Revision 7



# Decontamination Methods for 3M Filtering Facepiece Respirators such as N95 Respirators

#### Introduction

**NOTE:** Please revisit this document often for frequent updates.

During this COVID-19 pandemic, several governmental agencies have recommended that decontamination may be part of a reuse approach to optimize the use of available filtering facepiece respirators (FFRs). 3M cannot recommend decontamination of FFRs, because FFRs are not designed to be decontaminated, and doing so voids the regulatory approval (see details in the Background section). However, since certain decontamination methods have been recommended by United States Centers for Disease Control and Prevention (CDC), US Occupational Health and Safety Administration (OSHA), and US Food and Drug Administration (FDA), 3M has evaluated the impact of select methods on certain 3M FFR models, to help customers implement decontamination in such a way that they are unlikely to damage FFRs, as such damage may result in the FFRs not providing the indicated level of exposure reduction, such as N95.

The purpose of this document is to communicate information related to the impact of decontamination methods on certain 3M FFR models – it is not to recommend the practice of decontamination or to communicate any information related to the efficacy of the methods.

#### Background

**NOTE:** Please revisit this document often for frequent updates.

During this public health emergency of the COVID-19 pandemic outbreak, many healthcare institutions are experiencing shortages of filtering facepiece respirators such as N95 respirators.

The CDC has issued Strategies for Optimizing the Supply of N95 Respirators. In this document the CDC recommends conventional capacity strategies, contingency capacity strategies (during expected shortages) and crisis strategies (during known shortages). Contingency and crisis strategies include use of N95s past their shelf life, extended use of N95s, use of other types of respirators, use of respirators from other countries, and re-use of respirators, ahead of decontamination of respirators.

The CDC discusses reuse and extended use of N95s as a Crisis strategy at Recommended Guidance for Extended Use and Limited Reuse of N95 Filtering Facepiece Respirators in Healthcare Settings and has published guidelines on Decontamination and Reuse of Filtering Facepiece Respirators. CDC says research indicates the virus survives for up to 72 hours on a variety of surfaces. Therefore, CDC is recommending a wait and reuse approach before consideration of other decontamination approaches.

Key excerpt from CDC guidelines: "The healthcare worker will wear one respirator each day and store it in a breathable paper bag at the end of each shift. The order of FFR use should be repeated with a minimum of five days between each FFR use. This will result in each worker requiring a minimum of five FFRs, providing that they put on, take off, care for them, and store them properly each day. Healthcare workers should treat the FFRs as though they are still contaminated and follow the precautions outlined in our reuse recommendations. If supplies are even more

## constrained and five respirators are not available for each worker who needs them, FFR decontamination may be necessary."

According to OSHA, decontaminating FFRs voids the respirators' NIOSH approval. However, OSHA has published an enforcement memorandum indicating that during the COVID-19 pandemic, U.S. employers may consider using certain decontaminating methods in their procedures for reusing N95s. This dispensation stands only if employers have exhausted many other options – such as the strategies recommended by the CDC – to reduce the need for respiratory protection and/or manage the use of respirators to try to ensure adequate supply. OSHA emphasizes that employers should look to respirator manufacturers for guidance regarding which decontamination methods are compatible with specific respirator models.

#### **Evaluating Decontamination Methods for Filtering Facepiece Respirators**

Per the CDC guidelines, a number of sterilization companies are assessing decontamination processes for N95 filtering facepiece respirators (FFRs). The U.S. Food and Drug Administration (FDA) is evaluating granting Emergency Use Authorizations (EUAs) for such decontamination systems during the COVID-19 outbreak. Issued EUAs for Personal Protective Equipment with regards to COVID-19 will be available on the FDA website: Personal Protective Equipment EUAs

3M is collaborating with several sterilization companies and institutions that are investigating ways for hospitals to safely decontaminate 3M's N95 FFRs in line with the CDC guidance on Decontamination and Reuse of Filtering Facepiece Respirators. 3M has been studying ways to sterilize, disinfect or decontaminate filtering facepiece respirators for years. There are at least four key aspects of successful decontamination reprocessing of respirators, and many published studies do not take all four into consideration. The method must:

- inactivate the target organism, such as the virus that causes COVID-19;
- not damage the respirator's filtration;
- not affect the respirator's fit;
- and be safe for the person wearing the respirator.

If, as a result of decontaminating a respirator, the filtration is damaged or the respirator does not fit, it will not help reduce exposure to airborne particles at the level indicated, such as N95, FFP2, etc. In 3M's work with external manufacturers of sterilization/decontamination equipment, 3M relies upon the method developer to confirm the germicidal efficacy of the method and to provide information on potential hazards to the respirator user. 3M evaluates the effect of the method on filtration efficiency and integrity of our respiratory protection products.

