

# Algebraic $\mathcal{D}$ -modules

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ABSTRACT. These notes are a work-in-progress!.

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## **Part 1**

### **Lecture 1: Algebraic $\mathcal{D}$ -modules**

lec1

## 1. Lecture 1



## **Part 2**

### **Lecture 2: Hilbert Polynomials of filtered algebras**

lec2

## 2. Lecture 2

## Part 3

### Lecture 3: Further properties of the algebra $\mathcal{D}$

lec3

### 3. section Plan

## Part 4

### Lecture 4: $\mathcal{O}$ -coherent $\mathcal{D}$ -modules, 1

lec4

#### **4. section Plan**

## Part 5

### Lecture 5: $\mathcal{O}$ -coherent $\mathcal{D}$ -modules, 2

lec5

## 5. section Plan



## **Part 6**

### **Lecture 6: Functional dimension and homological algebra**

lec6

## 6. section Plan

## **Part 7**

### **Lecture 7: $\mathcal{D}$ -modules on general affine varieties**

lec7

## 7. section Plan

## **Part 8**

### **Lecture 8: Functorial Yoga, 1**

lec8

## 8. section Plan

## **Part 9**

### **Lecture 9: Proof of Kashiwara's theorem**

lec9

## 9. section Plan



## **Part 10**

# **Lecture 10: Theorem on Preservation of Holonomicity**

lec10

## 10. section Plan

## **Part 11**

### **Lecture 11: $\mathcal{D}$ -modules on general varieties**

lec11

## 11. section Plan

## **Part 12**

### **Lecture 12: Equivariant $\mathcal{D}$ -modules**

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## 12. section Plan

## **Part 13**

# **Lecture 13: Derived Categories, 1**

lec13

## 13. section Plan



## **Part 14**

### **Lecture 14: Derived Categories, 2**

lec14

## 14. section Plan

## **Part 15**

# **Lecture 15: Derived Functors**

lec15

## 15. section Plan

## **Part 16**

### **Lecture 16: Functorial Yoga, 2**

lec16

## 16. section Plan

## **Part 17**

### **Lecture 17: The derived category of holonomic $\mathcal{D}$ -modules**

lec17

## 17. section Plan



## **Part 18**

### **Lecture 18: Functorial Yoga, 3**

lec18

## 18. section Plan

## Part 19

### Lecture 19: $\mathcal{D}$ -modules with regular singularities, 1

lec19

## 19. section Plan

## Part 20

### Lecture 20: $\mathcal{D}$ -modules with regular singularities, 2

## 20. More on the Riemann-Hilbert Map

Last lecture we considered the Riemann Hilbert map

$$\mathbf{RH} : \begin{array}{l} \text{Algebraic vector bundles on } X \\ \text{with an RS flat connection} \end{array} \longrightarrow \mathbf{Rep}\pi_1(X)$$

which assigns to each bundle  $(E, \nabla)$  the monodromy representation of  $\nabla$ . Note that both categories for fixed rank  $r$  have a moduli space of objects which generically is an algebraic variety, and so in particular, a complex manifold. However, the map **RH** is not algebraic; it is only holomorphic.

Let's consider two examples of what this map does.

## 21. Example 1: case of a complete curve

Let  $X$  be a projective curve of genus  $g$ , then the moduli space  $\mathcal{M}_{dR}$  of line bundles with connection looks as follows: we have a map  $\mathcal{M}_{dR} \longrightarrow \mathbf{Pic}_0(X) = \mathbf{Jac}(X)$  whose fiber is  $\mathbf{A}^g$ , an affine space bundle. Here  $\mathbf{A}^g$  is a torsor over  $H^0(X, \Omega)$ , and in particular is an algebraic variety of dimension  $2g$ .

On the other hand, the betti moduli space  $\mathcal{M}_b$  is the moduli space of representations of  $\pi_1(X)$ . Once we fix generators for  $\pi_1(X)$ :

$$a_1, \dots, a_g, b_1, \dots, b_g, \prod [a_i, b_i] = 1,$$

then we can identify

$$\mathcal{M}_b \simeq (\mathbf{C}^*)^{2g}.$$

Here the **RH** map is a holomorphic isomorphism

$$\mathcal{M}_{dR} \simeq \mathcal{M}_b.$$

Clearly it cannot be algebraic, since we have

LEMMA 21.1. *Any regular map  $\mathbf{C}^* \rightarrow \mathbf{Jac}(X)$  is constant.*

