

# **CPU Scheduling**

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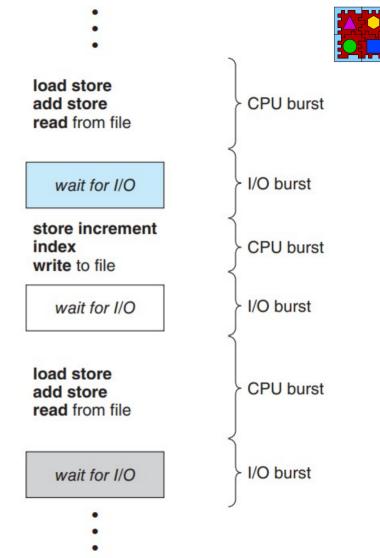
#### **CPU Scheduling**



- ■Basic Concepts
- Scheduling Criteria
- Scheduling Algorithms
- ■Thread Scheduling
- Multi-Processor Scheduling
- Operating Systems Examples
- Algorithm Evaluation

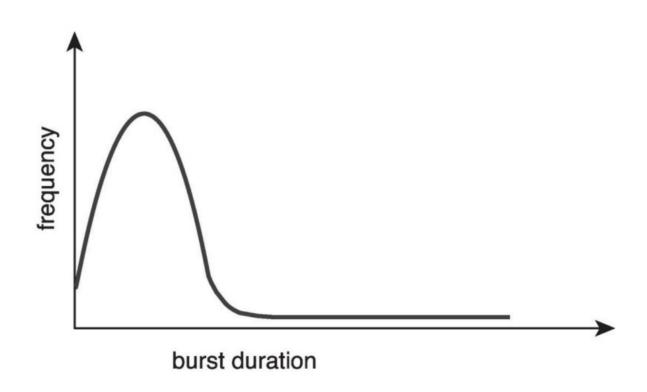
#### **Basic Concepts**

- Maximum CPU utilization
- ■CPU-I/O Burst Cycle
- ■CPU burst distribution is of main concern



#### **Histogram of CPU-burst Times**





#### **CPU Scheduler**



- ■The CPU scheduler selects from among the processes in ready queue, and allocates a CPU core to one of them
  - Queue may be ordered in various ways
- ■CPU scheduling decisions may take place when a process:
  - 1) Switches from running to waiting state
  - 2) Switches from running to ready state
  - 3) Switches from waiting to ready
  - 4) Terminates
- Scheduling under 1 and 4 is **nonpreemptive**

#### **CPU Scheduler**

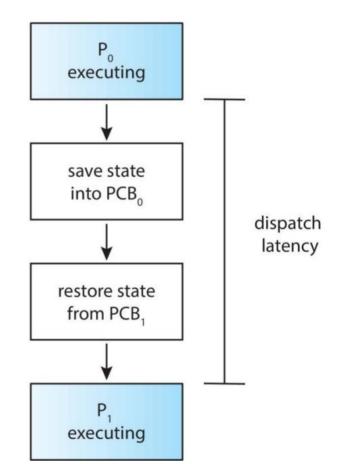


- All other scheduling is **preemptive** 
  - Access to shared data
  - Preemption while in kernel mode
  - Interrupts occurring during crucial OS activities

#### **Dispatcher**



- Dispatcher module gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - •jumping to the proper location in the user program to restart that program
- **Dispatch latency** time it takes for the dispatcher to stop one process and start another running



#### Dispatcher



#### ■vmstat 1 3

```
lucena@lucena-Latitude-7490: ~
                                                                          File Edit View Search Terminal Help
lucena@lucena-Latitude-7490:~$ vmstat 1 3
procs ------memory-----------------io---- -system-- ------cpu-----
   Ь
                    buff cache si so
                                           bi
                                                 bo
                                                     in
             free
                                                          cs us sy id wa st
       swpd
          0 5073580 841216 6158172
          0 5073076 841216 6159052
          0 5073320 841216 6159060
                                                      489 1084
lucena@lucena-Latitude-7490:~$
```

## **Scheduling Criteria**



- **■CPU** utilization keep the CPU as busy as possible
- ■Throughput # of processes that complete their execution per time unit
- ■Turnaround time amount of time to execute a particular process
- ■Waiting time amount of time a process has been waiting in the ready queue
- Response time amount of time it takes from when a request was submitted until the first response is produced, not output (for time-sharing environment)

#### **Scheduling Algorithm Optimization Criteria**



- Max CPU utilization
- Max throughput
- ■Min turnaround time
- ■Min waiting time
- ■Min response time

#### **Scheduling Algorithms**

- ■First-Come, First-Served (FCFS)
- Shortest-Job-First (SJF)
- ■Round Robin (RR)
- Priority
- ■Multilevel Queue
- Multilevel Feedback Queue

#### First-Come, First-Served (FCFS) Scheduling



Process	Burst Time	Order 1	Order 2
P1	24	P1	P2
P2	3	P2	P3
P3	3	P3	P1

- ■Wait time?
- ■Order 2 < Order 1
- ■Convoy effect short process behind long process
  - Consider one CPU-bound and many I/O-bound processes

#### **Shortest-Job-First (SJF) Scheduling**



- Associate with each process the length of its next CPU burst
  - •Use these lengths to schedule the process with the shortest time
- ■SJF is optimal gives minimum average waiting time for a given set of processes
  - •The difficulty is knowing the length of the next CPU request
  - Could ask the user

