

Undulator Radiation – General Introduction

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- The Sirius project: a 4th generation light source
- Undulator equations
 - The energy spread effect
 - Non-Gaussian beams
- The SR_LNLS code
- Conclusion



Operation started in 1997

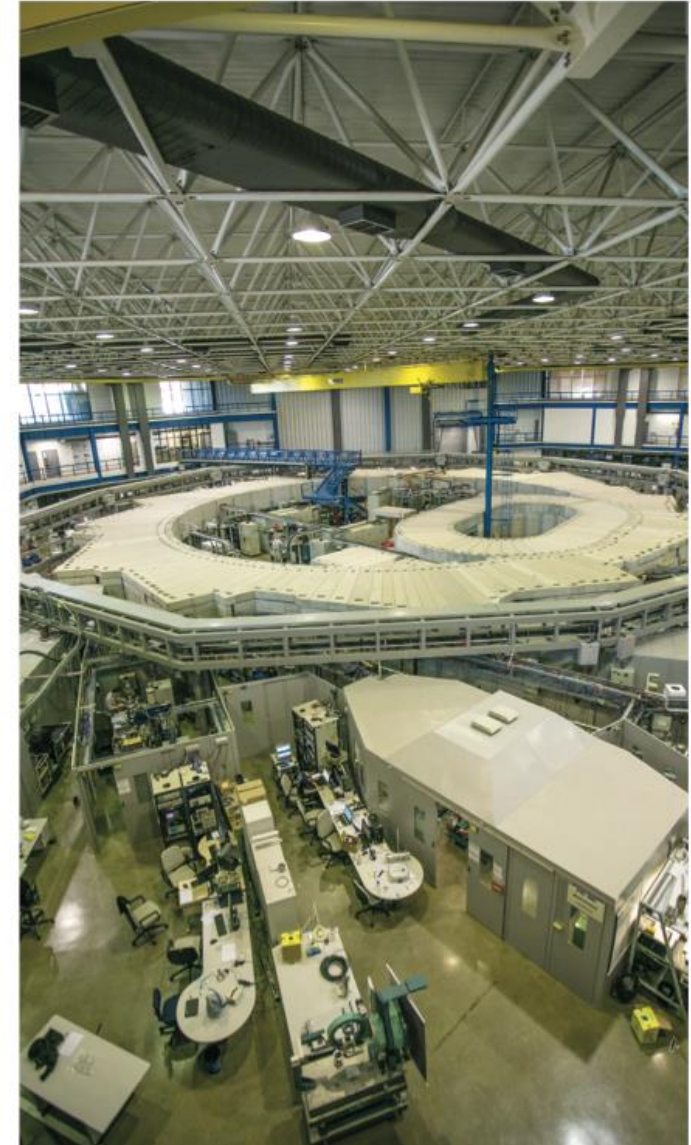
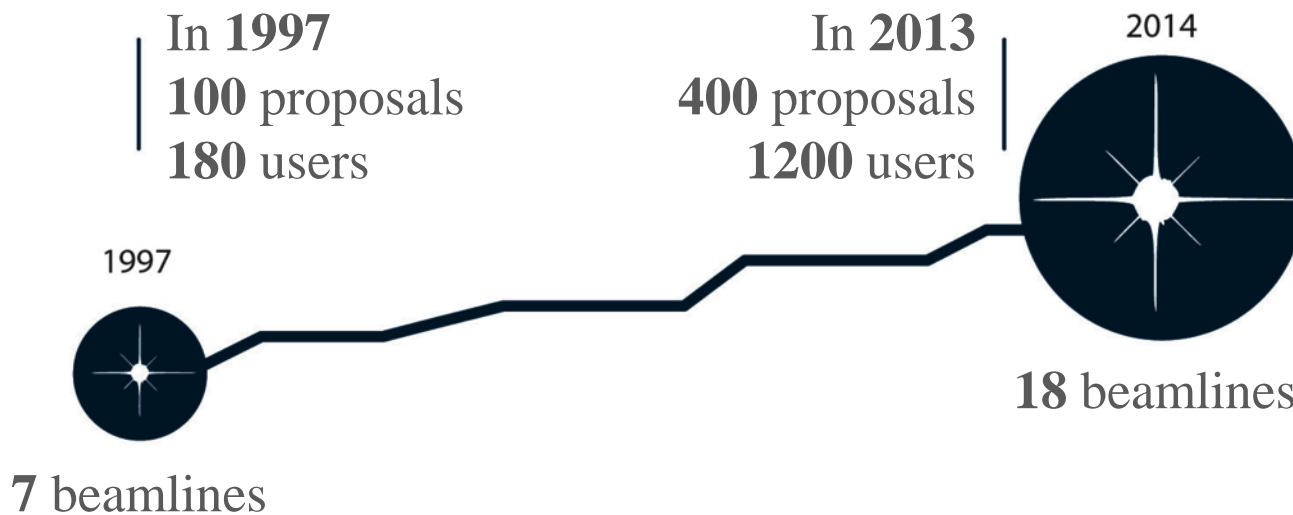
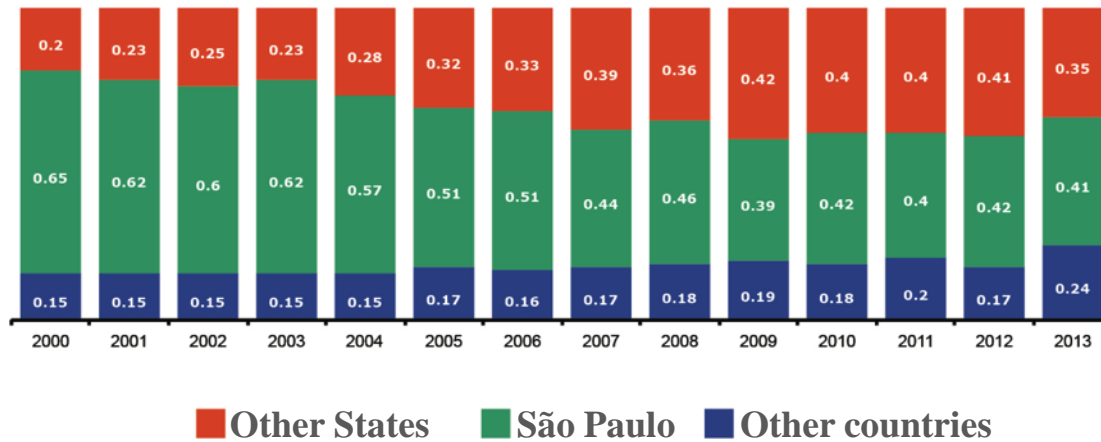
- 1.37 GeV, 100nm.rad emittance;
- 18 beamlines;
 - 1 EPU, 1W (2T), 1 SCW (4T), 15BM;
- Today ~1200 users/year.



Operation to start in 2018

- 3 GeV, 0.28nm.rad emittance;
- Initial phase (2018-2020): 13 beamlines;
 - 5 IVU, 2 EPU, 1 SCW, 5BM.

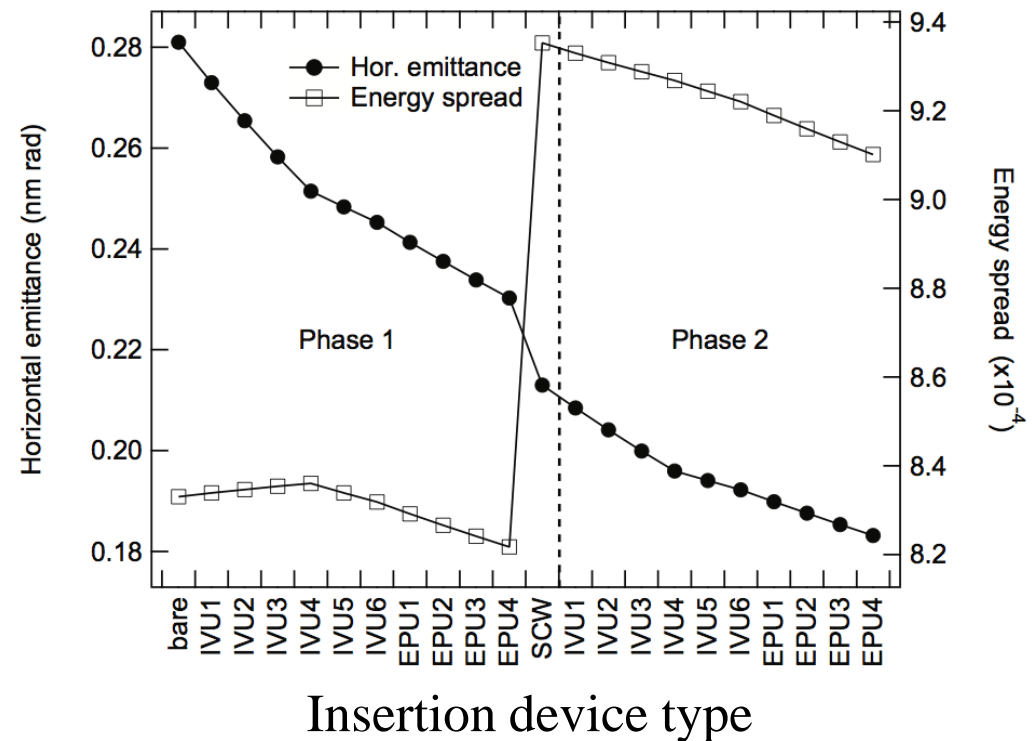
The UVX user community



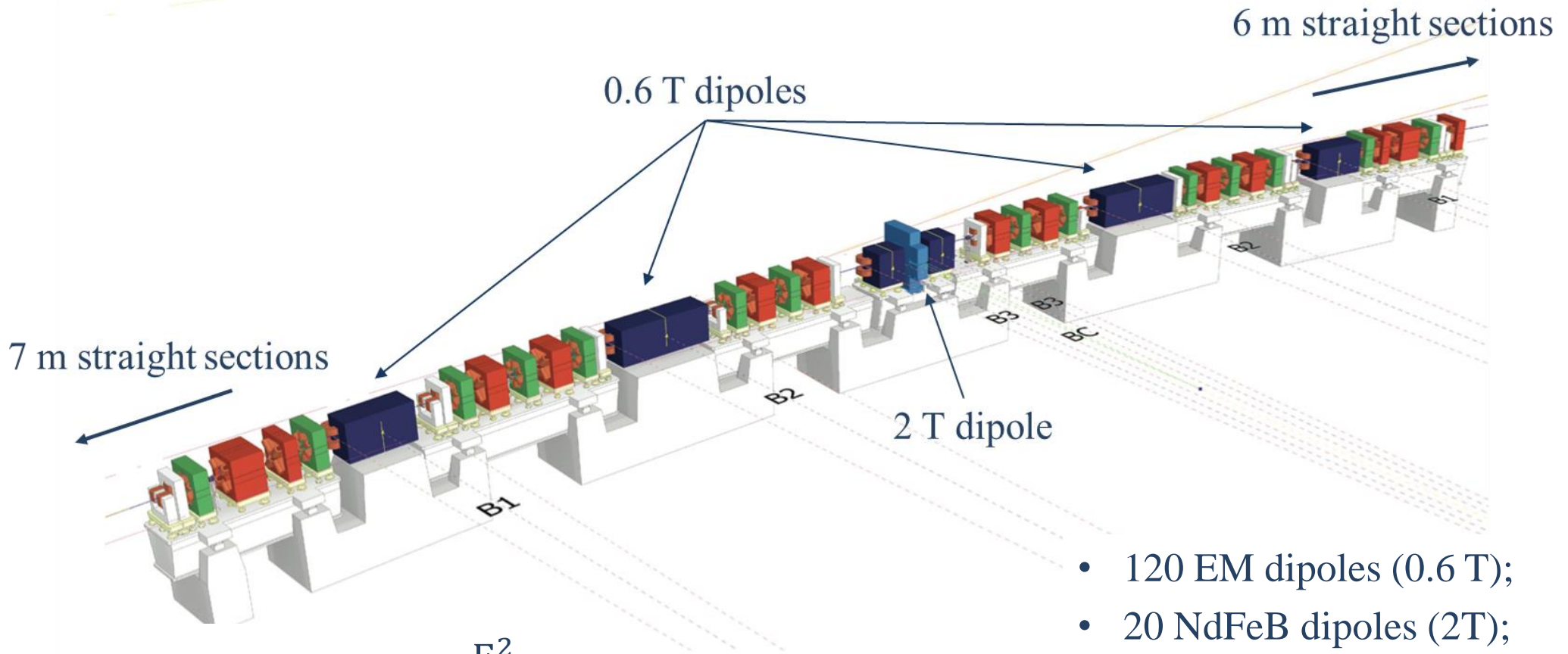
Sirius storage ring parameters

Beam energy	3	[GeV]
Horizontal emittance	280	[pm. rad]
Vertical emittance	2.8	[pm. rad]
Beam current (top up)	100	[mA]
Circumference	518	[m]
Number of bunches	864	[]
Bunch length	10	[ps]
Energy spread	0.1	[%]

