

Infinite Isabelle-LLVM

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What my PhD is about?

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- Distributed Systems
 - Stream processing frameworks
 - Dataflow models
 - Time-Aware Computations

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- Distributed Systems
 - Stream processing frameworks
 - Dataflow models
 - Time-Aware Computations
- Formal Methods
 - Verification using proof assistants
 - Isabelle proofs
 - Verified + executable + efficient code
- Formalization of Time-Aware Stream Processing

Introduction

Stream Processing

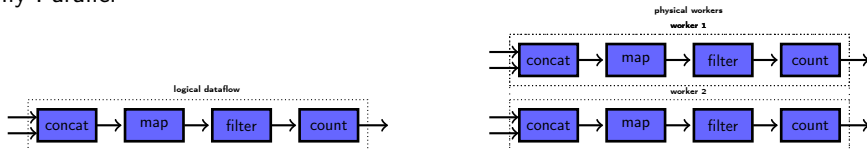
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 - Directed graph of interconnected operators that perform event-wise transformations
 - E.g.: Apache Flink, Apache Samza, Apache Spark, Google Cloud Dataflow, and Timely Dataflow



- Highly Parallel

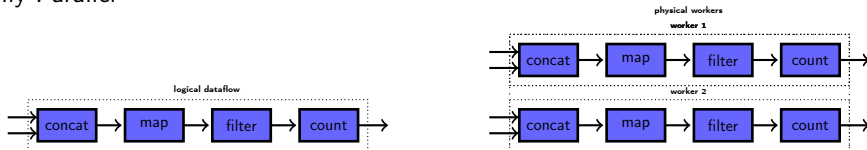


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- Time-Aware Computations
 - Timestamps: Metadata associating the data with some data collection
 - Watermarks: Metadata indicating the completion of a data collection

Preliminaries

- Classical higher-order logic (HOL): Simple Typed Lambda Calculus + (Hilbert) axiom of choice + axiom of infinity + rank-1 polymorphism

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- Isabelle: A generic proof assistant



- Isabelle/HOL: Isabelle's flavor of HOL
- All functions in Isabelle/HOL must be total

- Datatypes and Codatatypes

```
codatatype (lset: 'a) llist = lnull: LNil | LCons (lhd: 'a) (ltl: 'a llist)  
for map: lmap where ltl LNil = LNil
```

- Examples:

- LNil
- LCons 1 (LCons 2 (LCons 3 LNil))
- LCons 0 (LCons 0 (LCons 0 (...)))

Isabelle/HOL: (Co)datatypes

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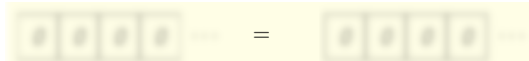
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- Coinductive principle for lazy list equality:

- Show that there is a “pair of goggles” (relation) that makes them to look the same:
 - The first lazy list is empty iff second is
 - They have the same head
 - Their tail looks the same



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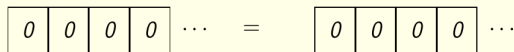
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- Recursion

```
fun lshift :: 'a list  $\Rightarrow$  'a llist  $\Rightarrow$  'a llist (infixr @@ 65) where  
  lshift [] lxs = lxs  
| lshift (x # xs) lxs = LCons x (lshift xs lxs)
```

- While Combinator

```
definition while_option :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a  $\Rightarrow$  'a option where  
  while_option b c s = ...
```

- While rule for invariant reasoning (Hoare-style):
 - There is something that holds before a step; that thing still holds after the step

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corec lapp :: 'a llist  $\Rightarrow$  'a llist  $\Rightarrow$  'a llist where  
  lapp lxs lys = case lxs of LNil  $\Rightarrow$  lys | LCons x lxs'  $\Rightarrow$  LCons x (lapp lxs' lys)
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 - Preserves productivity: it may consume at most one constructor to produce one constructor.
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- Friendly function
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 - `lshift (@@)` is proved to be a friend
- Coinduction up to congruence: Coinduction for Lazy list equality can be extended to compare an entire finite prefix through a congruence relation