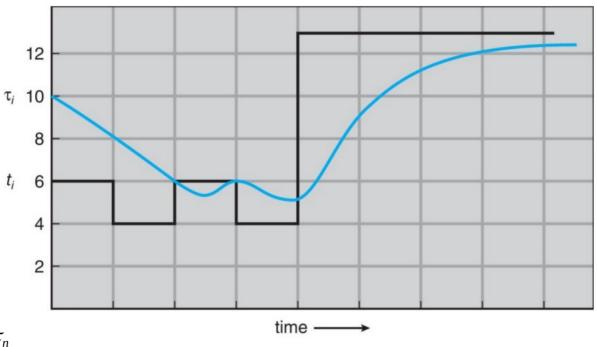
#### **Determining Length of Next CPU Burst**



- ■Can only estimate the length should be similar to the previous one
  - Then pick process with shortest predicted next CPU burst
- Can be done by using the length of previous CPU bursts, using exponential averaging
  - 1)  $t_n$  = actual length of  $n^{th}$  CPU burst
  - 2)  $\tau_{n+1}$  = predicted value of the next CPU burst
  - 3)  $\alpha$ ,  $0 \le \alpha \le 1$
  - 4) Define:  $\tau_{n+1} = \alpha t_n + (1-\alpha) \tau_n$
- ■Commonly, α set to ½
- Preemptive version called **shortest-remaining-time-first**

# Prediction of the Length of the Next CPU Burst





$$au_{n+1} = \alpha t_n + (1-\alpha) \tau_n$$
 time  $\longrightarrow$ 

CPU burst  $(t_i)$  6 4 6 4 13 13 13 ...

"guess"  $(\tau_i)$  10 8 6 6 5 9 11 12 ...

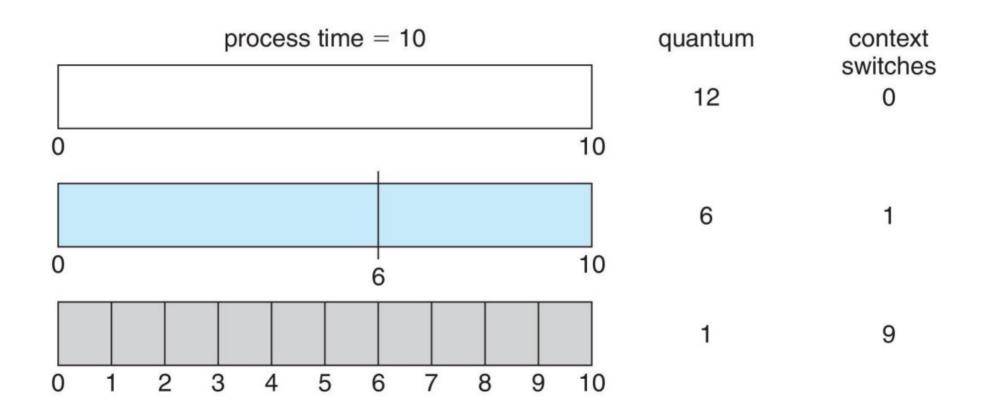
#### **Round Robin (RR)**



- Each process gets a small unit of CPU time (**time quantum** *q*), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q, then each process gets 1/n of the CPU time in chunks of at most q time units at once. No process waits more than (n-1)q time units.
- ■Timer interrupts every quantum to schedule next process
- ■Performance
  - •q large → FIFO
  - •q small → q must be large with respect to context switch, otherwise overhead is too high

#### **Time Quantum and Context Switch Time**

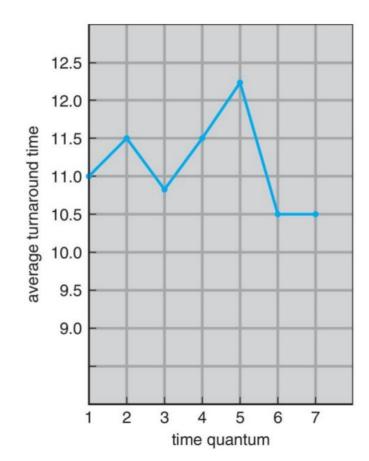




# **Turnaround Time Varies With The Time Quantum**



■80% of CPU bursts should be shorter than q



process	time
P <sub>1</sub>	6
P <sub>2</sub>	3
$P_3$	1
$P_4$	7

## **Priority Scheduling**



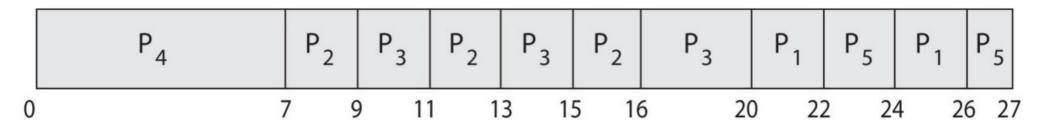
- A priority number (integer) is associated with each process
- ■The CPU is allocated to the process with the highest priority (smallest integer = highest priority)
  - Preemptive
  - Nonpreemptive
- ■SJF is priority scheduling where priority is the inverse of predicted next CPU burst time
- ■Problem = Starvation low priority processes may never execute
- ■Solution = **Aging** as time progresses increase the priority of the process

