

# Mathematics

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# Contents

<b>I</b>	<b>Set Theory</b>	<b>9</b>
<b>II</b>	<b>Set Theory</b>	<b>11</b>
<b>1</b>	<b>Primitive Terms and Axioms</b>	<b>13</b>
1.1	Primitive Terms . . . . .	13
1.2	Injections, Surjections and Bijections . . . . .	13
1.3	Axioms . . . . .	14
<b>2</b>	<b>Sets and Functions</b>	<b>17</b>
2.1	Composition . . . . .	17
2.1.1	Injections . . . . .	17
2.1.2	Surjections . . . . .	19
2.1.3	Bijections . . . . .	19
2.1.4	Equinumerosity . . . . .	20
2.2	Domination . . . . .	20
2.3	Identity Function . . . . .	21
2.3.1	Injections, Surjections, Bijections . . . . .	21
2.3.2	Composition . . . . .	22
2.4	The Empty Set . . . . .	23
2.5	The Singleton . . . . .	24
2.5.1	Injections . . . . .	24
2.6	The Set Two . . . . .	24
2.7	Subsets . . . . .	25
2.8	Saturated Set . . . . .	26
2.9	Union . . . . .	26
2.9.1	Intersection . . . . .	27
2.9.2	Direct Image . . . . .	27
2.10	Inverse Image . . . . .	27
2.10.1	Saturated Sets . . . . .	28
2.11	Relations . . . . .	28
2.11.1	Equivalence Relations . . . . .	29
2.12	Power Set . . . . .	29
2.12.1	Partitions . . . . .	29

2.13	Cartesian Product . . . . .	29
2.14	Quotient Sets . . . . .	29
2.15	Partitions . . . . .	30
2.16	Disjoint Union . . . . .	30
2.17	Natural Numbers . . . . .	30
2.18	Finite and Infinite Sets . . . . .	31
2.19	Countable Sets . . . . .	32
<b>3</b>	<b>Order Theory</b>	<b>35</b>
3.1	Relations . . . . .	35
3.1.1	Strict Partial Orders . . . . .	36
3.1.2	Linear Orders . . . . .	37
3.1.3	Sets of Finite Type . . . . .	38
3.2	Linear Continua . . . . .	39
3.3	Well Orders . . . . .	39
<b>III</b>	<b>Category Theory</b>	<b>45</b>
<b>4</b>	<b>Category Theory</b>	<b>47</b>
4.1	Categories . . . . .	47
4.1.1	Monomorphisms . . . . .	51
4.1.2	Epimorphisms . . . . .	51
4.1.3	Sections and Retractions . . . . .	52
4.1.4	Isomorphisms . . . . .	52
4.1.5	Initial Objects . . . . .	53
4.1.6	Terminal Objects . . . . .	53
4.1.7	Zero Objects . . . . .	54
4.1.8	Triads . . . . .	54
4.1.9	Cotriads . . . . .	54
4.1.10	Pullbacks . . . . .	54
4.1.11	Pushouts . . . . .	57
4.1.12	Subcategories . . . . .	60
4.1.13	Opposite Category . . . . .	60
4.1.14	Groupoids . . . . .	61
4.1.15	Concrete Categories . . . . .	61
4.1.16	Power of Categories . . . . .	61
4.1.17	Arrow Category . . . . .	61
4.1.18	Slice Category . . . . .	61
4.2	Functors . . . . .	64
4.3	Natural Transformations . . . . .	67
4.4	Bifunctors . . . . .	68
4.5	Functor Categories . . . . .	69
<b>5</b>	<b>The Real Numbers</b>	<b>71</b>
5.0.1	Subtraction . . . . .	73

<b>6</b>	<b>Integers and Rationals</b>	<b>81</b>
6.1	Positive Integers . . . . .	81
6.1.1	Exponentiation . . . . .	82
6.2	Integers . . . . .	83
6.3	Rational Numbers . . . . .	85
6.4	Algebraic Numbers . . . . .	86
<b>7</b>	<b>Monoid Theory</b>	<b>87</b>
<b>8</b>	<b>Group Theory</b>	<b>89</b>
8.1	Category of Small Groups . . . . .	89
<b>9</b>	<b>Ring Theory</b>	<b>91</b>
<b>10</b>	<b>Field Theory</b>	<b>93</b>
<b>11</b>	<b>Linear Algebra</b>	<b>95</b>
<b>12</b>	<b>Topology</b>	<b>97</b>
12.1	Topological Spaces . . . . .	97
12.2	Bases . . . . .	101
12.2.1	Subspaces . . . . .	103
12.2.2	Product Topology . . . . .	104
12.3	Subbases . . . . .	104
12.4	Neighbourhood Bases . . . . .	105
12.5	First Countable Spaces . . . . .	105
12.6	Second Countable Spaces . . . . .	106
12.7	Interior . . . . .	106
12.8	Closure . . . . .	106
12.8.1	Bases . . . . .	107
12.8.2	Subspaces . . . . .	108
12.8.3	Product Topology . . . . .	108
12.8.4	Interior . . . . .	108
12.9	Boundary . . . . .	109
12.10	Limit Points . . . . .	110
12.11	Continuous Functions . . . . .	111
12.11.1	Paths . . . . .	115
12.11.2	Loops . . . . .	115
12.12	Convergence . . . . .	115
12.12.1	Closure . . . . .	116
12.12.2	Continuous Functions . . . . .	116
12.12.3	Infinite Series . . . . .	117
12.13	Strong Continuity . . . . .	117
12.14	Subspaces . . . . .	117
12.14.1	Product Topology . . . . .	119
12.15	Embedding . . . . .	120

12.16	Open Maps . . . . .	120
12.16.1	Subspaces . . . . .	121
12.17	Locally Finite . . . . .	121
12.18	Closed Maps . . . . .	122
12.19	Product Topology . . . . .	122
12.19.1	Closed Sets . . . . .	122
12.19.2	Closure . . . . .	124
12.19.3	Convergence . . . . .	125
12.20	Topological Disjoint Union . . . . .	125
12.21	Quotient Spaces . . . . .	127
12.21.1	Quotient Maps . . . . .	128
12.22	Box Topology . . . . .	132
12.22.1	Bases . . . . .	133
12.22.2	Subspaces . . . . .	133
12.22.3	Closure . . . . .	134
12.23	Separations . . . . .	134
12.24	Connected Spaces . . . . .	135
12.24.1	The Real Numbers . . . . .	135
12.24.2	The Indiscrete Topology . . . . .	135
12.24.3	Finer and Coarser . . . . .	135
12.24.4	Boundary . . . . .	136
12.24.5	Continuous Functions . . . . .	136
12.24.6	Subspaces . . . . .	136
12.24.7	Order Topology . . . . .	138
12.24.8	Product Topology . . . . .	138
12.24.9	Quotient Spaces . . . . .	140
12.25	$T_1$ Spaces . . . . .	140
12.25.1	Limit Points . . . . .	141
12.26	Hausdorff Spaces . . . . .	141
12.26.1	Product Topology . . . . .	143
12.26.2	Box Topology . . . . .	143
12.26.3	$T_1$ Spaces . . . . .	143
12.27	Separable Spaces . . . . .	144
12.28	Sequential Compactness . . . . .	144
12.29	Compactness . . . . .	144
12.30	Gluing . . . . .	145
12.31	Homogeneous Spaces . . . . .	145
12.32	Regular Spaces . . . . .	145
12.33	Totally Disconnected Spaces . . . . .	146
12.34	Path Connected Spaces . . . . .	146
<b>13</b>	<b>Metric Spaces</b>	<b>147</b>
13.0.1	Balls . . . . .	148
13.0.2	Subspaces . . . . .	153
13.0.3	Convergence . . . . .	154
13.0.4	Continuous Functions . . . . .	155

13.0.5	First Countable Spaces	156
13.0.6	Hausdorff Spaces	156
13.0.7	Bounded Sets	156
13.0.8	Uniform Convergence	157
13.0.9	Standard Bounded Metric	159
13.0.10	Product Spaces	159
13.1	Uniform Metric	160
13.1.1	Products	163
13.1.2	Connected Spaces	164
13.2	Isometric Embeddings	164
13.3	Complete Metric Spaces	165
13.4	Manifolds	165
<b>14</b>	<b>Homotopy Theory</b>	<b>167</b>
14.1	Homotopies	167
14.2	Homotopy Equivalence	167
<b>15</b>	<b>Simplicial Complexes</b>	<b>169</b>
15.1	Cell Decompositions	169
15.2	CW-complexes	169
<b>16</b>	<b>Topological Groups</b>	<b>171</b>
16.1	Topological Groups	171
16.1.1	Subgroups	172
16.1.2	Left Cosets	173
16.1.3	Homogeneous Spaces	175
16.2	Symmetric Neighbourhoods	175
16.3	Continuous Actions	176
<b>17</b>	<b>Topological Vector Spaces</b>	<b>179</b>
17.1	Cauchy Sequences	179
17.2	Seminorms	180
17.3	Fréchet Spaces	180
17.4	Normed Spaces	180
17.5	Inner Product Spaces	186
17.6	Banach Spaces	187
17.7	Hilbert Spaces	187
17.8	Locally Convex Spaces	187





**Part I**

**Set Theory**



# **Part II**

## **Set Theory**



# Chapter 1

## Primitive Terms and Axioms

### 1.1 Primitive Terms

Let there be *sets*.

For any set  $A$ , let there be *elements* of  $A$ . We write  $a \in A$  for:  $a$  is an element of  $A$ .

For any sets  $A$  and  $B$ , let there be a set  $B^A$ , whose elements are called *functions* from  $A$  to  $B$ . We write  $f : A \rightarrow B$  for  $f \in B^A$ .

For any function  $f : A \rightarrow B$  and element  $a \in A$ , let there be an element  $f(a) \in B$ , the *value* of the function  $f$  at the *argument*  $a$ .

### 1.2 Injections, Surjections and Bijections

**Definition 1.2.1** (Injective). A function  $f : A \rightarrow B$  is *injective* or an *injection* iff, for all  $x, y \in A$ , if  $f(x) = f(y)$  then  $x = y$ .

**Definition 1.2.2** (Surjective). A function  $f : A \rightarrow B$  is *surjective* or a *surjection* iff, for all  $y \in B$ , there exists  $x \in A$  such that  $f(x) = y$ .

**Definition 1.2.3** (Bijective). A function  $f : A \rightarrow B$  is *bijective* or a *bijection* iff it is injective and surjective.

Sets  $A$  and  $B$  are *equinumerous*,  $A \approx B$ , iff there exists a bijection between them.

If we prove there exists a set  $X$  such that  $P(X)$ , and that any two sets that satisfy  $P$  are bijective, then we may introduce a constant  $C$  and define "Let  $C$  be the set such that  $P(C)$ ".

### 1.3 Axioms

**Axiom Schema 1.3.1** (Choice). *Let  $P[X, Y, x, y]$  be a formula where  $X$  and  $Y$  are set variables,  $x \in X$  and  $y \in Y$ . Then the following is an axiom.*

*Let  $A$  and  $B$  be sets. Assume that, for all  $a \in A$ , there exists  $b \in B$  such that  $P[A, B, a, b]$ . Then there exists a function  $f : A \rightarrow B$  such that  $\forall a \in A. P[A, B, a, f(a)]$ .*

**Axiom 1.3.2** (Extensionality). *Let  $f, g : A \rightarrow B$ . If, for all  $x \in A$ , we have  $f(x) = g(x)$ , then  $f = g$ .*

**Definition 1.3.3** (Composition). *Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$ . The composite  $g \circ f : A \rightarrow C$  is the function such that, for all  $a \in A$ , we have*

$$(g \circ f)(a) = g(f(a)) .$$

**Axiom 1.3.4** (Pairing). *For any sets  $A$  and  $B$ , there exists a set  $A \times B$ , the Cartesian product of  $A$  and  $B$ , and functions  $\pi_1 : A \times B \rightarrow A$  and  $\pi_2 : A \times B \rightarrow B$  such that, for all  $a \in A$  and  $b \in B$ , there exists a unique  $(a, b) \in A \times B$  such that  $\pi_1(a, b) = a$  and  $\pi_2(a, b) = b$ .*

**Axiom Schema 1.3.5** (Separation). *For every property  $P[X, x]$  where  $X$  is a set variable and  $x \in X$ , the following is an axiom:*

*For every set  $A$ , there exists a set  $S = \{x \in A : P[A, x]\}$  and an injection  $i : S \rightarrow A$  such that, for all  $x \in A$ , we have*

$$(\exists y \in S. i(y) = x) \Leftrightarrow P[A, x] .$$

**Axiom 1.3.6** (Infinity). *There exists a set  $\mathbb{N}$ , an element  $0 \in \mathbb{N}$ , and a function  $s : \mathbb{N} \rightarrow \mathbb{N}$  such that:*

- $\forall n \in \mathbb{N}. s(n) \neq 0$
- $\forall m, n \in \mathbb{N}. s(m) = s(n) \Rightarrow m = n$ .

**Axiom Schema 1.3.7** (Collection). *Let  $P[X, Y, x]$  be a formula with set variables  $X$  and  $Y$  and an element variable  $x \in X$ . Then the following is an axiom.*

*For any set  $A$ , there exist sets  $B$  and  $Y$  and functions  $p : B \rightarrow A$ , and  $m : B \times Y \Rightarrow \mathbb{N}$  such that:*

- $m$  is injective.
- $\forall b \in B. P[A, \{y \in Y : m(b, y) = 0\}, p(b)]$
- For all  $a \in A$ , if  $\exists Y. P[A, Y, a]$ , then there exists  $b \in B$  such that  $a = p(b)$ .

**Axiom 1.3.8** (Universe). *There exists a set  $E$ , a set  $U$  and a function  $el : E \rightarrow U$  such that the following holds.*

*Let us say that a set  $A$  is small iff there exists  $u \in U$  such that  $A \approx \{e \in E : el(e) = u\}$ .*

- $\mathbb{N}$  is small.
- For any  $U$ -small sets  $A$  and  $B$ , the set  $B^A$  is small.
- For any  $U$ -small sets  $A$  and  $B$ , the set  $A \times B$  is small.
- Let  $f : A \rightarrow B$  be a function. If  $B$  is small and  $\{a \in A : f(a) = b\}$  is small for all  $b \in B$ , then  $A$  is small.
- If  $p : B \rightarrow A$  is a surjective function such that  $A$  is small, then there exists a  $U$ -small set  $C$ , a surjection  $q : C \rightarrow A$ , and a function  $f : C \rightarrow B$  such that  $q = p \circ f$ .





## Chapter 2

# Sets and Functions

### 2.1 Composition

**Proposition 2.1.1.** *Given functions  $f : A \rightarrow B$ ,  $g : B \rightarrow C$  and  $h : C \rightarrow D$ , we have*

$$h \circ (g \circ f) = (h \circ g) \circ f .$$

PROOF:

$\langle 1 \rangle 1$ . For all  $x \in A$  we have  $(h \circ (g \circ f))(x) = ((h \circ g) \circ f)(x)$ .

$\langle 2 \rangle 1$ . LET:  $x \in A$

$\langle 2 \rangle 2$ .  $(h \circ (g \circ f))(x) = ((h \circ g) \circ f)(x)$

PROOF:

$$\begin{aligned} (h \circ (g \circ f))(x) &= h((g \circ f)(x)) && \text{(Definition of composition)} \\ &= h(g(f(x))) && \text{(Definition of composition)} \\ &= (h \circ g)(f(x)) && \text{(Definition of composition)} \\ &= ((h \circ g) \circ f)(x) && \text{(Definition of composition)} \end{aligned}$$

$\langle 1 \rangle 2$ . Q.E.D.

PROOF: By the Axiom of Extensionality.

□

#### 2.1.1 Injections

**Proposition 2.1.2.** *The composite of injective functions is injective.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $A$ ,  $B$  and  $C$  be sets.

$\langle 1 \rangle 2$ . LET:  $f : A \rightarrow B$

$\langle 1 \rangle 3$ . LET:  $g : B \rightarrow C$

$\langle 1 \rangle 4$ . ASSUME:  $g$  is injective.

$\langle 1 \rangle 5$ . ASSUME:  $f$  is injective.

$\langle 1 \rangle 6$ . LET:  $x, y \in A$

$\langle 1 \rangle 7$ . ASSUME:  $(g \circ f)(x) = (g \circ f)(y)$

PROVE:  $x = y$   
 $\langle 1 \rangle 8. g(f(x)) = g(f(y))$   
 PROOF:  

$$g(f(x)) = (g \circ f)(x) \quad (\text{definition of composition})$$

$$= (g \circ f)(y) \quad (\langle 1 \rangle 7)$$

$$= g(f(y)) \quad (\text{definition of composition})$$
 $\langle 1 \rangle 9. f(x) = f(y)$   
 PROOF:  $\langle 1 \rangle 4, \langle 1 \rangle 8$   
 $\langle 1 \rangle 10. x = y$   
 PROOF:  $\langle 1 \rangle 5, \langle 1 \rangle 9$   
 $\square$

**Proposition 2.1.3.** *For functions  $f : A \rightarrow B$  and  $g : B \rightarrow C$ , if  $g \circ f$  is injective then  $f$  is injective.*

PROOF:  
 $\langle 1 \rangle 1.$  LET:  $A, B$  and  $C$  be sets.  
 $\langle 1 \rangle 2.$  LET:  $f : A \rightarrow B$   
 $\langle 1 \rangle 3.$  LET:  $g : B \rightarrow C$   
 $\langle 1 \rangle 4.$  ASSUME:  $g \circ f$  is injective.  
 $\langle 1 \rangle 5.$  LET:  $x, y \in A$   
 $\langle 1 \rangle 6.$  ASSUME:  $f(x) = f(y)$   
 $\langle 1 \rangle 7. (g \circ f)(x) = (g \circ f)(y)$   
 PROOF:  

$$(g \circ f)(x) = g(f(x)) \quad (\text{definition of composition})$$

$$= g(f(y)) \quad (\langle 1 \rangle 6)$$

$$= (g \circ f)(y) \quad (\text{definition of composition})$$
 $\langle 1 \rangle 8. x = y$   
 PROOF:  $\langle 1 \rangle 4, \langle 1 \rangle 7$   
 $\square$

**Proposition 2.1.4.** *Let  $f : A \rightarrow B$  be injective. For every set  $X$  and functions  $x, y : X \rightarrow A$ , if  $f \circ x = f \circ y$  then  $x = y$ .*

PROOF:  
 $\langle 1 \rangle 1.$  ASSUME:  $f$  is injective.  
 $\langle 1 \rangle 2.$  LET:  $X$  be a set.  
 $\langle 1 \rangle 3.$  LET:  $x, y : X \rightarrow A$   
 $\langle 1 \rangle 4.$  ASSUME:  $f \circ x = f \circ y$   
 $\langle 1 \rangle 5. \forall t \in X. x(t) = y(t)$   
 $\langle 2 \rangle 1.$  LET:  $t \in X$   
 $\langle 2 \rangle 2. f(x(t)) = f(y(t))$   
 PROOF:  

$$f(x(t)) = (f \circ x)(t) \quad (\text{definition of composition})$$

$$= (f \circ y)(t) \quad (\langle 1 \rangle 4)$$

$$= f(y(t)) \quad (\text{definition of composition})$$

$\langle 2 \rangle 3. x(t) = y(t)$

PROOF:  $\langle 1 \rangle 1, \langle 2 \rangle 2$

$\langle 1 \rangle 6. x = y$

PROOF: Axiom of Extensionality,  $\langle 1 \rangle 5$

□

We will prove the converse as Proposition 2.5.4.

### 2.1.2 Surjections

**Proposition 2.1.5.** *The composite of surjective functions is surjective.*

PROOF:

$\langle 1 \rangle 1.$  LET:  $A, B$  and  $C$  be sets.

$\langle 1 \rangle 2.$  LET:  $f : A \rightarrow B$  and  $g : B \rightarrow C$

$\langle 1 \rangle 3.$  ASSUME:  $g$  is surjective.

$\langle 1 \rangle 4.$  ASSUME:  $f$  is surjective.

$\langle 1 \rangle 5.$  LET:  $c \in C$

$\langle 1 \rangle 6.$  PICK  $b \in B$  such that  $g(b) = c$ .

PROOF:  $\langle 1 \rangle 3$

$\langle 1 \rangle 7.$  PICK  $a \in A$  such that  $f(a) = b$ .

PROOF:  $\langle 1 \rangle 4$

$\langle 1 \rangle 8. (g \circ f)(a) = c$

PROOF:

$$\begin{aligned} (g \circ f)(a) &= g(f(a)) && \text{(definition of composition)} \\ &= g(b) && (\langle 1 \rangle 7) \\ &= c && (\langle 1 \rangle 6) \end{aligned}$$

□

**Proposition 2.1.6.** *Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$ . If  $g \circ f$  is surjective then  $g$  is surjective.*

PROOF:

$\langle 1 \rangle 1.$  LET:  $A, B$  and  $C$  be sets.

$\langle 1 \rangle 2.$  LET:  $f : A \rightarrow B$  and  $g : B \rightarrow C$ .

$\langle 1 \rangle 3.$  ASSUME:  $g \circ f$  is surjective.

$\langle 1 \rangle 4.$  LET:  $c \in C$

$\langle 1 \rangle 5.$  PICK  $a \in A$  such that  $(g \circ f)(a) = c$

PROOF:  $\langle 1 \rangle 3$

$\langle 1 \rangle 6. g(f(a)) = c$

PROOF: From  $\langle 1 \rangle 5$  and the definition of composition.

$\langle 1 \rangle 7.$  Q.E.D.

PROOF: There exists  $b \in B$  such that  $g(b) = c$ , namely  $b = f(a)$ .

□

### 2.1.3 Bijections

**Proposition 2.1.7.** *The composite of bijections is a bijection.*

PROOF:

- ⟨1⟩1. LET:  $A, B$  and  $C$  be sets.
- ⟨1⟩2. LET:  $f : A \rightarrow B$  and  $g : B \rightarrow C$
- ⟨1⟩3. ASSUME:  $g$  is bijective.
- ⟨1⟩4. ASSUME:  $f$  is bijective.
- ⟨1⟩5.  $g$  is injective.  
PROOF: From ⟨1⟩3.
- ⟨1⟩6.  $g$  is surjective.  
PROOF: From ⟨1⟩3.
- ⟨1⟩7.  $f$  is injective.  
PROOF: From ⟨1⟩4.
- ⟨1⟩8.  $f$  is surjective.  
PROOF: From ⟨1⟩4.
- ⟨1⟩9.  $g \circ f$  is injective.  
PROOF: Proposition 2.1.2, ⟨1⟩5, ⟨1⟩7.
- ⟨1⟩10.  $g \circ f$  is surjective.  
PROOF: Proposition 2.1.5, ⟨1⟩6, ⟨1⟩8.
- ⟨1⟩11.  $g \circ f$  is bijective.  
PROOF: ⟨1⟩9, ⟨1⟩10

□

### 2.1.4 Equinumerosity

**Proposition 2.1.8.**

$$(A \times B)^C \approx A^C \times B^C$$

PROOF: The function that maps  $f$  to  $(\pi_1 \circ f, \pi_2 \circ f)$  is a bijection. □

**Proposition 2.1.9.**

$$A^{B \times C} \approx (A^B)^C$$

PROOF: The function  $\Phi$  such that  $\Phi(f)(c)(b) = f(b, c)$  is a bijection. □

## 2.2 Domination

**Definition 2.2.1** (Dominate). Let  $A$  and  $B$  be sets. We say that  $B$  *dominates*  $A$ , and write  $A \leq B$ , iff there exists an injective function  $A \rightarrow B$ .

**Theorem 2.2.2** (Schroeder-Bernstein). *Let  $A$  and  $B$  be sets. If  $A \leq B$  and  $B \leq A$  then  $A \approx B$ .*

PROOF:

- ⟨1⟩1. LET:  $f : A \rightarrow B$  and  $g : B \rightarrow A$  be injections.
- ⟨1⟩2. Define the subsets  $A_n$  of  $A$  by

$$\begin{aligned} A_0 &:= A - g(B) \\ A_{n+1} &:= g(f(A_n)) \end{aligned}$$

⟨1⟩3. Define  $h : A \rightarrow B$  by

$$h(x) = \begin{cases} f(x) & \text{if } \exists n. x \in A_n \\ g^{-1}(x) & \text{otherwise} \end{cases}$$

⟨1⟩4.  $h$  is injective.

⟨2⟩1. LET:  $x, y \in A$

⟨2⟩2. ASSUME:  $h(x) = h(y)$

⟨2⟩3. CASE:  $x \in A_m$  and  $y \in A_n$ .

PROOF: Then  $f(x) = f(y)$  so  $x = y$  since  $f$  is injective.

⟨2⟩4. CASE:  $x \in A_m$  and there is no  $y$  such that  $y \in A_n$ .

⟨3⟩1.  $f(x) = g^{-1}(y)$

⟨3⟩2.  $y = g(f(x))$

⟨3⟩3.  $y \in A_{m+1}$

⟨3⟩4. Q.E.D.

PROOF: This is a contradiction.

⟨2⟩5. CASE:  $y \in A_n$  and there is no  $m$  such that  $x \in A_m$ .

PROOF: Similar.

⟨2⟩6. CASE: There is no  $m$  such that  $x \in A_m$  and there is no  $n$  such that  $y \in A_n$ .

PROOF: Then  $g^{-1}(x) = g^{-1}(y)$  and so  $x = y$ .

⟨1⟩5.  $h$  is surjective.

⟨2⟩1. LET:  $y \in B$

⟨2⟩2. CASE:  $g(y) \in A_n$

⟨3⟩1.  $n \neq 0$

⟨3⟩2. PICK  $x \in A_{n-1}$  such that  $g(y) = g(f(x))$

⟨3⟩3.  $y = f(x)$

⟨3⟩4.  $y = h(x)$

⟨2⟩3. CASE: There is no  $n$  such that  $g(y) \in A_n$ .

PROOF: Then  $h(g(y)) = y$ .

□

## 2.3 Identity Function

**Definition 2.3.1** (Identity). For any set  $A$ , the *identity* function  $\text{id}_A : A \rightarrow A$  is the function defined by  $\text{id}_A(a) = a$ .

### 2.3.1 Injections, Surjections, Bijections

**Proposition 2.3.2.** For any set  $A$ , the identity function  $\text{id}_A$  is a bijection.

PROOF:

⟨1⟩1. LET:  $A$  be a set.

⟨1⟩2.  $\text{id}_A$  is injective.

PROOF: If  $\text{id}_A(x) = \text{id}_A(y)$  then  $x = y$ .

⟨1⟩3.  $\text{id}_A$  is surjective.

PROOF: For any  $y \in A$ , there exists  $x \in A$  such that  $\text{id}_A(x) = y$ , namely  $x = y$ .

□

### 2.3.2 Composition

**Proposition 2.3.3.** *Let  $f : A \rightarrow B$ . Then  $\text{id}_B \circ f = f = f \circ \text{id}_A$ .*

PROOF: Each is the function that maps  $a$  to  $f(a)$ . □

**Proposition 2.3.4.** *Let  $f : A \rightarrow B$ .*

1. *If there exists  $g : B \rightarrow A$  such that  $g \circ f = \text{id}_A$  then  $f$  is injective.*
2. *If  $f$  is injective and  $A$  is nonempty, then there exists  $g : B \rightarrow A$  such that  $g \circ f = \text{id}_A$ .*

PROOF:

⟨1⟩1. If there exists  $g : B \rightarrow A$  such that  $g \circ f = \text{id}_A$  then  $f$  is injective.

PROOF: If  $f(x) = f(y)$  then  $x = g(f(x)) = g(f(y)) = y$ .

⟨1⟩2. If  $f$  is injective and  $A$  is nonempty, then there exists  $g : B \rightarrow A$  such that  $g \circ f = \text{id}_A$ .

⟨2⟩1. ASSUME:  $f$  is injective and  $A$  is nonempty.

⟨2⟩2. PICK  $a \in A$

⟨2⟩3. Choose a function  $g : B \rightarrow A$  such that  $f(g(x)) = x$  if there exists  $y \in A$  such that  $f(y) = x$ , otherwise  $g(x) = a$ .

⟨2⟩4. LET:  $x \in A$

PROVE:  $g(f(x)) = x$

⟨2⟩5.  $f(g(f(x))) = f(x)$

⟨2⟩6.  $g(f(x)) = x$

□

**Proposition 2.3.5.** *Let  $f : A \rightarrow B$ . Then  $f$  is surjective if and only if there exists  $g : B \rightarrow A$  such that  $f \circ g = \text{id}_B$ .*

PROOF:

⟨1⟩1. If  $f$  is surjective then there exists  $g : B \rightarrow A$  such that  $f \circ g = \text{id}_B$ .

⟨2⟩1. ASSUME:  $f$  is surjective.

⟨2⟩2. PICK  $g : B \rightarrow A$  such that, for all  $b \in B$ , we have  $f(g(b)) = b$ .

PROOF: Axiom of Choice.

⟨2⟩3.  $f \circ g = \text{id}_B$ .

⟨1⟩2. If there exists  $g : B \rightarrow A$  such that  $f \circ g = \text{id}_B$  then  $f$  is surjective.

⟨2⟩1. LET:  $g : B \rightarrow A$  such that  $f \circ g = \text{id}_B$

⟨2⟩2. LET:  $X$  be a set.

⟨2⟩3. LET:  $h, k : B \rightarrow X$

⟨2⟩4. ASSUME:  $h \circ f = k \circ f$

⟨2⟩5.  $h = k$

PROOF:  $h = h \circ f \circ g = k \circ f \circ g = k$

□

**Corollary 2.3.5.1.** *Let  $A$  and  $B$  be sets.*

1. *If there exists a surjective function  $A \rightarrow B$  then there exists an injective function  $B \rightarrow A$ .*
2. *If there exists an injective function  $A \rightarrow B$  and  $A$  is nonempty then there exists a surjective function  $B \rightarrow A$ .*

**Proposition 2.3.6.** *Let  $f : A \rightarrow B$ . Then  $f$  is bijective if and only if there exists a function  $f^{-1} : B \rightarrow A$ , the inverse of  $f$ , such that  $f \circ f^{-1} = \text{id}_B$  and  $f^{-1} \circ f = \text{id}_A$ , in which case the inverse is unique.*

PROOF:

- ⟨1⟩1. If  $f$  is bijective then there exists  $f^{-1} : B \rightarrow A$  such that  $f \circ f^{-1} = \text{id}_B$  and  $f^{-1} \circ f = \text{id}_A$ .
- ⟨2⟩1. ASSUME:  $f$  is bijective.
- ⟨2⟩2. PICK  $g : B \rightarrow A$  such that  $f \circ g = \text{id}_B$
- PROOF: Proposition 2.6.2.
- ⟨2⟩3.  $f \circ g \circ f = f$
- ⟨2⟩4.  $g \circ f = \text{id}_A$
- PROOF: Proposition 2.1.4.
- ⟨1⟩2. If there exists  $f^{-1} : B \rightarrow A$  such that  $f \circ f^{-1} = \text{id}_B$  and  $f^{-1} \circ f = \text{id}_A$ , then  $f$  is bijective.
- ⟨2⟩1. LET:  $f^{-1} : B \rightarrow A$  satisfy  $f \circ f^{-1} = \text{id}_B$  and  $f^{-1} \circ f = \text{id}_A$
- ⟨2⟩2.  $f$  is injective.
- PROOF: If  $f(x) = f(y)$  then  $x = f^{-1}(f(x)) = f^{-1}(f(y)) = y$ .
- ⟨2⟩3.  $f$  is surjective.
- PROOF: Proposition 2.6.2.
- ⟨1⟩3. If  $g, h : B \rightarrow A$  satisfy  $f \circ g = \text{id}_B$  and  $g \circ f = \text{id}_A$  and  $f \circ h = \text{id}_B$  and  $h \circ f = \text{id}_A$  then  $g = h$ .
- PROOF: We have  $g = g \circ f \circ h = h$ .
- 

## 2.4 The Empty Set

**Theorem 2.4.1.** *There exists a set which has no elements.*

PROOF: Take  $\{x \in \mathbb{N} : \perp\}$ . □

**Theorem 2.4.2.** *If  $E$  and  $E'$  have no elements then  $E \approx E'$ .*

PROOF:

- ⟨1⟩1. LET:  $E$  and  $E'$  have no elements.
- ⟨1⟩2. PICK a function  $F : E \rightarrow E'$ .
- PROOF: Axiom of Choice since vacuously  $\forall x \in E. \exists y \in E'. \top$ .
- ⟨1⟩3.  $F$  is injective.

PROOF: Vacuously, for all  $x, y \in E$ , if  $F(x) = F(y)$  then  $x = y$ .

$\langle 1 \rangle 4$ .  $F$  is surjective.

PROOF: Vacuously, for all  $y \in E$ , there exists  $x \in E$  such that  $F(x) = y$ .

□

**Definition 2.4.3** (Empty Set). The *empty set*  $\emptyset$  is the set with no elements.

## 2.5 The Singleton

**Theorem 2.5.1.** *There exists a set that has exactly one element.*

PROOF: The set  $\{x \in \mathbb{N} : x = 0\}$  has exactly one element. □

**Theorem 2.5.2.** *If  $A$  and  $B$  both have exactly one element then  $A \approx B$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $A$  and  $B$  both have exactly one element  $a$  and  $b$  respectively.

$\langle 1 \rangle 2$ . LET:  $F : A \rightarrow B$  be the function such that, for all  $x \in A$ , we have  
 $(x = a \wedge F(x) = b)$

$\langle 1 \rangle 3$ .  $F$  is a bijection.

□

**Definition 2.5.3** (Singleton). Let  $1$  be the set that has exactly one element. Let  $*$  be its element.

### 2.5.1 Injections

**Proposition 2.5.4.** *Let  $f : A \rightarrow B$ . Assume that, for every set  $X$  and functions  $x, y : X \rightarrow A$ , if  $f \circ x = f \circ y$  then  $x = y$ . Then  $f$  is injective.*

PROOF: Take  $X = 1$ . □

## 2.6 The Set Two

**Definition 2.6.1** (The Set Two). Let  $2 = \{x \in \mathbb{N} : x = 0 \vee x = 1\}$ .

**Proposition 2.6.2.** *Let  $f : A \rightarrow B$ . Then  $f$  is surjective if and only if, for any set  $X$  and functions  $g, h : B \rightarrow X$ , if  $g \circ f = h \circ f$  then  $g = h$ .*

PROOF:

$\langle 1 \rangle 1$ . If  $f$  is surjective then, for any set  $X$  and functions  $g, h : B \rightarrow X$ , if  
 $g \circ f = h \circ f$  then  $g = h$ .

$\langle 2 \rangle 1$ . ASSUME:  $f$  is surjective.

$\langle 2 \rangle 2$ . LET:  $X$  be a set.

$\langle 2 \rangle 3$ . LET:  $g, h : B \rightarrow X$

$\langle 2 \rangle 4$ . ASSUME:  $g \circ f = h \circ f$

$\langle 2 \rangle 5$ . LET:  $b \in B$

PROVE:  $g(b) = h(b)$



- $\langle 2 \rangle 6$ . PICK  $a \in A$  such that  $f(a) = b$   
 $\langle 2 \rangle 7$ .  $g(b) = h(b)$   
 PROOF:  $g(b) = g(f(a)) = h(f(a)) = h(b)$   
 $\langle 1 \rangle 2$ . If, for any set  $X$  and functions  $g, h : B \rightarrow X$ , if  $g \circ f = h \circ f$  then  $g = h$ , then  $f$  is surjective.  
 $\langle 2 \rangle 1$ . ASSUME: For any set  $X$  and functions  $g, h : B \rightarrow X$ , if  $g \circ f = h \circ f$  then  $g = h$ .  
 $\langle 2 \rangle 2$ . LET:  $b \in B$   
 $\langle 2 \rangle 3$ . LET:  $h : B \rightarrow 2$  be the function that maps everything to 1.  
 $\langle 2 \rangle 4$ . LET:  $k : B \rightarrow 2$  be the function that maps  $b$  to 0 and everything else to 1.  
 $\langle 2 \rangle 5$ .  $h \neq k$   
 $\langle 2 \rangle 6$ .  $h \circ f \neq k \circ f$   
 $\langle 2 \rangle 7$ . PICK  $a \in A$  such that  $h(f(a)) \neq k(f(a))$   
 $\langle 2 \rangle 8$ .  $f(a) = b$   
 $\square$

## 2.7 Subsets

**Definition 2.7.1** (Subset). A *subset* of a set  $A$  consists of a set  $S$  and an injection  $i : S \rightarrow A$ . We write  $(S, i) \subseteq A$ .

We say two subsets  $(S, i)$  and  $(T, j)$  are *equal*,  $(S, i) = (T, j)$ , iff there exists a bijection  $\phi : S \approx T$  such that  $j \circ \phi = i$ .

**Proposition 2.7.2.** For any subset  $(S, i)$  of  $A$  we have  $(S, i) = (S, i)$ .

PROOF: We have  $\text{id}_S : S \approx S$  and  $i \circ \text{id}_S = i$ .

**Proposition 2.7.3.** If  $(S, i) = (T, j)$  then  $(T, j) = (S, i)$ .

PROOF: If  $\phi : S \approx T$  and  $j \circ \phi = i$  then  $\phi^{-1} : T \approx S$  and  $i \circ \phi^{-1} = j$ .  $\square$

**Proposition 2.7.4.** If  $(R, i) = (S, j)$  and  $(S, j) = (T, k)$  then  $(R, i) = (T, k)$ .

PROOF: If  $\phi : R \approx S$  and  $j \circ \phi = i$ , and  $\psi : S \approx T$  and  $k \circ \psi = j$ , then  $\psi \circ \phi : R \approx T$  and  $k \circ \psi \circ \phi = i$ .  $\square$

**Definition 2.7.5** (Membership). Given  $(S, i) \subseteq A$  and  $a \in A$ , we write  $a \in (S, i)$  for  $\exists s \in S. i(s) = a$ .

**Proposition 2.7.6.** If  $a \in (S, i)$  and  $(S, i) = (T, j)$  then  $a \in (T, j)$ .

PROOF: If  $i(s) = a$  then  $j(\phi(s)) = a$ .  $\square$

**Definition 2.7.7** (Union). Given subsets  $S$  and  $T$  of  $A$ , the *union* is the subset  $\{x \in A : x \in S \vee x \in T\}$ .

**Definition 2.7.8** (Intersection). Given subsets  $S$  and  $T$  of  $A$ , the *intersection* is the subset  $\{x \in A : x \in S \wedge x \in T\}$ .

**Proposition 2.7.9** (Distributive Law).

$$R \cap (S \cup T) = (R \cap S) \cup (R \cap T)$$

**Proposition 2.7.10** (Distributive Law).

$$R \cup (S \cap T) = (R \cup S) \cap (R \cup T)$$

**Definition 2.7.11.** Given a set  $A$ , we write  $\emptyset$  for the subset  $(\emptyset, !)$  where  $!$  is the unique function  $\emptyset \rightarrow A$ .

**Proposition 2.7.12.**

$$S \cup \emptyset = S$$

**Proposition 2.7.13.**

$$S \cap \emptyset = \emptyset$$

**Definition 2.7.14** (Inclusion). Given subsets  $(S, i)$  and  $(T, j)$  of a set  $A$ , we write  $(S, i) \subseteq (T, j)$  iff there exists  $f : S \rightarrow T$  such that  $j \circ f = i$ .

**Proposition 2.7.15.**

$$\emptyset \subseteq S$$

**Definition 2.7.16** (Disjoint). Subsets  $S$  and  $T$  of  $A$  are *disjoint* iff  $S \cap T = \emptyset$ .

**Definition 2.7.17** (Difference). Given subsets  $S$  and  $T$  of  $A$ , the *difference* of  $S$  and  $T$  is  $S - T = \{x \in A : x \in S \wedge x \notin T\}$ .

**Proposition 2.7.18** (De Morgan's Law).

$$R - (S \cup T) = (R - S) \cap (R - T)$$

**Proposition 2.7.19** (De Morgan's Law).

$$R - (S \cap T) = (R - S) \cup (R - T)$$

## 2.8 Saturated Set

**Definition 2.8.1** (Saturated). Let  $A$  and  $B$  be sets. Let  $f : A \rightarrow B$  be surjective. Let  $C \subseteq A$ . Then  $C$  is *saturated* with respect to  $f$  iff, for all  $x \in C$  and  $y \in A$ , if  $f(x) = f(y)$  then  $y \in C$ .

## 2.9 Union

**Definition 2.9.1** (Union). Given  $\mathcal{A} \in \mathcal{P}\mathcal{P}X$ , its *union* is

$$\bigcup \mathcal{A} := \{x \in X : \exists S \in \mathcal{A}. x \in S\} \in \mathcal{P}X .$$

### 2.9.1 Intersection

**Definition 2.9.2** (Intersection). Given  $\mathcal{A} \in \mathcal{PP}X$ , its *intersection* is

$$\bigcap \mathcal{A} := \{x \in X : \forall S \in \mathcal{A}. x \in S\} \in \mathcal{P}X .$$

### 2.9.2 Direct Image

**Definition 2.9.3** (Direct Image). Let  $f : A \rightarrow B$ . Let  $S$  be a subset of  $A$ . The *(direct) image* of  $S$  under  $f$  is the subset of  $B$  given by

$$f(S) := \{f(a) : a \in S\} .$$

**Proposition 2.9.4.**

1. If  $S \subseteq T$  then  $f(S) \subseteq f(T)$
2.  $f(\bigcup \mathcal{S}) = \bigcup_{S \in \mathcal{S}} f(S)$

**Example 2.9.5.** It is not true in general that  $f(\bigcap \mathcal{S}) = \bigcap_{S \in \mathcal{S}} f(S)$ . Take  $f$  to be the only function  $\{0, 1\} \rightarrow \{0\}$ , and  $\mathcal{S} = \{\{0\}, \{1\}\}$ . Then  $f(\bigcap \mathcal{S}) = \emptyset$  but  $\bigcap_{S \in \mathcal{S}} f(S) = \{0\}$ .

**Example 2.9.6.** It is not true in general that  $f(S - T) = f(S) - f(T)$ . Take  $f$  to be the only function  $\{0, 1\} \rightarrow \{0\}$ ,  $S = \{0\}$  and  $T = \{1\}$ . Then  $f(S - T) = \{0\}$  but  $f(S) - f(T) = \emptyset$ .

## 2.10 Inverse Image

**Definition 2.10.1** (Inverse Image). Let  $f : A \rightarrow B$ . Let  $S$  be a subset of  $B$ . The *inverse image* or *preimage* of  $S$  under  $f$  is the subset of  $A$  given by

$$f^{-1}(S) := \{x \in A : f(x) \in S\} .$$

**Proposition 2.10.2.** 1. If  $S \subseteq T$  then  $f^{-1}(S) \subseteq f^{-1}(T)$

2.  $f^{-1}(\bigcup \mathcal{S}) = \bigcup_{S \in \mathcal{S}} f^{-1}(S)$
3.  $f^{-1}(\bigcap \mathcal{S}) = \bigcap_{S \in \mathcal{S}} f^{-1}(S)$
4.  $f^{-1}(S - T) = f^{-1}(S) - f^{-1}(T)$
5.  $S \subseteq f^{-1}(f(S))$ . Equality holds if  $f$  is injective.
6.  $f(f^{-1}(T)) \subseteq T$ . Equality holds if  $f$  is surjective.
7.  $(g \circ f)^{-1}(S) = f^{-1}(g^{-1}(S))$

### 2.10.1 Saturated Sets

**Proposition 2.10.3.** *Let  $A$  and  $B$  be sets. Let  $f : A \rightarrow B$  be surjective. Let  $C \subseteq A$ . Then  $C$  is saturated if and only if there exists  $D \subseteq B$  such that  $C = f^{-1}(D)$ .*

PROOF:

$\langle 1 \rangle 1$ . If  $C$  is saturated then there exists  $D \subseteq B$  such that  $C = f^{-1}(D)$ .

$\langle 2 \rangle 1$ . ASSUME:  $C$  is saturated.

$\langle 2 \rangle 2$ . LET:  $D = f(C)$

$\langle 2 \rangle 3$ .  $C \subseteq f^{-1}(D)$

$\langle 3 \rangle 1$ . LET:  $x \in C$

$\langle 3 \rangle 2$ .  $f(x) \in D$

PROOF:  $\langle 2 \rangle 2$

$\langle 3 \rangle 3$ .  $x \in f^{-1}(D)$

$\langle 2 \rangle 4$ .  $f^{-1}(D) \subseteq C$

$\langle 3 \rangle 1$ . LET:  $x \in f^{-1}(D)$

$\langle 3 \rangle 2$ .  $f(x) \in D$

$\langle 3 \rangle 3$ . PICK  $y \in C$  such that  $f(x) = f(y)$

PROOF:  $\langle 2 \rangle 2$

$\langle 3 \rangle 4$ .  $x \in C$

PROOF:  $\langle 2 \rangle 1$

$\langle 1 \rangle 2$ . If there exists  $D \subseteq B$  such that  $C = f^{-1}(D)$  then  $C$  is saturated.

$\langle 2 \rangle 1$ . LET:  $D \subseteq B$  be such that  $C = f^{-1}(D)$ .

$\langle 2 \rangle 2$ . LET:  $x \in C$  and  $y \in A$

$\langle 2 \rangle 3$ . ASSUME:  $f(x) = f(y)$

$\langle 2 \rangle 4$ .  $f(x) \in D$

$\langle 2 \rangle 5$ .  $f(y) \in D$

$\langle 2 \rangle 6$ .  $y \in C$

□

## 2.11 Relations

**Definition 2.11.1** (Relation). Let  $A$  and  $B$  be sets. A *relation*  $R$  between  $A$  and  $B$ ,  $R : A \rightarrow B$ , is a subset of  $A \times B$ .

Given  $a \in A$  and  $b \in B$ , we write  $aRb$  for  $(a, b) \in R$ .

A relation *on* a set  $A$  is a relation between  $A$  and  $A$ .

**Definition 2.11.2** (Reflexive). A relation  $R$  on a set  $A$  is *reflexive* iff  $\forall a \in A. aRa$ .

**Definition 2.11.3** (Symmetric). A relation  $R$  on a set  $A$  is *symmetric* iff, whenever  $xRy$ , then  $yRx$ .

**Definition 2.11.4** (Transitive). A relation  $R$  on a set  $A$  is *transitive* iff, whenever  $xRy$  and  $yRz$ , then  $xRz$ .

### 2.11.1 Equivalence Relations

**Definition 2.11.5** (Equivalence Relation). A relation  $R$  on a set  $A$  is an *equivalence relation* iff it is reflexive, symmetric and transitive.

**Definition 2.11.6** (Equivalence Class). Let  $R$  be an equivalence relation on a set  $A$  and  $a \in A$ . The *equivalence class* of  $a$  with respect to  $R$  is

$$\{x \in A : xRa\} .$$

**Proposition 2.11.7.** *Two equivalence classes are either disjoint or equal.*

## 2.12 Power Set

**Definition 2.12.1** (Power Set). The *power set* of a set  $A$  is  $\mathcal{P}A := 2^A$ .

Given  $S \in \mathcal{P}A$  and  $a \in A$ , we write  $a \in S$  for  $S(a) = 1$ .

**Definition 2.12.2** (Pairwise Disjoint). Let  $P \subseteq \mathcal{P}A$ . We say the members of  $P$  are *pairwise disjoint* iff, for all  $S, T \in P$ , if  $S \neq T$  then  $S \cap T = \emptyset$ .

### 2.12.1 Partitions

**Definition 2.12.3** (Partition). Let  $A$  be a set. A *partition* of  $A$  is a set  $P \in \mathcal{P}\mathcal{P}A$  such that:

- $\bigcup P = A$
- Every member of  $P$  is nonempty.
- The members of  $P$  are pairwise disjoint.

## 2.13 Cartesian Product

**Definition 2.13.1** (Cartesian Product). Let  $A$  and  $B$  be sets. The *Cartesian product* of  $A$  and  $B$ ,  $A \times B$ , is the tabulation of the relation  $A \looparrowright B$  that holds for all  $a \in A$  and  $b \in B$ . The associated functions  $\pi_1 : A \times B \rightarrow A$  and  $\pi_2 : A \times B \rightarrow B$  are called the *projections*.

Given  $a \in A$  and  $b \in B$ , we write  $(a, b)$  for the unique element of  $A \times B$  such that  $\pi_1(a, b) = a$  and  $\pi_2(a, b) = b$ .

## 2.14 Quotient Sets

**Proposition 2.14.1.** *Let  $\sim$  be an equivalence relation on  $X$ . Then there exists a set  $X/\sim$ , the quotient set of  $X$  with respect to  $\sim$ , and a surjective function  $\pi : X \rightarrow X/\sim$ , the canonical projection, such that, for all  $x, y \in X$ , we have  $x \sim y$  if and only if  $\pi(x) = \pi(y)$ .*

*Further, if  $p : X \rightarrow Q$  is another quotient with respect to  $\sim$ , then there exists a unique bijection  $\phi : X/\sim \approx Q$  such that  $\phi \circ \pi = p$ .*

## 2.15 Partitions

**Definition 2.15.1** (Partition). A *partition* of a set  $X$  is a set of pairwise disjoint subsets of  $X$  whose union is  $X$ .

## 2.16 Disjoint Union

**Theorem 2.16.1.** For any sets  $A$  and  $B$ , there exists a set  $A + B$ , the disjoint union of  $A$  and  $B$ , and functions  $\kappa_1 : A \rightarrow A + B$  and  $\kappa_2 : B \rightarrow A + B$ , the injections, such that, for every set  $X$  and functions  $f : A \rightarrow X$  and  $g : B \rightarrow X$ , there exists a unique function  $[f, g] : A + B \rightarrow X$  such that  $[f, g] \circ \kappa_1 = f$  and  $[f, g] \circ \kappa_2 = g$ .

PROOF:

$\langle 1 \rangle 1$ . LET:  $A + B := \{p \in \mathcal{P}A \times \mathcal{P}B : \exists a \in A. p = (\{a\}, \emptyset) \vee \exists b \in B. p = (\emptyset, \{b\})\}$

**Definition 2.16.2** (Restriction). Let  $f : A \rightarrow B$  and let  $(S, i)$  be a subset of  $A$ . The *restriction* of  $f$  to  $S$  is the function  $f \upharpoonright S : S \rightarrow B$  defined by  $f \upharpoonright S = f \circ i$ .

## 2.17 Natural Numbers

**Theorem 2.17.1** (Principle of Recursive Definition). Let  $A$  be a set. Let  $F$  be the set of all functions  $\{m \in \mathbb{N} : m < n\} \rightarrow A$  for some  $n$ . Let  $\rho : F \rightarrow A$ . Then there exists a unique  $g : \mathbb{N} \rightarrow A$  such that, for all  $n \in \mathbb{N}$ , we have

$$g(n) = \rho(g \upharpoonright \{m \in \mathbb{N} : m < n\}) .$$

PROOF:

$\langle 1 \rangle 1$ . Given a subset  $B \subseteq \mathbb{N}$ , let us say that a function  $g : B \rightarrow A$  is *acceptable* iff, for all  $n \in B$ , we have

$$\forall m < n. m \in B$$

and

$$g(n) = \rho(g \upharpoonright \{m \in \mathbb{N} : m < n\}) .$$

$\langle 1 \rangle 2$ . For all  $n \in \mathbb{N}$ , there exists an acceptable function  $\{m \in \mathbb{N} : m < n\} \rightarrow A$ .

$\langle 2 \rangle 1$ . LET:  $P[n]$  be the property: There exists an acceptable function  $\{m \in \mathbb{N} : m < n\} \rightarrow A$ .

$\langle 2 \rangle 2$ .  $P[0]$

PROOF: The unique function  $\emptyset \rightarrow A$  is acceptable.

$\langle 2 \rangle 3$ . For any natural number  $n$ , if  $P[n]$  then  $P[n + 1]$ .

$\langle 3 \rangle 1$ . ASSUME:  $P[n]$

$\langle 3 \rangle 2$ . PICK an acceptable  $f : \{m \in \mathbb{N} : m < n\} \rightarrow A$ .

$\langle 3 \rangle 3$ . LET:  $g : \{m \in \mathbb{N} : m < n + 1\} \rightarrow A$  be the function

$$g(m) = \begin{cases} f(m) & \text{if } m < n \\ \rho(f) & \text{if } m = n \end{cases}$$

$\langle 3 \rangle 4$ .  $g$  is acceptable.

- <1>3. If  $g : B \rightarrow A$  and  $h : C \rightarrow A$  are acceptable, then  $g$  and  $h$  agree on  $B \cap C$ .  
 <1>4. Define  $g : \mathbb{N} \rightarrow A$  by:  $g(n) = a$  iff there exists an acceptable  $h : \{m \in \mathbb{N} : m < n + 1\}$  such that  $h(n) = a$ .  
 <1>5.  $g$  is acceptable.  
 <1>6. If  $g' : \mathbb{N} \rightarrow A$  is acceptable then  $g' = g$ .  
 $\square$

## 2.18 Finite and Infinite Sets

**Definition 2.18.1** (Finite). A set  $A$  is *finite* iff there exists  $n \in \mathbb{N}$  such that  $A \approx \{m \in \mathbb{N} : m < n\}$ . In this case, we say  $A$  has *cardinality*  $n$ .

**Proposition 2.18.2.** Let  $n \in \mathbb{N}$ . Let  $A$  be a set. Let  $a_0 \in A$ . Then  $A \approx \{m \in \mathbb{N} : m < n + 1\}$  if and only if  $A - \{a_0\} \approx \{m \in \mathbb{N} : m < n\}$ .

**Theorem 2.18.3.** Let  $A$  be a set. Suppose that  $A \approx \{m \in \mathbb{N} : m < n\}$ . Let  $B$  be a proper subset of  $A$ . Then  $B \not\approx \{m \in \mathbb{N} : m < n\}$  but there exists  $m < n$  such that  $B \approx \{k \in \mathbb{N} : k < m\}$ .

PROOF:

- <1>1. LET:  $P[n]$  be the property: for every set  $A$ , if  $A \approx \{m \in \mathbb{N} : m < n\}$ , then for every proper subset  $B$  of  $A$ , we have  $B \not\approx \{m \in \mathbb{N} : m < n\}$  but there exists  $m < n$  such that  $B \approx \{k \in \mathbb{N} : k < m\}$ .  
 <1>2.  $P[0]$   
 PROOF: If  $A \approx \{m \in \mathbb{N} : m < 0\}$  then  $A$  is empty and so has no proper subset.  
 <1>3. For every natural number  $n$ , if  $P[n]$  then  $P[n + 1]$ .  
 <2>1. LET:  $n$  be a natural number.  
 <2>2. ASSUME:  $P[n]$   
 <2>3. LET:  $A$  be a set.  
 <2>4. ASSUME:  $A \approx \{m \in \mathbb{N} : m < n + 1\}$   
 <2>5. LET:  $B$  be a proper subset of  $A$ .  
 <2>6. CASE:  $B = \emptyset$   
 PROOF: Then  $B \not\approx \{m \in \mathbb{N} : m < n + 1\}$  but  $B \approx \{k \in \mathbb{N} : k < 0\}$ .  
 <2>7. CASE:  $B \neq \emptyset$   
 <3>1. PICK  $b_0 \in B$   
 <3>2.  $A - \{b_0\} \approx \{m \in \mathbb{N} : m < n\}$   
 <3>3.  $B - \{b_0\}$  is a proper subset of  $A - \{b_0\}$   
 <3>4.  $B - \{b_0\} \not\approx \{m \in \mathbb{N} : m < n\}$   
 <3>5.  $B \approx \{m \in \mathbb{N} : m < n + 1\}$   
 <3>6. PICK  $m < n$  such that  $B - \{b_0\} \approx \{k \in \mathbb{N} : k < m\}$   
 <3>7.  $m + 1 < n + 1$   
 <3>8.  $B \approx \{k \in \mathbb{N} : k < m + 1\}$   
 $\square$

**Corollary 2.18.3.1.** If  $A$  is finite then there is no bijection between  $A$  and a proper subset of  $A$ .

**Corollary 2.18.3.2.**  $\mathbb{N}$  is infinite.

**Corollary 2.18.3.3.** The cardinality of a finite set is unique.

**Corollary 2.18.3.4.** A subset of a finite set is finite.

**Corollary 2.18.3.5.** If  $A$  is finite and  $B$  is a proper subset of  $A$  then  $|B| < |A|$ .

**Corollary 2.18.3.6.** Let  $A$  be a set. Then the following are equivalent:

1.  $A$  is finite.
2. There exists a surjection from an initial segment of  $\mathbb{N}$  onto  $A$ .
3. There exists an injection from  $A$  to an initial segment of  $\mathbb{N}$ .

**Corollary 2.18.3.7.** A finite union of finite sets is finite.

**Corollary 2.18.3.8.** A finite Cartesian product of finite sets is finite.

**Theorem 2.18.4.** Let  $A$  be a set. The following are equivalent:

1. There exists an injective function  $\mathbb{N} \rightarrow A$ .
2. There exists a bijection between  $A$  and a proper subset of  $A$ .
3.  $A$  is infinite.

PROOF:

$\langle 1 \rangle 1. 1 \Rightarrow 2$

$\langle 2 \rangle 1.$  LET:  $f : \mathbb{N} \rightarrow A$  be injective.

$\langle 2 \rangle 2.$  LET:  $s : \mathbb{N} \approx \mathbb{N} - \{0\}$  be the function  $s(n) = n + 1$ .

$\langle 2 \rangle 3.$   $f \circ s \circ f^{-1} : A \approx A - \{f(0)\}$

$\langle 1 \rangle 2. 2 \Rightarrow 3$

PROOF: Corollary 2.18.3.1.

$\langle 1 \rangle 3. 3 \Rightarrow 1$

PROOF: Choose a function  $f : \mathbb{N} \rightarrow A$  such that  $f(n) \in A - \{f(m) : m < n\}$  for all  $n$ .

□

## 2.19 Countable Sets

**Definition 2.19.1** (Countable). A set  $A$  is *countably infinite* iff  $A \approx \mathbb{N}$ .

**Proposition 2.19.2.**  $\mathbb{N} \times \mathbb{N}$  is countably infinite.

PROOF: Define  $f : \mathbb{N} \times \mathbb{N} \approx \{(x, y) \in \mathbb{N} \times \mathbb{N} : y \leq x\}$  by

$$f(x, y) = (x + y, y)$$

Define  $g : \{(x, y) \in \mathbb{N} \times \mathbb{N} : y \leq x\} \approx \mathbb{N}$  by

$$g(x, y) = x(x - 1)/2 + y. \quad \square$$



**Proposition 2.19.3.** *Every infinite subset of  $\mathbb{N}$  is countably infinite.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $C$  be an infinite subset of  $\mathbb{N}$

$\langle 1 \rangle 2$ . Define  $h : \mathbb{Z} \rightarrow C$  by recursion thus:  $h(n)$  is the smallest element of  $C - \{h(m) : m < n\}$ .