Effect of insertion devices



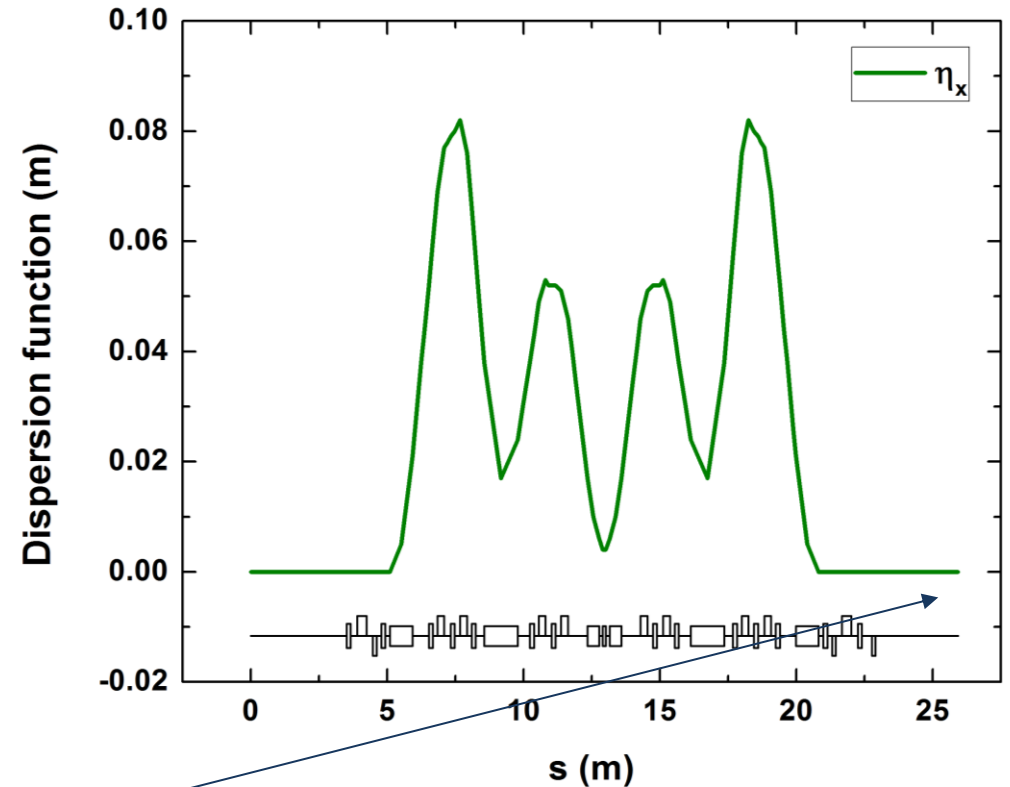
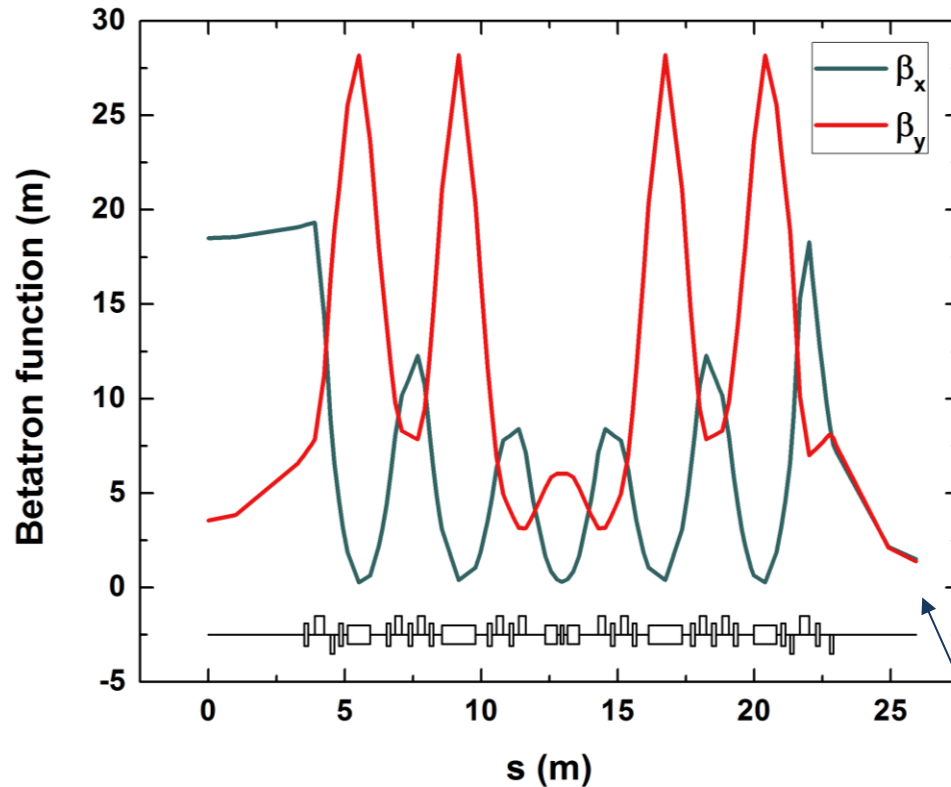
(Liu et al., 2014)



$$\varepsilon = \sigma_e \sigma'_e \propto \frac{E^2}{N_{\text{dipoles}}^3}$$

- 120 EM dipoles (0.6 T);
- 20 NdFeB dipoles (2T);
- 260 quadrupoles;
- 280 sextupoles.

(Liu et al., 2014)



Parameters at the low β straight section:
 $\beta_x = 1.5$ [m] $\beta_y = 1.4$ [m] $\eta = 0$ [m]

(Liu et al., 2014)

In each plane, the relative energy spread σ_E and the emittance ε of the electron beam are related to the following quantities:

$$\sigma_{x,y}^2 = \beta_{x,y} \varepsilon_{x,y} + (\eta_{x,y} \sigma_E)^2$$

$$\sigma_{x',y'}^2 = \gamma_{x,y} \varepsilon_{x,y} + (\eta'_{x,y} \sigma_E)^2$$

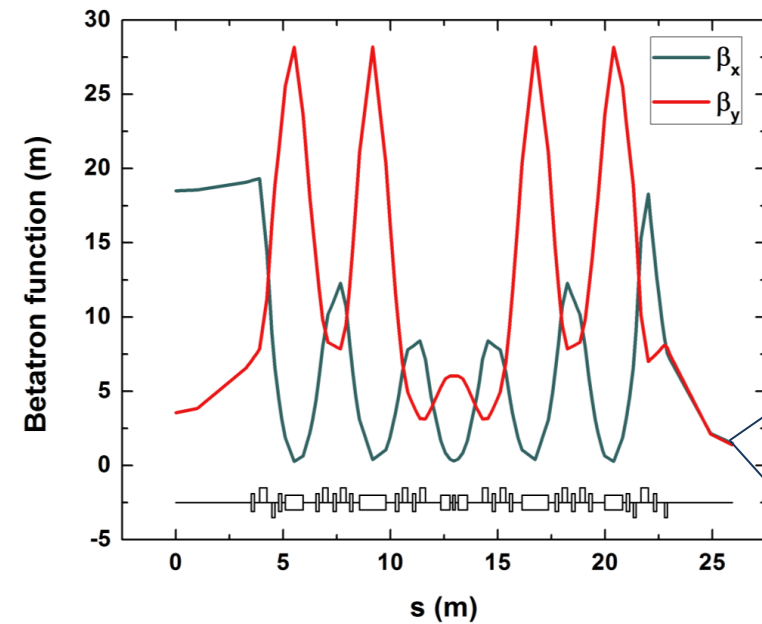
With:

$$\gamma_{x,y} = \frac{1 + \alpha_{x,y}^2}{\beta_{x,y}}$$

$$\alpha_{x,y} = \frac{-\beta'_{x,y}}{2}$$

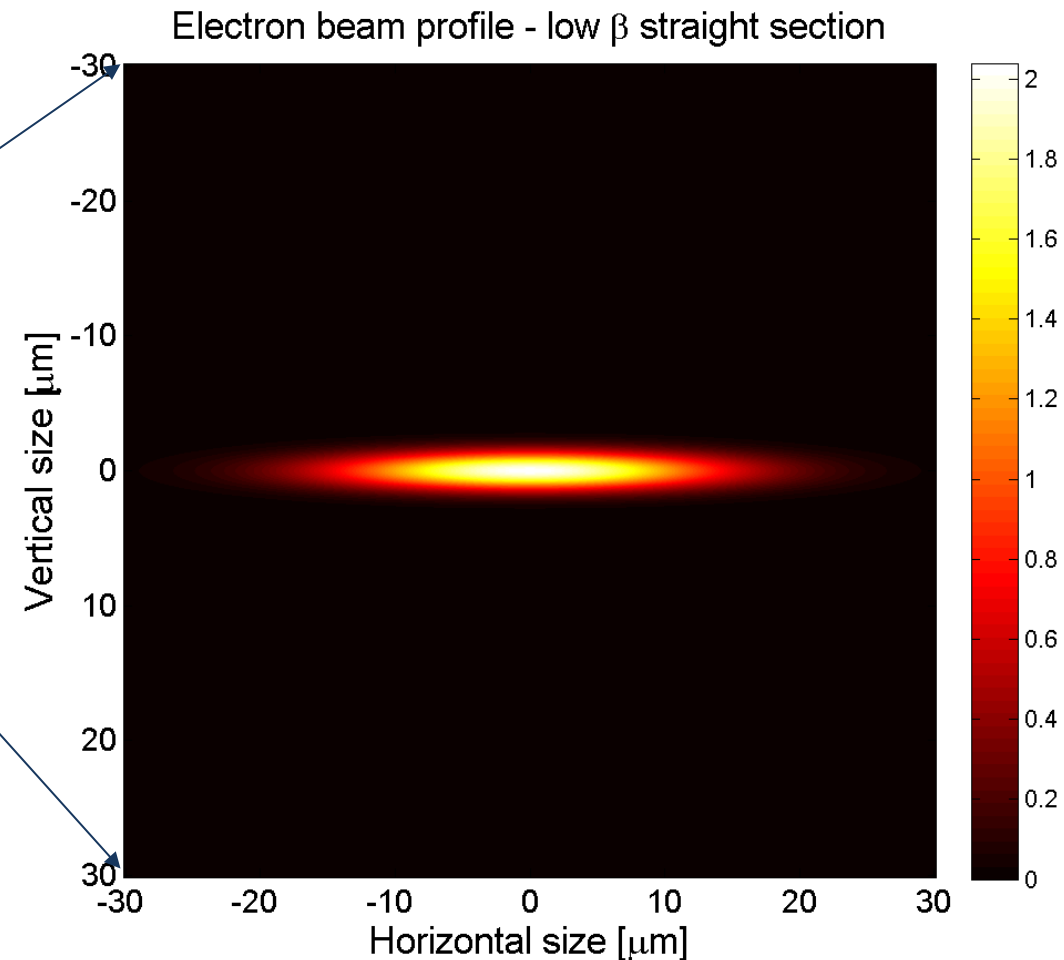
Where $\beta_{x,y}$, $\eta_{x,y}$, $\beta'_{x,y}$, $\eta'_{x,y}$ are the betatron and dispersion functions and their derivatives.

(Courant & Snyder, 1957)



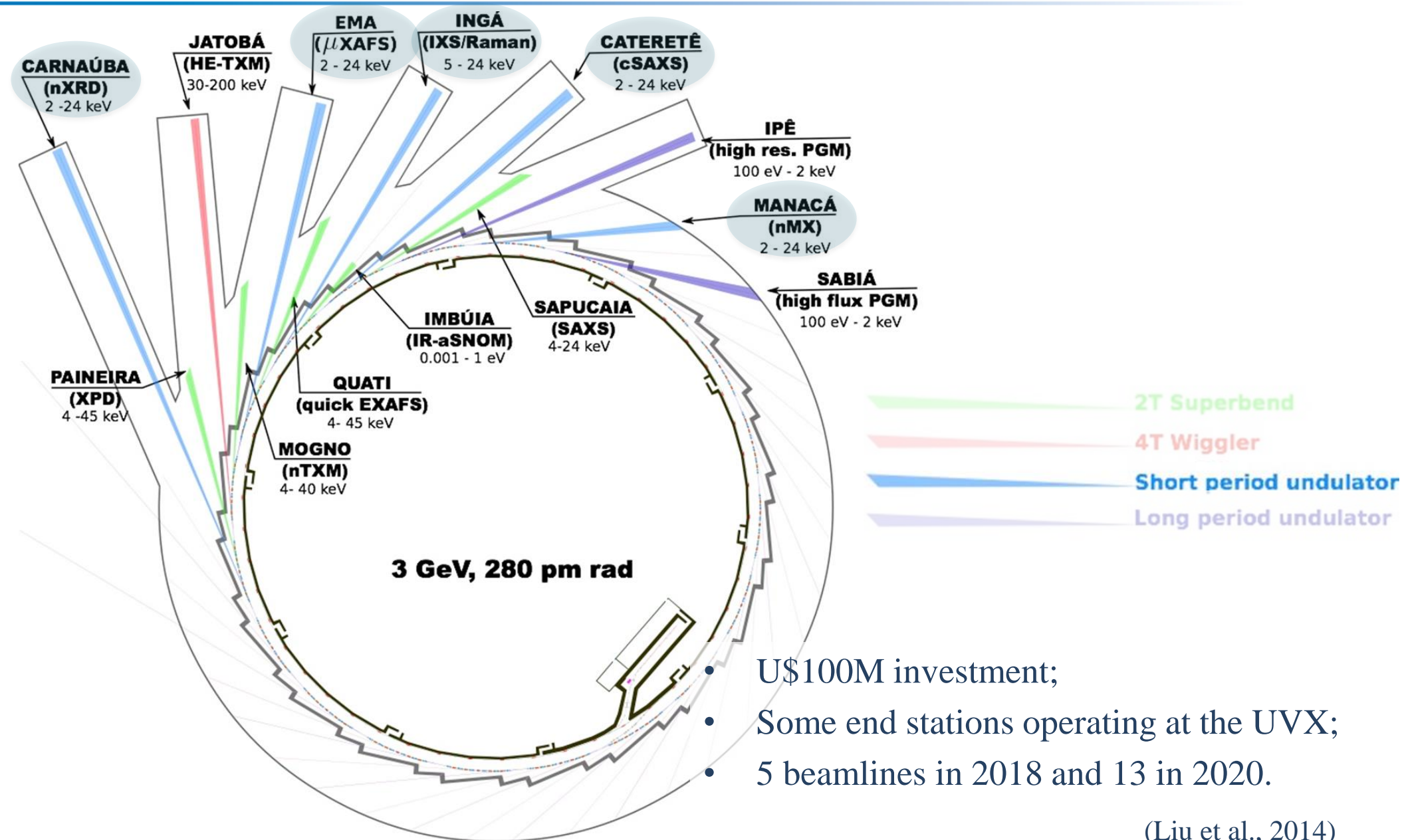
Electron Beam Parameters

σ_x	20.01	$[\mu\text{m}]$
$\sigma_{x'}$	13.40	$[\mu\text{rad}]$
σ_y	1.94	$[\mu\text{m}]$
$\sigma_{y'}$	1.39	$[\mu\text{rad}]$



