# Solutions Manual for Enderton $Elements\ of\ Set$ Theory

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# Chapter 1

# Chapter 1 — Introduction

# 1.1 Baby Set Theory

#### Exercise 1

- $\{\emptyset\} \in \{\emptyset, \{\emptyset\}\}\$  true
- $\{\emptyset\} \subseteq \{\emptyset, \{\emptyset\}\}$  true
- $\{\emptyset\} \in \{\emptyset, \{\{\emptyset\}\}\}\$  false
- $\{\emptyset\} \subseteq \{\emptyset, \{\{\emptyset\}\}\}\}$  true
- $\{\{\emptyset\}\}\in\{\emptyset,\{\emptyset\}\}$  false
- $\{\{\emptyset\}\}\subseteq\{\emptyset,\{\emptyset\}\}$  true
- $\{\{\emptyset\}\}\} \in \{\emptyset, \{\{\emptyset\}\}\}\}$  true
- $\{\{\emptyset\}\}\subseteq \{\emptyset, \{\{\emptyset\}\}\}\}$  false
- $\{\{\emptyset\}\}\subseteq\{\emptyset,\{\emptyset,\{\emptyset\}\}\}\}$  false

**Exercise 2** We have  $\emptyset \neq \{\emptyset\}$  because  $\{\emptyset\}$  has an element (namely  $\emptyset$ ) while  $\emptyset$  has no elements.

We have  $\emptyset \neq \{\{\emptyset\}\}$  because  $\{\{\emptyset\}\}$  has an element (namely  $\{\emptyset\}$ ) while  $\emptyset$  has no elements.

We have  $\{\emptyset\} \neq \{\{\emptyset\}\}$  because  $\emptyset \in \{\emptyset\}$  but  $\emptyset \notin \{\{\emptyset\}\}$ . This last fact is true because  $\emptyset \neq \{\emptyset\}$  as we proved in the first paragraph.

**Exercise 3** Assume  $B \subseteq C$ . Let  $A \in \mathcal{P}B$ ; we must show that  $A \in \mathcal{P}C$ .

We have  $A \subseteq B$  (since  $A \in \mathcal{P}B$ ) and  $B \subseteq C$ . From this it follows that  $A \subseteq C$  (every element of A is an element of B; every element of B is an element of C; therefore every element of A is an element of C). Hence  $A \in \mathcal{P}C$  as required.

**Exercise 4** Since  $x \in B$ , we have  $\{x\} \subseteq B$  and so  $\{x\} \in \mathcal{P}B$ .

Since  $x \in B$  and  $y \in B$ , we have  $\{x, y\} \subseteq B$  and so  $\{x, y\} \in \mathcal{P}B$ .

From these two facts, it follows that  $\{\{x\}, \{x,y\}\} \subseteq \mathcal{P}B$  and so  $\{\{x\}, \{x,y\}\} \in \mathcal{PP}B$ .

# 1.2 Sets — An Informal View

Exercise 5 We have

$$\begin{split} V_0 &= A \\ V_1 &= V_0 \cup \mathcal{P} V_0 \\ &= A \cup \mathcal{P} A \\ V_2 &= V_1 \cup \mathcal{P} V_1 \\ &= \{\emptyset, \{\emptyset\}\} \\ V_3 &= \mathcal{P} V_2 \\ &= \{\emptyset, \{\emptyset\}, \{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\} \} \end{split}$$

We have  $\emptyset \subseteq V_0$  and so  $\emptyset \in V_1$ . Therefore  $\{\emptyset\} \subseteq V_1$  and so  $\{\emptyset\} \in V_2$ . Hence  $\{\{\emptyset\}\} \subseteq V_2$ .

We also have  $\{\{\emptyset\}\} \nsubseteq V_0$  because  $\{\emptyset\}$  is not an atom, and  $\{\{\emptyset\}\} \nsubseteq V_1$  since  $\{\emptyset\} \notin V_1$  because  $\emptyset$  is not an atom.

Thus the rank of  $\{\{\emptyset\}\}\$  is 2.

Likewise we have  $\emptyset$  and  $\{\emptyset\}$  are both subsets of  $V_1$ , hence

$$\emptyset \in V_2, \quad \{\emptyset\} \in V_2$$

Thus  $\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\$  are all subsets of  $V_2$ , hence elements of  $V_3$ . Therefore,

$$\{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}\} \subseteq V_3$$

Now,  $\{\emptyset, \{\emptyset\}, \{\emptyset\}, \{\emptyset\}\}\}$  is not a subset of  $V_0$  (because  $\emptyset$  is not an atom.) It is not a subset of  $V_1$  ( $\{\emptyset\} \notin V_1$  because  $\emptyset$  is not an atom.) It is not a subset of  $V_2$  (we have  $\{\emptyset, \{\emptyset\}\} \notin V_2$  since  $\{\emptyset\} \notin V_1$ ).

Therefore the rank of  $\{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}\}$  is 3.

$$\begin{split} V_1 &= V_0 \cup \mathcal{P} V_0 \\ &= A \cup \mathcal{P} V_0 \\ V_2 &= V_1 \cup \mathcal{P} V_1 \\ &= A \cup \mathcal{P} V_0 \cup \mathcal{P} V_1 \\ &= A \cup \mathcal{P} V_1 \\ V_3 &= V_2 \cup \mathcal{P} V_2 \\ &= A \cup \mathcal{P} V_1 \cup \mathcal{P} V_2 \\ &= A \cup \mathcal{P} V_3 \\ &= A \cup \mathcal{P} V_3 \\ &= A \cup \mathcal{P} V_3 \\ &= A \cup \mathcal{P} V_2 \subseteq \mathcal{P} V_3 \text{ by Exercise 3} \end{split}$$

**Exercise 7** In Exercise 5 we calculated  $V_3 = \{\emptyset, \{\emptyset\}, \{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\}\}$  Hence

```
V_4 = \mathcal{P}V_3
   = \{\emptyset,
              \{\emptyset\},
              \{\{\emptyset\}\},
              \{\{\{\{\emptyset\}\}\}\},
              \{\{\emptyset,\{\emptyset\}\}\}\},
              \{\emptyset, \{\emptyset\}\},\
              \{\emptyset, \{\{\emptyset\}\}\},
              \{\emptyset, \{\emptyset, \{\emptyset\}\}\}\},\
              \{\{\emptyset\}, \{\{\emptyset\}\}\},\
              \{\{\emptyset\},\{\emptyset,\{\emptyset\}\}\}\},
              \{\{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\}\},\
              \{\emptyset,\{\emptyset\},\{\{\emptyset\}\}\},
              \{\emptyset,\{\emptyset\},\{\emptyset,\{\emptyset\}\}\},
              \{\emptyset, \{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\}\},
              \{\{\emptyset\}, \{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\}\},\
              \{\emptyset,\{\emptyset\},\{\{\emptyset\}\},\{\emptyset,\{\emptyset\}\}\}
           }
```

# Chapter 2

# Chapter 2 — Axioms and Operations

# 2.1 Arbitrary Unions and Intersections

**Exercise 1**  $A \cap B \cap C$  is the set of all integers that are divisible by 4, 9 and 10, which is the same as the set of all integers that are divisible by 180.

**Exercise 2** Take  $A = \emptyset$  and  $B = \{\emptyset\}$ . Then  $\bigcup A = \bigcup B = \emptyset$  but  $A \neq B$ . (There are many other possible answers.)

**Exercise 3** Let  $b \in A$ . We must show that  $b \subseteq \bigcup A$ .

Let x be any element of b. We must show that  $x \in \bigcup A$ . We know that  $x \in b$  and  $b \in A$ , and so  $x \in \bigcup A$  by the definition of  $\bigcup A$ .

**Exercise 4** Suppose  $A \subseteq B$ . Let  $x \in \bigcup A$ . We must show that  $x \in \bigcup B$ . Pick an element  $a \in A$  such that  $x \in a$ . Then  $a \in B$  because  $A \subseteq B$ . Since we know  $x \in a$  and  $a \in B$ , we know that  $x \in \bigcup B$ .

**Exercise 5** Assume that every member of  $\mathcal{A}$  is a subset of B. Let  $x \in \bigcup \mathcal{A}$ . We must show that  $x \in B$ .

Pick  $A \in \mathcal{A}$  such that  $x \in A$ . By our assumption, we have  $A \subseteq B$ . Since  $x \in A$  and  $A \subseteq B$ , we have  $x \in B$  as required.

#### Exercise 6

(a) We will show that  $\bigcup \mathcal{P}A \subseteq A$  and  $A \subseteq \bigcup \mathcal{P}A$ .

To show  $\bigcup \mathcal{P}A \subseteq A$ : This follows from Exercise 5, since every member of  $\mathcal{P}A$  is a subset of A.

To show  $A \subseteq \bigcup \mathcal{P}A$ : Let  $a \in A$ . Then we have  $a \in \{a\}$  and  $\{a\} \in \mathcal{P}A$  so  $a \in \bigcup \mathcal{P}A$ .

(b) To show  $A \subseteq \mathcal{P} \bigcup A$ : This holds because every element of A is a subset of  $\bigcup A$ , as we proved is Exercise 3.

Equality holds if and only if  $A = \mathcal{P}X$  for some set X.

Proof: If  $A = \mathcal{P} \bigcup A$  then of course  $A = \mathcal{P}X$  for some X.

Conversely, if  $A = \mathcal{P}X$ , then we have

$$\mathcal{P} \bigcup A = \mathcal{P} \bigcup \mathcal{P}X$$

$$= \mathcal{P}X \qquad \text{(by part (a))}$$

$$= A$$

#### Exercise 7

(a) For any set X,

$$X \in \mathcal{P}A \cap \mathcal{P}B$$

$$\Leftrightarrow X \subseteq A \text{ and } X \subseteq B$$

 $\Leftrightarrow$ Every member of X is a member of A and a member of B

$$\Leftrightarrow\!\! X\subseteq A\cap B$$

$$\Leftrightarrow X \in \mathcal{P}(A \cap B)$$

(b) Let  $X \in \mathcal{P}A \cup \mathcal{P}B$ . Then either  $X \in \mathcal{P}A$  or  $X \in \mathcal{P}B$  (or both). If  $X \in \mathcal{P}A$ , then we have  $X \subseteq A$  and so  $X \subseteq A \cup B$  (because  $A \subseteq A \cup B$ ). Similarly if  $X \in \mathcal{P}B$  then we have  $X \subseteq A \cup B$ . So in either case  $X \subseteq A \cup B$ , hence  $X \in \mathcal{P}(A \cup B)$ .

Equality holds if and only if either  $A \subseteq B$  or  $B \subseteq A$ .

Proof: Suppose  $A \subseteq B$ . Then  $\mathcal{P}A \subseteq \mathcal{P}B$  (Chapter 1 Exercise 3) and so  $\mathcal{P}A \cup \mathcal{P}B = \mathcal{P}B$ . Also  $A \cup B = B$  so  $\mathcal{P}(A \cup B) = \mathcal{P}B$ . Thus  $\mathcal{P}A \cup \mathcal{P}B$  and  $\mathcal{P}(A \cup B)$  are equal.

Similarly if  $B \subseteq A$  then  $\mathcal{P}A \cup \mathcal{P}B = \mathcal{P}(A \cup B)$ .

Conversely, suppose  $\mathcal{P}A \cup \mathcal{P}B = \mathcal{P}(A \cup B)$ . We have  $A \cup B \in \mathcal{P}(A \cup B)$ , so  $A \cup B \in \mathcal{P}A \cup \mathcal{P}B$ . If  $A \cup B \in \mathcal{P}A$ , then we have  $B \subseteq A \cup B \subseteq A$ . And if  $A \cup B \in \mathcal{P}B$ , then we have  $A \subseteq A \cup B \subseteq B$ .

**Exercise 8** If A is a set such that every singleton belongs to A, then every set belongs to  $\bigcup A$ , contradicting Theorem 2A.

**Exercise 9** Let  $a = \{\emptyset\}$  and  $B = \{\{\emptyset\}\}$ . Then  $a \in B$  but  $\mathcal{P}a$  is not a subset of B because  $\emptyset \in \mathcal{P}a$  and  $\emptyset \notin B$ .

**Exercise 10** We must show that  $\mathcal{P}a \subseteq \mathcal{P} \bigcup B$ . So let  $X \in \mathcal{P}a$ . Then  $X \subseteq a$ ; we must show that  $X \subseteq \bigcup B$ .

Let  $x \in X$ ; we must show that  $x \in \bigcup B$ . We have  $x \in a$  (because  $x \in X$  and  $X \subseteq a$ ) and  $a \in B$ , hence  $x \in \bigcup B$  as required.

# 2.2 Algebra of Sets

**Exercise 11** For any x we have

$$x \in (A \cap B) \cup (A - B) \Leftrightarrow (x \in A \& x \in B) \text{ or } (x \in A \& x \notin B)$$
  
 $\Leftrightarrow x \in A \& (x \in B \text{ or } x \notin B)$   
 $\Leftrightarrow x \in A$ 

Hence  $A = (A \cap B) \cup (A - B)$ .

For any x we have

$$x \in A \cup (B - A) \Leftrightarrow x \in A \text{ or } (x \in B \& x \notin A)$$
  
 $\Leftrightarrow x \in A \text{ or } x \in B$   
 $\Leftrightarrow x \in A \cup B$ 

Hence  $A \cup (B - A) = A \cup B$ .

Exercise 12 For any x,

$$\begin{split} x \in C - (A \cap B) &\Leftrightarrow x \in C\& \neg (x \in A\&x \in B) \\ &\Leftrightarrow x \in C\&(x \notin A \text{ or } x \notin B) \\ &\Leftrightarrow (x \in C\&x \notin A) \text{ or } (x \in C\&x \notin B) \\ &\Leftrightarrow x \in (C-A) \cup (C-B) \end{split}$$

**Exercise 13** Suppose  $A \subseteq B$ . Let  $x \in C - B$ ; we must show  $x \in C - A$ . We have  $x \in C$  and  $x \notin B$ . Therefore  $x \notin A$ , since every member of A is a member of B. And so we have  $x \in C - A$  as required.

**Exercise 14** Let 
$$A = \{\emptyset\}$$
,  $B = \emptyset$  and  $C = \{\emptyset\}$ . Then  $A - (B - C) = A - \emptyset = \{\emptyset\}$  while  $(A - B) - C = \{\emptyset\} - C = \emptyset$ .

## Exercise 15

(a) For any x we have the following eight possibilities:

```
x \notin A \cap (B+C)
                                                          x \notin (A \cap B) + (A \cap C)
x \in A
           x \in B
                      x \in C
x \in A
           x \in B
                      x \notin C
                                 x \in A \cap (B+C)
                                                          x \in (A \cap B) + (A \cap C)
x \in A
          x \notin B
                      x \in C
                                 x \in A \cap (B+C)
                                                          x \in (A \cap B) + (A \cap C)
x \in A
          x \notin B
                      x \notin C
                                 x \notin A \cap (B+C)
                                                          x \notin (A \cap B) + (A \cap C)
                      x \in C
                                                          x \notin (A \cap B) + (A \cap C)
                                 x \notin A \cap (B+C)
x \notin A
          x \in B
                      x \notin C
                                 x \notin A \cap (B+C)
                                                          x \notin (A \cap B) + (A \cap C)
           x \in B
          x \notin B
                      x \in C
                                 x \notin A \cap (B+C)
                                                          x \notin (A \cap B) + (A \cap C)
          x \notin B
                      x \notin C
                                 x \notin A \cap (B+C)
                                                          x \notin (A \cap B) + (A \cap C)
```

In every case, we have  $x \in A \cap (B+C) \Leftrightarrow x \in (A \cap B) + (A \cap C)$ .

(b) For any x we have the following eight possibilities:

` '			0 0 1	
$x \in A$	$x \in B$	$x \in C$	$x \in A + (B + C)$	$x \in (A+B)+C$
$x \in A$	$x \in B$	$x \notin C$	$x \notin A + (B+C)$	$x \notin (A+B)+C$
$x \in A$	$x \notin B$	$x \in C$	$x \notin A + (B+C)$	$x \notin (A+B)+C$
$x \in A$	$x \notin B$	$x \notin C$	$x \in A + (B + C)$	$x \in (A+B)+C$
$x \notin A$	$x \in B$	$x \in C$	$x \notin A + (B+C)$	$x \notin (A+B)+C$
$x \notin A$	$x \in B$	$x \notin C$	$x \in A + (B + C)$	$x \in (A+B)+C$
$x \notin A$	$x \notin B$	$x \in C$	$x \in A + (B + C)$	$x \in (A+B)+C$
$x \notin A$	$x \notin B$	$x \notin C$	$x \notin A + (B+C)$	$x \notin (A+B) + C$

In every case, we have  $x \in A + (B+C) \Leftrightarrow x \in (A+B) + C$ .

#### Exercise 16

$$[(A \cup B \cup C) \cap (A \cup B)] - [(A \cup (B - C)) \cap A] = (A \cup B) - A$$
  
= B - A

$$(a) \Leftrightarrow (b)$$

$$A\subseteq B\Leftrightarrow \text{Every element of }A$$
 is an element of  $B\Leftrightarrow A-B=\emptyset$ 

- (a)  $\Rightarrow$  (c) Suppose  $A \subseteq B$ . We have  $B \subseteq A \cup B$  from the definition of  $A \cup B$ ; we must prove that  $A \cup B \subseteq B$ . So let  $x \in A \cup B$ . Then  $x \in A$  or  $x \in B$ . But in either case  $x \in B$ , since  $x \in A \Rightarrow x \in B$ . Thus we have  $x \in B$  as required.
- (c)  $\Rightarrow$  (a) We always have  $A \subseteq A \cup B$ . So if  $A \cup B = B$  then we have  $A \subseteq B$ .
- (a)  $\Rightarrow$  (d) Suppose  $A \subseteq B$ . We have  $A \cap B \subseteq A$  from the definition of  $A \cap B$ ; we must prove that  $A \subseteq A \cap B$ . So let  $x \in A$ . Then  $x \in B$  since  $A \subseteq B$ , hence  $x \in A \cap B$  as required.

(d)  $\Rightarrow$  (a) We always have  $A \cap B \subseteq B$ . So if  $A \cap B = A$  then  $A \subseteq B$ .

Exercise 18 We can make the following 16 sets:

- $\emptyset$  (= A A)
- $\bullet$  A-B
- $A \cap B$
- $\bullet$  B-A
- $S (A \cup B)$
- A
- $\bullet$  A+B
- S − B
- B
- S (A + B)
- $\bullet$  S-A
- $\bullet$   $A \cup B$
- S (B A)
- $S (A \cap B)$
- S (A B)

**Exercise 19** They are never equal, because for all A, B, we have  $\emptyset \in \mathcal{P}(A-B)$  but  $\emptyset \notin \mathcal{P}A - \mathcal{P}B$  since  $\emptyset \in \mathcal{P}B$ .

**Exercise 20** Assume  $A \cup B = A \cup C$  and  $A \cap B = A \cap C$ .

We first show  $B \subseteq C$ . Let  $x \in B$ ; we show  $x \in C$ . We have  $x \in A \cup B = A \cup C$ , so either  $x \in A$  or  $x \in C$ . If  $x \in C$ , we are done. If  $x \in A$ , then we have  $x \in A \cap B = A \cap C$ , and so  $x \in C$  in this case too.

We can show  $C \subseteq B$  similarly. Hence B = C.

**Exercise 21** For any x, we have

 $x \in \bigcup (A \cup B) \Leftrightarrow \text{there exists } C \text{ such that } C \in A \cup B \text{ and } x \in C$ 

 $\Leftrightarrow$  there exists  $C \in A$  such that  $x \in C$ , or there exists  $C \in B$  such that  $x \in C$ 

$$\Leftrightarrow x \in \bigcup A \cup \bigcup B$$

#### **Exercise 22** For any x, we have

$$x \in \bigcap (A \cup B) \Leftrightarrow \text{for all } C, \text{ if } C \in A \text{ or } C \in B \text{ then } x \in C$$
  
  $\Leftrightarrow \text{ for all } C \in A \text{ we have } x \in C, \text{ and for all } C \in B \text{ we have } x \in C$   
  $\Leftrightarrow x \in \bigcap A \cap \bigcap B$ 

# Exercise 23 PROOF:

- $\langle 1 \rangle 1. \ A \subseteq \bigcap \{A \cup X \mid X \in \mathcal{B}\}\$ 
  - $\langle 2 \rangle 1$ . Let:  $x \in A$
  - $\langle 2 \rangle 2$ . Let:  $X \in \mathcal{B}$
  - $\langle 2 \rangle 3. \ x \in A \cup X$
- $\langle 1 \rangle 2. \cap \mathcal{B} \subseteq \bigcap \{A \cup X \mid X \in \mathcal{B}\}\$ 
  - $\langle 2 \rangle 1$ . Let:  $x \in \bigcap \mathcal{B}$
  - $\langle 2 \rangle 2$ . Let:  $X \in \mathcal{B}$
  - $\langle 2 \rangle 3. \ x \in X$
  - $\langle 2 \rangle 4. \ x \in A \cup X$
- $\langle 1 \rangle 3. \cap \{A \cup X \mid X \in \mathcal{B}\} \subseteq A \cup \cap \mathcal{B}$ 
  - $\langle 2 \rangle 1$ . Let:  $x \in \bigcap \{A \cup X \mid X \in \mathcal{B}\}$
  - $\langle 2 \rangle 2$ . Assume:  $x \notin A$ 
    - Prove:  $x \in \bigcap \mathcal{B}$
  - $\langle 2 \rangle 3$ . Let:  $X \in \mathcal{B}$
  - $\langle 2 \rangle 4. \ x \in A \cup X$
  - $\langle 2 \rangle 5. \ x \in X$

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#### Exercise 24

(a)

$$\begin{split} Y \in \mathcal{P} \bigcap \mathcal{A} \Leftrightarrow Y \subseteq \bigcap \mathcal{A} \\ \Leftrightarrow \forall y \in Y. \forall X \in \mathcal{A}. y \in X \\ \Leftrightarrow \forall X \in \mathcal{A}. \forall y \in Y. y \in X \\ \Leftrightarrow \forall X \in \mathcal{A}. Y \in \mathcal{P}X \\ \Leftrightarrow Y \in \bigcap \{\mathcal{P}X \mid X \in \mathcal{A}\} \end{split}$$

# (b) $\bigcup \{ \mathcal{P}X \mid X \in \mathcal{A} \} \subseteq \mathcal{P} \bigcup \mathcal{A}$

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $Y \in \bigcup \{ \mathcal{P}X \mid X \in \mathcal{A} \}$
- $\langle 1 \rangle 2$ . PICK  $X \in \mathcal{A}$  such that  $Y \in \mathcal{P}X$
- $\langle 1 \rangle 3. \ Y \subseteq X$
- $\langle 1 \rangle 4. \ Y \subseteq \bigcup \mathcal{A}$
- $\langle 1 \rangle 5. \ Y \in \mathcal{P} \bigcup \mathcal{A}$

```
Equality holds if and only if \bigcup A \in A.
```

```
\begin{split} &\langle 1 \rangle 1. \text{ If } \bigcup \{ \mathcal{P}X \mid X \in \mathcal{A} \} = \mathcal{P} \bigcup \mathcal{A} \text{ then } \bigcup \mathcal{A} \in \mathcal{A} \\ &\langle 2 \rangle 1. \text{ Assume: } \bigcup \{ \mathcal{P}X \mid X \in \mathcal{A} \} = \mathcal{P} \bigcup \mathcal{A} \\ &\langle 2 \rangle 2. \bigcup \mathcal{A} \in \bigcup \{ \mathcal{P}X \mid X \in \mathcal{A} \} \\ &\langle 2 \rangle 3. \text{ PICK } X \in \mathcal{A} \text{ such that } \bigcup \mathcal{A} \in \mathcal{P}X \\ &\langle 2 \rangle 4. X = \bigcup \mathcal{A} \\ &\langle 1 \rangle 2. \text{ If } \bigcup \mathcal{A} \in \mathcal{A} \text{ then } \bigcup \{ \mathcal{P}X \mid X \in \mathcal{A} \} = \mathcal{P} \bigcup \mathcal{A} \\ &\text{PROOF: If } \bigcup \mathcal{A} \in \mathcal{A} \text{ then } \mathcal{P} \bigcup \mathcal{A} \in \{ \mathcal{P}X \mid X \in \mathcal{A} \}. \end{split}
```

**Exercise 25** We have  $A \cup \bigcup \mathcal{B} = \bigcup \{A \cup X \mid X \in \mathcal{B}\}$  if and only if  $A = \emptyset$  or  $\mathcal{B} \neq \emptyset$ 

⟨1⟩1. If 
$$A \cup \bigcup \mathcal{B} = \bigcup \{A \cup X \mid X \in \mathcal{B}\}$$
 then  $A = \emptyset$  or  $\mathcal{B} \neq \emptyset$  Proof: If  $A \cup \bigcup \mathcal{B} = \bigcup \{A \cup X \mid X \in \mathcal{B}\}$  and  $\mathcal{B} = \emptyset$  then  $A \cup \bigcup \emptyset = \bigcup \emptyset$  ∴  $A = \emptyset$  ⟨1⟩2. If  $A = \emptyset$  then  $A \cup \bigcup \mathcal{B} = \bigcup \{A \cup X \mid X \in \mathcal{B}\}$  Proof: Both sides are equal to  $\bigcup \mathcal{B}$  ⟨1⟩3. If  $\mathcal{B} \neq \emptyset$  then  $A \cup \bigcup \mathcal{B} = \bigcup \{A \cup X \mid X \in \mathcal{B}\}$  ⟨2⟩1. Assume:  $\mathcal{B} \neq \emptyset$  ⟨2⟩2.  $A \cup \bigcup \mathcal{B} \subseteq \bigcup \{A \cup X \mid X \in \mathcal{B}\}$  ⟨3⟩1. Let:  $x \in A \cup \bigcup \mathcal{B}$  Prove:  $x \in \bigcup \{A \cup X \mid X \in \mathcal{B}\}$  ⟨3⟩2. Case:  $x \in A$  ⟨4⟩1. Pick  $X \in \mathcal{B}$  Proof: By ⟨2⟩1 ⟨4⟩2.  $x \in A \cup X$  ⟨3⟩3. Case:  $x \in \bigcup \mathcal{B}$  ⟨4⟩1. Pick  $X \in \mathcal{B}$  such that  $x \in X$  ⟨4⟩2.  $x \in A \cup X$  ⟨2⟩3.  $\bigcup \{A \cup X \mid X \in \mathcal{B}\} \subseteq A \cup \bigcup \mathcal{B}$  ⟨3⟩1. Let:  $x \in \bigcup \{A \cup X \mid X \in \mathcal{B}\}$ 

# 2.3 Review Exercises

 $\langle 3 \rangle 4. \ A \cup X \subseteq A \cup \bigcup \mathcal{B}$  $\langle 3 \rangle 5. \ x \in A \cup \bigcup \mathcal{B}$ 

 $\langle 3 \rangle 3. \ X \subseteq \bigcup \mathcal{B}$ 

 $\langle 3 \rangle 2$ . Pick  $X \in \mathcal{B}$  such that  $x \in A \cup X$ 

**Exercise 26** Sets A, B, D and F are all equal to each other. Sets C, E and G are equal to each other. None of the first list is equal to any of the second list.

