## Mathematics

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# Part I Set Theory

## Chapter 1

## Primitive Terms and Axioms

#### 1.1 Primitive Terms

Let there be sets.

For any set A, let there be *elements* of A. We write  $a \in A$  for: a is an element of A.

For any sets A and B, let there be a set  $B^A$ , whose elements are called functions from A to B. We write  $f: A \to B$  for  $f \in B^A$ .

For any function  $f:A\to B$  and element  $a\in A$ , let there be an element  $f(a)\in B$ , the value of the function f at the argument a.

## 1.2 Injections, Surjections and Bijections

**Definition 1.2.1** (Injective). A function  $f: A \to B$  is injective or an injection iff, for all  $x, y \in A$ , if f(x) = f(y) then x = y.

**Definition 1.2.2** (Surjective). A function  $f: A \to B$  is surjective or a surjection iff, for all  $y \in B$ , there exists  $x \in A$  such that f(x) = y.

**Definition 1.2.3** (Bijective). A function  $f: A \to B$  is bijective or a bijection iff it is injective and surjective.

Sets A and B are equinumerous,  $A \approx B$ , iff there exists a bijection between them.

If we prove there exists a set X such that P(X), and that any two sets that satisfy P are bijective, then we may introduce a constant C and define "Let C be the set such that P(C)".

#### 1.3 Axioms

**Axiom Schema 1.3.1** (Choice). Let P[X,Y,x,y] be a formula where X and Y are set variables,  $x \in X$  and  $y \in Y$ . Then the following is an axiom.

Let A and B be sets. Assume that, for all  $a \in A$ , there exists  $b \in B$  such that P[A, B, a, b]. Then there exists a function  $f : A \to B$  such that  $\forall a \in A.P[A, B, a, f(a)]$ .

**Axiom 1.3.2** (Extensionality). Let  $f, g : A \to B$ . If, for all  $x \in A$ , we have f(x) = g(x), then f = g.

**Definition 1.3.3** (Composition). Let  $f: A \to B$  and  $g: B \to C$ . The *composite*  $g \circ f: A \to C$  is the function such that, for all  $a \in A$ , we have

$$(g \circ f)(a) = g(f(a)) .$$

**Axiom 1.3.4** (Pairing). For any sets A and B, there exists a set  $A \times B$ , the Cartesian product of A and B, and functions  $\pi_1 : A \times B \to A$  and  $\pi_2 : A \times B \to B$  such that, for all  $a \in A$  and  $b \in B$ , there exists a unique  $(a,b) \in A \times B$  such that  $\pi_1(a,b) = a$  and  $\pi_2(a,b) = b$ .

**Axiom Schema 1.3.5** (Separation). For every property P[X, x] where X is a set variable and  $x \in X$ , the following is an axiom:

For every set A, there exists a set  $S = \{x \in A : P[A, x]\}$  and an injection  $i: S \to A$  such that, for all  $x \in A$ , we have

$$(\exists y \in S.i(y) = x) \Leftrightarrow P[A, x]$$
.

**Axiom 1.3.6** (Infinity). There exists a set  $\mathbb{N}$ , an element  $0 \in \mathbb{N}$ , and a function  $s : \mathbb{N} \to \mathbb{N}$  such that:

- $\forall n \in \mathbb{N}. s(n) \neq 0$
- $\forall m, n \in \mathbb{N}.s(m) = s(n) \Rightarrow m = n.$

**Axiom Schema 1.3.7** (Collection). Let P[X, Y, x] be a formula with set variables X and Y and an element variable  $x \in X$ . Then the following is an axiom.

For any set A, there exist sets B and Y and functions  $p: B \to A$ , and  $m: B \times Y \Rightarrow \mathbb{N}$  such that:

- m is injective.
- $\forall b \in B.P[A, \{y \in Y : m(b, y) = 0\}, p(b)]$
- For all  $a \in A$ , if  $\exists Y.P[A,Y,a]$ , then there exists  $b \in B$  such that a = p(b).

**Axiom 1.3.8** (Universe). There exists a set E, a set U and a function  $el: E \to U$  such that the following holds.

Let us say that a set A is small iff there exists  $u \in U$  such that  $A \approx \{e \in E : el(e) = u\}$ .

1.3. AXIOMS 13

- $\mathbb{N}$  is small.
- For any U-small sets A and B, the set  $B^A$  is small.
- $\bullet$  For any U-small sets A and B, the set  $A \times B$  is small.
- Let  $f: A \to B$  be a function. If B is small and  $\{a \in A : f(a) = b\}$  is small for all  $b \in B$ , then A is small.
- If  $p: B \twoheadrightarrow A$  is a surjective function such that A is small, then there exists a U-small set C, a surjection  $q: C \twoheadrightarrow A$ , and a function  $f: C \rightarrow B$  such that  $q = p \circ f$ .

## Chapter 2

## **Sets and Functions**

#### 2.1 Composition

```
Proposition 2.1.1. Given functions f: A \to B, g: B \to C and h: C \to D, we have
```

$$h \circ (g \circ f) = (h \circ g) \circ f$$
.

```
Proof:
```

```
| Algorithms | Al
```

#### 2.1.1 Injections

**Proposition 2.1.2.** The composite of injective functions is injective.

```
Proof:
```

```
\langle 1 \rangle 1. Let: A, B and C be sets.

\langle 1 \rangle 2. Let: f: A \to B

\langle 1 \rangle 3. Let: g: B \to C

\langle 1 \rangle 4. Assume: g is injective.

\langle 1 \rangle 5. Assume: f is injective.

\langle 1 \rangle 6. Let: x, y \in A

\langle 1 \rangle 7. Assume: (g \circ f)(x) = (g \circ f)(y)
```

```
Prove: x = y
\langle 1 \rangle 8. \ g(f(x)) = g(f(y))
   Proof:
                g(f(x)) = (g \circ f)(x)
                                                          (definition of composition)
                            = (g \circ f)(y)
                                                                                       (\langle 1 \rangle 7)
                            =g(f(y))
                                                           (definition of composition)
\langle 1 \rangle 9. \ f(x) = f(y)
   Proof: \langle 1 \rangle 4, \langle 1 \rangle 8
\langle 1 \rangle 10. x = y
   Proof: \langle 1 \rangle 5, \langle 1 \rangle 9
Proposition 2.1.3. For functions f: A \to B and g: B \to C, if g \circ f is
injective then f is injective.
Proof:
\langle 1 \rangle 1. Let: A, B and C be sets.
\langle 1 \rangle 2. Let: f: A \to B
\langle 1 \rangle 3. Let: g: B \to C
\langle 1 \rangle 4. Assume: q \circ f is injective.
\langle 1 \rangle 5. Let: x, y \in A
\langle 1 \rangle 6. Assume: f(x) = f(y)
\langle 1 \rangle 7. \ (g \circ f)(x) = (g \circ f)(y)
   Proof:
                (g \circ f)(x) = g(f(x))
                                                           (definition of composition)
                              = g(f(y))
                                                                                        (\langle 1 \rangle 6)
                              = (g \circ f)(y)
                                                           (definition of composition)
\langle 1 \rangle 8. \ x = y
   Proof: \langle 1 \rangle 4, \langle 1 \rangle 7
Proposition 2.1.4. Let f: A \to B be injective. For every set X and functions
x,y:X\to A, if f\circ x=f\circ y then x=y.
```

Proof:

```
\langle 1 \rangle 1. Assume: f is injective.
\langle 1 \rangle 2. Let: X be a set.
\langle 1 \rangle 3. Let: x, y : X \to A
\langle 1 \rangle 4. Assume: f \circ x = f \circ y
\langle 1 \rangle 5. \ \forall t \in X. x(t) = y(t)
   \langle 2 \rangle 1. Let: t \in X
   \langle 2 \rangle 2. f(x(t)) = f(y(t))
      Proof:
                     f(x(t)) = (f \circ x)(t)
                                                                 (definition of composition)
                                 = (f \circ y)(t)
                                                                                                 (\langle 1 \rangle 4)
                                 = f(y(t))
                                                                 (definition of composition)
```

```
\langle 2 \rangle 3. \ x(t) = y(t)

PROOF: \langle 1 \rangle 1, \langle 2 \rangle 2

\langle 1 \rangle 6. \ x = y

PROOF: Axiom of Extensionality, \langle 1 \rangle 5
```

We will prove the converse as Proposition 2.5.4.

#### 2.1.2 Surjections

**Proposition 2.1.5.** The composite of surjective functions is surjective.

```
Proof:
\langle 1 \rangle 1. Let: A, B and C be sets.
\langle 1 \rangle 2. Let: f: A \to B and g: B \to C
\langle 1 \rangle 3. Assume: g is surjective.
\langle 1 \rangle 4. Assume: f is surjective.
\langle 1 \rangle5. Let: c \in C
\langle 1 \rangle 6. Pick b \in B such that g(b) = c.
    Proof: \langle 1 \rangle 3
\langle 1 \rangle 7. PICK a \in A such that f(a) = b.
   Proof: \langle 1 \rangle 4
\langle 1 \rangle 8. \ (g \circ f)(a) = c
    Proof:
                   (g \circ f)(a) = g(f(a))
                                                               (definition of composition)
                                  = g(b)
                                                                                               (\langle 1 \rangle 7)
                                                                                               (\langle 1 \rangle 6)
                                  = c
```

**Proposition 2.1.6.** Let  $f: A \to B$  and  $g: B \to C$ . If  $g \circ f$  is surjective then g is surjective.

```
PROOF:  \langle 1 \rangle 1. \text{ Let: } A, B \text{ and } C \text{ be sets.}   \langle 1 \rangle 2. \text{ Let: } f: A \to B \text{ and } g: B \to C.   \langle 1 \rangle 3. \text{ Assume: } g \circ f \text{ is surjective.}   \langle 1 \rangle 4. \text{ Let: } c \in C   \langle 1 \rangle 5. \text{ Pick } a \in A \text{ such that } (g \circ f)(a) = c   \text{PROOF: } \langle 1 \rangle 3   \langle 1 \rangle 6. \ g(f(a)) = c   \text{PROOF: From } \langle 1 \rangle 5 \text{ and the definition of composition.}   \langle 1 \rangle 7. \text{ Q.E.D.}   \text{PROOF: There exists } b \in B \text{ such that } g(b) = c, \text{ namely } b = f(a).   \square
```

**Proposition 2.1.7.** Let  $f: A \to B$  be a surjection. For any set X and functions  $x, y: B \to X$ , if  $x \circ f = y \circ f$  then x = y.

Proof:

 $\langle 1 \rangle 1$ . Let:  $b \in B$ 

 $\langle 1 \rangle 2$ . PICK  $a \in A$  such that f(a) = b

 $\langle 1 \rangle 3. \ x(f(a)) = y(f(a))$ 

 $\langle 1 \rangle 4$ . x(b) = y(b)

 $\langle 1 \rangle$ 5. Q.E.D.

Proof: Axiom of Extensionality.

We will prove the converse as Proposition 2.6.2.

#### 2.1.3 Bijections

**Proposition 2.1.8.** The composite of bijections is a bijection.

Proof:

 $\langle 1 \rangle 1$ . Let: A, B and C be sets.

 $\langle 1 \rangle 2$ . Let:  $f: A \to B$  and  $g: B \to C$ 

 $\langle 1 \rangle 3$ . Assume: g is bijective.

 $\langle 1 \rangle 4$ . Assume: f is bijective.

 $\langle 1 \rangle$ 5. g is injective.

PROOF: From  $\langle 1 \rangle 3$ .

 $\langle 1 \rangle 6$ . g is surjective.

PROOF: From  $\langle 1 \rangle 3$ .

 $\langle 1 \rangle 7$ . f is injective.

PROOF: From  $\langle 1 \rangle 4$ .

 $\langle 1 \rangle 8$ . f is surjective.

PROOF: From  $\langle 1 \rangle 4$ .

 $\langle 1 \rangle 9$ .  $g \circ f$  is injective.

PROOF: Proposition 2.1.2,  $\langle 1 \rangle 5$ ,  $\langle 1 \rangle 7$ .

 $\langle 1 \rangle 10$ .  $g \circ f$  is surjective.

PROOF: Proposition 2.1.5,  $\langle 1 \rangle 6$ ,  $\langle 1 \rangle 8$ .

 $\langle 1 \rangle 11$ .  $g \circ f$  is bijective.

Proof:  $\langle 1 \rangle 9, \langle 1 \rangle 10$ 

2.1.4 Equinumerosity

Proposition 2.1.9.

$$(A \times B)^C \approx A^C \times B^C$$

PROOF: The function that maps f to  $(\pi_1 \circ f, \pi_2 \circ f)$  is a bijection.  $\square$ 

Proposition 2.1.10.

$$A^{B\times C}\approx (A^B)^C$$

PROOF: The function  $\Phi$  such that  $\Phi(f)(c)(b) = f(b,c)$  is a bijection.  $\square$ 

#### 2.2 Domination

**Definition 2.2.1** (Dominate). Let A and B be sets. We say that B dominates A, and write  $A \leq B$ , iff there exists an injective function  $A \to B$ .

**Theorem 2.2.2** (Schroeder-Bernstein). Let A and B be sets. If  $A \leq B$  and  $B \leq A$  then  $A \approx B$ .

Proof:

 $\langle 1 \rangle 1$ . Let:  $f: A \rightarrow B$  and  $g: B \rightarrow A$  be injections.

 $\langle 1 \rangle 2$ . Define the subsets  $A_n$  of A by

$$A_0 := A - g(B)$$
$$A_{n+1} := g(f(A_n))$$

 $\langle 1 \rangle 3$ . Define  $h: A \to B$  by

$$h(x) = \begin{cases} f(x) & \text{if } \exists n.x \in A_n \\ g^{-1}(x) & \text{otherwise} \end{cases}$$

 $\langle 1 \rangle 4$ . h is injective.

 $\langle 2 \rangle 1$ . Let:  $x, y \in A$ 

 $\langle 2 \rangle 2$ . Assume: h(x) = h(y)

 $\langle 2 \rangle 3$ . Case:  $x \in A_m$  and  $y \in A_n$ .

PROOF: Then f(x) = f(y) so x = y since f is injective.

 $\langle 2 \rangle 4$ . Case:  $x \in A_m$  and there is no y such that  $y \in A_n$ .

 $\langle 3 \rangle 1. \ f(x) = g^{-1}(y)$ 

 $\langle 3 \rangle 2.$  y = g(f(x))

 $\langle 3 \rangle 3. \ y \in A_{m+1}$ 

 $\langle 3 \rangle 4$ . Q.E.D.

PROOF: This is a contradiction.

 $\langle 2 \rangle 5$ . Case:  $y \in A_n$  and there is no m such that  $x \in A_m$ .

PROOF: Similar.

 $\langle 2 \rangle$ 6. CASE: There is no m such that  $x \in A_m$  and there is no n such that  $y \in A_n$ .

PROOF: Then  $g^{-1}(x) = g^{-1}(y)$  and so x = y.

 $\langle 1 \rangle$ 5. h is surjective.

 $\langle 2 \rangle 1$ . Let:  $y \in B$ 

 $\langle 2 \rangle 2$ . Case:  $g(y) \in A_n$ 

 $\langle 3 \rangle 1. \ n \neq 0$ 

 $\langle 3 \rangle 2$ . PICK  $x \in A_{n-1}$  such that g(y) = g(f(x))

 $\langle 3 \rangle 3. \ y = f(x)$ 

 $\langle 3 \rangle 4. \ y = h(x)$ 

 $\langle 2 \rangle 3$ . Case: There is no n such that  $g(y) \in A_n$ .

PROOF: Then h(g(y)) = y.

#### 2.3 Identity Function

**Definition 2.3.1** (Identity). For any set A, the *identity* function  $id_A : A \to A$  is the function defined by  $id_A(a) = a$ .

#### 2.3.1 Injections, Surjections, Bijections

**Proposition 2.3.2.** For any set A, the identity function  $id_A$  is a bijection.

```
Proof:
```

- $\langle 1 \rangle 1$ . Let: A be a set.
- $\langle 1 \rangle 2$ . id<sub>A</sub> is injective.

PROOF: If  $id_A(x) = id_A(y)$  then x = y.

 $\langle 1 \rangle 3$ . id<sub>A</sub> is surjective.

PROOF: For any  $y \in A$ , there exists  $x \in A$  such that  $\mathrm{id}_A(x) = y$ , namely x = y.  $\square$ 

#### 2.3.2 Composition

**Proposition 2.3.3.** Let  $f: A \to B$ . Then  $id_B \circ f = f = f \circ id_A$ .

PROOF: Each is the function that maps a to f(a).  $\square$ 

**Proposition 2.3.4.** *Let*  $f : A \rightarrow B$ .

- 1. If there exists  $g: B \to A$  such that  $g \circ f = \mathrm{id}_A$  then f is injective.
- 2. If f is injective and A is nonempty, then there exists  $g: B \to A$  such that  $g \circ f = \mathrm{id}_A$ .

#### Proof:

 $\langle 1 \rangle 1$ . If there exists  $g: B \to A$  such that  $g \circ f = \mathrm{id}_A$  then f is injective.

PROOF: If f(x) = f(y) then x = g(f(x)) = g(f(y)) = y.

- $\langle 1 \rangle 2$ . If f is injective and A is nonempty, then there exists  $g: B \to A$  such that  $g \circ f = \mathrm{id}_A$ .
  - $\langle 2 \rangle 1$ . Assume: f is injective and A is nonempty.
  - $\langle 2 \rangle 2$ . Pick  $a \in A$
  - $\langle 2 \rangle 3$ . Choose a function  $g: B \to A$  such that f(g(x)) = x if there exists  $y \in A$  such that f(y) = x, otherwise g(x) = a.
  - $\langle 2 \rangle 4$ . Let:  $x \in A$ Prove: g(f(x)) = x $\langle 2 \rangle 5$ . f(g(f(x))) = f(x)
- $\langle 2 \rangle 6.$  g(f(x)) = x

**Proposition 2.3.5.** Let  $f: A \to B$ . Then f is surjective if and only if there exists  $g: B \to A$  such that  $f \circ g = \mathrm{id}_B$ .

#### Proof:

```
\langle 1 \rangle 1. If f is surjective then there exists g: B \to A such that f \circ g = \mathrm{id}_B.
```

 $\langle 2 \rangle 1$ . Assume: f is surjective.

 $\langle 2 \rangle 2$ . Pick  $g: B \to A$  such that, for all  $b \in B$ , we have f(g(b)) = b.

PROOF: Axiom of Choice.

 $\langle 2 \rangle 3$ .  $f \circ g = \mathrm{id}_B$ .

 $\langle 1 \rangle 2$ . If there exists  $g: B \to A$  such that  $f \circ g = \mathrm{id}_B$  then f is surjective.

 $\langle 2 \rangle 1$ . Let:  $g: B \to A$  such that  $f \circ g = \mathrm{id}_B$ 

 $\langle 2 \rangle 2$ . Let: X be a set.

 $\langle 2 \rangle 3$ . Let:  $h, k : B \to X$ 

 $\langle 2 \rangle 4$ . Assume:  $h \circ f = k \circ f$ 

 $\langle 2 \rangle 5.$  h = k

Proof:  $h = h \circ f \circ g = k \circ f \circ g = k$ 

#### Corollary 2.3.5.1. Let A and B be sets.

- 1. If there exists a surjective function  $A \to B$  then there exists an injective function  $B \to A$ .
- 2. If there exists an injective function  $A \to B$  and A is nonempty then there exists a surjective function  $B \to A$ .

**Proposition 2.3.6.** Let  $f: A \to B$ . Then f is bijective if and only if there exists a function  $f^{-1}: B \to A$ , the inverse of f, such that  $f \circ f^{-1} = \mathrm{id}_B$  and  $f^{-1} \circ f = \mathrm{id}_A$ , in which case the inverse is unique.

#### PROOF.

- $\langle 1 \rangle 1$ . If f is bijective then there exists  $f^{-1}: B \to A$  such that  $f \circ f^{-1} = \mathrm{id}_B$  and  $f^{-1} \circ f = \mathrm{id}_A$ .
  - $\langle 2 \rangle 1$ . Assume: f is bijective.
  - $\langle 2 \rangle 2$ . Pick  $g: B \to A$  such that  $f \circ g = \mathrm{id}_B$

Proof: Proposition 2.6.2.

- $\langle 2 \rangle 3$ .  $f \circ g \circ f = f$
- $\langle 2 \rangle 4$ .  $g \circ f = \mathrm{id}_A$

Proof: Proposition 2.1.4.

- $\langle 1 \rangle 2$ . If there exists  $f^{-1}: B \to A$  such that  $f \circ f^{-1} = \mathrm{id}_B$  and  $f^{-1} \circ f = \mathrm{id}_A$ , then f is bijective.
  - $\langle 2 \rangle 1$ . Let:  $f^{-1}: B \to A$  satisfy  $f \circ f^{-1} = \mathrm{id}_B$  and  $f^{-1} \circ f = \mathrm{id}_A$
  - $\langle 2 \rangle 2$ . f is injective.

PROOF: If f(x) = f(y) then  $x = f^{-1}(f(x)) = f^{-1}(f(y)) = y$ .

 $\langle 2 \rangle 3$ . f is surjective.

Proof: Proposition 2.6.2.

 $\langle 1 \rangle 3$ . If  $g, h : B \to A$  satisfy  $f \circ g = \mathrm{id}_B$  and  $g \circ f = \mathrm{id}_A$  and  $f \circ h = \mathrm{id}_B$  and  $h \circ f = \mathrm{id}_A$  then g = h.

PROOF: We have  $q = q \circ f \circ h = h$ .

#### 2.4 The Empty Set

**Theorem 2.4.1.** There exists a set which has no elements.

PROOF: Take  $\{x \in \mathbb{N} : \bot\}$ .  $\square$ 

**Theorem 2.4.2.** If E and E' have no elements then  $E \approx E'$ .

#### Proof:

- $\langle 1 \rangle 1$ . Let: E and E' have no elements.
- $\langle 1 \rangle 2$ . PICK a function  $F: E \to E'$ .

PROOF: Axiom of Choice since vacuously  $\forall x \in E.\exists y \in E'.\top$ .

 $\langle 1 \rangle 3$ . F is injective.

PROOF: Vacuously, for all  $x, y \in E$ , if F(x) = F(y) then x = y.

 $\langle 1 \rangle 4$ . F is surjective.

PROOF: Vacuously, for all  $y \in E$ , there exists  $x \in E$  such that F(x) = y.

**Definition 2.4.3** (Empty Set). The *empty set*  $\emptyset$  is the set with no elements.

#### 2.5 The Singleton

**Theorem 2.5.1.** There exists a set that has exactly one element.

PROOF: The set  $\{x \in \mathbb{N} : x = 0\}$  has exactly one element.  $\square$ 

**Theorem 2.5.2.** If A and B both have exactly one element then  $A \approx B$ .

#### Proof:

- $\langle 1 \rangle 1$ . Let: A and B both have exactly one element a and b respectively.
- $\langle 1 \rangle 2$ . Let:  $F: A \to B$  be the function such that, for all  $x \in A$ , we have  $(x = a \land F(x) = b)$

 $\langle 1 \rangle 3$ . F is a bijection.

**Definition 2.5.3** (Singleton). Let 1 be the set that has exactly one element. Let \* be its element.

#### 2.5.1 Injections

**Proposition 2.5.4.** Let  $f: A \to B$ . Assume that, for every set X and functions  $x, y: X \to A$ , if  $f \circ x = f \circ y$  then x = y. Then f is injective.

Proof: Take X = 1.

#### 2.6 The Set Two

**Definition 2.6.1** (The Set Two). Let  $2 = \{x \in \mathbb{N} : x = 0 \lor x = 1\}.$ 

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**Proposition 2.6.2.** Let  $f: A \to B$ . Assume that, for any set X and functions  $g, h: B \to X$ , if  $g \circ f = h \circ f$  then g = h. Then f is surjective.

Proof:

- $\langle 1 \rangle 1$ . Assume: For any set X and functions  $g,h:B \to X,$  if  $g \circ f = h \circ f$  then g=h.
- $\langle 1 \rangle 2$ . Let:  $b \in B$
- $\langle 1 \rangle 3$ . Let:  $h: B \to 2$  be the function that maps everything to 1.
- $\langle 1 \rangle 4$ . Let:  $k: B \to 2$  be the function that maps b to 0 and everything else to 1.
- $\langle 1 \rangle 5. \ h \neq k$
- $\langle 1 \rangle 6. \ h \circ f \neq k \circ f$
- $\langle 1 \rangle 7$ . Pick  $a \in A$  such that  $h(f(a)) \neq k(f(a))$
- $\langle 1 \rangle 8. \ f(a) = b$

#### 2.7 Subsets

**Definition 2.7.1** (Subset). A *subset* of a set A consists of a set S and an injection  $i: S \rightarrow A$ . We write  $(S, i) \subseteq A$ .

We say two subsets (S, i) and (T, j) are equal, (S, i) = (T, j), iff there exists a bijection  $\phi : S \approx T$  such that  $j \circ \phi = i$ .

**Proposition 2.7.2.** For any subset (S, i) of A we have (S, i) = (S, i).

PROOF: We have  $id_S : S \approx S$  and  $i \circ id_S = i$ .

**Proposition 2.7.3.** If (S, i) = (T, j) then (T, j) = (S, i).

PROOF: If  $\phi: S \approx T$  and  $j \circ \phi = i$  then  $\phi^{-1}: T \approx S$  and  $i \circ \phi^{-1} = j$ .  $\square$ 

**Proposition 2.7.4.** *If* (R, i) = (S, j) *and* (S, j) = (T, k) *then* (R, i) = (T, k).

PROOF: If  $\phi: R \approx S$  and  $j \circ \phi = i$ , and  $\psi: S \approx T$  and  $k \circ \psi = j$ , then  $\psi \circ \phi: R \approx T$  and  $k \circ \psi \circ \phi = i$ .  $\square$ 

**Definition 2.7.5** (Membership). Given  $(S, i) \subseteq A$  and  $a \in A$ , we write  $a \in (S, i)$  for  $\exists s \in S.i(s) = a$ .

**Proposition 2.7.6.** If  $a \in (S, i)$  and (S, i) = (T, j) then  $a \in (T, j)$ .

PROOF: If i(s) = a then  $j(\phi(s)) = a$ .

**Definition 2.7.7** (Union). Given subsets S and T of A, the *union* is the subset  $\{x \in A : x \in S \lor x \in T\}$ .

**Definition 2.7.8** (Intersection). Given subsets S and T of A, the *intersection* is the subset  $\{x \in A : x \in S \land x \in T\}$ .

Proposition 2.7.9 (Distributive Law).

$$R \cap (S \cup T) = (R \cap S) \cup (R \cap T)$$

Proposition 2.7.10 (Distributive Law).

$$R \cup (S \cap T) = (R \cup S) \cap (R \cup T)$$

**Definition 2.7.11.** Given a set A, we write  $\emptyset$  for the subset  $(\emptyset,!)$  where ! is the unique function  $\emptyset \to A$ .

Proposition 2.7.12.

$$S \cup \emptyset = S$$

Proposition 2.7.13.

$$S \cap \emptyset = S$$

**Definition 2.7.14** (Inclusion). Given subsets (S, i) and (T, j) of a set A, we write  $(S, i) \subseteq (T, j)$  iff there exists  $f: S \to T$  such that  $j \circ f = i$ .

Proposition 2.7.15.

$$\emptyset \subseteq S$$

**Definition 2.7.16** (Disjoint). Subsets S and T of A are disjoint iff  $S \cap T = \emptyset$ .

**Definition 2.7.17** (Difference). Given subsets S and T of A, the difference of S and T is  $S - T = \{x \in A : x \in S \land x \notin T\}$ .

Proposition 2.7.18 (De Morgan's Law).

$$R - (S \cup T) = (R - S) \cap (R - T)$$

Proposition 2.7.19 (De Morgan's Law).

$$R - (S \cap T) = (R - S) \cup (R - T)$$

#### 2.8 Power Set

**Definition 2.8.1** (Power Set). The *power set* of a set A is

$$\mathcal{P}A := 2^A$$

**Definition 2.8.2** (Cover). Let X be a set and  $A \subseteq \mathcal{P}X$ . Then A is a *cover* of X, or *covers* X, iff  $\bigcup A = X$ .

Given a subset Y of X and  $A \subseteq \mathcal{P}X$ , we say A covers Y iff  $Y \subseteq \bigcup A$ .

#### 2.9 Saturated Set

**Definition 2.9.1** (Saturated). Let A and B be sets. Let  $f: A \to B$  be surjective. Let  $C \subseteq A$ . Then C is *saturated* with respect to f iff, for all  $x \in C$  and  $y \in A$ , if f(x) = f(y) then  $y \in C$ .

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#### 2.10 Union

**Definition 2.10.1** (Union). Given  $A \in \mathcal{PP}X$ , its union is

$$\bigcup \mathcal{A} := \{ x \in X : \exists S \in \mathcal{A}. x \in S \} \in \mathcal{P}X .$$

#### 2.10.1 Intersection

**Definition 2.10.2** (Intersection). Given  $A \in \mathcal{PP}X$ , its *intersection* is

$$\bigcap \mathcal{A} := \{ x \in X : \forall S \in \mathcal{A}. x \in S \} \in \mathcal{P}X .$$

#### 2.10.2 Direct Image

**Definition 2.10.3** (Direct Image). Let  $f: A \to B$ . Let S be a subset of A. The *(direct) image* of S under f is the subset of B given by

$$f(S) := \{ f(a) : a \in S \}$$
.

Proposition 2.10.4.

- 1. If  $S \subseteq T$  then  $f(S) \subseteq f(T)$
- 2.  $f(\bigcup S) = \bigcup_{S \in S} f(S)$

**Example 2.10.5.** It is not true in general that  $f(\bigcap S) = \bigcap_{S \in S} f(S)$ . Take f to be the only function  $\{0,1\} \to \{0\}$ , and  $S = \{\{0\},\{1\}\}$ . Then  $f(\bigcap S) = \emptyset$  but  $\bigcap_{S \in S} f(S) = \{0\}$ .

**Example 2.10.6.** It is not true in general that f(S-T) = f(S) - f(T). Take f to be the only function  $\{0,1\} \to \{0\}$ ,  $S = \{0\}$  and  $T = \{1\}$ . Then  $f(S-T) = \{0\}$  but  $f(S) - f(T) = \emptyset$ .

## 2.11 Inverse Image

**Definition 2.11.1** (Inverse Image). Let  $f: A \to B$ . Let S be a subset of B. The *inverse image* or *preimage* of S under f is the subset of A given by

$$f^{-1}(S) := \{x \in A : f(x) \in S\}$$
.

**Proposition 2.11.2.** 1. If  $S \subseteq T$  then  $f^{-1}(S) \subseteq f^{-1}(T)$ 

- 2.  $f^{-1}(\bigcup S) = \bigcup_{S \in S} f^{-1}(S)$
- 3.  $f^{-1}(\bigcap S) = \bigcap_{S \in S} f^{-1}(S)$
- 4.  $f^{-1}(S-T) = f^{-1}(S) f^{-1}(T)$
- 5.  $S \subseteq f^{-1}(f(S))$ . Equality holds if f is injective.
- 6.  $f(f^{-1}(T)) \subseteq T$ . Equality holds if f is surjective.
- 7.  $(g \circ f)^{-1}(S) = f^{-1}(g^{-1}(S))$

#### 2.11.1 Saturated Sets

**Proposition 2.11.3.** Let A and B be sets. Let  $f: A \to B$  be surjective. Let  $C \subseteq A$ . Then C is saturated if and only if there exists  $D \subseteq B$  such that  $C = f^{-1}(D)$ .

```
Proof:
```

```
\langle 1 \rangle 1. If C is saturated then there exists D \subseteq B such that C = f^{-1}(D).
    \langle 2 \rangle 1. Assume: C is saturated.
    \langle 2 \rangle 2. Let: D = f(C)
    \langle 2 \rangle 3. \ C \subseteq f^{-1}(D)
       \langle 3 \rangle 1. Let: x \in C
       \langle 3 \rangle 2. \ f(x) \in D
           Proof: \langle 2 \rangle 2
       \langle 3 \rangle 3. \ x \in f^{-1}(D)
    \langle 2 \rangle 4. f^{-1}(D) \subseteq C
       \langle 3 \rangle 1. Let: x \in f^{-1}(D)
       \langle 3 \rangle 2. \ f(x) \in D
       \langle 3 \rangle 3. PICK y \in C such that f(x) = f(y)
           Proof: \langle 2 \rangle 2
       \langle 3 \rangle 4. \ x \in C
           Proof: \langle 2 \rangle 1
\langle 1 \rangle 2. If there exists D \subseteq B such that C = f^{-1}(D) then C is saturated.
    \langle 2 \rangle 1. Let: D \subseteq B be such that C = f^{-1}(D).
    \langle 2 \rangle 2. Let: x \in C and y \in A
    \langle 2 \rangle 3. Assume: f(x) = f(y)
    \langle 2 \rangle 4. \ f(x) \in D
    \langle 2 \rangle 5. f(y) \in D
    \langle 2 \rangle 6. \ y \in C
```

#### 2.12 Relations

**Definition 2.12.1** (Relation). Let A and B be sets. A relation R between A and B,  $R: A \hookrightarrow B$ , is a subset of  $A \times B$ .

Given  $a \in A$  and  $b \in B$ , we write aRb for  $(a, b) \in R$ .

A relation on a set A is a relation between A and A.

**Definition 2.12.2** (Reflexive). A relation R on a set A is *reflexive* iff  $\forall a \in A.aRa$ .

**Definition 2.12.3** (Symmetric). A relation R on a set A is *symmetric* iff, whenever xRy, then yRx.

**Definition 2.12.4** (Transitive). A relation R on a set A is *transitive* iff, whenever xRy and yRz, then xRz.

#### 2.12.1 Equivalence Relations

**Definition 2.12.5** (Equivalence Relation). A relation R on a set A is an *equivalence relation* iff it is reflexive, symmetric and transitive.

**Definition 2.12.6** (Equivalence Class). Let R be an equivalence relation on a set A and  $a \in A$ . The *equivalence class* of a with respect to R is

$$\{x \in A : xRa\}$$
.

Proposition 2.12.7. Two equivalence classes are either disjoint or equal.

#### 2.13 Power Set

**Definition 2.13.1** (Power Set). The *power set* of a set A is  $\mathcal{P}A := 2^A$ . Given  $S \in \mathcal{P}A$  and  $a \in A$ , we write  $a \in A$  for S(a) = 1.

**Definition 2.13.2** (Pairwise Disjoint). Let  $P \subseteq \mathcal{P}A$ . We say the members of P are pairwise disjoint iff, for all  $S, T \in P$ , if  $S \neq T$  then  $S \cap T = \emptyset$ .

#### 2.13.1 Partitions

**Definition 2.13.3** (Partition). Let A be a set. A partition of A is a set  $P \in \mathcal{PP}A$  such that:

- $\bullet \mid \mid P = A$
- Every member of P is nonempty.
- The members of P are pairwise disjoint.

#### 2.14 Cartesian Product

**Definition 2.14.1** (Cartesian Product). Let A and B be sets. The Cartesian product of A and B,  $A \times B$ , is the tabulation of the relation  $A \hookrightarrow B$  that holds for all  $a \in A$  and  $b \in B$ . The associated functions  $\pi_1 : A \times B \to A$  and  $\pi_2 : A \times B \to B$  are called the projections.

Given  $a \in A$  and  $b \in B$ , we write (a, b) for the unique element of  $A \times B$  such that  $\pi_1(a, b) = a$  and  $\pi_2(a, b) = b$ .

## 2.15 Quotient Sets

**Proposition 2.15.1.** Let  $\sim$  be an equivalence relation on X. Then there exists a set  $X/\sim$ , the quotient set of X with respect to  $\sim$ , and a surjective function  $\pi: X \twoheadrightarrow X/\sim$ , the canonical projection, such that, for all  $x, y \in X$ , we have  $x \sim y$  if and only if  $\pi(x) = \pi(y)$ .

Further, if  $p: X \to Q$  is another quotient with respect to  $\sim$ , then there exists a unique bijection  $\phi: X/\sim Q$  such that  $\phi \circ \pi = p$ .

#### 2.16 Partitions

**Definition 2.16.1** (Partition). A partition of a set X is a set of pairwise disjoint subsets of X whose union is X.

#### 2.17 Disjoint Union

**Theorem 2.17.1.** For any sets A and B, there exists a set A+B, the disjoint union of A and B, and functions  $\kappa_1: A \to A+B$  and  $\kappa_2: B \to A+B$ , the injections, such that, for every set X and functions  $f: A \to X$  and  $g: B \to X$ , there exists a unique function  $[f,g]: A+B\to X$  such that  $[f,g]\circ\kappa_1=f$  and  $[f,g]\circ\kappa_2=g$ .

Proof:

$$\langle 1 \rangle 1$$
. Let:  $A+B := \{ p \in \mathcal{P}A \times \mathcal{P}B : \exists a \in A.p = (\{a\}, \emptyset) \lor \exists b \in B.p = (\emptyset, \{b\}) \}$ 

**Definition 2.17.2** (Restriction). Let  $f: A \to B$  and let (S, i) be a subset of A. The restriction of f to S is the function  $f \upharpoonright S: S \to B$  defined by  $f \upharpoonright S = f \circ i$ .

#### 2.18 Natural Numbers

**Theorem 2.18.1** (Principle of Recursive Definition). Let A be a set. Let F be the set of all functions  $\{m \in \mathbb{N} : m < n\} \to A$  for some n. Let  $\rho : F \to A$ . Then there exists a unique  $g : \mathbb{N} \to A$  such that, for all  $n \in \mathbb{N}$ , we have

$$g(n) = \rho(g \upharpoonright \{m \in \mathbb{N} : m < n\})$$
.

Proof:

 $\langle 1 \rangle 1$ . Given a subset  $B \subseteq \mathbb{N}$ , let us say that a function  $g: B \to A$  is acceptable iff, for all  $n \in B$ , we have

$$\forall m < n.m \in B$$

and

$$g(n) = \rho(g \upharpoonright \{m \in \mathbb{N} : m < n\}) .$$

- $\langle 1 \rangle 2$ . For all  $n \in \mathbb{N}$ , there exists an acceptable function  $\{m \in \mathbb{N} : m < n\} \to A$ .
  - $\langle 2 \rangle$ 1. Let: P[n] be the property: There exists an acceptable function  $\{m \in \mathbb{N} : m < n\} \to A$ .
  - $\langle 2 \rangle 2$ . P[0]

PROOF: The unique function  $\emptyset \to A$  is acceptable.

- $\langle 2 \rangle 3$ . For any natural number n, if P[n] then P[n+1].
  - $\langle 3 \rangle 1$ . Assume: P[n]
  - $\langle 3 \rangle 2$ . Pick an acceptable  $f : \{ m \in \mathbb{N} : m < n \} \to A$ .
  - $\langle 3 \rangle 3$ . Let:  $g: \{m \in \mathbb{N} : m < n+1\} \to A$  be the function

$$g(m) = \begin{cases} f(m) & \text{if } m < n \\ \rho(f) & \text{if } m = n \end{cases}$$

 $\langle 3 \rangle 4$ . g is acceptable.

```
 \begin{array}{l} \langle 1 \rangle 3. \ \ \text{If} \ g: B \to A \ \text{and} \ h: C \to A \ \text{are acceptable, then} \ g \ \text{and} \ h \ \text{agree on} \ B \cap C. \\ \langle 1 \rangle 4. \ \ \text{Define} \ g: \mathbb{N} \to A \ \text{by:} \ g(n) = a \ \text{iff there exists an acceptable} \ h: \{m \in \mathbb{N}: m < n+1\} \ \text{such that} \ h(n) = a. \\ \langle 1 \rangle 5. \ \ g \ \text{is acceptable.} \\ \langle 1 \rangle 6. \ \ \text{If} \ g': \mathbb{N} \to A \ \text{is acceptable then} \ g' = g. \\ \square \\ \end{array}
```

#### 2.19 Finite and Infinite Sets

**Definition 2.19.1** (Finite). A set A is *finite* iff there exists  $n \in \mathbb{N}$  such that  $A \approx \{m \in \mathbb{N} : m < n\}$ . In this case, we say A has cardinality n.

**Proposition 2.19.2.** Let  $n \in \mathbb{N}$ . Let A be a set. Let  $a_0 \in A$ . Then  $A \approx \{m \in \mathbb{N} : m < n + 1\}$  if and only if  $A - \{a_0\} \approx \{m \in \mathbb{N} : m < n\}$ .

**Theorem 2.19.3.** Let A be a set. Suppose that  $A \approx \{m \in \mathbb{N} : m < n\}$ . Let B be a proper subset of A. Then  $B \not\approx \{m \in \mathbb{N} : m < n\}$  but there exists m < n such that  $B \approx \{k \in \mathbb{N} : k < m\}$ .

```
Proof:
\langle 1 \rangle 1. Let: P[n] be the property: for every set A, if Aapprox\{m \in \mathbb{N} : m < n\},
                   then for every proper subset B of A, we have B \not\approx \{m \in \mathbb{N} : m < n\}
                  but there exists m < n such that B \approx \{k \in \mathbb{N} : k < m\}.
\langle 1 \rangle 2. \ P[0]
   PROOF: If A \approx \{m \in \mathbb{N} : m < 0\} then A is empty and so has no proper subset.
\langle 1 \rangle 3. For every natural number n, if P[n] then P[n+1].
   \langle 2 \rangle 1. Let: n be a natural number.
   \langle 2 \rangle 2. Assume: P[n]
   \langle 2 \rangle 3. Let: A be a set.
   \langle 2 \rangle 4. Assume: A \approx \{ m \in \mathbb{N} : m < n+1 \}
   \langle 2 \rangle5. Let: B be a proper subset of A.
   \langle 2 \rangle 6. Case: B = \emptyset
       PROOF: Then B \not\approx \{m \in \mathbb{N} : m < n+1\} but B \approx \{k \in \mathbb{N} : k < 0\}.
   \langle 2 \rangle7. Case: B \neq \emptyset
       \langle 3 \rangle 1. Pick b_0 \in B
       \langle 3 \rangle 2. A - \{b_0\} \approx \{m \in \mathbb{N} : m < n\}
       \langle 3 \rangle 3. B - \{b_0\} is a proper subset of A - \{b_0\}
       \langle 3 \rangle 4. \ B - \{b_0\} \not\approx \{m \in \mathbb{N} : m < n\}
       \langle 3 \rangle 5. B \approx \{ m \in \mathbb{N} : m < n+1 \}
       \langle 3 \rangle 6. Pick m < n such that B - \{b_0\} \approx \{k \in \mathbb{N} : k < m\}
       \langle 3 \rangle 7. \ m+1 < n+1
       \langle 3 \rangle 8. \ B \approx \{ k \in \mathbb{N} : k < m+1 \}
П
```

**Corollary 2.19.3.1.** If A is finite then there is no bijection between A and a proper subset of A.

Corollary 2.19.3.2.  $\mathbb{N}$  is infinite.

Corollary 2.19.3.3. The cardinality of a finite set is unique.

Corollary 2.19.3.4. A subset of a finite set is finite.

**Corollary 2.19.3.5.** If A is finite and B is a proper subset of A then |B| < |A|.

**Corollary 2.19.3.6.** Let A be a set. Then the following are equivalent:

- 1. A is finite.
- 2. There exists a surjection from an initial segment of  $\mathbb{N}$  onto A.
- 3. There exists an injection from A to an initial segment of  $\mathbb{N}$ .

Corollary 2.19.3.7. A finite union of finite sets is finite.

Corollary 2.19.3.8. A finite Cartesian product of finite sets is finite.

**Theorem 2.19.4.** Let A be a set. The following are equivalent:

- 1. There exists an injective function  $\mathbb{N} \hookrightarrow A$ .
- 2. There exists a bijection between A and a proper subset of A.
- 3. A is infinite.

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Proof:
```

```
\begin{array}{l} \langle 1 \rangle 1. \ 1 \Rightarrow 2 \\ \langle 2 \rangle 1. \ \text{Let:} \ f: \mathbb{N} \rightarrowtail A \ \text{be injective.} \\ \langle 2 \rangle 2. \ \text{Let:} \ s: \mathbb{N} \approx \mathbb{N} - \{0\} \ \text{be the function} \ s(n) = n+1. \\ \langle 2 \rangle 3. \ f \circ s \circ f^{-1}: A \approx A - \{f(0)\} \\ \langle 1 \rangle 2. \ 2 \Rightarrow 3 \\ \text{Proof: Corollary 2.19.3.1.} \\ \langle 1 \rangle 3. \ 3 \Rightarrow 1 \\ \text{Proof: Choose a function} \ f: \mathbb{N} \to A \ \text{such that} \ f(n) \in A - \{f(m)\} \\ \end{array}
```

PROOF: Choose a function  $f: \mathbb{N} \to A$  such that  $f(n) \in A - \{f(m) : m < n\}$  for all n.

#### 2.20 Countable Sets

**Definition 2.20.1** (Countable). A set A is countably infinite iff  $A \approx \mathbb{N}$ .

**Proposition 2.20.2.**  $\mathbb{N} \times \mathbb{N}$  is countably infinite.

PROOF: Define 
$$f: \mathbb{N} \times \mathbb{N} \approx \{(x,y) \in \mathbb{N} \times \mathbb{N} : y \leq x\}$$
 by 
$$f(x,y) = (x+y,y)$$
 Define  $g: \{(x,y) \in \mathbb{N} \times \mathbb{N} : y \leq x\} \approx \mathbb{N}$  by 
$$g(x,y) = x(x-1)/2 + y . \square$$

```
Proposition 2.20.3. Every infinite subset of \mathbb{N} is countably infinite.
PROOF:
\langle 1 \rangle 1. Let: C be an infinite subset of N
\langle 1 \rangle 2. Define h: \mathbb{Z} \to C by recursion thus: h(n) is the smallest element of
       C - \{h(m) : m < n\}.
\langle 1 \rangle 3. h is injective.
   PROOF: If m < n then h(m) \neq h(n) because h(n) \in C - \{h(m) : m < n\}.
\langle 1 \rangle 4. h is surjective.
   \langle 2 \rangle 1. For all n \in \mathbb{N} we have n \leq h(n).
   \langle 2 \rangle 2. Let: c \in C
   \langle 2 \rangle 3. c \leq h(c)
   \langle 2 \rangle 4. Let: n be least such that c \leq h(n)
   \langle 2 \rangle 5. \ c \in C - \{h(m) : m < n\}
   \langle 2 \rangle 6. \ h(n) \leqslant c
   \langle 2 \rangle 7. h(n) = c
Definition 2.20.4 (Countable). A set is countable iff it is either finite or count-
ably infinite; otherwise it is uncountable.
Proposition 2.20.5. Let B be a nonempty set. Then the following are equiv-
alent.
   1. B is countable.
   2. There exists a surjection \mathbb{N} \to B.
   3. There exists an injection B \rightarrow \mathbb{N}.
```

#### Proof:

```
PROOF: \langle 1 \rangle 1. \ 1 \Rightarrow 2
\langle 2 \rangle 1. \ \text{Assume: } B \text{ is countable.}
\langle 2 \rangle 2. \ \text{Case: } B \text{ is finite.}
\langle 3 \rangle 1. \ \text{Pick a natural number } n \text{ and bijection } f: \{m \in \mathbb{N} : m < n\} \approx B
\langle 3 \rangle 2. \ \text{Pick } b \in B
\langle 3 \rangle 3. \ \text{Extend } f \text{ to a surjection } g: \mathbb{N} \to B \text{ by setting } g(m) = b \text{ for } m \geqslant n.
\langle 2 \rangle 3. \ \text{Case: } B \text{ is countably infinite.}
\text{Proof: Then there exists a bijection } \mathbb{N} \approx B.
\langle 1 \rangle 2. \ 2 \Rightarrow 3
\text{Proof: Given a surjection } f: \mathbb{N} \to B, \text{ define } g: B \to \mathbb{N} \text{ by } g(b) \text{ is the smallest number such that } f(g(b)) = b.
\langle 1 \rangle 3. \ 3 \Rightarrow 1
\langle 2 \rangle 1. \ \text{Let: } f: B \to \mathbb{N} \text{ be injective.}
\langle 2 \rangle 2. \ f(B) \text{ is countable.}
```

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 $\langle 2 \rangle 3$ .  $B \approx f(B)$  $\langle 2 \rangle 4$ . B is countable. Corollary 2.20.5.1. A subset of a countable set is countable.

Corollary 2.20.5.2.  $\mathbb{N} \times \mathbb{N}$  is countably infinite.

PROOF: The function that maps (m,n) to  $2^m3^n$  is injective.  $\square$ 

Corollary 2.20.5.3. The Cartesian product of two countable sets is countable.

**Theorem 2.20.6.** A countable union of countable sets is countable.

#### Proof:

- $\langle 1 \rangle 1$ . Let: A be a set.
- $\langle 1 \rangle 2$ . Let:  $\mathcal{B} \subseteq \mathcal{P}A$  be a countable set of countable sets such that  $\bigcup \mathcal{B} = A$
- $\langle 1 \rangle 3$ . Pick a surjection  $B : \mathbb{N} \to \mathcal{B}$
- $\langle 1 \rangle 4$ . Assume: w.l.o.g. each B(n) is nonempty.
- $\langle 1 \rangle 5$ . For  $n \in \mathbb{N}$ , PICK a surjective function  $g_n : \mathbb{N} \to B(n)$
- $\langle 1 \rangle 6$ . Let:  $h: \mathbb{N} \times \mathbb{N} \to A$  be the function  $h(m,n) = g_m(n)$
- $\langle 1 \rangle 7$ . h is surjective.

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**Theorem 2.20.7.**  $2^{\mathbb{N}}$  is uncountable.

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $f : \mathbb{N} \to 2^{\mathbb{N}}$ Prove: f is not surjective.
- $\langle 1 \rangle 2$ . Define  $g : \mathbb{N} \to 2$  by g(n) = 1 f(n)(n).
- $\langle 1 \rangle 3$ . For all  $n \in \mathbb{N}$  we have  $g(n) \neq f(n)(n)$ .
- $\langle 1 \rangle 4$ . For all  $n \in \mathbb{N}$  we have  $g \neq f(n)$ .

**Theorem 2.20.8.** For any set A, there is no surjective function  $A \to \mathcal{P}A$ .

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $f: A \to \mathcal{P}A$
- $\langle 1 \rangle 2$ . Let:  $S = \{x \in A : x \notin f(x)\}$
- $\langle 1 \rangle 3$ . For all  $a \in A$  we have  $S \neq f(a)$

PROOF: We have  $a \in S$  if and only if  $a \notin f(a)$ .

Corollary 2.20.8.1. For any set A, there is no injective function  $\mathcal{P}A \to A$ .

#### 2.21 Fixed Points

**Definition 2.21.1** (Fixed Point). Let A be a set and  $f: A \to A$ . A fixed point of f is an element  $a \in A$  such that f(a) = a.

## Chapter 3

## Relations

**Definition 3.0.1** (Reflexive). A relation  $R \subseteq A \times A$  is *reflexive* iff, for all  $a \in A$ , we have  $(a, a) \in R$ .

**Definition 3.0.2** (Antisymmetric). A relation  $R \subseteq A \times A$  is antisymmetric iff, for all  $a, b \in A$ , if  $(a, b) \in R$  and  $(b, a) \in R$  then a = b.

**Definition 3.0.3** (Transitive). A relation  $R \subseteq A \times A$  is *transitive* iff, for all  $a, b, c \in A$ , if  $(a, b) \in R$  and  $(b, c) \in R$  then  $(a, c) \in R$ .

**Definition 3.0.4** (Partial Order). A partial order on a set A is a relation on A that is reflexive, antisymmetric and transitive.

We say  $(A, \leq)$  is a partially ordered set or poset iff  $\leq$  is a partial order on A.

**Definition 3.0.5** (Greatest). Let A be a poset and  $a \in A$ . Then a is the *greatest* element iff  $\forall x \in A.x \leq a$ .

**Definition 3.0.6** (Least). Let A be a poset and  $a \in A$ . Then a is the *least* element iff  $\forall x \in A.a \leq x$ .

**Definition 3.0.7** (Upper Bound). Let A be a poset,  $S \subseteq A$ , and  $u \in A$ . Then u is an *upper bound* for S iff  $\forall x \in S.x \leq u$ . We say S is *bounded above* iff it has an upper bound.

**Definition 3.0.8** (Lower Bound). Let A be a poset,  $S \subseteq A$ , and  $l \in A$ . Then l is a lower bound for S iff  $\forall x \in S.l \leq x$ . We say S is bounded below iff it has a lower bound.

**Definition 3.0.9** (Supremum). Let A be a poset,  $S \subseteq A$  and  $s \in A$ . Then s is the *supremum* or *least upper bound* for S iff s is the least element in the sub-poset of upper bounds for A.

**Definition 3.0.10** (Supremum). Let A be a poset,  $S \subseteq A$  and  $i \in A$ . Then i is the *infimum* or *greatest lower bound* for S iff i is the greatest element in the sub-poset of lower bounds for A.

**Definition 3.0.11** (Least Upper Bound Property). A poset A has the *least upper bound property* iff every nonempty subset of A that is bounded above has a least upper bound.

**Proposition 3.0.12.** Let A be a poset. Then A has the least upper bound property if and only if every nonempty subset of A that is bounded below has a greatest lower bound.

#### Proof:

- $\langle 1 \rangle 1$ . If A has the least upper bound property then every subset of A that is bounded below has a greatest lower bound.
  - $\langle 2 \rangle 1$ . Assume: A has the least upper bound property.
  - $\langle 2 \rangle 2$ . Let:  $S \subseteq A$  be nonempty and bounded below.
  - $\langle 2 \rangle$ 3. Let: L be the set of lower bounds of S.
  - $\langle 2 \rangle 4$ . L is nonempty.

PROOF: Because S is bounded below.

 $\langle 2 \rangle 5$ . L is bounded above.

PROOF: Pick an element  $s \in S$ . Then s is an upper bound for L.

- $\langle 2 \rangle$ 6. Let: s be the supremum of L.
- $\langle 2 \rangle$ 7. s is the greatest lower bound of S.
  - $\langle 3 \rangle 1$ . s is a lower bound of S.
    - $\langle 4 \rangle 1$ . Let:  $x \in S$
    - $\langle 4 \rangle 2$ . x is an upper bound for L.
    - $\langle 4 \rangle 3. \ s \leqslant x$
  - $\langle 3 \rangle 2$ . For any lower bound l of S we have  $l \leq s$ .

PROOF: Immediate from  $\langle 2 \rangle 6$ .

 $\langle 1 \rangle 2$ . If every subset of A that is bounded below has a greatest lower bound, then A has the least upper bound property.

Proof: Dual.

## Chapter 4

## Order Theory

#### 4.1 Strict Partial Orders

**Definition 4.1.1** (Strict Partial Order). A *strict partial order* on a set A is a relation on A that is irreflexive and transitive.

**Proposition 4.1.2.** 1. If  $\leq$  is a partial order on A then < is a strict partial order on A, where x < y iff  $x \leq y \land x \neq y$ .

- 2. If < is a strict partial order on A then  $\le$  is a partial order on A, where  $x \le y$  iff  $x < y \lor x = y$ .
- 3. These two relations are inverses of one another.

#### 4.1.1 Linear Orders

**Definition 4.1.3** (Linear Order). A *linear order* on a set A is a partial order  $\leq$  on A such that, for all  $x, y \in A$ , we have  $x \leq y$  or  $y \leq x$ .

A linearly ordered set is a pair  $(X, \leq)$  such that X is a set and  $\leq$  is a linear order on X.

**Definition 4.1.4** (Open Interval). Let X be a linearly ordered set and  $a, b \in X$ . The *open interval* (a, b) is the set

$$\{x \in X : a < x < b\}$$
.

**Definition 4.1.5** (Immediate Predecessor, Immediate Successor). Let X be a linearly ordered set and  $a, b \in X$ . Then b is the (immediate) successor of a, and a is the (immediate) predecessor of b, iff a < B and there is no x such that a < x < b.

**Definition 4.1.6** (Dictionary Order). Let A and B be linearly ordered sets. The *dictionary order* on  $A \times B$  is the order defined by

$$(a,b) < (a',b') \Leftrightarrow a < a' \lor (a = a' \land b < b')$$
.

Theorem 4.1.7 (Maximum Principle). Every poset has a maximal linearly ordered subset.

PROOF:

- $\langle 1 \rangle 1$ . Let:  $(A, \leq)$  be a poset.
- $\langle 1 \rangle 2$ . PICK a well ordering  $\leq$  of A.

Proof: Well Ordering Theorem.

 $\langle 1 \rangle 3$ . Let:  $h: A \to 2$  be the function defined by  $\leq$ -recursion thus:

$$h: A \to 2$$
 be the function defined by  $\leqslant$ -recursion thus:  
 $h(a) = \begin{cases} 1 & \text{if } a \text{ is } \leqslant\text{-comparable with every } b < a \text{ such that } h(b) = 1 \\ 0 & \text{otherwise} \end{cases}$ 

 $\langle 1 \rangle 4$ . Let:  $B = \{ x \in A : h(x) = 1 \}$ 

Prove: B is a maximal subset linearly ordered by  $\leq$ .

- $\langle 1 \rangle 5$ . B is linearly ordered by  $\leq$ .
  - $\langle 2 \rangle 1$ . Let:  $x, y \in B$
  - $\langle 2 \rangle 2$ . Assume: w.l.o.g.  $x \leq y$
  - $\langle 2 \rangle 3$ . y is  $\leq$ -comparable with x
- $\langle 1 \rangle$ 6. For any subset  $C \subseteq A$  linearly ordered by  $\leq$ , if  $B \subseteq C$  then B = C.
  - $\langle 2 \rangle 1$ . Let:  $x \in C$
  - $\langle 2 \rangle 2$ . x is comparable with every  $y \leq x$  such that h(x) = 1
- $\langle 2 \rangle 3. \ x \in B$

**Theorem 4.1.8** (Zorn's Lemma). Let A be a poset. If every linearly ordered subset of A is bounded above, then A has a maximal element.

Proof:

 $\langle 1 \rangle 1$ . PICK a maximal linearly ordered subset B of A.

Proof: Maximal Principle

 $\langle 1 \rangle 2$ . PICK an upper bound c for B.

Prove: c is maximal.

- $\langle 1 \rangle 3$ . Let:  $x \in A$
- $\langle 1 \rangle 4$ . Assume:  $c \leq x$

Prove: x = c

- $\langle 1 \rangle 5$ . x is an upper bound for B.
- $\langle 1 \rangle 6. \ x \in B$

PROOF: By the maximality of B, since  $B \cup \{x\}$  is linearly ordered.

 $\langle 1 \rangle 7. \ x \leq c$ 

Proof:  $\langle 1 \rangle 2$ 

 $\langle 1 \rangle 8. \ x = c$ 

Corollary 4.1.8.1 (Kuratowski's Lemma). Let  $A \subseteq \mathcal{P}X$ . Suppose that, for every subset  $\mathcal{B} \subseteq \mathcal{A}$  that is linearly ordered by inclusion, we have  $\bigcup \mathcal{B} \in \mathcal{A}$ . Then A has a maximal element.

**Definition 4.1.9** (Closed Interval). Let X be a linearly ordered set. Let  $a, b \in$ X with a < b. The closed interval [a, b] is

$$[a,b] := \{x \in X : a \le x \le b\}$$
.

**Definition 4.1.10** (Half-Open Interval). Let X be a linearly ordered set. Let  $a, b \in X$  with a < b. The half-open intervals (a, b] and [a, b) are defined by

$$(a, b] := \{x \in X : a < x \le b\}$$
  
 $[a, b) := \{x \in X : a \le x < b\}$ 

**Definition 4.1.11** (Open Ray). Let X be a linearly ordered set and  $a \in X$ . The *open rays*  $(a, +\infty)$  and  $(-\infty, a)$  are defined by:

$$(a, +\infty) := \{x \in X : a < x\}$$
  
 $(-\infty, a) := \{x \in X : x < a\}$ 

**Definition 4.1.12** (Closed Ray). Let X be a linearly ordered set and  $a \in X$ . The *closed rays*  $[a, +\infty)$  and  $(-\infty, a]$  are defined by:

$$[a, +\infty) := \{x \in X : a \leqslant x\}$$
$$(-\infty, a] := \{x \in X : x \leqslant a\}$$

**Definition 4.1.13** (Convex). Let X be a linearly ordered set and  $Y \subseteq X$ . Then Y is *convex* iff, for all  $a, b \in Y$  and  $c \in X$ , if a < c < b then  $c \in Y$ .

# 4.1.2 Sets of Finite Type

**Definition 4.1.14** (Finite Type). Let X be a set. Let  $\mathcal{A} \subseteq \mathcal{P}X$ . Then  $\mathcal{A}$  is of *finite type* if and only if, for any  $B \subseteq X$ , we have  $B \in \mathcal{A}$  if and only if every finite subset of B is in  $\mathcal{A}$ .

**Proposition 4.1.15** (Tukey's Lemma). Let X be a set. Let  $A \subseteq \mathcal{P}X$ . If A is of finite type, then A has a maximal element.

#### PROOF:

- $\langle 1 \rangle 1$ . For every subset  $\mathcal{B} \subseteq \mathcal{A}$  that is linearly ordered by inclusion, we have  $\bigcup \mathcal{B} \in \mathcal{A}$ .
  - $\langle 2 \rangle 1$ . Let:  $\mathcal{B} \subseteq \mathcal{A}$
  - $\langle 2 \rangle 2$ . Assume:  $\mathcal{B}$  is linearly ordered by inclusion.
  - $\langle 2 \rangle 3$ . Every finite subset of  $\bigcup \mathcal{B}$  is in  $\mathcal{A}$
  - $\langle 2 \rangle 4$ .  $\bigcup \mathcal{B} \in \mathcal{A}$
- $\langle 1 \rangle 2$ . Q.E.D.

Proof: Kuratowski's Lemma.

# 4.2 Linear Continuua

**Definition 4.2.1** (Linear Continuum). A *linear continuum* is a linearly ordered set with more than one element that is dense and has the least upper bound property.

**Proposition 4.2.2.** Every convex subset of a linear continuum with more than one element is a linear continuum.

Proof: Easy.

Corollary 4.2.2.1. Every interval and ray in a linear continuum is a linear continuum.

# 4.3 Well Orders

**Definition 4.3.1** (Well Ordered Set). A *well ordered set* is a linearly ordered set such that every nonempty subset has a least element.

**Proposition 4.3.2.** Any subset of a well ordered set is well ordered.

**Proposition 4.3.3.** The product of two well ordered sets is well ordered under the dictionary order.

**Theorem 4.3.4** (Well Ordering Theorem). Every set has a well ordering.

#### Proof:

- $\langle 1 \rangle 1$ . Let: X be a set.
- $\langle 1 \rangle 2$ . PICK a choice function  $c: \mathcal{P}X \{\emptyset\} \to X$
- $\langle 1 \rangle 3$ . Define a *tower* to be a pair (T, <) where  $T \subseteq X$ , < is a well ordering of T, and

$$\forall x \in T. x = c(X - \{y \in T : y < x\}) .$$

- $\langle 1 \rangle 4$ . Given two towers, either they are equal or one is a section of the other.
  - $\langle 2 \rangle 1$ . Let:  $(T_1, <_1)$  and  $(T_2, <_2)$  be towers.
  - $\langle 2 \rangle 2$ . Assume: w.l.o.g. there exists a strictly monotone function  $h: T_1 \to T_2$
  - $\langle 2 \rangle 3$ .  $h(T_1)$  is either  $T_2$  or a section of  $T_2$

Proof: Proposition 4.3.11.

- $\langle 2 \rangle 4. \ \forall x \in T_1.h(x) = x$ 
  - $\langle 3 \rangle 1$ . Let:  $x \in T_1$
  - $\langle 3 \rangle 2$ . Assume: as transfinite induction hypothesis  $\forall y < x.h(y) = y$
  - $\langle 3 \rangle 3$ . h(x) is the least element of  $T_2 \{h(y) \in T_1 : y < x\}$
  - $\langle 3 \rangle 4$ . h(x) is the least element of  $T_2 \{ y \in T_1 : y < x \}$

Proof:  $\langle 3 \rangle 2$ 

 $\langle 3 \rangle 5$ . h(x) = x

Proof:

$$h(x) = c(X - \{y \in T_2 : y < h(x)\}) \qquad (\langle 1 \rangle 3)$$

$$= c(X - \{y \in T_2 : y < x\}) \qquad (\langle 3 \rangle 4)$$

$$= c(X - \{y \in T_1 : y < x\}) \qquad (\langle 3 \rangle 2)$$

$$= x \qquad (\langle 1 \rangle 3)$$

 $\langle 1 \rangle$ 5. If (T, <) is a tower and  $T \neq X$ , then there exists a tower of which (T, <) is a section.

PROOF: Let  $T_1 = T \cup \{c(T)\}$  and  $<_1$  be the extension of < such that x < c(T) for all  $x \in T$ .