(Liu et al., 2014)

Sirius beamlines



(Liu et al., 2014)

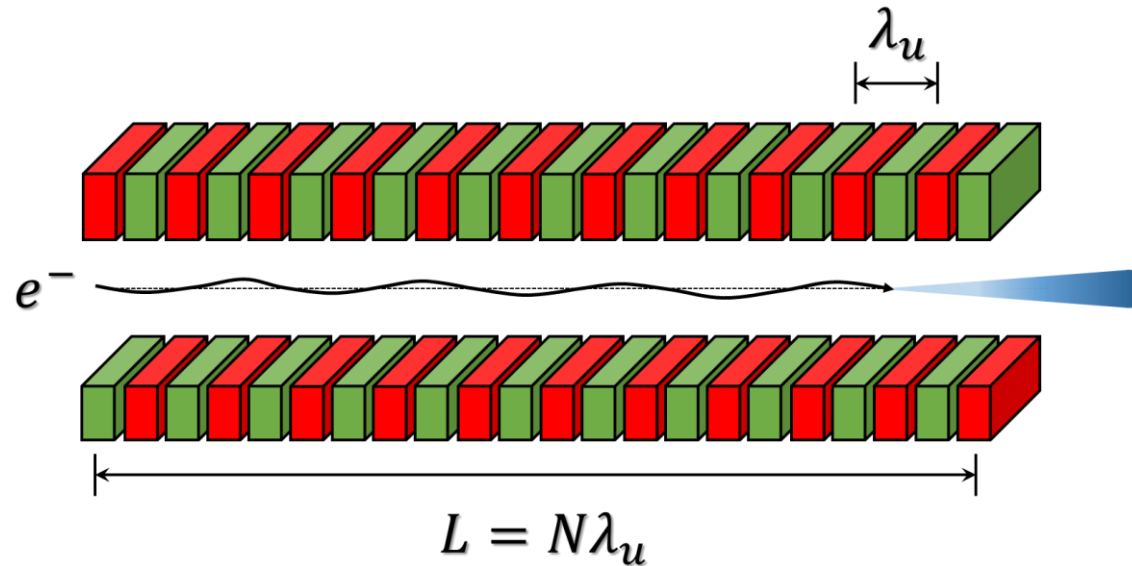
- In vacuum hybrid design;
 - Dy doped (better coercivity) NdFeB with $B_r=1.3$ T ;
- 5 mm minimum (magnetic) gap
 - Important tender edges (P, S, Cl, K, Ca) in the 1st harmonic;
- $\lambda_u=19$ mm for no energy gap between 1st and 3rd harmonics;
- $L = 2$ m is enough for highest brilliance (not much gain for $L > 2$ m);
- Flux demanding applications may need 3-4 m undulators (inelastic scattering).

IUV19 Undulator Parameters

Magnetic field	1.15	[T]
λ_u	19	[mm]
N	105	[periods]
Undulator length	1.995	[m]
K_{max}	2.04	[]
K_{min}	0.40	[]
σ_E	0.083	[%]
# of harmonics*	6	[]

*odd harmonics

Undulator equations



$$K = \frac{eB_0\lambda_u}{2\pi mc}$$

$$\lambda = \frac{\lambda_u}{2n\gamma^2} \left(1 + \frac{K^2}{2} + \gamma^2\theta^2 \right)$$

Relativistic contracted wavelength

Magnetic tuning

Off axis wavelength increase

$$\gamma^2\theta^2 \simeq \frac{\Delta\lambda}{\lambda} = \frac{1}{nN}$$

(Clarke, 2004)

Where λ_u is the magnetic period, N is the number of periods, K is the undulator deflection parameter, B_0 is the peak magnetic field, n is the harmonic number and θ is the observation angle.

The undulator natural source size (σ_{r0}) and divergence ($\sigma_{r'0}$) are given by the following equations:

$$\sigma_{r0} = \frac{\sqrt{2L\lambda}}{4\pi} \quad \sigma_{r'0} = \sqrt{\frac{\lambda}{2L}}$$

The source size ($\Sigma_{x,y}$) and angular divergence ($\Sigma_{x',y'}$) of the photon beam are given by:

$$\Sigma_{x,y} = \sqrt{\sigma_{x,y}^2 + \sigma_{r0}^2} \quad \Sigma_{x',y'} = \sqrt{\sigma_{x',y'}^2 + \sigma_{r'0}^2}$$

where $\sigma_{x,y}$ and $\sigma_{x',y'}$ are the beam size and angular divergence of the electron beam in the horizontal (x) and vertical (y) direction, respectively.

(Kim, 1995)

Let us define the growth factor introduced by the energy spread to the source size (Q_s) and divergence (Q_a).

$$Q_s(x) = 2[Q_a(x/4)]^{2/3}$$

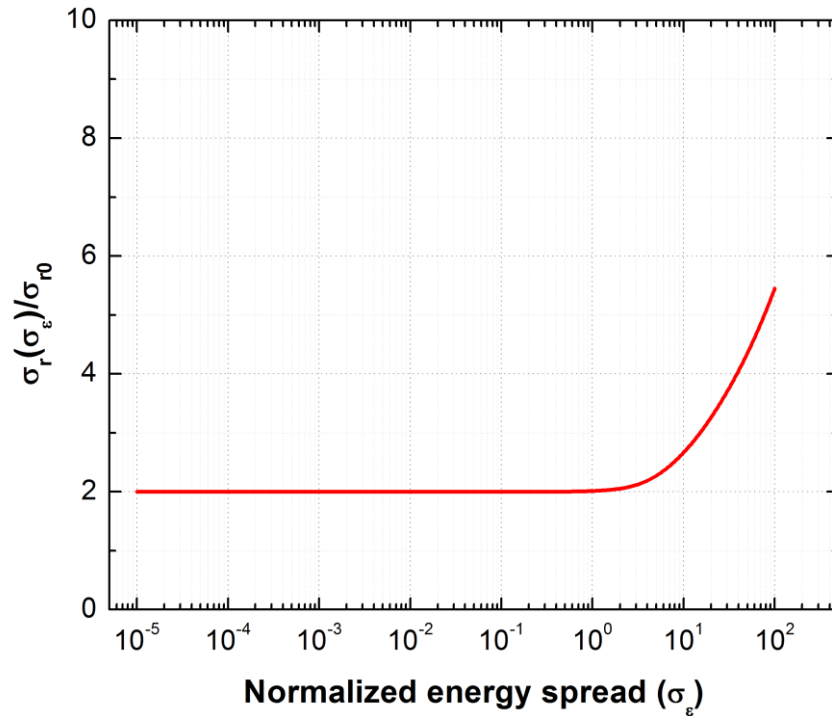
Where Q_a is given by:

$$Q_a(x) = \left[\frac{x^2}{-1 + \exp(-2x^2) + (2\pi)^{1/2} x \operatorname{erf}(2^{1/2}x)} \right]^{1/2}$$

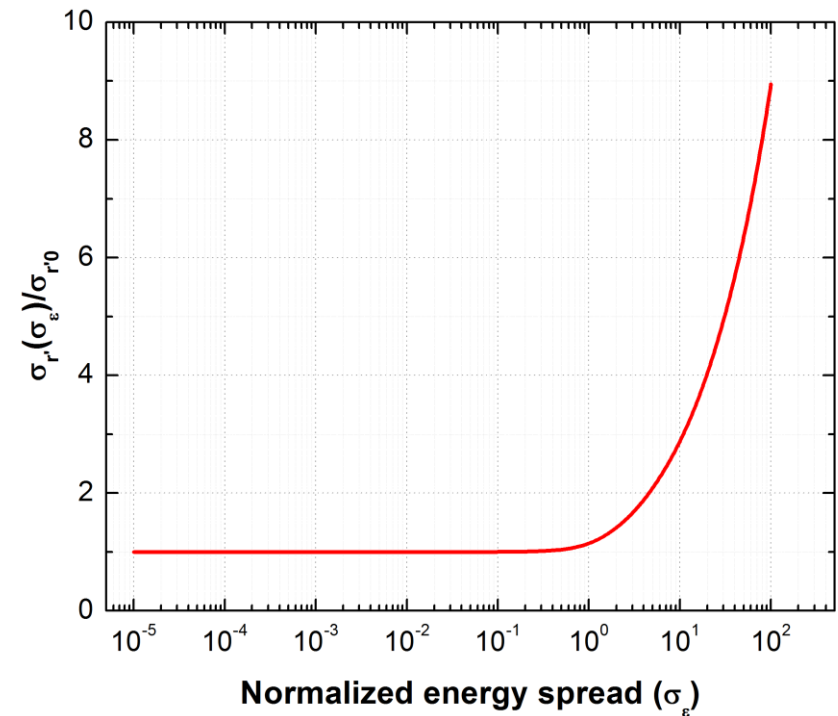
with $\operatorname{erf}(x)$ being the Gaussian error function.

(Tanaka & Kitamura, 2009)

Energy spread effect on source size



Energy spread effect on source divergence



Effects owing to the energy spread of the electron beam on the source size (left image) and on the beam divergence (right image).

Images adapted from (Tanaka & Kitamura, 2009)

Assuming the energy distribution to be a Gaussian function with the standard deviation σ_E , we introduce a normalized energy spread σ_ϵ defined as:

$$\sigma_\epsilon = 2\pi n N \sigma_E$$

Beams with a high energy spread produce in a conventional undulator photon beams with a high wavelength spread.

(Tanaka & Kitamura, 2009)

Applying the broadening factors to the spatial and angular profiles of the undulator radiation, one obtains:

$$\sigma_r(\sigma_\epsilon) = \sigma_{r0} Q_s(\sigma_\epsilon)$$

$$\sigma_{r'}(\sigma_\epsilon) = \sigma_{r'0} Q_a(\sigma_\epsilon)$$

Which leads to the revised equations for the spatial and angular profiles of the undulator.

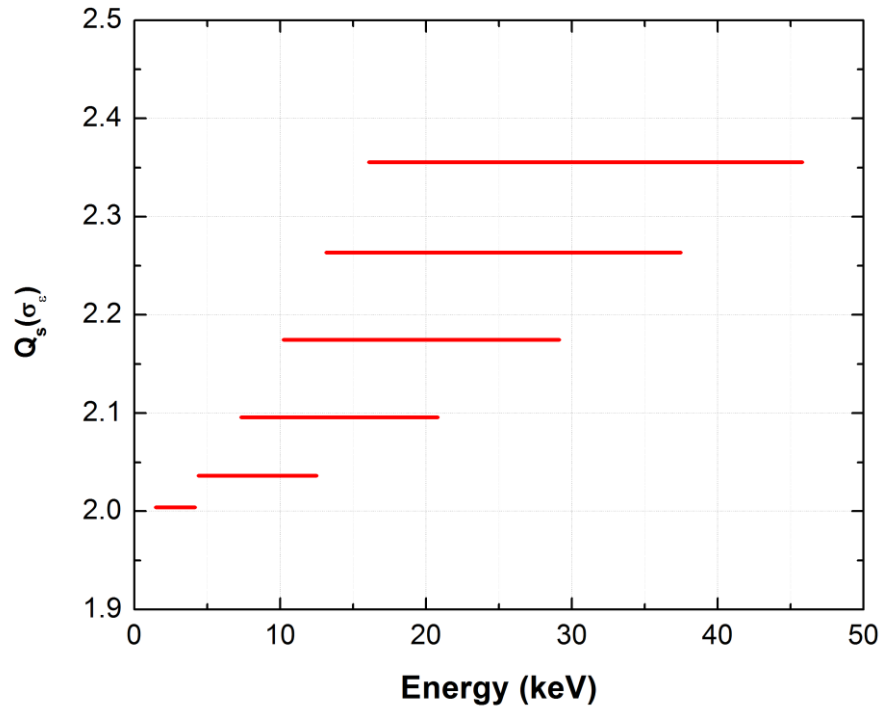
$$\Sigma_{x,y} = \sqrt{\sigma_{x,y}^2 + \sigma_r^2}$$

