## CSCI-620 Relational Databases

#### Roadmap

- 1. Overview of database engines
- 2. Data modeling
- 3. Entity-relationship modeling
- 4. Relational model
- 5. Modeling hints

#### Useful abstractions

## Why use a database?

- ACID
  - Atomicity
  - Consistency
  - Isolation
  - Durability

#### Database Engine

- Two main components
  - Storage manager
    - Authorization/integrity manager
    - Transaction manager
    - File manager
    - Buffer manager
  - Query processor

## Storage Manager

- Interface between the DB and the OS
- Responsible for
  - Authorization
  - Interaction with the OS file system
    - Storage access
    - File organization
  - Efficient data storage/modification
    - Indexing and hashing
    - Buffer management

## Transaction Manager

- Transaction management
  - Ensures the database is consistent if a failure occurs
  - "All or nothing" (atomic)

- Concurrency control
  - Makes sure multiple operations result in a consistent database

## Transaction Manager

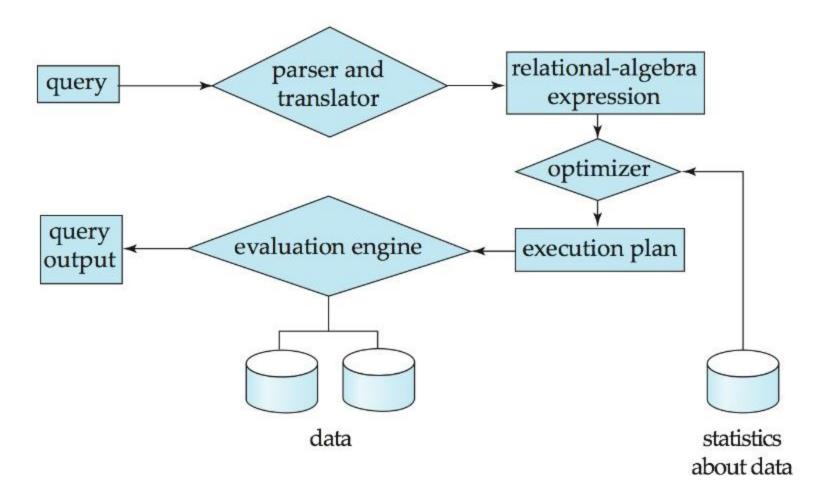
Transactions are collections of operations for a single task

#### Example

- Assume a constraint balance > 0
- Deduct 50 from A
- Add 50 to the balance of B
- Store the new balance

## **Query Processor**

- Three major jobs
  - Parsing and translation
  - Optimization
  - Evaluation

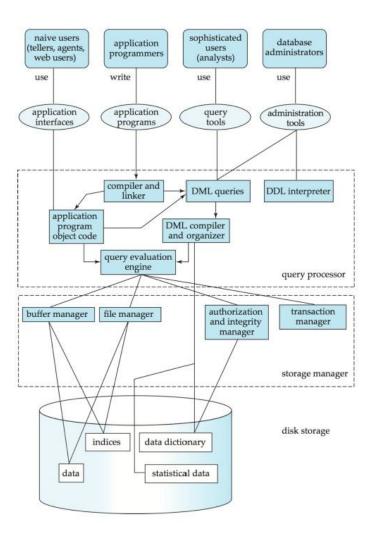


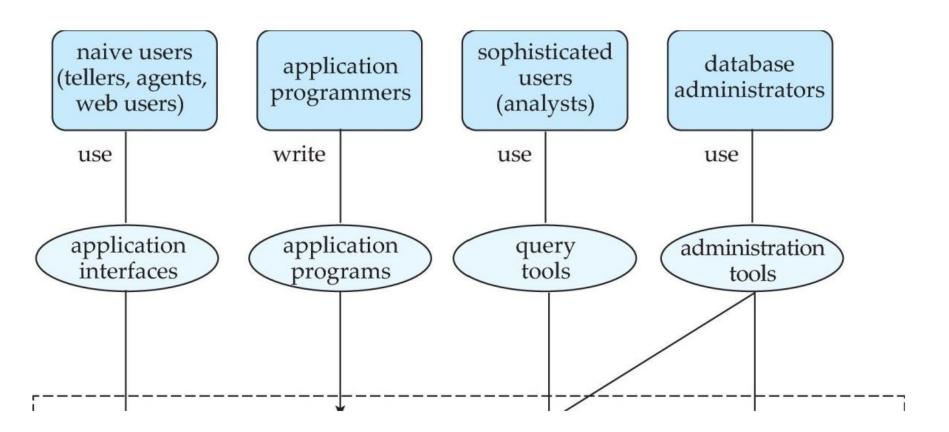
#### Database Engine

All components work together

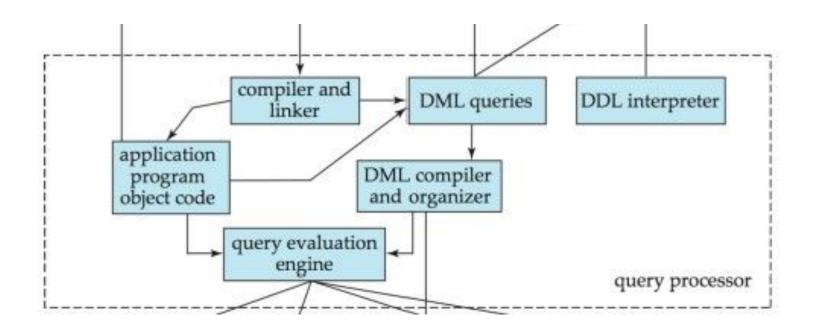
Storage manager must make sure transactions are durable

