## Nondeterministic Asynchronous Dataflow in Isabelle/HOL

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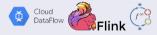
14/05/2025

## Motivation

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#### Context:

- Stream Processing: programs that compute (possibly) unbounded sequences of data (streams)
- A common problem in the industry
- Frameworks:
   Apache Flink, Apache Samza, Apache Spark, Google Cloud Dataflow, and Timely Dataflow



- Why use frameworks?
  - Highly Parallel
  - Low latency (output as soon as possible)
  - Incremental computing (re-uses previous computations)

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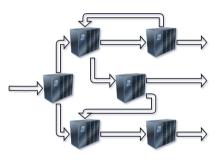
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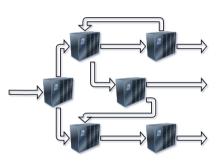
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### Our goal:

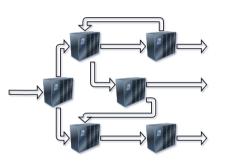
Mechanically Verify Timely Dataflow algorithms



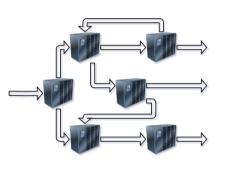
• Nondeterministic Asynchronous Dataflow



- Nondeterministic Asynchronous Dataflow
  - Dataflow: Directed graph of interconnected operators



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  - Dataflow: Directed graph of interconnected operators
  - Asynchronous:
    - Operators execute independently: processes without an orchestrator
    - Operators can freely communicate with the network (read/write); do silent computation steps
    - Networks are unbounded FIFO queues



- Nondeterministic Asynchronous Dataflow
  - Dataflow: Directed graph of interconnected operators
  - Asynchronous:
    - Operators execute independently: processes without an orchestrator
    - Operators can freely communicate with the network (read/write); do silent computation steps
    - Networks are unbounded FIFO queues
  - Nondeterministic:
    - Operators can make nondeterministic choices
    - Operators are relations between inputs and outputs sequences

## The Algebra for Nondeterministic Asynchronous Dataflow

- Bergstra et al. presents an algebra for Nondeterministic Asynchronous Dataflow
- Primitives: sequential and parallel composition; feedback loop...
- The 52 axioms
- An process calculus instance

Network Algebra for Asynchronous Dataflow\*

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<sup>2</sup>Department of Philosophy, Utrecht University P.O. Box 80126, 3508 TC Utrecht, The Netherlands

<sup>3</sup>Department of Network & Service Control, KPN Research P.O. Box 421, 2260 AK Leidschendam, The Netherlands

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# Isabelle/HOL Preliminaries

## Isabelle/HOL

Classical higher-order logic (HOL):
 Simple Typed Lambda Calculus + axiom of choice + axiom of infinity + rank-1 polymorphism

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Isabelle/HOL: Isabelle's flavor of HOL

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- Classical higher-order logic (HOL):
   Simple Typed Lambda Calculus + axiom of choice + axiom of infinity + rank-1 polymorphism
- Isabelle: A generic proof assistant



• Isabelle/HOL: Isabelle's flavor of HOL

### Why Isabelle/HOL?

- Codatatypes: (possibly) infinite data structures (e.g., lazy lists, streams)
- Corecursion: always eventually produces some codatatype constructor
- Coinductive predicate: infinite number of introduction rule applications
- Coinduction: reason about coinductive predicates

Operators as a Codatatype

### Operators

#### Operators in Isabelle/HOL

```
codatatype (inputs: 'i, outputs: 'o, 'd) op =
Read 'i ('d \Rightarrow ('i, 'o, 'd) op) | Write (('i, 'o, 'd) op) 'o 'd
Silent ('i, 'o, 'd) op | Choice (('i, 'o, 'd) op) cset
```

- Type parameters: inputs/output ports; data
- Operator's actions
- Possibly infinite trees
- inputs/outputs: Sets of used ports

