# Regular Grammars for Sets of Graphs of Tree-Width 2

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## Recognisable Sets

Finite representations of infinite sets (words, trees)

- closed under boolean operations (union, intersection, complement)
- decidable emptiness (inclusion) problem (words, trees)
- equivalence with MSO-definability (words, trees)

## Recognisable Sets

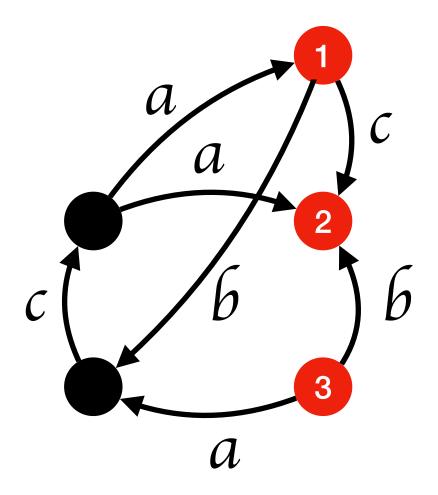
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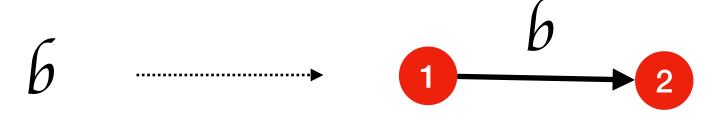
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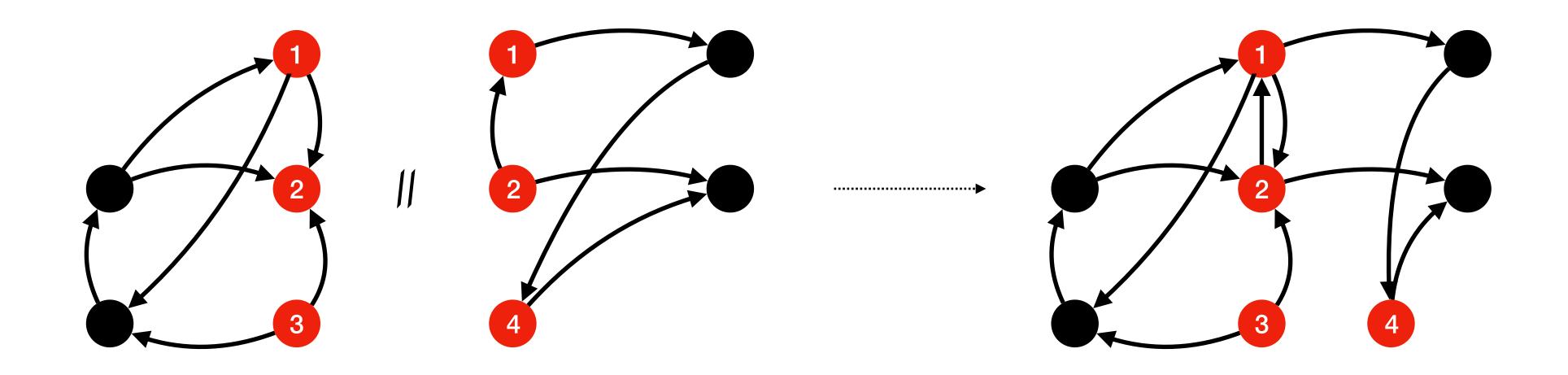
sources

 $sort(G) = \{1,2,3\}$ 

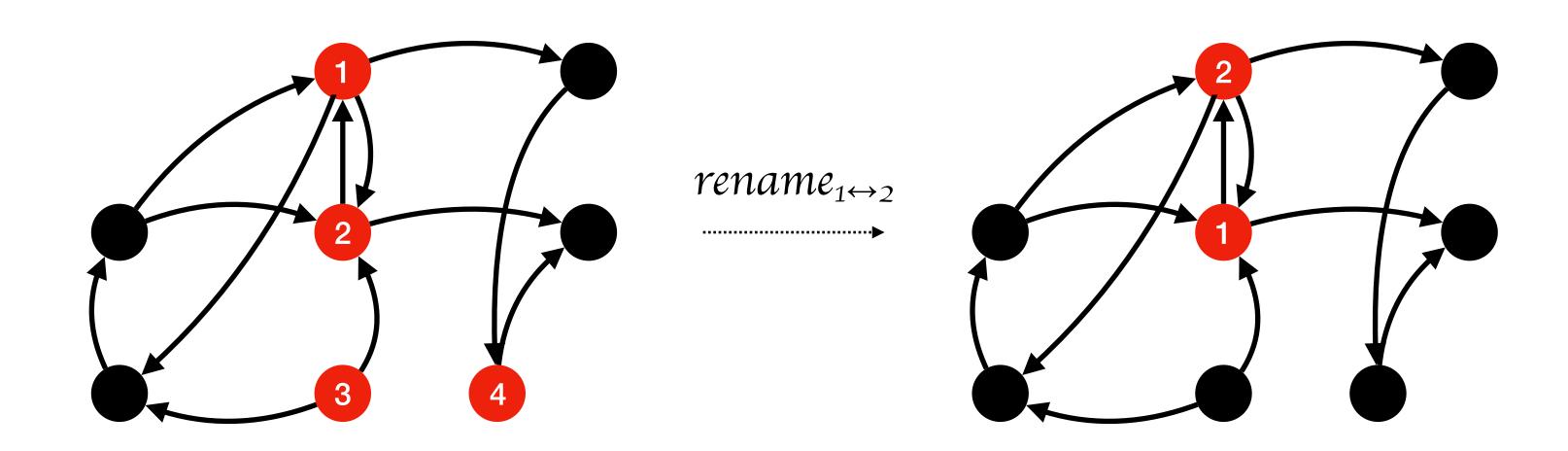
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 $\mathcal{HR} \stackrel{\text{\tiny def}}{=} (\{G_{\tau}(\mathbb{B})\}_{\tau \subseteq \mathbb{N}}, \|\mathcal{HR}, \{rename^{\mathcal{HR}}_{\alpha}\}_{\alpha : \mathbb{B} \to \mathbb{B}}, \{b^{\mathcal{HR}}\}_{b \in \mathbb{B}})$ 

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  $\longrightarrow$   $(\{A_{\tau}\}_{\tau\subseteq\mathbb{N}}, \|\mathcal{A}, \{rename^{\mathcal{A}}\}_{\alpha:\Sigma\to\Sigma}, \{a^{\mathcal{A}}\}_{a\in\Sigma})$ 

h homomorphism 
$$\Leftrightarrow$$
  $sort(a) = sort(h(a))$   
  $h(f^{G(\Sigma)}(a_1,...,a_n)) = f^{A}(h(a_1),...,h(a_n))$ 

$$(G_{\tau}(\mathbb{B}), ||^{HR}, \{rename^{HR}\}_{\alpha: \Sigma \to \Sigma}, \{a^{HR}\}_{a \in \Sigma}) \xrightarrow{h} (A_{\tau}, ||^{A}, \{rename^{A}\}_{\alpha: \Sigma \to \Sigma}, \{a^{A}\}_{a \in \Sigma})$$

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$$|| \cup || \text{locally finite}$$

$$|| \perp || \text{recognisable}$$

$$|| \perp || \text{Why locally finite and not just finite ?}$$

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Grids are MSO-definable but building  $\{G_{n,n} \mid n \in \mathbb{N}\}$  requires infinitely many sorts

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Grids are MSO-definable but building  $\{G_{n,n} | n \in \mathbb{N}\}$  requires infinitely many sorts (Graphs) CMSO-definability  $\Rightarrow$  recognisability, but not viceversa [Courcelle'90]

# Tree-width (algebraic)

```
T(HR) \stackrel{\text{\tiny def}}{=} \text{ set of } HR\text{-terms}
tw(G) \stackrel{\text{\tiny def}}{=} min\{ \text{ (number of constants occurring in } t) - 1 \text{ } t \in T(HR), t^{HR} = G \}
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Recognisable sets of bounded tree-width graphs have finitely many non-empty sorts:

- recognisability can be defined in terms of finite algebras (of practical importance)

## Context-Free Sets

 $\mathcal{L} \subseteq \mathcal{A}$  context-free  $\Leftrightarrow \mathcal{L} = \{ t^{\mathcal{HR}} \mid t \in \mathcal{T} \}$ , where  $\mathcal{T} \subseteq \mathcal{T}(\mathcal{HR})$  is recognisable

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A context-free grammar  $\Gamma = (\mathcal{N}, \leftarrow)$  consists of finitely many rules:

$$X \leftarrow t[Y_1, ..., Y_n]$$
  $X, Y_1, ..., Y_n \in \mathcal{N}, t \in \mathcal{T}(\mathcal{HR})$   $\leftarrow X$  axiom

 $\mathcal{L}(\Gamma) \triangleq \{ t^{\mathcal{HR}} \mid \leftarrow \mathcal{X} \leftarrow \dots \leftarrow t \text{ complete derivation } \}$ 

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(Graphs) Recognisable and context-free sets are incomparable

- ► (words) recognisable ⇒ context-free

## Regular Sets of Graphs

Regular grammars are context-free grammars that define recognisable sets

- but is there a simple syntactic definition?
- - regularity <sup>?</sup>→ CMSO-definability (recognisability ⇔ CMSO-definability)
- buildreich is the construction of a (minimal) recogniser algebra effective ?
- but is there a regular/recognisable equivalent of MSO-definability?

 $\Gamma = (\mathcal{N}, \leftarrow)$ , where  $(\mathcal{X}, \mathcal{Y})$  is a partition of  $\mathcal{N}$  and the rules have the forms:

C. Y 
$$\leftarrow$$
  $t[Z_1, ..., Z_m]$ 

D.  $X \leftarrow t$ , if t is a ground term

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$$E. \leftarrow Z$$

$$X_{\tau} \leftarrow Y_{\tau}^{\# \geq m}$$
,  $m = min\{q_1, ..., q_k\}$  for all (B) rules

$$Z_{\tau} \leftarrow t[Z_{\tau_1}, \ldots, Z_{\tau_m}]$$

$$\leftarrow Z$$

 $\Gamma = (\mathcal{N}, \leftarrow)$ , where  $(\mathcal{X}, \mathcal{Y})$  is a partition of  $\mathcal{N}$  and the rules have the forms:

A. 
$$X \leftarrow X \parallel Y \parallel \dots \parallel Y$$
q times  $\stackrel{\text{def}}{=} Y^{\#q}$ 

 $\Gamma$  is aperiodic  $\Leftrightarrow$  each q = 1

B. 
$$X \leftarrow Y^{\#q1} \parallel ... \parallel Y^{\#qk}$$
  
C.  $Y \leftarrow t[Z_1, ..., Z_m]$   
D.  $X \leftarrow t$ , if  $t$  is a ground term  
E.  $\leftarrow Z$ 

$$\begin{array}{ccc} X & Y \\ & & & \\$$

#### A Refinement Theorem