Isabelle/HOL: (Co)inductive Predicates

- Inductive predicate
 - Finite number of introduction rule applications

```
inductive in_llist :: 'a  $\Rightarrow$  'a llist  $\Rightarrow$  bool where  
  In_llist: in_llist  $\times$  (LCons  $\times$  lxs)  
| Next_llist: in_llist  $\times$  lxs  $\Rightarrow$  in_llist  $\times$  (LCons y lxs)  
  
in_llist 2 (LCons 1 (LCons (2 (...))))
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- Coinductive predicate
 - Infinite number of introduction rule applications

```
coinductive lprefix :: 'a llist  $\Rightarrow$  'a llist  $\Rightarrow$  bool where  
  LNil_lprefix: lprefix LNil lxs  
  | LCons_lprefix: lprefix lxs lxs  $\Rightarrow$  lprefix (LCons x lxs) (LCons x lxs)  
  
lprefix (LCons 1 (LCons (2 (...)))) (LCons 1 (LCons (2 (...))))
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- Coinduction principle
- But not coinduction up to congruence for free

Lazy Lists Processors

Operator formalization

- Operator as a codatatype

- Taking $'i$ as the input type, and $'o$ as the output type:

$\text{codatatype } ('o, 'i) \text{ op} = \text{Logic } (\text{apply: } ('i \Rightarrow ('o, 'i) \text{ op} \times 'o \text{ list}))$

Operator formalization

- Operator as a codatatype
 - Taking `'i` as the input type, and `'o` as the output type:
`codatatype ('o, 'i) op = Logic (apply: ('i \Rightarrow ('o, 'i) op \times 'o list))`
 - Infinite trees: applying the selector `apply` “walks” a branch of the tree

- Produce function: applies the logic (co)recursively throughout a lazy list

definition $\text{produce}_1 \text{ op } lxs = \text{while_option } \dots$

corec produce **where**

$\text{produce } op \text{ } lxs = (\text{case } \text{produce}_1 \text{ op } lxs \text{ of } \text{None} \Rightarrow \text{LNil}$
| $\text{Some } (op', x, xs, lxs') \Rightarrow \text{LCons } x \text{ } (xs \text{ @@ } \text{produce } op' \text{ } lxs'))$

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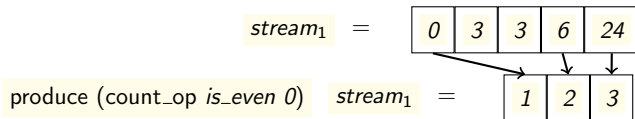
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- produce_1 has an induction principle based on the while invariant rule

Operators: Count

- Example:

```
corec count_op where count_op P n =  
  Logic (λe. if P e then (count_op P (n + 1), [n+1]) else (count_op P n, []))
```



- Sequential composition: take the output of the first operator and give it as input to the second operator.

```
definition fproduce  $op\ xs = \text{fold } (\lambda e\ (op,\ out)).$   
  let  $(op',\ out') = \text{apply } op\ e\ \text{in } (op',\ out\ @\ out')$  xs (op, [])  
corec comp_op where  
  comp_op  $op_1\ op_2 = \text{Logic } (\lambda ev.$   
    let  $(op_1',\ out) = \text{apply } op_1\ ev;$   $(op_2',\ out') = \text{fproduce } op_2\ out$   
    in  $(\text{comp\_op } op_1'\ op_2',\ out')$ 
```

Sequential Composition: Correctness

- Correctness:

$\text{produce } (\text{comp_op } op_1 \ op_2) \ lx = \text{produce } op_2 \ (\text{produce } op_1 \ lx)$

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 - Generalization: we must be able to reason about elements in arbitrary positions

corec skip_op where

$\text{skip_op } op \ n = \text{Logic } (\lambda ev. \text{let } (op', out) = \text{apply } op \ ev \text{ in}$
 $\text{if length } out < n \text{ then } (\text{skip_op } op' \ (n - \text{length } out), [])$
 $\text{else } (op', \text{drop } n \ out))$