- Query processor uses indexes managed by the storage manager
- Transaction manager must provide consistent data to query processor

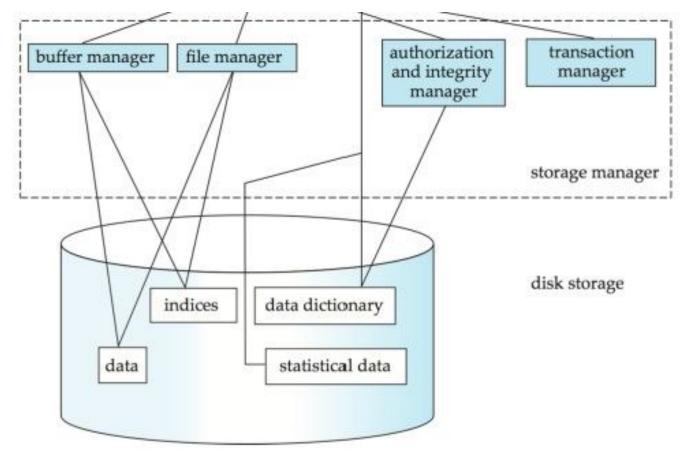




### Interface



## **Query Processor**



## Storage Manager

SQL user interface

forms interface

report generation tools data mining and analysis tools

front end

interface (SQL API)

SQL engine

back end

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## Levels of abstraction

#### View Layer

How applications access data (hiding record details, more convenience, etc.)

#### 2. Logical Layer

How data is stored in the database (types of records, relationships, etc.)

#### 3. Physical Layer

How data is stored on hardware (actual bytes, files on disk, etc.)

#### Data Model

- A collection of tools for describing
  - Data
  - Data relationships
  - Data semantics
  - Data constraints

#### Data Model

- Examples
  - Relational model
  - Entity relationship model
  - Object-based model
  - Semi-structured models (e.g. XML)
  - Network

#### Database Design

- What makes a good design?
- Conceptual modeling (e.g. ER model)
- Normalization theory
  - How do we check for bad designs?
  - How do we fix them?

## Conceptual Model

 Before looking at the relational model, we need to have a way to think about what our database needs to store

 Many conceptual models exist that are independent of how a particular database stores data

A common choice is the ER model

#### Does not specify how data will actually be stored

## Conceptual Model

Also does not specify the interface we will use to access the data

Useful for collecting requirements

#### Database Design

- Steps
  - Analyze requirements
  - Create a conceptual model
  - Create a logical model
  - Create a physical model

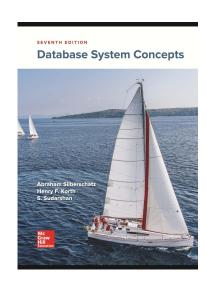
#### Roadmap

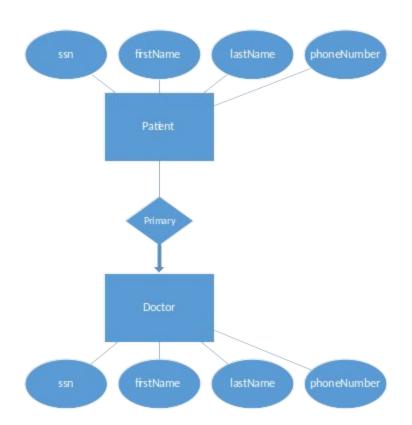
- 1. Overview of database engines
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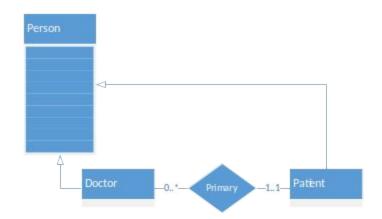
There are many versions of the ER model we will study

#### Warning

We'll use the version from the textbook







## **Notation**





Doctor

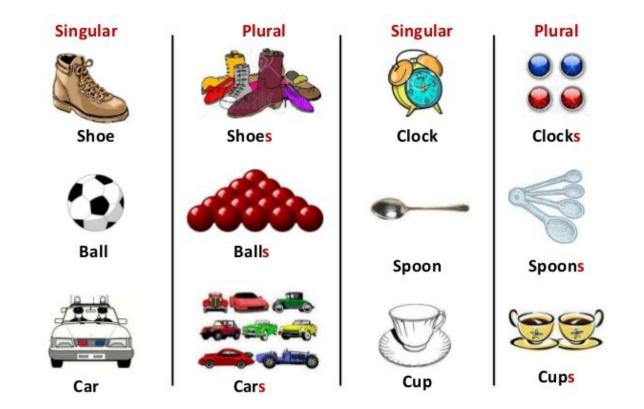
Entity

Entity set

## **Entity Sets**

#### **Entity Sets**

- A type of thing in the real world
- Distinguishable from other types
- Has a set of properties or attributes possessed by things of the same type