```
\mathcal{A} = (\{A_{\tau}\}_{\tau \subseteq \mathbb{N}}, \|I^{\mathcal{A}}, \{rename^{\mathcal{A}}\}_{\alpha : \mathbb{B} \to \mathbb{B}}, \{b^{\mathcal{A}}\}_{b \in \mathbb{B}}) locally finite idem(a) = a \|I^{\mathcal{A}} \dots II^{\mathcal{A}} a \text{ is the (unique) element such that } idem(a) \|I^{\mathcal{A}} idem(a) = idem(a) \mathcal{A} \text{ is aperiodic} \iff idem(a) \|I^{\mathcal{A}} a = a, \text{ for all } a \in A_{\text{Sort}(a)}
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#### **Theorem [Refinement]**

- 1. For each stratified grammar  $\Gamma$  and each recognisable set L, one can build a stratified grammar  $\Gamma$ ' such that  $FP(\Gamma') \subseteq FP(\Gamma)$  and  $\mathcal{L}(\Gamma') = \mathcal{L}(\Gamma) \cap L$ .
- 2.  $\Gamma$ ' is aperiodic if  $\Gamma$  and  $\mathcal{A}$  are both aperiodic.

## A Completeness Theorem

A class is a derived algebra of  $\mathcal{HR}$  whose finite signature includes  $\mathbb{I}$ 

• we consider the classes of trees, (disoriented) series-parallel graphs, graphs of tree-width  $\leq 2$ 

## A Completeness Theorem

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**Corollary** Let C be an (aperiodic) universally stratified class and L a set recognisable in C (by an aperiodic recogniser). Then, there exists a (aperiodic) stratified grammar  $\Gamma$  such that  $FP(\Gamma) \subseteq FP(\Gamma_C)$  and  $\mathcal{L}(\Gamma) = L$ .

## Regular Grammars

A regular grammar  $\Gamma$  for a class C is a stratified grammar such that  $FP(\Gamma) \subseteq FP_C$ 

• each refinement  $\Gamma$ ' of  $\Gamma$  is a regular grammar (RT)

#### **Theorem [Meta]** Let C be the class.

- 1. L is (aperiodic) recognisable in  $C \Leftrightarrow L$  is the language of a (aperiodic) regular grammar for C
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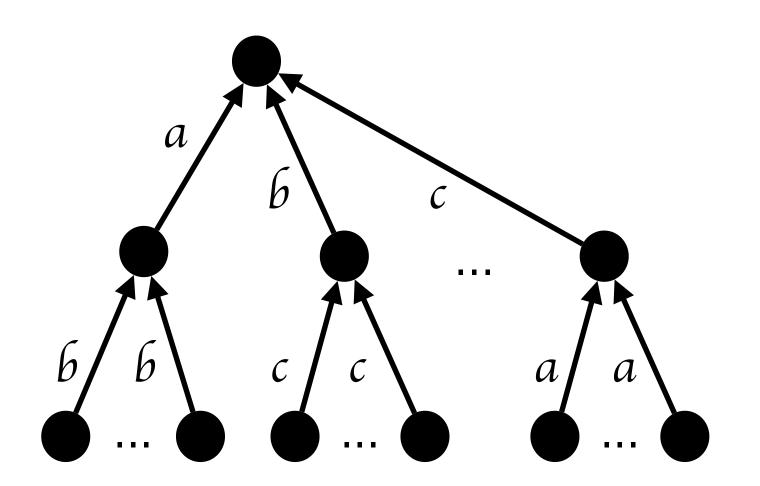
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Proofs for trees, (disoriented) series-parallel graphs, graphs of tree-width ≤ 2

#### Unranked and Unordered Trees

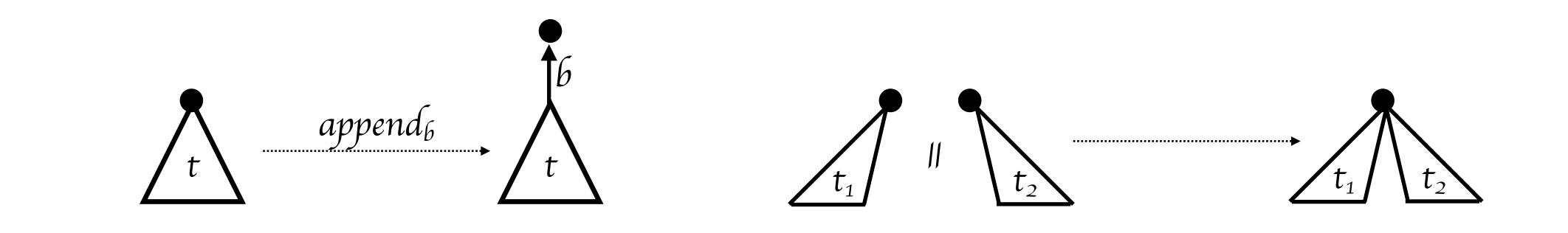
 $\mathbb{B} \triangleq \{a, b, c, ...\}$  finite alphabet of (binary) edge labels  $\mathsf{T}(\mathbb{B}) \triangleq \mathsf{set}$  of trees with edge labels from  $\mathbb{B}$ 



number and order of children are not fixed

#### Unranked and Unordered Trees

 $\mathbb{B} \stackrel{\text{\tiny def}}{=} \{a, b, c, ...\}$  finite alphabet of (binary) edge labels  $\mathcal{T} = (T(\mathbb{B}), \{append_b^T\}_{b \in \mathbb{B}}, 1^T, II^T)$  derived  $\mathcal{HR}$ -algebra (finite signature, one sort  $\{1\}$ )



## (Trees) Recognisability --> Regularity

A regular tree grammar  $\Gamma$  is a stratified grammar with nonterminals  $X \uplus Y$  such that

$$\mathbf{FP}(\Gamma) \subseteq \{\mathbf{X} \leftarrow \mathbf{Y}^{\# \geq 0}\} \cup \{\mathbf{Y} \leftarrow append_b(\mathbf{X}) \mid b \in \mathbb{B}\} \cup \{\leftarrow \mathbf{X}\}$$

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#### (Trees) Recognisability --> Regularity

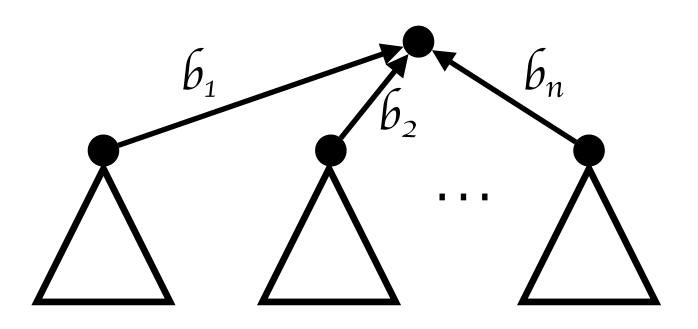
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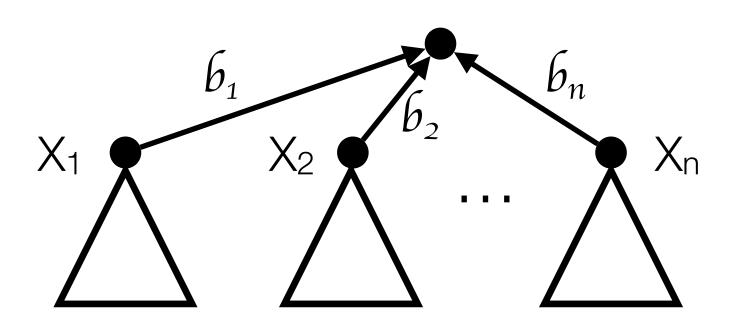
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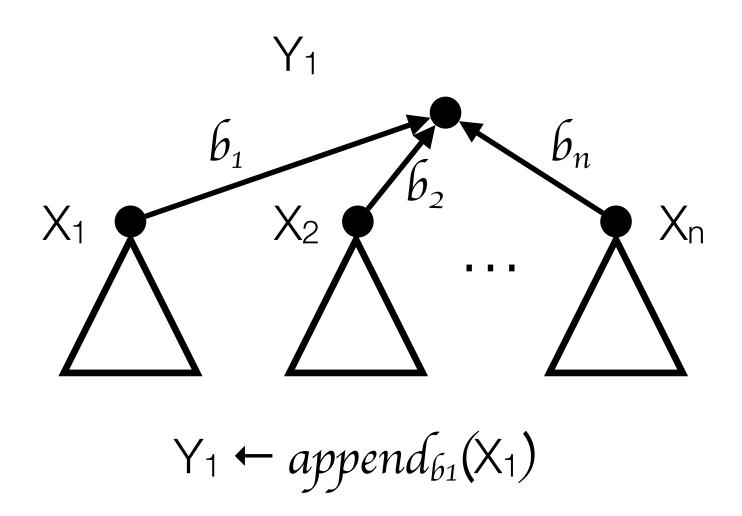
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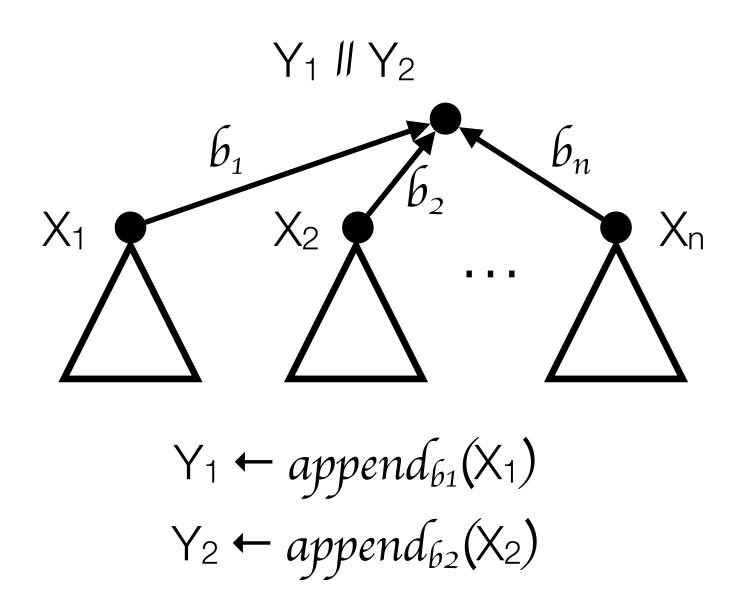
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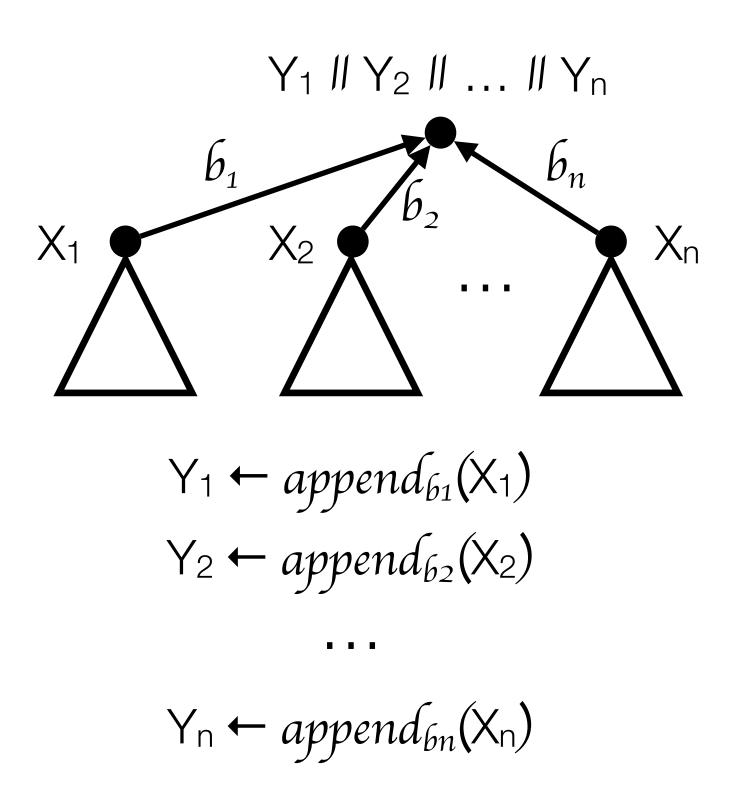
 $\Rightarrow$  each set recognisable in  $\mathcal{T}$  is the language of a regular tree grammar