$\langle 1 \rangle 3$ .  $h$  is injective.

PROOF: If  $m < n$  then  $h(m) \neq h(n)$  because  $h(n) \in C - \{h(m) : m < n\}$ .

$\langle 1 \rangle 4$ .  $h$  is surjective.

$\langle 2 \rangle 1$ . For all  $n \in \mathbb{N}$  we have  $n \leq h(n)$ .

$\langle 2 \rangle 2$ . LET:  $c \in C$

$\langle 2 \rangle 3$ .  $c \leq h(c)$

$\langle 2 \rangle 4$ . LET:  $n$  be least such that  $c \leq h(n)$

$\langle 2 \rangle 5$ .  $c \in C - \{h(m) : m < n\}$

$\langle 2 \rangle 6$ .  $h(n) \leq c$

$\langle 2 \rangle 7$ .  $h(n) = c$

□

**Definition 2.19.4** (Countable). A set is *countable* iff it is either finite or countably infinite; otherwise it is *uncountable*.

**Proposition 2.19.5.** *Let  $B$  be a nonempty set. Then the following are equivalent.*

1.  $B$  is countable.
2. There exists a surjection  $\mathbb{N} \twoheadrightarrow B$ .
3. There exists an injection  $B \hookrightarrow \mathbb{N}$ .

PROOF:

$\langle 1 \rangle 1$ .  $1 \Rightarrow 2$

$\langle 2 \rangle 1$ . ASSUME:  $B$  is countable.

$\langle 2 \rangle 2$ . CASE:  $B$  is finite.

$\langle 3 \rangle 1$ . PICK a natural number  $n$  and bijection  $f : \{m \in \mathbb{N} : m < n\} \approx B$

$\langle 3 \rangle 2$ . PICK  $b \in B$

$\langle 3 \rangle 3$ . Extend  $f$  to a surjection  $g : \mathbb{N} \twoheadrightarrow B$  by setting  $g(m) = b$  for  $m \geq n$ .

$\langle 2 \rangle 3$ . CASE:  $B$  is countably infinite.

PROOF: Then there exists a bijection  $\mathbb{N} \approx B$ .

$\langle 1 \rangle 2$ .  $2 \Rightarrow 3$

PROOF: Given a surjection  $f : \mathbb{N} \twoheadrightarrow B$ , define  $g : B \hookrightarrow \mathbb{N}$  by  $g(b)$  is the smallest number such that  $f(g(b)) = b$ .

$\langle 1 \rangle 3$ .  $3 \Rightarrow 1$

$\langle 2 \rangle 1$ . LET:  $f : B \hookrightarrow \mathbb{N}$  be injective.

$\langle 2 \rangle 2$ .  $f(B)$  is countable.

$\langle 2 \rangle 3$ .  $B \approx f(B)$

$\langle 2 \rangle 4$ .  $B$  is countable.

□

**Corollary 2.19.5.1.** *A subset of a countable set is countable.*

**Corollary 2.19.5.2.**  $\mathbb{N} \times \mathbb{N}$  *is countably infinite.*

PROOF: The function that maps  $(m, n)$  to  $2^m 3^n$  is injective.  $\square$

**Corollary 2.19.5.3.** *The Cartesian product of two countable sets is countable.*

**Theorem 2.19.6.** *A countable union of countable sets is countable.*

PROOF:

$\langle 1 \rangle 1.$  LET:  $A$  be a set.

$\langle 1 \rangle 2.$  LET:  $\mathcal{B} \subseteq \mathcal{P}A$  be a countable set of countable sets such that  $\bigcup \mathcal{B} = A$

$\langle 1 \rangle 3.$  PICK a surjection  $B : \mathbb{N} \twoheadrightarrow \mathcal{B}$

$\langle 1 \rangle 4.$  ASSUME: w.l.o.g. each  $B(n)$  is nonempty.

$\langle 1 \rangle 5.$  For  $n \in \mathbb{N}$ , PICK a surjective function  $g_n : \mathbb{N} \twoheadrightarrow B(n)$

$\langle 1 \rangle 6.$  LET:  $h : \mathbb{N} \times \mathbb{N} \rightarrow A$  be the function  $h(m, n) = g_m(n)$

$\langle 1 \rangle 7.$   $h$  is surjective.

$\square$

**Theorem 2.19.7.**  $2^{\mathbb{N}}$  *is uncountable.*

PROOF:

$\langle 1 \rangle 1.$  LET:  $f : \mathbb{N} \rightarrow 2^{\mathbb{N}}$

PROVE:  $f$  is not surjective.

$\langle 1 \rangle 2.$  Define  $g : \mathbb{N} \rightarrow 2$  by  $g(n) = 1 - f(n)(n)$ .

$\langle 1 \rangle 3.$  For all  $n \in \mathbb{N}$  we have  $g(n) \neq f(n)(n)$ .

$\langle 1 \rangle 4.$  For all  $n \in \mathbb{N}$  we have  $g \neq f(n)$ .

$\square$

**Theorem 2.19.8.** *For any set  $A$ , there is no surjective function  $A \rightarrow \mathcal{P}A$ .*

PROOF:

$\langle 1 \rangle 1.$  LET:  $f : A \rightarrow \mathcal{P}A$

$\langle 1 \rangle 2.$  LET:  $S = \{x \in A : x \notin f(x)\}$

$\langle 1 \rangle 3.$  For all  $a \in A$  we have  $S \neq f(a)$

PROOF: We have  $a \in S$  if and only if  $a \notin f(a)$ .

$\square$

**Corollary 2.19.8.1.** *For any set  $A$ , there is no injective function  $\mathcal{P}A \rightarrow A$ .*

## Chapter 3

# Order Theory

### 3.1 Relations

**Definition 3.1.1** (Reflexive). A relation  $R \subseteq A \times A$  is *reflexive* iff, for all  $a \in A$ , we have  $(a, a) \in R$ .

**Definition 3.1.2** (Antisymmetric). A relation  $R \subseteq A \times A$  is *antisymmetric* iff, for all  $a, b \in A$ , if  $(a, b) \in R$  and  $(b, a) \in R$  then  $a = b$ .

**Definition 3.1.3** (Transitive). A relation  $R \subseteq A \times A$  is *transitive* iff, for all  $a, b, c \in A$ , if  $(a, b) \in R$  and  $(b, c) \in R$  then  $(a, c) \in R$ .

**Definition 3.1.4** (Partial Order). A *partial order* on a set  $A$  is a relation on  $A$  that is reflexive, antisymmetric and transitive.

We say  $(A, \leq)$  is a *partially ordered set* or *poset* iff  $\leq$  is a partial order on  $A$ .

**Definition 3.1.5** (Greatest). Let  $A$  be a poset and  $a \in A$ . Then  $a$  is the *greatest* element iff  $\forall x \in A. x \leq a$ .

**Definition 3.1.6** (Least). Let  $A$  be a poset and  $a \in A$ . Then  $a$  is the *least* element iff  $\forall x \in A. a \leq x$ .

**Definition 3.1.7** (Upper Bound). Let  $A$  be a poset,  $S \subseteq A$ , and  $u \in A$ . Then  $u$  is an *upper bound* for  $S$  iff  $\forall x \in S. x \leq u$ . We say  $S$  is *bounded above* iff it has an upper bound.

**Definition 3.1.8** (Lower Bound). Let  $A$  be a poset,  $S \subseteq A$ , and  $l \in A$ . Then  $l$  is a *lower bound* for  $S$  iff  $\forall x \in S. l \leq x$ . We say  $S$  is *bounded below* iff it has a lower bound.

**Definition 3.1.9** (Supremum). Let  $A$  be a poset,  $S \subseteq A$  and  $s \in A$ . Then  $s$  is the *supremum* or *least upper bound* for  $S$  iff  $s$  is the least element in the sub-poset of upper bounds for  $A$ .

**Definition 3.1.10** (Supremum). Let  $A$  be a poset,  $S \subseteq A$  and  $i \in A$ . Then  $i$  is the *infimum* or *greatest lower bound* for  $S$  iff  $i$  is the greatest element in the sub-poset of lower bounds for  $A$ .

**Definition 3.1.11** (Least Upper Bound Property). A poset  $A$  has the *least upper bound property* iff every nonempty subset of  $A$  that is bounded above has a least upper bound.

**Proposition 3.1.12.** *Let  $A$  be a poset. Then  $A$  has the least upper bound property if and only if every nonempty subset of  $A$  that is bounded below has a greatest lower bound.*

PROOF:

$\langle 1 \rangle 1$ . If  $A$  has the least upper bound property then every subset of  $A$  that is bounded below has a greatest lower bound.

$\langle 2 \rangle 1$ . ASSUME:  $A$  has the least upper bound property.

$\langle 2 \rangle 2$ . LET:  $S \subseteq A$  be nonempty and bounded below.

$\langle 2 \rangle 3$ . LET:  $L$  be the set of lower bounds of  $S$ .

$\langle 2 \rangle 4$ .  $L$  is nonempty.

PROOF: Because  $S$  is bounded below.

$\langle 2 \rangle 5$ .  $L$  is bounded above.

PROOF: Pick an element  $s \in S$ . Then  $s$  is an upper bound for  $L$ .

$\langle 2 \rangle 6$ . LET:  $s$  be the supremum of  $L$ .

$\langle 2 \rangle 7$ .  $s$  is the greatest lower bound of  $S$ .

$\langle 3 \rangle 1$ .  $s$  is a lower bound of  $S$ .

$\langle 4 \rangle 1$ . LET:  $x \in S$

$\langle 4 \rangle 2$ .  $x$  is an upper bound for  $L$ .

$\langle 4 \rangle 3$ .  $s \leq x$

$\langle 3 \rangle 2$ . For any lower bound  $l$  of  $S$  we have  $l \leq s$ .

PROOF: Immediate from  $\langle 2 \rangle 6$ .

$\langle 1 \rangle 2$ . If every subset of  $A$  that is bounded below has a greatest lower bound, then  $A$  has the least upper bound property.

PROOF: Dual.

□

### 3.1.1 Strict Partial Orders

**Definition 3.1.13** (Strict Partial Order). A *strict partial order* on a set  $A$  is a relation on  $A$  that is irreflexive and transitive.

**Proposition 3.1.14.** 1. If  $\leq$  is a partial order on  $A$  then  $<$  is a strict partial order on  $A$ , where  $x < y$  iff  $x \leq y \wedge x \neq y$ .

2. If  $<$  is a strict partial order on  $A$  then  $\leq$  is a partial order on  $A$ , where  $x \leq y$  iff  $x < y \vee x = y$ .

3. These two relations are inverses of one another.

### 3.1.2 Linear Orders

**Definition 3.1.15** (Linear Order). A *linear order* on a set  $A$  is a partial order  $\leq$  on  $A$  such that, for all  $x, y \in A$ , we have  $x \leq y$  or  $y \leq x$ .

A *linearly ordered set* is a pair  $(X, \leq)$  such that  $X$  is a set and  $\leq$  is a linear order on  $X$ .

**Definition 3.1.16** (Open Interval). Let  $X$  be a linearly ordered set and  $a, b \in X$ . The *open interval*  $(a, b)$  is the set

$$\{x \in X : a < x < b\} .$$

**Definition 3.1.17** (Immediate Predecessor, Immediate Successor). Let  $X$  be a linearly ordered set and  $a, b \in X$ . Then  $b$  is the (*immediate*) *successor* of  $a$ , and  $a$  is the (*immediate*) *predecessor* of  $b$ , iff  $a < b$  and there is no  $x$  such that  $a < x < b$ .

**Definition 3.1.18** (Dictionary Order). Let  $A$  and  $B$  be linearly ordered sets. The *dictionary order* on  $A \times B$  is the order defined by

$$(a, b) < (a', b') \Leftrightarrow a < a' \vee (a = a' \wedge b < b') .$$

**Theorem 3.1.19** (Maximum Principle). *Every poset has a maximal linearly ordered subset.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $(A, \leq)$  be a poset.

$\langle 1 \rangle 2$ . PICK a well ordering  $\leq$  of  $A$ .

PROOF: Well Ordering Theorem.

$\langle 1 \rangle 3$ . LET:  $h : A \rightarrow 2$  be the function defined by  $\leq$ -recursion thus:

$$h(a) = \begin{cases} 1 & \text{if } a \text{ is } \leq\text{-comparable with every } b < a \text{ such that } h(b) = 1 \\ 0 & \text{otherwise} \end{cases}$$

$\langle 1 \rangle 4$ . LET:  $B = \{x \in A : h(x) = 1\}$

PROVE:  $B$  is a maximal subset linearly ordered by  $\leq$ .

$\langle 1 \rangle 5$ .  $B$  is linearly ordered by  $\leq$ .

$\langle 2 \rangle 1$ . LET:  $x, y \in B$

$\langle 2 \rangle 2$ . ASSUME: w.l.o.g.  $x \leq y$

$\langle 2 \rangle 3$ .  $y$  is  $\leq$ -comparable with  $x$

$\langle 1 \rangle 6$ . For any subset  $C \subseteq A$  linearly ordered by  $\leq$ , if  $B \subseteq C$  then  $B = C$ .

$\langle 2 \rangle 1$ . LET:  $x \in C$

$\langle 2 \rangle 2$ .  $x$  is comparable with every  $y \leq x$  such that  $h(y) = 1$

$\langle 2 \rangle 3$ .  $x \in B$

□

**Theorem 3.1.20** (Zorn's Lemma). *Let  $A$  be a poset. If every linearly ordered subset of  $A$  is bounded above, then  $A$  has a maximal element.*

PROOF:

⟨1⟩1. PICK a maximal linearly ordered subset  $B$  of  $A$ .

PROOF: Maximal Principle

⟨1⟩2. PICK an upper bound  $c$  for  $B$ .

PROVE:  $c$  is maximal.

⟨1⟩3. LET:  $x \in A$

⟨1⟩4. ASSUME:  $c \leq x$

PROVE:  $x = c$

⟨1⟩5.  $x$  is an upper bound for  $B$ .

⟨1⟩6.  $x \in B$

PROOF: By the maximality of  $B$ , since  $B \cup \{x\}$  is linearly ordered.

⟨1⟩7.  $x \leq c$

PROOF: ⟨1⟩2

⟨1⟩8.  $x = c$

□

**Corollary 3.1.20.1** (Kuratowski's Lemma). *Let  $\mathcal{A} \subseteq \mathcal{P}X$ . Suppose that, for every subset  $\mathcal{B} \subseteq \mathcal{A}$  that is linearly ordered by inclusion, we have  $\bigcup \mathcal{B} \in \mathcal{A}$ . Then  $\mathcal{A}$  has a maximal element.*

**Definition 3.1.21** (Closed Interval). Let  $X$  be a linearly ordered set. Let  $a, b \in X$  with  $a < b$ . The *closed interval*  $[a, b]$  is

$$[a, b] := \{x \in X : a \leq x \leq b\} .$$

**Definition 3.1.22** (Half-Open Interval). Let  $X$  be a linearly ordered set. Let  $a, b \in X$  with  $a < b$ . The *half-open intervals*  $(a, b]$  and  $[a, b)$  are defined by

$$(a, b] := \{x \in X : a < x \leq b\}$$

$$[a, b) := \{x \in X : a \leq x < b\}$$

**Definition 3.1.23** (Open Ray). Let  $X$  be a linearly ordered set and  $a \in X$ . The *open rays*  $(a, +\infty)$  and  $(-\infty, a)$  are defined by:

$$(a, +\infty) := \{x \in X : a < x\}$$

$$(-\infty, a) := \{x \in X : x < a\}$$

**Definition 3.1.24** (Closed Ray). Let  $X$  be a linearly ordered set and  $a \in X$ . The *closed rays*  $[a, +\infty)$  and  $(-\infty, a]$  are defined by:

$$[a, +\infty) := \{x \in X : a \leq x\}$$

$$(-\infty, a] := \{x \in X : x \leq a\}$$

**Definition 3.1.25** (Convex). Let  $X$  be a linearly ordered set and  $Y \subseteq X$ . Then  $Y$  is *convex* iff, for all  $a, b \in Y$  and  $c \in X$ , if  $a < c < b$  then  $c \in Y$ .

### 3.1.3 Sets of Finite Type

**Definition 3.1.26** (Finite Type). Let  $X$  be a set. Let  $\mathcal{A} \subseteq \mathcal{P}X$ . Then  $\mathcal{A}$  is of *finite type* if and only if, for any  $B \subseteq X$ , we have  $B \in \mathcal{A}$  if and only if every finite subset of  $B$  is in  $\mathcal{A}$ .

**Proposition 3.1.27** (Tukey's Lemma). *Let  $X$  be a set. Let  $\mathcal{A} \subseteq \mathcal{P}X$ . If  $\mathcal{A}$  is of finite type, then  $\mathcal{A}$  has a maximal element.*

PROOF:

$\langle 1 \rangle 1$ . For every subset  $\mathcal{B} \subseteq \mathcal{A}$  that is linearly ordered by inclusion, we have  $\bigcup \mathcal{B} \in \mathcal{A}$ .

$\langle 2 \rangle 1$ . LET:  $\mathcal{B} \subseteq \mathcal{A}$

$\langle 2 \rangle 2$ . ASSUME:  $\mathcal{B}$  is linearly ordered by inclusion.

$\langle 2 \rangle 3$ . Every finite subset of  $\bigcup \mathcal{B}$  is in  $\mathcal{A}$

$\langle 2 \rangle 4$ .  $\bigcup \mathcal{B} \in \mathcal{A}$

$\langle 1 \rangle 2$ . Q.E.D.

PROOF: Kuratowski's Lemma.

□

## 3.2 Linear Continua

**Definition 3.2.1** (Linear Continuum). A *linear continuum* is a linearly ordered set with more than one element that is dense and has the least upper bound property.

**Proposition 3.2.2.** *Every convex subset of a linear continuum with more than one element is a linear continuum.*

PROOF: Easy. □

**Corollary 3.2.2.1.** *Every interval and ray in a linear continuum is a linear continuum.*

## 3.3 Well Orders

**Definition 3.3.1** (Well Ordered Set). A *well ordered set* is a linearly ordered set such that every nonempty subset has a least element.

**Proposition 3.3.2.** *Any subset of a well ordered set is well ordered.*

**Proposition 3.3.3.** *The product of two well ordered sets is well ordered under the dictionary order.*

**Theorem 3.3.4** (Well Ordering Theorem). *Every set has a well ordering.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $X$  be a set.

$\langle 1 \rangle 2$ . PICK a choice function  $c : \mathcal{P}X - \{\emptyset\} \rightarrow X$

$\langle 1 \rangle 3$ . Define a *tower* to be a pair  $(T, <)$  where  $T \subseteq X$ ,  $<$  is a well ordering of  $T$ , and

$$\forall x \in T. x = c(X - \{y \in T : y < x\}) .$$

$\langle 1 \rangle 4$ . Given two towers, either they are equal or one is a section of the other.

$\langle 2 \rangle 1$ . LET:  $(T_1, <_1)$  and  $(T_2, <_2)$  be towers.

$\langle 2 \rangle 2$ . ASSUME: w.l.o.g. there exists a strictly monotone function  $h : T_1 \rightarrow T_2$

$\langle 2 \rangle 3$ .  $h(T_1)$  is either  $T_2$  or a section of  $T_2$

PROOF: Proposition 3.3.11.

$\langle 2 \rangle 4$ .  $\forall x \in T_1. h(x) = x$

$\langle 3 \rangle 1$ . LET:  $x \in T_1$

$\langle 3 \rangle 2$ . ASSUME: as transfinite induction hypothesis  $\forall y < x. h(y) = y$

$\langle 3 \rangle 3$ .  $h(x)$  is the least element of  $T_2 - \{h(y) \in T_1 : y < x\}$

$\langle 3 \rangle 4$ .  $h(x)$  is the least element of  $T_2 - \{y \in T_1 : y < x\}$

PROOF:  $\langle 3 \rangle 2$

$\langle 3 \rangle 5$ .  $h(x) = x$

PROOF:

$$h(x) = c(X - \{y \in T_2 : y < h(x)\}) \quad (\langle 1 \rangle 3)$$

$$= c(X - \{y \in T_2 : y < x\}) \quad (\langle 3 \rangle 4)$$

$$= c(X - \{y \in T_1 : y < x\}) \quad (\langle 3 \rangle 2)$$

$$= x \quad (\langle 1 \rangle 3)$$

$\langle 1 \rangle 5$ . If  $(T, <)$  is a tower and  $T \neq X$ , then there exists a tower of which  $(T, <)$  is a section.

PROOF: Let  $T_1 = T \cup \{c(T)\}$  and  $<_1$  be the extension of  $<$  such that  $x < c(T)$  for all  $x \in T$ .

$\langle 1 \rangle 6$ . LET:  $\mathbf{T} = \bigcup \{T : \exists R. (T, R) \text{ is a tower}\}$  and  $\mathbf{R} = \bigcup \{R : \exists T. (T, R) \text{ is a tower}\}$

$\langle 1 \rangle 7$ .  $(\mathbf{T}, \mathbf{R})$  is a tower.

$\langle 2 \rangle 1$ .  $\mathbf{R}$  is irreflexive.

PROOF: Since for every tower  $(T, <)$  we have  $<$  is irreflexive.

$\langle 2 \rangle 2$ .  $\mathbf{R}$  is transitive.

$\langle 3 \rangle 1$ . ASSUME:  $x\mathbf{R}y$  and  $y\mathbf{R}z$

$\langle 3 \rangle 2$ . PICK towers  $(T_1, <_1)$  and  $(T_2, <_2)$  such that  $x <_1 y$  and  $y <_2 z$

$\langle 3 \rangle 3$ . ASSUME: w.l.o.g.  $(T_1, <_1)$  is either  $(T_2, <_2)$  or a section of  $(T_2, <_2)$

$\langle 3 \rangle 4$ .  $x <_2 y <_2 z$

$\langle 3 \rangle 5$ .  $x <_2 z$

$\langle 3 \rangle 6$ .  $x\mathbf{R}z$

$\langle 2 \rangle 3$ . For all  $x, y \in \mathbf{T}$ , either  $x\mathbf{R}y$  or  $x = y$  or  $y\mathbf{R}x$

PROOF: There exists a tower that has both  $x$  and  $y$ .

$\langle 2 \rangle 4$ . Every nonempty subset of  $\mathbf{T}$  has an  $\mathbf{R}$ -least element.

$\langle 3 \rangle 1$ . LET:  $A \subseteq \mathbf{T}$  be nonempty.

$\langle 3 \rangle 2$ . PICK  $a \in A$

$\langle 3 \rangle 3$ . PICK a tower  $(T, <)$  such that  $a \in T$ .

$\langle 3 \rangle 4$ . LET:  $b$  be the  $<$ -least element of  $A \cap T$

PROVE:  $b$  is  $\mathbf{R}$ -least in  $A$ .

$\langle 3 \rangle 5$ . LET:  $x \in A$

$\langle 3 \rangle 6$ . Etc.

$\langle 2 \rangle 5$ .  $\forall x \in \mathbf{T}. x = c(X - \{y \in \mathbf{T} : y\mathbf{R}x\})$

$\langle 1 \rangle 8$ .  $\mathbf{T} = X$

$\langle 1 \rangle 9$ .  $\mathbf{R}$  is a well ordering of  $X$ .

□



**Proposition 3.3.5.** *There exists a well-ordered set with a largest element  $\Omega$  such that  $(-\infty, \Omega)$  is uncountable but, for all  $\alpha < \Omega$ , we have  $(-\infty, \alpha)$  is countable.*

PROOF:

- $\langle 1 \rangle 1$ . PICK an uncountable well ordered set  $B$ .
- $\langle 1 \rangle 2$ . LET:  $C = 2 \times B$  under the dictionary order.
- $\langle 1 \rangle 3$ . LET:  $\Omega$  be the least element of  $C$  such that  $(-\infty, \Omega)$  is uncountable.
- $\langle 1 \rangle 4$ . LET:  $A = (-\infty, \Omega]$
- $\langle 1 \rangle 5$ .  $A$  is a well ordered set with largest element  $\Omega$  such that  $(-\infty, \Omega)$  is uncountable but, for all  $\alpha < \Omega$ , we have  $(-\infty, \alpha)$  is countable.

□

**Proposition 3.3.6.** *Every well ordered set has the least upper bound property.*

PROOF: For any subset that is bounded above, the set of upper bounds is nonempty, hence has a least element. □

**Proposition 3.3.7.** *In a well ordered set, every element that is not greatest has a successor.*

PROOF: If  $a$  is not greatest, then  $\{x : x > a\}$  is nonempty, hence has a least element. □

**Theorem 3.3.8** (Transfinite Induction). *Let  $J$  be a well ordered set. Let  $S \subseteq J$ . Assume that, for every  $\alpha \in J$ , if  $\forall x < \alpha. x \in S$  then  $\alpha \in S$ . Then  $S = J$ .*

PROOF: Otherwise  $J - S$  would be a nonempty subset of  $J$  with no least element. □

**Proposition 3.3.9.** *Let  $I$  be a well ordered set. Let  $\{A_i\}_{i \in I}$  be a family of well ordered sets. Define  $<$  on  $\coprod_{i \in I} A_i$  by:  $\kappa_i(a) < \kappa_j(b)$  iff either  $i < j$ , or  $i = j$  and  $a < b$  in  $A_i$ . Then  $<$  well orders  $\coprod_{i \in I} A_i$ .*

PROOF: Easy. □

**Theorem 3.3.10** (Principle of Transfinite Recursion). *Let  $J$  be a well ordered set. Let  $C$  be a set. Let  $\mathcal{F}$  be the set of all functions from a section of  $J$  into  $C$ . Let  $\rho : \mathcal{F} \rightarrow C$ . Then there exists a unique function  $h : J \rightarrow C$  such that, for all  $\alpha \in J$ , we have*

$$h(\alpha) = \rho(h \upharpoonright (-\infty, \alpha)) .$$

PROOF:

- $\langle 1 \rangle 1$ . For a function  $h$  mapping either a section of  $J$  or all of  $J$  into  $C$ , let us say  $h$  is *acceptable* iff, for all  $x \in \text{dom } h$ , we have  $(-\infty, x) \subseteq \text{dom } h$  and  $h(x) = \rho(h \upharpoonright (-\infty, x))$ .
- $\langle 1 \rangle 2$ . If  $h$  and  $k$  are acceptable functions then  $h(x) = k(x)$  for all  $x$  in both domains.
- $\langle 2 \rangle 1$ . LET:  $x \in J$

- $\langle 2 \rangle 2$ . ASSUME: as transfinite induction hypothesis that, for all  $y < x$  and any acceptable functions  $h$  and  $k$  with  $y \in \text{dom } h \cap \text{dom } k$ , we have  $h(y) = k(y)$   
 $\langle 2 \rangle 3$ . LET:  $h$  and  $k$  be acceptable functions with  $x \in \text{dom } h \cap \text{dom } k$   
 $\langle 2 \rangle 4$ .  $h \upharpoonright (-\infty, x) = k \upharpoonright (-\infty, x)$   
 PROOF: By  $\langle 2 \rangle 2$ .  
 $\langle 2 \rangle 5$ .  $h(x) = k(x)$   
 PROOF: By  $\langle 2 \rangle 3$ , each is the least element of the set in  $\langle 2 \rangle 4$ .  
 $\langle 1 \rangle 3$ . For  $\alpha \in J$ , if there exists an acceptable function  $(-\infty, \alpha) \rightarrow C$ , then there exists an acceptable function  $(-\infty, \alpha] \rightarrow C$ .  
 $\langle 2 \rangle 1$ . LET:  $\alpha \in J$   
 $\langle 2 \rangle 2$ . LET:  $f : (-\infty, \alpha) \rightarrow C$  be acceptable.  
 $\langle 2 \rangle 3$ . LET:  $g : (-\infty, \alpha] \rightarrow C$  be the function given by
 
$$g(x) = \begin{cases} f(x) & \text{if } x < \alpha \\ \rho(f) & \text{if } x = \alpha \end{cases}$$
 $\langle 2 \rangle 4$ .  $g$  is acceptable.  
 $\langle 1 \rangle 4$ . Let  $K \subseteq J$ . Assume that, for all  $\alpha \in K$ , there exists an acceptable function  $(-\infty, \alpha) \rightarrow C$ . Then there exists an acceptable function  $\bigcup_{\alpha \in K} (-\infty, \alpha) \rightarrow C$ .  
 $\langle 2 \rangle 1$ . Define  $f : \bigcup_{\alpha \in K} (-\infty, \alpha) \rightarrow C$  by:  $f(x) = y$  iff there exists  $\alpha \in K$  and  $g : (-\infty, \alpha) \rightarrow C$  acceptable such that  $g(x) = y$ .  
 $\langle 1 \rangle 5$ . For every  $\beta \in J$ , there exists an acceptable function  $(-\infty, \beta) \rightarrow C$   
 $\langle 2 \rangle 1$ . LET:  $\beta \in J$   
 $\langle 2 \rangle 2$ . ASSUME: as transfinite induction hypothesis that, for all  $\alpha < \beta$ , there exists an acceptable function  $(-\infty, \alpha) \rightarrow C$   
 $\langle 2 \rangle 3$ . CASE:  $\beta$  has a predecessor  
 $\langle 3 \rangle 1$ . LET:  $\alpha$  be the predecessor of  $\beta$ .  
 $\langle 3 \rangle 2$ . There exists an acceptable function  $(-\infty, \alpha) \rightarrow C$ .  
 $\langle 3 \rangle 3$ . There exists an acceptable function  $(-\infty, \beta) \rightarrow C$ .  
 PROOF: By  $\langle 1 \rangle 3$  since  $(-\infty, \beta) = (-\infty, \alpha]$ .  
 $\langle 2 \rangle 4$ . CASE:  $\beta$  has no predecessor.  
 PROOF: The result follows by  $\langle 1 \rangle 4$  since  $(-\infty, \beta) = \bigcup_{\alpha < \beta} (-\infty, \alpha)$ .  
 $\langle 1 \rangle 6$ . There exists an acceptable function  $J \rightarrow C$ .  
 $\langle 2 \rangle 1$ . CASE:  $J$  has a greatest element.  
 $\langle 3 \rangle 1$ . LET:  $g$  be greatest.  
 $\langle 3 \rangle 2$ . There exists an acceptable function  $(-\infty, g) \rightarrow C$ .  
 PROOF:  $\langle 1 \rangle 5$   
 $\langle 3 \rangle 3$ . There exists an acceptable function  $J \rightarrow C$ .  
 PROOF: By  $\langle 1 \rangle 3$  since  $J = (-\infty, g]$ .  
 $\langle 2 \rangle 2$ . CASE:  $J$  has no greatest element.  
 PROOF: By  $\langle 1 \rangle 4$  since  $J = \bigcup_{\alpha \in J} (-\infty, \alpha)$ .

□

**Corollary 3.3.10.1** (Cardinal Comparability). *Let  $A$  and  $B$  be sets. Then either  $A \leq B$  or  $B \leq A$ .*

PROOF: Choose well orderings of  $A$  and  $B$ . Then either there exists a surjection  $A \twoheadrightarrow B$ , or there exists an injective function  $h : A \rightarrow B$  defined by transfinite recursion by  $h(x)$  is the least element of  $B - h((-\infty, x))$ .  $\square$

**Proposition 3.3.11.** *Let  $J$  and  $E$  be well ordered sets. Let  $h : J \rightarrow E$ . Then the following are equivalent.*

1.  $h$  is strictly monotone and  $h(J)$  is either  $E$  or a section of  $E$ .
2. For all  $\alpha \in J$ , we have  $h(\alpha)$  is the least element of  $E - h((-\infty, \alpha))$ .

PROOF:

$\langle 1 \rangle 1. 1 \Rightarrow 2$

$\langle 2 \rangle 1.$  ASSUME: 1

$\langle 2 \rangle 2.$   $h(J)$  is closed downwards.

$\langle 2 \rangle 3.$  LET:  $\alpha \in J$

$\langle 2 \rangle 4.$   $h(\alpha) \in E - h((-\infty, \alpha))$

PROOF: If  $\beta < \alpha$  then  $h(\beta) < h(\alpha)$ .

$\langle 2 \rangle 5.$  For all  $y \in E - h((-\infty, \alpha))$  we have  $h(\alpha) \leq y$

$\langle 3 \rangle 1.$  ASSUME: for a contradiction  $y < h(\alpha)$

$\langle 3 \rangle 2.$   $y \in h(J)$

$\langle 3 \rangle 3.$  PICK  $\beta \in J$  such that  $h(\beta) = y$

$\langle 3 \rangle 4.$   $h(\beta) < h(\alpha)$

$\langle 3 \rangle 5.$   $\beta < \alpha$

$\langle 3 \rangle 6.$  Q.E.D.

PROOF: This contradicts the fact that  $y \notin h((-\infty, \alpha))$ .

$\langle 1 \rangle 2. 2 \Rightarrow 1$

$\langle 2 \rangle 1.$  ASSUME: 2

$\langle 2 \rangle 2.$   $h$  is strictly monotone.

$\langle 3 \rangle 1.$  LET:  $\alpha, \beta \in J$  with  $\alpha < \beta$

$\langle 3 \rangle 2.$   $h(\alpha) \neq h(\beta)$

PROOF: Because  $h(\beta) \in E - h((-\infty, \beta))$ .

$\langle 3 \rangle 3.$   $h(\alpha) \leq h(\beta)$

PROOF: Because  $h(\alpha)$  is least in  $E - h((-\infty, \alpha))$ .

$\langle 3 \rangle 4.$   $h(\alpha) < h(\beta)$

$\langle 2 \rangle 3.$   $h(J)$  is either  $E$  or a section of  $E$ .

$\langle 3 \rangle 1.$  ASSUME:  $h(J) \neq E$

$\langle 3 \rangle 2.$  LET:  $e$  be least in  $E - h(J)$

PROVE:  $h(J) = (-\infty, e)$

$\langle 3 \rangle 3.$   $h(J) \subseteq (-\infty, e)$

$\langle 4 \rangle 1.$  LET:  $\alpha \in J$

$\langle 4 \rangle 2.$   $h(\alpha) \neq e$

PROOF:  $e \notin h(J)$

$\langle 4 \rangle 3.$   $h(\alpha) \leq e$

PROOF: Since  $h(\alpha)$  is least in  $E - h((-\infty, \alpha))$ .

$\langle 4 \rangle 4.$   $h(\alpha) < e$

$\langle 3 \rangle 4.$   $(-\infty, e) \subseteq h(J)$

PROOF: If  $e' < e$  then  $e' \in h(J)$  by leastness of  $e$ .  
 $\square$

**Part III**

**Category Theory**



## Chapter 4

# Category Theory

### 4.1 Categories

**Definition 4.1.1.** A *category*  $\mathcal{C}$  consists of:

- a set  $\text{Ob}(\mathcal{C})$  of *objects*. We write  $A \in \mathcal{C}$  for  $A \in \text{Ob}(\mathcal{C})$ .
- for any objects  $X$  and  $Y$ , a set  $\mathcal{C}[X, Y]$  of *morphisms* from  $X$  to  $Y$ . We write  $f : X \rightarrow Y$  for  $f \in \mathcal{C}[X, Y]$ .
- for any objects  $X, Y$  and  $Z$ , a function  $\circ : \mathcal{C}[Y, Z] \times \mathcal{C}[X, Y] \rightarrow \mathcal{C}[X, Z]$ , called *composition*.

such that:

- Given  $f : X \rightarrow Y, g : Y \rightarrow Z$  and  $h : Z \rightarrow W$ , we have  $h \circ (g \circ f) = (h \circ g) \circ f$
- For any object  $X$ , there exists a morphism  $\text{id}_X : X \rightarrow X$ , the *identity morphism* on  $X$ , such that:
  - for any object  $Y$  and morphism  $f : Y \rightarrow X$  we have  $\text{id}_X \circ f = f$
  - for any object  $Y$  and morphism  $f : X \rightarrow Y$  we have  $f \circ \text{id}_X = f$

We write the composite of morphism  $f_1, \dots, f_n$  as  $f_n \circ \dots \circ f_1$ . This is unambiguous thanks to Associativity.

**Definition 4.1.2.** Let **Set** be the category of small sets and functions.

**Definition 4.1.3.** Let **LPos** be the category of linearly ordered sets and monotone functions.

**Proposition 4.1.4.** Any finite linearly ordered set is isomorphic to  $\{m \in \mathbb{N} : m < n\}$  for some  $n$ .

PROOF:

⟨1⟩1. Every finite nonempty linearly ordered set has a greatest element.

- $\langle 2 \rangle 1$ . LET:  $P[n]$  be the property: for any linearly ordered set  $A$ , if there exists a bijection  $A \approx \{m \in \mathbb{N} : m < n\}$  and  $A$  is nonempty then  $A$  has a greatest element.
- $\langle 2 \rangle 2$ .  $P[0]$   
 PROOF: Vacuous.
- $\langle 2 \rangle 3$ .  $\forall n \in \mathbb{N}. P[n] \Rightarrow P[n+1]$
- $\langle 3 \rangle 1$ . LET:  $n \in \mathbb{N}$
- $\langle 3 \rangle 2$ . ASSUME:  $P[n]$
- $\langle 3 \rangle 3$ . LET:  $A$  be a nonempty linearly ordered set.
- $\langle 3 \rangle 4$ . LET:  $f : A \approx \{m \in \mathbb{N} : m < n+1\}$
- $\langle 3 \rangle 5$ . LET:  $a = f^{-1}(n)$
- $\langle 3 \rangle 6$ .  $f \upharpoonright (A - \{a\}) : A - \{a\} \approx \{m \in \mathbb{N} : m < n\}$
- $\langle 3 \rangle 7$ . ASSUME: w.l.o.g.  $a$  is not greatest in  $A$ .
- $\langle 3 \rangle 8$ . LET:  $b$  be greatest in  $A - \{a\}$   
 PROOF:  $\langle 3 \rangle 2$
- $\langle 3 \rangle 9$ .  $b$  is greatest in  $A$ .
- $\langle 1 \rangle 2$ . LET:  $P[n]$  be the property: for any linearly ordered set  $A$ , if there exists a bijection  $A \approx \{m \in \mathbb{N} : m < n\}$  then there exists an isomorphism in **LPos**  $A \cong \{m \in \mathbb{N} : m < n\}$ .
- $\langle 1 \rangle 3$ .  $P[0]$   
 PROOF: If there exists a bijection  $A \approx \emptyset$  then  $A$  is empty and so the unique function  $A \rightarrow \emptyset$  is an order isomorphism.
- $\langle 1 \rangle 4$ . For every natural number  $n$ , if  $P[n]$  then  $P[n+1]$ .
- $\langle 2 \rangle 1$ . LET:  $n$  be a natural number.
- $\langle 2 \rangle 2$ . ASSUME:  $P[n]$
- $\langle 2 \rangle 3$ . LET:  $A$  be a linearly ordered set.
- $\langle 2 \rangle 4$ . ASSUME:  $A$  has  $n+1$  elements.
- $\langle 2 \rangle 5$ . LET:  $a$  be the greatest element in  $A$ .
- $\langle 2 \rangle 6$ . LET:  $f : A - \{a\} \cong \{m \in \mathbb{N} : m < n\}$  be an order isomorphism.  
 PROOF:  $\langle 2 \rangle 2$
- $\langle 2 \rangle 7$ . Define  $g : A \rightarrow \{m \in \mathbb{N} : m < n+1\}$  by
 
$$g(x) = \begin{cases} f(x) & \text{if } x \neq a \\ n & \text{if } x = a \end{cases}$$
- $\langle 2 \rangle 8$ .  $g$  is an order isomorphism.
- $\langle 1 \rangle 5$ .  $\forall n \in \mathbb{N}. P[n]$   
 $\square$

**Corollary 4.1.4.1.** *Any finite linearly ordered set is well ordered.*

**Proposition 4.1.5.** *Let  $J$  and  $E$  be well ordered sets. Suppose there is a strictly monotone map  $J \rightarrow E$ . Then  $J$  is isomorphic either to  $E$  or a section of  $E$ .*

PROOF:

- $\langle 1 \rangle 1$ . LET:  $k : J \rightarrow E$  be strictly monotone.
- $\langle 1 \rangle 2$ . ASSUME: w.l.o.g.  $E$  is nonempty.
- $\langle 1 \rangle 3$ . PICK  $e_0 \in E$



⟨1⟩4. LET:  $h : J \rightarrow E$  be the function defined by transfinite recursion thus:

$$h(\alpha) = \begin{cases} \text{the least element in } E - h((-\infty, \alpha)) & \text{if } h((-\infty, \alpha)) \neq E \\ e_0 & \text{if } h((-\infty, \alpha)) = E \end{cases}$$

⟨1⟩5.  $\forall \alpha \in J, h(\alpha) \leq k(\alpha)$

⟨2⟩1. LET:  $\alpha \in J$

⟨2⟩2. ASSUME: as transfinite induction hypothesis  $\forall \beta < \alpha, h(\beta) \leq k(\beta)$ .

⟨2⟩3.  $\forall \beta < \alpha, h(\beta) < k(\alpha)$

⟨2⟩4.  $h((-\infty, \alpha)) \neq E$

⟨2⟩5.  $h(\alpha)$  is the least element in  $E - h((-\infty, \alpha))$ .

⟨2⟩6.  $k(\alpha) \in E - h((-\infty, \alpha))$

⟨2⟩7.  $h(\alpha) \leq k(\alpha)$

⟨1⟩6.  $\forall \alpha \in J, h((-\infty, \alpha)) \neq E$

PROOF: For  $\beta < \alpha$  we have  $h(\beta) \leq k(\beta) < k(\alpha)$  so  $k(\alpha) \notin h((-\infty, \alpha))$ .

⟨1⟩7. For all  $\alpha \in J$ , we have  $h(\alpha)$  is the least element of  $E - h((-\infty, \alpha))$ .

⟨1⟩8.  $h$  is strictly monotone and  $h(J)$  is either  $E$  or a section of  $E$ .

PROOF: Proposition 3.3.11.

□

**Proposition 4.1.6.** *If  $A$  and  $B$  are well ordered sets, then exactly one of the following conditions hold:  $A \cong B$ , or  $A$  is isomorphic to a section of  $B$ , or  $B$  is isomorphic to a section of  $A$ .*

PROOF:

⟨1⟩1. At least one of the conditions holds.

⟨2⟩1.  $B$  is isomorphic to either  $A + B$  or a section of  $A + B$ .

⟨2⟩2. CASE:  $B \cong A + B$

⟨3⟩1. LET:  $\phi$  be the isomorphism  $B \cong A + B$

⟨3⟩2. LET:  $b_0$  be the least element in  $B$ .

⟨3⟩3.  $A$  is isomorphic to the section  $(-\infty, \phi^{-1}(\kappa_2(b_0)))$  of  $B$ .

⟨2⟩3. CASE:  $a \in A$  and  $B \cong (-\infty, \kappa_1(a))$

PROOF: Then  $B$  is isomorphic to the section  $(-\infty, a)$  of  $A$ .

⟨2⟩4. CASE:  $b \in B$  and  $\phi : B \cong (-\infty, \kappa_2(b))$

⟨3⟩1. CASE:  $b$  is least in  $B$ .

PROOF: Then  $A \cong B$ .

⟨3⟩2. CASE:  $b$  is not least in  $B$ .

⟨4⟩1. LET:  $b_0$  be least in  $B$ .

⟨4⟩2.  $A$  is isomorphic to the section  $(-\infty, \phi^{-1}(\kappa_2(b_0)))$  of  $B$ .

⟨1⟩2. At most one of the conditions holds.

PROOF: Since a well ordered set cannot be isomorphic to a section of itself.

□

**Theorem 4.1.7.** *There exists a well ordered set, unique up to order isomorphism, that is uncountable but such that every section is countable.*

PROOF:

⟨1⟩1. There exists a well ordered set that is uncountable but such that every section is countable.

- $\langle 2 \rangle 1$ . PICK a well ordered set  $A$  with an element  $\Omega \in A$  such that  $(-\infty, \Omega)$  is uncountable but  $\forall \alpha < \Omega. (-\infty, \alpha)$  is countable.  
 $\langle 2 \rangle 2$ . LET:  $(-\infty, \Omega)$  is uncountable but every section is countable.  
 $\langle 1 \rangle 2$ . If  $A$  and  $B$  are uncountable well ordered sets such that every section is countable, then  $A \cong B$ .

PROOF: Since it cannot be that one of  $A$  and  $B$  is isomorphic to a section of the other.

□

**Definition 4.1.8** (Minimal Uncountable Well Ordered Set). The *minimal uncountable well ordered set*  $\Omega$  is the well ordered set that is uncountable but such that every section is countable.

We write  $\bar{\Omega}$  for the well ordered set  $\Omega \cup \{\Omega\}$  where  $\Omega$  is greatest.

**Proposition 4.1.9.** *Every countable subset of  $\Omega$  is bounded above.*

PROOF:

- $\langle 1 \rangle 1$ . LET:  $A$  be a countable subset of  $\Omega$ .  
 $\langle 1 \rangle 2$ . For all  $a \in A$  we have  $(-\infty, a)$  is countable.  
 $\langle 1 \rangle 3$ .  $\bigcup_{a \in A} (-\infty, a)$  is countable.  
 $\langle 1 \rangle 4$ .  $\bigcup_{a \in A} (-\infty, a) \neq \Omega$   
 $\langle 1 \rangle 5$ . PICK  $x \in \Omega - \bigcup_{a \in A} (-\infty, a)$   
 $\langle 1 \rangle 6$ .  $x$  is an upper bound for  $A$ .

□

**Proposition 4.1.10.**  *$\Omega$  has no greatest element.*

PROOF: For any  $\alpha \in \Omega$  we have  $(-\infty, \alpha]$  is countable and hence not the whole of  $\Omega$ . □

**Proposition 4.1.11.** *There are uncountably many elements of  $\Omega$  that have no predecessor.*

PROOF:

- $\langle 1 \rangle 1$ . LET:  $A$  be the set of all elements of  $\Omega$  that have no predecessor.  
 $\langle 1 \rangle 2$ . LET:  $f : A \times \mathbb{N} \rightarrow \Omega$  be the function that maps  $(a, n)$  to the  $n$ th successor of  $a$ .  
 $\langle 1 \rangle 3$ .  $f$  is surjective.  
 $\langle 2 \rangle 1$ . ASSUME: for a contradiction  $x \in \Omega$  and there is no element  $a \in A$  and  $n \in \mathbb{N}$  such that  $x$  is the  $n$ th successor of  $a$ .  
 $\langle 2 \rangle 2$ . LET:  $x_n$  be the  $n$ th predecessor of  $x$  for  $n \in \mathbb{N}$ .  
 $\langle 2 \rangle 3$ .  $\{x_n : n \in \mathbb{N}\}$  is a nonempty subset of  $\Omega$  with no least element.  
 $\langle 1 \rangle 4$ .  $A \times \mathbb{N}$  is uncountable.  
 $\langle 1 \rangle 5$ .  $A$  is uncountable.

□

**Definition 4.1.12.** We identify a poset  $(A, \leq)$  with the category with:

- set of objects  $A$

- for  $a, b \in A$ , the set of homomorphisms is  $\{x \in 1 : a \leq b\}$

**Proposition 4.1.13.** *A category is a poset iff, for any two objects, there exists at most one morphism between them.*

**Proposition 4.1.14.** *The identity morphism on an object is unique.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $\mathcal{C}$  be a category.

$\langle 1 \rangle 2$ . LET:  $A \in \mathcal{C}$

$\langle 1 \rangle 3$ . LET:  $i, j : A \rightarrow A$  be identity morphisms on  $A$ .

$\langle 1 \rangle 4$ .  $i = j$

PROOF:

$$\begin{aligned} i &= i \circ j & (j \text{ is an identity on } A) \\ &= j & (i \text{ is an identity on } A) \end{aligned}$$

□

**Proposition 4.1.15.** *Let  $A$  be a linearly ordered set. Then  $A$  is well ordered if and only if it does not contain a subset of order type  $\mathbb{N}^{\text{op}}$ .*

PROOF:

$\langle 1 \rangle 1$ . If  $A$  is well ordered then it does not contain a subset of order type  $\mathbb{N}^{\text{op}}$ .

PROOF: A subset of order type  $\mathbb{N}^{\text{op}}$  would be a subset with no least element.

$\langle 1 \rangle 2$ . If  $A$  is not well ordered then it contains a subset of order type  $\mathbb{N}^{\text{op}}$ .

$\langle 2 \rangle 1$ . ASSUME:  $A$  is not well ordered.

$\langle 2 \rangle 2$ . PICK a nonempty subset  $S$  with no least element.

$\langle 2 \rangle 3$ . PICK  $a_0 \in S$

$\langle 2 \rangle 4$ . Extend to a sequence  $(a_n)$  in  $S$  such that  $a_{n+1} < a_n$  for all  $n$ .

$\langle 2 \rangle 5$ .  $\{a_n : n \in \mathbb{N}\}$  has order type  $\mathbb{N}^{\text{op}}$ .

□

**Corollary 4.1.15.1.** *Let  $A$  be a linearly ordered set. If every countable subset of  $A$  is well ordered, then  $A$  is well ordered.*

**Definition 4.1.16.** Given  $f : A \rightarrow B$  and an object  $C$ , define the function  $f^* : \mathcal{C}[B, C] \rightarrow \mathcal{C}[A, C]$  by  $f^*(g) = g \circ f$ .

**Definition 4.1.17.** Given  $f : A \rightarrow B$  and an object  $C$ , define the function  $f_* : \mathcal{C}[C, A] \rightarrow \mathcal{C}[C, B]$  by  $f_*(g) = f \circ g$ .

### 4.1.1 Monomorphisms

**Definition 4.1.18** (Monomorphism). Let  $f : A \rightarrow B$ . Then  $f$  is *monic* or a *monomorphism*,  $f : A \rightarrowtail B$ , iff, for any object  $X$  and functions  $x, y : X \rightarrow A$ , if  $f \circ x = f \circ y$  then  $x = y$ .

### 4.1.2 Epimorphisms

**Definition 4.1.19** (Epimorphism). Let  $f : A \rightarrow B$ . Then  $f$  is *epic* or an *epimorphism*,  $f : A \twoheadrightarrow B$ , iff, for any object  $X$  and functions  $x, y : B \rightarrow X$ , if  $x \circ f = y \circ f$  then  $x = y$ .

### 4.1.3 Sections and Retractions

**Definition 4.1.20** (Section, Retraction). Let  $r : A \rightarrow B$  and  $s : B \rightarrow A$ . Then  $r$  is a *retraction* of  $s$ , and  $s$  is a *section* of  $r$ , iff  $rs = \text{id}_B$ .

**Proposition 4.1.21.** *Let  $f : A \rightarrow B$  and  $r, s : B \rightarrow A$ . If  $r$  is a retraction of  $f$  and  $s$  is a section of  $f$  then  $r = s$ .*

PROOF:

$$\begin{aligned}
 r &= r \text{id}_B && \text{(Unit Law)} \\
 &= rfs && (s \text{ is a section of } f) \\
 &= \text{id}_A s && (r \text{ is a retraction of } f) \\
 &= s && \text{(Unit Law)} \square
 \end{aligned}$$

**Proposition 4.1.22.** *Every section is monic.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $s : B \rightarrow A$  be a section of  $r : A \rightarrow B$ .

$\langle 1 \rangle 2$ . LET:  $X$  be an object and  $x, y : X \rightarrow B$

$\langle 1 \rangle 3$ . ASSUME:  $s \circ x = s \circ y$

$\langle 1 \rangle 4$ .  $x = y$

PROOF:  $x = r \circ s \circ x = r \circ s \circ y = y$ .

$\square$

**Proposition 4.1.23.** *Every retraction is epic.*

PROOF: Dual.  $\square$

### 4.1.4 Isomorphisms

**Definition 4.1.24** (Isomorphism). A morphism  $f : A \rightarrow B$  is an *isomorphism*,  $f : A \cong B$ , iff there exists a morphism  $f^{-1} : B \rightarrow A$  that is both a retraction and section of  $f$ .

Objects  $A$  and  $B$  are *isomorphic*,  $A \cong B$ , iff there exists an isomorphism between them.

**Proposition 4.1.25.** *The inverse of an isomorphism is unique.*

PROOF: From Proposition 4.1.21.  $\square$

**Proposition 4.1.26.** *If  $f : A \cong B$  then  $f^{-1} : B \cong A$  and  $(f^{-1})^{-1} = f$ .*

PROOF: Since  $ff^{-1} = \text{id}_B$  and  $f^{-1}f = \text{id}_A$ .  $\square$

Isomorphism.

Define the opposite category.

Slice categories

**Definition 4.1.27.** Let  $\mathcal{C}$  be a category and  $B \in \mathcal{C}$ . The category  $\mathcal{C}_B^B$  of objects *over and under*  $B$  is the category with:

- objects all triples  $(X, u, p)$  such that  $u : B \rightarrow X$  and  $p : X \rightarrow B$
- morphisms  $f : (X, u, p) \rightarrow (Y, u', p')$  all morphisms  $f : X \rightarrow Y$  such that  $fu = u'$  and  $p'f = p$ .

**Proposition 4.1.28.**

$$\mathcal{C}_B^B \cong (\mathcal{C}/B) \backslash \text{id}_B \cong (\mathcal{C} \backslash B) / \text{id}_B$$

$(B, \text{id}_B, \text{id}_B)$  is the zero object in  $\mathcal{C}_B^B$ .

### 4.1.5 Initial Objects

**Definition 4.1.29** (Initial Object). An object  $I$  is *initial* iff, for any object  $X$ , there exists exactly one morphism  $I \rightarrow X$ .

**Proposition 4.1.30.** *The empty set is initial in Set.*

PROOF: For any set  $A$ , the nowhere-defined function is the unique function  $\emptyset \rightarrow A$ .  $\square$

**Proposition 4.1.31.** *If  $I$  and  $I'$  are initial objects, then there exists a unique isomorphism  $I \cong I'$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $i : I \rightarrow I'$  be the unique morphism  $I \rightarrow I'$ .

$\langle 1 \rangle 2$ . LET:  $i^{-1} : I' \rightarrow I$  be the unique morphism  $I' \rightarrow I$ .

$\langle 1 \rangle 3$ .  $ii^{-1} = \text{id}_{I'}$

PROOF: There is only one morphism  $I' \rightarrow I'$ .

$\langle 1 \rangle 4$ .  $i^{-1}i = \text{id}_I$

PROOF: There is only one morphism  $I \rightarrow I$ .

$\square$

### 4.1.6 Terminal Objects

**Definition 4.1.32** (Terminal Object). An object  $T$  is *terminal* iff, for any object  $X$ , there exists exactly one morphism  $X \rightarrow T$ .

**Proposition 4.1.33.** *1 is terminal in Set.*

PROOF: For any set  $A$ , the constant function to  $*$  is the only function  $A \rightarrow 1$ .  $\square$

**Proposition 4.1.34.** *If  $T$  and  $T'$  are terminal objects, then there exists a unique isomorphism  $T \cong T'$ .*

PROOF: Dual to Proposition 4.1.31.  $\square$

### 4.1.7 Zero Objects

**Definition 4.1.35** (Zero Object). An object  $Z$  is a *zero object* iff it is an initial object and a terminal object.

**Definition 4.1.36** (Zero Morphism). Let  $\mathcal{C}$  be a category with a zero object  $Z$ . Let  $A, B \in \mathcal{C}$ . The *zero morphism*  $A \rightarrow B$  is the unique morphism  $A \rightarrow Z \rightarrow B$ .

**Proposition 4.1.37.** *There is no zero object in **Set**.*

PROOF: Since  $\emptyset \not\approx 1$ .  $\square$

### 4.1.8 Triads

**Definition 4.1.38** (Triad). Let  $\mathcal{C}$  be a category. A *triad* consists of objects  $X, Y, M$  and morphisms  $\alpha : X \rightarrow M, \beta : Y \rightarrow M$ . We call  $M$  the *codomain* of the triad.

### 4.1.9 Cotriads

**Definition 4.1.39** (Cotriad). Let  $\mathcal{C}$  be a category. A *cotriad* consists of objects  $X, Y, W$  and morphisms  $\xi : W \rightarrow X, \eta : W \rightarrow Y$ . We call  $W$  the *domain* of the triad.

### 4.1.10 Pullbacks

**Definition 4.1.40** (Pullback). A diagram

$$\begin{array}{ccc} W & \xrightarrow{\xi} & X \\ \eta \downarrow & & \downarrow \alpha \\ Y & \xrightarrow{\beta} & M \end{array}$$

is a *pullback* iff  $\alpha\xi = \beta\eta$  and, for every object  $Z$  and morphism  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  such that  $\alpha f = \beta g$ , there exists a unique  $h : Z \rightarrow W$  such that  $\xi h = f$  and  $\eta h = g$ .

In this case we also say that  $\eta$  is the *pullback* of  $\beta$  along  $\alpha$ .

**Proposition 4.1.41.** *If  $\xi : W \rightarrow X$  and  $\eta : W \rightarrow Y$  form a pullback of  $\alpha : X \rightarrow M$  and  $\beta : Y \rightarrow M$ , and  $\xi' : W' \rightarrow X$  and  $\eta' : W' \rightarrow Y$  also form the pullback of  $\alpha$  and  $\beta$ , then there exists a unique isomorphism  $\phi : W \cong W'$  such that  $\eta'\phi = \eta$  and  $\xi'\phi = \xi$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $\phi : W \rightarrow W'$  be the unique morphism such that  $\eta'\phi = \eta$  and  $\xi'\phi = \xi$ .

$\langle 1 \rangle 2$ . LET:  $\phi^{-1} : W' \rightarrow W$  be the unique morphism such that  $\eta\phi^{-1} = \eta'$  and  $\xi\phi^{-1} = \xi'$ .

$\langle 1 \rangle 3$ .  $\phi\phi^{-1} = \text{id}_{W'}$

PROOF: Each is the unique  $x : W' \rightarrow W'$  such that  $\eta'x = \eta'$  and  $\xi'x = \xi'$ .

$\langle 1 \rangle 4$ .  $\phi^{-1}\phi = \text{id}_W$

PROOF: Each is the unique  $x : W \rightarrow W$  such that  $\eta x = \eta$  and  $\xi x = \xi$ .

□

**Proposition 4.1.42.** *For any morphism  $h : A \rightarrow B$ , the following diagram is a pullback diagram.*

$$\begin{array}{ccc} A & \xrightarrow{h} & B \\ \parallel & & \parallel \\ A & \xrightarrow{h} & B \end{array}$$

PROOF:

$\langle 1 \rangle 1$ . LET:  $Z$  be an object.

