construct the grammar of the simply-typed lambda-calculus, with Church-typing and one constant ground type \bot . On paper, in BNF-style, we write the grammar as follows:

Type
$$A := \bot \mid A \to A$$

Term $M := x \mid MM \mid \lambda x : A.M$

2.1 Taxonomy

A taxonomy is a set of expression kinds, divided into variable kinds and non-variable kinds. The intention is that the expressions of the grammar are divided into expression kinds. Every variable ranges over the expressions of one (and only one) variable kind.

```
record Taxonomy : \mathsf{Set}_1 where field \mathsf{VariableKind} : \mathsf{Set} \mathsf{NonVariableKind} : \mathsf{Set} \mathsf{data} \mathsf{ExpressionKind} : \mathsf{Set} where \mathsf{varKind} : \mathsf{VariableKind} \to \mathsf{ExpressionKind} \mathsf{nonVariableKind} \to \mathsf{ExpressionKind}
```

An *alphabet* is a finite set of *variables*, to each of which is associated a variable kind. We write $\mathsf{Var} \lor \mathsf{K}$ for the set of all variables in the alphabet \lor of kind K .

```
\begin{array}{l} \text{infixl } 55\_,\_\\ \text{data } \text{Alphabet}: \text{Set where} \\ \emptyset: \text{Alphabet}\\ \_,\_: \text{Alphabet} \to \text{VariableKind} \to \text{Alphabet} \\ \\ \text{data } \text{Var}: \text{Alphabet} \to \text{VariableKind} \to \text{Set where} \\ \text{x}_0: \forall \ \{V\} \ \{K\} \to \text{Var} \ (V \ , K) \ K \\ \uparrow: \forall \ \{V\} \ \{K\} \ \{L\} \to \text{Var} \ V \ L \to \text{Var} \ (V \ , K) \ L \\ \end{array}
```

Example 2. For the simply-typed lambda-calculus, there are two expression kinds: type, which is a non-variable kind, and term, which is a variable kind:

```
data stlcVariableKind : Set where
-term : stlcVariableKind : Set where
data stlcNonVariableKind : Set where
-type : stlcNonVariableKind
stlcTaxonomy : Taxonomy
stlcTaxonomy = record {
VariableKind = stlcVariableKind ;
NonVariableKind = stlcNonVariableKind }
```

2.2 Grammar

Definition 3. An abstraction kind has the form $K_1 \to \cdots \to K_n \to L$, where each K_i is an abstraction kind, and L is an expression kind.

A constructor kind has the form $A_1 \to \cdots \to A_n \to K$, where each A_i is an abstraction kind, and K is an expression kind.

To define these, we introduce the notion of a *simple kind*: a simple kind over sets S and T is an object of the form $s_1 \to \cdots \to s_n \to t$, where each $s_i \in S$ and $t \in T$.

We implement this by saying a simple kind over S and T consists of a list of objects of S, and one object of T:

```
record SimpleKind (A B: Set): Set where constructor SK field dom: List A cod: B 
\begin{array}{l} \inf x \ 71 \  \  \, \bigcirc \\  \  \, \bigcirc \  \, : \  \, \forall \ \{A\} \ \{B\} \rightarrow B \rightarrow \text{SimpleKind } A \ B \\  \  \, b \  \, \bigcirc \  \, = \  \, \text{SK} \ [] \ b \\ \\ \lim fixr \  \, 70 \  \, \longrightarrow \  \, \  \, \quad \  \, : \  \, \forall \ \{A\} \ \{B\} \rightarrow A \rightarrow \text{SimpleKind } A \ B \rightarrow \text{SimpleKind } A \ B \\  \  \, a \  \, \longrightarrow \  \, \text{SK} \  \, dom \  \, cod = \  \, \text{SK} \  \, (a :: \  \, dom) \  \, cod \\ \end{array}
```

We can construct an object of type SKST by writing

$$s_1 \longrightarrow \cdots \longrightarrow s_n \longrightarrow t \lozenge$$
.

(The ' \Diamond ' symbol marks the end of the simple kind. It is needed to help Agda disambiguate the syntax.)