$$\Sigma_{x',y'} = \sqrt{\sigma_{x',y'}^2 + \sigma_{r'}^2}$$

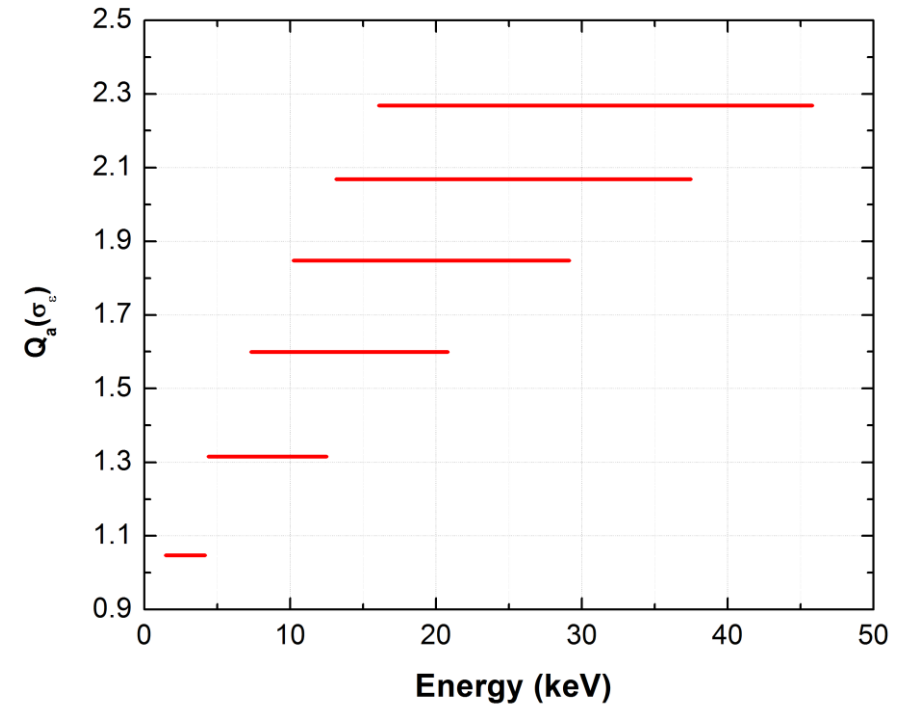
Note that the argument σ_ϵ was omitted for simplicity.

(Tanaka & Kitamura, 2009)

Growth factor Q_s

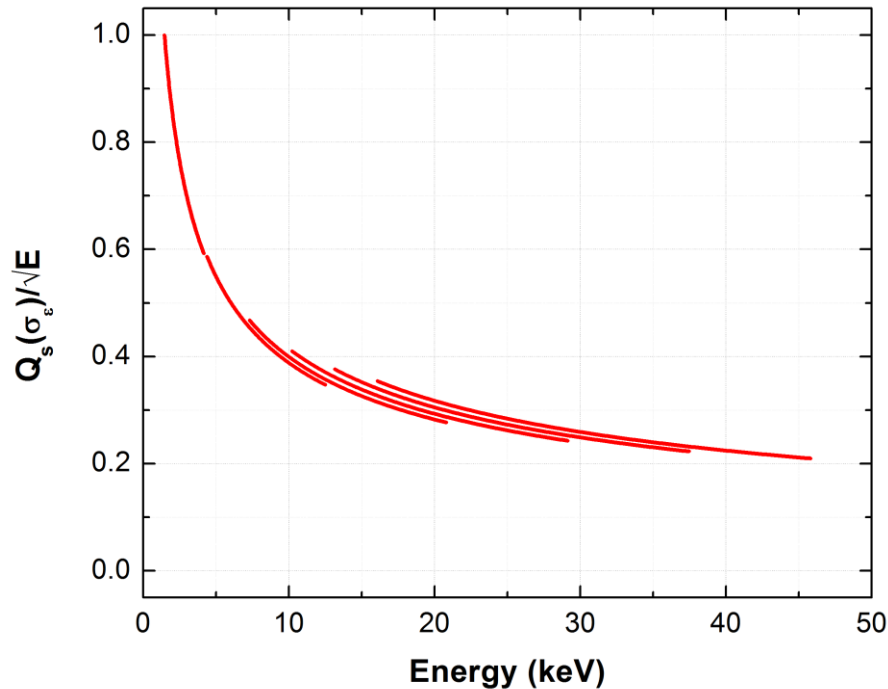


Growth factor Q_a

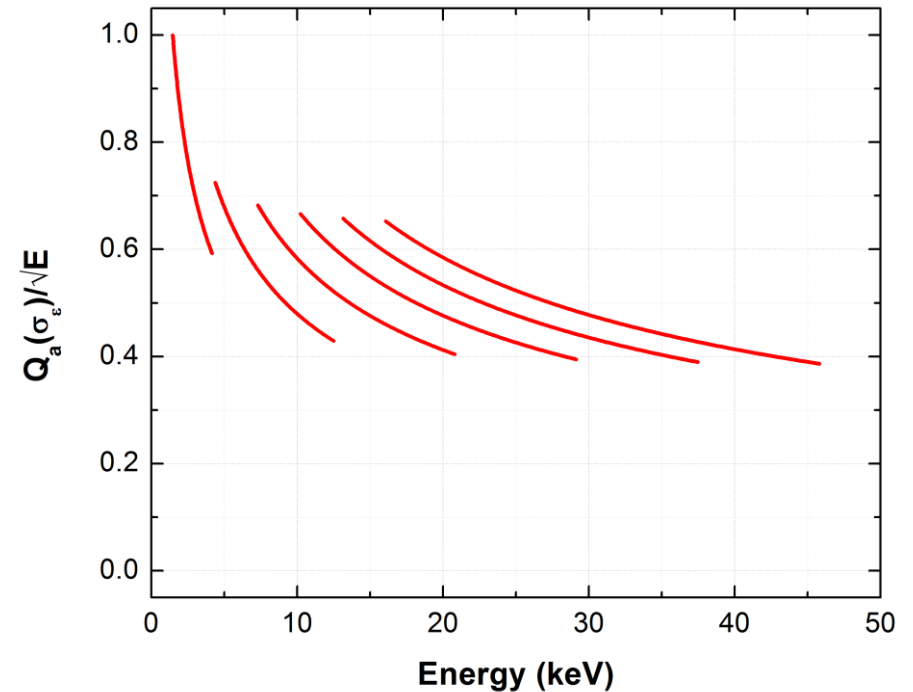


Growth factors calculated for the source size (left image) and on the beam divergence (right image). Calculations were held for the IVU19.

Energy spread influence on the source size



Energy spread influence on the source divergence



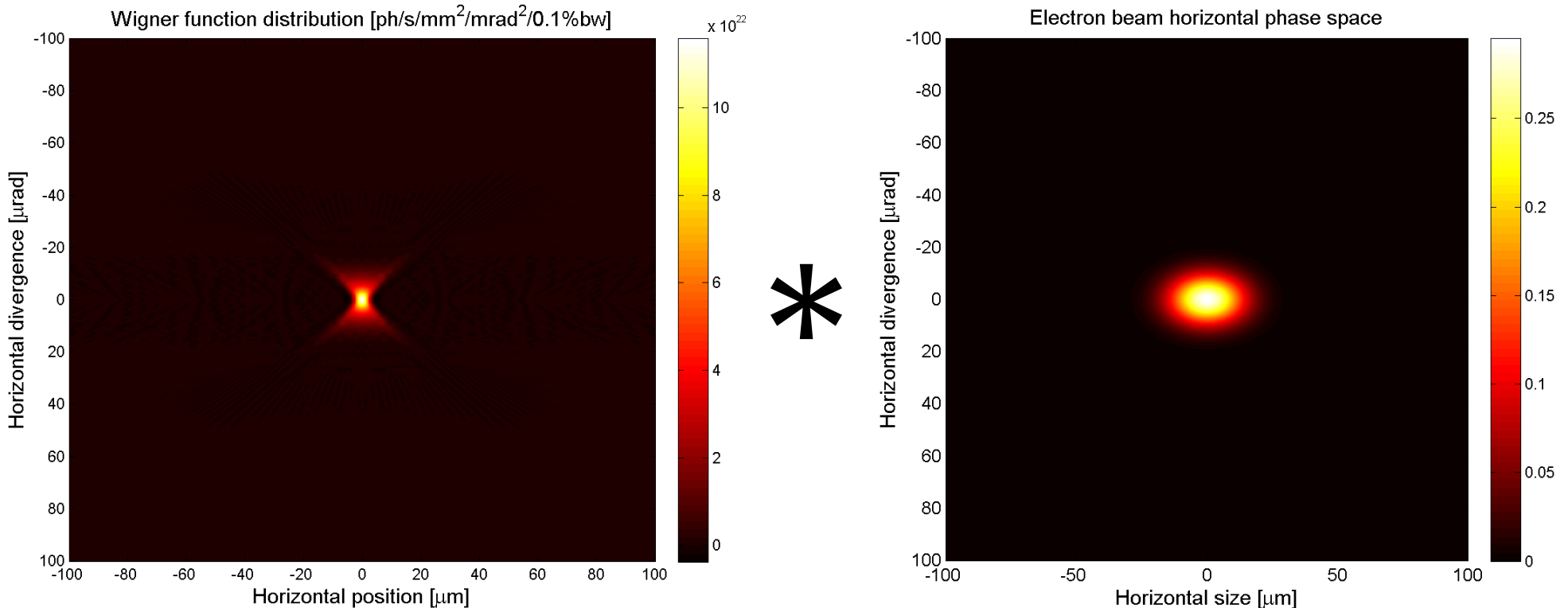
Effects owing to the energy spread of the electron beam on the source size (left image) and on the beam divergence (right image). Calculations were held for the IVU19.

When the e^- beam and undulator natural emittances are comparable, the brilliance of the source is better represented by the Wigner distribution:

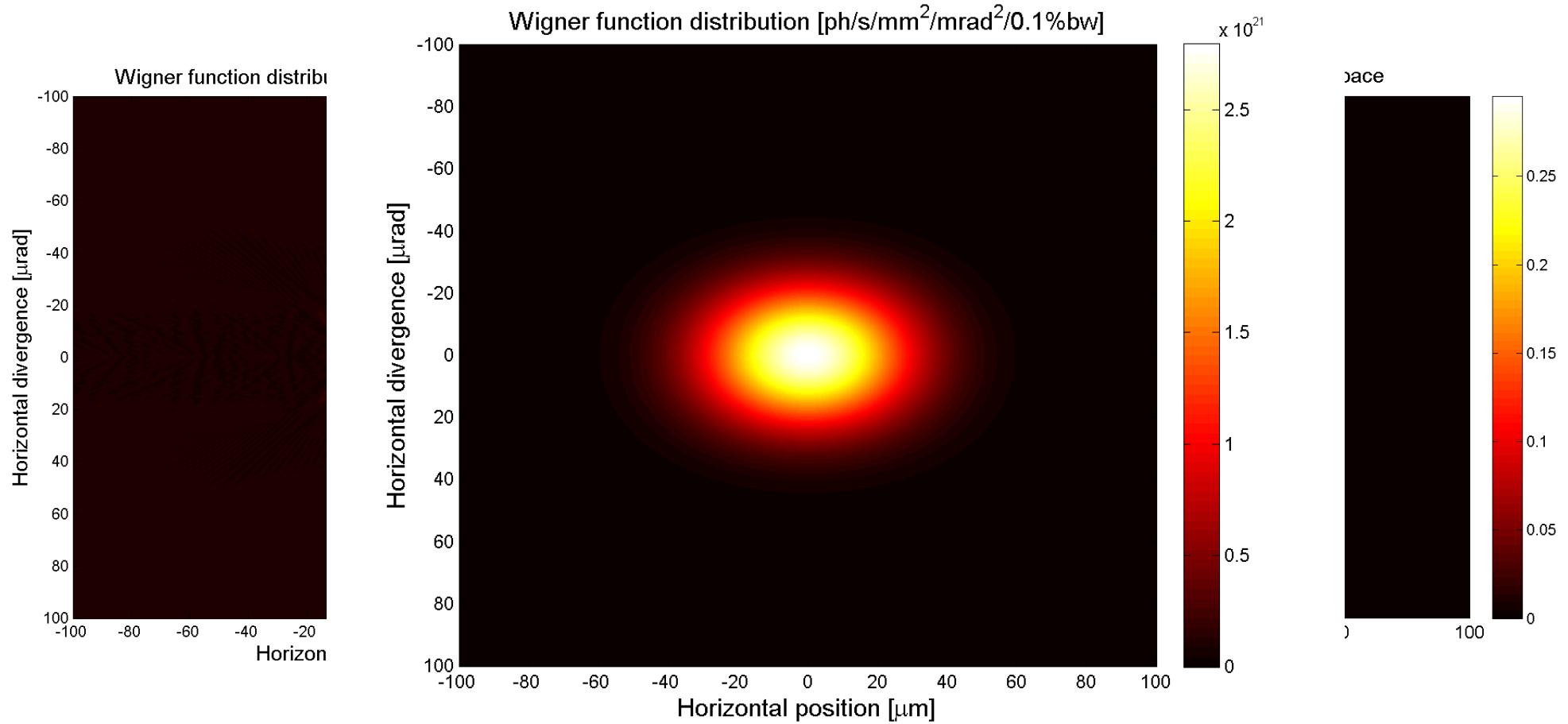
$$W(\mathbf{r}, \boldsymbol{\theta}) = N_e \iint W_0(\mathbf{r} - \mathbf{r}_e, \boldsymbol{\theta} - \boldsymbol{\theta}_e; \delta_e) \\ \times P(\mathbf{r}_e, \boldsymbol{\theta}_e, \delta_e) d^2\mathbf{r}_e d^2\boldsymbol{\theta}_e d\delta_e$$

Which is the convolution between pure Wigner distribution function of the photons (first term of the integral) and classical Wigner distribution function of the electrons (second term of the integral).

