

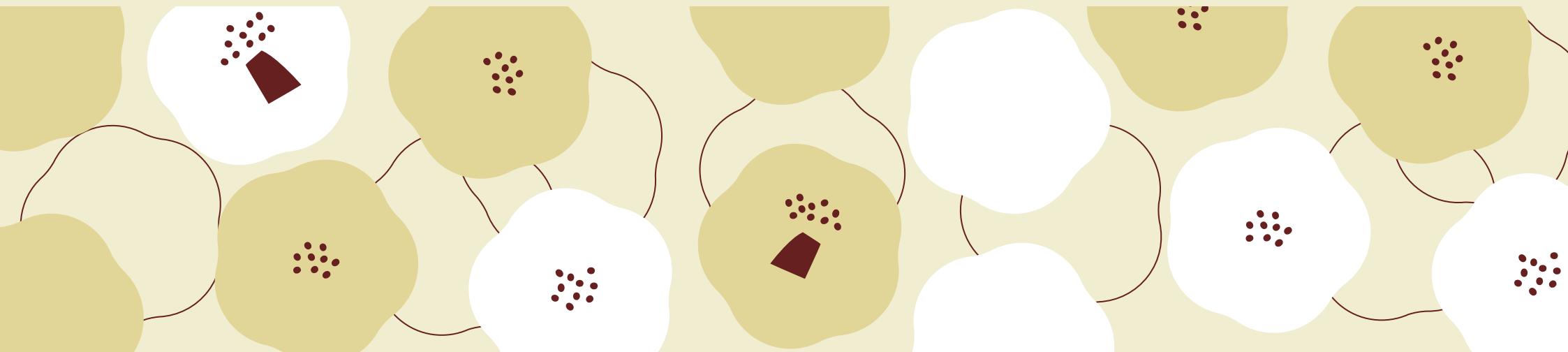


# Partial-order reduction

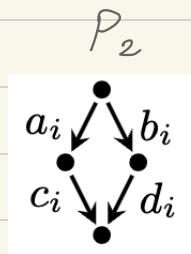
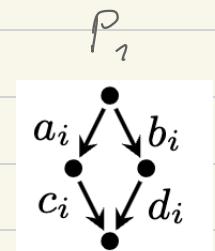
Lower bounds and heuristics

Igor Walukiewicz

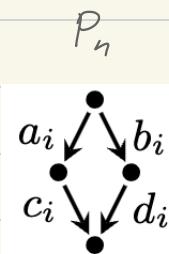
joint work with Frederic Herbreteau, Gerald Point, and [Gautham Viswanathan](#)



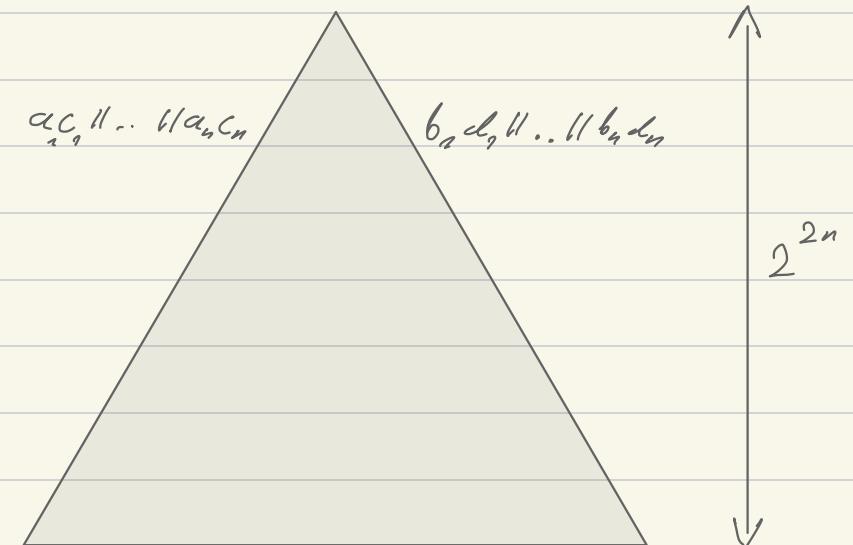
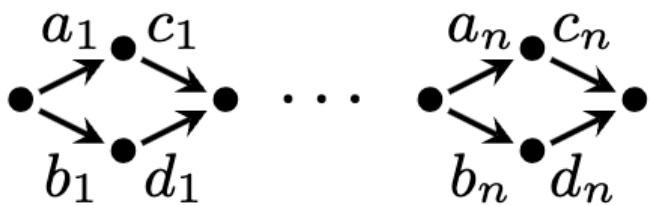
## Partial-order reduction: Example



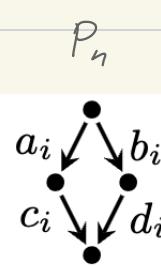
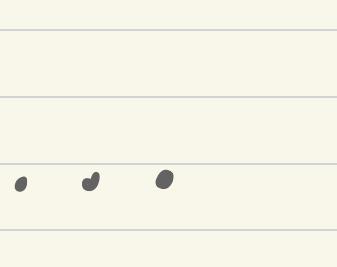
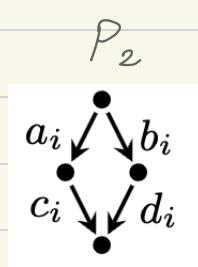
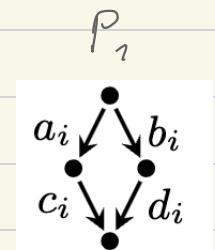
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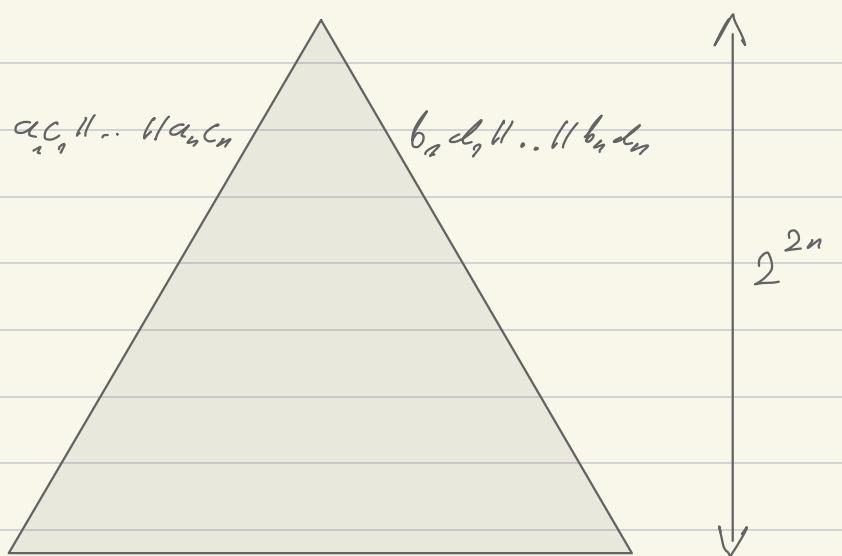
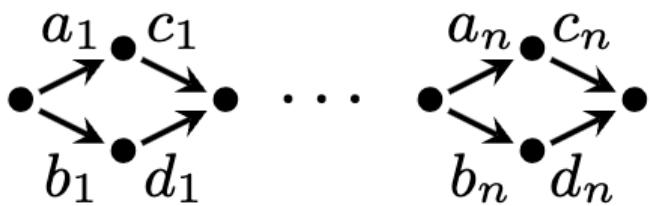
## Small transition system



