Mathematics

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Primitive Terms and Axioms

1.1 Primitive Terms

Let there be sets. We write A: Set for: A is a set.

For any set A, let there be *elements* of A. We write a : El(A) for: a is an element of A.

For any sets A and B, let there be functions from A to B. We write $f:A\to B$ iff f is a function from A to B.

For any function $f: A \to B$ and element a: El(A), let there be an element f(a): El(B), the value of the function f at the argument a.

1.2 Axioms

Axiom Schema 1.1 (Choice). Let P[X, Y, x, y] be a formula where X and Y are set variables, x : El(X) and y : El(Y). Then the following is an axiom.

Let A and B be sets. Assume that, for all a : El(A), there exists b : El(B) such that P[A, B, a, b]. Then there exists a function $f : A \to B$ such that $\forall a : El(A) . P[A, B, a, f(a)]$.

Axiom 1.2 (Pairing). For any sets A and B, there exists a set $A \times B$, the Cartesian product of A and B, and functions $\pi_1 : A \times B \to A$ and $\pi_2 : A \times B \to B$ such that, for all a : El(A) and b : El(B), there exists a unique $(a,b) : \text{El}(A \times B)$ such that $\pi_1(a,b) = a$ and $\pi_2(a,b) = b$.

Definition 1.3 (Injective). A function $f: A \to B$ is injective or an injection iff, for all x, y: El(A), if f(x) = f(y) then x = y.

Axiom Schema 1.4 (Separation). For every property P[X,x] where X is a set variable and x : El(X), the following is an axiom:

For every set A, there exists a set $S = \{x : \text{El}(A) \mid P[A, x]\}$ and an injection $i: S \to A$ such that, for all x: El(A), we have

$$(\exists y : S.i(y) = x) \Leftrightarrow P[A, x]$$
.

Axiom 1.5 (Infinity). There exists a set \mathbb{N} , an element $0 : \text{El}(\mathbb{N})$, and a function $s : \mathbb{N} \to \mathbb{N}$ such that:

- $\forall n : \text{El}(\mathbb{N}) . s(n) \neq 0$
- $\forall m, n : \text{El}(\mathbb{N}) . s(m) = s(n) \Rightarrow m = n.$

1.3 Consequences of the Axioms

1.3.1 Definitions

Definition 1.6. Let $f, g: A \to B$. We say f and g are equal, f = g, iff $\forall x : \text{El}(A) . f(x) = g(x)$.

Definition 1.7 (Surjective). A function $f: A \to B$ is *surjective* iff, for all y: El(B), there exists x: El(A) such that f(x) = y.

Definition 1.8 (Bijective). A function $f: A \to B$ is bijective or a bijection iff it is injective and surjective.

Sets A and B are equinumerous, $A \approx B$, iff there exists a bijection between them.

If we prove there exists a set X such that P(X), and that any two sets that satisfy P are bijective, then we may introduce a constant C and define "Let C be the set such that P(C)".

Definition 1.9 (Composition). Given $f: A \to B$ and $g: B \to C$, let $g \circ f$ be the function such that $\forall a : \text{El}(A) . (g \circ f)(a) = g(f(a))$.

1.3.2 The Empty Set

Theorem 1.10. There exists a set which has no elements.

Proof:

 $\langle 1 \rangle 1$. PICK a set A

Proof: By the Axiom of Infinity, a set exists.

 $\langle 1 \rangle 2$. Let: $S = \{x : \text{El}(A) \mid \bot \}$ with injection $i : S \to A$

Proof: Axiom of Separation.

 $\langle 1 \rangle 3$. S has no elements.

Theorem 1.11. If E and E' have no elements then $E \approx E'$.

Proof:

```
⟨1⟩1. Let: E and E' have no elements. 
⟨1⟩2. Pick a function F: E \to E'.
PROOF: Axiom of Choice since vacuously \forall x : \text{El}\left(E\right). \exists y : \text{El}\left(E'\right). \top. 
⟨1⟩3. F is injective.
PROOF: Vacuously, for all x,y: \text{El}\left(E\right), if F(x) = F(y) then x = y. 
⟨1⟩4. F is surjective.
PROOF: Vacuously, for all y: \text{El}\left(E\right), there exists x: \text{El}\left(E\right) such that F(x) = y.
```

Definition 1.12 (Empty Set). The *empty set* \emptyset is the set with no elements.

1.3.3 The Singleton

Theorem 1.13. There exists a set that has exactly one element.

Proof:

 $\langle 1 \rangle 1$. PICK a set A that has an element.

PROOF: By the Axiom of Infinity, there exists a set that has an element.

 $\langle 1 \rangle 2$. Pick a : El(A)

 $\langle 1 \rangle 3$. PICK a set S and injection $i: S \rightarrow A$ such that, for all x: El(A), there exists s: El(S) such that s=x if and only if x=a

 $\langle 1 \rangle 4$. S has exactly one element.

Theorem 1.14. If A and B both have exactly one element then $A \approx B$.

Proof:

 $\langle 1 \rangle 1$. Let: A and B both have exactly one element a and b respectively.

(1)2. Let: $F: A \to B$ be the function such that, for all x: El(A), we have $(x = a \land F(x) = b)$

 $\langle 1 \rangle 3$. F is a bijection.

Definition 1.15 (Singleton). Let 1 be the set that has exactly one element. Let * be its element.

1.3.4 Subsets

Definition 1.16 (Subset). A *subset* of a set A consists of a set S and an injection $i: S \rightarrow A$. We write $(S, i): \operatorname{Sub}(A)$.

We say two subsets (S, i) and (T, j) are equal, (S, i) = (T, j), iff there exists a bijection $\phi : S \approx T$ such that $j \circ \phi = i$.

Definition 1.17 (Membership). Given (S, i): Sub(A) and a: El(A), we write $a \in S$ for $\exists s : \text{El}(S) . i(s) = a$.

1.4 Composition

Definition 1.18 (Composite). Let $\phi : A \hookrightarrow B$ and $\psi : B \hookrightarrow C$. The *composite* $\psi \circ \phi : A \hookrightarrow C$ is the relation such that $a(\psi \circ \phi)c$ iff there exists b such that $a\phi b$ and $b\psi c$.

Definition 1.19 (Identity). For any set A, the *identity* function $id_A : A \to A$ is the function defined by $id_A(a) = a$.

Theorem 1.20. Composition of relations is associative, and the identity function is an identity for composition. The composite of functions is a function. The composite of injective functions is injective. The composite of surjective functions is surjective. The composite of bijections is a bijection. A function $f: A \to B$ is a bijection iff there exists a function $f^{-1}: B \to A$ such that $f^{-1}f = \mathrm{id}_A$ and $ff^{-1} = \mathrm{id}_B$, in which case f^{-1} is unique.

1.5 Axioms Part Two

Axiom 1.21 (Power Set). For any set A, there exists a set $\mathcal{P}A$, the power set of A, and a relation \in : $A \hookrightarrow \mathcal{P}A$, called membership, such that, for any subset S of A, there exists a unique $\overline{S} \in \mathcal{P}A$ such that, for all $x \in A$, we have $x \in \overline{S}$ if and only if $x \in S$.

We usually write just S for \overline{S} .

Axiom Schema 1.22 (Collection). Let P[X,Y,x] be a formula with set variables X and Y and an element variable $x \in X$. Then the following is an axiom. For any set A, there exists a set B, a function $p:B \to A$, a set Y and a relation $M:B \hookrightarrow Y$ such that:

- $\forall b \in B.P[A, \{y \in Y : bMy\}, p(b)]$
- For all $a \in A$, if $\exists Y.P[A, Y, a]$, then there exists $b \in B$ such that a = p(b).

Definition 1.23 (Universe). Let $E:U \hookrightarrow X$ be a relation. Let us say that a set A is *small* iff there exists $u \in U$ such that $A \approx \{x \in X : uEx\}$.

Then (U, X, E) form a *universe* if and only if:

- \mathbb{N} is U-small.
- For any *U*-small sets *A* and *B* and relation $R:A \hookrightarrow B$, the tabulation of *R* is *U*-small.
- If A is U-small then so is $\mathcal{P}A$
- Let $f: A \to B$ be a function. If B is U-small and $f^{-1}(b)$ is U-small for all $b \in B$, then A is U-small.
- If $p: B \twoheadrightarrow A$ is a surjective function such that A is U-small, then there exists a U-small set C, a surjection $q: C \twoheadrightarrow A$, and a function $f: C \to B$ such that q = pf.