## Single or plural names?



#### Person

firstName
middleName
lastName
phoneNumber
birthDate
gender
email
occupation

## **Attributes**

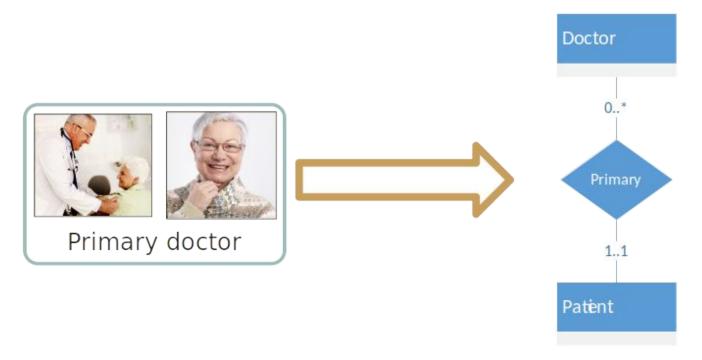
#### **Attributes**

- Each attribute has an associated type which is normally atomic (indivisible)
- One or more attributes called the primary key uniquely identify an entity
- The set of valid values for an attribute is called the *domain*

Attributes may be simple or complex

#### Person

ssn firstName middleName lastName phoneNumber birthDate gender email occupation

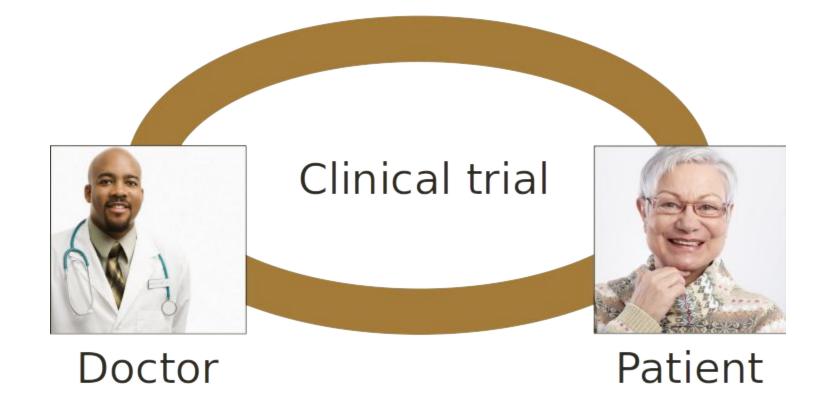


Relationship

Relationship set

Relationships

# Let's try building a model



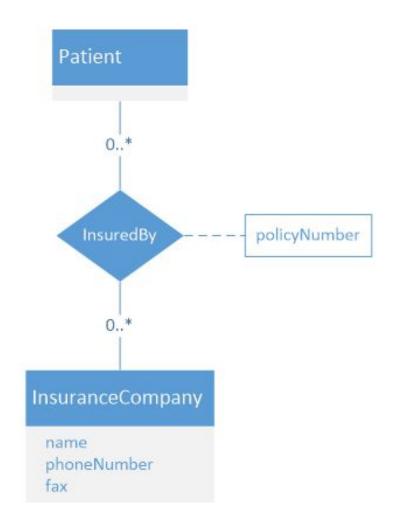
## **Non-binary Relationships**

#### May also have attributes

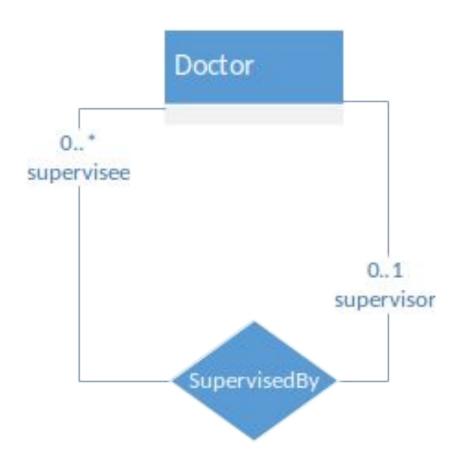
#### Relationships

Each relationship has a cardinality or a restriction on the number of entities

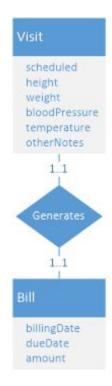
Implicitly defines a *role* for each entity set in the relationship