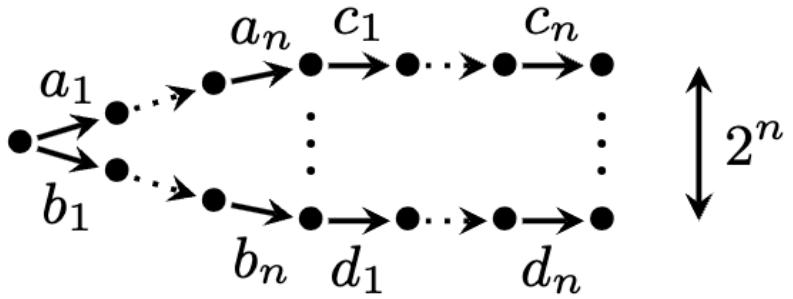
## Partial-order reduction: Example



## Small transition system



## Big transition system

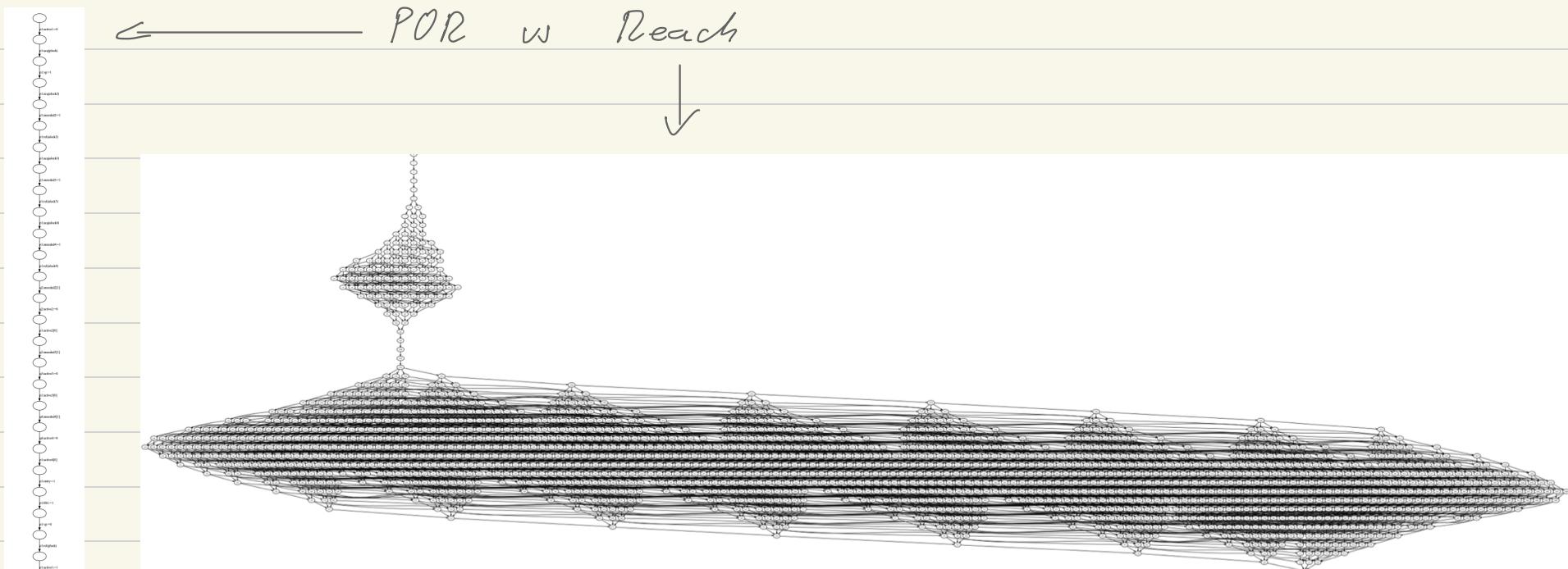


# TLB shootdown

[Thread modularity on many levels, Hoerwiche, Majumdar, Podelski]

