# RaptorQ-based File Transfer Protocol

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# 1. INTRODUCTION

## 2. IMPLEMENTATION

#### 2.1 Reliable file transfer

In Tornado transfer, the reliability guarantee is achieved at the application level by the use of RaptorQ code. This eliminates the need for retransmission at the file transfer application level, which greatly simplifies the design and implementation of a reliable file transfer protocol. In Tornado transfer, the sender has no need to keep track of exactly what symbols are received by the receiver. Therefore, the ACK message is essentially just a bitmask of 256 bits (256 is the maximum number of blocks specified in RFC6330) that records which blocks have been successfully decoded by the receiver. Tornado transfer protocol has a handshake procedure similar to TCP to establish the connection. Once the handshake succeeds, the sender starts sending source symbols of each block in order. Furthermore, in order to compensate for the potential lost symbols, the sender sends one repair symbol for each previously un-ACK'ed block after every X source symbols. After all source symbols have been sent, the sender simply sends repair symbols for each un-ACK'ed block in a round-robin fashion. Ideally, the repair symbol transmission interval X should be set to a value such that after all source symbols of block n has been sent, the receiver has received enough symbols for block n-1 for decoding. This way, the receiver only needs to keep roughly one block in memory for decoding at a time. The receiver sends back a heartbeat ACK message constantly to compensate for potentially lost ACK messages. Besides, it immediately sends back an ACK message once it decodes a new block to reduce the probablity of sender sending more symbols for the decoded blocks. Once the receiver decodes the entire file, it simply exits. The sender will also terminate once it figures out that the receiver exits. This can be done either by relying on the shutdown mechanism of DCCP socket or through an ICMP destination unreachable message generated by the receiver.

Parameter setting There are two most important para-

menters that we can pass on to the libRaptorQ [2] library: symbol size and number of symbols per block.

- 1. Symbol size In our current implementation, we choose the symbol size to be 1400 bytes to avoid IP fragmentation. We could potentially choose a larger number to, say, reduce the number of symbols for performance reason described in the section below. However, the downside of a larger symbol size is that each symbol may be fragmented at the IP layer and the loss of each fragment results in the loss of the entire symbol. In other words, the nice property of digital fountain that every packet received contributes to the decoding of the entire file is no longer preserved. Currently, we have not quantified the effect of a larger symbol size.
- 2. Number of symbols per block The number of symbols per block is critical to the performance of encoding and decoding. Generally speaking, we would like to keep it as small as possible. RFC 6330 does not allow us to explicitly set this value. Instead, we provide a parameter WS, the maximum size of a block that can be efficiently decoded in the working memory of the receiver, and RFC 6330 describes the procedure for deriving the number of symbols per block based on it. This parameter derivation algorithm involves lookups into the hardcoded RaptorQ matrices and is not very straightforward. Therefore, our current implementation enumerates parameter WS starting from a small number and increase it by one each time to search for the smallest legal value of the number of symbols per block. In pratice, this search procedure is fast enough to be hardly noticeable.

## 2.1.1 Performance bottlenecks

Our current implementation of Tornado transfer has two performance bottlenecks which limits its practicality. We briefly describe the problems here and leave the solutions as future work.

1. **Precomputation** The most computational expensive in RaptorQ encoding/decoding process is the

process of precomputing intermediate symbols for each block. The time complexity of the precomputation is cubic in the number of symbols per block. We currently use a background thread for precomputing intermediate symbols while transmitting symbols. However, this has become a bottleneck for larger file size. For instance, for a file of size 100MB, the smallest number of symbols per block that is legal with respect to RFC 6330 is 296.

2. **Decoding** Once intermediate symbols have been precomputed, even though both encoding and decoding are linear in the number of symbols, decoding tends to fall behind encoding for two reasons. First, encoding is a stream operation that takes constant time to generate the next symbol, while decoding is a batch operation that only happens after enough symbols of a block have been received. Second, decoding is inherently slower than encoding in the current implementation of libRaptorQ. To resolve this bottleneck,

# 2.2 Congestion control

Tornado Transfer utilizes DCCP, the Datagram Congestion Control Protocol [3], to provide congestion control mechanism for unreliable datagrams. DCCP is designed to make it easy to deploy delay-sensitive applications, such as streaming media, which prefer timeliness to reliability. It is also appropriate for Tornado Transfer because we have offered reliability using RaptorQ in the application layer.

There are mainly two categories of congestion control algorithms in DCCP— CCID 2 and CCID 3. CCID 2 denotes TCP-like congestion control that describes Additive Increase Multiplicative Decrease (AIMD) congestion control mechanism including several other features in TCP. CCID 2 is suitable for applications like Tornado Transfer that achieve maximum throughput over long term. CCID 3 denotes TCP-Friendly Rate Control that describes an rate-controlled congestion control mechanism. CCID 3 is suitable for streaming applications due to its lower variation in terms of throughput.