$\langle 1 \rangle 2$ . LET:  $f : Z \rightarrow B$  and  $g : Z \rightarrow A$  satisfy  $\text{id}_B f = hg$

$\langle 1 \rangle 3$ .  $g : Z \rightarrow A$  is the unique morphism such that  $\text{id}_A g = g$  and  $hg = f$ .

□

**Proposition 4.1.43.** *The pullback of an isomorphism is an isomorphism.*

PROOF:

$\langle 1 \rangle 1$ . LET:

$$\begin{array}{ccc} W & \xrightarrow{\xi} & X \\ \eta \downarrow & & \downarrow \alpha \\ Y & \xrightarrow{\beta} & M \end{array}$$

be a pullback diagram.

$\langle 1 \rangle 2$ . ASSUME:  $\beta$  is an isomorphism.

$\langle 1 \rangle 3$ . LET:  $\xi^{-1}$  be the unique morphism  $X \rightarrow W$  such that  $\xi\xi^{-1} = \text{id}_X$  and  $\eta\xi^{-1} = \beta^{-1}\alpha$ .

PROOF: This exists since  $\alpha\text{id}_X = \beta\beta^{-1}\alpha = \alpha$ .

$\langle 1 \rangle 4$ .  $\xi^{-1}\xi = \text{id}_W$

PROOF: Each is the unique  $x : W \rightarrow W$  such that  $\xi x = \xi$  and  $\eta x = \eta$ .

□

**Proposition 4.1.44.** *Let  $\beta : (Y, y) \rightarrow (M, m)$  and  $\alpha : (X, x) \rightarrow (M, m)$  in  $\mathcal{C} \setminus A$ . Let*

$$\begin{array}{ccc} W & \xrightarrow{\xi} & X \\ \eta \downarrow & & \downarrow \alpha \\ Y & \xrightarrow{\beta} & M \end{array}$$

*be a pullback in  $\mathcal{C}$ . Let  $w : A \rightarrow W$  be the unique morphism such that  $\xi w = x$  and  $\eta w = y$ . Then  $\xi : (W, w) \rightarrow (X, x)$  and  $\eta : (W, w) \rightarrow (Y, y)$  is the pullback of  $\beta$  and  $\alpha$  in  $\mathcal{C} \setminus A$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $(Z, z) \in \mathcal{C} \backslash A$

$\langle 1 \rangle 2$ . LET:  $f : (Z, z) \rightarrow (X, x)$  and  $g : (Z, z) \rightarrow (Y, y)$  satisfy  $\alpha f = \beta g$ .

$\langle 1 \rangle 3$ . LET:  $h : Z \rightarrow W$  be the unique morphism such that  $\xi h = f$  and  $\eta h = g$ .

$\langle 1 \rangle 4$ .  $hz = w$

$\langle 2 \rangle 1$ .  $\xi hz = \xi w$

PROOF:

$$\xi hz = fz \quad (\langle 1 \rangle 3)$$

$$= x \quad (\langle 1 \rangle 2)$$

$$= \xi w$$

$\langle 2 \rangle 2$ .  $\eta hz = \eta w$

PROOF: Similar.

$\langle 1 \rangle 5$ .  $h : (Z, z) \rightarrow (W, w)$

□

**Proposition 4.1.45.** Let  $\beta : (Y, y) \rightarrow (M, m)$  and  $\alpha : (X, x) \rightarrow (M, m)$  in  $\mathcal{C}/A$ . Let

$$\begin{array}{ccc} W & \xrightarrow{\xi} & X \\ \eta \downarrow & & \downarrow \alpha \\ Y & \xrightarrow{\beta} & M \end{array}$$

be a pullback in  $\mathcal{C}$ . Let  $w = x\xi : W \rightarrow A$ . Then  $\xi : (W, w) \rightarrow (X, x)$  and  $\eta : (W, w) \rightarrow (Y, y)$  form a pullback of  $\alpha$  and  $\beta$  in  $\mathcal{C}/A$ .

PROOF:

$\langle 1 \rangle 1$ .  $\eta : (W, w) \rightarrow (Y, y)$

PROOF:

$$y\eta = m\beta\eta$$

$$= m\alpha\xi$$

$$= x\xi$$

$$= w$$

$\langle 1 \rangle 2$ . LET:  $(Z, z) \in \mathcal{C}/A$

$\langle 1 \rangle 3$ . LET:  $f : (Z, z) \rightarrow (X, x)$  and  $g : (Z, z) \rightarrow (Y, y)$  satisfy  $\alpha f = \beta g$ .

$\langle 1 \rangle 4$ . LET:  $h : Z \rightarrow W$  be the unique morphism such that  $\xi h = f$  and  $\eta h = g$ .

$\langle 1 \rangle 5$ .  $h : (Z, z) \rightarrow (W, w)$

PROOF:

$$wh = x\xi h$$

$$= xf \quad (\langle 1 \rangle 4)$$

$$= z \quad (\langle 1 \rangle 3)$$

□

**Proposition 4.1.46.** In **Set**, let  $\alpha : X \rightarrow M$  and  $\beta : Y \rightarrow M$ . Let  $W = \{(x, y) \in X \times Y : \alpha(x) = \beta(y)\}$  with inclusion  $i : W \rightarrow X \times Y$ . Let  $\xi = \pi_1 i : W \rightarrow X$  and  $\eta = \pi_2 i : W \rightarrow Y$ . Then  $\xi$  and  $\eta$  form the pullback of  $\alpha$  and  $\beta$ .



PROOF:

$\langle 1 \rangle 1. \alpha\xi = \beta\eta$

PROOF: For  $w \in W$ , if  $i(w) = (x, y)$  then  $\alpha(\xi(w)) = \alpha(x) = \beta(y) = \beta(\eta(w))$ .

$\langle 1 \rangle 2.$  For every set  $Z$  and functions  $f : Z \rightarrow X, g : Z \rightarrow Y$  such that  $\alpha f = \beta g$ , there exists a unique  $h : Z \rightarrow W$  such that  $\xi h = f$  and  $\eta h = g$

PROOF: For  $z \in Z$ , let  $h(z)$  be the unique element of  $W$  such that  $i(h(z)) = (f(z), g(z))$ .

□

Pullback lemma

#### 4.1.11 Pushouts

**Definition 4.1.47** (Pushout). A diagram

$$\begin{array}{ccc} W & \xrightarrow{\xi} & X \\ \eta \downarrow & & \downarrow \alpha \\ Y & \xrightarrow{\beta} & M \end{array} \quad (4.1)$$

is a *pushout* iff  $\alpha\xi = \beta\eta$  and, for every object  $Z$  and morphism  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$  such that  $f\xi = g\eta$ , there exists a unique  $h : M \rightarrow Z$  such that  $h\alpha = f$  and  $h\beta = g$ .

We also say that  $\beta$  is the *pushout* of  $\xi$  along  $\eta$ .

**Proposition 4.1.48.** If  $\alpha : X \rightarrow M$  and  $\beta : Y \rightarrow M$  form a pushout of  $\xi : W \rightarrow X$  and  $\eta : W \rightarrow Y$ , and  $\alpha' : X \rightarrow M'$  and  $\beta' : Y \rightarrow M'$  also form a pushout of  $\xi$  and  $\eta$ , then there exists a unique isomorphism  $\phi : M \cong M'$  such that  $\phi\alpha = \alpha'$  and  $\phi\beta = \beta'$ .

PROOF: Dual to Proposition 4.1.41. □

**Proposition 4.1.49.** For any morphism  $h : A \rightarrow B$ , the following diagram is a pushout diagram.

$$\begin{array}{ccc} A & \xrightarrow{h} & B \\ \parallel & & \parallel \\ A & \xrightarrow{h} & B \end{array}$$

PROOF: Dual to Proposition 4.1.42.

**Proposition 4.1.50.** The diagram (4.1) is a pushout in  $\mathcal{C}$  iff it is a pullback in  $\mathcal{C}^{\text{op}}$ .

PROOF: Immediate from definitions. □

**Proposition 4.1.51.** The pushout of an isomorphism is an isomorphism.

PROOF: Dual to Proposition 4.1.43.  $\square$

**Proposition 4.1.52.** *Let  $\xi : (W, w) \rightarrow (X, x)$  and  $\eta : (W, w) \rightarrow (Y, y)$  in  $\mathcal{C} \setminus A$ . Let*

$$\begin{array}{ccc} W & \xrightarrow{\xi} & X \\ \eta \downarrow & & \downarrow \alpha \\ Y & \xrightarrow{\beta} & M \end{array}$$

*be a pushout in  $\mathcal{C}$ . Let  $m := \alpha x : A \rightarrow M$ . Then  $\alpha : (X, x) \rightarrow (M, m)$  and  $\beta : (Y, y) \rightarrow (M, m)$  is the pushout of  $\xi$  and  $\eta$  in  $\mathcal{C} \setminus A$ .*

PROOF: Dual to Proposition 4.1.45.  $\square$

**Proposition 4.1.53.** *Let  $\xi : (W, w) \rightarrow (X, x)$  and  $\eta : (W, w) \rightarrow (Y, y)$  in  $\mathcal{C}/A$ . Let*

$$\begin{array}{ccc} W & \xrightarrow{\xi} & X \\ \eta \downarrow & & \downarrow \alpha \\ Y & \xrightarrow{\beta} & M \end{array}$$

*be a pushout in  $\mathcal{C}$ . Let  $m : M \rightarrow A$  be the unique morphism such that  $m\alpha = x$  and  $m\beta = y$ . Then  $\alpha : (X, x) \rightarrow (M, m)$  and  $\beta : (Y, y) \rightarrow (M, m)$  is the pushout of  $\xi$  and  $\eta$  in  $\mathcal{C}/A$ .*

PROOF: Dual to Proposition 4.1.44.  $\square$

**Proposition 4.1.54.** *Set has pushouts.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $\xi : W \rightarrow X$  and  $\eta : W \rightarrow Y$ .

$\langle 1 \rangle 2$ . LET:  $\sim$  be the equivalence relation on  $X + Y$  generated by  $\xi(w) \sim \eta(w)$  for all  $w \in W$

$\langle 1 \rangle 3$ . LET:  $M = (X + Y)/\sim$  with canonical projection  $\pi : X + Y \twoheadrightarrow M$ .

$\langle 1 \rangle 4$ . LET:  $\alpha = \pi \circ \kappa_1 : X \rightarrow M$

$\langle 1 \rangle 5$ . LET:  $\beta = \pi \circ \kappa_2 : Y \rightarrow M$

$\langle 1 \rangle 6$ . LET:  $Z$  be any set,  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$ .

$\langle 1 \rangle 7$ . ASSUME:  $f\xi = g\eta$

$\langle 1 \rangle 8$ . LET:  $h : X + Y \rightarrow Z$  be the function defined by  $h(x) = f(x)$  and  $h(y) = g(y)$  for  $x \in X$  and  $y \in Y$

$\langle 1 \rangle 9$ .  $h$  respects  $\sim$

PROOF: For  $w \in W$  we have

$$h(\xi(w)) = f(\xi(w)) \quad (\langle 1 \rangle 8)$$

$$= g(\eta(w)) \quad (\langle 1 \rangle 7)$$

$$= h(\eta(w)) \quad (\langle 1 \rangle 8)$$

$\langle 1 \rangle 10$ . LET:  $\bar{h} : M \rightarrow Z$  be the induced function.

$\langle 1 \rangle 11$ .  $\bar{h}\alpha = f$

PROOF:

$$\begin{aligned}\bar{h}(\alpha(x)) &= \bar{h}(\pi(\kappa_1(x))) \\ &= h(\kappa_1(x)) \\ &= f(x)\end{aligned}$$

$\langle 1 \rangle 12.$   $\bar{h}\beta = g$

PROOF: Similar.

$\langle 1 \rangle 13.$  For all  $k : M \rightarrow Z$ , if  $k\alpha = f$  and  $k\beta = g$  then  $k = \bar{h}$ .

PROOF:

$$\begin{aligned}k(\pi(\kappa_1(x))) &= k(\alpha(x)) \\ &= f(x) \\ k(\pi(\kappa_2(y))) &= k(\beta(y)) \\ &= g(y) \\ \therefore k \circ \pi &= h \\ \therefore k &= \bar{h}\end{aligned}$$

□

**Definition 4.1.55.** Let  $u : A \rightarrow X$  be an injection. The *pointed set obtained from  $X$  by collapsing  $(A, u)$* , denoted  $X/(A, u)$ , is the pushout

$$\begin{array}{ccc} A & \xrightarrow{\quad} & 1 \\ \downarrow u & & \downarrow * \\ X & \xrightarrow{\quad} & X/(A, u) \end{array}$$

**Proposition 4.1.56.** In  $\mathbf{Set}_*$ , any two morphisms  $1 \rightarrow X$  and  $1 \rightarrow Y$  have a pushout.

PROOF: The pushout of  $a : (1, *) \rightarrow (X, x)$  and  $b : (1, *) \rightarrow (Y, y)$  is  $(X+Y/\sim, x)$  where  $\sim$  is the equivalence relation generated by  $x \sim y$ . □

**Definition 4.1.57** (Wedge). The *wedge* of pointed sets  $X$  and  $Y$ ,  $X \vee Y$ , is the pushout of the unique morphism  $1 \rightarrow X$  and  $1 \rightarrow Y$ .

**Definition 4.1.58** (Smash). Let  $X$  and  $Y$  be pointed sets. Let  $\xi : X \vee Y \rightarrow X$  be the unique morphism such that the following diagram commutes.

$$\begin{array}{ccccc} 1 & \xrightarrow{\quad} & X & & \\ \downarrow & & \downarrow & \searrow & \\ Y & \xrightarrow{\quad} & X \vee Y & \xrightarrow{\xi} & X \\ & \searrow 0 & & & \end{array}$$

Let  $\eta : X \vee Y \rightarrow Y$  be the unique morphism such that the following diagram

commutes.



Let  $\zeta = \langle \xi, \eta \rangle : X \vee Y \rightarrow X \times Y$ . The *smash* of  $X$  and  $Y$ ,  $X \wedge Y$ , is the result of collapsing  $X \times Y$  with respect to  $\zeta$ .

Pushout lemma

#### 4.1.12 Subcategories

**Definition 4.1.59** (Subcategory). A *subcategory*  $\mathcal{C}'$  of a category  $\mathcal{C}$  consists of:

- a subset  $\text{Ob}(\mathcal{C}')$  of  $\mathcal{C}$
- for all  $A, B \in \text{Ob}(\mathcal{C}')$ , a subset  $\mathcal{C}'[A, B] \subseteq \mathcal{C}[A, B]$

such that:

- for all  $A \in \text{Ob}(\mathcal{C}')$ , we have  $\text{id}_A \in \mathcal{C}'[A, A]$
- for all  $f \in \mathcal{C}'[A, B]$  and  $g \in \mathcal{C}'[B, C]$ , we have  $g \circ f \in \mathcal{C}'[A, C]$ .

It is a *full* subcategory iff, for all  $A, B \in \text{Ob}(\mathcal{C}')$ , we have  $\mathcal{C}'[A, B] = \mathcal{C}[A, B]$ .

#### 4.1.13 Opposite Category

**Definition 4.1.60** (Opposite Category). For any category  $\mathcal{C}$ , the *opposite* category  $\mathcal{C}^{\text{op}}$  is the category with

- $\text{Ob}(\mathcal{C}^{\text{op}}) = \text{Ob}(\mathcal{C})$
- $\mathcal{C}^{\text{op}}[A, B] = \mathcal{C}[B, A]$
- Given  $f \in \mathcal{C}^{\text{op}}[A, B]$  and  $g \in \mathcal{C}^{\text{op}}[B, C]$ , their composite in  $\mathcal{C}^{\text{op}}$  is  $f \circ g$ , where  $\circ$  is composition in  $\mathcal{C}$ .

**Proposition 4.1.61.** An object is initial in  $\mathcal{C}$  iff it is terminal in  $\mathcal{C}^{\text{op}}$ .

PROOF: Immediate from definitions.  $\square$

**Proposition 4.1.62.** An object is terminal in  $\mathcal{C}$  iff it is initial in  $\mathcal{C}^{\text{op}}$ .

PROOF: Immediate from definitions.  $\square$

**Corollary 4.1.62.1.** If  $T$  and  $T'$  are terminal objects in  $\mathcal{C}$  then there exists a unique isomorphism  $T \cong T'$ .

#### 4.1.14 Groupoids

**Definition 4.1.63** (Groupoid). A *groupoid* is a category in which every morphism is an isomorphism.

#### 4.1.15 Concrete Categories

**Definition 4.1.64** (Concrete Category). A *concrete category*  $\mathcal{C}$  consists of:

- a set  $\text{Ob}(\mathcal{C})$  of *objects*
- for any object  $A \in \text{Ob}(\mathcal{C})$ , a set  $|A|$
- for any objects  $A, B \in \text{Ob}(\mathcal{C})$ , a set of functions  $\mathcal{C}[A, B] \subseteq |B|^{|A|}$

such that:

- for any  $f \in \mathcal{C}[A, B]$  and  $g \in \mathcal{C}[B, C]$ , we have  $g \circ f \in \mathcal{C}[A, C]$
- for any object  $A$  we have  $\text{id}_{|A|} \in \mathcal{C}[A, A]$ .

#### 4.1.16 Power of Categories

**Definition 4.1.65.** Let  $\mathcal{C}$  be a category and  $J$  a set. The category  $\mathcal{C}^J$  is the category with:

- objects all  $J$ -indexed families of objects of  $\mathcal{C}$
- morphisms  $\{X_j\}_{j \in J} \rightarrow \{Y_j\}_{j \in J}$  all families  $\{f_j\}_{j \in J}$  where  $f_j : X_j \rightarrow Y_j$

#### 4.1.17 Arrow Category

**Definition 4.1.66** (Arrow Category). Let  $\mathcal{C}$  be a category. The *arrow category*  $\mathcal{C}^\rightarrow$  is the category with:

- objects all triples  $(A, B, f)$  where  $f : A \rightarrow B$  in  $\mathcal{C}$
- morphisms  $(A, B, f) \rightarrow (C, D, g)$  all pairs  $(u : A \rightarrow C, v : B \rightarrow D)$  such that  $vf = gu$ .

#### 4.1.18 Slice Category

**Definition 4.1.67** (Slice Category). Let  $\mathcal{C}$  be a category and  $A \in \mathcal{C}$ . The *slice category under  $A$* ,  $\mathcal{C}_{\backslash A}$ , is the category with:

- objects all pairs  $(B, f)$  where  $B \in \mathcal{C}$  and  $f : A \rightarrow B$
- morphisms  $(B, f) \rightarrow (C, g)$  are morphisms  $u : B \rightarrow C$  such that  $uf = g$ .

We identify this with the subcategory of  $\mathcal{C}^\rightarrow$  formed by mapping  $(B, f)$  to  $(A, B, f)$  and  $u$  to  $(\text{id}_A, u)$ .

**Proposition 4.1.68.** *If  $s : (B, f) \rightarrow (C, g)$  in  $\mathcal{C} \setminus A$ , then any retraction of  $s$  in  $\mathcal{C}$  is a retraction of  $s$  in  $\mathcal{C} \setminus A$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $r : C \rightarrow B$  be a retraction of  $s$  in  $\mathcal{C}$ .

$\langle 1 \rangle 2$ .  $rg = f$

PROOF:  $rg = rsf = f$ .

$\langle 1 \rangle 3$ .  $r : (C, g) \rightarrow (B, f)$  in  $\mathcal{C} \setminus A$

$\langle 1 \rangle 4$ .  $rs = \text{id}_{(B, f)}$

PROOF: Because composition is inherited from  $\mathcal{C}$ .

□

**Proposition 4.1.69.**  $\text{id}_A$  is the initial object in  $\mathcal{C} \setminus A$ .

PROOF: For any  $(B, f) \in \mathcal{C} \setminus A$ , we have  $f$  is the only morphism  $A \rightarrow B$  such that  $f\text{id}_A = f$ . □

**Proposition 4.1.70.** *If  $A$  is terminal in  $\mathcal{C}$  then  $\text{id}_A$  is the zero object in  $\mathcal{C} \setminus A$ .*

PROOF: For any  $(B, f) \in \mathcal{C} \setminus A$ , the unique morphism  $! : B \rightarrow A$  is the unique morphism such that  $!\text{id}_B = f$ . □

**Definition 4.1.71** (Pointed Sets). The category of pointed sets is **Set** \setminus 1.

**Definition 4.1.72.** Let  $\mathcal{C}$  be a category and  $A \in \mathcal{C}$ . The slice category over  $A$ ,  $\mathcal{C}/A$ , is the category with:

- objects all pairs  $(B, f)$  with  $f : B \rightarrow A$
- morphisms  $u : (B, f) \rightarrow (C, g)$  all morphisms  $u : B \rightarrow C$  such that  $gu = f$ .

**Proposition 4.1.73.** *Let  $u : (B, f) \rightarrow (C, g) : \mathcal{C}/A$ . Any section of  $u$  in  $\mathcal{C}$  is a section of  $u$  in  $\mathcal{C}/A$ .*

PROOF: Dual to Proposition 4.1.68. □

**Proposition 4.1.74.**  $\text{id}_A$  is terminal in  $\mathcal{C}/A$ .

PROOF: Dual to Proposition 4.1.69. □

**Proposition 4.1.75.** *If  $A$  is initial in  $\mathcal{C}$  then  $\text{id}_A$  is the zero object in  $\mathcal{C}/A$ .*

PROOF: Dual to Proposition 4.1.70. □

**Definition 4.1.76.** Let  $A \in \mathcal{C}$ . The category of objects over and under  $A$ , written  $\mathcal{C}_A^A$ , is the category with:

- objects all triples  $(X, u, p)$  where  $u : A \rightarrow X$ ,  $p : X \rightarrow A$  and  $pu = \text{id}_A$
- morphism  $f : (X, u, p) \rightarrow (Y, v, q)$  all morphisms  $f : X \rightarrow Y$  such that  $fu = v$  and  $qf = p$

**Proposition 4.1.77.**  $(A, \text{id}_A, \text{id}_A)$  is the zero object in  $\mathcal{C}_A^A$ .

PROOF: For any object  $(X, u, p)$ , we have  $p$  is the unique morphism  $(X, u, p) \rightarrow (A, \text{id}_A, \text{id}_A)$ , and  $u$  is the unique morphism  $(A, \text{id}_A, \text{id}_A) \rightarrow (X, u, p)$ .  $\square$

**Definition 4.1.78** (Fibre Collapsing). Let  $B$  be a set. Let  $u : (A, a) \rightarrow (X, x)$  in  $\mathbf{Set}/B$ . Form the pushout

$$\begin{array}{ccc} A & \xrightarrow{a} & B \\ \downarrow u & & \downarrow j \\ X & \xrightarrow{i} & C \end{array}$$

Let  $c : C \rightarrow B$  be the unique morphism such that  $cj = \text{id}_B$  and  $ci = x$ . Then  $(C, j, c) \in \mathbf{Set}_B^B$  is called the set over and under  $B$  obtained from  $X$  by *fibre collapsing* with respect to  $u$ . If  $(A, u)$  is a subset of  $X$ , we denote this set over and under  $B$  by  $X/_B(A, u)$ .

**Definition 4.1.79** (Fibre Wedge). Let  $B$  be a small set. Let  $(X, u_X, p_X), (Y, u_Y, p_Y) \in \mathbf{Set}_B^B$ . The *fibre wedge* of  $X$  and  $Y$  is the pushout of  $u_X$  and  $u_Y$ :

$$\begin{array}{ccc} B & \xrightarrow{u_X} & X \\ \downarrow u_Y & & \downarrow \\ Y & \longrightarrow & X \vee_B Y \end{array}$$

**Definition 4.1.80** (Fibre Smash). Let  $X, Y \in \mathbf{Set}_B^B$ . Let  $\xi : X \vee_B Y \rightarrow X$  be the unique morphism such that the following diagram commutes.

$$\begin{array}{ccc} 1 & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & X \vee_B Y \\ & \searrow \xi & \\ & & X \end{array}$$

0

Let  $\eta : X \vee_B Y \rightarrow Y$  be the unique morphism such that the following diagram commutes.

$$\begin{array}{ccc} 1 & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & X \vee_B Y \\ & \searrow \eta & \\ & & Y \end{array}$$

0

Let  $\zeta = \langle \xi, \eta \rangle : X \vee_B Y \rightarrow X \times Y$ . The *fibre smash* of  $X$  and  $Y$ ,  $X \wedge_B Y$ , is the result of collapsing  $X \times Y$  with respect to  $\zeta$ .

**Proposition 4.1.81.** *Set has products and coproducts.*

**Proposition 4.1.82.** *Let  $\mathcal{C}$  be a category. Let  $\{X_\alpha\}_{\alpha \in I}$  be a family of objects in  $\mathcal{C}$  and  $Z \in \mathcal{C}$ . Let  $\coprod_{\alpha \in I} X_\alpha$  be the coproduct of  $\{X_\alpha\}_{\alpha \in I}$ . Then*

$$\mathcal{C}[\coprod_{\alpha \in I} X_\alpha, Z] \approx \prod_{\alpha \in I} \mathcal{C}[X_\alpha, Z] .$$

**Proposition 4.1.83.** *Let  $\mathcal{C}$  be a category. Let  $\{X_\alpha\}_{\alpha \in I}$  be a family of objects in  $\mathcal{C}$  and  $Z \in \mathcal{C}$ . Let  $\prod_{\alpha \in I} X_\alpha$  be the product of  $\{X_\alpha\}_{\alpha \in I}$ . Then*

$$\mathcal{C}[Z, \prod_{\alpha \in I} X_\alpha] \approx \prod_{\alpha \in I} \mathcal{C}[Z, X_\alpha] .$$

**Proposition 4.1.84.** *A product in  $\mathcal{C}$  constitutes a product in  $\mathcal{C}/A$ .*

**Proposition 4.1.85.** *A coproduct in  $\mathcal{C}$  constitutes a product in  $\mathcal{C}/A$ .*

## 4.2 Functors

**Definition 4.2.1** (Functor). Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. A *functor*  $F : \mathcal{C} \rightarrow \mathcal{D}$  consists of:

- a function  $F : \text{Ob}(\mathcal{C}) \rightarrow \text{Ob}(\mathcal{D})$
- for every morphism  $f : A \rightarrow B$  in  $\mathcal{C}$ , a morphism  $Ff : FA \rightarrow FB$  in  $\mathcal{D}$

such that:

- for all  $A \in \text{Ob}(\mathcal{C})$  we have  $F\text{id}_A = \text{id}_{FA}$
- for any morphism  $f : A \rightarrow B$  and  $g : B \rightarrow C$  in  $\mathcal{C}$ , we have  $F(g \circ f) = Fg \circ Ff$

**Proposition 4.2.2.** *Functors preserve isomorphisms.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor.

$\langle 1 \rangle 2$ . LET:  $f : A \cong B$  in  $\mathcal{C}$

$\langle 1 \rangle 3$ .  $Ff^{-1} \circ Ff = \text{id}_{FA}$

PROOF:

$$\begin{aligned} Ff^{-1} \circ Ff &= F(f^{-1} \circ f) \\ &= F\text{id}_A \\ &= \text{id}_{FA} \end{aligned}$$

$\langle 1 \rangle 4$ .  $Ff \circ Ff^{-1} = \text{id}_{FB}$

PROOF:

$$\begin{aligned} Ff \circ Ff^{-1} &= F(f \circ f^{-1}) \\ &= F\text{id}_B \\ &= \text{id}_{FB} \end{aligned}$$



□

**Definition 4.2.3** (Identity Functor). For any category  $\mathcal{C}$ , the *identity* functor on  $\mathcal{C}$  is the functor  $I_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$  defined by

$$\begin{aligned} I_{\mathcal{C}}A &:= A & (A \in \mathcal{C}) \\ I_{\mathcal{C}}f &:= f & (f : A \rightarrow B \text{ in } \mathcal{C}) \end{aligned}$$

**Proposition 4.2.4.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$ . If  $r : A \rightarrow B$  is a retraction of  $s : B \rightarrow A$  in  $\mathcal{C}$  then  $Fr$  is a retraction of  $Fs$ .

PROOF:

$$\begin{aligned} Fr \circ Fs &= F(r \circ s) \\ &= F\text{id}_B \\ &= \text{id}_{FB} \end{aligned}$$

□

**Corollary 4.2.4.1.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$ . If  $\phi : A \cong B$  is an isomorphism in  $\mathcal{C}$  then  $F\phi : FA \cong FB$  is an isomorphism in  $\mathcal{D}$  with  $(F\phi)^{-1} = F\phi^{-1}$ .

**Definition 4.2.5** (Composition of Functors). Given functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{E}$ , the *composite* functor  $GF : \mathcal{C} \rightarrow \mathcal{E}$  is defined by

$$\begin{aligned} (GF)A &= G(FA) & (A \in \mathcal{C}) \\ (GF)f &= G(Ff) & (f : A \rightarrow B : \mathcal{C}) \end{aligned}$$

**Definition 4.2.6** (Category of Categories). Let **Cat** be the category of small categories and functors.

**Definition 4.2.7** (Isomorphism of Categories). Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. Then  $F$  is an *isomorphism of categories* iff there exists a functor  $F^{-1} : \mathcal{D} \rightarrow \mathcal{C}$ , the *inverse* of  $F$ , such that  $FF^{-1} = I_{\mathcal{D}}$  and  $F^{-1}F = I_{\mathcal{C}}$ .

Categories  $\mathcal{C}$  and  $\mathcal{D}$  are *isomorphic*,  $\mathcal{C} \cong \mathcal{D}$ , iff there exists an isomorphism between them.

**Proposition 4.2.8.** If  $A$  is initial in  $\mathcal{C}$  then  $\mathcal{C} \setminus A \cong \mathcal{C}$ .

PROOF:

⟨1⟩1. Define  $F : \mathcal{C} \setminus A \rightarrow \mathcal{C}$  by

$$F(B, f) = B$$

$$F(u : (B, f) \rightarrow (C, g)) = u$$

⟨1⟩2. Define  $G : \mathcal{C} \rightarrow \mathcal{C} \setminus A$  by

$$GB = (B, !_B)$$

where  $!_B$  is the unique morphism  $A \rightarrow B$

$$G(u : B \rightarrow C) = u : (B, !_B) \rightarrow (C, !_C)$$

⟨1⟩3.  $FG = \text{id}_{\mathcal{C}}$

⟨1⟩4.  $GF = \text{id}_{\mathcal{C} \setminus A}$

PROOF: Since  $GF(B, f) = (B, !_B) = (B, f)$  because the morphism  $A \rightarrow B$  is unique.

□

**Proposition 4.2.9.** *If  $A$  is terminal in  $\mathcal{C}$  then  $\mathcal{C}/A \cong \mathcal{C}$ .*

PROOF: Dual.  $\square$

**Proposition 4.2.10.**

$$\mathcal{C}_A^A \cong (\mathcal{C}/A) \backslash (A, \text{id}_A) \cong (\mathcal{C} \backslash A) / (A, \text{id}_A)$$

PROOF:

- $\langle 1 \rangle 1$ . Define a functor  $F : \mathcal{C}_A^A \rightarrow (\mathcal{C}/A) \backslash (A, \text{id}_A)$ .  
 $\langle 2 \rangle 1$ . Given  $A \xrightarrow{u} X \xrightarrow{p} A$  in  $\mathcal{C}_A^A$ , let  $F(X, u, p) = ((X, p), u)$   
 $\langle 2 \rangle 2$ . Given  $f : (A \xrightarrow{u} X \xrightarrow{p} A) \rightarrow (A \xrightarrow{v} Y \xrightarrow{q} A)$ , let  $Ff = f$ .  
 $\langle 1 \rangle 2$ . Define a functor  $G : (\mathcal{C}/A) \backslash (A, \text{id}_A) \rightarrow \mathcal{C}_A^A$ .  
 $\langle 1 \rangle 3$ . Define a functor  $H : \mathcal{C}_A^A \rightarrow (\mathcal{C} \backslash A) / (A, \text{id}_A)$ .  
 $\langle 1 \rangle 4$ . Define a functor  $K : (\mathcal{C} \backslash A) / (A, \text{id}_A) \rightarrow \mathcal{C}_A^A$ .  
 $\square$

**Definition 4.2.11** (Forgetful Functor). For any concrete category  $\mathcal{C}$ , define the *forgetful* functor  $U : \mathcal{C} \rightarrow \mathbf{Set}$  by:

$$\begin{aligned} UA &= |A| \\ Uf &= f \end{aligned}$$

**Definition 4.2.12** (Switching Functor). For any category  $\mathcal{C}$ , define the *switching* functor  $T : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C} \times \mathcal{C}$  by

$$\begin{aligned} T(A, B) &= (B, A) \\ T(f, g) &= (g, f) \end{aligned}$$

**Definition 4.2.13** (Reduction). Let  $\Phi : \mathbf{Set} \rightarrow \mathbf{Set}$  be a functor. The *reduction* of  $\Phi$  is the functor  $\Phi^* : \mathbf{Set}_* \rightarrow \mathbf{Set}_*$  defined by:  $\Phi^*(X, a)$  is the collapse of  $\Phi(X)$  with respect to  $\Phi(a) : \Phi(1) \rightarrow \Phi(X)$ .

**Definition 4.2.14.** Extend the wedge  $\vee$  to a functor  $\mathbf{Set}_* \times \mathbf{Set}_* \rightarrow \mathbf{Set}_*$  by defining, given  $f : X \rightarrow X'$  and  $g : Y \rightarrow Y'$ , then  $f \vee g$  is the unique morphism that makes the following diagram commute.

$$\begin{array}{ccccc} 1 & \longrightarrow & X & & \\ \downarrow & & \downarrow & \searrow f & \\ Y & \longrightarrow & X \vee Y & & X' \\ & \searrow g & \searrow f \vee g & & \downarrow \\ & & Y' & \longrightarrow & X' \vee Y' \end{array}$$

**Definition 4.2.15.** Extend smash to a functor  $\wedge : \mathbf{Set}_* \times \mathbf{Set}_* \rightarrow \mathbf{Set}_*$  as follows. Given  $f : X \rightarrow X'$  and  $g : Y \rightarrow Y'$ , let  $f \wedge g : X \wedge Y \rightarrow X' \wedge Y'$  be the

unique morphism such that the following diagram commutes.

$$\begin{array}{ccccc}
 X \vee Y & \longrightarrow & 1 & & \\
 \downarrow & \searrow & \downarrow & \searrow & \\
 X \times Y & \longrightarrow & X \wedge Y & & \\
 & \searrow & \downarrow & \searrow & \\
 & & X' \vee Y' & \longrightarrow & 1 \\
 f \times g \swarrow & & \downarrow & & \downarrow \\
 & & X' \times Y' & \longrightarrow & X' \wedge Y'
 \end{array}$$

**Definition 4.2.16** (Reduction). Let  $B$  be a small set. Let  $\Phi_B : \mathbf{Set}/B \rightarrow \mathbf{Set}/B$  be a functor. The *reduction* of  $\Phi_B$  is the functor  $\Phi_B^B : \mathbf{Set}_B^B \rightarrow \mathbf{Set}_B^B$  defined as follows.

For  $(X, u : B \rightarrow X, p : X \rightarrow B) \in \mathbf{Set}_B^B$ , let  $\Phi_B^B(X)$  be the set over and under  $B$  obtained from  $\Phi_B(X)$  by collapsing with respect to  $\Phi_B(u) : \Phi_B(B) \rightarrow \Phi_B(X)$ .

**Definition 4.2.17.** Extend  $\vee_B$  to a functor  $\mathbf{Set}_B^B \times \mathbf{Set}_B^B \rightarrow \mathbf{Set}_B^B$ .

**Definition 4.2.18.** Extend  $\wedge_B$  to a functor  $\mathbf{Set}_B^B \times \mathbf{Set}_B^B \rightarrow \mathbf{Set}_B^B$ .

**Definition 4.2.19** (Faithful). A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is *faithful* iff, for any objects  $A, B \in \mathcal{C}$  and morphisms  $f, g : A \rightarrow B : \mathcal{C}$ , if  $Ff = Fg$  then  $f = g$ .

**Definition 4.2.20** (Full). A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is *full* iff, for any objects  $A, B \in \mathcal{C}$  and morphism  $g : FA \rightarrow FB : \mathcal{D}$ , there exists  $f : A \rightarrow B : \mathcal{C}$  such that  $Ff = g$ .

**Definition 4.2.21** (Fully Faithful). A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is *fully faithful* iff it is full and faithful.

**Definition 4.2.22** (Full Embedding). A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a *full embedding* iff it is fully faithful and injective on objects.

## 4.3 Natural Transformations

**Definition 4.3.1** (Natural Transformation). Let  $F, G : \mathcal{C} \rightarrow \mathcal{D}$ . A *natural transformation*  $\tau : F \Rightarrow G$  is a family of morphisms  $\{\tau_X : FX \rightarrow GX\}_{X \in \mathcal{C}}$  such that, for every morphism  $f : X \rightarrow Y : \mathcal{C}$ , we have  $Gf \circ \tau_X = \tau_Y \circ Ff$ .

$$\begin{array}{ccc}
 FX & \xrightarrow{Ff} & FY \\
 \tau_X \downarrow & & \downarrow \tau_Y \\
 GX & \xrightarrow{Gf} & GY
 \end{array}$$

**Definition 4.3.2** (Natural Isomorphism). A natural transformation  $\tau : F \Rightarrow G : \mathcal{C} \rightarrow \mathcal{D}$  is a *natural isomorphism*,  $\tau : F \cong G$ , iff for all  $X \in \mathcal{C}$ ,  $\tau_X$  is an isomorphism  $FX \cong GX$ .

Functors  $F$  and  $G$  are *naturally isomorphic*,  $F \cong G$ , iff there exists a natural isomorphism between them.

**Definition 4.3.3** (Inverse). Let  $\tau : F \cong G$ . The *inverse* natural isomorphism  $\tau^{-1} : G \cong F$  is defined by  $(\tau^{-1})_X = \tau_X^{-1}$ .

## 4.4 Bifunctors

**Definition 4.4.1** (Commutative). A bifunctor  $\square : \mathcal{C}^2 \rightarrow \mathcal{C}$  is *commutative* iff  $\square \cong \square \circ T$ , where  $T : \mathcal{C}^2 \rightarrow \mathcal{C}^2$  is the swap functor.

**Proposition 4.4.2.**  $\vee : \mathbf{Set}_* \times \mathbf{Set}_* \rightarrow \mathbf{Set}_*$  is commutative.

PROOF: Since the pushout of  $f$  and  $g$  is the pushout of  $g$  and  $f$ .  $\square$

**Proposition 4.4.3.**  $\wedge : \mathbf{Set}_* \times \mathbf{Set}_* \rightarrow \mathbf{Set}_*$  is commutative.

PROOF: In the diagram defining  $X \wedge Y$ , construct the isomorphism between the version with  $X$  and  $Y$  and the version with  $Y$  with  $X$  for every object.  $\square$

**Proposition 4.4.4.**  $\vee_B : \mathbf{Set}_B^B \times \mathbf{Set}_B^B \rightarrow \mathbf{Set}_B^B$  is commutative.

**Proposition 4.4.5.**  $\wedge_B : \mathbf{Set}_B^B \times \mathbf{Set}_B^B \rightarrow \mathbf{Set}_B^B$  is commutative.

**Definition 4.4.6** (Associative). A bifunctor  $\square$  is *associative* iff  $\square \circ (\square \times \text{id}) \cong \square \circ (\text{id} \times \square)$ .

**Proposition 4.4.7.**  $\vee : \mathbf{Set}_* \times \mathbf{Set}_* \rightarrow \mathbf{Set}_*$  is associative.

PROOF: Since  $X \vee (Y \vee Z)$  and  $(X \vee Y) \vee Z$  are both the pushout of the unique morphisms  $1 \rightarrow X$ ,  $1 \rightarrow Y$  and  $1 \rightarrow Z$ .  $\square$

**Proposition 4.4.8.**  $\wedge : \mathbf{Set}_* \times \mathbf{Set}_* \rightarrow \mathbf{Set}_*$  is associative.

PROOF: Draw isomorphisms between the diagrams for  $X \wedge (Y \wedge Z)$  and  $(X \wedge Y) \wedge Z$ .  $\square$

Product and coproduct are commutative and associative.

**Proposition 4.4.9.**  $\vee_B : \mathbf{Set}_B^B \times \mathbf{Set}_B^B \rightarrow \mathbf{Set}_B^B$  is associative.

**Proposition 4.4.10.**  $\wedge_B : \mathbf{Set}_B^B \times \mathbf{Set}_B^B \rightarrow \mathbf{Set}_B^B$  is associative.

**Proposition 4.4.11.** Let  $\mathcal{C}$  be a category with binary coproducts. Let  $\square : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$  be a bifunctor. Then  $\square$  distributes over  $+$  iff the canonical morphism

$$(X \square Z) + (Y \square Z) \rightarrow (X + Y) \square Z$$

is an isomorphism for all  $X, Y, Z$ .

**Proposition 4.4.12.** *In a category with binary products and binary coproducts, then  $\times$  distributes over  $+$ .*

**Proposition 4.4.13.** *In  $\mathbf{Set}/*$ , we have  $\times$  does not distribute over  $\vee$ .*

**Proposition 4.4.14.** *In  $\mathbf{Set}/*$ , we have  $\wedge$  distributes over  $\vee$ .*

**Proposition 4.4.15.** *In  $\mathbf{Set}/B$ , we have  $\times_B$  distributes over  $+_B$ .*

**Proposition 4.4.16.** *In  $\mathbf{Set}/B^B$ , we have  $\wedge_B$  distributes over  $\vee_B$ .*

## 4.5 Functor Categories

**Definition 4.5.1** (Functor Category). Given categories  $\mathcal{C}$  and  $\mathcal{D}$ , define the *functor category*  $\mathcal{C}^{\mathcal{D}}$  to be the category with objects the functors from  $\mathcal{D}$  to  $\mathcal{C}$  and morphisms the natural transformations.

**Definition 4.5.2** (Yoneda Embedding). Let  $\mathcal{C}$  be a category. The *Yoneda embedding*  $Y : \mathcal{C} \rightarrow \mathbf{Set}^{\mathcal{C}^{\text{op}}}$  is the functor that maps an object  $A$  to  $\mathcal{C}[-, A]$  and morphisms similarly.

**Theorem 4.5.3** (Yoneda Lemma). *Let  $\mathcal{C}$  be a category. There exists a natural isomorphism*

$$\phi_{XF} : \mathbf{Set}^{\mathcal{C}^{\text{op}}}[\mathcal{C}[-, X], F] \cong FX$$

*that maps  $\tau : \mathcal{C}[-, X] \Rightarrow F$  to  $\tau_X(\text{id}_X)$ .*

PROOF:

$\langle 1 \rangle 1$ .  $\phi$  is natural in  $X$ .

PROOF:

$\langle 2 \rangle 1$ . LET:  $f : X \rightarrow Y : \mathcal{C}$

$\langle 2 \rangle 2$ . LET:  $\tau : \mathcal{C}[-, X] \Rightarrow F$

$\langle 2 \rangle 3$ .  $Ff(\phi(\tau)) = \phi(\tau \circ \mathcal{C}[-, f])$

PROOF:

$$\begin{aligned} \phi(\tau \circ \mathcal{C}[-, f]) &= \tau_Y(\text{id}_Y \circ f) \\ &= \tau_Y(f) \\ &= \tau_Y(f \circ \text{id}_X) \\ &= Ff(\tau_X(\text{id}_X)) && (\tau \text{ natural}) \\ &= Ff(\phi(\tau)) \end{aligned}$$

$\langle 1 \rangle 2$ .  $\phi$  is natural in  $F$ .

$\langle 2 \rangle 1$ . LET:  $\alpha : F \Rightarrow G : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$

$\langle 2 \rangle 2$ . LET:  $\tau : \mathcal{C}[-, X] \Rightarrow F$

$\langle 2 \rangle 3$ .  $\alpha_X(\phi(\tau)) = \phi(\alpha \bullet \tau)$

PROOF:  $\phi(\alpha \bullet \tau) = \alpha_X(\tau_X(\text{id}_X)) = \alpha_X(\phi(\tau))$

$\langle 1 \rangle 3$ . Each  $\phi_{XF}$  is injective.

$\langle 2 \rangle 1$ . LET:  $\sigma, \tau : \mathcal{C}[-, X] \Rightarrow F$

$\langle 2 \rangle 2$ . ASSUME:  $\phi(\sigma) = \phi(\tau)$

$\langle 2 \rangle 3$ . LET:  $f : Y \rightarrow X$

$\langle 2 \rangle 4$ .  $\sigma_Y(f) = \tau_Y(f)$

PROOF:

$$\begin{aligned}
 \sigma_Y(f) &= \sigma_Y(\text{id}_X \circ f) \\
 &= Ff(\sigma_X(\text{id}_X)) && (\sigma \text{ is natural}) \\
 &= Ff(\tau_X(\text{id}_X)) && (\langle 2 \rangle 2) \\
 &= \tau_Y(\text{id}_X \circ f) && (\tau \text{ is natural}) \\
 &= \tau_Y(f)
 \end{aligned}$$

$\langle 1 \rangle 4$ . Each  $\phi_{XF}$  is surjective.

$\langle 2 \rangle 1$ . LET:  $X \in \mathcal{C}$  and  $F : \mathcal{C} \rightarrow \mathcal{D}$

$\langle 2 \rangle 2$ . LET:  $a \in FX$

$\langle 2 \rangle 3$ . LET:  $\tau : \mathcal{C}[-, X] \Rightarrow F$  be given by  $\tau_Y(g) = Fg(a)$  for  $g : Y \rightarrow X$

$\langle 2 \rangle 4$ .  $\tau$  is natural.

$\langle 3 \rangle 1$ . LET:  $h : Y \rightarrow Z : \mathcal{C}$

PROVE:  $Fh \circ \tau_Z = \tau_Y \circ \mathcal{C}[h, \text{id}_X]$

$\langle 3 \rangle 2$ . LET:  $g : Z \rightarrow X$

$\langle 3 \rangle 3$ .  $Fh(\tau_Z(g)) = \tau_Y(g \circ h)$

PROOF:

$$\begin{aligned}
 \tau_Y(g \circ h) &= F(g \circ h)(a) \\
 &= Fh(Fg(a)) \\
 &= Fh(\tau_Z(g))
 \end{aligned}$$

$\langle 2 \rangle 5$ .  $\phi(\tau) = a$

PROOF:

$$\begin{aligned}
 \phi_X(\tau) &= \tau_X(\text{id}_X) \\
 &= F\text{id}_X(a) \\
 &= a
 \end{aligned}$$

□

**Corollary 4.5.3.1.** *The Yoneda embedding is fully faithful.*

**Corollary 4.5.3.2.** *Given objects  $A$  and  $B$  in  $\mathcal{C}$ , we have  $A \cong B$  if and only if  $\mathcal{C}[-, A] \cong \mathcal{C}[-, B]$ .*

## Chapter 5

# The Real Numbers

**Theorem 5.0.1.** *The following hold in the real numbers:*

1.  $x + (y + z) = (x + y) + z$
2.  $x(yz) = (xy)z$
3.  $x + y = y + x$
4.  $xy = yx$
5.  $x + 0 = x$
6.  $x1 = x$
7.  $x + (-x) = 0$
8. *If  $x \neq 0$  then  $x \cdot (1/x) = 1$*
9.  $x(y + z) = xy + xz$
10. *If  $x > y$  then  $x + z > y + z$ .*
11. *If  $x > y$  and  $z > 0$  then  $xz > yz$ .*
12.  $\mathbb{R}$  *has the least upper bound property.*
13. *If  $x < y$  then there exists  $z$  such that  $x < z < y$ .*

**Definition 5.0.2** (Subtraction). We write  $x - y$  for  $x + (-y)$ .

**Definition 5.0.3.** Given real numbers  $x$  and  $y$  with  $y \neq 0$ , we write  $x/y$  for  $xy^{-1}$ .

**Theorem 5.0.4.** *For any real numbers  $x$  and  $y$ , if  $x + y = x$  then  $y = 0$ .*

PROOF:

$\langle 1 \rangle$ 1. LET:  $x, y \in \mathbb{R}$

$\langle 1 \rangle 2$ . ASSUME:  $x + y = x$

$\langle 1 \rangle 3$ .  $y = 0$

PROOF:

$$\begin{aligned}
 y &= y + 0 && \text{(Definition of zero)} \\
 &= y + (x + (-x)) && \text{(Definition of } -x) \\
 &= (y + x) + (-x) && \text{(Associativity of Addition)} \\
 &= (x + y) + (-x) && \text{(Commutativity of Addition)} \\
 &= x + (-x) && (\langle 1 \rangle 2) \\
 &= 0 && \text{(Definition of } -x)
 \end{aligned}$$

□

**Theorem 5.0.5.**

$$\forall x \in \mathbb{R}. 0x = 0$$

PROOF:

$\langle 1 \rangle 1$ . LET:  $x \in \mathbb{R}$

$\langle 1 \rangle 2$ .  $xx + 0x = xx$

PROOF:

$$\begin{aligned}
 xx + 0x &= (x + 0)x && \text{(Distributive Law)} \\
 &= xx && \text{(Definition of 0)}
 \end{aligned}$$

$\langle 1 \rangle 3$ .  $0x = 0$

PROOF: Theorem 5.0.4,  $\langle 1 \rangle 2$ .

□

**Theorem 5.0.6.**

$$-0 = 0$$

PROOF: Since  $0 + 0 = 0$ . □

**Theorem 5.0.7.**

$$\forall x \in \mathbb{R}. -(-x) = x$$

PROOF: Since  $-x + x = 0$ . □

**Theorem 5.0.8.**

$$\forall x, y \in \mathbb{R}. x(-y) = -(xy)$$

PROOF:

$$\begin{aligned}
 x(-y) + xy &= x((-y) + y) && \text{(Distributive Law)} \\
 &= x0 && \text{(Definition of } -y) \\
 &= 0 && \text{(Theorem 5.0.5)} \quad \square
 \end{aligned}$$

**Theorem 5.0.9.**

$$\forall x \in \mathbb{R}. (-1)x = -x$$

PROOF:

$$\begin{aligned}
 (-1)x &= -(1 \cdot x) && \text{(Theorem 5.0.8)} \\
 &= -x && \text{(Definition of 1)} \quad \square
 \end{aligned}$$



### 5.0.1 Subtraction

**Theorem 5.0.10.**

$$\forall x, y, z \in \mathbb{R}. x(y - z) = xy - xz$$

PROOF:

$$\begin{aligned} x(y - z) &= x(y + (-z)) && \text{(Definition of subtraction)} \\ &= xy + x(-z) && \text{(Distributive Law)} \\ &= xy + (-(xz)) && \text{(Theorem 5.0.8)} \\ &= xy - xz && \text{(Definition of subtraction)} \quad \square \end{aligned}$$

**Theorem 5.0.11.**

$$\forall x, y \in \mathbb{R}. -(x + y) = -x - y$$

PROOF:

$$\begin{aligned} -(x + y) &= (-1)(x + y) && \text{(Theorem 5.0.9)} \\ &= (-1)x + (-1)y && \text{(Distributive Law)} \\ &= -x + (-y) && \text{(Theorem 5.0.9)} \\ &= -x - y && \text{(Definition of subtraction)} \quad \square \end{aligned}$$

**Theorem 5.0.12.**

$$\forall x, y \in \mathbb{R}. -(x - y) = -x + y$$

PROOF:

$$\begin{aligned} -(x - y) &= -(x + (-y)) && \text{(Definition of subtraction)} \\ &= -x - (-y) && \text{(Theorem 5.0.11)} \\ &= -x + (-(-y)) && \text{(Definition of subtraction)} \\ &= -x + y && \text{(Theorem 5.0.7)} \quad \square \end{aligned}$$

**Definition 5.0.13** (Reciprocal). Given  $x \in \mathbb{R}$  with  $x \neq 0$ , the *reciprocal* of  $x$ ,  $1/x$ , is the unique real number such that  $x \cdot 1/x = 1$ .

**Theorem 5.0.14.** For any real numbers  $x$  and  $y$ , if  $x \neq 0$  and  $xy = x$  then  $y = 1$ .

PROOF:

$\langle 1 \rangle 1$ . LET:  $x, y \in \mathbb{R}$

$\langle 1 \rangle 2$ . ASSUME:  $x \neq 0$

$\langle 1 \rangle 3$ . ASSUME:  $xy = x$

$\langle 1 \rangle 4$ .  $y = 1$

PROOF:

$$\begin{aligned} y &= y1 && \text{(Definition of 1)} \\ &= y(x \cdot 1/x) && \text{(Definition of } 1/x, \langle 1 \rangle 2) \\ &= (yx)1/x && \text{(Associativity of Multiplication)} \\ &= (xy)1/x && \text{(Commutativity of Multiplication)} \\ &= x \cdot 1/x && (\langle 1 \rangle 3) \\ &= 1 && \text{(Definition of } 1/x, \langle 1 \rangle 2) \end{aligned}$$

□

**Definition 5.0.15** (Quotient). Given real numbers  $x$  and  $y$  with  $y \neq 0$ , the quotient  $x/y$  is defined by

$$x/y = x \cdot 1/y .$$

**Theorem 5.0.16.** For any real number  $x$ , if  $x \neq 0$  then  $x/x = 1$ .

PROOF: Immediate from definitions. □

**Theorem 5.0.17.**

$$\forall x \in \mathbb{R}. x/1 = x$$

PROOF:

⟨1⟩1. LET:  $x \in \mathbb{R}$

⟨1⟩2.  $1/1 = 1$

PROOF: Since  $1 \cdot 1 = 1$ .

⟨1⟩3.  $x/1 = x$

PROOF: Since  $x/1 = x \cdot 1/1 = x \cdot 1 = x$ .

□

**Theorem 5.0.18.** For any real numbers  $x$  and  $y$ , if  $x \neq 0$  and  $y \neq 0$  then  $xy \neq 0$ .

PROOF:

⟨1⟩1. LET:  $x, y \in \mathbb{R}$

⟨1⟩2. ASSUME:  $xy = 0$  and  $x \neq 0$

PROVE:  $y = 0$

⟨1⟩3.  $y = 0$

PROOF:

$$\begin{aligned} y &= 1y && \text{(Definition of 1)} \\ &= (1/x)xy && \text{(Definition of } 1/x, \langle 1 \rangle 2) \\ &= (1/x)0 && \text{(\langle 1 \rangle 2)} \\ &= 0 && \text{(Theorem 5.0.5)} \end{aligned}$$

□

**Theorem 5.0.19.** For any real numbers  $y$  and  $z$ , if  $y \neq 0$  and  $z \neq 0$  then  $(1/y)(1/z) = 1/(yz)$ .

PROOF: Since  $yz(1/y)(1/z) = 1 \cdot 1 = 1$ . □

**Corollary 5.0.19.1.** For any real numbers  $x, y, z, w$  with  $y \neq 0 \neq w$ , we have  $(x/y)(z/w) = (xz)/(yw)$ .

**Theorem 5.0.20.** For any real numbers  $x, y, z, w$  with  $y \neq 0 \neq w$ , we have

$$\frac{x}{y} + \frac{z}{w} = \frac{xw + yz}{yw}$$

PROOF:

$$\begin{aligned} yw \left( \frac{x}{y} + \frac{z}{w} \right) &= yw \frac{x}{y} + yw \frac{z}{w} \\ &= wx + yz \end{aligned} \quad \square$$

**Theorem 5.0.21.** For any real number  $x$ , if  $x \neq 0$  then  $1/x \neq 0$ .

PROOF: Since  $x \cdot 1/x = 1 \neq 0$ .  $\square$

**Theorem 5.0.22.** For any real numbers  $w, z$ , if  $w \neq 0 \neq z$  then  $1/(w/z) = z/w$ .

PROOF: Since  $(z/w)(w/z) = (wz)/(wz) = 1$ .  $\square$

**Theorem 5.0.23.** For any real numbers  $a, x$  and  $y$ , if  $y \neq 0$  then  $(ax)/y = a(x/y)$

PROOF: Since  $ya(x/y) = ax$ .  $\square$

**Theorem 5.0.24.** For any real numbers  $x$  and  $y$ , if  $y \neq 0$  then  $(-x)/y = x/(-y) = -(x/y)$ .

PROOF:

$\langle 1 \rangle 1.$   $(-x)/y = -(x/y)$

PROOF: Take  $a = -1$  in Theorem 5.0.23.

$\langle 1 \rangle 2.$   $x/(-y) = -(x/y)$

PROOF: Since  $(-y)(-(x/y)) = y(x/y) = x$ .

$\square$

**Theorem 5.0.25.** For any real numbers  $x, y, z$  and  $w$ , if  $x > y$  and  $w > z$  then  $x + w > y + z$ .

PROOF: We have  $y + z < x + z < x + w$  by Monotonicity of Addition twice.  $\square$

**Corollary 5.0.25.1.** For any real numbers  $x$  and  $y$ , if  $x > 0$  and  $y > 0$  then  $x + y > 0$ .

**Theorem 5.0.26.** For any real numbers  $x$  and  $y$ , if  $x > 0$  and  $y > 0$  then  $xy > 0$ .

PROOF:

$$\begin{aligned} xy &> 0y && \text{(Monotonicity of Multiplication)} \\ &= 0 && \text{(Theorem 5.0.5)} \end{aligned} \quad \square$$

**Theorem 5.0.27.** For any real number  $x$ , we have  $x > 0$  iff  $-x < 0$ .

PROOF:

$\langle 1 \rangle 1.$  If  $0 < x$  then  $-x < 0$

PROOF: By Monotonicity of Addition adding  $-x$  to both sides.

$\langle 1 \rangle 2.$  If  $-x < 0$  then  $0 < x$

PROOF: By Monotonicity of Addition adding  $x$  to both sides.

$\square$

**Theorem 5.0.28.** *For any real numbers  $x$  and  $y$ , we have  $x > y$  iff  $-x < -y$ .*

PROOF:

$\langle 1 \rangle 1$ . If  $y < x$  then  $-x < -y$ .

PROOF: By Monotonicity of Addition adding  $-x - y$  to both sides.

$\langle 1 \rangle 2$ . If  $-x < -y$  then  $y < x$ .

PROOF: By Monotonicity of Addition adding  $x + y$  to both sides.

□

**Theorem 5.0.29.** *For any real numbers  $x$ ,  $y$  and  $z$ , if  $x > y$  and  $z < 0$  then  $xz < yz$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $x$ ,  $y$  and  $z$  be real numbers.