1 writer 2 readers

POPL '77

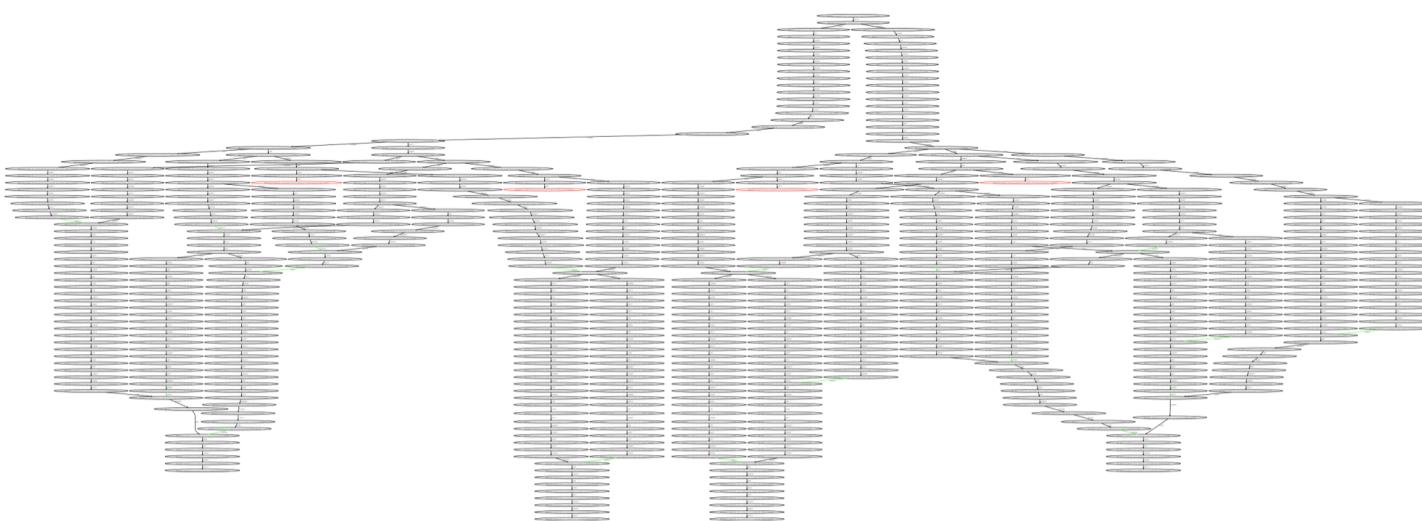


## Potential applications

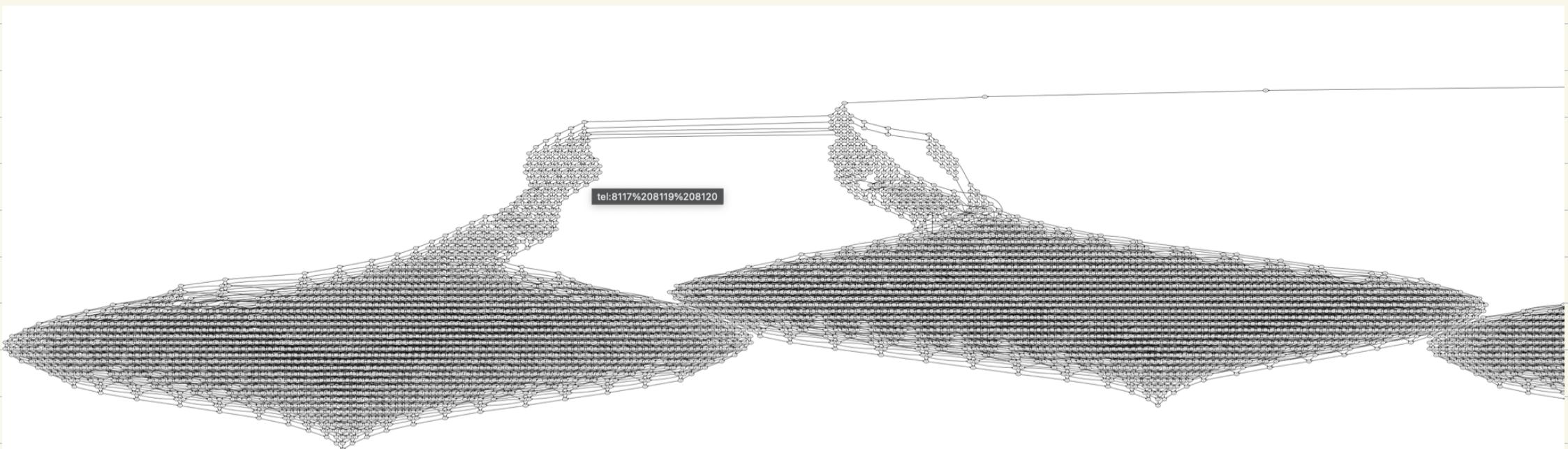
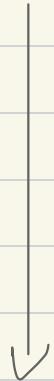
- looking at transition systems
- proving correctness
- verification of timed or probabilistic systems

TLB shootdown

2 writers 1 reader



← POR vs Reach



# Concurrent systems

## Concurrent programs

write( $x, i$ )    await( $x, I$ )    acq( $\ell$ )    rel( $\ell$ )

every process is acyclic

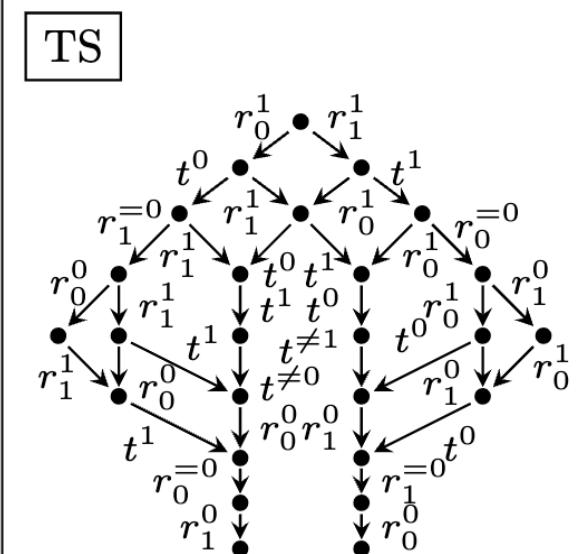
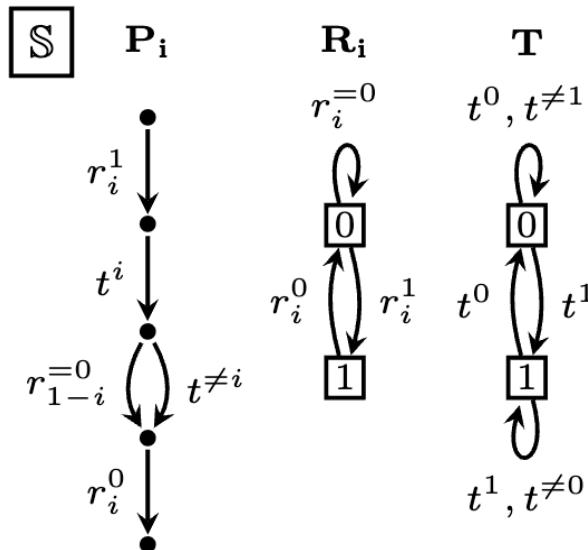
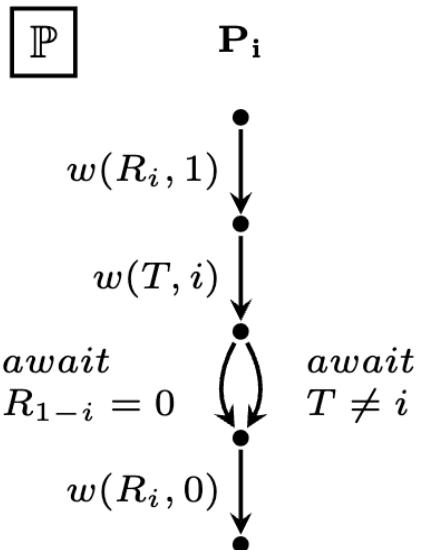
## Concurrent systems

