Verified Time-Aware Stream Processing

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What is this PhD/Status seminar about?

- Distributed Systems
 - Stream processing frameworks
 - Dataflow models
 - Time-Aware Computations
- Formal Methods
 - Verification using proof assistants
 - Isabelle proofs
 - Verified + executable + efficient code
- Formalization of Time-Aware Stream Processing

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Contents

- Introduction
- Preliminaries
- Lazy Lists Processors
- Time-Aware Operators
- Case Study
- Next Steps

Introduction

- Stream Processing: Abstraction for processing data when the input is not completely presented in the begging of the computation
- Dataflow Model
 - Directed graph of interconnected operators that perform event-wise transformations
 - Examples: Apache Flink, Apache Samza, Apache Spark, Google Cloud Dataflow, and Timely Dataflow

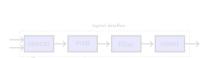


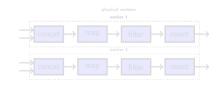


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 - Timestamps: Metadata associating the data with some data collection
 - Watermarks: Metadata indicating the completion of a data collection,

- Stream Processing: Abstraction for processing data when the input is not completely presented in the begging of the computation
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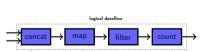


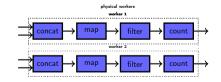


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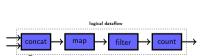


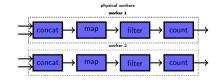


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Preliminaries

Isabelle/HOL

- Classical higher-order logic (HOL): Simple Typed Lambda Calculus + (Hilbert) axiom of choice + axiom of infinity + rank-1 polymorphism
- Isabelle: A generic proof assistant

- Isabelle/HOL: Isabelle's flavor of HOL
- All functions in Isabelle/HOL must be total

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- Isabelle/HOL: Isabelle's flavor of HOL
- All functions in Isabelle/HOL must be total

- Examples:
 - LNil
 - LCons 1 (LCons 2 (LCons 3 LNil))
 - LCons 0 (LCons 0 (LCons 0 (...)))
- Induction principle assuming membership in the lazy list
- Coinductive principle for lazy list equality:
 - Show that there is a pair of goggles that makes them to look the same, which implies that:
 - The first lazy list is empty iff second is
 - They have the same head
 - Their tail looks the same

```
codatatype (lset: 'a) llist = lnull: LNil | LCons (lhd: 'a) (ltl: 'a llist)
 for map: Imap where Itl LNil = LNil
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Isabelle/HOL: Recursion and While Combinator

Recursion

```
fun lshift :: 'a list \Rightarrow 'a llist \Rightarrow 'a llist (infixr @@ 65) where lshift [] lxs = lxs | lshift (x \# xs) lxs = LCons x (lshift xs \ lxs)
```

While Combinator

```
definition while_option :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a \text{ option where while_option } b \text{ c } s = \dots
```

- While rule for invariant reasoning (hoare-style):
 - There is something that holds before a step; that thing still holds after the step

- Corecursion is like recursion, but instead of always eventually reducing an argument it always eventually produces something
- Corec:

```
corec lapp :: 'a llist \Rightarrow 'a llist \Rightarrow 'a llist where lapp lxs lys = case lxs of LNil \Rightarrow lys | LCons x lxs' \Rightarrow LCons x (lapp lxs' lys)
```

- Friendly function
 - Preserves productivity: it may consume at most one constructor to produce one constructor.

```
friend_of_corec lshift where

xs @@ lxs = (case xs of \\ [] \Rightarrow (case lxs of LNil \Rightarrow LNil | LCons x lxs' \Rightarrow LCons x lxs') \\ | x#xs' \Rightarrow LCons x (xs' @@ lxs))
by (auto split: list.splits llist.splits) (transfer_prover)
```

• Coinduction up to congruence: Coinduction for Lazy list equality can be extended to compare an

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|concat lxs = case lxs of LNil \Rightarrow LNil | LCons xs lxs' \Rightarrow |shift xs (|concat lxs')|
```

• Coinduction up to congruence: Coinduction for Lazy list equality can be extended to compare an entire finite prefix through a congruence relation

Isabelle/HOL: (Co)inductive Predicates

- Inductive predicate
 - Finite number of introduction rule applications

```
inductive in_llist :: 'a \Rightarrow 'a \text{ llist} \Rightarrow bool \text{ where}
In_llist: in_llist x \text{ (LCons } x \text{ lxs)}
| Next_llist: in_llist x \text{ lxs} \Rightarrow \text{in_llist } x \text{ (LCons } y \text{ lxs)}
in_llist 2 (LCons 1 (LCons (2 (...))))
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- Coinduction principle
- But not coinduction up to congruence for free

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Lazy Lists Processors

Operator formalization

- Operator as a codatatype
 - Taking 'i as the input type, and 'o as the output type: codatatype ('o, 'i) op = Logic (apply: ('i \Rightarrow ('o, 'i) op \times 'o list))
 - Infinite trees: applying the selector apply "walks" a branch of the tree

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 - Taking 'i as the input type, and 'o as the output type: codatatype ('o, 'i) op = Logic (apply: ('i \Rightarrow ('o, 'i) op \times 'o list))
 - Infinite trees: applying the selector apply "walks" a branch of the tree

Execution formalization

• Produce function: applies the logic (co)recursively throughout a lazy list