#### Priority Scheduling w/ Round-Robin



Process	Burst Time	Priority
P1	4	3
P2	5	2
P3	8	2
P4	7	1
P5	3	3

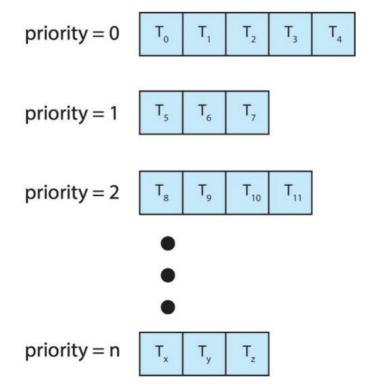
- ■Run the process with the highest priority. Processes with the same priority run round-robin
- ■Gantt Chart with 2 ms time quantum



#### **Multilevel Queue**



- ■With priority scheduling, have separate queues for each priority.
- ■Schedule the process in the highest-priority queue!

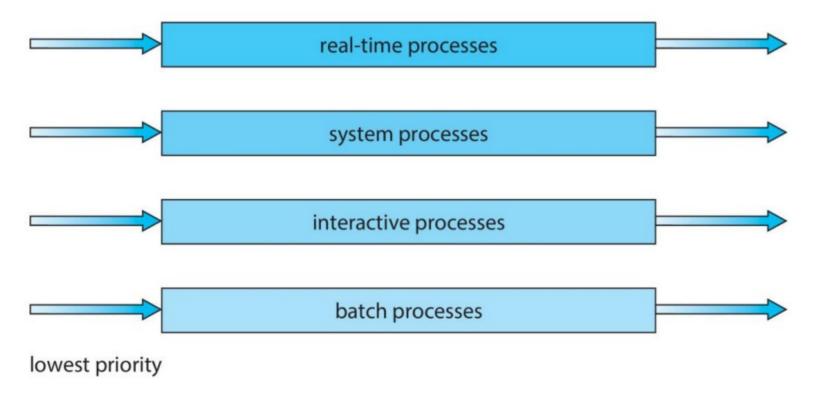


#### **Multilevel Queue**



Prioritization based upon process type

highest priority



#### Multilevel Feedback Queue

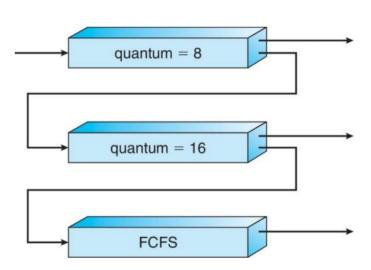


- A process can move between the various queues; aging can be implemented this way
- Multilevel-feedback-queue scheduler defined by the following parameters:
  - number of queues
  - scheduling algorithms for each queue
  - method used to determine when to upgrade a process
  - method used to determine when to demote a process
  - •method used to determine which queue a process will enter when that process needs service

## **Example of Multilevel Feedback Queue**



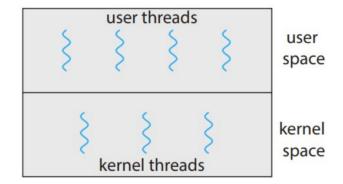
- ■Three queues:
  - ●Q0 RR with time quantum 8 milliseconds
  - ●Q1 RR time quantum 16 milliseconds
  - •Q2 FCFS
- Scheduling
  - A new job enters queue Q0 which is served FCFS
    - •When it gains CPU, job receives 8 milliseconds
    - If it does not finish in 8 milliseconds, job is moved to queue Q1
  - At Q1 job is again served FCFS and receives
     16 additional milliseconds
    - If it still does not complete, it is preempted and moved to queue Q2



## **Thread Scheduling**



■ Distinction between user-level and kernel-level threads

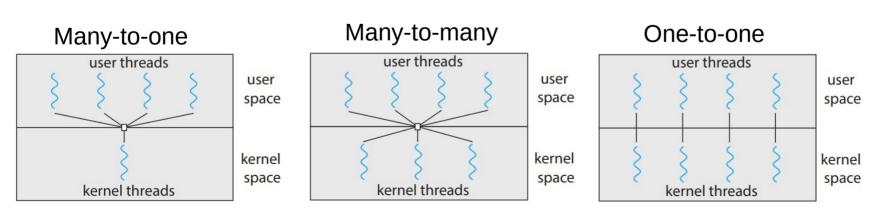


■When threads supported, threads scheduled, not processes

## **Thread Scheduling**



- ■Many-to-one and many-to-many models, thread library schedules user-level threads to run on **Light Weight Processes** (LWP)
  - Known as process-contention scope (PCS) since scheduling competition is within the process
  - Typically done via priority set by programmer
- Kernel thread scheduled onto available CPU is system-contention scope (SCS) – competition among all threads in system