We are now able to write Definition 3 like this:

AbstractionKind = SimpleKind VariableKind ExpressionKind ConstructorKind = SimpleKind AbstractionKind ExpressionKind

A grammar over a taxonomy consists of:

- a set of *constructors*, each with an associated constructor kind;
- a function assigning, to each variable kind, an expression kind, called its *parent*. (The intention is that, when a declaration x : A occurs in a context, if x has kind K, then the kind of A is the parent of K.)

record IsGrammar (T: Taxonomy) : Set $_1$ where open Taxonomy T field Con : ConstructorKind \rightarrow Set parent : VariableKind \rightarrow ExpressionKind record Grammar : Set $_1$ where field taxonomy : Taxonomy isGrammar : IsGrammar taxonomy open Taxonomy taxonomy public open IsGrammar isGrammar public

Definition 4. We define simultaneously the set of expressions of kind K over V for every expression kind K and alphabet V; and the set of abstractions of kind A over V for every abstraction kind A and alphabet V.

- Every variable of kind K in V is an expression of kind K over V.
- If c is a constructor of kind $A_1 \to \cdots \to A_n \to K$, and M_1 is an abstraction of kind A_1, \ldots, M_n is an abstraction of kind A_n (all over V), then

$$cM_1 \cdots M_n$$

is an expression of kind K over V.

- An abstraction of kind $K_1 \rightarrow \cdots \rightarrow K_n \rightarrow L$ over V is an expression of the form

$$[x_1,\ldots,x_n]M$$

where each x_i is a variable of kind K_i , and M is an expression of kind L over $V \cup \{x_1, \ldots, x_n\}$.

In the Agda code, we define simultaneously the following four types:

- Expression VK = Subexp V-Expression K, the type of expressions of kind K;
- VExpression VK = Expression V(varKind K), a convenient shorthand when K is a variable kind;

```
- Abstraction VA, the type of abstractions of kind A over V
  - ListAbstraction VAA: if AA \equiv [A_1, \ldots, A_n], then ListAbstraction VAA is the
     type of lists of abstractions [M_1, \ldots, M_n] such that each M_i is of kind A_i.
      data Subexp (V: Alphabet) : \forall C \rightarrow \mathsf{Kind} \ C \rightarrow \mathsf{Set}
      Expression : Alphabet \rightarrow ExpressionKind \rightarrow Set
      VExpression : Alphabet \rightarrow VariableKind \rightarrow Set
      Abstraction : Alphabet \rightarrow AbstractionKind \rightarrow Set
      ListAbstraction : Alphabet \rightarrow List AbstractionKind \rightarrow Set
      Expression V K = Subexp V - Expression K
      VExpression V K = Expression V (varKind K)
      Abstraction V(SK KK L) = Expression (extend VKK) L
      ListAbstraction VAA = Subexp V-ListAbstraction AA
      infixr 5 ::
      data Subexp V where
      \operatorname{var}: \forall \{K\} \to \operatorname{Var}\ V\ K \to \operatorname{VExpression}\ V\ K
      \mathsf{app}: \forall \ \{AA\} \ \{K\} \to \mathsf{Con} \ (\mathsf{SK} \ AA \ K) \to \mathsf{ListAbstraction} \ V \ AA \to \mathsf{Expression} \ V \ K
       []: ListAbstraction V[]
       \_::\_: \forall \{A\} \{AA\} \rightarrow \mathsf{Abstraction} \ V \ A \rightarrow \mathsf{ListAbstraction} \ V \ AA \rightarrow \mathsf{ListAbstraction} \ V \ (A::AA)
Example 5. The grammar given in Example 1 has four constructors:
 -\perp, of kind type;
 -\rightarrow, of kind type \longrightarrow type \longrightarrow type
 - appl, of kind term \longrightarrow term \longrightarrow term
 -\lambda, of kind type \longrightarrow (term \longrightarrow term) \longrightarrow term
The kind of the final constructor \lambda should be read like this: \lambda takes a type A
and a term M, binds a term variable x within M, and returns a term \lambda x : A.M
      type: ExpressionKind
```

type = nonVariableKind -type

data stlcCon: ConstructorKind → Set where

stlcParent : VariableKind → ExpressionKind

-arrow : stlcCon (type $\lozenge \longrightarrow$ type $\lozenge \longrightarrow$ type \lozenge)
-app : stlcCon (term $\lozenge \longrightarrow$ term $\lozenge \longrightarrow$ term \lozenge)