Axiom 1.24 (Universe). There exists a universe.

Let $E:U \hookrightarrow X$ be a universe. We shall say a set is *small* iff it is *U*-small, and *large* otherwise.

1.6 Cartesian Product

Definition 1.25 (Cartesian Product). Let A and B be sets. The Cartesian product of A and B, $A \times B$, is the tabulation of the relation $A \hookrightarrow B$ that holds for all $a \in A$ and $b \in B$. The associated functions $\pi_1 : A \times B \to A$ and $\pi_2 : A \times B \to B$ are called the projections.

Given $a \in A$ and $b \in B$, we write (a, b) for the unique element of $A \times B$ such that $\pi_1(a, b) = a$ and $\pi_2(a, b) = b$.

1.7 Quotient Sets

Proposition 1.26. Let \sim be an equivalence relation on X. Then there exists a set X/\sim , the quotient set of X with respect to \sim , and a surjective function $\pi:X\twoheadrightarrow X/\sim$, the canonical projection, such that, for all $x,y:\operatorname{El}(X)$, we have $x\sim y$ if and only if $\pi(x)=\pi(y)$.

Further, if $p: X \to Q$ is another quotient with respect to \sim , then there exists a unique bijection $\phi: X/\sim \approx Q$ such that $\phi \circ \pi = p$.

1.8 Partitions

Definition 1.27 (Partition). A partition of a set X is a set of pairwise disjoint subsets of X whose union is X.

Category Theory

2.1 Categories

Definition 2.1. A category C consists of:

- a set Ob(C) of *objects*. We write $A \in C$ for $A \in Ob(C)$.
- for any objects X and Y, a set $\mathcal{C}[X,Y]$ of morphisms from X to Y. We write $f:X\to Y$ for $f\in\mathcal{C}[X,Y]$.
- for any objects X, Y and Z, a function $\circ : \mathcal{C}[Y, Z] \times \mathcal{C}[X, Y] \to \mathcal{C}[X, Z]$, called *composition*.

such that:

- Given $f: X \to Y$, $g: Y \to Z$ and $h: Z \to W$, we have $h \circ (g \circ f) = (h \circ g) \circ f$
- For any object X, there exists a morphism $id_X : X \to X$, the *identity morphism* on X, such that:
 - for any object Y and morphism $f: Y \to X$ we have $\mathrm{id}_X \circ f = f$
 - for any object Y and morphism $f: X \to Y$ we have $f \circ id_X = f$

We write the composite of morphism f_1, \ldots, f_n as $f_n \circ \cdots \circ f_1$. This is unambiguous thanks to Associativity.

Definition 2.2. Let **Set** be the category of small sets and functions.

Proposition 2.3. The identity morphism on an object is unique.

```
Proof:
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- $\langle 1 \rangle 1$. Let: \mathcal{C} be a category.
- $\langle 1 \rangle 2$. Let: $A \in \mathcal{C}$
- $\langle 1 \rangle 3$. Let: $i, j : A \to A$ be identity morphisms on A.
- $\langle 1 \rangle 4$. i = j

Proof:

$$i = i \circ j$$
 (j is an identity on A)
= j (i is an identity on A)

Definition 2.4. Given $f: A \to B$ and an object C, define the function $f^*: \mathcal{C}[B,C] \to \mathcal{C}[A,C]$ by $f^*(g) = g \circ f$.

Definition 2.5. Given $f: A \to B$ and an object C, define the function $f_*: \mathcal{C}[C,A] \to \mathcal{C}[C,B]$ by $f_*(g) = f \circ g$.

2.1.1 Sections and Retractions

Definition 2.6 (Section, Retraction). Let $r: A \to B$ and $s: B \to A$. Then r is a retraction of s, and s is a section of r, iff $rs = \mathrm{id}_B$.

Proposition 2.7. Let $f: A \to B$ and $r, s: B \to A$. If r is a retraction of f and s is a section of f then r = s.

Proof:

$$r = rid_B$$
 (Unit Law)
 $= rfs$ (s is a section of f)
 $= id_A s$ (r is a retraction of f)
 $= s$ (Unit Law)

2.1.2 Isomorphisms

Definition 2.8 (Isomorphism). A morphism $f:A\to B$ is an *isomorphism*, $f:A\cong B$, iff there exists a morphism $f^{-1}:B\to A$ that is both a retraction and section of f.

Objects A and B are isomorphic, $A \cong B$, iff there exists an isomorphism between them.

Proposition 2.9. The inverse of an isomorphism is unique.

Proof: From Proposition 2.7. \square

Proposition 2.10. If $f : A \cong B$ then $f^{-1} : B \cong A$ and $(f^{-1})^{-1} = f$.

PROOF: Since
$$ff^{-1} = id_B$$
 and $f^{-1}f = id_A$. \square

Isomorphism.

Define the opposite category.

Slice categories

Definition 2.11. Let \mathcal{C} be a category and $B \in \mathcal{C}$. The category \mathcal{C}_B^B of objects over and under B is the category with:

• objects all triples (X, u, p) such that $u: B \to X$ and $p: X \to B$

• morphisms $f:(X,u,p)\to (Y,u',p')$ all morphisms $f:X\to Y$ such that fu=u' and p'f=p.

Proposition 2.12.

$$\mathcal{C}_B^B \cong (\mathcal{C}/B) \backslash \mathrm{id}_B \cong (\mathcal{C}\backslash B) / \mathrm{id}_B$$

 $(B, \mathrm{id}_B, \mathrm{id}_B)$ is the zero object in \mathcal{C}_B^B .

2.1.3 Initial Objects

Definition 2.13 (Initial Object). An object I is *initial* iff, for any object X, there exists exactly one morphism $I \to X$.

Proposition 2.14. The empty set is initial in Set.

PROOF: For any set A, the nowhere-defined function is the unique function $\emptyset \to A$. \square

Proposition 2.15. If I and I' are initial objects, then there exists a unique isomorphism $I \cong I'$.

PROOF