#### **Pthread Scheduling**



- API allows specifying either PCS or SCS during thread creation
  - PTHREAD\_SCOPE\_PROCESS schedules threads using PCS scheduling
  - PTHREAD\_SCOPE\_SYSTEM schedules threads using SCS scheduling
- ■Can be limited by OS Linux and macOS only allow PTHREAD\_SCOPE\_SYSTEM

```
#include <pthread.h>
#include <stdio.h>
#define NUM THREADS 5
int main(int argc, char *argv[]) {
   int i, scope;
   pthread t tid[NUM THREADS];
   pthread attr t attr;
/* get the default attributes */
   pthread attr init(&attr);
/* first inquire on the current scope */
   if (pthread_attr_getscope(&attr, &scope) != 0)
   fprintf(stderr, "Unable to get scheduling scope\
n");
   else {
      if (scope == PTHREAD SCOPE PROCESS)
         printf("main: PTHREAD SCOPE PROCESS\n");
      else if (scope == PTHREAD SCOPE SYSTEM)
         printf("main: PTHREAD_SCOPE_SYSTEM\n");
      else
         fprintf(stderr, "Illegal scope value.\n");
```



```
/* set the scheduling algorithm to PCS or SCS */
   pthread attr setscope(&attr,
PTHREAD SCOPE SYSTEM);
/* create the threads */
   for (i = 0; i < NUM THREADS; i++)
      pthread create(&tid[i],&attr,runner,NULL);
/* now join on each thread */
   for (i = 0; i < NUM THREADS; i++)
      pthread_join(tid[i], NULL);
  Each thread will begin control in this function
void *runner(void *param)
/* do some work ... */
   pthread_exit(0);
```

```
#include <ptH
                                         lucena@lucena-Latitude-7490: ~/ws/so2
#include <std</pre>
              File Edit View Search Terminal Help
#define NUM
             lucena@lucena-Latitude-7490:~/ws/so2$ ./pthreadtest
                                                                                                   or SCS */
             main: PTHREAD SCOPE SYSTEM
int main(int PTHREAD SCOPE SYSTEM
   int i, scopthread scope system
   pthread_t PTHREAD_SCOPE_SYSTEM
   pthread at
                                                                      ad create(&tid[i],&attr,runner,NULL);
/* get the delucena@lucena-Latitude-7490:~/ws/so2$
   pthread attr init(&attr);
                                                             for (i = 0; i < NUM_THREADS; i++)</pre>
/* first inquire on the current scope */
                                                                pthread_join(tid[i], NULL);
   if (pthread_attr_getscope(&attr, &scope) != 0)
   fprintf(stderr, "Unable to get scheduling scope\
                                                            Each thread will begin control in this function
n");
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                                                          void *runner(void *param)
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                                                             pthread_exit(0);
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      else
         fprintf(stderr, "Illegal scope value.\n");
```

#### **Multiple-Processor Scheduling**

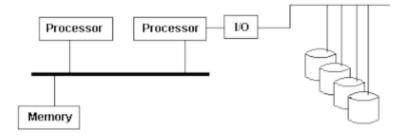


- ■CPU scheduling more complex when multiple CPUs are available
- Multiprocess may be any one of the following architectures:
  - Multicore CPUs
  - Multithreaded cores
  - NUMA systems
  - Heterogeneous multiprocessing

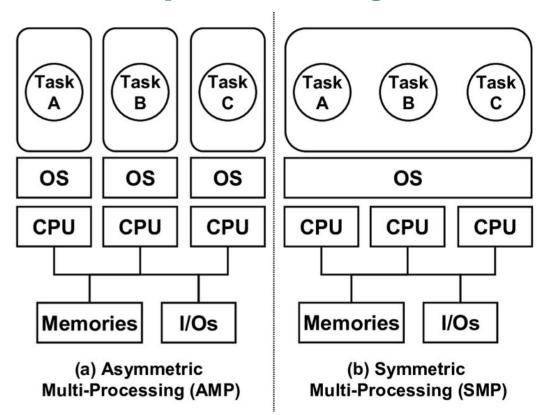
#### Symmetric vs Asymmetric Multiprocessing



- Asymmetric multiprocessing
  - Single core accesses system data structures
  - Reduced data sharing
  - Main server becomes potential bottleneck



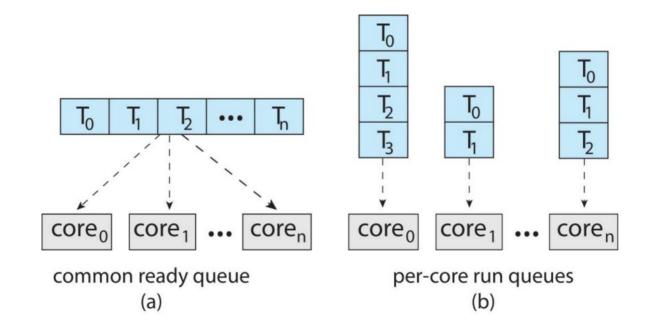
Symmetric multiprocessing (SMP) is where each processor is self scheduling.



#### **Multiple-Processor Scheduling**



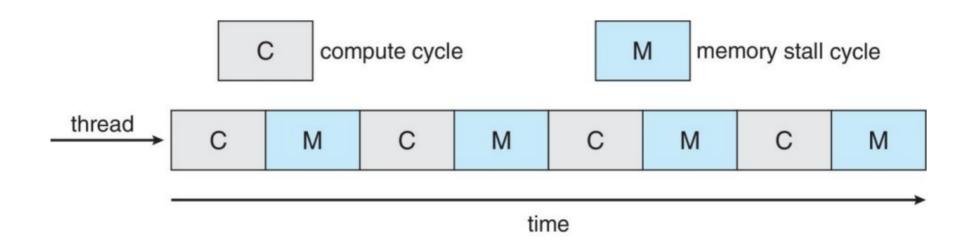
- All threads may be in a common ready queue (a)
  - Race condition on shared ready queue
- Each processor may have its own private queue of threads (b)