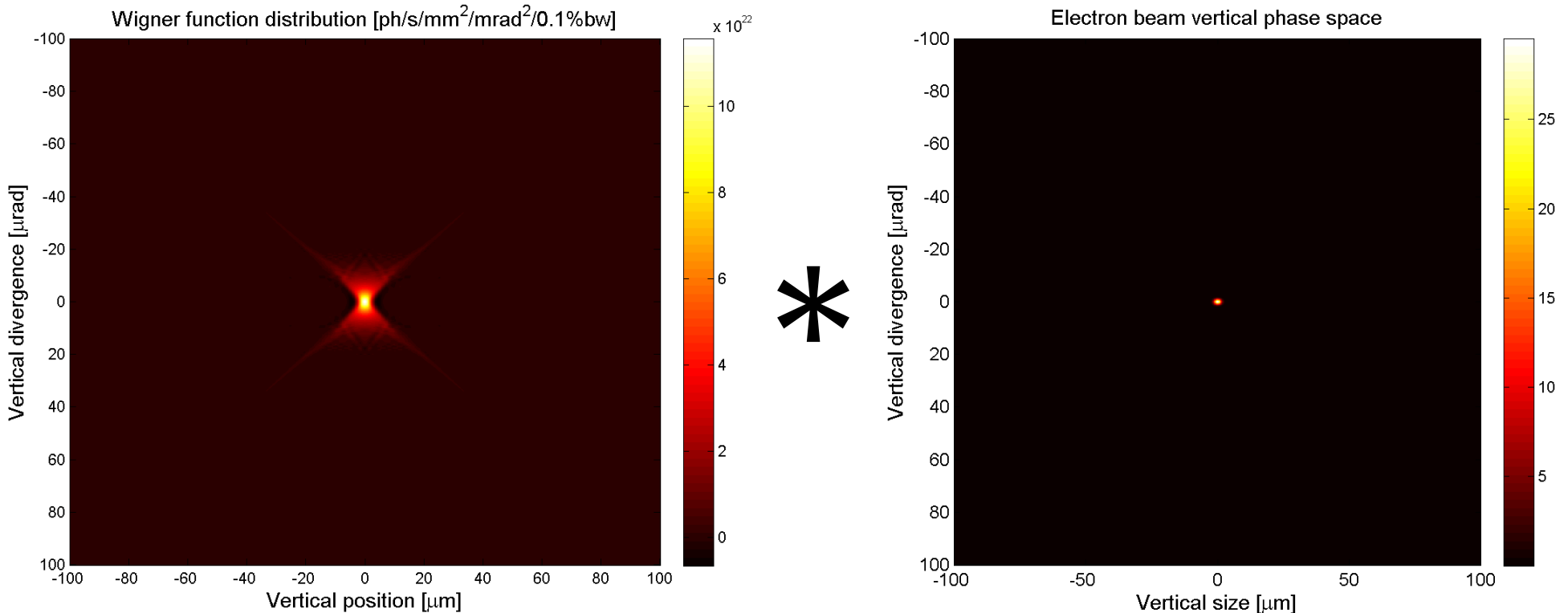
(Bazarov, 2012) and (Tanaka, 2014)



Horizontal Wigner distribution of the pure undulator IVU19 (left image) and the horizontal electron beam phase space (right image). Calculations were held using SPECTRA 10.1 for the 5th harmonic at $K = 2.04$ ($E_{5\text{th}} = 7.3 \text{ keV}$).



Convolution between the horizontal Wigner distribution and the horizontal electron phase space for the IVU19. Calculations were held using SPECTRA 10.1 for the 5th harmonic at $K = 2.04$ ($E_{5th} = 7.3$ KeV).



Vertical Wigner distribution of the pure undulator IVU19 (left image) and the vertical electron beam phase space (right image). Calculations were held using SPECTRA 10.1 for the 5th harmonic at $K = 2.04$ ($E_{5\text{th}} = 7.3$ keV).

Synchrotron Radiation Workshop (SRW) – ESRF – France

O. Chubar, P. Elleaume, "Accurate And Efficient Computation Of Synchrotron Radiation In The Near Field Region", proc. of the EPAC98 Conference, 22-26 June 1998, p.1177-1179.

SPECTRA – SPring-8 – Japan

T. Tanaka, H. Kitamura, “SPECTRA: a synchrotron radiation calculation code”, J. Synchrotron Rad., 2001, vol. 8, p.1221-1228.

SR_LNLS – LNLS – Brazil

R. Celestre, B. Meyer. Homemade MATLAB code developed by the X-Ray Optics Group based on the revised equations presented before.

SR_LNLS_GUI

SR - LNLS - v1.0.0b

Storage Ring Parameters

Ring energy [GeV]	3.00
Ring current [mA]	500
Lorentz factor	5871
Natural emittance [m.rad]	0.272e-9
Coupling constant [%]	1.00
Energy spread [%]	0.083
Hor. betatron func. [m]	1.5
Ver. betatron func. [m]	1.4

Electron Beam Parameters

Horizontal size [μm]	20.0988
Horizontal div. [μrad]	13.3992
Vertical size [μm]	1.94173
Horizontal div. [μrad]	1.38695

Undulator Parameters

Magnetic field [T]	1.15
Magnetic period [mm]	19.0
Gap [mm]	5
Number of periods	105
Number of odd harmonics	6
Undulator length [m]	1.995
Deflexion parameter K	2.04
Min. K value	0.4
1st harm. energy [keV]	1.461
Total power [kW]	7.512

Calculations

- ☐ Magnetic profiles
- ☐ Electron beam characteristics
- ☐ Photon beam characteristics
- ☐ Photon flux [Ph/s/1%BW]
- ☐ Brilliance [Ph/s/mm²/mrad²/0.1%BW]
- ☐ Coherent flux [Ph/s/1%BW]

Output files

- ☐ Graphs
- ☐ "Per harmonic" text files
- ☐ "Per calculation" text files

Simulation parameters

Dist. from the source [m]	0
Initian energy [keV]	1
Final energy [keV]	50
Energy step [eV]	0.5

Run

User interface from the SR_LNLS. Simulation parameters are given through text boxes and are grouped into 6 categories:

- Storage ring parameters;
- Electron beam parameters;
- Undulator parameters;
- Calculations:
 - Magnetic profiles;
 - Electron beam;
 - Photon beam;
- Output files:
 - Graphs;
 - Text files;
- Simulation parameters.

9-May-2015 11:23:13

Planar Undulator Calculations

Storage Ring Parameters:

Ring Energy:	3.00	[GeV]
Ring Current:	500	[mA]
Gamma:	5870.8543	
Natural emittance:	2.720000e-010	[m.rad]
Coupling factor:	1	[%]
Energy spread:	8.300000e-002	[%]
Hor_beta:	1.500000e+000	[m]
Ver_beta:	1.400000e+000	[m]

E-beam parameters (sigma):

Hor_size:	2.009877e+001	[um]
Hor_div:	1.339918e+001	[urad]
Ver_size:	1.941725e+000	[um]
Ver_div:	1.386947e+000	[urad]

Undulator parameters:

Magnetic field:	1.150000e+000	[T]
Magnetic period:	19	[mm]
Number of periods:	105	[]
Undulator Length:	1.995000e+000	[m]
1st harmonic energy:	1.460468e+000	[keV]
K max:	2.040198e+000	[]
K min:	4.000000e-001	[]
Total Power:	7.511786e+000	[kW]

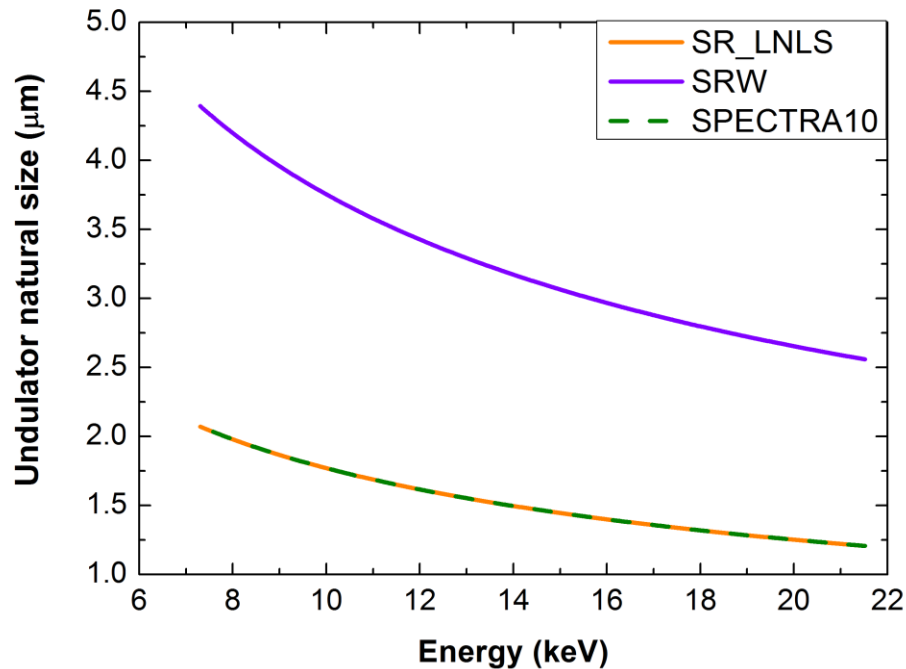
Simulation parameters:

Dist. to the source:	0.00	[m]
Initial energy:	1.00	[keV]
Final Energy:	50.00	[keV]
Energy step:	10.00	[ev]

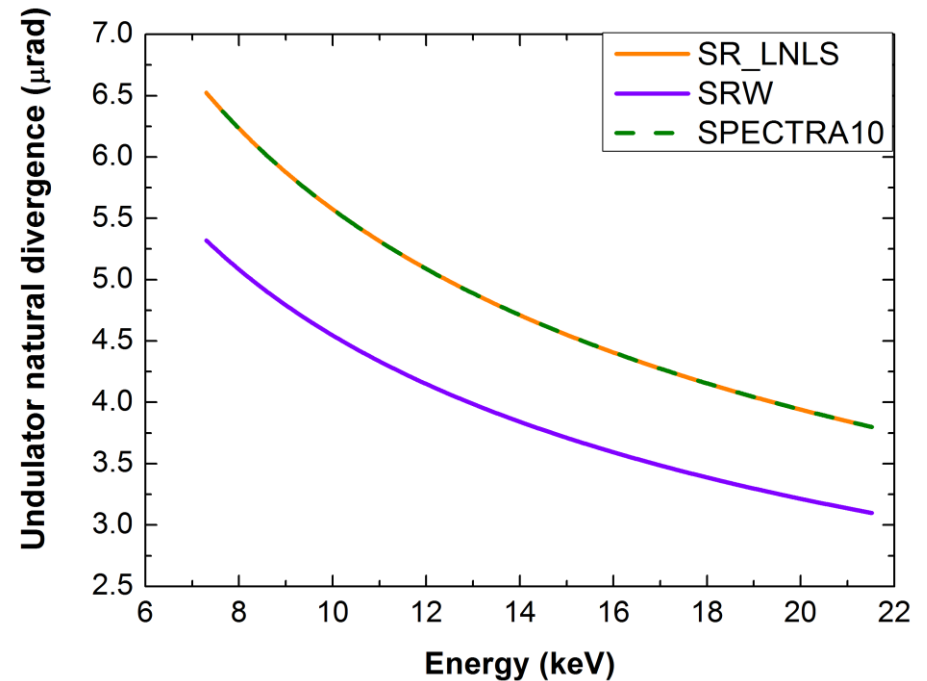
Were the first means of benchmarking, since they offer direct and clear results. Each program has an option for generating optical parameters given a number of odd harmonics and a K range.

The following calculations (tunning curves) were held for the 5th harmonic of the IVU19, that ranges from $E_{5\text{th}}|_{K=2.04} = 7.30 \text{ keV}$ to $E_{5\text{th}}|_{K=0.3} = 21.523 \text{ keV}$.

