

# Nondeterministic Asynchronous Dataflow in Isabelle/HOL

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# Motivation

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## Context:

- Stream Processing: programs that compute (possibly) unbounded sequences of data (streams)
- A common problem in the industry
- Frameworks: Apache Flink, Google Cloud Dataflow, and Timely Dataflow



- Why use frameworks?
  - Highly Parallel
  - Low latency (output as soon as possible)
  - Incremental computing (re-uses previous computations)

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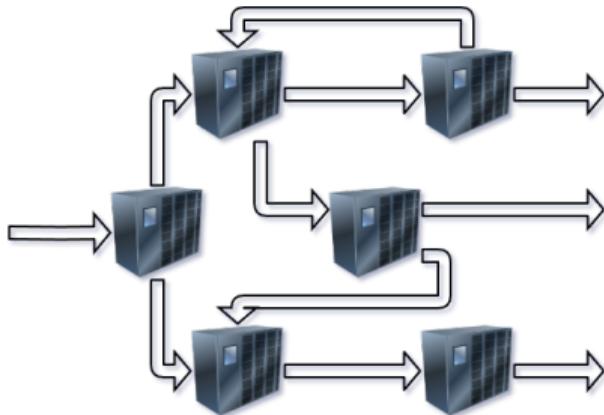
## Our goal:

Mechanically Verify Timely Dataflow algorithms

# A Good Foundation

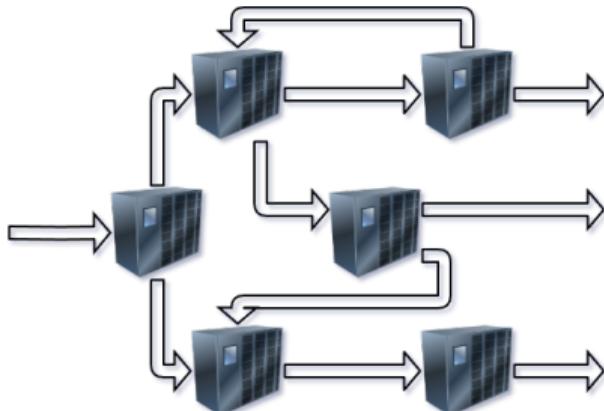
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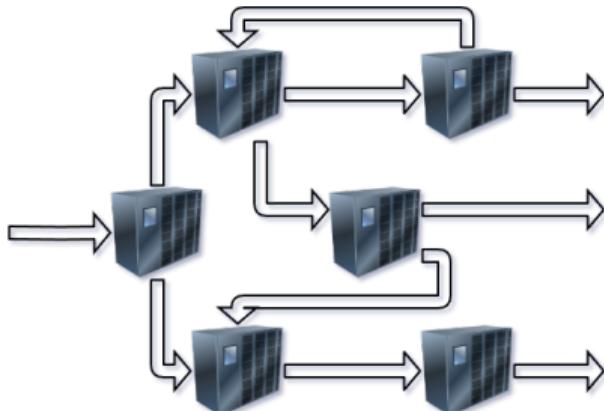


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- Nondeterministic Asynchronous Dataflow
  - Dataflow: Directed graph of interconnected operators

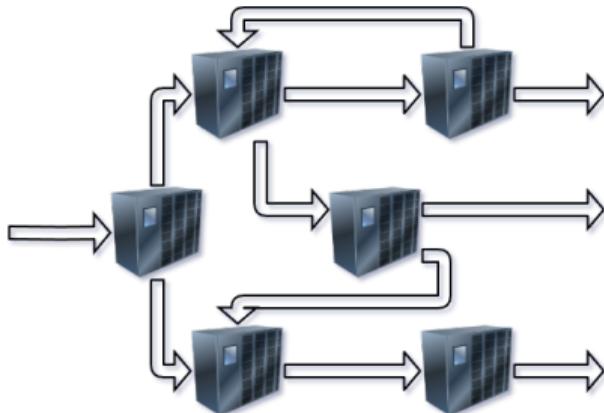


# A Good Foundation



- Nondeterministic Asynchronous Dataflow
  - Dataflow: Directed graph of interconnected operators
  - Asynchronous:
    - Operators execute independently: processes without an orchestrator
    - Operators can freely communicate with the network (read/write); do silent computation steps
    - Networks are unbounded FIFO queues

# A Good Foundation



- Nondeterministic Asynchronous Dataflow

- Dataflow: Directed graph of interconnected operators
- Asynchronous:
  - Operators execute independently: processes without an orchestrator
  - Operators can freely communicate with the network (read/write); do silent computation steps
  - Networks are unbounded FIFO queues
- Nondeterministic:
  - Operators can make nondeterministic choices

# The Algebra for Nondeterministic Asynchronous Dataflow

- Bergstra et al. presents an algebra for Nondeterministic Asynchronous Dataflow
- Primitives:  
sequential and parallel composition; feedback loop...
- 52 axioms
- A process calculus instance