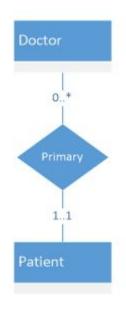
# Relationship Set Attributes







1 to 1

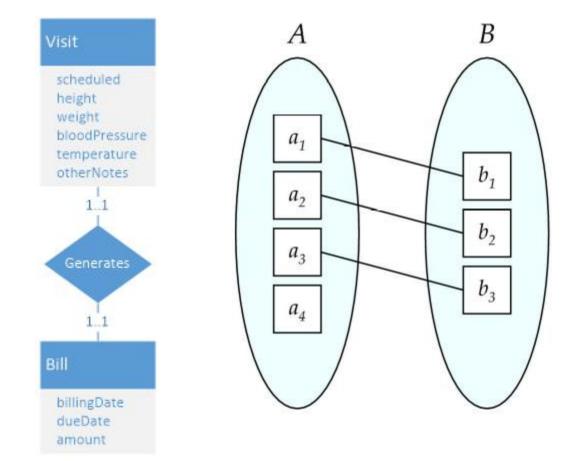


billingDate dueDate amount 0. isPaidBy paymentDate amount method

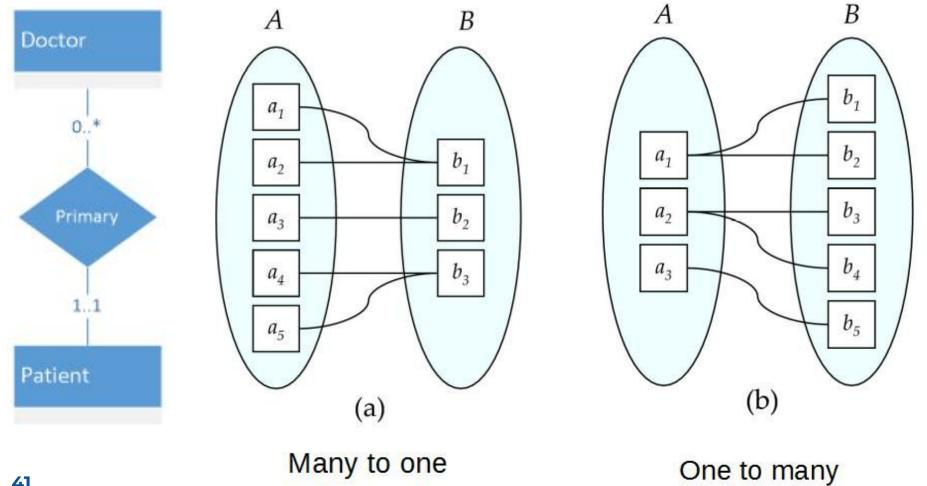
1 to N

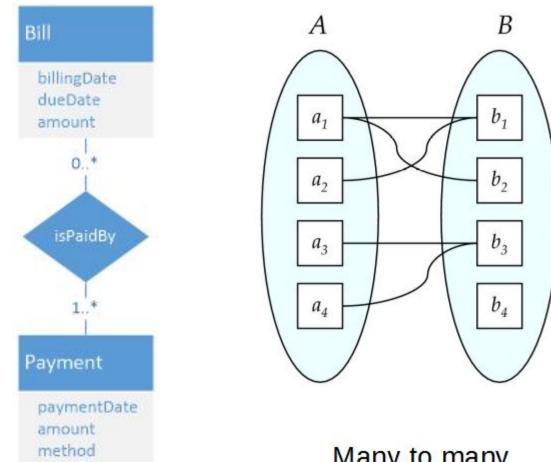
M to N

# Cardinalities



One to one





Many to many



# Inheritance

## **Identity**

Each entity in an entity set must have some type of key

This is usually a subset of the attributes associated with an entity

The key should (never) or rarely change







Super

Candidate

Primary

# Types of keys

# What if there is no candidate key?

### Create an artificial ID attribute

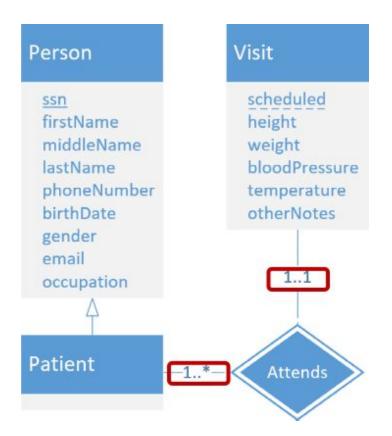
## Solution 1

Ensure that a unique value is assigned

- Examples
  - Order number
  - Customer ID

## Use a weak entity set

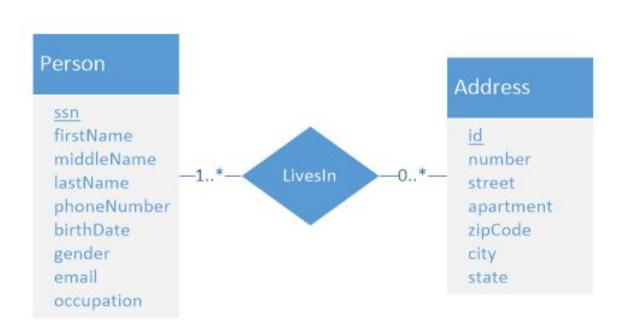
## Solution 2



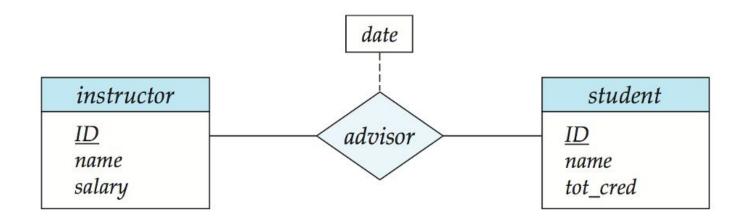
#### Person

ssn firstName middleName lastName phoneNumber birthDate gender email occupation address