```
\langle 1 \rangle 1. Let: i: I \to I' be the unique morphism I \to I'.
```

$$\langle 1 \rangle 2$$
. Let: $i^{-1}: I' \to I$ be the unique morphism $I' \to I$.

$$\langle 1 \rangle 3. \ ii^{-1} = id_{I'}$$

PROOF: There is only one morphism $I' \to I'$.

$$\langle 1 \rangle 4$$
. $i^{-1}i = id_I$

PROOF: There is only one morphism $I \to I$.

2.1.4 Terminal Objects

Definition 2.16 (Terminal Object). An object T is terminal iff, for any object X, there exists exactly one morphism $X \to T$.

Proposition 2.17. 1 is terminal in Set.

PROOF: For any set A, the constant function to * is the only function $A \to 1$.

2.1.5 Zero Objects

Definition 2.18 (Zero Object). An object Z is a zero object iff it is an initial object and a terminal object.

Definition 2.19 (Zero Morphism). Let \mathcal{C} be a category with a zero object Z. Let $A, B \in \mathcal{C}$. The zero morphism $A \to B$ is the unique morphism $A \to Z \to B$.

Proposition 2.20. There is no zero object in Set.

Proof: Since $\emptyset \not\approx 1$.

2.1.6 Subcategories

Definition 2.21 (Subcategory). A subcategory C' of a category C consists of:

- a subset Ob(C') of C
- for all $A, B \in \text{Ob}(\mathcal{C}')$, a subset $\mathcal{C}'[A, B] \subseteq \mathcal{C}[A, B]$

such that:

- for all $A \in \text{Ob}(\mathcal{C}')$, we have $\text{id}_A \in \mathcal{C}'[A, A]$
- for all $f \in \mathcal{C}'[A, B]$ and $g \in \mathcal{C}'[B, C]$, we have $g \circ f \in \mathcal{C}'[A, C]$.

It is a full subcategory iff, for all $A, B \in \text{Ob}(\mathcal{C}')$, we have $\mathcal{C}'[A, B] = \mathcal{C}[A, B]$.

2.1.7 Opposite Category

Definition 2.22 (Opposite Category). For any category C, the *opposite* category C^{op} is the category with

- $Ob(\mathcal{C}^{op}) = Ob(\mathcal{C})$
- $\mathcal{C}^{\mathrm{op}}[A,B] = \mathcal{C}[B,A]$
- Given $f \in \mathcal{C}^{\text{op}}[A, B]$ and $g \in \mathcal{C}^{\text{op}}[B, C]$, their composite in \mathcal{C}^{op} is $f \circ g$, where \circ is composition in \mathcal{C} .

Proposition 2.23. An object is initial in C iff it is terminal in C^{op} .

PROOF: Immediate from definitions.

Proposition 2.24. An object is terminal in C iff it is initial in C^{op} .

PROOF: Immediate from definitions.

Corollary 2.24.1. If T and T' are terminal objects in C then there exists a unique isomorphism $T \cong T'$.

2.1.8 Groupoids

Definition 2.25 (Groupoid). A *groupoid* is a category in which every morphism is an isomorphism.

2.1.9 Concrete Categories

Definition 2.26 (Concrete Category). A concrete category \mathcal{C} consists of:

- a set Ob(C) of objects
- for any object $A \in Ob(\mathcal{C})$, a set |A|
- for any objects $A, B \in \text{Ob}(\mathcal{C})$, a set of functions $\mathcal{C}[A, B] \subseteq |B|^{|A|}$ such that:
 - for any $f \in \mathcal{C}[A, B]$ and $g \in \mathcal{C}[B, C]$, we have $g \circ f \in \mathcal{C}[A, C]$
 - for any object A we have $id_{|A|} \in C[A, A]$.

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2.1.10 Power of Categories

Definition 2.27. Let C be a category and J a set. The category C^J is the category with:

- \bullet objects all *J*-indexed families of objects of $\mathcal C$
- morphisms $\{X_j\}_{j\in J} \to \{Y_j\}_{j\in J}$ all families $\{f_j\}_{j\in J}$ where $f_j: X_j \to Y_j$

2.1.11 Arrow Category

Definition 2.28 (Arrow Category). Let \mathcal{C} be a category. The arrow category $\mathcal{C}^{\rightarrow}$ is the category with:

- objects all triples (A, B, f) where $f: A \to B$ in \mathcal{C}
- morphisms $(A,B,f) \to (C,D,g)$ all pairs $(u:A \to C,v:B \to D)$ such that vf=gu.

2.1.12 Slice Category

Definition 2.29 (Slice Category). Let C be a category and $A \in C$. The *slice category under* A, $C \setminus A$, is the category with:

- objects all pairs (B, f) where $B \in \mathcal{C}$ and $f : A \to B$
- morphisms $(B, f) \to (C, g)$ are morphisms $u: B \to C$ such that uf = g.

We identify this with the subcategory of $\mathcal{C}^{\rightarrow}$ formed by mapping (B, f) to (A, B, f) and u to (id_A, u) .

Proposition 2.30. If $s:(B,f) \to (C,g)$ in $C \setminus A$, then any retraction of s in C is a retraction of s in $C \setminus A$.