To that effect, 3M is testing certain 3M N95 FFRs regarding the effect of the decontamination processes on fit and filtration performance. We are in the process of testing treated 3M respirators from multiple sterilization companies and institutions. Methods under evaluation include Vaporized Hydrogen Peroxide, UV, and Low Temperature Moist Heat, amongst others, as reflected in the CDC Guidance. Other methods of decontamination are being discussed in public forums, including liquid chemical decontamination, ozone, and time-based methods, but 3M is not prioritizing investigation of these methods at this time. Additional information about many decontamination methods can be found in the CDC guidance on Decontamination and Reuse of Filtering Facepiece Respirators, but again, many published studies have not considered all four key aspects listed above. 3M remains committed to providing data to the health care community as soon as possible.

Note that respirators should be thoroughly inspected each time they are put on, according to the model-specific User Instructions. Filtering facepiece respirators that are reused should be assessed for any signs of damage or fatigue, including such points as headband elasticity, nosefoam compression, pinholes near the staples, or deformation. User seal checks should also be performed. If the wearer cannot achieve an effective seal, the respirator should be discarded.

<sup>1.</sup> Note that 3M has established the firm exclusion of ethylene oxide decontamination methods for use with 3M FFRs, because ethylene oxide is an inhalation-route carcinogen, and any potential off-gassed ethylene oxide residuals would be directly inhaled by the wearer.

#### **Current Findings on Decontamination Methods**

Current information supports the following conclusions for all 3M filtering facepiece particulate respirators<sup>1</sup>:

- 3M **does not** recommend the use of Ethylene Oxide or formaldehyde due to the potential for repeat inhalation exposure to residual ethylene oxide or formaldehyde, known human airborne respiratory carcinogens. Ethylene oxide is an accepted sterilant for many device types, but given that the respirator is directly in line with a person's breathing zone, it is not recommended for respirator decontamination.
- 3M does not recommend the use of Ionizing Radiation due to degradation in filter performance.
- 3M **does not** recommend the use of Microwave due to melting of the respirator near metal components resulting in compromise of fit.
- 3M **does not**, at this time, recommend the use of High Temperatures above 75°C, such as Autoclave or Steam due to significant filter degradation.
- 3M **does not** recommend the use of ethanol, isopropanol<sup>2</sup>, quat solutions, soaps, or detergents due to degradation in filter performance.

The table below (Table 1) shows the status of ongoing and completed filtration and fit tests by 3M for decontamination methods which have been issued EUAs. We do anticipate that additional information will be available as this work is completed and reviewed with regulatory agencies. Please note that for information on efficacy of decontamination, please refer to the sterilization equipment manufacturers.

Considering the many variables involved in the processes, decontamination of FFRs in the US should follow all requirements of the current EUA issued for each specific decontamination system.

**Table 1:** Decontamination methods which have received EUAs – Effect on Certain 3M N95 Filtering Facepiece Particulate Respirators

Decontamination Method	3M N95 Models Evaluated <sup>a</sup>	Cycle <sup>b</sup>	Number of Reprocess Cycles Tested <sup>c</sup>	Filtration Efficiency <sup>d</sup>	Fit Related Evaluation	U.S. FDA EUA Information	
Vaporized Hydrogen Peroxide (VHP) Sterilizer Systems for Decontamination <sup>e</sup>							
VHP – Steris V-PRO	1860, 8210, 1870+	V-PRO 1 Plus, V-PRO maX, V-PRO maX2, Non- Lumen Cycle	10 Pass	Pass	Pass	EUA	
						Facility Instructions	
						HC Personnel Instructions	

<sup>1.</sup> These conclusions apply to all 3M filtering facepiece respirators including those approved in countries and regions other than the United States.

<sup>2.</sup> The filter degradation caused by isopropanol would also be seen from a method which combines an alcohol with other physical or chemical treatments, such as an ethanol-vacuum method.

**Table 1:** Decontamination methods which have received EUAs – Effect on Certain 3M N95 Filtering Facepiece Particulate Respirators

Decontamination Method	3M N95 Models Evaluated <sup>a</sup>	Cycle <sup>b</sup>	Number of Reprocess Cycles Tested <sup>c</sup>	Filtration Efficiency <sup>d</sup>	Fit Related Evaluation	U.S. FDA EUA Information		
VHP –ASP, STERRAD®	1860, 8210	100S-Short NX-Standard, 100NX-Express	2	Pass	Pass	EUA		
						Facility Instructions		
						HC Personnel Instructions		
VHP -	1804, 1860, 8210, 1870+	Sterilucent™ HC 80TT - Flexible Cycle	10	Pass	Pass	EUA		
Sterilucent						Facility Instructions		
						HC Personnel Instructions		
VHP - Stryker	1860, 8210, 1870+	STERIZONE VP4 N95 Respirator Decontaminatio n Cycle	2		Under evaluation	EUA		
						Facility Instructions		
						HC Personnel Instructions		
Vaporized Hydro	Vaporized Hydrogen Peroxide Environmental Decontamination Systems							
VHP- Battelle	1860, 8210	Battelle CCDS	3 cycles: tested 20 cycles: Under evaluation	3 cycles: Pass 20 cycles: Under	3 cycles: Pass 20 cycles: Under evaluation	EUA		
						Facility Instructions		
				evaluation		HC Personnel Instructions		

a. The results on the 1860 are applicable to the 1860S and 8110S. The results on the 1804 are applicable to the 1804S, 1805, 1805S, 9105, and 9105S. The results on the 1870+ are applicable to the 9210+.