Network Algebra for Asynchronous Dataflow\*

J.A. Bergstra<sup>1,2,†</sup> C.A. Middelburg<sup>2,3,§</sup> Gh. Ștefănescu<sup>4,‡</sup>

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# Main Contributions

- A Isabelle/HOL instance of Nondeterministic Asynchronous Dataflow
  - Operators as a shallow embedding as codatatypes
  - 51 axioms proved
- Executable via code extraction to Haskell

# Isabelle/HOL Preliminaries

# Isabelle/HOL

- Classical higher-order logic (HOL):  
Simple Typed Lambda Calculus + axiom of choice + axiom of infinity + rank-1 polymorphism

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- Isabelle/HOL: Isabelle's flavor of HOL

## Why Isabelle/HOL?

- Codatatypes: (possibly) infinite data structures (e.g., lazy lists, streams)
- Corecursion: always eventually produces some codatatype constructor
- Coinductive predicate: infinite number of introduction rule applications
- Coinduction: reason about coinductive predicates

# Operators as a Codatatype

# Operators

## Operators in Isabelle/HOL

```
codatatype (inputs: 'i, outputs: 'o, 'd) op =  
  Read 'i ('d ⇒ ('i, 'o, 'd) op) | Write (('i, 'o, 'd) op) 'o 'd  
  Silent ('i, 'o, 'd) op | Choice (('i, 'o, 'd) op) cset
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- Type parameters:  
 inputs/output ports; data
- Operator's actions
- Possibly infinite trees
- inputs/outputs:  
 Sets of used ports

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### Uncommunicative operators

$$\emptyset = \text{Choice } \{\}^c$$

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### Uncommunicative operators

$\emptyset = \text{Choice } \{\}^c$

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### More examples

$\text{ex1} = \text{Choice } \{\text{Write ex1 1 42}, \emptyset\}^c$

$\text{ex2} = \text{Choice } \{\text{Write ex2 1 42}, \text{ex2}\}^c$

$\text{ex3} = \text{Choice } \{\text{Write ex3 1 42}, \text{Silent ex3}\}^c$

## An ideal equivalence relation

- Only equate operators that **behave** the same
- Useful reasoning principle

# Operators Equivalences: Motivation

## An ideal equivalence relation

- Only equate operators that **behave** the same
- Useful reasoning principle

- Milner's approach: Weak Bisimilarity



- Based on labeled transition systems (LTS)
- Labels (actions): Read, Write, Silent ( $\tau$ )

# Operators Equivalences: Label Transition System

## Label Transition System

**datatype** ('i,'o,'d) IO = Inp 'i 'd | Out 'o 'd | Tau

**inductive step where**

step (Inp  $p\ x$ ) (Read  $p\ f$ ) ( $f\ x$ ) | step (Out  $q\ x$ ) (Write  $op\ q\ x$ )  $op$   
| step Tau (Silent  $op$ )  $op$   
|  $op \in_c ops \implies$  step  $io\ op\ op'$   $\implies$  step  $io\ (Choice\ ops)\ op'$

# Operators Equivalences: Weak Bisimilarity

## Weakly Simulates

$$\text{estep } \text{Tau} = (\text{step } \text{Tau})^{==}$$

$$\text{estep } io = \text{step } io$$

$$\text{wstep } io = (\text{step } \text{Tau})^{**} \text{ OO } (\text{estep } io) \text{ OO } (\text{step } \text{Tau})^{**}$$

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## Weak Bisimilarity

**coinductive wbisim (infix  $\approx$  40) where**

$\text{wsim } (\approx) \text{ } op_1 \text{ } op_2 \implies \text{wsim } (\approx) \text{ } op_2 \text{ } op_1 \implies op_1 \approx op_2$

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- $\approx$  has a useful coinduction principle
- $\oslash \approx \otimes \approx \odot$
- ex1  $\approx$  ex2  $\approx$  ex3

# Asynchronous Dataflow Operators

# Buffer Infrastructure

- foo

# Asynchronous Dataflow Properties

# Basic network algebra properties

$$B1: op_1 \parallel (op_2 \parallel op_3) \approx \text{map\_op} \curvearrowright \curvearrowright (op_1 \parallel op_2) \parallel op_3$$

$$B2\_1: op \parallel (\mathcal{I} :: (0, 0, 'd) op) \approx \text{map\_op} \text{Inl} \text{Inl} op$$

$$B2\_2: (\mathcal{I} :: (0, 0, 'd) op) \parallel op \approx \text{map\_op} \text{Inr} \text{Inr} op$$