-lam : stlcCon (type $\Diamond \longrightarrow$ (-term \longrightarrow term \Diamond) \longrightarrow term \Diamond)

term : ExpressionKind term = varKind -term

-bot : stlcCon (type ♦)

stlcParent - term = type

```
stlc: Grammar
 stlc = record {
  taxonomy = stlcTaxonomy ;
  isGrammar = record {
    Con = stlcCon;
    parent = stlcParent } }
Type : Alphabet \rightarrow Set
Type V = Expression V type
Term : Alphabet \rightarrow Set
Term V = Expression V term
\bot : \forall \ V \rightarrow \mathsf{Type} \ V
\perp V = app - bot []
\begin{tabular}{ll} \_\Rightarrow\_:\forall~\{\,V\}\to {\sf Type}~V\to {\sf Type}~V\to {\sf Type}~V\\ A\Rightarrow B={\sf app}~{\sf -arrow}~(A::B::[]) \end{tabular}
\mathsf{appl} : \forall \; \{ \mathit{V} \} \to \mathsf{Term} \; \; \mathit{V} \to \mathsf{Term} \; \; \mathit{V} \to \mathsf{Term} \; \; \mathit{V}
appl \ M \ N = app - app \ (M :: N :: [])
\Lambda: orall \; \{\mathit{V}\} 
ightarrow \mathsf{Type} \; \mathit{V} 
ightarrow \mathsf{Term} \; (\mathit{V} \; , \; \mathsf{-term}) 
ightarrow \mathsf{Term} \; \mathit{V}
\Lambda A M = app - lam (A :: M :: [])
```

2.3 Families of Operations

Our next aim is to define replacement and substitution. Many of the results about these two operations have very similar proofs, so in order to avoid duplicating code, we make the following definition.

Definition 6 (Family of Operations). A family of operations \Rightarrow consists of:

- for any alphabets $U, V, a \text{ set } U \Rightarrow V \text{ of operations } from U \text{ to } V;$
- for any operation $\sigma: U \Rightarrow V$ and variable x: VarUK, an expression $\sigma(x): Expression VK$
- for any alphabet V and variable kind K, an operation \uparrow : $V \Rightarrow V, K$
- for any alphabet V, an operation $1_V: V \Rightarrow V$
- for any operations $\rho: U \Rightarrow V$ and $\sigma: V \Rightarrow W$, an operation $\sigma \circ \rho: U \Rightarrow W$, the composition of σ and ρ ;
- for any operation $\sigma: U \Rightarrow V$ and variable kind K, an operation $\sigma^{\uparrow}: U, K \Rightarrow V, K$, the lifting of σ ;

such that:

```
-\uparrow(x)\equiv x \text{ for any variable } x
```

- $-1_V(x) \equiv x \text{ for any variable } x$
- $-\sigma^{\uparrow}(x_0) \equiv x_0$
- $\sigma^{\uparrow}(x) \equiv \sigma(x)[\uparrow]$
- $(\sigma \circ \rho)(x) \equiv \rho(x)[\sigma]$

where, if $E: \mathsf{Expression}\,U\,K$ and $\sigma: U \Rightarrow V$ then $E[\sigma]: \mathsf{Expression}\,V\,K$, the action of σ on E, is defined by

$$x[\sigma] \stackrel{\text{def}}{=} \sigma(x)$$

$$([x_1, \dots, x_n]E)[\sigma] \stackrel{\text{def}}{=} E[\sigma^{\uparrow \uparrow \dots \uparrow}]$$

$$(cE_1 \dots E_n)[\sigma] \stackrel{\text{def}}{=} c(E_1[\sigma]) \dots (E_n[\sigma])$$

We write $\rho \sim \sigma$ iff ρ and σ are extensionally equal, i.e. $\rho(x) \equiv \sigma(x)$ for every variable x.

The way that this is formalised in Agda is described in Appendix A.

It is easy to see that our two examples of replacement and substitution fit this pattern.

Definition 7 (Replacement). Replacement is the family of operations defined as follows.

- A replacement from U to V, $\rho: U \to_R V$, is a family of functions $\rho_K: VarUK \to VarVK$ for every variable kind K.
- For x : VarUK, define $\rho(x) \stackrel{\text{def}}{=} \rho_K(x)$.
- Define \uparrow : $V \to_R V, K \ by \uparrow_L (x) \equiv x$.
- Define $(1_V)_K(x) \equiv x$
- Define $(\sigma \circ \rho)_K(x) \equiv \sigma_K(\rho_K(x))$
- Define $\sigma_K^{\uparrow}(x_0) \equiv x_0$, and $\sigma_L^{\uparrow}(\uparrow x) \equiv \uparrow \sigma_L(x)$.

REP: OpFamily

We write $E\langle \rho \rangle$ for the action of a replacement ρ on a subexpression E.

Definition 8 (Substitution). Substitution is the family of operations defined as follows.