```
\langle 1 \rangle 6. Let: \mathbf{T} = \bigcup \{T : \exists R.(T,R) \text{ is a tower}\} \text{ and } \mathbf{R} = \bigcup \{R : \exists T.(T,R) \text{ is a tower}\}
\langle 1 \rangle 7. (T, R) is a tower.
   \langle 2 \rangle 1. R is irreflexive.
       PROOF: Since for every tower (T, <) we have < is irreflexive.
   \langle 2 \rangle 2. R is transitive.
       \langle 3 \rangle 1. Assume: x \mathbf{R} y and y \mathbf{R} z
       \langle 3 \rangle 2. PICK towers (T_1, <_1) and (T_2, <_2) such that x <_1 y and y <_2 z
       \langle 3 \rangle 3. Assume: w.l.o.g. (T_1, <_1) is either (T_2, <_2) or a section of (T_2, <_2)
       \langle 3 \rangle 4. \ x <_2 y <_2 z
       \langle 3 \rangle 5. x <_2 z
       \langle 3 \rangle 6. \ x\mathbf{R}z
   \langle 2 \rangle 3. For all x, y \in \mathbf{T}, either x \mathbf{R} y or x = y or y \mathbf{R} x
       PROOF: There exists a tower that has both x and y.
   \langle 2 \rangle 4. Every nonempty subset of T has an R-least element.
       \langle 3 \rangle 1. Let: A \subseteq \mathbf{T} be nonempty.
       \langle 3 \rangle 2. Pick a \in A
       \langle 3 \rangle 3. PICK a tower (T, <) such that a \in T.
       \langle 3 \rangle 4. Let: b be the <-least element of A \cap T
                PROVE: b is R-least in A.
       \langle 3 \rangle 5. Let: x \in A
       \langle 3 \rangle 6. Etc.
   \langle 2 \rangle 5. \ \forall x \in \mathbf{T}.x = c(X - \{y \in \mathbf{T} : y\mathbf{R}x\})
\langle 1 \rangle 8. \ \mathbf{T} = X
\langle 1 \rangle 9. R is a well ordering of X.
Proposition 4.3.5. There exists a well-ordered set with a largest element \Omega
such that (-\infty, \Omega) is uncountable but, for all \alpha < \Omega, we have (-\infty, \alpha) is count-
able.
PROOF:
\langle 1 \rangle 1. PICK an uncountable well ordered set B.
```

Proposition 4.3.6. Every well ordered set has the least upper bound property.

 $\langle 1 \rangle 5$ . A is a well ordered set with largest element  $\Omega$  such that  $(-\infty, \Omega)$  is un-

 $\langle 1 \rangle 3$ . Let:  $\Omega$  be the least element of C such that  $(-\infty, \Omega)$  is uncountable.

countable but, for all  $\alpha < \Omega$ , we have  $(-\infty, \alpha)$  is countable.

 $\langle 1 \rangle 2$ . Let:  $C = 2 \times B$  under the dictionary order.

 $\langle 1 \rangle 4$ . Let:  $A = (-\infty, \Omega]$ 

PROOF: For any subset that is bounded above, the set of upper bounds is nonempty, hence has a least element.  $\Box$ 

**Proposition 4.3.7.** In a well ordered set, every element that is not greatest has a successor.

PROOF: If a is not greatest, then  $\{x: x>a\}$  is nonempty, hence has a least element.  $\square$ 

**Theorem 4.3.8** (Transfinite Induction). Let J be a well ordered set. Let  $S \subseteq J$ . Assume that, for every  $\alpha \in J$ , if  $\forall x < \alpha.x \in S$  then  $\alpha inS$ . Then S = J.

Proof: Otherwise J-S would be a nonempty subset of J with no least element.  $\square$ 

**Proposition 4.3.9.** Let I be a well ordered set. Let  $\{A_i\}_{i \in I}$  be a family of well ordered sets. Define < on  $\coprod_{i \in I} A_i$  by:  $\kappa_i(a) < \kappa_j(b)$  iff either i < j, or i = j and a < b in  $A_i$ . Then < well orders  $\coprod_{i \in I} A_i$ .

Proof: Easy.

**Theorem 4.3.10** (Principle of Transfinite Recursion). Let J be a well ordered set. Let C be a set. Let  $\mathcal{F}$  be the set of all functions from a section of J into C. Let  $\rho: \mathcal{F} \to C$ . Then there exists a unique function  $h: J \to C$  such that, for all  $\alpha \in J$ , we have

$$h(\alpha) = \rho(h \upharpoonright (-\infty, \alpha))$$
.

Proof:

- $\langle 1 \rangle 1$ . For a function h mapping either a section of J or all of J into C, let us say h is acceptable iff, for all  $x \in \text{dom } h$ , we have  $(-\infty, x) \subseteq \text{dom } h$  and  $h(x) = \rho(h \upharpoonright (-\infty, x))$ .
- $\langle 1 \rangle 2$ . If h and k are acceptable functions then h(x) = k(x) for all x in both domains.
  - $\langle 2 \rangle 1$ . Let:  $x \in J$
  - $\langle 2 \rangle 2$ . Assume: as transfinite induction hypothesis that, for all y < x and any acceptable functions h and k with  $y \in \text{dom } h \cap \text{dom } k$ , we have h(y) = k(y)
  - $\langle 2 \rangle 3$ . Let: h and k be acceptable functions with  $x \in \text{dom } h \cap \text{dom } k$
  - $\langle 2 \rangle 4$ .  $h \upharpoonright (-\infty, x) = k \upharpoonright (-\infty, x)$

Proof: By  $\langle 2 \rangle 2$ .

 $\langle 2 \rangle 5$ . h(x) = k(x)

PROOF: By  $\langle 2 \rangle 3$ , each is the least element of the set in  $\langle 2 \rangle 4$ .

- $\langle 1 \rangle 3$ . For  $\alpha \in J$ , if there exists an acceptable function  $(-\infty, \alpha) \to C$ , then there exists an acceptable function  $(-\infty, \alpha] \to C$ .
  - $\langle 2 \rangle 1$ . Let:  $\alpha \in J$
  - $\langle 2 \rangle 2$ . Let:  $f: (-\infty, \alpha) \to C$  be acceptable.
  - $\langle 2 \rangle 3$ . Let:  $g: (-\infty, \alpha] \to C$  be the function given by

$$g(x) = \begin{cases} f(x) & \text{if } x < \alpha \\ \rho(f) & \text{if } x = \alpha \end{cases}$$

 $\langle 2 \rangle 4$ . g is acceptable.

- $\langle 1 \rangle$ 4. Let  $K \subseteq J$ . Assume that, for all  $\alpha \in K$ , there exists an acceptable function  $(-\infty, \alpha) \to C$ . Then there exists an acceptable function  $\bigcup_{\alpha \in K} (-\infty, \alpha) \to C$ .
  - $\langle 2 \rangle$ 1. Define  $f: \bigcup_{\alpha \in K} (-\infty, \alpha) \to C$  by: f(x) = y iff there exists  $\alpha \in K$  and  $g: (-\infty, \alpha) \to C$  acceptable such that g(x) = y.
- $\langle 1 \rangle 5$ . For every  $\beta \in J$ , there exists an acceptable function  $(-\infty, \beta) \to C$

```
\langle 2 \rangle 1. Let: \beta \in J
   \langle 2 \rangle 2. Assume: as transfinite induction hypothesis that, for all \alpha < \beta, there
                           exists an acceptable function (-\infty, \alpha) \to C
   \langle 2 \rangle 3. Case: \beta has a predecessor
      \langle 3 \rangle1. Let: \alpha be the predecessor of \beta.
      \langle 3 \rangle 2. There exists an acceptable function (-\infty, \alpha) \to C.
      \langle 3 \rangle 3. There exists an acceptable function (-\infty, \beta) \to C.
          PROOF: By \langle 1 \rangle 3 since (-\infty, \beta) = (-\infty, \alpha].
   \langle 2 \rangle 4. Case: \beta has no predecessor.
      PROOF: The result follows by \langle 1 \rangle 4 since (-\infty, \beta) = \bigcup_{\alpha < \beta} (-\infty, \alpha).
\langle 1 \rangle 6. There exists an acceptable function J \to C.
   \langle 2 \rangle1. Case: J has a greatest element.
      \langle 3 \rangle 1. Let: q be greatest.
      \langle 3 \rangle 2. There exists an acceptable function (-\infty, g) \to C.
          Proof: \langle 1 \rangle 5
      \langle 3 \rangle 3. There exists an acceptable function J \to C.
          PROOF: By \langle 1 \rangle 3 since J = (-\infty, g].
   \langle 2 \rangle 2. Case: J has no greatest element.
      PROOF: By \langle 1 \rangle 4 since J = \bigcup_{\alpha \in J} (-\infty, \alpha).
either A \leq B or B \leq A.
```

Corollary 4.3.10.1 (Cardinal Comparability). Let A and B be sets. Then

PROOF: Choose well orderings of A and B. Then either there exists a surjection  $A \to B$ , or there exists an injective function  $h: A \to B$  defined by transfinite recursion by h(x) is the least element of  $B - h((-\infty, x))$ .  $\square$ 

**Proposition 4.3.11.** Let J and E be well ordered sets. Let  $h: J \to E$ . Then the following are equivalent.

- 1. h is strictly monotone and h(J) is either E or a section of E.
- 2. For all  $\alpha \in J$ , we have  $h(\alpha)$  is the least element of  $E h((-\infty, \alpha))$ .

```
Proof:
```

```
\langle 1 \rangle 1. 1 \Rightarrow 2
    \langle 2 \rangle 1. Assume: 1
    \langle 2 \rangle 2. h(J) is closed downwards.
    \langle 2 \rangle 3. Let: \alpha \in J
    \langle 2 \rangle 4. h(\alpha) \in E - h((-\infty, \alpha))
        PROOF: If \beta < \alpha then h(\beta) < h(\alpha).
    \langle 2 \rangle 5. For all y \in E - h((-\infty, \alpha)) we have h(\alpha) \leq y
        \langle 3 \rangle 1. Assume: for a contradiction y < h(\alpha)
        \langle 3 \rangle 2. \ y \in h(J)
        \langle 3 \rangle 3. Pick \beta \in J such that h(\beta) = y
        \langle 3 \rangle 4. h(\beta) < h(\alpha)
        \langle 3 \rangle 5. \beta < \alpha
```

```
\langle 3 \rangle 6. Q.E.D.
           PROOF: This contradicts the fact that y \notin h((-\infty, \alpha)).
\langle 1 \rangle 2. 2 \Rightarrow 1
    \langle 2 \rangle 1. Assume: 2
    \langle 2 \rangle 2. h is strictly monotone.
        \langle 3 \rangle 1. Let: \alpha, \beta \in J with \alpha < \beta
       \langle 3 \rangle 2. h(\alpha) \neq h(\beta)
           PROOF: Because h(\beta) \in E - h((-\infty, \beta)).
       \langle 3 \rangle 3. \ h(\alpha) \leqslant h(\beta)
           PROOF:Because h(\alpha) is least in E - h((-\infty, \alpha)).
        \langle 3 \rangle 4. h(\alpha) < h(\beta)
    \langle 2 \rangle 3. h(J) is either E or a section of E.
       \langle 3 \rangle 1. Assume: h(J) \neq E
       \langle 3 \rangle 2. Let: e be least in E - h(J)
                 PROVE: h(J) = (-\infty, e)
       \langle 3 \rangle 3. \ h(J) \subseteq (-\infty, e)
           \langle 4 \rangle 1. Let: \alpha \in J
           \langle 4 \rangle 2. h(\alpha) \neq e
               Proof: e \notin h(J)
           \langle 4 \rangle 3. \ h(\alpha) \leqslant e
               PROOF: Since h(\alpha) is least in E - h((-\infty, \alpha)).
           \langle 4 \rangle 4. h(\alpha) < e
       \langle 3 \rangle 4. \ (-\infty, e) \subseteq h(J)
           PROOF: If e' < e then e' \in h(J) by leastness of e.
```

# Part II Category Theory

# Chapter 5

# Category Theory

# 5.1 Categories

**Definition 5.1.1.** A category C consists of:

- a set Ob(C) of *objects*. We write  $A \in C$  for  $A \in Ob(C)$ .
- for any objects X and Y, a set  $\mathcal{C}[X,Y]$  of morphisms from X to Y. We write  $f:X\to Y$  for  $f\in\mathcal{C}[X,Y]$ .
- for any objects X, Y and Z, a function  $\circ : \mathcal{C}[Y, Z] \times \mathcal{C}[X, Y] \to \mathcal{C}[X, Z]$ , called *composition*.

such that:

- Given  $f: X \to Y$ ,  $g: Y \to Z$  and  $h: Z \to W$ , we have  $h \circ (g \circ f) = (h \circ g) \circ f$
- For any object X, there exists a morphism  $id_X : X \to X$ , the *identity morphism* on X, such that:
  - for any object Y and morphism  $f: Y \to X$  we have  $\mathrm{id}_X \circ f = f$
  - for any object Y and morphism  $f: X \to Y$  we have  $f \circ id_X = f$

We write the composite of morphism  $f_1, \ldots, f_n$  as  $f_n \circ \cdots \circ f_1$ . This is unambiguous thanks to Associativity.

**Definition 5.1.2.** Let **Set** be the category of small sets and functions.

**Definition 5.1.3.** Let **LPos** be the category of linearly ordered sets and monotone functions.

**Proposition 5.1.4.** Any finite linearly ordered set is isomorphic to  $\{m \in \mathbb{N} : m < n\}$  for some n.

#### Proof:

 $\langle 1 \rangle 1$ . Every finite nonempty linearly ordered set has a greatest element.

- $\langle 2 \rangle$ 1. Let: P[n] be the property: for any linearly ordered set A, if there exists a bijection  $A \approx \{m \in \mathbb{N} : m < n\}$  and A is nonempty then A has a greatest element.
- $\langle 2 \rangle 2$ . P[0]

Proof: Vacuous.

- $\langle 2 \rangle 3. \ \forall n \in \mathbb{N}. P[n] \Rightarrow P[n+1]$ 
  - $\langle 3 \rangle 1$ . Let:  $n \in \mathbb{N}$
  - $\langle 3 \rangle 2$ . Assume: P[n]
  - $\langle 3 \rangle 3$ . Let: A be a nonempty linearly ordered set.
  - $\langle 3 \rangle 4$ . Let:  $f: A \approx \{m \in \mathbb{N} : m < n+1\}$
  - $\langle 3 \rangle 5$ . Let:  $a = f^{-1}(n)$
  - $\langle 3 \rangle 6. \ f \upharpoonright (A \{a\}) : A \{a\} \approx \{m \in \mathbb{N} : m < n\}$
  - $\langle 3 \rangle$ 7. Assume: w.l.o.g. a is not greatest in A.
  - $\langle 3 \rangle$ 8. Let: b be greatest in  $A \{a\}$ Proof:  $\langle 3 \rangle$ 2

 $\langle 3 \rangle 9$ . b is greatest in A.

- $\langle 1 \rangle 2$ . Let: P[n] be the property: for any linearly ordered set A, if there exists a bijection  $A \approx \{m \in \mathbb{N} : m < n\}$  then there exists an isomorphism in **LPos**  $A \cong \{m \in \mathbb{N} : m < n\}$ .
- $\langle 1 \rangle 3. P[0]$

PROOF: If there exists a bijection  $A \approx \emptyset$  then A is empty and so the unique function  $A \to \emptyset$  is an order isomorphism.

- $\langle 1 \rangle 4$ . For every natural number n, if P[n] then P[n+1].
  - $\langle 2 \rangle$ 1. Let: n be a natural number.
  - $\langle 2 \rangle 2$ . Assume: P[n]
  - $\langle 2 \rangle 3$ . Let: A be a linearly ordered set.
  - $\langle 2 \rangle 4$ . Assume: A has n+1 elements.
  - $\langle 2 \rangle$ 5. Let: a be the greatest element in A.
  - ⟨2⟩6. Let:  $f: A \{a\} \cong \{m \in \mathbb{N} : m < n\}$  be an order isomorphism. Proof: ⟨2⟩2
  - $\langle 2 \rangle$ 7. Define  $g: A \to \{m \in \mathbb{N} : m < n+1\}$  by

$$g(x) = \begin{cases} f(x) & \text{if } x \neq a \\ n & \text{if } x = a \end{cases}$$

 $\langle 2 \rangle 8$ . g is an order isomorphism.

 $\langle 1 \rangle$ 5.  $\forall n \in \mathbb{N}.P[n]$ 

Corollary 5.1.4.1. Any finite linearly ordered set is well ordered.

**Proposition 5.1.5.** Let J and E be well ordered sets. Suppose there is a strictly monotone map  $J \to E$ . Then J is isomorphic either to E or a section of E.

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $k: J \to E$  be strictly monotone.
- $\langle 1 \rangle 2$ . Assume: w.l.o.g. E is nonempty.
- $\langle 1 \rangle 3$ . Pick  $e_0 \in E$

 $\langle 1 \rangle 4$ . Let:  $h: J \to E$  be the function defined by transfinite recursion thus:

$$h(\alpha) = \begin{cases} \text{the least element in } E - h((-\infty, \alpha)) & \text{if } h((-\infty, \alpha)) \neq E \\ e_0 & \text{if } h((-\infty, \alpha)) = E \end{cases}$$

- $\langle 1 \rangle 5. \ \forall \alpha \in J.h(\alpha) \leqslant k(\alpha)$ 
  - $\langle 2 \rangle 1$ . Let:  $\alpha \in J$
  - $\langle 2 \rangle 2$ . Assume: as transfinite induction hypothesis  $\forall \beta < \alpha.h(\beta) \leq k(\beta)$ .
  - $\langle 2 \rangle 3. \ \forall \beta < \alpha.h(\beta) < k(\alpha)$
  - $\langle 2 \rangle 4. \ h((-\infty, \alpha)) \neq E$
  - $\langle 2 \rangle 5$ .  $h(\alpha)$  is the least element in  $E h((-\infty, \alpha))$ .
  - $\langle 2 \rangle 6. \ k(\alpha) \in E h((-\infty, \alpha))$
  - $\langle 2 \rangle 7$ .  $h(\alpha) \leq k(\alpha)$
- $\langle 1 \rangle 6. \ \forall \alpha \in J.h((-\infty, \alpha)) \neq E$

PROOF: For  $\beta < \alpha$  we have  $h(\beta) \leq k(\beta) < k(\alpha)$  so  $k(\alpha) \notin h((-\infty, \alpha))$ .

- $\langle 1 \rangle 7$ . For all  $\alpha \in J$ , we have  $h(\alpha)$  is the least element of  $E h((-\infty, \alpha))$ .
- $\langle 1 \rangle 8$ . h is strictly monotone and h(J) is either E or a section of E.

Proof: Proposition 4.3.11.

**Proposition 5.1.6.** If A and B are well ordered sets, then exactly one of the following conditions hold:  $A \cong B$ , or A is isomorphic to a section of B, or B is isomorphic to a section of A.

#### Proof:

- $\langle 1 \rangle 1$ . At least one of the conditions holds.
  - $\langle 2 \rangle 1$ . B is isomorphic to either A + B or a section of A + B.
  - $\langle 2 \rangle 2$ . Case:  $B \cong A + B$ 
    - $\langle 3 \rangle 1$ . Let:  $\phi$  be the isomorphism  $B \cong A + B$
    - $\langle 3 \rangle 2$ . Let:  $b_0$  be the least element in B.
    - $\langle 3 \rangle 3$ . A is isomorphic to the section  $(-\infty, \phi^{-1}(\kappa_2(b_0)))$  of B.
  - $\langle 2 \rangle 3$ . Case:  $a \in A$  and  $B \cong (-\infty, \kappa_1(a))$

PROOF: Then B is isomorphic to the section  $(-\infty, a)$  of A.

- $\langle 2 \rangle 4$ . Case:  $b \in B$  and  $\phi : B \cong (-\infty, \kappa_2(b))$ 
  - $\langle 3 \rangle 1$ . Case: b is least in B.

PROOF: Then  $A \cong B$ .

- $\langle 3 \rangle 2$ . Case: b is not least in B.
  - $\langle 4 \rangle 1$ . Let:  $b_0$  be least in B.
  - $\langle 4 \rangle 2$ . A is isomorphic to the section  $(-\infty, \phi^{-1}(\kappa_2(b_0)))$  of B.
- $\langle 1 \rangle 2$ . At most one of the conditions holds.

Proof: Since a well ordered set cannot be isomorphic to a section of itself. 

**Theorem 5.1.7.** There exists a well ordered set, unique up to order isomorphism, that is uncountable but such that every section is countable.

#### Proof:

 $\langle 1 \rangle$ 1. There exists a well ordered set that is uncountable but such that every section is countable.

- $\langle 2 \rangle 1$ . PICK a well ordered set A with an element  $\Omega \in A$  such that  $(-\infty, \Omega)$  is uncountable but  $\forall \alpha < \Omega. (-\infty, \alpha)$  is countable.
- $\langle 2 \rangle 2$ . Let:  $(-\infty, Omega)$  is uncountable but every section is countable.
- $\langle 1 \rangle 2$ . If A and B are uncountable well ordered sets such that every section is countable, then  $A \cong B$ .

PROOF: Since it cannot be that one of A and B is isomorphic to a section of the other.

**Definition 5.1.8** (Minimal Uncountable Well Ordered Set). The *minimal uncountable well ordered set*  $\Omega$  is the well ordered set that is uncountable but such that every section is countable.

We write  $\overline{\Omega}$  for the well ordered set  $\Omega \cup \{\Omega\}$  where  $\Omega$  is greatest.

**Proposition 5.1.9.** Every countable subset of  $\Omega$  is bounded above.

#### Proof:

- $\langle 1 \rangle 1$ . Let: A be a countable subset of  $\Omega$ .
- $\langle 1 \rangle 2$ . For all  $a \in A$  we have  $(-\infty, a)$  is countable.
- $\langle 1 \rangle 3$ .  $\bigcup_{a \in A} (-\infty, a)$  is countable.
- $\langle 1 \rangle 4. \ \bigcup_{a \in A} (-\infty, a) \neq \Omega$
- $\langle 1 \rangle 5$ . Pick  $x \in \Omega \bigcup_{a \in A} (-\infty, a)$
- $\langle 1 \rangle 6$ . x is an upper bound for A.

**Proposition 5.1.10.**  $\Omega$  has no greatest element.

PROOF: For any  $\alpha \in \Omega$  we have  $(-\infty, \alpha]$  is countable and hence not the whole of  $\Omega$ .  $\square$ 

**Proposition 5.1.11.** There are uncountably many elements of  $\Omega$  that have no predecessor.

#### Proof:

- $\langle 1 \rangle 1$ . Let: A be the set of all elements of  $\Omega$  that have no predecessor.
- $\langle 1 \rangle 2$ . Let:  $f: A \times \mathbb{N} \to \Omega$  be the function that maps (a, n) to the nth successor of a.
- $\langle 1 \rangle 3$ . f is surjective.
  - $\langle 2 \rangle 1$ . Assume: for a contradiction  $x \in \Omega$  and there is no element  $a \in A$  and  $n \in \mathbb{N}$  such that x is the nth successor of a.
  - $\langle 2 \rangle 2$ . Let:  $x_n$  be the nth predecessor of x for  $n \in \mathbb{N}$ .
- $\langle 2 \rangle 3$ .  $\{x_n : n \in \mathbb{N}\}$  is a nonempty subset of  $\Omega$  with no least element.
- $\langle 1 \rangle 4$ .  $A \times \mathbb{N}$  is uncountable.
- $\langle 1 \rangle 5$ . A is uncountable.

**Definition 5.1.12.** We identify a poset  $(A, \leq)$  with the category with:

• set of objects A

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• for  $a, b \in A$ , the set of homomorphisms is  $\{x \in 1 : a \leq b\}$ 

**Proposition 5.1.13.** A category is a poset iff, for any two objects, there exists at most one morphism between them.

**Proposition 5.1.14.** The identity morphism on an object is unique.

```
Proof:
```

```
\langle 1 \rangle 1. Let: \mathcal{C} be a category.
```

 $\langle 1 \rangle 2$ . Let:  $A \in \mathcal{C}$ 

 $\langle 1 \rangle 3$ . Let:  $i, j : A \to A$  be identity morphisms on A.

 $\langle 1 \rangle 4. \ i = j$ 

Proof:

$$i = i \circ j$$
 (j is an identity on A)  
= j (i is an identity on A)

**Proposition 5.1.15.** Let A be a linearly ordered set. Then A is well ordered if and only if it does not contain a subset of order type  $\mathbb{N}^{op}$ .

#### Proof:

 $\langle 1 \rangle 1$ . If A is well ordered then it does not contain a subset of order type  $\mathbb{N}^{op}$ .

PROOF: A subset of order type  $\mathbb{N}^{op}$  would be a subset with no least element.

- $\langle 1 \rangle 2$ . If A is not well ordered then it contains a subset of order type  $\mathbb{N}^{op}$ .
  - $\langle 2 \rangle$ 1. Assume: A is not well ordered.
  - $\langle 2 \rangle 2$ . PICK a nonempty subset S with no least element.
  - $\langle 2 \rangle 3$ . Pick  $a_0 \in S$
  - $\langle 2 \rangle 4$ . Extend to a sequence  $(a_n)$  in S such that  $a_{n+1} < a_n$  for all n.
  - $\langle 2 \rangle 5$ .  $\{a_n : n \in \mathbb{N}\}$  has order type  $\mathbb{N}^{op}$ .

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**Corollary 5.1.15.1.** Let A be a linearly ordered set. If every countable subset of A is well ordered, then A is well ordered.

**Definition 5.1.16.** Given  $f: A \to B$  and an object C, define the function  $f^*: \mathcal{C}[B,C] \to \mathcal{C}[A,C]$  by  $f^*(g) = g \circ f$ .

**Definition 5.1.17.** Given  $f: A \to B$  and an object C, define the function  $f_*: C[C, A] \to C[C, B]$  by  $f_*(g) = f \circ g$ .

#### 5.1.1 Monomorphisms

**Definition 5.1.18** (Monomorphism). Let  $f:A\to B$ . Then f is *monic* or a *monomorphism*,  $f:A\rightarrowtail B$ , iff, for any object X and functions  $x,y:X\to A$ , if  $f\circ x=f\circ y$  then x=y.

#### 5.1.2 Epimorphisms

**Definition 5.1.19** (Epimorphism). Let  $f: A \to B$ . Then f is *epic* or an *epimorphism*,  $f: A \twoheadrightarrow B$ , iff, for any object X and functions  $x, y: B \to X$ , if  $x \circ f = y \circ f$  then x = y.

#### 5.1.3 Sections and Retractions

**Definition 5.1.20** (Section, Retraction). Let  $r: A \to B$  and  $s: B \to A$ . Then r is a retraction of s, and s is a section of r, iff  $rs = id_B$ .

**Proposition 5.1.21.** Let  $f: A \to B$  and  $r, s: B \to A$ . If r is a retraction of f and s is a section of f then r = s.

Proof:

$$r = rid_B$$
 (Unit Law)  
 $= rfs$  (s is a section of f)  
 $= id_A s$  (r is a retraction of f)  
 $= s$  (Unit Law)

Proposition 5.1.22. Every section is monic.

Proof:

```
\langle 1 \rangle1. Let: s: B \to A be a section of r: A \to B.

\langle 1 \rangle2. Let: X be an object and x, y: X \to B

\langle 1 \rangle3. Assume: s \circ x = s \circ y

\langle 1 \rangle4. x = y

Proof: x = r \circ s \circ x = r \circ s \circ y = y.
```

Proposition 5.1.23. Every retraction is epic.

Proof: Dual.

# 5.1.4 Isomorphisms

**Definition 5.1.24** (Isomorphism). A morphism  $f: A \to B$  is an *isomorphism*,  $f: A \cong B$ , iff there exists a morphism  $f^{-1}: B \to A$  that is both a retraction and section of f.

Objects A and B are isomorphic,  $A \cong B$ , iff there exists an isomorphism between them.

**Proposition 5.1.25.** The inverse of an isomorphism is unique.

Proof: From Proposition 5.1.21.  $\square$ 

**Proposition 5.1.26.** If  $f : A \cong B$  then  $f^{-1} : B \cong A$  and  $(f^{-1})^{-1} = f$ .

PROOF: Since  $ff^{-1} = id_B$  and  $f^{-1}f = id_A$ .  $\square$ 

Isomorphism.

Define the opposite category.

Slice categories

**Definition 5.1.27.** Let  $\mathcal{C}$  be a category and  $B \in \mathcal{C}$ . The category  $\mathcal{C}_B^B$  of objects over and under B is the category with:

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- objects all triples (X, u, p) such that  $u: B \to X$  and  $p: X \to B$
- morphisms  $f:(X,u,p)\to (Y,u',p')$  all morphisms  $f:X\to Y$  such that fu=u' and p'f=p.

#### Proposition 5.1.28.

$$\mathcal{C}_B^B \cong (\mathcal{C}/B) \backslash \mathrm{id}_B \cong (\mathcal{C}\backslash B) / \mathrm{id}_B$$

 $(B, \mathrm{id}_B, \mathrm{id}_B)$  is the zero object in  $\mathcal{C}_B^B$ .

#### 5.1.5 Initial Objects

**Definition 5.1.29** (Initial Object). An object I is *initial* iff, for any object X, there exists exactly one morphism  $I \to X$ .

**Proposition 5.1.30.** The empty set is initial in **Set**.

PROOF: For any set A, the nowhere-defined function is the unique function  $\emptyset \to A$ .  $\square$ 

**Proposition 5.1.31.** If I and I' are initial objects, then there exists a unique isomorphism  $I \cong I'$ .

#### Proof:

 $\langle 1 \rangle 1$ . Let:  $i: I \to I'$  be the unique morphism  $I \to I'$ .

 $\langle 1 \rangle 2$ . Let:  $i^{-1}: I' \to I$  be the unique morphism  $I' \to I$ .

 $\langle 1 \rangle 3. \ ii^{-1} = id_{I'}$ 

PROOF: There is only one morphism  $I' \to I'$ .

 $\langle 1 \rangle 4. \ i^{-1}i = id_I$ 

Proof: There is only one morphism  $I \to I$ .

## 5.1.6 Terminal Objects

**Definition 5.1.32** (Terminal Object). An object T is terminal iff, for any object X, there exists exactly one morphism  $X \to T$ .

Proposition 5.1.33. 1 is terminal in Set.

PROOF: For any set A, the constant function to \* is the only function  $A \to 1$ .

**Proposition 5.1.34.** If T and T' are terminal objects, then there exists a unique isomorphism  $T \cong T'$ .

PROOF: Dual to Proposition 5.1.31.

# 5.1.7 Zero Objects

**Definition 5.1.35** (Zero Object). An object Z is a zero object iff it is an initial object and a terminal object.

**Definition 5.1.36** (Zero Morphism). Let  $\mathcal{C}$  be a category with a zero object Z. Let  $A, B \in \mathcal{C}$ . The zero morphism  $A \to B$  is the unique morphism  $A \to Z \to B$ .

Proposition 5.1.37. There is no zero object in Set.

Proof: Since  $\emptyset \not\approx 1$ .  $\square$ 

#### **5.1.8** Triads

**Definition 5.1.38** (Triad). Let  $\mathcal{C}$  be a category. A *triad* consists of objects X, Y, M and morphisms  $\alpha: X \to M$ ,  $\beta: Y \to M$ . We call M the *codomain* of the triad.

#### 5.1.9 Cotriads

**Definition 5.1.39** (Cotriad). Let  $\mathcal{C}$  be a category. A *cotriad* consists of objects X, Y, W and morphisms  $\xi : W \to X, \eta : W \to Y$ . We call W the *domain* of the triad.

#### 5.1.10 Pullbacks

**Definition 5.1.40** (Pullback). A diagram

$$\begin{array}{ccc} W & \xrightarrow{\xi} & X \\ \eta & & \downarrow^{\alpha} \\ Y & \xrightarrow{\beta} & M \end{array}$$

is a pullback iff  $\alpha \xi = \beta \eta$  and, for every object Z and morphism  $f: Z \to X$  and  $g: Z \to Y$  such that  $\alpha f = \beta g$ , there exists a unique  $h: Z \to W$  such that  $\xi h = f$  and  $\eta h = g$ .

In this case we also say that  $\eta$  is the *pullback* of  $\beta$  along  $\alpha$ .

**Proposition 5.1.41.** If  $\xi : W \to X$  and  $\eta : W \to Y$  form a pullback of  $\alpha : X \to M$  and  $\beta : Y \to M$ , and  $\xi' : W' \to X$  and  $\eta' : W' \to Y$  also form the pullback of  $\alpha$  and  $\beta$ , then there exists a unique isomorphism  $\phi : W \cong W'$  such that  $\eta' \phi = \eta$  and  $\xi' \phi = \xi$ .

#### Proof:

 $\langle 1 \rangle 1$ . Let:  $\phi: W \to W'$  be the unique morphism such that  $\eta' \phi = \eta$  and  $\xi' \phi = \xi$ .  $\langle 1 \rangle 2$ . Let:  $\phi^{-1}: W' \to W$  be the unique morphism such that  $\eta \phi^{-1} = \eta'$  and  $\xi \phi^{-1} = \xi'$ .  $\langle 1 \rangle 3$ .  $\phi \phi^{-1} = \mathrm{id}_{W'}$ 

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PROOF: Each is the unique  $x: W' \to W'$  such that  $\eta' x = \eta'$  and  $\xi' x = \xi'$ .  $\langle 1 \rangle 4$ .  $\phi^{-1} \phi = \mathrm{id}_W$ 

PROOF: Each is the unique  $x: W \to W$  such that  $\eta x = \eta$  and  $\xi x = \xi$ .

**Proposition 5.1.42.** For any morphism  $h: A \to B$ , the following diagram is a pullback diagram.

$$\begin{array}{ccc}
A & \xrightarrow{h} & B \\
\parallel & & \parallel \\
A & \xrightarrow{h} & B
\end{array}$$

Proof:

 $\langle 1 \rangle 1$ . Let: Z be an object.

 $\langle 1 \rangle 2$ . Let:  $f: Z \to B$  and  $g: Z \to A$  satisfy  $\mathrm{id}_B f = hg$ 

 $\langle 1 \rangle 3.$   $g: Z \to B$  is the unique morphism such that  $\mathrm{id}_A g = g$  and hg = f.

Proposition 5.1.43. The pullback of an isomorphism is an isomorphism.

Proof:

 $\langle 1 \rangle 1$ . Let:

$$W \xrightarrow{\xi} X$$

$$\downarrow^{\eta} \qquad \downarrow^{\alpha}$$

$$Y \xrightarrow{\beta} M$$

be a pullback diagram.

 $\langle 1 \rangle 2$ . Assume:  $\beta$  is an isomorphism.

(1)3. Let:  $\xi^{-1}$  be the unique morphism  $X \to W$  such that  $\xi \xi^{-1} = \mathrm{id}_X$  and  $\eta \xi^{-1} = \beta^{-1} \alpha$ .

PROOF: This exists since  $\alpha id_X = \beta \beta^{-1} \alpha = \alpha$ .

 $\langle 1 \rangle 4. \ \xi^{-1} \xi = \mathrm{id}_W$ 

PROOF: Each is the unique  $x: W \to W$  such that  $\xi x = \xi$  and  $\eta x = \eta$ .

**Proposition 5.1.44.** Let  $\beta:(Y,y)\to (M,m)$  and  $\alpha:(X,x)\to (M,m)$  in  $\mathcal{C}\backslash A$ . Let

$$W \xrightarrow{\xi} X$$

$$\downarrow^{\eta} \qquad \downarrow^{\alpha}$$

$$Y \xrightarrow{\beta} M$$

be a pullback in C. Let  $w: A \to W$  be the unique morphism such that  $\xi w = x$  and  $\eta w = y$ . Then  $\xi: (W, w) \to (X, x)$  and  $\eta: (W, w) \to (Y, y)$  is the pullback of  $\beta$  and  $\alpha$  in  $C \setminus A$ .

Proof:

- $\langle 1 \rangle 1$ . Let:  $(Z, z) \in \mathcal{C} \backslash A$
- $\langle 1 \rangle 2$ . Let:  $f:(Z,z) \to (X,x)$  and  $g:(Z,z) \to (Y,y)$  satisfy  $\alpha f = \beta g$ .
- $\langle 1 \rangle 3$ . Let:  $h: Z \to W$  be the unique morphism such that  $\xi h = f$  and  $\eta h = g$ .
- $\langle 1 \rangle 4$ . hz = w
  - $\langle 2 \rangle 1$ .  $\xi hz = \xi w$

Proof:

$$\xi hz = fz \qquad (\langle 1 \rangle 3)$$

$$= x \qquad (\langle 1 \rangle 2)$$

$$= \xi w$$

 $\langle 2 \rangle 2$ .  $\eta hz = \eta w$ 

Proof: Similar.

PROOF: Similar. 
$$\langle 1 \rangle 5. \ h: (Z, z) \to (W, w)$$

**Proposition 5.1.45.** Let  $\beta:(Y,y)\to (M,m)$  and  $\alpha:(X,x)\to (M,m)$  in C/A. Let

$$W \xrightarrow{\xi} X$$

$$\downarrow^{\eta} \qquad \downarrow^{\alpha}$$

$$Y \xrightarrow{\beta} M$$

be a pullback in C. Let  $w = x\xi : W \to A$ . Then  $\xi : (W, w) \to (X, x)$  and  $\eta: (W, w) \to (Y, y)$  form a pullback of  $\alpha$  and  $\beta$  in C/A.

Proof:

$$\langle 1 \rangle 1. \ \eta : (W, w) \rightarrow (Y, y)$$

Proof:

$$y\eta = m\beta\eta$$
$$= m\alpha\xi$$
$$= x\xi$$
$$= w$$

- $\langle 1 \rangle 2$ . Let:  $(Z, z) \in \mathcal{C}/A$
- $\langle 1 \rangle 3$ . Let:  $f:(Z,z) \to (X,x)$  and  $g:(Z,z) \to (Y,y)$  satisfy  $\alpha f = \beta g$ .
- $\langle 1 \rangle 4$ . Let:  $h: Z \to W$  be the unique morphism such that  $\xi h = f$  and  $\eta h = g$ .
- $\langle 1 \rangle 5. \ h: (Z,z) \to (W,w)$

Proof:

$$wh = x\xi h$$

$$= xf \qquad (\langle 1 \rangle 4)$$

$$= z \qquad (\langle 1 \rangle 3)$$

**Proposition 5.1.46.** In Set, let  $\alpha: X \to M$  and  $\beta: Y \to M$ . Let W = $\{(x,y)\in X\times Y:\alpha(x)=\beta(y)\}\$ with inclusion  $i:W\to X\times Y.$  Let  $\xi=\pi_1i:$  $W \to X$  and  $\eta : \pi_2 i : W \to Y$ . Then  $\xi$  and  $\eta$  form the pullback of  $\alpha$  and  $\beta$ .

Proof:

 $\langle 1 \rangle 1$ .  $\alpha \xi = \beta \eta$ 

PROOF: For  $w \in W$ , if i(w) = (x, y) then then  $\alpha(\xi(w)) = \alpha(x) = \beta(y) = \beta(\eta(w))$ .

 $\langle 1 \rangle$ 2. For every set Z and functions  $f: Z \to X, g: Z \to Y$  such that  $\alpha f = \beta g$ , there exists a unique  $h: Z \to W$  such that  $\xi h = f$  and  $\eta h = g$ PROOF: For  $z \in Z$ , let h(z) be the unique element of W such that i(h(z)) = (f(z), g(z)).

Pullback lemma

#### 5.1.11 Pushouts

**Definition 5.1.47** (Pushout). A diagram

$$\begin{array}{ccc}
W & \xrightarrow{\xi} X \\
\eta & & \downarrow \alpha \\
Y & \xrightarrow{\beta} M
\end{array} (5.1)$$

is a pushout iff  $\alpha \xi = \beta \eta$  and, for every object Z and morphism  $f: X \to Z$  and  $g: Y \to Z$  such that  $f\xi = g\eta$ , there exists a unique  $h: M \to Z$  such that  $h\alpha = f$  and  $h\beta = g$ .

We also say that  $\beta$  is the *pushout* of  $\xi$  along  $\eta$ .

**Proposition 5.1.48.** If  $\alpha: X \to M$  and  $\beta: Y \to M$  form a pushout of  $\xi: W \to X$  and  $\eta: W \to Y$ , and  $\alpha': X \to M'$  and  $\beta': Y \to M'$  also form a pushout of  $\xi$  and  $\eta$ , then there exists a unique isomorphism  $\phi: M \cong M'$  such that  $\phi\alpha = \alpha'$  and  $\phi\beta = \beta'$ .

PROOF: Dual to Proposition 5.1.41.

**Proposition 5.1.49.** For any morphism  $h: A \to B$ , the following diagram is a pushout diagram.

$$\begin{array}{ccc}
A & \xrightarrow{h} & B \\
\parallel & & \parallel \\
A & \xrightarrow{h} & B
\end{array}$$

PROOF: Dual to Proposition 5.1.42.

**Proposition 5.1.50.** The diagram (5.1) is a pushout in C iff it is a pullback in  $C^{op}$ .

PROOF: Immediate from definitions.  $\square$ 

**Proposition 5.1.51.** The pushout of an isomorphism is an isomorphism.

PROOF: Dual to Proposition 5.1.43.

**Proposition 5.1.52.** Let  $\xi:(W,w)\to (X,x)$  and  $\eta:(W,w)\to (Y,y)$  in  $\mathcal{C}\backslash A$ . Let

$$W \xrightarrow{\xi} X$$

$$\eta \downarrow \qquad \qquad \downarrow \alpha$$

$$Y \xrightarrow{\beta} M$$

be a pushout in C. Let  $m := \alpha x : A \to M$ . Then  $\alpha : (X, x) \to (M, m)$  and  $\beta : (Y, y) \to (M, m)$  is the pushout of  $\xi$  and  $\eta$  in  $C \setminus A$ .

PROOF: Dual to Proposition 5.1.45.

**Proposition 5.1.53.** Let  $\xi:(W,w)\to (X,x)$  and  $\eta:(W,w)\to (Y,y)$  in  $\mathcal{C}/A$ . Let

$$W \xrightarrow{\xi} X$$

$$\uparrow \qquad \qquad \downarrow \alpha$$

$$Y \xrightarrow{\beta} M$$

be a pushout in C. Let  $m: M \to A$  be the unique morphism such that  $m\alpha = x$  and  $m\beta = y$ . Then  $\alpha: (X,x) \to (M,m)$  and  $\beta: (Y,y) \to (M,m)$  is the pushout of  $\xi$  and  $\eta$  in  $C \setminus A$ .

PROOF: Dual to Proposition 5.1.44.

Proposition 5.1.54. Set has pushouts.

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $\xi : W \to X$  and  $\eta : W \to Y$ .
- $\langle 1 \rangle 2.$  Let:  $\sim$  be the equivalence relation on X+Y generated by  $\xi(w) \sim \eta(w)$  for all  $w \in W$
- $\langle 1 \rangle 3$ . Let:  $M = (X + Y) / \sim$  with canonical projection  $\pi : X + Y \twoheadrightarrow M$ .
- $\langle 1 \rangle 4$ . Let:  $\alpha = \pi \circ \kappa_1 : X \to M$
- $\langle 1 \rangle 5$ . Let:  $\beta = \pi \circ \kappa_2 : Y \to M$
- $\langle 1 \rangle 6$ . Let: Z be any set,  $f: X \to Z$  and  $g: Y \to Z$ .
- $\langle 1 \rangle 7$ . Assume:  $f \xi = g \eta$
- $\langle 1 \rangle 8.$  Let:  $h: X+Y \to Z$  be the function defined by h(x)=f(x) and h(y)=g(y) for  $x \in X$  and  $y \in Y$
- $\langle 1 \rangle 9$ . h respects  $\sim$

PROOF: For  $w \in W$  we have

$$h(\xi(w)) = f(\xi(w)) \tag{\langle 1 \rangle 8}$$

$$= g(\eta(w)) \tag{\langle 1 \rangle 7}$$

$$= h(\eta(w)) \tag{\langle 1 \rangle 8}$$

- $\langle 1 \rangle 10$ . Let:  $\overline{h}: M \to Z$  be the induced function.
- $\langle 1 \rangle 11$ .  $\overline{h}\alpha = f$

Proof:

$$\overline{h}(\alpha(x)) = \overline{h}(\pi(\kappa_1(x))) 
= h(\kappa_1(x)) 
= f(x)$$

 $\langle 1 \rangle 12$ .  $\overline{h}\beta = g$ 

PROOF: Similar.

(1)13. For all  $k: M \to Z$ , if  $k\alpha = f$  and  $k\beta = g$  then  $k = \overline{h}$ .

Proof:

$$k(\pi(\kappa_1(x))) = k(\alpha(x))$$

$$= f(x)$$

$$k(\pi(\kappa_2(y))) = k(\beta(y))$$

$$= g(y)$$

$$\therefore k \circ \pi = h$$

$$\therefore k = \overline{h}$$

**Definition 5.1.55.** Let  $u: A \rightarrow X$  be an injection. The *pointed set obtained* from X by collapsing (A, u), denoted X/(A, u), is the pushout

$$\begin{array}{ccc} A & \longrightarrow & 1 \\ \downarrow u & & * \downarrow \\ X & \longrightarrow & X/(A,u) \end{array}$$

**Proposition 5.1.56.** In **Set**\*, any two morphisms  $1 \to X$  and  $1 \to Y$  have a pushout.

PROOF: The pushout of  $a:(1,*)\to (X,x)$  and  $b:(1,*)\to (Y,y)$  is  $(X+Y/\sim,x)$  where  $\sim$  is the equivalence relation generated by  $x\sim y$ .  $\square$ 

**Definition 5.1.57** (Wedge). The *wedge* of pointed sets X and Y,  $X \vee Y$ , is the pushout of the unique morphism  $1 \to X$  and  $1 \to Y$ .

**Definition 5.1.58** (Smash). Let X and Y be pointed sets. Let  $\xi: X \vee Y \to X$  be the unique morphism such that the following diagram commutes.



Let  $\eta: X \vee Y \to Y$  be the unique morphism such that the following diagram

commutes.



Let  $\zeta = \langle \xi, \eta \rangle : X \vee Y \to X \times Y$ . The *smash* of X and Y, X \land Y, is the result of collapsing  $X \times Y$  with respect to  $\zeta$ .

Pushout lemma

## 5.1.12 Subcategories

**Definition 5.1.59** (Subcategory). A subcategory  $\mathcal{C}'$  of a category  $\mathcal{C}$  consists of:

- a subset Ob(C') of C
- for all  $A, B \in \text{Ob}(\mathcal{C}')$ , a subset  $\mathcal{C}'[A, B] \subseteq \mathcal{C}[A, B]$

such that:

- for all  $A \in \text{Ob}(\mathcal{C}')$ , we have  $\text{id}_A \in \mathcal{C}'[A, A]$
- for all  $f \in \mathcal{C}'[A, B]$  and  $g \in \mathcal{C}'[B, C]$ , we have  $g \circ f \in \mathcal{C}'[A, C]$ .

It is a full subcategory iff, for all  $A, B \in \text{Ob}(\mathcal{C}')$ , we have  $\mathcal{C}'[A, B] = \mathcal{C}[A, B]$ .

# 5.1.13 Opposite Category

**Definition 5.1.60** (Opposite Category). For any category C, the *opposite* category  $C^{op}$  is the category with

- $Ob(\mathcal{C}^{op}) = Ob(\mathcal{C})$
- $\mathcal{C}^{\text{op}}[A,B] = \mathcal{C}[B,A]$
- Given  $f \in \mathcal{C}^{\text{op}}[A, B]$  and  $g \in \mathcal{C}^{\text{op}}[B, C]$ , their composite in  $\mathcal{C}^{\text{op}}$  is  $f \circ g$ , where  $\circ$  is composition in  $\mathcal{C}$ .

**Proposition 5.1.61.** An object is initial in C iff it is terminal in  $C^{op}$ .

PROOF: Immediate from definitions.

**Proposition 5.1.62.** An object is terminal in C iff it is initial in  $C^{op}$ .

PROOF: Immediate from definitions.

**Corollary 5.1.62.1.** If T and T' are terminal objects in C then there exists a unique isomorphism  $T \cong T'$ .

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## 5.1.14 Groupoids

**Definition 5.1.63** (Groupoid). A *groupoid* is a category in which every morphism is an isomorphism.

### 5.1.15 Concrete Categories

**Definition 5.1.64** (Concrete Category). A concrete category  $\mathcal{C}$  consists of:

- a set Ob(C) of *objects*
- for any object  $A \in Ob(\mathcal{C})$ , a set |A|
- for any objects  $A, B \in Ob(\mathcal{C})$ , a set of functions  $\mathcal{C}[A, B] \subseteq |B|^{|A|}$

such that:

- for any  $f \in \mathcal{C}[A, B]$  and  $g \in \mathcal{C}[B, C]$ , we have  $g \circ f \in \mathcal{C}[A, C]$
- for any object A we have  $id_{|A|} \in C[A, A]$ .

#### 5.1.16 Power of Categories

**Definition 5.1.65.** Let  $\mathcal{C}$  be a category and J a set. The category  $\mathcal{C}^J$  is the category with:

- ullet objects all J-indexed families of objects of  ${\mathcal C}$
- $\bullet$  morphisms  $\{X_j\}_{j\in J}\to \{Y_j\}_{j\in J}$  all families  $\{f_j\}_{j\in J}$  where  $f_j:X_j\to Y_j$

#### 5.1.17 Arrow Category

**Definition 5.1.66** (Arrow Category). Let  $\mathcal{C}$  be a category. The arrow category  $\mathcal{C}^{\rightarrow}$  is the category with:

- objects all triples (A,B,f) where  $f:A\to B$  in  $\mathcal C$
- morphisms  $(A,B,f) \to (C,D,g)$  all pairs  $(u:A \to C,v:B \to D)$  such that vf=gu.

#### 5.1.18 Slice Category

**Definition 5.1.67** (Slice Category). Let C be a category and  $A \in C$ . The *slice category under* A,  $C \setminus A$ , is the category with:

- objects all pairs (B, f) where  $B \in \mathcal{C}$  and  $f : A \to B$
- morphisms  $(B, f) \to (C, g)$  are morphisms  $u: B \to C$  such that uf = g.

We identify this with the subcategory of  $\mathcal{C}^{\rightarrow}$  formed by mapping (B, f) to (A, B, f) and u to  $(\mathrm{id}_A, u)$ .

**Proposition 5.1.68.** If  $s:(B,f)\to (C,g)$  in  $\mathcal{C}\backslash A$ , then any retraction of s in  $\mathcal{C}$  is a retraction of s in  $\mathcal{C}\backslash A$ .

#### Proof:

 $\langle 1 \rangle 1$ . Let:  $r: C \to B$  be a retraction of s in C.

 $\langle 1 \rangle 2$ . rg = f

PROOF: rg = rsf = f.

 $\langle 1 \rangle 3. \ r: (C,g) \to (B,f) \text{ in } \mathcal{C} \backslash A$ 

 $\langle 1 \rangle 4$ .  $rs = id_{(B,f)}$ 

Proof: Because composition is inherited from  $\mathcal{C}$ .

**Proposition 5.1.69.** id<sub>A</sub> is the initial object in  $\mathcal{C}\backslash A$ .

PROOF: For any  $(B, f) \in \mathcal{C} \backslash A$ , we have f is the only morphism  $A \to B$  such that  $f \operatorname{id}_A = f$ .  $\square$ 

**Proposition 5.1.70.** *If* A *is terminal in* C *then*  $id_A$  *is the zero object in*  $C \setminus A$ .

PROOF: For any  $(B, f) \in \mathcal{C} \backslash A$ , the unique morphism  $!: B \to A$  is the unique morphism such that  $!f = \mathrm{id}_A$ .  $\square$ 

**Definition 5.1.71** (Pointed Sets). The category of pointed sets is  $\mathbf{Set} \setminus 1$ .

**Definition 5.1.72.** Let C be a category and  $A \in C$ . The *slice category over* A, C/A, is the category with:

- objects all pairs (B, f) with  $f: B \to A$
- morphisms  $u:(B,f)\to (C,g)$  all morphisms  $u:B\to C$  such that gu=f.

**Proposition 5.1.73.** Let  $u:(B,f) \to (C,g): \mathcal{C}/A$ . Any section of u in  $\mathcal{C}$  is a section of u in  $\mathcal{C}/A$ .

Proof: Dual to Proposition 5.1.68.  $\square$ 

**Proposition 5.1.74.**  $id_A$  is terminal in C/A.

Proof: Dual to Proposition 5.1.69.  $\square$ 

**Proposition 5.1.75.** If A is initial in C then  $id_A$  is the zero object in C/A.

Proof: Dual to Proposition 5.1.70.  $\square$ 

**Definition 5.1.76.** Let  $A \in \mathcal{C}$ . The category of objects *over and under* A, written  $\mathcal{C}_A^A$ , is the category with:

- objects all triples (X, u, p) where  $u: A \to X, p: X \to A$  and  $pu = \mathrm{id}_A$
- morphism  $f:(X,u,p)\to (Y,v,q)$  all morphisms  $f:X\to Y$  such that fu=v and qf=p

**Proposition 5.1.77.**  $(A, \mathrm{id}_A, \mathrm{id}_A)$  is the zero object in  $\mathcal{C}_A^A$ .

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PROOF: For any object (X, u, p), we have p is the unique morphism  $(X, u, p) \rightarrow (A, \mathrm{id}_A, \mathrm{id}_A)$ , and u is the unique morphism  $(A, \mathrm{id}_A, \mathrm{id}_A) \rightarrow (X, u, p)$ .  $\square$ 

**Definition 5.1.78** (Fibre Collapsing). Let B be a set. Let  $u:(A,a)\to (X,x)$  in  $\mathbf{Set}/B$ . Form the pushout

$$\begin{array}{ccc}
A & \xrightarrow{a} & B \\
\downarrow u & \downarrow & \downarrow \\
X & \xrightarrow{i} & C
\end{array}$$

Let  $c: C \to B$  be the unique morphism such that  $cj = \mathrm{id}_B$  and ci = x. Then  $(C, j, c) \in \mathbf{Set}_B^B$  is called the set over and under B obtained from X by fibre collapsing with respect to u. If (A, u) is a subset of X, we denote this set over and under B by  $X/_B(A, u)$ .

**Definition 5.1.79** (Fibre Wedge). Let B be a small set. Let  $(X, u_X, p_X), (Y, u_Y, p_Y) \in \mathbf{Set}_B^B$ . The fibre wedge of X and Y is the pushout of  $u_X$  and  $u_Y$ :

$$B \xrightarrow{u_X} X$$

$$\downarrow u_Y \qquad \qquad \downarrow$$

$$Y \longrightarrow X \vee_B Y$$

**Definition 5.1.80** (Fibre Smash). Let  $X, Y \in \mathbf{Set}_B^B$ . Let  $\xi : X \vee_B Y \to X$  be the unique morphism such that the following diagram commutes.



Let  $\eta:X\vee_BY\to Y$  be the unique morphism such that the following diagram commutes.



Let  $\zeta = \langle \xi, \eta \rangle : X \vee_B Y \to X \times Y$ . The fibre smash of X and Y,  $X \wedge_B Y$ , is the result of collapsing  $X \times Y$  with respect to  $\zeta$ .

Proposition 5.1.81. Set has products and coproducts.

**Proposition 5.1.82.** Let C be a category. Let  $\{X_{\alpha}\}_{{\alpha}\in I}$  be a family of objects in C and  $Z \in C$ . Let  $\coprod_{{\alpha}\in I} X_{\alpha}$  be the coproduct of  $\{X_{\alpha}\}_{{\alpha}\in I}$ . Then

$$\mathcal{C}[\coprod_{\alpha \in I} X_{\alpha}, Z] \approx \prod_{\alpha \in I} \mathcal{C}[X_{\alpha}, Z] \ .$$

**Proposition 5.1.83.** Let C be a category. Let  $\{X_{\alpha}\}_{{\alpha}\in I}$  be a family of objects in C and  $Z\in C$ . Let  $\prod_{{\alpha}\in I} X_{\alpha}$  be the product of  $\{X_{\alpha}\}_{{\alpha}\in I}$ . Then

$$\mathcal{C}[Z, \prod_{\alpha \in I} X_{\alpha}] \approx \prod_{\alpha \in I} \mathcal{C}[Z, X_{\alpha}] \ .$$

**Proposition 5.1.84.** A product in C constitutes a product in  $C \setminus A$ .

**Proposition 5.1.85.** A coproduct in C constitutes a product in C/A.

## 5.2 Functors

**Definition 5.2.1** (Functor). Let  $\mathcal C$  and  $\mathcal D$  be categories. A functor  $F:\mathcal C\to\mathcal D$  consists of:

- a function  $F: \mathrm{Ob}(\mathcal{C}) \to \mathrm{Ob}(\mathcal{D})$
- for every morphism  $f:A\to B$  in  $\mathcal{C}$ , a morphism  $Ff:FA\to FB$  in  $\mathcal{D}$

such that:

- for all  $A \in Ob(C)$  we have  $Fid_A = id_{FA}$
- for any morphism  $f:A\to B$  and  $g:B\to C$  in  $\mathcal C$ , we have  $F(g\circ f)=Fg\circ Ff$

Proposition 5.2.2. Functors preserve isomorphisms.

Proof:

 $\langle 1 \rangle 1$ . Let:  $F : \mathcal{C} \to \mathcal{D}$  be a functor.

 $\langle 1 \rangle 2$ . Let:  $f: A \cong B$  in C

 $\langle 1 \rangle 3. \ Ff^{-1} \circ Ff = \mathrm{id}_{FA}$ 

Proof:

$$Ff^{-1} \circ Ff = F(f^{-1} \circ f)$$
$$= Fid_A$$
$$= id_{FA}$$

 $\langle 1 \rangle 4$ .  $Ff \circ Ff^{-1} = id_{FB}$ PROOF:

$$Ff \circ Ff^{-1} = F(f \circ f^{-1})$$
$$= Fid_B$$
$$= id_{FB}$$

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**Definition 5.2.3** (Identity Functor). For any category  $\mathcal{C}$ , the *identity* functor on  $\mathcal{C}$  is the functor  $I_{\mathcal{C}}:\mathcal{C}\to\mathcal{C}$  defined by

$$I_{\mathcal{C}}A := A$$
  $(A \in \mathcal{C})$   
 $I_{\mathcal{C}}f := f$   $(f : A \to B \text{ in } \mathcal{C})$ 

**Proposition 5.2.4.** Let  $F: \mathcal{C} \to \mathcal{D}$ . If  $r: A \to B$  is a retraction of  $s: B \to A$ in C then Fr is a retraction of Fs.

Proof:

$$Fr \circ Fs = F(r \circ s)$$
  
=  $Fid_B$   
=  $id_{FB}$ 

Corollary 5.2.4.1. Let  $F: \mathcal{C} \to \mathcal{D}$ . If  $\phi: A \cong B$  is an isomorphism in  $\mathcal{C}$  then  $F\phi: FA \cong FB$  is an isomorphism in  $\mathcal{D}$  with  $(F\phi)^{-1} = F\phi^{-1}$ .

**Definition 5.2.5** (Composition of Functors). Given functors  $F: \mathcal{C} \to \mathcal{D}$  and  $G: \mathcal{D} \to \mathcal{E}$ , the *composite* functor  $GF: \mathcal{C} \to \mathcal{E}$  is defined by

$$(GF)A = G(FA) \qquad \qquad (A \in \mathcal{C})$$
 
$$(GF)f = G(Ff) \qquad \qquad (f:A \to B:\mathcal{C})$$

**Definition 5.2.6** (Category of Categories). Let Cat be the category of small categories and functors.

**Definition 5.2.7** (Isomorphism of Categories). Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor. Then F is an isomorphism of categories iff there exists a functor  $F^{-1}: \mathcal{D} \to \mathcal{C}$ , the *inverse* of F, such that  $FF^{-1} = I_{\mathcal{D}}$  and  $F^{-1}F = I_{\mathcal{C}}$ .

Categories  $\mathcal{C}$  and  $\mathcal{D}$  are isomorphic,  $\mathcal{C} \cong \mathcal{D}$ , iff there exists an isomorphism between them.

**Proposition 5.2.8.** *If* A *is initial in* C *then*  $C \setminus A \cong C$ .

PROOF:

 $\langle 1 \rangle 1$ . Define  $F : \mathcal{C} \backslash A \to \mathcal{C}$  by

$$F(B,f) = B$$

$$F(u:(B,f)\to(C,a))=u$$

$$F(B,f) = B$$
 
$$F(u:(B,f) \to (C,g)) = u$$
  $\langle 1 \rangle 2$ . Define  $G: \mathcal{C} \to \mathcal{C} \backslash A$  by 
$$GB = (B,!_B)$$
 where  $!_B$  is the unique morphism  $A \to B$ 

$$G(u: B \to C) = u: (B, !_B) \to (C, !_C)$$

 $\langle 1 \rangle 3$ .  $FG = id_{\mathcal{C}}$ 

$$\langle 1 \rangle 4$$
.  $GF = id_{\mathcal{C} \setminus A}$ 

PROOF: Since  $GF(B, f) = (B, !_B) = (B, f)$  because the morphism  $A \to B$  is unique.

**Proposition 5.2.9.** If A is terminal in C then  $C/A \cong C$ .

Proof: Dual.  $\square$ 

Proposition 5.2.10.

$$C_A^A \cong (C/A) \backslash (A, \mathrm{id}_A) \cong (C \backslash A) / (A, \mathrm{id}_A)$$

PROOF:

 $\langle 1 \rangle 1$ . Define a functor  $F : \mathcal{C}_A^A \to (\mathcal{C}/A) \backslash (A, \mathrm{id}_A)$ .

 $\langle 2 \rangle 1$ . Given  $A \stackrel{u}{\to} X \stackrel{p}{\to} A$  in  $\mathcal{C}_A^A$ , let F(X,u,p) = ((X,p),u)

 $\langle 2 \rangle 2$ . Given  $f: (A \xrightarrow{u} X \xrightarrow{p} A) \to (A \xrightarrow{v} Y \xrightarrow{q} A)$ , let Ff = f.

 $\langle 1 \rangle 2$ . Define a functor  $G: (\mathcal{C}/A) \setminus (A, \mathrm{id}_A) \to \mathcal{C}_A^A$ .  $\langle 1 \rangle 3$ . Define a functor  $H: \mathcal{C}_A^A \to (\mathcal{C} \setminus A)/(A, \mathrm{id}_A)$ .  $\langle 1 \rangle 4$ . Define a functor  $K: (\mathcal{C} \setminus A)/(A, \mathrm{id}_A) \to \mathcal{C}_A^A$ .

**Definition 5.2.11** (Forgetful Functor). For any concrete category  $\mathcal{C}$ , define the forgetful functor  $U: \mathcal{C} \to \mathbf{Set}$  by:

$$UA = |A|$$
$$Uf = f$$

**Definition 5.2.12** (Switching Functor). For any category C, define the *switch*ing functor  $T: \mathcal{C} \times \mathcal{C} \to \mathcal{C} \times \mathcal{C}$  by

$$T(A,B) = (B,A)$$
$$T(f,g) = (g,f)$$

**Definition 5.2.13** (Reduction). Let  $\Phi: \mathbf{Set} \to \mathbf{Set}$  be a functor. The reduction of  $\Phi$  is the functor  $\Phi^*: \mathbf{Set}_* \to \mathbf{Set}_*$  defined by:  $\Phi^*(X, a)$  is the collapse of  $\Phi(X)$  with respect to  $\Phi(a):\Phi(1) \rightarrow \Phi(X)$ .

**Definition 5.2.14.** Extend the wedge  $\vee$  to a functor  $\mathbf{Set}_* \times \mathbf{Set}_* \to \mathbf{Set}_*$  by defining, given  $f: X \to X'$  and  $g: Y \to Y'$ , thene  $f \vee g$  is the unique morphism that makes the following diagram commute.



**Definition 5.2.15.** Extend smash to a functor  $\wedge: \mathbf{Set}_* \times \mathbf{Set}_* \to \mathbf{Set}_*$  as follows. Given  $f: X \to X'$  and  $g: Y \to Y'$ , let  $f \land g: X \land Y \to X' \land Y'$  be the

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unique morphism such that the following diagram commutes.



**Definition 5.2.16** (Reduction). Let B be a small set. Let  $\Phi_B : \mathbf{Set}/B \to \mathbf{Set}/B$  be a functor. The *reduction* of  $\Phi_B$  is the functor  $\Phi_B^B : \mathbf{Set}_B^B \to \mathbf{Set}_B^B$  defined as follows.

For  $(X, u : B \to X, p : X \to B) \in \mathbf{Set}_B^B$ , let  $\Phi_B^B(X)$  be the set over and under B obtained from  $\Phi_B(X)$  by collapsing with respect to  $\Phi_B(u) : \Phi_B(B) \to \Phi_B(X)$ .

**Definition 5.2.17.** Extend  $\vee_B$  to a functor  $\mathbf{Set}_B^B \times \mathbf{Set}_B^B \to \mathbf{Set}_B^B$ .

**Definition 5.2.18.** Extend  $\wedge_B$  to a functor  $\mathbf{Set}_B^B \times \mathbf{Set}_B^B \to \mathbf{Set}_B^B$ .

**Definition 5.2.19** (Faithful). A functor  $F: \mathcal{C} \to \mathcal{D}$  is *faithful* iff, for any objects  $A, B \in \mathcal{C}$  and morphisms  $f, g: A \to B: \mathcal{C}$ , if Ff = Fg then f = g.

**Definition 5.2.20** (Full). A functor  $F: \mathcal{C} \to \mathcal{D}$  is *full* iff, for any objects  $A, B \in \mathcal{C}$  and morphism  $g: FA \to FB: \mathcal{D}$ , there exists  $f: A \to B: \mathcal{C}$  such that Ff = g.

**Definition 5.2.21** (Fully Faithful). A functor  $F: \mathcal{C} \to \mathcal{D}$  is fully faithful iff it is full and faithful.

**Definition 5.2.22** (Full Embedding). A functor  $F: \mathcal{C} \to \mathcal{D}$  is a *full embedding* iff it is fully faithful and injective on objects.

## 5.3 Natural Transformations

**Definition 5.3.1** (Natural Transformation). Let  $F, G: \mathcal{C} \to \mathcal{D}$ . A natural transformation  $\tau: F \Rightarrow G$  is a family of morphisms  $\{\tau_X: FX \to GX\}_{X \in \mathcal{C}}$  such that, for every morphism  $f: X \to Y: \mathcal{C}$ , we have  $Gf \circ \tau_X = \tau_Y \circ Ff$ .

$$FX \xrightarrow{Ff} FY$$

$$\tau_X \downarrow \qquad \qquad \downarrow \tau_Y$$

$$GX \xrightarrow{Gf} GY$$

**Definition 5.3.2** (Natural Isomorphism). A natural transformation  $\tau : F \Rightarrow G : \mathcal{C} \to \mathcal{D}$  is a natural isomorphism,  $\tau : F \cong G$ , iff for all  $X \in \mathcal{C}$ ,  $\tau_X$  is an isomorphism  $FX \cong GX$ .

Functors F and G are naturally isomorphic,  $F \cong G$ , iff there exists a natural isomorphism between them.

**Definition 5.3.3** (Inverse). Let  $\tau : F \cong G$ . The *inverse* natural isomorphism  $\tau^{-1} : G \cong F$  is defined by  $(\tau^{-1})_X = \tau_X^{-1}$ .

# 5.4 Bifunctors

**Definition 5.4.1** (Commutative). A bifunctor  $\square : \mathcal{C}^2 \to \mathcal{C}$  is *commutative* iff  $\square \cong \square \circ T$ , where  $T : \mathcal{C}^2 \to \mathcal{C}^2$  is the swap functor.

**Proposition 5.4.2.**  $\vee : \mathbf{Set}_* \times \mathbf{Set}_* \to \mathbf{Set}_*$  is commutative.

PROOF: Since the pushout of f and g is the pushout of g and f.  $\square$ 

**Proposition 5.4.3.**  $\wedge : \mathbf{Set}_* \times \mathbf{Set}_* \to \mathbf{Set}_*$  is commutative.

PROOF: In the diagram defining  $X \wedge Y$ , construct the isomorphism between the version with X and Y and the version with Y with X for every object.  $\square$ 

**Proposition 5.4.4.**  $\vee_B : \mathbf{Set}_B^B \times \mathbf{Set}_B^B \to \mathbf{Set}_B^B$  is commutative.

**Proposition 5.4.5.**  $\wedge_B : \mathbf{Set}_B^B \times \mathbf{Set}_B^B \to \mathbf{Set}_B^B$  is commutative.

**Definition 5.4.6** (Associative). A bifunctor  $\square$  is *associative* iff  $\square \circ (\square \times id) \cong \square \circ (id \times \square)$ .

Proposition 5.4.7.  $\vee : \mathbf{Set}_* \times \mathbf{Set}_* \to \mathbf{Set}_*$  is associative.

PROOF: Since  $X \vee (Y \vee Z)$  and  $(X \vee Y) \vee Z$  are both the pushout of the unique morphisms  $1 \to X$ ,  $1 \to Y$  and  $1 \to Z$ .  $\square$ 

**Proposition 5.4.8.**  $\wedge : \mathbf{Set}_* \times \mathbf{Set}_* \to \mathbf{Set}_*$  is associative.

PROOF: Draw isomorphisms between the diagrams for  $X \wedge (Y \wedge Z)$  and  $(X \wedge Y) \wedge Z$ .  $\square$ 

Product and coproduct are commutative and associative.

**Proposition 5.4.9.**  $\vee_B : \mathbf{Set}_B^B \times \mathbf{Set}_B^B \to \mathbf{Set}_B^B$  is associative.

**Proposition 5.4.10.**  $\wedge_B : \mathbf{Set}_B^B \times \mathbf{Set}_B^B \to \mathbf{Set}_B^B$  is associative.

**Proposition 5.4.11.** Let C be a category with binary coproducts. Let  $\square$ :  $C \times C \to C$  be a bifunctor. Then  $\square$  distributes over + iff the canonical morphism

$$(X \square Z) + (Y \square Z) \rightarrow (X + Y) \square Z$$

is an isomorphism for all X, Y, Z.

**Proposition 5.4.12.** In a category with binary products and binary coproducts, then  $\times$  distributes over +.

**Proposition 5.4.13.** In Set/\*, we have  $\times$  does not distribute over  $\vee$ .

**Proposition 5.4.14.** In Set/\*, we have  $\land$  distributes over  $\lor$ .

**Proposition 5.4.15.** In Set/B, we have  $\times_B$  distributes over  $+_B$ .

**Proposition 5.4.16.** In Set/ $B^B$ , we have  $\wedge_B$  distributes over  $\vee_B$ .

# 5.5 Functor Categories

**Definition 5.5.1** (Functor Category). Given categories  $\mathcal{C}$  and  $\mathcal{D}$ , define the functor category  $\mathcal{C}^{\mathcal{D}}$  to be the category with objects the functors from  $\mathcal{D}$  to  $\mathcal{C}$  and morphisms the natural transformations.

**Definition 5.5.2** (Yoneda Embedding). Let  $\mathcal{C}$  be a category. The *Yoneda* embedding  $Y: \mathcal{C} \to \mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}$  is the functor that maps an object A to  $\mathcal{C}[-, A]$  and morphisms similarly.

**Theorem 5.5.3** (Yoneda Lemma). Let C be a category. There exists a natural isomorphism

$$\phi_{XF}: \mathbf{Set}^{\mathcal{C}^{\mathrm{op}}}[\mathcal{C}[-,X],F] \cong FX$$

that maps  $\tau : \mathcal{C}[-, X] \Rightarrow F$  to  $\tau_X(\mathrm{id}_X)$ .

Proof:

```
\langle 1 \rangle 1. \phi is natural in X.
```

Proof:

$$\begin{split} \phi(\tau \circ \mathcal{C}[-,f]) &= \tau_Y(\mathrm{id}_Y \circ f) \\ &= \tau_Y(f) \\ &= \tau_Y(f \circ \mathrm{id}_X) \\ &= Ff(\tau_X(\mathrm{id}_X)) \qquad (\tau \text{ natural}) \\ &= Ff(\phi(\tau)) \end{split}$$

 $\langle 1 \rangle 2$ .  $\phi$  is natural in F.

$$\langle 2 \rangle 1$$
. Let:  $\alpha : F \Rightarrow G : \mathcal{C}^{op} \to \mathbf{Set}$ 

$$\langle 2 \rangle 2$$
. Let:  $\tau : \mathcal{C}[-, X] \Rightarrow F$ 

$$\langle 2 \rangle 3. \ \alpha_X(\phi(\tau)) = \phi(\alpha \bullet \tau)$$

PROOF: 
$$\phi(\alpha \bullet \tau) = \alpha_X(\tau_X(\mathrm{id}_X)) = \alpha_X(\phi(\tau))$$

 $\langle 1 \rangle 3$ . Each  $\phi_{XF}$  is injective.

$$\langle 2 \rangle 1$$
. Let:  $\sigma, \tau : \mathcal{C}[-, X] \Rightarrow F$ 

$$\langle 2 \rangle 2$$
. Assume:  $\phi(\sigma) = \phi(\tau)$ 

$$\begin{array}{l} \langle 2 \rangle 3. \text{ Let: } f: Y \to X \\ \langle 2 \rangle 4. \ \sigma_Y(f) = \tau_Y(f) \\ \text{Proof:} \\ \\ \sigma_Y(f) = \sigma_Y(\operatorname{id}_X \circ f) \\ = Ff(\sigma_X(\operatorname{id}_X)) \qquad (\sigma \text{ is natural}) \\ = Ff(\tau_X(\operatorname{id}_X)) \qquad (\langle 2 \rangle 2) \\ = \tau_Y(\operatorname{id}_X \circ f) \qquad (\tau \text{ is natural}) \\ = \tau_Y(f) \\ \\ \langle 1 \rangle 4. \text{ Each } \phi_{XF} \text{ is surjective.} \\ \langle 2 \rangle 1. \text{ Let: } X \in \mathcal{C} \text{ and } F: \mathcal{C} \to \mathcal{D} \\ \langle 2 \rangle 2. \text{ Let: } a \in FX \\ \langle 2 \rangle 3. \text{ Let: } \tau : \mathcal{C}[-,X] \Rightarrow F \text{ be given by } \tau_Y(g) = Fg(a) \text{ for } g: Y \to X \\ \langle 2 \rangle 4. \ \tau \text{ is natural.} \\ \langle 3 \rangle 1. \text{ Let: } h: Y \to Z: \mathcal{C} \\ \text{PROVE: } Fh \circ \tau_Z = \tau_Y \circ \mathcal{C}[h, \operatorname{id}_X] \\ \langle 3 \rangle 2. \text{ Let: } g: Z \to X \\ \langle 3 \rangle 3. \ Fh(\tau_Z(g)) = \tau_Y(g \circ h) \\ \text{PROOF:} \\ \tau_Y(g \circ h) = F(g \circ h)(a) \\ = Fh(Fg(a)) \\ = Fh(\tau_Z(g)) \\ \langle 2 \rangle 5. \ \phi(\tau) = a \\ \text{PROOF:} \\ \phi_X(\tau) = \tau_X(\operatorname{id}_X) \\ = F \operatorname{id}_X(a) \\ = a \\ \Box \\ \end{array}$$

Corollary 5.5.3.1. The Yoneda embedding is fully faithful.

**Corollary 5.5.3.2.** Given objects A and B in C, we have  $A \cong B$  if and only if  $C[-,A] \cong C[-,B]$ .

# Part III Number Systems

# Chapter 6

# The Real Numbers

**Theorem 6.0.1.** The following hold in the real numbers:

- 1. x + (y + z) = (x + y) + z
- 2. x(yz) = (xy)z
- 3. x + y = y + x
- 4. xy = yx
- 5. x + 0 = x
- 6. x1 = x
- 7. x + (-x) = 0
- 8. If  $x \neq 0$  then  $x \cdot (1/x) = 1$
- $9. \ x(y+z) = xy + xz$
- 10. If x > y then x + z > y + z.
- 11. If x > y and z > 0 then xz > yz.
- 12.  $\mathbb{R}$  has the least upper bound property.
- 13. If x < y then there exists z such that x < z < y.

**Definition 6.0.2.** Given real numbers x and y with  $y \neq 0$ , we write x/y for  $xy^{-1}$ .

**Theorem 6.0.3.** For any real numbers x and y, if x + y = x then y = 0.

Proof:

- $\langle 1 \rangle 1$ . Let:  $x, y \in \mathbb{R}$
- $\langle 1 \rangle 2$ . Assume: x + y = x
- $\langle 1 \rangle 3. \ y = 0$

$$\begin{array}{ll} y=y+0 & \text{(Definition of zero)} \\ =y+(x+(-x)) & \text{(Definition of }-x) \\ =(y+x)+(-x) & \text{(Associativity of Addition)} \\ =(x+y)+(-x) & \text{(Commutativity of Addition)} \\ =x+(-x) & \text{($\langle 1\rangle 2$)} \\ =0 & \text{(Definition of }-x) \end{array}$$

#### Theorem 6.0.4.

$$\forall x \in \mathbb{R}.0x = 0$$

Proof:

$$\langle 1 \rangle 1$$
. Let:  $x \in \mathbb{R}$   
 $\langle 1 \rangle 2$ .  $xx + 0x = xx$ 

$$xx + 0x = (x + 0)x$$
 (Distributive Law)  
=  $xx$  (Definition of 0)

 $\langle 1 \rangle 3. \ 0x = 0$ 

PROOF: Theorem 6.0.3,  $\langle 1 \rangle 2$ .

#### Theorem 6.0.5.

$$-0 = 0$$

PROOF: Since 0 + 0 = 0.  $\square$ 

Theorem 6.0.6.

$$\forall x \in \mathbb{R}. - (-x) = x$$

PROOF: Since -x + x = 0.  $\square$ 

Theorem 6.0.7.

$$\forall x, y \in \mathbb{R}.x(-y) = -(xy)$$

Proof:

$$x(-y) + xy = x((-y) + y)$$
 (Distributive Law)  
=  $x0$  (Definition of  $-y$ )  
=  $0$  (Theorem 6.0.4)

Theorem 6.0.8.

$$\forall x \in \mathbb{R}.(-1)x = -x$$

Proof:

$$(-1)x = -(1 \cdot x)$$
 (Theorem 6.0.7)  
=  $-x$  (Definition of 1)

**Proposition 6.0.9.** Let X be a linearly ordered set. Let  $a, b, c \in X$  with a < b < c. Then  $[a, c) \cong [0, 1)$  if and only if  $[a, b) \cong [0, 1)$  and  $[b, c) \cong [0, 1)$ .

Proof:

 $\langle 1 \rangle 1$ . For all  $x \in (0,1)$  we have  $[0,x) \cong [0,1)$ .

PROOF: The function that maps t to t/x is an order isomorphism.

 $\langle 1 \rangle 2$ . For all  $x \in (0,1)$  we have  $[x,1) \cong [0,1)$ .

PROOF: The function that maps t to (t-x)/(1-x) is an order isomorphism.  $\langle 1 \rangle 3$ . We have  $[0,2) \cong [0,1)$ .

Proof: The function that maps t to t/2 is an order isomorphism.

**Proposition 6.0.10.** Let X be a linearly ordered set. Let  $(a_n)$  be a strictly increasing sequence in X. Let b be its supremum. Then  $[a_0,b) \cong [0,1)$  if and only if, for all n, we have  $[a_n,a_{n+1}) \cong [0,1)$ .

Proof:

 $\langle 1 \rangle 1$ . For all  $x, y \in [0, 1)$  with x < y we have  $[x, y) \cong [0, 1)$ .

PROOF: The function that maps t to (t-x)/(y-x) is an order isomorphism.  $\langle 1 \rangle 2$ . We have  $[0,1) \cong [0,+\infty)$ .

PROOF: The function that maps t to 1/(1-t)-1 is an order isomorphism.

## 6.1 Subtraction

**Definition 6.1.1** (Subtraction). We write x - y for x + (-y).

Theorem 6.1.2.

$$\forall x, y, z \in \mathbb{R}.x(y-z) = xy - xz$$

PROOF:

$$x(y-z) = x(y+(-z))$$
 (Definition of subtraction)  
 $= xy + x(-z)$  (Distributive Law)  
 $= xy + (-(xz))$  (Theorem 6.0.7)  
 $= xy - xz$  (Definition of subtraction)

Theorem 6.1.3.

$$\forall x, y \in \mathbb{R}. - (x+y) = -x - y$$

Proof:

$$-(x+y) = (-1)(x+y)$$
 (Theorem 6.0.8)  

$$= (-1)x + (-1)y$$
 (Distributive Law)  

$$= -x + (-y)$$
 (Theorem 6.0.8)  

$$= -x - y$$
 (Definition of subtraction)  $\square$ 

Theorem 6.1.4.

$$\forall x, y \in \mathbb{R}. - (x - y) = -x + y$$

Proof:

$$-(x-y) = -(x+(-y))$$
 (Definition of subtraction)  
 $= -x - (-y)$  (Theorem 6.1.3)  
 $= -x + (-(-y))$  (Definition of subtraction)  
 $= -x + y$  (Theorem 6.0.6)

**Definition 6.1.5** (Reciprocal). Given  $x \in \mathbb{R}$  with  $x \neq 0$ , the *reciprocal* of x, 1/x, is the unique real number such that  $x \cdot 1/x = 1$ .

**Theorem 6.1.6.** For any real numbers x and y, if  $x \neq 0$  and xy = x then y = 1.

Proof:

```
\langle 1 \rangle 1. Let: x, y \in \mathbb{R}
\langle 1 \rangle 2. Assume: x \neq 0
\langle 1 \rangle 3. Assume: xy = x
\langle 1 \rangle 4. \ y = 1
   Proof:
                                                                              (Definition of 1)
                 y = y1
                   = y(x \cdot 1/x)
                                                                  (Definition of 1/x, \langle 1 \rangle 2)
                   = (yx)1/x
                                                      (Associativity of Multiplication)
                   =(xy)1/x
                                                   (Commutativity of Multiplication)
                    = x \cdot 1/x
                                                                                            (\langle 1 \rangle 3)
                    = 1
                                                                  (Definition of 1/x, \langle 1 \rangle 2)
```

**Definition 6.1.7** (Quotient). Given real numbers x and y with  $y \neq 0$ , the quotient x/y is defined by

$$x/y = x \cdot 1/y .$$

**Theorem 6.1.8.** For any real number x, if  $x \neq 0$  then x/x = 1.

Proof: Immediate from definitions.

Theorem 6.1.9.

$$\forall x \in \mathbb{R}.x/1 = x$$

Proof:

$$\begin{array}{l} \langle 1 \rangle 1. \ \text{Let:} \ x \in \mathbb{R} \\ \langle 1 \rangle 2. \ 1/1 = 1 \\ \text{Proof: Since } 1 \cdot 1 = 1. \\ \langle 1 \rangle 3. \ x/1 = x \\ \text{Proof: Since } x/1 = x \cdot 1/1 = x \cdot 1 = x. \\ \square \end{array}$$

**Theorem 6.1.10.** For any real numbers x and y, if  $x \neq 0$  and  $y \neq 0$  then  $xy \neq 0$ .

Proof:

$$\langle 1 \rangle 1$$
. Let:  $x, y \in \mathbb{R}$ 

$$\langle 1 \rangle 2$$
. Assume:  $xy = 0$  and  $x \neq 0$ 

PROVE: y = 0

$$\langle 1 \rangle 3. \ y = 0$$

Proof:

$$y = 1y$$
 (Definition of 1)  
 $= (1/x)xy$  (Definition of  $1/x$ ,  $\langle 1 \rangle 2$ )  
 $= (1/x)0$  ( $\langle 1 \rangle 2$ )  
 $= 0$  (Theorem 6.0.4)

**Theorem 6.1.11.** For any real numbers y and z, if  $y \neq 0$  and  $z \neq 0$  then (1/y)(1/z) = 1/(yz).

PROOF: Since  $yz(1/y)(1/z) = 1 \cdot 1 = 1$ .

**Corollary 6.1.11.1.** For any real numbers x, y, z, w with  $y \neq 0 \neq w$ , we have (x/y)(z/w) = (xz)/(yw).

**Theorem 6.1.12.** For any real numbers x, y, z, w with  $y \neq 0 \neq w$ , we have

$$\frac{x}{y} + \frac{z}{w} = \frac{xw + yz}{yw}$$

Proof:

$$yw\left(\frac{x}{y} + \frac{z}{w}\right) = yw\frac{x}{y} + yw\frac{z}{w}$$
$$= wx + yz$$

**Theorem 6.1.13.** For any real number x, if  $x \neq 0$  then  $1/x \neq 0$ .

PROOF: Since  $x \cdot 1/x = 1 \neq 0$ .  $\square$ 

**Theorem 6.1.14.** For any real numbers w, z, if  $w \neq 0 \neq z$  then 1/(w/z) = z/w.

PROOF: Since (z/w)(w/z) = (wz)/(wz) = 1.

**Theorem 6.1.15.** For any real numbers a, x and y, if  $y \neq 0$  then (ax)/y = a(x/y)

PROOF: Since ya(x/y) = ax.

**Theorem 6.1.16.** For any real numbers x and y, if  $y \neq 0$  then (-x)/y = x/(-y) = -(x/y).

Proof:

$$\langle 1 \rangle 1. \ (-x)/y = -(x/y)$$

PROOF: Take a = -1 in Theorem 6.1.15.

$$\langle 1 \rangle 2$$
.  $x/(-y) = -(x/y)$ 

PROOF: Since (-y)(-(x/y)) = y(x/y) = x.

**Theorem 6.1.17.** For any real numbers x, y, z and w, if x > y and w > z then x + w > y + z.

PROOF: We have y + z < x + z < x + w by Monotonicity of Addition twice.  $\square$ 

**Corollary 6.1.17.1.** For any real numbers x and y, if x > 0 and y > 0 then x + y > 0.

**Theorem 6.1.18.** For any real numbers x and y, if x > 0 and y > 0 then xy > 0.

Proof:

$$xy > 0y$$
 (Monotonicity of Multiplication)  
= 0 (Theorem 6.0.4)

**Theorem 6.1.19.** For any real number x, we have x > 0 iff -x < 0.

Proof:

 $\langle 1 \rangle 1$ . If 0 < x then -x < 0

PROOF: By Monotonicity of Addition adding -x to both sides.

 $\langle 1 \rangle 2$ . If -x < 0 then 0 < x

Proof: By Monotonicity of Addition adding x to both sides.

**Theorem 6.1.20.** For any real numbers x and y, we have x > y iff -x < -y.

Proof:

 $\langle 1 \rangle 1$ . If y < x then -x < -y.

PROOF: By Monotonicity of Addition adding -x-y to both sides.

 $\langle 1 \rangle 2$ . If -x < -y then y < x.

PROOF: By Monotonicity of Addition adding x + y to both sides.

**Theorem 6.1.21.** For any real numbers x, y and z, if x > y and z < 0 then xz < yz.

Proof:

- $\langle 1 \rangle 1$ . Let: x, y and z be real numbers.
- $\langle 1 \rangle 2$ . Assume: x > y
- $\langle 1 \rangle 3$ . Assume: z < 0
- $\langle 1 \rangle 4. -z > 0$

PROOF: Theorem 6.1.19,  $\langle 1 \rangle 3$ .

 $\langle 1 \rangle 5$ . x(-z) > y(-z)

PROOF:  $\langle 1 \rangle 2$ ,  $\langle 1 \rangle 4$ , Monotonicity of Multiplication.

 $\langle 1 \rangle 6. -(xz) > -(yz)$ 

Proof: Theorem 6.0.7,  $\langle 1 \rangle 5$ .

 $\langle 1 \rangle 7. \ xz < yz$ PROOF: Theorem 6.1.19,  $\langle 1 \rangle 6.$ Theorem 6.1.22. For any real number x, if  $x \neq 0$  then xx > 0.

PROOF:  $\langle 1 \rangle 1.$  If x > 0 then xx > 0PROOF: By Monotonicity of Multiplication.  $\langle 1 \rangle 2.$  If x < 0 then xx > 0PROOF: Theorem 6.1.21.

Theorem 6.1.23. 0 < 1PROOF: By Theorem 6.1.22 since  $1 = 1 \cdot 1$ .

**Definition 6.1.24** (Positive). A real number x is *positive* iff x > 0. We write  $\mathbb{R}_+$  for the set of positive reals.

**Theorem 6.1.25.** For any real numbers x and y, we have xy is positive if and only if x and y are both positive or both negative.

PROOF: By the Monotonicity of Multiplication and Theorem 6.1.21.  $\Box$ 

Corollary 6.1.25.1. For any real number x, if x > 0 then 1/x > 0.

PROOF: Since  $x \cdot 1/x = 1$  is positive.  $\square$ 

**Theorem 6.1.26.** For any real numbers x and y, if x > y > 0 then 1/x < 1/y.

PROOF: If  $1/y \le 1/x$  then 1 < 1 by Monotonicity of Multiplication.

**Theorem 6.1.27.** For any real numbers x and y, if x < y then x < (x+y)/2 < y.

PROOF: We have 2x < x + y and x + y < 2y by Monotonicity of Addition, hence x < (x + y)/2 < y by Monotonicity of Multiplication since 1/2 > 0.  $\square$ 

Corollary 6.1.27.1.  $\mathbb{R}$  is a linear continuum.

**Definition 6.1.28** (Negative). A real number x is negative iff x < 0. We write  $\overline{\mathbb{R}_+}$  for the set of nonnegative reals.

**Theorem 6.1.29.** For every positive real number a, there exists a unique positive real  $\sqrt{a}$  such that  $\sqrt{a}^2 = a$ .

#### Proof:

 $\langle 1 \rangle 1$ . Let: a be a positive real.

 $\langle 1 \rangle 2$ . For any real numbers x and h, if  $0 \le h < 1$ , then  $(x+h)^2 < x^2 + h(2x+1)$ .

- $\langle 2 \rangle 1$ . Let: x and h be real numbers.
- $\langle 2 \rangle 2$ . Assume:  $0 \leq h < 1$
- $\langle 2 \rangle 3$ .  $(x+h)^2 < x^2 + h(2x+1)$

Proof:

$$(x+h)^{2} = x^{2} + 2hx + h^{2}$$

$$< x^{2} + 2hx + h$$

$$= x^{2} + h(2x+1)$$
(\langle 2\rangle 2)

 $\langle 1 \rangle 3$ . For any real numbers x and h, if h > 0 then

$$(x-h)^2 > x^2 - 2hx$$
.

- $\langle 2 \rangle 1$ . Let: x and h be real numbers.
- $\langle 2 \rangle 2$ . Assume: h > 0
- $\langle 2 \rangle 3$ .  $(x-h)^2 > x^2 2hx$

Proof:

$$(x-h)^2 = x^2 - 2hx + h^2$$
  
>  $x^2 - 2hx$  (\langle 2\rangle 2)

- $\langle 1 \rangle 4$ . For any positive real x, if  $x^2 < a$  then there exists h > 0 such that  $(x+h)^2 < a$ .
  - $\langle 2 \rangle 1$ . Let: x be a positive real.
  - $\langle 2 \rangle 2$ . Assume:  $x^2 < a$
  - $\langle 2 \rangle 3$ . Let:  $h = \min((a x^2)/(2x + 1), 1/2)$
  - $\langle 2 \rangle 4$ . 0 < h < 1
  - $\langle 2 \rangle 5$ .  $(x+h)^2 < a$

PROOF:

$$(x+h)^2 < x^2 + h(2x+1) \tag{\langle 1 \rangle 2}$$

- $\langle 1 \rangle 5.$  For any positive real x, if  $x^2 > a$  then there exists h > 0 such that  $(x-h)^2 > a.$ 
  - $\langle 2 \rangle 1$ . Let: x be a positive real.
  - $\langle 2 \rangle 2$ . Assume:  $x^2 > a$
  - $\langle 2 \rangle 3$ . Let:  $h = (x^2 a)/2x$
  - $\langle 2 \rangle 4. \ h > 0$
  - $\langle 2 \rangle 5$ .  $(x-h)^2 > a$

Proof:

$$(x-h)^2 > x^2 - 2hx$$

$$= a \qquad (\langle 2 \rangle 3)$$

- $\langle 1 \rangle$ 6. Let:  $B = \{x \in \mathbb{R} : x^2 < a\}$
- $\langle 1 \rangle 7$ . B is bounded above.

PROOF: If  $a \ge 1$  then a is an upper bound. If a < 1 then 1 is an upper bound.

 $\langle 1 \rangle 8$ . B contains at least one positive real.

PROOF: If  $a \ge 1$  then  $1 \in B$ . If a < 1 then  $a \in B$ .

- $\langle 1 \rangle 9$ . Let:  $b = \sup B$
- $\langle 1 \rangle 10.$   $b^2 = a$ 
  - $\langle 2 \rangle 1.$   $b^2 \geqslant a$ 
    - $\langle 3 \rangle 1$ . Assume: for a contradiction  $b^2 < a$

```
\langle 3 \rangle 2. Pick h > 0 such that (b+h)^2 < a
           Proof: \langle 1 \rangle 4
       \langle 3 \rangle 3. \ b+h \in B
       \langle 3 \rangle 4. Q.E.D.
           PROOF: This contradicts \langle 1 \rangle 9.
   \langle 2 \rangle 2. \ b^2 \leqslant a
       \langle 3 \rangle 1. Assume: for a contradiction b^2 > a
       \langle 3 \rangle 2. Pick h > 0 such that (b-h)^2 > a
           Proof: \langle 1 \rangle 5
       \langle 3 \rangle 3. Pick x \in B such that b - h < x
           Proof: \langle 1 \rangle 9
       \langle 3 \rangle 4. \ (b-h)^2 < x^2 < a
       \langle 3 \rangle 5. Q.E.D.
           Proof: This contradicts \langle 3 \rangle 2
\langle 1 \rangle 11. For any positive reals b and c, if b^2 = c^2 then b = c.
    \langle 2 \rangle 1. Let: b and c be positive reals.
   \langle 2 \rangle 2. Assume: b^2 = c^2
   \langle 2 \rangle 3. \ b^2 - c^2 = 0
    \langle 2 \rangle 4. \ (b-c)(b+c) = 0
    \langle 2 \rangle 5. b - c = 0 or b + c = 0
   \langle 2 \rangle 6. b+c \neq 0
       PROOF: Since b + c > 0
    \langle 2 \rangle 7. b-c=0
    \langle 2 \rangle 8. \ b = c
```

**Theorem 6.1.30.** The set of real numbers is uncountable.

**Definition 6.1.31.** We write  $\mathbb{R}^{\infty}$  for the set of sequences in  $\mathbb{R}^{\omega}$  that are eventually zero.

**Definition 6.1.32** (Hilbert Cube). The *Hilbert cube* is  $\prod_{n=0}^{\infty} [0, 1/(n+1)]$ .

## 6.2 The Ordered Square

**Definition 6.2.1** (Ordered Square). The ordered square  $I_o^2$  is the set  $[0,1]^2$  under the dictionary order.

**Proposition 6.2.2.** The ordered square is a linear continuum.

```
Proof:
```

```
\langle 1 \rangle 1. I_o^2 has the least upper bound property.

\langle 2 \rangle 1. Let: S be a nonempty subset of I_o^2.

\langle 2 \rangle 2. Let: a be the supremum of \pi_1(S)

\langle 2 \rangle 3. Case: a \in \pi_1(S)

\langle 3 \rangle 1. Let: b be the supremum of \{y \in [0,1] : (a,y) \in S\}

\langle 3 \rangle 2. (a,b) is the supremum of S.
```

```
 \begin{array}{l} \langle 2 \rangle 4. \ \text{Case:} \ a \notin \pi_1(S) \\ \text{Proof:} \ (a,0) \ \text{is the supremum of } S. \\ \langle 1 \rangle 2. \ I_o^2 \ \text{is dense.} \\ \langle 2 \rangle 1. \ \text{Let:} \ (x_1,y_1), (x_2,y_2) \in I_o^2 \ \text{with} \ (x_1,y_1) < (x_2,y_2) \\ \text{Prove:} \ \ \text{There exists} \ (x_3,y_3) \in I_o^2 \ \text{such that} \ (x_1,y_1) < (x_3,y_3) < \\ (x_2,y_2) \\ \langle 2 \rangle 2. \ \text{Case:} \ x_1 < x_2 \\ \langle 3 \rangle 1. \ \text{Pick} \ x_3 \ \text{such that} \ x_1 < x_3 < x_2 \\ \langle 3 \rangle 2. \ (x_1,y_1) < (x_3,0) < (x_2,y_2) \\ \langle 2 \rangle 3. \ \text{Case:} \ x_1 = x_2 \ \text{and} \ y_1 < y_2 \\ \langle 3 \rangle 1. \ \text{Pick} \ y_3 \ \text{such that} \ y_1 < y_3 < y_2 \\ \langle 3 \rangle 2. \ (x_1,y_1) < (x_1,y_3) < (x_2,y_2) \\ \end{array}
```

## 6.3 Punctured Euclidean Space

**Definition 6.3.1** (Punctured Euclidean Space). Let n be a positive integer. The punctured Euclidean space is  $\mathbb{R}^n - \{\vec{0}\}$ .

## 6.4 Topologist's Sine Curve

**Definition 6.4.1** (Topologist's Sine Curve). The topologist's sine curve is

$$(\{0\} \times [-1,1]) \cup \{(x,\sin 1/x) : 0 < x \le 1\}$$
.

## 6.5 The Long Line

**Definition 6.5.1** (Long Line). The *long line* is  $S_{\Omega} \times [0,1)$  in the dictionary order.

**Proposition 6.5.2.** For any  $a \in S_{\Omega}$  with  $a \neq 0$  we have  $[(0,0),(a,0)) \cong [0,1)$ .

PROOF: By transfinite induction on a using Propositions 6.0.9 and 6.0.10.  $\Box$ 

# Integers and Rationals

#### **Positive Integers** 7.1

**Definition 7.1.1** (Inductive). A set of real numbers A is inductive iff  $1 \in A$ and  $\forall x \in A.x + 1 \in A$ .

**Definition 7.1.2** (Positive Integer). The set  $\mathbb{Z}_+$  of positive integers is the intersection of the set of inductive sets.

**Proposition 7.1.3.** Every positive integer is positive. PROOF: The set of positive reals is inductive.  $\square$ **Proposition 7.1.4.** 1 is the least element of  $\mathbb{Z}_+$ . PROOF: Since  $\{x \in \mathbb{R} : x \ge 1\}$  is inductive.  $\square$ **Proposition 7.1.5.**  $\mathbb{Z}_+$  is inductive. PROOF: 1 is an element of every inductive set, and for all  $x \in \mathbb{R}$ , if x is an

element of every inductive set then so is x + 1.

**Theorem 7.1.6** (Principle of Induction). If A is an inductive set of positive integers then  $A = \mathbb{Z}_+$ .

Proof: Immediate from definitions.

**Theorem 7.1.7** (Well-Ordering Property).  $\mathbb{Z}_+$  is well ordered.

PROOF: Construct the obvious order isomorphism  $\omega \cong \mathbb{Z}_+$ .  $\sqcup$ 

**Theorem 7.1.8** (Archimedean Ordering Property). The set  $\mathbb{Z}_+$  is unbounded above.

 $\langle 1 \rangle 1$ . Assume: for a contradiction  $\mathbb{Z}_+$  is bounded above.

$$\begin{split} &\langle 1 \rangle 2. \ \text{Let:} \\ &s = \sup \mathbb{Z}_+ \\ &\langle 1 \rangle 3. \ \text{Pick } n \in \mathbb{Z}_+ \text{ such that } s-1 < n \\ &\langle 1 \rangle 4. \ s < n+1 \\ &\langle 1 \rangle 5. \ \text{Q.E.D.} \\ &\text{Proof:} &\langle 1 \rangle 2 \text{ and } \langle 1 \rangle 4 \text{ form a contradiction.} \\ &\sqcap \end{split}$$

## 7.1.1 Exponentiation

**Definition 7.1.9.** For a a real number and n a positive integer, define the real number  $a^n$  recursively as follows:

$$a^1 = a$$
$$a^{n+1} = a^n a$$

**Theorem 7.1.10.** For all  $a \in \mathbb{R}$  and  $m, n \in mathbb{Z_+}$ , we have

$$a^n a^m = a^{n+m}$$

Proof:

 $\langle 1 \rangle 1$ . Let: P(m) be the property  $\forall a \in \mathbb{R}. \forall n \in \mathbb{Z}_+.a^na^m = a^{n+m}$ 

 $\langle 1 \rangle 2. P(1)$ 

PROOF:  $a^n a^1 = a^n a = a^{n+1}$ .

 $\langle 1 \rangle 3. \ \forall m \in \mathbb{Z}_+.P(m) \Rightarrow P(m+1)$ 

 $\langle 2 \rangle 1$ . Let: m be a positive integer.

 $\langle 2 \rangle 2$ . Assume: P(m)

 $\langle 2 \rangle 3$ . Let:  $a \in \mathbb{R}$ 

 $\langle 2 \rangle 4$ . Let:  $n \in \mathbb{Z}_+$ 

 $\langle 2 \rangle 5$ .  $a^n a^{m+1} = a^{n+m+1}$ 

Proof:

$$a^{n}a^{m+1} = a^{n}a^{m}a$$

$$= a^{n+m}a \qquad (\langle 2 \rangle 2)$$

$$= a^{n+m+1}$$

 $\langle 1 \rangle 4$ . Q.E.D.

Proof: By induction.

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**Theorem 7.1.11.** For all  $a \in \mathbb{R}$  and  $m, n \in \mathbb{Z}_+$ ,

$$(a^n)^m = a^{nm} .$$

Proof:

 $\langle 1 \rangle 1$ . Let: P(m) be the property  $\forall a \in \mathbb{R}. \forall n \in \mathbb{Z}_+. (a^n)^m = a^{nm}$ .

 $\langle 1 \rangle 2$ . P(1)

PROOF:  $(a^n)^1 = a^n = a^{n \cdot 1}$ 

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$$\langle 1 \rangle 3. \ \forall m \in \mathbb{Z}_+.P(m) \Rightarrow P(m+1)$$
  
PROOF:

$$(a^n)^{m+1} = (a^n)^m a^n$$

$$= a^{nm} a^n$$

$$= a^{nm+n}$$
 (Theorem 7.1.10)
$$= a^{n(m+1)}$$

**Theorem 7.1.12.** For any real numbers a and b and positive integer m,

$$a^m b^m = (ab)^m .$$

PROOF: Induction on m.  $\square$ 

## 7.2 Integers

**Definition 7.2.1** (Integer). The set  $\mathbb{Z}$  of *integers* is

$$\mathbb{Z} = \mathbb{Z}_+ \cup \{0\} \cup \{-x : x \in \mathbb{Z}_+\} .$$

**Proposition 7.2.2.** The sum, difference and product of two integers is an integer.

Proof: Easy.

Example 7.2.3. 1/2 is not an integer.

**Proposition 7.2.4.** For any integer n, there is no integer a such that n < a < n + 1.

Proof:

- $\langle 1 \rangle 1$ . For any positive integer n, there is no integer a such that n < a < n + 1.
  - $\langle 2 \rangle 1$ . There is no integer a such that 1 < a < 2.
    - $\langle 3 \rangle 1$ . There is no positive integer a such that 1 < a < 2.
      - $\langle 4 \rangle 1$ . We do not have 1 < 1 < 2.
      - $\langle 4 \rangle 2$ . For any positive integer n, we do not have 1 < n + 1 < 2.

PROOF: Since  $n \ge 1$  so  $n + 1 \ge 2$ .

- $\langle 3 \rangle 2$ . We do not have 1 < 0 < 2.
- $\langle 3 \rangle 3$ . For any positive integer a, we do not have 1 < -a < 2.

PROOF: Since -a < 0 < 1.

 $\langle 2 \rangle 2$ . For any positive integer n, if there is no integer a such that n < a < n + 1, then there is no integer a such that n + 1 < a < n + 2.

PROOF: If n + 1 < a < n + 2 then n < a - 1 < n + 1.

 $\langle 1 \rangle 2$ . There is no integer a such that 0 < a < 1.

PROOF: If 0 < a < 1 then 1 < a + 1 < 2.

 $\langle 1 \rangle 3$ . For any positive integer n, there is no integer a such that -n < a < -n+1. PROOF: If -n < a < -n+1 then n-1 < -a < n.

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**Theorem 7.2.5.** Every nonempty subset of  $\mathbb{Z}$  bounded above has a largest element.

Proof:

- $\langle 1 \rangle 1$ . Let: S be a nonempty subset of  $\mathbb{Z}$  bounded above.
- $\langle 1 \rangle 2$ . Let: u be an upper bound for S.
- $\langle 1 \rangle 3$ . Pick an integer n > u

Proof: Archimedean property.

- $\langle 1 \rangle 4$ . Let: k be the least positive integer such that  $n k \in S$ .
  - $\langle 2 \rangle 1$ . Pick  $m \in S$
  - $\langle 2 \rangle 2$ . n-m is a positive integer.
  - $\langle 2 \rangle 3$ . There exists a positive integer k such that  $n-k \in S$ .
- $\langle 1 \rangle 5$ . n-k is the greatest element in S.
  - $\langle 2 \rangle 1$ . Let:  $m \in S$
  - $\langle 2 \rangle 2$ .  $n m \geqslant k$
- $\langle 2 \rangle 3. \ m \leqslant n-k$

**Theorem 7.2.6.** For any real number x, if x is not an integer then there exists a unique integer n such that n < x < n + 1.

Proof:

- $\langle 1 \rangle 1$ .  $\{ n \in \mathbb{Z} : n < x \}$  is a nonempty set of integers bounded above.
  - $\langle 2 \rangle 1$ . Pick m > -x

PROOF: Archimedean property.

- $\langle 2 \rangle 2$ . -m < x
- $\langle 2 \rangle 3$ .  $\{ n \in \mathbb{Z} : n < x \}$  is nonempty.
- $\langle 1 \rangle 2$ . Let: n be the greatest integer such that n < x
- $\langle 1 \rangle 3. \ x < n+1$
- $\langle 1 \rangle 4$ . If n' is an integer with n' < x < n' + 1 then n' = n.

PROOF: We have n' < n + 1 so  $n' \le n$ , and n < n' + 1 so  $n \le n'$ .

**Definition 7.2.7** (Even). An integer n is even iff n/2 is an integer; otherwise,

**Theorem 7.2.8.** If the integer m is odd then there exists an integer n such that m = 2n + 1.

Proof:

- $\langle 1 \rangle 1$ . Let: n be the integer such that n < m/2 < n+1PROOF: Theorem 7.2.6.
- $\langle 1 \rangle 2$ . 2n < m < 2n + 2
- $\langle 1 \rangle 3. \ m = 2n + 1$

**Theorem 7.2.9.** The product of two odd integers is odd.

PROOF: (2m+1)(2n+1) = 2(2mn+m+n) + 1.

**Corollary 7.2.9.1.** If p is an odd integer and n is a positive integer then  $p^n$  is an odd integer.

**Definition 7.2.10** (Exponentiation). Extend the definition of exponentiation so  $a^n$  is defined for:

- ullet all real numbers a and non-negative integers n
- $\bullet$  all non-zero real numbers a and integers n

as follows:

$$a^0 = 1$$
  
 $a^{-n} = 1/a^n$  (n a positive integer)

**Theorem 7.2.11** (Laws of Exponents). For all non-zero reals a and b and integers m and n,

$$a^{n}a^{m} = a^{n+m}$$
$$(a^{n})^{m} = a^{nm}$$
$$a^{m}b^{m} = (ab)^{m}$$

Proof: Easy.

Theorem 7.2.12.  $\mathbb{Z}$  is countable.

PROOF: The function that maps an integer n to 2n if  $n \ge 0$  and -1-2n if n < 0 is a bijection  $\mathbb{Z} \approx \mathbb{N}$ .  $\square$ 

#### 7.3 Rational Numbers

**Definition 7.3.1** (Rational Number). The set  $\mathbb{Q}$  of rational numbers is the set of all real numbers that are the quotient of two integers. A real that is not rational is *irrational*.

Theorem 7.3.2.  $\sqrt{2}$  is irrational.

#### Proof:

- $\langle 1 \rangle 1$ . For any positive rational a, there exist positive integers m and n not both even such that a=m/n.
  - $\langle 2 \rangle 1$ . Let: a be a positive rational.
  - $\langle 2 \rangle 2$ . Let: n be the least positive integer such that na is a positive integer.
  - $\langle 2 \rangle 3$ . Let: m = na
  - $\langle 2 \rangle 4$ . Assume: for a contradiction m and n are both even.
  - $\langle 2 \rangle 5$ . m/2 = (n/2)a
  - $\langle 2 \rangle 6$ . Q.E.D.

PROOF: This contradicts the leastness of n ( $\langle 2 \rangle 2$ ).  $\langle 1 \rangle 2$ . Assume: for a contradiction  $\sqrt{2}$  is rational.  $\langle 1 \rangle 3$ . PICK positive integers m and n not both even such that  $\sqrt{2} = m/n$ .  $\langle 1 \rangle 4$ .  $m^2 = 2n^2$   $\langle 1 \rangle 5$ .  $m^2$  is even.  $\langle 1 \rangle 6$ . m is even. PROOF: Theorem 7.2.9.  $\langle 1 \rangle 7$ . Let: k = m/2  $\langle 1 \rangle 8$ .  $4k^2 = 2n^2$   $\langle 1 \rangle 8$ .  $4k^2 = 2n^2$   $\langle 1 \rangle 10$ .  $n^2$  is even.  $\langle 1 \rangle 11$ . n is even. PROOF: Theorem 7.2.9.  $\langle 1 \rangle 12$ . Q.E.D.

**Theorem 7.3.3.**  $\mathbb{Q}$  is countably infinite.

PROOF:  $\langle 1 \rangle 3$ ,  $\langle 1 \rangle 6$  and  $\langle 1 \rangle 11$  form a contradiction.

PROOF: The function  $\mathbb{Z} \times \mathbb{N} \to \mathbb{Q}$  that maps (m,n) to m/(n+1) is a surjection.

## 7.4 Algebraic Numbers

**Definition 7.4.1** (Algebraic Number). A real number r is algebraic iff there exists a natural number n and rational numbers  $a_0, a_1, \ldots, a_{n-1}$  such that

$$r^{n} + a_{n-1}r^{n-1} + \dots + a_{1}r + a_{0} = 0$$

Otherwise, r is transcendental.

**Proposition 7.4.2.** The set of algebraic numbers is countably infinite.

PROOF: There are countably many finite sequences of rational numbers, and each corresponding polynomial has only finitely many roots.  $\Box$ 

Corollary 7.4.2.1. The set of transcendental numbers is uncountable.

Part IV

Algebra

# Monoid Theory

**Definition 8.0.1** (Monoid). A monoid is a category with one object.

**Definition 8.0.2.** Let  $\mathcal{C}$  be a category and  $X \in \mathcal{C}$ . The monoid  $\operatorname{End}_{\mathcal{C}}(X)$  is the set of all morphisms  $X \to X$  under composition.

**Proposition 8.0.3.** For any functor  $F: \mathcal{C} \to \mathcal{D}$  and  $X \in \mathcal{C}$ , we have that  $F: \operatorname{End}_{\mathcal{C}}(X) \to \operatorname{End}_{\mathcal{D}}(FX)$  is a monoid homomorphism.

PROOF: Since  $Fid_X = id_{FX}$  and  $F(g \circ f) = Fg \circ Ff$ .  $\square$ 

# Group Theory

## 9.1 Category of Small Groups

**Definition 9.1.1.** Let **Grp** be the category of small groups and group homomorphisms.

**Definition 9.1.2.** We identify any group G with the category with one object whose morphisms are the elements of G with composition given by the multiplication in G.

Proposition 9.1.3. The trivial group is a zero object in Grp.

Proof: Easy.

The zero morphism  $G \to H$  maps every element in G to e.

**Definition 9.1.4.** Let  $\mathcal{C}$  be a category and  $X \in \mathcal{C}$ . We write  $\operatorname{Aut}_{\mathcal{C}}(X)$  for the set of all isomorphisms  $X \cong X$  under composition.

**Proposition 9.1.5.** Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor and  $X \in \mathcal{C}$ . Then  $F: \operatorname{Aut}_{\mathcal{C}}(X) \to \operatorname{Aut}_{\mathcal{D}}(FX)$  is a group homomorphism.

PROOF: Since  $Fid_X = id_{FX}$ ,  $F(g \circ f) = Fg \circ Ff$ , and  $Ff^{-1} = (Ff)^{-1}$ .  $\square$ 

Proposition 9.1.6. Grp has products.

**Definition 9.1.7** (Free Product). The product of a family of groups in **Grp** is called the *free product*.

Proposition 9.1.8. Ab has products given by direct sums.

**Definition 9.1.9** (Left Coset). Let G be a group and H a subgroup of G. The *left cosets* of H are the sets of the form

$$xH := \{xh : h \in H\}$$

We write G/H for the set of left cosets of H in G.

**Proposition 9.1.10.** Let G be a group and H a subgroup of G. Then G/H is a partition of G.

Proof:

 $\langle 1 \rangle 1. \bigcup (G/H) = G$ 

PROOF: Since x = xe and so  $x \in xH$ .

 $\langle 1 \rangle 2$ . Any two distinct left cosets of H are disjoint.

PROOF: Since if  $z \in xH$  and  $z \in yH$  then xH = yH = zH.

**Definition 9.1.11.** Let G be a group. Let A and B be subsets of G. Then

$$AB := \{ab : a \in A, b \in B\} .$$

**Definition 9.1.12.** Let G be a group. Let A be a subset of G. Then

$$A^{-1} := \{a^{-1} : a \in A\} .$$

# Ring Theory

**Definition 10.0.1.** Let **Ring** be the concrete category of rings and ring homomorphisms.

**Definition 10.0.2** (Spectrum). Let R be a commutative ring. The *spectrum* of R, spec R, is the set of all prime ideals of R.

**Definition 10.0.3** (Zariski Topology). Let R be a commutative ring. The  $Zariski\ topology$  on spec R is the topology where the closed sets are the sets of the form

$$VE := \{ p \in \operatorname{spec} R : E \subseteq p \}$$

for any  $E \in \mathcal{P}R$ .

We prove this is a topology.

#### Proof:

```
\langle 1 \rangle 1. Let: \mathcal{C} = \{VE : E \in \mathcal{P}R\}
\langle 1 \rangle 2. For all \mathcal{A} \subseteq \mathcal{C} we have \bigcap \mathcal{A} \in \mathcal{C}
     \langle 2 \rangle 1. Let: \mathcal{A} \subseteq \mathcal{C}
     \langle 2 \rangle 2. Let: E = \bigcup \{ E' \in \mathcal{P}R : VE' \in \mathcal{A} \}
                 PROVE: VE = \bigcap A
     \langle 2 \rangle 3. For all p \in \operatorname{spec} R, if E \subseteq p then p \in \bigcap \mathcal{A}
         \langle 3 \rangle 1. Let: p \in \operatorname{spec} R
         \langle 3 \rangle 2. Assume: E \subseteq p
         \langle 3 \rangle 3. Let: E' \in \mathcal{P}R with VE' \in \mathcal{A}
         \langle 3 \rangle 4. E' \subseteq E
         \langle 3 \rangle 5. E' \subseteq p
         \langle 3 \rangle 6. \ p \in VE'
     \langle 2 \rangle 4. For all p \in \operatorname{spec} R, if p \in \bigcap A then E \subseteq p
         \langle 3 \rangle 1. Let: p \in \bigcap \mathcal{A}
         \langle 3 \rangle 2. For all E' \in \mathcal{P}R with VE' \in \mathcal{A} we have E' \subseteq p
         \langle 3 \rangle 3. E \subseteq p
\langle 1 \rangle 3. For all C, D \in \mathcal{C} we have C \cup D \in \mathcal{C}.
     PROOF: Since VE \cup VE' = V(E \cap E')
```

 $\begin{array}{l} \langle 1 \rangle 4. \ \varnothing \in \mathcal{C} \\ \langle 2 \rangle 1. \ VR = \varnothing \\ \text{Proof: If } p \in VR \text{ then } R \subseteq p \text{ contradicting the fact that } p \text{ is a prime ideal.} \\ \end{array}$ 

**Definition 10.0.4.** For any ring R, let  $R-\mathbf{Mod}$  be the category of small R-modules and R-module homomorphisms.

**Proposition 10.0.5.**  $R-\mathbf{Mod}$  has products and coproducts.

# Field Theory

Proposition 11.0.1. Field does not have binary products.

PROOF: There cannot be a field K with field homomorphisms  $K \to \mathbb{Z}_2$  and  $K \to \mathbb{Z}_3$ , because its characteristic would be both 2 and 3.  $\square$ 

# Linear Algebra

**Definition 12.0.1** (Span). Let V be a vector space and  $A \subseteq V$ . The *span* of A is the set of all linear combinations of elements of A.

**Definition 12.0.2** (Independent). Let V be a vector space and  $A \subseteq V$ . Then A is linearly independent iff, whenever

$$\alpha_1 v_1 + \dots + \alpha_n v_n = 0$$

where  $v_1, \ldots, v_n \in A$ , then

$$\alpha_1 = \dots = \alpha_n = 0$$
.

**Proposition 12.0.3.** Let V be a vector space,  $A \subseteq V$  and  $v \in V$ . If A is linearly independent and  $v \notin \operatorname{span} A$ , then  $A \cup \{v\}$  is independent.

#### Proof:

 $\langle 1 \rangle 1$ . Let:  $\alpha_1 v_1 + \cdots + \alpha_n v_n + \beta v = 0$  where  $v_1, \ldots, v_n \in A$ 

 $\langle 1 \rangle 2$ .  $\beta = 0$ 

PROOF: Otherwise  $v = (\alpha_1/\beta)v_1 + \cdots + (\alpha_n/\beta)v_n \in \operatorname{span} A$ .

 $\langle 1 \rangle 3. \ \alpha_1 = \cdots = \alpha_n = 0$ 

PROOF: Since A is linearly independent.

Theorem 12.0.4. Every vector space has a basis.

#### Proof.

 $\langle 1 \rangle 1$ . Let: V be a vector space.

 $\langle 1 \rangle 2$ . Pick a maximal linearly independent set  $\mathcal{B}$ .

PROOF: By Tukey's Lemma.

 $\langle 1 \rangle 3$ . span  $\mathcal{B} = V$ 

Proof: Proposition 12.0.3.

**Definition 12.0.5.** For any field K, we write  $\mathbf{Vect}_K$  for  $K - \mathbf{Mod}$ .

Dual space functor  $\mathbf{Vect}_K^{\mathrm{op}} \to \mathbf{Vect}_K$ .

# $\begin{array}{c} {\rm Part} \ {\rm V} \\ {\rm Topology} \end{array}$

# Topology

## 13.1 Topological Spaces

**Definition 13.1.1** (Topological Space). Let X be a set and  $\mathcal{O} \subseteq \mathcal{P}X$ . Then we say  $(X, \mathcal{O})$  is a *topological space* iff:

- For any  $\mathcal{U} \subseteq \mathcal{O}$  we have  $\bigcup \mathcal{U} \in \mathcal{O}$ .
- For any  $U, V \in \mathcal{O}$  we have  $U \cap V \in \mathcal{O}$ .
- $X \in \mathcal{O}$

We call  $\mathcal{O}$  the topology of the topological space, and call its elements open sets. We shall often write X for the topological space  $(X, \mathcal{O})$ .

**Example 13.1.2** (Discrete Topology). For any set X, the power set  $\mathcal{P}X$  is called the *discrete* topology on X.

**Example 13.1.3** (Indiscrete Topology). For any set X, the *indiscrete* or *trivial* topology on X is  $\{\emptyset, X\}$ .

**Example 13.1.4** (Cofinite Topology). For any set X, the *cofinite* topology is  $\mathcal{T} = \{\emptyset\} \cup \{X - U : U \subseteq X \text{ is finite}\}.$ 

We prove this is a topology.

**Example 13.1.5** (Cocountable Topology). For any set X, the *cocountable* topology is  $\{X - U : U \subseteq X \text{ is countable}\}.$ 

**Example 13.1.6** (Sierpiński Two-Point Space). The *Sierpiński two-point space* is  $\{0,1\}$  under the topology  $\{\emptyset,\{1\},\{0,1\}\}$ .

**Proposition 13.1.7.** Let X be a topological space and  $U \subseteq X$ . Then U is open if and only if, for all  $x \in U$ , there exists an open set V such that  $x \in V \subseteq U$ .

Proof:

 $\langle 1 \rangle 1$ . If U is open then, for all  $x \in U$ , there exists an open set V such that  $x \in V \subseteq U$ .

PROOF: Take V = U.

 $\langle 1 \rangle 2$ . If, for all  $x \in U$ , there exists an open set V such that  $x \in V \subseteq U$ , then U is open.

Proof: Since then U is the union of all the open subsets of U.  $\square$ 

**Proposition 13.1.8.** The intersection of a set of topologies on a set X is a topology on X.

Proof:

```
\langle 1 \rangle 1. Let: \mathcal{T} be a set of topologies on X.
```

$$\langle 1 \rangle 2$$
. For all  $\mathcal{U} \subseteq \bigcap \mathcal{T}$  we have  $\bigcup \mathcal{U} \in \bigcap \mathcal{T}$ .

- $\langle 2 \rangle 1$ . Let:  $\mathcal{U} \subseteq \bigcap \mathcal{T}$
- $\langle 2 \rangle 2$ . Let:  $T \in \mathcal{T}$
- $\langle 2 \rangle 3$ .  $\mathcal{U} \subseteq T$
- $\langle 2 \rangle 4. \bigcup \mathcal{U} \in T$
- $\langle 1 \rangle 3$ . For all  $U, V \in \bigcap \mathcal{T}$  we have  $U \cap T \in \bigcap \mathcal{T}$ .
  - $\langle 2 \rangle 1$ . Let:  $U, V \in \bigcap \mathcal{T}$
  - $\langle 2 \rangle 2$ . Let:  $T \in \mathcal{T}$
  - $\langle 2 \rangle 3. \ U, V \in T$
  - $\langle 2 \rangle 4$ .  $U \cap V \in T$
- $\langle 1 \rangle 4. \ X \in \bigcap \mathcal{T}.$

#### 13.1.1 Closed Sets

**Definition 13.1.9** (Closed Set). Let X be a topological space and  $A \subseteq X$ . Then A is *closed* iff X - A is open.

**Proposition 13.1.10.** A set B is open if and only if X - B is closed.

PROOF: We have B is open iff X - (X - B) is open iff X - B is closed.  $\square$ 

**Theorem 13.1.11.** Let X be a set. Let  $C \subseteq PX$ . Then there exists a topology on X such that C is the set of closed sets if and only if:

- 1.  $\emptyset \in \mathcal{C}$
- 2.  $\forall A \subseteq C \cap A \in C$
- 3.  $\forall C, D \in \mathcal{C}.C \cup D \in \mathcal{C}$

In this case, the topology is unique, and is  $\{X - C : C \in \mathcal{C}\}$ .

PROOF: Straightforward.

**Proposition 13.1.12.** Let X be a topological space and Y a subspace of X. Let  $A \subseteq Y$ . Then A is closed in Y if and only if there exists a closed set B in X such that  $A = B \cap Y$ .

Proof:

$$A$$
 is closed in  $Y \Leftrightarrow Y - A$  is open in  $Y$   
 $\Leftrightarrow \exists U$  open in  $X.Y - A = U \cap Y$   
 $\Leftrightarrow \exists C$  closed in  $X.Y - A = Y - C$   
 $\Leftrightarrow \exists C$  closed in  $X.A = Y \cap C$ 

**Proposition 13.1.13.** Let X be a topological space and Y a subspace of X. Let  $A \subseteq Y$ . If A is closed in Y and Y is closed in X then A is closed in X.

#### Proof:

 $\langle 1 \rangle 1$ . PICK C closed in X such that  $A = C \cap Y$ .

 $\langle 1 \rangle 2$ . A is closed in X.

Proof: It is the intersection of two closed sets in X.

**Definition 13.1.14** (Neighbourhood). Let X be a topological space,  $Sx \in X$  and  $U \subseteq X$ . Then U is a *neighbourhood* of x, and x is an *interior* point of U, iff there exists an open set V such that  $x \in V \subseteq U$ .

**Proposition 13.1.15.** A set B is open if and only if it is a neighbourhood of each of its points.

**Proposition 13.1.16.** Let X be a set and  $\mathcal{N}: X \to \mathcal{P}X$ . Then there exists a topology  $\mathcal{O}$  on X such that, for all  $x \in X$ , we have  $\mathcal{N}_x$  is the set of neighbourhoods of x, if and only if:

- For all  $x \in X$  and  $N \in \mathcal{N}_x$  we have  $x \in N$
- For all  $x \in X$  we have  $X \in \mathcal{N}_x$
- For all  $x \in X$ ,  $N \in \mathcal{N}_x$  and  $V \subseteq \mathcal{P}X$ , if  $N \subseteq V$  then  $V \in \mathcal{N}_x$
- For all  $x \in X$  and  $M, N \in \mathcal{N}_x$  we have  $M \cap N \in \mathcal{N}_x$
- For all  $x \in X$  and  $N \in \mathcal{N}_x$ , there exists  $M \in \mathcal{N}_x$  such that  $M \subseteq N$  and  $\forall y \in M.M \in \mathcal{N}_y$ .

In this case,  $\mathcal{O}$  is unique and is given by  $\mathcal{O} = \{U : \forall x \in U.U \in \mathcal{N}_x\}.$ 

**Definition 13.1.17** (Exterior Point). Let X be a topological space,  $x \in X$  and  $B \subseteq X$ . Then x is an *exterior point* of B iff B - X is a neighbourhood of x.

**Definition 13.1.18** (Boundary Point). Let X be a topological space,  $x \in X$  and  $B \subseteq X$ . Then x is a boundary point of B iff it is neither an interior point nor an exterior point of B.

**Definition 13.1.19** (Interior). Let X be a topological space and  $B \subseteq X$ . The *interior* of B,  $B^{\circ}$ , is the set of all interior points of B.

**Proposition 13.1.20.** The interior of B is the union of all the open sets included in B.

**Definition 13.1.21** (Closure). Let X be a topological space and  $B \subseteq X$ . The *closure* of B,  $\overline{B}$ , is the set of all points that are not exterior points of B.

**Proposition 13.1.22.** The closure of B is the intersection of all the closed sets that include B.

**Proposition 13.1.23.** A set B is open iff  $X - B = \overline{X - B}$ .

**Proposition 13.1.24** (Kuratowski Closure Axioms). Let X be a set and -:  $\mathcal{P}X \to \mathcal{P}X$ . Then there exists a topology  $\mathcal{O}$  such that, for all  $B \subseteq X$ ,  $\overline{B}$  is the closure of B, if and only if:

- $\overline{\varnothing} = \varnothing$
- For all  $A \subseteq X$  we have  $A \subseteq \overline{A}$
- For all  $A \subseteq X$  we have  $\overline{\overline{A}} = \overline{A}$
- For all  $A, B \subseteq X$  we have  $\overline{A \cup B} = \overline{A} \cup \overline{B}$

In this case,  $\mathcal{O}$  is unique and is defined by  $\mathcal{O} = \{U : X - U = \overline{X - U}\}.$ 

**Definition 13.1.25** (Finer, Coarser). Let  $\mathcal{T}$  and  $\mathcal{T}'$  be topologies on the set X. Then  $\mathcal{T}$  is coarser, smaller or weaker than  $\mathcal{T}'$ , or  $\mathcal{T}'$  is finer, larger or weaker than  $\mathcal{T}$ , iff  $\mathcal{T} \subseteq \mathcal{T}'$ .

## 13.2 Bases

**Definition 13.2.1** (Basis). Let X be a topological space. A *basis* for the topology on X is a set of open sets  $\mathcal{B}$  such that every open set is the union of a subset of  $\mathcal{B}$ . The elements of  $\mathcal{B}$  are called *basic open neighbourhoods* of their elements.

**Proposition 13.2.2.** Let X be a set. The set of all one-element subsets of X is a basis for the discrete topology on X.

**Proposition 13.2.3.** Let X be a topological space. Let  $\mathcal{B}$  be a basis for the topology on X. Then the topology on X is the coarsest topology that includes  $\mathcal{B}$ .

**Proposition 13.2.4.** Let X and Y be topological spaces. Let  $\mathcal{B}$  be a basis for the topology on X and  $\mathcal{C}$  a basis for the topology on Y. Then

$$\{B \times C : B \in \mathcal{B}, C \in \mathcal{C}\}$$

is a basis for the product topology on  $X \times Y$ .

**Theorem 13.2.5.** There are infinitely many primes.

Furstenberg's proof:

Proof:

 $\langle 1 \rangle 1$ . For  $a \in \mathbb{Z} - \{0\}$  and  $b \in \mathbb{Z}$ ,

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Let:  $S(a,b) := \{an + b : n \in \mathbb{N}\}$ 

 $\langle 1 \rangle 2$ . Let:  $\mathcal{T}$  be the topology generated by the basis  $\{S(a,b): a \in \mathbb{Z} - \{0\}, b \in \mathbb{Z}\}$ 

 $\langle 2 \rangle 1$ . For every  $n \in \mathbb{Z}$ , there exist a, b such that  $n \in S(a,b)$ .

PROOF:  $n \in S(n, 0)$ 

- $\langle 2 \rangle 2$ . If  $n \in S(a_1,b_1) \cap S(a_2,b_2)$  then there exist  $a_3,b_3$  such that  $n \in S(a_3,b_3) \subseteq S(a_1,b_1) \cap S(a_2,b_2)$ 
  - $\langle 3 \rangle 1$ . Let:  $d = \text{lcm}(a_1, a_2)$

PROVE:  $S(d, n) \subseteq S(a_1, b_1) \cap S(a_2, b_2)$ 

- $\langle 3 \rangle 2$ . Let:  $d = a_1 k = a_2 l$
- $\langle 3 \rangle 3$ . Let:  $n = a_1 c + b_1 = a_2 d + b_2$
- $\langle 3 \rangle 4$ . Let:  $z \in \mathbb{Z}$

PROVE:  $dz + n \in S(a_1, b_1) \cap S(a_2, b_2)$ 

 $\langle 3 \rangle 5.$   $dz + n \in S(a_1, b_1)$ 

Proof:

$$dz + n = a_1kz + a_1c + b_1$$
$$= a_1(kz + c) + b_1$$

 $\langle 3 \rangle 6.$   $dz + n \in S(a_2, b_2)$ 

PROOF: Similar.

- $\langle 1 \rangle 3$ . For all  $a \in \mathbb{Z} \{0\}$  and  $b \in \mathbb{Z}$  we have S(a, b) is closed.
  - $\langle 2 \rangle 1$ . Let:  $a \in \mathbb{Z} \{0\}$  and  $b \in \mathbb{Z}$
  - $\langle 2 \rangle 2$ . Let:  $n \in \mathbb{Z} S(a,b)$
  - $\langle 2 \rangle 3. \ n \in S(a,n) \subseteq \mathbb{Z} S(a,b)$ 
    - $\langle 3 \rangle 1$ . Let:  $x \in S(a, n)$
    - $\langle 3 \rangle 2$ . Assume: for a contradiction  $x \in S(a,b)$
    - $\langle 3 \rangle 3$ . PICK m such that x = am + b
    - $\langle 3 \rangle 4$ . Pick l such that x = al + n
    - $\langle 3 \rangle 5$ . n = a(m-l) + b
    - $\langle 3 \rangle 6. \ n \in S(a,b)$
    - $\langle 3 \rangle$ 7. Q.E.D.

PROOF: This contradicts  $\langle 2 \rangle 2$ .

 $\langle 1 \rangle 4$ .

$$\mathbb{Z} - \{1, -1\} = \bigcup_{p \text{ prime}} S(p, 0)$$

PROOF: Since every integer except 1 and -1 is divisible by a prime.

- $\langle 1 \rangle$ 5. No nonempty finite set is open.
  - $\langle 2 \rangle 1$ . Let: U be a nonempty open set
  - $\langle 2 \rangle 2$ . Pick  $n \in U$
  - $\langle 2 \rangle 3$ . There exist a, b such that  $n \in S(a,b) \subseteq U$
  - $\langle 2 \rangle 4$ . *U* is infinite.
- $\langle 1 \rangle 6$ .  $\mathbb{Z} \{1, -1\}$  is not closed.
- $\langle 1 \rangle 7$ .  $\bigcup_{p \text{ prime}} S(p,0)$  is not closed.
- (1)8. The union of finitely many closed sets is closed.
- $\langle 1 \rangle 9$ . There are infinitely many primes.

## 13.3 Order Topology

**Definition 13.3.1** (Order Topology). Let X be a linearly ordered set. The order topology on X is the topology generated by the open interval (a, b) as well as the open rays  $(a, +\infty)$  and  $(-\infty, b)$  for  $a, b \in X$ .

The *standard topology* on  $\mathbb{R}$  is the order topology.

**Proposition 13.3.2.** Let X be a linearly ordered set. Then the order topology is generated by the basis consisting of:

- all open intervals (a,b)
- all intervals of the form  $[\bot, b)$  where  $\bot$  is the least element of X, if any
- all intervals of the form (a, T] where T is the greatest element of X, if any.

**Proposition 13.3.3.** Let X be a linearly ordered set. The open rays in X form a subbasis for the order topology.

**Definition 13.3.4** (Lower Limit Topology). The *lower limit topology*, *Sorgen-frey topology*, *uphill topology* or *half-open topology* is the topology on  $\mathbb{R}$  generated by the basis consisting of all half-open intervals [a, b).

We write  $\mathbb{R}_l$  for  $\mathbb{R}$  under the lower limit topology.

**Definition 13.3.5** (*K*-topology). Let  $K = \{1/n : n \in \mathbb{Z}_+\}$ . The *K*-topology on  $\mathbb{R}$  is the topology generated by the basis consisting of all open intervals (a, b) and all sets of the form (a, b) - K.

We write  $\mathbb{R}_K$  for  $\mathbb{R}$  under the K -topology.

**Proposition 13.3.6.** Let X be a linearly ordered set under the order topology. Let  $Y \subseteq X$  be convex. Then the order topology on Y is the same as the subspace topology.

#### Proof:

- $\langle 1 \rangle 1$ . The order topology is coarser than the subspace topology.
  - $\langle 2 \rangle 1$ . For all  $a \in Y$ , the open ray  $\{ y \in Y : a < y \}$  is open in the subspace topology.

PROOF: It is  $(a, +\infty) \cap Y$ .

 $\langle 2 \rangle 2$ . For all  $a \in Y$ , the open ray  $\{ y \in Y : y < a \}$  is open in the subspace topology.

PROOF: It is  $(-\infty, a) \cap Y$ .

- $\langle 1 \rangle 2$ . The subspace topology is coarser than the order topology.
  - $\langle 2 \rangle 1$ . For all  $a \in X$ , the set  $(-\infty, a) \cap Y$  is open in the order topology.
    - $\langle 3 \rangle 1$ . Case:  $a \in Y$

PROOF: Then  $(-\infty, a) \cap Y = \{y \in Y : y < a\}$  is an open ray in Y.

 $\langle 3 \rangle 2$ . Case: a is an upper bound for Y

PROOF: Then  $(-\infty, a) \cap Y = Y$ .

 $\langle 3 \rangle 3$ . Case: a is a lower bound for Y

```
PROOF: Then (-\infty, a) \cap Y = \emptyset. \langle 3 \rangle 4. Q.E.D. PROOF: These are the only three cases because Y is convex. \langle 2 \rangle 2. For all a \in X, the set (a, +\infty) \cap Y is open in the order topology. PROOF: Similar.
```

**Example 13.3.7.** We cannot remove the hypothesis that the set Y is convex. Let  $X = \mathbb{R}$  and  $Y = [0,1) \cup \{2\}$ . Then  $\{2\}$  is open in the subspace topology but not in the order topology on Y.

**Proposition 13.3.8.** Let X be a topological space. Let  $\mathcal{B}$  be a basis for the topology on X and  $U \subseteq X$ . Then U is open if and only if, for all  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ .

**Proposition 13.3.9.** Let X be a topological space and  $\mathcal{B} \subseteq X$ . Assume that, for every open set U and element  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ . Then  $\mathcal{B}$  is a basis for the topology on X.

**Proposition 13.3.10.** Let X be a topological space and  $\mathcal{B} \subseteq \mathcal{P}X$ . Then  $\mathcal{B}$  is a basis for a topology on X if and only if:

1. 
$$\bigcup \mathcal{B} = X$$

2. For all  $A, B \in \mathcal{B}$  and  $x \in A \cap B$ , there exists  $C \in \mathcal{B}$  such that  $x \in C \subseteq A \cap B$ .

In this case, the topology is unique and is the set of all unions of subsets of  $\mathcal{B}$ . We call it the topology generated by  $\mathcal{B}$ .

**Proposition 13.3.11.** Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for the topologies  $\mathcal{T}$  and  $\mathcal{T}'$ , respectively, on X. Then  $\mathcal{T}'$  is finer than  $\mathcal{T}$  if and only if, for every  $B \in \mathcal{B}$  and  $x \in B$ , there exists  $B' \in \mathcal{B}'$  such that  $x \in B' \subseteq B$ .

**Corollary 13.3.11.1.** The topologies of  $\mathbb{R}_l$  and  $\mathbb{R}_K$  are strictly finer than the standard topology on  $\mathbb{R}$  but are not comparable to one another.

**Proposition 13.3.12.** In a linearly ordered set under the order topology, every closed interval and closed ray is closed.

```
Proof:
```

```
\langle 1 \rangle 1. Let: X be a linearly ordered set under the order topology.
```

 $\langle 1 \rangle 2$ . Every closed interval in X is closed.

PROOF: Since  $X - [a, b] = (-\infty, a) \cup (b, +\infty)$ .

 $\langle 1 \rangle 3$ . Every closed ray in X is closed.

PROOF: Since  $X - [a, +\infty) = (-\infty, a)$  and  $X - (-\infty, a] = (a, +\infty)$ .

## 13.3.1 Subspaces

**Proposition 13.3.13.** Let X be a topological space. Let Y be a subspace of X. Let  $\mathcal{B}$  be a basis for the topology on X. Then  $\{B \cap Y : B \in \mathcal{B}\}$  is a basis for the topology on Y.

#### Proof:

 $\langle 1 \rangle 1$ . For all  $B \in \mathcal{B}$  we have  $B \cap Y$  is open in Y.

PROOF: Since B is open in X.

- $\langle 1 \rangle 2$ . For any open set V in Y and  $y \in V$ , there exists  $B \in \mathcal{B}$  such that  $y \in B \cap Y \subseteq V$ .
  - $\langle 2 \rangle 1$ . Let: V be open in Y.
  - $\langle 2 \rangle 2$ . Let:  $y \in V$
  - $\langle 2 \rangle 3$ . PICK U open in X such that  $V = U \cap Y$ .
  - $\langle 2 \rangle 4$ . Pick  $B \in \mathcal{B}$  such that  $y \in B \subseteq U$ .
- $\bigcirc \langle 2 \rangle 5. \ y \in B \cap Y \subseteq V$

## 13.3.2 Product Topology

**Proposition 13.3.14.** Let  $\{X_i\}_{i\in I}$  be a family of topological spaces. For all  $i \in I$ , let  $\mathcal{B}_i$  be a basis for the topology on  $X_i$ . Then  $\mathcal{B} = \{\prod_{i\in I} B_i : \text{for finitely many } i \in I \text{ we have } B_i \in \mathcal{B}_i, \text{ a is a basis for the product topology on } \prod_{i\in I} X_i.$ 

#### Proof:

 $\langle 1 \rangle 1$ . Every  $B \in \mathcal{B}$  is open in the product topology.

PROOF: Since every element of  $\mathcal{B}_i$  is open in  $X_i$ .

- $\langle 1 \rangle 2$ . For any open set U in the product topology and  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ .
  - $\langle 2 \rangle 1$ . Let: U be a set open in the box topology.
  - $\langle 2 \rangle 2$ . Let:  $x \in U$
  - $\langle 2 \rangle 3$ . PICK a family  $\{U_i\}_{i \in I}$  where  $U_i$  is open in  $X_i$  for  $i = i_1, \ldots, i_n$ , and  $U_i = X_i$  for all other i, such that  $x \in \prod_{i \in I} U_i \subseteq U$
  - $\langle 2 \rangle 4$ . For  $i = i_1, \ldots, i_n$ , choose  $B_i \in \mathcal{B}_i$  such that  $x_i \in B_i \subseteq U_i$ . Let  $B_i = X_i$  for all other i.
  - $\langle 2 \rangle 5. \prod_{i \in I} B_i \in \mathcal{B}$
  - $\langle 2 \rangle 6. \ x \in \prod_{i \in I} B_i \subseteq \prod_{i \in I} U_i \subseteq U$

## 13.4 Subbases

**Definition 13.4.1** (Subbasis). Let X be a topological space. A *subbasis* for the topology on X is a set S of open sets such that every open set is a union of finite intersections of S.

**Proposition 13.4.2.** Let X be a set and  $S \subseteq X$ . Then S is a subbasis for a topology on X if and only if  $\bigcup S = X$ , in which case the topology is unique and is the set of all unions of finite intersections of elements of S.

**Proposition 13.4.3.** Let X be a topological space. Let S be a subbasis for the topology on X. Then the topology on X is the coarsest topology that includes S.

**Proposition 13.4.4.** Let X and Y be topological spaces. Then

$$S = {\pi_1}^{-1}(U) : U \text{ is open in } X} \cup {\pi_2}^{-1}(V) : V \text{ is open in } Y}$$

is a subbasis for the product topology on  $X \times Y$ .

#### Proof:

 $\langle 1 \rangle 1$ . Every element of S is open.

PROOF: Since  $\pi_1^{-1}(U) = U \times Y$  and  $\pi_2^{-1}(V) = X \times V$ .

 $\langle 1 \rangle 2$ . Every open set is a union of finite intersections of elements of S.

PROOF: Since, for U open in X and V open in Y, we have  $U \times V = \pi_1^{-1}(U) \cap \pi_2^{-1}(V)$ .

**Definition 13.4.5** (Space with Basepoint). A space with basepoint is a pair (X, x) where X is a topological space and  $x \in X$ .

# 13.5 Neighbourhood Bases

**Definition 13.5.1** (Neighbourhood Basis). Let X be a topological space and  $x_0 \in X$ . A neighbourhood basis of  $x_0$  is a set  $\mathcal{U}$  of neighbourhoods of  $x_0$  such that every neighbourhood of  $x_0$  includes an element of  $\mathcal{U}$ .

# 13.6 First Countable Spaces

**Definition 13.6.1** (First Countable). A topological space is *first countable* iff every point has a countable neighbourhood basis.

**Proposition 13.6.2.**  $\mathbb{R}_l$  is first countable.

PROOF: For any  $x \in \mathbb{R}$  we have  $\{[x, x+1/n) : n \in \mathbb{Z}_+\}$  is a countable local basis.  $\sqcap$ 

**Proposition 13.6.3.** The ordered square is first countable.

#### Proof:

 $\langle 1 \rangle 1$ . Every point (a, b) with 0 < b < 1 has a countable local basis.

PROOF: The set of all intervals ((a,q),(a,r)) where q and r are rational and  $0 \le q < b < r \le 1$  is a countable local basis.

 $\langle 1 \rangle 2$ . Every point (a,0) has a countable local basis with a > 0.

PROOF: The set of all intervals ((q,0),(a,r)) where q and r are rational with  $0 \le q < a$  and  $0 < r \le 1$  is a countable local basis.

 $\langle 1 \rangle 3$ . Every point (a, 1) has a countable local basis with a < 1.

PROOF: The set of all intervals ((a,q),(r,1)) with q and r rational and  $0 \le q < 1$ ,  $a < r \le 1$  is a countable local basis.

 $\langle 1 \rangle 4$ . (0,0) has a countable local basis.

PROOF: The set of all intervals [(0,0),(0,r)) with r rational and  $0 < r \le 1$  is a countable local basis.

 $\langle 1 \rangle 5$ . (1,1) has a countable local basis.

PROOF: The set of all intervals ((1,q),(1,1)] with q rational and  $0 \le q < 1$  is a countable local basis.

# 13.7 Second Countable Spaces

**Definition 13.7.1** (Second Countable). A topological space is *second countable* iff it has a countable basis.

Every second countable space is first countable.

A subspace of a first countable space is first countable.

A subspace of a second countable space is second countable.

 $\mathbb{R}^n$  is second countable.

An uncountable discrete space is first countable but not second countable.

**Proposition 13.7.2.** Let  $\{X_{\lambda}\}_{{\lambda}\in\Lambda}$  be a family of topological spaces such that no  $X_{\lambda}$  is indiscrete. If  $\Lambda$  is uncountable, then  $\prod_{{\lambda}\in\Lambda} X_{\lambda}$  is not first countable.

#### Proof:

- $\langle 1 \rangle 1$ . For all  $\lambda \in \Lambda$ , PICK  $U_{\lambda}$  open in  $X_{\lambda}$  such that  $\emptyset \neq U_{\lambda} \neq X_{\lambda}$ .
- $\langle 1 \rangle 2$ . For all  $\lambda \in \Lambda$ , PICK  $x_{\lambda} \in U_{\lambda}$ .
- $\langle 1 \rangle 3$ . Assume: for a contradiction B is a countable neighbourhood basis for  $(x_{\lambda})_{{\lambda} \in {\Lambda}}$ .
- $\langle 1 \rangle 4$ . PICK  $\lambda \in \Lambda$  such that, for all  $U \in B$ , we have  $\pi_{\lambda}(U) = X_{\lambda}$
- $\langle 1 \rangle 5$ . There is no  $U \in \lambda$  such that  $U \subseteq \pi_{\lambda}^{-1}(U_{\lambda})$
- $\langle 1 \rangle 6$ . Q.E.D.

Proof: This is a contradiction.

**Proposition 13.7.3.** The long line cannot be embedded in  $\mathbb{R}^n$  for any n.

PROOF: Since the long line is not second countable but  $\mathbb{R}^n$  is.  $\square$ 

## 13.8 Interior

**Definition 13.8.1** (Interior). Let X be a topological space. Let  $A \subseteq X$ . The *interior* of A,  $A^{\circ}$ , is the union of all the open sets included in A.

# 13.9 Closure

**Definition 13.9.1** (Closure). Let X be a topological space. Let  $A \subseteq X$ . The closure of A,  $\overline{A}$ , is the intersection of all the closed sets that include A.

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**Proposition 13.9.2.** Let X be a topological space,  $A \subseteq X$  and  $x \in X$ . Then  $x \in \overline{A}$  if and only if every open set that contains x intersects A.

Proof:

 $x \in \overline{A} \Leftrightarrow \text{for every closed set } C, \text{ if } A \subseteq C \text{ then } x \in C$ 

- $\Leftrightarrow$  for every open set U, if  $A \subseteq X U$  then  $x \in X U$
- $\Leftrightarrow$  for every open set U, if  $A \cap U = \emptyset$  then  $x \notin U$
- $\Leftrightarrow$  for every open set U, if  $x \in U$  then A intersects U

**Proposition 13.9.3.** Let X be a topological space. Let  $A \subseteq B \subseteq X$ . Then  $\overline{A} \subseteq \overline{B}$ .

PROOF: Since every closed set that includes B is a closed set that includes A.  $\square$ 

**Proposition 13.9.4.** Let X be a topological space. Let  $A, B \subseteq X$ . Then  $\overline{A \cup B} = \overline{A} \cup \overline{B}$ .

Proof:

 $\langle 1 \rangle 1. \ \overline{A \cup B} \subseteq \overline{A} \cup \overline{B}$ 

PROOF: Since  $\overline{A} \cup \overline{B}$  is a closed set that includes  $A \cup B$ .

 $\langle 1 \rangle 2$ .  $\overline{A} \cup \overline{B} \subseteq \overline{A \cup B}$ 

PROOF: Since  $\overline{A} \subseteq \overline{A \cup B}$  and  $\overline{B} \subseteq \overline{A \cup B}$  by Proposition 13.9.3.

**Proposition 13.9.5.** Let X be a topological space. Let  $A \subseteq PX$ . Then

$$\bigcup \{ \overline{A} : A \in \mathcal{A} \} \subseteq \overline{\bigcup \mathcal{A}} .$$

PROOF: For all  $A \in \mathcal{A}$  we have  $\overline{A} \subseteq \overline{\bigcup \mathcal{A}}$  by Proposition 13.9.3.  $\square$ 

**Example 13.9.6.** The converse does not always hold. In  $\mathbb{R}$ , let  $\mathcal{A} = \{\{x\} : 0 < x < 1\}$ . Then  $\bigcup \{\overline{A} : A \in \mathcal{A}\} = (0, 1)$  but  $\bigcup \mathcal{A} = [0, 1]$ .

**Proposition 13.9.7.** Let X be a topological space. Let  $A \subseteq \mathcal{P}X$ . Then  $\overline{\bigcap} A \subseteq \bigcap \{\overline{A} : A \in A\}$ .

PROOF: Since  $\overline{\bigcap A} \subseteq \overline{A}$  for all  $A \in A$  by Proposition 13.9.3.  $\square$ 

**Example 13.9.8.** The converse does not always hold. In  $\mathbb{R}$ , if A is the set of all rational numbers and B is the set of all irrational numbers then  $\bigcap A \cap B = \emptyset$  but  $\bigcap A \cap \bigcap B = \mathbb{R}$ .

### 13.9.1 Bases

**Proposition 13.9.9.** Let X be a topological space,  $A \subseteq X$  and  $x \in X$ . Let  $\mathcal{B}$  be a basis for the topology on X. Then  $x \in \overline{A}$  if and only if, for all  $B \in \mathcal{B}$ , if  $x \in B$  then B intersects A.

Proof:

# 13.9.2 Subspaces

**Proposition 13.9.10.** Let X be a topological space. Let Y be a subspace of X. Let  $A \subseteq Y$ . Let  $\overline{A}$  be the closure of A in X. Then the closure of A in Y is  $\overline{A} \cap Y$ .

# 13.9.3 Product Topology

**Proposition 13.9.11.** Let X and Y be topological spaces. Let  $A \subseteq X$  and  $B \subseteq Y$ . Then  $\overline{A \times B} = \overline{A} \times \overline{B}$ .

```
PROOF:  \langle 1 \rangle 1. \ \overline{A \times B} \subseteq \overline{A} \times \overline{B}  PROOF: Since \overline{A} \times \overline{B} is a closed set that includes A \times B by Proposition 13.20.2.  \langle 1 \rangle 2. \ \overline{A} \times \overline{B} \subseteq \overline{A} \times \overline{B}  \langle 2 \rangle 1. \ \text{Let:} \ x \in \overline{A} \ \text{and} \ y \in \overline{B}.   \langle 2 \rangle 2. \ \text{Let:} \ U \ \text{be an open set that contains} \ (x,y).   \langle 2 \rangle 3. \ \text{PICK open sets} \ V \ \text{in} \ X \ \text{and} \ W \ \text{in} \ Y \ \text{such that} \ (x,y) \in V \times W \subseteq U.   \langle 2 \rangle 4. \ V \ \text{intersects} \ A \ \text{and} \ W \ \text{intersects} \ B.   \langle 2 \rangle 5. \ U \ \text{intersects} \ A \times B.
```

#### 13.9.4 Interior

**Proposition 13.9.12.** Let X be a topological space and  $A \subseteq X$ . Then

$$X - A^{\circ} = \overline{X - A}$$

Proof:

$$X - A^{\circ} = X - \bigcup \{U \text{ open in } X : U \subseteq A\}$$

$$= \bigcap \{X - U : U \text{ open in } X, U \subseteq A\} \qquad \text{(De Morgan's Law)}$$

$$= \bigcap \{C : C \text{ closed in } X, X - A \subseteq C\}$$

$$= \overline{X - A}$$

**Proposition 13.9.13.** Let X be a topological space and  $A \subseteq X$ . Then

$$X - \overline{A} = (X - A)^{\circ}$$

Proof: Dual.

#### 13.10 **Boundary**

**Definition 13.10.1** (Boundary). Let X be a topological space. Let  $A \subseteq X$ . The boundary of A is

$$\partial A := \overline{A} \cap \overline{X - A}$$
.

**Proposition 13.10.2.** Let X be a topological space. Let  $A \subseteq X$ . Then

$$A^{\circ} \cap \partial A = \emptyset$$
.

Proof:

$$\langle 1 \rangle 1. \ A^{\circ} \subseteq A$$

$$\langle 1 \rangle 2$$
.  $X - A \subseteq X - A^{\circ}$   
 $\langle 1 \rangle 3$ .  $X - A \subseteq X - A^{\circ}$ 

$$\langle 1 \rangle 3. \ \overline{X-A} \subseteq X-A$$

$$\langle 1 \rangle 4. \ \partial A \subseteq X - A^{\circ}$$

**Proposition 13.10.3.** Let X be a topological space. Let  $A \subseteq X$ . Then

$$\overline{A} = A^{\circ} \cup \partial A$$

$$\langle 1 \rangle 1. \ A^{\circ} \subseteq \overline{A}$$

PROOF: Since  $A^{\circ} \subseteq A \subseteq \overline{A}$ .

$$\langle 1 \rangle 2$$
.  $\partial A \subseteq \overline{A}$ 

PROOF: Definition of  $\partial A$ .

$$\langle 1 \rangle 3. \ \overline{A} \subseteq A^{\circ} \cup \partial A$$

$$\langle 2 \rangle 1$$
. Let:  $x \in \overline{A}$ 

$$\langle 2 \rangle 2$$
. Assume:  $x \notin A^{\circ}$ 

PROVE:  $x \in \partial A$ 

$$\langle 2 \rangle 3. \ x \in \overline{X-A}$$
PROOF: Since  $\overline{X-A} = X-A^{\circ}$ .
 $\langle 2 \rangle 4. \ x \in \partial A$ 
PROOF: Since  $\partial A = \overline{A} \cap \overline{X-A}$ .

**Proposition 13.10.4.** Let X be a topological space. Let  $A \subseteq X$ . Then  $\partial A = \emptyset$  if and only if A is both open and closed.

PROOF

 $\langle 1 \rangle 1$ . If  $\partial A = \emptyset$  then A is open and closed.

 $\langle 2 \rangle 1$ . Assume:  $\partial A = \emptyset$ 

 $\langle 2 \rangle 2$ .  $\overline{A} = A^{\circ}$ 

Proof: Proposition 13.10.3.

 $\langle 2 \rangle 3$ .  $\overline{A} = A = A^{\circ}$ 

 $\langle 1 \rangle 2$ . If A is open and closed then  $\partial A = \emptyset$ .

PROOF: If A is open and closed then

$$\partial A = \overline{A} \cap \overline{X - A}$$
$$= \overline{A} \cap (X - A^{\circ})$$
$$= A \cap (X - A)$$
$$= \emptyset$$

**Proposition 13.10.5.** Let X be a topological space. Let  $U \subseteq X$ . Then U is open if and only if  $\partial U = \overline{U} - U$ .

Proof:

 $\langle 1 \rangle 1$ . If *U* is open then  $\partial U = \overline{U} - U$ 

PROOF: If U is open then

$$\partial U = \overline{U} \cap \overline{X - U}$$

$$= \overline{U} \cap (X - U^{\circ})$$

$$= \overline{U} - U^{\circ}$$

$$= \overline{U} - U$$

 $\langle 1 \rangle 2$ . If  $\partial U = \overline{U} - U$  then U is open.

 $\langle 2 \rangle 1$ . Assume:  $\partial U = \overline{U} - U$ 

 $\langle 2 \rangle 2$ .  $\overline{U} - U^{\circ} = \overline{U} - U$ 

 $\langle 2 \rangle 3. \ U \subseteq U^{\circ}$ 

 $\langle 2 \rangle 4$ .  $U = U^{\circ}$ 

13.11 Limit Points

**Definition 13.11.1** (Limit Point). Let X be a topological space,  $x \in X$  and  $A \subseteq X$ . Then x is a *limit point*, cluster point or point of accumulation of A iff every neighbourhood of x intersects  $A - \{x\}$ .

**Proposition 13.11.2.** Let X be a topological space. Let  $A \subseteq X$ . Let A' be the set of limit points of A. Then

$$\overline{A} = A \cup A'$$

```
PROOF:  \langle 1 \rangle 1. \ \overline{A} \subseteq A \cup A'   \langle 2 \rangle 1. \ \text{Let: } x \in \overline{A}   \langle 2 \rangle 2. \ \text{Assume: } x \notin A   \text{Prove: } x \in A'   \langle 2 \rangle 3. \ \text{Let: } U \text{ be a neighbourhood of } x.   \langle 2 \rangle 4. \ \text{Pick } y \in U \cap A   \text{Proof: Proposition 13.9.2.}   \langle 2 \rangle 5. \ y \neq x   \langle 1 \rangle 2. \ A \subseteq \overline{A}   \text{Proof: Immediate from the definition of } \overline{A}.   \langle 1 \rangle 3. \ A' \subseteq \overline{A}   \text{Proof: From Proposition 13.9.2.}
```

Corollary 13.11.2.1. A set is closed if and only if it contains all its limit points.

# 13.12 Continuous Functions

**Definition 13.12.1** (Continuous). Let X and Y be topological spaces. A function  $f: X \to Y$  is *continuous* iff, for every open set V in Y, the inverse image  $f^{-1}(V)$  is open in X.

**Proposition 13.12.2.** The composite of two continuous functions is continuous.

```
Proof:
```

```
\langle 1 \rangle 1. Let: f: X \to Y and g: Y \to Z be continuous. \langle 1 \rangle 2. Let: U be open in Z. \langle 1 \rangle 3. g^{-1}(U) is open in Y. \langle 1 \rangle 4. inf f(g^{-1}(U)) is open in X.
```

**Proposition 13.12.3.** 1.  $id_X$  is continuous

- 2. If  $f: X \to Y$  is continuous and  $X_0 \subseteq X$  then  $f \upharpoonright X_0 : X_0 \to Y$  is continuous.
- 3. If  $f: X + Y \to Z$ , then f is continuous iff  $f \circ \kappa_1: X \to Z$  and  $f \circ \kappa_2: Y \to Z$  are continuous.
- 4. If  $f: Z \to X \times Y$ , then f is continuous iff  $\pi_1 \circ f$  and  $\pi_2 \circ f$  are continuous.

**Proposition 13.12.4.** Let X and Y be topological spaces. Let  $f: X \to Y$ . Then the following are equivalent.

- 1. f is continuous.
- 2. For all  $A \subseteq X$  we have  $f(\overline{A}) \subseteq \overline{f(A)}$ .
- 3. For every closed B in Y, we have  $f^{-1}(B)$  is closed in X.

## Proof:

- $\langle 1 \rangle 1$ .  $1 \Rightarrow 2$ 
  - $\langle 2 \rangle 1$ . Assume: f is continuous.
  - $\langle 2 \rangle 2$ . Let:  $A \subseteq X$
  - $\langle 2 \rangle 3$ . Let:  $x \in \overline{A}$

PROVE:  $f(x) \in \overline{f(A)}$ 

- $\langle 2 \rangle 4$ . Let: V be a neighbourhood of f(x). Prove: V intersects f(A).
- $\langle 2 \rangle$ 5.  $f^{-1}(V)$  is a neighbourhood of x.
- $\langle 2 \rangle 6$ . Pick  $y \in f^{-1}(V) \cap A$
- $\langle 2 \rangle 7. \ f(y) \in V \cap f(A)$
- $\langle 1 \rangle 2. \ 2 \Rightarrow 3$ 
  - $\langle 2 \rangle 1$ . Assume: 2
  - $\langle 2 \rangle 2$ . Let: B be closed in Y
  - $\langle 2 \rangle$ 3. Let:  $A = f^{-1}(B)$ Prove:  $\overline{A} = A$
  - $\langle 2 \rangle 4. \ f(A) \subseteq B$
  - $\langle 2 \rangle 5$ .  $\overline{A} \subseteq A$ 
    - $\langle 3 \rangle 1$ . Let:  $x \in \overline{A}$
    - $\langle 3 \rangle 2. \ f(x) \in B$

Proof:

$$f(x) \in f(\overline{A})$$

$$\subseteq \overline{f(A)} \qquad (\langle 2 \rangle 1)$$

$$\subseteq \overline{B} \qquad (\langle 2 \rangle 4)$$

$$= B \qquad (\langle 2 \rangle 2)$$

- $\langle 1 \rangle 3. \ 3 \Rightarrow 1$ 
  - $\langle 2 \rangle 1$ . Assume: 3
  - $\langle 2 \rangle 2$ . Let: V be open in Y.
  - $\langle 2 \rangle 3$ .  $f^{-1}(Y-V)$  is closed in X.
  - $\langle 2 \rangle 4$ .  $X f^{-1}(V)$  is closed in X.
  - $\langle 2 \rangle$ 5.  $f^{-1}(V)$  is open in X.

**Proposition 13.12.5.** Let X and Y be topological spaces. Any constant function  $X \to Y$  is continuous.

### Proof:

 $\langle 1 \rangle 1$ . Let:  $b \in Y$ 

```
\langle 1 \rangle2. Let: f: X \to Y be the constant function with value b. \langle 1 \rangle3. Let: V \subseteq Y be open. \langle 1 \rangle4. f^{-1}(V) is either \emptyset or X.
```

 $\langle 1 \rangle 5$ .  $f^{-1}(V)$  is open.

**Proposition 13.12.6.** Let X and Y be topological spaces. Let  $f: X \to Y$ . Let  $\mathcal{B}$  be a basis for Y. Then f is continuous if and only if, for all  $B \in \mathcal{B}$ , we have  $f^{-1}(B)$  is open in X.

### Proof:

 $\langle 1 \rangle 1$ . If f is continuous then, for all  $B \in \mathcal{B}$ , we have  $f^{-1}(B)$  is open in X. PROOF: Since every element of  $\mathcal{B}$  is open in Y.

 $\langle 1 \rangle 2$ . If, for all  $B \in \mathcal{B}$ , we have  $f^{-1}(B)$  is open in X, then f is continuous.

 $\langle 2 \rangle 1$ . Assume: For all  $B \in \mathcal{B}$ , we have  $f^{-1}(B)$  is open in X.

 $\langle 2 \rangle 2$ . Let: *U* be open in *Y*.

 $\langle 2 \rangle 3$ . Let:  $x \in f^{-1}(U)$ 

 $\langle 2 \rangle 4$ . Pick  $B \in \mathcal{B}$  such that  $f(x) \in B \subseteq U$ .

 $\langle 2 \rangle 5. \ x \in f^{-1}(B) \subseteq f^{-1}(U)$ 

**Proposition 13.12.7.** Let X and Y be topological spaces. Let  $f: X \to Y$ . Let S be a subbasis for the topology on Y. Then f is continuous if and only if, for all  $V \in S$ , we have  $f^{-1}(V)$  is open in X.

#### Proof:

 $\langle 1 \rangle 1$ . If f is continuous then, for all  $V \in \mathcal{S}$ , we have  $f^{-1}(V)$  is open in X. PROOF: Immediate from definitions.

 $\langle 1 \rangle 2$ . If, for all  $V \in \mathcal{S}$ , we have  $f^{-1}(V)$  is open in X, then f is continuous.

 $\langle 2 \rangle 1$ . Assume: For all  $V \in \mathcal{S}$ , we have  $f^{-1}(V)$  is open in X.

 $\langle 2 \rangle$ 2. For all  $V_1, \ldots, V_n \in \mathcal{S}$  we have  $f^{-1}(V_1 \cap \cdots \cap V_n)$  is open in X. PROOF: Since  $f^{-1}(V_1 \cap \cdots \cap V_n) = f^{-1}(V_1) \cap \cdots \cap f^{-1}(V_n)$ .  $\langle 2 \rangle$ 3. Q.E.D.

PROOF: By Proposition 13.12.6 since the set of all finite intersections of elements of S forms a basis for the topology on Y.

**Proposition 13.12.8.** Let  $f: \mathbb{R} \to \mathbb{R}$ . Then f is continuous if and only if, for all  $x \in \mathbb{R}$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in \mathbb{R}$ , if  $|y - x| < \delta$  then  $|f(y) - f(x)| < \epsilon$ .

### Proof:

 $\langle 1 \rangle 1$ . If f is continuous then, for all  $x \in \mathbb{R}$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in \mathbb{R}$ , if  $|y - x| < \delta$  then  $|f(y) - f(x)| < \epsilon$ .

 $\langle 2 \rangle 1$ . Assume: f is continuous.

 $\langle 2 \rangle 2$ . Let:  $x \in \mathbb{R}$ 

 $\langle 2 \rangle 3$ . Let:  $\epsilon > 0$ 

 $\langle 2 \rangle 4$ .  $f^{-1}((f(x) - \epsilon, f(x) + \epsilon))$  is open in X.

```
\langle 2 \rangle 5. Pick a, b such that x \in (a, b) \subseteq f^{-1}((f(x) - \epsilon, f(x) + \epsilon)).
    \langle 2 \rangle 6. Let: \delta = \min(x - a, b - x)
    \langle 2 \rangle 7. Let: y \in \mathbb{R}
    \langle 2 \rangle 8. Assume: |y-x| < \delta
    \langle 2 \rangle 9. \ y \in (a,b)
    \langle 2 \rangle 10. \ f(y) \in (f(x) - \epsilon, f(x) + \epsilon)
    \langle 2 \rangle 11. |f(y) - f(x)| < \epsilon
\langle 1 \rangle 2. If, for all x \in \mathbb{R} and \epsilon > 0, there exists \delta > 0 such that, for all y \in \mathbb{R}, if
          |y-x|<\delta then |f(y)-f(x)|<\epsilon, then f is continuous.
    \langle 2 \rangle 1. Assume: For all x \in \mathbb{R} and \epsilon > 0, there exists \delta > 0 such that, for all
                              y \in \mathbb{R}, if |y - x| < \delta then |f(y) - f(x)| < \epsilon.
    \langle 2 \rangle 2. For all a \in \mathbb{R} we have f^{-1}((a, +\infty)) is open.
        \langle 3 \rangle 1. Let: a \in \mathbb{R}
        \langle 3 \rangle 2. Let: x \in f^{-1}((a, +\infty))
        \langle 3 \rangle 3. Let: \epsilon = f(x) - a
        \langle 3 \rangle 4. Pick \delta > 0 such that, for all y \in \mathbb{R}, if |y-x| < \delta then |f(y)-f(x)| < \epsilon
        \langle 3 \rangle 5. \ x \in (x - \delta, x + \delta) \subseteq f^{-1}((a, +\infty))
    \langle 2 \rangle 3. For all a \in \mathbb{R} we have f^{-1}((-\infty, a)) is open.
        PROOF: Similar.
    \langle 2 \rangle 4. Q.E.D.
        Proof: Proposition 13.12.8.
```

**Definition 13.12.9** (Continuity at a Point). Let X and Y be topological spaces. Let  $f: X \to Y$ . Let  $a \in X$ . Then f is continuous at a iff, for every neighbourhood V of f(a), there exists a neighbourhood U of a such that  $f(U) \subseteq V$ .

**Proposition 13.12.10.** Let X and Y be topological spaces. Let  $f: X \to Y$ . Then f is continuous if and only if f is continuous at every point in X.

```
⟨1⟩1. If f is continuous then f is continuous at every point in X. ⟨2⟩1. Assume: f is continuous. ⟨2⟩2. Let: a \in X ⟨2⟩3. Let: V be a neighbourhood of f(a) ⟨2⟩4. Let: U = f^{-1}(V) ⟨2⟩5. U is a neighbourhood of a. ⟨2⟩6. f(U) \subseteq V ⟨1⟩2. If f is continuous at every point in X then f is continuous. ⟨2⟩1. Assume: f is continuous at every point in X. ⟨2⟩2. Let: V be open in Y. ⟨2⟩3. Let: x \in f^{-1}(V) ⟨2⟩4. V is a neighbourhood of f(x) ⟨2⟩5. Pick a neighbourhood U of x such that f(U) \subseteq V ⟨2⟩6. x \in U \subseteq f^{-1}(V)
```

**Definition 13.12.11** (Homeomorphism). Let X and Y be topological spaces.

A homeomorphism between X and Y is a bijection  $f: X \approx Y$  such that f and  $f^{-1}$  are continuous.

**Proposition 13.12.12.** Let X and Y be topological spaces. Let  $f: X \to Y$ . Then f is a homeomorphism iff f is bijective and, for all  $U \subseteq X$ , we have f(U) is open if and only if U is open.

PROOF: Immediate from definitions.

**Definition 13.12.13** (Topological Property). A property P of topological spaces is a *topological* property iff, for any topological spaces X and Y, if P[X] and  $X \cong Y$  then P[Y].

**Definition 13.12.14** (Retraction). Let X be a topological space and A a subspace of X. A continuous function  $\rho: X \to A$  is a *retraction* iff  $\rho \upharpoonright A = \mathrm{id}_A$ . We say A is a *retract* of X iff there exists a retraction.

**Definition 13.12.15.** Let **Top** be the category of small topological spaces and continuous functions.

Proposition 13.12.16.  $\varnothing$  is initial in Top.

Proposition 13.12.17. 1 is terminal in Top.

Forgetful functor  $\mathbf{Top} \to \mathbf{Set}$ .

Basepoint preserving continuous functor.

**Proposition 13.12.18.** Let  $(X, \mathcal{T})$  be a topological space. Let S be the Sierpiński two-point space. Define  $\Phi: \mathcal{T} \to \mathbf{Top}[X, S]$  by  $\Phi(U)(x) = 1$  iff  $x \in U$ . Then  $\Phi$  is a bijection.

#### Proof:

```
\begin{array}{l} \langle 1 \rangle 1. \text{ For all } U \in \mathcal{T} \text{ we have } \Phi(U) \text{ is continuous.} \\ \langle 2 \rangle 1. \text{ Let: } U \in \mathcal{T} \\ \langle 2 \rangle 2. \ \Phi(U)(\{1\}) \text{ is open.} \\ \text{PROOF: Since } \Phi(U)(\{1\}) = U. \\ \langle 1 \rangle 2. \ \Phi \text{ is injective.} \\ \text{PROOF: If } \Phi(U) = \Phi(V) \text{ then we have } \forall x(x \in U \Leftrightarrow \Phi(U)(x) = 1 \Leftrightarrow \Phi(V)(x) = 1 \Leftrightarrow x \in V). \\ \langle 1 \rangle 3. \ \Phi \text{ is surjective.} \\ \text{PROOF: Given } f: X \to S \text{ continuous we have } \Phi(f^{-1}(1)) = f. \\ \hline \end{array}
```

## 13.12.1 Order Topology

**Proposition 13.12.19.** Let X and Y be linearly ordered sets under the order topology. Let  $f: X \to Y$  be strictly monotone and surjective. Then f is a homeomorphism.

Proof:

- $\langle 1 \rangle 1$ . f is continuous.
  - $\langle 2 \rangle 1$ . For all  $b \in Y$  we have  $f^{-1}((b, +\infty))$  is open in X.
    - $\langle 3 \rangle 1$ . Let:  $b \in Y$
    - $\langle 3 \rangle 2$ . Let: a be the element of X such that f(a) = b.
    - $\langle 3 \rangle 3. \ f^{-1}((b, +\infty)) = (a, +\infty)$
  - $\langle 2 \rangle 2$ . For all  $b \in Y$  we have  $f^{-1}((-\infty, b))$  is open in X.

PROOF: Similar.

 $\langle 1 \rangle 2$ .  $f^{-1}$  is continuous.

PROOF: Similar.

**Corollary 13.12.19.1.** For n a positive integer, the nth root function  $\overline{\mathbb{R}_+} \to \overline{\mathbb{R}_+}$  is continuous.

### 13.12.2 Paths

**Definition 13.12.20** (Path). A path in a topological space X is a continuous function  $[0,1] \to X$ .

**Definition 13.12.21** (Constant Path). Let X be a topological space and  $a \in X$ . The *constant* path at a is the path  $p: [0,1] \to X$  with p(t) = a for all  $t \in [0,1]$ .

**Definition 13.12.22** (Reverse Path). Let X be a topological space and  $p:[0,1] \to X$ . The *reverse* of p is the path  $q:[0,1] \to X$  with q(t)=p(1-t) for all  $t \in [0,1]$ .

**Definition 13.12.23** (Concatenation). Let X be a topological space and p, q:  $[0,1] \to X$  be paths in X with p(1) = q(0). The *concatenation* of p and q is the path  $r: [0,1] \to X$  with

$$r(t) = \begin{cases} p(2t) & \text{if } 0 \le t \le 1/2\\ q(2t-1) & \text{if } 1/2 \le t \le 1 \end{cases}$$

# 13.12.3 Loops

**Definition 13.12.24** (Loop). A *loop* in a topological space X is a path  $\alpha$ :  $[0,1] \to X$  such that  $\alpha(0) = \alpha(1)$ .

# 13.13 Convergence

**Definition 13.13.1** (Convergence). Let X be a topological space. Let  $(x_n)$  be a sequence in X. A point  $a \in X$  is a *limit* of the sequence iff, for every neighbourhood U of a, there exists  $n_0$  such that  $\forall n \geq n_0.x_n \in U$ .

**Proposition 13.13.2.** If  $f: X \to Y$  is continuous and  $x_n \to l$  in X then  $f(x_n) \to f(l)$  in Y.

Example 13.13.3. The converse does not hold.

Let X be the set of all continuous functions  $[0,1] \to [-1,1]$  under the product topology. Let  $i: X \to L^2([0,1])$  be the inclusion.

If  $f_n \to f$  then  $i(f_n) \to i(f)$  — Lebesgue convergence theorem.

We prove that i is not continuous.

Assume for a contradiction i is continuous. Choose a neighbourhood K of 0 in X such that  $\forall \phi \in K_{\epsilon}$ .  $\int \phi^2 < 1/2$ . Let  $K = \prod_{\lambda \in [0,1]} U_{\lambda}$  where  $U_{\lambda} = [-1,1]$  except for  $\lambda = \lambda_1, \ldots, \lambda_n$ . Let  $\phi$  be the function that is 0 at  $\lambda_1, \ldots, \lambda_n$  and 1 everywhere else. Then  $\phi \in K$  but  $\int \phi^2 = 1$ .

**Proposition 13.13.4.** The converse does hold for first countable spaces. If  $f: X \to Y$  where X is first countable, and Y is a topological space, and whenever  $x_n \to x$  then  $f(x_n) \to f(x)$ , then f is continuous.

**Proposition 13.13.5.** If  $(s_n)$  is an increasing sequence of real numbers bounded above, then  $(s_n)$  converges.

#### Proof:

```
\begin{split} &\langle 1 \rangle 1. \text{ Let: } s \text{ be the supremum of } \{s_n : n \in \mathbb{N}\}. \\ &\quad \text{Prove: } s_n \to s \text{ as } n \to \infty. \\ &\langle 1 \rangle 2. \text{ Let: } \epsilon > 0 \\ &\langle 1 \rangle 3. \text{ Pick } N \text{ such that } s_N > s - \epsilon. \\ &\langle 1 \rangle 4. \ \forall n \geqslant N.s - \epsilon \leqslant s_n \leqslant s \\ &\langle 1 \rangle 5. \ \forall n \geqslant N.|s_n - s| < \epsilon \end{split}
```

## 13.13.1 Closure

**Proposition 13.13.6.** Let X be a topological space. Let  $A \subseteq X$ . Let  $(a_n)$  be a sequence in A and  $l \in X$ . If  $a_n \to l$  as  $n \to \infty$ , then  $l \in \overline{A}$ .

#### Proof:

```
\langle 1 \rangle1. Let: U be a neighbourhood of l. \langle 1 \rangle2. Pick N such that \forall n \in N.a_n \in U \langle 1 \rangle3. a_N \in A \cap U
```

# 13.13.2 Continuous Functions

**Proposition 13.13.7.** Let X and Y be topological spaces. Let  $f: X \to Y$  be continuous. Let  $x_n \to x$  as  $n \to \infty$  in X. Then  $f(x_n) \to f(x)$  as  $n \to \infty$  in Y.

### Proof:

```
\langle 1 \rangle 1. Let: V be a neighbourhood of f(x). \langle 1 \rangle 2. Pick N such that \forall n \geq N.x_n \in f^{-1}(V) \langle 1 \rangle 3. \forall n \geq N.f(x_n) \in V
```

### 13.13.3 Infinite Series

**Definition 13.13.8** (Series). Let  $(a_n)$  be a sequence of real numbers. We say that the infinite series  $\sum_{n=0}^{\infty} a_n$  converges to s, and write

$$\sum_{n=0}^{\infty} a_n = s$$

iff  $\sum_{n=0}^{N} a_n \to s$  as  $N \to \infty$ .

# 13.14 Strong Continuity

**Definition 13.14.1** (Strong Continuity). Let X and Y be topological spaces. Let  $f: X \to Y$ . Then f is *strongly continuous* iff, for every  $V \subseteq Y$ , we have V is open in Y if and only if  $f^{-1}(V)$  is open in X.

**Proposition 13.14.2.** Let X and Y be topological spaces. Let  $f: X \to Y$ . Then f is strongly continuous if and only if, for all  $C \subseteq Y$ , we have C is closed in Y if and only if  $f^{-1}(C)$  is closed in X.

Proof:

f is continuous  $\Leftrightarrow \forall V \subseteq Y(V \text{ is open in } Y \Leftrightarrow f^{-1}(V) \text{ is open in } X)$   $\Leftrightarrow \forall C \subseteq Y(Y-C \text{ is open in } Y \Leftrightarrow f^{-1}(Y-C) \text{ is open in } X)$  $\Leftrightarrow \forall C \subseteq Y(C \text{ is closed in } Y \Leftrightarrow f^{-1}(C) \text{ is closed in } X)$ 

# 13.15 Subspaces

**Definition 13.15.1** (Subspace). Let X be a topological space, Y a set, and  $f: Y \to X$ . The *subspace topology* on Y induced by f is  $\mathcal{T} = \{i^{-1}(U) : U \text{ is open in } X\}$ .

We prove this is a topology.

Proof:

```
\begin{split} &\langle 1 \rangle 1. \text{ For all } \mathcal{U} \subseteq \mathcal{T} \text{ we have } \bigcup \mathcal{U} \in \mathcal{T} \\ &\text{PROOF: Since } \bigcup \mathcal{U} = f^{-1} (\bigcup \{V: f^{-1}(V) \in \mathcal{U}\}). \\ &\langle 1 \rangle 2. \text{ For all } U, V \in \mathcal{T} \text{ we have } U \cap V \in \mathcal{T} \\ &\text{PROOF: Since } f^{-1}(U) \cap f^{-1}(V) = f^{-1}(U \cap V). \\ &\langle 1 \rangle 3. \ Y \in \mathcal{T} \\ &\text{PROOF: Since } Y = f^{-1}(X). \\ &\Box \end{split}
```

**Proposition 13.15.2.** Let X be a topological space, Y a set and  $f: Y \to X$  a function. Then the subspace topology on Y is the coarsest topology such that f is continuous.

PROOF: Immediate from definition.

**Proposition 13.15.3** (Local Formulation of Continuity). Let X and Y be topological spaces. Let  $f: X \to Y$ . Let  $\mathcal{U}$  be a set of open subspaces of X such that  $X = \bigcup \mathcal{U}$ . If  $f \upharpoonright U: U \to Y$  is continuous for all  $U \in \mathcal{U}$ , then f is continuous.