```
Proof:
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```
\langle 1 \rangle 1. Let: r: C \to B be a retraction of s in \mathcal{C}. \langle 1 \rangle 2. rg = f
Proof: rg = rsf = f. \langle 1 \rangle 3. r: (C,g) \to (B,f) in \mathcal{C} \setminus A \langle 1 \rangle 4. rs = \mathrm{id}_{(B,f)}
Proof: Because composition is inherited from \mathcal{C}.
```

Proposition 2.31. id_A is the initial object in $C \setminus A$.

PROOF: For any $(B, f) \in \mathcal{C}\backslash A$, we have f is the only morphism $A \to B$ such that $f \operatorname{id}_A = f$. \square

Proposition 2.32. If A is terminal in C then id_A is the zero object in $C \setminus A$.

PROOF: For any $(B, f) \in \mathcal{C} \backslash A$, the unique morphism $!: B \to A$ is the unique morphism such that $!f = \mathrm{id}_A$. \square

Definition 2.33 (Pointed Sets). The category of pointed sets is $Set \setminus 1$.

Definition 2.34. Let C be a category and $A \in C$. The *slice category over* A, C/A, is the category with:

- objects all pairs (B, f) with $f: B \to A$
- morphisms $u:(B,f)\to (C,g)$ all morphisms $u:B\to C$ such that gu=f.

Proposition 2.35. Let $u:(B,f) \to (C,g): \mathcal{C}/A$. Any section of u in \mathcal{C} is a section of u in \mathcal{C}/A .

Proof: Dual to Proposition 2.30. \square

Proposition 2.36. id_A is terminal in C/A.

PROOF: Dual to Proposition 2.31.

Proposition 2.37. If A is initial in C then id_A is the zero object in C/A.

PROOF: Dual to Proposition 2.32. \square

Definition 2.38. Let $A \in \mathcal{C}$. The category of objects *over and under A*, written \mathcal{C}_A^A , is the category with:

- objects all triples (X, u, p) where $u: A \to X, p: X \to A$ and $pu = \mathrm{id}_A$
- morphism $f:(X,u,p)\to (Y,v,q)$ all morphisms $f:X\to Y$ such that fu=v and qf=p

Proposition 2.39. (A, id_A, id_A) is the zero object in \mathcal{C}_A^A .

PROOF: For any object (X, u, p), we have p is the unique morphism $(X, u, p) \rightarrow (A, \mathrm{id}_A, \mathrm{id}_A)$, and u is the unique morphism $(A, \mathrm{id}_A, \mathrm{id}_A) \rightarrow (X, u, p)$. \square

2.2 Functors

Definition 2.40 (Functor). Let \mathcal{C} and \mathcal{D} be categories. A functor $F: \mathcal{C} \to \mathcal{D}$ consists of:

- a function $F: \mathrm{Ob}(\mathcal{C}) \to \mathrm{Ob}(\mathcal{D})$
- for every morphism $f:A\to B$ in $\mathcal C$, a morphism $Ff:FA\to FB$ in $\mathcal D$ such that:
 - for all A : El(Ob(C)) we have $Fid_A = id_{FA}$
 - for any morphism $f:A\to B$ and $g:B\to C$ in $\mathcal C$, we have $F(g\circ f)=Fg\circ Ff$

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Definition 2.41 (Identity Functor). For any category C, the *identity* functor on \mathcal{C} is the functor $I_{\mathcal{C}}:\mathcal{C}\to\mathcal{C}$ defined by

$$I_{\mathcal{C}}A := A$$
 $(A \in \mathcal{C})$
 $I_{\mathcal{C}}f := f$ $(f : A \to B \text{ in } \mathcal{C})$

Definition 2.42 (Composition of Functors). Given functors $F: \mathcal{C} \to \mathcal{D}$ and $G: \mathcal{D} \to \mathcal{E}$, the *composite* functor $GF: \mathcal{C} \to \mathcal{E}$ is defined by

$$(GF)A = G(FA) \qquad (A \in \mathcal{C})$$

$$(GF)f = G(Ff) \qquad (f: A \to B: \mathcal{C})$$

Functors preserve isomorphisms. Isomorphism of categories.

Proposition 2.43. *If* A *is initial in* C *then* $C \setminus A \cong C$.

Proof:

 $\langle 1 \rangle 1$. Define $F : \mathcal{C} \backslash A \to \mathcal{C}$ by

$$F(B, f) = B$$

$$F(u:(B,f)\to (C,g))=u$$

 $\langle 1 \rangle 2$. Define $G: \mathcal{C} \to \mathcal{C} \backslash A$ by

$$GB = (B, !_B)$$
 where $!_B$ is the unique morphism $A \to B$

$$G(u: B \to C) = u: (B, !_B) \to (C, !_C)$$

 $\langle 1 \rangle 3$. $FG = id_{\mathcal{C}}$

 $\langle 1 \rangle 4$. $GF = id_{\mathcal{C} \setminus A}$

PROOF: Since $GF(B, f) = (B, !_B) = (B, f)$ because the morphism $A \to B$ is unique. П

Proposition 2.44. If A is terminal in C then $C/A \cong C$.

Proof: Dual.

Proposition 2.45.

$$\mathcal{C}_A^A \cong (\mathcal{C}/A) \backslash (A, \mathrm{id}_A) \cong (\mathcal{C} \backslash A) / (A, \mathrm{id}_A)$$

 $\langle 1 \rangle 1$. Define a functor $F : \mathcal{C}_A^A \to (\mathcal{C}/A) \backslash (A, \mathrm{id}_A)$.

 $\langle 2 \rangle 1$. Given $A \stackrel{u}{\to} X \stackrel{p}{\to} A$ in \mathcal{C}_A^A , let F(X, u, p) = ((X, p), u)

 $\langle 2 \rangle 2$. Given $f: (A \xrightarrow{u} X \xrightarrow{p} A) \to (A \xrightarrow{v} Y \xrightarrow{q} A)$, let Ff = f.

 $\langle 1 \rangle 2$. Define a functor $G: (\mathcal{C}/A) \setminus (A, \mathrm{id}_A) \to \mathcal{C}_A^A$. $\langle 1 \rangle 3$. Define a functor $H: \mathcal{C}_A^A \to (\mathcal{C} \setminus A)/(A, \mathrm{id}_A)$. $\langle 1 \rangle 4$. Define a functor $K: (\mathcal{C} \setminus A)/(A, \mathrm{id}_A) \to \mathcal{C}_A^A$.

Natural transformation.

Pullback Pushout Product Coproduct Adjunction

2.3 Bifunctors

Definition 2.46 (Commutative). A bifunctor $\square: \mathcal{C}^2 \to \mathcal{C}$ is *commutative* iff $\square \cong \square \circ T$, where $T: \mathcal{C}^2 \to \mathcal{C}^2$ is the swap functor. **Definition 2.47** (Associative). A bifunctor \square is *associative* iff $\square \circ (\square \times \mathrm{id}) \cong \square \circ (\mathrm{id} \times \square)$.

Product and coproduct are commutative and associative.

Monoid Theory

Definition 3.1 (Monoid). A monoid is a category with one object.

Definition 3.2. Let \mathcal{C} be a category and $X \in \mathcal{C}$. The monoid $\operatorname{End}_{\mathcal{C}}(X)$ is the set of all morphisms $X \to X$ under composition.

Group Theory

Definition 4.1. Let **Grp** be the category of small groups and group homomorphisms.

Definition 4.2. We identify any group G with the category with one object whose morphisms are the elements of G with composition given by the multiplication in G.

Proposition 4.3. The trivial group is a zero object in Grp.

Proof: Easy.

The zero morphism $G \to H$ maps every element in G to e.

Definition 4.4. Let \mathcal{C} be a category and $X \in \mathcal{C}$. We write $\operatorname{Aut}_{\mathcal{C}}(X)$ for the set of all isomorphisms $X \cong X$ under composition.

Ring Theory

Definition 5.1. Let **Ring** be the concrete category of rings and ring homomorphisms.

Linear Algebra

Definition 6.1. For any field K, let \mathbf{Vect}_K be the concrete category of small vector spaces over K and linear transformations.

Dual space functor $\mathbf{Vect}_K^{\mathrm{op}} \to \mathbf{Vect}_K$.

Topology

7.1 Topological Spaces

Definition 7.1 (Topological Space). Let X be a set and $\mathcal{O} \subseteq \mathcal{P}X$. Then we say (X, \mathcal{O}) is a *topological space* iff:

- For any $\mathcal{U} \subseteq \mathcal{O}$ we have $\bigcup \mathcal{U} \in \mathcal{O}$.
- For any $U, V \in \mathcal{O}$ we have $U \cap V \in \mathcal{O}$.
- $X \in \mathcal{O}$

We call \mathcal{O} the topology of the topological space, and call its elements open sets. We shall often write X for the topological space (X, \mathcal{O}) .

Definition 7.2 (Closed Set). Let X be a topological space and $A \subseteq X$. Then A is *closed* iff X - A is open.

Proposition 7.3. A set B is open if and only if X - B is closed.

Proposition 7.4. Let X be a set and $C \subseteq \mathcal{P}X$. Then there exists a topology \mathcal{O} on X such that C is the set of closed sets if and only if:

- For any $\mathcal{D} \subseteq \mathcal{C}$ we have $\bigcap \mathcal{D} \in \mathcal{C}$
- For any $C, D \in \mathcal{C}$ we have $C \cup D \in \mathcal{C}$.
- $\varnothing \in \mathcal{C}$

In this case, \mathcal{O} is unique and is given by $\mathcal{O} = \{X - C : C \in \mathcal{C}\}.$

Definition 7.5 (Neighbourhood). Let X be a topological space, $Sx \in X$ and $U \subseteq X$. Then U is a *neighbourhood* of x, and x is an *interior* point of U, iff there exists an open set V such that $x \in V \subseteq U$.

Proposition 7.6. A set B is open if and only if it is a neighbourhood of each of its points.

Proposition 7.7. Let X be a set and $\mathcal{N}: X \to \mathcal{P}X$. Then there exists a topology \mathcal{O} on X such that, for all $x \in X$, we have \mathcal{N}_x is the set of neighbourhoods of x, if and only if:

- For all $x \in X$ and $N \in \mathcal{N}_x$ we have $x \in N$
- For all $x \in X$ we have $X \in \mathcal{N}_x$
- For all $x \in X$, $N \in \mathcal{N}_x$ and $V \subseteq \mathcal{P}X$, if $N \subseteq V$ then $V \in \mathcal{N}_x$
- For all $x \in X$ and $M, N \in \mathcal{N}_x$ we have $M \cap N \in \mathcal{N}_x$
- For all $x \in X$ and $N \in \mathcal{N}_x$, there exists $M \in \mathcal{N}_x$ such that $M \subseteq N$ and $\forall y \in M.M \in \mathcal{N}_y$.

In this case, \mathcal{O} is unique and is given by $\mathcal{O} = \{U : \forall x \in U.U \in \mathcal{N}_x\}.$

Definition 7.8 (Exterior Point). Let X be a topological space, $x \in X$ and $B \subseteq X$. Then x is an *exterior point* of B iff B - X is a neighbourhood of x.

Definition 7.9 (Boundary Point). Let X be a topological space, $x \in X$ and $B \subseteq X$. Then x is a boundary point of B iff it is neither an interior point nor an exterior point of B.

Definition 7.10 (Interior). Let X be a topological space and $B \subseteq X$. The *interior* of B, B° , is the set of all interior points of B.

Proposition 7.11. The interior of B is the union of all the open sets included in B.

Definition 7.12 (Closure). Let X be a topological space and $B \subseteq X$. The *closure* of B, \overline{B} , is the set of all points that are not exterior points of B.

Proposition 7.13. The closure of B is the intersection of all the closed sets that include B.

Proposition 7.14. A set B is open iff $X - B = \overline{X - B}$.

Proposition 7.15 (Kuratowski Closure Axioms). Let X be a set and $\neg: \mathcal{P}X \to \mathcal{P}X$. Then there exists a topology \mathcal{O} such that, for all $B \subseteq X$, \overline{B} is the closure of B, if and only if:

- $\overline{\varnothing} = \varnothing$
- For all $A \subseteq X$ we have $A \subseteq \overline{A}$
- For all $A \subseteq X$ we have $\overline{\overline{A}} = \overline{A}$
- For all $A, B \subseteq X$ we have $\overline{A \cup B} = \overline{A} \cup \overline{B}$

In this case, \mathcal{O} is unique and is defined by $\mathcal{O} = \{U : X - U = \overline{X - U}\}.$

7.1.1 Subspaces

Definition 7.16 (Subspace). Let X be a topological space and $X_0 \subseteq X$. The subspace topology on X_0 is $\{U \cap X_0 : U \text{ is open in } X\}$.

Example 7.17. The unit sphere S^2 is $\{x \in \mathbb{R}^3 : ||x|| = 1\}$ as a subspace of \mathbb{R}^3 .

7.1.2 Topological Disjoint Union

Definition 7.18. Let X and Y be topological spaces. The *disjoint union* is X + Y where $U \subseteq X + Y$ is open if and only if $\kappa_1^{-1}(U)$ is open in X and $\kappa_2^{-1}(U)$ is open in Y.

7.1.3 Product Topology

Definition 7.19 (Product Topology). Let $\{X_{\lambda}\}_{{\lambda}\in\Lambda}$ be a family of topological spaces. The *product topology* on $\prod_{{\lambda}\in\Lambda} X_{\lambda}$ is the coarsest topology such that every projection onto X_{λ} is continuous.

7.1.4 Bases

Definition 7.20 (Basis). Let X be a topological space. A *basis* for the topology on X is a set of open sets \mathcal{B} such that every open set is the union of a subset of \mathcal{B}

7.1.5 Subbases

Definition 7.21 (Subbasis). Let X be a topological space. A *subbasis* for the topology on X is a subset $S \subseteq \mathcal{P}X$ such that every open set is a union of finite intersections of S.

Definition 7.22 (Space with Basepoint). A space with basepoint is a pair (X, x) where X is a topological space and x : El(X).

7.1.6 Countability Axioms

Definition 7.23 (Neighbourhood Basis). Let X be a topological space and $x_0 : \text{El }(X)$. A neighbourhood basis of x_0 is a set \mathcal{U} of neighbourhoods of x_0 such that every neighbourhood of x_0 includes an element of \mathcal{U} .

Definition 7.24 (First Countable). A topological space is *first countable* iff every point has a countable neighbourhood basis.

Definition 7.25 (Second Countable). A topological space is *second countable* iff it has a countable basis.

Every second countable space is first countable.

A subspace of a first countable space is first countable.

A subspace of a second countable space is second countable.

 \mathbb{R}^n is second countable.

An uncountable discrete space is first countable but not second countable.

Proposition 7.26. Let $\{X_{\lambda}\}_{{\lambda}\in\Lambda}$ be a family of topological spaces such that no X_{λ} is indiscrete. If Λ is uncountable, then $\prod_{{\lambda}\in\Lambda}X_{\lambda}$ is not first countable.

Proof:

- $\langle 1 \rangle 1$. For all $\lambda : \text{El}(\Lambda)$, Pick U_{λ} open in X_{λ} such that $\emptyset \neq U_{\lambda} \neq X_{\lambda}$.
- $\langle 1 \rangle 2$. For all $\lambda : \text{El}(\lambda)$, PICK $x_{\lambda} \in U_{\lambda}$.
- $\langle 1 \rangle$ 3. Assume: for a contradiction B is a countable neighbourhood basis for $(x_{\lambda})_{{\lambda} \in {\Lambda}}$.
- $\langle 1 \rangle 4$. PICK $\lambda \in \Lambda$ such that, for all $U \in B$, we have $\pi_{\lambda}(U) = X_{\lambda}$
- $\langle 1 \rangle$ 5. There is no $U \in \lambda$ such that $U \subseteq \pi_{\lambda}^{-1}(U_{\lambda})$
- $\langle 1 \rangle 6$. Q.E.D.

PROOF: This is a contradiction.

П

7.2 Continuous Functions

Definition 7.27 (Continuous). Let X and Y be topological spaces. A function $f: X \to Y$ is *continuous* iff, for every open set V in Y, the inverse image $f^{-1}(V)$ is open in X.

Proposition 7.28. 1. id_X is continuous

- 2. The composite of two continuous functions is continuous.
- 3. If $f: X \to Y$ is continuous and $X_0 \subseteq X$ then $f \upharpoonright X_0 : X_0 \to Y$ is continuous.
- 4. If $f: X + Y \to Z$, then f is continuous iff $f \circ \kappa_1 : X \to Z$ and $f \circ \kappa_2 : Y \to Z$ are continuous.
- 5. If $f: Z \to X \times Y$, then f is continuous iff $\pi_1 \circ f$ and $\pi_2 \circ f$ are continuous.

Definition 7.29 (Homeomorphism). Let X and Y be topological spaces. A homeomorphism between X and Y is a bijection $f: X \approx Y$ such that f and f^{-1} are continuous.

Definition 7.30 (Retraction). Let X be a topological space and A a subspace of X. A continuous function $\rho: X \to A$ is a *retraction* iff $\rho \upharpoonright A = \mathrm{id}_A$. We say A is a *retract* of X iff there exists a retraction.

Definition 7.31. Let **Top** be the category of small topological spaces and continuous functions.

Forgetful functor $\mathbf{Top} \to \mathbf{Set}$.

Basepoint preserving continuous functor.

7.3 Convergence

Definition 7.32 (Convergence). Let X be a topological space. Let (x_n) be a sequence in X. A point a : El(X) is a *limit* of the sequence iff, for every neighbourhood U of a, there exists n_0 such that $\forall n \ge n_0.x_n \in U$.

Convergence in a product space is pointwise convergence.

If $f: X \to Y$ is continuous and $x_n \to l$ in X then $f(x_n) \to f(l)$ in Y.

Example 7.33. The converse does not hold.

Let X be the set of all continuous functions $[0,1] \to [-1,1]$ under the product topology. Let $i: X \to L^2([0,1])$ be the inclusion.

If $f_n \to f$ then $i(f_n) \to i(f)$ — Lebesgue convergence theorem.

We prove that i is not continuous.

Assume for a contradiction i is continuous. Choose a neighbourhood K of 0 in X such that $\forall \phi \in K_{\epsilon}$. $\int \phi^2 < 1/2$. Let $K = \prod_{\lambda \in [0,1]} U_{\lambda}$ where $U_{\lambda} = [-1,1]$ except for $\lambda = \lambda_1, \ldots, \lambda_n$. Let ϕ be the function that is 0 at $\lambda_1, \ldots, \lambda_n$ and 1 everywhere else. Then $\phi \in K$ but $\int \phi^2 = 1$.

Proposition 7.34. The converse does hold for first countable spaces. If $f: X \to Y$ where X is first countable, and Y is a topological space, and whenever $x_n \to x$ then $f(x_n) \to f(x)$, then f is continuous.

7.4 Connected Spaces

Definition 7.35 (Connected). A topological space is *connected* iff it is not the union of two nonempty open disjoint subsets.

Proposition 7.36. The continuous image of a connected space is connected.

Proposition 7.37. Let X be a topological space and $A, B \subseteq X$. If $X = A \cup B$, $A \cap B \neq \emptyset$, and A and B are connected, then X is connected.

