# MetaL — A Library for Formalised Metatheory in Agda

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## 1 Introduction

## 1.1 Design Criteria

This library was produced with the following design goals.

- The library should be modular. There should be a type Grammar, and results such as the Substitution Lemma should be provable 'once and for all' for all grammars.<sup>1</sup>
- It should be possible for the user to define their own operations, such as path substitution
- Operations which are defined by induction on expressions should be definable by induction in Agda. Results which are proved by induction on expressions should be proved by induction in Agda.

# 2 Grammar

**Example 2.1** (Simply Typed Lambda Calculus). For a running example, we will construct the grammar of the simply-typed lambda-calculus, with Church-typing and one constant ground type  $\bot$ . On paper, in BNF-style, we write the grammar as follows:

Type 
$$A ::= \bot \mid A \to A$$
  
Term  $M ::= x \mid MM \mid \lambda x : A.M$ 

#### 2.1 Taxonomy

A taxonomy is a set of expression kinds, divided into variable kinds and non-variable kinds. The intention is that the expressions of the grammar are divided

<sup>&</sup>lt;sup>1</sup>For future versions of the library, we wish to have a type of reduction rules over a grammar, and a type of theories (sets of rules of deduction) over a grammar.

into expression kinds. Every variable ranges over the expressions of one (and only one) variable kind.

```
record Taxonomy: Set₁ where
field
VariableKind: Set
NonVariableKind: Set

data ExpressionKind: Set where
varKind: VariableKind → ExpressionKind
nonVariableKind: NonVariableKind → ExpressionKind
```

An alphabet is a finite set of variables, to each of which is associated a variable kind. We write  $\mathsf{Var} \ \mathsf{V} \ \mathsf{K}$  for the set of all variables in the alphabet  $\mathsf{V}$  of kind  $\mathsf{K}$ .

```
infixl 55 _ , _ data Alphabet : Set where \emptyset : Alphabet _ , _ : Alphabet \rightarrow VariableKind \rightarrow Alphabet data Var : Alphabet \rightarrow VariableKind \rightarrow Set where \times_0 : \forall { V} {K} \rightarrow Var ( V , K) K \uparrow : \forall { V} {K} {L} \rightarrow Var ( V , V) V
```

**Example 2.2.** For the simply-typed lambda-calculus, there are two expression kinds: type, which is a non-variable kind, and term, which is a variable kind:

```
data stlcVariableKind : Set where
-term : stlcVariableKind : Set where
data stlcNonVariableKind : Set where
-type : stlcNonVariableKind
stlcTaxonomy : Taxonomy
stlcTaxonomy = record {
VariableKind = stlcVariableKind ;
NonVariableKind = stlcNonVariableKind }
```

#### 2.2 Grammar

**Definition 2.3.** An abstraction kind has the form  $K_1 \to \cdots \to K_n \to L$ , where each  $K_i$  is an abstraction kind, and L is an expression kind.

A constructor kind has the form  $A_1 \to \cdots \to A_n \to K$ , where each  $A_i$  is an abstraction kind, and K is an expression kind.

To define these, we introduce the notion of a *simple kind*: a simple kind over sets S and T is an object of the form  $s_1 \to \cdots \to s_n \to t$ , where each  $s_i \in S$  and  $t \in T$ .

We implement this by saying a simple kind over S and T consists of a list of objects of S, and one object of T:

We can construct an object of type SK ST by writing

$$s_1 \longrightarrow \cdots \longrightarrow s_n \longrightarrow t \lozenge$$
.

(The ' $\Diamond$ ' symbol marks the end of the simple kind. It is needed to help Agda disambiguate the syntax.)

We are now able to write Definition 2.3 like this:

```
AbstractionKind = SimpleKind VariableKind ExpressionKind ConstructorKind = SimpleKind AbstractionKind ExpressionKind
```

A grammar over a taxonomy consists of:

- a set of *constructors*, each with an associated constructor kind;
- a function assigning, to each variable kind, an expression kind, called its *parent*. (The intention is that, when a declaration x:A occurs in a context, if x has kind K, then the kind of A is the parent of K.)

```
record IsGrammar (T: Taxonomy): Set<sub>1</sub> where open Taxonomy T field

Con: ConstructorKind \rightarrow Set parent: VariableKind \rightarrow ExpressionKind

record Grammar: Set<sub>1</sub> where field
```

taxonomy: Taxonomy

isGrammar : IsGrammar taxonomy open Taxonomy taxonomy public open IsGrammar isGrammar public

**Definition 2.4.** We define simultaneously the set of *expressions* of kind K over V for every expression kind K and alphabet V; and the set of *abstractions* of kind A over V for every abstraction kind A and alphabet V.

- Every variable of kind K in V is an expression of kind K over V.
- If c is a constructor of kind  $A_1 \to \cdots \to A_n \to K$ , and  $M_1$  is an abstraction of kind  $A_1, \ldots, M_n$  is an abstraction of kind  $A_n$  (all over V), then

$$cM_1\cdots M_n$$

is an expression of kind K over V.