## Examples 1

#### Uncommunicative operators

#### abbreviation

$$\emptyset \equiv \mathsf{Choice}\ \{\}_c$$

$$\otimes = \mathsf{Choice}\; ((\lambda_{-}.\otimes)_{c}^{*}\{()\}_{c})$$

corec silent op (⊙) where

 $\odot = \mathsf{Silent} \ \odot$ 

**lemma** spin\_op\_code:

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- Quirk: any corecursive call guarded by the Choice must be applied using the proper map function
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lemma spin\_op\_code:

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- Quirk: any corecursive call guarded by the Choice must be applied using the proper map function
- They have the same meaning. But are syntactically different ©

## Operators Equivalences: Motivation

### An ideal equivalence relation

- Only equate operators that **behave** the same
- Useful reasoning principle

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### An ideal equivalence relation

- Only equate operators that **behave** the same
- Useful reasoning principle

• Milner's approach: Bisimilarity



- Based on labeled transition systems (LTS)
- Labels (actions): Read, Write, Silent  $(\tau)$

#### Intuition

Two operators are bisimilar if their corresponding transition systems can mutually simulate each other's transitions.

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## Operators Equivalences: Label Transition System

#### Label Transition System

```
datatype ('i, 'o, 'd) IO = Inp 'i 'd | Out 'o 'd | Tau
inductive step where
step (Inp p x) (Read p f) (f x) | step (Out q x) (Write op q x) op
| step Tau (Silent op) op
| op \in_c ops \implies step io op op' \implies step io (Choice ops) op'
```

## Operators Equivalences: Strong Bisimilarity

#### Simulates

definition sim where

$$\operatorname{sim} \ R \ \operatorname{op}_1 \ \operatorname{op}_2 = (\forall io \ \operatorname{op}_1' \ \operatorname{step} \ io \ \operatorname{op}_1 \ \operatorname{op}_1' \ \longrightarrow (\exists \operatorname{op}_2' \ \operatorname{step} \ io \ \operatorname{op}_2 \ \operatorname{op}_2' \wedge R \ \operatorname{op}_1' \ \operatorname{op}_2'))$$

Strong Bisimilarity

## Operators Equivalences: Weak Bisimilarity

foo

# Asynchronous Dataflow Operators

### Buffer Infrastructure

• foo

# Asynchronous Dataflow Properties

```
\begin{aligned} & \text{B1: } op_1 \parallel (op_2 \parallel op_3) \approx \text{map\_op} & & & & & & & & & \\ & \text{B2\_1: } op \parallel (\mathcal{I} :: (\theta, 0, 'd) \ op) \approx \text{map\_op} \ \text{Inl Inl } op \\ & \text{B2\_2: } (\mathcal{I} :: (\theta, 0, 'd) \ op) \parallel op \approx \text{map\_op} \ \text{Inl Inl } op \\ & \text{B3: } (op_1 \bullet op_2) \bullet op_3 \approx op_1 \bullet (op_2 \bullet op_3) \\ & \text{B4\_1: } op \bullet \mathcal{I} \approx op \bullet \mathcal{I} \approx op \bullet \\ & \text{B5: } (op_1 \parallel op_2) \bullet (op_3 \parallel op_4) \approx (op_1 \bullet op_3) \parallel (op_2 \bullet op_4) \\ & \text{B6: } \mathcal{I} \parallel \mathcal{I} \approx \mathcal{I} \\ & \text{B8: } (\mathcal{X} :: (^i + \theta, \theta + ^i , ^i d) \ op) \approx \text{map\_op} \ \text{id} \ \text{(case\_sum Inr Inl)} \ \mathcal{I} \\ & \text{B9: } \mathcal{X} \approx \text{map\_op} & & & & & & & & & \\ & \text{B10: } (-op_1 \parallel - op_2) \bullet \mathcal{X} \approx \mathcal{X} \bullet (op_2 \vdash \parallel op_1 \parallel - op_2) \bullet \mathcal{X} \approx \mathcal{X} \bullet (op_2 \vdash \parallel op_1 \parallel - op_2) \bullet \mathcal{X} \approx \mathcal{X} \Rightarrow \mathcal
```