$$B3: (op_1 \bullet op_2) \bullet op_3 \approx op_1 \bullet (op_2 \bullet op_3)$$

$$B4\_1: op \text{Inl} \bullet \mathcal{I} \approx op \text{Inl}$$

$$B4\_2: \mathcal{I} \bullet \text{Inp} op \approx \text{Inp} op$$

$$B5: (op_1 \parallel op_2) \bullet (op_3 \parallel op_4) \approx (op_1 \bullet op_3) \parallel (op_2 \bullet op_4)$$

$$B6: \mathcal{I} \parallel \mathcal{I} \approx \mathcal{I}$$

$$B7: \mathcal{X} \bullet \mathcal{X} \approx \mathcal{I}$$

$$B8: (\mathcal{X} :: ('i + 0, 0 + 'i, 'd) op) \approx \text{map\_op} \text{id} (\text{case\_sum} \text{Inr} \text{Inl}) \mathcal{I}$$

$$B9: \mathcal{X} \approx \text{map\_op} \curvearrowright \curvearrowright (\mathcal{X} \parallel \mathcal{I}) \bullet \text{map\_op} \text{id} \curvearrowright (\mathcal{I} \parallel \mathcal{X})$$

$$B10: (\text{Inp} op_1 \parallel \text{Inp} op_2) \bullet \mathcal{X} \approx \mathcal{X} \bullet (op_2 \text{Inl} \parallel op_1 \text{Inl})$$

$$F1: \mathcal{I} \uparrow \approx (\mathcal{I} :: (0, 0, 'd) op)$$

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$$R1: \text{Inr} \text{- inputs } op_1 \cap \text{defaults} = \{\} \Rightarrow \text{Inr} \text{- outputs } op_1 \cap \text{defaults} = \{\} \Rightarrow \\ op_2 \bullet (op_1 \uparrow) \approx ((op_2 \parallel \mathcal{I}) \bullet op_1) \uparrow$$

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$$R5: \text{Inr} \text{- inputs } op = \{\} \Rightarrow \text{Inr} \text{- outputs } op = \{\} \Rightarrow \\ \text{map\_op} \text{Inl} \text{Inl} ((op :: ('i + 0, 0 + 'i, 'd) op) \uparrow) \approx op$$

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$$R1: \text{Inr} \dashv \text{inputs } op_1 \cap \text{defaults} = \{\} \Rightarrow \text{Inr} \dashv \text{outputs } op_1 \cap \text{defaults} = \{\} \Rightarrow \\ op_2 \bullet (op_1 \uparrow) \approx ((op_2 \parallel \mathcal{I}) \bullet op_1) \uparrow$$

$$R2: \text{Inr} \dashv \text{inputs } op_1 \cap \text{defaults} = \{\} \Rightarrow \text{Inr} \dashv \text{outputs } op_1 \cap \text{defaults} = \{\} \Rightarrow \\ (op_1 \uparrow) \bullet op_2 \approx (op_1 \bullet (op_2 \parallel \mathcal{I})) \uparrow$$

$$R3: \text{Inr} \dashv \text{inputs } op_2 \cap \text{defaults} = \{\} \Rightarrow \text{Inr} \dashv \text{outputs } op_2 \cap \text{defaults} = \{\} \Rightarrow \\ op_1 \parallel (op_2 \uparrow) \approx (\text{map\_op} \curvearrowright \curvearrowright (op_1 \parallel op_2)) \uparrow$$

$$R4: \text{Inr} \dashv \text{inputs } op_1 \cap \text{defaults} = \{\} \Rightarrow \text{Inr} \dashv \text{outputs } op_1 \cap \text{defaults} = \{\} \Rightarrow \\ \text{inputs } op_2 \cap \text{defaults} = \{\} \Rightarrow \text{outputs } op_2 \cap \text{defaults} = \{\} \Rightarrow \\ (\sqsupseteq op_1 \bullet (\mathcal{I} \parallel op_2)) \uparrow \approx ((\mathcal{I} \parallel op_2) \bullet op_1 \sqsubseteq) \uparrow$$

$$R5: \text{Inr} \dashv \text{inputs } op = \{\} \Rightarrow \text{Inr} \dashv \text{outputs } op = \{\} \Rightarrow \\ \text{map\_op} \text{Inl} \text{Inl} ((op :: ('i + 0, 'o + 0, 'd) op) \uparrow) \approx op$$

$$R6: \text{Inr} \dashv \text{inputs } op = \{\} \Rightarrow \text{Inr} \dashv \text{outputs } op = \{\} \Rightarrow \\ \text{Inr} \dashv \text{Inl} \dashv \text{inputs } op = \{\} \Rightarrow \text{Inr} \dashv \text{Inl} \dashv \text{outputs } op = \{\} \Rightarrow \\ (op \uparrow) \approx (\text{map\_op} \curvearrowright \curvearrowright op) \uparrow$$

# Conclusion

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- Isabelle/HOL has a good tool set to formalize and reason about stream processing:
  - Codatatypes, coinductive predicates, corecursion with friends, reasoning up to friends (congruence),
    - Coinduction up to congruence principle is automatically derived for codatatypes (but not for coinductive principles)
  - Next step: Feedback loop

Questions, comments and suggestions