- A substitution from U to V, $\sigma: U \Rightarrow V$, is a family of functions $\sigma_K: VarUK \rightarrow Expression VK$ for every variable kind K.
- For x : VarUK, define $\sigma(x) \stackrel{\text{def}}{=} \sigma_K(x)$
- Define $\uparrow: V \to_R V, K \ by \uparrow_L (x) \equiv x$.
- Define $(1_V)_K(x) \equiv x$
- Define $(\sigma \circ \rho)_K(x) \equiv \rho_K(x)[\sigma]$
- Define $\sigma_K^{\uparrow}(x_0) \equiv x_0$ and $\sigma_L^{\uparrow}(\uparrow x) \equiv \sigma_L(x)\langle \uparrow \rangle$.

SUB: OpFamily

We write $E[\sigma]$ for the action of a substitution σ on a subexpression E.

Results about Families of Operations. We can prove the following results about an arbitrary family of operations.

```
Lemma 9. 1. If \rho \sim \sigma then E[\rho] \equiv E[\sigma].
                 ap-congl : \forall \{U\} \{V\} \{C\} \{K\}
                   \{ 
ho \ \sigma : \ \textit{Op} \ U \ V \} 
ightarrow 
ho \sim \textit{op} \ \sigma 
ightarrow \ orall \ (E : \ \textit{Subexp} \ U \ C \ K) 
ightarrow
                   ap \rho E \equiv ap \sigma E
 2. 1_V^{\uparrow} = 1_{V,K}
                 liftOp-idOp : \forall \{V\} \{K\} \rightarrow liftOp \ K \ (idOp \ V) \sim op \ idOp \ (V, K)
  3. E[1_V] \equiv E
                 \mathsf{ap}\mathsf{-id}\mathsf{Op}: \forall \ \{V\} \ \{C\} \ \{K\} \ \{E: \mathsf{Subexp} \ V \ C \ K\} \to \mathsf{ap} \ (\mathsf{id}\mathsf{Op} \ V) \ E \equiv E
 4. E[\sigma \circ \rho] \equiv E[\rho][\sigma]
                 ap-comp : \forall \{U \ V \ W \ C \ K\} \ (E : \textit{Subexp} \ U \ C \ K) \ \{\sigma \ \rho\} \rightarrow
                   ap H ( \circ {U} {V} {W} \sigma \rho) E \equiv \mathsf{ap} \ F \ \sigma \ (\mathsf{ap} \ G \ \rho \ E)
 5. \tau \circ (\sigma \circ \rho) \sim (\tau \circ \sigma) \circ \rho
                assoc : \forall {U} {V} {W} {X} 
 {\tau : Op W X} {\sigma : Op V W} {\rho : Op U V} \rightarrow
                  \tau \circ (\sigma \circ \rho) \sim op (\tau \circ \sigma) \circ \rho
 6. If \sigma: U \Rightarrow V then 1_V \circ \sigma \sim \sigma \sim \sigma \circ 1_U
                 unitl : \forall \{U\} \{V\} \{\sigma : \mathsf{Op}\ U\ V\} \to \mathsf{idOp}\ V \circ \sigma \sim \mathsf{op}\ \sigma
                 unitr : \forall \{U\} \{V\} \{\sigma : \mathsf{Op}\ U\ V\} \rightarrow \sigma \circ \mathsf{idOp}\ U \sim \mathsf{op}\ \sigma
```

2.4 Substitution for the Last Variables

Given an alphabet $V \cup \{x_0, \ldots, x_n\}$ and expressions E_0, \ldots, E_n , we define the substitution

$$[x_0 := E_0, \dots, x_n := E_n] : V \cup \{x_0, \dots, x_n\} \Rightarrow V$$

```
\begin{array}{l} \operatorname{botSub}: \forall \; \{\mathit{V}\} \; \{\mathit{KK}\} \to \operatorname{HetsnocList} \; (\mathsf{VExpression} \; \mathit{V}) \; \mathit{KK} \to \operatorname{Sub} \; (\mathsf{snoc\text{-extend}} \; \mathit{V} \; \mathit{KK}) \; \mathit{V} \; \\ \operatorname{botSub} \; \{\mathit{KK} = []\} \; \_ \; x = \mathsf{var} \; x \\ \operatorname{botSub} \; \{\mathit{KK} = \_ \; \mathsf{snoc} \; \_\} \; (\_ \; \mathsf{snoc} \; \mathit{E}) \; \times_0 = \mathit{E} \; \\ \operatorname{botSub} \; \{\mathit{KK} = \_ \; \mathsf{snoc} \; \_\} \; (\mathit{EE} \; \mathsf{snoc} \; \_) \; (\uparrow \; x) = \mathsf{botSub} \; \mathit{EE} \; x \\ \\ \operatorname{infix} \; 65 \; \times_0 := \_ \\ \times_0 := \_ : \; \forall \; \{\mathit{V}\} \; \{\mathit{K}\} \to \mathsf{Expression} \; \mathit{V} \; (\mathsf{varKind} \; \mathit{K}) \to \mathsf{Sub} \; (\mathit{V} \; , \; \mathit{K}) \; \mathit{V} \\ \times_0 := \; \mathit{E} \; = \; \mathsf{botSub} \; ([] \; \mathsf{snoc} \; \mathit{E}) \\ \end{array}
```