Table 1 Basic network algebra properties

```
R1: \operatorname{Inr} : \operatorname{inputs} \ op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} \ op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow op_2 \bullet (op_1 \uparrow) \approx ((op_2 \parallel \mathcal{I}) \bullet op_1) \uparrow
R2: \operatorname{Inr} : \operatorname{inputs} \ op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} \ op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow (op_1 \uparrow) \bullet op_2 \approx (op_1 \bullet (op_2 \parallel \mathcal{I})) \uparrow
R3: \operatorname{Inr} : \operatorname{inputs} \ op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} \ op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{op}_1 \parallel (op_2 \uparrow) \approx (\operatorname{map\_op} \land \land (op_1 \parallel op_2)) \uparrow
R4: \operatorname{Inr} : \operatorname{inputs} \ op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{inputs} \ op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{inputs} \ op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow (\exists op_1 \bullet (\mathcal{I} \parallel op_2)) \uparrow \approx ((\mathcal{I} \parallel op_2) \bullet op_1 \Vdash) \uparrow
R5: \operatorname{Inr} : \operatorname{inputs} \ op = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} \ op = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} \ op = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{inputs} \ op = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} \ op = \{\} \Longrightarrow \operatorname{outputs} \ op
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R5: \operatorname{Inr} : \operatorname{inputs} op_2 = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} op_2 = \{\} \Longrightarrow \operatorname{map\_op} \operatorname{Inl} \operatorname{ln} ((op: :: ('i + \theta, 'o + \theta, 'd) op) \uparrow) \approx op
R6: \operatorname{Inr} : \operatorname{inputs} op_2 = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} op_2 = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{inputs} op_2 = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{inputs} op_2 = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} op_2 = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{inputs} op_2 = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{outputs} op_2 = \{\} \Longrightarrow \operatorname{Inr} : \operatorname{
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```

■ Table 1 Basic network algebra properties

```
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B2_1: op \parallel (\mathcal{I} :: (\theta, 0, 'd) op) \approx \mathsf{map\_op} Ind Ind op

B2_2: (\mathcal{I} :: (\theta, 0, 'd) op) \parallel op \approx \mathsf{map\_op} Ind Ind op

B3: (op_1 \bullet op_2) \bullet op_3 \approx op_1 \bullet (op_2 \bullet op_3)

B4_1: op \models \bullet \mathcal{I} \approx op \models

B5: (op_1 \parallel op_2) \bullet (op_3 \parallel op_4) \approx (op_1 \bullet op_3) \parallel (op_2 \bullet op_4)

B6: \mathcal{I} \parallel \mathcal{I} \approx \mathcal{I}

B7: \mathcal{X} \bullet \mathcal{X} \approx \mathcal{I}

B8: (\mathcal{X} :: ('i + \theta, \theta + 'i, 'd) op) \approx \mathsf{map\_op} id (case_sum Inr Inl) \mathcal{I}

B9: \mathcal{X} \approx \mathsf{map\_op} \land (\mathcal{X} \parallel \mathcal{I}) \bullet \mathsf{map\_op} id \land (\mathcal{I} \parallel \mathcal{X})

B10: (-op_1 \parallel -op_2) \bullet \mathcal{X} \approx \mathcal{X} \bullet (op_2 \models \parallel op_1 \models)

F1: \mathcal{I} \uparrow \approx (\mathcal{I} :: (\theta, \theta, 'd) op)
```

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\begin{array}{lll} \operatorname{R1:} & \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{inputs} & op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{op}_2 \bullet (op_1 \uparrow) \approx ((op_2 \parallel \mathcal{I}) \bullet op_1) \uparrow \\ \operatorname{R2:} & \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{inputs} & op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{inputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{inputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_1 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{Inr} \stackrel{>}{\sim} & \operatorname{outputs} & op_2 \cap \operatorname{defaults} = \{\} \Longrightarrow \operatorname{outputs} & op_2 \cap \operatorname{outputs} & op_2 \cap \operatorname{outputs} & op_2
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## Conclusion

#### Conclusion

- Isabelle/HOL has a good tool set to formalize and reason about stream processing:
  - Codatatypes, coinductive predicates, corecursion with friends, reasoning up to friends (congruence),
    - Coinduction up to congruence principle is automatically derived for codatatypes (but not for coinductive principles)
- Next step: Feedback loop

Questions, comments and suggestions