#### **Multicore Processors**



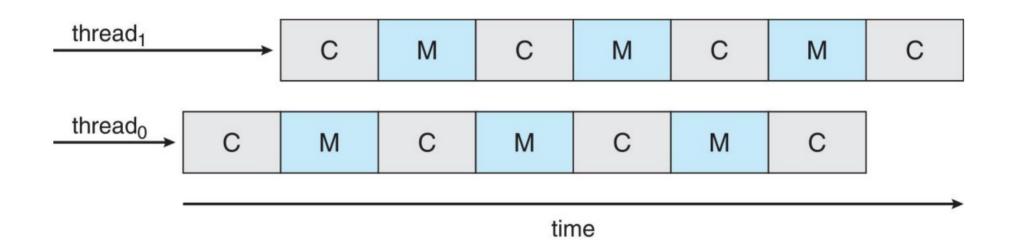
- ■Recent trend to place multiple processor cores on same physical chip
- Faster and consumes less power
- Multiple threads per core also growing
  - Takes advantage of memory stall to make progress on another thread while memory retrieve happens



#### **Multithreaded Multicore System**



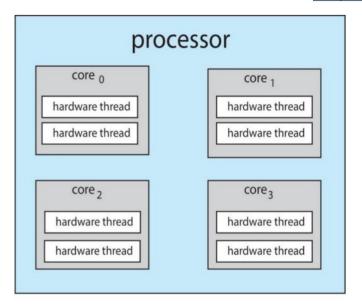
- Cores have multiple hardware threads
- ■One thread stalls, CPU switches to the other

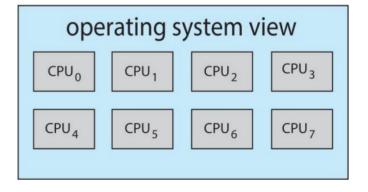


#### **Multithreaded Multicore System**

■ Chip-multithreading (CMT) assigns each core multiple hardware threads. (Intel refers to this as hyperthreading.)

 On a quad-core system with 2 hardware threads per core, the operating system sees 8 logical processors.





#### **Multithreaded Multicore System**

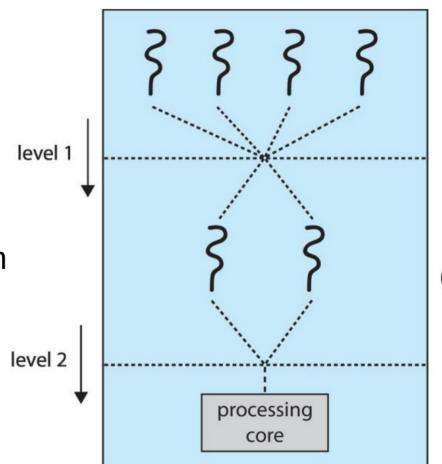


- ■Resources are shared:
  - Pipelines
  - Caches
- Multithreading
  - Coarse-grained
    - Thread executes until long latency event
    - Switching threads is expensive
      - Instruction pipeline must be flushed
  - Fine-grained (or interleaved)
    - Switches can happen at boundary of instruction cycle
    - Includes logic for thread switching
      - Low cost switching

#### **Multithreaded Multicore System**



- ■Two levels of scheduling:
- 1. The operating system deciding which software thread to run on a logical CPU
- ■2. How each core decides which hardware thread to run on the physical core.



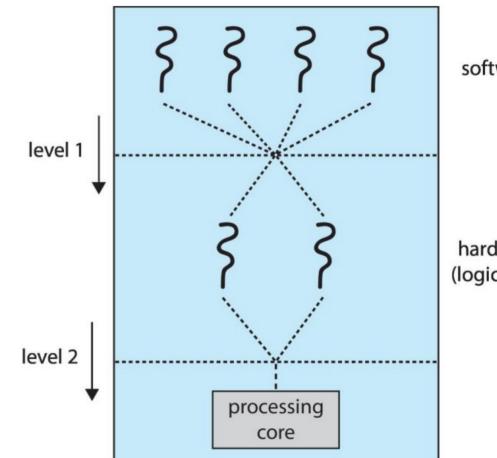
software threads

hardware threads (logical processors)

# **Multithreaded Multicore System**



- ■UltraSPARC T3
  - •Simple RR
- ■Intel Itanium
  - dynamic urgency 0 to 7
  - ●0 lowest
  - ●7 highest



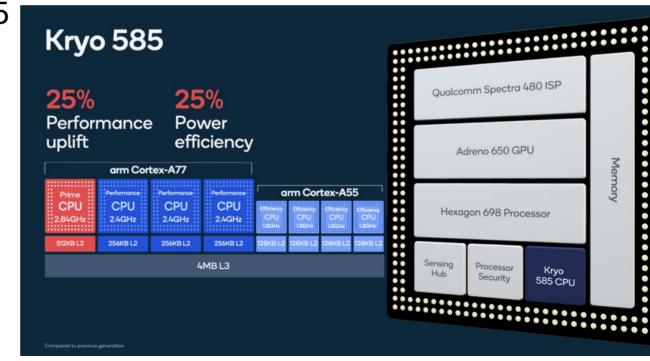
software threads

hardware threads (logical processors)