We have the following results about this substitution:

```
Lemma 10. 1. E'\langle\uparrow\rangle[x_0:=E]\equiv E
```

```
botSub-up: \forall \ \{F\} \ \{V\} \ \{K\} \ \{C\} \ \{L\} \ \{E: \textit{Expression } V \ (\textit{varKind } K)\} \ (\textit{comp}: \textit{Composition SubLF } F \ \textit{Sap } F \ (\textit{up } F) \ E' \ [\![ \ x_0 := E \ ]\!] \equiv E'
```

```
2. E'[x_0 := E][\sigma] \equiv E'[\sigma^{\uparrow}][x_0 := E[\sigma]]
```

```
•-botSub" : \forall {U} {V} {C} {K} {L} {E : Expression U (varKind K)} {\sigma : Sub U V} (F : Subexp (U , K) C L) \rightarrow F [[ x_0 := E ]] [[ \sigma ]] \equiv F [[ liftSub K \sigma ]] [[ x_0 := (E [[ \sigma ]]) ]]
```

3 Limitations

 There is no way to express that an expression depends on some variable kinds but not others. (E.g. in our simply-typed lambda calculus example: the types do not depend on the term variables.) This leads to some boilerplate that is needed, proving lemmas of the form

$$(\perp U)[\sigma] \equiv \perp V \tag{1}$$

There is a workaround for this special case. We can declare all the types as constants: This is what we used for the project PHOML.

For a general solution, we would need to parametrise alphabets by the set of variable kinds that may occur in them, and then prove results about mappings from one type of alphabet to another. We could then prove once-and-for-all versions of the lemmas like (1). It remains to be seen whether this would still be unwieldy in practice.

A Formalisation of Families of Operations

We define the type of families of operations in several stages, as follows.

A.1 Pre-family of Operations

Definition 11 (Pre-family of Operations). A pre-family of operations \Rightarrow consists of:

```
- for any alphabets U, V, a \text{ set } U \Rightarrow V \text{ of operations from } U \text{ to } V;
```

such that:

⁻ for any operation $\sigma: U \Rightarrow V$ and variable x: VarUK, an expression $\sigma(x): Expression VK$

⁻ for any alphabet V and variable kind K, an operation $\uparrow: V \Rightarrow V, K$

⁻ for any alphabet V, an operation $1_V: V \Rightarrow V$

```
\begin{array}{l} -\uparrow(x)\equiv x\\ -1_V(x)\equiv x \end{array} \begin{array}{l} \text{record PreOpFamily: Set}_2 \text{ where}\\ \text{field}\\ \text{Op: Alphabet} \rightarrow \text{Alphabet} \rightarrow \text{Set}\\ \text{apV:} \forall \ \{U\} \ \{V\} \ \{K\} \rightarrow \text{Op} \ U \ V \rightarrow \text{Var} \ U \ K \rightarrow \text{Expression} \ V \ (\text{varKind} \ K) \\ \text{up:} \forall \ \{V\} \ \{K\} \rightarrow \text{Op} \ V \ (V, \ K) \\ \text{apV-up:} \forall \ \{V\} \ \{K\} \ \{L\} \ \{x: \text{Var} \ V \ K\} \rightarrow \text{apV} \ (\text{up} \ \{K=L\}) \ x \equiv \text{var} \ (\uparrow x) \\ \text{idOp:} \forall \ V \rightarrow \text{Op} \ V \ V \\ \text{apV-idOp:} \forall \ \{V\} \ \{K\} \ (x: \text{Var} \ V \ K) \rightarrow \text{apV} \ (\text{idOp} \ V) \ x \equiv \text{var} \ x \end{array}
```

Let \Rightarrow be a pre-family of operations.