- Correctness

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$\text{produce } (\text{skip_op } op \ n) \ lxs = \text{ldropn } n \ (\text{produce } op \ lxs)$

- Proof: Coinduction up to congruence for lazy list equality

Time-Aware Operators

- Time-Aware lazy lists

```
datatype ('t::order, 'd) event = DT (tmp: 't) (data: 'd) | WM (wmk: 't)
```

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```
datatype ('t::order, 'd) event = DT (tmp: 't) (data: 'd) | WM (wmk: 't)
```

- Generalization to partial orders
 - Cycles
 - Operators with multiple inputs

Monotone Time-Aware Streams

- Monotone: watermarks do not go back in time

coinductive monotone $:: ('t::\text{order}, 'd) \text{ event llist} \Rightarrow 't \text{ set} \Rightarrow \text{bool}$ where

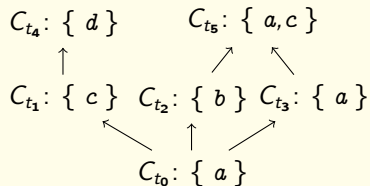
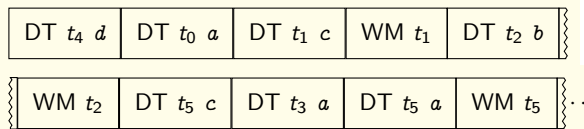
LNil: monotone LNil W

| LConsR: $(\forall wm' \in W. \neg wm' \geq wm) \longrightarrow \text{monotone lxs } (\{wm\} \cup W) \longrightarrow$
 monotone (LCons (WM wm) lxs) W

| LConsL: $(\forall wm \in W. \neg wm \geq t) \longrightarrow \text{monotone lxs } W \longrightarrow$
 monotone (LCons (DT t d) lxs) W

- Up to congruence coinduction principle
- Example:

$stream_2 =$



Productive Time-Aware Streams

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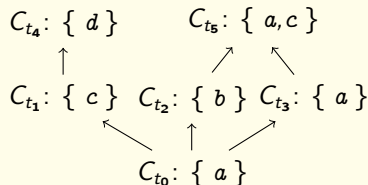
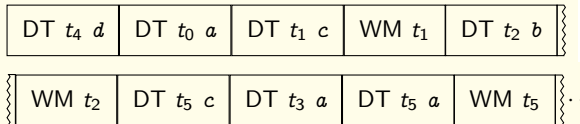
coinductive productive where

LFinite: lfinite $lxs \rightarrow$ productive lxs

| EnvWM: \neg lfinite $lxs \rightarrow (\exists u \in \text{vimage WM } (\text{lset } lxs). u \geq t) \rightarrow$
productive $lxs \rightarrow$ productive (LCons (DT t d) lxs)

| SkipWM: \neg lfinite $lxs \rightarrow$ productive $lxs \rightarrow$
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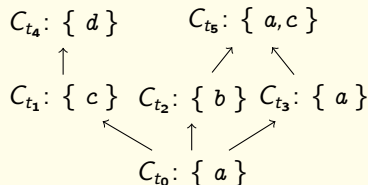
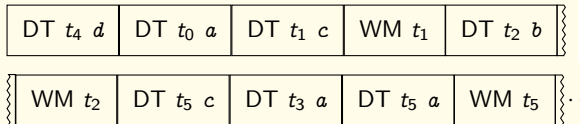
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- Up to congruence coinduction principle

Building Blocks: Batch Operator

- Building Blocks: reusable operators
 - Batching and incremental computations

Building Blocks: Batch Operator

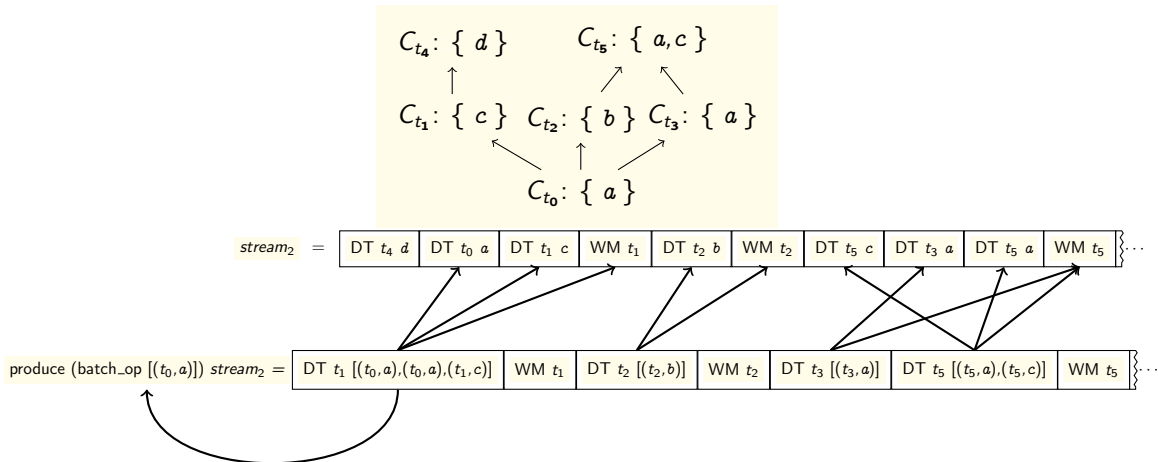
- Building Blocks: reusable operators
 - Batching and incremental computations
- `batch_op` : produces batches of accumulated data

corec `batch_op` **where**