**Exercise 27** Take  $A = \{\{0\}, \{1\}\}$  and  $B = \{\{1\}\}$ . Then  $A \cap B = \{\{1\}\}$  and

$$\bigcap A \cap \bigcap B = \emptyset \cap \{1\}$$

$$= \emptyset$$

$$\bigcap (A \cap B) = \bigcap \{\{1\}\}$$

$$= \{1\}$$

## Exercise 28

#### Exercise 29

- (a) ∅
- (b) We have

$$\{\emptyset\} \subseteq \mathcal{P}\{\emptyset\}$$

$$\therefore \mathcal{P}\{\emptyset\} \subseteq \mathcal{PP}\{\emptyset\}$$

$$\{\emptyset\} \subseteq \mathcal{PP}\{\emptyset\}$$

$$\therefore \mathcal{P}\{\emptyset\} \subseteq \mathcal{PPP}\{\emptyset\}$$

$$\therefore \mathcal{P}\{\emptyset\}, \mathcal{PP}\{\emptyset\}, \mathcal{P}\{\emptyset\}\} = \mathcal{PPP}\{\emptyset\} \cap \mathcal{PP}\{\emptyset\} \cap \mathcal{P}\{\emptyset\}$$

$$= \mathcal{P}\{\emptyset\}$$

$$= \{\emptyset, \{\emptyset\}\}$$

## Exercise 30

- (a)  $\{\emptyset, \{\{\emptyset\}\}, \{\{\{\emptyset\}\}\}, \{\{\emptyset\}, \{\{\emptyset\}\}\}\}\}$
- **(b)**  $\{\emptyset, \{\emptyset\}\}$
- (c)  $\{\emptyset, \{\emptyset\}, \{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\}\}$
- (d)  $\{\{\emptyset\}, \{\{\emptyset\}\}\}$

- (a)  $\{1, 2, 3, \emptyset\}$
- **(b)** ∅

- **(c)** ∅
- (d) ∅

#### Exercise 32

- (a)  $a \cup b$
- **(b)** *a*
- (c)

$$\bigcap \bigcup S \cup (\bigcup \bigcup S - \bigcup \bigcap S) = (a \cap b) \cup ((a \cup b) - a)$$
$$= (a \cap b) \cup (b - a)$$
$$= b$$

**Exercise 33** When  $a \neq b$ :

$$\bigcup(\bigcup S - \bigcap S) = \bigcup(\{a, b\} - \{a\})$$
$$= \bigcup\{b\}$$
$$= b$$

When a = b:

$$\bigcup(\bigcup S - \bigcap S) = \bigcup(\{a, b\} - \{a\})$$
$$= \bigcup \emptyset$$
$$= \emptyset$$

**Exercise 34** For any set S, we have

$$\begin{split} \emptyset \subseteq \mathcal{P}S \\ \therefore \emptyset \in \mathcal{PP}S \\ \emptyset \subseteq S \\ \therefore \emptyset \in \mathcal{P}S \\ \therefore \{\emptyset\} \subseteq \mathcal{P}S \\ \therefore \{\emptyset\} \in \mathcal{PP}S \\ \therefore \{\emptyset, \{\emptyset\}\} \subseteq \mathcal{PP}S \\ \therefore \{\emptyset, \{\emptyset\}\} \in \mathcal{PPP}S \end{split}$$

#### **Exercise 35** Assume PA = PB. Then we have

$$A \in \mathcal{P}A$$

$$\therefore A \in \mathcal{P}B$$

$$\therefore A \subseteq B$$

$$B \in \mathcal{P}B$$

$$\therefore B \in \mathcal{P}A$$

$$\therefore B \subseteq A$$

$$\therefore A = B$$

#### Exercise 36

$$x \in A - (A \cap B) \Leftrightarrow x \in A \& \neg (x \in A \& x \in B)$$
$$\Leftrightarrow x \in A \& x \notin B$$
$$\Leftrightarrow x \in A - B$$

$$x \in A - (A - B) \Leftrightarrow x \in A \& \neg (x \in A \& x \notin B)$$
$$\Leftrightarrow x \in A \& x \in B$$
$$\Leftrightarrow x \in A \cap B$$

$$x \in (A \cup B) - C \Leftrightarrow (x \in A \text{ or } x \in B) \& x \notin C$$
  
  $\Leftrightarrow (x \in A \& x \notin C) \text{ or } (x \in B \& x \notin C)$   
  $\Leftrightarrow x \in (A - C) \cup (B - C)$ 

$$\begin{aligned} x \in A - (B - C) &\Leftrightarrow x \in A \ \& \neg (x \in B \ \& \ x \notin C) \\ &\Leftrightarrow x \in A \ \& (x \notin B \ \text{or} \ x \in C) \\ &\Leftrightarrow (x \in A \ \& \ x \notin B) \ \text{or} \ (x \in A \ \& \ x \in C) \\ &\Leftrightarrow x \in (A - B) \cup (A \cap C) \end{aligned}$$

$$x \in (A - B) - C \Leftrightarrow x \in A \& x \notin B \& x \notin C$$
$$\Leftrightarrow x \in A \& \neg (x \in B \lor x \in C)$$
$$\Leftrightarrow x \in A - (B \cup C)$$

- (a) If every element of A is an element of C, and every element of B is an element of C, then everything that is an element of either A or B is an element of C.
- (b) If every element of C is an element of A, and every element of C is an element of B, then every element of C is an element of both A and B.

# Chapter 3

# Chapter 3 — Relations and Functions

## 3.1 Ordered Pairs

```
Exercise 1 We have (0,1,0)^* = (0,1,1)^* = \{\{0\},\{0,1\}\}.
```

#### Exercise 2

(a)

```
\begin{split} z \in A \times (B \cup C) \\ \Leftrightarrow \exists x, y (z = (x, y) \ \& \ x \in A \ \& \ (y \in B \ \text{or} \ y \in C)) \\ \Leftrightarrow \exists x, y (z = (x, y) \ \& \ x \in A \ \& \ y \in B) \ \text{or} \ (z = (x, y) \ \& \ x \in A \ \& \ y \in C) \\ \Leftrightarrow z \in (A \times B) \cup (A \times C) \end{split}
```

(b)

- $\langle 1 \rangle 1$ . Assume:  $A \times B = A \times C$  and  $A \neq \emptyset$
- $\langle 1 \rangle 2$ . Pick  $a \in A$
- $\langle 1 \rangle 3$ . For all  $x, x \in B \Leftrightarrow x \in C$

PROOF:  $x \in B$  iff  $(a, x) \in A \times B$  iff  $(a, x) \in A \times C$  iff  $x \in C$ .

$$\begin{split} z \in A \times \bigcup \mathcal{B} \\ \Leftrightarrow \exists x, y (z = (x, y) \ \& \ x \in A \ \& \ \exists X \in \mathcal{B}.y \in X) \\ \Leftrightarrow \exists X \in \mathcal{B}. \exists x, y (z = (x, y) \ \& \ x \in A \ \& \ y \in X) \\ \Leftrightarrow z \in \bigcup \{A \times X : X \in \mathcal{B}\} \end{split}$$

**Exercise 4** If every ordered pair belongs to A then every set belongs to  $\bigcup \bigcup A$  contradicting Theorem 2A.

#### Exercise 5

(a) Apply a Subset Axiom to  $\mathcal{P}(A \times B)$ : we have  $C = \{z \in \mathcal{P}(A \times B) \mid \exists x \in A.z = \{x\} \times B\}.$ 

(b)

$$z \in \bigcup C$$
  

$$\Leftrightarrow \exists x \in A.z \in \{x\} \times B$$
  

$$\Leftrightarrow \exists x \in A.\exists y \in B.z = (x,y)$$
  

$$\Leftrightarrow z \in A \times B$$

# 3.2 Relations

**Exercise 6** If  $A \subseteq \text{dom } A \times \text{ran } A$  then A is a set of ordered pairs, i.e. a relation

Conversely, suppose A is a relation. Let  $z \in A$ . Then z is an ordered pair; let z = (x, y). We have  $x \in \text{dom } A$  and  $y \in \text{ran } A$  and so  $z \in \text{dom } A \times \text{ran } A$  as required.

**Exercise 7** We have fld  $R \subseteq \bigcup \bigcup R$  by Lemma 3D.

Conversely, let  $x \in \bigcup \bigcup R$ . Pick a and b such that  $x \in a$ ,  $a \in b$  and  $b \in R$ . Then b is an ordered pair; let b = (y, z). We have  $a = \{y\}$  or  $\{y, z\}$ , hence x = y or x = z. In either case,  $x \in \operatorname{fld} R$ .

#### Exercise 8

(a)

$$\begin{split} x &\in \mathrm{dom} \bigcup \mathcal{A} \\ \Leftrightarrow &\exists y. \exists R \in \mathcal{A}. (x,y) \in R \\ \Leftrightarrow &\exists R \in \mathcal{A}. \exists y. (x,y) \in R \\ \Leftrightarrow &x \in \bigcup \{\mathrm{dom}\, R : R \in \mathcal{A}\} \end{split}$$

(b)

$$y \in \operatorname{ran} \bigcup \mathcal{A}$$
  

$$\Leftrightarrow \exists x. \exists R \in \mathcal{A}. (x, y) \in R$$
  

$$\Leftrightarrow \exists R \in \mathcal{A}. \exists x. (x, y) \in R$$
  

$$\Leftrightarrow y \in \bigcup \{ \operatorname{ran} R : R \in \mathcal{A} \}$$

**Exercise 9** Assume  $\mathcal{A}$  is nonempty. We have dom  $\bigcap \mathcal{A} \subseteq \bigcap \{ \text{dom } R : R \in \mathcal{A} \}$ . PROOF:

$$x \in \text{dom} \bigcap \mathcal{A}$$
  

$$\Leftrightarrow \exists y. \forall R \in \mathcal{A}. (x, y) \in R$$
  

$$\Rightarrow \forall R \in \mathcal{A}. \exists y. (x, y) \in R$$
  

$$\Leftrightarrow x \in \bigcap \{\text{dom} R : R \in \mathcal{A}\}$$

Equality holds iff the middle ' $\Rightarrow$ ' can be reversed, i.e. iff for all x, if  $\forall R \in \mathcal{A}.\exists y.(x,y) \in R$  then  $\exists y.\forall R \in \mathcal{A}.(x,y) \in R$ . I haven't found a simpler condition than this. The condition does not always hold, for example if  $\mathcal{A} = \{\{(1,2)\}, \{(1,3)\}\}$  then dom  $\bigcap \mathcal{A} = \emptyset$  while  $\bigcap \{\text{dom } R : R \in \mathcal{A}\} = \{1\}$ .

Similarly, ran  $\bigcap \mathcal{A} \subseteq \bigcap \{ \operatorname{ran} R : R \in \mathcal{A} \}$ , and equality holds iff, for any y, if  $\forall R \in \mathcal{A}. \exists x. (x,y) \in R$  then  $\exists x. \forall R \in \mathcal{A}. (x,y) \in R$ .

# 3.3 *n*-ary Relations

**Exercise 10** This follows from the equations at the top of page 42. An ordered 4-tuple  $\langle a, b, c, d \rangle$  is also an ordered 1-tuple (because every set is), and the ordered pair  $\langle \langle a, b, c \rangle, d \rangle$ , and the ordered triple  $\langle \langle a, b \rangle, c, d \rangle$ .

## 3.4 Functions

**Exercise 11** We prove  $F \subseteq G$ . Let  $z \in F$ . Since F is a relation, then z is an ordered pair; let  $z = \langle x, y \rangle$ . We have  $x \in \text{dom } F$  and y = F(x). Therefore  $x \in \text{dom } G$  and y = G(x) (because dom F = dom G and F(x) = G(x)). Hence  $\langle x, y \rangle \in G$ , i.e.  $z \in G$ .

We have proved  $F \subseteq G$ . We can prove  $G \subseteq F$  similarly. Thus F = G.

Exercise 12 Proof:

- $\langle 1 \rangle 1.$  If  $f \subseteq g$  then  $\operatorname{dom} f \subseteq \operatorname{dom} g$  and  $\forall x \in \operatorname{dom} f.f(x) = g(x)$ 
  - $\langle 2 \rangle 1$ . Assume:  $f \subseteq g$
  - $\langle 2 \rangle 2$ . Let:  $x \in \text{dom } f$
  - $\langle 2 \rangle 3. \ (x, f(x)) \in f$
  - $\langle 2 \rangle 4. \ (x, f(x)) \in g$
  - $\langle 2 \rangle 5$ .  $x \in \text{dom } g \text{ and } g(x) = f(x)$

```
\langle 1 \rangle 2. If dom f = \text{dom } g and \forall x \in \text{dom } f.f(x) = g(x) then f \subseteq g
    \langle 2 \rangle 1. Assume: dom f = \text{dom } g \text{ and } \forall x \in \text{dom } f.f(x) = g(x)
   \langle 2 \rangle 2. Let: z \in f
   \langle 2 \rangle 3. Let: z = (x, y)
   \langle 2 \rangle 4. x \in \text{dom } f \text{ and } y = f(x)
   \langle 2 \rangle 5. x \in \text{dom } g \text{ and } y = g(x)
   \langle 2 \rangle 6. \ z = (x, y) \in g
Exercise 13 Proof:
\langle 1 \rangle 1. Assume: f and g are functions
\langle 1 \rangle 2. Assume: f \subseteq g
\langle 1 \rangle 3. Assume: dom g \subseteq \text{dom } f
\langle 1 \rangle 4. dom f = \text{dom } g
   PROOF: We have dom f \subseteq \text{dom } g \text{ from } \langle 1 \rangle 2 \text{ and dom } g \subseteq \text{dom } f \text{ from } \langle 1 \rangle 3
\langle 1 \rangle 5. For x \in \text{dom } f we have f(x) = g(x)
   PROOF: From \langle 1 \rangle 2 and Exercise 12
\langle 1 \rangle 6. Q.E.D.
   PROOF: From Exercise 11.
Exercise 14
     (a) If (x,y) and (x,z) are members of f \cap g then they are both members
of f, hence y = z.
(b) Proof:
\langle 1 \rangle 1. If f \cup g is a function then, for all x \in \text{dom } f \cap \text{dom } g, we have f(x) = g(x).
   \langle 2 \rangle 1. Assume: f \cup g is a function.
   \langle 2 \rangle 2. Let: x \in \text{dom } f \cap \text{dom } g
   \langle 2 \rangle 3. (x, f(x)) and (x, g(x)) are both elements of f \cup g
   \langle 2 \rangle 4. f(x) = g(x)
\langle 1 \rangle 2. If, for all x \in \text{dom } f \cap \text{dom } g, we have f(x) = g(x), then f \cup g is a function.
   \langle 2 \rangle 1. Assume: For all x \in \text{dom } f \cap \text{dom } g, we have f(x) = g(x)
   \langle 2 \rangle 2. f \cup g is a relation.
       PROOF: Since every element of either f or g is an ordered pair.
   \langle 2 \rangle 3. Whenever (x,y) and (x,z) are elements of f \cup g we have y=z
       \langle 3 \rangle 1. Let: (x,y),(x,z) \in f \cup g
       \langle 3 \rangle 2. Case: (x,y),(x,z) \in f
          PROOF: Then y = z since f is a function.
       \langle 3 \rangle 3. Case: (x,y) \in f, (x,z) \in g
          PROOF: Then y = z by \langle 2 \rangle 1
       \langle 3 \rangle 4. Case: (x,y) \in g, (x,z) \in f
          PROOF: Then y = z by \langle 2 \rangle 1
       \langle 3 \rangle 5. Case: (x,y),(x,z) \in g
```

PROOF: Then y = z since g is a function.

## Exercise 15 PROOF:

 $\langle 1 \rangle 1$ .  $\bigcup \mathcal{A}$  is a relation.

PROOF: Since every member of A is a relation.

- $\langle 1 \rangle 2$ . Whenever (x,y) and (x,z) are elements of  $\bigcup \mathcal{A}$  then y=z
  - $\langle 2 \rangle 1$ . Let:  $(x,y), (x,z) \in \bigcup \mathcal{A}$
  - $\langle 2 \rangle 2$ . PICK  $f, g \in \mathcal{A}$  such that  $(x, y) \in f$  and  $(x, z) \in g$
  - $\langle 2 \rangle 3$ . Assume: w.l.o.g.  $f \subseteq g$
  - $\langle 2 \rangle 4. \ (x,y), (x,z) \in g$
  - $\langle 2 \rangle 5. \ \ y = z$

PROOF: Since g is a function.

**Exercise 16** If every function belongs to A then every set belongs to dom  $\bigcup A$  contradiction Theorem 2A.

## Exercise 17 Proof:

- $\langle 1 \rangle 1$ . Let: R and S be single-rooted.
- $\langle 1 \rangle 2$ . Let:  $(x,z), (y,z) \in R \circ S$
- $\langle 1 \rangle 3$ . PICK t and t' such that  $(x,t) \in S$ ,  $(t,z) \in R$ ,  $(y,t') \in S$  and  $(t',z) \in R$
- $\langle 1 \rangle 4. \ t = t'$

PROOF: Since R is single-rooted.

 $\langle 1 \rangle 5. \ x = y$ 

PROOF: Since S is single-rooted.

Thus if F and G are one-to-one functions then  $F\circ G$  is single-rooted and a function by Theorem 3H, hence a one-to-one function.

$$R \circ R = \{ \langle 0, 2 \rangle, \langle 0, 3 \rangle, \langle 1, 3 \rangle \}$$

$$R \upharpoonright \{1\} = \{ \langle 1, 2 \rangle, \langle 1, 3 \rangle \}$$

$$R^{-1} \upharpoonright \{1\} = \{ \langle 1, 0 \rangle \}$$

$$R[\![\{1\}]\!] = \{2, 3\}$$

$$R^{-1}[\![\{1\}]\!] = \{0\}$$

#### Exercise 19

$$A(\emptyset) = \{\emptyset, \{\emptyset\}\}$$

$$A[\![\emptyset]\!] = \emptyset$$

$$A[\![\emptyset]\!] = \{\{\emptyset, \{\emptyset\}\}\}\}$$

$$A[\![\{\emptyset, \{\emptyset\}\}\}]\!] = \{\{\emptyset, \{\emptyset\}\}, \emptyset\}, \langle\emptyset, \{\emptyset\}\}\}\}$$

$$A^{-1} = \{\langle\{\emptyset, \{\emptyset\}\}, \emptyset\rangle, \langle\emptyset, \{\emptyset\}\}\}\}$$

$$A \circ A = \{\langle\{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}\rangle\}$$

$$A \upharpoonright \emptyset = \emptyset$$

$$A \upharpoonright \{\emptyset\} = \{\langle\emptyset, \{\emptyset, \{\emptyset\}\}\}\rangle\}$$

$$A \upharpoonright \{\emptyset, \{\emptyset\}\}\} = \{\langle\emptyset, \{\emptyset, \{\emptyset\}\}\}\rangle, \langle\{\emptyset\}, \emptyset\rangle\}$$

$$= A$$

$$\bigcup\bigcup A = \{\emptyset, \{\emptyset, \{\emptyset\}\}, \{\emptyset\}\}\}$$

#### Exercise 20

$$z \in F \upharpoonright A \Leftrightarrow z \in F \& \exists x, y.(z = \langle x, y \rangle \& x \in A)$$
  
$$\Leftrightarrow z \in F \& \exists x, y(z = \langle x, y \rangle \& x \in A \& y \in \operatorname{ran} F)$$
  
$$\Leftrightarrow z \in F \cap (A \times \operatorname{ran} F)$$

**Exercise 21** Both are equal to  $\{\langle x, w \rangle \mid \exists y, z.xTy \ \& \ ySz \ \& \ zRw\}.$ 

- (a) Proof:
- $\langle 1 \rangle 1$ . Assume:  $A \subseteq B$
- $\langle 1 \rangle 2$ . Let:  $y \in F[A]$
- $\langle 1 \rangle 3$ . PICK  $x \in A$  such that xFy
- $\langle 1 \rangle 4. \ x \in B \text{ and } xFy$ 
  - (b) Both are equal to  $\{z : \exists x, y.x \in A \& xGy \& yFz\}$
  - (c) Both are equal to  $\{\langle x,y\rangle : (x\in A \text{ or } x\in B) \& xQy\}$

#### Exercise 23

$$\begin{split} B \circ I_A &= \{\langle x, z \rangle : \exists y (x I_A y \ \& \ y B z)\} \\ &= \{\langle x, z \rangle : \exists y (x \in A \ \& \ x = y \ \& \ y B z)\} \\ &= \{\langle x, z \rangle : x \in A \ \& \ x B z\} \\ &= B \upharpoonright A \\ I_A \llbracket C \rrbracket &= \{y : \exists x \in C. x I_A y\} \\ &= \{y : \exists x \in C (x \in A \ \& \ x = y)\} \\ &= \{y : y \in C \ \& \ y \in A\} \\ &= A \cap C \end{split}$$

#### Exercise 24

$$F^{-1}[A] = \{x : \exists y \in A.yF^{-1}x\}$$
$$= \{x : \exists y \in A.xFy\}$$
$$= \{x \in \text{dom } F : F(x) \in A\}$$

#### Exercise 25

- (a) Proof:
- $\langle 1 \rangle 1$ . Let: G be a one-to-one function.
- $\langle 1 \rangle 2$ .  $G^{-1}$  is a function.

PROOF: Theorem 3F.

 $\langle 1 \rangle 3$ .  $G \circ G^{-1}$  is a function.

PROOF: Theorem 3H.

 $\langle 1 \rangle 4$ . dom $(G \circ G^{-1}) = \operatorname{ran} G$ 

Proof:

$$\operatorname{dom}(G \circ G^{-1}) = \{x \in \operatorname{dom} G^{-1} : G^{-1}(x) \in \operatorname{dom} G\} \qquad \text{(Theorem 3H)}$$
$$= \{x \in \operatorname{ran} G : G^{-1}(x) \in \operatorname{dom} G\} \qquad \text{(Theorem 3E)}$$
$$= \operatorname{ran} G$$

 $\langle 1 \rangle 5. \ \forall x \in \operatorname{ran} G.(G \circ G^{-1})(x) = x$ 

PROOF: Theorem 3G.

(b) Let G be a function. Then

$$G \circ G^{-1} = \{ \langle x, z \rangle : \exists y (xG^{-1}y \& yGz) \}$$

$$= \{ \langle x, z \rangle : \exists y (yGx \& yGz) \}$$

$$= \{ \langle x, x \rangle : \exists y.yGx \}$$

$$= I_{\operatorname{ran} G}$$
(G is a function)

(a) 
$$F\llbracket\bigcup\mathcal{A}\rrbracket = \{y : \exists x. \exists A \in \mathcal{A}(x \in A \& xFy)\} \\ = \{y : \exists A \in \mathcal{A}. \exists x(x \in A \& xFy)\} \\ = \bigcup\{F\llbracket A\rrbracket : A \in \mathcal{A}\}$$
(b) 
$$F\llbracket\bigcup\mathcal{A}\rrbracket = \{y : \exists x. \forall A \in \mathcal{A}(x \in A \& xFy)\} \\ \subseteq \{y : \forall A \in \mathcal{A}. \exists x(x \in A \& xFy)\} \\ = \bigcap\{F\llbracket A\rrbracket : A \in \mathcal{A}\}$$
Exercise 27

$$\begin{aligned} \operatorname{dom}(F \circ G) &= \{x : \exists y. x (F \circ G)y\} \\ &= \{x : \exists y \exists z (xGz \ \& \ zFy)\} \\ &= \{x : \exists z (zG^{-1}x \ \& \ z \in \operatorname{dom} F)\} \\ &= G^{-1} \llbracket \operatorname{dom} F \rrbracket \end{aligned}$$

```
Exercise 28 Proof:
```

```
\langle 1 \rangle 1. \ G : \mathcal{P}A \to \mathcal{P}B
   PROOF: Since f[X] \subseteq \operatorname{ran} f \subseteq B
\langle 1 \rangle 2. For all X,Y \in \mathcal{P}A, if G(X)=G(Y) then X=Y
    \langle 2 \rangle 1. Let: X, Y \in \mathcal{P}A
    \langle 2 \rangle 2. Assume: f[X] = f[Y]
   \langle 2 \rangle 3. \ X \subseteq Y
       \langle 3 \rangle 1. Let: x \in X
       \langle 3 \rangle 2. \ f(x) \in f[X]
       \langle 3 \rangle 3. \ f(x) \in f[Y]
       \langle 3 \rangle 4. PICK y \in Y such that f(x) = f(y)
        \langle 3 \rangle 5. \ x = y
           PROOF: Because f is one-to-one.
        \langle 3 \rangle 6. \ x \in Y
           PROOF: Similar.
   \langle 2 \rangle 4. \ Y \subseteq X
```

## Example 29 Proof:

- $\langle 1 \rangle 1$ . Assume: f maps A onto B
- $\langle 1 \rangle 2$ . Let:  $b, b' \in B$
- $\langle 1 \rangle 3$ . Assume: G(b) = G(b')
- $\langle 1 \rangle 4$ . PICK  $x \in A$  such that f(x) = b

```
PROOF: By \langle 1 \rangle 1.

\langle 1 \rangle 5. x \in G(b)

\langle 1 \rangle 6. x \in G(b')

\langle 1 \rangle 7. f(x) = b'

\langle 1 \rangle 8. b = b'
```

The converse does not hold. Let  $A=\{0\}$  and  $B=\{0,1\}$ . Let f be the function that maps 0 to 0. Then

$$G(0) = \{0\}$$
$$G(1) = \emptyset$$

Thus G is one-to-one but f does not map A onto B.