# **Heterogeneous Multiprocessing (HMP)**



- Multiple cores
- Different clock speed/power management
- Manage power consumption
- Example: Snapdragon 865
  - •4 Cortex-A55 1,8 GHz
    - Simpler tasks
  - •3 Cortex-A77 2,42 GHz
    - More demanding apps
  - ●1 Cortex-A77 2,84 GHz
    - Rarely used
    - For extreme tasks
    - Overheats the system



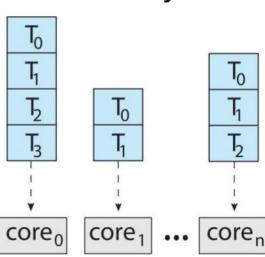
# Multiple-Processor Scheduling – Load Balancing



- ■If SMP, need to keep all CPUs loaded for efficiency
- ■Load balancing attempts to keep workload evenly distributed
- ■Push migration periodic task checks load on each processor, and if found pushes task from overloaded CPU to other CPUs

■Pull migration – idle processors pulls waiting task from busy processor

- ■Balancing metric
  - Even threads
  - Even priorities



# Multiple-Processor Scheduling – Processor Affinity



- ■When a thread has been running on one processor, the cache contents of that processor stores the memory accesses by that thread.
- ■We refer to this as a thread having affinity for a processor (i.e. "processor affinity")
- ■Load balancing may affect processor affinity as a thread may be moved from one processor to another to balance loads, yet that thread loses the contents of what it had in the cache of the processor it was moved off of.

# Multiple-Processor Scheduling – Processor Affinity

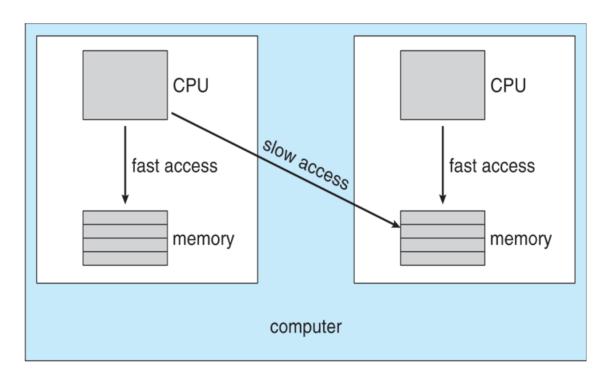


- ■Soft affinity the operating system attempts to keep a thread running on the same processor, but no guarantees.
- ■Hard affinity allows a process to specify a set of processors it may run on.

#### **NUMA** and CPU Scheduling



■If the operating system is **NUMA-aware**, it will assign memory closest to the CPU the thread is running on.



#### **Operating System Examples**



- ■Linux O(1) scheduler
- ■Linux CFS
- Windows scheduling
- Solaris scheduling
- ■MAC OS scheduling

# Linux O(1) Scheduler



- ■Prior to kernel version 2.5, ran variation of standard UNIX scheduling algorithm
- ■Version 2.5 moved to constant order O(1) scheduling time
  - Preemptive, priority based
  - •1 runqeue per CPU
  - •Two priority ranges: time-sharing and real-time
  - •Real-time range from 0 to 99 and nice value from 100 to 140
  - Map into global priority with numerically lower values indicating higher priority

## Linux O(1) Scheduler



- Each CPU:
  - 1 runqeue
  - 2 priority arrays
    - 140 double linked lists
    - ◆ Active processes ← Tasks start here
    - Expired processes ← Ran out of timeslice
- Nice value -20 to 19
  - CPU-I/O bound tasks penalized/rewarded
    - Maximum adjusted 5
    - sleep\_avg determines CPU vs I/O bound

## **Linux Completely Fair Scheduler**



- ■Completely Fair Scheduler (CFS), since version 2.6.23
- Scheduling classes
  - Each has specific priority
  - Scheduler picks highest priority task in highest scheduling class
  - Rather than quantum based on fixed time allotments, based on proportion of CPU time
  - •2 scheduling classes included, others can be added
    - default
    - •real-time

# **Linux Completely Fair Scheduler**

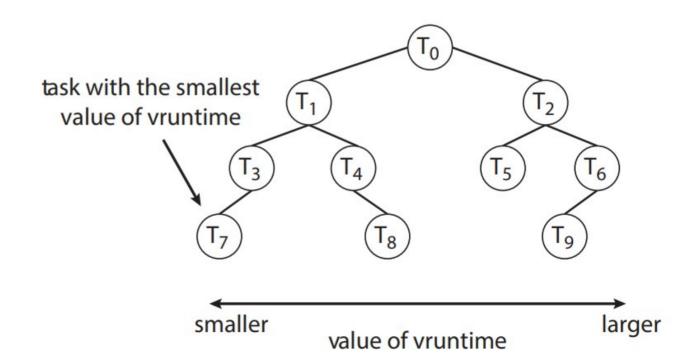


- ■Quantum calculated based on **nice value** from -20 to +19
  - Lower value is higher priority
  - Calculates target latency interval of time during which task should run at least once
  - Target latency can increase if say number of active tasks increases
- CFS scheduler maintains per task virtual run time in variable vruntime
  - Associated with decay factor based on priority of task lower priority is higher decay rate
  - Normal default priority yields virtual run time = actual run time
- ■To decide next task to run, scheduler picks task with lowest virtual run time

#### **CFS Performance**



- Tasks placed in red-black tree
  - •Key: *vruntime*
  - Only runnable tasks
  - O(log N) travel time
  - •rb\_leftmost