{a, b, ..} abstract actions

A process is an acyclic deterministic transition system

Synchronization on common actions

```
// i ∈ {0, 1}
Process  $P_i$ :
  R[i] := 1
  T := i
  await(
    R[1-i] = 0
    or T ≠ i)
  // C.S.
  R[i] := 0
```



## Partial-order reduction

$\approx$  - equivalence relation on sequences of actions

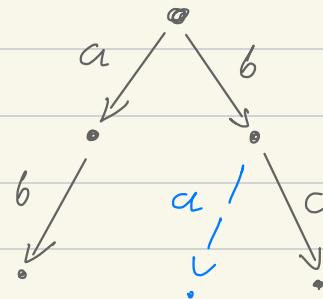
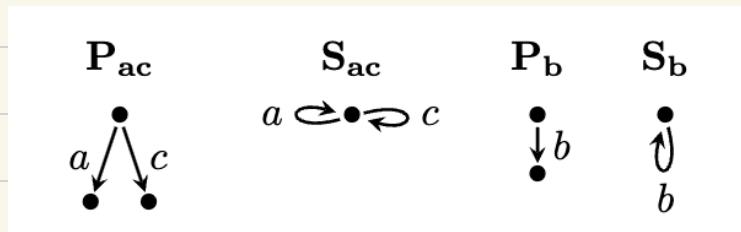
$$r_1(x, 15) \circ_2 (y, 13) \circ_3 (x, 15) \approx r_3(x, 15) \circ_1 (x, 15) \circ_2 (y, 13)$$

$$a b \approx b a \quad \text{if } \text{dom}(a) \cap \text{dom}(b) = \emptyset$$

$TS_r$  is a reduced transition system for  $TS$  if

- every full run of  $TS_r$  is a full run of  $TS$
- $\forall$  full run  $u$  of  $TS$   $\exists$  full run  $v$  of  $TS_r$   $u \approx v$

Goal: construct a reduced transition system



## Partial-order reduction

$\approx$  - equivalence relation on sequences of actions

- $r_1(x, 15) \circ_2 (y, 13) \circ_3 (x, 15) \approx r_3(x, 15) \circ_1 (x, 15) \circ_2 (y, 13)$

- $a b \approx b a \quad \text{if } \text{dom}(a) \cap \text{dom}(b) = \emptyset$

$TS_r$  is a reduced transition system for  $TS$  if

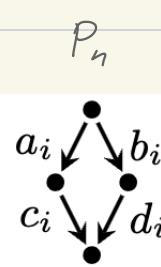
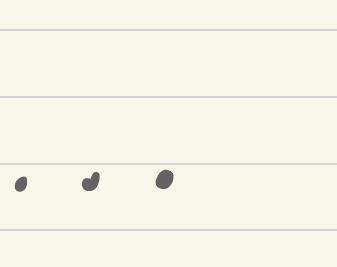
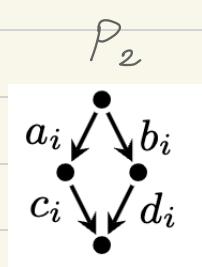
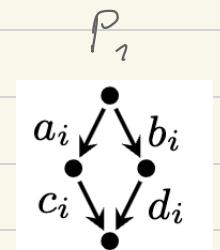
- every full run of  $TS_r$  is a full run of  $TS$
- $\forall$  full run  $u$  of  $TS$   $\exists$  full run  $v$  of  $TS_r$ ,  $u \approx v$

Goal: construct a reduced transition system

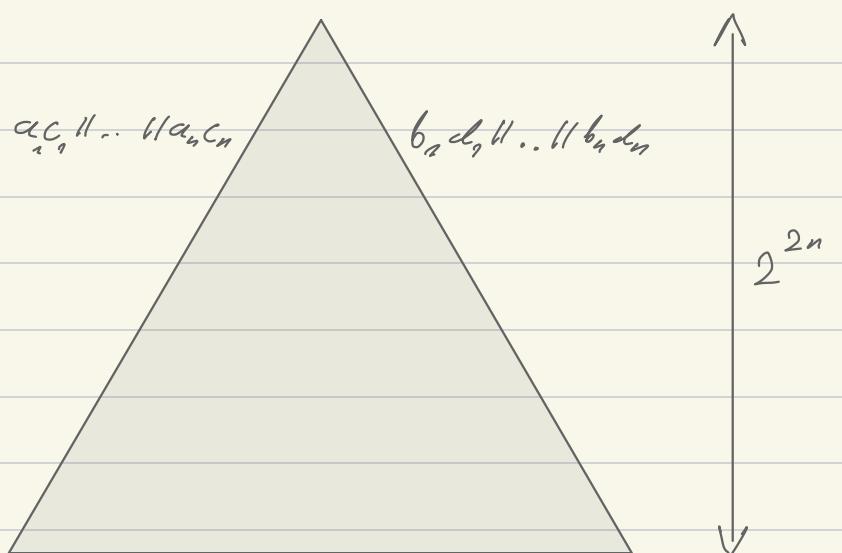
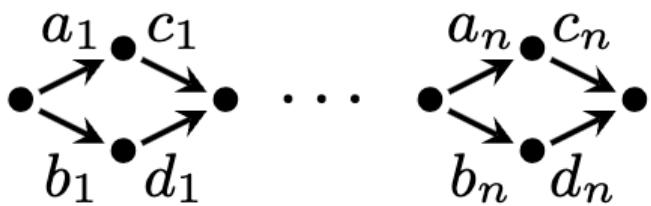
Trace optimality:  $TS_r$  has the least number of full paths.