- (a) Proof:  $\langle 1 \rangle 1$ . F(B) = B $\langle 2 \rangle 1. \ F(B) \subseteq B$  $\langle 3 \rangle 1$ . Let:  $X \in \mathcal{P}A$  be such that  $F(X) \subseteq X$ PROVE:  $F(B) \subseteq X$  $\langle 3 \rangle 2. \ B \subseteq X$  $\langle 3 \rangle 3. \ F(B) \subseteq F(X)$  $\langle 3 \rangle 4. \ F(B) \subseteq X$ PROOF: From  $\langle 3 \rangle 1$  and  $\langle 3 \rangle 3$ .  $\langle 2 \rangle 2$ .  $B \subseteq F(B)$ PROOF: From  $\langle 2 \rangle 1$  and the definition of B, since B is one of the sets X such that  $F(X) \subseteq X$  $\langle 1 \rangle 2$ . F(C) = C $\langle 2 \rangle 1. \ C \subseteq F(C)$  $\langle 3 \rangle 1$ . Let:  $X \in \mathcal{P}A$  with  $X \subseteq F(X)$ PROVE:  $X \subseteq F(C)$  $\langle 3 \rangle 2. \ X \subseteq C$  $\langle 3 \rangle 3$ .  $F(X) \subseteq F(C)$  $\langle 3 \rangle 4. \ X \subseteq F(C)$ PROOF: From  $\langle 3 \rangle 1$  and  $\langle 3 \rangle 3$  $\langle 2 \rangle 2$ .  $F(C) \subseteq C$ PROOF: From  $\langle 2 \rangle 1$  and the definition of C.
- **(b)** If F(X) = X then we have  $B \subseteq X$  (because  $F(X) \subseteq X$ ) and  $X \subseteq C$  (because  $X \subseteq F(X)$ ).

# 3.5 Infinite Cartesian Products

#### Exercise 31 Proof:

- $\langle 1 \rangle 1$ . If the Axiom of Choice is true then, for any set I and any function H with domain I, if  $H(i) \neq \emptyset$  for all  $i \in I$ , then  $\prod_{i \in I} H(i) \neq \emptyset$ .
  - $\langle 2 \rangle 1$ . Assume: The Axiom of Choice.
  - $\langle 2 \rangle 2$ . Let: I be a set.
  - $\langle 2 \rangle 3$ . Let: H be a function with domain I.
  - $\langle 2 \rangle 4$ . Assume:  $H(i) \neq \emptyset$  for all  $i \in I$ .
  - $\langle 2 \rangle 5$ . Let:  $R = \{(i, x) : i \in I, x \in H(i)\}$
  - (2)6. PICK a function  $F \subseteq R$  with dom F = dom R PROVE:  $F \in \prod_{i \in I} H(i)$

PROOF: By the Axiom of Choice.

 $\langle 2 \rangle 7$ . dom H = I

PROOF: We have dom R = I since for all  $i \in I$  there exists x such that  $x \in H(i)$ .

 $\langle 2 \rangle 8. \ \forall i \in I.F(i) \in H(i)$ PROOF: Since iRF(i).

- $\langle 1 \rangle 2$ . If, for any set I and any function H with domain I, if  $H(i) \neq \emptyset$  for all  $i \in I$ , then  $\prod_{i \in I} H(i) \neq \emptyset$ , then the Axiom of Choice is true.
  - $\langle 2 \rangle 1$ . Assume: For any set I and any function H with domain I, if  $H(i) \neq \emptyset$  for all  $i \in I$ , then  $\prod_{i \in I} H(i) \neq \emptyset$
  - $\langle 2 \rangle 2$ . Let: R be a relation
  - $\langle 2 \rangle 3$ . Let: I = dom R
  - $\langle 2 \rangle 4$ . Define the function H with domain I by: for  $i \in I$ ,  $H(i) = \{y : iRy\}$
  - $\langle 2 \rangle 5$ .  $H(i) \neq \emptyset$  for all  $i \in I$
  - $\langle 2 \rangle 6$ . Pick  $F \in \prod_{i \in I} H(i)$

Proof: By  $\langle 2 \rangle 1$ 

- $\langle 2 \rangle 7$ . F is a function
- $\langle 2 \rangle 8. \ F \subseteq R$

PROOF: For all  $i \in I$  we have  $F(i) \in H(i)$  and so iRF(i).

 $\langle 2 \rangle 9$ . dom F = dom R

# 3.6 Equivalence Relations

#### Exercise 32

(a)

$$R$$
 is symmetric  $\Leftrightarrow \forall x, y(xRy \Rightarrow yRx)$   $\Leftrightarrow \forall x, y(\langle y, x \rangle \in R^{-1} \Rightarrow \langle y, x \rangle \in R)$   $\Leftrightarrow R^{-1} \subseteq R$ 

(b)

R is transitive

$$\Leftrightarrow \forall x, y, z (xRy \& yRz \Rightarrow xRz)$$
  
$$\Leftrightarrow \forall x, z (\exists y (xRy \& yRz) \Rightarrow xRz)$$
  
$$\Leftrightarrow \forall x, z (\langle x, z \rangle \in R \circ R \Rightarrow \langle x, z \rangle \in R)$$
  
$$\Leftrightarrow R \circ R \subseteq R$$

## Exercise 33 Proof:

- $\langle 1 \rangle 1$ . If R is a symmetric and transitive relation then  $R = R^{-1} \circ R$ .
  - $\langle 2 \rangle 1$ . Assume: R is a symmetric and transitive relation.
  - $\langle 2 \rangle 2$ .  $R \subseteq R^{-1} \circ R$ 
    - $\langle 3 \rangle 1$ . Let: xRy
    - $\langle 3 \rangle 2$ . yRy

PROOF: By Theorem 3M.

- $\langle 3 \rangle 3$ . xRy and  $yR^{-1}y$
- $\langle 3 \rangle 4$ .  $x(R^{-1} \circ R)y$
- $\langle 2 \rangle 3$ .  $R^{-1} \circ R \subseteq R$

PROOF:

$$R^{-1} \circ R \subseteq R \circ R$$
 (Exercise 32(a))  
  $\subseteq R$  (Exercise 32(b))

- $\langle 1 \rangle 2$ . If  $R = R^{-1} \circ R$  then R is a symmetric and transitive relation.
  - $\langle 2 \rangle 1$ . Assume:  $R = R^{-1} \circ R$
  - $\langle 2 \rangle 2$ . R is a relation.
  - $\langle 2 \rangle 3$ . R is symmetric.
    - $\langle 3 \rangle 1$ . Let: xRy
    - $\langle 3 \rangle 2$ . PICK z such that xRz and  $zR^{-1}y$
    - $\langle 3 \rangle 3$ . yRz and  $zR^{-1}x$
    - $\langle 3 \rangle 4. \ y(R^{-1} \circ R)x$
    - $\langle 3 \rangle 5. \ yRx$
  - $\langle 2 \rangle 4$ . R is transitive.
    - $\langle 3 \rangle 1$ . Let: xRy and yRz
    - $\langle 3 \rangle 2$ . zRy

Proof: By  $\langle 2 \rangle 3$ 

- $\langle 3 \rangle 3$ . xRy and  $yR^{-1}z$
- $\langle 3 \rangle 4$ .  $x(R^{-1} \circ R)z$
- $\langle 3 \rangle 5$ . xRz

#### Exercise 34

(a)  $\bigcap A$  is a transitive relation.

#### Proof:

 $\langle 1 \rangle 1$ .  $\bigcap \mathcal{A}$  is a relation.

```
PROOF: Every member of a member of A is an ordered pair.
```

- $\langle 1 \rangle 2$ .  $\bigcap \mathcal{A}$  is transitive.
  - $\langle 2 \rangle 1$ . Let:  $\langle x, y \rangle$  and  $\langle y, z \rangle$  be in  $\bigcap \mathcal{A}$

PROVE:  $\langle x, z \rangle \in \bigcap \mathcal{A}$ 

- $\langle 2 \rangle 2$ . Let:  $R \in \mathcal{A}$
- $\langle 2 \rangle 3$ . xRy and yRz
- $\langle 2 \rangle 4$ . xRz

Proof: Since R is transitive.

**(b)** Not necessarily. If  $\mathcal{A} = \{\{\langle 0, 1 \rangle\}, \{\langle 1, 2 \rangle\}\}\$  then each member of  $\mathcal{A}$  is transitive but  $\bigcup \mathcal{A} = \{\langle 0, 1 \rangle, \langle 1, 2 \rangle\}$  is not.

#### Example 35

$$\begin{split} R[\![\{x\}]\!] &= \{y: \exists z (z \in \{x\} \ \& \ zRy)\} \\ &= \{y: \exists z (z = x \ \& \ zRy)\} \\ &= \{y: xRy\} \\ &= [x]_R \end{split}$$

#### Example 36 PROOF:

 $\langle 1 \rangle 1$ . Q is a relation on A.

PROOF: By definition.

- $\langle 1 \rangle 2$ . Q is reflexive on A.
  - $\langle 2 \rangle 1$ . Let:  $x \in A$
  - $\langle 2 \rangle 2$ . f(x)Rf(x)

PROOF: Since R is reflexive on B.

- $\langle 2 \rangle 3$ . xQx
- $\langle 1 \rangle 3$ . Q is symmetric.
  - $\langle 2 \rangle 1$ . Assume: xQy
  - $\langle 2 \rangle 2$ . f(x)Rf(y)
  - $\langle 2 \rangle 3. \ f(y)Rf(x)$

Proof: R is symmetric.

- $\langle 2 \rangle 4. \ yQx$
- $\langle 1 \rangle 4$ . Q is transitive.
  - $\langle 2 \rangle 1$ . Assume: xQy and yQz
  - $\langle 2 \rangle 2$ . f(x)Rf(y) and f(y)Rf(z)
  - $\langle 2 \rangle 3. \ f(x) R f(z)$

PROOF: R is transitive.

 $\langle 2 \rangle 4. \ xQz$ 

#### Exercise 37 Proof:

 $\langle 1 \rangle 1$ .  $R_{\Pi}$  is a relation on A.

```
PROOF: If B \in \Pi, x \in B and y \in B then x, y \in A.
\langle 1 \rangle 2. R_{\Pi} is reflexive on A.
    \langle 2 \rangle 1. Let: x \in A
    \langle 2 \rangle 2. Pick B \in \Pi such that x \in B
       Proof: Because \Pi is exhaustive.
    \langle 2 \rangle 3. \ x \in B \text{ and } x \in B
    \langle 2 \rangle 4. xR_{\Pi}x
\langle 1 \rangle 3. R_{\Pi} is symmetric.
    \langle 2 \rangle 1. Assume: xR_{\Pi}y
    \langle 2 \rangle 2. Pick B \in \Pi such that x \in B and y \in B
    \langle 2 \rangle 3. \ y \in B \text{ and } x \in B
    \langle 2 \rangle 4. yR_{\Pi}x
\langle 1 \rangle 4. R_{\Pi} is transitive.
    \langle 2 \rangle 1. Assume: xR_{\Pi}y and yR_{\Pi}z
    \langle 2 \rangle 2. Pick B \in \Pi such that x \in B and y \in B
    \langle 2 \rangle 3. Pick C \in \Pi such that y \in C and z \in C
    \langle 2 \rangle 4. B = C
       PROOF: Since y \in B and y \in C
    \langle 2 \rangle 5. x \in B and z \in B
    \langle 2 \rangle 6. xR_{\Pi}z
Exercise 38 Proof:
\langle 1 \rangle 1. If B \in \Pi and x \in B then B = [x]_{R_{\Pi}}
    \langle 2 \rangle 1. Let: B \in \Pi
    \langle 2 \rangle 2. Let: x \in B
    \langle 2 \rangle 3. \ [x]_{R_{\Pi}} \subseteq B
        \langle 3 \rangle 1. Let: y \in [x]_{R_{\Pi}}
        \langle 3 \rangle 2. xR_{\Pi}y
        \langle 3 \rangle 3. PICK C \in \Pi such that x \in C and y \in C
        \langle 3 \rangle 4. B = C
           PROOF: Since x \in B and x \in C.
        \langle 3 \rangle 5. \ y \in B
    \langle 2 \rangle 4. B \subseteq [x]_{R_{\Pi}}
       PROOF: For all y \in B, we have x \in B and y \in B hence xR_{\Pi}y.
\langle 1 \rangle 2. A/R_{\Pi} \subseteq \Pi
    \langle 2 \rangle 1. Let: x \in A
              Prove: [x]_{R_{\Pi}} \in \Pi
    \langle 2 \rangle 2. Pick B \in \Pi such that x \in B
    \langle 2 \rangle 3. \ [x]_{R_{\Pi}} = B
       PROOF: By \langle 1 \rangle 1
    \langle 2 \rangle 4. \ [x]_{R_{\Pi}} \in \Pi
\langle 1 \rangle 3. \Pi \subseteq A/R_{\Pi}
    \langle 2 \rangle 1. Let: B \in \Pi
    \langle 2 \rangle 2. Pick x \in B
```

```
Proof: By \langle 1 \rangle 1.
    \langle 2 \rangle 4. B \in A/R_{\Pi}
Exercise 39 Proof:
\langle 1 \rangle 1. R_{\Pi} \subseteq R
    \langle 2 \rangle 1. Let: xR_{\Pi}y
    \langle 2 \rangle 2. Pick B \in \Pi such that x \in B and y \in B
    \langle 2 \rangle 3. Pick z \in A such that B = [z]_R
    \langle 2 \rangle 4. zRx
    \langle 2 \rangle 5. zRy
    \langle 2 \rangle 6. xRy
        PROOF: Since R is symmetric and transitive.
\langle 1 \rangle 2. R \subseteq R_{\Pi}
    \langle 2 \rangle 1. Let: xRy
    \langle 2 \rangle 2. \ x \in [x]_R
    \langle 2 \rangle 3. \ y \in [x]_R
    \langle 2 \rangle 4. xR_{\Pi}y
Exercise 40 We have [2]_R = [3]_R but [6]_R \neq [9]_R so there is no such function
f.
Exercise 41
(a) Proof:
\langle 1 \rangle 1. Q is reflexive on \mathbb{R} \times \mathbb{R}.
    PROOF: For any x, y \in \mathbb{R}, we have x + y = x + y, hence \langle x, y \rangle Q \langle x, y \rangle
\langle 1 \rangle 2. Q is symmetric.
    \langle 2 \rangle 1. Assume: \langle u, v \rangle Q \langle x, y \rangle
    \langle 2 \rangle 2. u + y = x + v
    \langle 2 \rangle 3. \ x + v = u + y
    \langle 2 \rangle 4. \langle x, y \rangle Q \langle u, v \rangle
\langle 1 \rangle 3. Q is transitive.
    \langle 2 \rangle 1. Assume: \langle a, b \rangle Q \langle u, v \rangle and \langle u, v \rangle Q \langle x, y \rangle
    \langle 2 \rangle 2. a + v = u + b
    \langle 2 \rangle 3. u + y = x + v
    \langle 2 \rangle 4. a+y+x+b
        PROOF: Adding \langle 2 \rangle 2 and \langle 2 \rangle 3 gives a+u+v+y=b+u+v+x.
    \langle 2 \rangle 5. \langle a, b \rangle Q \langle x, y \rangle
```

PROOF: Since every member of  $\Pi$  is nonempty.

 $\langle 2 \rangle 3. B = [x]_{R_{\Pi}}$ 

**(b)** We prove that, if  $\langle u, v \rangle Q \langle x, y \rangle$  then  $\langle u + 2v, v + 2u \rangle Q \langle x + 2y, y + 2x \rangle$ . It follows from Theorem 3Q that the function G exists.

If u+y=v+x then u+2v+y+2x=v+2u+x+2y by adding u+v+y+x to both sides.

**Exercise 42** Assume that R is an equivalence relation on A and that  $F: A \times A \to A$ . Let us say that F is *compatible* with R iff, whenever xRx' and yRy', then  $F(\langle x,y\rangle)RF(\langle x',y'\rangle)$ . If F is compatible with R then there exists a unique  $\hat{F}: (A/R) \times (A/R) \to A/R$  such that

$$\hat{F}(\langle [x]_R, [y]_R \rangle) = [F(\langle x, y \rangle)]_R \text{ for all } x, y \in A$$
.

If F is not compatible with R then no such  $\hat{F}$  exists.

# 3.7 Ordering Relations

```
Exercise 43 PROOF:
```

- $\langle 1 \rangle 1$ .  $R^{-1}$  is transitive.
  - $\langle 2 \rangle 1$ . Assume:  $xR^{-1}y$  and  $yR^{-1}z$
  - $\langle 2 \rangle 2$ . zRy and yRx
  - $\langle 2 \rangle 3$ . zRx

PROOF: Since R is transitive.

- $\langle 2 \rangle 4$ .  $xR^{-1}z$
- $\langle 1 \rangle 2$ .  $R^{-1}$  satisfies trichotomy on A.
  - $\langle 2 \rangle 1$ . Let:  $x, y \in A$
  - $\langle 2 \rangle 2$ . Exactly one of xRy, x = y, yRx holds.
  - $\langle 2 \rangle 3$ . Exactly one of  $yR^{-1}x$ , x = y,  $xR^{-1}y$  holds.

#### Exercise 44 Proof:

- $\langle 1 \rangle 1$ . f is one-to-one.
  - $\langle 2 \rangle 1$ . Let:  $x, y \in A$  with f(x) = f(y)
  - $\langle 2 \rangle 2$ . f(x) < f(y) and f(y) < f(x) do not hold.

PROOF: By trichotomy.

- $\langle 2 \rangle 3$ . x < y and y < x do not hold.
- $\langle 2 \rangle 4$ . x = y

PROOF: By trichotomy.

- $\langle 1 \rangle 2$ . Whenever f(x) < f(y) then x < y
  - $\langle 2 \rangle 1$ . Let:  $x, y \in A$  with f(x) < f(y)
  - $\langle 2 \rangle 2$ . f(x) = f(y) and f(y) < f(x) do not hold.

PROOF: By trichotomy.

- $\langle 2 \rangle 3$ . x = y and y < x do not hold.
- $\langle 2 \rangle 4$ . x < y

PROOF: By trichotomy.

#### Exercise 45 Proof:

- $\langle 1 \rangle 1$ .  $\langle L \rangle$  is transitive.
  - $\langle 2 \rangle$ 1. Let:  $\langle a_1, b_1 \rangle <_L \langle a_2, b_2 \rangle$  and  $\langle a_2, b_2 \rangle <_L \langle a_3, b_3 \rangle$ Prove:  $\langle a_1, b_1 \rangle < \langle a_3, b_3 \rangle$
  - $\langle 2 \rangle 2$ . Case:  $a_1 <_A a_2$  and  $a_2 <_A a_3$

PROOF: Then  $a_1 <_A <_3$ 

 $\langle 2 \rangle 3$ . Case:  $a_1 <_A a_2, a_2 = a_3, b_2 <_B b_3$ 

PROOF: Then  $a_1 <_A <_3$ 

 $\langle 2 \rangle 4$ . Case:  $a_1 = a_2$ ,  $b_1 <_B b_2$  and  $a_2 <_A a_3$ 

PROOF: Then  $a_1 <_A <_3$ 

 $\langle 2 \rangle 5$ . Case:  $a_1 = a_2, b_1 <_B b_2, a_2 = a_3, b_2 <_B b_3$ 

PROOF: Then  $a_1 = a_3$  and  $b_1 <_B b_3$ 

- $\langle 1 \rangle 2$ .  $\langle L \rangle 2$  satisfies trichotomy on  $A \times B$ .
  - $\langle 2 \rangle 1$ . Let:  $\langle a_1, b_1 \rangle$  and  $\langle a_2, b_2 \rangle$  be elements of  $A \times B$
  - $\langle 2 \rangle 2$ . Exactly one of  $a_1 <_A a_2$ ,  $a_1 = a_2$ ,  $a_2 <_A a_1$  holds.
  - $\langle 2 \rangle 3$ . Exactly one of  $b_1 <_B b_2$ ,  $b_1 = b_2$ ,  $b_2 <_B b_1$  holds.
  - $\langle 2 \rangle 4$ . Exactly one of  $a_1 <_A a_2$ ,  $(a_1 = a_2 \text{ and } b_1 <_B b_2)$ ,  $(a_1 = a_2 \text{ and } b_1 = b_2)$ ,  $(a_1 = a_2 \text{ and } b_2 <_L b_1)$ ,  $a_2 <_A a_1$  holds.
  - $\langle 2 \rangle$ 5. Exactly one of  $\langle a_1, b_1 \rangle <_L \langle a_2, b_2 \rangle$ ,  $\langle a_1, b_1 \rangle = \langle a_2, b_2 \rangle$ ,  $\langle a_2, b_2 \rangle <_L \langle a_1, b_1 \rangle$  holds.

# 3.8 Review Exercises

#### Exercise 46

(a)

$$\bigcap\bigcap\langle x,y\rangle=\bigcap\{x\}$$

(b)

$$\bigcap\bigcap\{\langle x,y\rangle\}^{-1} = \bigcap\bigcap\{\langle y,x\rangle\}$$

$$= \bigcap\bigcap\langle y,x\rangle$$

$$= y \qquad \text{(by part (a))}$$

(a) There are eight:

$$\{ \langle 0, 3 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle \}, \\ \{ \langle 0, 3 \rangle, \langle 1, 3 \rangle, \langle 2, 4 \rangle \}, \\ \{ \langle 0, 3 \rangle, \langle 1, 4 \rangle, \langle 2, 3 \rangle \}, \\ \{ \langle 0, 3 \rangle, \langle 1, 4 \rangle, \langle 2, 4 \rangle \}, \\ \{ \langle 0, 4 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle \}, \\ \{ \langle 0, 4 \rangle, \langle 1, 3 \rangle, \langle 2, 4 \rangle \}, \\ \{ \langle 0, 4 \rangle, \langle 1, 4 \rangle, \langle 2, 3 \rangle \}, \\ \{ \langle 0, 4 \rangle, \langle 1, 4 \rangle, \langle 2, 4 \rangle \}$$

(b) There are six:

$$\{\langle 0, 3 \rangle, \langle 1, 4 \rangle, \langle 2, 5 \rangle\},$$

$$\{\langle 0, 3 \rangle, \langle 1, 5 \rangle, \langle 2, 4 \rangle\},$$

$$\{\langle 0, 4 \rangle, \langle 1, 3 \rangle, \langle 2, 5 \rangle\},$$

$$\{\langle 0, 4 \rangle, \langle 1, 5 \rangle, \langle 2, 3 \rangle\},$$

$$\{\langle 0, 5 \rangle, \langle 1, 3 \rangle, \langle 2, 4 \rangle\},$$

$$\{\langle 0, 5 \rangle, \langle 1, 4 \rangle, \langle 2, 3 \rangle\}$$

#### Exercise 48

- (a) The only ordered pair in  $\mathcal{P}T$  is  $\langle \emptyset, \emptyset \rangle = \{ \{\emptyset \} \}$ .
- (b)

$$\begin{split} (\mathcal{P}T)^{-1} \circ (\mathcal{P}T \upharpoonright \{\emptyset\}) &= \{ \langle \emptyset, \emptyset \rangle \} \circ \{ \langle \emptyset, \emptyset \rangle \} \\ &= \{ \langle \emptyset, \emptyset \rangle \} \end{split}$$

Exercise 49 There are six:

$$\begin{split} \{\langle 0,0\rangle,\langle 1,1\rangle,\langle 2,2\rangle\}, \\ \{\langle 0,0\rangle,\langle 0,1\rangle,\langle 1,0\rangle,\langle 1,1\rangle,\langle 2,2\rangle\}, \\ \{\langle 0,0\rangle,\langle 0,2\rangle,\langle 1,1\rangle,\langle 2,0\rangle,\langle 2,2\rangle\}, \\ \{\langle 0,0\rangle,\langle 1,1\rangle,\langle 1,2\rangle,\langle 2,1\rangle,\langle 2,2\rangle\}, \\ \{\langle 0,0\rangle,\langle 1,1\rangle,\langle 1,2\rangle,\langle 2,1\rangle,\langle 2,2\rangle\}, \end{split}$$

(a) 
$$\{\langle 0,1\rangle, \langle 0,2\rangle, \langle 0,3\rangle, \langle 1,3\rangle, \langle 2,1\rangle, \langle 2,3\rangle\}$$

**(b)** 
$$\{\langle 0,1\rangle, \langle 0,2\rangle, \langle 0,3\rangle, \langle 2,1\rangle, \langle 3,1\rangle, \langle 3,2\rangle\}$$

Exercise 51 There are three:

$$\begin{split} & \{ \langle 1, 0 \rangle, \langle 1, 2 \rangle, \langle 2, 0 \rangle \}, \\ & \{ \langle 1, 0 \rangle, \langle 2, 0 \rangle, \langle 2, 1 \rangle \}, \\ & \{ \langle 0, 1 \rangle, \langle 2, 0 \rangle, \langle 2, 1 \rangle \} \end{split}$$

**Exercise 52** We can conclude this if we know that A and B are nonempty, or that C and D are nonempty.

Suppose A and B are nonempty. Then  $A \times B = C \times D \neq \emptyset$  so C and D are nonempty. We now prove  $A \subseteq C$ .

Let  $a \in A$ . Pick some  $b \in B$ . Then  $\langle a, b \rangle \in A \times B = C \times D$  and so  $a \in C$ . We can similarly prove  $C \subseteq A$ ,  $B \subseteq D$  and  $D \subseteq B$ .