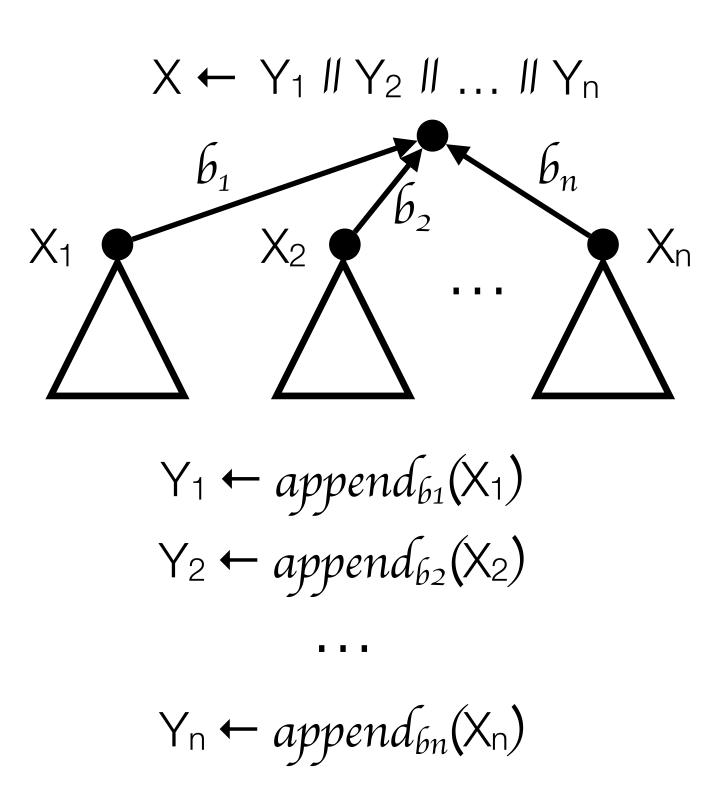


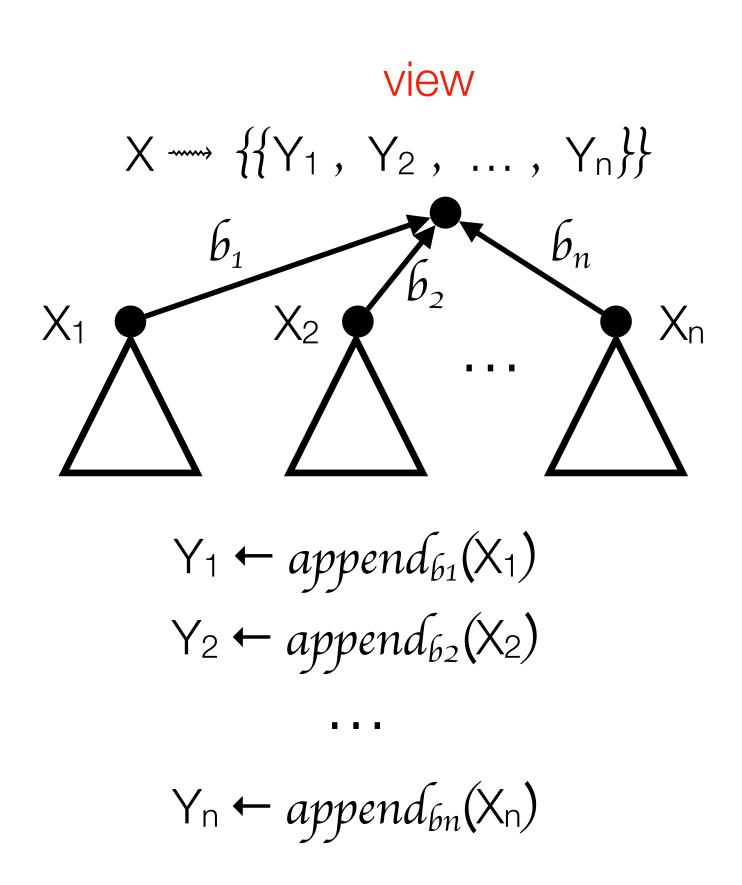




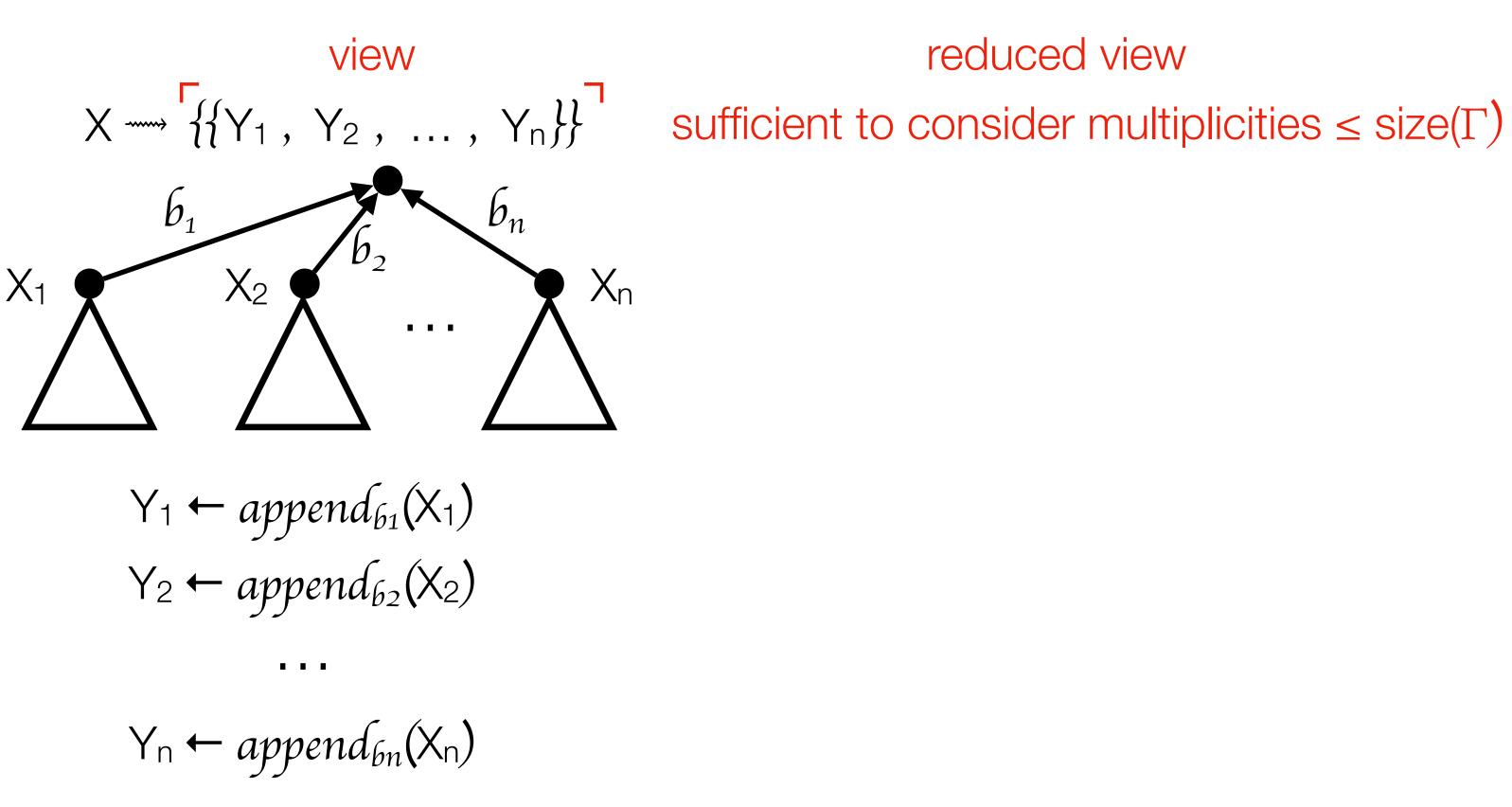








 $\Gamma$  = regular tree grammar



reduced view

# A Recogniser Algebra for Trees

 $\Gamma$  = regular tree grammar

$$\mathcal{A} \stackrel{\text{def}}{=} (A, \{append^{\mathcal{A}}_{b}\}_{b \in \mathbb{B}}, 1^{\mathcal{A}}, \mathbb{I}^{\mathcal{A}})$$