We choose to adopt DCCP as transport layer protocol with CCID 2 enabled for the sake of its simplicity. DCCP has been in the Linux kernel and creating a DCCP socket to send and receive is much like creating a TCP socket. We simply replace UDP with DCCP, without having to add congestion control mechanism on top of UDP by ourselves.

The drawbacks of using DCCP sockets are notable as well. According to our experiments in mahimahi and RFC 5597, NAT (Network Address Translation) support for DCCP is not functioning properly, which results in very little use of DCCP. Besides, its congestion control mechanism, such as replying ACKs, is hidden from us. The black box makes it harder for us to debug

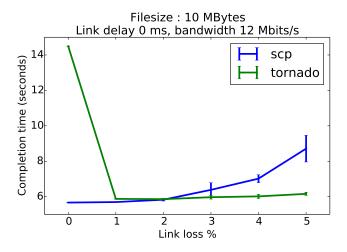


Figure 1: Transfer time in lossy link

when running into problems.

In the future work, we plan to implement congestion control algorithm, e.g. LEDBAT (Low Extra Delay Background Transport, [4]), above UDP on our own.

#### 3. EVALUATION

We test the tornado transfer implementation using emulated links generated by Mahimahi [1]. We measure the time spent for sending a file through mahimahi with various link parameters. File transfer time via SCP is also measured and compared. We observe the transfer time while changing three parameters: (1) link loss, (2) link delay, and (3) file size. We fix the link bandwidth to 12 Mbps. For each set of parameters, we ran 10 tests and report the mean and the standard deviation in the following plots.

# 3.1 Link loss test

Figure 1 depicts the file transfer time using Tornado and SCP in links with various packet loss probabilities. When the loss probability is  $\geq 1\%$ , transfer time of Tornado and SCP monotonically increase as the loss probability increases. However the growth of Tornado's transfer time is much less than SCP's transfter time. This results in a faster transfer time with Tornado in loss proabilities of  $\geq 2\%$  and the gap between the transfer time of the two protocols gets wider as the loss proability increases.

One interesting fact that we noticed is the transfer time using Tornado when the loss probability is 0, i.e., the link is lossless. The average transfer time of Tornado exceeds 14 seconds which is greater than  $2\times$  compared to the case when the link has 1% loss probability. This is counter-intuitive since we expect that packet loss can only deteriorate the operation of the file transfer protocol. Currently, we do not have a clear explanation

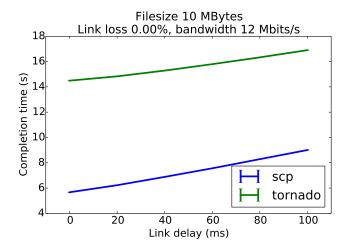


Figure 2: Transfer time in link with delay. One way delays are stated.

of this observation and leave to future work to enhance the implementation to gracefully degrade performance as the link probability increases.

## 3.2 Link delay test

Figure 2 shows the file transfer time in links with various delay values. Throughout delay values from 0 to 100 ms, SCP maintains  $\sim 2\times$  performance of Tornado. However, the difference of the transfer time is almost constant in this delay range. In fact, the difference slightly decreases as the delay increases.

We first suspected the stage of computing intermediate symbols in Tornado to cause this diffrence since this portion of the protocol requires extensive computation and is done before the datagram transfer loop. However, we have confirmed that the progress of sending datagram was widely spread across the application runtime rather than having a burst of datagram transfers after a long pause as we expect if the intermediate symbol computation was the bottleneck. From this observation, we expect this difference comes from the inefficiency of our implementation of the datagram transfer loop rather than caused by the inefficiency of the design of the protocol.

### 3.3 File size test

Figure 3 depoits the file transfer time using Tornado and SCP with various file sizes when the link is lossless and has a one-way delay of 10ms. We tested with file sizes of 10, 20, 30, 40, and 50 MBs. In this range, SCP transfers files faster than Tornado. Eventhough Tornado's transfer time does not monotonically increase as the file size increases, the difference between the transfer time of SCP and Tornado tends to increase.

The fact that Tornado's transfer time not increasing

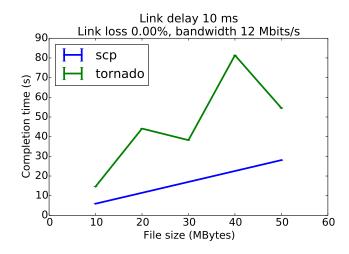


Figure 3: Transfer time with various file sizes

monotonically is also noteworthy. We suspect that this is caused by the <code>libRaptorQ</code>'s internal decision to set the number of blocks given a file size. Since we have no control on the number of symbols per block since the size of a block can change depending on <code>libRaptorQ</code>'s decision while we fix the symbol size. For improvement, we can split the file into subfiles of fixed size and feed them into multiple instances of encoders. Then we can expect regular performance throughout the encoders. We leave the validation of the hypothesis and the improvement for future work.

#### 4. CONTRIBUTION

#### 5. REFLECTION

# 6. CONCLUSION

## 7. REFERENCES

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