```
batch_op buf = Logic ( $\lambda ev.$  case ev of DT t d  $\Rightarrow$  (batch_op (buf @ [(t, d)]), [])  
| WM wm  $\Rightarrow$  if  $\exists (t, d) \in \text{set } buf. t \leq wm$   
  then let out = filter ( $\lambda (t, _). t \leq wm$ ) buf;  
    buf' = filter ( $\lambda (t, _). \neg t \leq wm$ ) buf  
    in (batch_op buf', [DT wm out, WM wm])  
  else (batch_op buf, [WM wm]))
```

Batch Operator: Soundness

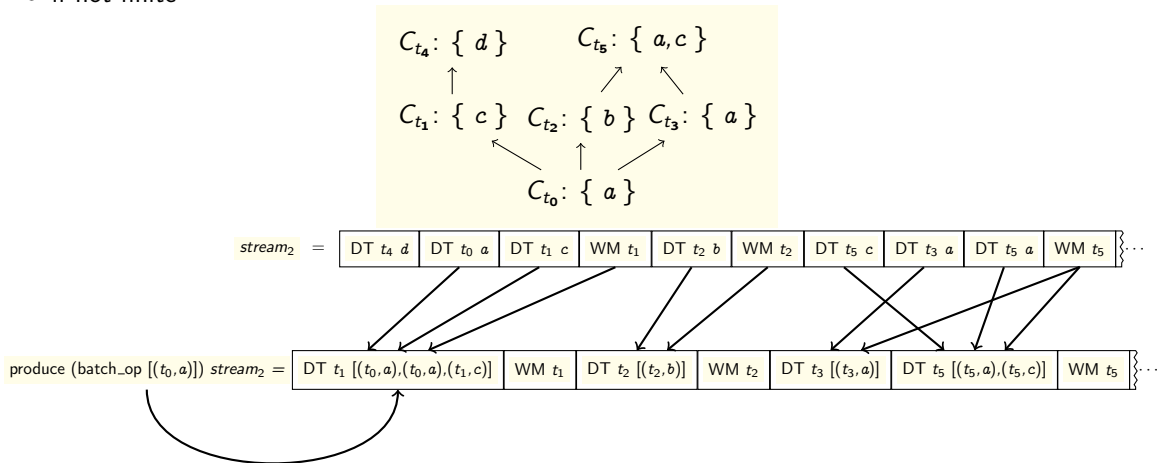
- Given a monotone time-aware stream



- Proof: lset induction, produce₁ induction, and generalization with skip_op

Batch Operator: Completeness

- Given a monotone and productive time-aware stream
- if not finite



- Proof: induction over the position (nat) of the element in the input, and soundness of `batch_op`

Batch Operator: Monotone and productive preservation

- The operators must preserve monotone and productive, so we can compose it with something that needs these properties!

$$\text{monotone } lxs \ W \longrightarrow \text{monotone } (\text{produce } (\text{batch_op } buf) \ lxs) \ W \quad (1)$$

$$\text{productive } lxs \longrightarrow \text{productive } (\text{produce } (\text{batch_op } buf) \ lxs) \quad (2)$$

- Proof: coinduction up to congruence

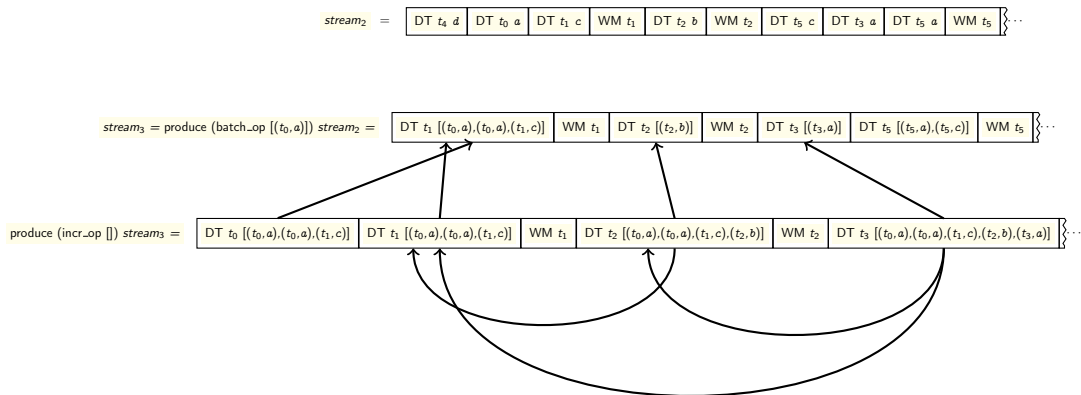
Building Blocks: Incremental Operator

- Incremental computations
- `incr_op` : produces accumulated batches of accumulated data