A <sup>def</sup> set of reduced views

$$X \longrightarrow m \in a \qquad Y \leftarrow append_b(X)$$

$$\{\{Y\}\}\} \in append_b^{\mathcal{A}}(a)$$

$$m_1 \in a_1$$
  $m_2 \in a_2$   
 $\lceil m_1 + m_2 \rceil \in a_1 \parallel^{\mathcal{A}} a_2$ 

$$\emptyset \in 1^{\mathcal{A}}$$

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$$(T(A), \{append^{T}_{b}\}_{b \in \mathbb{B}}, 1^{T}, II^{T})$$
 $IU$ 
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$$\begin{array}{ll} \text{(T(A), \{append^{T}_{b}\}_{b \in \mathbb{B}}, 1^{T}, ||T|)} & \xrightarrow{h(T) \triangleq \{\lceil m \rceil \mid m \text{ view of } T\}} & \text{(A, \{append^{A}_{b}\}_{b \in \mathbb{B}}, 1^{A}, ||A|\}} \\ & \cup \\ \mathcal{L}(\Gamma) & = & h^{-1}(\{h(T) \mid T \in \mathcal{L}(\Gamma)\}) \end{array}$$

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 $\mathcal A$  is aperiodic whenever  $\Gamma$  is aperiodic

#### **Theorem**

- 1. L is (aperiodic) recognisable in  $\mathcal{T} \Leftrightarrow L$  is the language of a (aperiodic) regular grammar for  $\mathcal{T}$
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#### **Theorem**

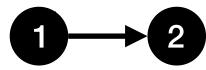
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Is the double exponential really needed?

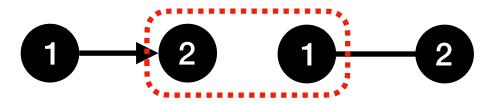
What if the regular grammar is aperiodic?

 $\mathbb{B} \triangleq \{a, b, c, ...\}$  finite alphabet of (binary) edge labels

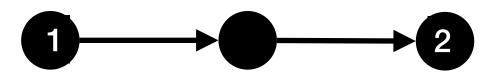


b

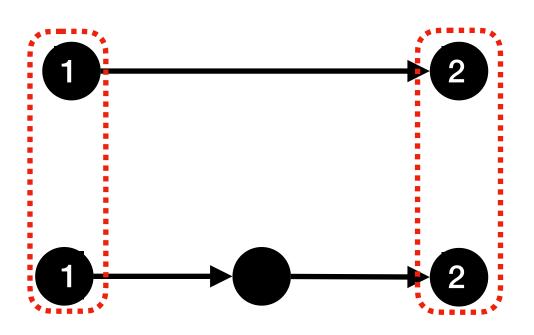
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6 6

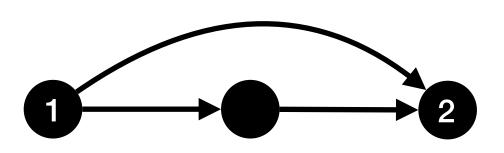


$$b \circ b$$



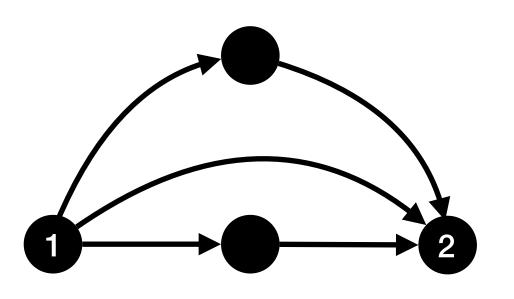
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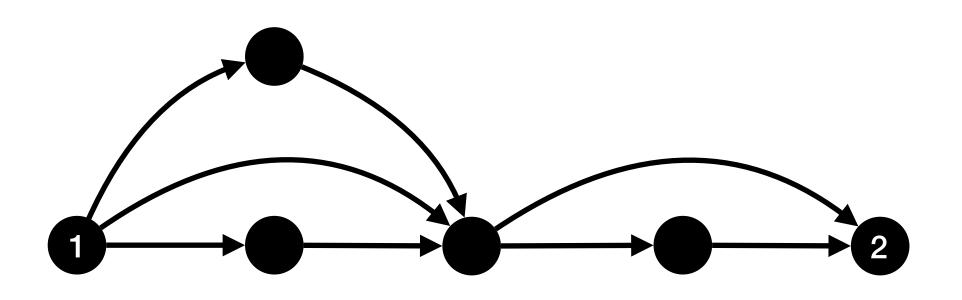
6 0 6 11 6

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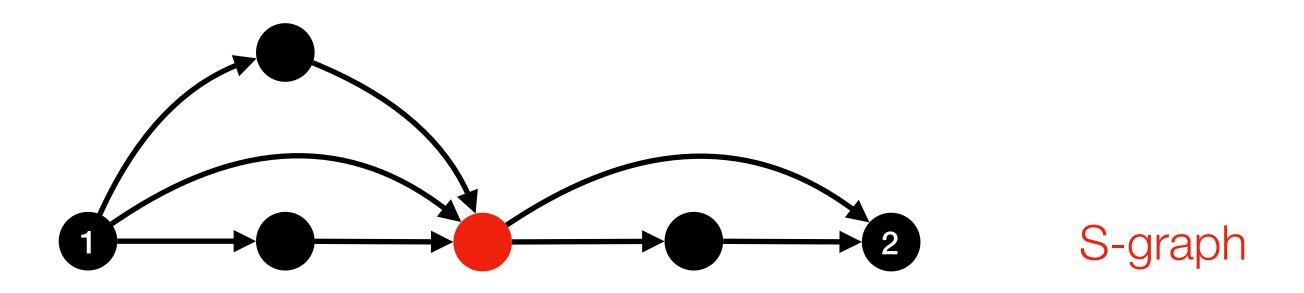
 $(6 \circ 6 11 6) 11 6 \circ 6$ 

 $\mathbb{B} \triangleq \{a, b, c, ...\}$  finite alphabet of (binary) edge labels  $SP = (SP(\mathbb{B}), \{b^{SP}\}_{b \in \mathbb{B}}, \circ^{SP}, I^{SP})$ 