# **Design Decisions**



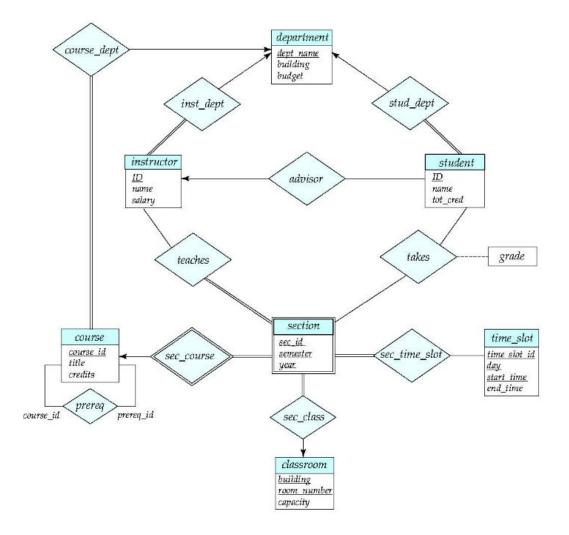
# **Design Decisions**

# Design Decisions

Attributes or entity sets?

- Entity sets or relationships?
- Strong or weak entity sets?

Specialization/generalization



### Reminder

 Remember that the ER model is conceptual and not what a database actually uses

We need a more concrete model to actually implement our application

Coming up next, the relational model!

# Let's try more modeling!

## Unified Modeling Language

### **UML**

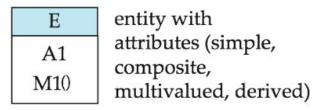
Used to graphically model software systems (could replace ER)

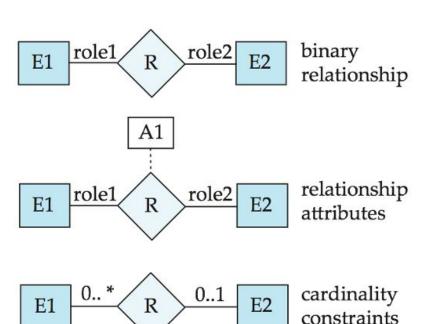
We will use ER for databases, but UML to model your project software

UNIFIED

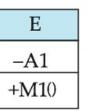
**LANGUAGE®** 

#### **ER Diagram Notation**

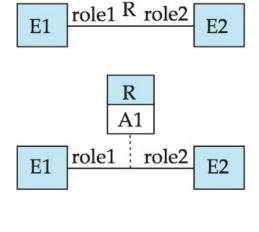




#### **Equivalent in UML**



class with simple attributes and methods (attribute prefixes: + = public, -= private, # = protected)



0..1

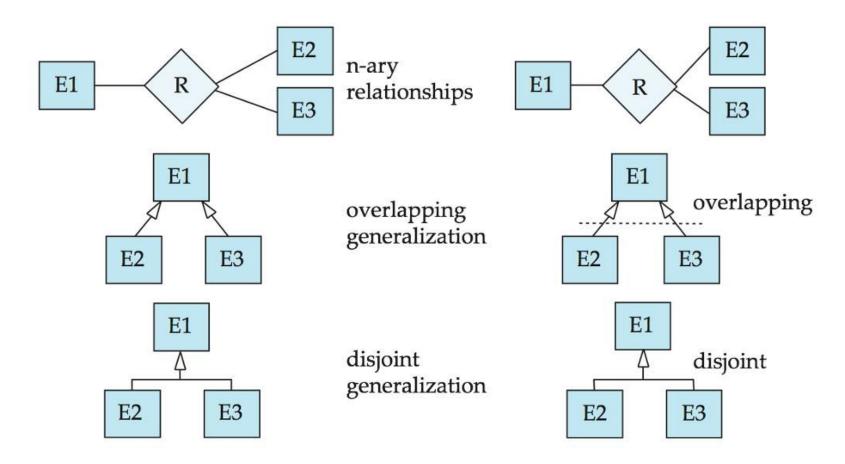
E1

0.. \*

E2

#### **ER Diagram Notation**

#### **Equivalent in UML**



## Roadmap

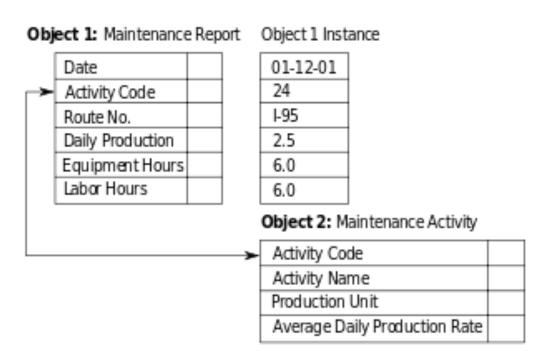
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## Database systems don't use the ER model directly (it's a conceptual model)