```
definition \operatorname{produce}_1' op lxs = \operatorname{while\_option} (\lambda(op, lxs). \neg \operatorname{Inull} lxs \land \operatorname{snd} (\operatorname{apply} op (\operatorname{Ihd} lxs)) = []) (\lambda(op, lxs). (\operatorname{fst} (\operatorname{apply} op (\operatorname{Ihd} lxs)), \operatorname{Itl} lxs)) (op, lxs) definition \operatorname{produce}_1 op lxs = (\operatorname{case} \operatorname{produce}_1' op \, lxs \, \operatorname{of} \, \operatorname{None} \Rightarrow \operatorname{None} |\operatorname{Some} (op', lxs') \Rightarrow \operatorname{if} \operatorname{Inull} \, lxs' \, \operatorname{then} \, \operatorname{None} \, \operatorname{else} \operatorname{let} (op'', out) = \operatorname{apply} \, op' \, (\operatorname{Ihd} \, lxs') \, \operatorname{in} \, \operatorname{Some} \, (op'', \operatorname{hd} \, out, \, \operatorname{Itl} \, lxs')) corec produce \operatorname{where} \operatorname{produce} \, op \, lxs = (\operatorname{case} \, \operatorname{produce}_1 \, op \, lxs \, \operatorname{of} \, \operatorname{None} \Rightarrow \operatorname{LNil} |\operatorname{Some} (op', x, xs, lxs') \Rightarrow \operatorname{LCons} \, x \, (xs \, @@ \, \operatorname{produce} \, op' \, lxs'))
```

produce₁ has an induction principle based on the while invariant rule

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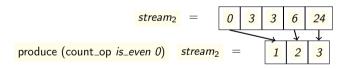
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Operators: Count

• Example:

corec count_op where count_op P n = Logic (λe . if P e then (count_op P (n + 1), [n+1]) else (count_op P n, []))



Sequential Composition

 Sequential composition: take the output of the first operator and give it as input to the second operator.

```
definition fproduce op \ xs = fold \ (\lambda e \ (op, out).
let (op', out') = apply \ op \ e \ in \ (op', out @ out')) \ xs \ (op, [])
corec comp_op where
comp_op op_1 \ op_2 = Logic \ (\lambda ev.
let (op_1', out) = apply \ op_1 \ ev; \ (op_2', out') = fproduce \ op_2 \ out \ in \ (comp_op \ op_1' \ op_2', out'))
```

Sequential Composition: Correctness

Correctness:

```
produce (comp_op op_1 op_2) lxs = produce <math>op_2 (produce op_1 lxs)
```

- Proof: coinduction principle for lazy list equality and produce₁ induction principle
 - Generalization: we must be able to reason about elements in arbitrary positions

```
corec skip_op where skip_op op \ n = \text{Logic} \ (\lambda ev. \ \text{let} \ (op', out) = \text{apply} \ op \ ev \ \text{in} if length out < n then (\text{skip\_op} \ op' \ (n - \text{length} \ out), []) else (op', \text{drop} \ n \ out))
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Correctness: Coinduction up to congruence for lazy list equality

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Correctness:

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produce (comp_op op_1 op_2) lxs = produce <math>op_2 (produce op_1 lxs)
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• Correctness: Coinduction up to congruence for lazy list equality

Time-Aware Operators

Time-Aware Streams

• Time-Aware lazy lists

```
datatype ('t::order, 'd) event = DT (tmp: 't) (data: 'd) | WM (wmk: 't)
```

- Generalization to partial orders
 - Cycles
 - Operators with multiple inputs

Time-Aware Streams

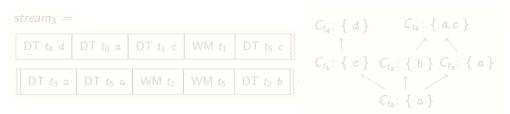
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Monotone Time-Aware Streams

• Monotone: watermarks do not go back in time

```
coinductive monotone :: ('t::order, 'd) event llist \Rightarrow 't set \Rightarrow bool where LNil: monotone LNil W | LConsR: (\forall wm' \in W. \neg wm' \geq wm) \longrightarrow monotone lxs (\{wm\} \cup W) \longrightarrow monotone (LCons (WM wm) lxs) W | LConsL: (\forall wm \in W. \neg wm \geq t) \longrightarrow monotone lxs W \longrightarrow monotone (LCons (DT t d) lxs) W
```