#### Exercise 53

$$x(R \cup S)^{-1}y \Leftrightarrow y(R \cup S)x$$

$$\Leftrightarrow yRx \text{ or } ySx$$

$$\Leftrightarrow xR^{-1}y \text{ or } xS^{-1}y$$

$$\Leftrightarrow x(R^{-1} \cup S^{-1})y$$

$$x(R \cap S)^{-1}y \Leftrightarrow y(R \cap S)x$$

$$\Leftrightarrow yRx \text{ and } ySx$$

$$\Leftrightarrow xR^{-1}y \text{ and } xS^{-1}y$$

$$\Leftrightarrow x(R^{-1} \cap S^{-1})y$$

$$x(R - S)^{-1}y \Leftrightarrow y(R - S)x$$

$$\Leftrightarrow yRx \text{ and } \neg ySx$$

$$\Leftrightarrow xR^{-1}y \text{ and } \neg xS^{-1}y$$

$$\Leftrightarrow x(R^{-1} - S^{-1})y$$

$$\Leftrightarrow x(R^{-1} - S^{-1})y$$

$$\langle x, y \rangle \in A \times (B \cap C) \Leftrightarrow x \in A \& y \in B \& y \in C$$
  
  $\Leftarrow \langle x, y \rangle \in (A \times B) \cap (A \times C)$ 

$$\begin{split} \langle x,y \rangle \in A \times (B \cup C) &\Leftrightarrow x \in A \ \& (y \in B \ \text{or} \ y \in C) \\ &\Leftrightarrow (x \in A \ \& \ y \in B) \ \text{or} \ (x \in A \ \& \ y \in C) \\ &\Leftrightarrow \langle x,y \rangle \in (A \times B) \cup (A \times C) \end{split}$$

(c)

$$\langle x,y\rangle \in A \times (B-C) \Leftrightarrow x \in A \& y \in B \& y \notin C$$
$$\Leftrightarrow \langle x,y\rangle \in (A \times B) - (A \times C)$$

#### Exercise 55

- (a) No. Take  $A = \{0\}$ ,  $B = \{1\}$ ,  $C = \{2\}$ . Then  $(A \times A) \cup (B \times C) = \{(0,0), (1,2)\}$  while  $(A \cup B) \times (A \cup C) = \{(0,0), (0,2), (1,0), (1,2)\}$ .
  - (b) Yes.

$$\langle x, y \rangle \in (A \times A) \cap (B \times C) \Leftrightarrow x \in A \& y \in A \& x \in B \& y \in C$$
  
  $\Leftrightarrow \langle x, y \rangle \in (A \cap B) \times (A \cap C)$ 

#### Exercise 56

(a) Yes.

$$\begin{split} x \in \mathrm{dom}(R \cup S) &\Leftrightarrow \exists y (xRy \text{ or } xSy) \\ &\Leftrightarrow \exists y . xRy \text{ or } \exists y . xSy \\ &\Leftrightarrow x \in \mathrm{dom}\, R \cup \mathrm{dom}\, S \end{split}$$

**(b)** No. Take  $R = \{\langle 0, 0 \rangle\}$  and  $S = \{\langle 0, 1 \rangle\}$ . Then  $\operatorname{dom}(R \cap S) = \operatorname{dom} \emptyset = \emptyset$  while  $\operatorname{dom} R \cap \operatorname{dom} S = \{0\} \cap \{0\} = \{0\}$ .

## Exercise 57

(a) Yes.

$$\begin{split} x(R \circ (S \cup T))y &\Leftrightarrow \exists z(x(S \cup T)z \ \& \ zRy) \\ &\Leftrightarrow \exists z(xSz \ \& \ zRy) \ \text{or} \ \exists z(xTz \ \& \ zRy) \\ &\Leftrightarrow x((R \circ S) \cup (R \circ T))y \end{split}$$

**(b)** No. Take  $R = \{(0,0), (1,0)\}, S = \{(0,0)\} \text{ and } T = \{(0,1)\}.$  Then

$$\begin{split} R \circ (S \cap T) &= R \circ \emptyset \\ &= \emptyset \\ (R \circ S) \cap (R \circ T) &= \{\langle 0, 0 \rangle\} \cap \{\langle 0, 0 \rangle\} \\ &= \{\langle 0, 0 \rangle\} \end{split}$$

**Exercise 58** Take  $F = \emptyset$  and  $S = {\emptyset}$ . Then  $F[F^{-1}[S]] = \emptyset \neq S$ .

#### Exercise 59

$$\begin{split} x(Q \upharpoonright (A \cap B))y &\Leftrightarrow xQy \ \& \ x \in A \ \& \ x \in B \\ &\Leftrightarrow x((Q \upharpoonright A) \cap (Q \upharpoonright B))y \\ x(Q \upharpoonright (A - B))y &\Leftrightarrow xQy \ \& \ x \in A \ \& \ x \notin B \\ &\Leftrightarrow (xQy \ \& \ x \in A) \ \& \ \neg (xQy \ \& \ x \in B) \\ &\Leftrightarrow x((Q \upharpoonright A) - (Q \upharpoonright B))y \end{split}$$

#### Exercise 60

$$x((R \circ S) \upharpoonright A)y \Leftrightarrow \exists z(xRz \ \& \ zSy \ \& \ x \in A)$$
 
$$\Leftrightarrow x(R \circ (S \upharpoonright A))y$$

# Chapter 4

# Chapter 4 — Natural Numbers

#### 4.1 Inductive Sets

Exercise 1 We have

$$3 = 2 \cup \{2\} = 1 \cup \{1, 2\}$$

and so  $1 \in 3$ . But  $1 \notin 1$  (since  $1 = \{\emptyset\}$  and we know  $\{\emptyset\} \neq \emptyset$  hence  $\{\emptyset\} \notin \{\emptyset\}$ ). Therefore  $1 \neq 3$ .

#### 4.2 Peano's Postulates

**Exercise 2** If a is a transitive set then

$$\bigcup (a^+) = a$$
 (Theorem 4E)  
$$\subseteq a^+$$

#### Exercise 3

- (a) Suppose a is a transitive set. Then  $a \subseteq \mathcal{P}a$ . Hence we have  $\bigcup \mathcal{P}a = a \subseteq \mathcal{P}a$  and so  $\mathcal{P}a$ .
- (b) Suppose  $\mathcal{P}a$  is a transitive set. Then  $a = \bigcup \mathcal{P}a \subseteq \mathcal{P}a$  hence a is transitive.

**Exercise 4** If a is a transitive set then  $\bigcup a \subseteq a$  so  $\bigcup \bigcup a \subseteq \bigcup a$ . Hence  $\bigcup a$  is transitive.

#### Exercise 5

- (a) Proof:
- $\langle 1 \rangle 1$ . Let:  $b \in \bigcup A$
- $\langle 1 \rangle 2$ . PICK  $A \in \mathcal{A}$  such that  $b \in A$
- $\langle 1 \rangle 3. \ b \subseteq A$

Proof: Since A is transitive.

 $\langle 1 \rangle 4. \ b \subseteq \bigcup \mathcal{A}$ 

- (b) Proof:
- $\langle 1 \rangle 1$ . Let:  $b \in \bigcap \mathcal{A}$
- $\langle 1 \rangle 2$ . For all  $A \in \mathcal{A}$  we have  $b \subseteq A$

PROOF: Since  $b \in A$  and A is transitive.

 $\langle 1 \rangle 3. \ b \subseteq \bigcap \mathcal{A}$ 

**Exercise 6** We have  $\bigcup (a^+) = \bigcup a \cup a$  (see the proof of Theorem 4E). So if  $\bigcup (a^+) = a$  we have  $\bigcup a \cup a = a$  and so  $\bigcup a \subseteq a$ .

#### 4.3 Recursion on $\omega$

**Exercise 7** We have  $h_1(0) = h_2(0) = a$  so  $0 \in S$ .

Now let  $n \in S$ ; we prove  $n^+ \in S$ . We have  $h_1(n) = h_2(n)$  and therefore

$$h_1(n^+) = F(h_1(n))$$
$$= F(h_2(n))$$
$$= h_2(n^+)$$

Exercise 8 Proof:

- $\langle 1 \rangle 1. \ \forall m, n \in \omega. h(n) = h(m) \Rightarrow n = m$ 
  - $\langle 2 \rangle 1. \ \forall n \in \omega. h(n) = h(0) \Rightarrow n = 0$ 
    - $\langle 3 \rangle 1$ . Let:  $n \in \omega$
    - $\langle 3 \rangle 2$ . Assume: h(n) = h(0)
    - $\langle 3 \rangle 3. \ h(n) = c$
    - $\langle 3 \rangle 4. \ \forall p \in \omega. n \neq p^+$

PROOF: Otherwise f(h(p)) = c contradicting the fact that  $c \in A - \operatorname{ran} f$ .

 $\langle 3 \rangle 5.$  n=0

PROOF: Theorem 4C.

- $\langle 2 \rangle 2$ . For all  $m \in \omega$ , if  $\forall n \in \omega.h(n) = h(m) \Rightarrow n = m$ , then  $\forall n \in \omega.h(n) = h(m^+) \Rightarrow n = m^+$ 
  - $\langle 3 \rangle 1$ . Let:  $m \in \omega$
  - $\langle 3 \rangle 2$ . Assume:  $\forall n \in \omega . h(n) = h(m) \Rightarrow n = m$
  - $\langle 3 \rangle 3$ . Let:  $n \in \omega$
  - $\langle 3 \rangle 4$ . Assume:  $h(n) = h(m^+)$
  - $\langle 3 \rangle 5.$  h(n) = f(h(m))

```
\langle 3 \rangle 6. \ n \neq 0
            PROOF: Otherwise c = f(h(m)) contradicting the fact that c \in A - \operatorname{ran} f.
        \langle 3 \rangle 7. Pick p such that n = p^+
        \langle 3 \rangle 8. f(h(p)) = f(h(m))
        \langle 3 \rangle 9. \ h(p) = h(m)
           PROOF: f is one-to-one.
        \langle 3 \rangle 10. \ p = m
            Proof: By \langle 3 \rangle 2.
        \langle 3 \rangle 11. \ n = p^+ = m^+
П
Exercise 9 Proof:
\langle 1 \rangle 1. \ C^* \subseteq C_*
    \langle 2 \rangle 1. \ f[[C_*]] \subseteq C_*
        \langle 3 \rangle 1. Let: x \in C_*
                  PROVE: f(x) \in C_*
        \langle 3 \rangle 2. PICK n such that x \in h(n)
        \langle 3 \rangle 3. \ f(x) \in h(n^+)
        \langle 3 \rangle 4. \ f(x) \in C_*
\langle 1 \rangle 2. \ C_* \subseteq C^*
    \langle 2 \rangle 1. \ \forall n \in \omega. h(n) \subseteq C^*
        \langle 3 \rangle 1. \ h(0) \subseteq C^*
            PROOF: If A \subseteq X \subseteq B and f[X] \subseteq X then A \subseteq X.
        \langle 3 \rangle 2. \ \forall n \in \omega(h(n) \subseteq C^* \Rightarrow h(n^+) \subseteq C^*)
            \langle 4 \rangle 1. Let: n \in \omega
            \langle 4 \rangle 2. Assume: h(n) \subseteq C^*
            \langle 4 \rangle 3. \ f[[h(n)]] \subseteq C^*
                \langle 5 \rangle 1. Let: X be such that A \subseteq X \subseteq B and f[X] \subseteq X
                          PROVE: f[h(n)] \subseteq X
                \langle 5 \rangle 2. h(n) \subseteq X
                \langle 5 \rangle 3. \ f[[h(n)]] \subseteq f[[X]]
                \langle 5 \rangle 4. \ f[[h(n)]] \subseteq X
            \langle 4 \rangle 4. h(n^+) \subseteq C^*
Exercise 10 C^* = C_* = (0,1]
Exercise 11 \{n \in \mathbb{Z} \mid n \leq 0\}
Exercise 12 Let f: B \times B \to B and A \subseteq B. Let
                           C^* = \bigcap \{X \mid A \subseteq X \subseteq B \& f[X \times X] \subseteq X\} .
```

Define the function  $h: \omega \to \mathcal{P}B$  by

$$h(0) = A$$
  
$$h(n^+) = h(n) \cup f \llbracket h(n) \times h(n) \rrbracket \qquad (n \in \omega)$$

Define  $C_* = \bigcup \operatorname{ran} h$ . Then  $C^* = C_*$ .

#### 4.4 Arithmetic

**Exercise 13** We prove the contrapositive. Assume  $m \neq 0$  and  $n \neq 0$ . Then by Theorem 4C there are natural numbers p, q such that  $m = p^+$  and  $n = q^+$ . Hence  $mn = p^+q^+ = (p^+q + p)^+ \neq 0$ .

**Exercise 14** We prove the following facts for any natural number n:

1. n is even if and only if  $n^+$  is odd.

PROOF: If n is even, say n = 2p, then  $n^+ = 2p + 1$  is odd. If  $n^+$  is odd, say  $n^+ = 2p + 1$ , then n = 2p is even.

2. n is odd if and only if  $n^+$  is even.

PROOF: If n is odd, say n=2p+1, then  $n^+=2(p+1)$  is even. If  $n^+$  is even, say  $n^+=2p$ , then we cannot have p=0 (since  $n^+\neq 0$ ). So p=q+1 for some q. But then  $n^+=2q+2$  so n=2q+1 and n is odd.

Now, 0 is even and 0 is not odd. By the two facts above, if n is either even or odd but not both, then  $n^+$  is either odd or even but not both. The result follows by induction.

Exercise 15 We have

$$m + (n + 0) = m + n$$
 by (A1)  
=  $(m + n) + 0$  by (A1)

If m + (n + p) = (m + n) + p then

$$m + (n + p^{+}) = m + (n + p)^{+}$$
 by (A2)  
=  $(m + (n + p))^{+}$  by induction hypothesis  
=  $(m + n) + p^{+}$  by (A2)

**Exercise 16** We first prove that  $0 \cdot n = 0$  for all n. We have  $0 \cdot 0 = 0$  by (M1), and if  $0 \cdot n = 0$  then

$$0 \cdot n^+ = 0 \cdot n + 0$$
 by (M2)  
=  $0 \cdot n$  by (A1)  
= 0 by induction hypothesis

Now we prove that  $m^+ \cdot n = m \cdot n + n$  for all m, n. We have

$$m^+ \cdot 0 = 0$$
 by (M1)  
 $m \cdot 0 + 0 = m \cdot 0$  by (A1)  
 $= 0$  by (M1)

Thus,  $m^+ \cdot 0 = m \cdot 0 + 0$ .

If  $m^+ \cdot n = m \cdot n + n$  then

$$m^{+} \cdot n^{+} = m^{+} \cdot n + m^{+}$$
 by (M2)  

$$= (m^{+} \cdot n + m)^{+}$$
 by (A2)  

$$= ((m \cdot n + n) + m)^{+}$$
 by induction hypothesis  

$$= ((m \cdot n + m) + n)^{+}$$
 by associativity and commutativity of addition  

$$= (m \cdot n^{+} + n)^{+}$$
 by (M2)  

$$= m \cdot n^{+} + n^{+}$$
 by (A2)

#### **Exercise 17** The proof is by induction on p. We have

$$m^{n+0} = m^n$$
 by (A1)  
 $= 0 + m^n$  by Theorem 4K(2)  
 $= m^n \cdot 0 + m^n$  by (M1)  
 $= m^n \cdot 1$  by (M2)  
 $= m^n \cdot m^0$  by (E1)

If  $m^{n+p} = m^n \cdot m^p$  then

$$m^{n+p^+} = m^{(n+p)^+}$$
 by (A2)  
 $= m^{n+p}m$  by (E2)  
 $= (m^n m^p)m$  by induction hypothesis  
 $= m^n (m^p m)$  by Theorem 4K (4)  
 $= m^n m^{p^+}$  by (E2)

#### 4.5 Ordering on $\omega$

#### Exercise 18

$$\in_{\omega}^{-1} [\![\{7,8\}]\!] = \{x \in \omega \mid x \in 7 \text{ or } x \in 8\}$$
 
$$= \{0,1,2,3,4,5,6,7\}$$

**Exercise 19** The proof is by induction on m.

For m=0, take q=r=0. Then  $m=d\cdot 0+0$  and  $0\in d$ .

Suppose m=dq+r and r< d. Then  $r+1\leq d$ . If r+1< d, then we have m+1=dq+(r+1) as required. If r+1=d, then we have m+1=dq+d=d(q+1)+0.

**Exercise 20** We first prove A is closed downwards; that is, if  $n \in A$  and  $m \in n$  then  $m \in A$ . This holds because if  $n \in A$  and  $m \in n$  then  $m \in \bigcup A$  and  $\bigcup A = A$ .

Now, we prove  $\forall n \in \omega . n \in A$  by induction on n.

To prove  $0 \in A$ : we are given that A is nonempty. Pick some  $a \in A$ . Then  $0\underline{ina}$  so  $0 \in A$  since A is closed downwards.

Now let  $n \in A$ ; we prove  $n^+ \in A$ . We have  $n \in \bigcup A$ ; pick some  $k \in A$  such that  $n \in k$ . Then  $n^+ \in k$  so  $n^+ \in A$  since A is closed downwards.

This completes the induction. We have  $\forall n \in \omega. n \in A$ , i.e.  $A = \omega$ .

**Exercise 21** Suppose n is a natural number,  $k \in n$  and  $n \subseteq k$ . Then  $k \in k$ , contradicting Lemma 4L(b).

**Exercise 22** We have  $0 \in p^+$  (by trichotomy since  $p^+ \notin 0$  because 0 is empty, and  $p^+ \neq 0$  by Peano's First Postulate.) Hence  $n = n + 0 \in n + p^+$  by Theorem 4N.

**Exercise 23** The proof is by induction on n. The statement is vacuously true for n = 0.

Suppose the statement is true for n. Let  $m \in n^+$ . Then  $m \in n$ .

If m = n, then we have  $m + 0^+ = n^+$ .

If  $m \in n$ , pick p such that  $m + p^+ = n$  by the induction hypothesis. Then  $m + p^{++} = n^+$ .

**Exercise 24** Suppose  $m \in p$ . Then we cannot have  $n \in q$  or n = q, as either of these would imply  $m + n \in p + q$ . Hence  $q \in n$  by trichotomy.

We prove  $q \in n \Rightarrow m \in p$  similarly.

**Exercise 25** By Exercise 23, pick natural numbers a and b such that  $m = n + a^+$  and  $p = q + b^+$ . Then

$$mp + nq = (n + a^{+})(q + b^{+}) + nq$$

$$= nq + nq + a^{+}q + nb^{+} + a^{+}b^{+}$$

$$= (n + a^{+})q + n(q + b^{+}) + a^{+}b^{+}$$

$$= mq + np + (a^{+} + b)^{+}$$

Hence  $mq + np \in mp + nq$  by Exercise 22.

**Exercise 26** The proof is by induction on n.

If n=0 then ran f is a singleton and its sole element is the largest element. Suppose the result is true for n. Let  $f: n^{++} \to A$ . Then  $f[n^+]$  has a largest element f(k), say. If  $f(k) \subseteq f(n^+)$  then  $f(n^+)$  is greatest in ran f; otherwise f(k) is greatest.

**Exercise 27** We prove  $f_1(n) = f_2(n)$  for all  $n \in \omega$  by strong induction on n. Assume that  $(\forall m \in n) f_1(m) = f_2(m)$ . Then  $f_1 \upharpoonright n = f_2 \upharpoonright n$ . So

$$f_1(n) = G(f_1 \upharpoonright n)$$
$$= G(f_2 \upharpoonright n)$$
$$= f_2(n)$$

**Exercise 28** Suppose  $\omega$  is not transitive. Then there exists a natural number n such that  $n \not\subseteq \omega$ . Let n be the least such number. There exists  $x \in n$  such that  $x \notin \omega$ . Now,  $n \neq 0$  (because it is nonempty) so  $n = p^+$  for some natural number p. We have  $x \in p^+$  so  $x \in p$  or x = p. We cannot have x = p (because x is not a natural number) so we have  $x \in p$ . But this contradicts the minimality of n.

#### 4.6 Review Exercises

Exercise 29  $4 = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}, \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}\}\}$ 

**Exercise 30**  $\bigcup 4 = 0 \cup 1 \cup 2 \cup 3 = 3$  since 0, 1 and 2 are all subsets of 3.  $\bigcap 4 = 0 \cap 1 \cap 2 \cap 3 = 0 (= \emptyset)$ .

**Exercise 31** Similarly to Exercise 30 we have  $\bigcup \bigcup 7 = \bigcup 6 = 5$ .

Exercise 32

(a) 
$$A^+ = A \cup \{A\} = \{1, A\} = \{1, \{1\}\}\$$
  
So  $\bigcup A^+ = 1 \cup \{1\} = \{0, 1\} = 2$ 

**(b)** 
$$\bigcup(\{2\}^+) = \bigcup\{2,\{2\}\} = \{0,1,2\} = 3$$

Exercise 33

- (a) Yes if  $x \in y \in \{0, 1, \{1\}\}$  then x is either 0 or 1, and in either case  $x \in \{0, 1, \{1\}\}$ 
  - **(b)** No  $0 \in 1 \in \{1\}$  but  $0 \notin \{1\}$
  - (c) No  $0 \in \{0\} \in (0, 1)$  but  $0 \notin (0, 1)$ .

Exercise 34

(a) Let  $a = {\emptyset}$  and  $b = {\emptyset}$ 

**(b)** Let  $c = \{\{\emptyset\}\}, d = \{\emptyset\} \text{ and } e = \emptyset$ 

#### Exercise 35

- (a) Let  $T_1 = \{\{1\}, \{1, 0\}, 0, 1\}$
- **(b)** Let  $T_2 = \{\langle 1, 0 \rangle, \{1\}, \{1, 0\}, 0, 1\}.$

#### Exercise 36

$$h(4) = 2h(3)$$

$$= 4h(2)$$

$$= 8h(1)$$

$$= 16h(0)$$

$$= 48$$

#### Exercise 37

(a) Let  $f: m \to A$  and  $g: n \to B$  be bijections. Define  $h: m+n \to A \cup B$  by

$$h(p) = f(p)$$
 if  $p \in m$   
 $h(m+q) = g(q)$  if  $q \in n$ 

To show that this is well-defined, we must prove two things:

- 1. For all  $p \in m+n$ , then either  $p \in m$  or there exists  $q \in n$  such that p=m+n.
- 2. We never have  $p \in m$  and p = m + q for some  $q \in n$ .

We prove 1 by induction on n. For all  $p \in m+0$  we have  $p \in m$ , so the result holds for n=0.

Now, suppose the result holds for n. Let  $p \in m+n^+=(m+n)^+$  so  $p\underline{in}m+n$ . If  $p \in m+n$ , we simply apply the induction hypothesis. If p=m+n then p=m+q where  $q=n \in n^+$ .

To prove 2, if p=m+q then  $m=m+0\underline{in}m+q=p$  by Theorem 4N, hence  $p\notin m$  by trichotomy.

It remains to show that h is a bijection.

To prove h is injective, we consider three cases. If h(p) = h(p') where  $p, p' \in m$ , then f(p) = f(p') so p = p'. If h(m+q) = h(m+q') where  $q, q' \in n$ , then g(q) = g(q') so q = q'. And we cannot have h(p) = h(m+q) for  $p \in m$  and  $q \in n$  since  $h(p) \in A$ ,  $h(m+q) \in B$ , and  $A \cap B = \emptyset$ .

To prove h is surjective, let  $x \in A \cup B$ . If  $x \in A$ , there is some  $p \in m$  with f(p) = x, so h(p) = x. If  $x \in B$ , there is some  $q \in n$  with g(q) = x, so h(m+q) = x.

**(b)** Let  $f: m \to A$  and  $g: n \to B$  be bijections.

We first show that, for any  $p \in mn$ , there exist unique  $i \in m$  and  $j \in n$  such that p = mj + i.

By Exercise 19, there exist j and  $i \in m$  such that p = mj + i. We have  $j \in n$  since otherwise  $p = mj + i \supseteq mj \supseteq mn$ .

For uniqueness, suppose mj+i=mj'+i' where  $i,i'\in m$  and  $j,j'\in n$ . Then we have

$$mj \in mj + i = mj' + i' \in mj' + m = m(j')^+$$

so  $j \in (j')^+$  and  $j \in j'$ . Similarly  $j' \in j$ , and so j = j'. Therefore i = i' by the cancellation law for addition.

Now define  $h: mn \to A \times B$  by

$$h(mj+i) = \langle f(i), g(j) \rangle$$

where  $i \in m$  and  $j \in n$ . It is easy to check that h is bijective.

**Exercise 38** h(n) = 3n + 1

**Exercise 39**  $h(n) = n^2$ 

**Exercise 40**  $h(n^+) = h(n) + 5$ 

### Chapter 5

# Chapter 5 — Construction of the Real Numbers

#### 5.1 Integers

**Exercise 1** No, because  $[\langle 0, 0 \rangle] = [\langle 1, 1 \rangle]$  but  $[\langle 0, 0 \rangle] \neq [\langle 2, 1 \rangle]$ .

**Exercise 2** Yes, because if  $[\langle m,n\rangle] = [\langle p,q\rangle]$  then  $[\langle m,m\rangle] = [\langle p,p\rangle]$  because m+p=m+p.

**Exercise 3** Yes, because if  $[\langle m, n \rangle] = [\langle p, q \rangle]$  then  $[\langle n, m \rangle] = [\langle q, p \rangle]$  because n + p = m + q.

**Exercise 4** Let  $a = [\langle m, n \rangle], b = [\langle p, q \rangle]$  and  $c = [\langle r, s \rangle]$ . Then

$$\begin{split} a+_Z \left(b+_Z c\right) &= \left[\langle m,n\rangle\right] +_Z \left[\langle p+r,q+s\rangle\right] \\ &= \left[\langle m+(p+r),n+(q+s)\rangle\right] \\ &= \left[\langle (m+p)+r,(n+q)+s\rangle\right] \\ &= \left[\langle m+p,n+q\rangle\right] +_Z \left[\langle r,s\rangle\right] \\ &= (a+_Z b) +_Z c \end{split}$$

Exercise 5

$$[\langle m,n\rangle]-[\langle p,q\rangle]=[\langle m,n\rangle]+[\langle q,p\rangle]=[\langle m+q,n+p\rangle]$$

**Exercise 6** Let  $a = [\langle m, n \rangle]$ . Then

$$\begin{aligned} a \cdot_Z 0_Z &= [\langle m, n \rangle] \cdot_Z [\langle 0, 0 \rangle] \\ &= [\langle m0 + n0, m0 + n0 \rangle] \\ &= [\langle 0, 0 \rangle] \\ &= 0_Z \end{aligned}$$

**Exercise 7** We have  $a \cdot_Z b +_Z a \cdot_Z (-b) = a \cdot_Z (b +_Z (-b)) = a \cdot_Z 0_Z = 0_Z$ , hence  $a \cdot_Z (-b) = -(a \cdot_Z b)$  by the uniqueness of inverses. We prove  $(-a) \cdot_Z b = -(a \cdot_Z b)$  similarly.

#### Exercise 8

- (a) This says  $[\langle m+n,0\rangle] = [\langle m,0\rangle] +_Z [\langle n,0\rangle]$ , which is true from the definition of  $+_Z$ .
  - (b) We have

$$E(m) \cdot_Z E(n) = [\langle m, 0 \rangle] \cdot_Z [\langle n, 0 \rangle]$$
$$= [\langle mn + 0 \cdot 0, m0 + n0 \rangle]$$
$$= E(mn)$$

(c)

$$\begin{split} E(m) <_Z E(n) &\Leftrightarrow [\langle m, 0 \rangle] <_Z [\langle n, 0 \rangle] \\ &\Leftrightarrow m + 0 \in n + 0 \\ &\Leftrightarrow m \in n \end{split}$$

Exercise 9

$$E(m) - E(n) = [\langle m, 0 \rangle] - [\langle n, 0 \rangle]$$
$$= [\langle m, n \rangle]$$

by Exercise 5.