## What now?

We need to move on to the next stage and pick a *logical* model

The first model we'll explore is the relational model



## **Object-Relational Models**

```
<Steps>
  <UserStep Code="Create" Start="NR" NotAssignedBehaviour="ERR" RequestComment="true"</pre>
   Help="true" Delegate="true" Iteration="1" CanSendToPool="true" StepAssignmentType="S">
 <Directions>
   <Direction Code="Direction1" To="Step1" Direction="F">
       <Condition Type="BS" Script="GT(WFDATA( "MAIN", "AMOUNT" ),"1000")" />
       <From>Create</From>
      </Direction>
   <Direction Code=" Direction2" To="Step2" Direction="F">
       <Condition Type="BS" Script="GT(WFDATA( "MAIN", " AMOUNT " ),"5000")" />
       <From>Create</From>
      </Direction>
   <Direction Code=" Direction3" To="Step3" Direction="F">
       <Condition Type="A" />
       <From>Create</From>
      </Direction>
    </Directions>
```

## **Semi-Structured Models**

Patient				
ssn	firstName	middleName	lastName	primaryDoctor
235-14-7854	Sandra	null	Smith	943-23-9874
192-48-0924	John	Richard	Moore	862-74-3611

Doctor			
ssn	firstName	lastName	
943-23-9874	Rachel	Wang	
862-74-3611	Chris	Patel	

# Relation instances



# **Great idea!**

#### Information Retrieval

#### A Relational Model of Data for Large Shara Data Bank

E. D R. Esea l ose dij

arge data banks must be g to know how the data is a ed in the machine (the internal representati mpting service which supplies such info on is not o ory solution. Activities of users rerminals and most on programs should remain unaffected when the inter sentation of data is changed and even when some as the external representation are changed. Changes in aura representation will often be needed as a result of changes in query, update, and report traffic and natural growth in the types of stored information. Existing noninferential, formatted data systems provide users



"... at first sight I doubt that anything complex enough to be of practical interest can be modeled using relations."

#### **Comments**

"... any realistic model might end up requiring dozens of interconnected tables

 hardly a practical solution given that, probably, we can represent the same model using two or three properly formatted files."

"The paper can be safely rejected."

# • "... no real-world example (...) any model of practical interest can be cast in it."

#### However

- "... no experiments (...) how it compares with traditional ones on real-world problems."
- "... to extract any significant answer from any real database, the user will end up with the very inefficient solution of doing a large number of joins."

## A relation is a group of related attributes like in an entity set

#### Relations

Each relation should have a primary key

Relations may also have foreign keys or attributes which refer to other relations

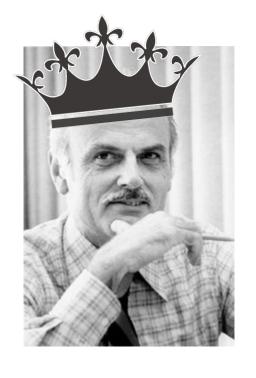
In a relational database, these are represented as tables

Patient			
SSN	First Name	Middle Name	Last Name
235-14-7854	Sandra		Smith
192-48-0924	John	Richard	Moore
821-13-2108	Laura		Turner

Visit		
SSN	Scheduled	Weight
235-14-7854	09/03/2015	141.5
821-13-2108	10/18/2015	167.8

Patient-Visit					
SSN	First Name	Middle Name	Last Name	Scheduled	Weight
235-14-7854	Sandra		Smith	09/03/2015	141.5
821-13-2108	Laura		Turner	10/18/2015	167.8

# **Join 101**















# Relational model usage

## Attributes of the entity set become attributes of the relation

## Strong Entity Sets

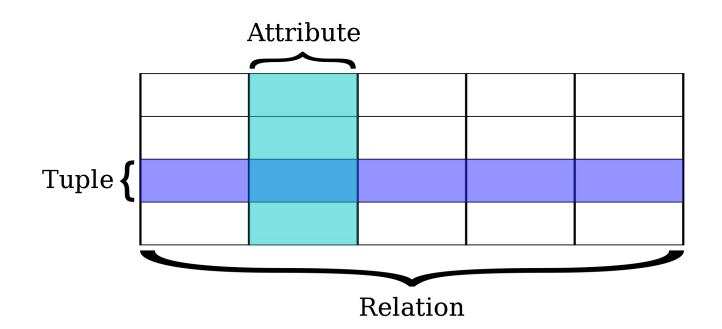
Primary keys are maintained



Patient				
ssn	firstName	middleName	lastName	primaryDoctor
235-14-7854	Sandra	null	Smith	943-23-9874
192-48-0924	John	Richard	Moore	862-74-3611

Doctor				
ssn	firstName	lastName		
943-23-9874	Rachel	Wang		
862-74-3611	Chris	Patel		