`corec incr_op where`

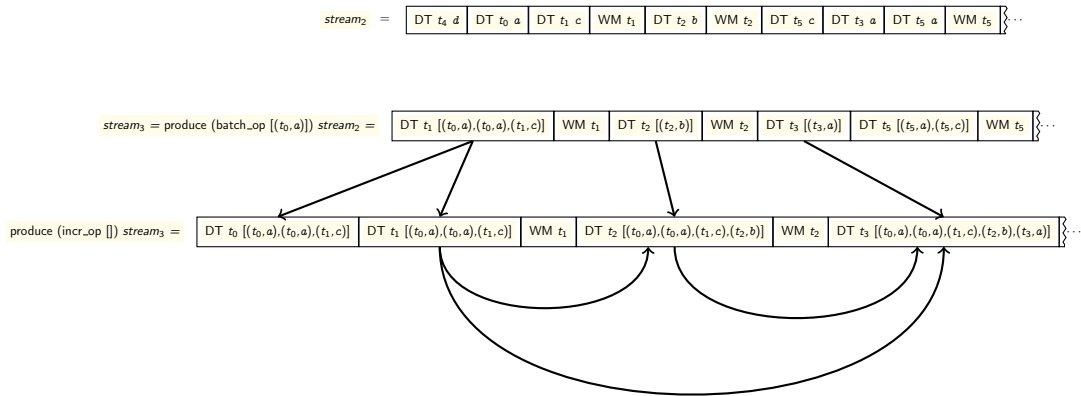
```
incr_op buf = Logic ( $\lambda$  ev. case ev of DT wm batch  $\Rightarrow$   
  let out = map ( $\lambda$  t. DT t (buf @ batch)) (remdups (map fst batch))  
  in (incr_op (buf @ batch), out)  
| WM wm  $\Rightarrow$  (incr_op buf, [WM wm]))
```

Incremental Operator: Soundness



- Proof: `produce1` induction, and generalization with `skip_op`

Incremental Operator: Completeness



- Proof: induction over the position (nat) of the element in the input

Incremental Operator: Monotone and productive preservation

$$\text{monotone } lxs \ W \longrightarrow \text{monotone } (\text{produce } (\text{incr_op } []) \ lxs) \ W \quad (3)$$

$$\text{productive } lxs \longrightarrow \text{productive } (\text{produce } (\text{incr_op } []) \ lxs) \quad (4)$$

- Proof: coinduction up to congruence

- `batch_op` and `incr_op` can be composed

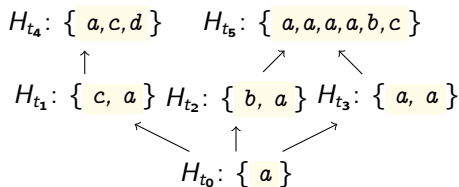
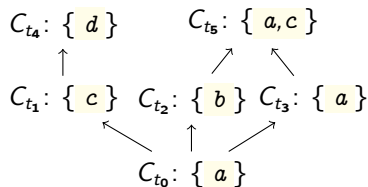
definition $\text{incr_batch_op } buf1\ buf2 = \text{comp_op } (\text{batch_op } buf1) (\text{incr_op } buf2)$

- Soundness, completeness, and monotone and productive preservation

Case Study

Histogram

- A histogram count the elements of a collection
- Incremental histogram: timestamps smaller or equal
- $H_{t_5} = C_{t_0} + C_{t_1} + C_{t_2} + C_{t_3} + C_{t_4}$
- paths to t_5 : $\{t_0, t_2\}$ and $\{t_0, t_3\}$



Histogram Operator