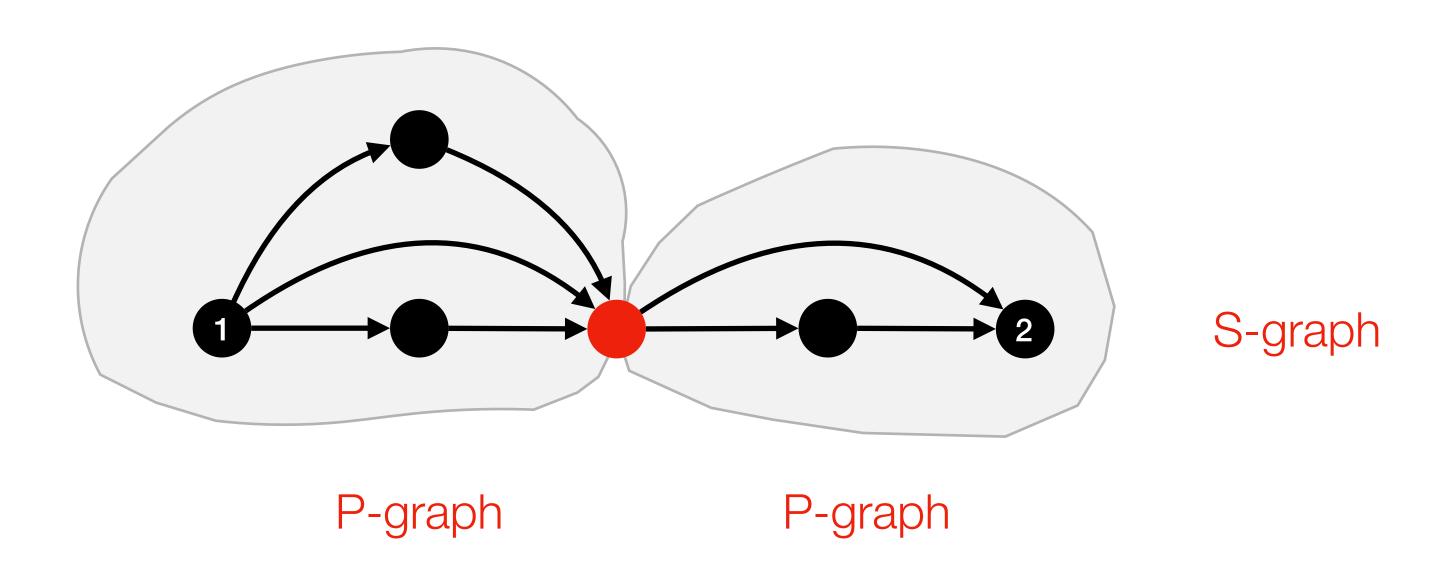


 $((b \circ b \parallel b) \parallel b \circ b) \circ (b \circ b \parallel b)$ 

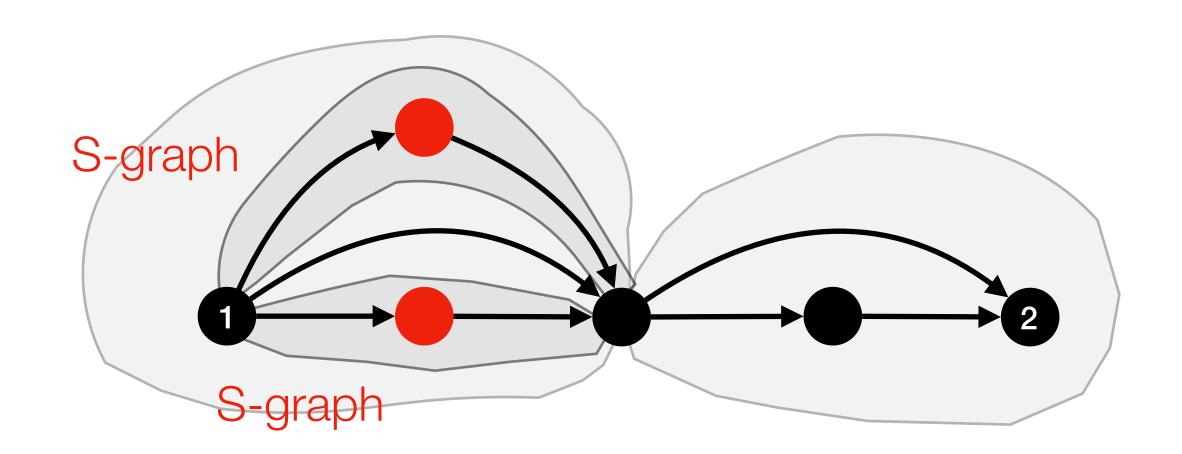
 $\mathbb{B} \triangleq \{a, b, c, ...\}$  finite alphabet of (binary) edge labels  $S\mathcal{P}=(SP(\mathbb{B}), \{b^{SP}\}_{b \in \mathbb{B}}, \circ^{SP}, \mathcal{I}^{SP})$ 



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# (SP) Recognisability --> Regularity

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A regular SP grammar  $\Gamma$  is a stratified grammar with nonterminals  $\mathcal{P} \uplus \mathcal{S}$  such that

$$\mathbf{FP}(\Gamma) \subseteq \{P \leftarrow S^{\# \geq 2}, S \leftarrow P \circ S, S \leftarrow P \circ P, \leftarrow P, \leftarrow S\} \cup \{P \leftarrow b, S \leftarrow b \mid b \in \mathbb{B}\}$$

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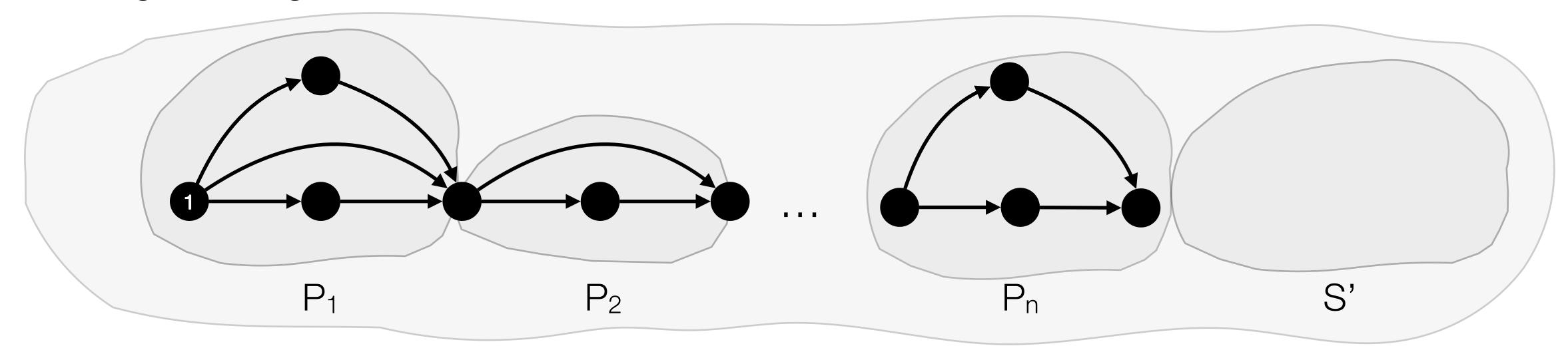
**Lemma [Universality]** The following aperiodic stratified grammar produces SP(B):

$$P \leftarrow P \parallel S \qquad S \leftarrow P \circ S \qquad S \leftarrow b \qquad \leftarrow P$$
 $P \leftarrow S \parallel S \qquad S \leftarrow P \circ P \qquad P \leftarrow b \qquad \leftarrow S$ 

 $\Rightarrow$  each set recognisable in SP is the language of a regular SP grammar

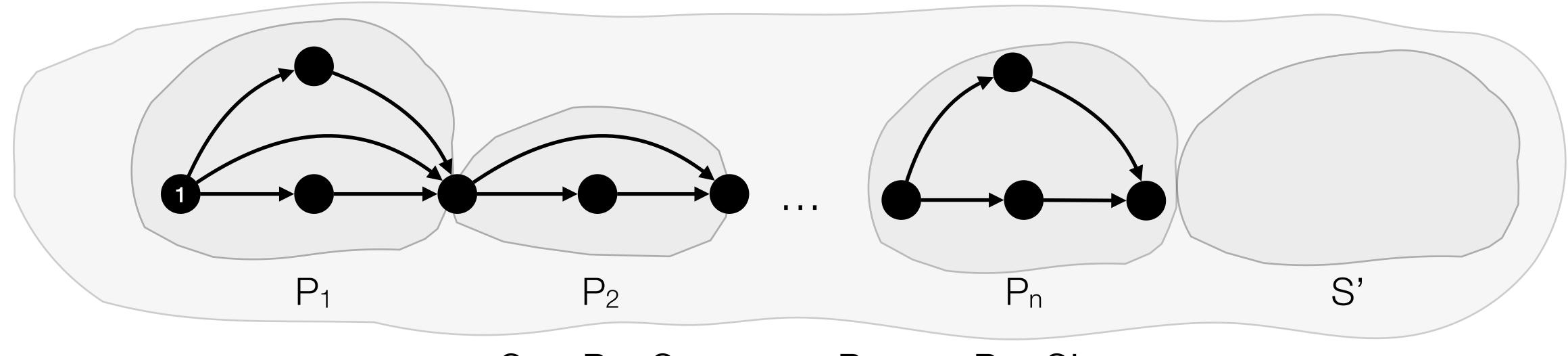
 $\Gamma$  = regular SP grammar

$$\mathcal{A} = (A, \{b^{\mathcal{A}}\}_{b \in \mathbb{B}}, \circ^{\mathcal{A}}, \mathbb{A})$$



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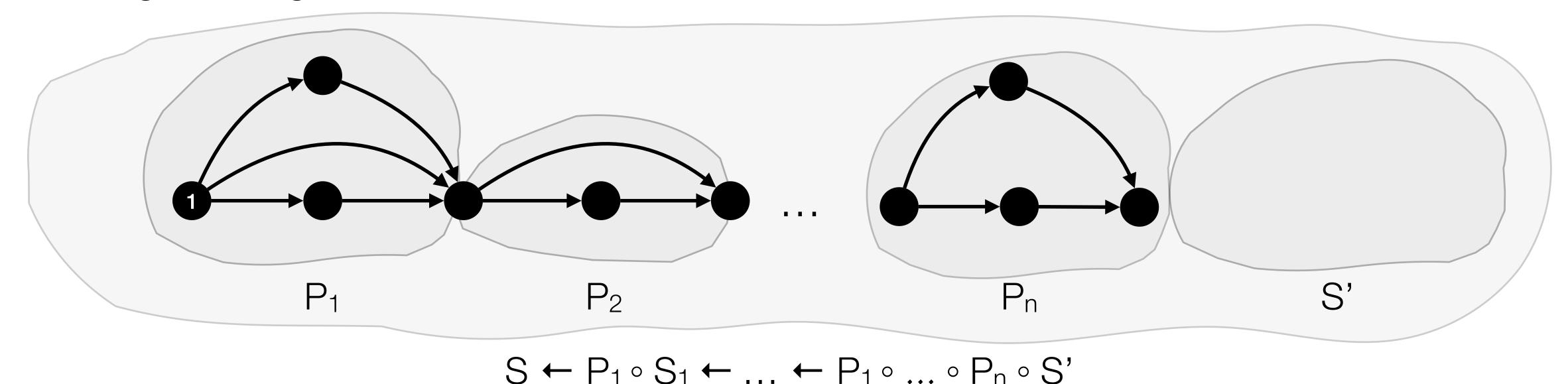
$$\mathcal{A} = (A, \{b^{\mathcal{A}}\}_{b \in \mathbb{B}}, \circ^{\mathcal{A}}, \mathbb{A})$$



$$S \leftarrow P_1 \circ S_1 \leftarrow ... \leftarrow P_1 \circ ... \circ P_n \circ S'$$

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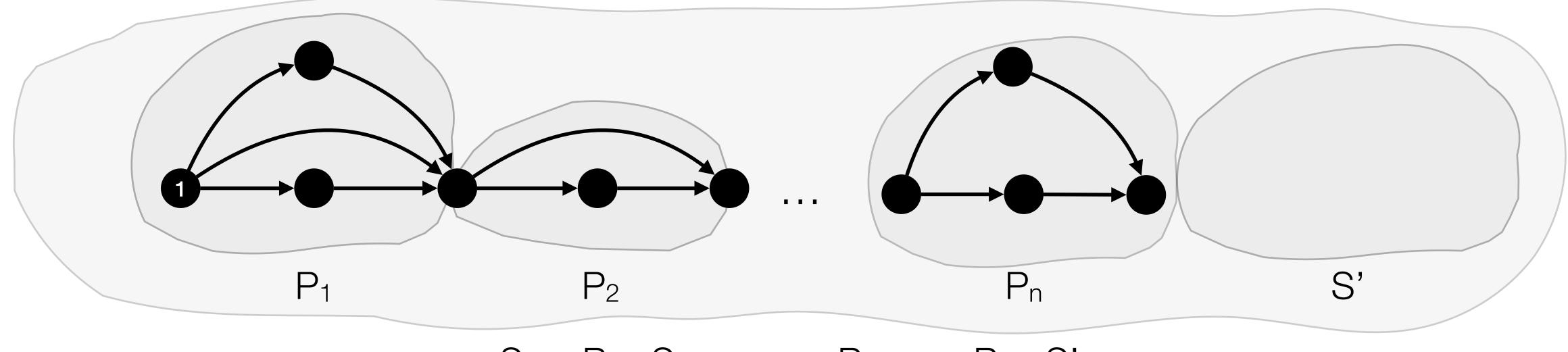
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(S,S') view of S-graph  $G = t_1^{SP} \circ ... \circ t_n^{SP}$  (each  $P_i \leftarrow ... \leftarrow t_i$  is a complete derivation)