$\langle 1 \rangle 2$ . ASSUME:  $x > y$

$\langle 1 \rangle 3$ . ASSUME:  $z < 0$

$\langle 1 \rangle 4$ .  $-z > 0$

PROOF: Theorem 5.0.27,  $\langle 1 \rangle 3$ .

$\langle 1 \rangle 5$ .  $x(-z) > y(-z)$

PROOF:  $\langle 1 \rangle 2$ ,  $\langle 1 \rangle 4$ , Monotonicity of Multiplication.

$\langle 1 \rangle 6$ .  $-(xz) > -(yz)$

PROOF: Theorem 5.0.8,  $\langle 1 \rangle 5$ .

$\langle 1 \rangle 7$ .  $xz < yz$

PROOF: Theorem 5.0.27,  $\langle 1 \rangle 6$ .

□

**Theorem 5.0.30.** *For any real number  $x$ , if  $x \neq 0$  then  $xx > 0$ .*

PROOF:

$\langle 1 \rangle 1$ . If  $x > 0$  then  $xx > 0$

PROOF: By Monotonicity of Multiplication.

$\langle 1 \rangle 2$ . If  $x < 0$  then  $xx > 0$

PROOF: Theorem 5.0.29.

□

**Theorem 5.0.31.**

$$0 < 1$$

PROOF: By Theorem 5.0.30 since  $1 = 1 \cdot 1$ . □

**Definition 5.0.32** (Positive). A real number  $x$  is *positive* iff  $x > 0$ .

We write  $\mathbb{R}_+$  for the set of positive reals.

**Theorem 5.0.33.** *For any real numbers  $x$  and  $y$ , we have  $xy$  is positive if and only if  $x$  and  $y$  are both positive or both negative.*

PROOF: By the Monotonicity of Multiplication and Theorem 5.0.29. □

**Corollary 5.0.33.1.** *For any real number  $x$ , if  $x > 0$  then  $1/x > 0$ .*

PROOF: Since  $x \cdot 1/x = 1$  is positive. □

**Theorem 5.0.34.** For any real numbers  $x$  and  $y$ , if  $x > y > 0$  then  $1/x < 1/y$ .

PROOF: If  $1/y \leq 1/x$  then  $1 < 1$  by Monotonicity of Multiplication.  $\square$

**Theorem 5.0.35.** For any real numbers  $x$  and  $y$ , if  $x < y$  then  $x < (x+y)/2 < y$ .

PROOF: We have  $2x < x+y$  and  $x+y < 2y$  by Monotonicity of Addition, hence  $x < (x+y)/2 < y$  by Monotonicity of Multiplication since  $1/2 > 0$ .  $\square$

**Corollary 5.0.35.1.**  $\mathbb{R}$  is a linear continuum.

**Definition 5.0.36** (Negative). A real number  $x$  is *negative* iff  $x < 0$ .

We write  $\overline{\mathbb{R}_+}$  for the set of nonnegative reals.

**Theorem 5.0.37.** For every positive real number  $a$ , there exists a unique positive real  $\sqrt{a}$  such that  $\sqrt{a}^2 = a$ .

PROOF:

$\langle 1 \rangle 1$ . LET:  $a$  be a positive real.

$\langle 1 \rangle 2$ . For any real numbers  $x$  and  $h$ , if  $0 \leq h < 1$ , then  

$$(x+h)^2 < x^2 + h(2x+1) .$$

$\langle 2 \rangle 1$ . LET:  $x$  and  $h$  be real numbers.

$\langle 2 \rangle 2$ . ASSUME:  $0 \leq h < 1$

$\langle 2 \rangle 3$ .  $(x+h)^2 < x^2 + h(2x+1)$

PROOF:

$$\begin{aligned} (x+h)^2 &= x^2 + 2hx + h^2 \\ &< x^2 + 2hx + h & (\langle 2 \rangle 2) \\ &= x^2 + h(2x+1) \end{aligned}$$

$\langle 1 \rangle 3$ . For any real numbers  $x$  and  $h$ , if  $h > 0$  then  

$$(x-h)^2 > x^2 - 2hx .$$

$\langle 2 \rangle 1$ . LET:  $x$  and  $h$  be real numbers.

$\langle 2 \rangle 2$ . ASSUME:  $h > 0$

$\langle 2 \rangle 3$ .  $(x-h)^2 > x^2 - 2hx$

PROOF:

$$\begin{aligned} (x-h)^2 &= x^2 - 2hx + h^2 \\ &> x^2 - 2hx & (\langle 2 \rangle 2) \end{aligned}$$

$\langle 1 \rangle 4$ . For any positive real  $x$ , if  $x^2 < a$  then there exists  $h > 0$  such that  

$$(x+h)^2 < a.$$

$\langle 2 \rangle 1$ . LET:  $x$  be a positive real.

$\langle 2 \rangle 2$ . ASSUME:  $x^2 < a$

$\langle 2 \rangle 3$ . LET:  $h = \min((a-x^2)/(2x+1), 1/2)$

$\langle 2 \rangle 4$ .  $0 < h < 1$

$\langle 2 \rangle 5$ .  $(x+h)^2 < a$

PROOF:

$$\begin{aligned} (x+h)^2 &< x^2 + h(2x+1) & (\langle 1 \rangle 2) \\ &\leq a \end{aligned}$$

⟨1⟩5. For any positive real  $x$ , if  $x^2 > a$  then there exists  $h > 0$  such that  $(x - h)^2 > a$ .

⟨2⟩1. LET:  $x$  be a positive real.

⟨2⟩2. ASSUME:  $x^2 > a$

⟨2⟩3. LET:  $h = (x^2 - a)/2x$

⟨2⟩4.  $h > 0$

⟨2⟩5.  $(x - h)^2 > a$

PROOF:

$$(x - h)^2 > x^2 - 2hx$$

$$= a$$

(⟨2⟩3)

⟨1⟩6. LET:  $B = \{x \in \mathbb{R} : x^2 < a\}$

⟨1⟩7.  $B$  is bounded above.

PROOF: If  $a \geq 1$  then  $a$  is an upper bound. If  $a < 1$  then 1 is an upper bound.

⟨1⟩8.  $B$  contains at least one positive real.

PROOF: If  $a \geq 1$  then  $1 \in B$ . If  $a < 1$  then  $a \in B$ .

⟨1⟩9. LET:  $b = \sup B$

⟨1⟩10.  $b^2 = a$

⟨2⟩1.  $b^2 \geq a$

⟨3⟩1. ASSUME: for a contradiction  $b^2 < a$

⟨3⟩2. PICK  $h > 0$  such that  $(b + h)^2 < a$

PROOF: ⟨1⟩4

⟨3⟩3.  $b + h \in B$

⟨3⟩4. Q.E.D.

PROOF: This contradicts ⟨1⟩9.

⟨2⟩2.  $b^2 \leq a$

⟨3⟩1. ASSUME: for a contradiction  $b^2 > a$

⟨3⟩2. PICK  $h > 0$  such that  $(b - h)^2 > a$

PROOF: ⟨1⟩5

⟨3⟩3. PICK  $x \in B$  such that  $b - h < x$

PROOF: ⟨1⟩9

⟨3⟩4.  $(b - h)^2 < x^2 < a$

⟨3⟩5. Q.E.D.

PROOF: This contradicts ⟨3⟩2

⟨1⟩11. For any positive reals  $b$  and  $c$ , if  $b^2 = c^2$  then  $b = c$ .

⟨2⟩1. LET:  $b$  and  $c$  be positive reals.

⟨2⟩2. ASSUME:  $b^2 = c^2$

⟨2⟩3.  $b^2 - c^2 = 0$

⟨2⟩4.  $(b - c)(b + c) = 0$

⟨2⟩5.  $b - c = 0$  or  $b + c = 0$

⟨2⟩6.  $b + c \neq 0$

PROOF: Since  $b + c > 0$

⟨2⟩7.  $b - c = 0$

⟨2⟩8.  $b = c$

□

**Theorem 5.0.38.** *The set of real numbers is uncountable.*

**Definition 5.0.39.** We write  $\mathbb{R}^\infty$  for the set of sequences in  $\mathbb{R}^\omega$  that are eventually zero.

**Definition 5.0.40** (Hilbert Cube). The *Hilbert cube* is  $\prod_{n=0}^\infty [0, 1/(n+1)]$ .

**Definition 5.0.41** (Unit Ball). The *unit ball* is  $B^2 := \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 \leq 1\}$ .





## Chapter 6

# Integers and Rationals

### 6.1 Positive Integers

**Definition 6.1.1** (Inductive). A set of real numbers  $A$  is *inductive* iff  $1 \in A$  and  $\forall x \in A. x + 1 \in A$ .

**Definition 6.1.2** (Positive Integer). The set  $\mathbb{Z}_+$  of *positive integers* is the intersection of the set of inductive sets.

**Proposition 6.1.3.** *Every positive integer is positive.*

PROOF: The set of positive reals is inductive.  $\square$

**Proposition 6.1.4.** *1 is the least element of  $\mathbb{Z}_+$ .*

PROOF: Since  $\{x \in \mathbb{R} : x \geq 1\}$  is inductive.  $\square$

**Proposition 6.1.5.**  *$\mathbb{Z}_+$  is inductive.*

PROOF: 1 is an element of every inductive set, and for all  $x \in \mathbb{R}$ , if  $x$  is an element of every inductive set then so is  $x + 1$ .  $\square$

**Theorem 6.1.6** (Principle of Induction). *If  $A$  is an inductive set of positive integers then  $A = \mathbb{Z}_+$ .*

PROOF: Immediate from definitions.  $\square$

**Theorem 6.1.7** (Well-Ordering Property).  *$\mathbb{Z}_+$  is well ordered.*

PROOF: Construct the obvious order isomorphism  $\omega \cong \mathbb{Z}_+$ .  $\square$

**Theorem 6.1.8** (Archimedean Ordering Property). *The set  $\mathbb{Z}_+$  is unbounded above.*

PROOF:

$\langle 1 \rangle$ 1. ASSUME: for a contradiction  $\mathbb{Z}_+$  is bounded above.

⟨1⟩2. LET:

$$s = \sup \mathbb{Z}_+$$

⟨1⟩3. PICK  $n \in \mathbb{Z}_+$  such that  $s - 1 < n$

⟨1⟩4.  $s < n + 1$

⟨1⟩5. Q.E.D.

PROOF: ⟨1⟩2 and ⟨1⟩4 form a contradiction.

□

### 6.1.1 Exponentiation

**Definition 6.1.9.** For  $a$  a real number and  $n$  a positive integer, define the real number  $a^n$  recursively as follows:

$$\begin{aligned} a^1 &= a \\ a^{n+1} &= a^n a \end{aligned}$$

**Theorem 6.1.10.** For all  $a \in \mathbb{R}$  and  $m, n \in \mathbb{Z}_+$ , we have

$$a^n a^m = a^{n+m}$$

PROOF:

⟨1⟩1. LET:  $P(m)$  be the property  $\forall a \in \mathbb{R}. \forall n \in \mathbb{Z}_+. a^n a^m = a^{n+m}$

⟨1⟩2.  $P(1)$

PROOF:  $a^n a^1 = a^n a = a^{n+1}$ .

⟨1⟩3.  $\forall m \in \mathbb{Z}_+. P(m) \Rightarrow P(m+1)$

⟨2⟩1. LET:  $m$  be a positive integer.

⟨2⟩2. ASSUME:  $P(m)$

⟨2⟩3. LET:  $a \in \mathbb{R}$

⟨2⟩4. LET:  $n \in \mathbb{Z}_+$

⟨2⟩5.  $a^n a^{m+1} = a^{n+m+1}$

PROOF:

$$\begin{aligned} a^n a^{m+1} &= a^n a^m a \\ &= a^{n+m} a && (\langle 2 \rangle 2) \\ &= a^{n+m+1} \end{aligned}$$

⟨1⟩4. Q.E.D.

PROOF: By induction.

□

**Theorem 6.1.11.** For all  $a \in \mathbb{R}$  and  $m, n \in \mathbb{Z}_+$ ,

$$(a^n)^m = a^{nm}.$$

PROOF:

⟨1⟩1. LET:  $P(m)$  be the property  $\forall a \in \mathbb{R}. \forall n \in \mathbb{Z}_+. (a^n)^m = a^{nm}$ .

⟨1⟩2.  $P(1)$

PROOF:  $(a^n)^1 = a^n = a^{n \cdot 1}$

⟨1⟩3.  $\forall m \in \mathbb{Z}_+. P(m) \Rightarrow P(m+1)$

PROOF:

$$\begin{aligned} (a^n)^{m+1} &= (a^n)^m a^n \\ &= a^{nm} a^n \\ &= a^{nm+n} && (\text{Theorem 6.1.10}) \\ &= a^{n(m+1)} \end{aligned}$$

□

**Theorem 6.1.12.** *For any real numbers  $a$  and  $b$  and positive integer  $m$ ,*

$$a^m b^m = (ab)^m .$$

PROOF: Induction on  $m$ . □

## 6.2 Integers

**Definition 6.2.1** (Integer). The set  $\mathbb{Z}$  of *integers* is

$$\mathbb{Z} = \mathbb{Z}_+ \cup \{0\} \cup \{-x : x \in \mathbb{Z}_+\} .$$

**Proposition 6.2.2.** *The sum, difference and product of two integers is an integer.*

PROOF: Easy. □

**Example 6.2.3.**  $1/2$  is not an integer.

**Proposition 6.2.4.** *For any integer  $n$ , there is no integer  $a$  such that  $n < a < n+1$ .*

PROOF:

⟨1⟩1. For any positive integer  $n$ , there is no integer  $a$  such that  $n < a < n+1$ .

⟨2⟩1. There is no integer  $a$  such that  $1 < a < 2$ .

⟨3⟩1. There is no positive integer  $a$  such that  $1 < a < 2$ .

⟨4⟩1. We do not have  $1 < 1 < 2$ .

⟨4⟩2. For any positive integer  $n$ , we do not have  $1 < n+1 < 2$ .

PROOF: Since  $n \geq 1$  so  $n+1 \geq 2$ .

⟨3⟩2. We do not have  $1 < 0 < 2$ .

⟨3⟩3. For any positive integer  $a$ , we do not have  $1 < -a < 2$ .

PROOF: Since  $-a < 0 < 1$ .

⟨2⟩2. For any positive integer  $n$ , if there is no integer  $a$  such that  $n < a < n+1$ , then there is no integer  $a$  such that  $n+1 < a < n+2$ .

PROOF: If  $n+1 < a < n+2$  then  $n < a-1 < n+1$ .

⟨1⟩2. There is no integer  $a$  such that  $0 < a < 1$ .

PROOF: If  $0 < a < 1$  then  $1 < a+1 < 2$ .

⟨1⟩3. For any positive integer  $n$ , there is no integer  $a$  such that  $-n < a < -n+1$ .

PROOF: If  $-n < a < -n+1$  then  $n-1 < -a < n$ .

□

**Theorem 6.2.5.** *Every nonempty subset of  $\mathbb{Z}$  bounded above has a largest element.*

PROOF:

⟨1⟩1. LET:  $S$  be a nonempty subset of  $\mathbb{Z}$  bounded above.

⟨1⟩2. LET:  $u$  be an upper bound for  $S$ .

⟨1⟩3. PICK an integer  $n > u$

PROOF: Archimedean property.

⟨1⟩4. LET:  $k$  be the least positive integer such that  $n - k \in S$ .

⟨2⟩1. PICK  $m \in S$

⟨2⟩2.  $n - m$  is a positive integer.

⟨2⟩3. There exists a positive integer  $k$  such that  $n - k \in S$ .

⟨1⟩5.  $n - k$  is the greatest element in  $S$ .

⟨2⟩1. LET:  $m \in S$

⟨2⟩2.  $n - m \geq k$

⟨2⟩3.  $m \leq n - k$

□

**Theorem 6.2.6.** *For any real number  $x$ , if  $x$  is not an integer then there exists a unique integer  $n$  such that  $n < x < n + 1$ .*

PROOF:

⟨1⟩1.  $\{n \in \mathbb{Z} : n < x\}$  is a nonempty set of integers bounded above.

⟨2⟩1. PICK  $m > -x$

PROOF: Archimedean property.

⟨2⟩2.  $-m < x$

⟨2⟩3.  $\{n \in \mathbb{Z} : n < x\}$  is nonempty.

⟨1⟩2. LET:  $n$  be the greatest integer such that  $n < x$

⟨1⟩3.  $x < n + 1$

⟨1⟩4. If  $n'$  is an integer with  $n' < x < n' + 1$  then  $n' = n$ .

PROOF: We have  $n' < n + 1$  so  $n' \leq n$ , and  $n < n' + 1$  so  $n \leq n'$ .

□

**Definition 6.2.7** (Even). An integer  $n$  is *even* iff  $n/2$  is an integer; otherwise,  $n$  is *odd*.

**Theorem 6.2.8.** *If the integer  $m$  is odd then there exists an integer  $n$  such that  $m = 2n + 1$ .*

PROOF:

⟨1⟩1. LET:  $n$  be the integer such that  $n < m/2 < n + 1$

PROOF: Theorem 6.2.6.

⟨1⟩2.  $2n < m < 2n + 2$

⟨1⟩3.  $m = 2n + 1$

□

**Theorem 6.2.9.** *The product of two odd integers is odd.*

PROOF:  $(2m + 1)(2n + 1) = 2(2mn + m + n) + 1$ .  $\square$

**Corollary 6.2.9.1.** *If  $p$  is an odd integer and  $n$  is a positive integer then  $p^n$  is an odd integer.*

**Definition 6.2.10** (Exponentiation). Extend the definition of exponentiation so  $a^n$  is defined for:

- all real numbers  $a$  and non-negative integers  $n$
- all non-zero real numbers  $a$  and integers  $n$

as follows:

$$\begin{aligned} a^0 &= 1 \\ a^{-n} &= 1/a^n \end{aligned} \quad (n \text{ a positive integer})$$

**Theorem 6.2.11** (Laws of Exponents). *For all non-zero reals  $a$  and  $b$  and integers  $m$  and  $n$ ,*

$$\begin{aligned} a^n a^m &= a^{n+m} \\ (a^n)^m &= a^{nm} \\ a^m b^m &= (ab)^m \end{aligned}$$

PROOF: Easy.  $\square$

**Theorem 6.2.12.**  $\mathbb{Z}$  is countable.

PROOF: The function that maps an integer  $n$  to  $2n$  if  $n \geq 0$  and  $-1 - 2n$  if  $n < 0$  is a bijection  $\mathbb{Z} \approx \mathbb{N}$ .  $\square$

## 6.3 Rational Numbers

**Definition 6.3.1** (Rational Number). The set  $\mathbb{Q}$  of *rational numbers* is the set of all real numbers that are the quotient of two integers. A real that is not rational is *irrational*.

**Theorem 6.3.2.**  $\sqrt{2}$  is irrational.

PROOF:

- $\langle 1 \rangle$ 1. For any positive rational  $a$ , there exist positive integers  $m$  and  $n$  not both even such that  $a = m/n$ .
- $\langle 2 \rangle$ 1. LET:  $a$  be a positive rational.
- $\langle 2 \rangle$ 2. LET:  $n$  be the least positive integer such that  $na$  is a positive integer.
- $\langle 2 \rangle$ 3. LET:  $m = na$
- $\langle 2 \rangle$ 4. ASSUME: for a contradiction  $m$  and  $n$  are both even.
- $\langle 2 \rangle$ 5.  $m/2 = (n/2)a$
- $\langle 2 \rangle$ 6. Q.E.D.

PROOF: This contradicts the leastness of  $n$  ( $\langle 2 \rangle 2$ ).

$\langle 1 \rangle 2$ . ASSUME: for a contradiction  $\sqrt{2}$  is rational.

$\langle 1 \rangle 3$ . PICK positive integers  $m$  and  $n$  not both even such that  $\sqrt{2} = m/n$ .

$\langle 1 \rangle 4$ .  $m^2 = 2n^2$

$\langle 1 \rangle 5$ .  $m^2$  is even.

$\langle 1 \rangle 6$ .  $m$  is even.

PROOF: Theorem 6.2.9.

$\langle 1 \rangle 7$ . LET:  $k = m/2$

$\langle 1 \rangle 8$ .  $4k^2 = 2n^2$

$\langle 1 \rangle 9$ .  $n^2 = 2k^2$

$\langle 1 \rangle 10$ .  $n^2$  is even.

$\langle 1 \rangle 11$ .  $n$  is even.

PROOF: Theorem 6.2.9.

$\langle 1 \rangle 12$ . Q.E.D.

PROOF:  $\langle 1 \rangle 3$ ,  $\langle 1 \rangle 6$  and  $\langle 1 \rangle 11$  form a contradiction.

□

**Theorem 6.3.3.**  $\mathbb{Q}$  is countably infinite.

PROOF: The function  $\mathbb{Z} \times \mathbb{N} \rightarrow \mathbb{Q}$  that maps  $(m, n)$  to  $m/(n+1)$  is a surjection.

□

## 6.4 Algebraic Numbers

**Definition 6.4.1** (Algebraic Number). A real number  $r$  is *algebraic* iff there exists a natural number  $n$  and rational numbers  $a_0, a_1, \dots, a_{n-1}$  such that

$$r^n + a_{n-1}r^{n-1} + \dots + a_1r + a_0 = 0$$

Otherwise,  $r$  is *transcendental*.

**Proposition 6.4.2.** The set of algebraic numbers is countably infinite.

PROOF: There are countably many finite sequences of rational numbers, and each corresponding polynomial has only finitely many roots. □

**Corollary 6.4.2.1.** The set of transcendental numbers is uncountable.

## Chapter 7

# Monoid Theory

**Definition 7.0.1** (Monoid). A *monoid* is a category with one object.

**Definition 7.0.2.** Let  $\mathcal{C}$  be a category and  $X \in \mathcal{C}$ . The monoid  $\text{End}_{\mathcal{C}}(X)$  is the set of all morphisms  $X \rightarrow X$  under composition.

**Proposition 7.0.3.** *For any functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $X \in \mathcal{C}$ , we have that  $F : \text{End}_{\mathcal{C}}(X) \rightarrow \text{End}_{\mathcal{D}}(FX)$  is a monoid homomorphism.*

PROOF: Since  $F\text{id}_X = \text{id}_{FX}$  and  $F(g \circ f) = Fg \circ Ff$ .  $\square$





## Chapter 8

# Group Theory

### 8.1 Category of Small Groups

**Definition 8.1.1.** Let **Grp** be the category of small groups and group homomorphisms.

**Definition 8.1.2.** We identify any group  $G$  with the category with one object whose morphisms are the elements of  $G$  with composition given by the multiplication in  $G$ .

**Proposition 8.1.3.** *The trivial group is a zero object in **Grp**.*

PROOF: Easy.  $\square$

The zero morphism  $G \rightarrow H$  maps every element in  $G$  to  $e$ .

**Definition 8.1.4.** Let  $\mathcal{C}$  be a category and  $X \in \mathcal{C}$ . We write  $\text{Aut}_{\mathcal{C}}(X)$  for the set of all isomorphisms  $X \cong X$  under composition.

**Proposition 8.1.5.** *Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor and  $X \in \mathcal{C}$ . Then  $F : \text{Aut}_{\mathcal{C}}(X) \rightarrow \text{Aut}_{\mathcal{D}}(FX)$  is a group homomorphism.*

PROOF: Since  $F \text{id}_X = \text{id}_{FX}$ ,  $F(g \circ f) = Fg \circ Ff$ , and  $Ff^{-1} = (Ff)^{-1}$ .  $\square$

**Proposition 8.1.6.** **Grp** has products.

**Definition 8.1.7** (Free Product). The product of a family of groups in **Grp** is called the *free product*.

**Proposition 8.1.8.** **Ab** has products given by direct sums.

**Definition 8.1.9** (Left Coset). Let  $G$  be a group and  $H$  a subgroup of  $G$ . The *left cosets* of  $H$  are the sets of the form

$$xH := \{xh : h \in H\}$$

We write  $G/H$  for the set of left cosets of  $H$  in  $G$ .

**Proposition 8.1.10.** *Let  $G$  be a group and  $H$  a subgroup of  $G$ . Then  $G/H$  is a partition of  $G$ .*

PROOF:

$\langle 1 \rangle 1$ .  $\bigcup (G/H) = G$

PROOF: Since  $x = xe$  and so  $x \in xH$ .

$\langle 1 \rangle 2$ . Any two distinct left cosets of  $H$  are disjoint.

PROOF: Since if  $z \in xH$  and  $z \in yH$  then  $xH = yH = zH$ .

□

**Definition 8.1.11.** Let  $G$  be a group. Let  $A$  and  $B$  be subsets of  $G$ . Then

$$AB := \{ab : a \in A, b \in B\} .$$

**Definition 8.1.12.** Let  $G$  be a group. Let  $A$  be a subset of  $G$ . Then

$$A^{-1} := \{a^{-1} : a \in A\} .$$

## Chapter 9

# Ring Theory

**Definition 9.0.1.** Let **Ring** be the concrete category of rings and ring homomorphisms.

**Definition 9.0.2** (Spectrum). Let  $R$  be a commutative ring. The *spectrum* of  $R$ ,  $\text{spec } R$ , is the set of all prime ideals of  $R$ .

**Definition 9.0.3** (Zariski Topology). Let  $R$  be a commutative ring. The *Zariski topology* on  $\text{spec } R$  is the topology where the closed sets are the sets of the form

$$VE := \{p \in \text{spec } R : E \subseteq p\}$$

for any  $E \in \mathcal{P}R$ .

We prove this is a topology.

PROOF:

$\langle 1 \rangle 1$ . LET:  $\mathcal{C} = \{VE : E \in \mathcal{P}R\}$

$\langle 1 \rangle 2$ . For all  $\mathcal{A} \subseteq \mathcal{C}$  we have  $\bigcap \mathcal{A} \in \mathcal{C}$

$\langle 2 \rangle 1$ . LET:  $\mathcal{A} \subseteq \mathcal{C}$

$\langle 2 \rangle 2$ . LET:  $E = \bigcup \{E' \in \mathcal{P}R : VE' \in \mathcal{A}\}$

PROVE:  $VE = \bigcap \mathcal{A}$

$\langle 2 \rangle 3$ . For all  $p \in \text{spec } R$ , if  $E \subseteq p$  then  $p \in \bigcap \mathcal{A}$

$\langle 3 \rangle 1$ . LET:  $p \in \text{spec } R$

$\langle 3 \rangle 2$ . ASSUME:  $E \subseteq p$

$\langle 3 \rangle 3$ . LET:  $E' \in \mathcal{P}R$  with  $VE' \in \mathcal{A}$

$\langle 3 \rangle 4$ .  $E' \subseteq E$

$\langle 3 \rangle 5$ .  $E' \subseteq p$

$\langle 3 \rangle 6$ .  $p \in VE'$

$\langle 2 \rangle 4$ . For all  $p \in \text{spec } R$ , if  $p \in \bigcap \mathcal{A}$  then  $E \subseteq p$

$\langle 3 \rangle 1$ . LET:  $p \in \bigcap \mathcal{A}$

$\langle 3 \rangle 2$ . For all  $E' \in \mathcal{P}R$  with  $VE' \in \mathcal{A}$  we have  $E' \subseteq p$

$\langle 3 \rangle 3$ .  $E \subseteq p$

$\langle 1 \rangle 3$ . For all  $C, D \in \mathcal{C}$  we have  $C \cup D \in \mathcal{C}$ .

PROOF: Since  $VE \cup VE' = V(E \cap E')$

$\langle 1 \rangle 4. \emptyset \in \mathcal{C}$

$\langle 2 \rangle 1. VR = \emptyset$

PROOF: If  $p \in VR$  then  $R \subseteq p$  contradicting the fact that  $p$  is a prime ideal.

□

**Definition 9.0.4.** For any ring  $R$ , let  $R - \mathbf{Mod}$  be the category of small  $R$ -modules and  $R$ -module homomorphisms.

**Proposition 9.0.5.**  $R - \mathbf{Mod}$  has products and coproducts.

## Chapter 10

# Field Theory

**Proposition 10.0.1.** *Field does not have binary products.*

PROOF: There cannot be a field  $K$  with field homomorphisms  $K \rightarrow \mathbb{Z}_2$  and  $K \rightarrow \mathbb{Z}_3$ , because its characteristic would be both 2 and 3.  $\square$



# Chapter 11

## Linear Algebra

**Definition 11.0.1** (Span). Let  $V$  be a vector space and  $A \subseteq V$ . The *span* of  $A$  is the set of all linear combinations of elements of  $A$ .

**Definition 11.0.2** (Independent). Let  $V$  be a vector space and  $A \subseteq V$ . Then  $A$  is *linearly independent* iff, whenever

$$\alpha_1 v_1 + \cdots + \alpha_n v_n = 0$$

where  $v_1, \dots, v_n \in A$ , then

$$\alpha_1 = \cdots = \alpha_n = 0 .$$

**Proposition 11.0.3.** *Let  $V$  be a vector space,  $A \subseteq V$  and  $v \in V$ . If  $A$  is linearly independent and  $v \notin \text{span } A$ , then  $A \cup \{v\}$  is independent.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $\alpha_1 v_1 + \cdots + \alpha_n v_n + \beta v = 0$  where  $v_1, \dots, v_n \in A$

$\langle 1 \rangle 2$ .  $\beta = 0$

PROOF: Otherwise  $v = (\alpha_1/\beta)v_1 + \cdots + (\alpha_n/\beta)v_n \in \text{span } A$ .

$\langle 1 \rangle 3$ .  $\alpha_1 = \cdots = \alpha_n = 0$

PROOF: Since  $A$  is linearly independent.

□

**Theorem 11.0.4.** *Every vector space has a basis.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $V$  be a vector space.

$\langle 1 \rangle 2$ . PICK a maximal linearly independent set  $\mathcal{B}$ .

PROOF: By Tukey's Lemma.

$\langle 1 \rangle 3$ .  $\text{span } \mathcal{B} = V$

PROOF: Proposition 11.0.3.

□

**Definition 11.0.5.** For any field  $K$ , we write  $\mathbf{Vect}_K$  for  $K - \mathbf{Mod}$ .

Dual space functor  $\mathbf{Vect}_K^{\text{op}} \rightarrow \mathbf{Vect}_K$ .





# Chapter 12

## Topology

### 12.1 Topological Spaces

**Definition 12.1.1** (Topological Space). Let  $X$  be a set and  $\mathcal{O} \subseteq \mathcal{P}X$ . Then we say  $(X, \mathcal{O})$  is a *topological space* iff:

- For any  $\mathcal{U} \subseteq \mathcal{O}$  we have  $\bigcup \mathcal{U} \in \mathcal{O}$ .
- For any  $U, V \in \mathcal{O}$  we have  $U \cap V \in \mathcal{O}$ .
- $X \in \mathcal{O}$

We call  $\mathcal{O}$  the *topology* of the topological space, and call its elements *open* sets. We shall often write  $X$  for the topological space  $(X, \mathcal{O})$ .

**Definition 12.1.2** (Discrete Topology). For any set  $X$ , the power set  $\mathcal{P}X$  is called the *discrete* topology on  $X$ .

**Proposition 12.1.3.** *For any set  $X$ , the discrete topology on  $X$  is a topology on  $X$ .*

**Definition 12.1.4** (Indiscrete Topology). For any set  $X$ , the *indiscrete* or *trivial* topology on  $X$  is  $\{\emptyset, X\}$ .

**Proposition 12.1.5.** *For any set  $X$ , the indiscrete topology on  $X$  is a topology on  $X$ .*

**Definition 12.1.6** (Cofinite Topology). For any set  $X$ , the *cofinite* topology is  $\{X - U : U \subseteq X \text{ is finite}\}$ .

**Definition 12.1.7** (Cocountable Topology). For any set  $X$ , the *cocountable* topology is  $\{X - U : U \subseteq X \text{ is countable}\}$ .

**Definition 12.1.8** (Sierpiński Two-Point Space). The *Sierpiński two-point space* is  $\{0, 1\}$  under the topology  $\{\emptyset, \{1\}, \{0, 1\}\}$ .

**Proposition 12.1.9.** *Let  $X$  be a topological space and  $U \subseteq X$ . Then  $U$  is open if and only if, for all  $x \in U$ , there exists an open set  $V$  such that  $x \in V \subseteq U$ .*

**Proposition 12.1.10.** *The intersection of a set of topologies on a set  $X$  is a topology on  $X$ .*

**Definition 12.1.11** (Closed Set). *Let  $X$  be a topological space and  $A \subseteq X$ . Then  $A$  is closed iff  $X - A$  is open.*

**Proposition 12.1.12.** *A set  $B$  is open if and only if  $X - B$  is closed.*

**Proposition 12.1.13.** *Let  $X$  be a set and  $\mathcal{C} \subseteq \mathcal{P}X$ . Then there exists a topology  $\mathcal{O}$  on  $X$  such that  $\mathcal{C}$  is the set of closed sets if and only if:*

- For any  $\mathcal{D} \subseteq \mathcal{C}$  we have  $\bigcap \mathcal{D} \in \mathcal{C}$
- For any  $C, D \in \mathcal{C}$  we have  $C \cup D \in \mathcal{C}$ .
- $\emptyset \in \mathcal{C}$

*In this case,  $\mathcal{O}$  is unique and is given by  $\mathcal{O} = \{X - C : C \in \mathcal{C}\}$ .*

**Theorem 12.1.14.** *Let  $X$  be a set. Let  $\mathcal{C} \subseteq \mathcal{P}X$ . Then there exists a topology on  $X$  such that  $\mathcal{C}$  is the set of closed sets if and only if:*

1.  $\emptyset \in \mathcal{C}$
2.  $\forall \mathcal{A} \subseteq \mathcal{C}. \bigcap \mathcal{A} \in \mathcal{C}$
3.  $\forall C, D \in \mathcal{C}. C \cup D \in \mathcal{C}$

*In this case, the topology is unique, and is  $\{X - C : C \in \mathcal{C}\}$ .*

PROOF: Straightforward.

**Theorem 12.1.15.** *There are infinitely many primes.*

Furstenberg's proof:

PROOF:

- $\langle 1 \rangle 1$ . For  $a \in \mathbb{Z} - \{0\}$  and  $b \in \mathbb{Z}$ ,  
 LET:  $S(a, b) := \{an + b : n \in \mathbb{N}\}$
- $\langle 1 \rangle 2$ . LET:  $\mathcal{T}$  be the topology generated by the basis  $\{S(a, b) : a \in \mathbb{Z} - \{0\}, b \in \mathbb{Z}\}$
- $\langle 2 \rangle 1$ . For every  $n \in \mathbb{Z}$ , there exist  $a, b$  such that  $n \in S(a, b)$ .  
 PROOF:  $n \in S(n, 0)$
- $\langle 2 \rangle 2$ . If  $n \in S(a_1, b_1) \cap S(a_2, b_2)$  then there exist  $a_3, b_3$  such that  $n \in S(a_3, b_3) \subseteq S(a_1, b_1) \cap S(a_2, b_2)$
- $\langle 3 \rangle 1$ . LET:  $d = \text{lcm}(a_1, a_2)$   
 PROVE:  $S(d, n) \subseteq S(a_1, b_1) \cap S(a_2, b_2)$
- $\langle 3 \rangle 2$ . LET:  $d = a_1k = a_2l$
- $\langle 3 \rangle 3$ . LET:  $n = a_1c + b_1 = a_2d + b_2$
- $\langle 3 \rangle 4$ . LET:  $z \in \mathbb{Z}$   
 PROVE:  $dz + n \in S(a_1, b_1) \cap S(a_2, b_2)$

⟨3⟩5.  $dz + n \in S(a_1, b_1)$

PROOF:

$$\begin{aligned} dz + n &= a_1 kz + a_1 c + b_1 \\ &= a_1(kz + c) + b_1 \end{aligned}$$

⟨3⟩6.  $dz + n \in S(a_2, b_2)$

PROOF: Similar.

⟨1⟩3. For all  $a \in \mathbb{Z} - \{0\}$  and  $b \in \mathbb{Z}$  we have  $S(a, b)$  is closed.

⟨2⟩1. LET:  $a \in \mathbb{Z} - \{0\}$  and  $b \in \mathbb{Z}$

⟨2⟩2. LET:  $n \in \mathbb{Z} - S(a, b)$

⟨2⟩3.  $n \in S(a, n) \subseteq \mathbb{Z} - S(a, b)$

⟨3⟩1. LET:  $x \in S(a, n)$

⟨3⟩2. ASSUME: for a contradiction  $x \in S(a, b)$

⟨3⟩3. PICK  $m$  such that  $x = am + b$

⟨3⟩4. PICK  $l$  such that  $x = al + n$

⟨3⟩5.  $n = a(m - l) + b$

⟨3⟩6.  $n \in S(a, b)$

⟨3⟩7. Q.E.D.

PROOF: This contradicts ⟨2⟩2.

⟨1⟩4.

$$\mathbb{Z} - \{1, -1\} = \bigcup_{p \text{ prime}} S(p, 0)$$

PROOF: Since every integer except 1 and  $-1$  is divisible by a prime.

⟨1⟩5. No nonempty finite set is open.

⟨2⟩1. LET:  $U$  be a nonempty open set

⟨2⟩2. PICK  $n \in U$

⟨2⟩3. There exist  $a, b$  such that  $n \in S(a, b) \subseteq U$

⟨2⟩4.  $U$  is infinite.

⟨1⟩6.  $\mathbb{Z} - \{1, -1\}$  is not closed.

⟨1⟩7.  $\bigcup_{p \text{ prime}} S(p, 0)$  is not closed.

⟨1⟩8. The union of finitely many closed sets is closed.

⟨1⟩9. There are infinitely many primes.

□

**Proposition 12.1.16.** *In a discrete topological space, every set is closed.*

PROOF: Immediate from definitions. □

**Proposition 12.1.17.** *In a linearly ordered set under the order topology, every closed interval and closed ray is closed.*

PROOF:

⟨1⟩1. LET:  $X$  be a linearly ordered set under the order topology.

⟨1⟩2. Every closed interval in  $X$  is closed.

PROOF: Since  $X - [a, b] = (-\infty, a) \cup (b, +\infty)$ .

⟨1⟩3. Every closed ray in  $X$  is closed.

PROOF: Since  $X - [a, +\infty) = (-\infty, a)$  and  $X - (-\infty, a] = (a, +\infty)$ .

□

**Proposition 12.1.18.** *Let  $X$  be a topological space and  $Y$  a subspace of  $X$ . Let  $A \subseteq Y$ . Then  $A$  is closed in  $Y$  if and only if there exists a closed set  $B$  in  $X$  such that  $A = B \cap Y$ .*

PROOF:

$$\begin{aligned}
 A \text{ is closed in } Y &\Leftrightarrow Y - A \text{ is open in } Y \\
 &\Leftrightarrow \exists U \text{ open in } X. Y - A = U \cap Y \\
 &\Leftrightarrow \exists C \text{ closed in } X. Y - A = Y - C \\
 &\Leftrightarrow \exists C \text{ closed in } X. A = Y \cap C \quad \square
 \end{aligned}$$

**Proposition 12.1.19.** *Let  $X$  be a topological space and  $Y$  a subspace of  $X$ . Let  $A \subseteq Y$ . If  $A$  is closed in  $Y$  and  $Y$  is closed in  $X$  then  $A$  is closed in  $X$ .*

PROOF:

$\langle 1 \rangle 1$ . PICK  $C$  closed in  $X$  such that  $A = C \cap Y$ .

$\langle 1 \rangle 2$ .  $A$  is closed in  $X$ .

PROOF: It is the intersection of two closed sets in  $X$ .

$\square$

**Definition 12.1.20** (Neighbourhood). Let  $X$  be a topological space,  $Sx \in X$  and  $U \subseteq X$ . Then  $U$  is a *neighbourhood* of  $x$ , and  $x$  is an *interior* point of  $U$ , iff there exists an open set  $V$  such that  $x \in V \subseteq U$ .

**Proposition 12.1.21.** *A set  $B$  is open if and only if it is a neighbourhood of each of its points.*

**Proposition 12.1.22.** *Let  $X$  be a set and  $\mathcal{N} : X \rightarrow \mathcal{P}X$ . Then there exists a topology  $\mathcal{O}$  on  $X$  such that, for all  $x \in X$ , we have  $\mathcal{N}_x$  is the set of neighbourhoods of  $x$ , if and only if:*

- For all  $x \in X$  and  $N \in \mathcal{N}_x$  we have  $x \in N$
- For all  $x \in X$  we have  $X \in \mathcal{N}_x$
- For all  $x \in X$ ,  $N \in \mathcal{N}_x$  and  $V \subseteq \mathcal{P}X$ , if  $N \subseteq V$  then  $V \in \mathcal{N}_x$
- For all  $x \in X$  and  $M, N \in \mathcal{N}_x$  we have  $M \cap N \in \mathcal{N}_x$
- For all  $x \in X$  and  $N \in \mathcal{N}_x$ , there exists  $M \in \mathcal{N}_x$  such that  $M \subseteq N$  and  $\forall y \in M. M \in \mathcal{N}_y$ .

In this case,  $\mathcal{O}$  is unique and is given by  $\mathcal{O} = \{U : \forall x \in U. U \in \mathcal{N}_x\}$ .

**Definition 12.1.23** (Exterior Point). Let  $X$  be a topological space,  $x \in X$  and  $B \subseteq X$ . Then  $x$  is an *exterior point* of  $B$  iff  $B - X$  is a neighbourhood of  $x$ .

**Definition 12.1.24** (Boundary Point). Let  $X$  be a topological space,  $x \in X$  and  $B \subseteq X$ . Then  $x$  is a *boundary point* of  $B$  iff it is neither an interior point nor an exterior point of  $B$ .

**Definition 12.1.25** (Interior). Let  $X$  be a topological space and  $B \subseteq X$ . The *interior* of  $B$ ,  $B^\circ$ , is the set of all interior points of  $B$ .

**Proposition 12.1.26.** *The interior of  $B$  is the union of all the open sets included in  $B$ .*

**Definition 12.1.27** (Closure). Let  $X$  be a topological space and  $B \subseteq X$ . The *closure* of  $B$ ,  $\overline{B}$ , is the set of all points that are not exterior points of  $B$ .

**Proposition 12.1.28.** *The closure of  $B$  is the intersection of all the closed sets that include  $B$ .*

**Proposition 12.1.29.** *A set  $B$  is open iff  $X - B = \overline{X - B}$ .*

**Proposition 12.1.30** (Kuratowski Closure Axioms). *Let  $X$  be a set and  $- : \mathcal{P}X \rightarrow \mathcal{P}X$ . Then there exists a topology  $\mathcal{O}$  such that, for all  $B \subseteq X$ ,  $\overline{B}$  is the closure of  $B$ , if and only if:*

- $\overline{\emptyset} = \emptyset$
- For all  $A \subseteq X$  we have  $A \subseteq \overline{A}$
- For all  $A \subseteq X$  we have  $\overline{\overline{A}} = \overline{A}$
- For all  $A, B \subseteq X$  we have  $\overline{A \cup B} = \overline{A} \cup \overline{B}$

*In this case,  $\mathcal{O}$  is unique and is defined by  $\mathcal{O} = \{U : X - U = \overline{X - U}\}$ .*

**Definition 12.1.31** (Finer, Coarser). Let  $\mathcal{T}$  and  $\mathcal{T}'$  be topologies on the set  $X$ . Then  $\mathcal{T}$  is *coarser*, *smaller* or *weaker* than  $\mathcal{T}'$ , or  $\mathcal{T}'$  is *finer*, *larger* or *stronger* than  $\mathcal{T}$ , iff  $\mathcal{T} \subseteq \mathcal{T}'$ .

## 12.2 Bases

**Definition 12.2.1** (Basis). Let  $X$  be a topological space. A *basis* for the topology on  $X$  is a set of open sets  $\mathcal{B}$  such that every open set is the union of a subset of  $\mathcal{B}$ . The elements of  $\mathcal{B}$  are called *basic open neighbourhoods* of their elements.

**Proposition 12.2.2.** *Let  $X$  be a set. The set of all one-element subsets of  $X$  is a basis for the discrete topology on  $X$ .*

**Proposition 12.2.3.** *Let  $X$  be a topological space. Let  $\mathcal{B}$  be a basis for the topology on  $X$ . Then the topology on  $X$  is the coarsest topology that includes  $\mathcal{B}$ .*

**Proposition 12.2.4.** *Let  $X$  and  $Y$  be topological spaces. Let  $\mathcal{B}$  be a basis for the topology on  $X$  and  $\mathcal{C}$  a basis for the topology on  $Y$ . Then*

$$\{B \times C : B \in \mathcal{B}, C \in \mathcal{C}\}$$

*is a basis for the product topology on  $X \times Y$ .*

**Definition 12.2.5** (Order Topology). Let  $X$  be a linearly ordered set. The *order topology* on  $X$  is the topology generated by the open interval  $(a, b)$  as well as the open rays  $(a, +\infty)$  and  $(-\infty, b)$  for  $a, b \in X$ .

The *standard topology* on  $\mathbb{R}$  is the order topology.

**Proposition 12.2.6.** *Let  $X$  be a linearly ordered set. Then the order topology is generated by the basis consisting of:*

- all open intervals  $(a, b)$
- all intervals of the form  $[\perp, b)$  where  $\perp$  is the least element of  $X$ , if any
- all intervals of the form  $(a, \top]$  where  $\top$  is the greatest element of  $X$ , if any.

**Proposition 12.2.7.** *Let  $X$  be a linearly ordered set. The open rays in  $X$  form a subbasis for the order topology.*

**Definition 12.2.8** (Lower Limit Topology). The *lower limit topology*, *Sorgenfrey topology*, *uphill topology* or *half-open topology* is the topology on  $\mathbb{R}$  generated by the basis consisting of all half-open intervals  $[a, b)$ .

We write  $\mathbb{R}_l$  for  $\mathbb{R}$  under the lower limit topology.

**Definition 12.2.9** ( $K$ -topology). Let  $K = \{1/n : n \in \mathbb{Z}_+\}$ . The  $K$ -topology on  $\mathbb{R}$  is the topology generated by the basis consisting of all open intervals  $(a, b)$  and all sets of the form  $(a, b) - K$ .

We write  $\mathbb{R}_K$  for  $\mathbb{R}$  under the  $K$ -topology.

**Definition 12.2.10** (Ordered Square). The *ordered square*  $I_o^2$  is the set  $[0, 1]^2$  under the order topology induced by the dictionary order.

**Proposition 12.2.11.** *Let  $X$  be a linearly ordered set under the order topology. Let  $Y \subseteq X$  be convex. Then the order topology on  $Y$  is the same as the subspace topology.*

PROOF:

$\langle 1 \rangle 1$ . The order topology is coarser than the subspace topology.

$\langle 2 \rangle 1$ . For all  $a \in Y$ , the open ray  $\{y \in Y : a < y\}$  is open in the subspace topology.

PROOF: It is  $(a, +\infty) \cap Y$ .

$\langle 2 \rangle 2$ . For all  $a \in Y$ , the open ray  $\{y \in Y : y < a\}$  is open in the subspace topology.

PROOF: It is  $(-\infty, a) \cap Y$ .

$\langle 1 \rangle 2$ . The subspace topology is coarser than the order topology.

$\langle 2 \rangle 1$ . For all  $a \in X$ , the set  $(-\infty, a) \cap Y$  is open in the order topology.

$\langle 3 \rangle 1$ . CASE:  $a \in Y$

PROOF: Then  $(-\infty, a) \cap Y = \{y \in Y : y < a\}$  is an open ray in  $Y$ .

$\langle 3 \rangle 2$ . CASE:  $a$  is an upper bound for  $Y$

PROOF: Then  $(-\infty, a) \cap Y = Y$ .

⟨3⟩3. CASE:  $a$  is a lower bound for  $Y$

PROOF: Then  $(-\infty, a) \cap Y = \emptyset$ .

⟨3⟩4. Q.E.D.

PROOF: These are the only three cases because  $Y$  is convex.

⟨2⟩2. For all  $a \in X$ , the set  $(a, +\infty) \cap Y$  is open in the order topology.

PROOF: Similar.

□

**Example 12.2.12.** We cannot remove the hypothesis that the set  $Y$  is convex.

Let  $X = \mathbb{R}$  and  $Y = [0, 1) \cup \{2\}$ . Then  $\{2\}$  is open in the subspace topology but not in the order topology on  $Y$ .

**Proposition 12.2.13.** Let  $X$  be a topological space. Let  $\mathcal{B}$  be a basis for the topology on  $X$  and  $U \subseteq X$ . Then  $U$  is open if and only if, for all  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ .

**Proposition 12.2.14.** Let  $X$  be a topological space and  $\mathcal{B} \subseteq \mathcal{P}X$ . Assume that, for every open set  $U$  and element  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ . Then  $\mathcal{B}$  is a basis for the topology on  $X$ .

**Proposition 12.2.15.** Let  $X$  be a topological space and  $\mathcal{B} \subseteq \mathcal{P}X$ . Then  $\mathcal{B}$  is a basis for a topology on  $X$  if and only if:

1.  $\bigcup \mathcal{B} = X$
2. For all  $A, B \in \mathcal{B}$  and  $x \in A \cap B$ , there exists  $C \in \mathcal{B}$  such that  $x \in C \subseteq A \cap B$ .

In this case, the topology is unique and is the set of all unions of subsets of  $\mathcal{B}$ . We call it the topology generated by  $\mathcal{B}$ .

**Proposition 12.2.16.** Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for the topologies  $\mathcal{T}$  and  $\mathcal{T}'$ , respectively, on  $X$ . Then  $\mathcal{T}'$  is finer than  $\mathcal{T}$  if and only if, for every  $B \in \mathcal{B}$  and  $x \in B$ , there exists  $B' \in \mathcal{B}'$  such that  $x \in B' \subseteq B$ .

**Corollary 12.2.16.1.** The topologies of  $\mathbb{R}_l$  and  $\mathbb{R}_K$  are strictly finer than the standard topology on  $\mathbb{R}$  but are not comparable to one another.

### 12.2.1 Subspaces

**Proposition 12.2.17.** Let  $X$  be a topological space. Let  $Y$  be a subspace of  $X$ . Let  $\mathcal{B}$  be a basis for the topology on  $X$ . Then  $\{B \cap Y : B \in \mathcal{B}\}$  is a basis for the topology on  $Y$ .

PROOF:

⟨1⟩1. For all  $B \in \mathcal{B}$  we have  $B \cap Y$  is open in  $Y$ .

PROOF: Since  $B$  is open in  $X$ .

⟨1⟩2. For any open set  $V$  in  $Y$  and  $y \in V$ , there exists  $B \in \mathcal{B}$  such that  $y \in B \cap Y \subseteq V$ .

⟨2⟩1. LET:  $V$  be open in  $Y$ .

⟨2⟩2. LET:  $y \in V$

- ⟨2⟩3. PICK  $U$  open in  $X$  such that  $V = U \cap Y$ .
- ⟨2⟩4. PICK  $B \in \mathcal{B}$  such that  $y \in B \subseteq U$ .
- ⟨2⟩5.  $y \in B \cap Y \subseteq V$

□

### 12.2.2 Product Topology

**Proposition 12.2.18.** *Let  $\{X_i\}_{i \in I}$  be a family of topological spaces. For all  $i \in I$ , let  $\mathcal{B}_i$  be a basis for the topology on  $X_i$ . Then  $\mathcal{B} = \{\prod_{i \in I} B_i : \text{for finitely many } i \in I \text{ we have } B_i \in \mathcal{B}_i, \text{ and } B_i = X_i \text{ for all other } i\}$  is a basis for the product topology on  $\prod_{i \in I} X_i$ .*

PROOF:

- ⟨1⟩1. Every  $B \in \mathcal{B}$  is open in the product topology.  
 PROOF: Since every element of  $\mathcal{B}_i$  is open in  $X_i$ .
- ⟨1⟩2. For any open set  $U$  in the product topology and  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ .  
 ⟨2⟩1. LET:  $U$  be a set open in the box topology.  
 ⟨2⟩2. LET:  $x \in U$   
 ⟨2⟩3. PICK a family  $\{U_i\}_{i \in I}$  where  $U_i$  is open in  $X_i$  for  $i = i_1, \dots, i_n$ , and  $U_i = X_i$  for all other  $i$ , such that  $x \in \prod_{i \in I} U_i \subseteq U$   
 ⟨2⟩4. For  $i = i_1, \dots, i_n$ , choose  $B_i \in \mathcal{B}_i$  such that  $x_i \in B_i \subseteq U_i$ . Let  $B_i = X_i$  for all other  $i$ .  
 ⟨2⟩5.  $\prod_{i \in I} B_i \in \mathcal{B}$   
 ⟨2⟩6.  $x \in \prod_{i \in I} B_i \subseteq \prod_{i \in I} U_i \subseteq U$

□

### 12.3 Subbases

**Definition 12.3.1** (Subbasis). Let  $X$  be a topological space. A *subbasis* for the topology on  $X$  is a set  $\mathcal{S}$  of open sets such that every open set is a union of finite intersections of  $\mathcal{S}$ .

**Proposition 12.3.2.** *Let  $X$  be a set and  $\mathcal{S} \subseteq X$ . Then  $\mathcal{S}$  is a subbasis for a topology on  $X$  if and only if  $\bigcup \mathcal{S} = X$ , in which case the topology is unique and is the set of all unions of finite intersections of elements of  $\mathcal{S}$ .*

**Proposition 12.3.3.** *Let  $X$  be a topological space. Let  $\mathcal{S}$  be a subbasis for the topology on  $X$ . Then the topology on  $X$  is the coarsest topology that includes  $\mathcal{S}$ .*

**Proposition 12.3.4.** *Let  $X$  and  $Y$  be topological spaces. Then*

$$\mathcal{S} = \{\pi_1^{-1}(U) : U \text{ is open in } X\} \cup \{\pi_2^{-1}(V) : V \text{ is open in } Y\}$$

*is a subbasis for the product topology on  $X \times Y$ .*

PROOF:

- ⟨1⟩1. Every element of  $\mathcal{S}$  is open.



PROOF: Since  $\pi_1^{-1}(U) = U \times Y$  and  $\pi_2^{-1}(V) = X \times V$ .

<1>2. Every open set is a union of finite intersections of elements of  $\mathcal{S}$ .

PROOF: Since, for  $U$  open in  $X$  and  $V$  open in  $Y$ , we have  $U \times V = \pi_1^{-1}(U) \cap \pi_2^{-1}(V)$ .

□

**Definition 12.3.5** (Space with Basepoint). A *space with basepoint* is a pair  $(X, x)$  where  $X$  is a topological space and  $x \in X$ .

## 12.4 Neighbourhood Bases

**Definition 12.4.1** (Neighbourhood Basis). Let  $X$  be a topological space and  $x_0 \in X$ . A *neighbourhood basis* of  $x_0$  is a set  $\mathcal{U}$  of neighbourhoods of  $x_0$  such that every neighbourhood of  $x_0$  includes an element of  $\mathcal{U}$ .

## 12.5 First Countable Spaces

**Definition 12.5.1** (First Countable). A topological space is *first countable* iff every point has a countable neighbourhood basis.

**Proposition 12.5.2.**  $\mathbb{R}_l$  is first countable.

PROOF: For any  $x \in \mathbb{R}$  we have  $\{[x, x + 1/n) : n \in \mathbb{Z}_+\}$  is a countable local basis.  
□

**Proposition 12.5.3.** The ordered square is first countable.

PROOF:

<1>1. Every point  $(a, b)$  with  $0 < b < 1$  has a countable local basis.

PROOF: The set of all intervals  $((a, q), (a, r))$  where  $q$  and  $r$  are rational and  $0 \leq q < b < r \leq 1$  is a countable local basis.

<1>2. Every point  $(a, 0)$  has a countable local basis with  $a > 0$ .

PROOF: The set of all intervals  $((q, 0), (a, r))$  where  $q$  and  $r$  are rational with  $0 \leq q < a$  and  $0 < r \leq 1$  is a countable local basis.

<1>3. Every point  $(a, 1)$  has a countable local basis with  $a < 1$ .

PROOF: The set of all intervals  $((a, q), (r, 1))$  with  $q$  and  $r$  rational and  $0 \leq q < 1, a < r \leq 1$  is a countable local basis.

<1>4.  $(0, 0)$  has a countable local basis.

PROOF: The set of all intervals  $[(0, 0), (0, r))$  with  $r$  rational and  $0 < r \leq 1$  is a countable local basis.

<1>5.  $(1, 1)$  has a countable local basis.

PROOF: The set of all intervals  $((1, q), (1, 1])$  with  $q$  rational and  $0 \leq q < 1$  is a countable local basis.

□

## 12.6 Second Countable Spaces

**Definition 12.6.1** (Second Countable). A topological space is *second countable* iff it has a countable basis.

Every second countable space is first countable.

A subspace of a first countable space is first countable.

A subspace of a second countable space is second countable.

$\mathbb{R}^n$  is second countable.

An uncountable discrete space is first countable but not second countable.

**Proposition 12.6.2.** *Let  $\{X_\lambda\}_{\lambda \in \Lambda}$  be a family of topological spaces such that no  $X_\lambda$  is indiscrete. If  $\Lambda$  is uncountable, then  $\prod_{\lambda \in \Lambda} X_\lambda$  is not first countable.*

PROOF:

$\langle 1 \rangle 1$ . For all  $\lambda \in \Lambda$ , PICK  $U_\lambda$  open in  $X_\lambda$  such that  $\emptyset \neq U_\lambda \neq X_\lambda$ .

$\langle 1 \rangle 2$ . For all  $\lambda \in \Lambda$ , PICK  $x_\lambda \in U_\lambda$ .

$\langle 1 \rangle 3$ . ASSUME: for a contradiction  $B$  is a countable neighbourhood basis for  $(x_\lambda)_{\lambda \in \Lambda}$ .

$\langle 1 \rangle 4$ . PICK  $\lambda \in \Lambda$  such that, for all  $U \in B$ , we have  $\pi_\lambda(U) = X_\lambda$

$\langle 1 \rangle 5$ . There is no  $U \in B$  such that  $U \subseteq \pi_\lambda^{-1}(U_\lambda)$

$\langle 1 \rangle 6$ . Q.E.D.

PROOF: This is a contradiction.

□

## 12.7 Interior

**Definition 12.7.1** (Interior). Let  $X$  be a topological space. Let  $A \subseteq X$ . The *interior* of  $A$ ,  $A^\circ$ , is the union of all the open sets included in  $A$ .

## 12.8 Closure

**Definition 12.8.1** (Closure). Let  $X$  be a topological space. Let  $A \subseteq X$ . The *closure* of  $A$ ,  $\bar{A}$ , is the intersection of all the closed sets that include  $A$ .