#### 5.2 Rational Numbers

**Exercise 10** Let  $r = [\langle a, b \rangle]$ . Then

$$\begin{split} r \cdot_Q 0_Q &= [\langle a, b \rangle] \cdot_Q [\langle 0, 1 \rangle] \\ &= [\langle a \cdot_Z 0, b \cdot_Z 1 \rangle] \\ &= [\langle 0, b \rangle] \\ &= [\langle 0, 1 \rangle] \end{split}$$

since  $\langle 0, b \rangle \sim \langle 0, 1 \rangle$  because  $0 \cdot_Z 1 = 0 \cdot_Z b = 0$ .

**Exercise 11** Let  $r = [\langle a, b \rangle]$  and  $s = [\langle c, d \rangle]$ . Suppose  $r \cdot_Q s = 0_Q$ . Then

$$[\langle ac, bd \rangle] = [\langle 0, 1 \rangle]$$

that is, ac = 0. Hence a = 0 or c = 0, which means  $r = 0_Q$  or  $s = 0_Q$ .

**Exercise 12** This follows from Theorem 5QJ(a) with  $s = 0_Q$  and t = -r.

**Exercise 13** Let  $a, b, c \in \mathbb{Z}$ . If  $a +_Z c = b +_Z c$  then

$$a +_Z c +_Z (-c) = b +_Z c +_Z (-c)$$
  
 $\therefore a +_Z 0 = b +_Z 0$  (Theorem 5ZD(b))  
 $\therefore a = b$  (Theorem 5ZD(a))

**Exercise 14** Suppose  $p <_Q s$ . Let  $r = (p +_Q s)/2$ . Then

$$p <_Q s$$

$$\therefore 2p <_Q p +_Q s$$

$$\therefore p <_Q (p +_Q s)/2$$

$$= r$$

$$p <_Q s$$

$$\therefore p +_Q s <_Q 2s$$

$$\therefore (p +_Q s)/2 <_Q s$$

$$\therefore r <_Q s$$

#### 5.3 Real Numbers

Exercise 15 Proof:

- $\langle 1 \rangle 1$ .  $\bigcup A$  is closed downwards.
  - $\langle 2 \rangle 1$ . Let:  $q \in \bigcup A$  and p < q
  - $\langle 2 \rangle 2$ . PICK  $x \in A$  such that  $q \in x$
  - $\langle 2 \rangle 3. \ p \in x$

PROOF: Since x is closed downwards.

- $\langle 2 \rangle 4. \ p \in \bigcup A$
- $\langle 1 \rangle 2$ .  $\bigcup A$  has no largest element.
  - $\langle 2 \rangle 1$ . Let:  $q \in \bigcup A$
  - $\langle 2 \rangle 2$ . PICK  $x \in A$  such that  $q \in x$
  - $\langle 2 \rangle 3$ . Pick  $r \in x$  such that q < r

PROOF: Since x has no largest element.

 $\langle 2 \rangle 4. \ r \in \bigcup A$ 

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#### Exercise 16 PROOF:

- $\langle 1 \rangle 1$ . Let:  $q \in x +_R y$
- $\langle 1 \rangle 2$ . PICK rationals  $a \in x$  and  $b \in y$  such that q = a + b
- $\langle 1 \rangle 3$ . PICK  $a' \in x$  and  $b' \in y$  such that a < a' and b < b' PROOF: Since x and y each have no largest element.

$$\langle 1 \rangle 4. \ \ q < a' + b' \in x +_R y$$

**Exercise 17** If b < 0 we can take k = 0. If  $b \ge 0$  then there is a natural number n such that b = E(n); take  $k = n^+$ . Then b < ak since  $1 \le a$  and b < k.

**Exercise 18** Let  $p = [\langle a, b \rangle]$  and  $r = [\langle c, d \rangle]$  where a, b and d are positive. By Exercise 17, there exists a natural number k such that bc < adE(k). Therefore r .

**Exercise 19** Pick a rational  $a \in x$  (which we can do since  $x \neq \emptyset$ ). We first prove that there exists a natural number k such that  $a + kp \notin x$ .

Pick a rational  $b \notin x$  (which we can do since  $x \neq \mathbb{Q}$ ). We have a < b (since x is closed downwards). By Exercise 18, there exists a natural number k such that

$$b - a < kp$$

$$\therefore a + kp > b$$

$$\therefore a + kp \notin x$$

Now, let k be the least natural number such that  $a+kp\notin x$  (by the Well-Ordering Principle). We have  $k\neq 0$  (since  $a\in x$ ); let  $k=n^+$ . Then we have

$$a + np \in x$$
  $a + np + p \notin x$ 

Take q = a + np.

**Exercise 20** We must prove  $0 \subseteq x \cup -x$ . Let  $q \in 0$  and assume  $q \notin x$ . Then q < 0 and  $-0 = 0 \notin x$ , so  $q \in -x$ .

#### Exercise 21 Proof:

- $\langle 1 \rangle 1$ . Let: x, y be real numbers with x < y
- $\langle 1 \rangle 2$ . PICK  $r \in y$  such that  $r \notin x$
- $\langle 1 \rangle 3$ . Pick  $s \in y$  such that r < sProve: x < E(s) < y
- $\langle 1 \rangle 4. \ x \subseteq E(s)$

PROOF: If  $p \in x$  then p < r < s

 $\langle 1 \rangle 5. \ x \neq E(s)$ 

PROOF: Since  $r \in E(s)$  and  $r \notin x$ 

 $\langle 1 \rangle 6. \ E(s) \subseteq y$ 

Proof: Since y is closed downwards.

 $\begin{array}{l} \langle 1 \rangle 7. \ E(s) \neq y \\ \text{PROOF: Since } s \in y \text{ but } s \notin E(s). \end{array}$ 

**Exercise 22** |x| is either x or -x, and they are both real numbers.

## Chapter 6

# Chapter 6 — Cardinal Numbers and the Axiom of Choice

#### 6.1 Equinumerosity

```
Exercise 1 Proof:
\langle 1 \rangle 1. f is injective.
    \langle 2 \rangle 1. Assume: f(m,n) = f(m',n')
    \langle 2 \rangle 2. 2^m (2n+1) = 2^{m'} (2n'+1)
    \langle 2 \rangle 3. \ m = m'
       \langle 3 \rangle 1. Assume: w.l.o.g. m \leq m'
       \langle 3 \rangle 2. 2n + 1 = 2^{m' - m} (2n' + 1)
          PROOF: From \langle 2 \rangle 2 dividing by 2^m.
       \langle 3 \rangle 3. \ m' - m = 0
          PROOF: Since 2^{m'-m}(2n'+1) is odd.
    \langle 2 \rangle 4. 2n + 1 = 2n' + 1
    \langle 2 \rangle 5. n = n'
\langle 1 \rangle 2. f is surjective.
   \langle 2 \rangle 1. Let: n \in \omega
            Assume: \forall m < n.m \in \operatorname{ran} f
            PROVE: n \in \operatorname{ran} f
   \langle 2 \rangle 2. Case: n is even
       \langle 3 \rangle 1. Let: k be such that n = 2k
       \langle 3 \rangle 2. n = f(0, k)
    \langle 2 \rangle 3. Case: n is odd
       \langle 3 \rangle 1. Let: k be such that n = 2k + 1
       \langle 3 \rangle 2. Let: k = f(i, j)
       \langle 3 \rangle 3. \ \ n = f(i+1,j)
```

Proof:

$$n = 2k + 1$$

$$= 2(2^{i}(2j + 1) - 1) + 1$$

$$= 2^{i+1}(2j + 1) - 2 + 1$$

$$= 2^{i+1}(2j + 1) - 1$$

**Exercise 2** Let us call (0) the 0th diagonal, (1,2) the 1st diagonal, (3,4,5) the 2nd diagonal, etc. Then the kth is the set of all positions with coordinates (m,n) such that m+n=k.

Therefore, the number J(m,n) at position (m,n) is the m+1st number in the (m+n)th diagonal. So the number of numbers that come before J(m,n) is

$$(1+2+\cdots+(m+n))+m$$

Therefore, since the natural numbers start at 0,

$$J(m,n) = (1 + 2 + \dots + (m+n)) + m$$

We know  $1+2+\cdots+k=k(k+1)/2$ . Therefore,

$$J(m,n) = 1/2(m+n)(m+n+1) + m$$

$$= 1/2(m^2 + 2mn + m + n + n^2) + m$$

$$= 1/2(m^2 + 2mn + 3m + n + n^2)$$

$$= 1/2((m+n)^2 + 3m + n)$$

**Exercise 3** Define  $f:(0,1) \to \mathbb{R}$  by: f(x) = 1/x - 2 if  $0 < x \le 1/2$ ; f(x) = 2 - 1/(1 - x) if 1/2 < x < 1.

**Exercise 4** Define  $f:[0,1] \to (0,1)$  by

$$f(1/2 - 1/2^n) = 1/2 - 1/2^{n-1}$$
 (for  $n$  a positive integer)  

$$f(1/2 + 1/2^n) = 1/2 + 1/2^{n-1}$$
 (for  $n$  a positive integer)  

$$f(x) = x$$
 (for all other  $x$ )

#### Exercise 5

- (a) For any set A, the identity function  $I_A$  is a bijection between A and A. It is injective because, if  $I_A(x) = I_A(y)$  then x = y immediately. It is surjective because for any  $y \in I_A$  we have  $y = I_A(y)$ .
- (b) We prove that, if f is a bijection between A and B, then  $f^{-1}$  is a bijection between B and A. It is an injective function by Theorem 3F, and maps B onto A by Theorem 3E.

(c) Let f be a bijection between A and B, and g a bijection between A and C. We prove  $g \circ f$  is a bijection between A and C.

It is a function from A to C by Theorem 3H.

We prove it is injective. Let  $x,y\in A$  and assume  $(g\circ f)(x)=(g\circ f)(y).$  Then

$$g(f(x)) = g(f(y))$$
  
 $\therefore f(x) = f(y)$  (g is injective)  
 $\therefore x = y$  (f is injective)

Now we prove it maps A onto C. Let  $c \in C$ . Pick  $b \in B$  such that g(b) = c (since g is surjective). Pick  $a \in A$  such that f(a) = b (since f is injective). Then  $(g \circ f)(a) = c$ .

#### 6.2 Finite Sets

**Exercise 6** Suppose every set of cardinality  $\kappa$  belongs to A. We will prove that every set belongs to  $\bigcup A$ .

Let x be any set. Pick a set y of cardinality  $\kappa$ . If  $x \in y$  then  $x \in y \in A$  so  $x \in \bigcup A$ .

Assume  $x \notin y$ . Pick an element  $z \in y$  (we know y is nonempty because  $\kappa \neq 0$ ). Then  $y - \{z\} \cup \{x\}$  has cardinality  $\kappa$ , and so  $x \in (y - \{z\} \cup \{x\}) \in A$  hence  $x \in \bigcup A$ .

Thus, every set is in  $\bigcup A$ , which we know is impossible by Theorem 2A.

**Exercise 7** If f is one-to-one then f is a bijection between A and ran f. So we must have ran f = A, otherwise f would be a bijection between A and a proper subset of A, contradicting the Pigeonhole Principle.

Conversely, suppose ran f = A. Pick a right inverse  $h : A \to A$  for f (by Theorem 3J(b). Note: Theorem 3J(b) can in fact be proved for the case B is finite without using the Axiom of Choice.). Now, h is one-to-one by Theorem 3J(a). So ran h = A by the first paragraph.

We prove f is one-to-one. Let  $x, y \in A$  and assume f(x) = f(y). Pick  $a, b \in A$  such that h(a) = x and h(b) = y. Then

$$f(h(a)) = f(h(b))$$

$$\therefore a = b$$

$$\therefore x = y$$

Exercise 8 Proof:

 $\langle 1 \rangle 1$ . For any sets A and x, if A is finite then  $A \cup \{x\}$  is finite.

 $\langle 2 \rangle 1$ . Case:  $x \in A$ 

PROOF: In this case  $A \cup \{x\} = A$ .

 $\langle 2 \rangle 2$ . Case:  $x \notin A$ 

```
PROOF: Then |A \cup \{x\}| = |A|^+.
```

- $\langle 1 \rangle 2$ . Let: A be a finite set.
- $\langle 1 \rangle 3$ . For any set B, if  $B \approx 0$  then  $A \cup B$  is finite.

PROOF: Because  $B = \emptyset$  so  $A \cup B = A$ .

- $\langle 1 \rangle 4$ . Let n be a natural number. Assume that, for any set B, if  $B \approx n$  then  $A \cup B$  is finite. Then for any set B, if  $B \approx n^+$  then  $A \cup B$  is finite.
  - $\langle 2 \rangle 1$ . Let:  $n \in \omega$
  - $\langle 2 \rangle 2$ . Assume: For any set B, if  $B \approx n$  then  $A \cup B$  is finite.
  - $\langle 2 \rangle 3$ . Let: B be a set.
  - $\langle 2 \rangle 4$ . Assume:  $B \approx n^+$
  - $\langle 2 \rangle$ 5. Pick a bijection  $f: n^+ \to B$
  - $\langle 2 \rangle 6$ .  $B \{f(n)\} \approx n$
  - $\langle 2 \rangle 7$ .  $A \cup (B \{f(n)\})$  is finite.
  - $\langle 2 \rangle 8$ .  $A \cup B$  is finite.

PROOF: By  $\langle 1 \rangle 1$  since  $A \cup B = (A \cup (B - \{f(n)\})) \cup \{f(n)\}.$ 

#### 

#### Exercise 9 Proof:

- $\langle 1 \rangle 1$ . Let: A be a finite set.
- $\langle 1 \rangle 2$ . For any set B, if  $B \approx 0$  then  $A \times B$  is finite.

PROOF: In this case  $A \times B = \emptyset$ .

- $\langle 1 \rangle 3$ . Let *n* be a natural number. Suppose that, for any set *B*, if  $B \approx n$  then  $A \times B$  is finite. Then for any set *B*, if  $B \approx n^+$  then  $A \times B$  is finite.
  - $\langle 2 \rangle 1$ . Let: n be a natural number.
  - $\langle 2 \rangle 2$ . Assume: For any set B, if  $B \approx n$  then  $A \times B$  is finite.
  - $\langle 2 \rangle 3$ . Let: B be a set.
  - $\langle 2 \rangle 4$ . Assume:  $B \approx n^+$
  - $\langle 2 \rangle$ 5. Pick a bijection  $f: n^+ \approx B$
  - $\langle 2 \rangle 6$ .  $A \times (B \{f(n)\})$  is finite.

PROOF: By the induction hypothesis  $\langle 2 \rangle 2$ .

 $\langle 2 \rangle 7$ .  $A \times B$  is finite.

PROOF: By Exercise 8 since  $A \times B = (A \times (B - \{f(n)\})) \cup (A \times \{f(n)\})$  and  $A \times \{f(n)\}$  is finite because it is equinumerous with A.

#### 

#### 6.3 Cardinal Arithmetic

**Exercise 10** We must show that  ${}^{(L\cup M)}K\approx^LK\times^MK$  where  $L\cap M=\emptyset$ . Define  $\Phi: {}^{(L\cup M)}K\to^LK\times^MK$  by:  $\Phi(f)=\langle f\restriction L, f\restriction M\rangle$ .

To show  $\Phi$  is one-to-one: suppose  $\Phi(f) = \Phi(g)$ . Then  $f \upharpoonright L = g \upharpoonright L$  and  $f \upharpoonright M = g \upharpoonright M$ . Hence f(x) = g(x) for all  $x \in L$  and f(x) = g(x) for all  $x \in M$ , so f(x) = g(x) for all x, i.e. f = g.

To show  $\Phi$  is surjective: given a function  $g: L \to K$  and  $h: M \to K$ , we have  $g \cup h: L \cup M \to K$  and  $\Phi(g \cup h) = \langle g, h \rangle$ .

**Exercise 11** We must show that  ${}^{M}(K \times L) \approx^{M} K \times^{M} L$ .

Define  $\Phi:^M(K\times L)\to^MK\times^ML$  by:  $\Phi(f)=\langle \pi_1\circ f,\pi_2\circ f\rangle$ , where  $\pi_1:K\times L\to K$  is the function defined by

$$\pi_1(\langle x, y \rangle) = x$$

and  $\pi_2: K \times L \to L$  is the function defined by

$$\pi_2(\langle x, y \rangle) = y$$
.

To show  $\Phi$  is one-to-one: suppose  $\Phi(f) = \Phi(g)$ . For any  $x \in M$ , we have  $\pi_1(f(x)) = \pi_1(g(x))$  and  $\pi_2(f(x)) = \pi_2(g(x))$ , so f(x) = g(x) by Theorem 3A. To show  $\Phi$  is surjective: given  $g: M \to K$  and  $h: M \to L$ , define  $f: M \to K \times L$  by  $f(x) = \langle g(x), h(x) \rangle$  for  $x \in M$ . Then  $\Phi(f) = \langle g, h \rangle$ .

Exercise 12 We have:

$$K \cup L = L \cup K$$
 
$$K \cup (L \cup M) = (K \cup L) \cup M$$
 
$$K \times (L \cup M) = (K \times L) \cup (K \times M)$$

**Exercise 13** Now that we have shown the union of two finite sets is finite, this follows by an easy induction on |B|.

**Exercise 14** For any set A, let Perm(A) be the set of all permutations of A. Assume  $K \approx L$ : we must show  $Perm(K) \approx Perm(L)$ . Pick a bijection  $f: K \to L$ . Define  $\Phi: Perm(K) \to Perm(L)$  by:  $\Phi(g) = f \circ g \circ f^{-1}$ . It is easy to show  $\Phi(g)$  is a permutation of L whenever g is a permutation of K, and  $\Phi$  is a bijection.

#### 6.4 Ordering Cardinal Numbers

**Exercise 15** Suppose for a contradiction  $\mathcal{A}$  is a set and, for every set x, there exists  $y \in \mathcal{A}$  such that  $x \leq y$ . Pick  $y \in \mathcal{A}$  such that  $\mathcal{P} \bigcup \mathcal{A} \leq y$ . But  $y \subseteq \bigcup \mathcal{A}$  so  $\mathcal{P} \bigcup \mathcal{A} \leq \bigcup \mathcal{A}$ , contradicting Cantor's Theorem.

**Exercise 16** Define  $G: S \to^S 2$  by

$$G(x)(y) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{if } x \neq y \end{cases}$$

Then G is injective.

Now, assume for a contradiction  $F: S \to^S 2$  is bijective. Define  $g: S \to 2$  by g(x) = 1 - F(x)(x). Then  $g(x) \neq F(x)(x)$  for all  $x \in S$ , so  $g \neq F(x)$  for all  $x \in S$ . Hence  $g \notin \operatorname{ran} F$ . This contradicts the assumption that F is surjective.

```
Exercise 17 We have 1 < 2 but \aleph_0 + 1 = \aleph_0 + 2 = \aleph_0. We have 1 < 2 but \aleph_0 \cdot 1 = \aleph_0 \cdot 2 = \aleph_0.
```

We have 2 < 3 but  $2^{\aleph_0} = 3^{\aleph_0}$ .

We have 2 < 3 but  $\aleph_0^2 = \aleph_0^3 = \aleph_0$ .

#### 6.5 Axiom of Choice

#### Exercise 18 Proof:

- $\langle 1 \rangle 1$ . If the Axiom of Choice is true then the statement is true.
  - PROOF: The statement is a special case of the multiplicative axiom, taking  $I = \mathcal{A}$  and H(X) = X for each  $X \in \mathcal{A}$ .
- $\langle 1 \rangle 2$ . If the statement is true then the Axiom of Choice is true.
  - $\langle 2 \rangle 1.$  Assume: The statement is true.

Prove: Axiom of choice IV

- $\langle 2 \rangle 2$ . Let:  $\mathcal{A}$  be a set such that each member of  $\mathcal{A}$  is a nonempty set, and any two distinct members of  $\mathcal{A}$  are disjoint.
- $\langle 2 \rangle 3$ . PICK a function f with domain  $\mathcal{A}$  such that  $f(X) \in X$  for all  $X \in \mathcal{A}$
- $\langle 2 \rangle 4$ . Let:  $C = \operatorname{ran} f$
- $\langle 2 \rangle 5. \ \forall B \in \mathcal{A}.C \cap B = \{f(B)\}$

#### Exercise 19 PROOF:

- $\langle 1 \rangle 1$ . For  $n \in \omega$ , let P(n) be the statement: for every set I with card I = n and function H with domain I such that H(i) is nonempty for each  $i \in I$ , there exists a function f with domain I such that  $\forall i \in I. f(i) \in H(i)$ .
- $\langle 1 \rangle 2$ . P(0) is true

Proof: Take  $f = \emptyset$ 

- $\langle 1 \rangle 3. \ \forall n \in \omega. P(n) \Rightarrow P(n+1)$ 
  - $\langle 2 \rangle 1$ . Let:  $n \in \omega$
  - $\langle 2 \rangle 2$ . Assume: P(n)
  - $\langle 2 \rangle 3$ . Let: I be a set with card I = n + 1
  - $\langle 2 \rangle 4$ . Let: H be a function with domain I such that H(i) is nonempty for each  $i \in I$
  - $\langle 2 \rangle$ 5. Pick a bijection  $g: n+1 \approx I$
  - $\langle 2 \rangle 6$ . Pick a function h with domain g[n] such that  $\forall i \in g[n].h(i) \in H(i)$
  - $\langle 2 \rangle 7$ . Pick  $a \in H(g(n))$
  - $\langle 2 \rangle 8$ . Let:  $f = h \cup \{(g(n), a)\}$
  - $\langle 2 \rangle 9$ . f is a function with domain I such that  $\forall i \in I. f(i) \in H(i)$

#### Exercise 20 PROOF:

- $\langle 1 \rangle 1$ . PICK a choice function F for A
- $\langle 1 \rangle 2$ . Pick  $a \in A$

```
\langle 1 \rangle 3. Define the function f : \omega \to A by:
                                                   f(n^+) = F(R^{-1}(f(n)))
    PROOF: We know R^{-1}(x) is nonempty for all x \in A because \forall x \in A . \exists y \in A
    A.yRx.
\begin{array}{l} \langle 1 \rangle 4. \ \forall n \in \omega. f(n^+) R f(n) \\ \square \end{array}
Exercise 21 Proof:
\langle 1 \rangle 1. For every chain \mathcal{B} \subseteq \mathcal{A} we have \bigcup \mathcal{B} \in \mathcal{A}
    \langle 2 \rangle 1. Let: \mathcal{B} \subseteq \mathcal{A} be a chain.
    \langle 2 \rangle 2. Every finite subset of \bigcup \mathcal{B} is a member of \mathcal{A}.
        \langle 3 \rangle 1. Let: \{x_1, \ldots, x_n\} \subseteq \bigcup \mathcal{B} be finite.
        \langle 3 \rangle 2. For 1 \leq i \leq n, PICK B_i \in \mathcal{B}_i such that x_i \in B_i
        \langle 3 \rangle 3. Pick m such that B_1, \ldots, B_n \subseteq B_m
           PROOF: Since \mathcal{B} is a chain.
        \langle 3 \rangle 4. \{x_1, \ldots, x_n\} is a finite subset of B_m.
        \langle 3 \rangle 5. \{x_1, \dots, x_n\} \in \mathcal{A}
            PROOF: Since B_m \in \mathcal{A} so every finite subset of B_m is a member of \mathcal{A}.
    \langle 2 \rangle 3. \bigcup \mathcal{B} \in \mathcal{A}
\langle 1 \rangle 2. Q.E.D.
    PROOF: By Zorn's Lemma.
Exercise 22 Proof:
\langle 1 \rangle 1. If the Axiom of Choice is true then the statement is true.
    \langle 2 \rangle 1. Assume: The Axiom of Choice
    \langle 2 \rangle 2. Let: A be a set.
    \langle 2 \rangle 3. Let: R = \{ \langle x, y \rangle : y \in A, x \in t \}
    \langle 2 \rangle 4. PICK a function F \subseteq R such that dom F = \text{dom } R
    \langle 2 \rangle 5. dom R = \bigcup A
    \langle 2 \rangle 6. \ \forall x \in \bigcup A.x \in F(x) \in A
\langle 1 \rangle 2. If the statement is true then the Axiom of Choice is true.
    \langle 2 \rangle 1. Assume: the statement
    \langle 2 \rangle 2. Let: R be a relation
    \langle 2 \rangle 3. Let: A = \{ \{ \langle 0, x \rangle, \langle 1, y \rangle \} : xRy \}
    \langle 2 \rangle 4. PICK a function F with domain \bigcup A such that dom F = \bigcup A and \forall x \in A
```

#### Exercise 23

 $\langle 1 \rangle 1. \ g[0] = h(0)$ 

PROOF: Both are equal to  $\emptyset$ .

 $\bigcup A.x \in F(x) \in A$ 

 $\langle 2 \rangle 5. \text{ Let: } H = \{ \langle x,y \rangle \mid x \in \text{dom } R, F(x) = \{ \langle 0,x \rangle, \langle 1,y \rangle \} \}$ 

 $\langle 2 \rangle 6$ . H is a function,  $H \subseteq R$ , dom H = dom R

```
\langle 1 \rangle 2. \ \forall n \in \omega. g[[n]] = h(n) \Rightarrow g[[n^+]] = h(n^+)
   \langle 2 \rangle 1. Let: n \in \omega
   \langle 2 \rangle 2. Assume: g[n] = h(n)
   \langle 2 \rangle 3. \ g[n^+] = h(n^+)
       Proof:
                                        h(^+) = h(n) \cup \{F(A - h(n))\}\
                                                = g \llbracket n \rrbracket \cup \{g(n)\}
                                                =g[n^+]
Exercise 24 Let \{\kappa_i\}_{i\in I} be a family of cardinal numbers. For i\in I, let K_i
be a set such that card K_i = \kappa_i.
     We define \sum_{i\in I} \kappa_i to be \operatorname{card}\{\langle i,x\rangle: i\in I, x\in K_i\}
We define \prod_{i\in I} \kappa_i to be \operatorname{card}\{f: f \text{ is a function}, \operatorname{dom} f = I, \forall i\in I. f(i)\in I. f(i)\}
K_i }.
Exercise 25 Proof:
\langle 1 \rangle 1. Assume: for a contradiction \forall n \in \omega.B \nsubseteq S(n)
\langle 1 \rangle 2. PICK a function b: \omega \to B such that \forall n \in \omega.b(n) \notin S(n)
   PROOF: By the Axiom of Choice.
\langle 1 \rangle 3. Let: B' = \{b(n) : n \in \omega\}
\langle 1 \rangle 4. B' is infinite.
   \langle 2 \rangle 1. Assume: for a contradiction B' is finite.
   \langle 2 \rangle 2. There exists N such that \forall n > N. \exists k \leq N. b(n) = b(k)
   \langle 2 \rangle 3. Pick M > N such that \forall k \leq N.b(k) \in S(M)
       PROOF: For k \leq N there exists n_k such that b(k) \in S(n_k). Take M to be
       the largest of these numbers and N+1.
   \langle 2 \rangle 4. \ b(M) \in S(M)
       PROOF: Since b(M) = b(k) for some k \leq N.
   \langle 2 \rangle 5. Q.E.D.
       PROOF: This contradicts \langle 1 \rangle 2.
\langle 1 \rangle5. PICK n such that B' \cap S(n) is infinite.
\langle 1 \rangle 6. Pick m > n such that b(m) \in B' \cap S(n)
   PROOF: There must be some m otherwise B' \cap S(n) \subseteq \{b(0), b(1), \dots, b(n)\}
   would be finite.
\langle 1 \rangle 7. \ b(m) \in S(m)
   PROOF: Since S(n) \subseteq S(m).
\langle 1 \rangle 8. Q.E.D.
```

#### 6.6 Countable Sets

PROOF: This contradicts  $\langle 1 \rangle 2$ .