State optimality:  $TS_r$  has the least number of states.

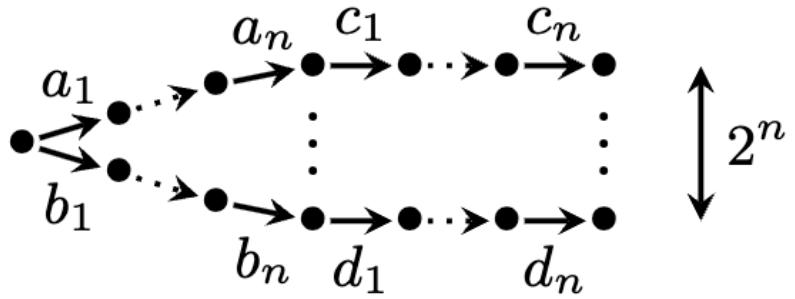
## Partial-order reduction: Example



## Small transition system



## Big transition system



## Plan

1. POR is NP-hard
2. An idealized POR algorithm with IFS oracle
3. A heuristic for IFS oracle + implementation

**POR is NP-hard**

$\text{minTS}(P)$  : minimal size of a reduced TS for  $P$

An excellent POR algorithm : constructs TS for  $P$   
of size  $\leq q(\text{minTS}(P))$   
in time  $O(|P| + \text{minTS}(P))$

Thm: If  $P \notin NP$  then there is no excellent POR algorithm  
even for programs using only write and await operations.

## POR is NP-hard

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Thm: If  $P \neq NP$  then there is no excellent POR algorithm  
even for programs using only write and await operations.

Proof: Use 3-SAT. For  $\varphi$  construct  $S_\varphi$  s.t.

- $\varphi$  not SAT  $\Rightarrow \text{minTS}(S_\varphi) < 6|\varphi|$
- $\varphi$  SAT  $\Rightarrow \text{minTS}(S_\varphi) > \# \text{ of sat valuations of } \varphi$

Consider  $\psi = \varphi \wedge (z_1 \vee z_2) \wedge \dots \wedge (z_{2m-1} \vee z_{2m})$

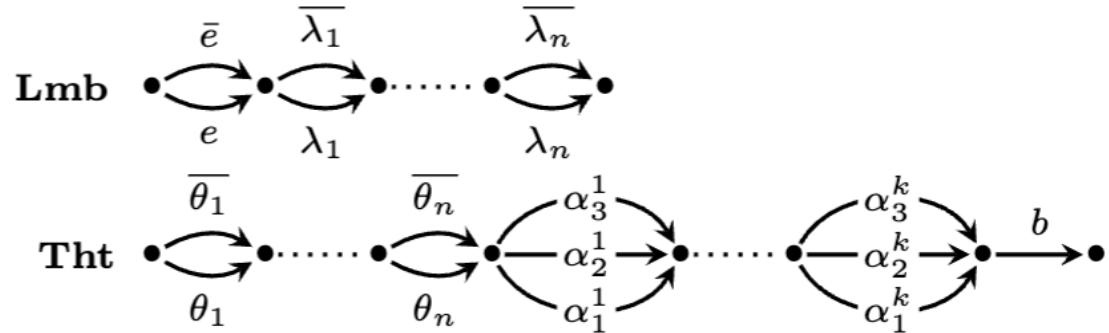
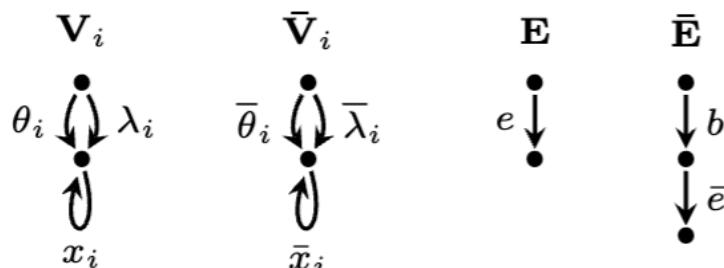
Run hypothetical Alg on  $S_\psi$  for  $r(G|\psi|)$  time.

If  $\varphi$  not SAT then Alg stops producing a TS for  $S_\psi$

If  $\varphi$  SAT then Alg cannot stop as the smallest TS has  $2^m > r(6|\psi|)$  states.

□

## How to construct $S_e$

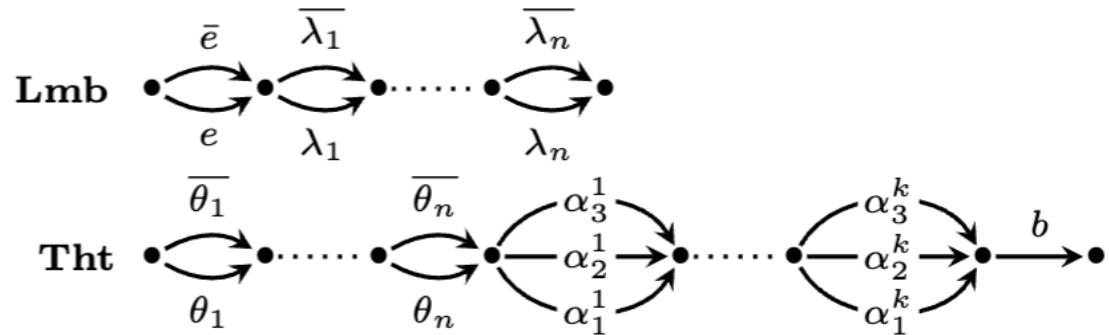
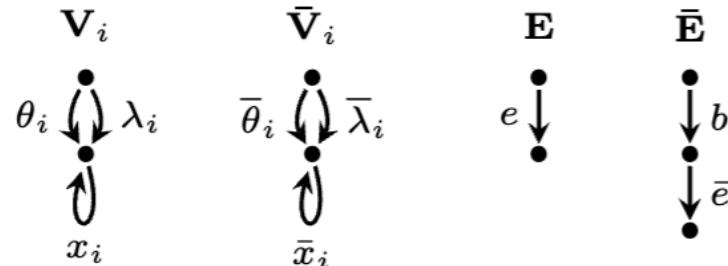


L1: If  $e$  not SAT then all rows of  $S_e$  start with  $e$ .