IVU19 - σ_{r0}

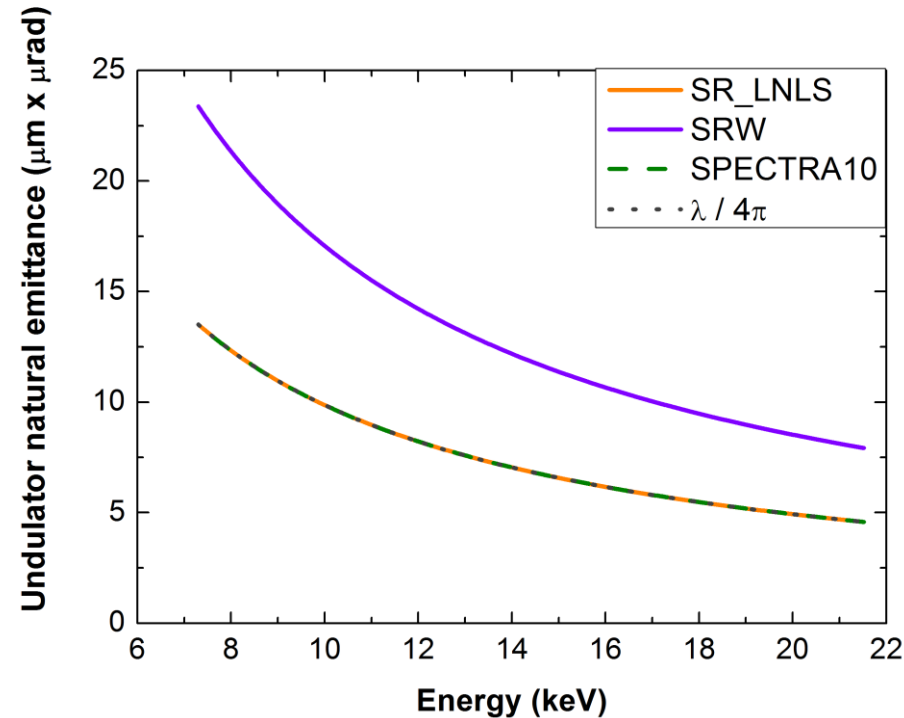


IVU19 - $\sigma_{r'0}$



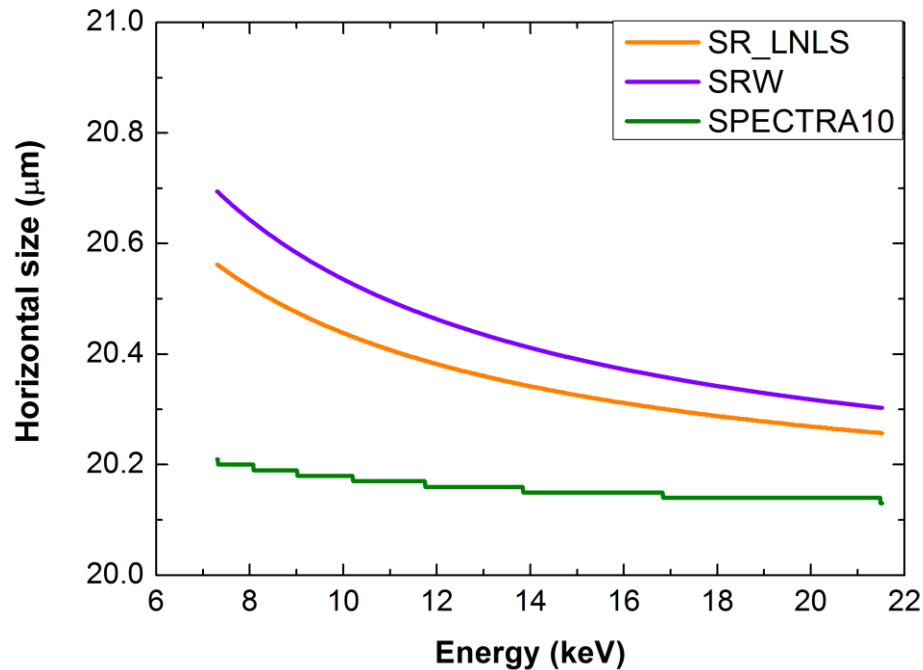
Direct comparisons between the undulator natural source size (left image) and the undulator natural source divergence (right image).

IVU19 – natural emittance

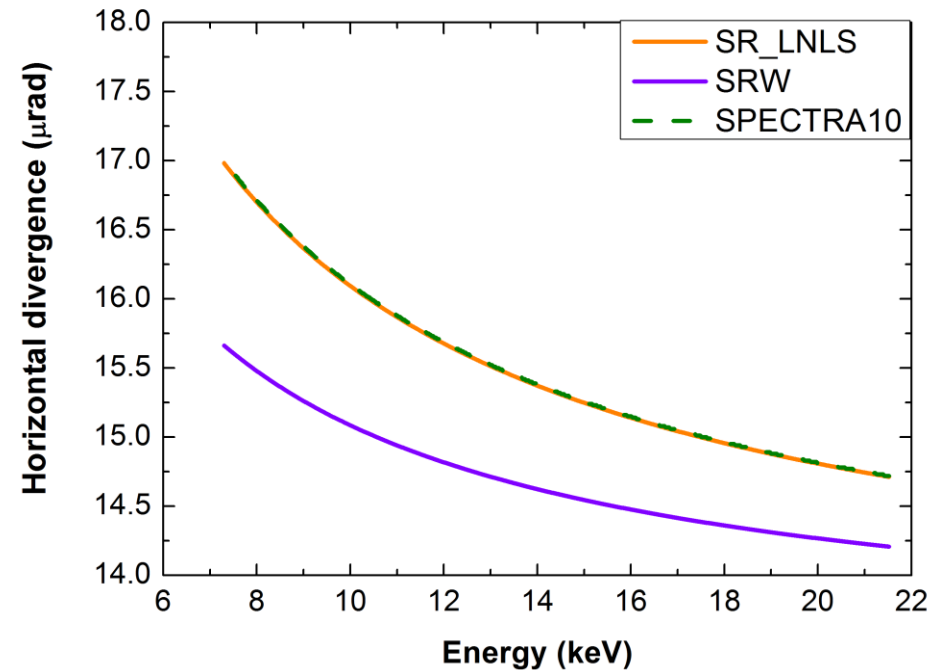


Comparisons between the undulator natural emittance and the theoretical diffraction limited source value.

IVU19 - Σ_x

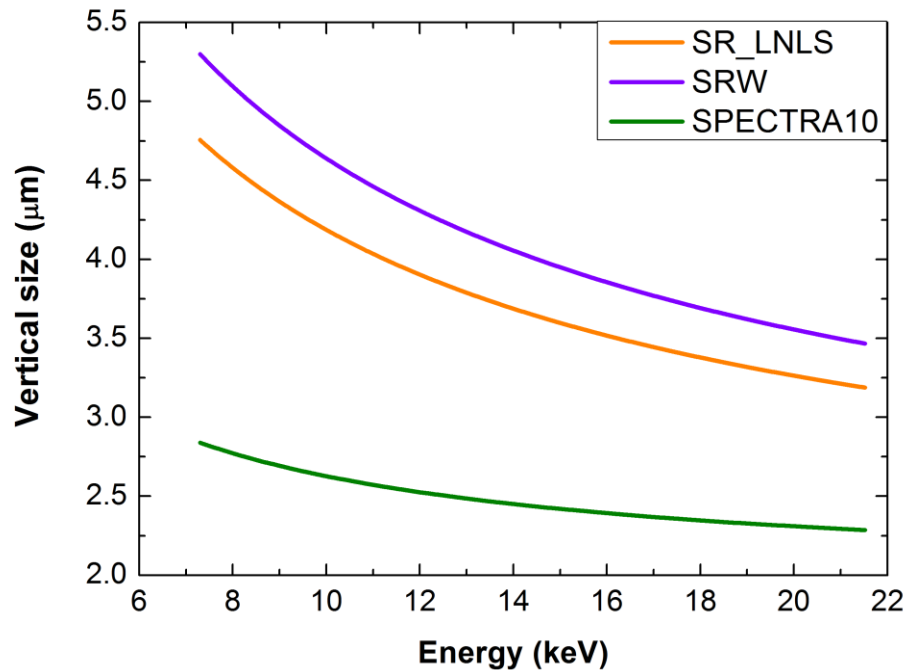


IVU19 - $\Sigma_{x'}$

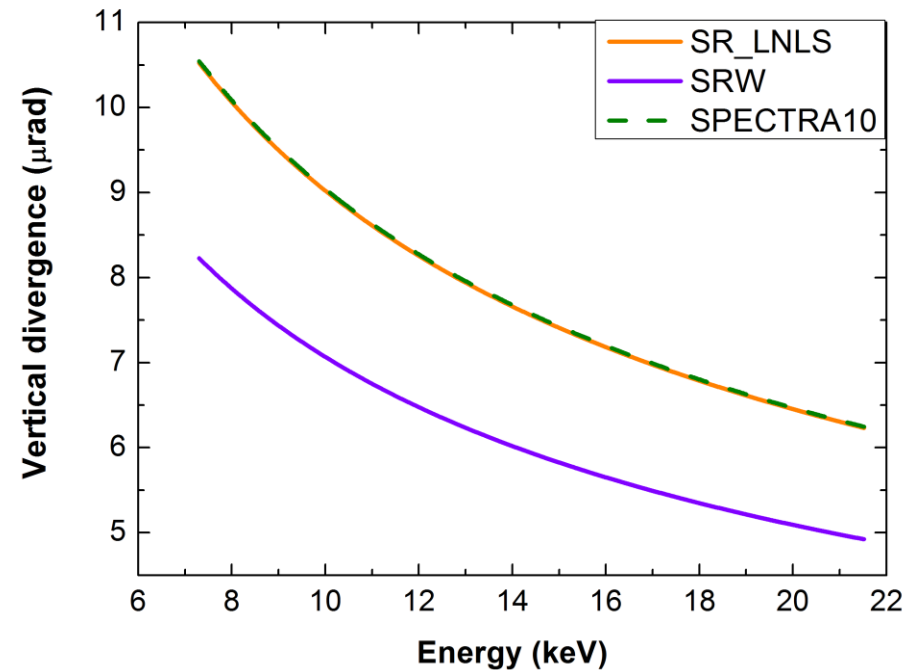


Direct comparisons between the undulator horizontal source size (left image) and the undulator horizontal source divergence (right image).

IVU19 - Σ_y

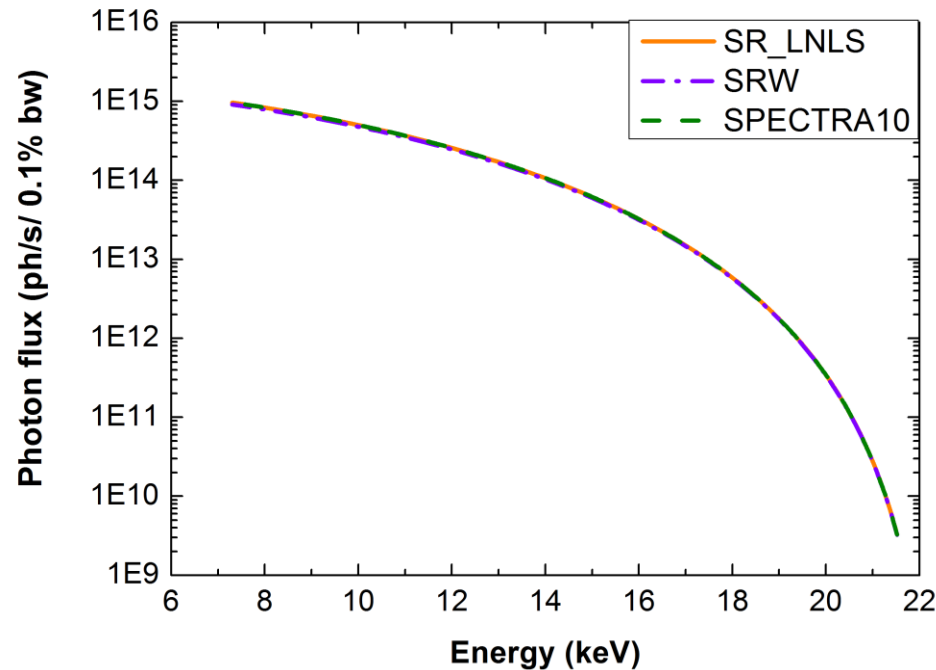


IVU19 - $\Sigma_{y'}$

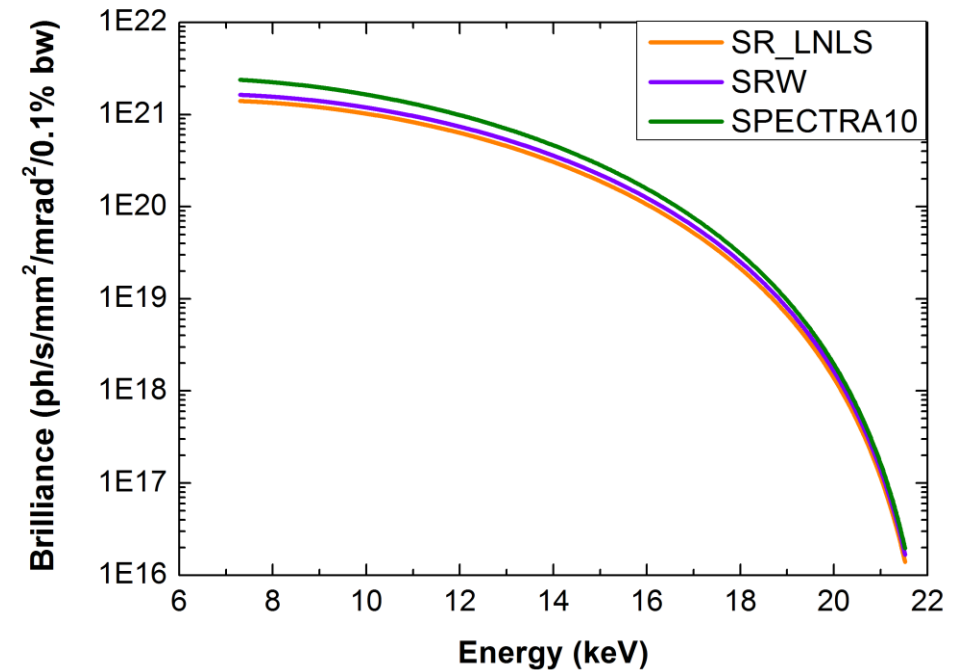


Direct comparisons between the undulator vertical source size (left image) and the undulator vertical source divergence (right image).