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# A Recogniser Algebra for SP

 $\Gamma$  = regular SP grammar

$$\mathcal{A} = (A, \{b^{\mathcal{A}}\}_{b \in \mathbb{B}}, \circ^{\mathcal{A}}, \mathbb{I}^{\mathcal{A}})$$

set of reduced S/P-views

$$P \longrightarrow M \in a_1 \quad (S_1,Q) \in a_2 \quad S \leftarrow P \circ S_1$$

$$(S,Q) \in a_1 \circ^{\mathcal{A}} a_2$$

$$\frac{(S,S_1) \in a_1 \quad (S_1,Q) \in a_2}{(S,Q) \in a_1 \circ^{\mathcal{A}} a_2}$$

$$(S,S_1) \in a_1$$
  $P \longrightarrow m \in a_2$   $S_1 \leftarrow P \circ Q$   
 $(S,Q) \in a_1 \circ^{\mathcal{A}} a_2$ 

# A Recogniser Algebra for SP

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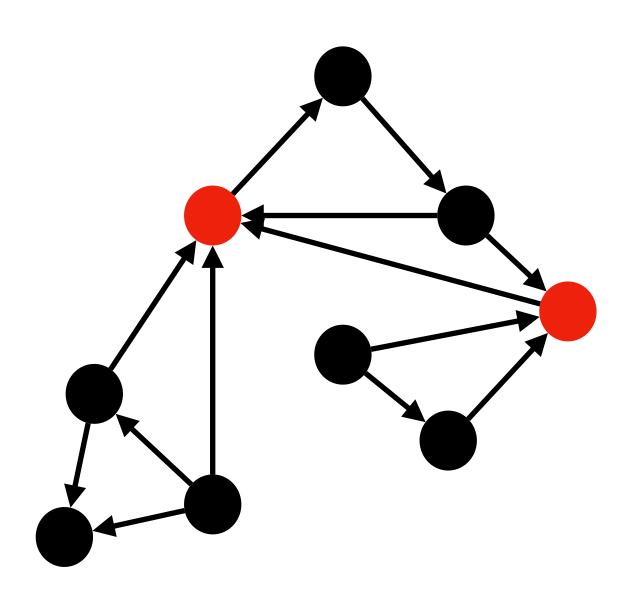
$$(S,Q) \in a_1 \circ^{\mathcal{A}} a_2$$

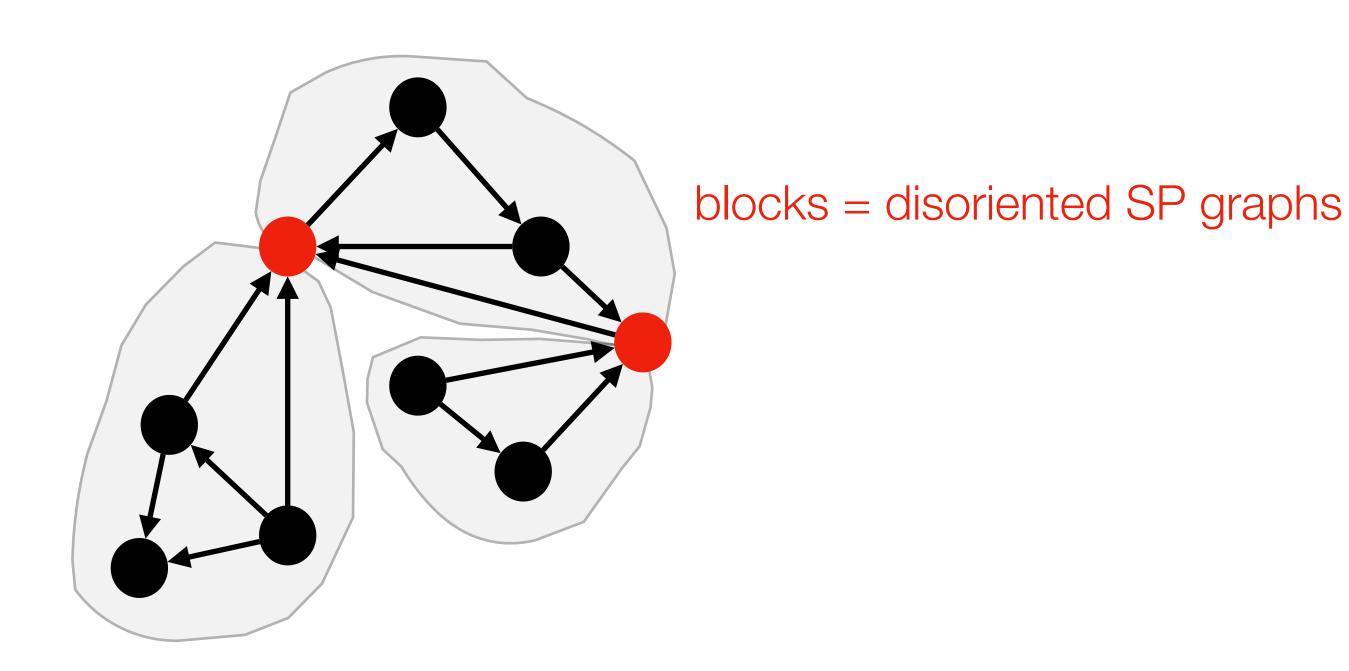
$$(SP(\mathbb{B}), \{b^{SP}\}_{b \in \mathbb{B}}, \circ^{SP}, ||SP) \xrightarrow{h(G) \triangleq \{m \mid m \text{ reduced view of G}\}} (A, \{b^{A}\}_{b \in \mathbb{B}}, \circ^{A}, ||A)$$

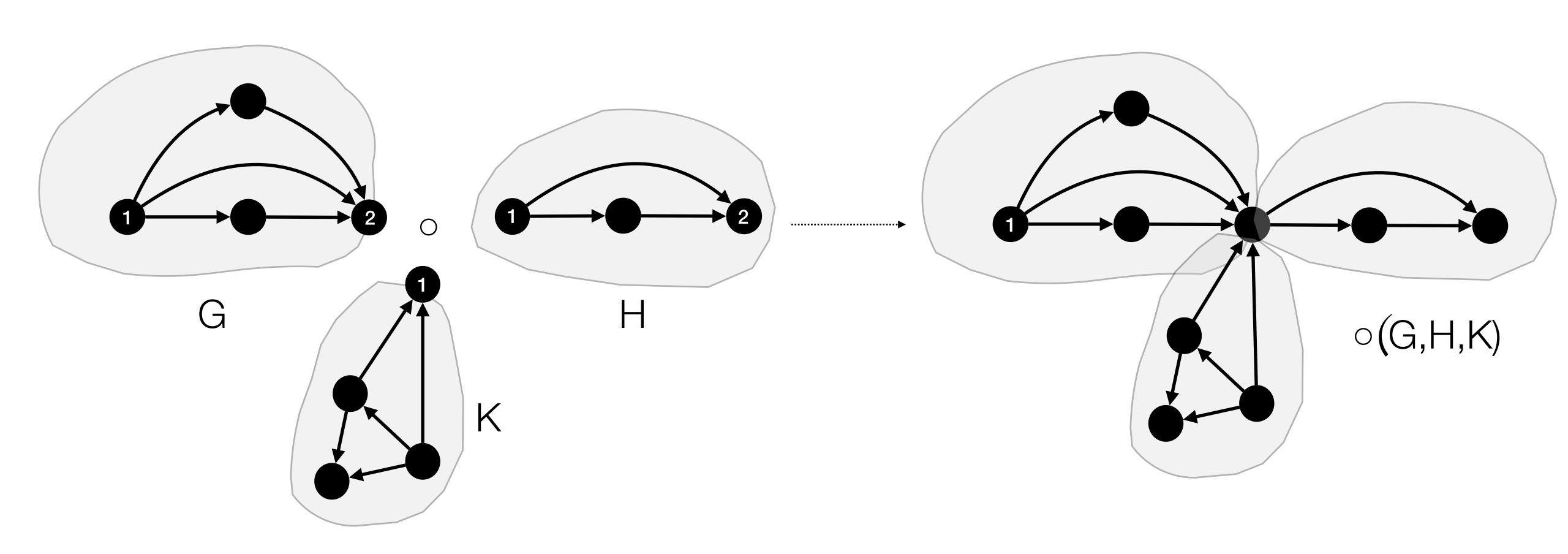
$$= h^{-1}(\{h(G) \mid G \in \mathcal{L}(\Gamma)\})$$