Proof: Otherwise  $b \bar{e}$  should appear before.

For this we need a sat valuation of  $e$   $\square$

## How to construct $S_e$

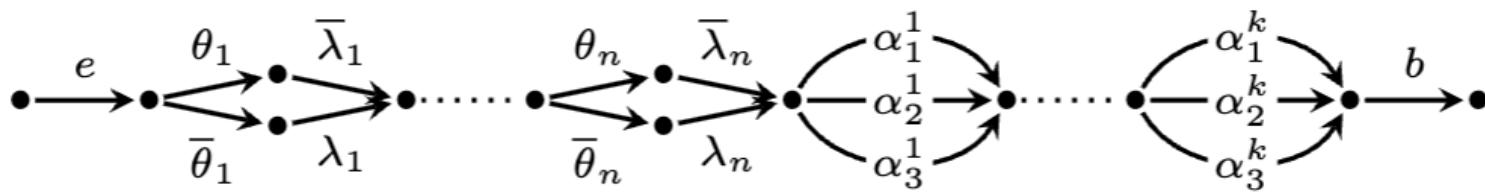


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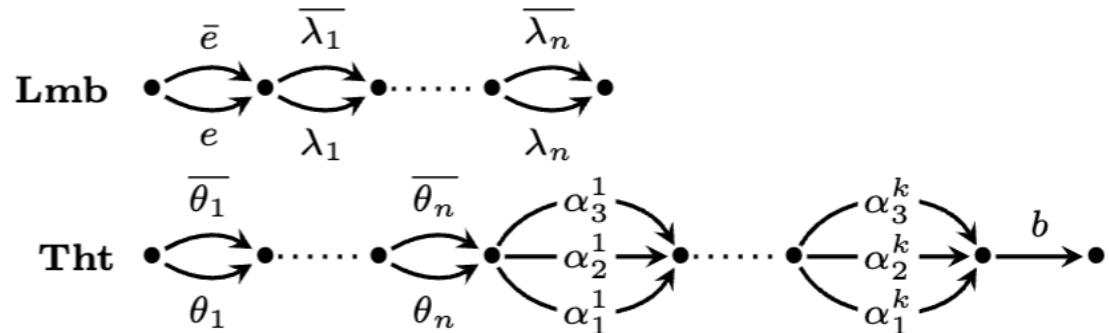
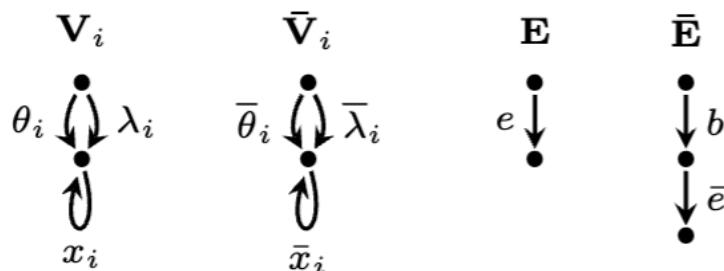
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L2 If  $e$  not SAT then the following is a good TS for  $S_e$



Every run starting from  $e$  is equivalent to a run of this form

## How to construct $S_e$

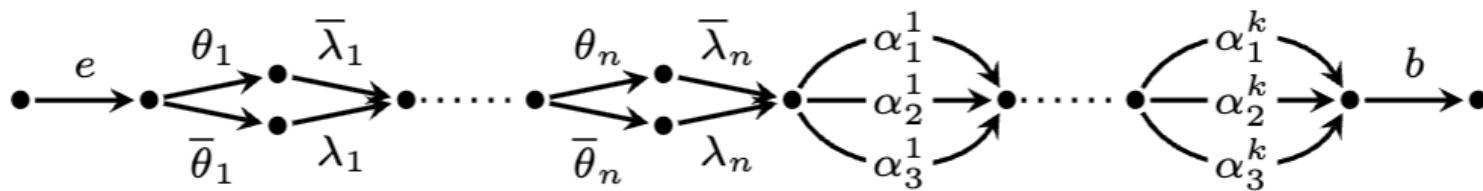


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L2 If  $e$  not SAT then the following is a good TS for  $S_e$



Every run starting from  $e$  is equivalent to a run of this form

L3 If  $e$  SAT then there is a run starting from  $\bar{e}$  for every valuation sat  $e$ .

$$\theta_1 \bar{\theta}_2 \dots \theta_n \alpha_{i_1}^1 \dots \alpha_{i_k}^k b \bar{e} \bar{\lambda}_1 \lambda_2 \dots \bar{\lambda}_n$$

## Plan

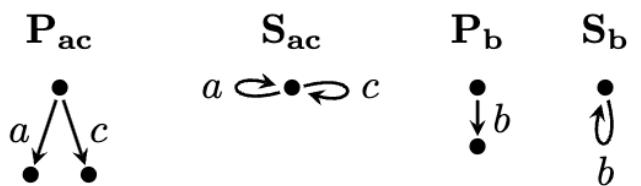
1. POR is NP-hard
2. An idealized POR algorithm with IFS oracle
3. A heuristic for IFS oracle + implementation

## First sets

Goal: construct a reduced transition system

$$\text{first}(u) = \{ b : \exists v \quad bv \in u \}$$

$$\text{First}(s) = \{ \text{first}(u) : u \text{ a maximal run from } s \}$$

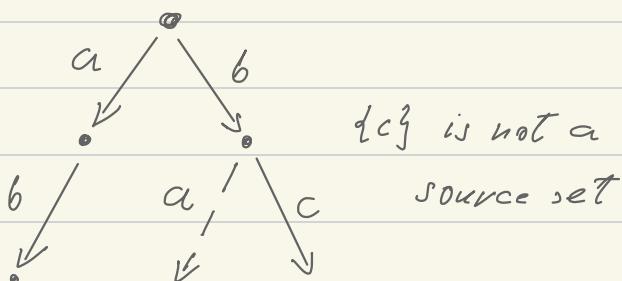


$$\text{first}(ab) = \{ a, b \} \quad \text{First}(s^0) = \{ \{a, b\}, \{c, b\} \}$$

Def  $B$  is a source set in  $s$  if  $B \cap F \neq \emptyset$  for every  $F \in \text{First}(s)$ .