- Up to congruence coinduction principle
- Example

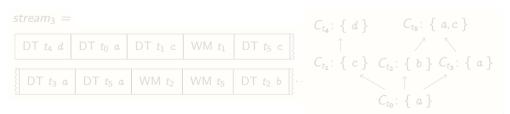


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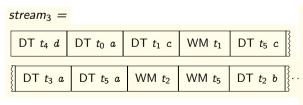


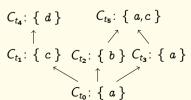
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- Productive: always eventually allows the production
 - Batching operators: accumulate data until its completion
 - Data is always eventually completed by some watermark

```
coinductive productive where
LFinite: Ifinite lxs \longrightarrow \text{productive } lxs
| EnvWM: \neg Ifinite lxs \longrightarrow (\exists u \in \text{vimage WM (lset } lxs). \ u \ge t) \longrightarrow \text{productive } lxs \longrightarrow \text{productive (LCons (DT } t \ d) \ lxs)
| SkipWM: \neg Ifinite lxs \longrightarrow \text{productive } lxs \longrightarrow \text{productive (LCons (WM } t) \ lxs)
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```

Building Blocks: Batch Operator

- Building Blocks: reusable operators
 - Batching and incremental computations
- batch_op: produces batches of accumulated dat

```
corec batch_op where batch_op buf = \text{Logic } (\lambda ev. \text{ case } ev \text{ of DT } t \text{ } d \Rightarrow (\text{batch_op } (buf @ [(t, d)]), [])
| \text{ WM } wm \Rightarrow \text{ if } \exists (t, d) \in \text{ set } buf. \text{ } t \leq wm
\text{then let } out = \text{filter } (\lambda(t, \_). \text{ } t \leq wm) \text{ } buf;
\text{buf'} = \text{filter } (\lambda(t, \_). \text{ } \neg \text{ } t \leq wm) \text{ } buf
\text{ in } (\text{batch_op } buf, [\text{DT } wm \text{ } out, \text{ WM } wm])
\text{else } (\text{batch_op } buf, [\text{WM } wm]))
```

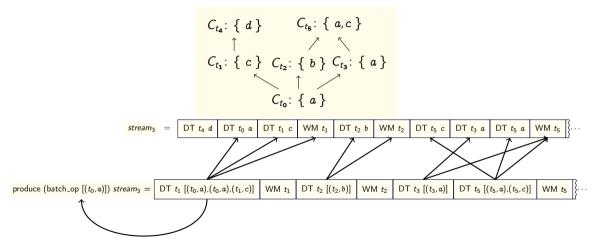
Building Blocks: Batch Operator

- Building Blocks: reusable operators
 - Batching and incremental computations
- batch_op : produces batches of accumulated data

```
corec batch_op where batch_op buf = \text{Logic } (\lambda ev. \text{ case } ev \text{ of DT } t \text{ } d \Rightarrow (\text{batch_op } (buf @ [(t, d)]), []) | WM wm \Rightarrow \text{if } \exists (t, d) \in \text{set } buf. \text{ } t \leq wm then let out = \text{filter } (\lambda(t, \_). \text{ } t \leq wm) \text{ } buf; buf = \text{filter } (\lambda(t, \_). \neg \text{ } t \leq wm) \text{ } buf in (\text{batch_op } buf, [\text{DT } wm \text{ } out, \text{ WM } wm]) else (\text{batch_op } buf, [\text{WM } wm]))
```

Batch Operator: Soundness

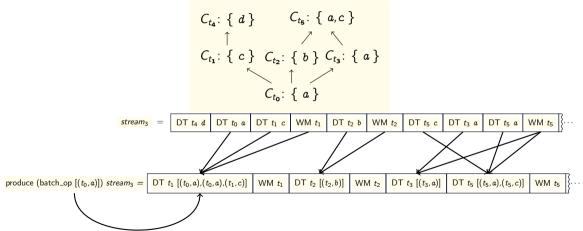
• Given a monotone time-aware stream



• Proof: Iset induction, and generalization with skip_op

Batch Operator: Completeness

- Given a monotone and productive time-aware stream
- if not finite



• Proof: induction over the position (nat) of the element in the input, and soundness of batch_op

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Batch Operator: Monotone and productive preservation

• The operators must preserve monotone and productive, so we can compose it with something that needs these properties!

monotone
$$lxs \ W \longrightarrow monotone (produce (batch_op buf) \ lxs) \ W$$
 (1)

productive
$$lxs \longrightarrow productive$$
 (produce (batch_op buf) lxs) (2)

Building Blocks: Incremental Operator

Batch Operator: Soundness

Batch Operator: Completeness

Batch Operator: Monotone

Batch Operator: Productive

Compositional Reasoning

Case Study

Histogram

Histogram: Soundness

Histogram: Completeness

Histogram: Monotone

Histogram: Productive

Efficient Histogram

• Foo

Join

Join: Soundness

Join: Completeness

Join: Monotone

Next Steps

Next Steps

Questions, comments and suggestions