# **Linux Scheduling (Cont.)**



- ■Real-time scheduling according to POSIX.1b
  - Real-time tasks have static priorities
- ■Real-time plus normal map into global priority scheme
- Nice value of -20 maps to global priority 100
- Nice value of +19 maps to priority 139

	Real-Time		1	Normal
0		99	100	139
Higher		Priority		Lower

# **Windows Scheduling**



- Windows uses priority-based preemptive scheduling
- Highest-priority thread runs next
- **■Dispatcher** is scheduler
- ■Thread runs until (1) blocks, (2) uses time slice, (3) preempted by higher-priority thread
- ■Real-time threads can preempt non-real-time
- ■32-level priority scheme
- ■Variable class is 1-15, real-time class is 16-31
- ■Priority 0 is memory-management thread
- Queue for each priority
- ■If no run-able thread, runs idle thread

# **Windows Priority Classes**



- Win32 API identifies several priority classes to which a process can belong
  - REALTIME\_PRIORITY\_CLASS, HIGH\_PRIORITY\_CLASS,
     ABOVE\_NORMAL\_PRIORITY\_CLASS,NORMAL\_PRIORITY\_CLASS,
     BELOW\_NORMAL\_PRIORITY\_CLASS, IDLE\_PRIORITY\_CLASS
  - •All are variable except REALTIME
- A thread within a given priority class has a relative priority
  - •TIME\_CRITICAL, HIGHEST, ABOVE\_NORMAL, NORMAL, BELOW\_NORMAL, LOWEST, IDLE

# **Windows Priority Classes**



- Priority class and relative priority combine to give numeric priority
- ■Base priority is NORMAL within the class
- ■If quantum expires, priority lowered, but never below base
- ■If wait occurs, priority boosted depending on what was waited for
- ■Foreground window given 3x priority boost
- ■Windows 7 added user-mode scheduling (UMS)
  - Applications create and manage threads independent of kernel
  - •For large number of threads, much more efficient
  - •UMS schedulers come from programming language libraries like C++ Concurrent Runtime (ConcRT) framework

#### **Windows Priorities**



	real- time	high	above normal	normal	below normal	idle priority
time-critical	31	15	15	15	15	15
highest	26	15	12	10	8	6
above normal	25	14	11	9	7	5
normal	24	13	10	8	6	4
below normal	23	12	9	7	5	3
lowest	22	11	8	6	4	2
idle	16	1	1	1	1	1

# **Solaris Scheduling**



- Priority-based scheduling
- ■Six classes available
  - Time sharing (default) (TS)
  - Interactive (IA)
  - Real time (RT)
  - System (SYS)
  - Fair Share (FSS)
  - Fixed priority (FP)
- ■Given thread can be in one class at a time
- ■Each class has its own scheduling algorithm
- ■Time sharing is multi-level feedback queue
- Loadable table configurable by sysadmin

# Solaris Scheduling: Dispatch Table

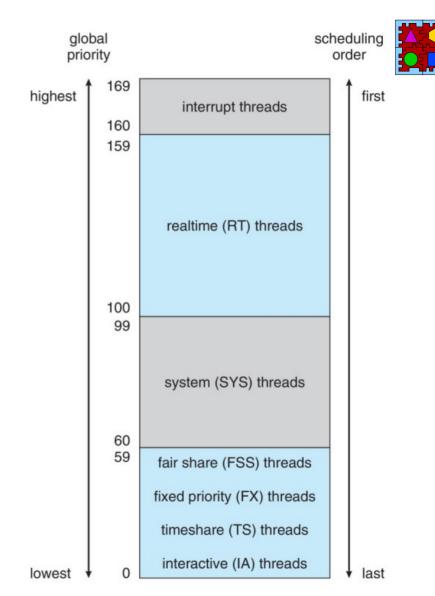


- ■Time-sharing and Interactive class
- Higher priority lower quantum
- Expired quantum lower priority
- ■Return from sleep higher priority

priority	time quantum	time quantum expired	return from sleep
0	200	0	50
5	200	0	50
10	160	0	51
15	160	5	51
20	120	10	52
25	120	15	52
30	80	20	53
35	80	25	54
40	40	30	55
45	40	35	56
50	40	40	58
55	40	45	58
59	20	49	59

#### **Solaris Scheduling**

- Class priority maps into global priority
- Real-time always preempts kernel threads
- Fair-share and Fixed priority are not dynamic



# **Solaris Scheduling**



- Scheduler converts class-specific priorities into a per-thread global priority
  - Thread with highest priority runs next
  - Runs until (1) blocks, (2) uses time slice, (3) preempted by higher-priority thread
  - Multiple threads at same priority selected via RR

- ■OS X Mach Scheduler
- ■OO scheduler
  - Task
    - Execution Environment
    - Basic unit of resource allocation
    - Protected access to system resources via ports
  - Thread
    - Basic unit of execution
    - Shared resources within task
  - Port
    - Kernel-protected communication channel
    - Port rights

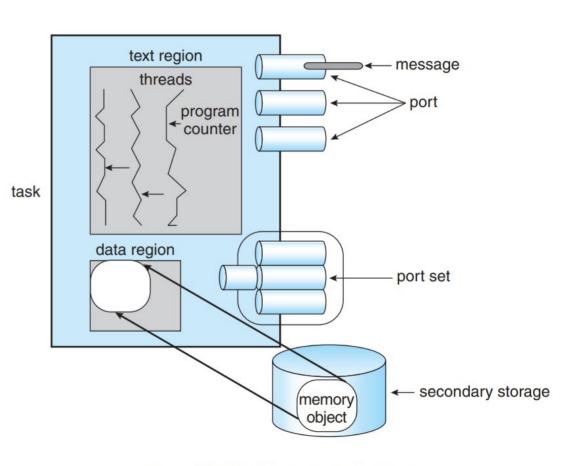


Figure B.2 Mach's basic abstractions.