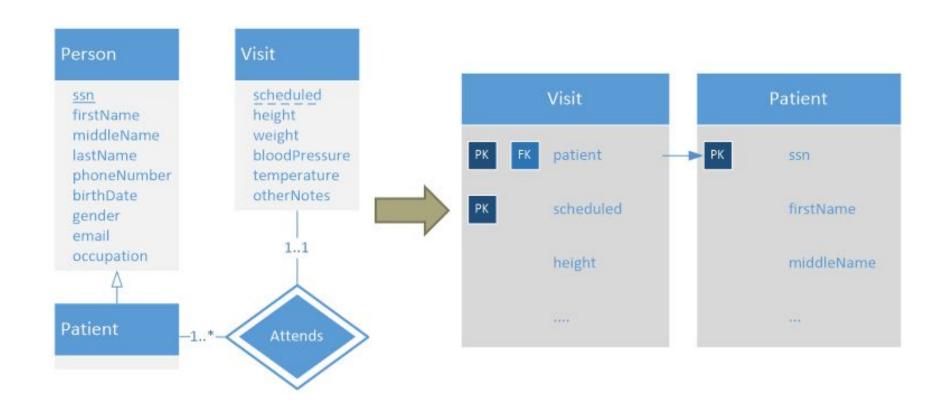
# **Relation Instances**





#### **Types**

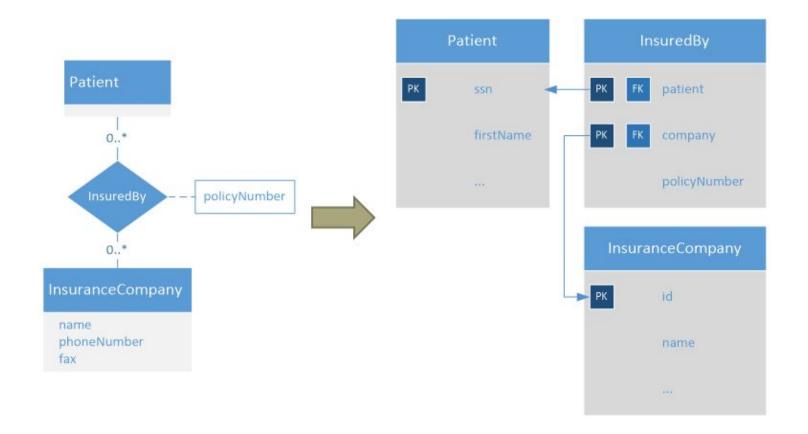
- String
  - Fixed (CHAR)
  - Variable length (VARCHAR, TEXT)
- Numbers
  - Integer (INTEGER)
  - Floating point (DECIMAL)
- Dates
  - Date (DATE)
  - Times (TIME)
  - Date and time (TIMESTAMP)



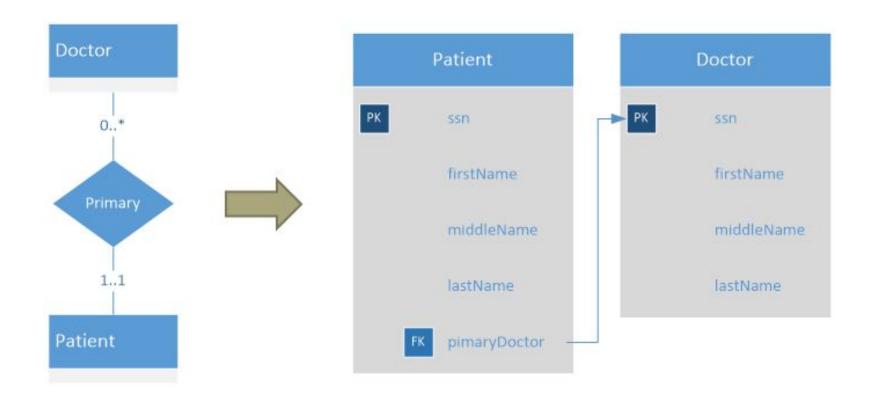
## Weak Entity Sets

#### Weak Relationship Sets

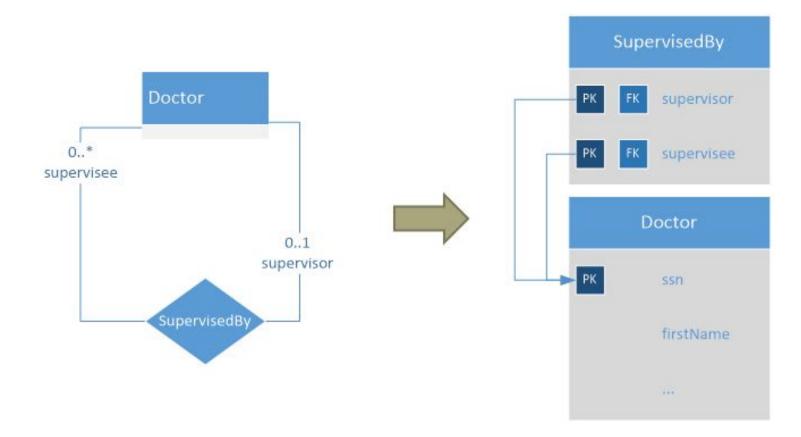
- We can discard these since the relationship is captured by the weak entity set
- Example: The **Attends** relationship is captured by the **Visit** relation created from the weak entity set **Visit**