Proposition 7.38. If X and Y are nonempty topological spaces, then $X \times Y$ is connected if and only if X and Y are connected.

Definition 7.39 (Path-connected). A topological space X is path-connected iff, for any points $a, b \in X$, there exists a continuous function $\alpha : [0, 1] \to X$, called a path, such that $\alpha(0) = a$ and $\alpha(1) = b$.

Proposition 7.40. The continuous image of a path connected space is path connected.

Proposition 7.41. Let X be a topological space and $A, B \subseteq X$. If $X = A \cup B$, $A \cap B \neq \emptyset$, and A and B are path connected, then X is path connected.

Proposition 7.42. If X and Y are nonempty topological spaces, then $X \times Y$ is path connected if and only if X and Y are path connected.

7.5 Hausdorff Spaces

Definition 7.43 (Hausdorff). A topological space is a *Hausdorff* space or a T_2 space iff any two distinct points have disjoint neighbourhoods.

Proposition 7.44. In a Hausdorff space, a sequence has at most one limit.

Proposition 7.45. 1. Every subspace of a Hausdorff space is Hausdorff.

- 2. The disjoint union of two Hausdorff spaces is Hausdorff.
- 3. The product of two Hausdorff spaces is Hausdorff.

Proposition 7.46. Let A be a topological space and B a Hausdorff space. Let $f, g: A \to B$ be continuous. Let $X \subseteq A$ be dense. If f and g agree on X, then f = g.

Proof:

- $\langle 1 \rangle 1$. Assume: for a contradiction $a \in A$ and $f(a) \neq g(a)$.
- $\langle 1 \rangle 2$. PICK disjoint neighbourhoods U and V of f(a) and g(a) respectively.
- $\langle 1 \rangle 3$. Pick $x \in f^{-1}(U) \cap g^{-1}(V)$
- $\langle 1 \rangle 4. \ f(x) = g(x) \in U \cap V$
- $\langle 1 \rangle$ 5. Q.E.D.

Proof: This is a contradiction.

Proposition 7.47. Let X and Y be metric spaces. Let $f: X \to Y$ be uniformly continuous. Let \hat{X} and \hat{Y} be the completions of X and Y. Then f extends uniquely to a continuous map $\hat{X} \to \hat{Y}$.

PROOF: The extension maps $\lim_{n\to\infty} x_n$ to $\lim_{n\to\infty} f(x_n)$. \square

7.6 Separable Spaces

Definition 7.48 (Separable). A topological space is *separable* iff it has a countable dense subset.

Every second countable space is separable.

7.7 Sequential Compactness

Definition 7.49 (Sequentially Compact). A topological space is *sequentially compact* iff every sequence has a convergent subsequence.

7.8 Compactness

Definition 7.50 (Compact). A topological space is *compact* iff every open cover has a finite subcover.

Proposition 7.51. Let X be a compact topological space. Let P be a set of open sets such that, for all $U, V \in P$, we have $U \cup V \in P$. Assume that every point has an open neighbourhood in P. Then $X \in P$.

Proof:

- $\langle 1 \rangle 1$. P is an open cover of X
- $\langle 1 \rangle 2$. Pick a finite subcover $U_1, \ldots, U_n \in P$
- $\langle 1 \rangle 3. \ X = U_1 \cup \cdots \cup U_n \in P$

Corollary 7.51.1. Let f be a compact space and $f: X \to \mathbb{R}$ be locally bounded. Then f is bounded.

PROOF: Take $P = \{U \text{ open in } X : f \text{ is bounded on } U\}$. \square

Proposition 7.52. The continuous image of a compact space is compact.

Proposition 7.53. A closed subspace of a compact space is compact.

Proposition 7.54. Let X and Y be nonempty spaces. Then the following are equivalent.

- 1. X and Y are compact.
- 2. X + Y is compact.
- 3. $X \times Y$ is compact.

Proposition 7.55. A compact subspace of a Hausdorff space is closed.

Proposition 7.56. A continuous bijection from a compact space to a Hausdorff space is a homeomorphism.

Proposition 7.57. A first countable compact space is sequentially compact.

7.9 Quotient Spaces

Definition 7.58 (Quotient Space). Let X be a topological space and \sim an equivalence relation on X. The *quotient topology* on X/\sim is defined by: U: $\mathrm{El}(\mathcal{P}X)$ is open in X/\sim if and only if $\pi^{-1}(U)$ is open in X.

Proposition 7.59. Let X and Y be topological spaces. Let \sim be an equivalence relation on X. Let $f: X/\sim \to Y$. Then f is continuous if and only if $f\circ \pi$ is continuous.

Proposition 7.60. Let X and Y be topological spaces. Let \sim be an equivalence relation on X. Let $\phi: Y \to X/\sim$.

Assume that, for all $y \in Y$, there exists a neighbourhood U of y and a continuous function $\Phi: U \to X$ such that $\pi \circ \Phi = \phi \upharpoonright U$. Then ϕ is continuous.

Proposition 7.61. A quotient of a connected space is connected.

Proposition 7.62. A quotient of a path connected space is path connected.

Proposition 7.63. Let X be a topological space and \sim an equivalence relation on X. If X/\sim is Hausdorff then every equivalence class of \sim is closed in X.

Definition 7.64. Let X be a topological space and $A_1, \ldots, A_r \subseteq X$. Then $X/A_1, \ldots, A_r$ is the quotient space of X with respect to \sim where $x \sim y$ iff x = y or $\exists i (x \in A_i \land y \in A_i)$.

Definition 7.65 (Cone). Let X be a topological space. The *cone over* X is the space $(X \times [0,1])/(X \times \{1\})$.

Definition 7.66 (Suspension). Let X be a topological space. The *suspension* of X is the space

$$\Sigma X := (X \times [-1,1])/(X \times \{-1\}), (X \times \{1\})$$

Definition 7.67 (Wedge Product). Let $x_0 \in X$ and $y_0 \in Y$. The wedge product $X \vee Y$ is $(X \times \{y_0\}) \cup (\{x_0\} \times Y)$ as a subspace of $X \times Y$.

Definition 7.68 (Smash Product). Let $x_0 \in X$ and $y_0 \in Y$. The *smash product* $X \wedge Y$ is $(X \times Y)/(X \vee Y)$.

Example 7.69. $D^n/S^{n-1} \cong S^n$

Proof:

 $\langle 1 \rangle 1$. Let: $\phi: D^n/S^{n-1} \to S^n$ be the function induced by the map $D^n \to S^n$ that maps the radii of D^n onto the meridians of S^n from the north to the south pole.

 $\langle 1 \rangle 2$. ϕ is a bijection.

 $\langle 1 \rangle 3$. ϕ is a homeomorphism.

PROOF: Since D^n/S^{n-1} is compact and S^n is Hausdorff.

7.10 Gluing

Definition 7.70 (Gluing). Let X and Y be topological spaces, $X_0 \subseteq X$ and $\phi: X_0 \to Y$ a continuous map. Then $Y \cup_{\phi} X$ is the quotient space $(X + Y) / \sim$, where \sim is the equivalence relation generated by $x \sim \phi(x)$ for all x : El(X).

Proposition 7.71. *Y* is a subspace of $Y \cup_{\phi} X$.

Definition 7.72. Let X be a topological space and $\alpha: X \cong X$ a homeomorphism. Then $(X \times [0,1])/\alpha$ is the quotient space of $X \times [0,1]$ by the equivalence relation generated by $(x,0) \sim (\alpha(x),1)$ for all $x: \mathrm{El}(X)$.

Definition 7.73 (Möbius Strip). The *Möbius strip* is $([-1,1] \times [0,1])/\alpha$ where $\alpha(x) = -x$.

Definition 7.74 (Klein Bottle). The *Klein bottle* is $(S^1 \times [0,1])/\alpha$ where $\alpha(z) = \overline{z}$.

Proposition 7.75. Let M be the Möbius strip and K the Klein bottle. Then $M \cup_{\mathrm{id}_{\partial M}} M \cong K$.

Proof:

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 \begin{array}{l} \langle 1 \rangle 1. \ \ \mathrm{Let:} \ \ f: ([-1,1] \times [0,1]) + ([-1,1] \times [0,1]) \to S^1 \times [0,1] \ \ \mathrm{be} \ \ \mathrm{the} \ \ \mathrm{function} \\ \ \ \ \ \mathrm{that} \ \ \mathrm{maps} \ \kappa_1(\theta,t) \ \ \mathrm{to} \ \ (e^{\pi i \theta/2},t) \ \ \mathrm{and} \ \ \kappa_2(\theta,t) \ \ \mathrm{to} \ \ (-e^{-\pi i \theta/2},t). \\ \langle 1 \rangle 2. \ \ f \ \ \mathrm{induces} \ \ \mathrm{a} \ \ \mathrm{bijection} \ \ M \cup_{\mathrm{id}_{\partial M}} M \approx K \\ \langle 1 \rangle 3. \ \ f \ \ \mathrm{is} \ \ \mathrm{a} \ \ \mathrm{homeomorphism}. \\ \hline \\ \end{array}
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7.11 Metric Spaces

Definition 7.76 (Metric Space). Let X be a set and $d: X^2 \to \mathbb{R}$. We say (X, d) is a *metric space* iff:

- For all $x, y \in X$ we have $d(x, y) \ge 0$
- For all $x, y \in X$ we have d(x, y) = 0 iff x = y
- For all $x, y \in X$ we have d(x, y) = d(y, x)
- (Triangle Inequality) For all $x, y, z \in X$ we have $d(x, z) \leq d(x, y) + d(y, z)$

We call d the *metric* of the metric space (X, d). We often write X for the metric space (X, d).