**Proposition 12.8.2.** *Let  $X$  be a topological space,  $A \subseteq X$  and  $x \in X$ . Then  $x \in \bar{A}$  if and only if every open set that contains  $x$  intersects  $A$ .*

PROOF:

$x \in \bar{A} \Leftrightarrow$  for every closed set  $C$ , if  $A \subseteq C$  then  $x \in C$

$\Leftrightarrow$  for every open set  $U$ , if  $A \subseteq X - U$  then  $x \in X - U$

$\Leftrightarrow$  for every open set  $U$ , if  $A \cap U = \emptyset$  then  $x \notin U$

$\Leftrightarrow$  for every open set  $U$ , if  $x \in U$  then  $A$  intersects  $U$  □

**Proposition 12.8.3.** *Let  $X$  be a topological space. Let  $A \subseteq B \subseteq X$ . Then  $\bar{A} \subseteq \bar{B}$ .*

PROOF: Since every closed set that includes  $B$  is a closed set that includes  $A$ .  $\square$

**Proposition 12.8.4.** *Let  $X$  be a topological space. Let  $A, B \subseteq X$ . Then  $\overline{A \cup B} = \overline{A} \cup \overline{B}$ .*

PROOF:

$\langle 1 \rangle 1$ .  $\overline{A \cup B} \subseteq \overline{A} \cup \overline{B}$

PROOF: Since  $\overline{A \cup B}$  is a closed set that includes  $A \cup B$ .

$\langle 1 \rangle 2$ .  $\overline{A} \cup \overline{B} \subseteq \overline{A \cup B}$

PROOF: Since  $\overline{A} \subseteq \overline{A \cup B}$  and  $\overline{B} \subseteq \overline{A \cup B}$  by Proposition 12.8.3.

$\square$

**Proposition 12.8.5.** *Let  $X$  be a topological space. Let  $\mathcal{A} \subseteq \mathcal{P}X$ . Then*

$$\bigcup \{\overline{A} : A \in \mathcal{A}\} \subseteq \overline{\bigcup \mathcal{A}}.$$

PROOF: For all  $A \in \mathcal{A}$  we have  $\overline{A} \subseteq \overline{\bigcup \mathcal{A}}$  by Proposition 12.8.3.  $\square$

**Example 12.8.6.** The converse does not always hold. In  $\mathbb{R}$ , let  $\mathcal{A} = \{\{x\} : 0 < x < 1\}$ . Then  $\bigcup \{\overline{A} : A \in \mathcal{A}\} = (0, 1)$  but  $\overline{\bigcup \mathcal{A}} = [0, 1]$ .

**Proposition 12.8.7.** *Let  $X$  be a topological space. Let  $\mathcal{A} \subseteq \mathcal{P}X$ . Then  $\overline{\bigcap \mathcal{A}} \subseteq \bigcap \{\overline{A} : A \in \mathcal{A}\}$ .*

PROOF: Since  $\overline{\bigcap \mathcal{A}} \subseteq \overline{A}$  for all  $A \in \mathcal{A}$  by Proposition 12.8.3.  $\square$

**Example 12.8.8.** The converse does not always hold. In  $\mathbb{R}$ , if  $A$  is the set of all rational numbers and  $B$  is the set of all irrational numbers then  $\bigcap A \cap B = \emptyset$  but  $\bigcap A \cap \bigcap B = \mathbb{R}$ .

### 12.8.1 Bases

**Proposition 12.8.9.** *Let  $X$  be a topological space,  $A \subseteq X$  and  $x \in X$ . Let  $\mathcal{B}$  be a basis for the topology on  $X$ . Then  $x \in \overline{A}$  if and only if, for all  $B \in \mathcal{B}$ , if  $x \in B$  then  $B$  intersects  $A$ .*

PROOF:

$\langle 1 \rangle 1$ . If  $x \in \overline{A}$  then, for all  $B \in \mathcal{B}$ , if  $x \in B$  then  $B$  intersects  $A$ .

PROOF: Proposition 12.8.2 since every element of  $\mathcal{B}$  is open.

$\langle 1 \rangle 2$ . If, for all  $B \in \mathcal{B}$ , if  $x \in B$  then  $B$  intersects  $A$ , then  $x \in \overline{A}$ .

$\langle 2 \rangle 1$ . ASSUME: For all  $B \in \mathcal{B}$ , if  $x \in B$  then  $B$  intersects  $A$ .

$\langle 2 \rangle 2$ . LET:  $U$  be an open set that contains  $x$ .

$\langle 2 \rangle 3$ . PICK  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ .

$\langle 2 \rangle 4$ .  $B$  intersects  $A$ .

PROOF:  $\langle 2 \rangle 1$

$\langle 2 \rangle 5$ .  $U$  intersects  $A$ .

$\square$

### 12.8.2 Subspaces

**Proposition 12.8.10.** *Let  $X$  be a topological space. Let  $Y$  be a subspace of  $X$ . Let  $A \subseteq Y$ . Let  $\overline{A}$  be the closure of  $A$  in  $X$ . Then the closure of  $A$  in  $Y$  is  $\overline{A} \cap Y$ .*

PROOF:

$\langle 1 \rangle 1.$   $\overline{A} \cap Y$  is the closed in  $Y$ .

PROOF: Since  $\overline{A}$  is closed in  $X$ .

$\langle 1 \rangle 2.$  For any closed set  $B$  in  $Y$ , if  $A \subseteq B$  then  $\overline{A} \cap Y \subseteq B$ .

$\langle 2 \rangle 1.$  LET:  $B$  be closed in  $Y$ .

$\langle 2 \rangle 2.$  ASSUME:  $A \subseteq B$

$\langle 2 \rangle 3.$  PICK  $C$  closed in  $X$  such that  $B = C \cap Y$ .

$\langle 2 \rangle 4.$   $A \subseteq C$

$\langle 2 \rangle 5.$   $\overline{A} \subseteq C$

$\langle 2 \rangle 6.$   $\overline{A} \cap Y \subseteq B$

□

### 12.8.3 Product Topology

**Proposition 12.8.11.** *Let  $X$  and  $Y$  be topological spaces. Let  $A \subseteq X$  and  $B \subseteq Y$ . Then  $\overline{A \times B} = \overline{A} \times \overline{B}$ .*

PROOF:

$\langle 1 \rangle 1.$   $\overline{A \times B} \subseteq \overline{A} \times \overline{B}$

PROOF: Since  $\overline{A} \times \overline{B}$  is a closed set that includes  $A \times B$  by Proposition 12.19.2.

$\langle 1 \rangle 2.$   $\overline{A} \times \overline{B} \subseteq \overline{A \times B}$

$\langle 2 \rangle 1.$  LET:  $x \in \overline{A}$  and  $y \in \overline{B}$ .

$\langle 2 \rangle 2.$  LET:  $U$  be an open set that contains  $(x, y)$ .

$\langle 2 \rangle 3.$  PICK open sets  $V$  in  $X$  and  $W$  in  $Y$  such that  $(x, y) \in V \times W \subseteq U$ .

$\langle 2 \rangle 4.$   $V$  intersects  $A$  and  $W$  intersects  $B$ .

$\langle 2 \rangle 5.$   $U$  intersects  $A \times B$ .

□

### 12.8.4 Interior

**Proposition 12.8.12.** *Let  $X$  be a topological space and  $A \subseteq X$ . Then*

$$X - A^\circ = \overline{X - A}$$

PROOF:

$$\begin{aligned} X - A^\circ &= X - \bigcup \{U \text{ open in } X : U \subseteq A\} \\ &= \bigcap \{X - U : U \text{ open in } X, U \subseteq A\} && \text{(De Morgan's Law)} \\ &= \bigcap \{C : C \text{ closed in } X, X - A \subseteq C\} \\ &= \overline{X - A} \end{aligned}$$

□

**Proposition 12.8.13.** *Let  $X$  be a topological space and  $A \subseteq X$ . Then*

$$X - \overline{A} = (X - A)^\circ$$

PROOF: Dual.  $\square$

## 12.9 Boundary

**Definition 12.9.1** (Boundary). Let  $X$  be a topological space. Let  $A \subseteq X$ . The *boundary* of  $A$  is

$$\partial A := \overline{A} \cap \overline{X - A}.$$

**Proposition 12.9.2.** *Let  $X$  be a topological space. Let  $A \subseteq X$ . Then*

$$A^\circ \cap \partial A = \emptyset.$$

PROOF:

- $\langle 1 \rangle 1.$   $A^\circ \subseteq A$
- $\langle 1 \rangle 2.$   $X - A \subseteq X - A^\circ$
- $\langle 1 \rangle 3.$   $\overline{X - A} \subseteq \overline{X - A^\circ}$
- $\langle 1 \rangle 4.$   $\partial A \subseteq X - A^\circ$

$\square$

**Proposition 12.9.3.** *Let  $X$  be a topological space. Let  $A \subseteq X$ . Then*

$$\overline{A} = A^\circ \cup \partial A$$

- $\langle 1 \rangle 1.$   $A^\circ \subseteq \overline{A}$   
PROOF: Since  $A^\circ \subseteq A \subseteq \overline{A}$ .
- $\langle 1 \rangle 2.$   $\partial A \subseteq \overline{A}$   
PROOF: Definition of  $\partial A$ .
- $\langle 1 \rangle 3.$   $\overline{A} \subseteq A^\circ \cup \partial A$
- $\langle 2 \rangle 1.$  LET:  $x \in \overline{A}$
- $\langle 2 \rangle 2.$  ASSUME:  $x \notin A^\circ$   
PROVE:  $x \in \partial A$
- $\langle 2 \rangle 3.$   $x \in \overline{X - A}$   
PROOF: Since  $\overline{X - A} = X - A^\circ$ .
- $\langle 2 \rangle 4.$   $x \in \partial A$   
PROOF: Since  $\partial A = \overline{A} \cap \overline{X - A}$ .

$\square$

**Proposition 12.9.4.** *Let  $X$  be a topological space. Let  $A \subseteq X$ . Then  $\partial A = \emptyset$  if and only if  $A$  is both open and closed.*

PROOF:

- $\langle 1 \rangle 1.$  If  $\partial A = \emptyset$  then  $A$  is open and closed.
- $\langle 2 \rangle 1.$  ASSUME:  $\partial A = \emptyset$
- $\langle 2 \rangle 2.$   $\overline{A} = A^\circ$

PROOF: Proposition 12.9.3.

$\langle 2 \rangle 3$ .  $\bar{A} = A = A^\circ$

$\langle 1 \rangle 2$ . If  $A$  is open and closed then  $\partial A = \emptyset$ .

PROOF: If  $A$  is open and closed then

$$\begin{aligned}\partial A &= \bar{A} \cap \overline{X - A} \\ &= \bar{A} \cap (X - A^\circ) \\ &= A \cap (X - A) \\ &= \emptyset\end{aligned}$$

□

**Proposition 12.9.5.** *Let  $X$  be a topological space. Let  $U \subseteq X$ . Then  $U$  is open if and only if  $\partial U = \bar{U} - U$ .*

PROOF:

$\langle 1 \rangle 1$ . If  $U$  is open then  $\partial U = \bar{U} - U$

PROOF: If  $U$  is open then

$$\begin{aligned}\partial U &= \bar{U} \cap \overline{X - U} \\ &= \bar{U} \cap (X - U^\circ) \\ &= \bar{U} - U^\circ \\ &= \bar{U} - U\end{aligned}$$

$\langle 1 \rangle 2$ . If  $\partial U = \bar{U} - U$  then  $U$  is open.

$\langle 2 \rangle 1$ . ASSUME:  $\partial U = \bar{U} - U$

$\langle 2 \rangle 2$ .  $\bar{U} - U^\circ = \bar{U} - U$

$\langle 2 \rangle 3$ .  $U \subseteq U^\circ$

$\langle 2 \rangle 4$ .  $U = U^\circ$

□

## 12.10 Limit Points

**Definition 12.10.1** (Limit Point). Let  $X$  be a topological space,  $x \in X$  and  $A \subseteq X$ . Then  $x$  is a *limit point*, *cluster point* or *point of accumulation* of  $A$  iff every neighbourhood of  $x$  intersects  $A - \{x\}$ .

**Proposition 12.10.2.** *Let  $X$  be a topological space. Let  $A \subseteq X$ . Let  $A'$  be the set of limit points of  $A$ . Then*

$$\bar{A} = A \cup A'$$

PROOF:

$\langle 1 \rangle 1$ .  $\bar{A} \subseteq A \cup A'$

$\langle 2 \rangle 1$ . LET:  $x \in \bar{A}$

$\langle 2 \rangle 2$ . ASSUME:  $x \notin A$

PROVE:  $x \in A'$

$\langle 2 \rangle 3$ . LET:  $U$  be a neighbourhood of  $x$ .

⟨2⟩4. PICK  $y \in U \cap A$

PROOF: Proposition 12.8.2.

⟨2⟩5.  $y \neq x$

⟨1⟩2.  $A \subseteq \bar{A}$

PROOF: Immediate from the definition of  $\bar{A}$ .

⟨1⟩3.  $A' \subseteq \bar{A}$

PROOF: From Proposition 12.8.2.

□

**Corollary 12.10.2.1.** *A set is closed if and only if it contains all its limit points.*

## 12.11 Continuous Functions

**Definition 12.11.1** (Continuous). Let  $X$  and  $Y$  be topological spaces. A function  $f : X \rightarrow Y$  is *continuous* iff, for every open set  $V$  in  $Y$ , the inverse image  $f^{-1}(V)$  is open in  $X$ .

**Proposition 12.11.2.** *The composite of two continuous functions is continuous.*

PROOF:

⟨1⟩1. LET:  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be continuous.

⟨1⟩2. LET:  $U$  be open in  $Z$ .

⟨1⟩3.  $g^{-1}(U)$  is open in  $Y$ .

⟨1⟩4.  $\inf f(g^{-1}(U))$  is open in  $X$ .

□

**Proposition 12.11.3.** 1.  $\text{id}_X$  is continuous

2. If  $f : X \rightarrow Y$  is continuous and  $X_0 \subseteq X$  then  $f \upharpoonright X_0 : X_0 \rightarrow Y$  is continuous.

3. If  $f : X + Y \rightarrow Z$ , then  $f$  is continuous iff  $f \circ \kappa_1 : X \rightarrow Z$  and  $f \circ \kappa_2 : Y \rightarrow Z$  are continuous.

4. If  $f : Z \rightarrow X \times Y$ , then  $f$  is continuous iff  $\pi_1 \circ f$  and  $\pi_2 \circ f$  are continuous.

**Proposition 12.11.4.** Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Then the following are equivalent.

1.  $f$  is continuous.

2. For all  $A \subseteq X$  we have  $f(\bar{A}) \subseteq \overline{f(A)}$ .

3. For every closed  $B$  in  $Y$ , we have  $f^{-1}(B)$  is closed in  $X$ .

PROOF:

⟨1⟩1.  $1 \Rightarrow 2$

- $\langle 2 \rangle 1$ . ASSUME:  $f$  is continuous.  
 $\langle 2 \rangle 2$ . LET:  $A \subseteq X$   
 $\langle 2 \rangle 3$ . LET:  $x \in \bar{A}$   
     PROVE:  $f(x) \in \overline{f(A)}$   
 $\langle 2 \rangle 4$ . LET:  $V$  be a neighbourhood of  $f(x)$ .  
     PROVE:  $V$  intersects  $f(A)$ .  
 $\langle 2 \rangle 5$ .  $f^{-1}(V)$  is a neighbourhood of  $x$ .  
 $\langle 2 \rangle 6$ . PICK  $y \in f^{-1}(V) \cap A$   
 $\langle 2 \rangle 7$ .  $f(y) \in V \cap f(A)$   
 $\langle 1 \rangle 2$ .  $2 \Rightarrow 3$   
 $\langle 2 \rangle 1$ . ASSUME: 2  
 $\langle 2 \rangle 2$ . LET:  $B$  be closed in  $Y$   
 $\langle 2 \rangle 3$ . LET:  $A = f^{-1}(B)$   
     PROVE:  $\bar{A} = A$   
 $\langle 2 \rangle 4$ .  $f(A) \subseteq B$   
 $\langle 2 \rangle 5$ .  $\bar{A} \subseteq A$   
 $\langle 3 \rangle 1$ . LET:  $x \in \bar{A}$   
 $\langle 3 \rangle 2$ .  $f(x) \in B$   
     PROOF:  

$$f(x) \in f(\bar{A})$$

$$\subseteq \overline{f(A)} \quad (\langle 2 \rangle 1)$$

$$\subseteq \bar{B} \quad (\langle 2 \rangle 4)$$

$$= B \quad (\langle 2 \rangle 2)$$

- $\langle 1 \rangle 3$ .  $3 \Rightarrow 1$   
 $\langle 2 \rangle 1$ . ASSUME: 3  
 $\langle 2 \rangle 2$ . LET:  $V$  be open in  $Y$ .  
 $\langle 2 \rangle 3$ .  $f^{-1}(Y - V)$  is closed in  $X$ .  
 $\langle 2 \rangle 4$ .  $X - f^{-1}(V)$  is closed in  $X$ .  
 $\langle 2 \rangle 5$ .  $f^{-1}(V)$  is open in  $X$ .

□

**Proposition 12.11.5.** *Let  $X$  and  $Y$  be topological spaces. Any constant function  $X \rightarrow Y$  is continuous.*

PROOF:

- $\langle 1 \rangle 1$ . LET:  $b \in Y$   
 $\langle 1 \rangle 2$ . LET:  $f : X \rightarrow Y$  be the constant function with value  $b$ .  
 $\langle 1 \rangle 3$ . LET:  $V \subseteq Y$  be open.  
 $\langle 1 \rangle 4$ .  $f^{-1}(V)$  is either  $\emptyset$  or  $X$ .  
 $\langle 1 \rangle 5$ .  $f^{-1}(V)$  is open.

□

**Proposition 12.11.6.** *Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Let  $\mathcal{B}$  be a basis for  $Y$ . Then  $f$  is continuous if and only if, for all  $B \in \mathcal{B}$ , we have  $f^{-1}(B)$  is open in  $X$ .*

PROOF:



⟨1⟩1. If  $f$  is continuous then, for all  $B \in \mathcal{B}$ , we have  $f^{-1}(B)$  is open in  $X$ .

PROOF: Since every element of  $\mathcal{B}$  is open in  $Y$ .

⟨1⟩2. If, for all  $B \in \mathcal{B}$ , we have  $f^{-1}(B)$  is open in  $X$ , then  $f$  is continuous.

⟨2⟩1. ASSUME: For all  $B \in \mathcal{B}$ , we have  $f^{-1}(B)$  is open in  $X$ .

⟨2⟩2. LET:  $U$  be open in  $Y$ .

⟨2⟩3. LET:  $x \in f^{-1}(U)$

⟨2⟩4. PICK  $B \in \mathcal{B}$  such that  $f(x) \in B \subseteq U$ .

⟨2⟩5.  $x \in f^{-1}(B) \subseteq f^{-1}(U)$

□

**Proposition 12.11.7.** *Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Let  $\mathcal{S}$  be a subbasis for the topology on  $Y$ . Then  $f$  is continuous if and only if, for all  $V \in \mathcal{S}$ , we have  $f^{-1}(V)$  is open in  $X$ .*

PROOF:

⟨1⟩1. If  $f$  is continuous then, for all  $V \in \mathcal{S}$ , we have  $f^{-1}(V)$  is open in  $X$ .

PROOF: Immediate from definitions.

⟨1⟩2. If, for all  $V \in \mathcal{S}$ , we have  $f^{-1}(V)$  is open in  $X$ , then  $f$  is continuous.

⟨2⟩1. ASSUME: For all  $V \in \mathcal{S}$ , we have  $f^{-1}(V)$  is open in  $X$ .

⟨2⟩2. For all  $V_1, \dots, V_n \in \mathcal{S}$  we have  $f^{-1}(V_1 \cap \dots \cap V_n)$  is open in  $X$ .

PROOF: Since  $f^{-1}(V_1 \cap \dots \cap V_n) = f^{-1}(V_1) \cap \dots \cap f^{-1}(V_n)$ .

⟨2⟩3. Q.E.D.

PROOF: By Proposition 12.11.6 since the set of all finite intersections of elements of  $\mathcal{S}$  forms a basis for the topology on  $Y$ .

□

**Proposition 12.11.8.** *Let  $f : \mathbb{R} \rightarrow \mathbb{R}$ . Then  $f$  is continuous if and only if, for all  $x \in \mathbb{R}$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in \mathbb{R}$ , if  $|y - x| < \delta$  then  $|f(y) - f(x)| < \epsilon$ .*

PROOF:

⟨1⟩1. If  $f$  is continuous then, for all  $x \in \mathbb{R}$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in \mathbb{R}$ , if  $|y - x| < \delta$  then  $|f(y) - f(x)| < \epsilon$ .

⟨2⟩1. ASSUME:  $f$  is continuous.

⟨2⟩2. LET:  $x \in \mathbb{R}$

⟨2⟩3. LET:  $\epsilon > 0$

⟨2⟩4.  $f^{-1}((f(x) - \epsilon, f(x) + \epsilon))$  is open in  $X$ .

⟨2⟩5. PICK  $a, b$  such that  $x \in (a, b) \subseteq f^{-1}((f(x) - \epsilon, f(x) + \epsilon))$ .

⟨2⟩6. LET:  $\delta = \min(x - a, b - x)$

⟨2⟩7. LET:  $y \in \mathbb{R}$

⟨2⟩8. ASSUME:  $|y - x| < \delta$

⟨2⟩9.  $y \in (a, b)$

⟨2⟩10.  $f(y) \in (f(x) - \epsilon, f(x) + \epsilon)$

⟨2⟩11.  $|f(y) - f(x)| < \epsilon$

⟨1⟩2. If, for all  $x \in \mathbb{R}$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in \mathbb{R}$ , if  $|y - x| < \delta$  then  $|f(y) - f(x)| < \epsilon$ , then  $f$  is continuous.

⟨2⟩1. ASSUME: For all  $x \in \mathbb{R}$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in \mathbb{R}$ , if  $|y - x| < \delta$  then  $|f(y) - f(x)| < \epsilon$ .

- ⟨2⟩2. For all  $a \in \mathbb{R}$  we have  $f^{-1}((a, +\infty))$  is open.  
 ⟨3⟩1. LET:  $a \in \mathbb{R}$   
 ⟨3⟩2. LET:  $x \in f^{-1}((a, +\infty))$   
 ⟨3⟩3. LET:  $\epsilon = f(x) - a$   
 ⟨3⟩4. PICK  $\delta > 0$  such that, for all  $y \in \mathbb{R}$ , if  $|y - x| < \delta$  then  $|f(y) - f(x)| < \epsilon$   
 ⟨3⟩5.  $x \in (x - \delta, x + \delta) \subseteq f^{-1}((a, +\infty))$   
 ⟨2⟩3. For all  $a \in \mathbb{R}$  we have  $f^{-1}((-\infty, a))$  is open.  
 PROOF: Similar.  
 ⟨2⟩4. Q.E.D.  
 PROOF: Proposition 12.11.8.

□

**Definition 12.11.9** (Continuity at a Point). Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Let  $a \in X$ . Then  $f$  is *continuous at  $a$*  iff, for every neighbourhood  $V$  of  $f(a)$ , there exists a neighbourhood  $U$  of  $a$  such that  $f(U) \subseteq V$ .

**Proposition 12.11.10.** Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Then  $f$  is continuous if and only if  $f$  is continuous at every point in  $X$ .

- ⟨1⟩1. If  $f$  is continuous then  $f$  is continuous at every point in  $X$ .  
 ⟨2⟩1. ASSUME:  $f$  is continuous.  
 ⟨2⟩2. LET:  $a \in X$   
 ⟨2⟩3. LET:  $V$  be a neighbourhood of  $f(a)$   
 ⟨2⟩4. LET:  $U = f^{-1}(V)$   
 ⟨2⟩5.  $U$  is a neighbourhood of  $a$ .  
 ⟨2⟩6.  $f(U) \subseteq V$   
 ⟨1⟩2. If  $f$  is continuous at every point in  $X$  then  $f$  is continuous.  
 ⟨2⟩1. ASSUME:  $f$  is continuous at every point in  $X$ .  
 ⟨2⟩2. LET:  $V$  be open in  $Y$ .  
 ⟨2⟩3. LET:  $x \in f^{-1}(V)$   
 ⟨2⟩4.  $V$  is a neighbourhood of  $f(x)$   
 ⟨2⟩5. PICK a neighbourhood  $U$  of  $x$  such that  $f(U) \subseteq V$   
 ⟨2⟩6.  $x \in U \subseteq f^{-1}(V)$

□

**Definition 12.11.11** (Homeomorphism). Let  $X$  and  $Y$  be topological spaces. A *homeomorphism* between  $X$  and  $Y$  is a bijection  $f : X \approx Y$  such that  $f$  and  $f^{-1}$  are continuous.

**Proposition 12.11.12.** Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Then  $f$  is a homeomorphism iff  $f$  is bijective and, for all  $U \subseteq X$ , we have  $f(U)$  is open if and only if  $U$  is open.

PROOF: Immediate from definitions. □

**Definition 12.11.13** (Topological Property). A property  $P$  of topological spaces is a *topological* property iff, for any topological spaces  $X$  and  $Y$ , if  $P[X]$  and  $X \cong Y$  then  $P[Y]$ .

**Definition 12.11.14** (Retraction). Let  $X$  be a topological space and  $A$  a subspace of  $X$ . A continuous function  $\rho : X \rightarrow A$  is a *retraction* iff  $\rho|_A = \text{id}_A$ . We say  $A$  is a *retract* of  $X$  iff there exists a retraction.

**Definition 12.11.15.** Let **Top** be the category of small topological spaces and continuous functions.

**Proposition 12.11.16.**  $\emptyset$  is initial in **Top**.

**Proposition 12.11.17.**  $1$  is terminal in **Top**.

Forgetful functor **Top**  $\rightarrow$  **Set**.

Basepoint preserving continuous functor.

**Proposition 12.11.18.** Let  $(X, \mathcal{T})$  be a topological space. Let  $S$  be the Sierpiński two-point space. Define  $\Phi : \mathcal{T} \rightarrow \mathbf{Top}[X, S]$  by  $\Phi(U)(x) = 1$  iff  $x \in U$ . Then  $\Phi$  is a bijection.

PROOF:

$\langle 1 \rangle 1$ . For all  $U \in \mathcal{T}$  we have  $\Phi(U)$  is continuous.

$\langle 2 \rangle 1$ . LET:  $U \in \mathcal{T}$

$\langle 2 \rangle 2$ .  $\Phi(U)(\{1\})$  is open.

PROOF: Since  $\Phi(U)(\{1\}) = U$ .

$\langle 1 \rangle 2$ .  $\Phi$  is injective.

PROOF: If  $\Phi(U) = \Phi(V)$  then we have  $\forall x (x \in U \Leftrightarrow \Phi(U)(x) = 1 \Leftrightarrow \Phi(V)(x) = 1 \Leftrightarrow x \in V)$ .

$\langle 1 \rangle 3$ .  $\Phi$  is surjective.

PROOF: Given  $f : X \rightarrow S$  continuous we have  $\Phi(f^{-1}(1)) = f$ .

□

### 12.11.1 Paths

**Definition 12.11.19** (Path). A *path* in a topological space  $X$  is a continuous function  $[0, 1] \rightarrow X$ .

### 12.11.2 Loops

**Definition 12.11.20** (Loop). A *loop* in a topological space  $X$  is a path  $\alpha : [0, 1] \rightarrow X$  such that  $\alpha(0) = \alpha(1)$ .

## 12.12 Convergence

**Definition 12.12.1** (Convergence). Let  $X$  be a topological space. Let  $(x_n)$  be a sequence in  $X$ . A point  $a \in X$  is a *limit* of the sequence iff, for every neighbourhood  $U$  of  $a$ , there exists  $n_0$  such that  $\forall n \geq n_0. x_n \in U$ .

**Proposition 12.12.2.** If  $f : X \rightarrow Y$  is continuous and  $x_n \rightarrow l$  in  $X$  then  $f(x_n) \rightarrow f(l)$  in  $Y$ .

**Example 12.12.3.** The converse does not hold.

Let  $X$  be the set of all continuous functions  $[0, 1] \rightarrow [-1, 1]$  under the product topology. Let  $i : X \rightarrow L^2([0, 1])$  be the inclusion.

If  $f_n \rightarrow f$  then  $i(f_n) \rightarrow i(f)$  — Lebesgue convergence theorem.

We prove that  $i$  is not continuous.

Assume for a contradiction  $i$  is continuous. Choose a neighbourhood  $K$  of 0 in  $X$  such that  $\forall \phi \in K, \int \phi^2 < 1/2$ . Let  $K = \prod_{\lambda \in [0, 1]} U_\lambda$  where  $U_\lambda = [-1, 1]$  except for  $\lambda = \lambda_1, \dots, \lambda_n$ . Let  $\phi$  be the function that is 0 at  $\lambda_1, \dots, \lambda_n$  and 1 everywhere else. Then  $\phi \in K$  but  $\int \phi^2 = 1$ .

**Proposition 12.12.4.** *The converse does hold for first countable spaces. If  $f : X \rightarrow Y$  where  $X$  is first countable, and  $Y$  is a topological space, and whenever  $x_n \rightarrow x$  then  $f(x_n) \rightarrow f(x)$ , then  $f$  is continuous.*

**Proposition 12.12.5.** *If  $(s_n)$  is an increasing sequence of real numbers bounded above, then  $(s_n)$  converges.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $s$  be the supremum of  $\{s_n : n \in \mathbb{N}\}$ .

PROVE:  $s_n \rightarrow s$  as  $n \rightarrow \infty$ .

$\langle 1 \rangle 2$ . LET:  $\epsilon > 0$

$\langle 1 \rangle 3$ . PICK  $N$  such that  $s_N > s - \epsilon$ .

$\langle 1 \rangle 4$ .  $\forall n \geq N, s - \epsilon \leq s_n \leq s$

$\langle 1 \rangle 5$ .  $\forall n \geq N, |s_n - s| < \epsilon$

□

### 12.12.1 Closure

**Proposition 12.12.6.** *Let  $X$  be a topological space. Let  $A \subseteq X$ . Let  $(a_n)$  be a sequence in  $A$  and  $l \in X$ . If  $a_n \rightarrow l$  as  $n \rightarrow \infty$ , then  $l \in \overline{A}$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $U$  be a neighbourhood of  $l$ .

$\langle 1 \rangle 2$ . PICK  $N$  such that  $\forall n \geq N, a_n \in U$

$\langle 1 \rangle 3$ .  $a_N \in A \cap U$

□

### 12.12.2 Continuous Functions

**Proposition 12.12.7.** *Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$  be continuous. Let  $x_n \rightarrow x$  as  $n \rightarrow \infty$  in  $X$ . Then  $f(x_n) \rightarrow f(x)$  as  $n \rightarrow \infty$  in  $Y$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $V$  be a neighbourhood of  $f(x)$ .

$\langle 1 \rangle 2$ . PICK  $N$  such that  $\forall n \geq N, x_n \in f^{-1}(V)$

$\langle 1 \rangle 3$ .  $\forall n \geq N, f(x_n) \in V$

□

### 12.12.3 Infinite Series

**Definition 12.12.8** (Series). Let  $(a_n)$  be a sequence of real numbers. We say that the infinite series  $\sum_{n=0}^{\infty} a_n$  *converges* to  $s$ , and write

$$\sum_{n=0}^{\infty} a_n = s$$

iff  $\sum_{n=0}^N a_n \rightarrow s$  as  $N \rightarrow \infty$ .

## 12.13 Strong Continuity

**Definition 12.13.1** (Strong Continuity). Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Then  $f$  is *strongly continuous* iff, for every  $V \subseteq Y$ , we have  $V$  is open in  $Y$  if and only if  $f^{-1}(V)$  is open in  $X$ .

**Proposition 12.13.2.** Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Then  $f$  is strongly continuous if and only if, for all  $C \subseteq Y$ , we have  $C$  is closed in  $Y$  if and only if  $f^{-1}(C)$  is closed in  $X$ .

PROOF:

$f$  is continuous  $\Leftrightarrow \forall V \subseteq Y (V \text{ is open in } Y \Leftrightarrow f^{-1}(V) \text{ is open in } X)$

$\Leftrightarrow \forall C \subseteq Y (Y - C \text{ is open in } Y \Leftrightarrow f^{-1}(Y - C) \text{ is open in } X)$

$\Leftrightarrow \forall C \subseteq Y (C \text{ is closed in } Y \Leftrightarrow f^{-1}(C) \text{ is closed in } X)$   $\square$

## 12.14 Subspaces

**Definition 12.14.1** (Subspace). Let  $X$  be a topological space,  $Y$  a set, and  $f : Y \rightarrow X$ . The *subspace topology* on  $Y$  induced by  $f$  is  $\mathcal{T} = \{f^{-1}(U) : U \text{ is open in } X\}$ .

We prove this is a topology.

PROOF:

$\langle 1 \rangle 1$ . For all  $\mathcal{U} \subseteq \mathcal{T}$  we have  $\bigcup \mathcal{U} \in \mathcal{T}$

PROOF: Since  $\bigcup \mathcal{U} = f^{-1}(\bigcup \{V : f^{-1}(V) \in \mathcal{U}\})$ .

$\langle 1 \rangle 2$ . For all  $U, V \in \mathcal{T}$  we have  $U \cap V \in \mathcal{T}$

PROOF: Since  $f^{-1}(U) \cap f^{-1}(V) = f^{-1}(U \cap V)$ .

$\langle 1 \rangle 3$ .  $Y \in \mathcal{T}$

PROOF: Since  $Y = f^{-1}(X)$ .

$\square$

**Proposition 12.14.2.** Let  $X$  be a topological space,  $Y$  a set and  $f : Y \rightarrow X$  a function. Then the subspace topology on  $Y$  is the coarsest topology such that  $f$  is continuous.

PROOF: Immediate from definition.  $\square$

**Proposition 12.14.3** (Local Formulation of Continuity). *Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Let  $\mathcal{U}$  be a set of open subspaces of  $X$  such that  $X = \bigcup \mathcal{U}$ . If  $f|_U : U \rightarrow Y$  is continuous for all  $U \in \mathcal{U}$ , then  $f$  is continuous.*

PROOF:

- ⟨1⟩1. LET:  $x \in X$   
     PROVE:  $f$  is continuous at  $x$ .
- ⟨1⟩2. LET:  $V$  be a neighbourhood of  $f(x)$ .
- ⟨1⟩3. PICK  $U \in \mathcal{U}$  such that  $x \in U$ .
- ⟨1⟩4. PICK  $W$  open in  $U$  such that  $x \in W$  and  $f(W) \subseteq V$ .
- ⟨1⟩5.  $W$  is open in  $X$ .  
 $\square$

**Definition 12.14.4** (Unit Circle). The *unit circle*  $S^1$  is  $\{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$  as a subspace of  $\mathbb{R}^2$ .

**Example 12.14.5.** The *unit sphere*  $S^2$  is  $\{x \in \mathbb{R}^3 : \|x\| = 1\}$  as a subspace of  $\mathbb{R}^3$ .

**Theorem 12.14.6.** *Let  $X$  be a topological space and  $(Y, i)$  a subset of  $X$ . Then the subspace topology on  $Y$  is the unique topology such that, for every topological space  $Z$  and function  $f : Z \rightarrow Y$ , we have  $f$  is continuous if and only if  $i \circ f : Z \rightarrow X$  is continuous.*

PROOF:

- ⟨1⟩1. If we give  $Y$  the subspace topology then, for every topological space  $Z$  and function  $f : Z \rightarrow Y$ , we have  $f$  is continuous if and only if  $i \circ f$  is continuous.
  - ⟨2⟩1. Given  $Y$  the subspace topology.
  - ⟨2⟩2. LET:  $Z$  be a topological space.
  - ⟨2⟩3. LET:  $f : Z \rightarrow Y$
  - ⟨2⟩4. If  $f$  is continuous then  $i \circ f$  is continuous.  
 PROOF: Since  $i$  is continuous.
  - ⟨2⟩5. If  $i \circ f$  is continuous then  $f$  is continuous.
    - ⟨3⟩1. ASSUME:  $i \circ f$  is continuous.
    - ⟨3⟩2. LET:  $U$  be open in  $Y$ .
    - ⟨3⟩3.  $f^{-1}(i^{-1}(i(U)))$  is open in  $Z$ .
    - ⟨3⟩4.  $f^{-1}(U)$  is open in  $Z$ .
- ⟨1⟩2. If, for every topological space  $Z$  and function  $f : Z \rightarrow Y$ , we have  $f$  is continuous if and only if  $i \circ f$  is continuous.
  - ⟨2⟩1. ASSUME: For every topological space  $Z$  and function  $f : Z \rightarrow Y$ , we have  $f$  is continuous if and only if  $i \circ f$  is continuous.
  - ⟨2⟩2.  $i$  is continuous.
  - ⟨2⟩3. For every open set  $U$  in  $X$ , we have  $i^{-1}(U)$  is open in  $Y$
  - ⟨2⟩4. LET:  $Z$  be the set  $Y$  under the subspace topology and  $f : Z \rightarrow Y$  the identity function.
  - ⟨2⟩5.  $i \circ f$  is continuous.
  - ⟨2⟩6.  $f$  is continuous.

⟨2⟩7. Every set open in  $Y$  is open in  $Z$ .

□

**Proposition 12.14.7.** *Let  $X$  be a topological space,  $Y$  a subspace of  $X$  and  $U \subseteq Y$ . If  $Y$  is open in  $X$  and  $U$  is open in  $Y$  then  $U$  is open in  $X$ .*

PROOF:

⟨1⟩1. PICK  $V$  open in  $X$  such that  $U = V \cap Y$

⟨1⟩2.  $U$  is open in  $X$ .

PROOF: It is the intersection of two open sets in  $X$ .

□

**Proposition 12.14.8.** *Let  $Y$  be a subspace of  $X$  and  $A \subseteq Y$ . Then the subspace topology on  $A$  as a subspace of  $Y$  is the same as the subspace topology on  $A$  as a subspace of  $X$ .*

PROOF:

⟨1⟩1. LET:  $\mathcal{T}_Y$  be the subspace topology on  $A$  as a subspace of  $Y$ .

⟨1⟩2. LET:  $\mathcal{T}_X$  be the subspace topology on  $A$  as a subspace of  $X$ .

⟨1⟩3. LET:  $U \subseteq A$

⟨1⟩4.  $U \in \mathcal{T}_Y \Leftrightarrow U \in \mathcal{T}_X$

PROOF:

$$\begin{aligned} U \in \mathcal{T}_Y &\Leftrightarrow \exists V \text{ open in } Y. U = V \cap A \\ &\Leftrightarrow \exists V. \exists W \text{ open in } X. (V = Y \cap W \wedge U = V \cap A) \\ &\Leftrightarrow \exists W \text{ open in } X. U = Y \cap W \cap A \\ &\Leftrightarrow \exists W \text{ open in } X. U = W \cap A \\ &\Leftrightarrow U \in \mathcal{T}_X \end{aligned}$$

□

**Proposition 12.14.9.** *Let  $X$  be a topological space. Let  $\mathcal{B}$  be a basis for the topology on  $X$ . Let  $Y \subseteq X$ . Then  $\mathcal{B}' = \{B \cap Y : B \in \mathcal{B}\}$  is a basis for the topology on  $Y$ .*

PROOF:

⟨1⟩1. Every element of  $\mathcal{B}'$  is open.

PROOF: For all  $B \in \mathcal{B}$ , we have  $B$  is open in  $X$ , so  $B \cap Y$  is open in  $Y$ .

⟨1⟩2. For any open set  $V$  in  $Y$  and  $y \in V$ , there exists  $B' \in \mathcal{B}'$  such that  $y \in B' \subseteq V$

⟨2⟩1. LET:  $V$  be open in  $Y$ .

⟨2⟩2. LET:  $y \in V$

⟨2⟩3. PICK  $U$  open in  $X$  such that  $V = U \cap Y$ .

⟨2⟩4. PICK  $B \in \mathcal{B}$  such that  $y \in B \subseteq U$

⟨2⟩5.  $B \cap Y \in \mathcal{B}'$  and  $y \in B \cap Y \subseteq V$

□

### 12.14.1 Product Topology

**Proposition 12.14.10.** *Let  $\{X_i\}_{i \in I}$  be a family of topological spaces. Let  $Y_i$  be a subspace of  $X_i$  for all  $i \in I$ . Then the product topology on  $\prod_{i \in I} Y_i$  is the same*

as the subspace topology on  $\prod_{i \in I} Y_i$  as a subspace of  $\prod_{i \in I} X_i$ .

PROOF:

<1>1. Given  $\prod_{i \in I} Y_i$  the subspace topology.

<1>2. LET:  $\iota : \prod_{i \in I} Y_i$  be the inclusion.

<1>3. LET:  $Z$  be any topological space.

<1>4. LET:  $f : Z \rightarrow \prod_{i \in I} Y_i$

<1>5.  $f$  is continuous if and only if, for all  $i \in I$ , we have  $\pi_i \circ f$  is continuous.

PROOF:

$f$  is continuous  $\Leftrightarrow \iota \circ f : Z \rightarrow \prod_{i \in I} X_i$  is continuous (Theorem 12.14.6)

$\Leftrightarrow \forall i \in I. \pi_i \circ \iota \circ f : Z \rightarrow X_i$  is continuous (Theorem 12.19.4)

$\Leftrightarrow \forall i \in I. \iota_i \circ \pi_i \circ f : Z \rightarrow X_i$  is continuous

$\Leftrightarrow \forall i \in I. \pi_i \circ f : Z \rightarrow Y_i$  is continuous (Theorem 12.14.6)

where  $\iota_i$  is the inclusion  $Y_i \rightarrow X_i$ .

□

## 12.15 Embedding

**Definition 12.15.1** (Embedding). Let  $X$  and  $Y$  be topological spaces and  $f : X \rightarrow Y$ . Then  $f$  is an *embedding* iff  $f$  is injective and the topology on  $X$  is the subspace induced by  $f$ .

**Proposition 12.15.2.** *Every embedding is continuous.*

PROOF: Theorem 12.14.6. □

**Proposition 12.15.3.** *Let  $X$  and  $Y$  be topological spaces. Let  $b \in Y$ . The function  $\kappa : X \rightarrow X \times Y$  that maps  $x$  to  $(x, b)$  is an embedding.*

PROOF:

<1>1. For all  $U$  open in  $X$ , we have  $U = \kappa^{-1}(V)$  for some  $V$  open in  $X \times Y$ .

PROOF: Take  $V = U \times Y$ .

<1>2. For all  $V$  open in  $X \times Y$  we have  $\kappa^{-1}(V)$  is open in  $X$ .

PROOF: Since  $\pi_1 \circ \kappa = \text{id}_X$  and  $\pi_2 \circ \kappa$  (which is the constant function with value  $b$ ) are both continuous, hence  $\kappa$  is continuous.

□

## 12.16 Open Maps

**Definition 12.16.1** (Open Map). Let  $X$  and  $Y$  be topological spaces and  $f : X \rightarrow Y$ . Then  $f$  is an *open map* iff, for all  $U$  open in  $X$ , we have  $f(U)$  is open in  $Y$ .

**Proposition 12.16.2.** *Let  $X$  and  $Y$  be topological spaces. The projections  $\pi_1 : X \times Y \rightarrow X$  and  $\pi_2 : X \times Y \rightarrow Y$  are open maps.*



PROOF:

$\langle 1 \rangle 1.$   $\pi_1$  is an open map.

$\langle 2 \rangle 1.$  LET:  $U$  be open in  $X \times Y$ .

$\langle 2 \rangle 2.$  LET:  $x \in \pi_1(U)$

$\langle 2 \rangle 3.$  PICK  $y$  such that  $(x, y) \in U$

$\langle 2 \rangle 4.$  PICK  $V$  and  $W$  open in  $X$  and  $Y$  respectively such that  $(x, y) \in V \times W \subseteq U$

$\langle 2 \rangle 5.$   $x \in V \subseteq \pi_1(U)$

$\langle 1 \rangle 2.$   $\pi_2$  is an open map.

PROOF: Similar.

□

### 12.16.1 Subspaces

**Proposition 12.16.3.** *Let  $X$  and  $Y$  be topological spaces. Let  $p : X \rightarrow Y$  be an open map. Let  $A$  be an open set in  $X$ . Then  $p|_A : A \rightarrow p(A)$  is an open map.*

PROOF:

$\langle 1 \rangle 1.$  LET:  $U$  be open in  $A$ .

$\langle 1 \rangle 2.$   $U$  is open in  $X$ .

PROOF: Proposition 12.14.7.

$\langle 1 \rangle 3.$   $p(U)$  is open in  $Y$ .

$\langle 1 \rangle 4.$   $p(U)$  is open in  $p(A)$ .

PROOF: Since  $p(U) = p(U) \cap p(A)$ .

□

## 12.17 Locally Finite

**Definition 12.17.1** (Locally Finite). Let  $X$  be a topological space. Let  $\{A_i\}_{i \in I}$  be a family of subsets of  $X$ . Then  $\{A_i\}_{i \in I}$  is *locally finite* iff, for every  $x \in X$ , there exist only finitely many  $i \in I$  such that  $x \in A_i$ .

**Theorem 12.17.2** (Pasting Lemma). *Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Let  $\{A_i\}_{i \in I}$  be a locally finite family of closed subspaces of  $X$  such that  $X = \bigcup_{i \in I} A_i$ . If  $f|_{A_i} : A_i \rightarrow Y$  is continuous for all  $i \in I$ , then  $f$  is continuous.*

PROOF:

$\langle 1 \rangle 1.$  LET:  $B$  be closed in  $Y$ .

$\langle 1 \rangle 2.$  LET:  $A = f^{-1}(B)$

PROVE:  $A$  is closed in  $X$ .

$\langle 1 \rangle 3.$   $A = \bigcup_{i \in I} f|_{A_i}^{-1}(B)$

$\langle 1 \rangle 4.$  LET:  $x \in X - A$

PROVE: There exists a neighbourhood  $U'$  of  $x$  such that  $U' \subseteq X - A$ .

$\langle 1 \rangle 5.$  PICK a neighbourhood  $U$  of  $x$  such that  $U$  intersects  $A_i$  for only finitely many  $i \in I$ .

- <1>6. LET:  $i_1, \dots, i_n$  be the elements of  $I$  such that  $U$  intersects  $A_{i_1}, \dots, A_{i_n}$ .  
 <1>7. For  $j = 1, \dots, n$ ,  
     LET:  $S_j = f|A_{i_j}^{-1}(B)$   
 <1>8. For  $j = 1, \dots, n$ , we have  $S_j$  is closed in  $X$ .  
 <1>9. For  $j = 1, \dots, n$ , we have  $x \notin S_j$ .  
 <1>10. LET:  $U' = U \cap \bigcap_{j=1}^n (X - S_j)$   
 <1>11.  $U'$  is a neighbourhood of  $x$ .  
 <1>12.  $U' \subseteq X - A$   
 $\square$

## 12.18 Closed Maps

**Definition 12.18.1** (Closed Map). Let  $X$  and  $Y$  be topological spaces. Let  $f : X \rightarrow Y$ . Then  $f$  is a *closed map* iff, for every closed set  $C$  in  $X$ , we have  $f(C)$  is closed in  $Y$ .

## 12.19 Product Topology

**Definition 12.19.1** (Product Topology). Let  $\{X_\lambda\}_{\lambda \in \Lambda}$  be a family of topological spaces. The *product topology* on  $\prod_{\lambda \in \Lambda} X_\lambda$  is the coarsest topology such that every projection onto  $X_\lambda$  is continuous.

### 12.19.1 Closed Sets

**Proposition 12.19.2.** Let  $X$  and  $Y$  be topological spaces. Let  $A$  be a closed set in  $X$  and  $B$  a closed set in  $Y$ . Then  $A \times B$  is closed in  $X \times Y$ .

PROOF: Since  $(X \times Y) - (A \times B) = ((X - A) \times Y) \cup (X \times (Y - B))$ .  $\square$

**Proposition 12.19.3.** Let  $\{X_\alpha\}_{\alpha \in A}$  be a family of topological spaces. The *product topology* on  $\prod_{\alpha \in A} X_\alpha$  is the topology generated by the basis  $\mathcal{B} = \{\prod_{\alpha \in A} U_\alpha : \text{for all } \alpha \in A, U_\alpha \text{ is open in } X_\alpha \text{ and } U_\alpha = X_\alpha \text{ for all but finitely many } \alpha \in A\}$ .

PROOF:

- <1>1.  $\mathcal{B}$  is a basis for a topology.  
 <1>2. LET:  $\mathcal{T}$  be the topology generated by  $\mathcal{B}$ .  
 <1>3. LET:  $\mathcal{T}_p$  be the product topology.  
 <1>4.  $\mathcal{T} \subseteq \mathcal{T}_p$   
     <2>1. LET:  $B \in \mathcal{B}$   
     <2>2. LET:  $B = \prod_{\alpha \in A} U_\alpha$  with each  $U_\alpha$  open in  $X_\alpha$  and  $U_\alpha = X_\alpha$  except for  
          $\alpha = \alpha_1, \dots, \alpha_n$   
     <2>3.  $B = \pi_{\alpha_1}^{-1}(U_{\alpha_1}) \cap \dots \cap \pi_{\alpha_n}^{-1}(U_{\alpha_n})$   
     <2>4.  $B \in \mathcal{T}_p$   
 <1>5.  $\mathcal{T}_p \subseteq \mathcal{T}$   
     <2>1. For every  $\alpha \in A$  we have  $\pi_\alpha$  is continuous.  
     PROOF: Since  $\pi^{-1}(U)$  is open for every  $U$  open in  $X_\alpha$ .

□

**Theorem 12.19.4.** *Let  $\{X_\alpha\}_{\alpha \in A}$  be a family of topological spaces. Then the product topology on  $\prod_{\alpha \in A} X_\alpha$  is the unique topology such that, for every topological space  $Z$  and function  $f : Z \rightarrow \prod_{\alpha \in A} X_\alpha$ , we have  $f$  is continuous if and only if, for all  $\alpha \in A$ , we have  $\pi_\alpha \circ f : Z \rightarrow X_\alpha$  is continuous.*

PROOF:

⟨1⟩1. If we give  $\prod_{\alpha \in A} X_\alpha$  the product topology, then for every topological space  $Z$  and function  $f : Z \rightarrow \prod_{\alpha \in A} X_\alpha$ , we have  $f$  is continuous if and only if, for all  $\alpha \in A$ , we have  $\pi_\alpha \circ f$  is continuous.

⟨2⟩1. Give  $\prod_{\alpha \in A} X_\alpha$  the product topology.

⟨2⟩2. LET:  $Z$  be a topological space.

⟨2⟩3. LET:  $f : Z \rightarrow \prod_{\alpha \in A} X_\alpha$

⟨2⟩4. If  $f$  is continuous then, for all  $\alpha \in A$ , we have  $\pi_\alpha \circ f$  is continuous.

PROOF: Since the composite of two continuous functions is continuous.

⟨2⟩5. If, for all  $\alpha \in A$ , we have  $\pi_\alpha \circ f$  is continuous, then  $f$  is continuous.

⟨3⟩1. ASSUME: For all  $\alpha \in A$  we have  $\pi_\alpha \circ f$  is continuous.

⟨3⟩2. LET:  $\{U_\alpha\}_{\alpha \in A}$  be a family with  $U_\alpha$  open in  $X_\alpha$  such that  $U_\alpha = X_\alpha$  for all  $\alpha$  except  $\alpha = \alpha_1, \dots, \alpha_n$ .

⟨3⟩3. For all  $\alpha$  we have  $f^{-1}(\pi_\alpha^{-1}(U_\alpha))$  is open in  $Z$ .

⟨3⟩4.  $f^{-1}(\prod_\alpha U_\alpha)$  is open in  $Z$

PROOF: Since  $f^{-1}(\prod_\alpha U_\alpha) = f^{-1}(\pi_{\alpha_1}^{-1}(U_{\alpha_1})) \cap \dots \cap f^{-1}(\pi_{\alpha_n}^{-1}(U_{\alpha_n}))$ .

⟨1⟩2. If  $\mathcal{T}$  is a topology on  $\prod_{\alpha \in A} X_\alpha$  such that, for every topological space  $Z$  and function  $f : Z \rightarrow \prod_{\alpha \in A} X_\alpha$ , we have  $f$  is continuous if and only if, for all  $\alpha \in A$ , we have  $\pi_\alpha \circ f$  is continuous, then  $\mathcal{T}$  is the product topology.

⟨2⟩1. ASSUME:  $\mathcal{T}$  is a topology on  $\prod_{\alpha \in A} X_\alpha$  such that, for every topological space  $Z$  and function  $f : Z \rightarrow \prod_{\alpha \in A} X_\alpha$ , we have  $f$  is continuous if and only if, for all  $\alpha \in A$ , we have  $\pi_\alpha \circ f$  is continuous.

⟨2⟩2. LET:  $\mathcal{T}_p$  be the product topology.

⟨2⟩3.  $\mathcal{T} \subseteq \mathcal{T}_p$

⟨3⟩1. LET:  $Z = (\prod_\alpha X_\alpha, \mathcal{T}_p)$

⟨3⟩2. LET:  $f : Z \rightarrow \prod_\alpha X_\alpha$  be the identity function

⟨3⟩3. For all  $\alpha$  we have  $\pi_\alpha \circ f$  is continuous.

⟨3⟩4.  $f$  is continuous.

PROOF: ⟨2⟩1

⟨3⟩5. Every set open in  $\mathcal{T}$  is open in  $\mathcal{T}_p$

⟨2⟩4.  $\mathcal{T}_p \subseteq \mathcal{T}$

⟨3⟩1.  $\text{id}_{\prod_\alpha X_\alpha}$  is continuous.

⟨3⟩2. For all  $\alpha$  we have  $\pi_\alpha$  is continuous.

PROOF: ⟨2⟩1

⟨3⟩3.  $\mathcal{T}_p \subseteq \mathcal{T}$

PROOF: Since  $\mathcal{T}_p$  is the coarsest topology such that every  $\pi_\alpha$  is continuous.

□

**Example 12.19.5.** It is not true that, for any function  $f : \prod_{\alpha \in A} X_\alpha \rightarrow Y$ , if  $f$  is continuous in every variable separately then  $f$  is continuous.

Define  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  by

$$f(x, y) = \begin{cases} \frac{xy}{x^2+y^2} & \text{if } (x, y) \neq (0, 0) \\ 0 & \text{if } x = y = 0 \end{cases}$$

Then  $f$  is continuous in  $x$  and in  $y$ , but is not continuous.

**Proposition 12.19.6.** *Let  $\{X_i\}_{i \in I}$  be a nonempty family of topological spaces. The product topology on  $\prod_{i \in I} X_i$  is the topology generated by the subbasis  $\{\pi_i^{-1}(U) : i \in I, U \text{ is open in } X_i\}$ .*

PROOF:

$\langle 1 \rangle 1.$   $\{\pi_i^{-1}(U) : i \in I, U \text{ is open in } X_i\}$  is a subbasis for a topology on  $\prod_{i \in I} X_i$ .

$\langle 2 \rangle 1.$  PICK  $i_0 \in I$

$\langle 2 \rangle 2.$   $\prod_{i \in I} X_i = \pi_{i_0}^{-1}(X_{i_0})$

$\langle 1 \rangle 2.$  The topology generated by this subbasis is the product topology.

PROOF: Since the basis in Proposition 12.19.3 is the set of all finite intersections of elements of this subbasis.

□

## 12.19.2 Closure

**Proposition 12.19.7.** *Let  $\{X_i\}_{i \in I}$  be a family of topological spaces. Let  $A_i \subseteq X_i$  for all  $i \in I$ . Then*

$$\prod_{i \in I} \overline{A_i} = \overline{\prod_{i \in I} A_i}.$$

PROOF:

$\langle 1 \rangle 1.$   $\prod_{i \in I} \overline{A_i} \subseteq \overline{\prod_{i \in I} A_i}$

$\langle 2 \rangle 1.$  LET:  $x \in \prod_{i \in I} \overline{A_i}$

$\langle 2 \rangle 2.$  For any family  $\{U_i\}_{i \in I}$  where each  $U_i$  is open in  $X_i$ , and  $U_i = X_i$  for all but finitely many  $i \in I$ , if  $x \in \prod_{i \in I} U_i$  then  $\prod_{i \in I} U_i$  intersects  $\prod_{i \in I} A_i$ .

$\langle 3 \rangle 1.$  LET:  $\{U_i\}_{i \in I}$  be a family where each  $U_i$  is open in  $X_i$ , and  $U_i = X_i$  for all but finitely many  $i$ .

$\langle 3 \rangle 2.$  ASSUME:  $x \in \prod_{i \in I} \overline{A_i}$

$\langle 3 \rangle 3.$  For all  $i \in I$  we have  $U_i$  intersects  $A_i$

PROOF: Since  $\pi_i(x) \in \overline{A_i}$  and  $U_i$  is a neighbourhood of  $\pi_i(x)$ .

$\langle 3 \rangle 4.$   $\prod_{i \in I} U_i$  intersects  $\prod_{i \in I} A_i$

$\langle 2 \rangle 3.$   $x \in \overline{\prod_{i \in I} A_i}$

PROOF: Proposition 12.8.9.

$\langle 1 \rangle 2.$   $\overline{\prod_{i \in I} A_i} \subseteq \prod_{i \in I} \overline{A_i}$

$\langle 2 \rangle 1.$  LET:  $x \in \overline{\prod_{i \in I} A_i}$

$\langle 2 \rangle 2.$  LET:  $i \in I$

PROVE:  $\pi_i(x) \in \overline{A_i}$

$\langle 2 \rangle 3.$  LET:  $U$  be a neighbourhood of  $\pi_i(x)$  in  $X_i$

$\langle 2 \rangle 4.$   $\pi_i^{-1}(U)$  is a neighbourhood of  $x$  in  $\prod_{i \in I} X_i$

$\langle 2 \rangle 5.$  PICK  $y \in \pi_i^{-1}(U) \cap \prod_{i \in I} A_i$

□  $\langle 2 \rangle 6. \pi_i(y) \in U \cap A_i$

### 12.19.3 Convergence

**Proposition 12.19.8.** *Let  $\{X_i\}_{i \in I}$  be a family of topological spaces. Let  $(x_n)$  be a sequence of points in  $\prod_{i \in I} X_i$  and  $l \in \prod_{i \in I} X_i$ . Then  $x_n \rightarrow l$  as  $n \rightarrow \infty$  if and only if, for all  $i \in I$ , we have  $\pi_i(x_n) \rightarrow \pi_i(l)$  as  $n \rightarrow \infty$ .*

PROOF:

$\langle 1 \rangle 1.$  If  $x_n \rightarrow l$  as  $n \rightarrow \infty$  then, for all  $i \in I$ , we have  $\pi_i(x_n) \rightarrow \pi_i(l)$  as  $n \rightarrow \infty$ .