Exercise 26 Proof:

 $\langle 1 \rangle 1$ . PICK a set K of cardinality  $\kappa$ 

- $\langle 1 \rangle 2$ . For all  $X \in \mathcal{A}$ , there exists an injective function  $X \to K$
- (1)3. PICK a function F with domain  $\mathcal{A}$  such that, for all  $X \in A$ , F(X) is an injective function  $X \to K$

PROOF: By the Axiom of Choice.

 $\langle 1 \rangle 4$ . PICK a function G with domain  $\bigcup \mathcal{A}$  such that, for all  $x \in \bigcup \mathcal{A}$ , we have  $x \in G(x) \in \mathcal{A}$ 

PROOF: By Exercise 22.

```
\langle 1 \rangle 5. Define f: \bigcup \mathcal{A} \to \mathcal{A} \times K by f(x) = \langle G(x), F(G(x))(x) \rangle
```

 $\langle 1 \rangle 6$ . f is injective.

```
\langle 2 \rangle 1. Let: x, y \in \bigcup \mathcal{A}
```

$$\langle 2 \rangle 2$$
. Assume:  $f(x) = f(y)$ 

$$\langle 2 \rangle 3$$
.  $G(x) = G(y)$  and  $F(G(x))(x) = F(G(y))(y)$ 

$$\langle 2 \rangle 4$$
.  $F(G(x))(x) = F(G(x))(y)$ 

 $\langle 2 \rangle 5. \ x = y$ 

PROOF: Since F(G(x)) is injective.

#### Exercise 27

- (a) Pick a function  $f: A \to \mathbb{Q}^2$  such that  $f(c) \in c$  for all  $c \in A$ . Then f is an injection, so  $A \preceq \mathbb{Q}^2$  which is countable.
- (b) No: the set of all circles with center (0,0) is an uncountable set of circles no two of which intersect.
- (c) Yes. Pick a function  $f: C \to \mathbb{Q}^4$  such that f(x) is a pair of points with rational coordinates, one in each circle of x, for all  $x \in C$ . Then f is an injection; it is not possible for two points to be in separate circles of two non-intersecting figure-eights. Hence  $C \preceq \mathbb{Q}^4$ .

**Exercise 28** Let  $\mathcal{A} = \{(a, \sqrt{2}) : a < \sqrt{2}\} \cup \{(\sqrt{2}, b) : b > \sqrt{2}\}$ . Then every rational is in some member of  $\mathcal{A}$  but  $\bigcup \mathcal{A} = \mathbb{R} - \{\sqrt{2}\}$ .

(Enderton's hint suggests he had a different solution in mind, but I am not sure what it is.)

**Exercise 29** For each integer  $n \ge 2$ , let  $B_n = \{x \in A : x > b/n\}$ . Then each  $B_n$  is finite  $(B_n$  cannot have more than n-1 elements because n elements in  $B_n$  would have a sum > b) and  $A = \bigcup_n B_n$ . So A is a countable union of finite sets, and therefore countable.

#### Exercise 30 PROOF:

- $\langle 1 \rangle 1$ . Pick  $a \in A$
- $\langle 1 \rangle 2$ . Define  $f: Sq(A) \to \omega \times^{\omega} A$  by  $f(s) = \langle n, g \rangle$ , where n is the length pf s, and g(i) = s(i) for i < n, g(i) = a for  $i \ge n$

- $\langle 1 \rangle 3$ . f is injective.
- $\langle 1 \rangle 4. \ Sq(A) \preceq \omega \times^{\omega} A$
- $\langle 1 \rangle 5$ . card  $Sq(A) \leq (\operatorname{card} A)^{\aleph_0}$

PROOF:

$$\operatorname{card} Sq(A) \leq \aleph_0 \cdot (\operatorname{card} A)^{\aleph_0} \qquad (\langle 1 \rangle 4)$$

$$\leq (\operatorname{card} A)^{\aleph_0} \cdot (\operatorname{card} A)^{\aleph_0} \qquad (\operatorname{Cantor's Theorem})$$

$$= (\operatorname{card} A)^{\aleph_0 + \aleph_0} \qquad (\operatorname{Theorem 6I})$$

$$= (\operatorname{card} A)^{\aleph_0}$$

#### 6.7 Arithmetic of Infinite Cardinals

**Exercise 31** If f is a one-to-one correspondence between  $A \times A$  and A, where  $A \subseteq B$ , then

$$f \subseteq (A \times A) \times A \subseteq (B \times B) \times B$$
.

Also  $\emptyset \subseteq (B \times B) \times B$ . So we can form  $\mathcal{H}$  by applying a Subset Axiom to  $\mathcal{P}((B \times B) \times B)$ .

**Exercise 32** The function that maps x to  $\{x\}$  is an injection  $A \to \mathcal{F}A$ , so we have  $A \approx \mathcal{F}A$ .

For the converse, let  $F_n = \{X \in \mathcal{F}A : \operatorname{card} X \leq n\}$  for  $n \in \omega$ . The function that sends  $\langle a_1, \ldots, a_n \rangle$  to  $\{a_1, \ldots, a_n\}$  is a surjection  $A^n \to F_n$ , so we have

$$\operatorname{card} F_n \leq (\operatorname{card} A)^n = \operatorname{card} A$$

by Lemma 6R. Now,  $\mathcal{F}A = \bigcup_n F_n$ , so

$$\operatorname{card} \mathcal{F} A \leq \aleph_0 \cdot \operatorname{card} A = \operatorname{card} A$$

by the Absorption Law.

**Exercise 33** The function that maps a to the sequence of length 1 containing a is an injection  $A \to Sq(A)$ , so  $A \leq Sq(A)$ .

For the converse, we have  $\operatorname{card}(^nA) = (\operatorname{card} A)^n = \operatorname{card} A$  for any natural number n

$$\operatorname{card} Sq(A) = \operatorname{card}(^{0}A \cup^{1} A \cup^{2} A \cup \cdots)$$
$$= \aleph_{0} \cdot \operatorname{card} A$$
$$= \operatorname{card} A$$

by the Absorption Law.

#### Exercise 34

$$2^{\lambda} \le \kappa^{\lambda}$$
 $\le (2^{\kappa})^{\lambda}$ 
 $= 2^{\kappa \cdot \lambda}$ 
 $= 2^{\lambda}$  (Absorption Law)

**Exercise 35** For any infinite set of primes A and natural number n, let  $f(A, n) = \prod \{p \in A : p \leq n\}$ . Let  $P(A) = \{f(A, n) : n \in \omega\}$ . Let A be the set of all sets of the form P(A).

The number of infinite sets of primes is  $2^{\aleph_0}$  (there are  $2^{\aleph_0}$  sets of primes and  $\aleph_0$  finite sets of primes by Exercise 32.)

If P(A) = P(B) then A = B. (If  $p \in A - B$  then  $p \mid f(A, p)$  but p does not divide any member of P(B).) So P is an injection from the set of infinite sets of primes into A. Hence card  $A = 2^{\aleph_0}$ .

We now prove that, if  $A \neq B$ , then  $P(A) \cap P(B)$  is finite. Let  $p \in A - B$ . For  $n \geq p$  we have  $f(A, n) \notin P(B)$  since  $p \mid f(A, n)$  but p does not divide any member of B. Hence  $A \cap B \subseteq \{f(A, 0), f(A, 1), \ldots, f(A, p - 1)\}$ .

#### Exercise 36 Proof:

- $\langle 1 \rangle 1$ . For any set A, there exists a permutation of A with no fixed points.
  - $\langle 2 \rangle 1$ . For every natural number n, there exists a permutation of n with no fixed points.

PROOF: Map i to i + 1 if i + 1 < n, and map n - 1 to 0.

- $\langle 2 \rangle 2$ . For every infinite set A, there exists a permutation of A with no fixed points.
  - $\langle 3 \rangle 1$ . Pick a bijection  $f: A \approx A \times 2$
  - $\langle 3 \rangle 2$ . Define  $\pi: A \times 2 \to A \times 2$  by  $\pi(x,0) = (x,1)$  and  $\pi(x,1) = (x,0)$
  - $\langle 3 \rangle 3.$   $f^{-1} \circ \pi \circ f$  is a permutation of A with no fixed point.
- $\langle 1 \rangle 2$ .  $\kappa! < 2^{\kappa}$

PROOF: Because the set of permutations of K is a subset of K, where K is a set of cardinality  $\kappa$ .

- $\langle 1 \rangle 3. \ 2^{\kappa} \leq \kappa!$ 
  - $\langle 2 \rangle 1$ . PICK a set K of cardinality  $\kappa$
  - $\langle 2 \rangle 2$ . Let: Perm(K) be the set of permutations of K.
  - $\langle 2 \rangle$ 3. Define  $f: \mathcal{P}K \to Perm(K)$  as follows. Given  $A \subseteq \mathcal{P}K$ , pick a permutation  $\pi_{K-A}$  of K-A with no fixed point. Then  $f(A) = I_A \cup \pi_{K-A}$
  - $\langle 2 \rangle 4$ . f is injective

PROOF: The function that maps a permutation to its set of fixed points is a left inverse.

 $\langle 2 \rangle 5. \ 2^{\kappa} \le \kappa!$ 

### Chapter 7

# Chapter 7 — Orderings and Ordinals

#### 7.1 Partial Orderings

#### Exercise 1

- (a) No we cannot. Let  $A = \mathcal{P}3$  and  $B = \omega$ . Let  $A = \mathbb{C}_3$  and  $A = \mathbb{C}_3$  and A =
- (b) No we cannot. With the same example, we have  $f(\{0\}) < f(\{1,2\})$  but  $\{0\} \not\subset \{1,2\}$ .

**Exercise 2** We show  $R^{-1}$  is transitive. Suppose  $xR^{-1}y$  and  $yR^{-1}z$ . Then zRy and yRx, so zRx because R is transitive. Hence  $xR^{-1}z$ .

We now show  $R^{-1}$  is irreflexive. For any x, we have  $\langle x, x \rangle \notin R$ , so  $\langle x, x \rangle \notin R^{-1}$ 

**Exercise 3** The proof is by induction on n.

The only linear ordering on  $\emptyset$  is  $\emptyset$ , which has 0 pairs.

Suppose that, whenever card S=n, then every linear ordering on S has 1/2n(n-1) pairs. Let S be a set of cardinality n+1. Let < be a linear ordering on S.

Pick an element  $a \in S$  and let  $T = S - \{a\}$ . Then  $< \cap (T \times T)$  is a linear ordering on T, hence has 1/2n(n-1) pairs. Now, for every  $x \in T$ , exactly one of  $\langle x, a \rangle$  and  $\langle a, x \rangle$  is in <. Hence < has n pairs that are not in  $< \cap (T \times T)$ . So

$$card \le 1/2n(n-1) + n = 1/2n(n+1)$$
.

#### 7.2 Well Orderings

 $\langle 1 \rangle 4. \ S \preccurlyeq \mathbb{Q}$ 

```
Exercise 4 Proof:
\langle 1 \rangle 1. R is transitive.
   \langle 2 \rangle 1. Assume: mRn and nRp.
   \langle 2 \rangle 2. Case: f(m) < f(n)
     PROOF: In this case f(m) < f(p) so mRp.
   \langle 2 \rangle 3. Case: f(m) = f(n) and m < n.
     \langle 3 \rangle 1. Case: f(n) < f(p)
        PROOF: In this case f(m) < f(p) so mRp.
      \langle 3 \rangle 2. Case: f(n) = f(p) and n < p.
         PROOF: In this case f(m) = f(p) and m < p so mRp.
\langle 1 \rangle 2. R satisfies trichotomy on P.
   \langle 2 \rangle 1. Let: m, n \in P
   \langle 2 \rangle 2. Exactly one of f(m) < f(n), f(n) < f(m), f(n) = f(m) holds.
   \langle 2 \rangle 3. Exactly one of m < n, n < m, n = m holds.
   \langle 2 \rangle 4. Exactly one of f(m) < f(n), (f(m) = f(n) \& m < n), (f(m) = f(n) \& m < n)
          f(n) \& m = n, (f(m) = f(n) \& n < m), f(n) < f(m) holds.
   \langle 2 \rangle5. Exactly one of mRn, m = n, nRm holds.
\langle 1 \rangle 3. Every nonempty subset of P has an R-least element.
   \langle 2 \rangle 1. Let: A \subseteq P be nonempty.
   \langle 2 \rangle 2. Let: k be the least element of f(A).
   \langle 2 \rangle 3. Let: n be the least element of f^{-1}(k) \cap A.
   \langle 2 \rangle 4. n is the R-least element of A.
    \langle P, R \rangle resembles Fig. 45 (d).
Exercise 5 PROOF:
\langle 1 \rangle 1. Let: x \in A
\langle 1 \rangle 2. Assume: for a contradiction f(x) < x
\langle 1 \rangle 3. Define g: \omega \to A by g(0) = x and g(n^+) = f(g(n)) for all n \in \omega
\langle 1 \rangle 4. \ \forall n \in \omega. g(n^+) < g(n)
  PROOF: By induction on n using \langle 1 \rangle 2 and the hypothesis.
\langle 1 \rangle 5. Q.E.D.
   PROOF: This contradicts Theorem 7B.
Exercise 6 Proof:
\langle 1 \rangle 1. For all x \in S that is not greatest, there exists y \in S and q \in \mathbb{Q} such that
       x < q < y and there is no z \in S such that x < z < y
\langle 1 \rangle 2. PICK a function f: S \to \mathbb{Q} such that \forall x \in S.x < f(x) and, if x is not
       greatest, then f(x) < y where y is the next element in S.
\langle 1 \rangle 3. f is injective.
```

#### Exercise 7

(a) We have 
$$F(t) = C \cup \bigcup \operatorname{ran}(F \upharpoonright t)$$
 for all  $t \in \omega$ . So:

$$F(0) = C \cup \bigcup \operatorname{ran} \emptyset$$

$$= C$$

$$F(1) = C \cup \bigcup \operatorname{ran}(F \upharpoonright 0)$$

$$= C \cup \bigcup \{C\}$$

$$= C \cup \bigcup C$$

$$F(2) = C \cup \bigcup \{C, C \cup \bigcup C\}$$

$$= C \cup \bigcup (C \cup \bigcup C)$$

$$= C \cup \bigcup C \cup \bigcup C$$

We guess:

$$F(n) = C \cup \bigcup C \cup \cdots \cup \bigcap n \bigcup \bigcup \cdots \bigcup C$$

- (b) PROOF:  $\langle 1 \rangle 1$ . Let:  $a \in F(n)$   $\langle 1 \rangle 2$ .  $a \in \bigcup \operatorname{ran}(F \upharpoonright n^+)$   $\langle 1 \rangle 3$ .  $a \subseteq \bigcup \bigcup \operatorname{ran}(F \upharpoonright n^+)$  $\langle 1 \rangle 4$ .  $a \subseteq F(n^+)$
- (c) Proof:
- $\langle 1 \rangle 1$ .  $\overline{C}$  is a transitive set.
  - $\langle 2 \rangle 1$ . Let:  $x \in y \in \overline{C}$
  - $\langle 2 \rangle 2$ . Pick  $n \in \omega$  such that  $y \in F(n)$
  - $\langle 2 \rangle 3. \ x \in F(n^+)$

Proof: By (b).

- $\langle 2 \rangle 4. \ x \in \overline{C}$
- $\langle 1 \rangle 2$ .  $C \subseteq \overline{C}$
- $\langle 2 \rangle 1$ . Since C = F(0)

### 7.3 Replacement Axioms

**Exercise 8** Let P(x) be a formula not containing B. We prove the statement

$$\forall c \exists B \forall x (x \in B \Leftrightarrow x \in c \& P(x)) .$$

Let Q(x,y) be the formula  $P(x) \wedge y = x$ . Now we reason as follows.

Let c be any set. Then we have

$$(\forall x \in c) \forall y_1 \forall y_2 (Q(x, y_1) \& Q(x, y_2) \Rightarrow y_1 = y_2)$$

Then, by a Replacement Axiom, there exists a set B such that

$$\forall y(y \in B \Leftrightarrow (\exists x \in c)Q(x,y))$$
.

This is equivalent to  $\forall x (x \in B \Leftrightarrow x \in c \& P(x))$ .

**Exercise 9** Let a and b be sets. Let P(x,y) be the formula  $(x = \emptyset \& y = a)$  or  $(x = \mathcal{P}\emptyset \& y = b)$ . Then we have  $(\forall x \in \mathcal{PP}\emptyset) \forall y_1 \forall y_2 (P(x,y_1) \& P(x,y_2) \Rightarrow y_1 = y_2)$ , hence there exists a set c such that

$$\forall y (y \in c \Leftrightarrow (\exists x \in \mathcal{PP}\emptyset)P(x, y))$$

The members of c are just a and b.

#### 7.4 Epsilon-Images

Exercise 10

(a) Let n be a natural number. Let  $\alpha$  be its epsilon-image, and  $E: n \to \alpha$  be as in the definition of epsilon-image.

We prove  $\forall x \in n. E(x) = x$  by strong induction on x. Let  $x \in n$  and assume  $\forall y \in x. E(y) = y$ . Then

$$E(x) = \{E(y) : y \in x\}$$
$$= \{y : y \in x\}$$
$$= x$$

Hence

$$\alpha = \{E(x) : x \in n\}$$
$$= \{x : x \in n\}$$
$$= n$$

**(b)** Similarly the  $\epsilon$ -image of  $\omega$  is  $\omega$ .

Exercise 11

(a) Let R be the ordering given in the question. Thus xRy iff (x and y are nonnegative and x < y or (x and y are both negative and y < x or (x is nonnegative and y is negative).

#### Proof:

- $\langle 1 \rangle 1$ . R is transitive
  - $\langle 2 \rangle 1$ . Assume: xRy and yRz
  - $\langle 2 \rangle 2$ . Case: x and y are nonnegative and x < y
    - $\langle 3 \rangle$ 1. Case: z is nonnegative and y < z

PROOF: In this case x and z are nonnegative and x < z.

 $\langle 3 \rangle 2$ . Case: z is negative

PROOF: In this case x is nonnegative and z is negative.

 $\langle 2 \rangle 3$ . Case: x and y are both negative and y < x

PROOF: We must have z is negative and z < y, hence z < x.

 $\langle 2 \rangle$ 4. Case: x is nonnegative and y is negative Proof: We must have z is negative.

 $\langle 1 \rangle 2$ . R satisfies trichotomy on  $\mathbb{Z}$ 

- $\langle 2 \rangle 1$ . Let:  $x, y \in \mathbb{Z}$
- $\langle 2 \rangle 2$ . Case: x and y are nonnegative.

PROOF: Exactly one of x < y, x = y, y < x holds.

 $\langle 2 \rangle 3$ . Case: x is nonnegative and y is negative.

PROOF: In this case x < y.

 $\langle 2 \rangle 4$ . Case: x is negative and y is nonnegative.

PROOF: In this case y < x.

 $\langle 2 \rangle$ 5. Case: x and y are negative.

PROOF: Exactly one of x < y, x = y, y < x holds.

- $\langle 1 \rangle 3$ . R is well-founded
  - $\langle 2 \rangle 1$ . Let:  $A \subseteq \mathbb{Z}$  be nonempty.
  - $\langle 2 \rangle 2$ . Case: There exists a nonnegative integer in A.

PROOF: Let n be the least nonnegative element of A. Then n is the R-least element of A.

 $\langle 2 \rangle 3$ . Case: All elements of A are negative.

PROOF: Let n be least such that  $-n \in A$ . Then -n is the R-least element of A.

(b)

$$E(3) = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}\}$$

$$= 3$$

$$E(-1) = \omega$$

$$E(-2) = \omega^{+}$$

$$\operatorname{ran} E = \omega \cup \{\omega, \omega^{+}, \omega^{++}, \ldots\}$$

#### 7.5 Isomorphisms

 $\langle 2 \rangle 2$ . Assume: a < b $\langle 2 \rangle 3$ .  $F(a) \subseteq F(b)$ 

PROOF: If  $x \leq a$  then  $x \leq b$ 

#### Exercise 12

```
(a) Proof:
\langle 1 \rangle 1. \langle A \rangle is irreflexive.
   PROOF: For any x \in A we have f(x) \nleq_B f(x) so x \nleq_A x.
\langle 1 \rangle 2. \langle A \rangle is transitive.
   PROOF: If x <_A y and y <_A z then f(x) <_B f(y) <_B f(z) hence f(x) <_B f(z)
   f(z) and so x <_A z.
     (b) For any x, y \in A we have that exactly one of f(x) <_B f(y), f(x) =
f(y), f(y) <_B f(x) holds. Hence exactly one of x <_A y, x = y, y <_A x holds.
(Using the fact that x = y iff f(x) = f(y) since f is one-to-one.)
Exercise 13 Proof:
\langle 1 \rangle 1. Let: \langle A, <_A \rangle and \langle B, <_B \rangle be two well-ordered structures.
\langle 1 \rangle 2. Let: f, g : A \to B be isomorphisms.
        PROVE: \forall x \in A. f(x) = g(x)
\langle 1 \rangle 3. Let: x \in A
\langle 1 \rangle 4. Assume: \forall y < x. f(y) = g(y)
\langle 1 \rangle 5. f(x) is the least element in B - f[seg x]
   \langle 2 \rangle 1. \ f(x) \notin f[\![ seg x]\!]
      PROOF: Since f is one-to-one.
   \langle 2 \rangle 2. \ \forall b \in B - f \llbracket \operatorname{seg} x \rrbracket . f(x) \leq b
       \langle 3 \rangle 1. Let: b \in B - f \llbracket \operatorname{seg} x \rrbracket
       \langle 3 \rangle 2. Let: a \in A be such that f(a) = b
          Proof: f is surjective.
       \langle 3 \rangle 3. a \notin \operatorname{seg} x
       \langle 3 \rangle 4. \ x \leq a
          PROOF: By trichotomy
       \langle 3 \rangle 5. \ f(x) \leq b
\langle 1 \rangle 6. g(x) is the least element in B - g[[ seg x]]
   Proof: Similar.
\langle 1 \rangle 7. f[seg x] = g[seg x]
   Proof: By \langle 1 \rangle 4
\langle 1 \rangle 8. \ f(x) = g(x)
Exercise 14 Proof:
\langle 1 \rangle 1. \ \forall a, b \in A.a < b \Rightarrow F(a) \subset F(b)
   \langle 2 \rangle 1. Let: a, b \in A
```

 $\langle 2 \rangle 4$ .  $F(a) \neq F(b)$ 

PROOF: Since  $b \in F(b)$  but  $b \notin F(a)$ 

 $\langle 1 \rangle 2. \ \forall a, b \in A.F(a) \subset F(b) \Rightarrow a < b$ 

PROOF: We cannot have b < a or b = a (as then  $F(b) \subset F(a)$  or F(b) = F(a) by  $\langle 1 \rangle 1$ ), so a < b by trichotomy.

 $\langle 1 \rangle 3$ . F is one-to-one

PROOF: If F(a) = F(b) then we cannot have a < b or b < a by  $\langle 1 \rangle 1$ , so a = b by trichotomy.

 $\langle 1 \rangle 4$ . F maps A onto ran F

PROOF: By definition of ran F.

П

#### 7.6 Ordinal Numbers

#### Exercise 15

- (a) Proof:
- $\langle 1 \rangle 1$ . Assume:  $f: A \to \operatorname{seg} t$  is an isomorphism
- $\langle 1 \rangle 2$ . Define  $g : \omega \to A$  by recursion:

$$g(0) = t$$
  

$$g(n^+) = f(g(n)) (n \in \omega)$$

 $\langle 1 \rangle 3. \ \forall n \in \omega. g(n^+) < g(n)$ 

 $\langle 2 \rangle 1. \ g(0^+) < g(0)$ 

PROOF: Since  $g(0^+) = f(t) \in \text{seg } t \text{ so } g(0^+) < t = g(0).$ 

- $\langle 2 \rangle 2$ .  $\forall n \in \omega . (g(n^+) < g(n) \Rightarrow g(n^{++}) < g(n^+))$ 
  - $\langle 3 \rangle 1$ . Let:  $n \in \omega$
  - $\langle 3 \rangle 2$ . Assume:  $g(n^+) < g(n)$
  - $\langle 3 \rangle 3. \ f(g(n^+)) < f(g(n))$

PROOF: Since f is an isomorphism.

$$\langle 3 \rangle 4. \ g(n^{++}) < g(n^{+})$$

 $\langle 1 \rangle 4$ . Q.E.D.

Proof: This contradicts Theorem 7B.

(b) If two of them hold then we have a well-ordered set isomorphic with an initial segment, contradicting part (a):

If  $A \cong B$  and  $A \cong \operatorname{seg} b$  then  $B \cong \operatorname{seg} b$ .

If  $A \cong B$  and  $\operatorname{seg} a \cong B$  then  $A \cong \operatorname{seg} a$ .

Now assume  $A \cong \operatorname{seg} b$  and  $\operatorname{seg} a \cong B$ . Let  $f : A \cong \operatorname{seg} b$  and  $g : \operatorname{seg} a \cong B$  be isomorphisms. Let  $b_0 = f(a)$ . Then  $f \upharpoonright \operatorname{seg} a : \operatorname{seg} a \cong \operatorname{seg} b_0$  and so  $B \cong \operatorname{seg} b_0$ .