IVU19 – photon flux



IVU19 – brilliance



Direct comparisons between the photon flux (left image) and the undulator brilliance (right image).

The Wigner function is the quasi-probability distribution of the photons at the phase space, which is the generic definition of brilliance:

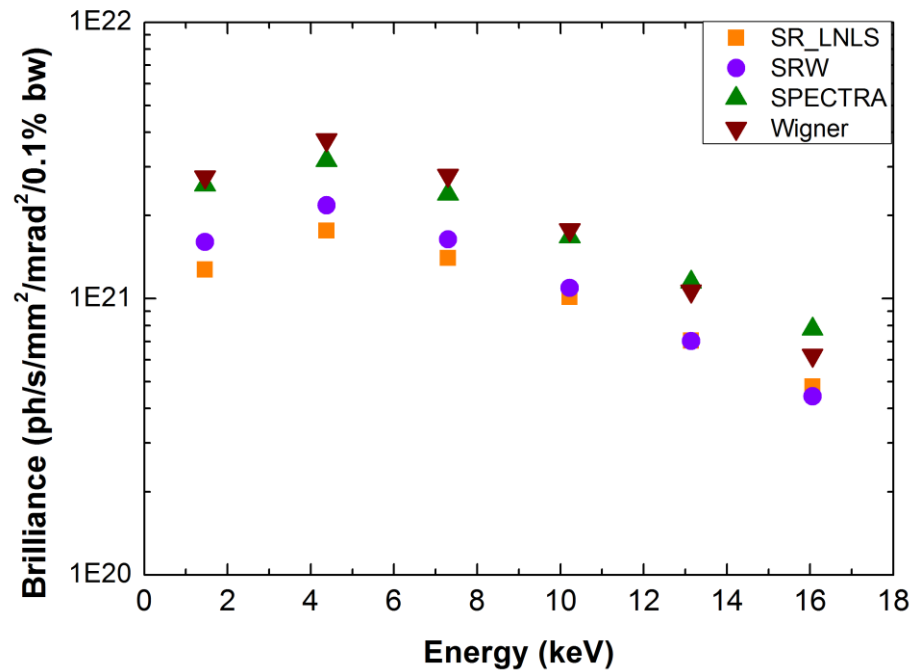
$$\mathfrak{B}(x, y, x', y') \equiv W(x, y, x', y')$$

Thus, by calculation of the Wigner function one obtains not only brilliance values, but also optical parameters such as source size and divergence.

The following calculations were held for the first six odd harmonics of the IVU19 at a $K = 2.04$ with the SPECTRA10.1.

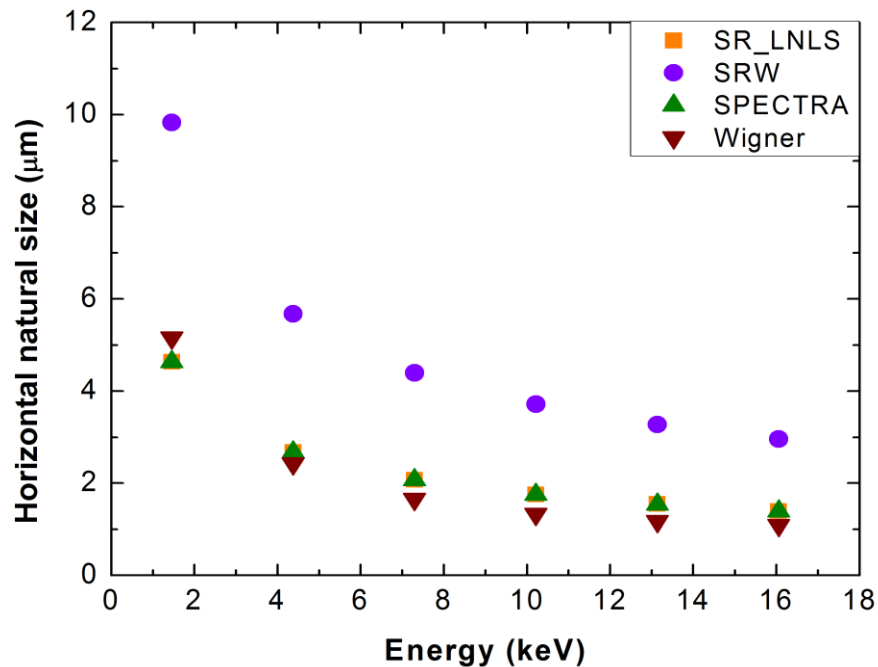
(Bazarov, 2012) and (Tanaka, 2014)

IVU19 – brilliance

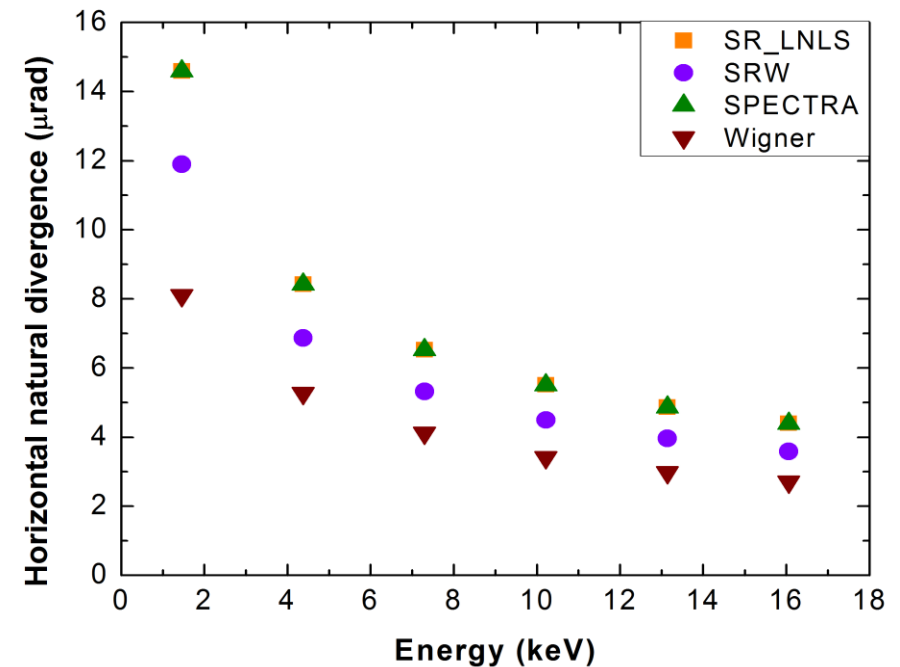


Comparisons between the brilliance values found with the benchmarking codes and the one generated with the calculation of the Wigner function.

IVU19 - σ_{r0}

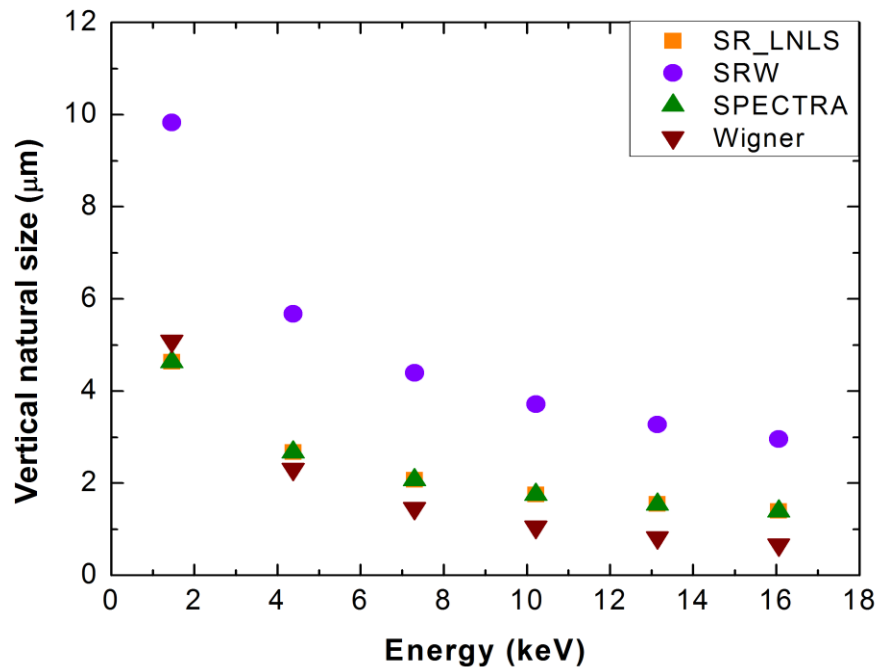


IVU19 - $\sigma_{r'0}$

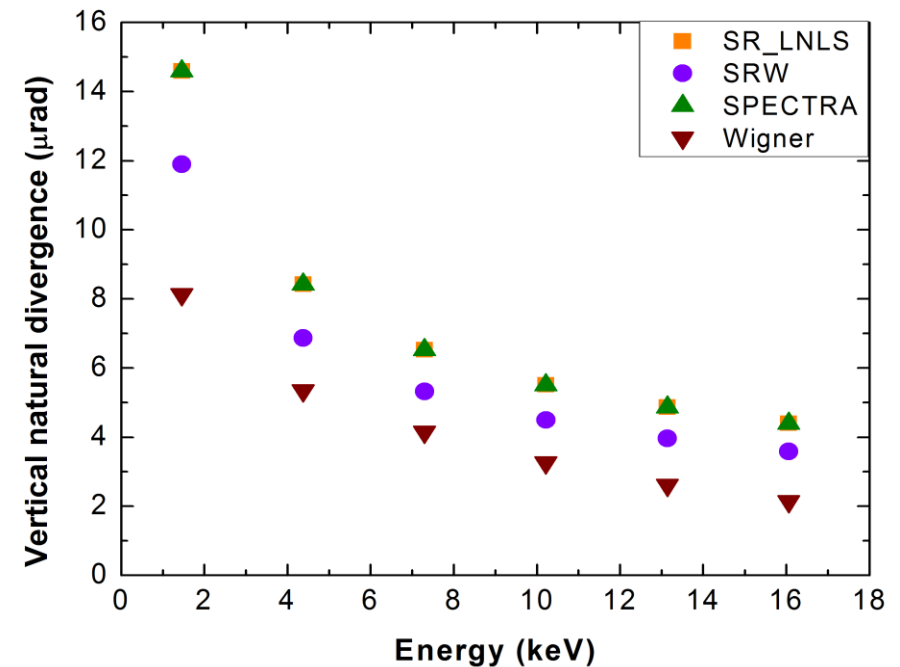


Comparisons between the undulator natural source size (left image) and the undulator natural source divergence (right image).

IVU19 - σ_{r0}

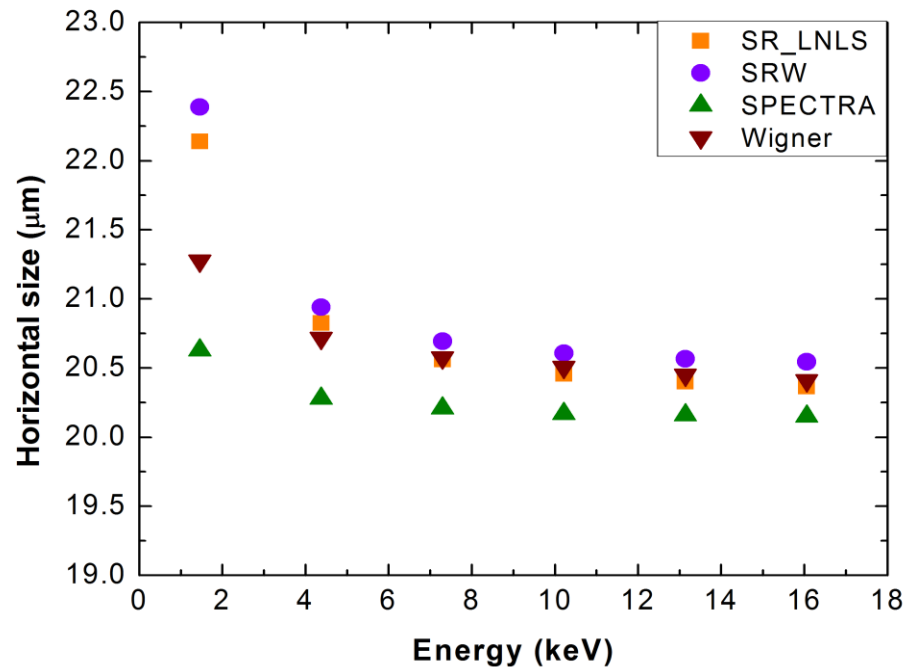


IVU19 - $\sigma_{r'0}$

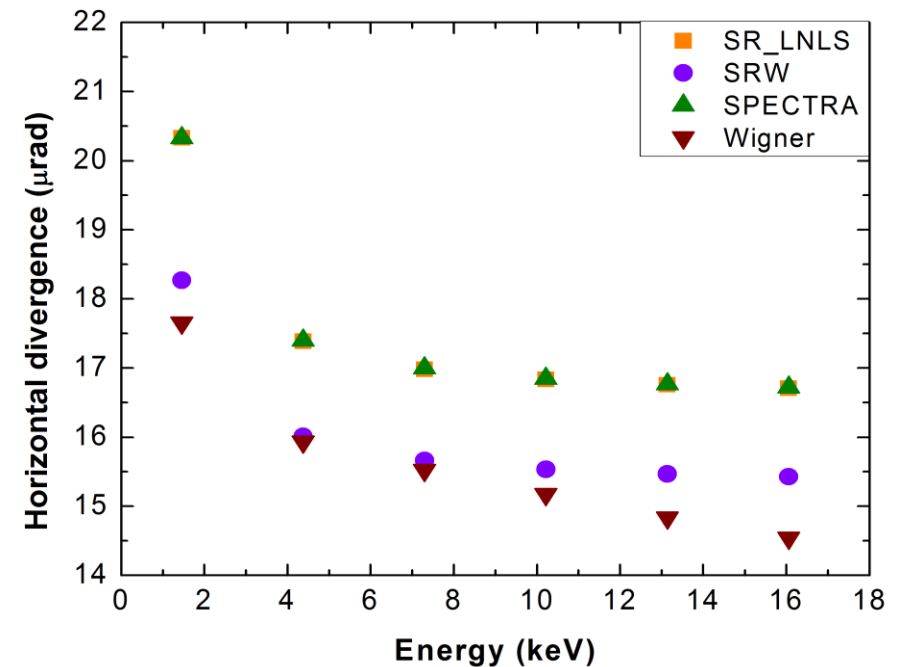


Comparisons between the undulator natural source size (left image) and the undulator natural source divergence (right image).