PROOF: Proposition 12.12.2.

$\langle 1 \rangle 2.$  If, for all  $i \in I$ , we have  $\pi_i(x_n) \rightarrow \pi_i(l)$  as  $n \rightarrow \infty$ , then  $x_n \rightarrow l$  as  $n \rightarrow \infty$ .

$\langle 2 \rangle 1.$  ASSUME: For all  $i \in I$  we have  $\pi_i(x_n) \rightarrow \pi_i(l)$  as  $n \rightarrow \infty$ .

$\langle 2 \rangle 2.$  LET:  $U$  be a neighbourhood of  $l$ .

$\langle 2 \rangle 3.$  PICK  $i_1, \dots, i_n \in I$  and open sets  $U_j$  in  $X_{i_j}$  for  $j = 1, \dots, n$  such that  $l \in \pi_{i_1}^{-1}(U_1) \cap \dots \cap \pi_{i_n}^{-1}(U_n) \subseteq U$

$\langle 2 \rangle 4.$  For  $j = 1, \dots, n$  we have  $\pi_{i_j}(l) \in U_j$

$\langle 2 \rangle 5.$  PICK  $N$  such that, for all  $m \geq N$ , we have  $\pi_{i_j}(x_m) \in U_j$

$\langle 2 \rangle 6.$   $\forall m \geq N. x_m \in U$

□

## 12.20 Topological Disjoint Union

**Definition 12.20.1** (Coproduct Topology). Let  $\{X_\alpha\}_{\alpha \in A}$  be a family of topological spaces. The *coproduct topology* on  $\coprod_{\alpha \in A} X_\alpha$  is

$$\mathcal{T} = \left\{ \coprod_{\alpha \in A} U_\alpha : \{U_\alpha\}_{\alpha \in A} \text{ is a family with } U_\alpha \text{ open in } X_\alpha \text{ for all } \alpha \right\}.$$

We prove this is a topology.

PROOF:

$\langle 1 \rangle 1.$  For all  $\mathcal{U} \subseteq \mathcal{T}$  we have  $\bigcup \mathcal{U} \in \mathcal{T}$

PROOF:

$$\bigcup_{i \in I} \coprod_{\alpha \in A} U_{i\alpha} = \coprod_{\alpha \in A} \bigcup_{i \in I} U_{i\alpha}$$

$\langle 1 \rangle 2.$  For all  $U, V \in \mathcal{T}$  we have  $U \cap V \in \mathcal{T}$

PROOF:

$$\coprod_{\alpha \in A} U_\alpha \cap \coprod_{\alpha \in A} V_\alpha = \coprod_{\alpha \in A} (U_\alpha \cap V_\alpha)$$

$\langle 1 \rangle 3.$   $\coprod_{\alpha \in A} X_\alpha \in \mathcal{T}$

PROOF: Since every  $X_\alpha$  is open in  $X_\alpha$ .

□

**Proposition 12.20.2.** *The coproduct topology is the finest topology on  $\coprod_{\alpha \in A} X_\alpha$  such that every injection  $\kappa_\alpha : X_\alpha \rightarrow \coprod_{\alpha \in A} X_\alpha$  is continuous.*

PROOF:

- ⟨1⟩1. LET:  $P = \coprod_{\alpha \in A} X_\alpha$
- ⟨1⟩2. LET:  $\mathcal{T}_c$  be the coproduct topology.
- ⟨1⟩3. LET:  $\mathcal{T}$  be any topology on  $P$
- ⟨1⟩4. For all  $\alpha \in A$ , the injection  $\kappa_\alpha : X_\alpha \rightarrow (P, \mathcal{T}_c)$  is continuous.
  - ⟨2⟩1. LET:  $\alpha \in A$
  - ⟨2⟩2. LET:  $\{U_\alpha\}_{\alpha \in A}$  be a family with each  $U_\alpha$  open in  $X_\alpha$ .
  - ⟨2⟩3. For all  $\alpha \in A$ , we have  $\kappa_\alpha^{-1}(\coprod_{\alpha \in A} U_\alpha)$  is open in  $X_\alpha$ .
- PROOF: Since  $\kappa_\alpha^{-1}(\coprod_{\alpha \in A} U_\alpha) = U_\alpha$ .
- ⟨1⟩5. If, for all  $\alpha \in A$ , the injection  $\kappa_\alpha : X_\alpha \rightarrow (P, \mathcal{T})$  is continuous, then  $\mathcal{T} \subseteq \mathcal{T}_c$ .
  - ⟨2⟩1. ASSUME: For all  $\alpha \in A$ , the injection  $\kappa_\alpha : X_\alpha \rightarrow (P, \mathcal{T})$  is continuous.
  - ⟨2⟩2. LET:  $U \in \mathcal{T}$
  - ⟨2⟩3. For all  $\alpha \in a$ , we have  $\kappa_\alpha^{-1}(U)$  is open in  $X_\alpha$ .
  - ⟨2⟩4.  $U = \coprod_{\alpha \in A} \kappa_\alpha^{-1}(U) \in \mathcal{T}_c$

□

**Theorem 12.20.3.** *Let  $\{X_\alpha\}_{\alpha \in A}$  be a family of topological spaces. The coproduct topology is the unique topology on  $\coprod_{\alpha \in A} X_\alpha$  such that, for every topological space  $Z$  and function  $f : \coprod_{\alpha \in A} X_\alpha \rightarrow Z$ , we have  $f$  is continuous if and only if  $\forall \alpha \in A, f \circ \kappa_\alpha$  is continuous.*

PROOF:

- ⟨1⟩1. LET:  $X = \coprod_{\alpha \in A} X_\alpha$
- ⟨1⟩2. LET:  $\mathcal{T}_c$  be the coproduct topology.
- ⟨1⟩3. For every topological space  $Z$  and function  $f : (X, \mathcal{T}_c) \rightarrow Z$ , we have  $f$  is continuous if and only if  $\forall \alpha \in A, f \circ \kappa_\alpha$  is continuous.
  - ⟨2⟩1. LET:  $Z$  be a topological space.
  - ⟨2⟩2. LET:  $f : X \rightarrow Z$
  - ⟨2⟩3. If  $f$  is continuous then  $\forall \alpha \in A, f \circ \kappa_\alpha$  is continuous.
- PROOF: Because the composite of two continuous functions is continuous.
- ⟨2⟩4. If  $\forall \alpha \in A, f \circ \kappa_\alpha$  is continuous then  $f$  is continuous.
  - ⟨3⟩1. ASSUME:  $\forall \alpha \in A, f \circ \kappa_\alpha$  is continuous.
  - ⟨3⟩2. LET:  $U$  be open in  $Z$
  - ⟨3⟩3. For all  $\alpha \in A$  we have  $\kappa_\alpha^{-1}(f^{-1}(U))$  is open in  $X_\alpha$
  - ⟨3⟩4.  $f^{-1}(U) = \coprod_{\alpha \in A} \kappa_\alpha^{-1}(f^{-1}(U))$
  - ⟨3⟩5.  $f^{-1}(U)$  is open in  $X$
- ⟨1⟩4. For any topology  $\mathcal{T}$  on  $X$ , if for every topological space  $Z$  and function  $f : (X, \mathcal{T}) \rightarrow Z$ , we have  $f$  is continuous if and only if  $\forall \alpha \in A, f \circ \kappa_\alpha$  is continuous, then  $\mathcal{T} = \mathcal{T}_c$ .
  - ⟨2⟩1. LET:  $\mathcal{T}$  be a topology on  $X$ .
  - ⟨2⟩2. ASSUME: For every topological space  $Z$  and function  $f : (X, \mathcal{T}) \rightarrow Z$ , we have  $f$  is continuous if and only if  $\forall \alpha \in A, f \circ \kappa_\alpha$  is continuous.
  - ⟨2⟩3.  $\mathcal{T} \subseteq \mathcal{T}_c$ 
    - ⟨3⟩1. For all  $\alpha \in A$  we have  $\kappa_\alpha : X_\alpha \rightarrow (X, \mathcal{T})$  is continuous.
- PROOF: From ⟨2⟩1 since  $\text{id}_X$  is continuous.

$\langle 3 \rangle 2. \mathcal{T} \subseteq \mathcal{T}_c$

PROOF: Proposition 12.20.2.

$\langle 2 \rangle 4. \mathcal{T}_c \subseteq \mathcal{T}$

$\langle 3 \rangle 1.$  LET:  $f : (X, \mathcal{T}) \rightarrow (X, \mathcal{T}_c)$  be the identity function.

$\langle 3 \rangle 2. f \circ \kappa_\alpha$  is continuous for all  $\alpha$ .

$\langle 3 \rangle 3. f$  is continuous.

PROOF:  $\langle 2 \rangle 1$

$\langle 3 \rangle 4. \mathcal{T}_c \subseteq \mathcal{T}$

□

## 12.21 Quotient Spaces

**Definition 12.21.1** (Quotient Topology). Let  $X$  be a topological space,  $S$  a set, and  $\pi : X \twoheadrightarrow S$  be a surjection. The *quotient topology* on  $S$  induced by  $\pi$  is  $\mathcal{T} = \{U \in \mathcal{P}S : \pi^{-1}(U) \text{ is open in } X\}$ .

We prove this is a topology.

PROOF:

$\langle 1 \rangle 1.$  For all  $\mathcal{U} \subseteq \mathcal{T}$  we have  $\bigcup \mathcal{U} \in \mathcal{T}$ .

PROOF: Since  $\pi^{-1}(\bigcup \mathcal{U}) = \bigcup \{\pi^{-1}(U) : U \in \mathcal{U}\}$ .

$\langle 1 \rangle 2.$  For all  $U, V \in \mathcal{T}$  we have  $U \cap V \in \mathcal{T}$ .

PROOF: Since  $\pi^{-1}(U \cap V) = \pi^{-1}(U) \cap \pi^{-1}(V)$ .

$\langle 1 \rangle 3. X \in \mathcal{T}$

PROOF: Since  $X = \pi^{-1}(Y)$ .

□

**Proposition 12.21.2.** Let  $X$  be a topological space,  $S$  a set and  $\pi : X \twoheadrightarrow S$  a surjection. Then the quotient topology on  $S$  is the finest topology such that  $\pi$  is continuous.

PROOF: Immediate from definitions. □

**Theorem 12.21.3.** Let  $X$  be a topological space, let  $S$  be a set, and let  $\pi : X \twoheadrightarrow S$  be surjective. Then the quotient topology on  $S$  is the unique topology such that, for every topological space  $Z$  and function  $f : S \rightarrow Z$ , we have  $f$  is continuous if and only if  $f \circ \pi$  is continuous.

PROOF:

$\langle 1 \rangle 1.$  If  $S$  is given the quotient topology, then for every topological space  $Z$  and function  $f : S \rightarrow Z$ , we have  $f$  is continuous if and only if  $f \circ \pi$  is continuous.

$\langle 2 \rangle 1.$  Give  $S$  the quotient topology.

$\langle 2 \rangle 2.$  LET:  $Z$  be a topological space.

$\langle 2 \rangle 3.$  LET:  $f : S \rightarrow Z$

$\langle 2 \rangle 4.$  If  $f$  is continuous then  $f \circ \pi$  is continuous.

PROOF: The composite of two continuous functions is continuous.

$\langle 2 \rangle 5.$  If  $f \circ \pi$  is continuous then  $f$  is continuous.

- 1

- ⟨1⟩1.  $1 \Rightarrow 2$
- ⟨2⟩1. ASSUME:  $p$  is a quotient map.
- ⟨2⟩2.  $p$  is continuous.
- ⟨2⟩3.  $p$  maps saturated open sets to open sets.
- ⟨3⟩1. LET:  $U \subseteq X$  be a saturated open set.



$$\langle 1 \rangle 2. \quad 2 \Rightarrow 3$$

⟨2⟩2. LET:  $C$  be a saturated closed set in  $X$ .

$\langle 2 \rangle 4$ .  $Y - p(C)$  is open.

$$\langle 1 \rangle 3. \quad 3 \Rightarrow 1$$

2. LET:  $C \subseteq Y$

PROVE:  $C$  is closed in  $Y$ .

⟨2⟩5.  $p(p^{-1}(C))$  is closed.

⟨2⟩6.  $C$  is closed.

**Example 12.21.7.** The converse does not hold.

PROOF:

**2.1.** LET:  $U \subseteq \mathbb{R}$

PROOF: Since  $\pi_1^{-1}(U) = (U \times \mathbb{R}) \cap A$ .

$\langle 3 \rangle 1$ . ASSUME:  $\pi_1^{-1}(U)$  is open.

3.2. LET:  $x \in U$

3.  $(x, 0) \in \pi_1^{-1}(U)$

⟨3⟩4. PICK open neighbourhoods  $V$  of  $x$  and  $W$  of  $0$  such that  $V \times W \subseteq \pi_1^{-1}(U)$

⟨3⟩5.  $V \subseteq U$

PROOF: For all  $x' \in V$  we have  $(x', 0) \in V \times W \subseteq \pi_1^{-1}(U)$ .

PROOF:  $\pi_1(((−1, 1) \times (1, 2)) \cap A) = [0, 1)$  which is not open in  $\mathbb{R}$ .

⟨1⟩3.  $\pi_1$  is not a closed map.

PROOF:  $\pi_1(\{(x, 1/x) \in \mathbb{R}^2 : x > 0\}) = (0, +\infty)$  is not closed in  $\mathbb{R}$ .

**Corollary 12.21.7.1.** *Let  $\{X_i\}_{i \in I}$  and  $\{Y_i\}_{i \in I}$  be families of topological spaces and  $p_i : X_i \rightarrow Y_i$  for all  $i \in I$ .*

1. If every  $p_i$  is an open quotient map, then  $\prod_{i \in I} p_i : \prod_{i \in I} X_i \twoheadrightarrow \prod_{i \in I} Y_i$  is an open quotient map.
2. If every  $p_i$  is a closed quotient map, then  $\prod_{i \in I} p_i : \prod_{i \in I} X_i \twoheadrightarrow \prod_{i \in I} Y_i$  is a closed quotient map.

**Example 12.21.8.** The product of two quotient maps is not necessarily a quotient map.

Let  $Y$  be the quotient space of  $\mathbb{R}_K$  obtained by collapsing the set  $K$  to a point. Let  $p : \mathbb{R}_K \twoheadrightarrow Y$  be the quotient map. Then  $q \times q : \mathbb{R}_K^2 \rightarrow Y^2$  is not a quotient map.

PROOF:

$\langle 1 \rangle 1$ . LET:  $\Delta = \{(y, y) : y \in Y\}$

$\langle 1 \rangle 2$ .  $Y$  is not Hausdorff.

$\langle 2 \rangle 1$ . LET:  $*_K \in Y$  be the point such that  $q(K) = \{*_K\}$

$\langle 2 \rangle 2$ . ASSUME: for a contradiction  $U$  and  $V$  are disjoint neighbourhoods of 0 and  $*_K$

$\langle 2 \rangle 3$ .  $q^{-1}(U)$  and  $q^{-1}(V)$  are disjoint open sets with  $0 \in q^{-1}(U)$  and  $K \subseteq q^{-1}(V)$

$\langle 2 \rangle 4$ . Q.E.D.

PROOF: This is a contradiction.

$\langle 1 \rangle 3$ .  $\Delta$  is not closed in  $Y^2$ .

$\langle 1 \rangle 4$ .  $(q \times q)^{-1}(\Delta)$  is closed in  $\mathbb{R}_K^2$

PROOF: It is  $\{(x, x) : x \in \mathbb{R}\} \cup K^2$ .

□

**Proposition 12.21.9.** Let  $\pi : X \twoheadrightarrow S$  be a quotient map. Let  $Z$  be a topological space. Let  $f : X \rightarrow Z$  be continuous. Then there exists a continuous map  $g : S \rightarrow Z$  such that  $f = g \circ \pi$  if and only if, for all  $s \in S$ , we have  $f$  is constant on  $\pi^{-1}(s)$ .

PROOF: From Theorem 12.21.3. □

**Proposition 12.21.10.** Let  $Z$  be a topological space. Define  $\pi : [0, 1] \rightarrow S^1$  by  $\pi(t) = (\cos 2\pi t, \sin 2\pi t)$ . Given any continuous function  $f : S^1 \rightarrow Z$ , we have  $f \circ \pi$  is a loop in  $Z$ . This defines a bijection between  $\mathbf{Top}[S^1, Z]$  and the set of loops in  $Z$ .

PROOF: Since  $\pi$  is a quotient map. □

**Definition 12.21.11** (Projective Space). The *projective space*  $\mathbb{RP}^n$  is the quotient of  $\mathbb{R}^{n+1} - \{0\}$  by  $\sim$  where  $x \sim \lambda x$  for all  $x \in \mathbb{R}^{n+1} - \{0\}$  and  $\lambda \in \mathbb{R}$ .

**Definition 12.21.12** (Torus). The *torus*  $T$  is the quotient of  $[0, 1]^2$  by  $\sim$  where  $(x, 0) \sim (x, 1)$  and  $(0, y) \sim (1, y)$ .

**Definition 12.21.13** (Möbius Band). The *Möbius band* is the quotient of  $[0, 1]^2$  by  $\sim$  where  $(0, y) \sim (1, 1 - y)$ .

**Definition 12.21.14** (Klein Bottle). The *Klein bottle* is the quotient of  $[0, 1]^2$  by  $\sim$  where  $(x, 0) \sim (x, 1)$  and  $(0, y) \sim (1, 1 - y)$ .

**Proposition 12.21.15.**  $\mathbb{RP}^2$  is the quotient of  $[0, 1]^2$  by  $\sim$  where  $(x, 0) \sim (1 - x, 1)$  and  $(0, y) \sim (1, 1 - y)$ .

PROOF:TODO

**Example 12.21.16.** Let  $\{X_i\}_{i \in I}$  be a family of topological spaces and  $\{Y_i\}_{i \in I}$  a family of sets. Let  $q_i : X_i \rightarrow Y_i$  be a surjective function for all  $i \in I$ . Give each  $Y_i$  the quotient topology. It is not true in general that the product topology on  $\prod_{i \in I} Y_i$  is the same as the quotient topology induced by  $\prod_{i \in I} q_i : \prod_{i \in I} X_i \rightarrow \prod_{i \in I} Y_i$ .

PROOF:

- ⟨1⟩1. LET:  $X^* = \mathbb{R} - \mathbb{Z}_+ + \{b\}$  be the quotient space obtained from  $\mathbb{R}$  by identifying the subset  $\mathbb{Z}_+$  to the point  $b$ .
- ⟨1⟩2. LET:  $p : \mathbb{R} \rightarrow X^*$  be the quotient map.  
PROVE:  $p \times \text{id}_{\mathbb{Q}} : \mathbb{R} \times \mathbb{Q} \rightarrow X^* \times \mathbb{Q}$  is not a quotient map.
- ⟨1⟩3. For  $n \in \mathbb{Z}_+$ ,  
LET:  $c_n = \sqrt{2}/n$
- ⟨1⟩4. For  $n \in \mathbb{Z}_+$ ,  
LET:  $U_n = \{(x, y) \in \mathbb{Q} \times \mathbb{R} : n - 1/4 < x < n + 1/4 \text{ and } ((y > x + c_n - n \text{ and } y > -x + c_n + n) \text{ or } (y < x + c_n - n \text{ and } y < -x + c_n + n))\}$
- ⟨1⟩5. For all  $n \in \mathbb{Z}_+$ ,  $U_n$  is open in  $\mathbb{R} \times \mathbb{Q}$
- ⟨1⟩6. For all  $n \in \mathbb{Z}_+$  we have  $\{n\} \times \mathbb{Q} \subseteq U_n$
- ⟨1⟩7. LET:  $U = \bigcup_{n \in \mathbb{Z}_+} U_n$
- ⟨1⟩8.  $U$  is open in  $\mathbb{R} \times \mathbb{Q}$ .
- ⟨1⟩9.  $U$  is saturated with respect to  $p \times \text{id}_{\mathbb{Q}}$ .
- ⟨1⟩10. LET:  $U' = (p \times \text{id}_{\mathbb{Q}})(U)$
- ⟨1⟩11. ASSUME: for a contradiction  $U'$  is open in  $X^* \times \mathbb{Q}$ .

**Proposition 12.21.17.** Let  $X$  and  $Y$  be topological spaces. Let  $\sim$  be an equivalence relation on  $X$ . Let  $\phi : Y \rightarrow X/\sim$ .

Assume that, for all  $y \in Y$ , there exists a neighbourhood  $U$  of  $y$  and a continuous function  $\Phi : U \rightarrow X$  such that  $\pi \circ \Phi = \phi|_U$ . Then  $\phi$  is continuous.

**Proposition 12.21.18.** A quotient of a path connected space is path connected.

**Proposition 12.21.19.** Let  $X$  be a topological space and  $\sim$  an equivalence relation on  $X$ . If  $X/\sim$  is Hausdorff then every equivalence class of  $\sim$  is closed in  $X$ .

**Definition 12.21.20.** Let  $X$  be a topological space and  $A_1, \dots, A_r \subseteq X$ . Then  $X/A_1, \dots, A_r$  is the quotient space of  $X$  with respect to  $\sim$  where  $x \sim y$  iff  $x = y$  or  $\exists i(x \in A_i \wedge y \in A_i)$ .

**Definition 12.21.21** (Cone). Let  $X$  be a topological space. The *cone over  $X$*  is the space  $(X \times [0, 1])/(X \times \{1\})$ .

**Definition 12.21.22** (Suspension). Let  $X$  be a topological space. The *suspension* of  $X$  is the space

$$\Sigma X := (X \times [-1, 1]) / (X \times \{-1\}), (X \times \{1\})$$

**Definition 12.21.23** (Wedge Product). Let  $x_0 \in X$  and  $y_0 \in Y$ . The *wedge product*  $X \vee Y$  is  $(X \times \{y_0\}) \cup (\{x_0\} \times Y)$  as a subspace of  $X \times Y$ .

**Definition 12.21.24** (Smash Product). Let  $x_0 \in X$  and  $y_0 \in Y$ . The *smash product*  $X \wedge Y$  is  $(X \times Y) / (X \vee Y)$ .

**Example 12.21.25.**  $D^n / S^{n-1} \cong S^n$

PROOF:

$\langle 1 \rangle 1$ . LET:  $\phi : D^n / S^{n-1} \rightarrow S^n$  be the function induced by the map  $D^n \rightarrow S^n$  that maps the radii of  $D^n$  onto the meridians of  $S^n$  from the north to the south pole.

$\langle 1 \rangle 2$ .  $\phi$  is a bijection.

$\langle 1 \rangle 3$ .  $\phi$  is a homeomorphism.

PROOF: Since  $D^n / S^{n-1}$  is compact and  $S^n$  is Hausdorff.

□

## 12.22 Box Topology

**Definition 12.22.1** (Box Topology). Let  $\{X_i\}_{i \in I}$  be a family of topological spaces. The *box topology* on  $X = \prod_{i \in I} X_i$  is the topology generated by the basis  $\mathcal{B} = \{\prod_{i \in I} U_i : \{U_i\}_{i \in I} \text{ is a family with each } U_i \text{ an open set in } X_i\}$ .

We prove this is a basis for a topology.

PROOF:

$\langle 1 \rangle 1$ .  $\bigcup \mathcal{B} = X$

PROOF: Since  $\prod_{i \in I} X_i \in \mathcal{B}$ .

$\langle 1 \rangle 2$ . For all  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , there exists  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .

$\langle 2 \rangle 1$ . LET:  $B_1, B_2 \in \mathcal{B}$

$\langle 2 \rangle 2$ . LET:  $x \in B_1 \cap B_2$

$\langle 2 \rangle 3$ . PICK a family  $\{U_i\}_{i \in I}$  such that  $B_1 = \prod_{i \in I} U_i$ .

$\langle 2 \rangle 4$ . PICK a family  $\{V_i\}_{i \in I}$  such that  $B_2 = \prod_{i \in I} V_i$ .

$\langle 2 \rangle 5$ . LET:  $B_3 = \prod_{i \in I} (U_i \cap V_i)$

$\langle 2 \rangle 6$ .  $x \in B_3 \subseteq B_1 \cap B_2$

□

**Proposition 12.22.2.** The box topology is finer than the product topology.

PROOF: Immediate from definitions. □

**Proposition 12.22.3.** On a finite family of topological spaces, the box topology and the product topology are the same.

PROOF: Immediate from definitions.  $\square$

**Proposition 12.22.4.** *The box topology is strictly finer than the product topology on the Hilbert cube.*

PROOF: The set  $\prod_{n=0}^{\infty} (0, 1/(n+1)^2)$  is open in the box topology but not in the product topology.  $\square$

### 12.22.1 Bases

**Proposition 12.22.5.** *Let  $\{X_i\}_{i \in I}$  be a family of topological spaces. For all  $i \in I$ , let  $\mathcal{B}_i$  be a basis for the topology on  $X_i$ . Then  $\mathcal{B} = \{\prod_{i \in I} B_i : \forall i \in I, B_i \in \mathcal{B}_i\}$  is a basis for the box topology on  $\prod_{i \in I} X_i$ .*

PROOF:

$\langle 1 \rangle 1$ . For every family  $\{B_i\}_{i \in I}$  where  $\forall i \in I, B_i \in \mathcal{B}_i$ , we have  $\prod_{i \in I} B_i$  is open in the box topology.

PROOF: Since each  $B_i$  is open in  $X_i$ .

$\langle 1 \rangle 2$ . For any open set  $U$  in the box topology and  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B \subseteq U$ .

$\langle 2 \rangle 1$ . LET:  $U$  be a set open in the box topology.

$\langle 2 \rangle 2$ . LET:  $x \in U$

$\langle 2 \rangle 3$ . PICK a family  $\{U_i\}_{i \in I}$  where each  $U_i$  is open in  $X_i$  such that  $x \in \prod_{i \in I} U_i \subseteq U$

$\langle 2 \rangle 4$ . For  $i \in I$ , choose  $B_i \in \mathcal{B}_i$  such that  $x_i \in B_i \subseteq U_i$ .

$\langle 2 \rangle 5$ .  $\prod_{i \in I} B_i \in \mathcal{B}$

$\langle 2 \rangle 6$ .  $x \in \prod_{i \in I} B_i \subseteq \prod_{i \in I} U_i \subseteq U$

$\square$

### 12.22.2 Subspaces

**Proposition 12.22.6.** *Let  $\{X_i\}_{i \in I}$  be a family of topological spaces. Let  $Y_i$  be a subspace of  $X_i$  for all  $i \in I$ . Then the box topology on  $\prod_{i \in I} Y_i$  is the same as the subspace topology that  $\prod_{i \in I} Y_i$  inherits as a subspace of  $\prod_{i \in I} X_i$  under the box topology.*

PROOF: A basis for the box topology is

$$\begin{aligned} & \left\{ \prod_{i \in I} V_i : V_i \text{ open in } Y_i \right\} \\ &= \left\{ \prod_{i \in I} (U_i \cap Y_i) : U_i \text{ open in } X_i \right\} \\ &= \left\{ \prod_{i \in I} U_i \cap \prod_{i \in I} Y_i : U_i \text{ open in } X_i \right\} \end{aligned}$$

which is a basis for the subspace topology by Proposition 12.2.17.  $\square$

### 12.22.3 Closure

**Proposition 12.22.7.** *Let  $\{X_i\}_{i \in I}$  be a family of topological spaces. Give  $\prod_{i \in I} X_i$  the box topology. Let  $A_i \subseteq X_i$  for all  $i \in I$ . Then*

$$\prod_{i \in I} \overline{A_i} = \overline{\prod_{i \in I} A_i} .$$

PROOF:

- $\langle 1 \rangle 1.$   $\prod_{i \in I} \overline{A_i} \subseteq \overline{\prod_{i \in I} A_i}$   
 $\langle 2 \rangle 1.$  LET:  $x \in \prod_{i \in I} \overline{A_i}$   
 $\langle 2 \rangle 2.$  For any family  $\{U_i\}_{i \in I}$  where each  $U_i$  is open in  $X_i$ , if  $x \in \prod_{i \in I} U_i$  then  $\prod_{i \in I} U_i$  intersects  $\prod_{i \in I} A_i$ .  
 $\langle 3 \rangle 1.$  LET:  $\{U_i\}_{i \in I}$  be a family where each  $U_i$  is open in  $X_i$ .  
 $\langle 3 \rangle 2.$  ASSUME:  $x \in \prod_{i \in I} U_i$   
 $\langle 3 \rangle 3.$  For all  $i \in I$  we have  $U_i$  intersects  $A_i$   
 PROOF: Since  $\pi_i(x) \in \overline{A_i}$  and  $U_i$  is a neighbourhood of  $\pi_i(x)$ .  
 $\langle 3 \rangle 4.$   $\prod_{i \in I} U_i$  intersects  $\prod_{i \in I} A_i$   
 $\langle 2 \rangle 3.$   $x \in \overline{\prod_{i \in I} A_i}$   
 PROOF: Proposition 12.8.9.  
 $\langle 1 \rangle 2.$   $\overline{\prod_{i \in I} A_i} \subseteq \prod_{i \in I} \overline{A_i}$   
 $\langle 2 \rangle 1.$  LET:  $x \in \overline{\prod_{i \in I} A_i}$   
 $\langle 2 \rangle 2.$  LET:  $i \in I$   
 PROVE:  $\pi_i(x) \in \overline{A_i}$   
 $\langle 2 \rangle 3.$  LET:  $U$  be a neighbourhood of  $\pi_i(x)$  in  $X_i$   
 $\langle 2 \rangle 4.$   $\pi_i^{-1}(U)$  is a neighbourhood of  $x$  in  $\prod_{i \in I} X_i$   
 $\langle 2 \rangle 5.$  PICK  $y \in \pi_i^{-1}(U) \cap \prod_{i \in I} A_i$   
 $\langle 2 \rangle 6.$   $\pi_i(y) \in U \cap A_i$

□

## 12.23 Separations

**Definition 12.23.1** (Separation). Let  $X$  be a topological space. A *separation* of  $X$  is a pair  $(U, V)$  of disjoint nonempty open subsets in  $X$  such that  $U \cup V = X$ .

### Subspaces

**Proposition 12.23.2.** *Let  $X$  be a topological space and  $Y$  a subspace of  $X$ . Then a separation of  $Y$  is a pair  $(A, B)$  of disjoint nonempty subsets of  $Y$ , neither of which contains a limit point of the other, such that  $A \cup B = Y$ .*

PROOF: Since the following are equivalent:

- Neither of  $A$  and  $B$  contains a limit point of the other.
- $A$  contains all its own limit points in  $Y$ , and  $B$  contains all its own limit points in  $Y$ .

- $A$  and  $B$  are closed in  $Y$ .

□

## 12.24 Connected Spaces

**Definition 12.24.1** (Connected). A topological space is *connected* iff it has no separation.

### 12.24.1 The Real Numbers

**Example 12.24.2.** The space  $\mathbb{R}_l$  is disconnected. The sets  $(-\infty, 0)$  and  $[0, +\infty)$  form a separation.

### 12.24.2 The Indiscrete Topology

**Example 12.24.3.** Any indiscrete space is connected.

**Example 12.24.4.** Any infinite set under the cofinite topology is connected.

PROOF:

⟨1⟩1. LET:  $X$  be an infinite set under the cofinite topology.

⟨1⟩2. ASSUME: for a contradiction  $(C, D)$  is a separation of  $X$ .

⟨1⟩3.  $X = (X - C) \cup (X - D) \cup (C \cap D)$

⟨1⟩4. Q.E.D.

PROOF: This is a contradiction since  $X$  is infinite,  $X - C$  and  $X - D$  are finite, and  $C \cap D = \emptyset$ .

□

**Example 12.24.5.** The rationals are disconnected. For any irrational  $a$ , we have  $(-\infty, a) \cap \mathbb{Q}$  and  $(a, +\infty) \cap \mathbb{Q}$  form a separation of  $\mathbb{Q}$ .

**Example 12.24.6.**  $\mathbb{R}^\omega$  under the box topology is not connected. The set of bounded sequences and the set of unbounded sequences form a separation.

**Proposition 12.24.7.** A topological space  $X$  is connected if and only if the only sets that are both open and closed are  $\emptyset$  and  $X$ .

PROOF: Since  $(U, V)$  is a separation of  $X$  iff  $U$  is both open and closed and  $V = X - U$ . □

### 12.24.3 Finer and Coarser

**Proposition 12.24.8.** Let  $\mathcal{T}$  and  $\mathcal{T}'$  be topologies on the same set  $X$ . Assume  $\mathcal{T} \subseteq \mathcal{T}'$ . If  $\mathcal{T}'$  is connected then  $\mathcal{T}$  is connected.

PROOF: If  $(C, D)$  is a separation of  $(X, \mathcal{T})$  then it is a separation of  $(X, \mathcal{T}')$ . □

### 12.24.4 Boundary

**Proposition 12.24.9.** *Let  $X$  be a topological space. Let  $A \subseteq X$ . Let  $C$  be a connected subspace of  $X$ . If  $C$  intersects  $A$  and  $X - A$  then  $C$  intersects  $\partial A$ .*

PROOF: Otherwise  $(C \cap \overline{A}, C \cap \overline{X - A})$  would be a separation of  $C$ .  $\square$

### 12.24.5 Continuous Functions

**Proposition 12.24.10.** *The continuous image of a connected space is connected.*

PROOF:

- $\langle 1 \rangle 1$ . LET:  $X$  and  $Y$  be topological spaces.
  - $\langle 1 \rangle 2$ . LET:  $f : X \rightarrow Y$  be a surjective continuous function.
  - $\langle 1 \rangle 3$ . LET:  $(C, D)$  be a separation of  $Y$ .
  - $\langle 1 \rangle 4$ .  $(f^{-1}(C), f^{-1}(D))$  is a separation of  $X$ .
- $\square$

### 12.24.6 Subspaces

**Proposition 12.24.11.** *Let  $X$  be a topological space. Let  $(C, D)$  be a separation of  $X$ . Let  $Y$  be a connected subspace of  $X$ . Then either  $Y \subseteq C$  or  $Y \subseteq D$ .*

PROOF: Otherwise  $(Y \cap C, Y \cap D)$  would be a separation of  $Y$ .  $\square$

**Proposition 12.24.12.** *Let  $X$  be a topological space. Let  $\mathcal{A}$  be a set of connected subspaces of  $X$  and  $B$  a connected subspace of  $X$ . Assume that, for all  $A \in \mathcal{A}$ , we have  $A \cap B \neq \emptyset$ . Then  $\bigcup \mathcal{A} \cup B$  is connected.*

PROOF:

- $\langle 1 \rangle 1$ . ASSUME: for a contradiction  $(C, D)$  is a separation of  $\bigcup \mathcal{A} \cup B$ .
  - $\langle 1 \rangle 2$ . ASSUME: w.l.o.g.  $B \subseteq C$   
PROOF: Proposition 12.24.11.
  - $\langle 1 \rangle 3$ . For all  $A \in \mathcal{A}$  we have  $A \subseteq C$   
PROOF: Proposition 12.24.11.
  - $\langle 1 \rangle 4$ .  $D = \emptyset$
  - $\langle 1 \rangle 5$ . Q.E.D.
- PROOF: This is a contradiction.  
 $\square$

**Proposition 12.24.13.** *Let  $X$  be a topological space. Let  $A$  be a connected subspace of  $X$ . Let  $B$  be a subspace of  $X$ . If  $A \subseteq B \subseteq \overline{A}$  then  $B$  is connected.*

PROOF:

- $\langle 1 \rangle 1$ . ASSUME: for a contradiction  $(C, D)$  is a separation of  $B$ .
- $\langle 1 \rangle 2$ . ASSUME: w.l.o.g.  $A \subseteq C$   
PROOF: Proposition 12.24.11.
- $\langle 1 \rangle 3$ .  $\overline{A} \subseteq \overline{C}$



$\langle 1 \rangle 4. \overline{C} \cap D = \emptyset$

$\langle 1 \rangle 5. B \cap D = \emptyset$

$\langle 1 \rangle 6. \text{Q.E.D.}$

PROOF: This is a contradiction.

□

**Proposition 12.24.14.** *Let  $X$  be a topological space. Let  $(A_n)$  be a sequence of connected subspaces of  $X$  such that, for all  $n$ , we have  $A_n \cap A_{n+1} \neq \emptyset$ . Then  $\bigcup_n A_n$  is connected.*

PROOF:

$\langle 1 \rangle 1.$  ASSUME: for a contradiction  $(C, D)$  is a separation of  $\bigcup_n A_n$

$\langle 1 \rangle 2.$  ASSUME: w.l.o.g.  $A_0 \subseteq C$

PROOF: Proposition 12.24.11.

$\langle 1 \rangle 3. \forall n. A_n \subseteq C$

$\langle 2 \rangle 1.$  ASSUME: as induction hypothesis  $A_n \subseteq C$

$\langle 2 \rangle 2.$  PICK  $x \in A_n \cap A_{n+1}$

$\langle 2 \rangle 3. x \in C$

$\langle 2 \rangle 4. A_{n+1} \subseteq C$

PROOF: Proposition 12.24.11.

$\langle 1 \rangle 4. \bigcup_n A_n \subseteq C$

$\langle 1 \rangle 5. \text{Q.E.D.}$

PROOF: This is a contradiction.

□

**Proposition 12.24.15.** *Let  $X$  be a connected topological space. Let  $Y \subseteq X$  be connected. Let  $(A, B)$  be a separation of  $X - Y$ . Then  $Y \cup A$  and  $Y \cup B$  are connected.*

PROOF:

$\langle 1 \rangle 1. Y \cup A$  is connected.

$\langle 2 \rangle 1.$  ASSUME: for a contradiction  $(C, D)$  is a separation of  $Y \cup A$

$\langle 2 \rangle 2.$  ASSUME: w.l.o.g.  $Y \subseteq C$

$\langle 2 \rangle 3.$  PICK  $C'$  and  $D'$  open in  $X$  such that  $C = C' \cap (Y \cup A)$  and  $D = D' \cap (Y \cup A)$

$\langle 2 \rangle 4. D = D' \cap A$

$\langle 2 \rangle 5. C' \cap D' \cap A = \emptyset$

$\langle 2 \rangle 6. A \subseteq C' \cup D'$

$\langle 2 \rangle 7.$  PICK  $A'$  and  $B'$  open in  $X$  such that  $A = A' - Y$  and  $B = B' - Y$

$\langle 2 \rangle 8. A' \cap B' \subseteq Y$

$\langle 2 \rangle 9. X - Y \subseteq A' \cup B'$

$\langle 2 \rangle 10. A' \subseteq C' \cup D'$

$\langle 2 \rangle 11. (D' \cap A', B' \cup C')$  is a separation of  $X$ .

$\langle 1 \rangle 2. Y \cup B$  is connected.

PROOF: Similar.

□

### 12.24.7 Order Topology

**Proposition 12.24.16.** *Every linear continuum is connected under the order topology.*

PROOF:

- ⟨1⟩1. LET:  $L$  be a linear continuum.
- ⟨1⟩2. ASSUME: for a contradiction  $(A, B)$  is a separation of  $L$ .
- ⟨1⟩3. PICK  $a \in A$  and  $b \in B$ .
- ⟨1⟩4. ASSUME: w.l.o.g.  $a < b$
- ⟨1⟩5. LET:  $c = \sup\{x \in A : x < b\}$
- ⟨1⟩6.  $c \notin A$ 
  - ⟨2⟩1. ASSUME: for a contradiction  $c \in A$ .
  - ⟨2⟩2. PICK  $e > c$  such that  $[c, e] \subseteq A$ .
  - ⟨2⟩3. PICK  $z$  such that  $c < z < e$ .
  - ⟨2⟩4.  $z \in A$
  - ⟨2⟩5. Q.E.D.
- PROOF: This contradicts ⟨1⟩5.
- ⟨1⟩7.  $c \notin B$ 
  - ⟨2⟩1. ASSUME: for a contradiction  $c \in B$ .
  - ⟨2⟩2. PICK  $d < c$  such that  $(d, c] \subseteq B$ .
  - ⟨2⟩3. PICK  $z$  such that  $d < z < c$
  - ⟨2⟩4.  $z$  is an upper bound for  $\{x \in A : x < b\}$
  - ⟨2⟩5. Q.E.D.
- PROOF: This contradicts ⟨1⟩5.
- ⟨1⟩8. Q.E.D.
- PROOF: This is a contradiction.

□

**Theorem 12.24.17** (Intermediate Value Theorem). *Let  $X$  be a connected space. Let  $Y$  be a linearly ordered set under the order topology. Let  $f : X \rightarrow Y$  be continuous. Let  $a, b \in X$  and  $r \in Y$ . If  $f(a) < r < f(b)$ , then there exists  $c \in X$  such that  $f(c) = r$ .*

PROOF: Otherwise  $\{x \in X : f(x) < r\}$  and  $\{x \in X : f(x) > r\}$  would form a separation of  $X$ . □

### 12.24.8 Product Topology

**Proposition 12.24.18.** *The product of a family of connected spaces is connected.*

PROOF:

- ⟨1⟩1. The product of two connected spaces is connected.
- PROOF:
  - ⟨2⟩1. LET:  $X$  and  $Y$  be connected topological spaces.
  - ⟨2⟩2. ASSUME: w.l.o.g.  $X$  and  $Y$  are nonempty.
  - ⟨2⟩3. PICK  $(a, b) \in X \times Y$

⟨2⟩4.  $X \times \{b\}$  is connected.

PROOF: It is homeomorphic to  $X$ .

⟨2⟩5. For all  $x \in X$  we have  $\{x\} \times Y$  is connected.

PROOF: It is homeomorphic to  $Y$ .

⟨2⟩6. For all  $x \in X$  we have  $(X \times \{b\}) \cup (\{x\} \times Y)$  is connected.

PROOF: Proposition 12.24.12.

⟨2⟩7.  $X \cup Y$  is connected.

PROOF: Proposition 12.24.12 since  $X \cup Y = \bigcup_{x \in X} ((X \times \{b\}) \cup (\{x\} \times Y))$  and the subspaces all have the point  $(a, b)$  in common.

⟨1⟩2. LET:  $\{X_i\}_{i \in I}$  be a family of connected spaces.

⟨1⟩3. LET:  $X = \prod_{i \in I} X_i$

⟨1⟩4. ASSUME: w.l.o.g. each  $X_i$  is nonempty.

⟨1⟩5. PICK  $a \in X$

⟨1⟩6. For every finite  $K \subseteq I$ ,

LET:  $X_K = \{x \in X : \forall i \notin K. \pi_i(x) = \pi_i(a)\}$

⟨1⟩7. For every finite  $K \subseteq I$ , we have  $X_K$  is connected.

PROOF: It is homeomorphic to  $\prod_{i \in K} X_i$  which is connected by ⟨1⟩1.

⟨1⟩8. LET:  $Y = \bigcup_{K \text{ a finite subset of } I} X_K$

⟨1⟩9.  $Y$  is connected.

PROOF: Proposition 12.24.12 since  $a \in X_K$  for all  $K$ .

⟨1⟩10.  $X = \overline{Y}$

⟨2⟩1. LET:  $x \in X$

⟨2⟩2. LET:  $U$  be a neighbourhood of  $x$ .

PROVE:  $U$  intersects  $Y$ .

⟨2⟩3. PICK a finite subset  $K$  of  $I$  and  $U_i$  open in each  $X_i$  such that  $U_i = X_i$  for all  $i \notin K$ , and  $x \in \prod_i U_i \subseteq U$

⟨2⟩4. LET:  $y \in X$  be the point with  $\pi_i(y) = \pi_i(x)$  for  $i \in K$  and  $\pi_i(y) = \pi_i(a)$  for  $i \notin K$

⟨2⟩5.  $y \in U \cap Y$

⟨1⟩11.  $X$  is connected.

PROOF: Proposition 12.24.13.

□

**Proposition 12.24.19.** *Let  $X$  and  $Y$  be topological spaces. Let  $A$  be a proper subset of  $X$  and  $B$  a proper subset of  $Y$ . Then  $(X \times Y) - (A \times B)$  is connected.*

PROOF:

⟨1⟩1. PICK  $x_0 \in X - A$

⟨1⟩2. PICK  $y_0 \in Y - B$

⟨1⟩3. LET:  $C = ((X - A) \times Y) \cup (X \times \{y_0\})$

⟨1⟩4. LET:  $D = (\{x_0\} \times Y) \cup (X \times (Y - B))$

⟨1⟩5.  $C$  is connected.

⟨2⟩1.  $C = \bigcup_{x \in X - A} (\{x\} \times Y) \cup (X \times \{y_0\})$

⟨2⟩2. For all  $x \in X - A$  we have  $\{x\} \times Y$  is connected.

PROOF: It is homeomorphic to  $Y$ .

⟨2⟩3.  $X \times \{y_0\}$  is connected.

PROOF: It is homeomorphic to  $X$ .

$\langle 2 \rangle 4$ . For all  $x \in X - A$  we have  $(x, y_0) \in (\{x\} \times Y) \cap (X \times \{y_0\})$

$\langle 2 \rangle 5$ .  $C$  is connected.

PROOF: Proposition 12.24.12.

$\langle 1 \rangle 6$ .  $D$  is connected.

PROOF: Similar.

$\langle 1 \rangle 7$ .  $(X \times Y) - (A \times B) = C \cup D$

$\langle 1 \rangle 8$ .  $(X \times Y) - (A \times B)$  is connected.

PROOF: Proposition 12.24.12 since  $(x_0, y_0) \in C \cap D$ .

□

### 12.24.9 Quotient Spaces

**Proposition 12.24.20.** *A quotient of a connected space is connected.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $p : X \rightarrow Y$  be a quotient map.

$\langle 1 \rangle 2$ . If  $(C, D)$  is a separation of  $Y$  then  $(p^{-1}(C), p^{-1}(D))$  is a separation of  $X$ .

□

**Proposition 12.24.21.** *Let  $p : X \rightarrow Y$  be a quotient map. Assume that  $Y$  is connected, for all  $y \in Y$ , we have  $p^{-1}(y)$  is connected. Then  $X$  is connected.*

PROOF:

$\langle 1 \rangle 1$ . ASSUME: for a contradiction  $(A, B)$  is a separation of  $X$ .

$\langle 1 \rangle 2$ . For all  $y \in Y$ , either  $p^{-1}(y) \subseteq A$  or  $p^{-1}(y) \subseteq B$ .

$\langle 1 \rangle 3$ .  $(\{y \in Y : p^{-1}(y) \subseteq A\}, \{y \in Y : p^{-1}(y) \subseteq B\})$  form a separation of  $Y$ .

$\langle 1 \rangle 4$ . Q.E.D.

PROOF: This is a contradiction.

□

### 12.25 $T_1$ Spaces

**Definition 12.25.1** ( $T_1$ ). A topological space is  $T_1$  iff every one-point set is closed.

**Proposition 12.25.2.** *A topological space is  $T_1$  iff every finite set is closed.*

PROOF: Since the union of finitely many closed sets is closed. □

**Proposition 12.25.3.** *Let  $X$  be a topological space. Then  $X$  is  $T_1$  if and only if, for all  $x, y \in X$ , if  $x \neq y$  then there exists a neighbourhood of  $x$  that does not contain  $y$ , and there exists a neighbourhood of  $y$  that does not contain  $x$ .*

PROOF:

$\langle 1 \rangle 1$ . If  $X$  is  $T_1$  then, for all  $x, y \in X$ , if  $x \neq y$  then there exists a neighbourhood of  $x$  that does not contain  $y$ , and there exists a neighbourhood of  $y$  that does not contain  $x$ .

$\langle 2 \rangle 1$ . ASSUME:  $X$  is  $T_1$ .

- ⟨2⟩2. LET:  $x, y \in X$
- ⟨2⟩3. ASSUME:  $x \neq y$
- ⟨2⟩4.  $X - \{y\}$  is a neighbourhood of  $x$  that does not contain  $y$ .
- ⟨2⟩5.  $X - \{x\}$  is a neighbourhood of  $y$  that does not contain  $x$ .
- ⟨1⟩2. If, for all  $x, y \in X$ , if  $x \neq y$  then there exists a neighbourhood of  $x$  that does not contain  $y$ , and there exists a neighbourhood of  $y$  that does not contain  $x$ , then  $X$  is  $T_1$ .
- ⟨2⟩1. ASSUME: For all  $x, y \in X$ , if  $x \neq y$  then there exists a neighbourhood of  $x$  that does not contain  $y$ , and there exists a neighbourhood of  $y$  that does not contain  $x$ .
- ⟨2⟩2. LET:  $x \in X$   
PROVE:  $\{x\}$  is closed.
- ⟨2⟩3. LET:  $y \in X - \{x\}$
- ⟨2⟩4. PICK a neighbourhood  $U$  of  $y$  that does not contain  $x$ .
- ⟨2⟩5.  $y \in U \subseteq X - \{x\}$

□

### 12.25.1 Limit Points

**Proposition 12.25.4.** *Let  $X$  be a  $T_1$  space. Let  $A \subseteq X$  and  $l \in X$ . Then  $l$  is a limit point of  $A$  if and only if every neighbourhood of  $l$  contains infinitely many points of  $A$ .*

PROOF:

- ⟨1⟩1. If  $l$  is a limit point of  $A$  then every neighbourhood of  $l$  contains infinitely many points of  $A$ .
- ⟨2⟩1. ASSUME:  $l$  is a limit point of  $A$ .
- ⟨2⟩2. LET:  $U$  be a neighbourhood of  $l$ .
- ⟨2⟩3. ASSUME: for a contradiction  $U \cap A - \{l\}$  is finite.
- ⟨2⟩4.  $U \cap A - \{l\}$  is closed.
- PROOF: Since  $X$  is  $T_1$ .
- ⟨2⟩5.  $U - (A - \{l\})$  is a neighbourhood of  $l$ .
- ⟨2⟩6.  $U - (A - \{l\})$  intersects  $A$ .
- ⟨2⟩7. Q.E.D.
- ⟨1⟩2. If every neighbourhood of  $l$  contains infinitely many points of  $A$  then  $l$  is a limit point of  $A$ .

PROOF: Immediate from definitions.

□

## 12.26 Hausdorff Spaces

**Definition 12.26.1** (Hausdorff). A topological space is a *Hausdorff* space or a  $T_2$  space iff any two distinct points have disjoint neighbourhoods.

**Proposition 12.26.2.** *In a Hausdorff space, a sequence has at most one limit.*

PROOF:

- ⟨1⟩1. LET:  $X$  be a Hausdorff space.
- ⟨1⟩2. LET:  $(a_n)$  be a sequence in  $X$  and  $l, m \in X$
- ⟨1⟩3. ASSUME:  $a_n \rightarrow l$  and  $a_n \rightarrow m$
- ⟨1⟩4. ASSUME: for a contradiction  $l \neq m$
- ⟨1⟩5. PICK disjoint open sets  $U$  and  $V$  with  $l \in U$  and  $m \in V$
- ⟨1⟩6. PICK  $M, N$  such that  $\forall n \geq M. a_n \in U$  and  $\forall n \geq N. a_n \in V$
- ⟨1⟩7.  $a_{\max(M, N)} \in U \cap V$
- ⟨1⟩8. Q.E.D.

PROOF: This contradicts the fact that  $U \cap V = \emptyset$ .

□

**Example 12.26.3.** We cannot weaken the hypothesis from being Hausdorff to being  $T_1$ .

In the cofinite topology on any infinite set, every sequence converges to every point.

**Proposition 12.26.4.** *Any linearly ordered set is Hausdorff under the order topology.*

PROOF:

- ⟨1⟩1. LET:  $X$  be a linearly ordered set under the order topology.
- ⟨1⟩2. LET:  $a, b \in X$  with  $a \neq b$ .
- ⟨1⟩3. ASSUME: w.l.o.g.  $a < b$ .
- ⟨1⟩4. CASE: There exists  $c \in X$  such that  $a < c < b$ .
  - ⟨2⟩1. LET:  $U = (-\infty, c)$
  - ⟨2⟩2. LET:  $V = (c, +\infty)$
  - ⟨2⟩3.  $U$  and  $V$  are disjoint open sets with  $a \in U$  and  $b \in V$
- ⟨1⟩5. CASE: There is no  $c \in X$  such that  $a < c < b$ .
  - ⟨2⟩1. LET:  $U = (-\infty, b)$
  - ⟨2⟩2. LET:  $V = (a, +\infty)$
  - ⟨2⟩3.  $U$  and  $V$  are disjoint open sets with  $a \in U$  and  $b \in V$

□

**Proposition 12.26.5.** *A subspace of a Hausdorff space is Hausdorff.*

PROOF:

- ⟨1⟩1. LET:  $X$  be a Hausdorff space.
- ⟨1⟩2. LET:  $Y$  be a subspace of  $X$ .
- ⟨1⟩3. LET:  $a, b \in Y$  with  $a \neq b$ .
- ⟨1⟩4. PICK disjoint open sets  $U$  and  $V$  in  $X$  with  $a \in U$  and  $b \in V$ .
- ⟨1⟩5.  $U \cap Y$  and  $V \cap Y$  are disjoint open sets in  $Y$  with  $a \in U \cap Y$  and  $b \in V \cap Y$ .

□

**Proposition 12.26.6.** *The disjoint union of two Hausdorff spaces is Hausdorff.*

**Proposition 12.26.7.** *Let  $A$  be a topological space and  $B$  a Hausdorff space. Let  $f, g : A \rightarrow B$  be continuous. Let  $X \subseteq A$  be dense. If  $f$  and  $g$  agree on  $X$ , then  $f = g$ .*

PROOF:

- ⟨1⟩1. ASSUME: for a contradiction  $a \in A$  and  $f(a) \neq g(a)$ .
- ⟨1⟩2. PICK disjoint neighbourhoods  $U$  and  $V$  of  $f(a)$  and  $g(a)$  respectively.
- ⟨1⟩3. PICK  $x \in f^{-1}(U) \cap g^{-1}(V)$
- ⟨1⟩4.  $f(x) = g(x) \in U \cap V$
- ⟨1⟩5. Q.E.D.

PROOF: This is a contradiction.

□

### 12.26.1 Product Topology

**Proposition 12.26.8.** *The product of a family of Hausdorff spaces is Hausdorff.*

PROOF:

- ⟨1⟩1. LET:  $\{X_i\}_{i \in I}$  be a family of Hausdorff spaces.
- ⟨1⟩2. LET:  $x, y \in \prod_{i \in I} X_i$  with  $x \neq y$ .
- ⟨1⟩3. PICK  $i \in I$  such that  $\pi_i(x) \neq \pi_i(y)$
- ⟨1⟩4. PICK disjoint open sets  $U$  and  $V$  in  $X_i$  such that  $\pi_i(x) \in U$  and  $\pi_i(y) \in V$ .
- ⟨1⟩5.  $x \in \pi_i^{-1}(U)$  and  $y \in \pi_i^{-1}(V)$ .

□

### 12.26.2 Box Topology

**Proposition 12.26.9.** *The box product of a family of Hausdorff spaces is Hausdorff.*

PROOF:

- ⟨1⟩1. LET:  $\{X_i\}_{i \in I}$  be a family of Hausdorff spaces.
- ⟨1⟩2. LET:  $x, y \in \prod_{i \in I} X_i$  with  $x \neq y$ .
- ⟨1⟩3. PICK  $i \in I$  such that  $\pi_i(x) \neq \pi_i(y)$
- ⟨1⟩4. PICK disjoint open sets  $U$  and  $V$  in  $X_i$  such that  $\pi_i(x) \in U$  and  $\pi_i(y) \in V$ .
- ⟨1⟩5.  $x \in \pi_i^{-1}(U)$  and  $y \in \pi_i^{-1}(V)$ .

□

### 12.26.3 $T_1$ Spaces

**Proposition 12.26.10.** *Every Hausdorff space is  $T_1$ .*

PROOF:

- ⟨1⟩1. LET:  $X$  be a Hausdorff space.
- ⟨1⟩2. LET:  $a \in X$   
PROVE:  $X - \{a\}$  is open.
- ⟨1⟩3. LET:  $x \in X - \{a\}$
- ⟨1⟩4. PICK disjoint open sets  $U$  and  $V$  with  $a \in U$  and  $x \in V$
- ⟨1⟩5.  $x \in V \subseteq X - U \subseteq X - \{a\}$

□

**Example 12.26.11.** The converse does not hold. If  $X$  is an infinite set under the cofinite topology, then  $X$  is  $T_1$  but not Hausdorff.

**Proposition 12.26.12.** *Let  $X$  and  $Y$  be metric spaces. Let  $f : X \rightarrow Y$  be uniformly continuous. Let  $\hat{X}$  and  $\hat{Y}$  be the completions of  $X$  and  $Y$ . Then  $f$  extends uniquely to a continuous map  $\hat{X} \rightarrow \hat{Y}$ .*

PROOF: The extension maps  $\lim_{n \rightarrow \infty} x_n$  to  $\lim_{n \rightarrow \infty} f(x_n)$ .  $\square$

**Proposition 12.26.13.** *Let  $X$  be a topological space. Then  $X$  is Hausdorff if and only if the diagonal  $\Delta = \{(x, x) : x \in X\}$  is closed in  $X^2$ .*

PROOF:

$\Delta$  is closed

$\Leftrightarrow X^2 - \Delta$  is open

$\Leftrightarrow \forall x, y \in X ((x, y) \notin \Delta \Rightarrow \exists V, W \text{ open in } X (x \in V \wedge y \in W \wedge V \times W \subseteq X^2 - \Delta))$

$\Leftrightarrow \forall x, y \in X (x \neq y \Rightarrow \exists V, W \text{ open in } X (x \in V \wedge y \in W \wedge V \cap W = \emptyset))$

$\Leftrightarrow X$  is Hausdorff  $\square$

## 12.27 Separable Spaces

**Definition 12.27.1** (Separable). A topological space is *separable* iff it has a countable dense subset.

Every second countable space is separable.

## 12.28 Sequential Compactness

**Definition 12.28.1** (Sequentially Compact). A topological space is *sequentially compact* iff every sequence has a convergent subsequence.