**Exercise 16** Suppose  $\alpha \in \beta$ . We first prove that  $\beta \notin \alpha^+$ .

If  $\beta \in \alpha^+$  then  $\beta \in \alpha$  or  $\beta = \alpha$ . In either case we have  $\alpha \in \alpha$ , which is impossible.

So  $\beta \notin \alpha^+$ . Therefore  $\alpha^+ \in \beta$ , and so  $\alpha^+ \in \beta^+$ .

Now, suppose  $\alpha \neq \beta$ . Then  $\alpha \in \beta$  or  $\beta \in \alpha$ . Hence  $\alpha^+ \in \beta^+$  or  $\beta^+ \in \alpha^+$ , and in either case  $\alpha^+ \neq \beta^+$ .

**Exercise 17** Suppose for a contradiction  $\alpha \in \beta$ . Then A is isomorphic to  $seg_B b$  for some  $b \in B$ . Let  $f : A \to seg b$  be an isomorphism.

We have  $f \upharpoonright B : B \to \operatorname{seg}_B b$ . Now, define  $g : \omega \to B$  by

$$g(0) = b$$
$$g(n^+) = f(g(n))$$

Then  $g(n^+) < g(n)$  for all  $n \in \omega$ , contradicting Theorem 7B.

**Exercise 18** Suppose first  $\bigcup S \in S$ . For all  $\alpha \in S$  we have  $\alpha \subseteq \bigcup S$  and so  $\alpha \in \bigcup S$ , and so  $\bigcup S$  is the greatest element of S.

Suppose now  $\bigcup S \notin S$ . Suppose for a contradiction  $\alpha \in S$  is the greatest element of S. We have  $\alpha \subseteq \bigcup S$  (because  $\alpha \in S$ ). Also for all  $\beta \in S$  we have  $\beta \subseteq \alpha$ , hence  $\bigcup S \subseteq \alpha$ . Thus  $\bigcup S = \alpha \in S$ , which is a contradiction.

So if  $\bigcup S \notin S$  then S has no greatest element. Therefore S cannot be the successor of any ordinal, because  $\alpha$  is the greatest element of  $\alpha^+$  for any  $\alpha$ .

**Exercise 19** By Theorem 7B, every linear ordering on a finite set is a well ordering.

If < and  $\prec$  are two linear orderings on the same set A, we cannot have that (A, <) is isomorphic to  $(\text{seg } a, \prec)$  for any  $a \in A$ , because then we would have a finite set bijective with a proper subset of itself.

So by Theorem 7E we must have  $\langle A, \prec \rangle \cong \langle A, \prec \rangle$ .

**Exercise 20** Let R be a well ordering on the set S. Assume S is infinite; we will prove  $R^{-1}$  is not a well-ordering on S.

Define  $g:\omega\to S$  by: g(n) is the least element of S-g[n]. For each n, we know S-g[n] is nonempty because S is infinite.

Then  $g[\![\omega]\!]$  is a nonempty subset of S that has no  $R^{-1}$ -least element (no R-greatest element), so  $R^{-1}$  is not a well ordering on S.

**Exercise 21** Let  $\mathcal{A} = \{C \in \mathcal{P}A : <^{\circ} \text{ is a linear ordering on } C\}.$ 

We prove that, for every chain  $\mathcal{B} \subseteq \mathcal{A}$ , we have  $\bigcup \mathcal{B} \in \mathcal{A}$ .

Let  $\mathcal{B} \subseteq \mathcal{A}$  be a chain. Let  $x, y \in \bigcup \mathcal{B}$ . Pick  $C, D \in \mathcal{B}$  such that  $x \in C$  and  $y \in D$ . Then either  $C \subseteq D$  or  $D \subseteq C$ ; assume without loss of generality  $C \subseteq D$ . We have  $x, y \in D$ , and so exactly one of x < y, x = y, y < x holds. Thus,  $<^{\circ}$  linearly orders  $\bigcup \mathcal{B}$ , i.e.  $\bigcup \mathcal{B} \in \mathcal{A}$ .

Hence by Zorn's Lemma  $\mathcal{A}$  has a maximal element C, say. Now, by hypothesis, C has an upper bound m. We prove m is maximal in A.

Let  $x \in A$  and suppose  $m \le x$ . Then  $C \cup \{m, x\}$  is linearly ordered by  $<^{\circ}$ , and so  $C = C \cup \{m, x\}$  by maximality of C. Hence  $x \in C$  and so  $x \le m$ , hence x = m. Thus, m is maximal in A.

#### 7.7 Debts Paid

**Exercise 22** Let A be any set. Let  $\mathcal{A}$  be the set of all pairs  $\langle B, R \rangle$  where  $B \subseteq A$  and R is a well ordering on B, and define < on  $\mathcal{A}$  by:  $\langle B, R \rangle < \langle C, S \rangle$  iff B is an initial segment of C and  $R = S \cap B^2$ .

It is easy to see that < is a partial ordering on  $\mathcal{A}$ 

We prove that, if  $\mathcal{C} \subseteq \mathcal{A}$  and  $\langle$  is a linear ordering on  $\mathcal{C}$ , then  $\mathcal{C}$  has an upper bound in  $\mathcal{A}$ . Let  $B = \bigcup \{C : \exists S. \langle C, S \rangle \in \mathcal{C}\}$  and  $R = \bigcup \{S : \exists C. \langle C, S \rangle \in \mathcal{C}\}$ . We prove that R well orders B. It is then easy to see that  $\langle B, R \rangle$  is an upper bound for  $\mathcal{C}$  is  $\mathcal{A}$ .

#### PROOF:

- $\langle 1 \rangle 1$ . R is transitive.
  - $\langle 2 \rangle 1$ . Assume: xRy and yRz
  - $\langle 2 \rangle 2$ . PICK  $\langle C, S \rangle, \langle D, T \rangle \in \mathcal{C}$  such that xSy and yTz
  - $\langle 2 \rangle 3. \ \langle C, S \rangle \leq \langle D, T \rangle \text{ or } \langle D, T \rangle \leq \langle C, S \rangle$
  - $\langle 2 \rangle 4$ . Assume: w.l.o.g.  $\langle C, S \rangle \leq \langle D, T \rangle$
  - $\langle 2 \rangle 5$ . xTy and yTz
  - $\langle 2 \rangle 6. \ xTz$
  - $\langle 2 \rangle 7$ . xRz
- $\langle 1 \rangle 2$ . R is irreflexive.
  - $\langle 2 \rangle 1$ . Assume: for a contradiction xRx
  - $\langle 2 \rangle 2$ . PICK  $\langle C, S \rangle \in \mathcal{C}$  such that xSx
  - $\langle 2 \rangle 3$ . This is a contradiction.
- $\langle 1 \rangle 3$ . R satisfies trichotomy.
  - $\langle 2 \rangle 1$ . Let:  $x, y \in B$
  - $\langle 2 \rangle 2$ . PICK  $\langle C, S \rangle, \langle D, T \rangle \in \mathcal{C}$  such that  $x \in C$  and  $y \in D$
  - $\langle 2 \rangle 3$ . Assume: w.l.o.g.  $\langle C, S \rangle \leq \langle D, T \rangle$
  - $\langle 2 \rangle 4. \ x, y \in D$
  - $\langle 2 \rangle 5$ . xTy or yTx
  - $\langle 2 \rangle 6$ . xRy or yRx
- $\langle 1 \rangle 4$ . Every non-empty subset of B has an R-least element.
  - $\langle 2 \rangle 1$ . Let:  $C \subseteq B$  be nonempty
  - $\langle 2 \rangle 2$ . Pick  $c \in C$
  - $\langle 2 \rangle 3$ . Pick  $\langle D, T \rangle \in \mathcal{C}$  such that  $c \in D$
  - $\langle 2 \rangle$ 4. Let: x be the T-least element of  $C \cap D$ Prove: x is R-least in C
  - $\langle 2 \rangle 5$ . Let:  $y \in C$
  - $\langle 2 \rangle 6$ . Pick  $\langle E, U \rangle \in \mathcal{C}$  such that  $y \in E$
  - $\langle 2 \rangle 7. \langle D, T \rangle \leq \langle E, U \rangle \text{ or } \langle E, U \rangle \leq \langle D, T \rangle$
  - $\langle 2 \rangle 8$ . Case:  $\langle D, T \rangle \leq \langle E, U \rangle$ 
    - $\langle 3 \rangle 1$ . xUy or x = y

#### Proof:

- $\langle 4 \rangle 1$ . Assume: for a contradiction yUx
- $\langle 4 \rangle 2. \ y \in D \text{ and } yTx$

PROOF: Since D is an initial segment of E and  $T = U \cap D^2$ 

 $\langle 4 \rangle 3$ . Q.E.D.

PROOF: This contradicts the T-minimality of x.

 $\langle 2 \rangle 9$ . Case:  $\langle E, U \rangle \leq \langle D, T \rangle$ 

PROOF: xTy or x = y, so xRy or x = y.

Hence by Exercise 21 there is a maximal element  $\langle B, R \rangle$  in  $\mathcal{A}$ . We must have B = A; for if  $a \in A - B$  then  $\langle B \cup \{a\}, R \cup \{\langle x, a \rangle : x \in B\} \rangle$  would be a larger element. Hence R is a well ordering on A.

#### Exercise 23

- (i) We must show that  $\alpha$  is an initial ordinal. So let  $\beta \in \alpha$ . Then  $\beta \leq A$  but  $\alpha \not\leq A$ . Hence  $\alpha \not\approx \beta$ .
  - (ii) We know that  $\alpha \not \leq A$ , so  $\alpha \not \leq \operatorname{card} A$ .
- (iii) Let  $\kappa$  be any cardinal greater than card A. Then  $\kappa$  is not dominated by A, so  $\kappa \notin \alpha$ , and so  $\alpha \in \kappa$ .

**Exercise 24** The cardinal number of  $\mathcal{P}\alpha$  is larger than  $\alpha$  (both as a cardinal and as an ordinal).

**Exercise 25** Suppose there exists an ordinal  $\alpha$  such that  $\neg \phi(\alpha)$ . Let  $\alpha_0$  be the least such ordinal. Then we have  $\forall x \in \alpha_0.\phi(x)$  but  $\neg \phi(\alpha_0)$ . This contradicts the hypothesis.

#### 7.8 Rank

**Exercise 26** The proof is by transfinite induction on  $\alpha$ . Suppose that  $\alpha$  is an ordinal and, for all  $\beta \in \alpha$ , we have  $\beta$  is grounded and rank  $\beta = \beta$ . Then by Theorem 7V(b) we have that  $\alpha$  is grounded and

$$\operatorname{rank} \alpha = \bigcup \{ (\operatorname{rank} \beta)^+ \mid \beta \in \alpha \}$$
$$= \bigcup \{ \beta^+ \mid \beta \in \alpha \}$$
 (induction hypothesis)

So we must show that  $\bigcup \{\beta^+ \mid \beta \in \alpha\} = \alpha$ .

If  $\beta \in \alpha$  then  $\beta^+ \subseteq \alpha$  so  $\beta^+ \subseteq \alpha$ . This shows that  $\bigcup \{\beta^+ \mid \beta \in \alpha\} \subseteq \alpha$ .

If  $\beta \in \alpha$  then  $\beta \in \beta^+$  so  $\beta \in \bigcup \{\beta^+ \mid \beta \in \alpha\}$ . This shows that  $\alpha \subseteq \bigcup \{\beta^+ \mid \beta \in \alpha\}$ 

#### Exercise 27 Proof:

 $\langle 1 \rangle 1$ . For natural numbers m and n, we have rank $\langle m, n \rangle = \max(m, n)^{+++}$ 

Proof:

$$\operatorname{rank}\{\{m\}, \{m, n\}\} = (\operatorname{rank}\{m\})^{+} \cup (\operatorname{rank}\{m, n\})^{+}$$

$$= (\operatorname{rank} m)^{++} \cup ((\operatorname{rank} m)^{+} \cup (\operatorname{rank} n)^{+})^{+}$$

$$= m^{++} \cup (m^{+} \cup n^{+})^{+}$$

$$= \max(m, n)^{++}$$
(Exercise 26)

 $\langle 1 \rangle 2$ . For any integer a we have rank  $a = \omega$ 

PROOF: For any natural numbers m and n, we have

$$\operatorname{rank}[\langle m, n \rangle] = \bigcup \{ (\operatorname{rank}\langle p, q \rangle)^+ : m + q = n + p \}$$
$$= \bigcup \{ \max(p, q)^+ : m + q = n + p \}$$
$$= \omega$$

since for any natural number p > m there exists q such that m + q = n + p. (1)3. For any integers a and b we have rank $\langle a, b \rangle = \omega^{++}$ 

Proof:

$$\operatorname{rank}\{\{a\}, \{a, b\}\} = (\operatorname{rank}\{a\})^{+} \cup (\operatorname{rank}\{a, b\})^{+}$$

$$= (\operatorname{rank} a)^{++} \cup ((\operatorname{rank} a)^{+} \cup (\operatorname{rank} b)^{+})^{+}$$

$$= \omega^{++} \cup (\omega^{+} \cup \omega^{+})^{+}$$

$$= \omega^{++}$$

 $\langle 1 \rangle 4$ . For any rational q we have rank  $q = \omega^{+++}$ 

PROOF: Since every element of q has rank  $\omega^{++}$ 

 $\langle 1 \rangle 5$ . For any real number r we have rank  $r = \omega^{++++}$ 

PROOF: Since every element of r has rank  $\omega^{+++}$ .

 $\langle 1 \rangle 6$ . rank  $\mathbb{R} = \omega^{++++++}$ 

**Exercise 28** If  $X \in V_{\alpha}$  then  $X \subseteq V_{\beta}$  for some  $\beta \in \alpha$ . Hence rank  $X \subseteq \beta$  and so rank  $X \in \alpha$ .

Conversely, if rank  $X \in \alpha$  then  $X \in V_{(\operatorname{rank} X)^+} \subseteq V_{\alpha}$ .

#### Exercise 29 Direct proofs:

For any set a, there exists  $m \in \{a\}$  such that  $m \cap \{a\} = \emptyset$ . This m must be the set a, so  $a \cap \{a\} = \emptyset$ , meaning  $a \notin a$ .

For any sets a and b, there exists  $m \in \{a,b\}$  such that  $m \cap \{a,b\} = \emptyset$ . Now, m is either a or b. If m = a then  $a \cap \{a,b\} = \emptyset$  so  $b \notin a$ . And if m = b then  $b \cap \{a,b\} = \emptyset$  so  $a \notin b$ .

Consequences of part (c):

Assume  $a \in a$ . Define  $f : \omega \to \{a\}$  by f(n) = a for all  $n \in \omega$ . Then  $f(n^+) \in f(n)$  for all n, contradicting (c).

Assume now  $a \in b$  and  $b \in a$ . Define  $f : \omega \to \{a, b\}$  by f(n) = a if n is even, f(n) = b if n is odd. Then  $f(n^+) \in f(n)$  for all n, contradicting (c).

#### Exercise 30

$$\operatorname{rank}\{a,b\} = (\operatorname{rank} a)^{+} \cup (\operatorname{rank} b)^{+}$$

$$= \max((\operatorname{rank} a)^{+}, (\operatorname{rank} b)^{+})$$

$$= \max(\operatorname{rank} a, \operatorname{rank} b)^{+}$$

We have

$$a \subseteq V_{\operatorname{rank} a}$$

$$\therefore \mathcal{P}a \subseteq \mathcal{P}V_{\operatorname{rank} a}$$

$$= V_{(\operatorname{rank} a)^+}$$

$$\therefore \operatorname{rank} \mathcal{P}a \underline{\in} (\operatorname{rank} a)^+$$

$$a \in \mathcal{P}a$$

$$\therefore \operatorname{rank} a \in \operatorname{rank} \mathcal{P}a$$

$$\therefore \operatorname{rank} \mathcal{P}a = (\operatorname{rank} a)^+$$

Now, for all  $x \in \bigcup a$ , there exists y such that  $x \in y \in a$ . Hence

$$\operatorname{rank} x \in \operatorname{rank} y \in \operatorname{rank} a$$
.

$$(\operatorname{rank} x)^+ \in \operatorname{rank} a$$
.

So rank a is an upper bound for  $\{(\operatorname{rank} x)^+ : x \in \bigcup a\}$ , and so

$$\operatorname{rank} \bigcup a \leq \operatorname{rank} a$$
.

#### Exercise 31

- (a) If  $A \approx B$  and nothing of rank less than rank B is equinumerous to B, then rank  $B \subseteq \operatorname{rank} A$ , and so  $B \in V_{(\operatorname{rank} A)^+}$ . So we can construct the set kard A by applying a Subset Axiom to  $V_{(\operatorname{rank} A)^+}$ .
- (b) There exists a set of rank rank A that is equinumerous with A (namely A!). Let  $\mu$  be the least ordinal  $\leq$  rank A such that there exists a set of rank  $\mu$  that is equinumerous with A. Pick a set B of rank  $\mu$  such that  $B \approx A$ . Then  $B \in \text{kard } A$ .
- (c) Suppose kard A = kard B. Pick  $C \in \text{kard } A$ . Then  $C \approx A$  and  $C \approx B$ , so  $A \approx B$ .

Conversely, suppose  $A \approx B$ . Then we have  $(A \approx C \text{ and nothing of rank less})$  than rank C is equinumerous with C iff  $(B \approx C \text{ and nothing of rank less})$  than rank C is equinumerous with C, i.e. kard A = kard B.

Exercise 32 Similar to Exercise 31.

**Exercise 33** Suppose for a contradiction D is not a subset of B. Then D-B is nonempty. So by the Regularity Axiom, there exists  $m \in D-B$  such that  $m \cap (D-B) = \emptyset$ . Now, for all  $x \in m$ , we have  $x \in D$  (since D is a transitive set) and  $x \notin D-B$ , so we must have  $x \in X$ ; that is,  $m \subseteq B$ . But then  $m \in B$ , which is a contradiction.

```
Exercise 34 Proof:
\langle 1 \rangle 1. Assume: \{x, \{x, y\}\} = \{u, \{u, v\}\}
\langle 1 \rangle 2. x = u or x = \{u, v\}
\langle 1 \rangle 3. \ u = x \text{ or } u = \{x, y\}
\langle 1 \rangle 4. \ x \neq \{u, v\}
   \langle 2 \rangle 1. Assume: for a contradiction x = \{u, v\}
   \langle 2 \rangle 2. u = x or u = \{x, y\}
   \langle 2 \rangle 3. Case: u = x
      PROOF: In this case x = u \in \{u, v\} = x contradicting Theorem 7X(a).
   \langle 2 \rangle 4. Case: u = \{x, y\}
      PROOF: In this case u \in x and x \in u contradicting Theorem 7X(b).
\langle 1 \rangle 5. \ x = u
\langle 1 \rangle 6. \ \{x, y\} = \{u, v\}
   PROOF: We cannot have \{x,y\}=u because then we would have x\in x
   contradicting Theorem 7X(a).
\langle 1 \rangle 7. y = u or y = v
\langle 1 \rangle 8. \ v = x \text{ or } v = y
\langle 1 \rangle 9. If y = u and v = x then y = v
\langle 1 \rangle 10. \ y = v
   PROOF: Checking all the cases in \langle 1 \rangle 7 and \langle 1 \rangle 8.
```

**Exercise 35** Suppose  $a^+ = b^+$ . Then  $a \in b^+$  so a = b or  $a \in b$ . Likewise  $b \in a^+$  so b = a or  $b \in a$ . We cannot have both  $a \in b$  and  $b \in a$  (Theorem 7X(b)), so we must have a = b.

**Exercise 36** We have that  $V_{\operatorname{rank} S}$  is a transitive set and  $S \subseteq V_{\operatorname{rank} S}$ , so  $TC S \subseteq V_{\operatorname{rank} S}$ . Thus,  $\operatorname{rank}(TC S) \leq \operatorname{rank} S$ .

We also have  $S\subseteq TC$  S so rank  $S\leq {\rm rank}(TC$  S). Thus,  ${\rm rank}(TC$   $S)={\rm rank}\, S.$ 

**Exercise 37** If  $\alpha$  is an ordinal then it is a transitive set and, for any distinct  $x, y \in \alpha$ , we have  $x \in y$  or  $y \in x$  (Theorem 7M).

Conversely, let  $\alpha$  be a transitive set such that, for any distinct  $x, y \in \alpha$ , we have  $x \in y$  or  $y \in x$ . We will prove that  $\alpha$  is well ordered by epsilon. It will follow by Theorem 7L that  $\alpha$  is an ordinal.

#### Proof:

 $\langle 1 \rangle 1$ .  $\epsilon_{\alpha}$  is transitive.

```
\langle 2 \rangle 1. Let: x, y, z \in \alpha with x \in y and y \in z
   \langle 2 \rangle 2. \ x \neq z
      PROOF: Otherwise we would have x \in y \in x contradicting the Axiom of
      Regularity.
    \langle 2 \rangle 3. \ x \in z \text{ or } z \in x
   \langle 2 \rangle 4. \ z \notin x
      PROOF: By the Axiom of Regularity we cannot have x \in y \in z \in x.s
   \langle 2 \rangle 5. \ x \in z
\langle 1 \rangle 2. \epsilon_{\alpha} is irreflexive.
   PROOF: By the Axiom of Regularity.
\langle 1 \rangle 3. For any x, y \in \alpha we have x \in y or x = y or y \in x.
   Proof: By assumption.
\langle 1 \rangle 4. Any nonempty subset of \alpha has an \epsilon_{\alpha}-least element.
   \langle 2 \rangle 1. Let: A \subseteq \alpha be nonempty.
   \langle 2 \rangle 2. Pick m \in A such that m \cap A = \emptyset
   \langle 2 \rangle 3. For all x \in A we have m \in x
      PROOF: Since x \notin m.
```

**Exercise 38** Let  $\lambda$  be a limit ordinal. We have  $\bigcup \lambda \subseteq \lambda$  because  $\lambda$  is a transitive set. Conversely, for all  $\alpha \in \lambda$  we have  $\alpha \in \alpha^+ \in \lambda$  so  $\alpha \in \bigcup \lambda$ .

Exercise 39 An ordinal number is a transitive set of ordinals, hence a transitive set of transitive sets.

Conversely, let  $\alpha$  be a transitive set of transitive sets. We prove that  $\alpha$  is a set of ordinals. The result will follow by Corollary 7N (a).

So suppose for a contradiction that not every element in  $\alpha$  is an ordinal. Let  $A = \{x \in \alpha : x \text{ is not an ordinal}\}$ . Then A is nonempty. Pick  $m \in A$  such that  $m \cap A = \emptyset$ . Then m is a transitive set of ordinals, hence an ordinal. This is a contradiction.

# Chapter 8

# Chapter 8 — Ordinals and Order Types

### 8.1 Alephs

**Exercise 1** Let  $\gamma(f, y)$  be the formula: Either

- 1. f is a function with domain 0 and y = 5; or
- 2. f is a function whose domain is a successor ordinal  $\alpha^+$  and  $y = f(\alpha)^+$ ; or
- 3. f is a function whose domain is a limit ordinal  $\lambda$  and  $y = \bigcup (\operatorname{ran} f)$ ; or
- 4. none of the above and  $y = \emptyset$ .

By transfinite recursion, construct a formula  $\phi(u, v)$  such that:

- for every ordinal  $\alpha$  there exists a unique y such that  $\phi(\alpha, y)$ ;
- whenever f is a function whose domain is an ordinal  $\alpha$  and  $\phi(\beta, f(\beta))$  for all  $\beta \in \alpha$ , then we have  $\phi(\alpha, y)$  iff  $\gamma(f, y)$  for all y.

For  $\alpha$  an ordinal, let  $t_{\alpha}$  be the unique set such that  $\phi(\alpha, t_{\alpha})$ .

**Exercise 2** We prove that  $\forall \alpha \in \omega. t_{\alpha} = 5 + \alpha$  by induction on  $\alpha$ . We have  $t_0 = 5$  and if  $t_{\alpha} = 5 + \alpha$  then  $t_{\alpha^+} = (5 + \alpha)^+ = 5 + \alpha^+$ .

We now prove that if  $\omega \subseteq \alpha$  then  $t_{\alpha} = \alpha$  by transfinite induction on  $\alpha$ . We have

$$t_{\omega} = \bigcup_{n \in \omega} (5+n) = \omega$$

If  $\omega \subseteq \alpha$  and  $t_{\alpha} = \alpha$  then  $t_{\alpha^+} = \alpha^+$ .

If  $\lambda$  is a limit ordinal and  $t_{\alpha} = \alpha$  for all  $\alpha$  with  $\omega \subseteq \alpha \in \lambda$  then

$$t_{\lambda} = \bigcup_{\alpha \in \lambda} t_{\alpha}$$

$$= \bigcup_{\omega \subseteq \alpha \in \lambda} t_{\alpha}$$

$$= \bigcup_{\omega \subseteq \alpha \in \lambda} \alpha$$

$$= \lambda$$

**Exercise 3** If  $\beta \in \gamma$  then  $t_{\beta} \in t_{\gamma}$  by the definition of monotonicity.

Conversely, suppose  $t_{\beta} \in t_{\gamma}$ . Then  $t_{\beta} \neq t_{\gamma}$  and  $t_{\gamma} \notin t_{\beta}$ , so  $\beta \neq \gamma$  and  $\gamma \notin \beta$ . Hence  $\beta \in \gamma$  by trichotomy.

Now suppose  $t_{\beta} = t_{\gamma}$ . Then  $t_{\beta} \notin t_{\gamma}$  and  $t_{\gamma} \notin t_{\beta}$ , hence  $\beta \notin \gamma$  and  $\gamma \notin \beta$ , and therefore  $\beta = \gamma$  by trichotomy.

**Exercise 4** We have  $t_{\lambda} \neq 0$  because  $t_0 \in t_{\lambda}$ .

Now, suppose for a contradiction  $t_{\lambda} = \alpha^+$  for some  $\alpha$ . Then we have  $\alpha \in t_{\lambda} = \bigcup_{\beta \in \lambda} t_{\beta}$ . Hence  $\alpha \in t_{\beta}$  for some  $\beta \in \lambda$ . Therefore,

$$\alpha^{+} \underline{\in} t_{\beta}$$

$$\therefore \alpha^{+} \in t_{\beta^{+}}$$

$$\therefore \alpha^{++} \underline{\in} t_{\beta^{+}}$$

$$\therefore \alpha^{++} \underline{\in} t_{\lambda}$$

which is a contradiction.

**Exercise 5** The proof is by transfinite induction on  $\beta$ .

We have  $0 \leq t_0$ .