```
PROOF:
```

 $\langle 1 \rangle 1$ . Let:  $x \in X$ 

PROVE: f is continuous at x.

- $\langle 1 \rangle 2$ . Let: V be a neighbourhood of f(x).
- $\langle 1 \rangle 3$ . Pick  $U \in \mathcal{U}$  such that  $x \in U$ .
- $\langle 1 \rangle 4$ . PICK W open in U such that  $x \in W$  and  $f(W) \subseteq V$ .
- $\langle 1 \rangle$ 5. W is open in X.

**Theorem 13.15.4.** Let X be a topological space and (Y,i) a subset of X. Then the subspace topology on Y is the unique topology such that, for every topological space Z and function  $f:Z\to Y$ , we have f is continuous if and only if  $i\circ f:Z\to X$  is continuous.

#### Proof

- $\langle 1 \rangle 1$ . If we give Y the subspace topology then, for every topological space Z and function  $f: Z \to Y$ , we have f is continuous if and only if  $i \circ f$  is continuous.
  - $\langle 2 \rangle$ 1. Given Y the subspace topology.
  - $\langle 2 \rangle 2$ . Let: Z be a topological space.
  - $\langle 2 \rangle 3$ . Let:  $f: Z \to Y$
  - $\langle 2 \rangle 4$ . If f is continuous then  $i \circ f$  is continuous.

Proof: Since i is continuous.

- $\langle 2 \rangle$ 5. If  $i \circ f$  is continuous then f is continuous.
  - $\langle 3 \rangle 1$ . Assume:  $i \circ f$  is continuous.
  - $\langle 3 \rangle 2$ . Let: *U* be open in *Y*.
  - $\langle 3 \rangle 3. \ f^{-1}(i^{-1}(i(U))) \text{ is open in } Z.$
  - $\langle 3 \rangle 4$ .  $f^{-1}(U)$  is open in Z.
- $\langle 1 \rangle 2$ . If, for every topological space Z and function  $f: Z \to Y$ , we have f is continuous if and only if  $i \circ f$  is continuous.
  - $\langle 2 \rangle$ 1. Assume: For every topological space Z and function  $f: Z \to Y$ , we have f is continuous if and only if  $i \circ f$  is continuous.
  - $\langle 2 \rangle 2$ . *i* is continuous.
  - $\langle 2 \rangle 3$ . For every open set U in X, we have  $i^{-1}(X)$  is open in Y
  - $\langle 2 \rangle 4$ . Let: Z be the set Y under the subspace topology and  $f: Z \to Y$  the identity function.
  - $\langle 2 \rangle 5$ .  $i \circ f$  is continuous.
  - $\langle 2 \rangle 6$ . f is continuous.
- $\langle 2 \rangle$ 7. Every set open in Y is open in Z.

**Proposition 13.15.5.** Let X be a topological space, Y a subspace of X and  $U \subseteq Y$ . If Y is open in X and U is open in Y then U is open in X.

```
Proof:
```

- $\langle 1 \rangle 1$ . PICK V open in X such that  $U = V \cap Y$
- $\langle 1 \rangle 2$ . *U* is open in *X*.

PROOF: It is the intersection of two open sets in X.

**Proposition 13.15.6.** Let Y be a subspace of X and  $A \subseteq Y$ . Then the subspace topology on A as a subspace of Y is the same as the subspace topology on A as a subspace of X.

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $\mathcal{T}_Y$  be the subspace topology on A as a subspace of Y.
- $\langle 1 \rangle 2$ . Let:  $\mathcal{T}_X$  be the subspace topology on A as a subspace of X.
- $\langle 1 \rangle 3$ . Let:  $U \subseteq A$
- $\langle 1 \rangle 4. \ U \in \mathcal{T}_Y \Leftrightarrow U \in \mathcal{T}_X$

PROOF:

$$U \in \mathcal{T}_Y \Leftrightarrow \exists V$$
 open in  $Y.U = V \cap A$   
 $\Leftrightarrow \exists V.\exists W$  open in  $X.(V = Y \cap W \wedge U = V \cap A)$   
 $\Leftrightarrow \exists W$  open in  $X.U = Y \cap W \cap A$   
 $\Leftrightarrow \exists W$  open in  $X.U = W \cap A$   
 $\Leftrightarrow U \in \mathcal{T}_X$ 

**Proposition 13.15.7.** Let X be a topological space. Let  $\mathcal{B}$  be a basis for the topology on X. Let  $Y \subseteq X$ . Then  $\mathcal{B}' = \{B \cap Y : B \in \mathcal{B}\}$  is a basis for the topology on Y.

### Proof:

 $\langle 1 \rangle 1$ . Every element of  $\mathcal{B}'$  is open.

PROOF: For all  $B \in \mathcal{B}$ , we have B is open in X, so  $B \cap Y$  is open in Y.

- $\langle 1 \rangle 2.$  For any open set V in Y and  $y \in V,$  there exists  $B' \in \mathcal{B}'$  such that  $y \in B' \subseteq V$ 
  - $\langle 2 \rangle 1$ . Let: V be open in Y.
  - $\langle 2 \rangle 2$ . Let:  $y \in V$
  - $\langle 2 \rangle 3$ . PICK U open in X such that  $V = U \cap Y$ .
  - $\langle 2 \rangle 4$ . PICK  $B \in \mathcal{B}$  such that  $y \in B \subseteq U$
- $\langle 2 \rangle 5$ .  $B \cap Y \in \mathcal{B}'$  and  $y \in B \cap Y \subseteq V$

## 13.15.1 Product Topology

**Proposition 13.15.8.** Let  $\{X_i\}_{i\in I}$  be a family of topological spaces. Let  $Y_i$  be a subspace of  $X_i$  for all  $i\in I$ . Then the product topology on  $\prod_{i\in I}Y_i$  is the same as the subspace topology on  $\prod_{i\in I}Y_i$  as a subspace of  $\prod_{i\in I}X_i$ .

#### Proof:

 $\langle 1 \rangle 1$ . Given  $\prod_{i \in I} Y_i$  the subspace topology.

```
\begin{array}{lll} \langle 1 \rangle 2. & \text{Let: } \iota : \prod_{i \in I} Y_i \text{ be the inclusion.} \\ \langle 1 \rangle 3. & \text{Let: } Z \text{ be any topological space.} \\ \langle 1 \rangle 4. & \text{Let: } f : Z \to \prod_{i \in I} Y_i \\ \langle 1 \rangle 5. & f \text{ is continuous if and only if, for all } i \in I, \text{ we have } \pi_i \circ f \text{ is continuous.} \\ \text{PROOF:} & f \text{ is continuous } \Leftrightarrow \iota \circ f : Z \to \prod_{i \in I} X_i \text{ is continuous} \\ & \Leftrightarrow \forall i \in I.\pi_i \circ \iota \circ f : Z \to X_i \text{ is continuous} \\ & \Leftrightarrow \forall i \in I.\iota_i \circ \pi_i \circ f : Z \to X_i \text{ is continuous} \\ & \Leftrightarrow \forall i \in I.\iota_i \circ \pi_i \circ f : Z \to Y_i \text{ is continuous} \\ & \Leftrightarrow \forall i \in I.\pi_i \circ f : Z \to Y_i \text{ is continuous} \\ & \text{where } \iota_i \text{ is the inclusion } Y_i \to X_i. \\ & \Box \\ \end{array}
```

# 13.16 Embedding

**Definition 13.16.1** (Embedding). Let X and Y be topological spaces and  $f: X \to Y$ . Then f is an *embedding* iff f is injective and the topology on X is the subspace induced by f.

Proposition 13.16.2. Every embedding is continuous.

Proof: Theorem 13.15.4.  $\square$ 

**Proposition 13.16.3.** Let X and Y be topological spaces. Let  $b \in Y$ . The function  $\kappa: X \to X \times Y$  that maps x to (x,b) is an embedding.

Proof:

- $\langle 1 \rangle 1$ . For all U open in X, we have  $U = \kappa^{-1}(V)$  for some V open in  $X \times Y$ . PROOF: Take  $V = U \times Y$ .
- $\langle 1 \rangle 2$ . For all V open in  $X \times Y$  we have  $\kappa^{-1}(V)$  is open in X.

PROOF: Since  $\pi_1 \circ \kappa = \mathrm{id}_X$  and  $\pi_2 \circ \kappa$  (which is the constant function with value b) are both continuous, hence  $\kappa$  is continuous.

# 13.17 Open Maps

**Definition 13.17.1** (Open Map). Let X and Y be topological spaces and  $f: X \to Y$ . Then f is an *open map* iff, for all U open in X, we have f(U) is open in Y.

**Proposition 13.17.2.** Let X and Y be topological spaces. The projections  $\pi_1: X \times Y \to X$  and  $\pi_2: X \times Y \to Y$  are open maps.

#### PROOF:

- $\langle 1 \rangle 1$ .  $\pi_1$  is an open map.
  - $\langle 2 \rangle 1$ . Let: *U* be open in  $X \times Y$ .

```
\langle 2 \rangle2. Let: x \in \pi_1(U)

\langle 2 \rangle3. Pick y such that (x,y) \in U

\langle 2 \rangle4. Pick V and W open in X and Y respectively such that (x,y) \in V \times W \subseteq U

\langle 2 \rangle5. x \in V \subseteq \pi_1(U)

\langle 1 \rangle2. \pi_2 is an open map.

Proof: Similar.
```

# 13.17.1 Subspaces

**Proposition 13.17.3.** Let X and Y be topological spaces. Let  $p: X \to Y$  be an open map. Let A be an open set in X. Then  $p \upharpoonright A: A \to p(A)$  is an open map.

```
Proof:
```

```
\langle 1 \rangle 1. Let: U be open in A. \langle 1 \rangle 2. U is open in X. Proof: Proposition 13.15.5. \langle 1 \rangle 3. p(U) is open in Y. \langle 1 \rangle 4. p(U) is open in p(A). Proof: Since p(U) = p(U) \cap p(A).
```

# 13.18 Locally Finite

**Definition 13.18.1** (Locally Finite). Let X be a topological space. Let  $\{A_i\}_{i\in I}$  be a family of subsets of X. Then  $\{A_i\}_{i\in I}$  is *locally finite* iff, for every  $x\in X$ , there exist only finitely many  $i\in I$  such that  $x\in A_i$ .

**Theorem 13.18.2** (Pasting Lemma). Let X and Y be topological spaces. Let  $f: X \to Y$ . Let  $\{A_i\}_{i \in I}$  be a locally finite family of closed subspaces of X such that  $X = \bigcup_{i \in I} A_i$ . If  $f \upharpoonright A_i : A_i \to Y$  is continuous for all  $i \in I$ , then f is continuous.

```
Proof:
```

```
⟨1⟩1. Let: B be closed in Y.

⟨1⟩2. Let: A = f^{-1}(B)

Prove: A is closed in X.

⟨1⟩3. A = \bigcup_{i \in I} f \upharpoonright A_i^{-1}(B)

⟨1⟩4. Let: x \in X - A

Prove: There exists a neighbourhood U' of x such that U' ⊆ X - A.

⟨1⟩5. Pick a neighbourhood U of x such that U intersects A_i for only finitely many i \in I.

⟨1⟩6. Let: i_1, \ldots, i_n be the elements of I such that U intersects A_{i_1}, \ldots, A_{i_n}.

⟨1⟩7. For j = 1, \ldots, n,
```

```
Let: S_j = f \upharpoonright A_{i_j}^{-1}(B) \langle 1 \rangle 8. For j = 1, \ldots, n, we have S_j is closed in X. \langle 1 \rangle 9. For j = 1, \ldots, n, we have x \notin S_j. \langle 1 \rangle 10. Let: U' = U \cap \bigcap_{j=1}^n (X - S_j) \langle 1 \rangle 11. U' is a neighbourhood of x. \langle 1 \rangle 12. U' \subseteq X - A
```

# 13.19 Closed Maps

**Definition 13.19.1** (Closed Map). Let X and Y be topological spaces. Let  $f: X \to Y$ . Then f is a *closed map* iff, for every closed set C in X, we have f(C) is closed in Y.

# 13.20 Product Topology

**Definition 13.20.1** (Product Topology). Let  $\{X_{\lambda}\}_{{\lambda}\in\Lambda}$  be a family of topological spaces. The *product topology* on  $\prod_{{\lambda}\in\Lambda} X_{\lambda}$  is the coarsest topology such that every projection onto  $X_{\lambda}$  is continuous.

# 13.20.1 Closed Sets

**Proposition 13.20.2.** Let X and Y be topological spaces. Let A be a closed set in X and B a closed set in Y. Then  $A \times B$  is closed in  $X \times Y$ .

PROOF: Since 
$$(X \times Y) - (A \times B) = ((X - A) \times Y) \cup (X \times (Y - B))$$
.

**Proposition 13.20.3.** Let  $\{X_{\alpha}\}_{{\alpha}\in A}$  be a family of topological spaces. The product topology on  $\prod_{{\alpha}\in A} X_{\alpha}$  is the topology generated by the basis  $\mathcal{B} = \{\prod_{{\alpha}\in A} U_{\alpha} : \text{for all } {\alpha}\in A, U_{\alpha} \text{ is open in } X_{\alpha} \text{ and } U_{\alpha} = X_{\alpha} \text{ for all but finitely many } {\alpha}\in A\}.$ 

### Proof:

```
\begin{array}{l} \text{Table 1:} \\ \langle 1 \rangle 1. \ \mathcal{B} \text{ is a basis for a topology.} \\ \langle 1 \rangle 2. \ \text{Let: } \mathcal{T} \text{ be the topology generated by } \mathcal{B}. \\ \langle 1 \rangle 3. \ \text{Let: } \mathcal{T}_p \text{ be the product topology.} \\ \langle 1 \rangle 4. \ \mathcal{T} \subseteq \mathcal{T}_p \\ \langle 2 \rangle 1. \ \text{Let: } B \in \mathcal{B} \\ \langle 2 \rangle 2. \ \text{Let: } B = \prod_{\alpha \in A} U_\alpha \text{ with each } U_\alpha \text{ open in } X_\alpha \text{ and } U_\alpha = X_\alpha \text{ except for } \\ \alpha = \alpha_1, \ldots, \alpha_n \\ \langle 2 \rangle 3. \ B = \pi_{\alpha_1}^{-1}(U_{\alpha_1}) \cap \cdots \cap \pi_{\alpha_n}^{-1}(U_{\alpha_n}) \\ \langle 2 \rangle 4. \ B \in \mathcal{T}_p \\ \langle 1 \rangle 5. \ \mathcal{T}_p \subseteq \mathcal{T} \\ \langle 2 \rangle 1. \text{ For every } \alpha \in A \text{ we have } \pi_\alpha \text{ is continuous.} \end{array}
```

PROOF: Since  $\pi^{-1}(U)$  is open for every U open in  $X_{\alpha}$ .

**Theorem 13.20.4.** Let  $\{X_{\alpha}\}_{{\alpha}\in A}$  be a family of topological spaces. Then the product topology on  $\prod_{{\alpha}\in A} X_{\alpha}$  is the unique topology such that, for every topological space Z and function  $f: Z \to \prod_{{\alpha}\in A} X_{\alpha}$ , we have f is continuous if and only if, for all  ${\alpha}\in A$ , we have  $\pi_{\alpha}\circ f: Z \to X_{\alpha}$  is continuous.

#### Proof:

- $\langle 1 \rangle 1$ . If we give  $\prod_{\alpha \in A} X_{\alpha}$  the product topology, then for every topological space Z and function  $f: Z \to \prod_{\alpha \in A} X_{\alpha}$ , we have f is continuous if and only if, for all  $\alpha \in A$ , we have  $\pi_{\alpha} \circ f$  is continuous.
  - $\langle 2 \rangle 1$ . Give  $\prod_{\alpha \in A} X_{\alpha}$  the product topology.
  - $\langle 2 \rangle 2$ . Let: Z be a topological space.
  - $\langle 2 \rangle 3$ . Let:  $f: Z \to \prod_{\alpha \in A} X_{\alpha}$
  - $\langle 2 \rangle 4$ . If f is continuous then, for all  $\alpha \in A$ , we have  $\pi_{\alpha} \circ f$  is continuous. PROOF: Since the composite of two continuous functions is continuous.
  - $\langle 2 \rangle 5$ . If, for all  $\alpha \in A$ , we have  $\pi_{\alpha} \circ f$  is continuous, then f is continuous.
    - $\langle 3 \rangle 1$ . Assume: For all  $\alpha \in A$  we have  $\pi_{\alpha} \circ f$  is continuous.
    - $\langle 3 \rangle 2$ . Let:  $\{U_{\alpha}\}_{{\alpha} \in A}$  be a family with  $U_{\alpha}$  open in  $X_{\alpha}$  such that  $U_{\alpha} = X_{\alpha}$  for all  $\alpha$  except  $\alpha = \alpha_1, \ldots, \alpha_n$ .
    - $\langle 3 \rangle 3$ . For all  $\alpha$  we have  $f^{-1}(\pi_{\alpha}^{-1}(U_{\alpha}))$  is open in Z.
    - $\langle 3 \rangle 4$ .  $f^{-1}(\prod_{\alpha} U_{\alpha})$  is open in Z

PROOF: Since  $f^{-1}(\prod_{\alpha} U_{\alpha}) = f^{-1}(\pi_{\alpha_1}^{-1}(U_{\alpha_1})) \cap \cdots \cap f^{-1}(\pi_{\alpha_n}^{-1}(U_{\alpha_n})).$ 

- $\langle 1 \rangle 2$ . If  $\mathcal{T}$  is a topology on  $\prod_{\alpha \in A} X_{\alpha}$  such that, for every topological pace Z and function  $f: Z \to \prod_{\alpha \in A} X_{\alpha}$ , we have f is continuous if and only if, for all  $\alpha \in A$ , we have  $\pi_{\alpha} \circ f$  is continuous, then  $\mathcal{T}$  is the product topology.
  - $\langle 2 \rangle$ 1. Assume:  $\mathcal{T}$  is a topology on  $\prod_{\alpha \in A} X_{\alpha}$  such that, for every topological pace Z and function  $f: Z \to \prod_{\alpha \in A} X_{\alpha}$ , we have f is continuous if and only if, for all  $\alpha \in A$ , we have  $\pi_{\alpha} \circ f$  is continuous.
  - $\langle 2 \rangle 2$ . Let:  $\mathcal{T}_p$  be the product topology.
  - $\langle 2 \rangle 3$ .  $\mathcal{T} \subseteq \mathcal{T}_p$ 
    - $\langle 3 \rangle 1$ . Let:  $Z = (\prod_{\alpha} X_{\alpha}, \mathcal{T}_p)$
    - $\langle 3 \rangle 2$ . Let:  $f: Z \to \prod_{\alpha} X_{\alpha}$  be the identity function
    - $\langle 3 \rangle 3$ . For all  $\alpha$  we have  $\pi_{\alpha} \circ f$  is continuous.
    - $\langle 3 \rangle 4$ . f is continuous.

Proof:  $\langle 2 \rangle 1$ 

- $\langle 3 \rangle$ 5. Every set open in  $\mathcal{T}$  is open in  $\mathcal{T}_p$
- $\langle 2 \rangle 4$ .  $\mathcal{T}_p \subseteq \mathcal{T}$ 
  - $\langle 3 \rangle 1$ . id<sub> $\prod_{\alpha} X_{\alpha}$ </sub> is continuous.
  - $\langle 3 \rangle 2$ . For all  $\alpha$  we have  $\pi_{\alpha}$  is continuous.

Proof:  $\langle 2 \rangle 1$ 

 $\langle 3 \rangle 3$ .  $\mathcal{T}_p \subseteq \mathcal{T}$ 

PROOF: Since  $\mathcal{T}_p$  is the coarsest topology such that every  $\pi_\alpha$  is continuous.

**Example 13.20.5.** It is not true that, for any function  $f: \prod_{\alpha \in A} X_{\alpha} \to Y$ , if f is continuous in every variable separately then f is continuous.

Define  $f: \mathbb{R}^2 \to \mathbb{R}$  by

$$f(x,y) = \begin{cases} \frac{xy}{x^2 + y^2} & \text{if } (x,y) \neq (0,0) \\ 0 & \text{if } x = y = 0 \end{cases}$$

Then f is continuous in x and in y, but is not continuous.

**Proposition 13.20.6.** Let  $\{X_i\}_{i\in I}$  be a nonempty family of topological spaces. The product topology on  $\prod_{i\in I}$  is the topology generated by the subbasis  $\{\pi_i^{-1}(U): i\in I, U \text{ is open in } X_i\}$ .

#### Proof:

П

 $\langle 1 \rangle 1$ .  $\{\pi_i^{-1}(U) : i \in I, U \text{ is open in } X_i\}$  is a subbasis for a topology on  $\prod_{i \in I} X_i$ .  $\langle 2 \rangle 1$ . PICK  $i_0 \in I$ 

 $\langle 2 \rangle 2$ .  $\prod_{i \in I} X_i = \pi_{i_0}^{-1}(X_{i_0})$ 

 $\langle 1 \rangle 2$ . The topology generated by this subbasis is the product topology.

PROOF: Since the basis in Proposition 13.20.3 is the set of all finite intersections of elements of this subbasis.

# 13.20.2 Closure

**Proposition 13.20.7.** Let  $\{X_i\}_{i\in I}$  be a family of topological spaces. Let  $A_i \subseteq X_i$  for all  $i \in I$ . Then

$$\prod_{i \in I} \overline{A_i} = \overline{\prod_{i \in I} A_i} .$$

## Proof:

 $\langle 1 \rangle 1. \prod_{i \in I} \overline{A_i} \subseteq \overline{\prod_{i \in I} A_i}$ 

 $\langle 2 \rangle 1$ . Let:  $x \in \prod_{i \in I} \overline{A_i}$ 

- $\langle 2 \rangle 2$ . For any family  $\{U_i\}_{i \in I}$  where each  $U_i$  is open in  $X_i$ , and  $U_i = X_i$  for all but finitely many  $i \in I$ , if  $x \in \prod_{i \in I} U_i$  then  $\prod_{i \in I} U_i$  intersects  $\prod_{i \in I} A_i$ .
  - $\langle 3 \rangle 1$ . Let:  $\{U_i\}_{i \in I}$  be a family where each  $U_i$  is open in  $X_i$ , and  $U_i = X_i$  for all but finitely many i.
  - $\langle 3 \rangle 2$ . Assume:  $x \in \prod_{i \in I}$
  - $\langle 3 \rangle 3$ . For all  $i \in I$  we have  $U_i$  intersects  $A_i$

PROOF: Since  $\pi_i(x) \in \overline{A_i}$  and  $U_i$  is a neighbourhood of  $\pi_i(x)$ .

- $\langle 3 \rangle 4$ .  $\prod_{i \in I} U_i$  intersects  $\prod_{i \in I} A_i$
- $\langle 2 \rangle 3. \ x \in \overline{\prod_{i \in I} A_i}$

Proof: Proposition 13.9.9.

- $\langle 1 \rangle 2$ .  $\overline{\prod_{i \in I} A_i} \subseteq \prod_{i \in I} \overline{A_i}$ 
  - $\langle 2 \rangle 1$ . Let:  $x \in \overline{\prod}_{i \in I} A_i$
  - $\langle 2 \rangle 2$ . Let:  $i \in I$

PROVE:  $\pi_i(x) \in \overline{A_i}$ 

- $\langle 2 \rangle 3$ . Let: U be a neighbourhood of  $\pi_i(x)$  in  $X_i$
- $\langle 2 \rangle 4$ .  $\pi_i^{-1}(U)$  is a neighbourhood of x in  $\prod_{i \in I} X_i$
- $\langle 2 \rangle 5$ . Pick  $y \in \pi_i^{-1}(U) \cap \prod_{i \in I} A_i$

$$\langle 2 \rangle 6. \ \pi_i(y) \in U \cap A_i$$

#### 13.20.3 Convergence

**Proposition 13.20.8.** Let  $\{X_i\}_{i\in I}$  be a family of topological spaces. Let  $(x_n)$ be a sequence of points in  $\prod_{i \in I} X_i$  and  $l \in \prod_{i \in I} X_i$ . Then  $x_n \to l$  as  $n \to \infty$  if and only if, for all  $i \in I$ , we have  $\pi_i(x_n) \to \pi_i(l)$  as  $n \to \infty$ .

### Proof:

- $\langle 1 \rangle 1$ . If  $x_n \to l$  as  $n \to \infty$  then, for all  $i \in I$ , we have  $\pi_i(x_n) \to \pi_i(l)$  as  $n \to \infty$ . Proof: Proposition 13.13.2.
- $\langle 1 \rangle 2$ . If, for all  $i \in I$ , we have  $\pi_i(x_n) \to \pi_i(l)$  as  $n \to \infty$ , then  $x_n \to l$  as  $n \to \infty$ .
  - $\langle 2 \rangle 1$ . Assume: For all  $i \in I$  we have  $\pi_i(x_n) \to \pi_i(l)$  as  $n \to \infty$ .
  - $\langle 2 \rangle 2$ . Let: *U* be a neighbourhood of *l*.
  - $\langle 2 \rangle 3$ . PICK  $i_1, \ldots, i_n \in I$  and open sets  $U_j$  in  $X_{i_j}$  for  $j = 1, \ldots, n$  such that  $l \in \pi_{i_1}^{-1}(U_1) \cap \cdots \cap \pi_{i_n}^{-1}(U_n) \subseteq U$   $\langle 2 \rangle 4$ . For  $j = 1, \ldots, n$  we have  $\pi_{i_j}(l) \in U_j$

  - $\langle 2 \rangle 5$ . PICK N such that, for all  $m \geq N$ , we have  $\pi_{i_i}(x_m) \in U_j$
- $\langle 2 \rangle 6. \ \forall m \geqslant N.x_m \in U$

#### 13.21Topological Disjoint Union

**Definition 13.21.1** (Coproduct Topology). Let  $\{X_{\alpha}\}_{{\alpha}\in A}$  be a family of topological spaces. The coproduct topology on  $\coprod_{\alpha \in A} X_{\alpha}$  is

$$\mathcal{T} = \left\{ \coprod_{\alpha \in A} U_{\alpha} : \{U_{\alpha}\}_{\alpha \in A} \text{ is a family with } U_{\alpha} \text{ open in } X_{\alpha} \text{ for all } \alpha \right\} .$$

We prove this is a topology.

## Proof:

 $\langle 1 \rangle 1$ . For all  $\mathcal{U} \subseteq \mathcal{T}$  we have  $\bigcup \mathcal{U} \in \mathcal{T}$ 

PROOF:

PROOF: 
$$\bigcup_{i \in I} \coprod_{\alpha \in A} U_{i\alpha} = \coprod_{\alpha \in A} \bigcup_{i \in I} U_{i\alpha}$$
 $\langle 1 \rangle 2$ . For all  $U, V \in \mathcal{T}$  we have  $U \cap V \in \mathcal{T}$ 

PROOF:

$$\coprod_{\alpha \in A} U_{\alpha} \cap \coprod_{\alpha \in A} V_{\alpha} = \coprod_{\alpha \in A} (U_{\alpha} \cap V_{\alpha})$$

 $\langle 1 \rangle 3. \coprod_{\alpha \in A} X_{\alpha} \in \mathcal{T}$ 

PROOF: Since every  $X_{\alpha}$  is open in  $X_{\alpha}$ .

**Proposition 13.21.2.** The coproduct topology is the finest topology on  $\coprod_{\alpha \in A} X_{\alpha}$ such that every injection  $\kappa_{\alpha}: X_{\alpha} \to \coprod_{\alpha \in A} X_{\alpha}$  is continuous.

### Proof:

```
\langle 1 \rangle 1. Let: P = \coprod_{\alpha \in A} X_{\alpha}
```

 $\langle 1 \rangle 2$ . Let:  $\mathcal{T}_c$  be the coproduct topology.

 $\langle 1 \rangle 3$ . Let:  $\mathcal{T}$  be any topology on P

 $\langle 1 \rangle 4$ . For all  $\alpha \in A$ , the injection  $\kappa_{\alpha} : X_{\alpha} \to (P, \mathcal{T}_c)$  is continuous.

 $\langle 2 \rangle 1$ . Let:  $\alpha \in A$ 

 $\langle 2 \rangle 2$ . Let:  $\{U_{\alpha}\}_{{\alpha} \in A}$  be a family with each  $U_{\alpha}$  open in  $X_{\alpha}$ .

 $\langle 2 \rangle 3$ . For all  $\alpha \in A$ , we have  $\kappa_{\alpha}^{-1}(\coprod_{\alpha \in A} U_{\alpha})$  is open in  $X_{\alpha}$ . PROOF: Since  $\kappa_{\alpha}^{-1}(\coprod_{\alpha \in A} U_{\alpha}) = U_{\alpha}$ .

 $\langle 1 \rangle$ 5. If, for all  $\alpha \in A$ , the injection  $\kappa_{\alpha} : X_{\alpha} \to (P, \mathcal{T})$  is continuous, then  $\mathcal{T} \subseteq \mathcal{T}_c$ .

 $\langle 2 \rangle 1$ . Assume: For all  $\alpha \in A$ , the injection  $\kappa_{\alpha} : X_{\alpha} \to (P, \mathcal{T})$  is continuous.

 $\langle 2 \rangle 2$ . Let:  $U \in \mathcal{T}$ 

 $\langle 2 \rangle 3$ . For all  $\alpha \in a$ , we have  $\kappa_{\alpha}^{-1}(U)$  is open in  $X_{\alpha}$ .

 $\langle 2 \rangle 4. \ U = \coprod_{\alpha \in A} \kappa_{\alpha}^{-1}(U) \in \mathcal{T}_c$ 

**Theorem 13.21.3.** Let  $\{X_{\alpha}\}_{{\alpha}\in A}$  be a family of topological spaces. The coproduct topology is the unique topology on  $\coprod_{{\alpha}\in A} X_{\alpha}$  such that, for every topological space Z and function  $f:\coprod_{{\alpha}\in A} X_{\alpha}\to Z$ , we have f is continuous if and only if  $\forall {\alpha}\in A.f\circ \kappa_{\alpha}$  is continuous.

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $X = \coprod_{\alpha \in A} X_{\alpha}$
- $\langle 1 \rangle 2$ . Let:  $\mathcal{T}_c$  be the coproduct topology.
- $\langle 1 \rangle 3$ . For every topological space Z and function  $f:(X,\mathcal{T}_c) \to Z$ , we have f is continuous if and only if  $\forall \alpha \in A.f \circ \kappa_{\alpha}$  is continuous.
  - $\langle 2 \rangle 1$ . Let: Z be a topological space.
  - $\langle 2 \rangle 2$ . Let:  $f: X \to Z$
  - $\langle 2 \rangle 3$ . If f is continuous then  $\forall \alpha \in A.f \circ \kappa_{\alpha}$  is continuous.

Proof: Because the composite of two continuous functions is continuous.

- $\langle 2 \rangle 4$ . If  $\forall \alpha \in A.f \circ \kappa_{\alpha}$  is continuous then f is continuous.
  - $\langle 3 \rangle 1$ . Assume:  $\forall \alpha \in A.f \circ \kappa_{\alpha}$  is continuous.
  - $\langle 3 \rangle 2$ . Let: U be open in Z
  - $\langle 3 \rangle 3$ . For all  $\alpha \in A$  we have  $\kappa_{\alpha}^{-1}(f^{-1}(U))$  is open in  $X_{\alpha}$
  - $\langle 3 \rangle 4.$   $f^{-1}(U) = \coprod_{\alpha \in A} \kappa_{\alpha}^{-1}(f^{-1}(U))$
  - $\langle 3 \rangle 5.$   $f^{-1}(U)$  is open in X
- $\langle 1 \rangle 4$ . For any topology  $\mathcal{T}$  on X, if for every topological space Z and function  $f:(X,\mathcal{T}) \to Z$ , we have f is continuous if and only if  $\forall \alpha \in A.f \circ \kappa_{\alpha}$  is continuous, then  $\mathcal{T} = \mathcal{T}_c$ .
  - $\langle 2 \rangle 1$ . Let:  $\mathcal{T}$  be a topology on X.
  - $\langle 2 \rangle 2$ . Assume: For every topological space Z and function  $f:(X,\mathcal{T}) \to Z$ , we have f is continuous if and only if  $\forall \alpha \in A.f \circ \kappa_{\alpha}$  is continuous.
  - $\langle 2 \rangle 3$ .  $\mathcal{T} \subseteq \mathcal{T}_c$ 
    - $\langle 3 \rangle 1$ . For all  $\alpha \in A$  we have  $\kappa_{\alpha} : X_{\alpha} \to (X, \mathcal{T})$  is continuous.

PROOF: From  $\langle 2 \rangle 1$  since  $id_X$  is continuous.

```
\langle 3 \rangle 2. \ T \subseteq T_c
PROOF: Proposition 13.21.2.
\langle 2 \rangle 4. \ T_c \subseteq T
\langle 3 \rangle 1. \ \text{Let:} \ f: (X, T) \to (X, T_c) \text{ be the identity function.}
\langle 3 \rangle 2. \ f \circ \kappa_{\alpha} \text{ is continuous for all } \alpha.
\langle 3 \rangle 3. \ f \text{ is continuous.}
PROOF: \langle 2 \rangle 1
\langle 3 \rangle 4. \ T_c \subseteq T
```

# 13.22 Quotient Spaces

**Definition 13.22.1** (Quotient Topology). Let X be a topological space, S a set, and  $\pi: X \to S$  be a surjection. The *quotient topology* on S induced by  $\pi$  is  $\mathcal{T} = \{U \in \mathcal{P}S : \pi^{-1}(U) \text{ is open in } X\}.$ 

We prove this is a topology.

#### Proof:

```
\langle 1 \rangle1. For all \mathcal{U} \subseteq \mathcal{T} we have \bigcup \mathcal{U} \in \mathcal{T}.

PROOF: Since \pi^{-1}(\bigcup \mathcal{U}) = \bigcup \{\pi^{-1}(U) : U \in \mathcal{U}\}.

\langle 1 \rangle2. For all U, V \in \mathcal{T} we have U \cap V \in \mathcal{T}.

PROOF: Since \pi^{-1}(U \cap V) = \pi^{-1}(U) \cap \pi^{-1}(V).

\langle 1 \rangle3. X \in \mathcal{T}

PROOF: Since X = \pi^{-1}(Y).
```

**Proposition 13.22.2.** Let X be a topological space, S a set and  $\pi: X \twoheadrightarrow S$  a surjection. Then the quotient topology on S is the finest topology such that  $\pi$  is continuous.

Proof: Immediate from definitions.  $\Box$ 

**Theorem 13.22.3.** Let X be a topological space, let S be a set, and let  $\pi: X \twoheadrightarrow S$  be surjective. Then the quotient topology on S is the unique topology such that, for every topological space Z and function  $f: S \to Z$ , we have f is continuous if and only if  $f \circ \pi$  is continuous.

#### Proof:

- $\langle 1 \rangle 1$ . If S is given the quotient topology, then for every topological space Z and function  $f: S \to Z$ , we have f is continuous if and only if  $f \circ \pi$  is continuous.
  - $\langle 2 \rangle 1$ . Give S the quotient topology.
  - $\langle 2 \rangle 2$ . Let: Z be a topological space.
  - $\langle 2 \rangle 3$ . Let:  $f: S \to Z$
  - $\langle 2 \rangle 4$ . If f is continuous then  $f \circ \pi$  is continuous.

Proof: The composite of two continuous functions is continuous.

 $\langle 2 \rangle 5$ . If  $f \circ \pi$  is continuous then f is continuous.

```
\langle 3 \rangle 1. Assume: f \circ \pi is continuous.
      \langle 3 \rangle 2. Let: U be open in Z.
      \langle 3 \rangle 3. \ \pi^{-1}(f^{-1}(U)) \text{ is open in } X.
      \langle 3 \rangle 4. f^{-1}(U) is open in S.
\langle 1 \rangle 2. If S is given a topology such that, for every topological space Z and
        function f: S \to Z, we have f is continuous if and only if f \circ \pi is
        continuous, then that topology is the quotient topology.
   \langle 2 \rangle 1. Give S a topology such that, for every topological space Z and function
           f: S \to Z, we have f is continuous if and only if f \circ \pi is continuous.
   \langle 2 \rangle 2. Let: U \subseteq S
   \langle 2 \rangle 3. If \pi^{-1}(U) is open in X then U is open in S.
      \langle 3 \rangle 1. Let: Z be S under the quotient topology induced by \pi.
      \langle 3 \rangle 2. Let: f: S \to Z be the identity function.
      \langle 3 \rangle 3. f \circ \pi is continuous.
      \langle 3 \rangle 4. f is continuous.
         Proof: \langle 2 \rangle 1
      \langle 3 \rangle 5. U is open in Z.
      \langle 3 \rangle 6. U is open in X.
   \langle 2 \rangle 4. If U is open in S then \pi^{-1}(U) is open in X.
      PROOF: Since \pi is continuous (taking Z = S and f = \mathrm{id}_S in \langle 2 \rangle 1).
```

# 13.22.1 Quotient Maps

**Definition 13.22.4** (Quotient Map). Let X and S be topological spaces and  $\pi: X \to S$ . Then  $\pi$  is a *quotient map* iff  $\pi$  is surjective and the topology on S is the quotient topology induced by  $\pi$ .

**Proposition 13.22.5.** Let X and Y be topological spaces. Let  $f: X \to Y$ . Then f is a quotient map if and only if f is surjective and strongly continuous.

PROOF: Immediate from definition.

**Proposition 13.22.6.** Let X and Y be topological spaces. Let  $p: X \rightarrow Y$  be surjective. Then the following are equivalent.

- 1. p is a quotient map.
- 2. p is continuous and maps saturated open sets to open sets.
- $\it 3.~p~is~continuous~and~maps~saturated~closed~sets~to~closed~sets.$

#### Proof:

П

- $\langle 1 \rangle 1. \ 1 \Rightarrow 2$ 
  - $\langle 2 \rangle 1$ . Assume: p is a quotient map.
  - $\langle 2 \rangle 2$ . p is continuous.
  - $\langle 2 \rangle 3$ . p maps saturated open sets to open sets.
    - $\langle 3 \rangle 1$ . Let:  $U \subseteq X$  be a saturated open set.

```
\langle 3 \rangle 2. \ p^{-1}(p(U)) = U
       \langle 3 \rangle 3. p^{-1}(p(U)) is open in X.
       \langle 3 \rangle 4. p(U) is open in Y.
\langle 1 \rangle 2. 2 \Rightarrow 3
   \langle 2 \rangle1. Assume: p is continuous and maps saturated open sets to open sets.
   \langle 2 \rangle 2. Let: C be a saturated closed set in X.
   \langle 2 \rangle 3. X - C is a saturated open set.
   \langle 2 \rangle 4. Y - p(C) is open.
   \langle 2 \rangle 5. p(C) is closed.
\langle 1 \rangle 3. \ 3 \Rightarrow 1
   \langle 2 \rangle 1. Assume: p is continuous and maps closed sets to closed sets.
   \langle 2 \rangle 2. Let: C \subseteq Y
   \langle 2 \rangle 3. Assume: p^{-1}(C) is closed in X.
            PROVE: C is closed in Y.
   \langle 2 \rangle 4. p^{-1}(C) is saturated.
   \langle 2 \rangle 5. p(p^{-1}(C)) is closed.
   \langle 2 \rangle 6. C is closed.
```

**Corollary 13.22.6.1.** Let X and Y be topological spaces. Let  $p: X \to Y$  be continuous and surjective. If p is either an open map or a closed map, then p is a quotient map.

Example 13.22.7. The converse does not hold.

Let  $A = \{(x, y) \in \mathbb{R}^2 : x \ge 0 \lor y = 0\}$ . Then the first projection  $\pi_1 : A \to \mathbb{R}$  is a quotient map that is neither an open map nor a closed map.

```
\langle 1 \rangle 1. \pi_1 is a quotient map.
   \langle 2 \rangle 1. Let: U \subseteq \mathbb{R}
   \langle 2 \rangle 2. If U is open then \pi_1^{-1}(U) is open.
       PROOF: Since \pi_1^{-1}(U) = (U \times \mathbb{R}) \cap A.
   \langle 2 \rangle 3. If \pi_1^{-1}(U) is open then U is open.
       \langle 3 \rangle 1. Assume: \pi_1^{-1}(U) is open.
       \langle 3 \rangle 2. Let: x \in U
      \langle 3 \rangle 3. \ (x,0) \in \pi_1^{-1}(U)
       \langle 3 \rangle 4. Pick open neighbourhoods V of x and W of 0 such that V \times W \subseteq
                \pi_1^{-1}(U)
       \langle 3 \rangle 5. \ V \subseteq U
          PROOF: For all x' \in V we have (x', 0) \in V \times W \subseteq \pi_1^{-1}(U).
\langle 1 \rangle 2. \pi_1 is not an open map.
   PROOF: \pi_1(((-1,1)\times(1,2))\cap A)=[0,1) which is not open in \mathbb{R}.
\langle 1 \rangle 3. \pi_1 is not a closed map.
   PROOF: \pi_1(\{(x, 1/x) \in \mathbb{R}^2 : x > 0\}) = (0, +\infty) is not closed in \mathbb{R}.
```

**Corollary 13.22.7.1.** Let  $\{X_i\}_{i\in I}$  and  $\{Y_i\}_{i\in I}$  be families of topological spaces and  $p_i: X_i \to Y_i$  for all  $i \in I$ .

- 1. If every  $p_i$  is an open quotient map, then  $\prod_{i \in I} p_i : \prod_{i \in I} X_i \rightarrow \prod_{i \in I} Y_i$  is an open quotient map.
- 2. If every  $p_i$  is a closed quotient map, then  $\prod_{i \in I} p_i : \prod_{i \in I} X_i \twoheadrightarrow \prod_{i \in I} Y_i$  is a closed quotient map.

**Example 13.22.8.** The product of two quotient maps is not necessarily a quotient map.

Let Y be the quotient space of  $\mathbb{R}_K$  obtained by collapsing the set K to a point. Let  $p: \mathbb{R}_K \to Y$  be the quotient map. Then  $q \times q: \mathbb{R}_K^2 \to Y^2$  is not a quotient map.

```
Proof:
```

```
\langle 1 \rangle 1. Let: \Delta = \{(y, y) : y \in Y\}
```

 $\langle 1 \rangle 2$ . Y is not Hausdorff.

- $\langle 2 \rangle 1$ . Let:  $*_K \in Y$  be the point such that  $q(K) = \{*_K\}$
- $\langle 2 \rangle 2$ . Assume: for a contradiction U and V are disjoint neighbourhoods of 0 and  $*_K$
- $\langle 2 \rangle 3.$   $q^{-1}(U)$  and  $q^{-1}(V)$  are disjoint open sets with  $0 \in q^{-1}(U)$  and  $K \subseteq q^{-1}(V)$
- $\langle 2 \rangle 4$ . Q.E.D.

PROOF: This is a contradiction.

 $\langle 1 \rangle 3$ .  $\Delta$  is not closed in  $Y^2$ .

 $\langle 1 \rangle 4. \ (q \times q)^{-1}(\Delta) \text{ is closed in } \mathbb{R}^2_K$ PROOF: It is  $\{(x,x): x \in \mathbb{R}\} \cup K^2$ .

**Proposition 13.22.9.** Let  $\pi: X \to S$  be a quotient map. Let Z be a topological space. Let  $f: X \to Z$  be continuous. Then there exists a continuous map  $g: S \to Z$  such that  $f = g \circ \pi$  if and only if, for all  $s \in S$ , we have f is constant on  $\pi^{-1}(s)$ .

PROOF: From Theorem 13.22.3.  $\square$ 

**Proposition 13.22.10.** Let Z be a topological space. Define  $\pi:[0,1] \to S^1$  by  $\pi(t) = (\cos 2\pi t, \sin 2\pi t)$ . Given any continuous function  $f: S^1 \to Z$ , we have  $f \circ \pi$  is a loop in Z. This defines a bijection between  $\mathbf{Top}[S^1, Z]$  and the set of loops in Z.

PROOF: Since  $\pi$  is a quotient map.  $\square$ 

**Definition 13.22.11** (Projective Space). The *projective space*  $\mathbb{RP}^n$  is the quotient of  $\mathbb{R}^{n+1} - \{0\}$  by  $\sim$  where  $x \sim \lambda x$  for all  $x \in \mathbb{R}^{n+1} - \{0\}$  and  $\lambda \in \mathbb{R}$ .

**Definition 13.22.12** (Torus). The *torus T* is the quotient of  $[0,1]^2$  by  $\sim$  where  $(x,0)\sim(x,1)$  and  $(0,y)\sim(1,y)$ .

**Definition 13.22.13** (Möbius Band). The *Möbius band* is the quotient of  $[0,1]^2$  by  $\sim$  where  $(0,y) \sim (1,1-y)$ .

**Definition 13.22.14** (Klein Bottle). The *Klein bottle* is the quotient of  $[0,1]^2$  by  $\sim$  where  $(x,0) \sim (x,1)$  and  $(0,y) \sim (1,1-y)$ .

**Proposition 13.22.15.**  $\mathbb{RP}^2$  is the quotient of  $[0,1]^2$  by  $\sim$  where  $(x,0) \sim (1-x,1)$  and  $(0,y) \sim (1,1-y)$ .

PROOF:TODO

**Example 13.22.16.** Let  $\{X_i\}_{i\in I}$  be a family of topological spaces and  $\{Y_i\}_{i\in I}$  a family of sets. Let  $q_i: X_i \to Y_i$  be a surjective function for all  $i \in I$ . Give each  $Y_i$  the quotient topology. It is not true in general that the product topology on  $\prod_{i\in I} Y_i$  is the same as the quotient topology induced by  $\prod_{i\in I} q_i: \prod_{i\in I} X_i \to \prod_{i\in I} Y_i$ .

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $X^* = \mathbb{R} \mathbb{Z}_+ + \{b\}$  be the quotient space obtained from  $\mathbb{R}$  by identifying the subset  $\mathbb{Z}_+$  to the point b.
- $\langle 1 \rangle 2$ . Let:  $p : \mathbb{R} \to X^*$  be the quotient map. Prove:  $p \times \mathrm{id}_{\mathbb{Q}} : \mathbb{R} \times \mathbb{Q} \to X^* \times \mathbb{Q}$  is not a quotient map.
- $\langle 1 \rangle 3$ . For  $n \in \mathbb{Z}_+$ , LET:  $c_n = \sqrt{2}/n$
- $\langle 1 \rangle 4$ . For  $n \in \mathbb{Z}_+$ , LET:  $U_n = \{(x,y) \in \mathbb{Q} \times \mathbb{R} : n 1/4 < x < n + 1/4 \text{ and } ((y > x + c_n n \text{ and } y > -x + c_n + n) \text{ or } (y < x + c_n n \text{ and } y < -x + c_n + n))\}$
- $\langle 1 \rangle 5$ . For all  $n \in \mathbb{Z}_+$ ,  $U_n$  is open in  $\mathbb{R} \times \mathbb{Q}$
- $\langle 1 \rangle 6$ . For all  $n \in \mathbb{Z}_+$  we have  $\{n\} \times \mathbb{Q} \subseteq U_n$
- $\langle 1 \rangle 7$ . Let:  $U = \bigcup_{n \in \mathbb{Z}_+} U_n$
- $\langle 1 \rangle 8$ . U is open in  $\mathbb{R} \times \mathbb{Q}$ .
- $\langle 1 \rangle 9$ . U is saturated with respect to  $p \times id_{\mathbb{O}}$ .
- $\langle 1 \rangle 10$ . Let:  $U' = (p \times id_{\mathbb{O}})(U)$
- $\langle 1 \rangle 11$ . Assume: for a contradiction U' is open in  $X^* \times \mathbb{Q}$ .

**Proposition 13.22.17.** Let X and Y be topological spaces. Let  $\sim$  be an equivalence relation on X. Let  $\phi: Y \to X/\sim$ .

Assume that, for all  $y \in Y$ , there exists a neighbourhood U of y and a continuous function  $\Phi: U \to X$  such that  $\pi \circ \Phi = \phi \upharpoonright U$ . Then  $\phi$  is continuous.

**Proposition 13.22.18.** Let X be a topological space and  $\sim$  an equivalence relation on X. If  $X/\sim$  is Hausdorff then every equivalence class of  $\sim$  is closed in X.

**Definition 13.22.19.** Let X be a topological space and  $A_1, \ldots, A_r \subseteq X$ . Then  $X/A_1, \ldots, A_r$  is the quotient space of X with respect to  $\sim$  where  $x \sim y$  iff x = y or  $\exists i (x \in A_i \land y \in A_i)$ .

**Definition 13.22.20** (Cone). Let X be a topological space. The *cone over* X is the space  $(X \times [0,1])/(X \times \{1\})$ .

**Definition 13.22.21** (Suspension). Let X be a topological space. The *suspension* of X is the space

$$\Sigma X := (X \times [-1, 1])/(X \times \{-1\}), (X \times \{1\})$$

**Definition 13.22.22** (Wedge Product). Let  $x_0 \in X$  and  $y_0 \in Y$ . The wedge product  $X \vee Y$  is  $(X \times \{y_0\}) \cup (\{x_0\} \times Y)$  as a subspace of  $X \times Y$ .

**Definition 13.22.23** (Smash Product). Let  $x_0 \in X$  and  $y_0 \in Y$ . The *smash* product  $X \wedge Y$  is  $(X \times Y)/(X \vee Y)$ .

Example 13.22.24.  $D^n/S^{n-1} \cong S^n$ 

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $\phi: D^n/S^{n-1} \to S^n$  be the function induced by the map  $D^n \to S^n$  that maps the radii of  $D^n$  onto the meridians of  $S^n$  from the north to the south pole.
- $\langle 1 \rangle 2$ .  $\phi$  is a bijection.
- $\langle 1 \rangle 3$ .  $\phi$  is a homeomorphism.

PROOF: Since  $D^n/S^{n-1}$  is compact and  $S^n$  is Hausdorff.

# 13.23 Box Topology

**Definition 13.23.1** (Box Topology). Let  $\{X_i\}_{i\in I}$  be a family of topological spaces. The box topology on  $X = \prod_{i\in I} X_i$  is the topology generated by the basis  $\mathcal{B} = \{\prod_{i\in I} U_i : \{U_i\}_{i\in I}$  is a family with each  $U_i$  an open set in  $X_i\}$ .

We prove this is a basis for a topology.

#### Proof:

```
\langle 1 \rangle 1. \bigcup \mathcal{B} = X
```

PROOF: Since  $\prod_{i \in I} X_i \in \mathcal{B}$ .

- $\langle 1 \rangle 2$ . For all  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , there exists  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .
  - $\langle 2 \rangle 1$ . Let:  $B_1, B_2 \in \mathcal{B}$
  - $\langle 2 \rangle 2$ . Let:  $x \in B_1 \cap B_2$
  - $\langle 2 \rangle 3$ . PICK a family  $\{U_i\}_{i \in I}$  such that  $B_1 = \prod_{i \in I} U_i$ .
  - $\langle 2 \rangle 4$ . PICK a family  $\{V_i\}_{i \in I}$  such that  $B_2 = \prod_{i \in I} V_i$ .
  - $\langle 2 \rangle 5$ . Let:  $B_3 = \prod_{i \in I} (U_i \cap V_i)$
  - $\langle 2 \rangle 6. \ x \in B_3 \subseteq B_1 \cap B_2$

**Proposition 13.23.2.** The box topology is finer than the product topology.

PROOF: Immediate from definitions.

**Proposition 13.23.3.** On a finite family of topological spaces, the box topology and the product topology are the same.

Proof: Immediate from definitions.

**Proposition 13.23.4.** The box topology is strictly finer than the product topology on the Hilbert cube.

PROOF: The set  $\prod_{n=0}^{\infty} (0, 1/(n+1)^2)$  is open in the box topology but not in the

#### 13.23.1 Bases

**Proposition 13.23.5.** Let  $\{X_i\}_{i\in I}$  be a family of topological spaces. For all  $i\in I$  $I, let \mathcal{B}_i be a basis for the topology on X_i. Then \mathcal{B} = \{\prod_{i \in I} B_i : \forall i \in I.B_i \in \mathcal{B}_i\}$ is a basis for the box topology on  $\prod_{i \in I} X_i$ .

#### Proof:

 $\langle 1 \rangle 1$ . For every family  $\{B_i\}_{i \in I}$  where  $\forall i \in I.B_i \in \mathcal{B}_i$ , we have  $\prod_{i \in I} B_i$  is open in the box topology.

PROOF: Since each  $B_i$  is open in  $X_i$ .

- $\langle 1 \rangle 2$ . For any open set U in the box topology and  $x \in U$ , there exists  $B \in \mathcal{B}$ such that  $x \in B \subseteq U$ .
  - $\langle 2 \rangle 1$ . Let: U be a set open in the box topology.
  - $\langle 2 \rangle 2$ . Let:  $x \in U$
  - $\langle 2 \rangle 3$ . PICK a family  $\{U_i\}_{i \in I}$  where each  $U_i$  is open in  $X_i$  such that  $x \in \mathcal{C}$  $\prod_{i \in I} U_i \subseteq U$
  - $\langle 2 \rangle 4$ . For  $i \in I$ , choose  $B_i \in \mathcal{B}_i$  such that  $x_i \in B_i \subseteq U_i$ .
- $\langle 2 \rangle 5. \prod_{i \in I} B_i \in \mathcal{B}$   $\langle 2 \rangle 6. x \in \prod_{i \in I} B_i \subseteq \prod_{i \in I} U_i \subseteq U$

#### 13.23.2 Subspaces

**Proposition 13.23.6.** Let  $\{X_i\}_{i\in I}$  be a family of topological spaces. Let  $Y_i$  be a subspace of  $X_i$  for all  $i \in I$ . Then the box topology on  $\prod_{i \in I} Y_i$  is the same as the subspace topology that  $\prod_{i\in I} Y_i$  inherits as a subspace of  $\prod_{i\in I} X_i$  under the box topology.

PROOF: A basis for the box topology is

The box topology is 
$$\{\prod_{i\in I} V_i : V_i \text{ open in } Y_i\}$$

$$=\{\prod_{i\in I} (U_i \cap Y_i) : U_i \text{ open in } X_i\}$$

$$=\{\prod_{i\in I} U_i \cap \prod_{i\in I} Y_i : U_i \text{ open in } X_i\}$$

which is a basis for the subspace topology by Proposition 13.3.13.  $\square$ 

## 13.23.3 Closure

**Proposition 13.23.7.** Let  $\{X_i\}_{i\in I}$  be a family of topological spaces. Give  $\prod_{i\in I} X_i$  the box topology. Let  $A_i \subseteq X_i$  for all  $i\in I$ . Then

$$\prod_{i \in I} \overline{A_i} = \overline{\prod_{i \in I} A_i} .$$

```
Proof:
\langle 1 \rangle 1. \prod_{i \in I} \overline{A_i} \subseteq \overline{\prod_{i \in I} A_i}
    \langle 2 \rangle 1. Let: x \in \prod_{i \in I} \overline{A_i}
    \langle 2 \rangle 2. For any family \{U_i\}_{i \in I} where each U_i is open in X_i, if x \in \prod_{i \in I} U_i then
                \prod_{i \in I} U_i intersects \prod_{i \in I} A_i.
         \langle 3 \rangle 1. Let: \{U_i\}_{i \in I} be a family where each U_i is open in X_i.
         \langle 3 \rangle 2. Assume: x \in \prod_{i \in I}
         \langle 3 \rangle 3. For all i \in I we have U_i intersects A_i
             PROOF: Since \pi_i(x) \in \overline{A_i} and U_i is a neighbourhood of \pi_i(x).
         \langle 3 \rangle 4. \prod_{i \in I} U_i intersects \prod_{i \in I} A_i
    \langle 2 \rangle 3. \ x \in \overline{\prod_{i \in I} A_i}
         PROOF: Proposition 13.9.9.
\langle 1 \rangle 2. \overline{\prod_{i \in I} A_i} \subseteq \prod_{i \in I} \overline{A_i}
    \langle 2 \rangle 1. Let: x \in \overline{\prod_{i \in I} A_i}
    \langle 2 \rangle 2. Let: i \in I
                PROVE: \pi_i(x) \in \overline{A_i}
    \langle 2 \rangle 3. Let: U be a neighbourhood of \pi_i(x) in X_i
    \langle 2 \rangle 4. \pi_i^{-1}(U) is a neighbourhood of x in \prod_{i \in I} X_i
    \langle 2 \rangle5. Pick y \in \pi_i^{-1}(U) \cap \prod_{i \in I} A_i
     \langle 2 \rangle 6. \ \pi_i(y) \in U \cap A_i
```

# 13.24 Separations

**Definition 13.24.1** (Separation). Let X be a topological space. A separation of X is a pair (U, V) of disjoint nonempty oped subsets in X such that  $U \cup V = X$ .

#### Subspaces

**Proposition 13.24.2.** Let X be a topological space and Y a subspace of X. Then a separation of Y is a pair (A, B) of disjoint nonempty subsets of Y, neither of which contains a limit point of the other, such that  $A \cup B = Y$ .

PROOF: Since the following are equivalent:

- Neither of A and B contains a limit point of the other.
- A contains all its own limit points in Y, and B contains all its own limit points in Y.

• A and B are closed in Y.

# 13.25 Connected Spaces

**Definition 13.25.1** (Connected). A topological space is *connected* iff it has no separation.

# 13.25.1 The Real Numbers

**Example 13.25.2.** The space  $\mathbb{R}_l$  is disconnected. The sets  $(-\infty, 0)$  and  $[0, +\infty)$  form a separation.

# 13.25.2 The Indiscrete Topology

**Example 13.25.3.** Any indiscrete space is connected.

# 13.25.3 The Cofinite Topology

**Example 13.25.4.** Any infinite set under the cofinite topology is connected.

### Proof:

- $\langle 1 \rangle 1$ . Let: X be an infinite set under the cofinite topology.
- $\langle 1 \rangle 2$ . Assume: for a contradiction (C, D) is a separation of X.
- $\langle 1 \rangle 3. \ X = (X C) \cup (X D) \cup (C \cap D)$
- $\langle 1 \rangle 4$ . Q.E.D.

PROOF: This is a contradiction since X is infinite, X-C and X-D are finite, and  $C \cap D = \emptyset$ .

**Example 13.25.5.** The rationals are disconnected. For any irrational a, we have  $(-\infty, a) \cap \mathbb{Q}$  and  $(a, +\infty) \cap \mathbb{Q}$  form a separation of  $\mathbb{Q}$ .

**Example 13.25.6.**  $\mathbb{R}^{\omega}$  under the box topology is not connected. The set of bounded sequences and the set of unbounded sequences form a separation.

**Proposition 13.25.7.** A topological space X is connected if and only if the only sets that are both open and closed are  $\emptyset$  and X.

PROOF: Since (U, V) is a separation of X iff U is both open and closed and V = X - U.  $\square$ 

### 13.25.4 Finer and Coarser

**Proposition 13.25.8.** Let  $\mathcal{T}$  and  $\mathcal{T}'$  be topologies on the same set X. Assume  $\mathcal{T} \subseteq \mathcal{T}'$ . If  $\mathcal{T}'$  is connected then  $\mathcal{T}$  is connected.

PROOF: If (C, D) is a separation of  $(X, \mathcal{T})$  then it is a separation of  $(X, \mathcal{T}')$ .  $\square$ 

# 13.25.5 Boundary

**Proposition 13.25.9.** Let X be a topological space. Let  $A \subseteq X$ . Let C be a connected subspace of X. If C intersects A and X - A then C intersects  $\partial A$ .

PROOF: Otherwise  $(C \cap \overline{A}, C \cap \overline{X - A})$  would be a separation of C.  $\square$ 

### 13.25.6 Continuous Functions

**Proposition 13.25.10.** The continuous image of a connected space is connected.

### Proof:

- $\langle 1 \rangle 1$ . Let: X and Y be topological spaces.
- $\langle 1 \rangle 2$ . Let:  $f: X \rightarrow Y$  be a surjective continuous function.
- $\langle 1 \rangle 3$ . Let: (C, D) be a separation of Y.
- $\langle 1 \rangle 4.$   $(f^{-1}(C), f^{-1}(D))$  is a separation of X.

# 13.25.7 Subspaces

**Proposition 13.25.11.** Let X be a topological space. Let (C, D) be a separation of X. Let Y be a connected subspace of X. Then either  $Y \subseteq C$  or  $Y \subseteq D$ .

PROOF: Otherwise  $(Y \cap C, Y \cap D)$  would be a separation of Y.  $\square$ 

**Proposition 13.25.12.** Let X be a topological space. Let A be a set of connected subspaces of X and B a connected subspace of X. Assume that, for all  $A \in A$ , we have  $A \cap B \neq \emptyset$ . Then  $\bigcup A \cup B$  is connected.

#### PROOF:

- $\langle 1 \rangle 1$ . Assume: for a contradiction (C, D) is a separation of  $\bigcup A \cup B$ .
- $\langle 1 \rangle 2$ . Assume: w.l.o.g.  $B \subseteq C$

Proof: Proposition 13.25.11.

 $\langle 1 \rangle 3$ . For all  $A \in \mathcal{A}$  we have  $A \subseteq C$ 

Proof: Proposition 13.25.11.

- $\langle 1 \rangle 4$ .  $D = \emptyset$
- $\langle 1 \rangle$ 5. Q.E.D.

PROOF: This is a contradiction.

**Proposition 13.25.13.** Let X be a topological space. Let A be a connected subspace of X. Let B be a subspace of X. If  $A \subseteq B \subseteq \overline{A}$  then B is connected.

### Proof:

- $\langle 1 \rangle 1$ . Assume: for a contradiction (C, D) is a separation of B.
- $\langle 1 \rangle 2$ . Assume: w.l.o.g.  $A \subseteq C$

Proof: Proposition 13.25.11.

 $\langle 1 \rangle 3. \ \overline{A} \subseteq \overline{C}$ 

```
\langle 1 \rangle4. \overline{C} \cap D = \emptyset

\langle 1 \rangle5. B \cap D = \emptyset

\langle 1 \rangle6. Q.E.D.