## **Strong Relationships**



# Optimization



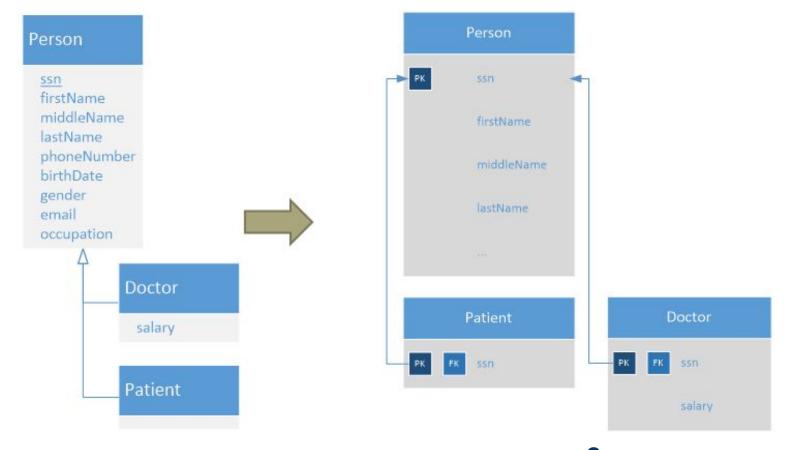


### Inheritance Strategies

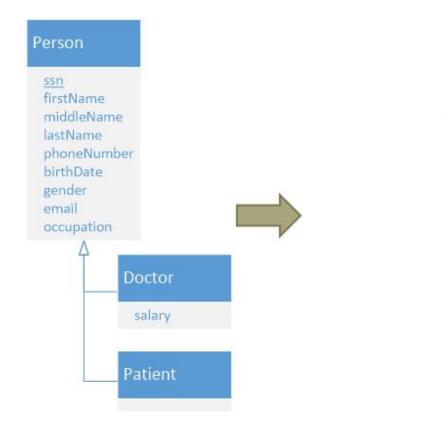
- Whole
- Top
- Bottom

### Person ssn firstName middleName lastName phoneNumber birthDate gender email occupation Doctor salary

Patient

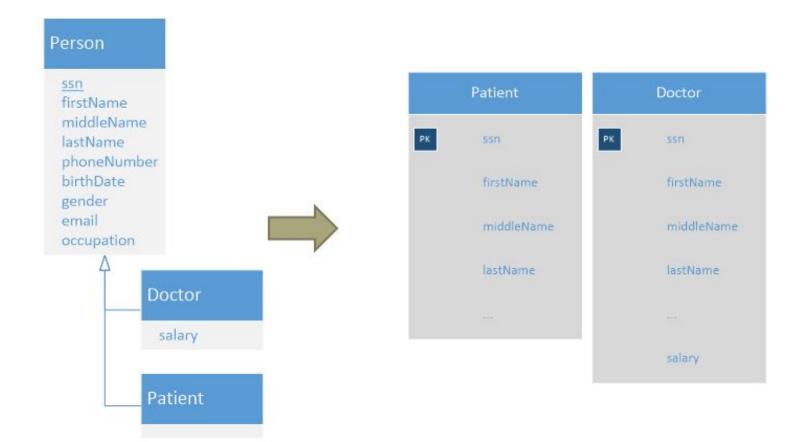


## Whole hierarchy





## Top of the hierarchy



## **Bottom of the hierarchy**

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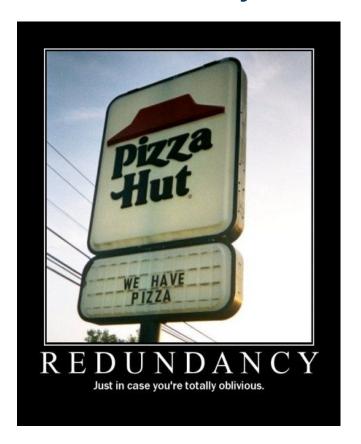


Use your brains!

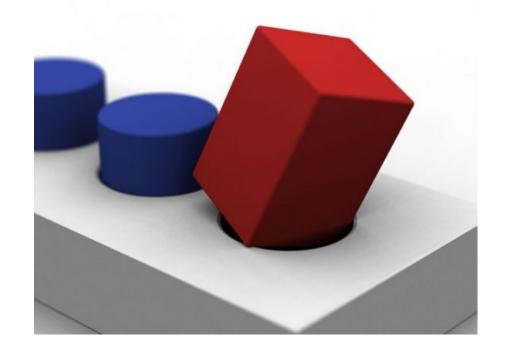
### Bad smells in design



Hint #1: redundancy



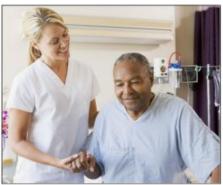
► Hint #2: incompleteness



### Hint #3: multiple models







Patients



Furniture

#### **DDL**

Data Definition Language (DDL)

Specific notation for defining database schema

 Similar to the Java compiler changing your class into a template

#### DDL

- Dictionary (metadata data about data)
  - Database schema (logical)
  - Integrity constraints
    - Primary keys
    - Foreign keys
    - Constraints (e.g. balance >= 0)
    - ...
  - Authorization who can access what

## **Creating Tables**

```
CREATE TABLE Patient (
    ssn CHARACTER(9),
    firstName VARCHAR(75) NOT NULL,
    middleName VARCHAR(75),
    lastName VARCHAR(75) NOT NULL,
    primaryDoctor CHARACTER(9),
    PRIMARY KEY (ssn),
    FOREIGN KEY (primaryDoctor)
    REFERENCES Doctor(ssn)
```