Definition 12. Two operations $\rho, \sigma: U \Rightarrow V$ are extensionally equal, $\rho \sim \sigma$, iff $\rho(x) \equiv \sigma(x)$ for all variables x.

We prove that this is an equivalence relation.

```
\begin{array}{l} \_{\circ \mathsf{op}} : \forall \; \{\mathit{U}\} \; \{\mathit{V}\} \to \mathsf{Op} \; \mathit{U} \; \mathit{V} \to \mathsf{Op} \; \mathit{U} \; \mathit{V} \to \mathsf{Set} \\ \_{\circ \mathsf{op}} \; \{\mathit{U}\} \; \{\mathit{V}\} \; \rho \; \sigma = \forall \; \{\mathit{K}\} \; (\mathit{x} : \mathsf{Var} \; \mathit{U} \; \mathit{K}) \to \mathsf{apV} \; \rho \; \mathit{x} \equiv \mathsf{apV} \; \sigma \; \mathit{x} \\ \\ \sim \mathsf{-refl} : \forall \; \{\mathit{U}\} \; \{\mathit{V}\} \; \{\sigma : \mathsf{Op} \; \mathit{U} \; \mathit{V}\} \to \sigma \; \mathsf{\sim op} \; \sigma \\ \\ \sim \mathsf{-sym} : \forall \; \{\mathit{U}\} \; \{\mathit{V}\} \; \{\sigma \; \tau : \mathsf{Op} \; \mathit{U} \; \mathit{V}\} \to \sigma \; \mathsf{\sim op} \; \tau \to \tau \; \mathsf{\sim op} \; \sigma \\ \\ \sim \mathsf{-trans} : \forall \; \{\mathit{U}\} \; \{\mathit{V}\} \; \{\rho \; \sigma \; \tau : \mathsf{Op} \; \mathit{U} \; \mathit{V}\} \to \rho \; \mathsf{\sim op} \; \sigma \to \sigma \; \mathsf{\sim op} \; \tau \to \rho \; \mathsf{\sim op} \; \tau \\ \\ \end{array}
```

A.2 Lifting

Definition 13 (Lifting). A lifting on a pre-family of operations is a mapping that, given an operation $\rho: U \Rightarrow V$ and variable kind K, returns an operation $\rho^{\uparrow}: U, K \Rightarrow V, K$.

```
record Lifting (F: \mathsf{PreOpFamily}): \mathsf{Set}_1 where open \mathsf{PreOpFamily}\ F field lift\mathsf{Op}: \forall \ \{\mathit{U}\}\ \{\mathit{V}\}\ A \to \mathsf{Op}\ \mathit{U}\ \mathit{V} \to \mathsf{Op}\ (\mathit{U}\ , A)\ (\mathit{V}\ , A) lift\mathsf{Op\text{-}cong}: \forall \ \{\mathit{V}\}\ \{\mathit{W}\}\ \{\mathit{A}\}\ \{\rho\ \sigma: \mathsf{Op}\ \mathit{V}\ \mathit{W}\} \to \rho \sim \mathsf{op}\ \sigma \to \mathsf{lift}\mathsf{Op}\ A\ \rho \sim \mathsf{op}\ \mathsf{lift}\mathsf{Op}\ A\ \sigma
```

Given a pre-family of operations and a lifting, we can define the action of an operation on a subexpression:

$$x[\sigma] \stackrel{\text{def}}{=} \sigma(x)$$

$$([x_1, \dots, x_n]E)[\sigma] \stackrel{\text{def}}{=} E[\sigma^{\uparrow \uparrow \dots \uparrow}]$$

$$(cE_1 \dots E_n)[\sigma] \stackrel{\text{def}}{=} c(E_1[\sigma]) \dots (E_n[\sigma])$$

```
\begin{array}{l} \operatorname{ap}:\forall\;\{\mathit{U}\}\;\{\mathit{V}\}\;\{\mathit{C}\}\;\{\mathit{K}\}\to\operatorname{Op}\;\mathit{U}\;\mathit{V}\to\operatorname{Subexp}\;\mathit{U}\;\mathit{C}\;\mathit{K}\to\operatorname{Subexp}\;\mathit{V}\;\mathit{C}\;\mathit{K}\\ \operatorname{ap}\;\rho\;(\operatorname{var}\;x)=\operatorname{apV}\;\rho\;x\\ \operatorname{ap}\;\rho\;(\operatorname{app}\;c\;\mathit{EE})=\operatorname{app}\;c\;(\operatorname{ap}\;\rho\;\mathit{EE})\\ \operatorname{ap}\;\_[]=[]\\ \operatorname{ap}\;\rho\;(\_{::}\;\_\{\mathit{A}=\operatorname{SK}\;\mathit{AA}\;\_\}\;\mathit{E}\;\mathit{EE})=\operatorname{ap}\;(\operatorname{liftsOp}\;\mathit{AA}\;\rho)\;\mathit{E}::\operatorname{ap}\;\rho\;\mathit{EE} \end{array}
```