The table below (Table 2) shows the status of ongoing and completed filtration and fit tests by 3M for decontamination methods which have been recommended by CDC and are identified in OSHA's enforcement memorandum as methods that are most likely to be acceptable for use with filtering facepiece respirators BUT which have NOT been issued EUAsAUs. As such, no government authority has established oversight over how these methods should be implemented to ensure efficacy and safety.

b. Cycle parameters are determined by the equipment manufacturer. Further details need to be provided by the decontamination equipment manufacturer.

c. This column represents the number of cycles tested and should be seen as the maximum number of cycles that should be attempted. The number of cycles that a particular respirator will withstand will depend on how many times it has been donned, stored and duration and conditions of use.

d. Per NIOSH requirements applicable to N95 respirators.

e. Per manufacturers of VHP equipment, most VHP methods are not to be used with cellulose-based materials. See the 3M Technical Bulletin - Cellulose Certification - Filtering Facepiece Respirators for information about which 3M respirators contain cellulose.

The table below provides certain key details regarding the methods that were used to treat the samples 3M evaluated. However, unlike the methods that have EUAs, there may not be static, detailed implementation procedures published for these methods. Considering the many variables involved in the processes, it may be difficult to implement these decontamination methods exactly as they were performed to treat the samples that 3M evaluated for compatibility with the respirator models listed below. Contact the manufacturer of the equipment used in each method for information about procedures, efficacy, and safety.

**Table 2:** Decontamination methods which DO NOT have EUAs – Effect on certain 3M N95 Filtering Facepiece Particulate Respirators

Decontamination Method	3M N95 Models Evaluated <sup>a</sup>	Cycle <sup>b</sup>	Number of Reprocess Cycles Tested <sup>c</sup>	Filtration Efficiency <sup>d</sup>	Fit Related Evaluation	U.S. FDA EUA Information
Vaporized Hydro	ogen Peroxide (VH	P) Sterilizer System	ns for Decontamin	ation <sup>e</sup>		
VHP – Steris V-PRO 60	1860, 8210, 1870+	V-PRO 60 V-PRO s2 Non-Lumen cycle	10	Pass	Pass	No
Vaporized Hydro	ogen Peroxide Env	ironmental Decont	amination System	s	•	
VHP – Ecolab, Bioquell	1860, 8210, 1870+	10 g/m <sup>3</sup>	20	Pass	Pass	No
VHP – Steris - Victory™, 1000 ED, ARD, and M100 Biodecontamin ation Unit	1860, 8210, 1870+	STERIS Atmospheric VHP Process	20	Pass	Pass	No
<b>Ultraviolet Light</b>	Environmental De	contamination Sys	<mark>tems</mark>	1	1	
UV-C (254 nm)	1860, 8210, 1804	Refer to CDC guidance <sup>f</sup> or UV OEM	Maximum 100  J/cm² cumulative lifetime exposure	Pass	Pass	No
Xenex Lightstrike™ System	1860, 8210, 1804, 5N11	Pulsed xenon, 200 – 280 nm for 5 minutes on each side	10	Pass	Pass	No
UVDI (UV-C, 254 nm)	1860, 8210, 1804	1 J/cm <sup>2</sup> on each side	10 (cumulative exposure of 10 J/cm <sup>2</sup> on each side)	Pass	Pass	No
Moist Heat	L	1	<u>I</u>	L	L	

**Table 2:** Decontamination methods which DO NOT have EUAs – Effect on certain 3M N95 Filtering Facepiece Particulate Respirators

Decontamination Method	3M N95 Models Evaluated <sup>a</sup>	Cycle <sup>b</sup>	Number of Reprocess Cycles Tested <sup>c</sup>	Filtration Efficiency <sup>d</sup>	Fit Related Evaluation	U.S. FDA EUA Information
Steris - Moist Heat Method using Vis-U-All High-Temperat ure Self-Seal Pouches	1860, 8210, 1804, 1870+	In High Temperature Self-Seal Pouches (1 FFR per pouch) Temperature = 65±5°C, Humidity = 50-80% RH, 30 min	10	Pass	Pass	No

- a. The results on the 1860 are applicable to the 1860S and 8110S. The results on the 1804 are applicable to the 1804S, 1805, 1805S, 9105, and 9105S. The results on the 1870+ are applicable to the 9210+.
- b. Cycle parameters are determined by the equipment manufacturer. Further details need to be provided by the decontamination equipment manufacturer.
- c. This column represents the number of cycles tested and should be seen as the maximum number of cycles that should be attempted. The number of cycles that a particular respirator will withstand will depend on how many times it has been donned, stored and duration and conditions of use.
- d. Per NIOSH requirements applicable to N95 respirators.
- e. Per manufacturers of VHP equipment, most VHP methods are not to be used with cellulose-based materials. See the 3M Technical Bulletin Cellulose Certification Filtering Facepiece Respirators for information about which 3M respirators contain cellulose.
- f. CDC guidance on Decontamination and Reuse of Filtering Facepiece Respirators.