PROOF: This is a contradiction.
```

Corollary 13.25.13.1. The topologist's sine curve is connected.

PROOF: The set  $\{(x, \sin 1/x) : 0 < x \le 1\}$  is connected, since it is the continuous image of the connected set (0, 1]. The topologist's sine curve is its closure, hence connected by Proposition 13.25.13.  $\square$ 

**Proposition 13.25.14.** Let X be a topological space. Let  $(A_n)$  be a sequence of connected subspaces of X such that, for all n, we have  $A_n \cap A_{n+1} \neq \emptyset$ . Then  $\bigcup_n A_n$  is connected.

#### Proof:

- $\langle 1 \rangle 1$ . Assume: for a contradiction (C, D) is a separation of  $\bigcup_n A_n$
- $\langle 1 \rangle 2$ . Assume: w.l.o.g.  $A_0 \subseteq C$

Proof: Proposition 13.25.11.

- $\langle 1 \rangle 3. \ \forall n.A_n \subseteq C$ 
  - $\langle 2 \rangle 1$ . Assume: as induction hypothesis  $A_n \subseteq C$
  - $\langle 2 \rangle 2$ . Pick  $x \in A_n \cap A_{n+1}$
  - $\langle 2 \rangle 3. \ x \in C$
  - $\langle 2 \rangle 4$ .  $A_{n+1} \subseteq C$

Proof: Proposition 13.25.11.

- $\langle 1 \rangle 4. \bigcup_n A_n \subseteq C$
- $\langle 1 \rangle 5$ . Q.E.D.

Proof: This is a contradiction.

**Proposition 13.25.15.** Let X be a connected topological space. Let  $Y \subseteq X$  be connected. Let (A, B) be a separation of X - Y. Then  $Y \cup A$  and  $Y \cup B$  are connected.

#### Proof:

- $\langle 1 \rangle 1$ .  $Y \cup A$  is connected.
  - $\langle 2 \rangle 1$ . Assume: for a contradiction (C,D) is a separation of  $Y \cup A$
  - $\langle 2 \rangle 2$ . Assume: w.l.o.g.  $Y \subseteq C$
  - $\langle 2 \rangle$ 3. PICK C' and D' open in X such that  $C = C' \cap (Y \cup A)$  and  $D = D' \cap (Y \cup A)$
  - $\langle 2 \rangle 4$ .  $D = D' \cap A$
  - $\langle 2 \rangle 5.$   $C' \cap D' \cap A = \emptyset$
  - $\langle 2 \rangle 6$ .  $A \subseteq C' \cup D'$
  - $\langle 2 \rangle$ 7. PICK A' and B' open in X such that A = A' Y and B = B' Y
  - $\langle 2 \rangle 8. \ A' \cap B' \subseteq Y$
  - $\langle 2 \rangle 9. \ X Y \subseteq A' \cup B'$
  - $\langle 2 \rangle 10. \ A' \subseteq C' \cup D'$

```
\langle 2 \rangle 11. (D' \cap A', B' \cup C') is a separation of X.
\langle 1 \rangle 2. Y \cup B is connected.
   PROOF: Similar.
```

#### 13.25.8Order Topology

**Proposition 13.25.16.** *Let* L *be a linearly ordered set under the order topology.* Then L is connected if and only if X is a linear continuum.

```
Proof:
\langle 1 \rangle 1. If L is a linear continuum then L is connected.
   \langle 2 \rangle 1. Let: L be a linear continuum.
   \langle 2 \rangle 2. Assume: for a contradiction (A, B) is a separation of L.
   \langle 2 \rangle 3. Pick a \in A and b \in B.
   \langle 2 \rangle 4. Assume: w.l.o.g. a < b
   \langle 2 \rangle 5. Let: c = \sup\{x \in A : x < b\}
   \langle 2 \rangle 6. c \notin A
      \langle 3 \rangle 1. Assume: for a contradiction c \in A.
      \langle 3 \rangle 2. Pick e > c such that [c, e) \subseteq A.
      \langle 3 \rangle 3. Pick z such that c < z < e.
       \langle 3 \rangle 4. \ z \in A
      \langle 3 \rangle 5. Q.E.D.
          PROOF: This contradicts \langle 2 \rangle 5.
   \langle 2 \rangle 7. \ c \notin B
      \langle 3 \rangle 1. Assume: for a contradictis c \in B.
      \langle 3 \rangle 2. Pick d < c such that (d, c] \subseteq B.
      \langle 3 \rangle 3. Pick z such that d < z < c
      \langle 3 \rangle 4. z is an upper bound for \{x \in A : x < b\}
      \langle 3 \rangle 5. Q.E.D.
          PROOF: This contradicts \langle 2 \rangle 5.
   \langle 2 \rangle 8. Q.E.D.
      PROOF: This is a contradiction.
\langle 1 \rangle 2. If L is connected then L is a linear continuum.
   \langle 2 \rangle 1. Assume: L is connected.
   \langle 2 \rangle 2. L is dense.
      \langle 3 \rangle 1. Let: a, b \in L with a < b.
      \langle 3 \rangle 2. Assume: for a contradiction there is no c such that a < c < b.
      \langle 3 \rangle 3. ((-\infty,b),(a,+\infty)) is a separation of L.
   \langle 2 \rangle 3. L has the least upper bound property.
      \langle 3 \rangle 1. Assume: for a contradiction S \subseteq L is a nonempty set bounded above
                              with no least upper bound.
      \langle 3 \rangle 2. Let: S \uparrow be the set of upper bounds for S.
      \langle 3 \rangle 3. Let: S \uparrow \downarrow be the set of lower bounds for S \uparrow.
```

PROVE:  $(S \uparrow \downarrow, S \uparrow)$  is a separation of L.

 $\langle 3 \rangle 4. \ S \uparrow \neq \emptyset$ 

П

```
Proof: Since S is bounded above.
\langle 3 \rangle 5. \ S \uparrow \downarrow \neq \emptyset
    PROOF: Since \emptyset \neq S \subseteq S \uparrow \downarrow.
\langle 3 \rangle 6. S \uparrow is open.
    \langle 4 \rangle 1. Let: u \in S \uparrow
    \langle 4 \rangle 2. PICK v \in S \uparrow such that v < u
        PROOF: Since u is not the least upper bound for S.
    \langle 4 \rangle 3. \ u \in (v, +\infty) \subseteq S \uparrow
\langle 3 \rangle 7. S \uparrow \downarrow is open.
    \langle 4 \rangle 1. Let: l \in S \uparrow \downarrow
    \langle 4 \rangle 2. \ l \notin S \uparrow
        PROOF: Since l is not the least upper bound for S.
    \langle 4 \rangle 3. Pick s \in S such that l < s
    \langle 4 \rangle 4. \ l \in (-\infty, s) \subseteq S \uparrow \downarrow
\langle 3 \rangle 8. \ S \uparrow \cap S \uparrow \downarrow \neq \emptyset
    PROOF: An element of both would be a least upper bound for S.
\langle 3 \rangle 9. \ S \uparrow \cup S \uparrow \downarrow = L
    \langle 4 \rangle 1. Let: x \in L
    \langle 4 \rangle 2. Assume: x \notin S \uparrow
    \langle 4 \rangle 3. There exists s \in S such that x < s.
    \langle 4 \rangle 4. \forall u \in S \uparrow .x < u
    \langle 4 \rangle 5. \ x \in S \uparrow \downarrow
```

**Theorem 13.25.17** (Intermediate Value Theorem). Let X be a connected space. Let Y be a linearly ordered set under the order topology. Let  $f: X \to Y$  be continuous. Let  $a, b \in X$  and  $r \in Y$ . If f(a) < r < f(b), then there exists  $c \in X$  such that f(c) = r.

PROOF: Otherwise  $\{x \in X : f(x) < r\}$  and  $\{x \in X : f(x) > r\}$  would form a separation of X.  $\square$ 

**Corollary 13.25.17.1.** Every continuous function  $[0,1] \rightarrow [0,1]$  has a fixed point.

```
PROOF:  \langle 1 \rangle 1. \text{ Let: } f: [0,1] \rightarrow [0,1] \text{ be continuous.} \\ \langle 1 \rangle 2. \text{ Let: } g: [0,1] \rightarrow [-1,1] \text{ be the function } g(x) = f(x) - x. \\ \langle 1 \rangle 3. \ g(0) \geqslant 0 \\ \langle 1 \rangle 4. \ g(1) \leqslant 0 \\ \langle 1 \rangle 5. \text{ There exists } x \in [0,1] \text{ such that } g(x) = 0. \\ \text{PROOF: Intermediate Value Theorem.} \\ \langle 1 \rangle 6. \text{ There exists } x \in [0,1] \text{ such that } f(x) = x. \\ \square
```

### 13.25.9 Product Topology

**Proposition 13.25.18.** The product of a family of connected spaces is connected.

```
Proof:
\langle 1 \rangle 1. The product of two connected spaces is connected.
   \langle 2 \rangle 1. Let: X and Y be connected topological spaces.
   \langle 2 \rangle 2. Assume: w.l.o.g. X and Y are nonempty.
   \langle 2 \rangle 3. Pick (a,b) \in X \times Y
   \langle 2 \rangle 4. X \times \{b\} is connected.
      PROOF: It is homeomorphic to X.
   \langle 2 \rangle 5. For all x \in X we have \{x\} \times Y is connected.
      PROOF: It is homeomorphic to Y.
   \langle 2 \rangle 6. For all x \in X we have (X \times \{b\}) \cup (\{x\} \times Y) is connected.
      Proof: Proposition 13.25.12.
   \langle 2 \rangle 7. X \cup Y is connected.
      PROOF: Proposition 13.25.12 since X \cup Y = \bigcup_{x \in X} ((X \times \{b\}) \cup (\{x\} \times Y))
      and the subspaces all have the point (a, b) in common.
\langle 1 \rangle 2. Let: \{X_i\}_{i \in I} be a family of connected spaces.
\langle 1 \rangle 3. Let: X = \prod_{i \in I} X_i
\langle 1 \rangle 4. Assume: w.l.o.g. each X_i is nonempty.
\langle 1 \rangle5. Pick a \in X
\langle 1 \rangle 6. For every finite K \subseteq I,
        Let: X_K = \{x \in X : \forall i \notin K.\pi_i(x) = \pi_i(a)\}
\langle 1 \rangle 7. For every finite K \subseteq I, we have X_K is connected.
   Proof: It is homeomorphic to \prod_{i \in K} X_i which is connected by \langle 1 \rangle 1.
\langle 1 \rangle 8. Let: Y = \bigcup_{K \text{ a finite subset of } I} X_K
\langle 1 \rangle 9. Y is connected.
   PROOF: Proposition 13.25.12 since a \in X_K for all K.
\langle 1 \rangle 10. \ X = \overline{Y}
   \langle 2 \rangle 1. Let: x \in X
   \langle 2 \rangle 2. Let: U be a neighbourhood of x.
           Prove: U intersects Y.
   \langle 2 \rangle 3. PICK a finite subset K of I and U_i open in each X_i such that U_i = X_i
           for all i \notin K, and x \in \prod_i U_i \subseteq U
   \langle 2 \rangle 4. Let: y \in X be the point with \pi_i(y) = \pi_i(x) for i \in K and \pi_i(y) = \pi_i(a)
                   for i \notin K
   \langle 2 \rangle 5. \ y \in U \cap Y
\langle 1 \rangle 11. X is connected.
   Proof: Proposition 13.25.13.
```

**Proposition 13.25.19.** Let X and Y be topological spaces. Let A be a proper subset of X and B a proper subset of Y. Then  $(X \times Y) - (A \times B)$  is connected.

```
\langle 1 \rangle 1. Pick x_0 \in X - A
\langle 1 \rangle 2. Pick y_0 \in Y - B
\langle 1 \rangle 3. Let: C = ((X - A) \times Y) \cup (X \times \{y_0\})
\langle 1 \rangle 4. Let: D = (\{x_0\} \times Y) \cup (X \times (Y - B))
\langle 1 \rangle 5. C is connected.
   \langle 2 \rangle 1. C = \bigcup_{x \in X - A} (\{x\} \times Y) \cup (X \times \{y_0\})
   \langle 2 \rangle 2. For all x \in X - A we have \{x\} \times Y is connected.
      PROOF: It is homeomorphic to Y.
   \langle 2 \rangle 3. X \times \{y_0\} is connected.
      PROOF: It is homeomorphic to X.
   \langle 2 \rangle 4. For all x \in X - A we have (x, y_0) \in (\{x\} \times Y) \cap (X \times \{y_0\})
   \langle 2 \rangle 5. C is connected.
      Proof: Proposition 13.25.12.
\langle 1 \rangle 6. D is connected.
   Proof: Similar.
\langle 1 \rangle 7. \ (X \times Y) - (A \times B) = C \cup D
\langle 1 \rangle 8. \ (X \times Y) - (A \times B) is connected.
   PROOF: Proposition 13.25.12 since (x_0, y_0) \in C \cap D.
```

### 13.25.10 Quotient Spaces

**Proposition 13.25.20.** A quotient of a connected space is connected.

### Proof:

```
\langle 1 \rangle 1. Let: p: X \to Y be a quotient map. \langle 1 \rangle 2. If (C, D) is a separation of Y then (p^{-1}(C), p^{-1}(D)) is a separation of X.
```

**Proposition 13.25.21.** Let  $p: X \to Y$  be a quotient map. Assume that Y is connected, for all  $y \in Y$ , we have  $p^{-1}(y)$  is connected. Then X is connected.

### Proof:

```
\langle 1 \rangle 1. Assume: for a contradiction (A, B) is a separation of X.
```

 $\langle 1 \rangle 2$ . For all  $y \in Y$ , either  $p^{-1}(y) \subseteq A$  or  $p^{-1}(y) \subseteq B$ .

 $\langle 1 \rangle 3. \ (\{y \in Y : p^{-1}(y) \subseteq A\}, \{y \in Y : p^{-1}(y) \subseteq B\}) \text{ form a separation of } Y.$ 

 $\langle 1 \rangle 4$ . Q.E.D.

PROOF: This is a contradiction.

## 13.26 $T_1$ Spaces

**Definition 13.26.1**  $(T_1)$ . A topological space is  $T_1$  iff every one-point set is closed.

**Proposition 13.26.2.** A topological space is  $T_1$  iff every finite set is closed.

PROOF: Since the union of finitely many closed sets is closed.  $\Box$ 

**Proposition 13.26.3.** Let X be a topological space. Then X is  $T_1$  if and only if, for all  $x, y \in X$ , if  $x \neq y$  then there exists a neighbourhood of x that does not contain y, and there exists a neighbourhood of y that does not contain x.

### PROOF:

- $\langle 1 \rangle 1$ . If X is  $T_1$  then, for all  $x, y \in X$ , if  $x \neq y$  then there exists a neighbourhood of x that does not contain y, and there exists a neighbourhood of y that does not contain x.
  - $\langle 2 \rangle 1$ . Assume: X is  $T_1$ .
  - $\langle 2 \rangle 2$ . Let:  $x, y \in X$
  - $\langle 2 \rangle 3$ . Assume:  $x \neq y$
  - $\langle 2 \rangle 4$ .  $X \{y\}$  is a neighbourhood of x that does not contain y.
  - $\langle 2 \rangle$ 5.  $X \{x\}$  is a neighbourhood of y that does not contain x.
- $\langle 1 \rangle 2$ . If, for all  $x, y \in X$ , if  $x \neq y$  then there exists a neighbourhood of x that does not contain y, and there exists a neighbourhood of y that does not contain x, then X is  $T_1$ .
  - $\langle 2 \rangle$ 1. Assume: For all  $x, y \in X$ , if  $x \neq y$  then there exists a neighbourhood of x that does not contain y, and there exists a neighbourhood of y that does not contain x.
  - $\langle 2 \rangle 2$ . Let:  $x \in X$

PROVE:  $\{x\}$  is closed.

- $\langle 2 \rangle 3$ . Let:  $y \in X \{x\}$
- $\langle 2 \rangle 4$ . PICK a neighbourhood U of y that does not contain x.
- $\langle 2 \rangle 5. \ y \in U \subseteq X \{x\}$

### 13.26.1 Limit Points

**Proposition 13.26.4.** Let X be a  $T_1$  space. Let  $A \subseteq X$  and  $l \in X$ . Then l is a limit point of A if and only if every neighbourhood of l contains infinitely many points of A.

#### Proof:

- $\langle 1 \rangle 1$ . If l is a limit point of A then every neighbourhood of l contains infinitely many points of A.
  - $\langle 2 \rangle 1$ . Assume: l is a limit point of A.
  - $\langle 2 \rangle 2$ . Let: *U* be a neighbourhood of *l*.
  - $\langle 2 \rangle 3$ . Assume: for a contradiction  $U \cap A \{l\}$  is finite.
  - $\langle 2 \rangle 4$ .  $U \cap A \{l\}$  is closed.

PROOF: Since X is  $T_1$ .

- $\langle 2 \rangle 5$ .  $U (A \{l\})$  is a neighbourhood of l.
- $\langle 2 \rangle 6$ .  $U (A \{l\})$  intersects A.
- $\langle 2 \rangle$ 7. Q.E.D.
- $\langle 1 \rangle 2$ . If every neighbourhood of l contains infinitely many points of A then l is a limit point of A.

PROOF: Immediate from definitions.

### 13.27 Hausdorff Spaces

**Definition 13.27.1** (Hausdorff). A topological space is a *Hausdorff* space or a  $T_2$  space iff any two distinct points have disjoint neighbourhoods.

Proposition 13.27.2. In a Hausdorff space, a sequence has at most one limit.

```
PROOF:  \langle 1 \rangle 1. \text{ Let: } X \text{ be a Hausdorff space.} \\ \langle 1 \rangle 2. \text{ Let: } (a_n) \text{ be a sequence in } X \text{ and } l, m \in X \\ \langle 1 \rangle 3. \text{ Assume: } a_n \to l \text{ and } a_n \to m \\ \langle 1 \rangle 4. \text{ Assume: for a contradiction } l \neq m \\ \langle 1 \rangle 5. \text{ PICK disjoint open sets } U \text{ and } V \text{ with } l \in U \text{ and } m \in V \\ \langle 1 \rangle 6. \text{ PICK } M, N \text{ such that } \forall n \geqslant M.a_n \in U \text{ and } \forall n \geqslant N.a_n \in V \\ \langle 1 \rangle 7. \ a_{\max(M,N)} \in U \cap V \\ \langle 1 \rangle 8. \text{ Q.E.D.} \\ PROOF: This contradicts the fact that } U \cap V = \emptyset.
```

**Example 13.27.3.** We cannot weaken the hypothesis from being Hausdorff to being  $T_1$ .

In the cofinite topology on any infinite set, every sequence converges to every point.

**Proposition 13.27.4.** Any linearly ordered set is Hausdorff under the order topology.

#### Proof:

- $\langle 1 \rangle 1$ . Let: X be a linearly ordered set under the order topology.
- $\langle 1 \rangle 2$ . Let:  $a, b \in X$  with  $a \neq b$ .
- $\langle 1 \rangle 3$ . Assume: w.l.o.g. a < b.
- $\langle 1 \rangle 4$ . Case: There exists  $c \in X$  such that a < c < b.
  - $\langle 2 \rangle 1$ . Let:  $U = (-\infty, c)$
  - $\langle 2 \rangle 2$ . Let:  $V = (c, +\infty)$
  - $\langle 2 \rangle 3$ . U and V are disjoint open sets with  $a \in U$  and  $b \in V$
- $\langle 1 \rangle$ 5. Case: There is no  $c \in X$  such that a < c < b.
  - $\langle 2 \rangle 1$ . Let:  $U = (-\infty, b)$
  - $\langle 2 \rangle 2$ . Let:  $V = (a, +\infty)$
  - $\langle 2 \rangle 3$ . U and V are disjoint open sets with  $a \in U$  and  $b \in V$

**Proposition 13.27.5.** A subspace of a Hausdorff space is Hausdorff.

- $\langle 1 \rangle 1$ . Let: X be a Hausdorff space.
- $\langle 1 \rangle 2$ . Let: Y be a subspace of X.
- $\langle 1 \rangle 3$ . Let:  $a, b \in Y$  with  $a \neq b$ .
- $\langle 1 \rangle 4$ . PICK disjoint open sets U and V in X with  $a \in U$  and  $b \in V$ .
- $\langle 1 \rangle 5$ .  $U \cap Y$  and  $V \cap Y$  are disjoint open sets in Y with  $a \in U \cap Y$  and  $b \in V \cap Y$ .

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**Proposition 13.27.6.** The disjoint union of two Hausdorff spaces is Hausdorff.

**Proposition 13.27.7.** Let A be a topological space and B a Hausdorff space. Let  $f, g: A \to B$  be continuous. Let  $X \subseteq A$  be dense. If f and g agree on X, then f = g.

### Proof:

- $\langle 1 \rangle 1$ . Assume: for a contradiction  $a \in A$  and  $f(a) \neq g(a)$ .
- $\langle 1 \rangle 2$ . PICK disjoint neighbourhoods U and V of f(a) and g(a) respectively.
- $\langle 1 \rangle 3$ . Pick  $x \in f^{-1}(U) \cap g^{-1}(V)$
- $\langle 1 \rangle 4. \ f(x) = g(x) \in U \cap V$
- $\langle 1 \rangle$ 5. Q.E.D.

PROOF: This is a contradiction.

### 13.27.1 Product Topology

**Proposition 13.27.8.** The product of a family of Hausdorff spaces is Hausdorff.

### Proof:

- $\langle 1 \rangle 1$ . Let:  $\{X_i\}_{i \in I}$  be a family of Hausdorff spaces.
- $\langle 1 \rangle 2$ . Let:  $x, y \in \prod_{i \in I} X_i$  with  $x \neq y$ .
- $\langle 1 \rangle 3$ . PICK  $i \in I$  such that  $\pi_i(x) \neq \pi_i(y)$
- $\langle 1 \rangle 4$ . PICK disjoint open sets U and V in  $X_i$  such that  $\pi_i(x) \in U$  and  $\pi_i(y) \in V$ .
- $\langle 1 \rangle 5. \ x \in \pi_i^{-1}(U) \text{ and } y \in \pi_i^{-1}(V).$

### 13.27.2 Box Topology

**Proposition 13.27.9.** The box product of a family of Hausdorff spaces is Hausdorff.

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $\{X_i\}_{i \in I}$  be a family of Hausdorff spaces.
- $\langle 1 \rangle 2$ . Let:  $x, y \in \prod_{i \in I} X_i$  with  $x \neq y$ .
- $\langle 1 \rangle 3$ . PICK  $i \in I$  such that  $\pi_i(x) \neq \pi_i(y)$
- $\langle 1 \rangle 4$ . PICK disjoint open sets U and V in  $X_i$  such that  $\pi_i(x) \in U$  and  $\pi_i(y) \in V$ .
- $\langle 1 \rangle 5. \ x \in \pi_i^{-1}(U) \text{ and } y \in \pi_i^{-1}(V).$

### 13.27.3 $T_1$ Spaces

**Proposition 13.27.10.** Every Hausdorff space is  $T_1$ .

```
\begin{array}{l} \langle 1 \rangle 1. \text{ Let: } X \text{ be a Hausdorff space.} \\ \langle 1 \rangle 2. \text{ Let: } a \in X \\ \text{Prove: } X - \{a\} \text{ is open.} \\ \langle 1 \rangle 3. \text{ Let: } x \in X - \{a\} \\ \langle 1 \rangle 4. \text{ Pick disjoint open sets } U \text{ and } V \text{ with } a \in U \text{ and } x \in V \\ \langle 1 \rangle 5. \text{ } x \in V \subseteq X - U \subseteq X - \{a\} \\ \square \end{array}
```

**Example 13.27.11.** The converse does not hold. If X is an infinite set under the cofinite topology, then X is  $T_1$  but not Hausdorff.

**Proposition 13.27.12.** Let X and Y be metric spaces. Let  $f: X \to Y$  be uniformly continuous. Let  $\hat{X}$  and  $\hat{Y}$  be the completions of X and Y. Then f extends uniquely to a continuous map  $\hat{X} \to \hat{Y}$ .

PROOF: The extension maps  $\lim_{n\to\infty} x_n$  to  $\lim_{n\to\infty} f(x_n)$ .  $\square$ 

**Proposition 13.27.13.** Let X be a topological space. Then X is Hausdorff if and only if the diagonal  $\Delta = \{(x, x) : x \in X\}$  is closed in  $X^2$ .

#### Proof:

 $\Leftrightarrow X$  is Hausdorff

```
\Delta is closed 

\Rightarrow X^2 - \Delta is open 

\Rightarrow \forall x, y \in X((x, y) \notin \Delta \Rightarrow \exists V, W \text{ open in } X(x \in V \land y \in W \land V \times W \subseteq X^2 - \Delta)) 

\Rightarrow \forall x, y \in X(x \neq y \Rightarrow \exists V, W \text{ open in } X(x \in V \land y \in W \land V \cap W = \emptyset))
```

### 13.28 Separable Spaces

**Definition 13.28.1** (Separable). A topological space is *separable* iff it has a countable dense subset.

Every second countable space is separable.

## 13.29 Sequential Compactness

**Definition 13.29.1** (Sequentially Compact). A topological space is *sequentially compact* iff every sequence has a convergent subsequence.

## 13.30 Compactness

**Definition 13.30.1** (Compact). A topological space is *compact* iff every open cover has a finite subcover.

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**Proposition 13.30.2.** Let X be a compact topological space. Let P be a set of open sets such that, for all  $U, V \in P$ , we have  $U \cup V \in P$ . Assume that every point has an open neighbourhood in P. Then  $X \in P$ .

#### Proof:

```
\langle 1 \rangle 1. P is an open cover of X \langle 1 \rangle 2. PICK a finite subcover U_1, \ldots, U_n \in P \langle 1 \rangle 3. X = U_1 \cup \cdots \cup U_n \in P
```

**Corollary 13.30.2.1.** Let f be a compact space and  $f: X \to \mathbb{R}$  be locally bounded. Then f is bounded.

PROOF: Take  $P = \{U \text{ open in } X : f \text{ is bounded on } U\}$ .  $\square$ 

**Proposition 13.30.3.** The continuous image of a compact space is compact.

**Proposition 13.30.4.** A closed subspace of a compact space is compact.

**Proposition 13.30.5.** Let X and Y be nonempty spaces. Then the following are equivalent.

- 1. X and Y are compact.
- 2. X + Y is compact.
- 3.  $X \times Y$  is compact.

Proposition 13.30.6. A compact subspace of a Hausdorff space is closed.

**Proposition 13.30.7.** A continuous bijection from a compact space to a Hausdorff space is a homeomorphism.

**Proposition 13.30.8.** A first countable compact space is sequentially compact.

### 13.31 Gluing

**Definition 13.31.1** (Gluing). Let X and Y be topological spaces,  $X_0 \subseteq X$  and  $\phi: X_0 \to Y$  a continuous map. Then  $Y \cup_{\phi} X$  is the quotient space  $(X+Y)/\sim$ , where  $\sim$  is the equivalence relation generated by  $x \sim \phi(x)$  for all  $x \in X$ .

**Proposition 13.31.2.** *Y* is a subspace of  $Y \cup_{\phi} X$ .

**Definition 13.31.3.** Let X be a topological space and  $\alpha: X \cong X$  a homeomorphism. Then  $(X \times [0,1])/\alpha$  is the quotient space of  $X \times [0,1]$  by the equivalence relation generated by  $(x,0) \sim (\alpha(x),1)$  for all  $x \in X$ .

**Definition 13.31.4** (Möbius Strip). The *Möbius strip* is  $([-1,1] \times [0,1])/\alpha$  where  $\alpha(x) = -x$ .

**Definition 13.31.5** (Klein Bottle). The *Klein bottle* is  $(S^1 \times [0,1])/\alpha$  where  $\alpha(z) = \overline{z}$ .

**Proposition 13.31.6.** Let M be the Möbius strip and K the Klein bottle. Then  $M \cup_{\mathrm{id}_{\partial M}} M \cong K$ .

### Proof:

```
\langle 1 \rangle 1. Let: f: ([-1,1] \times [0,1]) + ([-1,1] \times [0,1]) \to S^1 \times [0,1] be the function that maps \kappa_1(\theta,t) to (e^{\pi i\theta/2},t) and \kappa_2(\theta,t) to (-e^{-\pi i\theta/2},t). \langle 1 \rangle 2. f induces a bijection M \cup_{\mathrm{id}_{\partial M}} M \approx K \langle 1 \rangle 3. f is a homeomorphism.
```

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### 13.32 Homogeneous Spaces

**Definition 13.32.1** (Homogeneous). A topological space X is homogeneous iff, for any  $x, y \in X$ , there exists a homeomorphism  $f: X \cong X$  such that f(x) = y.

### 13.33 Regular Spaces

**Definition 13.33.1** (Regular). A topological space X is *regular* iff it is  $T_1$  and, for every closed set A and point  $x \notin A$ , there exist disjoint open sets U and V with  $A \subseteq U$  and  $x \in V$ .

### 13.34 Totally Disconnected Spaces

**Definition 13.34.1** (Totally Disconnected). A topological space X is *totally disconnected* iff the only connected subspaces are the one-point subspaces.

**Example 13.34.2.** Every discrete space is totally disconnected.

Example 13.34.3. The rationals are totally disconnected.

### 13.35 Path Connected Spaces

**Definition 13.35.1** (Path-connected). A topological space X is path-connected iff, for any points  $a,b \in X$ , there exists a continuous function  $\alpha:[0,1] \to X$ , called a path, such that  $\alpha(0)=a$  and  $\alpha(1)=b$ .

### 13.35.1 The Ordered Square

Proposition 13.35.2. The ordered square is not path connected.

### PROOF:

```
\langle 1 \rangle 1. Assume: for a contradiction p:[a,b] \to I_o^2 is a path from (0,0) to (1,1). \langle 1 \rangle 2. p is surjective.
```

PROOF: Intermediate Value Theorem.

 $\langle 1 \rangle 3$ . For all  $x \in [0,1]$ , the set  $p^{-1}(\{x\} \times (0,1))$  is a nonempty open set in [0,1].

```
\langle 1 \rangle 4. For all x \in [0,1] choose a rational q_x \in p^{-1}(\{x\} \times (0,1)). \langle 1 \rangle 5. The mapping that maps x to q_x is an injective function [0,1] \to \mathbb{Q} \langle 1 \rangle 6. Q.E.D. PROOF: This contradicts the fact that [0,1] is uncountable and \mathbb{Q} is countable. \square
```

### 13.35.2 Punctured Euclidean Space

**Proposition 13.35.3.** For n > 1, the punctured Euclidean space  $\mathbb{R}^n - \{0\}$  is path connected.

PROOF: Given points x and y, take the straight line from x to y if this does not pass through 0. Otherwise pick a point z not on this line, and take the two straight lines from x to z then from z to y.  $\square$ 

### 13.35.3 The Topologist's Sine Curve

**Proposition 13.35.4.** The topologist's sine curve is not path connected.

```
PROOF:  \langle 1 \rangle 1. \text{ Let: } S = \{(x, \sin 1/x) : 0 < x \leqslant 1\}   \langle 1 \rangle 2. \text{ Assume: for a contradiction } p : [0,1] \to \overline{S} \text{ is a path from } (0,0) \text{ to } (1,\sin 1).   \langle 1 \rangle 3. \text{ Let: } b \text{ be the largest element of } p^{-1}(\{0\} \times [-1,1])   \langle 1 \rangle 4. \text{ For } n \text{ a positive integer, choose } t_n \text{ such that } b < t_n < ((n-1)b+1)/n  and  \pi_2(p(t_t)) = (-1)^n   \langle 1 \rangle 5. t_n \to b \text{ as } n \to \infty   \langle 1 \rangle 6. \ (p(t_n)) \text{ does not converge. }   \langle 1 \rangle 7. \text{ Q.E.D. }  Proof: This is a contradiction.  \Box
```

### 13.35.4 The Long Line

**Proposition 13.35.5.** The long line is path connected.

```
Proof:  \langle 1 \rangle 1. \text{ Let: } L = S_{\Omega} \times [0,1) \text{ be the long line.}   \langle 1 \rangle 2. \text{ Let: } (a,b), (c,d) \in L   \langle 1 \rangle 3. \text{ Pick } e \text{ such that } a < e \text{ and } c < e   \langle 1 \rangle 4. \ (a,b), (c,d) \in [(0,0),(e,0)) \cong [0,1)  Proof: Using Proposition 6.5.2.  \langle 1 \rangle 5. \text{ There is a path from } (a,b) \text{ to } (c,d).
```

### 13.35.5 Continuous Functions

**Proposition 13.35.6.** The continuous image of a path connected space is path connected.

#### Proof:

- $\langle 1 \rangle 1$ . Let: X be a path connected space and Y a topological space.
- $\langle 1 \rangle$ 2. Let:  $f: X \rightarrow Y$  be a surjective continuous function. Prove: Y is path connected.
- $\langle 1 \rangle 3$ . Let:  $a, b \in Y$
- $\langle 1 \rangle 4$ . PICK  $x, y \in X$  with f(x) = a and f(y) = b.
- $\langle 1 \rangle 5$ . PICK a path  $p:[0,1] \to X$  from x to y.
- $\langle 1 \rangle 6$ .  $f \circ p$  is a path from a to b.

### 13.35.6 Subspaces

**Proposition 13.35.7.** Let  $\{X\}$  be a topological space. Let  $\mathcal{A}$  be a set of connected subspaces of X. If  $\bigcap \mathcal{A} \neq \emptyset$  then  $\bigcup \mathcal{A}$  is connected.

### Proof:

- $\langle 1 \rangle 1$ . Pick  $a \in \bigcap \mathcal{A}$
- $\langle 1 \rangle 2$ . Pick  $x, y \in \bigcup A$
- $\langle 1 \rangle 3$ . PICK  $A, B \in \mathcal{A}$  with  $x \in A$  and  $y \in B$ .
- $\langle 1 \rangle 4$ . PICK a path p from x to a in A, and a path q from a to y in B.
- $\langle 1 \rangle$ 5. The concatenation of p and q is a path from x to y in  $\bigcup \mathcal{A}$ .

**Proposition 13.35.8.** A quotient of a path connected space is path connected.

### 13.35.7 Product Topology

**Proposition 13.35.9.** The product of a family of path connected spaces is path connected.

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $\{X_i\}_{i \in I}$  be a family of path connected spaces.
- $\langle 1 \rangle 2$ . Let:  $x, y \in \prod_{i \in I} X_i$
- $\langle 1 \rangle 3$ . For  $i \in I$ , Pick a path  $p_i : [0,1] \to X_i$  from  $\pi_i(x)$  to  $\pi_i(y)$
- $\langle 1 \rangle 4$ .  $\lambda t \in [0, 1]. \lambda i \in I.p_i(t)$  is a path from x to y in  $\prod_{i \in I} X_i$ .

**Proposition 13.35.10.** Let  $A \subseteq \mathbb{R}^2$ . If A is countable then  $\mathbb{R}^2 - A$  is path connected.

- $\langle 1 \rangle 1$ . Let:  $x, y \in \mathbb{R}^2 A$
- $\langle 1 \rangle 2$ . PICK two non-parallel lines L through x and L' through y that do not pass through any points in A.

PROOF: These exist since uncountably many lines pass through any point.  $\langle 1 \rangle 3$ . There exists a path from x to y that follows L from x to the point of intersection of L and L', and then follows L' to y.

### 13.35.8 Connected Spaces

Proposition 13.35.11. Every path connected space is connected.

**Corollary 13.35.11.1.** For n > 1, we have  $\mathbb{R}^n$  and  $\mathbb{R}$  are not homeomorphic.

PROOF: Removing a point from  $\mathbb{R}$  gives a disconnected space.  $\square$ 

**Proposition 13.35.12.** Every open connected subspace of  $\mathbb{R}^2$  is path connected.

```
PROOF: \langle 1 \rangle 1. Let: U be an open connected subspace of \mathbb{R}^2. \langle 1 \rangle 2. Assume: w.l.o.g. U \neq \emptyset \langle 1 \rangle 3. Pick x_0 \in U \langle 1 \rangle 4. Let: V = \{x \in U : \text{there exists a path from } x_0 \text{ to } x\} \langle 1 \rangle 5. V is open in U. \langle 2 \rangle 1. Let: x \in V \langle 2 \rangle 2. Pick \epsilon > 0 such that B(x, \epsilon) \subseteq U \langle 2 \rangle 3. B(x, \epsilon) \subseteq V Proof: For all y \in B(x, \epsilon), take a path from x_0 to x and then a straight line from x to y.
```

 $\langle 1 \rangle$ 6. V is closed in U.  $\langle 2 \rangle$ 1. Let:  $x \in U - V$ 

 $\langle 2 \rangle 2$ . PICK  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$  $\langle 2 \rangle 3$ .  $B(x, \epsilon) \subseteq U - V$ 

 $\langle 3 \rangle 1$ . Let:  $y \in B(x, \epsilon)$ 

 $\langle 3 \rangle 2$ . There is a path from y to x.

 $\langle 3 \rangle 3$ . There is no path from  $x_0$  to y.

 $\langle 1 \rangle 7. \ V = U$ 

 $\_$  Proof: U is connected.

Ш

### 13.36 Locally Homeomorphic

**Definition 13.36.1.** Let X and Y be topological spaces. Then X is *locally homeomorphic* to Y if and only if every point in X has a neighbourhood that is homeomorphic to an open set in Y.

### 13.36.1 The Long Line

**Proposition 13.36.2.** The long line is locally homeomorphic to [0,1).

Proof: By Proposition 6.5.2.  $\square$ 

### 13.37 Components

**Definition 13.37.1** ((Connected) Component). Let X be a topological space. Define the equivalence relation  $\sim$  on X by:  $x \sim y$  iff there exists a connected  $C \subseteq X$  such that  $x \in C$  and  $y \in C$ . The *components* of X are the equivalence classes with respect to  $\sim$ .

We prove this is an equivalence relation.

```
Proof:
```

 $\langle 1 \rangle 1$ . ~ is reflexive.

PROOF: For any  $x \in X$ , we have  $\{x\}$  is connected and  $x \in \{x\}$ , hence  $x \sim x$ .

 $\langle 1 \rangle 2$ . ~ is symmetric.

Proof: Immediate from definition.

- $\langle 1 \rangle 3$ .  $\sim$  is transitive.
  - $\langle 2 \rangle 1$ . Assume:  $x \sim y$  and  $y \sim z$
  - $\langle 2 \rangle 2$ . PICK connected subspaces C and D of X with  $x \in C$ ,  $y \in C$ ,  $y \in D$  and  $z \in D$ .
  - $\langle 2 \rangle 3$ .  $C \cup D$  is connected.

Proof: Proposition 13.25.12.

- $\langle 2 \rangle 4$ .  $x \in C \cup D$  and  $z \in C \cup D$ .
- $\langle 2 \rangle 5. \ x \sim z$

**Example 13.37.2.** The components of  $\mathbb Q$  are the singleton subsets.

**Example 13.37.3.** The components of  $\mathbb{R}_l$  are the singleton subsets.

**Proposition 13.37.4.** Every component of a topological space is connected.

- $\langle 1 \rangle 1$ . Let: C be a component of the topological space X.
- $\langle 1 \rangle 2$ . Assume: for a contradiction (A, B) is a separation of C.
- $\langle 1 \rangle 3$ . Pick  $a \in A$  and  $b \in B$ .
- $\langle 1 \rangle 4$ .  $a \sim b$
- $\langle 1 \rangle$ 5. PICK a connected subspace D of X such that  $a \in D$  and  $b \in D$ .
- $\langle 1 \rangle 6. \ D \subseteq C$

```
\langle 1 \rangle7. (A \cap D, B \cap D) is a separation of D. \langle 1 \rangle8. Q.E.D. PROOF: This is a contradiction.
```

**Proposition 13.37.5.** Let X be a topological space. Let A be a nonempty connected subspace of X. Then there exists a unique component C of X such that  $A \subseteq C$ .

```
PROOF:  \langle 1 \rangle 1. \text{ PICK } a \in A \\ \langle 1 \rangle 2. \text{ Let: } C \text{ be the $\sim$-equivalence class of } a. \\ \langle 1 \rangle 3. A \subseteq C \\ \text{PROOF: For all } x \in A \text{ we have } a \sim x \text{ hence } x \in C. \\ \langle 1 \rangle 4. \text{ For any component } C', \text{ if } A \subseteq C' \text{ then } C' = C. \\ \text{PROOF: Since the components are pairwise disjoint.}
```

Proposition 13.37.6. Every component of a topological space is closed.

```
Proof:
```

```
\langle 1 \rangle 1. Let: X be a topological space. \langle 1 \rangle 2. Let: C be a component of X. \langle 1 \rangle 3. \overline{C} is connected. Proof: Proposition 13.25.13. \langle 1 \rangle 4. \overline{C} \subseteq C Proof: Proposition 13.37.5. \langle 1 \rangle 5. C = \overline{C}
```

Corollary 13.37.6.1. If a topological space has only finitely many components, then its components are open.

### 13.38 Path Components

**Definition 13.38.1** (Path Component). Let X be a topological space. Define the equivalence relation  $\sim$  on X by:  $x \sim y$  iff there exists a path from x to y. The path components of X are the equivalence classes with respect to  $\sim$ .

We prove  $\sim$  is an equivalence relation.

### Proof:

 $\langle 1 \rangle 1$ . ~ is reflexive.

PROOF: For any  $a \in X$  the constant path at a is a path from a to a.

 $\langle 1 \rangle 2$ . ~ is symmetric.

PROOF: If p is a path from a to b then the reverse of p is a path from b to a.  $\langle 1 \rangle 3$ .  $\sim$  is transitive.

PROOF: If p is a path from a to b and q is a path from b to c then the concatenation of p and q is a path from a to c.

П

**Example 13.38.2.** The topologist's sine curve has two path components, namely  $\{0\} \times [0,1]$  (which is closed and not open) and  $\{(x,\sin 1/x): 0 < x \le 1\}$  (which is open and not closed).

**Proposition 13.38.3.** Every path component is path connected.

PROOF: If x and y are in the same path component then  $x \sim y$  so there is a path from x to y.  $\square$ 

Corollary 13.38.3.1. Every path component is a subset of a component.

**Proposition 13.38.4.** Let X be a topological space. Let A be a nonempty path connected subspace of X. Then there exists a unique path component C of X such that  $A \subseteq C$ .

#### Proof:

- $\langle 1 \rangle 1$ . Pick  $a \in A$
- $\langle 1 \rangle 2$ . Let: C be the path component of a.
- $\langle 1 \rangle 3$ .  $A \subseteq C$

PROOF: For all  $x \in A$  we have  $a \sim x$  (because A is path connected) hence  $x \in C$ .

 $\langle 1 \rangle 4$ . For any path component C', if  $A \subseteq C'$  then C = C'.

PROOF: This holds because the path components are pairwise disjoint.

**Example 13.38.5.** In  $\mathbb{R}^{\omega}$  under the box topology,  $\vec{x}$  and  $\vec{y}$  are in the same component if and only if  $\vec{x} - \vec{y}$  is eventually zero.

#### Proof:

- $\langle 1 \rangle 1$ . Let: B be the set of sequences that are eventually zero.
- $\langle 1 \rangle 2$ . B is connected.

PROOF: For  $\vec{x} \in B$ , the straight line path from 0 to  $\vec{x}$  is continuous.

 $\langle 1 \rangle 3$ . B is maximally connected.

PROOF: Since  $(B, \mathbb{R}^{\omega} - B)$  form a separation of  $\mathbb{R}^{\omega}$ .

 $\langle 1 \rangle$ 4. For all  $\vec{y} \in \mathbb{R}^{\omega}$ , the component that contains  $\vec{y}$  is  $\{\vec{x} \in \mathbb{R}^{\omega} : \vec{x} - \vec{y} \text{ is eventually zero}\}$ . PROOF: Since the function that maps  $\vec{x}$  to  $\vec{x} + \vec{y}$  is a homeomorphism of  $\mathbb{R}^{\omega}$  with itself.

**Example 13.38.6.** The path components of  $I_o^2$  are  $\{\{x\} \times [0,1] : 0 \le x \le 1\}$ .

#### PROOF

- $\langle 1 \rangle 1$ . For all  $x \in [0,1]$  we have  $\{x\} \times [0,1]$  is path connected. PROOF: It is homeomorphic to [0,1].
- $\langle 1 \rangle 2$ . Given  $x, y, s, t \in [0, 1]$  with  $x \neq y$ , there is no path from (x, s) to (y, t).
  - $\langle 2 \rangle 1$ . Assume: for a contradiction  $p:[0,1] \to \hat{I}_o^2$  is a path from (x,s) to (y,t).

- $\langle 2 \rangle 2$ . For z between x and y, PICK a rational  $q_z \in [0,1]$  such that  $p(q_z) \in \{z\} \times [0,1]$ .
- $\langle 2 \rangle 3$ .  $\{q_z : z \text{ is between } x \text{ and } y\}$  is an uncountable set of rationals.
- $\langle 2 \rangle 4$ . Q.E.D.

PROOF: This is a contradiction.

### 13.39 Weak Local Connectedness

**Definition 13.39.1** (Weakly Locally Connected). Let X be a topological space and  $x \in X$ . Then X is weakly locally connected at x iff, for every neighbourhood U of x, there exists a connected  $Y \subseteq X$  and a neighbourhood V of x such that  $V \subseteq Y \subseteq U$ .

### 13.40 Local Connectedness

**Definition 13.40.1** (Locally Connected). Let X be a topological space and  $x \in X$ . Then X is *locally connected* at x iff, for every neighbourhood U of x, there exists a connected neighbourhood V of x such that  $V \subseteq U$ .

The space X is *locally connected* iff it is locally connected at every point.

**Example 13.40.2.** Every interval and ray in the real line is connected and locally connected.

**Example 13.40.3.** The space  $[-1,0) \cup (0,1]$  is locally connected but not connected

**Example 13.40.4.** The topologist's sine curve is connected but not locally connected.

**Example 13.40.5.** The rationals  $\mathbb{Q}$  are neither connected nor locally connected.

**Example 13.40.6.** For n a positive integer, let  $a_n = (1/n, 0)$ . Let p = (0, 0). Let the infinite broom X be the union of all the line segments joining  $(a_{n+1}, q)$  to  $(a_n, 0)$  for n any positive integer and q any rational in [0, 1/n]. Then X is weakly locally connected at p but not locally connected at p.

- $\langle 1 \rangle 1$ . X is weakly locally connected at p.
  - $\langle 2 \rangle 1$ . Let: U be any neighbourhood of p.
  - $\langle 2 \rangle 2$ . Pick N such that, for all  $n \geq N$  and every rational  $q \in [0, 1/n]$ , the line segment joining  $(a_{n+1}, q)$  to  $(a_n, 0)$  is included in U.
  - $\langle 2 \rangle 3$ . Let: Y be the union of all these line segments.
  - $\langle 2 \rangle 4$ . Y is connected.
  - $\langle 2 \rangle 5$ . Let:  $V = B(p, 1/n) \cap X$
  - $\langle 2 \rangle 6. \ V \subseteq Y \subseteq U$

- $\begin{array}{l} \langle 1 \rangle 2. \ X \ \text{is not locally connected at} \ p. \\ \langle 2 \rangle 1. \ \text{Let:} \ U = B(p,1/2) \cap X \\ \langle 2 \rangle 2. \ \text{Let:} \ V \ \text{be a neighbourhood of} \ p \ \text{with} \ V \subseteq U \\ \text{Prove:} \ V \ \text{is disconnected.} \\ \langle 2 \rangle 3. \ \text{Let:} \ n \ \text{be least such that} \ (a_n,0) \in V \\ \langle 2 \rangle 4. \ (a_{n-1},0) \notin V \\ \langle 2 \rangle 5. \ \text{Some part of a line segment joining some} \ (a_n,q) \ \text{to} \ (a_{n-1},0) \ \text{is in} \ V \\ \langle 2 \rangle 6. \ V \ \text{is disconnected.} \end{array}$
- **Theorem 13.40.7.** Let X be a topological space. Then X is locally connected if and only if, for every open set U in X, every component of U is open in X.

### Proof:

- $\langle 1 \rangle 1$ . If X is locally connected then, for every open set U in X, every component of U is open in X.
  - $\langle 2 \rangle$ 1. Assume: X is locally connected.
  - $\langle 2 \rangle 2$ . Let: U be an open set in X.
  - $\langle 2 \rangle$ 3. Let: C be a component of U.
  - $\langle 2 \rangle 4$ . Let:  $x \in C$
  - $\langle 2 \rangle$ 5. PICK a connected neighbourhood V of x in X such that  $V \subseteq U$
  - $\langle 2 \rangle 6. \ x \in V \subseteq C$
- $\langle 1 \rangle 2$ . If, for every open set U in X, every component of U is open in X, then X is locally connected.
  - $\langle 2 \rangle 1$ . Assume: For every open set U in X, every component of U is open in X.
  - $\langle 2 \rangle 2$ . Let:  $x \in X$
  - $\langle 2 \rangle 3$ . Let: U be a neighbourhood of x.
  - $\langle 2 \rangle 4$ . Let: V be the component of U that contains x.
  - $\langle 2 \rangle$ 5. V is a connected neighbourhood of x and  $V \subseteq U$ .

**Proposition 13.40.8.** The ordered square is locally connected.

Proof: Since every basic open set is connected because it is a linear continuum.  $\Box$ 

**Example 13.40.9.** Let T be the union of all line segments connecting a point (q,0) to (0,1) where  $q \in [0,1]$  is rational, and all line segments connecting a point (q,1) to (1,0) where  $q \in [0,1]$  is rational. Then T is path connected but is locally connected at no point.

**Proposition 13.40.10.** If a topological space is weakly locally connected at every point then it is locally connected.

### Proof:

 $\langle 1 \rangle 1.$  Let: X be a topological space that is weakly locally connected at every point.

```
\langle 1 \rangle 2. For every open set U in X, every component of U is open in X.
   \langle 2 \rangle 1. Let: U be an open set in X.
   \langle 2 \rangle 2. Let: C be a component of U.
   \langle 2 \rangle 3. For all x \in C, there exists a neighbourhood V of x such that V \subseteq C.
      \langle 3 \rangle 1. Let: x \in C
      \langle 3 \rangle 2. PICK a connected Y \subseteq X and a neighbourhood V of x such that
              V \subseteq Y \subseteq U
         Proof: \langle 1 \rangle 1
      \langle 3 \rangle 3. \ Y \subseteq C
         Proof: Proposition 13.37.5.
      \langle 3 \rangle 4. \ V \subseteq C
   \langle 2 \rangle 4. C is open.
      Proof: Proposition 13.1.7.
\langle 1 \rangle 3. X is locally connected.
   Proof: Theorem 13.40.7.
Proposition 13.40.11. A quotient of a locally connected space is locally con-
nected.
Proof:
\langle 1 \rangle 1. Let: X be a locally connected space.
\langle 1 \rangle 2. Let: p: X \to Y be a quotient map.
\langle 1 \rangle 3. For every open set V in Y, every component of V is open in Y.
   \langle 2 \rangle 1. Let: V be an open set in Y.
   \langle 2 \rangle 2. Let: C be a component of V.
   \langle 2 \rangle 3. p^{-1}(C) is a union of components of p^{-1}(V)
      \langle 3 \rangle 1. Let: x \in p^{-1}(C)
      \langle 3 \rangle 2. Let: D be the component of p^{-1}(V) that contains x.
              PROVE: D \subseteq p^{-1}(C)
      \langle 3 \rangle 3. p(D) is connected.
         Proof: Proposition 13.25.10.
      \langle 3 \rangle 4. \ p(D) \subseteq C
      \langle 3 \rangle 5. \ D \subseteq p^{-1}(C)
   \langle 2 \rangle 4. Every component of p^{-1}(V) is open in X.
      PROOF: Theorem 13.40.7.
   \langle 2 \rangle 5. p^{-1}(C) is open in X.
   \langle 2 \rangle 6. C is open in Y.
\langle 1 \rangle 4. Y is locally connected.
   PROOF: Theorem 13.40.7.
```

### 13.41 Local Path Connectedness

**Definition 13.41.1** (Locally Path Connected). Let X be a topological space and  $x \in X$ . Then X is *locally path connected* at x iff, for every neighbourhood

U of x, there exists a path connected neighbourhood V of x such that  $V \subseteq U$ . The space X is locally path connected iff it is locally connected at every point.

**Theorem 13.41.2.** Let X be a topological space. Then X is locally path connected if and only if, for every open set U in X, every path component of U is open in X.

### PROOF:

- $\langle 1 \rangle 1$ . If X is locally path connected then, for every open set U in X, every path component of U is open in X.
  - $\langle 2 \rangle 1$ . Assume: X is locally path connected.
  - $\langle 2 \rangle 2$ . Let: U be an open set in X.
  - $\langle 2 \rangle 3$ . Let: C be a path component of U.
  - $\langle 2 \rangle 4$ . Let:  $x \in C$
  - $\langle 2 \rangle$ 5. PICK a path connected neighbourhood V of x in X such that  $V \subseteq U$
  - $\langle 2 \rangle 6. \ x \in V \subseteq C$
- $\langle 1 \rangle$ 2. If, for every open set U in X, every path component of U is open in X, then X is locally path connected.
  - $\langle 2 \rangle 1$ . Assume: For every open set U in X, every path component of U is open in X.
  - $\langle 2 \rangle 2$ . Let:  $x \in X$
  - $\langle 2 \rangle 3$ . Let: *U* be a neighbourhood of *x*.
  - $\langle 2 \rangle 4$ . Let: V be the path component of U that contains x.
  - $\langle 2 \rangle$ 5. V is a path connected neighbourhood of x and  $V \subseteq U$ .

**Theorem 13.41.3.** In a locally path connected space, the components are the same as the path components.

#### Proof:

- $\langle 1 \rangle 1$ . Let: X be a locally path connected space.
- $\langle 1 \rangle 2$ . Let: P be a path component of X.
- $\langle 1 \rangle 3$ . Let: C be the component that includes P. Prove: P=C
- $\langle 1 \rangle 4$ . Let: Q be the union of all the path components of C other than P.
- $\langle 1 \rangle 5$ . P and Q are open in C.

PROOF: Theorem 13.41.2.

- $\langle 1 \rangle 6$ .  $P \cup Q = C$  and  $P \cap Q = \emptyset$
- $\langle 1 \rangle 7. \ Q = \emptyset$

PROOF: Otherwise (P,Q) would be a separation of C.

$$\langle 1 \rangle 8. \ P = C$$

**Example 13.41.4.** The converse does not hold. In  $\mathbb{Q}$ , the components are the same as the path components, namely the one-point sets, but  $\mathbb{Q}$  is not locally path connected.

**Example 13.41.5.** The ordered square is not locally path connected.

```
PROOF:  \langle 1 \rangle 1. \text{ Assume: for a contradiction } I_o^2 \text{ is locally path connected at } (0,1). \\ \langle 1 \rangle 2. \text{ PICK a path connected neighbourhood } U \text{ of } (0,1). \\ \langle 1 \rangle 3. \text{ PICK } a > 0 \text{ such that } [(0,1),(a,0)] \subseteq U \\ \langle 1 \rangle 4. \text{ PICK a path } p:[0,1] \to I_o^2 \text{ from } (0,1) \text{ to } (a,0). \\ \langle 1 \rangle 5. \text{ For every } x \in (0,a), \text{ PICK a rational } q_x \in [0,1] \text{ such that } q_x \in ((x,0),(x,1)) \\ \langle 1 \rangle 6. \{q_x:x\in(0,a)\} \text{ is an uncountable set of rationals.} \\ \langle 1 \rangle 7. \text{ Q.E.D.} \\ \text{PROOF: This is a contradiction.}
```

**Proposition 13.41.6.** Every connected open subspace of a locally path connected space is path connected.