#### **Theorem**

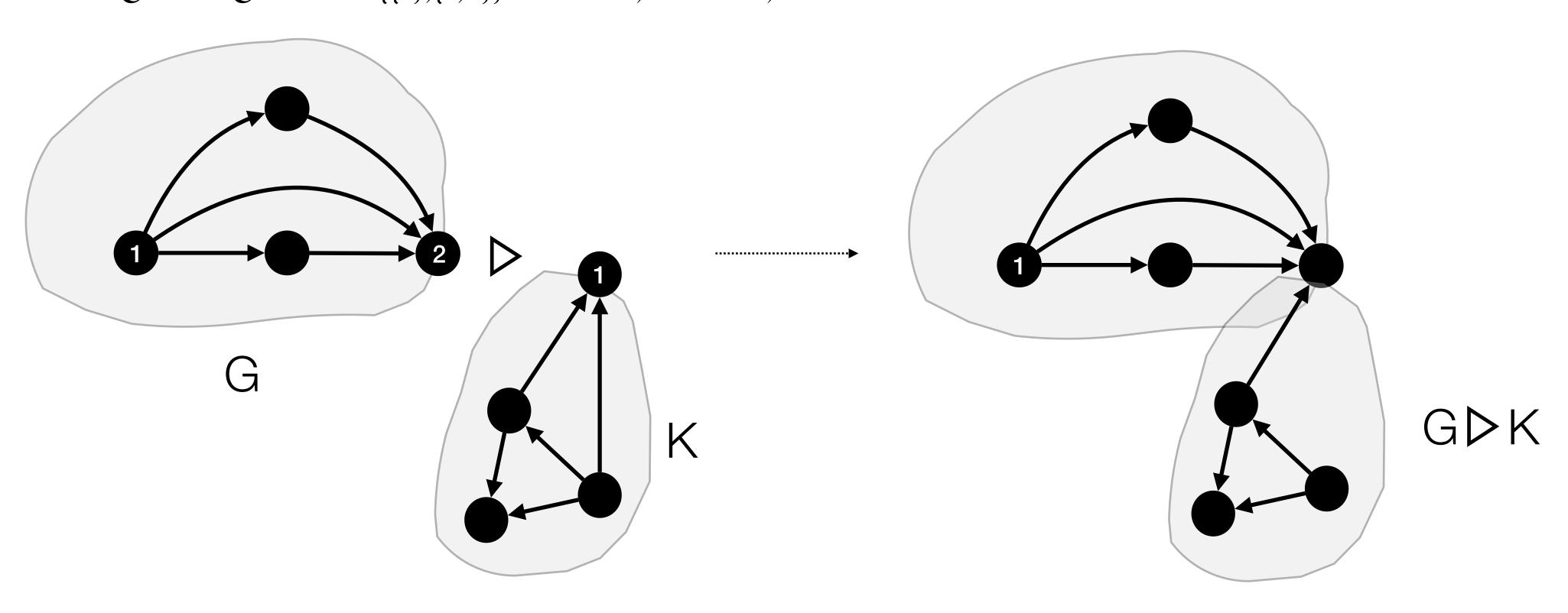
- 1. L is (aperiodic) recognisable in  $SP \Leftrightarrow L$  is the language of a (aperiodic) regular grammar for SP
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 $\mathbb{B} \stackrel{\text{\tiny def}}{=} \{a, b, c, ...\}$  finite alphabet of (binary) edge labels  $G_2 = (\{G_{\tau}(\mathbb{B})\}_{\tau \in \{\{1\},\{1,2\}\}}, \{b_{g_2}, b_{g_2}, b_{g_2}, b_{g_2}, o_{g_2}, o_{g_2}, o_{g_2}, o_{g_2}\}_{b \in \mathbb{B}}, o_{g_2}, o_{g_2}, o_{g_2})$ 



#### (Tw≤2) Recognisability → Regularity

 $\mathbb{B} \stackrel{\text{\tiny def}}{=} \{a, b, c, ...\}$  finite alphabet of (binary) edge labels  $G_2 = (\{G^{\leq 2}_{\tau}(\mathbb{B})\}_{\tau \in \{\{1\},\{1,2\}\}}, \{b^{G_2}_{1,2}, b^{G_2}_{2,1}\}_{b \in \mathbb{B}}, \circ^{G_2}, \triangleright^{G_2}, |S_{G_2}|)$ 

A regular tw $\leq$ 2 grammar  $\Gamma$  is a stratified grammar with nonterminals  $X \uplus Y \uplus Y \cup Y \cup S$ :

$$FP(\Gamma) \subseteq \{X \leftarrow Y^{\# \geq 0}, P \leftarrow S^{\# \geq 2}, Y \leftarrow P \triangleright X\} \cup \{S \leftarrow \circ (P,S,X), S \leftarrow \circ (P,P,X), \leftarrow X\}$$
$$\{P \leftarrow b_{1,2}, P \leftarrow b_{2,1}, S \leftarrow b_{1,2}, S \leftarrow b_{2,1} \mid b \in \mathbb{B}\}$$

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A regular tw≤2 grammar  $\Gamma$  is a stratified grammar with nonterminals  $X \uplus Y \uplus T \uplus S$ :

$$FP(\Gamma) \subseteq \{X \leftarrow Y^{\# \geq 0}, P \leftarrow S^{\# \geq 2}, Y \leftarrow P \triangleright X\} \cup \{S \leftarrow \circ (P,S,X), S \leftarrow \circ (P,P,X), \leftarrow X\}$$
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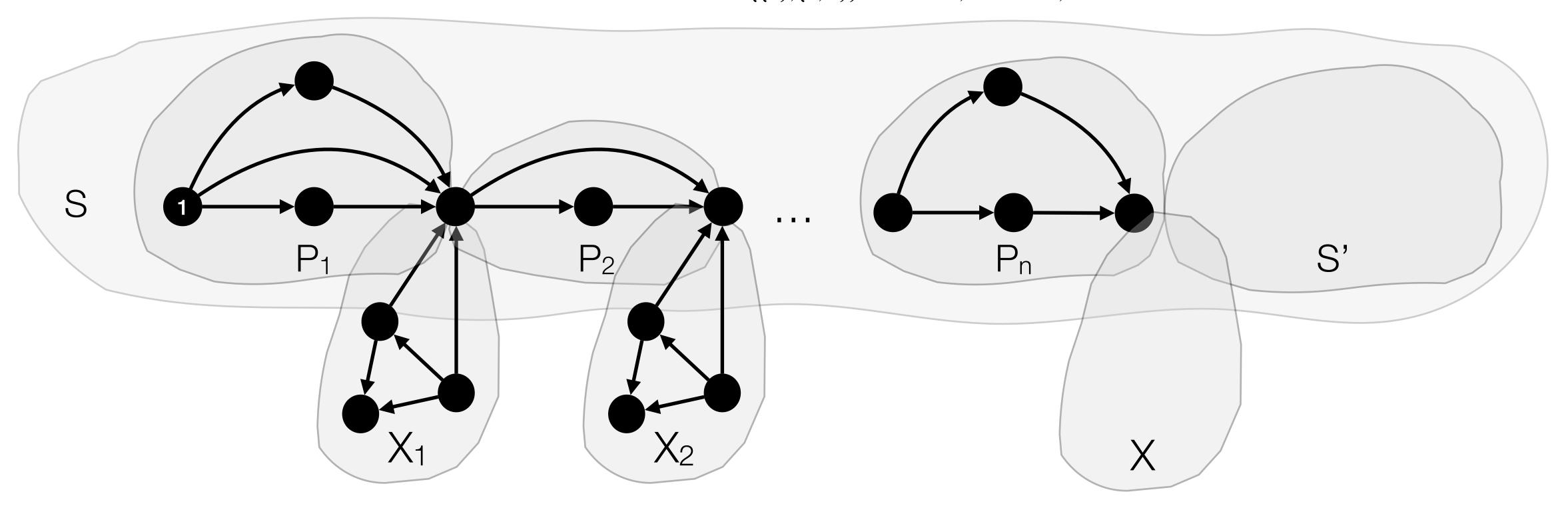
**Lemma [Universality]** The following aperiodic stratified grammar produces  $G^{\leq 2}(\mathbb{B})$ :

$$P \leftarrow P \parallel S$$
  $S \leftarrow \circ(P,S,X)$   $S \leftarrow b_{i,3-i}$   $X \leftarrow X \parallel Y$   $Y \leftarrow P \triangleright X$   $P \leftarrow S \parallel S$   $S \leftarrow \circ(P,P,X)$   $P \leftarrow b_{i,3-i}$   $X \leftarrow 1$   $\leftarrow X$ 

 $\Rightarrow$  each set recognisable in  $G_2$  is the language of a regular tw  $\leq 2$  grammar

#### (Tw≤2) Regularity → Recognisability

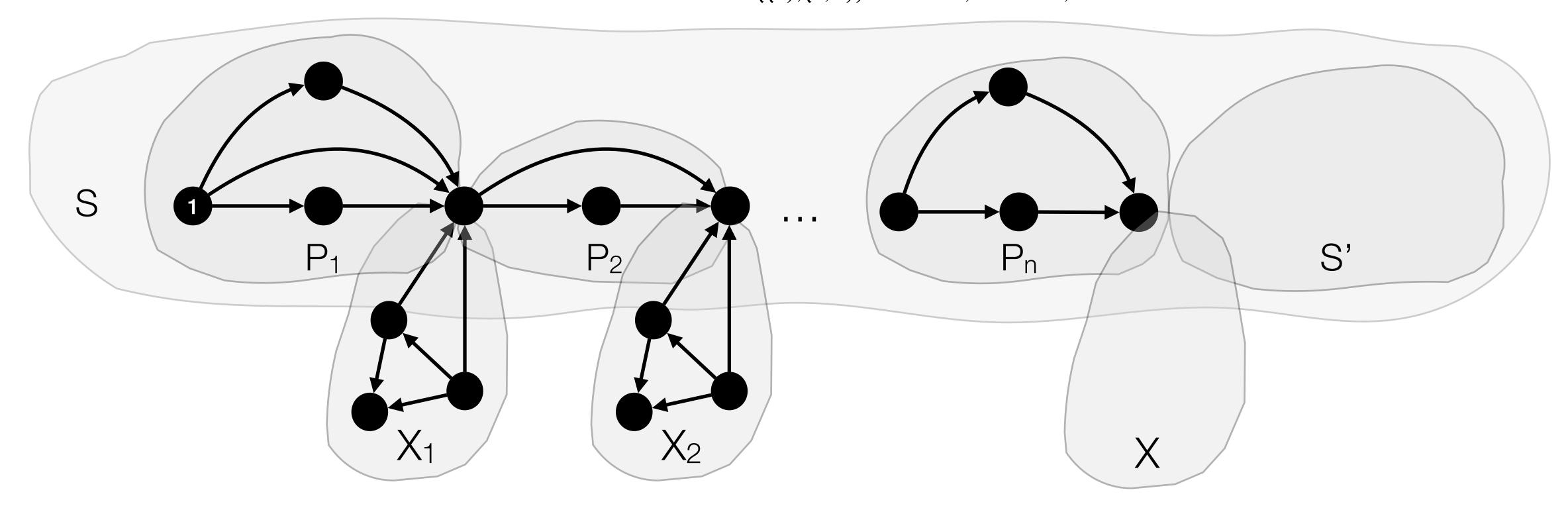
$$\mathcal{A} = (\{A_{\tau}\}_{\tau \in \{\{1\},\{1,2\}\}}, \{b^{\mathcal{A}}_{1,2}, b^{\mathcal{A}}_{2,1}\}_{b \in \mathbb{B}}, \circ^{\mathcal{A}}, \triangleright^{\mathcal{A}}, \|^{\mathcal{A}})$$