PROOF. The map extends to

$$\mathbf{CP}^1 \rightarrow \mathbf{Jac}(X),$$

passage to the universal cover then yields

$$\mathbf{CP}^1 \rightarrow \mathbf{C}^n.$$

Now Liouville's theorem shows this map is constant. □

In more detail, **RH** is inverse to a map

$$f : \mathcal{M}_b \rightarrow \mathcal{M}_{dR}.$$

To construct  $f$ , let's construct

$$\pi : (\mathbf{C}^*)^{2g} \rightarrow \mathbf{Jac}(X) = \mathbf{Pic}_0(X);$$

which is an affine space bundle. To define  $f$ , consider the  $4g$ -gon:

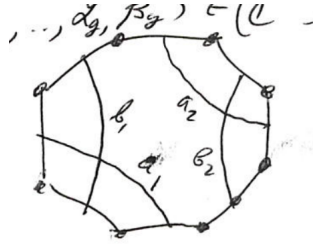


fig-4g-gon.png

Given

$$(\alpha_1, \beta_1, \dots, \alpha_g, \beta_g) \in (\mathbf{C}^*)^{2g}$$

we consider the trivial line bundle on the polygon and glue together a line bundle on  $X$  by using  $\alpha_1$  along  $a_1$ ,  $\beta_1$  along  $b_1$  etc.

## 22. Example 2: the projective line minus four points

Let  $X = \mathbf{P}^1 - \{0, 1, \lambda, \infty\}$  with  $\lambda \neq 0, 1, \infty$  and let's restrict to connections with trivial determinant. Now  $\mathcal{M}_{dR}$  has an open set  $\mathcal{M}_{dR}^\circ$  of connections which have first order poles on the trivial bundle. Let's also restrict further to fixed monodromy; i.e.  $\mathcal{M}_{dR}^\circ$  is the set of connections

$$\nabla = \partial - \frac{a_0}{z} - \frac{a_1}{z-1} - \frac{a_\lambda}{z-\lambda}, \mathbf{Tr}(a_j) = 0$$

and let  $a_\infty = -a_0 - a_1 - a_\lambda$ . Denote by

$$\mathcal{M}_{dR}^\circ(\alpha_0, \alpha_1, \alpha_\lambda, \alpha_\infty) = \left\{ \nabla, a_j \sim \begin{pmatrix} \alpha_j & 0 \\ 0 & -\alpha_j \end{pmatrix} \right\} \quad \text{then letting}$$

$$A_j \sim \begin{pmatrix} e^{2\pi i \alpha_j} & 0 \\ 0 & e^{-2\pi i \alpha_j} \end{pmatrix} \quad \text{we see the map}$$

$$\mathbf{RH} : \mathcal{M}_b(\alpha_0, \alpha_1, \alpha_\lambda, \alpha_\infty) \longrightarrow \{A_0, A_1, A_\lambda, A_\infty, A_0 A_1, A_\lambda A_\infty = 1\}$$

This map is highly transcendental.

Namely, let  $\mathcal{P} \in \mathcal{M}_b(\alpha_0, \alpha_1, \alpha_\lambda, \alpha_\infty)$  and consider the point  $Q_\lambda = \mathbf{RH}_\lambda^{-1}(\mathcal{P}) \in \mathcal{M}_{dR}^\circ(\alpha_0, \alpha_1, \alpha_\lambda, \alpha_\infty)$ . This defines a flow on  $\mathcal{M}_{dR}^\circ(\alpha_0, \alpha_1, \alpha_\lambda, \alpha_\infty)$  known as the Painlevé-6 flow.

### 23. Holonomic $\mathcal{D}$ -modules with RS in higher dimensions

**23.1. Constructible sheaves and complexes.** Let  $X$  be a  $\mathbf{C}$ -algebraic variety. Denote by  $X^{an}$  the corresponding analytic variety considered with the classical topology. Let  $\mathbf{C}_X$  be the constant sheaf on  $X^{an}$  and  $\mathbf{Sh}(X^{an})$  the category of  $\mathbf{C}_X$ -modules i.e. sheaves of  $\mathbf{C}$ -vector spaces. The derived category of bounded  $\mathbf{C}_X$ -complexes will be denoted  $\mathbf{D}(X^{an})$ .

DEFINITION 23.1. A  $\mathbf{C}_X$ -module  $\mathcal{F}$  is constructible if there exists a stratification

$$X = \cup_i X_i$$

of  $X$  by locally closed algebraic subvarieties such that  $\mathcal{F}|_{X_i^{an}}$  is a locally constant complex of finite dimensional vector spaces.

REMARK 23.2. Note that a  $\mathbf{C}_X$ -complex is constructible if all of its cohomology sheaves are constructible as  $\mathbf{C}_X$ -vector spaces.