```
corec map_op where map_op f = Logic ( $\lambda$  ev. case ev of  
  WM wm  $\Rightarrow$  (map_op f, [WM wm]) | DT t d  $\Rightarrow$  (map_op f, [DT t (f t d)]))
```

```
definition incr_coll t xs = mset ...
```

```
definition incr_hist_op buf1 buf2 =  
  comp_op (incr_batch_op buf1 buf2) (map_op incr_coll)
```


Histogram Operator: Correctness

- Correctness: (1) Soundness + (2) Completeness + (3) Monotone Preservation + (4) Productive Preservation
- Given a monotone and productive time-aware stream

$$stream_2 = \boxed{DT\ t_4\ d} \boxed{DT\ t_0\ a} \boxed{DT\ t_1\ c} \boxed{WM\ t_1} \boxed{DT\ t_2\ b} \boxed{WM\ t_2} \boxed{DT\ t_5\ c} \boxed{DT\ t_3\ a} \boxed{DT\ t_5\ a} \boxed{WM\ t_5} \dots$$

$$\text{produce}(\text{incr_hist_op}\ []\ [])\ stream_2 = \boxed{DT\ t_0\ (\text{mset}\ [a])} \boxed{DT\ t_1\ (\text{mset}\ [a,c])} \boxed{WM\ t_1} \boxed{DT\ t_2\ (\text{mset}\ [a,b])} \boxed{WM\ t_2} \boxed{DT\ t_3\ (\text{mset}\ [a,a])} \boxed{DT\ t_5\ (\text{mset}\ [a,a,a,b,c])} \boxed{WM\ t_5} \dots$$

- Proof: soundness, completeness, monotone and productive preservation of `incr_batch_op`

Efficient Histogram Operator

- Efficient histogram operator `incr_hist_op'` for timestamp in total order
 - State of the operator: last computed histogram, and buffer of newly accumulated data
- Equivalent `incr_hist_op` only for monotone time-aware stream (equivalence relation)

Join Operator

- Relation Join
- Use the `sum` type in the timestamps to represent two stream as one
- Partial order for the `sum`: lefts and rights are incomparable
- Defined using `incr_batch_op`
- Soundness, Completeness, Monotone
 - WIP: Productive

Next Steps

Next Steps

- Feedback loop
- Exit argument
- Connect to the Isabelle-LLVM refinement framework

Questions, comments and suggestions