```
PROOF:  \langle 1 \rangle 1. \text{ Let: } X \text{ be a locally path connected space.} \\ \langle 1 \rangle 2. \text{ Let: } U \text{ be a connected open subspace.} \\ \langle 1 \rangle 3. \text{ Let: } P \text{ be a path component of } U. \\ \text{PROVE: } P = U \\ \langle 1 \rangle 4. \text{ Let: } Q \text{ be the union of the path components of } U \text{ that are not } P. \\ \langle 1 \rangle 5. P \text{ and } Q \text{ are open.} \\ \text{PROOF: Theorem 13.41.2.} \\ \langle 1 \rangle 6. Q = \varnothing \\ \text{PROOF: Otherwise } (P,Q) \text{ would be a separation of } U. \\ \langle 1 \rangle 7. P = U \\ \square
```

### 13.42 Quasicomponents

**Definition 13.42.1** (Quasicomponent). Let X be a topological space. Define the equivalence relation  $\sim$  on X by:  $x \sim y$  iff there is no separation (U, V) of X with  $x \in U$  and  $y \in V$ . The *quasicomponents* of X are the equivalence classes with respect to  $\sim$ .

We prove this is an equivalence relation.

```
Proof:
```

```
\langle 1 \rangle 1. ~ is reflexive.
```

PROOF: For any  $x \in X$ , there cannot exist a separation (U,V) of X with  $x \in U$  and  $x \in V$ .

 $\langle 1 \rangle 2$ . ~ is symmetric.

PROOF: Immediate from definition.

- $\langle 1 \rangle 3$ .  $\sim$  is transitive.
  - $\langle 2 \rangle 1$ . Assume:  $x \sim y$  and  $y \sim z$
  - $\langle 2 \rangle 2$ . Assume: for a contradiction (U,V) is a separation of X with  $x \in U$  and  $z \in V$ .
  - $\langle 2 \rangle 3. \ y \in U \text{ or } y \in V$

```
\langle 2 \rangle4. y \notin U

PROOF: y \in U would contradict the fact that y \sim z.

\langle 2 \rangle5. y \notin V

PROOF: y \in V would contradict the fact that x \sim y.

\langle 2 \rangle6. Q.E.D.

PROOF: This is a contradiction.
```

**Proposition 13.42.2.** Every component of a topological space is a subset of a quasicomponent.

#### Proof:

- $\langle 1 \rangle 1$ . Let: X be a topological space.
- $\langle 1 \rangle 2$ . Let: C be a component of X. Prove:  $\forall x, y \in C.x \sim y$
- $\langle 1 \rangle 3$ . Let:  $x, y \in C$
- (1)4. Assume: for a contradiction (U, V) is a separation of X with  $x \in U$  and  $y \in V$
- $\langle 1 \rangle 5$ .  $(U \cap C, V \cap C)$  is a separation of C.
- $\langle 1 \rangle 6$ . Q.E.D.

Proof: This contradicts the fact that C is connected (Proposition 13.37.4).

**Proposition 13.42.3.** In a locally connected topological space, the components are the same as the quasicomponents.

### Proof:

- $\langle 1 \rangle 1$ . Let: X be a locally connected topological space.
- $\langle 1 \rangle 2$ . Let: C be a component of X.
- $\langle 1 \rangle 3$ . Let: Q be the quasicomponent that includes C.
  - Prove: Q = C
- $\langle 1 \rangle 4$ . Assume: for a contradiction  $C \neq Q$
- $\langle 1 \rangle 5$ . Pick  $c \in C$  and  $d \in Q C$
- $\langle 1 \rangle 6$ . (C, X C) is a separation of X with  $c \in C$  and  $d \in X C$ .

PROOF: Since the components of X are open (Theorem 13.40.7).

 $\langle 1 \rangle 7$ . Q.E.D.

PROOF: This contradicts the fact that  $c \sim d$ .

### 13.43 Compact Spaces

**Definition 13.43.1** (Open Cover). Let X be a topological space. An *open* cover of X is a cover of X whose elements are open sets.

**Definition 13.43.2** (Compact). A topological space is *compact* iff every open cover includes a finite subcover.

**Example 13.43.3.** The space  $\mathbb{R}$  is not compact, because the open cover  $\{(n, n+2) : n \in \mathbb{Z}\}$  has no finite subcover.

**Example 13.43.4.** Every finite topological space is compact.

**Lemma 13.43.5.** Let X be a topological space and Y a subspace of X. Then Y is compact if and only if every covering of Y by sets open in X contains a finite subcollection that covers Y.

- $\langle 1 \rangle 1$ . If Y is compact then every covering of Y by sets open in X contains a finite subcollection that covers Y.
  - $\langle 2 \rangle 1$ . Assume: Y is compact.
  - $\langle 2 \rangle 2$ . Let:  $\mathcal{A}$  be a covering of Y by sets open in X.
  - $\langle 2 \rangle 3$ .  $\{ U \cap Y : U \in \mathcal{A} \}$  is an open covering of Y.
  - $\langle 2 \rangle 4$ . PICK a finite subcovering  $\{U_1 \cap Y, \dots, U_n \cap Y\}$ .
  - $\langle 2 \rangle 5$ .  $\{U_1, \ldots, U_n\}$  is a finite subcollection of  $\mathcal{A}$  that covers Y.
- $\langle 1 \rangle 2$ . If every covering of Y by sets open in X contains a finite subcollection that covers Y then Y is compact.
  - $\langle 2 \rangle 1$ . Assume: Every covering of Y by sets open in X contains a finite subcollection that covers Y.
  - $\langle 2 \rangle 2$ . Let:  $\mathcal{A}$  be an open cover of Y.
  - $\langle 2 \rangle 3$ .  $\{ U \text{ open in } X : U \cap Y \in \mathcal{A} \} \text{ covers } Y$ .
  - $\langle 2 \rangle 4$ . PICK a finite subcollection  $\{U_1, \ldots, U_n\}$  that covers Y.
  - $\langle 2 \rangle$ 5.  $\{U_1 \cap Y, \dots, U_n \cap Y\}$  is a finite subcollection of  $\mathcal{A}$  that covers Y.

## Chapter 14

# Metric Spaces

**Definition 14.0.1** (Metric Space). Let X be a set and  $d: X^2 \to \mathbb{R}$ . We say (X,d) is a *metric space* iff:

- For all  $x, y \in X$  we have  $d(x, y) \ge 0$
- For all  $x, y \in X$  we have d(x, y) = 0 iff x = y
- For all  $x, y \in X$  we have d(x, y) = d(y, x)
- (Triangle Inequality) For all  $x, y, z \in X$  we have  $d(x, z) \leq d(x, y) + d(y, z)$

We call d the *metric* of the metric space (X, d). We often write X for the metric space (X, d).

**Definition 14.0.2** (Discrete Metric). On any set X, define the *discrete* metric by d(x,y) = 0 if x = y, 1 if  $x \neq y$ .

**Definition 14.0.3** (Standard Metric). The *standard metric* on  $\mathbb{R}$  is defined by d(x,y) = |x-y|.

**Definition 14.0.4** (Square Metric). The square metric  $\rho$  on  $\mathbb{R}^n$  is defined by

$$\rho(\vec{x}, \vec{y}) = \max(|x_1 - y_1|, \dots, |x_n - y_n|)$$
.

We prove this is a metric.

### Proof:

 $\langle 1 \rangle 1$ . For all  $\vec{x}, \vec{y} \in \mathbb{R}^n$  we have  $\rho(\vec{x}, \vec{y}) \geqslant 0$ .

PROOF: Immediate from definition.

 $\langle 1 \rangle 2$ . For all  $\vec{x}, \vec{y} \in \mathbb{R}^n$  we have  $\rho(\vec{x}, \vec{y}) = 0$  iff  $\vec{x} = \vec{y}$ . PROOF:

$$\rho(\vec{x}, \vec{y}) = 0 \Leftrightarrow \max(|x_1 - y_1|, \dots, |x_n - y_n|) = 0$$

$$\Leftrightarrow |x_1 - y_1| = \dots = |x_n - y_n| = 0$$

$$\Leftrightarrow x_1 = y_1 \wedge \dots \wedge x_n = y_n$$

$$\Leftrightarrow \vec{x} = \vec{y}$$

 $\langle 1 \rangle 3$ . For all  $\vec{x}, \vec{y} \in \mathbb{R}^n$  we have  $\rho(\vec{x}, \vec{y}) = \rho(\vec{y}, \vec{x})$ .

PROOF: Immediate from definition.

 $\langle 1 \rangle 4. \text{ For all } \vec{x}, \vec{y}, \vec{z} \in \mathbb{R}^n \text{ we have } \rho(\vec{x}, \vec{z}) \leqslant \rho(\vec{x}, \vec{y}) + \rho(\vec{y}, \vec{z}).$ 

Proof:

$$\begin{aligned} & \max(|x_1 - z_1|, \dots, |x_n - z_n|) \\ & \leq \max(|x_1 - y_1| + |y_1 - z_1|, \dots, |x_n - y_n| + |y_n - z_n|) \\ & \leq \max(|x_1 - y_1|, \dots, |x_n - y_n|) + \max(|y_1 - z_1|, \dots, |y_n - z_n|) \\ & = \rho(\vec{x}, \vec{y}) + \rho(\vec{y}, \vec{z}) \end{aligned}$$

### 14.0.1 Balls

**Definition 14.0.5** (Ball). Let X be a metric space. Let  $x \in X$  and r > 0. The ball with centre x and radius r is

$$B(x,r) = \{ y \in X \mid d(x,y) < r \}$$
.

**Definition 14.0.6** (Closed Ball). Let X be a metric space. Let  $x \in X$  and r > 0. The *closed ball* with *centre* x and *radius* r is

$$\overline{B(x,r)} = \{ y \in X \mid d(x,y) < r \} .$$

**Definition 14.0.7** (Metric Topology). Let (X, d) be a metric space. The *metric topology* on X is the topology generated by the basis consisting of the balls.

We prove this is a basis for a topology.

### Proof:

 $\langle 1 \rangle 1$ . Every point is a member of some ball.

PROOF: Since  $x \in B(x, 1)$ .

 $\langle 1 \rangle 2$ . If  $B_1$  and  $B_2$  are balls and  $x \in B_1 \cap B_2$ , then there exists a ball  $B_3$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .

 $\langle 2 \rangle 1$ . Let:  $x \in B(a, \epsilon_1) \cap B(b, \epsilon_2)$ 

 $\langle 2 \rangle 2$ . Let:  $\epsilon = \min(\epsilon_1 - d(x, a), \epsilon_2 - d(x, b))$ 

PROVE:  $x \in B(x, \epsilon) \subseteq B(a, \epsilon_1) \cap B(b, \epsilon_2)$ 

 $\langle 2 \rangle 3. \ B(x, \epsilon) \subseteq B(a, \epsilon_1)$ 

 $\langle 3 \rangle 1$ . Let:  $y \in B(x, \epsilon)$ 

 $\langle 3 \rangle 2$ .  $d(y,a) < \epsilon_1$ 

PROOF:

$$d(y, a) \le d(y, x) + d(x, a)$$
 (Triangle Inequality)  
 $< \epsilon + d(x, a)$  ( $\langle 3 \rangle 1$ )

$$\epsilon_1$$
 ( $\langle 2 \rangle 2$ )

 $\langle 2 \rangle 4$ .  $B(x, \epsilon) \subseteq B(b, \epsilon_2)$ 

Proof: Similar.

**Proposition 14.0.8.** The discrete metric on a set X induces the discrete topology.

PROOF: Since  $B(x, 1/2) = \{x\}$  for all  $x \in X$ .  $\square$ 

**Proposition 14.0.9.** *The standard metric on*  $\mathbb{R}$  *induces the standard topology.* 

### Proof:

 $\langle 1 \rangle 1$ . Every ball is open in the standard topology.

PROOF: Since  $B(a, \epsilon) = (a - \epsilon, a + \epsilon)$ .

 $\langle 1 \rangle 2$ . Every open ray is open in the metric topology.

PROOF: If  $x \in (a, +\infty)$  then  $x \in B(x, x-a) \subseteq (a, +\infty)$ . Similarly for  $(-\infty, a)$ .

**Proposition 14.0.10.** The square metric on  $\mathbb{R}^n$  induces the product topology.

#### Proof:

 $\langle 1 \rangle 1$ . For any real numbers  $a_1, \ldots, a_n, b_1, \ldots, b_n$  with  $a_1 < b_1, \ldots, a_n < b_n$ , we have  $(a_1, b_1) \times \cdots \times (a_n, b_n)$  is open in the metric topology.

 $\langle 2 \rangle 1$ . Let:  $\vec{x} \in (a_1, b_1) \times \cdots \times (a_n, b_n)$ 

 $\langle 2 \rangle 2$ . Let:  $\epsilon = \min(x_1 - a_1, b_1 - x_1, \dots, x_n - a_n, b_n - x_n)$ 

 $\langle 2 \rangle 3. \ B(\vec{x}, \epsilon) \subseteq (a_1, b_1) \times \cdots \times (a_n, b_n)$ 

 $\langle 1 \rangle 2$ . For any  $\vec{a} \in \mathbb{R}^n$  and  $\epsilon > 0$ , we have  $B(\vec{a}, \epsilon)$  is open in the product topology. PROOF: Since  $B(\vec{a}, \epsilon) = (a_1 - \epsilon, a_1 + \epsilon) \times \cdots \times (a_n - \epsilon, a_n + \epsilon)$ .

**Proposition 14.0.11.** Addition is a continuous function  $\mathbb{R}^2 \to \mathbb{R}$ .

### Proof:

 $\langle 1 \rangle 1$ . Let:  $(x,y) \in \mathbb{R}^2$  and  $\epsilon > 0$ 

 $\langle 1 \rangle 2$ . Let:  $\delta = \epsilon/2$ 

 $\langle 1 \rangle 3$ . Let:  $(x', y') \in \mathbb{R}^2$  with  $\rho((x, y), (x', y')) < \delta$ 

 $\langle 1 \rangle 4. |x - x'|, |y - y'| < \delta$ 

 $\langle 1 \rangle 5. |(x+y) - (x'+y')| < \epsilon$ 

PROOF:

$$|(x+y) - (x'+y')| \le |x-x'| + |y-y'|$$

$$< \delta + \delta \qquad (\langle 1 \rangle 4)$$

$$= \epsilon \qquad (\langle 1 \rangle 2)$$

**Proposition 14.0.12.** *Multiplication is a continuous function*  $\mathbb{R}^2 \to \mathbb{R}$ .

### Proof:

 $\langle 1 \rangle 1$ . Let:  $(x,y) \in \mathbb{R}^2$  and  $\epsilon > 0$ 

 $\langle 1 \rangle 2$ . Let:  $\delta = \min(\epsilon/(|x| + |y| + 1), 1)$ 

 $\langle 1 \rangle 3$ . Let:  $(x', y') \in \mathbb{R}^2$  with  $\rho((x, y), (x', y')) < \delta$ 

 $\langle 1 \rangle 4. |x - x'|, |y - y'| < \delta$ 

 $\langle 1 \rangle 5. |xy - x'y'| < \epsilon$ 

PROOF:

$$\leq |xy - xy'| + |xy - x'y| + |xy - x'y - xy' + xy'y| = |x||y - y'| + |x - x'||y| + |x - x'||y - |x||\delta + |y|\delta + \delta^{2}$$

$$\leq |x|\delta + |y|\delta + \delta$$

$$= (|x| + |y| + 1)\delta$$
(\lambda

 $\leq \epsilon$ 

Corollary 14.0.12.1. The unit circle  $S^1$  is a closed subset of  $\mathbb{R}^2$ .

|xy - x'y'| = |xy - xy' + xy - x'y - xy + x'y + xy' - x'y'|

PROOF: The function f that maps (x,y) to  $x^2 + y^2$  is continuous, and  $S^1 =$  $f^{-1}(\{1\})$ .

Corollary 14.0.12.2. The unit ball  $B^2$  is a closed subset of  $\mathbb{R}^2$ .

PROOF: The function f that maps (x,y) to  $x^2 + y^2$  is continuous, and  $B^2 =$  $f^{-1}([0,1]). \ \Box$ 

**Proposition 14.0.13.** Let  $(a_n)$  and  $(b_n)$  be sequences of real numbers. Let  $c, s, t \in \mathbb{R}$ . Assume

$$\sum_{n=0}^{\infty} a_n = s \text{ and } \sum_{n=0}^{\infty} b_n = t .$$

Then

$$\sum_{n=0}^{\infty} (ca_n + b_n) = cs + t .$$

Proof:

$$\sum_{n=0}^{N} (ca_n + b_n) = c \sum_{n=0}^{N} a_n + \sum_{n=0}^{N} b_n \to cs + t \text{ as } n \to \infty$$

**Proposition 14.0.14** (Comparison Test). Let  $(a_n)$  and  $(b_n)$  be sequences of real numbers. Assume  $|a_n| \leq b_n$  for all n. Assume  $\sum_{n=0}^{\infty} b_n$  converges. Then  $\sum_{n=0}^{\infty} a_n$  converges.

Proof:

 $\langle 1 \rangle 1$ . For all n,

Let:  $c_n = |a_n| + a_n$ 

LET:  $c_n = |a_n| + a_n$   $\langle 1 \rangle 2$ .  $\sum_{n=0}^{\infty} |a_n|$  converges. PROOF: Since  $(\sum_{n=0}^{N} |a_n|)_N$  is an increasing sequence of real numbers bounded above by  $\sum_{n=0}^{\infty} b_n$ .  $\langle 1 \rangle 3$ .  $\sum_{n=0}^{\infty} c_n$  converges.

PROOF: Since  $(\sum_{n=0}^{N} c_n)_N$  is an increasing sequence of real numbers bounded above by  $2\sum_{n=0}^{\infty} a_n$ .  $\langle 1 \rangle 4$ .  $\sum_{n=0}^{\infty} a_n$  converges. PROOF: Since  $a_n = c_n - |a_n|$ .

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**Proposition 14.0.15.** Let X be a metric space. Let  $U \subseteq X$ . Then U is open if and only if, for all  $x \in U$ , there exists  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$ .

#### Proof:

- $\langle 1 \rangle 1$ . If U is open then, for all  $x \in U$ , there exists  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$ .
  - $\langle 2 \rangle 1$ . Assume: *U* is open.
  - $\langle 2 \rangle 2$ . Let:  $x \in U$
  - $\langle 2 \rangle$ 3. Pick a ball  $B(a, \delta)$  such that  $x \in B(a, \delta) \subseteq U$
  - $\langle 2 \rangle 4$ . Let:  $\epsilon = \delta d(a, x)$ Prove:  $B(x, \epsilon) \subseteq U$
  - $\langle 2 \rangle 5$ . Let:  $y \in B(x, \epsilon)$
  - $\langle 2 \rangle 6. \ y \in B(a, \delta)$

Proof:

$$\begin{aligned} d(a,y) & \leq d(a,x) + d(x,y) & \text{(Triangle Inequality)} \\ & < d(a,x) + \epsilon & \text{($\langle 2 \rangle 5$)} \\ & = \delta & \end{aligned}$$

 $\langle 2 \rangle 7. \ y \in U$ 

Proof:  $\langle 2 \rangle 3$ 

 $\langle 1 \rangle 2$ . If, for all  $x \in U$ , there exists  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$ , then U is open.

PROOF: Immediate from definition of the metric topology.

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**Proposition 14.0.16.** Let X be a metric space. Let  $a, b, c \in X$ . Then

$$|d(a,b) - d(a,c)| \le d(b,c) .$$

PROOF:

 $\langle 1 \rangle 1$ .  $d(a,b) - d(a,c) \leq d(b,c)$ PROOF: Triangle Inequality.  $\langle 1 \rangle 2$ .  $d(a,c) - d(a,b) \leq d(b,c)$ PROOF: Triangle Inequality.

**Proposition 14.0.17.** Let (X,d) be a metric space. Then the metric topology on X is the coarsest topology such that  $d: X^2 \to \mathbb{R}$  is continuous.

- $\langle 1 \rangle 1$ . d is continuous with respect to the metric topology.
  - $\langle 2 \rangle 1$ . Let:  $(a,b) \in X^2$
  - $\langle 2 \rangle 2$ . Let: V be a neighbourhood of d(a, b).
  - $\langle 2 \rangle 3$ . PICK  $\epsilon > 0$  such that  $(d(a,b) \epsilon, d(a,b) + \epsilon) \subseteq V$ .
  - $\langle 2 \rangle 4$ . Let:  $U = B(a, \epsilon/2) \times B(b, \epsilon/2)$
  - $\langle 2 \rangle$ 5. Let:  $(x,y) \in U$
  - $\langle 2 \rangle 6$ .  $|d(x,y) d(a,b)| < \epsilon$

Proof:

$$|d(x,y) - d(a,b)| \le |d(x,y) - d(a,y)| + |d(a,y) - d(a,b)|$$

$$\le d(a,x) + d(b,y)$$
(Proposition 14.0.16)
$$< \epsilon$$

- $\langle 2 \rangle 7. \ d(x,y) \in V$
- $\langle 1 \rangle 2$ . If  $\mathcal{T}$  is a topology on X with respect to which d is continuous then  $\mathcal{T}$  is finer than the metric topology.
  - $\langle 2 \rangle 1$ . Let:  $\mathcal{T}$  be a topology on X with respect to which d is continuous.
  - $\langle 2 \rangle 2$ . Let:  $a \in X$  and  $\epsilon > 0$ . Prove:  $B(a, \epsilon) \in \mathcal{T}$
  - $\langle 2 \rangle 3$ . Let:  $x \in B(a, \epsilon)$
  - $\langle 2 \rangle 4. \ (a, x) \in d^{-1}((0, \epsilon))$
  - $\langle 2 \rangle 5$ . PICK  $U, V \in \mathcal{T}$  such that  $(a, x) \in U \times V \subseteq d^{-1}((0, \epsilon))$

**Proposition 14.0.18.** Let d and d' be two metrics on the same set X. Let  $\mathcal{T}$  and  $\mathcal{T}'$  be the topologies they induce. Then  $\mathcal{T} \subseteq \mathcal{T}'$  if and only if, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that

$$B_{d'}(x,\delta) \subseteq B_d(x,\epsilon)$$
.

Proof:

- $\langle 1 \rangle 1$ . If  $\mathcal{T} \subseteq \mathcal{T}'$  then, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $B_{d'}(x,\delta) \subseteq B_d(x,\epsilon)$ .
  - $\langle 2 \rangle 1$ . Assume:  $\mathcal{T} \subseteq \mathcal{T}'$
  - $\langle 2 \rangle 2$ . Let:  $x \in X$  and  $\epsilon > 0$
  - $\langle 2 \rangle 3. \ x \in B_d(x, \epsilon) \in \mathcal{T}'$
  - $\langle 2 \rangle 4$ . There exists  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$

Proof: Proposition 14.0.15.

- $\langle 1 \rangle 2$ . If, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$ , then  $\mathcal{T} \subseteq \mathcal{T}'$ .
  - $\langle 2 \rangle 1$ . Assume: For all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $B_{d'}(x,\delta) \subseteq B_d(x,\epsilon)$ .
  - $\langle 2 \rangle 2$ . Let:  $U \in \mathcal{T}$
  - $\langle 2 \rangle 3$ . For all  $x \in U$ , there exists  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq U$ 
    - $\langle 3 \rangle 1$ . Let:  $x \in U$
    - $\langle 3 \rangle 2$ . Pick  $\epsilon > 0$  such that  $B_d(x, \epsilon) \subseteq U$

Proof: Proposition 14.0.15.

 $\langle 3 \rangle 3$ . Pick  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$ .

Proof:  $\langle 2 \rangle 1$ 

- $\langle 3 \rangle 4. \ B_{d'}(x, \delta) \subseteq U$
- $\langle 2 \rangle 4. \ U \in \mathcal{T}'$

Proof: Proposition 14.0.15.

**Definition 14.0.19** (Metrizable). A topological space is *metrizable* iff there exists a metric that induces its topology.

**Proposition 14.0.20.**  $\mathbb{R}^2$  under the dictionary order is metrizable.

Proof:

 $\langle 1 \rangle 1$ . Let:  $d: (\mathbb{R}^2)^2 \to \mathbb{R}$  be defined by

$$d((x_1, y_1), (x_2, y_2)) = \begin{cases} \min(|y_2 - y_1|, 1) & \text{if } x_1 = x_2 \\ 1 & \text{if } x_1 \neq x_2 \end{cases}$$

 $\langle 1 \rangle 2$ . d is a metric.

 $\langle 2 \rangle 1$ . For all  $x, y \in \mathbb{R}^2$  we have  $d(x, y) \geq 0$ .

PROOF: Immediate from definition.

 $\langle 2 \rangle 2$ . For all  $x, y \in \mathbb{R}^2$  we have d(x, y) = 0 iff x = y.

PROOF: Immediate from definition.

 $\langle 2 \rangle 3$ . For all  $x, y \in \mathbb{R}^2$  we have d(x, y) = d(y, x).

PROOF: Immediate from definition.

 $\langle 2 \rangle 4$ . For all  $x, y, z \in \mathbb{R}^2$  we have  $d(x, z) \leq d(x, y) + d(y, z)$ .

PROOF: Easy.

 $\langle 1 \rangle 3$ . The metric topology induced by d is finer than the order topology.

 $\langle 2 \rangle 1$ . Let:  $a, b \in \mathbb{R}^2$ 

 $\langle 2 \rangle 2$ . Let:  $x \in (a, b)$ 

 $\langle 2 \rangle 3$ . Case:  $\pi_1(x) = \pi_1(a) = \pi_1(b)$ 

 $\langle 3 \rangle 1$ . Let:  $\epsilon = \min(\pi_2(x) - \pi_2(a), \pi_2(b) - \pi_2(x))$ 

 $\langle 3 \rangle 2$ .  $B(x, \epsilon) \subseteq (a, b)$ 

 $\langle 2 \rangle 4$ . Case:  $\pi_1(a) = \pi_1(x) < \pi_1(b)$ 

 $\langle 3 \rangle 1$ . Let:  $\epsilon = \pi_2(x) - \pi_2(a)$ 

 $\langle 3 \rangle 2$ .  $B(x, \epsilon) \subseteq (a, b)$ 

 $\langle 2 \rangle 5$ . Case:  $\pi_1(a) < \pi_1(x) = \pi_1(b)$ 

PROOF: Similar.

 $\langle 2 \rangle 6$ . Case:  $\pi_1(a) < \pi_1(x) < \pi_1(b)$ 

PROOF: Then  $B(x, \epsilon) \subseteq (a, b)$ .

 $\langle 1 \rangle 4$ . The order topology is finer than the metric topology.

PROOF: Since  $B((a,b),\epsilon)=((a,b-\epsilon),(a,b+\epsilon))$  if  $\epsilon\leqslant 1$ , and  $\mathbb{R}^2$  if  $\epsilon>1$ .

Every metrizable space is first countable.

A metric space is compact if and only if it is sequentially compact.

A metric space is separable if and only if it is second countable.

### 14.0.2 Subspaces

**Proposition 14.0.21.** Let (X, d) be a metric space and  $Y \subseteq X$ . Then  $d \upharpoonright Y^2$  is a metric on Y that induces the subspace topology.

Proof:

$$\langle 1 \rangle 1$$
. Let:  $d' = d \upharpoonright Y^2 : Y^2 \to \mathbb{R}$ 

 $\langle 1 \rangle 2$ . d' is a metric.

PROOF: Each of the axioms follows from the axiom in X.

 $\langle 1 \rangle 3$ . The metric topology induced by d' is finer than the subspace topology.

 $\langle 2 \rangle 1$ . Let: U be open in X

PROVE:  $U \cap Y$  is open in the d'-topology.  $\langle 2 \rangle 2$ . Let:  $y \in U \cap Y$   $\langle 2 \rangle 3$ . Pick  $\epsilon > 0$  such that  $B_d(y, \epsilon) \subseteq U$   $\langle 2 \rangle 4$ .  $B_{d'}(y, \epsilon) \subseteq U \cap Y$   $\langle 1 \rangle 4$ . The subspace topology is finer than the metric topology induced by d'.  $\langle 2 \rangle 1$ . Let:  $y \in Y$  and  $\epsilon > 0$  Prove:  $B_{d'}(y, \epsilon)$  is open in the subspace topology.

 $\langle 2 \rangle 2. \ B_{d'}(y, \epsilon) = B_d(y, \epsilon) \cap Y$ 

### 14.0.3 Convergence

**Proposition 14.0.22** (Sequence Lemma). Let X be a metric space. Let  $A \subseteq X$ . Let  $l \in \overline{A}$ . Then there exists a sequence in A that converges to l.

#### Proof:

- $\langle 1 \rangle 1$ . For  $n \in \mathbb{N}$ , PICK  $a_n \in B(l, 1/(n+1)) \cap A$ .  $\langle 1 \rangle 2$ .  $a_n \to l$  as  $n \to \infty$ .
- $1/2. \ u_n \to \iota \text{ as } n \to \infty.$

Corollary 14.0.22.1.  $\mathbb{R}^{\omega}$  under the box topology is not first countable.

#### Proof:

- $\langle 1 \rangle 1$ . Let: A be the set of all sequences of positive reals.
- $\langle 1 \rangle 2. \ 0 \in \overline{A}$
- $\langle 1 \rangle 3$ . Let:  $(a_n)$  be a sequence in A Prove:  $(a_n)$  does not converge to 0.
- $\langle 1 \rangle 4$ . For all  $n \in \mathbb{N}$ ,
- Let:  $a_n = (x_{nm})$  $\langle 1 \rangle 5$ . Let:  $B' = \prod_{n=0}^{\infty} (-x_{nn}, x_{nn})$
- $\langle 1 \rangle 6$ . B' is open in the box topology.
- $\langle 1 \rangle 7. \ 0 \in B'$
- $\langle 1 \rangle 8$ . For all n we have  $a_n \notin B'$

Corollary 14.0.22.2. If J is an uncountable set then  $\mathbb{R}^J$  under the product topology is not first countable.

- $\langle 1 \rangle 1$ . Let:  $A = \{ x \in \mathbb{R}^J : \pi_i(x) = 1 \text{ for all but finitely many } j \in J \}$
- $\langle 1 \rangle 2. \ 0 \in \overline{A}$
- $\langle 1 \rangle 3$ . Let:  $(a_n)$  be a sequence in A. PROVE:  $(a_n)$  does not converge to 0.
- $\langle 1 \rangle 4$ . For  $n \in \mathbb{N}$ , LET:  $J_n = \{ j \in J : \pi_j(a_n) \neq 1 \}$
- $\langle 1 \rangle 5$ .  $\bigcup_{n \in \mathbb{N}} J_n$  is countable.
- $\langle 1 \rangle 6$ . Pick  $\beta \in J \bigcup_{n \in \mathbb{N}} J_n$
- $\langle 1 \rangle 7. \ \forall n \in \mathbb{N}.\pi_{\beta}(a_n) = 1$

```
\langle 1 \rangle 8. Let: U = \pi_{\beta}^{-1}((-1,1))

\langle 1 \rangle 9. 0 \in U

\langle 1 \rangle 10. \forall n \in \mathbb{N}. a_n \notin U

\langle 1 \rangle 11. (a_n) does not converge to 0.
```

### 14.0.4 Continuous Functions

**Proposition 14.0.23.** Let X and Y be metric spaces. Let  $f: X \to Y$ . Then f is continuous if and only if, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x,y) < \delta$  then  $d(f(x), f(y)) < \epsilon$ .

#### Proof:

- $\langle 1 \rangle 1$ . If f is continuous then, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x,y) < \delta$  then  $d(f(x),f(y)) < \epsilon$ .
  - $\langle 2 \rangle 1$ . Assume: f is continuous.
  - $\langle 2 \rangle 2$ . Let:  $x \in X$
  - $\langle 2 \rangle 3$ . Let:  $\epsilon > 0$
  - $\langle 2 \rangle 4. \ x \in f^{-1}(B(f(x), \epsilon))$
  - $\langle 2 \rangle$ 5. There exists  $\delta > 0$  such that  $B(x, \delta) \subseteq f^{-1}(B(f(x), \epsilon))$ .
- $\langle 1 \rangle 2$ . If, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x,y) < \delta$  then  $d(f(x),f(y)) < \epsilon$ , then f is continuous.
  - $\langle 2 \rangle 1$ . Assume: For all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x,y) < \delta$  then  $d(f(x),f(y)) < \epsilon$ .
  - $\langle 2 \rangle 2$ . Let: V be open in Y
  - $\langle 2 \rangle 3$ . Let:  $x \in f^{-1}(V)$
  - $\langle 2 \rangle 4$ . PICK  $\epsilon > 0$  such that  $B(f(x), \epsilon) \subseteq V$
  - $\langle 2 \rangle$ 5. PICK  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x,y) < \delta$  then  $d(f(x),f(y)) < \epsilon$ .
- $\langle 2 \rangle 6. \ B(x,\delta) \subseteq f^{-1}(V)$

**Proposition 14.0.24.** Let X be a metrizable space and Y a topological space. Let  $f: X \to Y$ . Assume that, for every sequence  $(x_n)$  in X and  $l \in X$ , if  $x_n \to l$  as  $n \to \infty$  then  $f(x_n) \to f(l)$  as  $n \to \infty$ . Then f is continuous.

### Proof:

**Proposition 14.0.25.** The function  $i : \mathbb{R} - \{0\} \to \mathbb{R}$  that maps x to  $x^{-1}$  is continuous.

```
PROOF:  \langle 1 \rangle 1. \text{ Let: } a,b \in \mathbb{R} \text{ with } a < b \\ \text{PROVE: } i^{-1}((a,b)) \text{ is open.}   \langle 1 \rangle 2. \text{ Case: } 0 < a \\ \text{PROOF: } i^{-1}((a,b)) = (b^{-1},a^{-1})   \langle 1 \rangle 3. \text{ Case: } a = 0 \\ \text{PROOF: } i^{-1}((a,b)) = (b^{-1},+\infty)   \langle 1 \rangle 4. \text{ Case: } a < 0 < b \\ \text{PROOF: } i^{-1}((a,b)) = (-\infty,a^{-1}) \cup (b^{-1},+\infty)   \langle 1 \rangle 5. \text{ Case: } b = 0 \\ \text{PROOF: } i^{-1}((a,b)) = (-\infty,a^{-1})   \langle 1 \rangle 6. \text{ Case: } b < 0 \\ \text{PROOF: } i^{-1}((a,b)) = (b^{-1},a^{-1})   \sqcap
```

**Proposition 14.0.26.** Subtraction is a continuous function  $\mathbb{R}^2 \to \mathbb{R}$ .

PROOF: Since a-b=a+(-1)b and both addition and multiplication are continuous.  $\square$ 

**Proposition 14.0.27.** Division is a continuous function  $\mathbb{R} \times (\mathbb{R} - \{0\}) \to \mathbb{R}$ .

PROOF: Since both multiplication and the function that maps x to  $x^{-1}$  are continuous.  $\square$ 

### 14.0.5 First Countable Spaces

Proposition 14.0.28. Every metrizable space is first countable.

PROOF: For any point x, the set  $\{B(x,1/n):n\in\mathbb{Z}_+\}$  is a countable basis at x.  $\sqcap$ 

Corollary 14.0.28.1.  $\mathbb{R}^{\omega}$  under the box topology is not metrizable.

**Corollary 14.0.28.2.** If J is an uncountable set then  $\mathbb{R}^J$  under the product topology is not metrizable.

### 14.0.6 Hausdorff Spaces

Proposition 14.0.29. Every metric space is Hausdorff.

### 14.0.7 Bounded Sets

**Definition 14.0.30** (Bounded). Let X be a metric space. Let  $A \subseteq X$ . Then A is bounded iff there exists M such that  $\forall x, y \in A.d(x, y) \leq M$ . Its diameter is then defined to be

$$\operatorname{diam} A := \sup \{ d(x, y) : x, y \in A \} .$$

### 14.0.8 Uniform Convergence

**Definition 14.0.31** (Uniform Convergence). Let X be a set and Y a metric space. Let  $(f_n)$  be a sequence of functions  $X \to Y$ , and  $f: X \to Y$ . Then  $(f_n)$  converges uniformly to f iff, for all  $\epsilon > 0$ , there exists N such that

$$\forall n \geqslant N. \forall x \in X. d(f_n(x), f(x)) < \epsilon$$
.

**Example 14.0.32.** For  $n \in \mathbb{N}$  define  $f_n : [0,1] \to \mathbb{R}$  by  $f_n(x) = x^n$ . Define  $f : [0,1] \to \mathbb{R}$  by f(x) = 0 for x < 1, f(1) = 1. Then  $f_n$  converges pointwise to f, but does not converge uniformly to f.

We prove that, for all N, there exists  $n \ge N$  and  $x \in [0,1]$  such that  $|x^n - f(x)| \ge 1/2$ . Take n = N and x to be the Nth root of 3/4.

**Example 14.0.33.** For  $n \in \mathbb{N}$ , define  $f_n : \mathbb{R} \to \mathbb{R}$  by

$$f_n(x) = \frac{1}{n^3[x - (1/n)]^2 + 1}$$
.

Then for all  $x \in \mathbb{R}$  we have  $f_n(x) \to 0$  as  $n \to \infty$ , but  $(f_n)$  does not converge uniformly to 0.

We prove that, for all N, there exists  $n \ge N$  and  $x \in \mathbb{R}$  such that  $|f_n(x)| \ge 1/2$ . Take n = N and x = 1/N. We have  $f_N(1/N) = 1$ .

**Theorem 14.0.34** (Uniform Limit Theorem). Let X be a topological space and Y a metric space. Let  $(f_n)$  be a sequence of functions  $X \to Y$ , and  $f: X \to Y$ . If every  $f_n$  is continuous and  $(f_n)$  converges uniformly to f, then f is continuous.

### Proof:

- $\langle 1 \rangle 1$ . Let: V be open in Y.
- $\langle 1 \rangle 2$ . Let:  $x_0 \in f^{-1}(V)$

PROVE: There exists a neighbourhood U of  $x_0$  such that  $f(U) \subseteq V$ .

- $\langle 1 \rangle 3$ . Let:  $y_0 = f(x_0)$
- $\langle 1 \rangle 4$ . PICK  $\epsilon > 0$  such that  $B(y_0, \epsilon) \subseteq V$ .
- $\langle 1 \rangle 5$ . PICK N such that  $\forall n \geq N. \forall x \in X. d(f_n(x), f(x)) < \epsilon/3$ .
- (1)6. PICK a neighbourhood U of  $x_0$  such that  $f_N(U_2) \subseteq B(f_N(x_0), \epsilon/3)$ . PROVE:  $f(U) \subseteq V$
- $\langle 1 \rangle 7$ . Let:  $y \in U$
- $\langle 1 \rangle 8. \ d(f(y), y_0) < \epsilon$

Proof:

$$d(f(y), y_0) \leq d(f(y), f_N(y)) + d(f_N(y), f_N(x_0)) + d(f_N(x_0), y_0)$$

$$< \epsilon/3 + \epsilon/3 + \epsilon/3 \qquad (\langle 1 \rangle 5, \langle 1 \rangle 6)l$$

$$= \epsilon$$

 $\langle 1 \rangle 9. \ f(y) in V$ Proof:  $\langle 1 \rangle 4$ 

**Proposition 14.0.35.** Let X be a topological space. Let Y be a metric space. Let  $f_n$  be a sequence of functions  $X \to Y$  and  $f: X \to Y$ . Let  $x_n$  be a sequence of points in X and  $l \in X$ . If  $f_n$  converges uniformly to f,  $x_n$  converges to l, and f is continuous, then  $f_n(x_n)$  converges to f(l).

#### Proof:

- $\langle 1 \rangle 1$ . f is continuous.
- $\langle 1 \rangle 2$ . Let:  $\epsilon > 0$
- $\langle 1 \rangle 3$ . PICK  $\delta > 0$  such that  $\forall y \in X.d(y,l) < \delta \Rightarrow d(f(y),f(l)) < \epsilon/2$
- $\langle 1 \rangle 4$ . PICK N such that  $\forall n \geq N. \forall x \in X. d(f_n(x), f(x)) < \epsilon/2$  and  $\forall n \geq$  $N.d(x_n,l) < \delta$
- $\langle 1 \rangle$ 5. For all  $n \geq N$  we have  $d(f_n(x_n), f(l)) < \epsilon$ Proof:

$$d(f_n(x_n), f(l)) \leq d(f_n(x_n), f(x_n)) + d(f(x_n), f(l))$$
$$< \epsilon/2 + \epsilon/2$$
$$= \epsilon$$

**Theorem 14.0.36** (Weierstrass M-Test). Let X be a set. Let  $(f_n)$  be a sequence of functions  $X \to \mathbb{R}$ . Let  $(M_n)$  be a sequence of real numbers. For  $n \in \mathbb{N}$ , let

$$s_n(x) = \sum_{i=0}^n f_i(x) .$$

Assume that  $\forall n \in \mathbb{N}. \forall x \in X. |f_n(x)| \leq M_n$ . Assume that  $\sum_{n=0}^{\infty} M_n$  converges. Then  $(s_n)$  uniformly converges to s where  $s(x) = \sum_{n=0}^{\infty} f_n(x)$ .

- $\langle 1 \rangle 1$ . For all  $x \in X$  we have  $\sum_{n=0}^{\infty} f_n(x)$  converges. PROOF: By the Comparison Test.
- $\langle 1 \rangle 2$ . For  $n \in \mathbb{N}$ ,

LET:  $r_n = \sum_{i=n+1}^{\infty} M_i$ .  $\langle 1 \rangle 3$ . For all  $k, n \in \mathbb{N}$  and  $x \in X$ , if k > n then  $|s_k(x) - s_n(x)| \leq r_n$ .

Proof:

$$|s_k(x) - s_n(x)| = \left| \sum_{i=n+1}^k f_i(x) \right|$$

$$\leq \sum_{i=n+1}^k |f_i(x)|$$

$$\leq \sum_{i=n+1}^k M_i$$

$$\leq \sum_{i=n+1}^\infty M_i$$

 $\langle 1 \rangle 4$ . For all  $n \in \mathbb{N}$  we have  $|s(x) - s_n(x)| \leq r_n$ .

PROOF: Taking the limit  $k \to \infty$  in  $\langle 1 \rangle 3$ .

 $\langle 1 \rangle 5$ .  $(s_n)$  converges uniformly to s.

PROOF: We have  $\overline{\rho}(s_n, s) \leq r_n$  and so  $\overline{\rho}(s_n, s) \to 0$  as  $n \to \infty$  by the Sandwich Theorem.

### 14.0.9 Standard Bounded Metric

**Definition 14.0.37** (Standard Bounded Metric). Let (X, d) be a metric space. The *standard bounded metric* corresponding to d is

$$\overline{d}(x,y) := \min(d(x,y),1) .$$

**Proposition 14.0.38.** The standard bounded metric associated with d induces the same topology as d.

### PROOF:

- $\langle 1 \rangle 1$ . Let: (X, d) be a metric space.
- $\langle 1 \rangle 2$ . Every d-ball is open under the topology induced by  $\overline{d}$ .
  - $\langle 2 \rangle 1$ . Let:  $a \in X$  and  $\epsilon > 0$
  - $\langle 2 \rangle 2$ . Let:  $x \in B_d(a, \epsilon)$
  - $\langle 2 \rangle 3$ . Let:  $\delta = \min(\epsilon d(a, x), 1/2)$
  - $\langle 2 \rangle 4. \ B_{\overline{d}}(x,\delta) \subseteq B_d(a,\epsilon)$
- $\langle 1 \rangle 3$ . Every  $\overline{d}$ -ball is open under the topology induced by d.

PROOF: Since  $B_{\overline{d}}(a,\epsilon) = B_d(a,\epsilon)$  if  $\epsilon \leq 1$ , and X if  $\epsilon > 1$ .

### 14.0.10 Product Spaces

**Proposition 14.0.39.** The product of a countable family of metrizable spaces is metrizable.

- $\langle 1 \rangle 1$ . Let:  $(X_n, d_n)$  be a sequence of metric spaces.
- $\langle 1 \rangle 2$ . For  $n \in \mathbb{N}$ ,

Let:  $\overline{d_n}$  be the standard bounded metric associated with  $d_n$ .

- $\langle 1 \rangle 3$ . Let:  $X = \prod_{n \in \mathbb{N}} X_n$   $\langle 1 \rangle 4$ . Define  $D: X^2 \to \mathbb{R}$  by  $D(x,y) = \sup_{n \in \mathbb{N}} \overline{d_n}(\pi_n(x), \pi_n(y))/(n+1)$ .
- $\langle 1 \rangle 5$ . D is a metric on X.
  - $\langle 2 \rangle 1$ . For all  $x, y \in X$  we have  $D(x, y) \ge 0$ .
  - $\langle 2 \rangle 2$ . For all  $x, y \in X$  we have D(x, y) = 0 iff x = y.
  - $\langle 2 \rangle 3$ . For all  $x, y \in X$  we have D(x, y) = D(y, x).
  - $\langle 2 \rangle 4$ . For all  $x, y, z \in X$  we have  $D(x, z) \leq D(x, y) + D(y, z)$ .
- $\langle 1 \rangle$ 6. The product topology is finer than the metric topology induced by D.
  - $\langle 2 \rangle 1$ . Let:  $a \in X$  and  $\epsilon > 0$ .
  - $\langle 2 \rangle 2$ . Let:  $x \in B(a, \epsilon)$
  - $\langle 2 \rangle 3$ . Let:  $\delta = \epsilon D(a, x)$
  - $\langle 2 \rangle 4$ . Pick  $N \in \mathbb{N}$  such that  $1/(N+1) < \delta$
- $\langle 2 \rangle$ 5.  $x \in \prod_{n=0}^{N} B_{\overline{d_n}}(\pi_n(a), n\delta) \times \prod_{n=N+1}^{\infty} \subseteq B(a, \epsilon)$   $\langle 1 \rangle$ 7. The metric topology induced by D is finer than the product topology.
  - $\langle 2 \rangle 1$ . Let:  $n \in \mathbb{N}$  and U be an open set in  $X_n$ . PROVE:  $\pi_n^{-1}(U)$  is open in the metric topology.  $\langle 2 \rangle 2$ . Let:  $x \in \pi_n^{-1}(U)$

  - $\langle 2 \rangle 3$ . Pick  $\epsilon > 0$  such that  $B_{\overline{d_n}}(\pi_n(x), \epsilon) \subseteq U$
- $\langle 2 \rangle 4$ .  $B(x, \epsilon/(n+1)) \subseteq \pi_n^{-1}(U)$

**Definition 14.0.40.** For  $n \ge 1$ , the unit ball  $B^n$  is the closed ball  $\overline{B(0,1)}$  in  $\mathbb{R}^n$  under the Euclidean metric.

#### Uniform Metric 14.1

**Definition 14.1.1** (Uniform Metric). Let J be a nonempty set. The uniform metric  $\overline{\rho}$  on  $\mathbb{R}^J$  is defined by

$$\overline{\rho}(x,y) = \sup_{j \in J} \overline{d}(x_j, y_j)$$

where  $\overline{d}$  is the standard bounded metric associated with the standard metric on  $\mathbb{R}$ .

The topology it induces is called the *uniform topology*.

We prove this is a metric.

#### Proof:

 $\langle 1 \rangle 1$ . For all  $x, y \in \mathbb{R}^{\omega}$  we have  $\overline{\rho}(x, y) \geq 0$ .

PROOF: Pick  $j_0 \in J$ . Then

$$\overline{\rho}(x,y) = \sup_{j} \overline{d}(x_{j}, y_{j})$$

$$\geqslant \overline{d}(x_{j_{0}}, y_{j_{0}})$$

$$> 0$$

 $\langle 1 \rangle 2$ . For all  $x, y \in \mathbb{R}^{\omega}$  we have  $\overline{\rho}(x, y) = 0$  iff x = y. Proof:

$$\overline{\rho}(x,y) = 0 \Leftrightarrow \sup_{j} \overline{d}(x_{j}, y_{j}) = 0$$

$$\Leftrightarrow \forall j.\overline{d}(x_{j}, y_{j}) = 0$$

$$\Leftrightarrow \forall j.x_{j} = y_{j}$$

$$\Leftrightarrow x = y$$

 $\langle 1 \rangle 3$ . For all  $x, y \in \mathbb{R}^{\omega}$  we have  $\overline{\rho}(x, y) = \overline{\rho}(y, x)$ .

Proof:

$$\overline{\rho}(x,y) = \sup_{j} \overline{d}(x_{j}, y_{j})$$
$$= \sup_{j} \overline{d}(y_{j}, x_{j})$$
$$= \overline{\rho}(y, x)$$

 $\langle 1 \rangle 4$ . For all  $x, y, z \in \mathbb{R}^{\omega}$  we have  $\overline{\rho}(x, z) \leq \overline{\rho}(x, y) + \overline{\rho}(y, z)$ .

Proof:

$$\overline{\rho}(x,z) = \sup_{j} \overline{d}(x_{j}, z_{j})$$

$$\leqslant \sup_{j} (\overline{d}(x_{j}, y_{j}) + \overline{d}(y_{j}, z_{j}))$$

$$\leqslant \sup_{j} \overline{d}(x_{j}, y_{j}) + \sup_{j} \overline{d}(y_{j}, z_{j})$$

$$= \overline{\rho}(x, y) + \overline{\rho}(y, z)$$

П

**Proposition 14.1.2.** The uniform topology is finer than the product topology. It is strictly finer iff J is infinite.

Proof:

 $\langle 1 \rangle 1$ . The uniform topology is finer than the product topology.

 $\langle 2 \rangle 1$ . Let: U be open in  $\mathbb{R}$  and  $j \in J$ PROVE:  $\pi_j^{-1}(U)$  is open in the uniform topology.

 $\langle 2 \rangle 2$ . Let:  $x \in \pi_j^{-1}(U)$ 

 $\langle 2 \rangle 3. \ \pi_j(x) \in U$ 

 $\langle 2 \rangle 4$ . PICK  $\epsilon > 0$  such that  $B_{\overline{d}}(\pi_j(x), \epsilon) \subseteq U$  $\langle 2 \rangle 5$ .  $B_{\overline{\rho}}(x, \epsilon) \subseteq \pi_j^{-1}(U)$ 

 $\langle 1 \rangle 2$ . If J is finite then the uniform topology is equal to the product topology. PROOF: In  $\mathbb{R}^n$ , the uniform topology is the square topology.

 $\langle 1 \rangle 3$ . If J is infinite then the uniform topology is not equal to the product topology.

PROOF: If J is infinite then B(0,1) is not open in the product topology. 

**Proposition 14.1.3.** The uniform topology is coarser than the box topology. It is strictly coarser iff J is infinite.

Proof:

- $\langle 1 \rangle 1$ . The uniform topology is coarser than the box topology.
  - $\langle 2 \rangle$ 1. Let: *U* be open in the uniform topology. Prove: *U* is open in the box topology.
  - $\langle 2 \rangle 2$ . Let:  $x \in U$
  - $\langle 2 \rangle 3$ . Pick  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$
  - $\langle 2 \rangle 4$ .  $\prod_{i \in J} (x_i \epsilon, x_j + \epsilon) \subseteq U$
- $\langle 1 \rangle 2$ . If J is finite then the uniform topology is equal to the box topology. PROOF: On  $\mathbb{R}^n$ , the uniform metric is the square metric.
- $\langle 1 \rangle 3$ . If J is infinite then the uniform topology is not equal to the box topology.
  - $\langle 2 \rangle 1$ . Assume: J is infinite.
  - $\langle 2 \rangle 2$ . PICK a sequence  $(j_n)$  of distinct elements in J.
  - $\langle 2 \rangle$ 3. Let:  $U = \prod_j U_j$  where  $J_{j_n} = (-1/(n+1), 1/(n+1))$  for  $n \in \mathbb{N}$  and  $J_j = (-1, 1)$  for all other j.
- $\langle 2 \rangle 4$ . *U* is not open in the uniform topology.

**Proposition 14.1.4.** The uniform topology on  $\mathbb{R}^{\infty}$  is strictly finer than the product topology.

PROOF: The set of all sequences  $(x_n) \in \mathbb{R}^{\infty}$  such that  $\forall n. |x_n| < 1$  is open in the uniform topology but not in the product topology.  $\square$ 

**Proposition 14.1.5.** The uniform topology on  $\mathbb{R}^{\infty}$  is strictly coarser than the box topology.

PROOF: The set of sequences  $(x_n) \in \mathbb{R}^{\infty}$  such that  $\forall n. |x_n| < 1/n$  is open in the box topology but not in the uniform topology.  $\square$ 

**Proposition 14.1.6.** The uniform topology on the Hilbert cube is the same as the product topology.

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $(x_n)$  be in the Hilbert cube H and  $\epsilon > 0$ . PROVE:  $B((x_n), \epsilon) \cap H$  is open in the product topology.
- $\langle 1 \rangle 2$ . PICK N such that  $1/N < \epsilon$
- $\langle 1 \rangle 3. \ B((x_n), \epsilon) = \left(\prod_{n=0}^{N} (x_n \epsilon, x_n + \epsilon) \times \prod_{n=N+1}^{\infty} [0, 1/(n+1)]\right) \cap H$

Corollary 14.1.6.1. The uniform topology on the Hilbert cube is strictly finer than the box topology.

**Proposition 14.1.7.** Let X be a set and Y a metric space. Let  $(f_n)$  be a sequence of functions  $X \to Y$ , and  $f: X \to Y$ . Then  $(f_n)$  converges uniformly to f iff  $(f_n)$  converges to f in  $Y^X$  under the uniform topology.

#### Proof:

- $\langle 1 \rangle 1$ . If  $(f_n)$  converges uniformly to f then  $(f_n)$  converges to f in  $Y^X$  under the uniform topology.
  - $\langle 2 \rangle 1$ . Assume:  $(f_n)$  converges uniformly to f.

```
\begin{array}{l} \langle 2 \rangle 2. \ \ \text{Let: } \epsilon > 0 \\ \langle 2 \rangle 3. \ \ \text{Pick } N \ \text{such that } \forall n \geqslant N. \forall x \in X. d(f_n(x), f(x)) < \epsilon/2 \\ \langle 2 \rangle 4. \ \ \forall n \geqslant N. \overline{\rho}(f_n, f) \leqslant \epsilon/2 \\ \langle 2 \rangle 5. \ \ \forall n \geqslant N. \overline{\rho}(f_n, f) < \epsilon \\ \langle 1 \rangle 2. \ \ \text{If } (f_n) \ \text{converges to } f \ \text{in } Y^X \ \text{under the uniform topology then } (f_n) \ \text{converges uniformly to } f. \\ \langle 2 \rangle 1. \ \ \text{Assume: } (f_n) \ \text{converges to } f \ \text{in } Y^X \ \text{under the uniform topology.} \\ \langle 2 \rangle 2. \ \ \ \text{Let: } \epsilon > 0 \\ \langle 2 \rangle 3. \ \ \ \text{Pick } N \ \text{such that } \forall n \geqslant N. \overline{\rho}(f_n, f) < \epsilon \\ \langle 2 \rangle 4. \ \ \forall n \geqslant N. \forall x \in X. d(f_n(x), f(x)) < \epsilon \\ \end{array}
```

**Proposition 14.1.8.** In  $\mathbb{R}^{\omega}$  under the uniform topology,  $\vec{x}$  and  $\vec{y}$  lie in the same component if and only if  $\vec{x} - \vec{y}$  is bounded.

#### Proof:

- $\langle 1 \rangle 1$ . The set of bounded sequences form a component of  $\mathbb{R}^{\omega}$ .
  - $\langle 2 \rangle 1$ . Let: B be the set of bounded sequences.
  - $\langle 2 \rangle 2$ . B is connected.
    - $\langle 3 \rangle 1$ . Let:  $\vec{x} \in B$

PROVE: The straight line path  $p:[0,1]\to\mathbb{R}^\omega$  from 0 to  $\vec{x}$  is continuous.

- $\langle 3 \rangle 2$ . Let:  $t \in [0,1]$  and  $\epsilon > 0$
- $\langle 3 \rangle 3$ . Pick B > 0 such that  $\forall n. |x_n| < B$
- $\langle 3 \rangle 4$ . Let:  $\delta = \epsilon/B$
- $\langle 3 \rangle$ 5. Let:  $s \in [0,1]$  with  $|s-t| < \delta$
- $\langle 3 \rangle$ 6. For all n we have  $|p(s)_n p(t)_n| < \epsilon/2$ PROOF:

$$|p(s)_n - p(t)_n| = |s - t||x_n|$$
  
 $< \delta B$   
 $= \epsilon$ 

 $\langle 3 \rangle 7. \ \overline{\rho}(p(s), p(t)) \leq \epsilon/2$ 

 $\langle 3 \rangle 8. \ \overline{p}(p(s), p(t)) < \epsilon$ 

 $\langle 2 \rangle 3$ . B is maximally connected.

PROOF: Since  $(B, \mathbb{R}^{\omega} - B)$  form a separation of  $\mathbb{R}^{\omega}$ .

 $\langle 1 \rangle$ 2. For any  $\vec{y} \in \mathbb{R}^{\omega}$ , the component containing  $\vec{y}$  is  $\{\vec{x} \in \mathbb{R}^{\omega} : \vec{x} - \vec{y} \text{ is bounded}\}$ . PROOF: Since the function that maps  $\vec{x}$  to  $\vec{x} + \vec{y}$  is a homeomorphism between  $\mathbb{R}^{\omega}$  and itself.

#### 14.1.1 Products

**Definition 14.1.9** (Euclidean Metric). Let X and Y be metric spaces. The *Euclidean metric* on  $X \times Y$  is

$$d((x_1, y_1), (x_2, y_2)) = \sqrt{d(x_1, x_2)^2 + d(y_1, y_2)^2} .$$

We write  $X \times Y$  for the set  $X \times Y$  under this metric. We prove this is a metric.

```
PROOF:
```

$$\langle 1 \rangle 1$$
.  $d((x_1, y_1), (x_2, y_2)) \ge 0$   
PROOF: Immediate from definition.  
 $\langle 1 \rangle 2$ .  $d((x_1, y_1), (x_2, y_2)) = 0$  iff  $(x_1, y_1) = (x_2, y_2)$ 

$$\langle 1 \rangle 2$$
.  $d((x_1, y_1), (x_2, y_2)) = 0$  iff  $(x_1, y_1) = (x_2, y_2)$   
PROOF:  $\sqrt{d(x_1, x_2)^2 + d(y_1, y_2)^2} = 0$  iff  $d(x_1, x_2) = d(y_1, y_2) = 0$  iff  $x_1 = x_2$  and  $y_1 = y_2$ .

$$\langle 1 \rangle$$
3.  $d((x_1, y_1), (x_2, y_2)) = d((x_2, y_2), (x_1, y_1))$   
PROOF: Since  $\sqrt{d(x_1, x_2)^2 + d(y_1, y_2)^2} = \sqrt{d(x_2, x_1)^2 + d(y_2, y_1)^2}$ .

 $\langle 1 \rangle 4$ . The triangle inequality holds.

PROOF:

$$\begin{split} &(d((x_1,y_1),(x_2,y_2)) + d((x_2,y_2),(x_3,y_3)))^2 \\ = &d((x_1,y_1),(x_2,y_2))^2 + 2d((x_1,y_1),(x_2,y_2))d((x_2,y_2),(x_3,y_3)) + d((x_2,y_2),(x_3,y_3))^2 \\ = &d(x_1,x_2)^2 + d(y_1,y_2)^2 + 2\sqrt{(d(x_1,x_2)^2 + d(y_1,y_2)^2)(d(x_2,x_3)^2 + d(y_2,y_3)^2)} + d(x_2,x_3)^2 + d(y_2,y_3)^2 \\ \geqslant &d(x_1,x_2)^2 + d(x_2,x_3)^2 + d(y_1,y_2)^2 + d(y_2,y_3)^2 \\ \geqslant &d(x_1,x_2)^2 + d(x_2,x_3)^2 + (d(y_1,y_2)^2 + d(y_2,y_3))^2 \\ \geqslant &d(x_1,x_2)^2 + d(x_2,x_3)^2 + d(y_1,y_2)^2 \\ \geqslant &d(x_1,x_3)^2 + d(y_1,y_3)^2 \\ = &d((x_1,y_1),(x_3,y_3))^2 \end{split}$$

**Proposition 14.1.10.** Let X and Y be metric spaces. The Euclidean metric on  $X \times Y$  induces the product topology on  $X \times Y$ .

#### Proof:

 $\langle 1 \rangle 1$ . Every open ball is open in the product topology.

 $\langle 1 \rangle 2$ . If U is open in X and V is open in Y then  $U \times V$  is open under the Euclidean metric.

**Proposition 14.1.11.** The square metric on  $\mathbb{R}^n$  induces the product topology.