Definition 7.77 (Topology of a Metric Space). Let (X,d) be a metric space. The topology *induced* by the metric d is defined by: for $V \subseteq X$, we have V is open if and only if, for all $x \in V$, there exists $\epsilon > 0$ such that $\{y \in X : d(x,y) < \epsilon\} \subseteq V$.

Definition 7.78 (Metrizable). A topological space is *metrizable* iff there exists a metric that induces its topology.

Proposition 7.79. Every metrizable space is Hausdorff.

Every metrizable space is first countable.

A metric space is compact if and only if it is sequentially compact.

A metric space is separable if and only if it is second countable.

7.12 Complete Metric Spaces

Definition 7.80 (Complete). A metric space is *complete* iff every Cauchy sequence converges.

Example 7.81. \mathbb{R} is complete.

Proposition 7.82. The product of two complete metric spaces is complete.

Proposition 7.83. Every compact metric space is complete.

Proposition 7.84. Let X be a complete metric space and $A \subseteq X$. Then A is complete if and only if A is closed.

Definition 7.85 (Completion). Let X be a metric space. A *completion* of X is a complete metric space \hat{X} and injection $i: X \rightarrow \hat{X}$ such that:

- The metric on X is the restriction of the metric on \hat{X}
- X is dense in \hat{X} .

Proposition 7.86. Let $i_1: X \to Y_1$ and $i_2: X \to Y_2$ be completions of X. Then there exists a unique isometry $\phi: Y_1 \cong Y_2$ such that $\phi \circ i_1 = i_2$.

PROOF: Define $\phi(\lim_{n\to\infty} i_1(x_n)) = \lim_{n\to\infty} i_2(x_n)$. \square

Theorem 7.87. Every metric space has a completion.

PROOF: Let \hat{X} be the set of Cauchy sequences in X quotiented by \sim where $(x_n) \sim (y_n)$ if and only if $d(x_n, y_n) \to 0$. \square

7.13 Manifolds

Definition 7.88 (Manifold). An *n*-dimensional manifold is a second countable Hausdorff space locally homeomorphic to \mathbb{R}^n .

Homotopy Theory

8.1 Homotopies

Definition 8.1 (Homotopy). Let X and Y be topological spaces. Let $f, g: X \to Y$ be continuous. A *homotopy* between f and g is a continuous function $h: X \times [0,1] \to Y$ such that

- $\forall x : \text{El}(X) . h(x, 0) = f(x)$
- $\forall x : \text{El}(X) . h(x, 1) = g(x)$

We say f and g are *homotopic*, $f \simeq g$, iff there exists a homotopy between them. Let [X,Y] be the set of all homotopy classes of functions $X \to Y$.

Proposition 8.2. Let $f, f': X \to Y$ and $g, g': Y \to Z$ be continuous. If $f \simeq f'$ and $g \simeq g'$ then $g \circ f \simeq g' \circ f'$.

Definition 8.3. Let **HTop** be the category whose objects are the small topological spaces and whose morphisms are the homotopy classes of continuous functions.

Definition 8.4. A functor $F: \mathbf{Top} \to \mathcal{C}$ is homotopy invariant iff, for any topological spaces X, Y and continuous functions $f, g: X \to Y$, if $f \simeq g$ then Hf = Hg.

Basepoint-preserving homotopy.

8.2 Homotopy Equivalence

Definition 8.5 (Homotopy Equivalence). Let X and Y be topological spaces. A homotopy equivalence between X and Y, $f: X \simeq Y$, is a continuous function $f: X \to Y$ such that there exists a continuous function $g: Y \to X$, the homotopy inverse to f, such that $g \circ f \simeq \operatorname{id}_X$ and $f \circ g \simeq \operatorname{id}_Y$.

Definition 8.6 (Contractible). A topological space X is *contractible* iff $X \simeq 1$.

Example 8.7. \mathbb{R}^n is contractible.

Example 8.8. D^n is contractible.

Definition 8.9 (Deformation Retract). Let X be a topological space and A a subspace of X. A retraction $\rho: X \to A$ is a deformation retraction iff $i \circ \rho \simeq \mathrm{id}_X$, where i is the inclusion $A \to X$. We say A is a deformation retract of X iff there exists a deformation retraction.

Definition 8.10 (Strong Deformation Retract). Let X be a topological space and A a subspace of X. A strong deformation retraction $\rho: X \to A$ is a continuous function such that there exists a homotopy $h: X \times [0,1] \to X$ between $i \circ \rho$ and id_X such that, for all $a: \mathrm{El}(X)$ and $t: \mathrm{El}([0,1])$, we have h(a,t)=a.

We say A is a strong deformation retract of X iff a strong deformation retraction exists.

Example 8.11. $\{0\}$ is a strong deformation retract of \mathbb{R}^n and of D^n .

Example 8.12. S^1 is a strong deformation retract of the torus $S^1 \times D^2$.

Example 8.13. S^{n-1} is a strong deformation retract of $D^n - \{0\}$.

Example 8.14. For any topological space X, the singleton consisting of the vertex is a strong deformation retract of the cone over X.

Simplicial Complexes

Definition 9.1 (Simplex). A k-dimensional simplex or k-simplex in \mathbb{R}^n is the convex hull $s(x_0, \ldots, x_k)$ of k+1 points in general position.

Definition 9.2 (Face). A *sub-simplex* or *face* of $s(x_0, ..., x_k)$ is the convex hull of a subset of $\{x_0, ..., x_k\}$.

Definition 9.3 (Simplicial Complex). A *simplicial complex* in \mathbb{R}^n is a set K of simplices such that:

- for every simplex s in K, every face of s is in K.
- The intersection of two simplices $s_1, s_2 \in K$ is either empty or is a face of both s_1 and s_2 .
- K is locally finite, i.e. every point of \mathbb{R}^n has a neighbourhood that only intersects finitely many elements of K.

The topological space underlying K is $|K| = \bigcup K$ as a subspace of \mathbb{R}^n .

9.1 Cell Decompositions

Definition 9.4 (n-cell). An n-cell is a topological space homeomorphic to \mathbb{R}^n .

Definition 9.5 (Cell Decomposition). Let X be a topological space. A *cell decomposition* of X is a partition of X into subspaces that are n-cells.

Definition 9.6 (*n*-skeleton). Given a cell decomposition of X, the *n*-skeleton X^n is the union of all the cells of dimension $\leq n$.

9.2 CW-complexes

Definition 9.7 (CW-Complex). A *CW-complex* consists of a topological space X and a cell decomposition \mathcal{E} of X such that:

- 1. Characteristic Maps For every n-cell $e \in \mathcal{E}$, there exists a continuous map $\Phi_e: D^n \to X$ such that $\Phi((D^n)^\circ) = e$, the corestriction $\Phi_e: (D^n)^\circ \approx e$ is a homeomorphism, and $\Phi_e(S^n)$ is the union of all the cells in \mathcal{E} of dimension < n.
- 2. Closure Finiteness For all $e \in \mathcal{E}$, we have \overline{e} intersects only finitely many other cells in \mathcal{E} .
- 3. Weak Topology Given $A\subseteq X$, we have A is closed iff for all $e\in\mathcal{E},\ A\cap\overline{e}$ is closed.

Proposition 9.8. If a cell decomposition \mathcal{E} satisfies the Characteristic Maps axiom, then for every n-cell $e \in \mathcal{E}$ we have $\overline{e} = \Phi_e(D^n)$. Therefore \overline{e} is compact and $\overline{e} - e = \Phi_e(S^{n-1}) \subseteq X^{n-1}$.

Proof:

 $\langle 1 \rangle 1. \ e \subseteq \Phi_e(D^n) \subseteq \overline{e}$

Proof:

$$e = \Phi_e((D^n)^\circ)$$

$$\subseteq \Phi_e(D^n)$$

$$= \Phi_e(\overline{(D^n)^\circ})$$

$$\subseteq \overline{\Phi_e((D^n)^\circ)}$$

$$= \overline{e}$$

 $\langle 1 \rangle 2$. $\Phi_e(D^n)$ is compact.

PROOF: Because D^n is compact.

 $\langle 1 \rangle 3$. $\Phi_e(D^n)$ is closed.

$$\langle 1 \rangle 4. \ \Phi_e(D^n) = \overline{e}$$

Topological Groups

Definition 10.1 (Topological Group). A topological group is a group G with a topology such that the function $G^2 \to G$ that maps (x, y) to xy^{-1} is continuous.

Example 10.2. $GL(n,\mathbb{R})$ and $GL(n,\mathbb{C})$ are topological groups.

Proposition 10.3. Any subgroup of a topological group is a topological group under the subspace topology.

Definition 10.4 (Homogeneous Space). A homogeneous space is a topological space of the form G/H, where G is a topological group and H is a normal subgroup of G, under the quotient topology.

Proposition 10.5. Let G be a topological group and H a normal subgroup of G. Then G/H is Hausdorff if and only if H is closed.

Proof: See Bourbaki, N., General Topology. III.12

10.1 Continuous Actions

Definition 10.6 (Continuous Action). Let G be a topological group and X a topological space. A *continuous action* of G on X is a continuous function $\cdot: G \times X \to X$ such that:

- $\forall x : \text{El}(X) . ex = x$
- $\forall g, h : \text{El}(G) . \forall x : \text{El}(X) . g(hx) = (gh)x$

A G-space consists of a topological space X and a continuous action of G on X.