Prop It is enough to find  $B_s$  for every  $s$  and explore only  $B_s$  from  $s$ .

Rem Source sets are not enough even for trace optimality.



## Idealized algorithm, IFS oracle

$\text{IFS}(s, B)$  includes first set :  $\exists s \xrightarrow{u} \text{full run } \text{first}(u) \subseteq B$

**procedure**  $\text{TreeExplore}(n)$ :

$Sl := \text{sleep}(n)$  // invariant:  $Sl = \text{sleep}(n) \cup \{\text{labels of transitions outgoing from } n\}$

**while**  $\text{enabled}(n) - Sl \neq \emptyset$

**choose** smallest  $e \in (\text{enabled}(n) - Sl)$  w.r.t. linear ordering on actions

**let**  $s'$  such that  $s(n) \xrightarrow{e} s'$  in  $\text{TS}(\mathbb{S})$

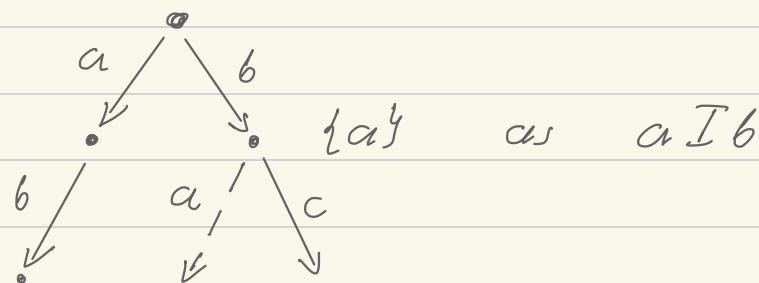
**if**  $\text{IFS}(s', \text{enabled}(s') - (Sl - De))$

create node  $n'$  with  $s(n') = s'$  **and**  $\text{sleep}(n') = Sl - De$

**add** edge  $n \xrightarrow{e} n'$

$\text{TreeExplore}(n')$

$Sl := Sl \cup \{e\}$



## Idealized algorithm, IFS oracle

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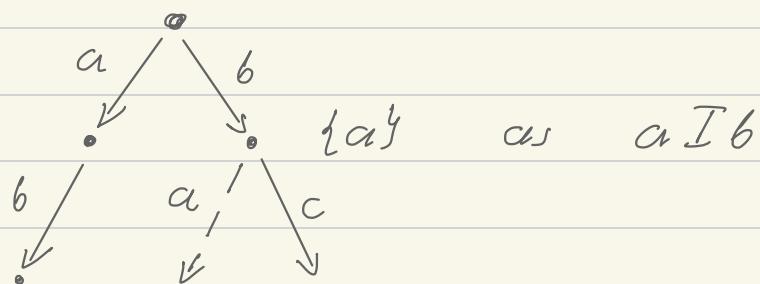
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$\text{TreeExplore}(n')$

$Sl := Sl \cup \{e\}$



Fact: This algorithm constructs a trace optimal tree

Fact:  $\text{IFS}(s, B)$  test is NP-hard.

## Plan

1. POR is NP-hard
2. An idealized POR algorithm with IFS oracle
3. A heuristic for IFS oracle + implementation

## A heuristic for $\text{IFS}(s, B)$

Concurrent programs

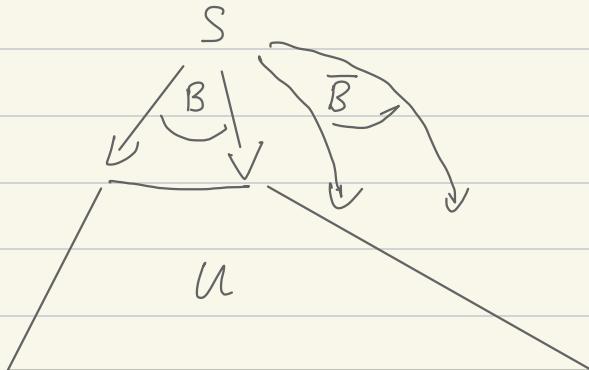
$\text{write}(x, i)$     $\text{read}(x, I)$     $\text{acq}(\ell)$     $\text{rel}(\ell)$

every process is acyclic

Independence    $r_p(x, I) \sqcap r_q(x, J)$  if  $I \cap J = \emptyset$

$w_p(x, i) \sqcap w_q(x, i)$

$\text{IFS}(s, B)$



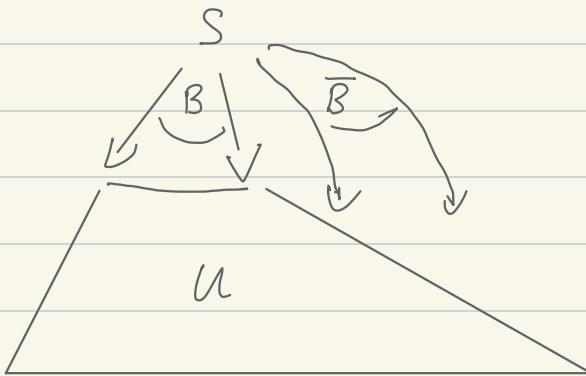
$\text{first}(u) \subseteq B$

$\forall a \in \bar{B} \quad \exists b_a \in u \quad a \sqsubset b_a$

$r_p(x, 5) \sqsubset w_q(x, 5)$   
 $w_p(x, 1) \sqsubset r_q(x, 0) \leftarrow \text{initial read}$

## A heuristic for $\text{IFS}(s, B)$

$\text{IFS}(s, B)$



$\text{first}(u) \subseteq B$

$\forall a \in \bar{B} \exists b_a \in u$

$a \in b_a$

$$\begin{array}{ll} r_p(x, 5) & D w_q(x, 5) \\ w_p(x, 1) & D r_q(x, 0) \leftarrow \text{initial read} \end{array}$$