#### (Tw≤2) Regularity → Recognisability

Γ = regular tw≤2 grammar

$$A = (\{A_{\tau}\}_{\tau \in \{\{1\},\{1,2\}\}}, \{b^{A}_{1,2}, b^{A}_{2,1}\}_{b \in \mathbb{B}}, \circ^{A}, \triangleright^{A}, \|A)$$

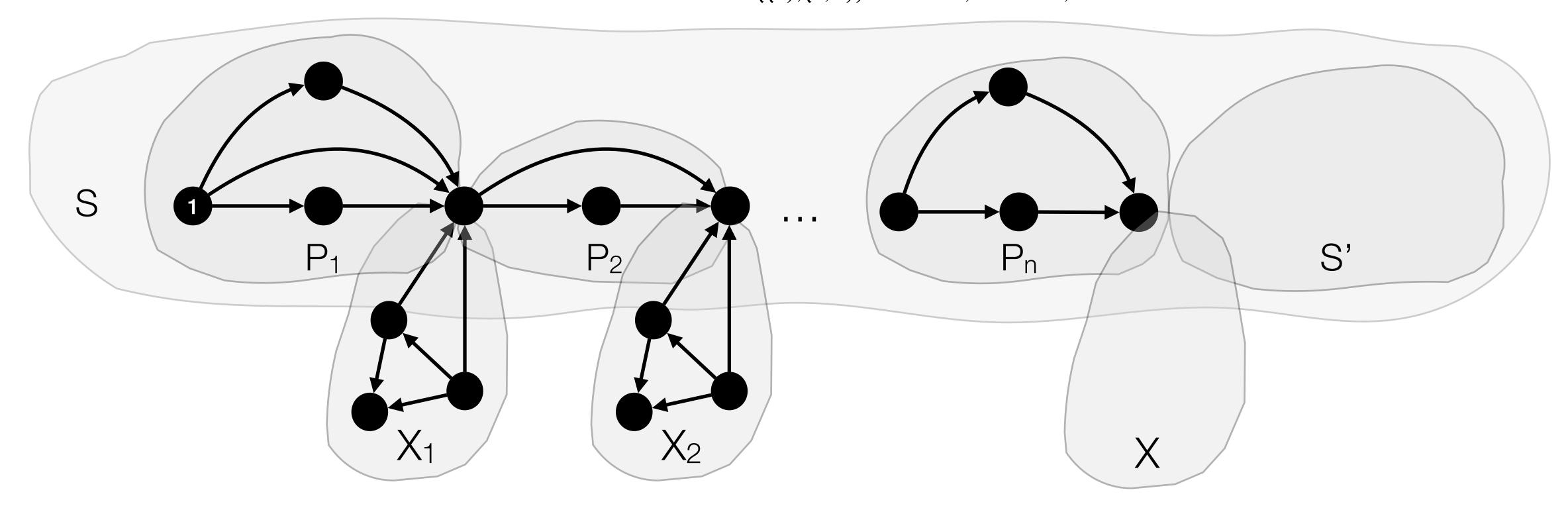


(S,S',X) view of  $S_{\{1,2\}}$ -graph  $G = \circ(t_1G^2, \circ(t_2G^2, \dots \circ(t_{n-1}G^2, u_{n-1}G^2, t_nG^2)\dots))$   $P_i \leftarrow \dots \leftarrow t_i, X_i \leftarrow \dots \leftarrow u_i$  complete derivations

#### (Tw≤2) Regularity → Recognisability

Γ = regular tw≤2 grammar

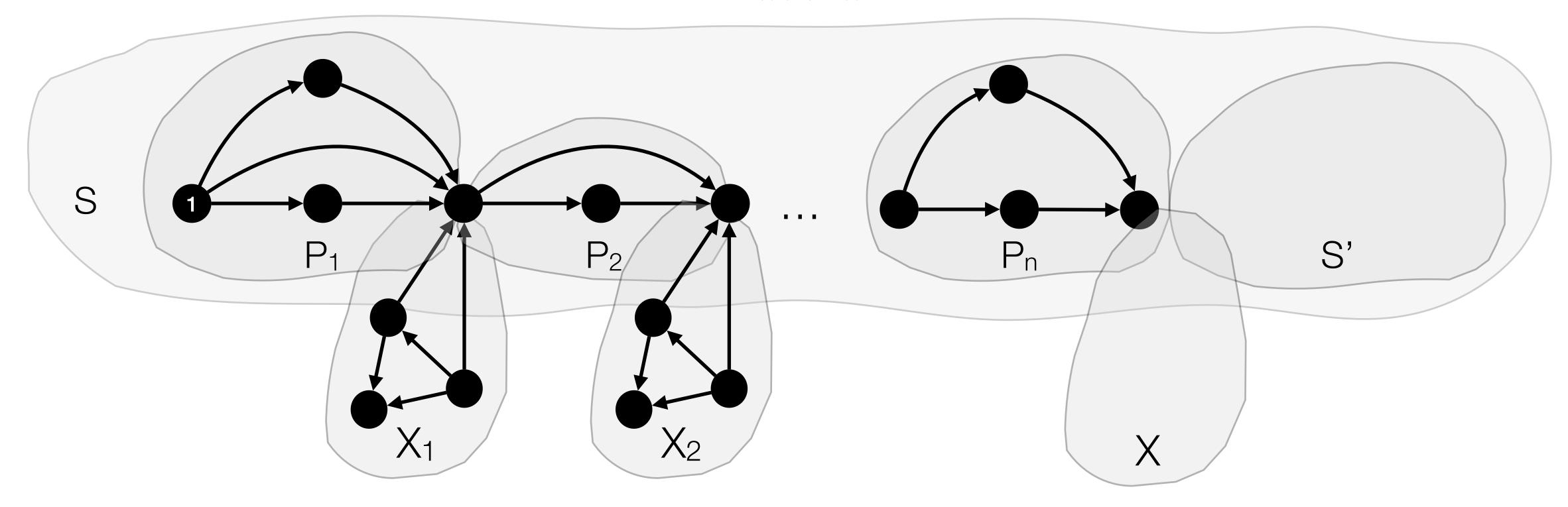
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(S,S',X) view of  $S_{\{1,2\}}$ -graph  $G = \circ(t_1 G_2) \circ (t_2 G_2) \circ (t_3 G_2) \circ (t_1 G_2) \circ$ 

#### (Tw≤2) Regularity ⇒ Recognisability

$$A = (\{A_{\tau}\}_{\tau \in \{\{1\},\{1,2\}\}}, \{b^{A}_{1,2}, b^{A}_{2,1}\}_{b \in \mathbb{B}}, \circ^{A}, \triangleright^{A}, \|A)$$



```
(S,S',X) view of S_{\{1,2\}}-graph G = \circ(t_1G^2, \circ(t_2G^2, \dots \circ(t_{n-1}G^2, u_{n-1}G^2, t_nG^2)\dots)) P_i \leftarrow \dots \leftarrow t_i, X_i \leftarrow \dots \leftarrow u_i complete derivations \{\{S_1, \dots, S_n\}\} view of \{1\}-graph G = t_1G^2 \parallel \dots \parallel t_nG^2 X_i \leftarrow \dots \leftarrow t_i complete derivations X_i \leftarrow \dots \leftarrow t_i complete derivations
```

# A Recogniser Algebra for Tw≤2

$$A = (\{A_{\tau}\}_{\tau \in \{\{1\},\{1,2\}\}}, \{b^{A}_{1,2}, b^{A}_{2,1}\}_{b \in \mathbb{B}}, \circ^{A}, \triangleright^{A}, \|A)$$

$$P \longrightarrow M \in a_1$$
  $(S_1,Q,X) \in a_2$   
 $S \leftarrow \circ (P,S_1,X_1)$   $X_1 \longrightarrow k \in a_3$   
 $(S,Q,X) \in \circ^{\mathcal{A}}(a_1,a_2,a_3)$ 

$$(S,S_1,X_1) \in a_1 \quad (S_1,Q,X) \in a_2$$

$$X_1 \longrightarrow k \in a_3$$

$$(S,Q,X) \in \mathcal{A}(a_1,a_2,a_3)$$

P 
$$\longrightarrow$$
  $M \in a_2$   $(S,S_1,X_1) \in a_1$ 

$$S_1 \leftarrow \circ(P,Q,X) \qquad X_1 \longrightarrow k \in a_3$$

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$$P \xrightarrow{m} m \in a_2$$
  $(S,S_1,X_1) \in a_1$   
 $S_1 \leftarrow \circ(P,Q,X)$   $X_1 \xrightarrow{m} k \in a_3$   
 $(S,Q,X) \in \circ^{\mathcal{A}}(a_1,a_2,a_3)$ 

$$G_{2} \xrightarrow{h(G) \triangleq \{m \mid m \text{ reduced view of G}\}} \mathcal{A}$$

$$U$$

$$\mathcal{L}(\Gamma) = h^{-1}(\{h(G) \mid G \in \mathcal{L}(\Gamma)\})$$

#### (Tw≤2) Regularity ⇒ Recognisability

#### **Theorem**

- 1. L is (aperiodic) recognisable in  $G_2 \Leftrightarrow L$  is the language of a (aperiodic) regular grammar for  $G_2$
- 2. Given grammars  $\Gamma$  and  $\Gamma$ , the problem  $\mathcal{L}(\Gamma) \subseteq \mathcal{L}(\Gamma)$  is in 2EXP  $\cap$  EXP-hard, if  $\Gamma$  is regular for  $\mathcal{G}_2$