Definition 10.7 (Orbit). Let X be a G-space and $x \in X$. The *orbit* of x is $\{gx : g \in G\}$.

The *orbit space* X/G is the set of all orbits under the quotient topology.

Proposition 10.8. Define an action of SO(2) on S^2 by

$$g(x_1, x_2, x_3) = (g(x_1, x_2), x_3)$$
.

Then $S^2/SO(2) \cong [-1, 1]$.

Proof:

 $\langle 1 \rangle 1$. Let: $f_3: S^2/SO(2) \to [-1,1]$ be the function induced by $\pi_3: S^2 \to [-1,1]$

 $\langle 1 \rangle 2$. f_3 is bijective. $\langle 1 \rangle 3$. $S^2/SO(2)$ is compact.

PROOF: It is the continuous image of S^2 which is compact.

 $\langle 1 \rangle 4$. [-1,1] is Hausdorff.

 $\langle 1 \rangle 5$. f_3 is a homeomorphism.

Definition 10.9 (Stabilizer). Let X be a G-space and $x \in X$. The stabilizer of x is $G_x := \{g : \text{El}(G) \mid gx = x\}.$

Proposition 10.10. The function that maps gG_x to gx is a continuous bijection from G/G_x to Gx.

Proof:

 $\langle 1 \rangle 1$. If $gG_x = hG_x$ then gx = hx.

 $\langle 2 \rangle 1$. Assume: $gG_x = hG_x$

 $\langle 2 \rangle 2. \ g^{-1}h \in G_x$ $\langle 2 \rangle 3. \ g^{-1}hx = x$

 $\langle 2 \rangle 4$. gx = hx

 $\langle 1 \rangle 2$. If gx = hx then $gG_x = hG_x$.

Proof: Similar.

 $\langle 1 \rangle 3$. The function is continuous.

Proof: Proposition 7.59.

Topological Vector Spaces

Definition 11.1 (Topological Vector Space). Let K be either \mathbb{R} or \mathbb{C} . A topological vector space over K consists of a vector space E over K and a topology on E such that:

- Substraction is a continuous function $E^2 \to E$
- Multiplication is a continuous function $K \times E \to E$

Proposition 11.2. Every topological vector space is a topological group under addition.

PROOF: Immediate from the definition. \square

Theorem 11.3. The usual topology on a finite dimensional vector space over K is the only one that makes it into a Hausdorff topological vector space.

PROOF: See Bourbaki. Elements de Mathematique, Livre V: Espaces Vectoriels Topologiques, Th. 2, p. 18 \square

Proposition 11.4. Let E be a topological vector space and E_0 a subspace of E. Then $\overline{E_0}$ is a subspace of E.

Definition 11.5. Let E be a topological vector space. The topological space associated with E is $E/\{0\}$.

11.1 Cauchy Sequences

Definition 11.6 (Cauchy Sequence). Let E be a topological vector space. A sequence (x_n) in E is a *Cauchy sequence* iff, for every neighbourhood U of 0, there exists n_0 such that $\forall m, n \geq n_0.x_n - x_m \in U$.

Definition 11.7 (Complete Topological Vector Space). A topological vector space is *complete* iff every Cauchy sequence converges.

11.2 Seminorms

Definition 11.8 (Seminorm). Let E be a vector space over K. A *seminorm* on E is a function $\| \| : E \to \mathbb{R}$ such that:

- 1. $\forall x : \text{El}(E) . ||x|| \ge 0$
- 2. $\forall \alpha : \text{El}(K) . \forall x : \text{El}(E) . ||\alpha x|| = |\alpha||x||$
- 3. Triangle Inequality $\forall x, y : \text{El}(E) . ||x + y|| \le ||x|| + ||y||$

Example 11.9. The function that maps (x_1, \ldots, x_n) to $|x_i|$ is a seminorm on \mathbb{R}^n .

Definition 11.10. Let E be a vector space over K. Let Λ be a set of seminorms on E. The topology generated by Λ is the topology generated by the subbasis consisting of all sets of the form $B_{\epsilon}^{\lambda}(x) = \{y \in E : \lambda(y-x) < \epsilon\}$ for $\epsilon > 0, \lambda \in \Lambda$ and x : El(E).

Proposition 11.11. E is a topological vector space under this topology. It is Hausdorff iff, for all x : El(E), if $\forall \lambda \in \Lambda. \lambda(x) = 0$ then x = 0.

11.3 Fréchet Spaces

Definition 11.12 (Pre-Fréchet Space). A *pre-Fréchet space* is a Hausdorff topological vector space whose topology is generated by a countable set of seminorms.

Proposition 11.13. Let E be a pre-Fréchet space whose topology is generated by the family of seminorms $\{\| \|_n : n \in \mathbb{Z}^+ \}$. Then

$$d(x,y) = \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{\|x - y\|_n}{1 + \|x - y\|_n}$$

is a metric that induces the same topology. The two definitions of Cauchy sequence agree.

Definition 11.14 (Fréchet Space). A *Fréchet space* is a complete pre-Fréchet space.

11.4 Normed Spaces

Definition 11.15 (Normed Space). Let E be a vector space over K. A norm on E is a function $\| \ \| : E \to \mathbb{R}$ is a seminorm such that, $\forall x \in E. \|x\| = 0 \Leftrightarrow x = 0$. A normed space consists of a vector space with a norm.

Proposition 11.16. If E is a normed space then d(x,y) = ||x-y|| is a metric on E that makes E into a topological vector space. The two definitions of Cauchy sequence agree on E.

Proposition 11.17. Let $\| \ \|$ be a seminorm on the vector space E. Then $\| \ \|$ defines a norm on $E/\{0\}$.

Proposition 11.18. Let E and F be normed spaces. Any continuous linear map $E \to F$ is uniformly continuous.

Definition 11.19. For $p \ge 1$. let $\mathcal{L}^p(\mathbb{R}^n)$ be the vector space of all Lebesgue-measurable functions $f: \mathbb{R}^n \to \mathbb{R}$ such that $|f|^p$ is Lebesgue-integrable. Then

$$||f||_p := \sqrt{p} \int_{\mathbb{R}^n} |f(x)|^p dx$$

defines a seminorm on $\mathcal{L}^p(\mathbb{R}^n)$. Let

$$L^p(\mathbb{R}^n) := \mathcal{L}^p(\mathbb{R}^n)/\overline{\{0\}}$$
.

11.5 Inner Product Spaces

Proposition 11.20. If E is an inner product space then $||x|| = \sqrt{\langle x, x \rangle}$ is a norm on E.

11.6 Banach Spaces

Definition 11.21 (Banach Space). A *Banach space* is a complete normed space.

Example 11.22. For any topological space X, the set C(X) of bounded continuous functions $X \to \mathbb{R}$ is a Banach space under $||f|| = \sup_{x \in X} |f(x)|$.

Proposition 11.23. The completion of a normed space is a Banach space.

Proposition 11.24. Let E and F be normed spaces. Let $f: E \to F$ be a continuous linear map. Then the extension to the completions $\hat{E} \to \hat{F}$ is linear.

Proposition 11.25. $L^p(\mathbb{R}^n)$ is a Banach space.

Proposition 11.26. $C(\mathbb{R})$ is first countable but not second countable.

PROOF: For every sequence of 0s and 1s $s = (s_n)$, let f_s be a continuous bounded function whose value at n is s_n . Then the set of all f_s is an uncountable discrete set in $C(\mathbb{R})$. Hence $C(\mathbb{R})$ is not second countable. It is first countable because it is metrizable. \square

11.7 Hilbert Spaces

Definition 11.27 (Hilbert Space). A *Hilbert space* is a complete inner product space.

Example 11.28. The set of square-integrable functions is the set of Lebesgue integrable functions $[-\pi,\pi] \to \mathbb{R}$ quotiented by: $f \sim g$ iff $\{x \in [-\pi,\pi] : f(x) \neq g(x)\}$ has measure 0. This is a Hilbert space under

$$\langle f, g \rangle = \frac{1}{\pi} \int_{-\pi} \pi f(x) g(x) dx$$
.

Proposition 11.29. The completion of an inner product space is a Hilbert space.

An infinite dimensional Hilbert space with the weak topology is not first countable.

11.8 Locally Convex Spaces

Definition 11.30 (Locally Convex Space). A topological vector space is *locally convex* iff every neighbourhood of 0 includes a convex neighbourhood of 0.

Proposition 11.31. A topological vector space is locally convex if and only if its topology is generated by a set of seminorms.

PROOF: See Köthe, G. Topological Vector Spaces 1. Section 18.

Proposition 11.32. A locally convex topological vector space is a pre-Fréchet space if and only if it is metrizable.

PROOF: See Köthe, G. Topological Vector Spaces 1. Section 18.

Example 11.33. Let E be an infinite dimensional Hilbert space. Let E' be the same vector space under the *weak topology*, the coarsest topology such that every continuous linear map $E \to \mathbb{R}$ is continuous as a map $E' \to \mathbb{R}$. Then E is locally convex Hausdorff but not metrizable.

Proof: See Dieudonne, J. A., Treatise on Analysis, Vol. II, New York and London: Academic Press, 1970, p. 76.

Definition 11.34 (Thom Space). Let E be a vector bundle with a Riemannian metric, $DE = \{x : \text{El}(E) \mid ||x|| \le 1\}$ its disc bundle and $SE := \{v : \text{El}(E) \mid ||v|| = 1\}$ its sphere bundle. The *Thom space* of E is the quotient space DE/SE.