The full subcategory of  $\mathbf{D}(X^{an})$  consisting of constructible complexes will be denoted by  $\mathbf{D}_{con}(X^{an})$ .

Any morphism  $\pi : X \rightarrow Y$  of algebraic varieties induces a continuous map  $\pi^{an} : X^{an} \rightarrow Y^{an}$ , and we can consider the functors

$$\pi_!, \pi_* : \mathbf{D}(X^{an}) \longrightarrow \mathbf{D}(Y^{an})$$

$$\pi^*, \pi^! : \mathbf{D}(Y^{an}) \longrightarrow \mathbf{D}(X^{an})$$

We also have

$$\mathbb{D} : \mathbf{D}(X^{an}) \longrightarrow \mathbf{D}(X^{an})$$

We have

THEOREM 23.3. *These functors preserve the subcategory of derived constructible sheaves  $\mathbf{D}_{con}$ , and on them we have*

$$\mathbb{D}^2 = \mathbf{Id}$$

$$\mathbb{D}\pi^*\mathbb{D} = \pi^!$$

$$\mathbb{D}\pi_*\mathbb{D} = \pi_!$$

and  $\mathbb{D}M = \mathbf{RHom}_{an}(M, \mathbf{C}_X)$ .

**23.2. De Rham Functor.** Let  $\mathcal{O}_X^{an}$  be the structure sheaf of  $X^{an}$ . We will assign to each  $\mathcal{O}_X$ -module  $M$  the corresponding *analytic sheaf* of  $\mathcal{O}_X^{an}$ -modules  $M^{an}$ , which is locally given by

$$M^{an} = \mathcal{O}_X^{an} \otimes_{\mathcal{O}_X} M$$

.



This defines an exact functor

$$an : M(\mathcal{O}_X) \rightarrow M(\mathcal{O}_{X^{an}})$$

and in particular an exact functor

$$an : M(\mathcal{D}_X) \rightarrow M(\mathbf{D}_X^{an})$$

, where  $\mathcal{D}_X^{an}$  is the sheaf of analytic differential operators.

DEFINITION 23.4. *The De Rham Functor*

$$\mathbf{DR} : \mathbf{D}^b(\mathcal{D}_X) \rightarrow \mathbf{D}^b(X^{an}) = \mathbf{D}^b(\mathbf{Sh}(X^{an}))$$

is

$$\mathbf{DR}(M^\circ) = \Omega_X^{an} \otimes_{\mathcal{D}_X^{an}} (M^\circ)^{an}$$

REMARK 23.5. Since  $\mathbf{dR}(\mathcal{D}_X)$  is a locally projective resolution of  $\Omega_X$  we have

$$\mathbf{DR}(M^\circ) = \mathbf{dR}(\mathcal{D}_X^{an}) \otimes_{\mathcal{D}_X^{an}} (M^\circ)^{an}[\dim X]$$

In particular, if  $M$  is an  $\mathcal{O}$ -coherent  $\mathcal{D}_X$ -module corresponding to a vector bundle with a flat connection and  $\mathcal{L} = M^{flat}$  is the local system of flat sections, then

$$\mathbf{DR}(M) = \mathcal{L}[\dim X]$$

by Poincaré's lemma.

Here is the main theorem about the connection between  $\mathcal{D}$ -modules and constructible sheaves:

- THEOREM 23.6.
  - $\mathbf{DR}(\mathbf{D}_{hol}(\mathcal{D}_X)) \subset \mathbf{D}_{con}(X^{an})$ , and on  $\mathbf{D}_{hol}$   $\mathbf{DR}$  commutes with both tensor product and  $\mathbf{D}$ .
  - On the subcategory  $\mathbf{D}_{rs}$  the functor  $\mathbf{DR}$  commutes with all of the above functors
  - $\mathbf{DR} : \mathbf{D}_{rs}(\mathcal{D}_X) \rightarrow \mathbf{D}_{con}(X^{an})$  is an equivalence.

**23.3. Definitions concerning vector bundles with flat connection.** Let  $M$  be a vector bundle on  $X$  with a flat connection.

DEFINITION 23.7.  $M$  lies in  $\mathbf{D}_{rs}$  if the restriction of  $M$  to any curve has regular singularities.

DEFINITION 23.8. An irreducible  $M$  in  $\mathbf{D}_{hol}$  has *regular singularities* if  $M = j_{!*}L$  for  $L$  a vector bundle with flat connection and regular singularities.

REMARK 23.9. An object  $M \in \mathbf{D}_{hol}$  has regular singularities if and only if all composition factors have regular singularities.