## 12.29 Compactness

**Definition 12.29.1** (Compact). A topological space is *compact* iff every open cover has a finite subcover.

**Proposition 12.29.2.** *Let  $X$  be a compact topological space. Let  $P$  be a set of open sets such that, for all  $U, V \in P$ , we have  $U \cup V \in P$ . Assume that every point has an open neighbourhood in  $P$ . Then  $X \in P$ .*

PROOF:

$\langle 1 \rangle 1$ .  $P$  is an open cover of  $X$

$\langle 1 \rangle 2$ . PICK a finite subcover  $U_1, \dots, U_n \in P$

$\langle 1 \rangle 3$ .  $X = U_1 \cup \dots \cup U_n \in P$

$\square$



**Corollary 12.29.2.1.** *Let  $f$  be a compact space and  $f : X \rightarrow \mathbb{R}$  be locally bounded. Then  $f$  is bounded.*

PROOF: Take  $P = \{U \text{ open in } X : f \text{ is bounded on } U\}$ .  $\square$

**Proposition 12.29.3.** *The continuous image of a compact space is compact.*

**Proposition 12.29.4.** *A closed subspace of a compact space is compact.*

**Proposition 12.29.5.** *Let  $X$  and  $Y$  be nonempty spaces. Then the following are equivalent.*

1.  $X$  and  $Y$  are compact.
2.  $X + Y$  is compact.
3.  $X \times Y$  is compact.

**Proposition 12.29.6.** *A compact subspace of a Hausdorff space is closed.*

**Proposition 12.29.7.** *A continuous bijection from a compact space to a Hausdorff space is a homeomorphism.*

**Proposition 12.29.8.** *A first countable compact space is sequentially compact.*

## 12.30 Gluing

**Definition 12.30.1** (Gluing). Let  $X$  and  $Y$  be topological spaces,  $X_0 \subseteq X$  and  $\phi : X_0 \rightarrow Y$  a continuous map. Then  $Y \cup_\phi X$  is the quotient space  $(X + Y)/\sim$ , where  $\sim$  is the equivalence relation generated by  $x \sim \phi(x)$  for all  $x \in X_0$ .

**Proposition 12.30.2.**  *$Y$  is a subspace of  $Y \cup_\phi X$ .*

**Definition 12.30.3.** Let  $X$  be a topological space and  $\alpha : X \cong X$  a homeomorphism. Then  $(X \times [0, 1])/\alpha$  is the quotient space of  $X \times [0, 1]$  by the equivalence relation generated by  $(x, 0) \sim (\alpha(x), 1)$  for all  $x \in X$ .

**Definition 12.30.4** (Möbius Strip). The *Möbius strip* is  $([-1, 1] \times [0, 1])/\alpha$  where  $\alpha(x) = -x$ .

**Definition 12.30.5** (Klein Bottle). The *Klein bottle* is  $(S^1 \times [0, 1])/\alpha$  where  $\alpha(z) = \bar{z}$ .

**Proposition 12.30.6.** *Let  $M$  be the Möbius strip and  $K$  the Klein bottle. Then  $M \cup_{\text{id}_M} M \cong K$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $f : ([-1, 1] \times [0, 1]) + ([-1, 1] \times [0, 1]) \rightarrow S^1 \times [0, 1]$  be the function that maps  $\kappa_1(\theta, t)$  to  $(e^{\pi i \theta / 2}, t)$  and  $\kappa_2(\theta, t)$  to  $(-e^{-\pi i \theta / 2}, t)$ .

$\langle 1 \rangle 2$ .  $f$  induces a bijection  $M \cup_{\text{id}_M} M \approx K$

$\langle 1 \rangle 3$ .  $f$  is a homeomorphism.

$\square$

## 12.31 Homogeneous Spaces

**Definition 12.31.1** (Homogeneous). A topological space  $X$  is *homogeneous* iff, for any  $x, y \in X$ , there exists a homeomorphism  $f : X \cong X$  such that  $f(x) = y$ .

## 12.32 Regular Spaces

**Definition 12.32.1** (Regular). A topological space  $X$  is *regular* iff it is  $T_1$  and, for every closed set  $A$  and point  $x \notin A$ , there exist disjoint open sets  $U$  and  $V$  with  $A \subseteq U$  and  $x \in V$ .

## 12.33 Totally Disconnected Spaces

**Definition 12.33.1** (Totally Disconnected). A topological space  $X$  is *totally disconnected* iff the only connected subspaces are the one-point subspaces.

**Example 12.33.2.** Every discrete space is totally disconnected.

**Example 12.33.3.** The rationals are totally disconnected.

## 12.34 Path Connected Spaces

**Definition 12.34.1** (Path-connected). A topological space  $X$  is *path-connected* iff, for any points  $a, b \in X$ , there exists a continuous function  $\alpha : [0, 1] \rightarrow X$ , called a *path*, such that  $\alpha(0) = a$  and  $\alpha(1) = b$ .

**Proposition 12.34.2.** *The continuous image of a path connected space is path connected.*

**Proposition 12.34.3.** *Let  $X$  be a topological space and  $A, B \subseteq X$ . If  $X = A \cup B$ ,  $A \cap B \neq \emptyset$ , and  $A$  and  $B$  are path connected, then  $X$  is path connected.*

**Proposition 12.34.4.** *If  $X$  and  $Y$  are nonempty topological spaces, then  $X \times Y$  is path connected if and only if  $X$  and  $Y$  are path connected.*

## Chapter 13

# Metric Spaces

**Definition 13.0.1** (Metric Space). Let  $X$  be a set and  $d : X^2 \rightarrow \mathbb{R}$ . We say  $(X, d)$  is a *metric space* iff:

- For all  $x, y \in X$  we have  $d(x, y) \geq 0$
- For all  $x, y \in X$  we have  $d(x, y) = 0$  iff  $x = y$
- For all  $x, y \in X$  we have  $d(x, y) = d(y, x)$
- (*Triangle Inequality*) For all  $x, y, z \in X$  we have  $d(x, z) \leq d(x, y) + d(y, z)$

We call  $d$  the *metric* of the metric space  $(X, d)$ . We often write  $X$  for the metric space  $(X, d)$ .

**Definition 13.0.2** (Discrete Metric). On any set  $X$ , define the *discrete* metric by  $d(x, y) = 0$  if  $x = y$ , 1 if  $x \neq y$ .

**Definition 13.0.3** (Standard Metric). The *standard metric* on  $\mathbb{R}$  is defined by  $d(x, y) = |x - y|$ .

**Definition 13.0.4** (Square Metric). The *square metric*  $\rho$  on  $\mathbb{R}^n$  is defined by

$$\rho(\vec{x}, \vec{y}) = \max(|x_1 - y_1|, \dots, |x_n - y_n|) .$$

We prove this is a metric.

PROOF:

$\langle 1 \rangle 1$ . For all  $\vec{x}, \vec{y} \in \mathbb{R}^n$  we have  $\rho(\vec{x}, \vec{y}) \geq 0$ .

PROOF: Immediate from definition.

$\langle 1 \rangle 2$ . For all  $\vec{x}, \vec{y} \in \mathbb{R}^n$  we have  $\rho(\vec{x}, \vec{y}) = 0$  iff  $\vec{x} = \vec{y}$ .

PROOF:

$$\begin{aligned} \rho(\vec{x}, \vec{y}) = 0 &\Leftrightarrow \max(|x_1 - y_1|, \dots, |x_n - y_n|) = 0 \\ &\Leftrightarrow |x_1 - y_1| = \dots = |x_n - y_n| = 0 \\ &\Leftrightarrow x_1 = y_1 \wedge \dots \wedge x_n = y_n \\ &\Leftrightarrow \vec{x} = \vec{y} \end{aligned}$$

⟨1⟩3. For all  $\vec{x}, \vec{y} \in \mathbb{R}^n$  we have  $\rho(\vec{x}, \vec{y}) = \rho(\vec{y}, \vec{x})$ .

PROOF: Immediate from definition.

⟨1⟩4. For all  $\vec{x}, \vec{y}, \vec{z} \in \mathbb{R}^n$  we have  $\rho(\vec{x}, \vec{z}) \leq \rho(\vec{x}, \vec{y}) + \rho(\vec{y}, \vec{z})$ .

PROOF:

$$\begin{aligned} & \max(|x_1 - z_1|, \dots, |x_n - z_n|) \\ & \leq \max(|x_1 - y_1| + |y_1 - z_1|, \dots, |x_n - y_n| + |y_n - z_n|) \\ & \leq \max(|x_1 - y_1|, \dots, |x_n - y_n|) + \max(|y_1 - z_1|, \dots, |y_n - z_n|) \\ & = \rho(\vec{x}, \vec{y}) + \rho(\vec{y}, \vec{z}) \end{aligned}$$

□

### 13.0.1 Balls

**Definition 13.0.5** (Ball). Let  $X$  be a metric space. Let  $x \in X$  and  $r > 0$ . The *ball* with *centre*  $x$  and *radius*  $r$  is

$$B(x, r) = \{y \in X \mid d(x, y) < r\} .$$

**Definition 13.0.6** (Metric Topology). Let  $(X, d)$  be a metric space. The *metric topology* on  $X$  is the topology generated by the basis consisting of the balls.

We prove this is a basis for a topology.

PROOF:

⟨1⟩1. Every point is a member of some ball.

PROOF: Since  $x \in B(x, 1)$ .

⟨1⟩2. If  $B_1$  and  $B_2$  are balls and  $x \in B_1 \cap B_2$ , then there exists a ball  $B_3$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .

⟨2⟩1. LET:  $x \in B(a, \epsilon_1) \cap B(b, \epsilon_2)$

⟨2⟩2. LET:  $\epsilon = \min(\epsilon_1 - d(x, a), \epsilon_2 - d(x, b))$

PROVE:  $x \in B(x, \epsilon) \subseteq B(a, \epsilon_1) \cap B(b, \epsilon_2)$

⟨2⟩3.  $B(x, \epsilon) \subseteq B(a, \epsilon_1)$

⟨3⟩1. LET:  $y \in B(x, \epsilon)$

⟨3⟩2.  $d(y, a) < \epsilon_1$

PROOF:

$$d(y, a) \leq d(y, x) + d(x, a) \quad (\text{Triangle Inequality})$$

$$< \epsilon + d(x, a) \quad (\langle 3 \rangle 1)$$

$$\leq \epsilon_1 \quad (\langle 2 \rangle 2)$$

⟨2⟩4.  $B(x, \epsilon) \subseteq B(b, \epsilon_2)$

PROOF: Similar.

□

**Proposition 13.0.7.** *The discrete metric on a set  $X$  induces the discrete topology.*

PROOF: Since  $B(x, 1/2) = \{x\}$  for all  $x \in X$ . □

**Proposition 13.0.8.** *The standard metric on  $\mathbb{R}$  induces the standard topology.*

PROOF:

$\langle 1 \rangle 1$ . Every ball is open in the standard topology.

PROOF: Since  $B(a, \epsilon) = (a - \epsilon, a + \epsilon)$ .

$\langle 1 \rangle 2$ . Every open ray is open in the metric topology.

PROOF: If  $x \in (a, +\infty)$  then  $x \in B(x, x - a) \subseteq (a, +\infty)$ . Similarly for  $(-\infty, a)$ .

□

**Proposition 13.0.9.** *The square metric on  $\mathbb{R}^n$  induces the product topology.*

PROOF:

$\langle 1 \rangle 1$ . For any real numbers  $a_1, \dots, a_n, b_1, \dots, b_n$  with  $a_1 < b_1, \dots, a_n < b_n$ , we have  $(a_1, b_1) \times \dots \times (a_n, b_n)$  is open in the metric topology.

$\langle 2 \rangle 1$ . LET:  $\vec{x} \in (a_1, b_1) \times \dots \times (a_n, b_n)$

$\langle 2 \rangle 2$ . LET:  $\epsilon = \min(x_1 - a_1, b_1 - x_1, \dots, x_n - a_n, b_n - x_n)$

$\langle 2 \rangle 3$ .  $B(\vec{x}, \epsilon) \subseteq (a_1, b_1) \times \dots \times (a_n, b_n)$

$\langle 1 \rangle 2$ . For any  $\vec{a} \in \mathbb{R}^n$  and  $\epsilon > 0$ , we have  $B(\vec{a}, \epsilon)$  is open in the product topology.

PROOF: Since  $B(\vec{a}, \epsilon) = (a_1 - \epsilon, a_1 + \epsilon) \times \dots \times (a_n - \epsilon, a_n + \epsilon)$ .

□

**Proposition 13.0.10.** *Addition is a continuous function  $\mathbb{R}^2 \rightarrow \mathbb{R}$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $(x, y) \in \mathbb{R}^2$  and  $\epsilon > 0$

$\langle 1 \rangle 2$ . LET:  $\delta = \epsilon/2$

$\langle 1 \rangle 3$ . LET:  $(x', y') \in \mathbb{R}^2$  with  $\rho((x, y), (x', y')) < \delta$

$\langle 1 \rangle 4$ .  $|x - x'|, |y - y'| < \delta$

$\langle 1 \rangle 5$ .  $|(x + y) - (x' + y')| < \epsilon$

PROOF:

$$\begin{aligned} |(x + y) - (x' + y')| &\leq |x - x'| + |y - y'| \\ &< \delta + \delta && (\langle 1 \rangle 4) \\ &= \epsilon && (\langle 1 \rangle 2) \end{aligned}$$

□

**Proposition 13.0.11.** *Multiplication is a continuous function  $\mathbb{R}^2 \rightarrow \mathbb{R}$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $(x, y) \in \mathbb{R}^2$  and  $\epsilon > 0$

$\langle 1 \rangle 2$ . LET:  $\delta = \min(\epsilon/(|x| + |y| + 1), 1)$

$\langle 1 \rangle 3$ . LET:  $(x', y') \in \mathbb{R}^2$  with  $\rho((x, y), (x', y')) < \delta$

$\langle 1 \rangle 4$ .  $|x - x'|, |y - y'| < \delta$

$\langle 1 \rangle 5$ .  $|xy - x'y'| < \epsilon$

PROOF:

$$\begin{aligned} |xy - x'y'| &= |xy - xy' + xy' - x'y - xy + x'y + xy' - x'y'| \\ &\leq |xy - xy'| + |xy - x'y| + |xy - x'y - xy' + xy'y| = |x||y - y'| + |x - x'||y| + |x - x'||y - y'| \\ &< |x|\delta + |y|\delta + \delta^2 && (\langle 1 \rangle 4) \\ &\leq |x|\delta + |y|\delta + \delta && (\langle 1 \rangle 2) \\ &= (|x| + |y| + 1)\delta \\ &\leq \epsilon && (\langle 1 \rangle 2) \end{aligned}$$

□

**Corollary 13.0.11.1.** *The unit circle  $S^1$  is a closed subset of  $\mathbb{R}^2$ .*

PROOF: The function  $f$  that maps  $(x, y)$  to  $x^2 + y^2$  is continuous, and  $S^1 = f^{-1}(\{1\})$ . □

**Corollary 13.0.11.2.** *The unit ball  $B^2$  is a closed subset of  $\mathbb{R}^2$ .*

PROOF: The function  $f$  that maps  $(x, y)$  to  $x^2 + y^2$  is continuous, and  $B^2 = f^{-1}([0, 1])$ . □

**Proposition 13.0.12.** *Let  $(a_n)$  and  $(b_n)$  be sequences of real numbers. Let  $c, s, t \in \mathbb{R}$ . Assume*

$$\sum_{n=0}^{\infty} a_n = s \text{ and } \sum_{n=0}^{\infty} b_n = t .$$

*Then*

$$\sum_{n=0}^{\infty} (ca_n + b_n) = cs + t .$$

PROOF:

$$\sum_{n=0}^N (ca_n + b_n) = c \sum_{n=0}^N a_n + \sum_{n=0}^N b_n \rightarrow cs + t \text{ as } n \rightarrow \infty \quad \square$$

**Proposition 13.0.13** (Comparison Test). *Let  $(a_n)$  and  $(b_n)$  be sequences of real numbers. Assume  $|a_n| \leq b_n$  for all  $n$ . Assume  $\sum_{n=0}^{\infty} b_n$  converges. Then  $\sum_{n=0}^{\infty} a_n$  converges.*

PROOF:

⟨1⟩1. For all  $n$ ,

LET:  $c_n = |a_n| + a_n$

⟨1⟩2.  $\sum_{n=0}^{\infty} |a_n|$  converges.

PROOF: Since  $(\sum_{n=0}^N |a_n|)_N$  is an increasing sequence of real numbers bounded above by  $\sum_{n=0}^{\infty} b_n$ .

⟨1⟩3.  $\sum_{n=0}^{\infty} c_n$  converges.

PROOF: Since  $(\sum_{n=0}^N c_n)_N$  is an increasing sequence of real numbers bounded above by  $2 \sum_{n=0}^{\infty} a_n$ .

⟨1⟩4.  $\sum_{n=0}^{\infty} a_n$  converges.

PROOF: Since  $a_n = c_n - |a_n|$ .

□

**Proposition 13.0.14.** *Let  $X$  be a metric space. Let  $U \subseteq X$ . Then  $U$  is open if and only if, for all  $x \in U$ , there exists  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$ .*

PROOF:

⟨1⟩1. If  $U$  is open then, for all  $x \in U$ , there exists  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$ .

⟨2⟩1. ASSUME:  $U$  is open.

⟨2⟩2. LET:  $x \in U$

⟨2⟩3. PICK a ball  $B(a, \delta)$  such that  $x \in B(a, \delta) \subseteq U$

⟨2⟩4. LET:  $\epsilon = \delta - d(a, x)$

PROVE:  $B(x, \epsilon) \subseteq U$

⟨2⟩5. LET:  $y \in B(x, \epsilon)$

⟨2⟩6.  $y \in B(a, \delta)$

PROOF:

$$d(a, y) \leq d(a, x) + d(x, y) \quad (\text{Triangle Inequality})$$

$$< d(a, x) + \epsilon \quad (\langle 2 \rangle 5)$$

$$= \delta$$

⟨2⟩7.  $y \in U$

PROOF: ⟨2⟩3

⟨1⟩2. If, for all  $x \in U$ , there exists  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$ , then  $U$  is open.

PROOF: Immediate from definition of the metric topology.

□

**Proposition 13.0.15.** *Let  $X$  be a metric space. Let  $a, b, c \in X$ . Then*

$$|d(a, b) - d(a, c)| \leq d(b, c) .$$

PROOF:

⟨1⟩1.  $d(a, b) - d(a, c) \leq d(b, c)$

PROOF: Triangle Inequality.

⟨1⟩2.  $d(a, c) - d(a, b) \leq d(b, c)$

PROOF: Triangle Inequality.

□

**Proposition 13.0.16.** *Let  $(X, d)$  be a metric space. Then the metric topology on  $X$  is the coarsest topology such that  $d : X^2 \rightarrow \mathbb{R}$  is continuous.*

PROOF:

⟨1⟩1.  $d$  is continuous with respect to the metric topology.

⟨2⟩1. LET:  $(a, b) \in X^2$

⟨2⟩2. LET:  $V$  be a neighbourhood of  $d(a, b)$ .

⟨2⟩3. PICK  $\epsilon > 0$  such that  $(d(a, b) - \epsilon, d(a, b) + \epsilon) \subseteq V$ .

⟨2⟩4. LET:  $U = B(a, \epsilon/2) \times B(b, \epsilon/2)$

⟨2⟩5. LET:  $(x, y) \in U$

⟨2⟩6.  $|d(x, y) - d(a, b)| < \epsilon$

PROOF:

$$\begin{aligned} |d(x, y) - d(a, b)| &\leq |d(x, y) - d(a, y)| + |d(a, y) - d(a, b)| \\ &\leq d(a, x) + d(b, y) & (\text{Proposition 13.0.15}) \\ &< \epsilon \end{aligned}$$

⟨2⟩7.  $d(x, y) \in V$

⟨1⟩2. If  $\mathcal{T}$  is a topology on  $X$  with respect to which  $d$  is continuous then  $\mathcal{T}$  is finer than the metric topology.

⟨2⟩1. LET:  $\mathcal{T}$  be a topology on  $X$  with respect to which  $d$  is continuous.

⟨2⟩2. LET:  $a \in X$  and  $\epsilon > 0$ .

- PROVE:  $B(a, \epsilon) \in \mathcal{T}$
- $\langle 2 \rangle 3$ . LET:  $x \in B(a, \epsilon)$
- $\langle 2 \rangle 4$ .  $(a, x) \in d^{-1}((0, \epsilon))$
- $\langle 2 \rangle 5$ . PICK  $U, V \in \mathcal{T}$  such that  $(a, x) \in U \times V \subseteq d^{-1}((0, \epsilon))$
- $\langle 2 \rangle 6$ .  $x \in V \subseteq B(a, \epsilon)$

□

**Proposition 13.0.17.** *Let  $d$  and  $d'$  be two metrics on the same set  $X$ . Let  $\mathcal{T}$  and  $\mathcal{T}'$  be the topologies they induce. Then  $\mathcal{T} \subseteq \mathcal{T}'$  if and only if, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that*

$$B_{d'}(x, \delta) \subseteq B_d(x, \epsilon) .$$

PROOF:

- $\langle 1 \rangle 1$ . If  $\mathcal{T} \subseteq \mathcal{T}'$  then, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$ .
- $\langle 2 \rangle 1$ . ASSUME:  $\mathcal{T} \subseteq \mathcal{T}'$
- $\langle 2 \rangle 2$ . LET:  $x \in X$  and  $\epsilon > 0$
- $\langle 2 \rangle 3$ .  $x \in B_d(x, \epsilon) \in \mathcal{T}'$
- $\langle 2 \rangle 4$ . There exists  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$
- PROOF: Proposition 13.0.14.
- $\langle 1 \rangle 2$ . If, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$ , then  $\mathcal{T} \subseteq \mathcal{T}'$ .
- $\langle 2 \rangle 1$ . ASSUME: For all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$ .
- $\langle 2 \rangle 2$ . LET:  $U \in \mathcal{T}$
- $\langle 2 \rangle 3$ . For all  $x \in U$ , there exists  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq U$
- $\langle 3 \rangle 1$ . LET:  $x \in U$
- $\langle 3 \rangle 2$ . PICK  $\epsilon > 0$  such that  $B_d(x, \epsilon) \subseteq U$
- PROOF: Proposition 13.0.14.
- $\langle 3 \rangle 3$ . PICK  $\delta > 0$  such that  $B_{d'}(x, \delta) \subseteq B_d(x, \epsilon)$ .
- PROOF:  $\langle 2 \rangle 1$
- $\langle 3 \rangle 4$ .  $B_{d'}(x, \delta) \subseteq U$
- $\langle 2 \rangle 4$ .  $U \in \mathcal{T}'$
- PROOF: Proposition 13.0.14.

□

**Definition 13.0.18** (Metrizability). A topological space is *metrizable* iff there exists a metric that induces its topology.

**Proposition 13.0.19.**  $\mathbb{R}^2$  under the dictionary order is metrizable.

PROOF:

- $\langle 1 \rangle 1$ . LET:  $d : (\mathbb{R}^2)^2 \rightarrow \mathbb{R}$  be defined by
- $$d((x_1, y_1), (x_2, y_2)) = \begin{cases} \min(|y_2 - y_1|, 1) & \text{if } x_1 = x_2 \\ 1 & \text{if } x_1 \neq x_2 \end{cases}$$
- $\langle 1 \rangle 2$ .  $d$  is a metric.



- $\langle 2 \rangle 1$ . For all  $x, y \in \mathbb{R}^2$  we have  $d(x, y) \geq 0$ .  
 PROOF: Immediate from definition.  
 $\langle 2 \rangle 2$ . For all  $x, y \in \mathbb{R}^2$  we have  $d(x, y) = 0$  iff  $x = y$ .  
 PROOF: Immediate from definition.  
 $\langle 2 \rangle 3$ . For all  $x, y \in \mathbb{R}^2$  we have  $d(x, y) = d(y, x)$ .  
 PROOF: Immediate from definition.  
 $\langle 2 \rangle 4$ . For all  $x, y, z \in \mathbb{R}^2$  we have  $d(x, z) \leq d(x, y) + d(y, z)$ .  
 PROOF: Easy.  
 $\langle 1 \rangle 3$ . The metric topology induced by  $d$  is finer than the order topology.  
 $\langle 2 \rangle 1$ . LET:  $a, b \in \mathbb{R}^2$   
 $\langle 2 \rangle 2$ . LET:  $x \in (a, b)$   
 $\langle 2 \rangle 3$ . CASE:  $\pi_1(a) = \pi_1(x) = \pi_1(b)$   
 $\langle 3 \rangle 1$ . LET:  $\epsilon = \min(\pi_2(x) - \pi_2(a), \pi_2(b) - \pi_2(x))$   
 $\langle 3 \rangle 2$ .  $B(x, \epsilon) \subseteq (a, b)$   
 $\langle 2 \rangle 4$ . CASE:  $\pi_1(a) = \pi_1(x) < \pi_1(b)$   
 $\langle 3 \rangle 1$ . LET:  $\epsilon = \pi_2(x) - \pi_2(a)$   
 $\langle 3 \rangle 2$ .  $B(x, \epsilon) \subseteq (a, b)$   
 $\langle 2 \rangle 5$ . CASE:  $\pi_1(a) < \pi_1(x) = \pi_1(b)$   
 PROOF: Similar.  
 $\langle 2 \rangle 6$ . CASE:  $\pi_1(a) < \pi_1(x) < \pi_1(b)$   
 PROOF: Then  $B(x, \epsilon) \subseteq (a, b)$ .  
 $\langle 1 \rangle 4$ . The order topology is finer than the metric topology.  
 PROOF: Since  $B((a, b), \epsilon) = ((a, b - \epsilon), (a, b + \epsilon))$  if  $\epsilon \leq 1$ , and  $\mathbb{R}^2$  if  $\epsilon > 1$ .  
 $\square$

Every metrizable space is first countable.

A metric space is compact if and only if it is sequentially compact.

A metric space is separable if and only if it is second countable.

### 13.0.2 Subspaces

**Proposition 13.0.20.** *Let  $(X, d)$  be a metric space and  $Y \subseteq X$ . Then  $d|Y^2$  is a metric on  $Y$  that induces the subspace topology.*

PROOF:

- $\langle 1 \rangle 1$ . LET:  $d' = d|Y^2 : Y^2 \rightarrow \mathbb{R}$   
 $\langle 1 \rangle 2$ .  $d'$  is a metric.  
 PROOF: Each of the axioms follows from the axiom in  $X$ .  
 $\langle 1 \rangle 3$ . The metric topology induced by  $d'$  is finer than the subspace topology.  
 $\langle 2 \rangle 1$ . LET:  $U$  be open in  $X$   
 PROVE:  $U \cap Y$  is open in the  $d'$ -topology.  
 $\langle 2 \rangle 2$ . LET:  $y \in U \cap Y$   
 $\langle 2 \rangle 3$ . PICK  $\epsilon > 0$  such that  $B_d(y, \epsilon) \subseteq U$   
 $\langle 2 \rangle 4$ .  $B_{d'}(y, \epsilon) \subseteq U \cap Y$   
 $\langle 1 \rangle 4$ . The subspace topology is finer than the metric topology induced by  $d'$ .  
 $\langle 2 \rangle 1$ . LET:  $y \in Y$  and  $\epsilon > 0$   
 PROVE:  $B_{d'}(y, \epsilon)$  is open in the subspace topology.

$\langle 2 \rangle 2. B_{d'}(y, \epsilon) = B_d(y, \epsilon) \cap Y$   
 $\square$

### 13.0.3 Convergence

**Proposition 13.0.21** (Sequence Lemma). *Let  $X$  be a metric space. Let  $A \subseteq X$ . Let  $l \in \bar{A}$ . Then there exists a sequence in  $A$  that converges to  $l$ .*

PROOF:

$\langle 1 \rangle 1.$  For  $n \in \mathbb{N}$ , PICK  $a_n \in B(l, 1/(n+1)) \cap A$ .  
 $\langle 1 \rangle 2.$   $a_n \rightarrow l$  as  $n \rightarrow \infty$ .  
 $\square$

**Corollary 13.0.21.1.**  $\mathbb{R}^\omega$  under the box topology is not first countable.

PROOF:

$\langle 1 \rangle 1.$  LET:  $A$  be the set of all sequences of positive reals.  
 $\langle 1 \rangle 2.$   $0 \in \bar{A}$   
 $\langle 1 \rangle 3.$  LET:  $(a_n)$  be a sequence in  $A$   
 PROVE:  $(a_n)$  does not converge to 0.  
 $\langle 1 \rangle 4.$  For all  $n \in \mathbb{N}$ ,  
 LET:  $a_n = (x_{nm})$   
 $\langle 1 \rangle 5.$  LET:  $B' = \prod_{n=0}^{\infty} (-x_{nn}, x_{nn})$   
 $\langle 1 \rangle 6.$   $B'$  is open in the box topology.  
 $\langle 1 \rangle 7.$   $0 \in B'$   
 $\langle 1 \rangle 8.$  For all  $n$  we have  $a_n \notin B'$   
 $\square$

**Corollary 13.0.21.2.** If  $J$  is an uncountable set then  $\mathbb{R}^J$  under the product topology is not first countable.

PROOF:

$\langle 1 \rangle 1.$  LET:  $A = \{x \in \mathbb{R}^J : \pi_j(x) = 1 \text{ for all but finitely many } j \in J\}$   
 $\langle 1 \rangle 2.$   $0 \in \bar{A}$   
 $\langle 1 \rangle 3.$  LET:  $(a_n)$  be a sequence in  $A$ .  
 PROVE:  $(a_n)$  does not converge to 0.  
 $\langle 1 \rangle 4.$  For  $n \in \mathbb{N}$ ,  
 LET:  $J_n = \{j \in J : \pi_j(a_n) \neq 1\}$   
 $\langle 1 \rangle 5.$   $\bigcup_{n \in \mathbb{N}} J_n$  is countable.  
 $\langle 1 \rangle 6.$  PICK  $\beta \in J - \bigcup_{n \in \mathbb{N}} J_n$   
 $\langle 1 \rangle 7.$   $\forall n \in \mathbb{N}. \pi_\beta(a_n) = 1$   
 $\langle 1 \rangle 8.$  LET:  $U = \pi_\beta^{-1}((-1, 1))$   
 $\langle 1 \rangle 9.$   $0 \in U$   
 $\langle 1 \rangle 10.$   $\forall n \in \mathbb{N}. a_n \notin U$   
 $\langle 1 \rangle 11.$   $(a_n)$  does not converge to 0.  
 $\square$

### 13.0.4 Continuous Functions

**Proposition 13.0.22.** *Let  $X$  and  $Y$  be metric spaces. Let  $f : X \rightarrow Y$ . Then  $f$  is continuous if and only if, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x, y) < \delta$  then  $d(f(x), f(y)) < \epsilon$ .*

PROOF:

- ⟨1⟩1. If  $f$  is continuous then, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x, y) < \delta$  then  $d(f(x), f(y)) < \epsilon$ .
- ⟨2⟩1. ASSUME:  $f$  is continuous.
- ⟨2⟩2. LET:  $x \in X$
- ⟨2⟩3. LET:  $\epsilon > 0$
- ⟨2⟩4.  $x \in f^{-1}(B(f(x), \epsilon))$
- ⟨2⟩5. There exists  $\delta > 0$  such that  $B(x, \delta) \subseteq f^{-1}(B(f(x), \epsilon))$ .
- ⟨1⟩2. If, for all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x, y) < \delta$  then  $d(f(x), f(y)) < \epsilon$ , then  $f$  is continuous.
- ⟨2⟩1. ASSUME: For all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x, y) < \delta$  then  $d(f(x), f(y)) < \epsilon$ .
- ⟨2⟩2. LET:  $V$  be open in  $Y$
- ⟨2⟩3. LET:  $x \in f^{-1}(V)$
- ⟨2⟩4. PICK  $\epsilon > 0$  such that  $B(f(x), \epsilon) \subseteq V$
- ⟨2⟩5. PICK  $\delta > 0$  such that, for all  $y \in X$ , if  $d(x, y) < \delta$  then  $d(f(x), f(y)) < \epsilon$ .
- ⟨2⟩6.  $B(x, \delta) \subseteq f^{-1}(V)$

□

**Proposition 13.0.23.** *Let  $X$  be a metrizable space and  $Y$  a topological space. Let  $f : X \rightarrow Y$ . Assume that, for every sequence  $(x_n)$  in  $X$  and  $l \in X$ , if  $x_n \rightarrow l$  as  $n \rightarrow \infty$  then  $f(x_n) \rightarrow f(l)$  as  $n \rightarrow \infty$ . Then  $f$  is continuous.*

PROOF:

- ⟨1⟩1. LET:  $A \subseteq X$   
PROVE:  $\overline{f(A)} \subseteq f(\overline{A})$
- ⟨1⟩2. LET:  $l \in \overline{A}$   
PROVE:  $f(l) \in \overline{f(A)}$
- ⟨1⟩3. PICK a sequence  $(x_n)$  in  $A$  such that  $x_n \rightarrow l$  as  $n \rightarrow \infty$ .
- ⟨1⟩4.  $f(x_n) \rightarrow f(l)$  as  $n \rightarrow \infty$ .
- ⟨1⟩5.  $f(l) \in \overline{f(A)}$

□

**Proposition 13.0.24.** *The function  $i : \mathbb{R} - \{0\} \rightarrow \mathbb{R}$  that maps  $x$  to  $x^{-1}$  is continuous.*

PROOF:

- ⟨1⟩1. LET:  $a, b \in \mathbb{R}$  with  $a < b$   
PROVE:  $i^{-1}((a, b))$  is open.
- ⟨1⟩2. CASE:  $0 < a$   
PROOF:  $i^{-1}((a, b)) = (b^{-1}, a^{-1})$
- ⟨1⟩3. CASE:  $a = 0$

PROOF:  $i^{-1}((a, b)) = (b^{-1}, +\infty)$   
 $\langle 1 \rangle 4$ . CASE:  $a < 0 < b$   
 PROOF:  $i^{-1}((a, b)) = (-\infty, a^{-1}) \cup (b^{-1}, +\infty)$   
 $\langle 1 \rangle 5$ . CASE:  $b = 0$   
 PROOF:  $i^{-1}((a, b)) = (-\infty, a^{-1})$   
 $\langle 1 \rangle 6$ . CASE:  $b < 0$   
 PROOF:  $i^{-1}((a, b)) = (b^{-1}, a^{-1})$   
 $\square$

**Proposition 13.0.25.** *Subtraction is a continuous function  $\mathbb{R}^2 \rightarrow \mathbb{R}$ .*

PROOF: Since  $a - b = a + (-1)b$  and both addition and multiplication are continuous.  $\square$

**Proposition 13.0.26.** *Division is a continuous function  $\mathbb{R} \times (\mathbb{R} - \{0\}) \rightarrow \mathbb{R}$ .*

PROOF: Since both multiplication and the function that maps  $x$  to  $x^{-1}$  are continuous.  $\square$

### 13.0.5 First Countable Spaces

**Proposition 13.0.27.** *Every metrizable space is first countable.*

PROOF: For any point  $x$ , the set  $\{B(x, 1/n) : n \in \mathbb{Z}_+\}$  is a countable basis at  $x$ .  
 $\square$

**Corollary 13.0.27.1.**  $\mathbb{R}^\omega$  under the box topology is not metrizable.

**Corollary 13.0.27.2.** *If  $J$  is an uncountable set then  $\mathbb{R}^J$  under the product topology is not metrizable.*

### 13.0.6 Hausdorff Spaces

**Proposition 13.0.28.** *Every metric space is Hausdorff.*

PROOF:  
 $\langle 1 \rangle 1$ . LET:  $X$  be a metric space.  
 $\langle 1 \rangle 2$ . LET:  $x, y \in X$  with  $x \neq y$ .  
 $\langle 1 \rangle 3$ . LET:  $\epsilon = d(x, y)$   
 $\langle 1 \rangle 4$ .  $B(x, \epsilon/2)$  and  $B(y, \epsilon/2)$  are disjoint neighbourhoods of  $x$  and  $y$ .  
 $\square$

### 13.0.7 Bounded Sets

**Definition 13.0.29** (Bounded). Let  $X$  be a metric space. Let  $A \subseteq X$ . Then  $A$  is *bounded* iff there exists  $M$  such that  $\forall x, y \in A. d(x, y) \leq M$ . Its *diameter* is then defined to be

$$\text{diam } A := \sup\{d(x, y) : x, y \in A\} .$$

### 13.0.8 Uniform Convergence

**Definition 13.0.30** (Uniform Convergence). Let  $X$  be a set and  $Y$  a metric space. Let  $(f_n)$  be a sequence of functions  $X \rightarrow Y$ , and  $f : X \rightarrow Y$ . Then  $(f_n)$  converges uniformly to  $f$  iff, for all  $\epsilon > 0$ , there exists  $N$  such that

$$\forall n \geq N. \forall x \in X. d(f_n(x), f(x)) < \epsilon .$$

**Example 13.0.31.** For  $n \in \mathbb{N}$  define  $f_n : [0, 1] \rightarrow \mathbb{R}$  by  $f_n(x) = x^n$ . Define  $f : [0, 1] \rightarrow \mathbb{R}$  by  $f(x) = 0$  for  $x < 1$ ,  $f(1) = 1$ . Then  $f_n$  converges pointwise to  $f$ , but does not converge uniformly to  $f$ .

We prove that, for all  $N$ , there exists  $n \geq N$  and  $x \in [0, 1]$  such that  $|x^n - f(x)| \geq 1/2$ . Take  $n = N$  and  $x$  to be the  $N$ th root of  $3/4$ .

**Example 13.0.32.** For  $n \in \mathbb{N}$ , define  $f_n : \mathbb{R} \rightarrow \mathbb{R}$  by

$$f_n(x) = \frac{1}{n^3[x - (1/n)]^2 + 1} .$$

Then for all  $x \in \mathbb{R}$  we have  $f_n(x) \rightarrow 0$  as  $n \rightarrow \infty$ , but  $(f_n)$  does not converge uniformly to 0.

We prove that, for all  $N$ , there exists  $n \geq N$  and  $x \in \mathbb{R}$  such that  $|f_n(x)| \geq 1/2$ . Take  $n = N$  and  $x = 1/N$ . We have  $f_N(1/N) = 1$ .

**Theorem 13.0.33** (Uniform Limit Theorem). *Let  $X$  be a topological space and  $Y$  a metric space. Let  $(f_n)$  be a sequence of functions  $X \rightarrow Y$ , and  $f : X \rightarrow Y$ . If every  $f_n$  is continuous and  $(f_n)$  converges uniformly to  $f$ , then  $f$  is continuous.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $V$  be open in  $Y$ .

$\langle 1 \rangle 2$ . LET:  $x_0 \in f^{-1}(V)$

PROVE: There exists a neighbourhood  $U$  of  $x_0$  such that  $f(U) \subseteq V$ .

$\langle 1 \rangle 3$ . LET:  $y_0 = f(x_0)$

$\langle 1 \rangle 4$ . PICK  $\epsilon > 0$  such that  $B(y_0, \epsilon) \subseteq V$ .

$\langle 1 \rangle 5$ . PICK  $N$  such that  $\forall n \geq N. \forall x \in X. d(f_n(x), f(x)) < \epsilon/3$ .

$\langle 1 \rangle 6$ . PICK a neighbourhood  $U$  of  $x_0$  such that  $f_N(U) \subseteq B(f_N(x_0), \epsilon/3)$ .

PROVE:  $f(U) \subseteq V$

$\langle 1 \rangle 7$ . LET:  $y \in U$

$\langle 1 \rangle 8$ .  $d(f(y), y_0) < \epsilon$

PROOF:

$$d(f(y), y_0) \leq d(f(y), f_N(y)) + d(f_N(y), f_N(x_0)) + d(f_N(x_0), y_0)$$

$$< \epsilon/3 + \epsilon/3 + \epsilon/3$$

( $\langle 1 \rangle 5$ ,  $\langle 1 \rangle 6$ )

$$= \epsilon$$

$\langle 1 \rangle 9$ .  $f(y) \in V$

PROOF:  $\langle 1 \rangle 4$

□

**Proposition 13.0.34.** *Let  $X$  be a topological space. Let  $Y$  be a metric space. Let  $f_n$  be a sequence of functions  $X \rightarrow Y$  and  $f : X \rightarrow Y$ . Let  $x_n$  be a sequence of points in  $X$  and  $l \in X$ . If  $f_n$  converges uniformly to  $f$ ,  $x_n$  converges to  $l$ , and  $f$  is continuous, then  $f_n(x_n)$  converges to  $f(l)$ .*

PROOF:

<1>1.  $f$  is continuous.

<1>2. LET:  $\epsilon > 0$

<1>3. PICK  $\delta > 0$  such that  $\forall y \in X. d(y, l) < \delta \Rightarrow d(f(y), f(l)) < \epsilon/2$

<1>4. PICK  $N$  such that  $\forall n \geq N. \forall x \in X. d(f_n(x), f(x)) < \epsilon/2$  and  $\forall n \geq N. d(x_n, l) < \delta$

<1>5. For all  $n \geq N$  we have  $d(f_n(x_n), f(l)) < \epsilon$

PROOF:

$$\begin{aligned} d(f_n(x_n), f(l)) &\leq d(f_n(x_n), f(x_n)) + d(f(x_n), f(l)) \\ &< \epsilon/2 + \epsilon/2 \\ &= \epsilon \end{aligned}$$

□

**Theorem 13.0.35** (Weierstrass  $M$ -Test). *Let  $X$  be a set. Let  $(f_n)$  be a sequence of functions  $X \rightarrow \mathbb{R}$ . Let  $(M_n)$  be a sequence of real numbers. For  $n \in \mathbb{N}$ , let*

$$s_n(x) = \sum_{i=0}^n f_i(x) \ .$$

*Assume that  $\forall n \in \mathbb{N}. \forall x \in X. |f_n(x)| \leq M_n$ . Assume that  $\sum_{n=0}^{\infty} M_n$  converges. Then  $(s_n)$  uniformly converges to  $s$  where  $s(x) = \sum_{n=0}^{\infty} f_n(x)$ .*

PROOF:

<1>1. For all  $x \in X$  we have  $\sum_{n=0}^{\infty} f_n(x)$  converges.

PROOF: By the Comparison Test.

<1>2. For  $n \in \mathbb{N}$ ,

LET:  $r_n = \sum_{i=n+1}^{\infty} M_i$ .

<1>3. For all  $k, n \in \mathbb{N}$  and  $x \in X$ , if  $k > n$  then  $|s_k(x) - s_n(x)| \leq r_n$ .

PROOF:

$$\begin{aligned} |s_k(x) - s_n(x)| &= \left| \sum_{i=n+1}^k f_i(x) \right| \\ &\leq \sum_{i=n+1}^k |f_i(x)| \\ &\leq \sum_{i=n+1}^k M_i \\ &\leq \sum_{i=n+1}^{\infty} M_i \\ &= r_n \end{aligned}$$

⟨1⟩4. For all  $n \in \mathbb{N}$  we have  $|s(x) - s_n(x)| \leq r_n$ .

PROOF: Taking the limit  $k \rightarrow \infty$  in ⟨1⟩3.

⟨1⟩5.  $(s_n)$  converges uniformly to  $s$ .

PROOF: We have  $\bar{\rho}(s_n, s) \leq r_n$  and so  $\bar{\rho}(s_n, s) \rightarrow 0$  as  $n \rightarrow \infty$  by the Sandwich Theorem.

□

### 13.0.9 Standard Bounded Metric

**Definition 13.0.36** (Standard Bounded Metric). Let  $(X, d)$  be a metric space. The *standard bounded metric* corresponding to  $d$  is

$$\bar{d}(x, y) := \min(d(x, y), 1) .$$

**Proposition 13.0.37.** *The standard bounded metric associated with  $d$  induces the same topology as  $d$ .*

PROOF:

⟨1⟩1. LET:  $(X, d)$  be a metric space.

⟨1⟩2. Every  $d$ -ball is open under the topology induced by  $\bar{d}$ .

⟨2⟩1. LET:  $a \in X$  and  $\epsilon > 0$

⟨2⟩2. LET:  $x \in B_d(a, \epsilon)$

⟨2⟩3. LET:  $\delta = \min(\epsilon - d(a, x), 1/2)$

⟨2⟩4.  $B_{\bar{d}}(x, \delta) \subseteq B_d(a, \epsilon)$

⟨1⟩3. Every  $\bar{d}$ -ball is open under the topology induced by  $d$ .

PROOF: Since  $B_{\bar{d}}(a, \epsilon) = B_d(a, \epsilon)$  if  $\epsilon \leq 1$ , and  $X$  if  $\epsilon > 1$ .

□

### 13.0.10 Product Spaces

**Proposition 13.0.38.** *The product of a countable family of metrizable spaces is metrizable.*

PROOF:

⟨1⟩1. LET:  $(X_n, d_n)$  be a sequence of metric spaces.

⟨1⟩2. For  $n \in \mathbb{N}$ ,

LET:  $\bar{d}_n$  be the standard bounded metric associated with  $d_n$ .

⟨1⟩3. LET:  $X = \prod_{n \in \mathbb{N}} X_n$

⟨1⟩4. Define  $D : X^2 \rightarrow \mathbb{R}$  by  $D(x, y) = \sup_{n \in \mathbb{N}} \bar{d}_n(\pi_n(x), \pi_n(y)) / (n + 1)$ .

⟨1⟩5.  $D$  is a metric on  $X$ .

⟨2⟩1. For all  $x, y \in X$  we have  $D(x, y) \geq 0$ .

⟨2⟩2. For all  $x, y \in X$  we have  $D(x, y) = 0$  iff  $x = y$ .

⟨2⟩3. For all  $x, y \in X$  we have  $D(x, y) = D(y, x)$ .

⟨2⟩4. For all  $x, y, z \in X$  we have  $D(x, z) \leq D(x, y) + D(y, z)$ .

⟨1⟩6. The product topology is finer than the metric topology induced by  $D$ .

⟨2⟩1. LET:  $a \in X$  and  $\epsilon > 0$ .

⟨2⟩2. LET:  $x \in B(a, \epsilon)$

- $\langle 2 \rangle 3$ . LET:  $\delta = \epsilon - D(a, x)$   
 $\langle 2 \rangle 4$ . PICK  $N \in \mathbb{N}$  such that  $1/(N+1) < \delta$   
 $\langle 2 \rangle 5$ .  $x \in \prod_{n=0}^N B_{d_n}(\pi_n(a), n\delta) \times \prod_{n=N+1}^{\infty} B(a, \epsilon)$   
 $\langle 1 \rangle 7$ . The metric topology induced by  $D$  is finer than the product topology.  
 $\langle 2 \rangle 1$ . LET:  $n \in \mathbb{N}$  and  $U$  be an open set in  $X_n$ .  
 PROVE:  $\pi_n^{-1}(U)$  is open in the metric topology.  
 $\langle 2 \rangle 2$ . LET:  $x \in \pi_n^{-1}(U)$   
 $\langle 2 \rangle 3$ . PICK  $\epsilon > 0$  such that  $B_{d_n}(\pi_n(x), \epsilon) \subseteq U$   
 $\langle 2 \rangle 4$ .  $B(x, \epsilon/(n+1)) \subseteq \pi_n^{-1}(U)$   
 $\square$

### 13.1 Uniform Metric

**Definition 13.1.1** (Uniform Metric). Let  $J$  be a nonempty set. The *uniform metric*  $\bar{\rho}$  on  $\mathbb{R}^J$  is defined by

$$\bar{\rho}(x, y) = \sup_{j \in J} \bar{d}(x_j, y_j)$$

where  $\bar{d}$  is the standard bounded metric associated with the standard metric on  $\mathbb{R}$ .

The topology it induces is called the *uniform topology*.

We prove this is a metric.

PROOF:

$\langle 1 \rangle 1$ . For all  $x, y \in \mathbb{R}^J$  we have  $\bar{\rho}(x, y) \geq 0$ .

PROOF: Pick  $j_0 \in J$ . Then

$$\begin{aligned}
 \bar{\rho}(x, y) &= \sup_j \bar{d}(x_j, y_j) \\
 &\geq \bar{d}(x_{j_0}, y_{j_0}) \\
 &\geq 0
 \end{aligned}$$

$\langle 1 \rangle 2$ . For all  $x, y \in \mathbb{R}^J$  we have  $\bar{\rho}(x, y) = 0$  iff  $x = y$ .

PROOF:

$$\begin{aligned}
 \bar{\rho}(x, y) = 0 &\Leftrightarrow \sup_j \bar{d}(x_j, y_j) = 0 \\
 &\Leftrightarrow \forall j. \bar{d}(x_j, y_j) = 0 \\
 &\Leftrightarrow \forall j. x_j = y_j \\
 &\Leftrightarrow x = y
 \end{aligned}$$

$\langle 1 \rangle 3$ . For all  $x, y \in \mathbb{R}^J$  we have  $\bar{\rho}(x, y) = \bar{\rho}(y, x)$ .

PROOF:

$$\begin{aligned}
 \bar{\rho}(x, y) &= \sup_j \bar{d}(x_j, y_j) \\
 &= \sup_j \bar{d}(y_j, x_j) \\
 &= \bar{\rho}(y, x)
 \end{aligned}$$



⟨1⟩4. For all  $x, y, z \in \mathbb{R}^\omega$  we have  $\bar{\rho}(x, z) \leq \bar{\rho}(x, y) + \bar{\rho}(y, z)$ .

PROOF:

$$\begin{aligned} \bar{\rho}(x, z) &= \sup_j \bar{d}(x_j, z_j) \\ &\leq \sup_j (\bar{d}(x_j, y_j) + \bar{d}(y_j, z_j)) \\ &\leq \sup_j \bar{d}(x_j, y_j) + \sup_j \bar{d}(y_j, z_j) \\ &= \bar{\rho}(x, y) + \bar{\rho}(y, z) \end{aligned}$$

□

**Proposition 13.1.2.** *The uniform topology is finer than the product topology. It is strictly finer iff  $J$  is infinite.*

PROOF:

⟨1⟩1. The uniform topology is finer than the product topology.

⟨2⟩1. LET:  $U$  be open in  $\mathbb{R}$  and  $j \in J$

PROVE:  $\pi_j^{-1}(U)$  is open in the uniform topology.

⟨2⟩2. LET:  $x \in \pi_j^{-1}(U)$

⟨2⟩3.  $\pi_j(x) \in U$

⟨2⟩4. PICK  $\epsilon > 0$  such that  $B_{\bar{d}}(\pi_j(x), \epsilon) \subseteq U$

⟨2⟩5.  $B_{\bar{\rho}}(x, \epsilon) \subseteq \pi_j^{-1}(U)$

⟨1⟩2. If  $J$  is finite then the uniform topology is equal to the product topology.

PROOF: In  $\mathbb{R}^n$ , the uniform topology is the square topology.

⟨1⟩3. If  $J$  is infinite then the uniform topology is not equal to the product topology.

PROOF: If  $J$  is infinite then  $B(0, 1)$  is not open in the product topology.

□

**Proposition 13.1.3.** *The uniform topology is coarser than the box topology. It is strictly coarser iff  $J$  is infinite.*

PROOF:

⟨1⟩1. The uniform topology is coarser than the box topology.

⟨2⟩1. LET:  $U$  be open in the uniform topology.

PROVE:  $U$  is open in the box topology.

⟨2⟩2. LET:  $x \in U$

⟨2⟩3. PICK  $\epsilon > 0$  such that  $B(x, \epsilon) \subseteq U$

⟨2⟩4.  $\prod_{j \in J} (x_j - \epsilon, x_j + \epsilon) \subseteq U$

⟨1⟩2. If  $J$  is finite then the uniform topology is equal to the box topology.

PROOF: On  $\mathbb{R}^n$ , the uniform metric is the square metric.

⟨1⟩3. If  $J$  is infinite then the uniform topology is not equal to the box topology.

⟨2⟩1. ASSUME:  $J$  is infinite.

⟨2⟩2. PICK a sequence  $(j_n)$  of distinct elements in  $J$ .

⟨2⟩3. LET:  $U = \prod_j U_j$  where  $J_{j_n} = (-1/(n+1), 1/(n+1))$  for  $n \in \mathbb{N}$  and  $J_j = (-1, 1)$  for all other  $j$ .

⟨2⟩4.  $U$  is not open in the uniform topology.

□

**Proposition 13.1.4.** *The uniform topology on  $\mathbb{R}^\infty$  is strictly finer than the product topology.*

PROOF: The set of all sequences  $(x_n) \in \mathbb{R}^\infty$  such that  $\forall n. |x_n| < 1$  is open in the uniform topology but not in the product topology. □

**Proposition 13.1.5.** *The uniform topology on  $\mathbb{R}^\infty$  is strictly coarser than the box topology.*

PROOF: The set of sequences  $(x_n) \in \mathbb{R}^\infty$  such that  $\forall n. |x_n| < 1/n$  is open in the box topology but not in the uniform topology. □

**Proposition 13.1.6.** *The uniform topology on the Hilbert cube is the same as the product topology.*

PROOF:

⟨1⟩1. LET:  $(x_n)$  be in the Hilbert cube  $H$  and  $\epsilon > 0$ .

PROVE:  $B((x_n), \epsilon) \cap H$  is open in the product topology.

⟨1⟩2. PICK  $N$  such that  $1/N < \epsilon$

⟨1⟩3.  $B((x_n), \epsilon) = (\prod_{n=0}^N (x_n - \epsilon, x_n + \epsilon) \times \prod_{n=N+1}^\infty [0, 1/(n+1)]) \cap H$

□

**Corollary 13.1.6.1.** *The uniform topology on the Hilbert cube is strictly finer than the box topology.*

**Proposition 13.1.7.** *Let  $X$  be a set and  $Y$  a metric space. Let  $(f_n)$  be a sequence of functions  $X \rightarrow Y$ , and  $f : X \rightarrow Y$ . Then  $(f_n)$  converges uniformly to  $f$  iff  $(f_n)$  converges to  $f$  in  $Y^X$  under the uniform topology.*

PROOF:

⟨1⟩1. If  $(f_n)$  converges uniformly to  $f$  then  $(f_n)$  converges to  $f$  in  $Y^X$  under the uniform topology.

⟨2⟩1. ASSUME:  $(f_n)$  converges uniformly to  $f$ .

⟨2⟩2. LET:  $\epsilon > 0$

⟨2⟩3. PICK  $N$  such that  $\forall n \geq N. \forall x \in X. d(f_n(x), f(x)) < \epsilon/2$

⟨2⟩4.  $\forall n \geq N. \bar{\rho}(f_n, f) \leq \epsilon/2$

⟨2⟩5.  $\forall n \geq N. \bar{\rho}(f_n, f) < \epsilon$

⟨1⟩2. If  $(f_n)$  converges to  $f$  in  $Y^X$  under the uniform topology then  $(f_n)$  converges uniformly to  $f$ .

⟨2⟩1. ASSUME:  $(f_n)$  converges to  $f$  in  $Y^X$  under the uniform topology.

⟨2⟩2. LET:  $\epsilon > 0$

⟨2⟩3. PICK  $N$  such that  $\forall n \geq N. \bar{\rho}(f_n, f) < \epsilon$

⟨2⟩4.  $\forall n \geq N. \forall x \in X. d(f_n(x), f(x)) < \epsilon$

□

### 13.1.1 Products

**Definition 13.1.8** (Euclidean Metric). Let  $X$  and  $Y$  be metric spaces. The *Euclidean metric* on  $X \times Y$  is

$$d((x_1, y_1), (x_2, y_2)) = \sqrt{d(x_1, x_2)^2 + d(y_1, y_2)^2}.$$

We write  $X \times Y$  for the set  $X \times Y$  under this metric.

We prove this is a metric.

PROOF:

$\langle 1 \rangle 1.$   $d((x_1, y_1), (x_2, y_2)) \geq 0$

PROOF: Immediate from definition.

$\langle 1 \rangle 2.$   $d((x_1, y_1), (x_2, y_2)) = 0$  iff  $(x_1, y_1) = (x_2, y_2)$

PROOF:  $\sqrt{d(x_1, x_2)^2 + d(y_1, y_2)^2} = 0$  iff  $d(x_1, x_2) = d(y_1, y_2) = 0$  iff  $x_1 = x_2$  and  $y_1 = y_2$ .

$\langle 1 \rangle 3.$   $d((x_1, y_1), (x_2, y_2)) = d((x_2, y_2), (x_1, y_1))$

PROOF: Since  $\sqrt{d(x_1, x_2)^2 + d(y_1, y_2)^2} = \sqrt{d(x_2, x_1)^2 + d(y_2, y_1)^2}$ .

$\langle 1 \rangle 4.$  The triangle inequality holds.

PROOF:

$$\begin{aligned} & (d((x_1, y_1), (x_2, y_2)) + d((x_2, y_2), (x_3, y_3)))^2 \\ &= d((x_1, y_1), (x_2, y_2))^2 + 2d((x_1, y_1), (x_2, y_2))d((x_2, y_2), (x_3, y_3)) + d((x_2, y_2), (x_3, y_3))^2 \\ &= d(x_1, x_2)^2 + d(y_1, y_2)^2 + 2\sqrt{(d(x_1, x_2)^2 + d(y_1, y_2)^2)(d(x_2, x_3)^2 + d(y_2, y_3)^2)} + d(x_2, x_3)^2 + d(y_2, y_3)^2 \\ &\geq d(x_1, x_2)^2 + d(x_2, x_3)^2 + d(y_1, y_2)^2 + d(y_2, y_3)^2 + 2(d(x_1, x_2)d(x_2, x_3) + d(y_1, y_2)d(y_2, y_3)) \\ &\quad (\text{Cauchy-Schwarz}) \\ &= (d(x_1, x_2) + d(x_2, x_3))^2 + (d(y_1, y_2) + d(y_2, y_3))^2 \\ &\geq d(x_1, x_3)^2 + d(y_1, y_3)^2 \\ &= d((x_1, y_1), (x_3, y_3))^2 \end{aligned}$$

□

**Proposition 13.1.9.** Let  $X$  and  $Y$  be metric spaces. The Euclidean metric on  $X \times Y$  induces the product topology on  $X \times Y$ .

PROOF:

$\langle 1 \rangle 1.$  Every open ball is open in the product topology.

$\langle 2 \rangle 1.$  LET:  $(x, y) \in B((a, b), \epsilon)$

PROVE:  $B(x, \sqrt{\epsilon}) \times B(y, \sqrt{\epsilon}) \subseteq B((a, b), \epsilon)$

$\langle 2 \rangle 2.$  LET:  $x' \in B(x, \sqrt{(\epsilon - d((x, y), (a, b)))^2/2})$  and  $y' \in B(y, \sqrt{(\epsilon - d((x, y), (a, b)))^2/2})$

PROVE:  $d((x', y'), (a, b)) < \epsilon$

$\langle 2 \rangle 3.$   $d((x', y'), (x, y)) < \epsilon - d((x, y), (a, b))$

PROOF:

$$\begin{aligned} d((x', y'), (x, y)) &= \sqrt{d(x', x)^2 + d(y', y)^2} \\ &< \sqrt{(\epsilon - d((x, y), (a, b)))^2/2 + (\epsilon - d((x, y), (a, b)))^2/2} \\ &= \epsilon - d((x, y), (a, b)) \end{aligned}$$

$\langle 2 \rangle 4.$   $d((x', y'), (a, b)) < \epsilon$

PROOF:

$$d((x', y'), (a, b)) \leq d((x', y'), (x, y)) + d((x, y), (a, b)) \quad (\text{Triangle Inequality})$$

$$< \epsilon \quad (\langle 2 \rangle 3)$$

$\langle 1 \rangle 2.$  If  $U$  is open in  $X$  and  $V$  is open in  $Y$  then  $U \times V$  is open under the Euclidean metric.