We want to compute:

$$(AIR, APW) = \{ (IR(u), PW(u)) : u \text{ a fall run from } s \}$$

$\nearrow$

all initial  
reads

all produced  
writes

$\text{first}(u) \subseteq B$

## Signatures

$$\text{Sig}(u) = (IR, NR, PW)$$

initial reads ↑    produced writes ↑  
needed reads,

$$\text{Sig}(r_p(x, 0) w_p(x, 1)) = (\{r(x, 0)\}, \emptyset, \{w(x, 1)\})$$

$$\text{Sig}(r_q(x, 1) w_q(x, 2)) = (\emptyset, \{r(x, 1)\}, \{w(x, 2)\})$$

$$\text{Sig}(w_p(x, 1) r_p(x, 2)) = (\emptyset, \{r(x, 2)\}, \{w(x, 1)\})$$

For  $u = w_p(x, 1) r_q(x, 1) w_q(x, 2) r_p(x, 2)$   $\text{Sig}(u) = (\emptyset, \emptyset, \{w(x, 1), w(x, 2)\})$

There is an operation  $\text{Sig}(u \downarrow p) \oplus \text{Sig}(u \downarrow q) = \text{Sig}(u)$

We will precompute  $\text{Sig}_{sp}(s_p, b)$  for every process  $p$  and  $s_p \xrightarrow{b} a$  raw

$$\text{Sig}_{sp}(s_p, b) = \{ \text{Sig}(a) : s_p \xrightarrow{b} \xrightarrow{a} a \text{ raw} \}$$

## Computing AIR, APW

$$\text{Sig}(u) = (IR, NR, PW)$$

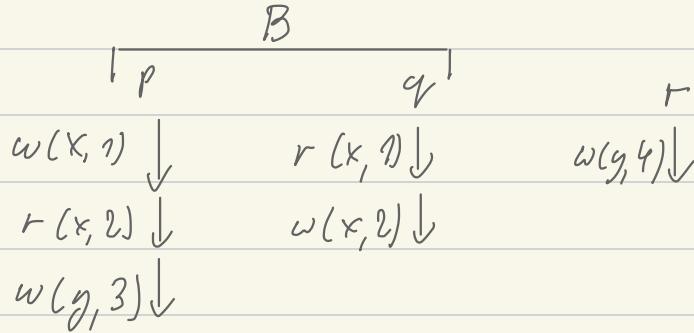
initial reads ↑      ↑ produced writes  
needed reads,

$$(AIR_{S,B}, APW_{S,B}) = \{ (IR(u), PW(u)) : u \text{ a full run from } S \}$$

such that  $\text{first}(u) \subseteq B$

Algorithm:

- precompute  $\text{Sig}_{Sp}(s_p, b)$  for every process  $p$  and  $s_p \xrightarrow{b}$  in  $p$
- use these to compute  $(AIR_{S,B}, APW_{S,B})$



- 1)  $w(x, 1) \in APW_{S,B}$
- 2)  $w(x, 2) \in APW_{S,B}$
- 3)  $w(y, 3) \in APW_{S,B}$

$w(y, 3) \not\in w(y, 4)$   
so  $IFS(s, B)$  holds

## Computing AIR, APW

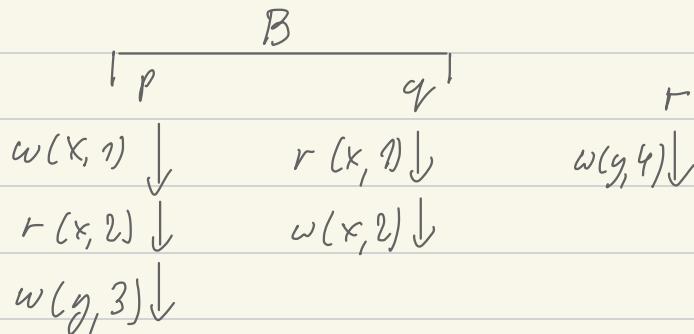
$$\text{Sig}(u) = (\overbrace{\text{IR}}^{\text{initial reads}}, \overbrace{\text{NR}}^{\text{needed reads}}, \overbrace{\text{PW}}^{\text{produced writes}})$$

$$(\text{AIR}_{S,B}, \text{APW}_{S,B}) = \{ (\text{IR}(u), \text{PW}(u)) : u \text{ a full run from } S \}$$

such that  $\text{first}(u) \subseteq B$

Algorithm:

- precompute  $\text{Sig}_{Sp}(s_p, b)$  for every process  $p$  and  $s_p \xrightarrow{b} \cdot$  in  $p$
- use these to compute  $(\text{AIR}_{S,B}, \text{APW}_{S,B})$



- 1)  $w(x, 1) \in \text{APW}_{S,B}$
- 2)  $w(x, 2) \in \text{APW}_{S,B}$
- 3)  $w(y, 3) \in \text{APW}_{S,B}$

$w(y, 3) \neq w(y, 4)$   
so  $\text{IFS}(S, B)$  holds

Rem: This is only an approximation

$p'$

```

    graph TD
      p_prime[p'] --> r2[r(x, 2)]
      r2 --> w7[w(x, 7)]
      w7 --> w3[w(y, 3)]
  
```

gives the same result  
this time it is wrong

## Our approach

- 1) Do pre-processing: fully explore each process to compute signatures
- 2) At each state do  $\text{poly}(|P|)$  work to compute required  $\text{PIFS}(s, B)$
- 3) Do not traverse contracted TS more than DFS does

TS grows exponentially wrt to  $|P|$  while we can hope to keep  $\text{poly}(|P|)$  small

$$|TS|^{\frac{3}{2}} \gg \text{poly}(|P|) \cdot |TS|$$

## Experiments

	N. persist	N. PIFS	Ratio	T. persist	T. PIFS	M
Bakery-barrier-asym, 5	3387	90	38	38	8	
Bakery-late-ticket, 5	8762	225	39	136	7	
Peterson-priority, 6	4447	818	5	58	23	
Philosophers-abandon-order, 15		53			3	
Philosophers-barrier, 6, 2	4741	476	10	40	11	
Szymanski, 5, 2	11242	958	12	114	19	
Szymanski, 6, 1	9532	476	20	40	11	
Token-ring, 5, 1	1435	746	2	17	17	

On my laptop : M1, 16 GB RAM

## Conclusions

- POR is computationally difficult, so we need heuristics.
- We do not have a convenient success measure but state optimality.
- Our heuristic is quite satisfactory for variables and locks.

TODO

- 1) Lower bound for stateless POR.
- 2) PIFS for channels instead of variables.
- 3) Symmetry reduction.