```
Proof:
```

```
\langle 1 \rangle 1. Let: d be the Euclidean metric on \mathbb{R}^n and \rho the square metric. \langle 1 \rangle 2. For all x \in X and \epsilon > 0, there exists \delta > 0 such that B_d(x, \delta) \subseteq B_\rho(x, \epsilon) Proof: If d(x, y) < \epsilon then \rho(x, y) < \epsilon. \langle 1 \rangle 3. For all x \in X and \epsilon > 0, there exists \delta > 0 such that B_\rho(x, \delta) \subseteq B_d(x, \epsilon) Proof: If \rho(x, y) < \epsilon / \sqrt{n} then d(x, y) < \epsilon.
```

 $\langle 1 \rangle 4$ . d and  $\rho$  induce the same topology.

Proof: Proposition 14.0.18.

### 14.1.2 Connected Spaces

**Example 14.1.12.** The space  $\mathbb{R}^{\omega}$  under the uniform topology is disconnected. The set of bounded sequences and the set of unbounded sequences form a separation.

## 14.2 Isometric Embeddings

**Definition 14.2.1** (Isometric Embedding). Let X and Y be metric spaces. Let  $f: X \to Y$ . Then f is an *isometric embedding* of X in Y iff, for all  $x, y \in X$ , we have d(f(x), f(y)) = d(x, y).

Proposition 14.2.2. Every isometric embedding is an embedding.

```
Proof:
```

```
\langle 1 \rangle 1. Let: X and Y be metric spaces.
```

 $\langle 1 \rangle 2$ . Let:  $f: X \to Y$  be an isometric embedding.

 $\langle 1 \rangle 3$ . f is injective.

 $\langle 1 \rangle 4$ . The subspace topology induced by f is finer than the metric topology.

 $\langle 2 \rangle 1$ . Let:  $x \in X$  and  $\epsilon > 0$ 

PROVE:  $B(x, \epsilon)$  is open in the subspace topology.

$$\langle 2 \rangle 2$$
.  $B(x,\epsilon) = f^{-1}(B(f(x),\epsilon))$ 

 $\langle 1 \rangle$ 5. The metric topology is finer than the subspace topology induced by f.

```
\langle 2 \rangle1. Let: V be open in Y
PROVE: f^{-1}(V) is open in X
\langle 2 \rangle2. Let: x \in f^{-1}(V)
\langle 2 \rangle3. PICK \epsilon > 0 such that B(f(x), \epsilon) \subseteq V
\langle 2 \rangle4. B(x, \epsilon) \subseteq f^{-1}(V)
```

### 14.3 Complete Metric Spaces

**Definition 14.3.1** (Complete). A metric space is *complete* iff every Cauchy sequence converges.

**Example 14.3.2.**  $\mathbb{R}$  is complete.

**Proposition 14.3.3.** The product of two complete metric spaces is complete.

**Proposition 14.3.4.** Every compact metric space is complete.

**Proposition 14.3.5.** Let X be a complete metric space and  $A \subseteq X$ . Then A is complete if and only if A is closed.

**Definition 14.3.6** (Completion). Let X be a metric space. A *completion* of X is a complete metric space  $\hat{X}$  and injection  $i: X \rightarrow \hat{X}$  such that:

- The metric on X is the restriction of the metric on  $\hat{X}$
- X is dense in  $\hat{X}$ .

**Proposition 14.3.7.** Let  $i_1: X \to Y_1$  and  $i_2: X \to Y_2$  be completions of X. Then there exists a unique isometry  $\phi: Y_1 \cong Y_2$  such that  $\phi \circ i_1 = i_2$ .

```
PROOF: Define \phi(\lim_{n\to\infty} i_1(x_n)) = \lim_{n\to\infty} i_2(x_n).
```

**Theorem 14.3.8.** Every metric space has a completion.

PROOF: Let  $\hat{X}$  be the set of Cauchy sequences in X quotiented by  $\sim$  where  $(x_n) \sim (y_n)$  if and only if  $d(x_n, y_n) \to 0$ .  $\square$ 

### 14.4 Manifolds

**Definition 14.4.1** (Manifold). An *n*-dimensional manifold is a second countable Hausdorff space locally homeomorphic to  $\mathbb{R}^n$ .

# Chapter 15

# Homotopy Theory

### 15.1 Homotopies

**Definition 15.1.1** (Homotopy). Let X and Y be topological spaces. Let  $f, g: X \to Y$  be continuous. A *homotopy* between f and g is a continuous function  $h: X \times [0,1] \to Y$  such that

- $\forall x \in X.h(x,0) = f(x)$
- $\forall x \in X.h(x,1) = g(x)$

We say f and g are *homotopic*,  $f \simeq g$ , iff there exists a homotopy between them. Let [X,Y] be the set of all homotopy classes of functions  $X \to Y$ .

**Proposition 15.1.2.** Let  $f, f': X \to Y$  and  $g, g': Y \to Z$  be continuous. If  $f \simeq f'$  and  $g \simeq g'$  then  $g \circ f \simeq g' \circ f'$ .

**Definition 15.1.3.** Let **HTop** be the category whose objects are the small topological spaces and whose morphisms are the homotopy classes of continuous functions.

A homotopy functor is a functor  $\mathbf{Top} \to \mathcal{C}$  that factors through the canonical functor  $\mathbf{Top} \to \mathbf{HTop}$ .

**Definition 15.1.4.** A functor  $F : \mathbf{Top} \to \mathcal{C}$  is homotopy invariant iff, for any topological spaces X, Y and continuous functions  $f, g : X \to Y$ , if  $f \simeq g$  then Hf = Hg.

Basepoint-preserving homotopy.

## 15.2 Homotopy Equivalence

**Definition 15.2.1** (Homotopy Equivalence). Let X and Y be topological spaces. A homotopy equivalence between X and Y,  $f: X \simeq Y$ , is a continuous function  $f: X \to Y$  such that there exists a continuous function  $g: Y \to X$ , the homotopy inverse to f, such that  $g \circ f \simeq \operatorname{id}_X$  and  $f \circ g \simeq \operatorname{id}_Y$ .

**Definition 15.2.2** (Contractible). A topological space X is *contractible* iff  $X \simeq 1$ .

**Example 15.2.3.**  $\mathbb{R}^n$  is contractible.

Example 15.2.4.  $D^n$  is contractible.

**Definition 15.2.5** (Deformation Retract). Let X be a topological space and A a subspace of X. A retraction  $\rho: X \to A$  is a deformation retraction iff  $i \circ \rho \simeq \mathrm{id}_X$ , where i is the inclusion  $A \mapsto X$ . We say A is a deformation retract of X iff there exists a deformation retraction.

**Definition 15.2.6** (Strong Deformation Retract). Let X be a topological space and A a subspace of X. A strong deformation retraction  $\rho: X \to A$  is a continuous function such that there exists a homotopy  $h: X \times [0,1] \to X$  between  $i \circ \rho$  and  $id_X$  such that, for all  $a \in X$  and  $t \in [0,1]$ , we have h(a,t) = a.

We say A is a strong deformation retract of X iff a strong deformation retraction exists.

**Example 15.2.7.**  $\{0\}$  is a strong deformation retract of  $\mathbb{R}^n$  and of  $D^n$ .

**Example 15.2.8.**  $S^1$  is a strong deformation retract of the torus  $S^1 \times D^2$ .

**Example 15.2.9.**  $S^{n-1}$  is a strong deformation retract of  $D^n - \{0\}$ .

**Example 15.2.10.** For any topological space X, the singleton consisting of the vertex is a strong deformation retract of the cone over X.

# Chapter 16

# Simplicial Complexes

**Definition 16.0.1** (Simplex). A k-dimensional simplex or k-simplex in  $\mathbb{R}^n$  is the convex hull  $s(x_0, \ldots, x_k)$  of k+1 points in general position.

**Definition 16.0.2** (Face). A *sub-simplex* or *face* of  $s(x_0, ..., x_k)$  is the convex hull of a subset of  $\{x_0, ..., x_k\}$ .

**Definition 16.0.3** (Simplicial Complex). A *simplicial complex* in  $\mathbb{R}^n$  is a set K of simplices such that:

- for every simplex s in K, every face of s is in K.
- The intersection of two simplices  $s_1, s_2 \in K$  is either empty or is a face of both  $s_1$  and  $s_2$ .
- K is locally finite, i.e. every point of  $\mathbb{R}^n$  has a neighbourhood that only intersects finitely many elements of K.

The topological space underlying K is  $|K| = \bigcup K$  as a subspace of  $\mathbb{R}^n$ .

## 16.1 Cell Decompositions

**Definition 16.1.1** (*n*-cell). An *n*-cell is a topological space homeomorphic to  $\mathbb{R}^n$ .

**Definition 16.1.2** (Cell Decomposition). Let X be a topological space. A *cell decomposition* of X is a partition of X into subspaces that are n-cells.

**Definition 16.1.3** (*n*-skeleton). Given a cell decomposition of X, the *n*-skeleton  $X^n$  is the union of all the cells of dimension  $\leq n$ .

## 16.2 CW-complexes

**Definition 16.2.1** (CW-Complex). A CW-complex consists of a topological space X and a cell decomposition  $\mathcal{E}$  of X such that:

- 1. Characteristic Maps For every n-cell  $e \in \mathcal{E}$ , there exists a continuous map  $\Phi_e: D^n \to X$  such that  $\Phi((D^n)^\circ) = e$ , the corestriction  $\Phi_e: (D^n)^\circ \approx e$  is a homeomorphism, and  $\Phi_e(S^n)$  is the union of all the cells in  $\mathcal{E}$  of dimension < n.
- 2. Closure Finiteness For all  $e \in \mathcal{E}$ , we have  $\overline{e}$  intersects only finitely many other cells in  $\mathcal{E}$ .
- 3. Weak Topology Given  $A \subseteq X$ , we have A is closed iff for all  $e \in \mathcal{E}$ ,  $A \cap \overline{e}$  is closed.

**Proposition 16.2.2.** If a cell decomposition  $\mathcal{E}$  satisfies the Characteristic Maps axiom, then for every n-cell  $e \in \mathcal{E}$  we have  $\overline{e} = \Phi_e(D^n)$ . Therefore  $\overline{e}$  is compact and  $\overline{e} - e = \Phi_e(S^{n-1}) \subseteq X^{n-1}$ .

Proof:

 $\langle 1 \rangle 1. \ e \subseteq \Phi_e(D^n) \subseteq \overline{e}$ 

Proof:

$$e = \Phi_e((D^n)^\circ)$$

$$\subseteq \Phi_e(D^n)$$

$$= \Phi_e(\overline{(D^n)^\circ})$$

$$\subseteq \overline{\Phi_e((D^n)^\circ)}$$

$$= \overline{e}$$

 $\langle 1 \rangle 2$ .  $\Phi_e(D^n)$  is compact.

PROOF: Because  $D^n$  is compact.

 $\langle 1 \rangle 3$ .  $\Phi_e(D^n)$  is closed.

$$\langle 1 \rangle 4. \ \Phi_e(D^n) = \overline{e}$$

# Chapter 17

# **Topological Groups**

## 17.1 Topological Groups

**Definition 17.1.1** (Topological Group). A topological group is a group G with a topology such that the function  $G^2 \to G$  that maps (x, y) to  $xy^{-1}$  is continuous.

**Example 17.1.2.**  $\mathbb{Z}$  is a topological group under addition.

PROOF: The function that sends (x, y) to  $xy^{-1}$  is continuous because the topology on  $\mathbb Z$  is discrete.  $\square$ 

**Example 17.1.3.**  $\mathbb{R}$  is a topological group under addition.

PROOF: From Propositions 14.0.11 and 14.0.12.  $\Box$ 

**Example 17.1.4.**  $\mathbb{R}_+$  is a topological group under multiplication.

PROOF: From Propositions 14.0.12 and 14.0.25.  $\Box$ 

**Example 17.1.5.**  $S^1$  as a subspace of  $\mathbb C$  is a topological group under multiplication.

#### Proof:

```
\langle 1 \rangle 1. Let: f: S^1 \to S^1 be the function f(x,y) = xy^{-1}
```

 $\langle 1 \rangle 2$ . Let: U be an open set in  $S^1$ 

PROVE:  $f^{-1}(U)$  is open in  $(S^1)^2$ 

 $\langle 1 \rangle 3$ . Let:  $(x,y) \in f^{-1}(U)$ 

 $\langle 1 \rangle 4. \ xy^{-1} \in U$ 

 $\langle 1 \rangle$ 5. Let:  $x = e^{i\phi}$  and  $y = e^{i\psi}$ 

 $\langle 1 \rangle 6. \ xy^{-1} = e^{i(\phi - \psi)} \in U$ 

 $\langle 1 \rangle 7$ . PICK  $\epsilon > 0$  such that, for all t, if  $|\phi - \psi - t| < \epsilon$  then  $e^{it} \in U$ 

 $\langle 1 \rangle 8. \ (x,y) \in \{e^{it} : |\phi - t| < \epsilon/2\} \times \{e^{it} : |\psi - t| < \epsilon/2\} \subseteq f^{-1}(U)$ 

**Example 17.1.6.**  $GL(n,\mathbb{R})$  is a topological group considered as a subspace of  $\mathbb{R}^{n^2}$ .

PROOF: Since the calculations for matrix multiplication and inverse are compositions of continuous functions.  $\square$ 

**Example 17.1.7.**  $GL(n,\mathbb{R})$  and  $GL(n,\mathbb{C})$  are topological groups.

**Proposition 17.1.8.** Let G be a group with a topology. Then G is a topological group if and only if the functions  $m: G^2 \to G$  that sends (x, y) to xy and the function  $i: G \to G$  that sends x to  $x^{-1}$  are continuous.

#### Proof:

 $\langle 1 \rangle 1$ . If G is a topological group then i is continuous.

PROOF: Since  $x^{-1} = ex^{-1}$ .

 $\langle 1 \rangle 2$ . If G is a topological group then m is continuous.

PROOF: Since  $xy = x(y^{-1})^{-1}$ .

 $\langle 1 \rangle 3$ . If m and i are continuous then G is a topological group.

PROOF: Since  $xy^{-1} = m(x, i(y))$ .

**Proposition 17.1.9.** Let G be a topological group. Let  $\alpha \in G$ . The function that maps x to  $\alpha x$  is a homeomorphism between G and itself.

#### Proof:

 $\langle 1 \rangle 1$ . For any  $\alpha \in G$ , the function that maps x to  $\alpha x$  is continuous.

PROOF: From the definition of topological group.

 $\langle 1 \rangle 2$ . For any  $\alpha \in G$ , the function that maps x to  $\alpha x$  is a homeomorphism between G and itself.

PROOF: Its inverse is the function that maps x to  $\alpha^{-1}x$ .

Corollary 17.1.9.1. Every topological group is homogeneous.

**Proposition 17.1.10.** Let G be a topological group. Let  $\alpha \in G$ . The function that maps x to  $x\alpha$  is a homeomorphism between G and itself.

Proof: Similar.

### 17.1.1 Subgroups

**Proposition 17.1.11.** Any subgroup of a topological group is a topological group under the subspace topology.

Proof: Since the restriction of continuous functions is continuous.

**Proposition 17.1.12.** Let G be a topological group and H a subgroup of G. Then  $\overline{H}$  is a topological group under the subspace topology.

#### Proof:

 $\langle 1 \rangle 1$ . Let:  $x, y \in \overline{H}$ PROVE:  $xy^{-1} \in \overline{H}$ 

 $\langle 1 \rangle 2$ . Let: U be a neighbourhood of  $xy^{-1}$ .

```
PROVE: U intersects H. \langle 1 \rangle 3. Let: f: G^2 \to G be the function that maps (x,y) to xy^{-1}. \langle 1 \rangle 4. f^{-1}(U) is a neighbourhood of (x,y) \langle 1 \rangle 5. PICK neighbourhoods V of x and y of y such that V \times W \subseteq f^{-1}(U). \langle 1 \rangle 6. PICK elements x' \in V \cap H and y' \in W \cap H \langle 1 \rangle 7. x'y'^{-1} \in U \cap H
```

**Proposition 17.1.13.** Let G be a topological group. The component of G that contains e is a normal subgroup of G.

#### PROOF:

 $\langle 1 \rangle 1$ . Let: C be the component that contains e.

 $\langle 1 \rangle 2$ . For all  $x \in G$ , we have Cx is the component of G that contains x. PROOF: Since right multiplication by x is a homeomorphism between G and

PROOF: Since right multiplication by x is a homeomorphism between G and itself.

 $\langle 1 \rangle 3$ . C is a subgroup of G.

 $\langle 2 \rangle 1$ . Let:  $g, h \in C$ 

 $\langle 2 \rangle 2$ . C = Ch

PROOF:  $\langle 1 \rangle 2$ 

 $\langle 2 \rangle 3$ . Pick  $x \in C$  such that xh = g

 $(2)4. \ x = gh^{-1}$ 

 $\langle 2 \rangle 5. \ gh^{-1} \in C$ 

 $\langle 1 \rangle 4$ . C is a normal subgroup of G.

 $\langle 2 \rangle 1$ . Let:  $g \in G$  and  $h \in C$ .

PROVE:  $ghg^{-1} \in C$ 

 $\langle 2 \rangle 2$ .  $C = Ch^{-1}$ 

 $\langle 2 \rangle 3$ .  $Cg = Ch^{-1}g$ 

 $\langle 2 \rangle 4. \ g \in Ch^{-1}g$ 

 $\langle 2 \rangle$ 5. Pick  $x \in C$  such that  $g = xh^{-1}g$ 

 $\langle 2 \rangle 6. \ \ x = ghg^{-1}$ 

 $\langle 2 \rangle 7$ .  $ghg^{-1} \in C$ 

#### 17.1.2 Left Cosets

**Proposition 17.1.14.** Let G be a topological group and H a subgroup of G. Give G/H the quotient topology. Let  $\alpha \in G$ . Define  $f_{\alpha} : G/H \to G/H$  by

$$f_{\alpha}(xH) = \alpha xH$$
.

Then  $f_{\alpha}$  is a homeomorphism.

#### Proof:

 $\langle 1 \rangle 1$ . For all  $\alpha \in G$  we have  $f_{\alpha}$  is well defined.

 $\langle 2 \rangle 1$ . Let:  $x, y \in G$ 

 $\langle 2 \rangle 2$ . Assume: xH = yH

```
PROVE: \alpha x H = \alpha y H
    \langle 2 \rangle 3. \ x^{-1}y \in H
    \langle 2 \rangle 4. \ x^{-1} \alpha^{-1} \alpha y \in H
    \langle 2 \rangle 5. \alpha x H = \alpha y H
\langle 1 \rangle 2. For all \alpha \in G we have f_{\alpha} is injective.
    \langle 2 \rangle 1. Let: x, y \in G
    \langle 2 \rangle 2. Assume: \alpha x H = \alpha y H
              PROVE: xH = yH
    \langle 2 \rangle 3. \alpha x^{-1} \alpha y \in H
    \langle 2 \rangle 4. \ x^{-1}y \in H
    \langle 2 \rangle 5. xH = yH
\langle 1 \rangle 3. For all \alpha \in G we have f_{\alpha} is surjective.
    PROOF: For all x \in G we have xH = f_{\alpha}(\alpha^{-1}xH).
\langle 1 \rangle 4. For all \alpha \in G we have f_{\alpha} is continuous.
    \langle 2 \rangle 1. Let: V be open in G/H
    \langle 2 \rangle 2. \pi^{-1}(f_{\alpha}^{-1}(V)) is open in G.
       PROOF: It is g_{\alpha}^{-1}(\pi^{-1}(V)) where g_{\alpha}: V \to V is the homeomorphism
       g_{\alpha}(x) = \alpha x.
    \langle 2 \rangle 3. f_{\alpha}^{-1}(V) is open in G/H.
\langle 1 \rangle 5. For all \alpha \in G we have f_{\alpha}^{-1} is continuous.
    PROOF: It is f_{\alpha^{-1}}.
```

**Corollary 17.1.14.1.** Let G be a topological group and H a subgroup of G. Then G/H is a homogeneous space.

**Proposition 17.1.15.** Let G be a  $T_1$  topological group and H a closed subgroup of G. Then G/H is  $T_1$ .

#### Proof:

 $\langle 1 \rangle 1$ . Let:  $x \in G$ Prove: xH is closed.

 $\langle 1 \rangle 2$ .  $\pi^{-1}(xH)$  is closed in G.

PROOF: It is  $f_x(H)$  and  $f_x$  is a homeomorphism.

 $\langle 1 \rangle 3$ . xH is closed in G/H.

**Proposition 17.1.16.** Let G be a topological group and H a subgroup of G. Then the canonical map  $\pi: G \twoheadrightarrow G/H$  is an open map.

#### Proof:

- $\langle 1 \rangle 1$ . Let: *U* be open in *G*.
- $\langle 1 \rangle 2$ .  $\forall h \in H.Uh$  is open in G.

PROOF: Since the function that maps g to gh is an automorphism of G.

 $\langle 1 \rangle$ 3. UH is open in GPROOF: It is  $\bigcup_{h \in H} Uh$ .  $\langle 1 \rangle$ 4.  $UH = \pi^{-1}(\pi(U))$  Proof:

```
\pi^{-1}(\pi(U)) = \{x \in G : \exists y \in U.xH = yH\}
= \{x \in G : \exists y \in U.x^{-1}y \in H\}
= \{x \in G : \exists y \in U.\exists h \in H.y^{-1}x = h\}
= \{x \in G : \exists y \in U.\exists h \in H.x = yh\}
= UH
\langle 1 \rangle 5. \ \pi^{-1}(\pi(U)) \text{ is open in } G.
\langle 1 \rangle 6. \ \pi(U) \text{ is open in } G/H.
```

**Proposition 17.1.17.** Let G be a topological group. Let H be a normal subgroup of G. Then G/H is a topological group.

```
PROOF:
```

```
⟨1⟩1. Let: f: G^2 \to G be the map f(x,y) = xy^{-1} ⟨1⟩2. Let: g: (G/H)^2 \to G/H be the map g(xH,yH) = xy^{-1}H ⟨1⟩3. g \circ (\pi \times \pi) = \pi \circ f: G^2 \to G/H ⟨1⟩4. g \circ (\pi \times \pi) is continuous. Proof: Since \pi and f are continuous. ⟨1⟩5. \pi is an open quotient map. Proof: Proposition 17.1.16. ⟨1⟩6. \pi \times \pi is an open quotient map. Proof: Corollary 13.22.7.1. ⟨1⟩7. g is continuous. Proof: Theorem 13.22.3.
```

#### 17.1.3 Homogeneous Spaces

**Definition 17.1.18** (Homogeneous Space). A homogeneous space is a topological space of the form G/H, where G is a topological group and H is a normal subgroup of G, under the quotient topology.

**Proposition 17.1.19.** Let G be a topological group and H a normal subgroup of G. Then G/H is Hausdorff if and only if H is closed.

PROOF: See Bourbaki, N., General Topology. III.12

## 17.2 Symmetric Neighbourhoods

**Definition 17.2.1** (Symmetric Neighbourhood). Let G be a topological group. Let V be a neighbourhood of e. Then V is *symmetric* iff  $V = V^{-1}$ .

**Proposition 17.2.2.** Let G be a topological group. Let U be a neighbourhood of e. Then there exists a symmetric neighbourhood V of e such that  $VV \subseteq U$ .

Proof:

```
\langle 1 \rangle 1. PICK a neighbourhood V' of e such that V'V' \subseteq U.
   \langle 2 \rangle 1. Let: m: G^2 \to G be the function m(x,y) = xy
   \langle 2 \rangle 2. m^{-1}(U) is open in G^2
   \langle 2 \rangle 3. \ (e,e) \in m^{-1}(U)
   \langle 2 \rangle 4. PICK neighbourhoods V_1, V_2 of e such that V_1 \times V_2 \subseteq m^{-1}(U)
   \langle 2 \rangle 5. Let: V' = V_1 \cap V_2
\langle 1 \rangle 2. PICK a neighbourhood W of e such that WW^{-1} \subseteq V'
   \langle 2 \rangle 1. Let: f: G^2 \to G be the function m(x,y) = xy^{-1}
   \langle 2 \rangle 2. f^{-1}(V') is open in G^2
   \langle 2 \rangle 3. \ (e, e) \in m^{-1}(V')
   \langle 2 \rangle 4. PICK neighbourhoods W_1, W_2 of e such that W_1 \times W_2 \subseteq f^{-1}(V')
   \langle 2 \rangle5. Let: W = W_1 \cap W_2
\langle 1 \rangle 3. Let: V = WW^{-1}
\langle 1 \rangle 4. V is a neighbourhood of e.
\langle 1 \rangle 5. V is symmetric.
\langle 1 \rangle 6. \ VV \subseteq U
```

**Proposition 17.2.3.** Every  $T_1$  topological group is regular.

```
Proof:
```

```
⟨1⟩1. Let: G be a T_1 topological group.
⟨1⟩2. Let: A be a closed set in G and x \in G - A.
⟨1⟩3. G - Ax^{-1} is a neighbourhood of e.
⟨1⟩4. Pick a symmetric neighbourhood V of e such that VV \subseteq G - Ax^{-1}.
⟨1⟩5. Let: U = VA and U' = Vx
⟨1⟩6. U and U' are disjoint open sets with A \subseteq U and x \in U'.
```

**Proposition 17.2.4.** Let G be a  $T_1$  topological group. Let H be a closed subgroup of G. Then G/H is regular.

```
Proof:
```

```
⟨1⟩1. Let: A be a closed set in G/H and xH \in G/H - A. ⟨1⟩2. G - \pi^{-1}(A)x^{-1} is a neighbourhood of e. ⟨1⟩3. Pick a symmetric neighbourhood V of e such that VV \subseteq G - \pi^{-1}(A)x^{-1}. ⟨1⟩4. Let: U = \pi(V)A and U' = \pi(V)(xH). ⟨1⟩5. U and U' are disjoint open sets with A \subseteq U and xH \in U' ⟨2⟩1. Assume: for a contradiction U \cap U' \neq \emptyset. ⟨2⟩2. Pick v_1, v_2 \in V and a \in G such that aH \in A and v_1aH = v_2xH. ⟨2⟩3. a^{-1}v_1^{-1}v_2x \in H ⟨2⟩4. v_1^{-1}v_2 \in \pi^{-1}(A)x^{-1} ⟨2⟩5. Q.E.D. Proof: This contradicts ⟨1⟩3.
```

### 17.3 Continuous Actions

**Definition 17.3.1** (Continuous Action). Let G be a topological group and X a topological space. A *continuous action* of G on X is a continuous function  $\cdot : G \times X \to X$  such that:

- $\forall x \in X.ex = x$
- $\forall g, h \in G. \forall x \in X. g(hx) = (gh)x$

A G-space consists of a topological space X and a continuous action of G on X.

**Definition 17.3.2** (Orbit). Let X be a G-space and  $x \in X$ . The *orbit* of x is  $\{gx : g \in G\}$ .

The *orbit space* X/G is the set of all orbits under the quotient topology.

**Proposition 17.3.3.** Define an action of SO(2) on  $S^2$  by

$$g(x_1, x_2, x_3) = (g(x_1, x_2), x_3)$$
.

Then  $S^2/SO(2) \cong [-1, 1]$ .

Proof:

 $\langle 1 \rangle 1.$  Let:  $f_3: S^2/SO(2) \to [-1,1]$  be the function induced by  $\pi_3: S^2 \to [-1,1]$ 

 $\langle 1 \rangle 2$ .  $f_3$  is bijective.

 $\langle 1 \rangle 3$ .  $S^2/SO(2)$  is compact.

PROOF: It is the continuous image of  $S^2$  which is compact.

 $\langle 1 \rangle 4$ . [-1,1] is Hausdorff.

 $\langle 1 \rangle 5$ .  $f_3$  is a homeomorphism.

**Definition 17.3.4** (Stabilizer). Let X be a G-space and  $x \in X$ . The *stabilizer* of x is  $G_x := \{g \in G : gx = x\}$ .

**Proposition 17.3.5.** The function that maps  $gG_x$  to gx is a continuous bijection from  $G/G_x$  to Gx.

Proof:

 $\langle 1 \rangle 1$ . If  $gG_x = hG_x$  then gx = hx.

 $\langle 2 \rangle 1$ . Assume:  $gG_x = hG_x$ 

 $\langle 2 \rangle 2$ .  $g^{-1}h \in G_x$ 

 $\langle 2 \rangle 3.$   $g^{-1}hx = x$ 

 $\langle 2 \rangle 4$ . gx = hx

 $\langle 1 \rangle 2$ . If gx = hx then  $gG_x = hG_x$ .

PROOF: Similar.

 $\langle 1 \rangle 3$ . The function is continuous.

PROOF: Theorem 13.22.3.

# Chapter 18

# Topological Vector Spaces

**Definition 18.0.1** (Topological Vector Space). Let K be either  $\mathbb{R}$  or  $\mathbb{C}$ . A topological vector space over K consists of a vector space E over K and a topology on E such that:

- Substraction is a continuous function  $E^2 \to E$
- Multiplication is a continuous function  $K \times E \to E$

**Proposition 18.0.2.** Every topological vector space is a topological group under addition.

PROOF: Immediate from the definition.  $\Box$ 

**Theorem 18.0.3.** The usual topology on a finite dimensional vector space over K is the only one that makes it into a Hausdorff topological vector space.

PROOF: See Bourbaki. Elements de Mathematique, Livre V: Espaces Vectoriels Topologiques, Th. 2, p. 18  $\square$ 

**Proposition 18.0.4.** Let E be a topological vector space and  $E_0$  a subspace of E. Then  $\overline{E_0}$  is a subspace of E.

**Definition 18.0.5.** Let E be a topological vector space. The topological space associated with E is  $E/\{0\}$ .

## 18.1 Cauchy Sequences

**Definition 18.1.1** (Cauchy Sequence). Let E be a topological vector space. A sequence  $(x_n)$  in E is a *Cauchy sequence* iff, for every neighbourhood U of 0, there exists  $n_0$  such that  $\forall m, n \ge n_0.x_n - x_m \in U$ .

**Definition 18.1.2** (Complete Topological Vector Space). A topological vector space is *complete* iff every Cauchy sequence converges.

#### 18.2 Seminorms

**Definition 18.2.1** (Seminorm). Let E be a vector space over K. A *seminorm* on E is a function  $\| \cdot \| : E \to \mathbb{R}$  such that:

- 1.  $\forall x \in E. ||x|| \ge 0$
- 2.  $\forall \alpha \in K. \forall x \in E. \|\alpha x\| = |\alpha| \|x\|$
- 3. Triangle Inequality  $\forall x, y \in E. ||x + y|| \le ||x|| + ||y||$

**Example 18.2.2.** The function that maps  $(x_1, \ldots, x_n)$  to  $|x_i|$  is a seminorm on  $\mathbb{R}^n$ .

**Definition 18.2.3.** Let E be a vector space over K. Let  $\Lambda$  be a set of seminorms on E. The topology generated by  $\Lambda$  is the topology generated by the subbasis consisting of all sets of the form  $B_{\epsilon}^{\lambda}(x) = \{y \in E : \lambda(y-x) < \epsilon\}$  for  $\epsilon > 0, \lambda \in \Lambda$  and  $x \in E$ .

**Proposition 18.2.4.** *E* is a topological vector space under this topology. It is Hausdorff iff, for all  $x \in E$ , if  $\forall \lambda \in \Lambda.\lambda(x) = 0$  then x = 0.

### 18.3 Fréchet Spaces

**Definition 18.3.1** (Pre-Fréchet Space). A *pre-Fréchet space* is a Hausdorff topological vector space whose topology is generated by a countable set of seminorms.

**Proposition 18.3.2.** Let E be a pre-Fréchet space whose topology is generated by the family of seminorms  $\{\| \|_n : n \in \mathbb{Z}^+ \}$ . Then

$$d(x,y) = \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{\|x - y\|_n}{1 + \|x - y\|_n}$$

is a metric that induces the same topology. The two definitions of Cauchy sequence agree.

**Definition 18.3.3** (Fréchet Space). A *Fréchet space* is a complete pre-Fréchet space.

## 18.4 Normed Spaces

**Definition 18.4.1** (Normed Space). Let E be a vector space over K. A norm on E is a function  $\| \ \| : E \to \mathbb{R}$  is a seminorm such that,  $\forall x \in E. \|x\| = 0 \Leftrightarrow x = 0$ . A normed space consists of a vector space with a norm.

**Proposition 18.4.2.** If E is a normed space then d(x,y) = ||x-y|| is a metric on E that makes E into a topological vector space. The two definitions of Cauchy sequence agree on E.

**Definition 18.4.3** (*p*-norm). For any  $p \ge 1$ , the *p*-norm on  $\mathbb{R}^n$  is defined by

$$\|\vec{x}\|_p := \left(\sum_{i=1}^n |x_i|^p\right)^{\frac{1}{p}}$$
.

We prove this is a norm.

Proof:

 $\langle 1 \rangle 1$ . For all  $\vec{x} \in \mathbb{R}^n$  we have  $\|\vec{x}\|_p \geqslant 0$ 

PROOF: Immediate from definition.

 $\langle 1 \rangle 2$ . For all  $\alpha \in \mathbb{R}$  and  $\vec{x} \in \mathbb{R}^n$  we have  $\|\alpha \vec{x}\|_p = |\alpha| \|\vec{x}\|_p$ Proof:

$$\|\alpha(x_1, \dots, x_n)\| = \|(\alpha x_1, \dots, \alpha x_n)\|$$

$$= \left(\sum_{i=1}^n (\alpha x_i)^p\right)^{\frac{1}{p}}$$

$$= \left(|\alpha|^p \sum_{i=1}^n x_i^p\right)^{\frac{1}{p}}$$

$$= |\alpha| \left(\sum_{i=1}^n x_i^p\right)^{\frac{1}{p}}$$

$$= |\alpha| \|\vec{x}\|_p$$

 $\langle 1 \rangle 3$ . The triangle inequality holds.

PROOF: 
$$\|\vec{x} + \vec{y}\|_{p}^{p} = \sum_{i=1}^{n} |x_{i} + y_{i}|^{p}$$

$$= \sum_{i=1}^{n} |x_{i} + y_{i}| |x_{i} + y_{i}|^{p-1}$$

$$\leq \sum_{i=1}^{n} (|x_{i}| + |y_{i}|) |x_{i} + y_{i}|^{p-1}$$

$$= \sum_{i=1}^{n} |x_{i}| |x_{i} + y_{i}|^{p-1} + \sum_{i=1}^{n} |y_{i}| |x_{i} + y_{i}|^{p-1}$$

$$\leq \left(\sum_{i=1}^{n} |x_{i}|^{p}\right)^{\frac{1}{p}} \left(\sum_{i=1}^{n} |x_{i} + y_{i}|^{p}\right)^{\frac{p-1}{p}} + \left(\sum_{i=1}^{n} |y_{i}|^{p}\right)^{\frac{1}{p}} \left(\sum_{i=1}^{n} |x_{i} + y_{i}|^{p}\right)^{\frac{p-1}{p}}$$

$$= (\|\vec{x}\|_{p} + \|\vec{y}\|_{p}) \|\vec{x} + \vec{y}\|^{p-1}$$
(Hölder's Inequality)

 $= (\|\vec{x}\|_p + \|\vec{y}\|_p)\|\vec{x} + \vec{y}\|^{p-1}$  Assuming w.l.o.g.  $\|\vec{x} + \vec{y}\|^{p-1} \neq 0$  (using ??) we have  $\|\vec{x} + \vec{y}\|_p \leqslant \|\vec{x}\|_p + \|\vec{y}\|_p$ .

 $\langle 1 \rangle 4$ . For any  $\vec{x} \in \mathbb{R}^n$ , we have  $\|\vec{x}\| = 0$  iff  $\vec{x} = \vec{0}$ . PROOF:  $\sum_{i=1}^n x_i^p = 0$  iff  $x_1 = \cdots = x_n = 0$ .

**Proposition 18.4.4.** The p-norm on  $\mathbb{R}^n$  induces the product topology.

Proof:

- $\langle 1 \rangle 1$ . Let: d be the metric induced by the p-norm and  $\rho$  the square metric on  $\mathbb{R}^n$ .
- $\langle 1 \rangle 2$ . The metric topology is finer than the product topology.
  - $\langle 2 \rangle 1$ . Let:  $\vec{x} \in \mathbb{R}^n$  and  $\epsilon > 0$
  - $\langle 2 \rangle 2$ . Let:  $\delta = \epsilon/n^{\frac{1}{p}}$ 
    - PROVE:  $B_{\rho}(\vec{x}, \delta) \subseteq B_d(\vec{x}, \epsilon)$
  - $\langle 2 \rangle 3$ . Let:  $\vec{y} \in B_{\rho}(\vec{x}, \delta)$
  - $\langle 2 \rangle 4. \ \forall i. |x_i y_i| < \delta$
  - $\langle 2 \rangle 5. \ d(\vec{x}, \vec{y}) < \epsilon$

Proof:

$$d(\vec{x}, \vec{y}) = \left(\sum_{i=1}^{n} |x_i - y_i|^p\right)^{\frac{1}{p}}$$

$$< \left(\sum_{i=1}^{n} \delta^p\right)^{\frac{1}{p}}$$

$$= n^{\frac{1}{p}} \delta$$

$$= \epsilon$$
((2)4)

 $\langle 1 \rangle 3$ . The product topology is finer than the metric topology.

- $\langle 2 \rangle 1$ . Let:  $\vec{x} \in \mathbb{R}^n$  and  $\epsilon > 0$
- $\langle 2 \rangle 2$ . Let:  $\vec{y} \in B_d(\vec{x}, \epsilon)$
- $\langle 2 \rangle 3. \ d(\vec{x}, \vec{y}) < \epsilon$   $\langle 2 \rangle 4. \ \sum_{i=1}^{n} |x_i y_i|^p < \epsilon^p$   $\langle 2 \rangle 5. \ \forall i. |x_i y_i|^p < \epsilon^p$
- $\langle 2 \rangle 6. \ \forall i. |x_i y_i| < \epsilon$
- $\langle 2 \rangle 7. \ \rho(\vec{x}, \vec{y}) < \epsilon$

**Definition 18.4.5** (Sup-norm). The *sup-norm* on  $\mathbb{R}^n$  is defined by

$$||(x_1,\ldots,x_n)||_{\infty} := \max(|x_1|,\ldots,|x_n|)$$
.

**Proposition 18.4.6.** The 2-norm on  $\mathbb{R}^n$  induces the standard metric.

Proof: Immediate from definitions.  $\square$ 

**Definition 18.4.7.** For  $p \ge 1$ , the normed space  $l_p$  is the set of all sequences  $(x_n)$  in  $\mathbb{R}$  such that  $\sum_{n=1}^{\infty} x_n^p$  converges, under

$$\|(x_n)\|_p := \left(\sum_{i=1}^{\infty} |x_i|^p\right)^{\frac{1}{p}}$$
.

**Proposition 18.4.8.** The spaces  $l_p$  for  $p \ge 1$  are all homeomorphic.

PROOF: See Kadets, Mikhail Iosifovich. 1967. Proof of the topological equivalence of all separable infinite-dimensional banach spaces. Functional Analysis and Its Applications 1 (1): 53–62. http://dx.doi.org/10.1007/BF01075865.

**Proposition 18.4.9.** The metric topology on  $l_2$  is strictly finer than the uniform topology.

#### Proof:

- $\langle 1 \rangle 1$ . Let: d be the metric induced by the  $l^2$ -norm and  $\overline{\rho}$  the uniform topology.
- $\langle 1 \rangle 2$ . The metric topology is finer than the uniform topology.
  - $\langle 2 \rangle 1$ . Let:  $x \in l_2$
  - $\langle 2 \rangle 2$ . Let:  $\epsilon > 0$
  - $\langle 2 \rangle 3$ . Let:  $\delta = \epsilon/2$
  - $\langle 2 \rangle 4$ . Let:  $y \in B_d(x, \delta)$
  - $\langle 2 \rangle^{4}. \quad \text{Eff.} \quad g \in B_{a(x, \beta)}$   $\langle 2 \rangle^{5}. \quad \sum_{n=0}^{\infty} (x_n y_n)^2 < \delta^2$   $\langle 2 \rangle^{6}. \quad \forall n. (x_n y_n)^2 < \delta^2$

  - $\langle 2 \rangle 7. \ \forall n. |x_n y_n| < \delta$
  - $\langle 2 \rangle 8. \ \forall n.\overline{d}(x_n, y_n) < \delta$
  - $\langle 2 \rangle 9. \ \overline{\rho}(x,y) \leq \delta$
  - $\langle 2 \rangle 10. \ \overline{\rho}(x,y) < \epsilon$
  - $\langle 2 \rangle 11. \ y \in B_{\overline{\rho}}(x, \epsilon)$
- $\langle 1 \rangle 3$ . The metric topology is not the same as the uniform topology.
  - $\langle 2 \rangle 1$ . Assume: for a contradiction  $B_d(0,1)$  is open in the uniform topology.
  - $\langle 2 \rangle 2$ . Pick  $\epsilon > 0$  such that  $B_{\overline{\varrho}}(0,\epsilon) \subseteq B_d(0,1)$
  - $\langle 2 \rangle 3$ . PICK an integer N such that  $1/N < \epsilon^2/4$
  - $\langle 2 \rangle 4$ . Let:  $(x_n)$  be the sequence with  $x_n = \epsilon/2$  for n < N and  $x_n = 0$  for
  - $\langle 2 \rangle 5. \ (x_n) \in l_2$
  - $\langle 2 \rangle 6. \ (x_n) \in B_{\overline{\rho}}(0, \epsilon)$

PROOF: Since  $\overline{\rho}((x_n), 0) = \epsilon/2$ .

 $\langle 2 \rangle 7. \ d((x_n), 0) > 1$ 

Proof:

$$d((x_n), 0)^2 = \sum_{n=0}^{\infty} x_n^2$$
$$= N\epsilon^2/4$$
$$> 1$$

**Proposition 18.4.10.** The metric topology on  $l_2$  is strictly coarser than the box topology.

#### Proof:

- $\langle 1 \rangle 1$ . The box topology is finer than the metric topology.
  - $\langle 2 \rangle 1$ . Let:  $(x_n) \in l_2$  and  $\epsilon > 0$ .
  - $\langle 2 \rangle 2$ . Let:  $(y_n) \in B((x_n), \epsilon)$
  - $\langle 2 \rangle$ 3. PICK a sequence of real numbers  $(\delta_n)$  such that  $\sum_{n=0}^{\infty} \delta_n^2 < (\epsilon d((x_n), (y_n)))^2$
  - $\langle 2 \rangle 4$ . Let:  $U = \prod_n (y_n \delta_n, y_n + \delta_n)$ PROVE:  $U \subseteq B((x_n), \epsilon)$
  - $\langle 2 \rangle 5$ . Let:  $(z_n) \in U$
  - $\langle 2 \rangle 6. \ d((z_n), (y_n)) < \epsilon d((x_n), (y_n))$

Proof:

$$d((z_n), (y_n))^2 = \sum_{n=0}^{\infty} (z_n - y_n)^2$$

$$< \sum_{n=0}^{\infty} \delta_n^2$$

$$< (\epsilon - d((x_n), (y_n)))^2$$

- $\langle 2 \rangle 7. \ d((z_n),(x_n)) < \epsilon$
- $\langle 1 \rangle 2$ . The box topology is not equal to the metric topology.
  - $\langle 2 \rangle 1$ . Let:  $U = \prod_n (-1/n, 1/n)$
  - $\langle 2 \rangle 2$ . Assume: for a contradiction U is open in the metric topology.
  - $\langle 2 \rangle 3$ . Pick  $\epsilon > 0$  such that  $B(0, \epsilon) \subseteq U$
  - $\langle 2 \rangle 4$ . PICK N such that  $1/N < \epsilon/2$ .
  - $\langle 2 \rangle 5$ . Let:  $(x_n)$  be the sequence with  $x_N = \epsilon/2$  and  $x_n = 0$  for all other n.
  - $\langle 2 \rangle 6.$   $d((x_n), 0) = \epsilon/2$

 $\langle 2 \rangle 7. \ (x_n) \notin U$ 

**Proposition 18.4.11.** The  $l^2$ -topology on  $\mathbb{R}^{\infty}$  is strictly finer than the uniform topology.

Proof:

- $\langle 1 \rangle 1$ . Assume: for a contradiction  $B_d(0,1) \cap \mathbb{R}^{\infty}$  is open in the uniform topology.
- $\langle 1 \rangle 2$ . PICK  $\epsilon > 0$  such that  $B_{\overline{\rho}}(0,\epsilon) \cap \mathbb{R}^{\infty} \subseteq B_d(0,1) \cap \mathbb{R}^{\infty}$
- $\langle 1 \rangle 3$ . PICK an integer N such that  $1/N < \epsilon^2/4$
- $\langle 1 \rangle 4$ . Let:  $(x_n)$  be the sequence with  $x_n = \epsilon/2$  for n < N and  $x_n = 0$  for  $n \ge N$
- $\langle 1 \rangle 5. \ (x_n) \in \mathbb{R}^{\infty}$
- $\langle 1 \rangle 6. \ (x_n) \in B_{\overline{\rho}}(0, \epsilon)$

PROOF: Since  $\overline{\rho}((x_n), 0) = \epsilon/2$ .

 $\langle 1 \rangle 7. \ d((x_n), 0) > 1$ 

Proof:

$$d((x_n), 0)^2 = \sum_{n=0}^{\infty} x_n^2$$
$$= N\epsilon^2/4$$

**Proposition 18.4.12.** The  $l^2$ -topology on  $\mathbb{R}^{\infty}$  is strictly coarser than the box topology.

- $\langle 1 \rangle 1$ . Let:  $U = \prod_n (-1/n, 1/n) \cap \mathbb{R}^{\infty}$
- $\langle 1 \rangle 2$ . Assume: for a contradiction U is open in the metric topology.
- $\langle 1 \rangle 3$ . Pick  $\epsilon > 0$  such that  $B(0, \epsilon) \cap \mathbb{R}^{\infty} \subseteq U \cap \mathbb{R}^{\infty}$
- $\langle 1 \rangle 4$ . PICK N such that  $1/N < \epsilon/2$ .

 $\langle 1 \rangle 5$ . Let:  $(x_n)$  be the sequence with  $x_N = \epsilon/2$  and  $x_n = 0$  for all other n.  $\langle 1 \rangle 6.$   $d((x_n), 0) = \epsilon/2$  $\langle 1 \rangle 7. \ (x_n) \notin U$ 

**Proposition 18.4.13.** The  $l^2$ -topology on the Hilbert cube the same as the product topology.

#### Proof:

 $\langle 1 \rangle 1$ . For every  $(x_n) \in H$  and  $\epsilon > 0$ , there exists a neighbourhood U of  $(x_n)$  in the product topology such that  $U \subseteq B((x_n), \epsilon)$ .

 $\langle 2 \rangle 1$ . Let:  $(x_n) \in H$ 

 $\langle 2 \rangle 2$ . Let:  $\epsilon > 0$ 

 $\langle 2 \rangle 3$ . PICK N such that  $\sum_{i=N+1}^{\infty} 1/i^2 < \epsilon^2/2$   $\langle 2 \rangle 4$ . LET:  $B' = (\prod_{i=0}^{N} (x_i - \epsilon/\sqrt{2N}, x_i + \epsilon/\sqrt{2N}) \times \prod_{i=N+1}^{\infty} [0, 1/(i+1)]) \cap H$ PROVE:  $B' \subseteq B((x_n), \epsilon)$ 

 $\langle 2 \rangle 5$ . Let:  $(y_n) \in B'$ 

 $\langle 2 \rangle 6. \ d((x_n), (y_n)) < \epsilon$ 

Proof:

$$d((x_n), (y_n))^2 = \sum_{i=0}^{\infty} |x_n - y_n|^2$$

$$< \sum_{i=0}^{N} \epsilon^2 / 2N + \sum_{i=N+1}^{\infty} 1/(i+1)1/(i+1)^2$$

$$< \epsilon^2 / 2 + \epsilon^2 / 2$$

$$= \epsilon^2$$

 $\langle 1 \rangle 2$ . The product topology is finer than the  $l^2$ -topology.

 $\langle 2 \rangle 1$ . Let:  $(x_n) \in H$  and  $\epsilon > 0$ 

PROVE:  $B((x_n), \epsilon) \cap H$  is open in the product topology.

 $\langle 2 \rangle 2$ . Let:  $(y_n) \in B((x_n), \epsilon)$ 

 $\langle 2 \rangle 3$ . PICK a neighbourhood U of  $(y_n)$  in the product topology such that  $U \subseteq B((y_n), \epsilon - d((x_n), (y_n)))$ 

 $\langle 2 \rangle 4. \ U \subseteq B((x_n), \epsilon)$ 

П

**Definition 18.4.14.** Let  $l_{\infty}$  be the set of all bounded sequences in  $\mathbb{R}$  under

$$\|(x_n)\| := \sup_n |x_n|$$

**Proposition 18.4.15.** For all  $p \ge 1$  we have  $l_p$  is not homeomorphic to  $l_{\infty}$ .

**Proposition 18.4.16.** Let  $\| \|$  be a seminorm on the vector space E. Then  $\| \|$ defines a norm on  $E/\{0\}$ .

**Proposition 18.4.17.** Let E and F be normed spaces. Any continuous linear  $map \ E \rightarrow F$  is uniformly continuous.

**Definition 18.4.18.** For  $p \ge 1$ . let  $\mathcal{L}^p(\mathbb{R}^n)$  be the vector space of all Lebesgue-measurable functions  $f: \mathbb{R}^n \to \mathbb{R}$  such that  $|f|^p$  is Lebesgue-integrable. Then

$$||f||_p := \sqrt{p} \int_{\mathbb{R}^n} |f(x)|^p dx$$

defines a seminorm on  $\mathcal{L}^p(\mathbb{R}^n)$ . Let

$$L^p(\mathbb{R}^n) := \mathcal{L}^p(\mathbb{R}^n)/\overline{\{0\}}$$
.

### 18.5 Unit Ball

**Proposition 18.5.1.** Let n be a positive integer. Every open ball  $B(\vec{x}, \epsilon)$  in  $\mathbb{R}^n$  is path connected.

Proof:

 $\langle 1 \rangle 1$ . Let:  $\vec{y}, \vec{z} \in B(\vec{x}, \epsilon)$ 

 $\langle 1 \rangle 2$ . Let:  $\vec{p}: [0,1] \to B(\vec{x},\epsilon)$  be the path  $\vec{p}(t) = (1-t)\vec{y} + t\vec{z}$ .

 $\langle 2 \rangle 1$ . Let:  $t \in [0,1]$ 

Prove:  $\vec{p}(t) \in B(\vec{x}, \epsilon)$ 

 $\langle 2 \rangle 2$ .  $d(\vec{p}(t), \vec{x}) < \epsilon$ 

Proof:

$$\begin{split} d(\vec{p}(t), \vec{x}) &= \| (1-t)\vec{y} + t\vec{z} - \vec{x} \| \\ &= \| (1-t)(\vec{y} - \vec{x}) + t(\vec{z} - \vec{x}) \| \\ &\leqslant (1-t)\|\vec{y} - \vec{x}\| + t\|\vec{z} - \vec{x}\| \\ &< (1-t)\epsilon + t\epsilon \\ &= \epsilon \end{split}$$

 $\langle 1 \rangle 3$ .  $\vec{p}$  is a path from  $\vec{x}$  to  $\vec{y}$ .

**Proposition 18.5.2.** Let n be a positive integer. Every closed ball  $B(\vec{x}, \epsilon)$  in  $\mathbb{R}^n$  is path connected.

Proof:

 $\langle 1 \rangle 1$ . Let:  $\vec{y}, \vec{z} \in \overline{B(\vec{x}, \epsilon)}$ 

 $\langle 1 \rangle 2$ . Let:  $\vec{p} : [0,1] \to B(\vec{x},\epsilon)$  be the path  $\vec{p}(t) = (1-t)\vec{y} + t\vec{z}$ .

 $\langle 2 \rangle 1$ . Let:  $t \in [0,1]$ 

PROVE:  $\vec{p}(t) \in \overline{B(\vec{x}, \epsilon)}$ 

 $\langle 2 \rangle 2. \ d(\vec{p}(t), \vec{x}) \leqslant \epsilon$ 

Proof:

$$\begin{split} d(\vec{p}(t), \vec{x}) &= \| (1-t)\vec{y} + t\vec{z} - \vec{x} \| \\ &= \| (1-t)(\vec{y} - \vec{x}) + t(\vec{z} - \vec{x}) \| \\ &\leqslant (1-t)\|\vec{y} - \vec{x}\| + t\|\vec{z} - \vec{x}\| \\ &\leqslant (1-t)\epsilon + t\epsilon \\ &= \epsilon \end{split}$$

 $\langle 1 \rangle 3$ .  $\vec{p}$  is a path from  $\vec{x}$  to  $\vec{y}$ .

## 18.6 Unit Sphere

**Definition 18.6.1** (Unit Sphere). Let n be a positive integer. The *unit sphere*  $S^{n-1}$  is

$$S^{n-1} := \{ \vec{x} \in \mathbb{R}^n : ||\vec{x}|| = 1 \} .$$

**Proposition 18.6.2.** For n > 1. the unit sphere  $S^{n-1}$  is path connected.

PROOF: The map  $g: \mathbb{R}^n - \{\vec{0}\} \to S^{n-1}$  defined by  $g(\vec{x}) = \vec{x}/\|\vec{x}\|$  is continuous and surjective. Hence  $S^{n-1}$  is the continuous image of a path connected space.

## 18.7 Inner Product Spaces

**Definition 18.7.1** (Inner Product). Given  $\vec{x}, \vec{y} \in \mathbb{R}^n$ , define

$$\vec{x} \cdot \vec{y} = x_1 y_1 + \dots + x_n y_n .$$

Proposition 18.7.2.

$$\vec{x} \cdot (\vec{y} + \vec{z}) = \vec{x} \cdot \vec{y} + \vec{x} \cdot \vec{z}$$

Proof:

$$\vec{x} \cdot (\vec{y} + \vec{z}) = x_1(y_1 + z_1) + \dots + x_n(y_n + z_n)$$
  
=  $x_1y_1 + x_1z_1 + \dots + x_ny_n + x_nz_n$   
=  $\vec{x} \cdot \vec{y} + \vec{x} \cdot \vec{z}$ 

**Proposition 18.7.3.** For all  $\vec{x}, \vec{y} \in \mathbb{R}^n$  we have

$$|\vec{x} \cdot \vec{y}| \leqslant ||\vec{x}|| ||\vec{y}|| .$$

Proof:

 $\langle 1 \rangle 1$ . Assume: w.l.o.g.  $\vec{x} \neq \vec{0} \neq \vec{y}$ 

 $\langle 1 \rangle 2$ . Let: a = 1/||x||

 $\langle 1 \rangle 3$ . Let:  $b = 1/\|y\|$ 

 $\langle 1 \rangle 4$ .  $||a\vec{x} + b\vec{y}|| \geqslant 0$ 

 $\langle 1 \rangle 5$ .  $a^2 \|\vec{x}\|^2 + 2ab\vec{x} \cdot \vec{y} + b^2 \|\vec{y}\|^2 \ge 0$ 

 $\langle 1 \rangle 6$ .  $ab\vec{x} \cdot \vec{y} \geqslant -1$ 

 $\langle 1 \rangle 7$ .  $||a\vec{x} - b\vec{y}|| \geqslant 0$ 

 $\langle 1 \rangle 8. \ ab\vec{x} \cdot \vec{y} \leqslant 1$ 

 $\langle 1 \rangle 9. |\vec{x} \cdot \vec{y}| \leq 1/ab$ 

**Proposition 18.7.4.** Let  $(x_n)$ ,  $(y_n)$  be sequences of real numbers. If  $\sum_{n=0}^{\infty} x_n^2$  and  $\sum_{n=0}^{\infty} y_n^2$  converge then  $\sum_{n=0}^{\infty} |x_n y_n|$  converges.

Proof:

$$\sum_{n=0}^{N} |x_n y_n| \leqslant \sqrt{\sum_{n=0}^{N} x_n^2 \sum_{n=0}^{N} y_n^2}$$
 (Proposition 18.7.3) 
$$\leqslant \sqrt{\sum_{n=0}^{\infty} x_n^2 \sum_{n=0}^{\infty} y_n^2}$$

**Proposition 18.7.5.** If E is an inner product space then  $||x|| = \sqrt{\langle x, x \rangle}$  is a norm on E.

## 18.8 Banach Spaces

**Definition 18.8.1** (Banach Space). A *Banach space* is a complete normed space.

**Example 18.8.2.** For any topological space X, the set C(X) of bounded continuous functions  $X \to \mathbb{R}$  is a Banach space under  $||f|| = \sup_{x \in X} |f(x)|$ .

**Proposition 18.8.3.** The completion of a normed space is a Banach space.

**Proposition 18.8.4.** Let E and F be normed spaces. Let  $f: E \to F$  be a continuous linear map. Then the extension to the completions  $\hat{E} \to \hat{F}$  is linear.

**Proposition 18.8.5.**  $L^p(\mathbb{R}^n)$  is a Banach space.

**Proposition 18.8.6.**  $C(\mathbb{R})$  is first countable but not second countable.

PROOF: For every sequence of 0s and 1s  $s = (s_n)$ , let  $f_s$  be a continuous bounded function whose value at n is  $s_n$ . Then the set of all  $f_s$  is an uncountable discrete set in  $C(\mathbb{R})$ . Hence  $C(\mathbb{R})$  is not second countable. It is first countable because it is metrizable.  $\square$ 

## 18.9 Hilbert Spaces

**Definition 18.9.1** (Hilbert Space). A *Hilbert space* is a complete inner product space.

**Example 18.9.2.** The set of square-integrable functions is the set of Lebesgue integrable functions  $[-\pi,\pi] \to \mathbb{R}$  quotiented by:  $f \sim g$  iff  $\{x \in [-\pi,\pi] : f(x) \neq g(x)\}$  has measure 0. This is a Hilbert space under

$$\langle f, g \rangle = \frac{1}{\pi} \int_{-\pi} \pi f(x) g(x) dx$$
.

**Proposition 18.9.3.** The completion of an inner product space is a Hilbert space.

An infinite dimensional Hilbert space with the weak topology is not first countable.

## 18.10 Locally Convex Spaces

**Definition 18.10.1** (Locally Convex Space). A topological vector space is *locally convex* iff every neighbourhood of 0 includes a convex neighbourhood of 0.

**Proposition 18.10.2.** A topological vector space is locally convex if and only if its topology is generated by a set of seminorms.

PROOF: See Köthe, G. Topological Vector Spaces 1. Section 18.

**Proposition 18.10.3.** A locally convex topological vector space is a pre-Fréchet space if and only if it is metrizable.

PROOF: See Köthe, G. Topological Vector Spaces 1. Section 18.

**Example 18.10.4.** Let E be an infinite dimensional Hilbert space. Let E' be the same vector space under the *weak topology*, the coarsest topology such that every continuous linear map  $E \to \mathbb{R}$  is continuous as a map  $E' \to \mathbb{R}$ . Then E is locally convex Hausdorff but not metrizable.

Proof: See Dieudonne, J. A., Treatise on Analysis, Vol. II, New York and London: Academic Press, 1970, p. 76.

**Definition 18.10.5** (Thom Space). Let E be a vector bundle with a Riemannian metric,  $DE = \{x \in E : ||x|| \le 1\}$  its disc bundle and  $SE := \{v \in E : ||v|| = 1\}$  its sphere bundle. The *Thom space* of E is the quotient space DE/SE.