A.3 Pre-family of Operations with Lifting

Definition 14 (Pre-family of Operations with Lifting). A pre-family of operations with lifting is given by a pre-family of operations \Rightarrow and a lifting \uparrow such that:

```
\begin{split} &-\sigma^{\uparrow}(x_0) \equiv x_0 \\ &-\sigma^{\uparrow}(\uparrow x) \equiv \sigma(x)[\uparrow] \\ &\text{record IsLiftFamily } (F: \mathsf{PreOpFamily}) \; (L: \mathsf{Lifting} \; F) : \mathsf{Set}_1 \; \mathsf{where} \\ &\mathsf{open} \; \mathsf{PreOpFamily} \; F \\ &\mathsf{open} \; \mathsf{Lifting} \; L \\ &\mathsf{field} \\ &\mathsf{liftOp-x_0} : \forall \; \{\mathit{U}\} \; \{\mathit{V}\} \; \{\mathit{K}\} \; \{\sigma: \mathsf{Op} \; \mathit{U} \; \mathit{V}\} \; \rightarrow \\ &\mathsf{apV} \; (\mathsf{liftOp} \; \mathit{K} \; \sigma) \; \mathsf{x_0} \equiv \mathsf{var} \; \mathsf{x_0} \\ &\mathsf{liftOp-\uparrow} : \forall \; \{\mathit{U}\} \; \{\mathit{V}\} \; \{\mathit{K}\} \; \{\mathit{L}\} \; \{\sigma: \mathsf{Op} \; \mathit{U} \; \mathit{V}\} \; (x: \mathsf{Var} \; \mathit{U} \; \mathit{L}) \; \rightarrow \\ &\mathsf{apV} \; (\mathsf{liftOp} \; \mathit{K} \; \sigma) \; (\uparrow \; x) \equiv \mathsf{ap} \; \mathsf{up} \; (\mathsf{apV} \; \sigma \; x) \end{split}
```

A.4 Composition

Definition 15 (Composition). Let \Rightarrow_1 , \Rightarrow_2 and \Rightarrow_3 be pre-families of operations with liftings. A composition $\circ: (\Rightarrow_1); (\Rightarrow_2) \to (\Rightarrow_3)$ is a family of mappings

$$\circ_{UVW}: (V \Rightarrow_1 W) \times (U \Rightarrow_2 V) \rightarrow (U \Rightarrow_3 W)$$

for all alphabets U. V. W such that:

$$(\sigma \circ \rho)^{\uparrow} \sim \sigma^{\uparrow} \circ \rho^{\uparrow}$$
$$(\sigma \circ \rho)(x) \equiv \rho(x)[\sigma]$$

for all ρ , σ , x.

```
record Composition (F\ G\ H: LiftFamily): Set where infix 25 _o_ field _o_: \forall\ \{U\}\ \{V\}\ \{W\} \to \operatorname{Op}\ F\ V\ W \to \operatorname{Op}\ G\ U\ V \to \operatorname{Op}\ H\ U\ W liftOp-comp': \forall\ \{U\ V\ W\ K\ \sigma\ \rho\} \to _op_ H\ (\operatorname{liftOp}\ H\ K\ (_o_=\ \{U\}\ \{V\}\ \{W\}\ \sigma\ \rho)) (liftOp F\ K\ \sigma o liftOp G\ K\ \rho) - TODO Prove this apV-comp: \forall\ \{U\}\ \{V\}\ \{W\}\ \{G\}\ \{\rho\}\ \{x: \operatorname{Var}\ U\ K\} \to \operatorname{apV}\ H\ (_o_=\ \{U\}\ \{V\}\ \{W\}\ \sigma\ \rho)\ x \equiv \operatorname{ap}\ F\ \sigma\ (\operatorname{apV}\ G\ \rho\ x)
```

Let us write $[\]_1, [\]_2, [\]_3$ for the action of $\Rightarrow_1, \Rightarrow_2, \Rightarrow_3$ respectively.