$\langle 2 \rangle 1.$  LET:  $(x, y) \in U \times V$

$\langle 2 \rangle 2.$  PICK  $\delta, \epsilon > 0$  such that  $B(x, \delta) \subseteq U$  and  $B(y, \epsilon) \subseteq V$

PROVE:  $(B((x, y), \min(\delta, \epsilon))) \subseteq U \times V$

$\langle 2 \rangle 3.$  LET:  $(x', y') \in B((x, y), \min(\delta, \epsilon))$

$\langle 2 \rangle 4.$   $d(x', x) < \delta$

$\langle 3 \rangle 1.$   $d((x', y'), (x, y)) < \min(\delta, \epsilon)$

$\langle 3 \rangle 2.$   $d(x', x)^2 + d(y', y)^2 < \delta^2$

$\langle 3 \rangle 3.$   $d(x', x)^2 < \delta^2$

$\langle 2 \rangle 5.$   $d(y', y) < \epsilon$

PROOF: Similar.

$\langle 2 \rangle 6.$   $(x', y') \in U \times V$

□

**Proposition 13.1.10.** *The square metric on  $\mathbb{R}^n$  induces the product topology.*

PROOF:

$\langle 1 \rangle 1.$  LET:  $d$  be the Euclidean metric on  $\mathbb{R}^n$  and  $\rho$  the square metric.

$\langle 1 \rangle 2.$  For all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $B_d(x, \delta) \subseteq B_\rho(x, \epsilon)$

PROOF: If  $d(x, y) < \epsilon$  then  $\rho(x, y) < \epsilon$ .

$\langle 1 \rangle 3.$  For all  $x \in X$  and  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $B_\rho(x, \delta) \subseteq B_d(x, \epsilon)$

PROOF: If  $\rho(x, y) < \epsilon/\sqrt{n}$  then  $d(x, y) < \epsilon$ .

$\langle 1 \rangle 4.$   $d$  and  $\rho$  induce the same topology.

PROOF: Proposition 13.0.17.

□

### 13.1.2 Connected Spaces

**Example 13.1.11.** The space  $\mathbb{R}^\omega$  under the uniform topology is disconnected. The set of bounded sequences and the set of unbounded sequences form a separation.

## 13.2 Isometric Embeddings

**Definition 13.2.1** (Isometric Embedding). Let  $X$  and  $Y$  be metric spaces. Let  $f : X \rightarrow Y$ . Then  $f$  is an *isometric embedding* of  $X$  in  $Y$  iff, for all  $x, y \in X$ , we have  $d(f(x), f(y)) = d(x, y)$ .

**Proposition 13.2.2.** *Every isometric embedding is an embedding.*

PROOF:

$\langle 1 \rangle 1.$  LET:  $X$  and  $Y$  be metric spaces.

- $\langle 1 \rangle 2$ . LET:  $f : X \rightarrow Y$  be an isometric embedding.  
 $\langle 1 \rangle 3$ .  $f$  is injective.  
 $\langle 1 \rangle 4$ . The subspace topology induced by  $f$  is finer than the metric topology.  
 $\langle 2 \rangle 1$ . LET:  $x \in X$  and  $\epsilon > 0$   
     PROVE:  $B(x, \epsilon)$  is open in the subspace topology.  
 $\langle 2 \rangle 2$ .  $B(x, \epsilon) = f^{-1}(B(f(x), \epsilon))$   
 $\langle 1 \rangle 5$ . The metric topology is finer than the subspace topology induced by  $f$ .  
 $\langle 2 \rangle 1$ . LET:  $V$  be open in  $Y$   
     PROVE:  $f^{-1}(V)$  is open in  $X$   
 $\langle 2 \rangle 2$ . LET:  $x \in f^{-1}(V)$   
 $\langle 2 \rangle 3$ . PICK  $\epsilon > 0$  such that  $B(f(x), \epsilon) \subseteq V$   
 $\langle 2 \rangle 4$ .  $B(x, \epsilon) \subseteq f^{-1}(V)$   
 $\square$

### 13.3 Complete Metric Spaces

**Definition 13.3.1** (Complete). A metric space is *complete* iff every Cauchy sequence converges.

**Example 13.3.2.**  $\mathbb{R}$  is complete.

**Proposition 13.3.3.** *The product of two complete metric spaces is complete.*

**Proposition 13.3.4.** *Every compact metric space is complete.*

**Proposition 13.3.5.** *Let  $X$  be a complete metric space and  $A \subseteq X$ . Then  $A$  is complete if and only if  $A$  is closed.*

**Definition 13.3.6** (Completion). Let  $X$  be a metric space. A *completion* of  $X$  is a complete metric space  $\hat{X}$  and injection  $i : X \rightarrow \hat{X}$  such that:

- The metric on  $X$  is the restriction of the metric on  $\hat{X}$
- $X$  is dense in  $\hat{X}$ .

**Proposition 13.3.7.** *Let  $i_1 : X \rightarrow Y_1$  and  $i_2 : X \rightarrow Y_2$  be completions of  $X$ . Then there exists a unique isometry  $\phi : Y_1 \cong Y_2$  such that  $\phi \circ i_1 = i_2$ .*

PROOF: Define  $\phi(\lim_{n \rightarrow \infty} i_1(x_n)) = \lim_{n \rightarrow \infty} i_2(x_n)$ .  $\square$

**Theorem 13.3.8.** *Every metric space has a completion.*

PROOF: Let  $\hat{X}$  be the set of Cauchy sequences in  $X$  quotiented by  $\sim$  where  $(x_n) \sim (y_n)$  if and only if  $d(x_n, y_n) \rightarrow 0$ .  $\square$

### 13.4 Manifolds

**Definition 13.4.1** (Manifold). An  *$n$ -dimensional manifold* is a second countable Hausdorff space locally homeomorphic to  $\mathbb{R}^n$ .



## Chapter 14

# Homotopy Theory

### 14.1 Homotopies

**Definition 14.1.1** (Homotopy). Let  $X$  and  $Y$  be topological spaces. Let  $f, g : X \rightarrow Y$  be continuous. A *homotopy* between  $f$  and  $g$  is a continuous function  $h : X \times [0, 1] \rightarrow Y$  such that

- $\forall x \in X. h(x, 0) = f(x)$
- $\forall x \in X. h(x, 1) = g(x)$

We say  $f$  and  $g$  are *homotopic*,  $f \simeq g$ , iff there exists a homotopy between them.

Let  $[X, Y]$  be the set of all homotopy classes of functions  $X \rightarrow Y$ .

**Proposition 14.1.2.** Let  $f, f' : X \rightarrow Y$  and  $g, g' : Y \rightarrow Z$  be continuous. If  $f \simeq f'$  and  $g \simeq g'$  then  $g \circ f \simeq g' \circ f'$ .

**Definition 14.1.3.** Let **HTop** be the category whose objects are the small topological spaces and whose morphisms are the homotopy classes of continuous functions.

A *homotopy functor* is a functor  $\mathbf{Top} \rightarrow \mathcal{C}$  that factors through the canonical functor  $\mathbf{Top} \rightarrow \mathbf{HTop}$ .

**Definition 14.1.4.** A functor  $F : \mathbf{Top} \rightarrow \mathcal{C}$  is *homotopy invariant* iff, for any topological spaces  $X, Y$  and continuous functions  $f, g : X \rightarrow Y$ , if  $f \simeq g$  then  $Hf = Hg$ .

Basepoint-preserving homotopy.

### 14.2 Homotopy Equivalence

**Definition 14.2.1** (Homotopy Equivalence). Let  $X$  and  $Y$  be topological spaces. A *homotopy equivalence* between  $X$  and  $Y$ ,  $f : X \simeq Y$ , is a continuous function  $f : X \rightarrow Y$  such that there exists a continuous function  $g : Y \rightarrow X$ , the *homotopy inverse* to  $f$ , such that  $g \circ f \simeq \text{id}_X$  and  $f \circ g \simeq \text{id}_Y$ .

**Definition 14.2.2** (Contractible). A topological space  $X$  is *contractible* iff  $X \simeq 1$ .

**Example 14.2.3.**  $\mathbb{R}^n$  is contractible.

**Example 14.2.4.**  $D^n$  is contractible.

**Definition 14.2.5** (Deformation Retract). Let  $X$  be a topological space and  $A$  a subspace of  $X$ . A retraction  $\rho : X \rightarrow A$  is a *deformation retraction* iff  $i \circ \rho \simeq \text{id}_X$ , where  $i$  is the inclusion  $A \hookrightarrow X$ . We say  $A$  is a *deformation retract* of  $X$  iff there exists a deformation retraction.

**Definition 14.2.6** (Strong Deformation Retract). Let  $X$  be a topological space and  $A$  a subspace of  $X$ . A *strong deformation retraction*  $\rho : X \rightarrow A$  is a continuous function such that there exists a homotopy  $h : X \times [0, 1] \rightarrow X$  between  $i \circ \rho$  and  $\text{id}_X$  such that, for all  $a \in X$  and  $t \in [0, 1]$ , we have  $h(a, t) = a$ .

We say  $A$  is a *strong deformation retract* of  $X$  iff a strong deformation retraction exists.

**Example 14.2.7.**  $\{0\}$  is a strong deformation retract of  $\mathbb{R}^n$  and of  $D^n$ .

**Example 14.2.8.**  $S^1$  is a strong deformation retract of the torus  $S^1 \times D^2$ .

**Example 14.2.9.**  $S^{n-1}$  is a strong deformation retract of  $D^n - \{0\}$ .

**Example 14.2.10.** For any topological space  $X$ , the singleton consisting of the vertex is a strong deformation retract of the cone over  $X$ .



## Chapter 15

# Simplicial Complexes

**Definition 15.0.1** (Simplex). A  $k$ -dimensional simplex or  $k$ -simplex in  $\mathbb{R}^n$  is the convex hull  $s(x_0, \dots, x_k)$  of  $k + 1$  points in general position.

**Definition 15.0.2** (Face). A *sub-simplex* or *face* of  $s(x_0, \dots, x_k)$  is the convex hull of a subset of  $\{x_0, \dots, x_k\}$ .

**Definition 15.0.3** (Simplicial Complex). A *simplicial complex* in  $\mathbb{R}^n$  is a set  $K$  of simplices such that:

- for every simplex  $s$  in  $K$ , every face of  $s$  is in  $K$ .
- The intersection of two simplices  $s_1, s_2 \in K$  is either empty or is a face of both  $s_1$  and  $s_2$ .
- $K$  is locally finite, i.e. every point of  $\mathbb{R}^n$  has a neighbourhood that only intersects finitely many elements of  $K$ .

The topological space *underlying*  $K$  is  $|K| = \bigcup K$  as a subspace of  $\mathbb{R}^n$ .

### 15.1 Cell Decompositions

**Definition 15.1.1** ( $n$ -cell). An  $n$ -cell is a topological space homeomorphic to  $\mathbb{R}^n$ .

**Definition 15.1.2** (Cell Decomposition). Let  $X$  be a topological space. A *cell decomposition* of  $X$  is a partition of  $X$  into subspaces that are  $n$ -cells.

**Definition 15.1.3** ( $n$ -skeleton). Given a cell decomposition of  $X$ , the  $n$ -skeleton  $X^n$  is the union of all the cells of dimension  $\leq n$ .

### 15.2 CW-complexes

**Definition 15.2.1** (CW-Complex). A *CW-complex* consists of a topological space  $X$  and a cell decomposition  $\mathcal{E}$  of  $X$  such that:

1. *Characteristic Maps* For every  $n$ -cell  $e \in \mathcal{E}$ , there exists a continuous map  $\Phi_e : D^n \rightarrow X$  such that  $\Phi_e((D^n)^\circ) = e$ , the corestriction  $\Phi_e : (D^n)^\circ \approx e$  is a homeomorphism, and  $\Phi_e(S^n)$  is the union of all the cells in  $\mathcal{E}$  of dimension  $< n$ .
2. *Closure Finiteness* For all  $e \in \mathcal{E}$ , we have  $\bar{e}$  intersects only finitely many other cells in  $\mathcal{E}$ .
3. *Weak Topology* Given  $A \subseteq X$ , we have  $A$  is closed iff for all  $e \in \mathcal{E}$ ,  $A \cap \bar{e}$  is closed.

**Proposition 15.2.2.** *If a cell decomposition  $\mathcal{E}$  satisfies the Characteristic Maps axiom, then for every  $n$ -cell  $e \in \mathcal{E}$  we have  $\bar{e} = \Phi_e(D^n)$ . Therefore  $\bar{e}$  is compact and  $\bar{e} - e = \Phi_e(S^{n-1}) \subseteq X^{n-1}$ .*

PROOF:

$\langle 1 \rangle 1.$   $e \subseteq \Phi_e(D^n) \subseteq \bar{e}$

PROOF:

$$\begin{aligned}
 e &= \Phi_e((D^n)^\circ) \\
 &\subseteq \Phi_e(D^n) \\
 &= \Phi_e(\overline{(D^n)^\circ}) \\
 &\subseteq \overline{\Phi_e((D^n)^\circ)} \\
 &= \bar{e}
 \end{aligned}$$

$\langle 1 \rangle 2.$   $\Phi_e(D^n)$  is compact.

PROOF: Because  $D^n$  is compact.

$\langle 1 \rangle 3.$   $\Phi_e(D^n)$  is closed.

$\langle 1 \rangle 4.$   $\Phi_e(D^n) = \bar{e}$

□

## Chapter 16

# Topological Groups

### 16.1 Topological Groups

**Definition 16.1.1** (Topological Group). A *topological group* is a group  $G$  with a topology such that the function  $G^2 \rightarrow G$  that maps  $(x, y)$  to  $xy^{-1}$  is continuous.

**Example 16.1.2.**  $\mathbb{Z}$  is a topological group under addition.

PROOF: The function that sends  $(x, y)$  to  $xy^{-1}$  is continuous because the topology on  $\mathbb{Z}$  is discrete.  $\square$

**Example 16.1.3.**  $\mathbb{R}$  is a topological group under addition.

PROOF: From Propositions 13.0.10 and 13.0.11.  $\square$

**Example 16.1.4.**  $\mathbb{R}_+$  is a topological group under multiplication.

PROOF: From Propositions 13.0.11 and 13.0.24.  $\square$

**Example 16.1.5.**  $S^1$  as a subspace of  $\mathbb{C}$  is a topological group under multiplication.

PROOF:

$\langle 1 \rangle 1$ . LET:  $f : S^1 \rightarrow S^1$  be the function  $f(x, y) = xy^{-1}$

$\langle 1 \rangle 2$ . LET:  $U$  be an open set in  $S^1$

PROVE:  $f^{-1}(U)$  is open in  $(S^1)^2$

$\langle 1 \rangle 3$ . LET:  $(x, y) \in f^{-1}(U)$

$\langle 1 \rangle 4$ .  $xy^{-1} \in U$

$\langle 1 \rangle 5$ . LET:  $x = e^{i\phi}$  and  $y = e^{i\psi}$

$\langle 1 \rangle 6$ .  $xy^{-1} = e^{i(\phi-\psi)} \in U$

$\langle 1 \rangle 7$ . PICK  $\epsilon > 0$  such that, for all  $t$ , if  $|\phi - \psi - t| < \epsilon$  then  $e^{it} \in U$

$\langle 1 \rangle 8$ .  $(x, y) \in \{e^{it} : |\phi - t| < \epsilon/2\} \times \{e^{it} : |\psi - t| < \epsilon/2\} \subseteq f^{-1}(U)$

$\square$

**Example 16.1.6.**  $GL(n, \mathbb{R})$  is a topological group considered as a subspace of  $\mathbb{R}^{n^2}$ .

PROOF: Since the calculations for matrix multiplication and inverse are compositions of continuous functions.  $\square$

**Example 16.1.7.**  $GL(n, \mathbb{R})$  and  $GL(n, \mathbb{C})$  are topological groups.

**Proposition 16.1.8.** *Let  $G$  be a group with a topology. Then  $G$  is a topological group if and only if the functions  $m : G^2 \rightarrow G$  that sends  $(x, y)$  to  $xy$  and the function  $i : G \rightarrow G$  that sends  $x$  to  $x^{-1}$  are continuous.*

PROOF:

$\langle 1 \rangle 1$ . If  $G$  is a topological group then  $i$  is continuous.

PROOF: Since  $x^{-1} = ex^{-1}$ .

$\langle 1 \rangle 2$ . If  $G$  is a topological group then  $m$  is continuous.

PROOF: Since  $xy = x(y^{-1})^{-1}$ .

$\langle 1 \rangle 3$ . If  $m$  and  $i$  are continuous then  $G$  is a topological group.

PROOF: Since  $xy^{-1} = m(x, i(y))$ .

$\square$

**Proposition 16.1.9.** *Let  $G$  be a topological group. Let  $\alpha \in G$ . The function that maps  $x$  to  $\alpha x$  is a homeomorphism between  $G$  and itself.*

PROOF:

$\langle 1 \rangle 1$ . For any  $\alpha \in G$ , the function that maps  $x$  to  $\alpha x$  is continuous.

PROOF: From the definition of topological group.

$\langle 1 \rangle 2$ . For any  $\alpha \in G$ , the function that maps  $x$  to  $\alpha x$  is a homeomorphism between  $G$  and itself.

PROOF: Its inverse is the function that maps  $x$  to  $\alpha^{-1}x$ .

$\square$

**Corollary 16.1.9.1.** *Every topological group is homogeneous.*

**Proposition 16.1.10.** *Let  $G$  be a topological group. Let  $\alpha \in G$ . The function that maps  $x$  to  $x\alpha$  is a homeomorphism between  $G$  and itself.*

PROOF: Similar.  $\square$

### 16.1.1 Subgroups

**Proposition 16.1.11.** *Any subgroup of a topological group is a topological group under the subspace topology.*

PROOF: Since the restriction of continuous functions is continuous.  $\square$

**Proposition 16.1.12.** *Let  $G$  be a topological group and  $H$  a subgroup of  $G$ . Then  $\overline{H}$  is a topological group under the subspace topology.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $x, y \in \overline{H}$

PROVE:  $xy^{-1} \in \overline{H}$

$\langle 1 \rangle 2$ . LET:  $U$  be a neighbourhood of  $xy^{-1}$ .

PROVE:  $U$  intersects  $H$ .

⟨1⟩3. LET:  $f : G^2 \rightarrow G$  be the function that maps  $(x, y)$  to  $xy^{-1}$ .

⟨1⟩4.  $f^{-1}(U)$  is a neighbourhood of  $(x, y)$

⟨1⟩5. PICK neighbourhoods  $V$  of  $x$  and  $W$  of  $y$  such that  $V \times W \subseteq f^{-1}(U)$ .

⟨1⟩6. PICK elements  $x' \in V \cap H$  and  $y' \in W \cap H$

⟨1⟩7.  $x'y'^{-1} \in U \cap H$

□

### 16.1.2 Left Cosets

**Proposition 16.1.13.** *Let  $G$  be a topological group and  $H$  a subgroup of  $G$ . Give  $G/H$  the quotient topology. Let  $\alpha \in G$ . Define  $f_\alpha : G/H \rightarrow G/H$  by*

$$f_\alpha(xH) = \alpha xH \text{ .}$$

*Then  $f_\alpha$  is a homeomorphism.*

PROOF:

⟨1⟩1. For all  $\alpha \in G$  we have  $f_\alpha$  is well defined.

⟨2⟩1. LET:  $x, y \in G$

⟨2⟩2. ASSUME:  $xH = yH$

PROVE:  $\alpha xH = \alpha yH$

⟨2⟩3.  $x^{-1}y \in H$

⟨2⟩4.  $x^{-1}\alpha^{-1}\alpha y \in H$

⟨2⟩5.  $\alpha xH = \alpha yH$

⟨1⟩2. For all  $\alpha \in G$  we have  $f_\alpha$  is injective.

⟨2⟩1. LET:  $x, y \in G$

⟨2⟩2. ASSUME:  $\alpha xH = \alpha yH$

PROVE:  $xH = yH$

⟨2⟩3.  $\alpha x^{-1}\alpha y \in H$

⟨2⟩4.  $x^{-1}y \in H$

⟨2⟩5.  $xH = yH$

⟨1⟩3. For all  $\alpha \in G$  we have  $f_\alpha$  is surjective.

PROOF: For all  $x \in G$  we have  $xH = f_\alpha(\alpha^{-1}xH)$ .

⟨1⟩4. For all  $\alpha \in G$  we have  $f_\alpha$  is continuous.

⟨2⟩1. LET:  $V$  be open in  $G/H$

⟨2⟩2.  $\pi^{-1}(f_\alpha^{-1}(V))$  is open in  $G$ .

PROOF: It is  $g_\alpha^{-1}(\pi^{-1}(V))$  where  $g_\alpha : V \rightarrow V$  is the homeomorphism

$$g_\alpha(x) = \alpha x.$$

⟨2⟩3.  $f_\alpha^{-1}(V)$  is open in  $G/H$ .

⟨1⟩5. For all  $\alpha \in G$  we have  $f_\alpha^{-1}$  is continuous.

PROOF: It is  $f_{\alpha^{-1}}$ .

□

**Corollary 16.1.13.1.** *Let  $G$  be a topological group and  $H$  a subgroup of  $G$ . Then  $G/H$  is a homogeneous space.*

**Proposition 16.1.14.** *Let  $G$  be a  $T_1$  topological group and  $H$  a closed subgroup of  $G$ . Then  $G/H$  is  $T_1$ .*

PROOF:

$\langle 1 \rangle 1$ . LET:  $x \in G$

PROVE:  $xH$  is closed.

$\langle 1 \rangle 2$ .  $\pi^{-1}(xH)$  is closed in  $G$ .

PROOF: It is  $f_x(H)$  and  $f_x$  is a homeomorphism.

$\langle 1 \rangle 3$ .  $xH$  is closed in  $G/H$ .

□

**Proposition 16.1.15.** *Let  $G$  be a topological group and  $H$  a subgroup of  $G$ . Then the canonical map  $\pi : G \rightarrow G/H$  is an open map.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $U$  be open in  $G$ .

$\langle 1 \rangle 2$ .  $\forall h \in H. Uh$  is open in  $G$ .

PROOF: Since the function that maps  $g$  to  $gh$  is an automorphism of  $G$ .

$\langle 1 \rangle 3$ .  $UH$  is open in  $G$

PROOF: It is  $\bigcup_{h \in H} Uh$ .

$\langle 1 \rangle 4$ .  $UH = \pi^{-1}(\pi(U))$

PROOF:

$$\begin{aligned} \pi^{-1}(\pi(U)) &= \{x \in G : \exists y \in U. xH = yH\} \\ &= \{x \in G : \exists y \in U. x^{-1}y \in H\} \\ &= \{x \in G : \exists y \in U. \exists h \in H. y^{-1}x = h\} \\ &= \{x \in G : \exists y \in U. \exists h \in H. x = yh\} \\ &= UH \end{aligned}$$

$\langle 1 \rangle 5$ .  $\pi^{-1}(\pi(U))$  is open in  $G$ .

$\langle 1 \rangle 6$ .  $\pi(U)$  is open in  $G/H$ .

□

**Proposition 16.1.16.** *Let  $G$  be a topological group. Let  $H$  be a normal subgroup of  $G$ . Then  $G/H$  is a topological group.*

PROOF:

$\langle 1 \rangle 1$ . LET:  $f : G^2 \rightarrow G$  be the map  $f(x, y) = xy^{-1}$

$\langle 1 \rangle 2$ . LET:  $g : (G/H)^2 \rightarrow G/H$  be the map  $g(xH, yH) = xy^{-1}H$

$\langle 1 \rangle 3$ .  $g \circ (\pi \times \pi) = \pi \circ f : G^2 \rightarrow G/H$

$\langle 1 \rangle 4$ .  $g \circ (\pi \times \pi)$  is continuous.

PROOF: Since  $\pi$  and  $f$  are continuous.

$\langle 1 \rangle 5$ .  $\pi$  is an open quotient map.

PROOF: Proposition 16.1.15.

$\langle 1 \rangle 6$ .  $\pi \times \pi$  is an open quotient map.

PROOF: Corollary 12.21.7.1.

$\langle 1 \rangle 7$ .  $g$  is continuous.

PROOF: Theorem 12.21.3.

□

### 16.1.3 Homogeneous Spaces

**Definition 16.1.17** (Homogeneous Space). A *homogeneous space* is a topological space of the form  $G/H$ , where  $G$  is a topological group and  $H$  is a normal subgroup of  $G$ , under the quotient topology.

**Proposition 16.1.18.** *Let  $G$  be a topological group and  $H$  a normal subgroup of  $G$ . Then  $G/H$  is Hausdorff if and only if  $H$  is closed.*

PROOF: See Bourbaki, N., General Topology. III.12  $\square$

## 16.2 Symmetric Neighbourhoods

**Definition 16.2.1** (Symmetric Neighbourhood). Let  $G$  be a topological group. Let  $V$  be a neighbourhood of  $e$ . Then  $V$  is *symmetric* iff  $V = V^{-1}$ .

**Proposition 16.2.2.** *Let  $G$  be a topological group. Let  $U$  be a neighbourhood of  $e$ . Then there exists a symmetric neighbourhood  $V$  of  $e$  such that  $VV \subseteq U$ .*

PROOF:

- $\langle 1 \rangle 1$ . PICK a neighbourhood  $V'$  of  $e$  such that  $V'V' \subseteq U$ .
  - $\langle 2 \rangle 1$ . LET:  $m : G^2 \rightarrow G$  be the function  $m(x, y) = xy$
  - $\langle 2 \rangle 2$ .  $m^{-1}(U)$  is open in  $G^2$
  - $\langle 2 \rangle 3$ .  $(e, e) \in m^{-1}(U)$
  - $\langle 2 \rangle 4$ . PICK neighbourhoods  $V_1, V_2$  of  $e$  such that  $V_1 \times V_2 \subseteq m^{-1}(U)$
  - $\langle 2 \rangle 5$ . LET:  $V' = V_1 \cap V_2$
  - $\langle 1 \rangle 2$ . PICK a neighbourhood  $W$  of  $e$  such that  $WW^{-1} \subseteq V'$
  - $\langle 2 \rangle 1$ . LET:  $f : G^2 \rightarrow G$  be the function  $m(x, y) = xy^{-1}$
  - $\langle 2 \rangle 2$ .  $f^{-1}(V')$  is open in  $G^2$
  - $\langle 2 \rangle 3$ .  $(e, e) \in m^{-1}(V')$
  - $\langle 2 \rangle 4$ . PICK neighbourhoods  $W_1, W_2$  of  $e$  such that  $W_1 \times W_2 \subseteq f^{-1}(V')$
  - $\langle 2 \rangle 5$ . LET:  $W = W_1 \cap W_2$
  - $\langle 1 \rangle 3$ . LET:  $V = WW^{-1}$
  - $\langle 1 \rangle 4$ .  $V$  is a neighbourhood of  $e$ .
  - $\langle 1 \rangle 5$ .  $V$  is symmetric.
  - $\langle 1 \rangle 6$ .  $VV \subseteq U$
- $\square$

**Proposition 16.2.3.** *Every  $T_1$  topological group is regular.*

PROOF:

- $\langle 1 \rangle 1$ . LET:  $G$  be a  $T_1$  topological group.
  - $\langle 1 \rangle 2$ . LET:  $A$  be a closed set in  $G$  and  $x \in G - A$ .
  - $\langle 1 \rangle 3$ .  $G - Ax^{-1}$  is a neighbourhood of  $e$ .
  - $\langle 1 \rangle 4$ . PICK a symmetric neighbourhood  $V$  of  $e$  such that  $VV \subseteq G - Ax^{-1}$ .
  - $\langle 1 \rangle 5$ . LET:  $U = VA$  and  $U' = Vx$
  - $\langle 1 \rangle 6$ .  $U$  and  $U'$  are disjoint open sets with  $A \subseteq U$  and  $x \in U'$ .
- $\square$

**Proposition 16.2.4.** *Let  $G$  be a  $T_1$  topological group. Let  $H$  be a closed subgroup of  $G$ . Then  $G/H$  is regular.*

PROOF:

- ⟨1⟩1. LET:  $A$  be a closed set in  $G/H$  and  $xH \in G/H - A$ .
- ⟨1⟩2.  $G - \pi^{-1}(A)x^{-1}$  is a neighbourhood of  $e$ .
- ⟨1⟩3. PICK a symmetric neighbourhood  $V$  of  $e$  such that  $VV \subseteq G - \pi^{-1}(A)x^{-1}$ .
- ⟨1⟩4. LET:  $U = \pi(V)A$  and  $U' = \pi(V)(xH)$ .
- ⟨1⟩5.  $U$  and  $U'$  are disjoint open sets with  $A \subseteq U$  and  $xH \in U'$
- ⟨2⟩1. ASSUME: for a contradiction  $U \cap U' \neq \emptyset$ .
- ⟨2⟩2. PICK  $v_1, v_2 \in V$  and  $a \in G$  such that  $aH \in A$  and  $v_1aH = v_2xH$ .
- ⟨2⟩3.  $a^{-1}v_1^{-1}v_2x \in H$
- ⟨2⟩4.  $v_1^{-1}v_2 \in \pi^{-1}(A)x^{-1}$
- ⟨2⟩5. Q.E.D.

PROOF: This contradicts ⟨1⟩3.

□

### 16.3 Continuous Actions

**Definition 16.3.1** (Continuous Action). Let  $G$  be a topological group and  $X$  a topological space. A *continuous action* of  $G$  on  $X$  is a continuous function  $\cdot : G \times X \rightarrow X$  such that:

- $\forall x \in X. ex = x$
- $\forall g, h \in G. \forall x \in X. g(hx) = (gh)x$

A  $G$ -space consists of a topological space  $X$  and a continuous action of  $G$  on  $X$ .

**Definition 16.3.2** (Orbit). Let  $X$  be a  $G$ -space and  $x \in X$ . The *orbit* of  $x$  is  $\{gx : g \in G\}$ .

The *orbit space*  $X/G$  is the set of all orbits under the quotient topology.

**Proposition 16.3.3.** *Define an action of  $SO(2)$  on  $S^2$  by*

$$g(x_1, x_2, x_3) = (g(x_1, x_2), x_3) \ .$$

*Then  $S^2/SO(2) \cong [-1, 1]$ .*

PROOF:

- ⟨1⟩1. LET:  $f_3 : S^2/SO(2) \rightarrow [-1, 1]$  be the function induced by  $\pi_3 : S^2 \rightarrow [-1, 1]$
- ⟨1⟩2.  $f_3$  is bijective.
- ⟨1⟩3.  $S^2/SO(2)$  is compact.

PROOF: It is the continuous image of  $S^2$  which is compact.

- ⟨1⟩4.  $[-1, 1]$  is Hausdorff.
- ⟨1⟩5.  $f_3$  is a homeomorphism.



□

**Definition 16.3.4** (Stabilizer). Let  $X$  be a  $G$ -space and  $x \in X$ . The *stabilizer* of  $x$  is  $G_x := \{g \in G : gx = x\}$ .

**Proposition 16.3.5.** *The function that maps  $gG_x$  to  $gx$  is a continuous bijection from  $G/G_x$  to  $Gx$ .*

PROOF:

⟨1⟩1. If  $gG_x = hG_x$  then  $gx = hx$ .

⟨2⟩1. ASSUME:  $gG_x = hG_x$

⟨2⟩2.  $g^{-1}h \in G_x$

⟨2⟩3.  $g^{-1}hx = x$

⟨2⟩4.  $gx = hx$

⟨1⟩2. If  $gx = hx$  then  $gG_x = hG_x$ .

PROOF: Similar.

⟨1⟩3. The function is continuous.

PROOF: Theorem 12.21.3.

□



## Chapter 17

# Topological Vector Spaces

**Definition 17.0.1** (Topological Vector Space). Let  $K$  be either  $\mathbb{R}$  or  $\mathbb{C}$ . A *topological vector space* over  $K$  consists of a vector space  $E$  over  $K$  and a topology on  $E$  such that:

- Subtraction is a continuous function  $E^2 \rightarrow E$
- Multiplication is a continuous function  $K \times E \rightarrow E$

**Proposition 17.0.2.** *Every topological vector space is a topological group under addition.*

PROOF: Immediate from the definition.  $\square$

**Theorem 17.0.3.** *The usual topology on a finite dimensional vector space over  $K$  is the only one that makes it into a Hausdorff topological vector space.*

PROOF: See Bourbaki. Elements de Mathematique, Livre V: Espaces Vectoriels Topologiques, Th. 2, p. 18  $\square$

**Proposition 17.0.4.** *Let  $E$  be a topological vector space and  $E_0$  a subspace of  $E$ . Then  $\overline{E_0}$  is a subspace of  $E$ .*

**Definition 17.0.5.** Let  $E$  be a topological vector space. The topological space associated with  $E$  is  $E/\overline{\{0\}}$ .

### 17.1 Cauchy Sequences

**Definition 17.1.1** (Cauchy Sequence). Let  $E$  be a topological vector space. A sequence  $(x_n)$  in  $E$  is a *Cauchy sequence* iff, for every neighbourhood  $U$  of 0, there exists  $n_0$  such that  $\forall m, n \geq n_0, x_n - x_m \in U$ .

**Definition 17.1.2** (Complete Topological Vector Space). A topological vector space is *complete* iff every Cauchy sequence converges.

## 17.2 Seminorms

**Definition 17.2.1** (Seminorm). Let  $E$  be a vector space over  $K$ . A *seminorm* on  $E$  is a function  $\| \cdot \| : E \rightarrow \mathbb{R}$  such that:

1.  $\forall x \in E, \|x\| \geq 0$
2.  $\forall \alpha \in K, \forall x \in E, \|\alpha x\| = |\alpha| \|x\|$
3. *Triangle Inequality*  $\forall x, y \in E, \|x + y\| \leq \|x\| + \|y\|$

**Example 17.2.2.** The function that maps  $(x_1, \dots, x_n)$  to  $|x_i|$  is a seminorm on  $\mathbb{R}^n$ .

**Definition 17.2.3.** Let  $E$  be a vector space over  $K$ . Let  $\Lambda$  be a set of seminorms on  $E$ . The topology *generated* by  $\Lambda$  is the topology generated by the subbasis consisting of all sets of the form  $B_\epsilon^\lambda(x) = \{y \in E : \lambda(y - x) < \epsilon\}$  for  $\epsilon > 0$ ,  $\lambda \in \Lambda$  and  $x \in E$ .

**Proposition 17.2.4.**  $E$  is a topological vector space under this topology. It is Hausdorff iff, for all  $x \in E$ , if  $\forall \lambda \in \Lambda, \lambda(x) = 0$  then  $x = 0$ .

## 17.3 Fréchet Spaces

**Definition 17.3.1** (Pre-Fréchet Space). A *pre-Fréchet space* is a Hausdorff topological vector space whose topology is generated by a countable set of seminorms.

**Proposition 17.3.2.** Let  $E$  be a pre-Fréchet space whose topology is generated by the family of seminorms  $\{\| \cdot \|_n : n \in \mathbb{Z}^+\}$ . Then

$$d(x, y) = \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{\|x - y\|_n}{1 + \|x - y\|_n}$$

is a metric that induces the same topology. The two definitions of Cauchy sequence agree.

**Definition 17.3.3** (Fréchet Space). A *Fréchet space* is a complete pre-Fréchet space.

## 17.4 Normed Spaces

**Definition 17.4.1** (Normed Space). Let  $E$  be a vector space over  $K$ . A *norm* on  $E$  is a function  $\| \cdot \| : E \rightarrow \mathbb{R}$  is a seminorm such that,  $\forall x \in E, \|x\| = 0 \Leftrightarrow x = 0$ .

A *normed space* consists of a vector space with a norm.

**Proposition 17.4.2.** If  $E$  is a normed space then  $d(x, y) = \|x - y\|$  is a metric on  $E$  that makes  $E$  into a topological vector space. The two definitions of Cauchy sequence agree on  $E$ .

**Definition 17.4.3** ( $p$ -norm). For any  $p \geq 1$ , the  $p$ -norm on  $\mathbb{R}^n$  is defined by

$$\|\vec{x}\|_p := \left( \sum_{i=1}^n |x_i|^p \right)^{\frac{1}{p}}.$$

We prove this is a norm.

PROOF:

$\langle 1 \rangle 1$ . For all  $\vec{x} \in \mathbb{R}^n$  we have  $\|\vec{x}\|_p \geq 0$

PROOF: Immediate from definition.

$\langle 1 \rangle 2$ . For all  $\alpha \in \mathbb{R}$  and  $\vec{x} \in \mathbb{R}^n$  we have  $\|\alpha \vec{x}\|_p = |\alpha| \|\vec{x}\|_p$

PROOF:

$$\begin{aligned} \|\alpha(x_1, \dots, x_n)\| &= \|(\alpha x_1, \dots, \alpha x_n)\| \\ &= \left( \sum_{i=1}^n (\alpha x_i)^p \right)^{\frac{1}{p}} \\ &= \left( |\alpha|^p \sum_{i=1}^n x_i^p \right)^{\frac{1}{p}} \\ &= |\alpha| \left( \sum_{i=1}^n x_i^p \right)^{\frac{1}{p}} \\ &= |\alpha| \|\vec{x}\|_p \end{aligned}$$

$\langle 1 \rangle 3$ . The triangle inequality holds.

PROOF:

$$\begin{aligned} \|\vec{x} + \vec{y}\|_p^p &= \sum_{i=1}^n |x_i + y_i|^p \\ &= \sum_{i=1}^n |x_i + y_i| |x_i + y_i|^{p-1} \\ &\leq \sum_{i=1}^n (|x_i| + |y_i|) |x_i + y_i|^{p-1} \\ &= \sum_{i=1}^n |x_i| |x_i + y_i|^{p-1} + \sum_{i=1}^n |y_i| |x_i + y_i|^{p-1} \\ &\leq \left( \sum_{i=1}^n |x_i|^p \right)^{\frac{1}{p}} \left( \sum_{i=1}^n |x_i + y_i|^p \right)^{\frac{p-1}{p}} + \left( \sum_{i=1}^n |y_i|^p \right)^{\frac{1}{p}} \left( \sum_{i=1}^n |x_i + y_i|^p \right)^{\frac{p-1}{p}} \quad (\text{Hölder's Inequality}) \\ &= (\|\vec{x}\|_p + \|\vec{y}\|_p) \|\vec{x} + \vec{y}\|_p^{p-1} \end{aligned}$$

Assuming w.l.o.g.  $\|\vec{x} + \vec{y}\|_p^{p-1} \neq 0$  (using ??) we have  $\|\vec{x} + \vec{y}\|_p \leq \|\vec{x}\|_p + \|\vec{y}\|_p$ .

$\langle 1 \rangle 4$ . For any  $\vec{x} \in \mathbb{R}^n$ , we have  $\|\vec{x}\| = 0$  iff  $\vec{x} = \vec{0}$ .

PROOF:  $\sum_{i=1}^n x_i^p = 0$  iff  $x_1 = \dots = x_n = 0$ .

□

**Proposition 17.4.4.** The  $p$ -norm on  $\mathbb{R}^n$  induces the product topology.

PROOF:

⟨1⟩1. LET:  $d$  be the metric induced by the  $p$ -norm and  $\rho$  the square metric on  $\mathbb{R}^n$ .

⟨1⟩2. The metric topology is finer than the product topology.

⟨2⟩1. LET:  $\vec{x} \in \mathbb{R}^n$  and  $\epsilon > 0$

⟨2⟩2. LET:  $\delta = \epsilon/n^{\frac{1}{p}}$

PROVE:  $B_\rho(\vec{x}, \delta) \subseteq B_d(\vec{x}, \epsilon)$

⟨2⟩3. LET:  $\vec{y} \in B_\rho(\vec{x}, \delta)$

⟨2⟩4.  $\forall i. |x_i - y_i| < \delta$

⟨2⟩5.  $d(\vec{x}, \vec{y}) < \epsilon$

PROOF:

$$\begin{aligned} d(\vec{x}, \vec{y}) &= \left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}} \\ &< \left( \sum_{i=1}^n \delta^p \right)^{\frac{1}{p}} && (\langle 2 \rangle 4) \\ &= n^{\frac{1}{p}} \delta \\ &= \epsilon && (\langle 2 \rangle 2) \end{aligned}$$

⟨1⟩3. The product topology is finer than the metric topology.

⟨2⟩1. LET:  $\vec{x} \in \mathbb{R}^n$  and  $\epsilon > 0$

⟨2⟩2. LET:  $\vec{y} \in B_d(\vec{x}, \epsilon)$

⟨2⟩3.  $d(\vec{x}, \vec{y}) < \epsilon$

⟨2⟩4.  $\sum_{i=1}^n |x_i - y_i|^p < \epsilon^p$

⟨2⟩5.  $\forall i. |x_i - y_i|^p < \epsilon^p$

⟨2⟩6.  $\forall i. |x_i - y_i| < \epsilon$

⟨2⟩7.  $\rho(\vec{x}, \vec{y}) < \epsilon$

□

**Definition 17.4.5** (Sup-norm). The *sup-norm* on  $\mathbb{R}^n$  is defined by

$$\|(x_1, \dots, x_n)\|_\infty := \max(|x_1|, \dots, |x_n|) .$$

**Proposition 17.4.6.** The 2-norm on  $\mathbb{R}^n$  induces the standard metric.

PROOF: Immediate from definitions. □

**Definition 17.4.7.** For  $p \geq 1$ , the normed space  $l_p$  is the set of all sequences  $(x_n)$  in  $\mathbb{R}$  such that  $\sum_{n=1}^\infty x_n^p$  converges, under

$$\|(x_n)\|_p := \left( \sum_{i=1}^\infty |x_i|^p \right)^{\frac{1}{p}} .$$

**Proposition 17.4.8.** The spaces  $l_p$  for  $p \geq 1$  are all homeomorphic.

PROOF: See Kadets, Mikhail Iosifovich. 1967. Proof of the topological equivalence of all separable infinite-dimensional banach spaces. Functional Analysis and Its Applications 1 (1): 53–62. <http://dx.doi.org/10.1007/BF01075865>.

**Proposition 17.4.9.** *The metric topology on  $l_2$  is strictly finer than the uniform topology.*

PROOF:

⟨1⟩1. LET:  $d$  be the metric induced by the  $l^2$ -norm and  $\bar{\rho}$  the uniform topology.

⟨1⟩2. The metric topology is finer than the uniform topology.

⟨2⟩1. LET:  $x \in l_2$

⟨2⟩2. LET:  $\epsilon > 0$

⟨2⟩3. LET:  $\delta = \epsilon/2$

⟨2⟩4. LET:  $y \in B_d(x, \delta)$

⟨2⟩5.  $\sum_{n=0}^{\infty} (x_n - y_n)^2 < \delta^2$

⟨2⟩6.  $\forall n. (x_n - y_n)^2 < \delta^2$

⟨2⟩7.  $\forall n. |x_n - y_n| < \delta$

⟨2⟩8.  $\forall n. \bar{d}(x_n, y_n) < \delta$

⟨2⟩9.  $\bar{\rho}(x, y) \leq \delta$

⟨2⟩10.  $\bar{\rho}(x, y) < \epsilon$

⟨2⟩11.  $y \in B_{\bar{\rho}}(x, \epsilon)$

⟨1⟩3. The metric topology is not the same as the uniform topology.

⟨2⟩1. ASSUME: for a contradiction  $B_d(0, 1)$  is open in the uniform topology.

⟨2⟩2. PICK  $\epsilon > 0$  such that  $B_{\bar{\rho}}(0, \epsilon) \subseteq B_d(0, 1)$

⟨2⟩3. PICK an integer  $N$  such that  $1/N < \epsilon^2/4$

⟨2⟩4. LET:  $(x_n)$  be the sequence with  $x_n = \epsilon/2$  for  $n < N$  and  $x_n = 0$  for  $n \geq N$

⟨2⟩5.  $(x_n) \in l_2$

⟨2⟩6.  $(x_n) \in B_{\bar{\rho}}(0, \epsilon)$

PROOF: Since  $\bar{\rho}((x_n), 0) = \epsilon/2$ .

⟨2⟩7.  $d((x_n), 0) > 1$

PROOF:

$$\begin{aligned} d((x_n), 0)^2 &= \sum_{n=0}^{\infty} x_n^2 \\ &= N\epsilon^2/4 \\ &> 1 \end{aligned}$$

□

**Proposition 17.4.10.** *The metric topology on  $l_2$  is strictly coarser than the box topology.*

PROOF:

⟨1⟩1. The box topology is finer than the metric topology.

⟨2⟩1. LET:  $(x_n) \in l_2$  and  $\epsilon > 0$ .

⟨2⟩2. LET:  $(y_n) \in B((x_n), \epsilon)$

⟨2⟩3. PICK a sequence of real numbers  $(\delta_n)$  such that  $\sum_{n=0}^{\infty} \delta_n^2 < (\epsilon - d((x_n), (y_n)))^2$

⟨2⟩4. LET:  $U = \prod_n (y_n - \delta_n, y_n + \delta_n)$

PROVE:  $U \subseteq B((x_n), \epsilon)$

⟨2⟩5. LET:  $(z_n) \in U$

⟨2⟩6.  $d((z_n), (y_n)) < \epsilon - d((x_n), (y_n))$

PROOF:

$$\begin{aligned} d((z_n), (y_n))^2 &= \sum_{n=0}^{\infty} (z_n - y_n)^2 \\ &< \sum_{n=0}^{\infty} \delta_n^2 \\ &< (\epsilon - d((x_n), (y_n)))^2 \end{aligned}$$

$$\langle 2 \rangle 7. d((z_n), (x_n)) < \epsilon$$

$\langle 1 \rangle 2.$  The box topology is not equal to the metric topology.

$\langle 2 \rangle 1.$  LET:  $U = \prod_n (-1/n, 1/n)$

$\langle 2 \rangle 2.$  ASSUME: for a contradiction  $U$  is open in the metric topology.

$\langle 2 \rangle 3.$  PICK  $\epsilon > 0$  such that  $B(0, \epsilon) \subseteq U$

$\langle 2 \rangle 4.$  PICK  $N$  such that  $1/N < \epsilon/2$ .

$\langle 2 \rangle 5.$  LET:  $(x_n)$  be the sequence with  $x_N = \epsilon/2$  and  $x_n = 0$  for all other  $n$ .

$\langle 2 \rangle 6.$   $d((x_n), 0) = \epsilon/2$

$\langle 2 \rangle 7.$   $(x_n) \notin U$

□

**Proposition 17.4.11.** *The  $l^2$ -topology on  $\mathbb{R}^\infty$  is strictly finer than the uniform topology.*

PROOF:

$\langle 1 \rangle 1.$  ASSUME: for a contradiction  $B_d(0, 1) \cap \mathbb{R}^\infty$  is open in the uniform topology.

$\langle 1 \rangle 2.$  PICK  $\epsilon > 0$  such that  $B_{\bar{\rho}}(0, \epsilon) \cap \mathbb{R}^\infty \subseteq B_d(0, 1) \cap \mathbb{R}^\infty$

$\langle 1 \rangle 3.$  PICK an integer  $N$  such that  $1/N < \epsilon^2/4$

$\langle 1 \rangle 4.$  LET:  $(x_n)$  be the sequence with  $x_n = \epsilon/2$  for  $n < N$  and  $x_n = 0$  for  $n \geq N$

$\langle 1 \rangle 5.$   $(x_n) \in \mathbb{R}^\infty$

$\langle 1 \rangle 6.$   $(x_n) \in B_{\bar{\rho}}(0, \epsilon)$

PROOF: Since  $\bar{\rho}((x_n), 0) = \epsilon/2$ .

$\langle 1 \rangle 7.$   $d((x_n), 0) > 1$

PROOF:

$$\begin{aligned} d((x_n), 0)^2 &= \sum_{n=0}^{\infty} x_n^2 \\ &= N\epsilon^2/4 \\ &> 1 \end{aligned}$$

□

**Proposition 17.4.12.** *The  $l^2$ -topology on  $\mathbb{R}^\infty$  is strictly coarser than the box topology.*

$\langle 1 \rangle 1.$  LET:  $U = \prod_n (-1/n, 1/n) \cap \mathbb{R}^\infty$

$\langle 1 \rangle 2.$  ASSUME: for a contradiction  $U$  is open in the metric topology.

$\langle 1 \rangle 3.$  PICK  $\epsilon > 0$  such that  $B(0, \epsilon) \cap \mathbb{R}^\infty \subseteq U \cap \mathbb{R}^\infty$

$\langle 1 \rangle 4.$  PICK  $N$  such that  $1/N < \epsilon/2$ .



⟨1⟩5. LET:  $(x_n)$  be the sequence with  $x_N = \epsilon/2$  and  $x_n = 0$  for all other  $n$ .

⟨1⟩6.  $d((x_n), 0) = \epsilon/2$

⟨1⟩7.  $(x_n) \notin U$

□

**Proposition 17.4.13.** *The  $l^2$ -topology on the Hilbert cube the same as the product topology.*

PROOF:

⟨1⟩1. For every  $(x_n) \in H$  and  $\epsilon > 0$ , there exists a neighbourhood  $U$  of  $(x_n)$  in the product topology such that  $U \subseteq B((x_n), \epsilon)$ .

⟨2⟩1. LET:  $(x_n) \in H$

⟨2⟩2. LET:  $\epsilon > 0$

⟨2⟩3. PICK  $N$  such that  $\sum_{i=N+1}^{\infty} 1/i^2 < \epsilon^2/2$

⟨2⟩4. LET:  $B' = (\prod_{i=0}^N (x_i - \epsilon/\sqrt{2N}, x_i + \epsilon/\sqrt{2N}) \times \prod_{i=N+1}^{\infty} [0, 1/(i+1)]) \cap H$

PROVE:  $B' \subseteq B((x_n), \epsilon)$

⟨2⟩5. LET:  $(y_n) \in B'$

⟨2⟩6.  $d((x_n), (y_n)) < \epsilon$

PROOF:

$$\begin{aligned} d((x_n), (y_n))^2 &= \sum_{i=0}^{\infty} |x_n - y_n|^2 \\ &< \sum_{i=0}^N \epsilon^2/2N + \sum_{i=N+1}^{\infty} 1/(i+1)1/(i+1)^2 \\ &< \epsilon^2/2 + \epsilon^2/2 \\ &= \epsilon^2 \end{aligned}$$

⟨1⟩2. The product topology is finer than the  $l^2$ -topology.

⟨2⟩1. LET:  $(x_n) \in H$  and  $\epsilon > 0$

PROVE:  $B((x_n), \epsilon) \cap H$  is open in the product topology.

⟨2⟩2. LET:  $(y_n) \in B((x_n), \epsilon)$

⟨2⟩3. PICK a neighbourhood  $U$  of  $(y_n)$  in the product topology such that

$U \subseteq B((y_n), \epsilon - d((x_n), (y_n)))$

⟨2⟩4.  $U \subseteq B((x_n), \epsilon)$

□

**Definition 17.4.14.** Let  $l_{\infty}$  be the set of all bounded sequences in  $\mathbb{R}$  under

$$\|(x_n)\| := \sup_n |x_n|$$

**Proposition 17.4.15.** *For all  $p \geq 1$  we have  $l_p$  is not homeomorphic to  $l_{\infty}$ .*

**Proposition 17.4.16.** *Let  $\| \cdot \|$  be a seminorm on the vector space  $E$ . Then  $\| \cdot \|$  defines a norm on  $E/\{0\}$ .*

**Proposition 17.4.17.** *Let  $E$  and  $F$  be normed spaces. Any continuous linear map  $E \rightarrow F$  is uniformly continuous.*

**Definition 17.4.18.** For  $p \geq 1$ , let  $\mathcal{L}^p(\mathbb{R}^n)$  be the vector space of all Lebesgue-measurable functions  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  such that  $|f|^p$  is Lebesgue-integrable. Then

$$\|f\|_p := \sqrt[p]{\int_{\mathbb{R}^n} |f(x)|^p dx}$$

defines a seminorm on  $\mathcal{L}^p(\mathbb{R}^n)$ . Let

$$L^p(\mathbb{R}^n) := \mathcal{L}^p(\mathbb{R}^n) / \{0\} .$$

## 17.5 Inner Product Spaces

**Definition 17.5.1** (Inner Product). Given  $\vec{x}, \vec{y} \in \mathbb{R}^n$ , define

$$\vec{x} \cdot \vec{y} = x_1 y_1 + \cdots + x_n y_n .$$

**Proposition 17.5.2.**

$$\vec{x} \cdot (\vec{y} + \vec{z}) = \vec{x} \cdot \vec{y} + \vec{x} \cdot \vec{z}$$

PROOF:

$$\begin{aligned} \vec{x} \cdot (\vec{y} + \vec{z}) &= x_1(y_1 + z_1) + \cdots + x_n(y_n + z_n) \\ &= x_1 y_1 + x_1 z_1 + \cdots + x_n y_n + x_n z_n \\ &= \vec{x} \cdot \vec{y} + \vec{x} \cdot \vec{z} \end{aligned}$$

□

**Proposition 17.5.3.** For all  $\vec{x}, \vec{y} \in \mathbb{R}^n$  we have

$$|\vec{x} \cdot \vec{y}| \leq \|\vec{x}\| \|\vec{y}\| .$$

PROOF:

- <1>1. ASSUME: w.l.o.g.  $\vec{x} \neq \vec{0} \neq \vec{y}$
- <1>2. LET:  $a = 1/\|\vec{x}\|$
- <1>3. LET:  $b = 1/\|\vec{y}\|$
- <1>4.  $\|a\vec{x} + b\vec{y}\| \geq 0$
- <1>5.  $a^2\|\vec{x}\|^2 + 2ab\vec{x} \cdot \vec{y} + b^2\|\vec{y}\|^2 \geq 0$
- <1>6.  $ab\vec{x} \cdot \vec{y} \geq -1$
- <1>7.  $\|a\vec{x} - b\vec{y}\| \geq 0$
- <1>8.  $ab\vec{x} \cdot \vec{y} \leq 1$
- <1>9.  $|\vec{x} \cdot \vec{y}| \leq 1/ab$

□

**Proposition 17.5.4.** Let  $(x_n), (y_n)$  be sequences of real numbers. If  $\sum_{n=0}^{\infty} x_n^2$  and  $\sum_{n=0}^{\infty} y_n^2$  converge then  $\sum_{n=0}^{\infty} |x_n y_n|$  converges.

PROOF:

$$\begin{aligned} \sum_{n=0}^N |x_n y_n| &\leq \sqrt{\sum_{n=0}^N x_n^2 \sum_{n=0}^N y_n^2} && \text{(Proposition 17.5.3)} \\ &\leq \sqrt{\sum_{n=0}^{\infty} x_n^2 \sum_{n=0}^{\infty} y_n^2} \end{aligned}$$

□

**Proposition 17.5.5.** *If  $E$  is an inner product space then  $\|x\| = \sqrt{\langle x, x \rangle}$  is a norm on  $E$ .*

## 17.6 Banach Spaces

**Definition 17.6.1** (Banach Space). A *Banach space* is a complete normed space.

**Example 17.6.2.** For any topological space  $X$ , the set  $C(X)$  of bounded continuous functions  $X \rightarrow \mathbb{R}$  is a Banach space under  $\|f\| = \sup_{x \in X} |f(x)|$ .

**Proposition 17.6.3.** *The completion of a normed space is a Banach space.*

**Proposition 17.6.4.** *Let  $E$  and  $F$  be normed spaces. Let  $f : E \rightarrow F$  be a continuous linear map. Then the extension to the completions  $\hat{E} \rightarrow \hat{F}$  is linear.*

**Proposition 17.6.5.**  $L^p(\mathbb{R}^n)$  is a Banach space.

**Proposition 17.6.6.**  $C(\mathbb{R})$  is first countable but not second countable.

PROOF: For every sequence of 0s and 1s  $s = (s_n)$ , let  $f_s$  be a continuous bounded function whose value at  $n$  is  $s_n$ . Then the set of all  $f_s$  is an uncountable discrete set in  $C(\mathbb{R})$ . Hence  $C(\mathbb{R})$  is not second countable.

It is first countable because it is metrizable.  $\square$

## 17.7 Hilbert Spaces

**Definition 17.7.1** (Hilbert Space). A *Hilbert space* is a complete inner product space.

**Example 17.7.2.** The set of *square-integrable functions* is the set of Lebesgue integrable functions  $[-\pi, \pi] \rightarrow \mathbb{R}$  quotiented by:  $f \sim g$  iff  $\{x \in [-\pi, \pi] : f(x) \neq g(x)\}$  has measure 0. This is a Hilbert space under

$$\langle f, g \rangle = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x)g(x)dx .$$

**Proposition 17.7.3.** *The completion of an inner product space is a Hilbert space.*

An infinite dimensional Hilbert space with the weak topology is not first countable.

## 17.8 Locally Convex Spaces

**Definition 17.8.1** (Locally Convex Space). A topological vector space is *locally convex* iff every neighbourhood of 0 includes a convex neighbourhood of 0.

**Proposition 17.8.2.** *A topological vector space is locally convex if and only if its topology is generated by a set of seminorms.*

PROOF: See Köthe, G. Topological Vector Spaces 1. Section 18.  $\square$

**Proposition 17.8.3.** *A locally convex topological vector space is a pre-Fréchet space if and only if it is metrizable.*

PROOF: See Köthe, G. Topological Vector Spaces 1. Section 18.  $\square$

**Example 17.8.4.** Let  $E$  be an infinite dimensional Hilbert space. Let  $E'$  be the same vector space under the *weak topology*, the coarsest topology such that every continuous linear map  $E \rightarrow \mathbb{R}$  is continuous as a map  $E' \rightarrow \mathbb{R}$ . Then  $E$  is locally convex Hausdorff but not metrizable.

Proof: See Dieudonne, J. A., Treatise on Analysis, Vol. II, New York and London: Academic Press, 1970, p. 76.

**Definition 17.8.5** (Thom Space). Let  $E$  be a vector bundle with a Riemannian metric,  $DE = \{x \in E : \|x\| \leq 1\}$  its disc bundle and  $SE := \{v \in E : \|v\| = 1\}$  its sphere bundle. The *Thom space* of  $E$  is the quotient space  $DE/SE$ .