IVU19 - Σ_x

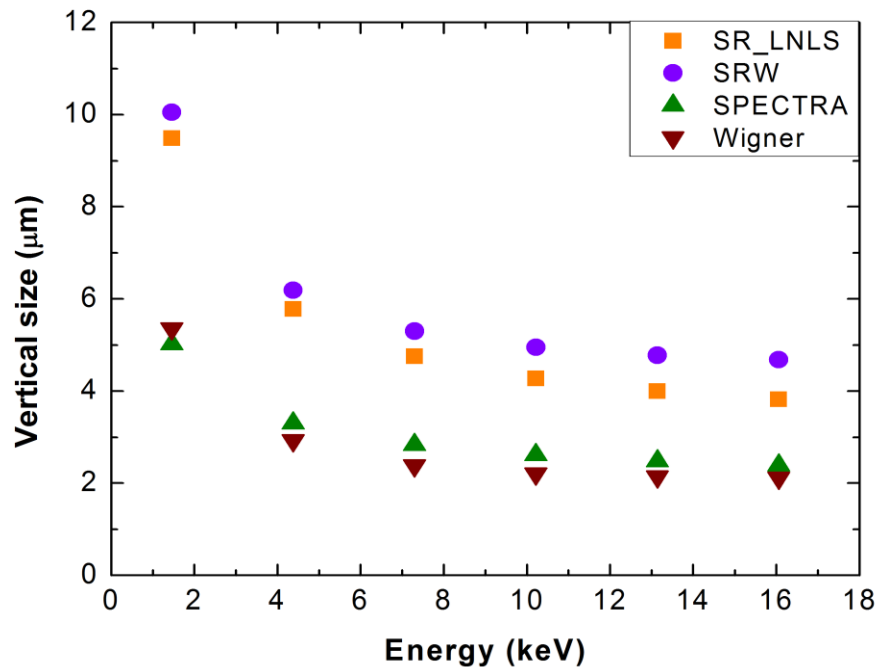


IVU19 - $\Sigma_{x'}$

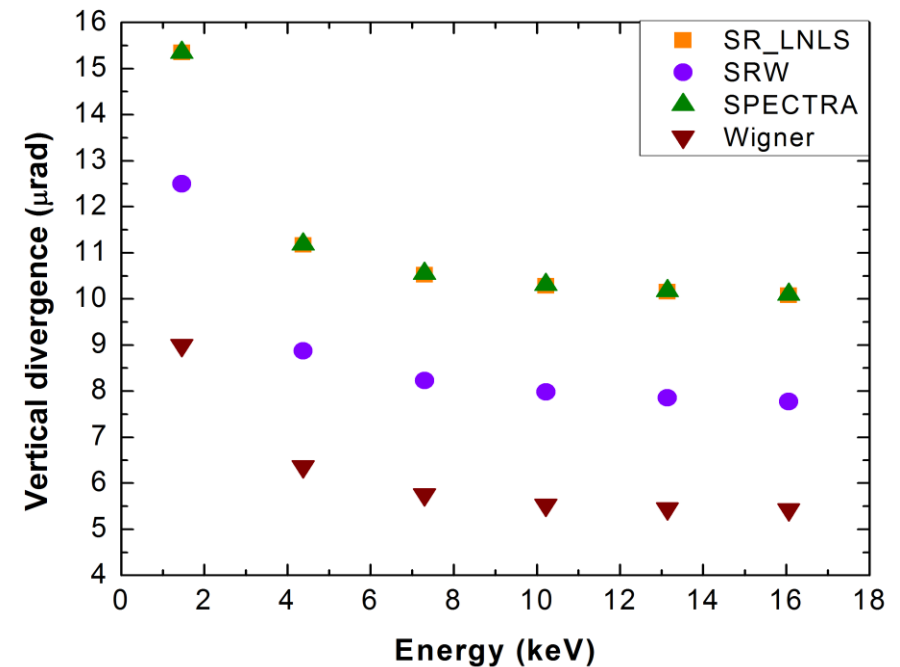


Comparisons between the undulator horizontal source size (left image) and the undulator horizontal source divergence (right image).

IVU19 - Σ_y

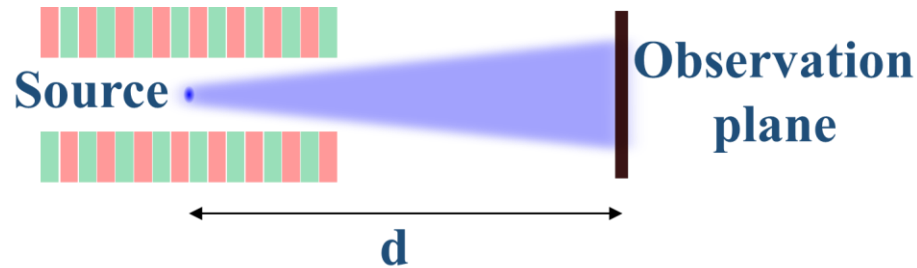


IVU19 - $\Sigma_{y'}$

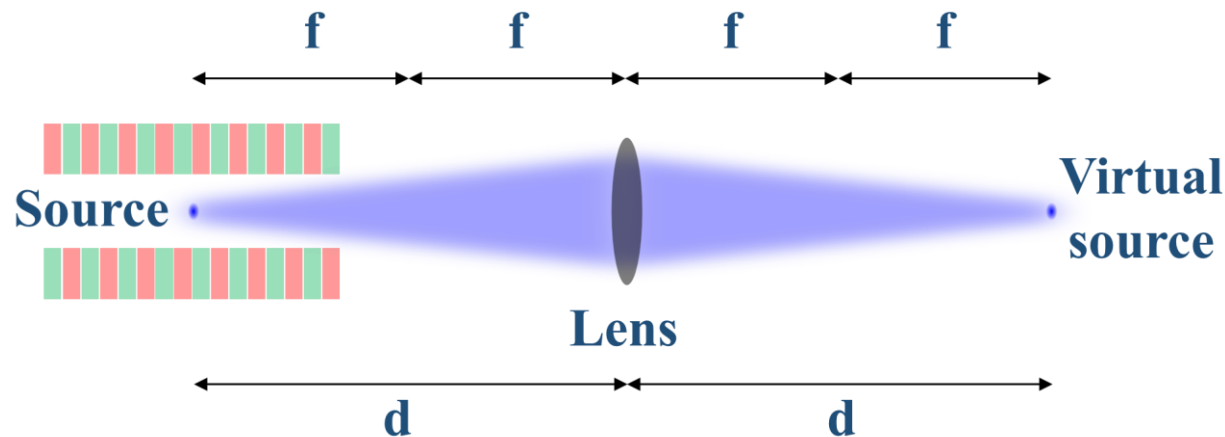


Comparisons between the undulator vertical source size (left image) and the undulator vertical source divergence (right image).

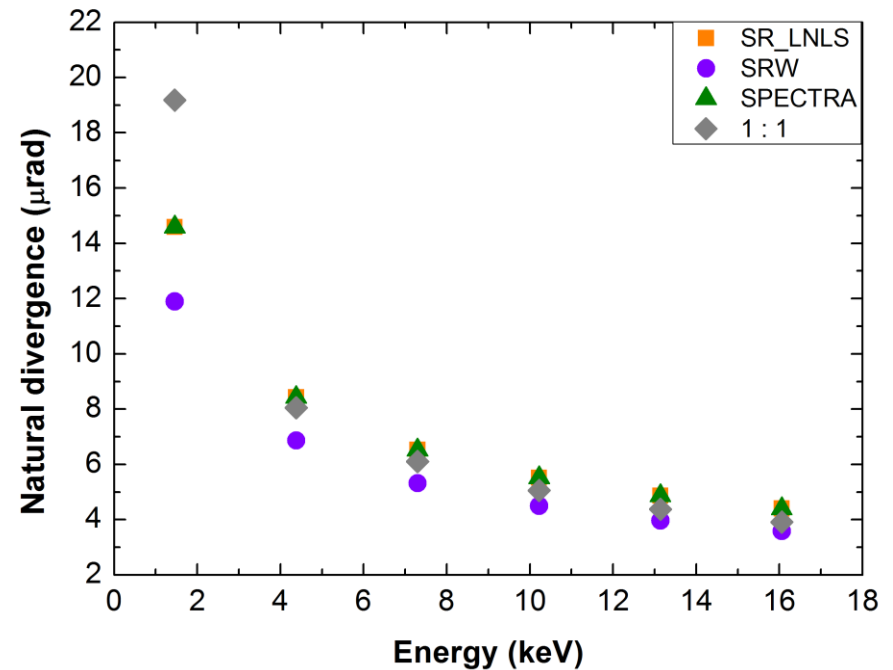
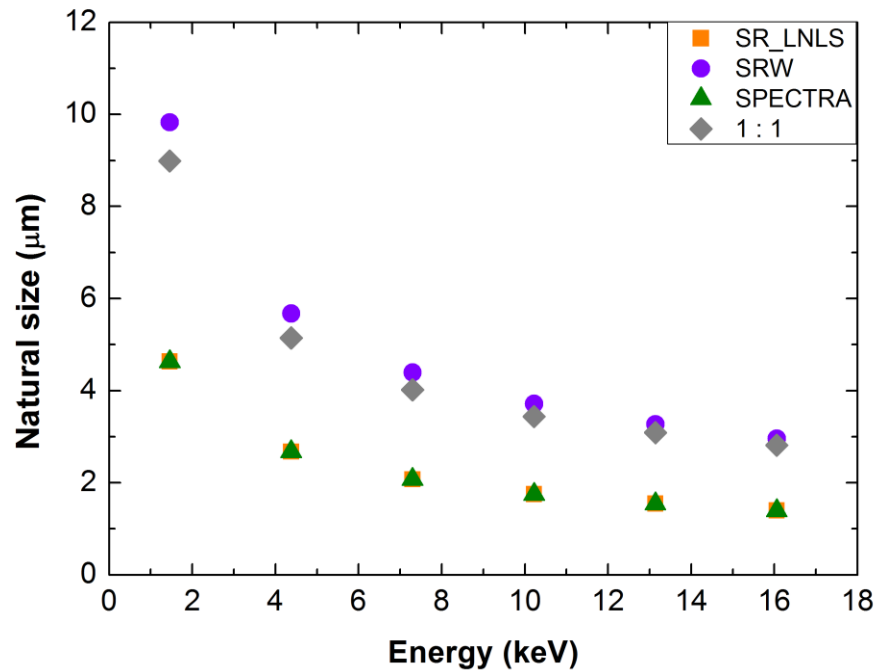
a) Far field observation



b) Virtual source observation



Setup for simulating a) the beam dimensions after a drift space of 30 m and b) a imaging system of 1:1 magnification, where the source properties are preserved. Wave propagation was done with SRW, that does not take into account energy spread.



Comparisons between the undulator natural source size (left image) and the undulator natural source divergence (right image).

Since it only uses equations found at the most relevant literature (see References), the SR_LNLS code has shown satisfactory results and can be used to calculate and generate optical parameters from planar undulators for ray-tracing simulation of hard and tender X-ray beamlines.

Although minor bugs still exist, it is possible to exclude implementation and codification errors, since the code has been through extensive revision.

SR_LNLS was written in MATLAB and could be implemented in Python to provide input parameters to be used by the ray-tracing program Shadow (del Rio et al., 2011).

To the support from my colleagues **Eng. Bernd Meyer** and **Sérgio Lordano**, from the X-ray Optics Group and to **Dr. Harry Westfahl Jr.**, scientific director of the Brazilian Synchrotron Light Source, for the orientation during the work and discussion of the results.

- BAZAROV, I. V.** (2012). *Physical Review Special Topics - Accelerators and Beams*. **15**, 050703.
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The complete work can be found at:

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