If  $\beta \subseteq t_{\beta}$  then  $\beta \in t_{\beta^+}$ , hence  $\beta^+ \subseteq t_{\beta^+}$ .

If  $\lambda$  is a limit ordinal and  $\forall \beta \in \lambda.\beta \in t_{\beta}$  then

$$t_{\lambda} = \sup_{\beta \in \lambda} t_{\beta}$$
$$\supseteq \sup_{\beta \in \lambda} \beta$$
$$= \lambda$$

**Exercise 6** The class is closed by Theorem Schema 8E. It is unbounded because, for any ordinal  $\alpha$ , we have  $\alpha \in \alpha^+ \subseteq t_{\alpha^+}$  by Exercise 5.

**Exercise 7** Let  $\gamma$  be any fixed point of t with  $\beta \in \gamma$ . Then we have  $f(0) \in \gamma$ ; and, if  $f(n) \subseteq \gamma$ , then

$$f(n^+) = t_{f(n)}$$

$$\underline{\in} t_{\gamma}$$

$$= \gamma$$

Hence by induction  $f(n) \subseteq \gamma$  for all n, and so  $\lambda \subseteq \gamma$ . Thus  $\lambda$  is the least fixed point of t.

Exercise 8 Monotonicity holds by the analogue of Theorem 8A (see the second Example on page 216).

For continuity, let  $\lambda$  be a limit ordinal. We must prove that  $\bigcup_{\beta \in \lambda} t'_{\beta}$  is the least fixed point of t different from  $t'_{\beta}$  for all  $\beta \in \lambda$ .

#### Proof:

- $\langle 1 \rangle 1$ . Let:  $\mu = \bigcup_{\beta \in \lambda} t'_{\beta}$
- $\langle 1 \rangle 2$ .  $\mu$  is a fixed point of t

Proof:

$$t_{\mu} = \bigcup_{\beta \in \lambda} t_{t'_{\beta}}$$
 (Theorem Schema 8E)  

$$= \bigcup_{\beta \in \lambda} t'_{\beta}$$
 ( $t'_{\beta}$  is a fixed point of  $t$ )

 $\begin{array}{l} \langle 1 \rangle 3. \ \forall \beta \in \lambda. \mu \neq t_{\beta}' \\ \text{PROOF: Because } t_{\beta}' \in t_{\beta+}' \underline{\in} \mu. \end{array}$ 

 $\langle 1 \rangle 4$ . If  $\gamma$  is a fixed point of t and  $\forall \beta \in \lambda . \gamma \neq t'_{\beta}$  then  $\mu \underline{\in} \gamma$ 

PROOF: We have  $\forall \beta \in \lambda. t'_{\beta} \in \gamma$  hence  $\mu \subseteq \gamma$ .

#### 8.2 Isomorphism Types

**Exercise 9** Pick  $a \in A$ . For any set  $x \notin A$ , let  $A' = A - \{a\} \cup \{x\}$ , and let R' be the relation formed by replacing any pair  $\langle a, y \rangle$  with  $\langle x, y \rangle$ , any pair  $\langle y,a\rangle$  with  $\langle y,x\rangle$ , and  $\langle a,a\rangle$  with  $\langle x,x\rangle$  if aRa. Then  $\langle A,R\rangle\cong\langle A',R'\rangle$  and  $\operatorname{rank}\langle A', R' \rangle > \operatorname{rank} x.$ 

Hence for every ordinal  $\alpha$  there is a structure isomorphic to  $\langle A, R \rangle$  with rank  $> \alpha$ . Thus the class of structures isomorphic to  $\langle A, R \rangle$  is not a set, because the ranks of its members are unbounded.

#### Exercise 10

(a) The only set equinumerous with 0 is 0, so kard  $0 = \{0\}$ .

We have  $V_1 = \{\emptyset\} = \{0\}$  and  $V_2 = \{\emptyset, \{\emptyset\}\} = \{0, 1\}$ . So 1 is the only set of rank 2 equinumerous with 1, and no set of rank < 2 is equinumerous with 1. Hence kard  $1 = \{1\}$ .

We have  $V_3 = \{\emptyset, \{0\}, \{1\}, \{0,1\}\} = \{0,1,\{1\},2\}$ . So 2 is the only set of rank 3 equinumerous with 2, and no set of rank < 3 is equinumerous with 2. Thus kard  $2 = \{2\}$ .

(b) kard 3 is the set of all sets of rank 4 that are equinumerous with 3, i.e. the set of all subsets of  $V_3$  of cardinality 3. So

$$kard 3 = \{\{0, 1, \{1\}\}, 3, \{0, \{1\}, 2\}, \{1, \{1\}, 2\}\}$$
.

## 8.3 Arithmetic of Order Types

**Exercise 11** Pick structures  $\langle A, R \rangle$  and  $\langle B, S \rangle$  of order type  $\rho$  and  $\sigma$  respectively. Define R' on  $A \times \{0\}$  by:  $\langle a, 0 \rangle R' \langle a', 0 \rangle$  iff aRa'. Define S' on  $B \times \{1\}$  by:  $\langle b, 1 \rangle S' \langle b', 1 \rangle$  iff bSb'. Then  $\langle A \times \{0\}, R' \rangle$  has order type  $\rho$ ,  $\langle B \times \{1\}, S' \rangle$  has order type  $\sigma$ , and  $(A \times \{0\}) \cap (B \times \{1\}) = \emptyset$ .

Exercise 12 Since we have:

- $\langle 0, a \rangle <_L \langle 0, a' \rangle$  iff aRa'
- $\langle 1, b \rangle <_L \langle 1, b' \rangle$  iff bSb'
- $\langle 0, a \rangle <_L \langle 1, b \rangle$  for all  $a \in A$  and  $b \in B$
- $\langle 1, b \rangle \not <_L \langle 0, a \rangle$  for all  $a \in A$  and  $b \in B$

**Exercise 13** If f is an isomorphism between  $\langle A, R \rangle$  and  $\langle A', R' \rangle$ , snd g is an isomorphism between  $\langle B, S \rangle$  and  $\langle B', S' \rangle$ , and  $A \cap B = A' \cap B' = \emptyset$ , then  $f \cup g$  is an isomorphism between  $\langle A \cup B, R \oplus S \rangle$  and  $\langle A' \cup B', R' \oplus S' \rangle$ .

If f is an isomorphism between  $\langle A, R \rangle$  and  $\langle A', R' \rangle$ , snd g is an isomorphism between  $\langle B, S \rangle$  and  $\langle B', S' \rangle$ , then the function  $h: A \times B \to A' \times B'$  defined by

$$h(\langle a, b \rangle) = \langle f(a), g(b) \rangle$$

is an isomorphism between  $\langle A \times B, R * S \rangle$  and  $\langle A' \times B', R' * S' \rangle$ .

**Exercise 14** Let  $\langle A, R \rangle$  be a structure of order type  $\rho$  and  $\langle B, S \rangle$  a structure of order type  $\sigma$ . Then  $A \times B \approx \emptyset$  so  $A \times B = \emptyset$ . Therefore  $A = \emptyset$  or  $B = \emptyset$ , and so  $\rho = 0$  or  $\sigma = 0$ .

#### Exercise 15

$$(\overline{\omega} + \overline{1}) \cdot \overline{2} = \overline{\omega} + \overline{1} + \overline{\omega} + \overline{1}$$

$$= \overline{\omega} + \overline{\omega} + \overline{1}$$

$$\neq \overline{\omega} + \overline{\omega} + \overline{2}$$

$$= (\overline{\omega} \cdot \overline{2}) + (\overline{1} \cdot \overline{2})$$

**Exercise 16** Let  $\langle A, R \rangle$  be a structure of order type  $\rho$ .

We have  $\langle A \cup \emptyset, R \oplus \emptyset \rangle = \langle \emptyset \cup A, \emptyset \oplus R \rangle = \langle A, R \rangle$  so  $\rho + \overline{0} = \overline{0} + \rho = \rho$ .

Now,  $\langle 1, \emptyset \rangle$  is a structure of order type  $\overline{1}$ . We have  $\langle A \times 1, R * \emptyset \rangle = \langle 1 \times A, \emptyset * R \rangle = \langle A, R \rangle$  so  $\rho \cdot \overline{1} = \overline{1} \cdot \rho = \rho$ .

We have  $\langle A \times \emptyset, R * \emptyset \rangle = \langle \emptyset \times A, \emptyset * R \rangle = \langle \emptyset, \emptyset \rangle$ .

**Exercise 17** Pick an enumeration  $A = \{a_0, a_1, \ldots\}$  of A. Define  $f : A \to \mathbb{Q}$  by recursion as follows:

Let  $f(a_0) = 0$ .

Given  $f(a_0)$ ,  $f(a_1)$ , ...,  $f(a_n)$ , we have the following three possibilities:

- $a_{n+1}$  is smaller than all of  $a_0, \ldots, a_n$ . In this case, let  $a_k$  be the minimum of  $a_0, \ldots, a_n$ , and set  $f(a_{n+1}) = f(a_k) 1$
- $a_{n+1}$  is larger than all of  $a_0, \ldots, a_n$ . In this case, let  $a_k$  be the maximum of  $a_0, \ldots, a_n$ , and set  $f(a_{n+1}) = f(a_k) + 1$
- Otherwise, let  $a_i$  be the largest element of  $a_0, \ldots, a_n$  such that  $a_i < a_{n+1}$ , and  $a_j$  the smallest element such that  $a_{n+1} < a_j$ . Set  $f(a_{n+1}) = (f(a_i) + f(a_j))/2$ .

Then we have  $a_i < a_j$  iff  $f(a_i) < f(a_j)$  for all i, j. Hence f is an isomorphism between  $\langle A, R \rangle$  and  $\langle f[A], <^{\circ} \rangle$ .

**Exercise 18** Pick enumerations  $\{a_0, a_1, \ldots\}$  of A and  $\{b_0, b_1, \ldots\}$  of B.

Define isomorphisms  $F_n \subseteq A \times B$  by recursion on n in such a way that each  $F_n$  is an isomorphism between a subset of  $A_n$  of A and a subset  $B_n$  of B such that:

- For all n we have  $a_n \in A_{2n}$
- For all n we have  $b_n \in B_{2n+1}$

as follows.

$$F_0 = \{\langle a_0, b_0 \}$$

Given  $F_{2n}$ , if  $b_n \in B_{2n}$  then  $F_{2n+1} = F_{2n}$ . Otherwise:

- if  $b_n$  is greater than every element in  $B_{2n}$ , then let m be least such that  $a_m$  is larger than every element of  $A_{2n}$  (here we use the fact that A has no largest element) and set  $F_{2n+1} = F_{2n} \cup \{\langle a_m, b_n \rangle\}$
- if  $b_n$  is smaller than every element in  $B_{2n}$ , then let m be least such that  $a_m$  is smaller than every element of  $A_{2n}$  (here we use the fact that A has no smallest element) and set  $F_{2n+1} = F_{2n} \cup \{\langle a_m, b_n \rangle\}$
- otherwise let b be the greatest element in  $B_{2n}$  such that  $b < b_n$ , and b' the least element in  $B_{2n}$  such that  $b_n < b'$ . Let  $a = F_{2n}^{-1}(b)$  and  $a' = F_{2n}^{-1}(b')$ . Let m be least such that  $a < a_m < a'$  (here we use the fact that A is dense). Let  $F_{2n+1} = F_{2n} \cup \{\langle a_m, b_n \rangle\}$

In every case we have that  $F_{2n+1}$  is an isomorphism between a subset of A and a subset of B that contains  $b_n$ .

Similarly, given  $F_{2n+1}$ , we can define  $F_{2n+2}$  to be an isomorphism between a subset of A that contains  $a_n$  and a subset of B.

Now, let  $f = \bigcup_n F_n$ . Then f is an isomorphism between  $\langle A, R \rangle$  and  $\langle B, S \rangle$ .

**Exercise 19** This holds because the concatenation of  $\mathbb{Q}$  with itself, and the lexicographic ordering on  $\mathbb{Q}^2$ , are dense linear orderings on countable nonempty sets.

#### 8.4 Ordinal Arithmetic

#### Exercise 20 Proof:

- $\langle 1 \rangle 1$ . For every ordinal  $\alpha$ , there exists an ordinal  $\lambda$  that is either a limit ordinal or 0 and a natural number n such that  $\alpha = \lambda + n$ 
  - $\langle 2 \rangle 1. \ 0 = 0 + 0$
  - $\langle 2 \rangle 2$ . If  $\alpha = \lambda + n$  then  $\alpha^+ = \lambda + n^+$
  - $\langle 2 \rangle 3$ . For  $\lambda$  a limit ordinal we have  $\lambda = \lambda + 0$
- (1)2. If  $\lambda$ ,  $\mu$  are either limit ordinals or 0, and  $m, n \in \omega$ , and  $\lambda + m = \mu + n$ , then  $\lambda = \mu$  and m = n
  - $\langle 2 \rangle 1$ . Let: P(m) be the property: for all  $\lambda$ ,  $\mu$  and  $n \in \omega$ , if  $\lambda$  and  $\mu$  are either limit ordinals or 0 and  $\lambda + m = \mu + n$ , then  $\lambda = \mu$  and m = n
  - $\langle 2 \rangle 2$ . P(0)
    - $\langle 3 \rangle 1$ . Assume:  $\lambda + 0 = \mu + n$
    - $\langle 3 \rangle 2$ . n=0

PROOF: Otherwise  $\lambda = \mu + n$  would be a successor ordinal.

- $\langle 3 \rangle 3. \ \lambda = \mu$
- $\langle 2 \rangle 3. \ \forall m \in \omega. P(m) \Rightarrow P(m^+)$ 
  - $\langle 3 \rangle 1$ . Let:  $m \in \omega$
  - $\langle 3 \rangle 2$ . Assume: P(m)
  - $\langle 3 \rangle 3$ . Assume:  $\lambda + m^+ = \mu + n$
  - $\langle 3 \rangle 4. \ n \neq 0$

PROOF: Otherwise  $\mu = \lambda + m^+$  is a successor ordinal.

- $\langle 3 \rangle 5$ . Pick p such that  $n = p^+$
- $\langle 3 \rangle 6. \ (\lambda + m)^+ = (\mu + p)^+$
- $\langle 3 \rangle 7$ .  $\lambda + m = \mu + p$
- $\langle 3 \rangle 8$ .  $\lambda = \mu$  and m = p

Proof: By  $\langle 3 \rangle 2$ 

 $\langle 3 \rangle 9. \ m^+ = n$ 

**Exercise 21** 1 is the least integer in the ordering, followed by all the integers with exactly one prime factor, then all the integers with two prime factors, etc. So the ordinal is  $1 + \omega \cdot \omega = \omega^2$ .

#### Exercise 22

(a) If  $\beta \subseteq \gamma$  then  $\beta + 0 = \beta \subseteq \gamma = \gamma + 0$ . If  $\beta + \alpha \subseteq \gamma + \alpha$  then  $\beta + \alpha^+ = (\beta + \alpha)^+ \subseteq (\gamma + \alpha)^+ = \gamma + \alpha^+$ .

For  $\lambda$  a limit ordinal, if  $\forall \alpha \in \lambda . \beta + \alpha \subseteq \gamma + \alpha$ , then we have  $\beta + \lambda = \sup_{\alpha \in \lambda} (\beta + \alpha)$  $\alpha ) \leq \sup_{\alpha \in \lambda} (\gamma + \alpha) = \gamma + \lambda.$ 

**(b)** We have  $\beta \cdot 0 = 0 = \gamma \cdot 0$ .

If  $\beta \in \gamma$  and  $\beta \cdot \alpha \in \gamma \cdot \alpha$  then  $\beta \cdot \alpha^+ = \beta \cdot \alpha + \beta \in \gamma \cdot \alpha + \gamma = \gamma \cdot \alpha^+$  using part

For  $\lambda$  a limit ordinal, if  $\forall \alpha \in \lambda . \beta \cdot \alpha \subseteq \gamma \cdot \alpha$ , then we have  $\beta \cdot \lambda = \sup_{\alpha \in \lambda} (\beta \cdot \alpha)$  $\alpha) \leq \sup_{\alpha \in \lambda} (\gamma \cdot \alpha) = \gamma \cdot \lambda.$ 

#### Exercise 23

(a)

$$\omega + \omega^2 = \omega \cdot 1 + \omega \cdot \omega$$

$$= \omega \cdot (1 + \omega)$$
 (Theorem 8K)
$$= \omega \cdot \omega$$
 (Example on page 228)
$$= \omega^2$$

(b) Let  $\omega^2 \subseteq \beta$ . Let  $\gamma$  be the ordinal such that  $\beta = \omega^2 + \gamma$  (Subtraction Theorem). Then

$$\omega + \beta = \omega + \omega^2 + \gamma$$
$$= \omega + \gamma$$
$$= \beta$$

**Exercise 24** We prove first that  $1 + \alpha = \alpha$ . Let  $\gamma$  be the ordinal such that  $\alpha = \omega + \gamma$ . Then

$$\begin{aligned} 1 + \alpha &= 1 + \omega + \gamma \\ &= \omega + \gamma \\ &= \alpha \end{aligned} \tag{Example on page 228}$$

Hence

$$\alpha + \alpha^2 = \alpha \cdot (1 + \alpha)$$
$$= \alpha^2$$

Now, let  $\delta$  be the ordinal such that  $\beta = \alpha^2 + \delta$ . Then

$$\alpha + \beta = \alpha + \alpha^2 + \delta$$
$$= \alpha^2 + \delta$$
$$= \beta$$

**Exercise 25** Let  $\beta = \alpha \cup \{\alpha + \delta : \delta \in \theta\}$ . Then  $\beta$  is a transitive set of ordinals, hence an ordinal. We also have  $\alpha \subseteq \beta$ . By the Subtraction Theorem, let  $\gamma$  be the ordinal such that

$$\beta = \alpha + \gamma$$
.

For any  $\delta \in \theta$  we have  $\alpha + \delta \in \beta$  hence  $\delta \in \gamma$  (Corollary 8P). Thus  $\theta \in \gamma$ .

We have  $\alpha + \theta \notin \beta$  (since  $\alpha + \theta \notin \alpha$  and  $\alpha + \theta \neq \alpha + \delta$  for any  $\delta \in \theta$ ). So  $\theta \notin \gamma$  (Corollary 8P).

Thus  $\theta = \gamma$ , and so  $\beta = \alpha + \theta$ .

Exercise 26 Follows just by repeated application of uniqueness in the Logarithm Theorem.

#### Exercise 27

**Theorem 8R** If  $\alpha = 0$ , then both sides are 1 if  $\beta = \gamma = 0$  and 0 otherwise. If  $\alpha = 1$  then both sides are 1.

**Theorem 8S** If  $\alpha = 0$ , and either  $\beta = 0$  or  $\gamma = 0$ , then both sides are 1. If  $\alpha = 0$  and  $\beta$  and  $\gamma$  are both non-zero, then both sides are 0.

If  $\alpha = 1$  then both sides are 1.

Exercise 28 This follows immediately from a Veblen Fixed-Point Theorem.

**Exercise 29** Let S be a nonempty set of epsilon numbers. Then

$$\omega^{\sup S} = \sup_{\alpha \in S} \omega^{\alpha}$$
 (Theorem Schema 8E)  
= 
$$\sup_{\alpha \in S} \alpha$$
  
= 
$$\sup S$$

#### 8.5 Well-Founded Relations

**Exercise 1** We first prove: if  $xR^ty$  then there exists z such that zRy and either  $xR^tz$  or x=z.

Proof:

- $\langle 1 \rangle 1$ .  $\{\langle x,y \rangle : \exists z (zRy \& (xR^tz \text{ or } x=z))\}$  is a transitive relation that includes R.
  - $\langle 2 \rangle 1$ . Let:  $S = \{ \langle x, y \rangle : \exists z (zRy \& (xR^tz \text{ or } x = z)) \}$
  - $\langle 2 \rangle 2$ . S is transitive
    - $\langle 3 \rangle 1$ . Let: xSy and ySz
    - (3)2. Pick a and b such that aRy,  $(xR^ta \text{ or } x=a)$ , bRz and  $(yR^tb \text{ or } y=b)$
    - $\langle 3 \rangle 3. xR^t y$

$$\langle 3 \rangle 4. \ xR^tb$$
 $\langle 2 \rangle 3. \ R \subseteq S$ 

#### Proof:

- $\langle 1 \rangle 1$ . Let: R be a well-founded relation.
- $\langle 1 \rangle 2$ . Let: A be a nonempty set.
- $\langle 1 \rangle 3$ . PICK an R-minimal element a of A.
- $\langle 1 \rangle 4$ . a is  $R^t$ -minimal

PROOF: By the lemma, if there exists x such that  $xR^ta$  then there exists x such that xRa.

**Exercise 2** The relation  $R^t$  is always transitive, so it is a partial ordering iff it is irreflexive, i.e. there is no x such that  $xR^tx$ . This is the same as saying there is no cycle in R, i.e. no finite sequence of elements  $x_1, \ldots, x_n$  such that  $x_1Rx_2, x_2Rx_3, \ldots, x_{n-1}Rx_n$  and  $x_nRx_1$ .

**Exercise 3** The proof is by transfinite induction on y over R. Assume  $\{x : xR^tz\}$  is finite for all z such that zRy. Then

$$\{x: xR^ty\} = \bigcup \{\{z\} \cup \{x: xR^tz\} : zRy\}$$

which is a finite union of finite sets, hence finite.

#### Exercise 4 Proof:

- $\langle 1 \rangle 1$ . Let:  $T = S \cup \bigcup \{TC \ x : x \in S\}$
- $\langle 1 \rangle 2$ . T is a transitive set
  - $\langle 2 \rangle 1$ . Let:  $x \in y \in T$
  - $\langle 2 \rangle 2$ . Case:  $y \in S$ 
    - $\langle 3 \rangle 1. \ x \in TC \ y$
    - $\langle 3 \rangle 2. \ x \in T$
  - $\langle 2 \rangle 3$ . Case:  $y \in TC$  a and  $a \in S$ 
    - $\langle 3 \rangle 1. \ x \in TC \ a$
    - $\langle 3 \rangle 2. \ x \in T$
- $\langle 1 \rangle 3. \ S \subseteq T$
- $\langle 1 \rangle 4$ . For any transitive set T', if  $S \subseteq T'$  then  $T \subseteq T'$ 
  - $\langle 2 \rangle 1$ . Let: T' be a transitive set.
  - $\langle 2 \rangle 2$ . Assume:  $S \subseteq T'$
  - $\langle 2 \rangle 3$ . Let:  $x \in T$
  - $\langle 2 \rangle 4$ . Case:  $x \in S$

PROOF: Then  $x \in T'$  by  $\langle 2 \rangle 2$ 

- $\langle 2 \rangle$ 5. Case:  $x \in TC$  y and  $y \in S$ 
  - $\langle 3 \rangle 1. \ y \in T'$
  - $\langle 3 \rangle 2. \ y \subseteq T'$
  - $\langle 3 \rangle 3$ .  $TC \ y \subseteq T'$

```
\langle 3 \rangle 4. \ x \in T'
```

#### Natural Models 8.6

**Exercise 5** Suppose  $S \in V_{\omega}$ . Pick  $n \in \omega$  such that  $S \subseteq V_n$ . Then  $TC S \subseteq V_n$ (since  $V_n$  is transitive). Therefore every member of TC S is in  $V_n$  and hence

Conversely, suppose TC S is finite. By Theorem 9E, rank x is finite for all  $x \in TC$  S. Hence rank  $S = \{\operatorname{rank} x : x \in TC$  S} is finite, and so  $S \in V_{\omega}$ .

**Exercise 6** Yes, the replacement axioms are all true in  $V_{\omega}$  by the same argument as the proof of Theorem 9L. By the arguments before Theorem 9F, all the axioms of ZFC are true in  $V_{\omega}$  except the Axiom of Infinity.

```
Exercise 7 Proof:
\langle 1 \rangle 1. Define h : \omega \to V_\omega by recursion thus: h(n) = \{h(m) : m \in g(n)\}.
```

 $\langle 1 \rangle 2$ . h is injective.

 $\langle 2 \rangle 1$ . Assume: h(m) = h(n)

 $\langle 2 \rangle 2$ . g(m) = g(n)

 $\langle 3 \rangle 1. \ p \in g(m) \text{ iff } h(p) \in h(m) \text{ iff } h(p) \in h(n) \text{ iff } p \in g(n)$ 

 $\langle 2 \rangle 3. \ m = n$ 

 $\langle 1 \rangle 3$ . h is surjective.

 $\langle 2 \rangle 1$ . We prove by  $\epsilon_{V_{\omega}}$ -induction on A that, for all  $A \in V_{\omega}$ , there exists  $n \in \omega$ such that h(n) = A

 $\langle 2 \rangle 2$ . Let:  $A \in V_{\omega}$ 

 $\langle 2 \rangle 3$ . Assume:  $\forall x \in A . \exists n \in \omega . h(n) = x$ 

 $\langle 2 \rangle 4$ . Let: m be such that  $g(m) = \{ n \in \omega : h(n) \in A \}$ 

Proof: Since g is surjective.

 $\langle 2 \rangle 5. \ h(m) = A$ 

 $\langle 1 \rangle$ 4. If mEn then  $h(m) \in h(n)$ 

**Exercise 8** Consider the structure  $\langle P, R \rangle$  in Exercise 4 of Chapter 7. Then  $\langle P, R \rangle \in V_{\omega}$  but its ordinal  $\omega^2$  is not.

Exercise 9 Proof:

```
\begin{array}{l} \langle 1 \rangle 1. \ V_{\alpha+\lambda} = \bigcup_{\delta < \lambda} V_{\alpha+\delta} \\ \langle 2 \rangle 1. \ \text{Let:} \ x \in V_{\alpha+\lambda} \end{array}
```

 $\langle 2 \rangle 2$ . PICK  $\beta < \alpha + \lambda$  such that  $x \in V_{\beta}$ 

 $\langle 2 \rangle 3$ . Case:  $\beta < \alpha$ 

PROOF: Then  $x \in V_{\alpha+0}$ .

 $\langle 2 \rangle 4$ . Case:  $\alpha \leq \beta$ 

 $\langle 3 \rangle$ 1. Let:  $\delta$  be the ordinal such that  $\beta = \alpha + \delta$ 

$$\langle 3 \rangle 2. \ x \in V_{\alpha+\delta} \text{ and } \delta < \lambda$$
$$\langle 1 \rangle 2. \ \bigcup_{\delta < \lambda} V_{\alpha+\delta} \subseteq V_{\alpha+\lambda}$$
$$\square$$