- ■OO scheduler
  - Port set
    - Common message queue
    - Messages identify port of arrival
  - Message
    - Typed collection of objects
    - Actual data or pointer
    - Port rights are passed through messages
  - Memory object
    - Tasks map into address space
    - Any object that can be memory mapped

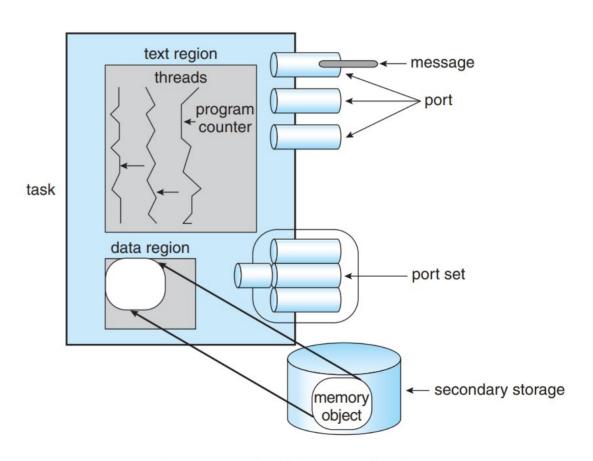


Figure B.2 Mach's basic abstractions.



- No central dispatcher to assign threads to CPU
  - Each CPU consults local and global run queue to select threads
- Quantum is variable (inversely to total number of threads in system)
  - ullet e.g. 10 processors, 11 threads, 100 ms quantum ullet context switch only once per second per processor

- Priority based
  - Exponential average of CPU usage
  - Used to place threads in 32 global run queues
  - Local run queues for CPU specific threads

Description
Lowest priorities (aged, idle)
Lowered priorities
Base for user threads
Elevated priorities
Background
Foreground
Control
Max priority
System reserved
Kernel Priorities
Real-time

- ■Priority based
  - Exponential average of CPU usage
  - Used to place threads in 32 global run queues
  - Local run queues for CPU specific threads

Range	Description
0-10	Lowest priorities (aged, idle)
11-30	Lowered priorities
31	Base for user threads
32-51	Elevated priorities
46	Background

## **Algorithm Evaluation**



- ■How to select CPU-scheduling algorithm for an OS?
  - CPU utilization
  - Throughput
  - Turnaround time
  - Waiting time
  - Response time
- Determine criteria, then evaluate algorithms
  - Maximizing CPU utilization under the constraint that the maximum response time is 300 milliseconds
  - •Maximizing throughput such that turnaround time is (on average) linearly proportional to total execution time

# **Algorithm Evaluation Methods**



- Deterministic modeling
- Queueing Models
- Simulations
- ■Implementation

#### **Algorithm Evaluation**



- Deterministic modeling
  - Type of analytic evaluation
  - Takes a particular predetermined workload and defines the performance of each algorithm for that workload
- ■Consider 5 processes arriving at time 0:

Burst Time
10
29
3
7
12

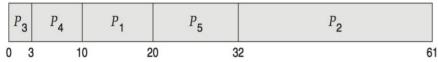
#### **Deterministic Evaluation**



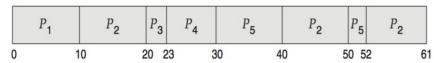
- ■For each algorithm, calculate minimum average waiting time
- Simple and fast, but requires exact numbers for input, applies only to those inputs
  - •FCS is 28ms:



•Non-preemptive SJF is 13ms:



•RR is 23ms:



# **Queueing Models**



- Describes the arrival of processes, and CPU and I/O bursts probabilistically
  - Commonly exponential, and described by mean
  - Computes average throughput, utilization, waiting time, etc
- ■Computer system described as network of servers, each with queue of waiting processes
  - Knowing arrival rates and service rates
  - •Computes utilization, average queue length, average wait time, etc

#### Little's Formula



- ■n = average queue length
- ■W = average waiting time in queue
- $\blacksquare \lambda$  = average arrival rate into queue
- ■Little's law in steady state, processes leaving queue must equal processes arriving, thus:
  - $\bullet$ n =  $\lambda \times W$
  - Valid for any scheduling algorithm and arrival distribution
- ■For example, if on average 7 processes arrive per second, and normally 14 processes in queue, then average wait time per process = 2 seconds

#### **Simulations**



- Queueing models limited
- ■Simulations more accurate
  - Programmed model of computer system
  - Clock is a variable
  - Gather statistics indicating algorithm performance
  - Data to drive simulation gathered via
    - Random number generator according to probabilities
    - Distributions defined mathematically or empirically
    - Trace tapes record sequences of real events in real systems

## **Implementation**



- Even simulations have limited accuracy
- Just implement new scheduler and test in real systems
  - High cost, high risk
  - Environments vary
- ■Most flexible schedulers can be modified per-site or per-system
- Or APIs to modify priorities
- ■But again environments vary