• An abstraction of kind  $K_1 \to \cdots \to K_n \to L$  over V is an expression of the form

$$[x_1,\ldots,x_n]M$$

where each  $x_i$  is a variable of kind  $K_i$ , and M is an expression of kind L over  $V \cup \{x_1, \ldots, x_n\}$ .

In the Agda code, we define simultaneously the following four types:

- • Expression VK = Subexp V-Expression K, the type of expressions of kind K
- VExpression VK = Expression V(varKind K), a convenient shorthand when K is a variable kind;
- Abstraction VA, the type of abstractions of kind A over V
- ListAbstraction VAA: if  $AA \equiv [A_1, \ldots, A_n]$ , then ListAbstraction VAA is the type of lists of abstractions  $[M_1, \ldots, M_n]$  such that each  $M_i$  is of kind  $A_i$ .

data Subexp (V: Alphabet) :  $\forall C \rightarrow \mathsf{Kind}\ C \rightarrow \mathsf{Set}$ 

 $\begin{array}{l} \mathsf{Expression}: \ \mathsf{Alphabet} \to \mathsf{ExpressionKind} \to \mathsf{Set} \\ \mathsf{VExpression}: \ \mathsf{Alphabet} \to \mathsf{VariableKind} \to \mathsf{Set} \\ \end{array}$ 

 $\mathsf{Abstraction}: \mathsf{Alphabet} \to \mathsf{AbstractionKind} \to \mathsf{Set}$ 

 $\mathsf{ListAbstraction} : \mathsf{Alphabet} \to \mathsf{List} \; \mathsf{AbstractionKind} \to \mathsf{Set}$ 

Expression V K = Subexp V-Expression K

VExpression V K = Expression V (varKind K)

Abstraction V (SK KKL) = Expression (extend V KK) L

ListAbstraction VAA = Subexp V-ListAbstraction AA

```
\begin{array}{l} \text{infixr 5} \ \_ :: \_ \\ \textbf{data Subexp} \ V \ \textbf{where} \\ \textbf{var} : \ \forall \ \{K\} \rightarrow \textbf{Var} \ V \ K \rightarrow \textbf{VExpression} \ V \ K \\ \textbf{app} : \ \forall \ \{AA\} \ \{K\} \rightarrow \textbf{Con} \ (\textbf{SK} \ AA \ K) \rightarrow \textbf{ListAbstraction} \ V \ AA \rightarrow \textbf{Expression} \ V \ K \\ \ [] : \ \textbf{ListAbstraction} \ V \ [] \\ \ \_ :: \ \ \exists \ \{A\} \ \{AA\} \rightarrow \textbf{Abstraction} \ V \ A \rightarrow \textbf{ListAbstraction} \ V \ AA \rightarrow \textbf{ListAbstraction} \ V \ (A :: AA) \end{array}
```

**Example 2.5.** The grammar given in Example 2.1 has four constructors:

- $\perp$ , of kind type;
- $\rightarrow$ , of kind type  $\longrightarrow$  type  $\longrightarrow$  type
- appl, of kind term  $\longrightarrow$  term  $\longrightarrow$  term
- $\lambda$ , of kind type  $\longrightarrow$  (term  $\longrightarrow$  term)  $\longrightarrow$  term

The kind of the final constructor  $\lambda$  should be read like this:  $\lambda$  takes a type A and a term M, binds a term variable x within M, and returns a term  $\lambda x : A.M$ 

```
type: ExpressionKind
type = nonVariableKind -type
term: ExpressionKind
term = varKind -term
data stlcCon: ConstructorKind \rightarrow Set where
 -bot : stlcCon (type ♦)
 -arrow : stlcCon (type \Diamond \longrightarrow \mathsf{type} \ \Diamond \longrightarrow \mathsf{type} \ \Diamond)
 -\mathsf{app}: \mathsf{stlcCon} \; (\mathsf{term} \; \Diamond \longrightarrow \mathsf{term} \; \Diamond)
 -lam : stlcCon (type \Diamond \longrightarrow (-term \longrightarrow term \Diamond) \longrightarrow term \Diamond)
stlcParent : VariableKind \rightarrow ExpressionKind
stlcParent - term = type
stlc: Grammar
stlc = record {
 taxonomy = stlcTaxonomy;
 isGrammar = record {
  Con = stlcCon;
  parent = stlcParent } }
Type : Alphabet \rightarrow Set
Type V = Expression V type
Term : Alphabet \rightarrow Set
```

# 3 Limitations

• There is no way to express that an expression depends on some variable kinds but not others. (E.g. in our simply-typed lambda calculus example: the types do not depend on the term variables.) This leads to some boilerplate that is needed, proving lemmas of the form

$$(\perp U)[\sigma] \equiv \perp V \tag{1}$$

There is a workaround for this special case. We can declare all the types as constants: This is what we used for the project PHOML.

For a general solution, we would need to parametrise alphabets by the set of variable kinds that may occur in them, and then prove results about mappings from one type of alphabet to another. We could then prove once-and-for-all versions of the lemmas like (1). It remains to be seen whether this would still be unwieldy in practice.