Lemma 16. If \circ is a composition, then $E[\sigma \circ \rho]_3 \equiv E[\rho]_2[\sigma]_1$.

```
\begin{array}{l} \mathsf{ap\text{-}comp} : \forall \ \{\mathit{U}\ \mathit{V}\ \mathit{W}\ \mathit{C}\ \mathit{K}\}\ (\mathit{E} : \mathsf{Subexp}\ \mathit{U}\ \mathit{C}\ \mathit{K})\ \{\sigma\ \rho\} \rightarrow \\ \mathsf{ap}\ \mathit{H}\ (\_\circ\_\ \{\mathit{U}\}\ \{\mathit{V}\}\ \{\mathit{W}\}\ \sigma\ \rho)\ \mathit{E} \equiv \mathsf{ap}\ \mathit{F}\ \sigma\ (\mathsf{ap}\ \mathit{G}\ \rho\ \mathit{E}) \end{array}
```

Lemma 17. Let \Rightarrow_1 , \Rightarrow_2 , \Rightarrow_3 , \Rightarrow_4 be pre-families of operations with liftings. Suppose there exist compositions (\Rightarrow_1) ; $(\Rightarrow_2) \to (\Rightarrow_4)$ and (\Rightarrow_2) ; $(\Rightarrow_3) \to (\Rightarrow_4)$. Let $\sigma: U \Rightarrow_2 V$. Suppose further that $E[\uparrow]_1 \equiv E[\uparrow]_2$ for all E. Then

$$E[\uparrow]_3[\sigma^{\uparrow}]_2 \equiv E[\sigma]_2[\uparrow]_1$$

for all E.

```
 \begin{array}{l} \mathsf{lift}\mathsf{Op\text{-}up\text{-}mixed} : \forall \ \{F\} \ \{G\} \ \{H\} \ \{F'\} \ (\mathit{comp}_1 : \mathsf{Composition} \ F \ G \ H) \ (\mathit{comp}_2 : \mathsf{Composition} \ F' \ F \ H) \\ \{U\} \ \{V\} \ \{C\} \ \{K\} \ \{L\} \ \{\sigma : \mathsf{Op} \ F \ U \ V\} \ \to \\ (\forall \ \{V\} \ \{C\} \ \{K\} \ \{L\} \ \{E : \mathsf{Subexp} \ V \ C \ K\} \ \to \ \mathsf{ap} \ F \ (\mathsf{up} \ F \ \{V\} \ \{L\}) \ E \equiv \mathsf{ap} \ F' \ (\mathsf{up} \ F' \ \{V\} \ \{L\}) \ E) \ \to \\ \forall \ \{E : \mathsf{Subexp} \ U \ C \ K\} \ \to \ \mathsf{ap} \ F \ (\mathsf{lift}\mathsf{Op} \ F \ L \ \sigma) \ (\mathsf{ap} \ G \ (\mathsf{up} \ G) \ E) \equiv \mathsf{ap} \ F' \ (\mathsf{up} \ F') \ (\mathsf{ap} \ F \ \sigma \ E) \\ \end{array}
```

Proof. Let $\circ_1: (\Rightarrow_1); (\Rightarrow_2) \to (\Rightarrow_4)$ and $\circ_2: (\Rightarrow_2); (\Rightarrow_3) \to (\Rightarrow_4)$. We have $E[\uparrow]_3[\sigma^{\uparrow}]_2 \equiv E[\sigma^{\uparrow} \circ_2 \uparrow]_4$ and $E[\sigma]_2[\uparrow]_1 \equiv E[\uparrow \circ_1 \sigma]_4$, so it is sufficient to prove that $\sigma^{\uparrow} \circ_2 \uparrow \sim \uparrow \circ_1 \sigma$.

We have

$$(\sigma^{\uparrow} \circ_2 \uparrow)(x) \equiv \sigma^{\uparrow}(\uparrow (x))$$
$$\equiv \sigma(x)[\uparrow]_2$$
$$\equiv (\uparrow \circ_1 \sigma)(x) \square$$

A.5 Family of Operations

Definition 18 (Family of Operations). A family of operations consists of a pre-family with lifting \Rightarrow and a composition $\circ : (\Rightarrow); (\Rightarrow) \rightarrow (\Rightarrow)$.

record OpFamily : Set_2 where

field

liftFamily : LiftFamily

comp : Composition liftFamily liftFamily liftFamily

open LiftFamily liftFamily public open Composition comp public