

# Net-Based Applications

Chapter 9: XQuery

Holger Schwarz  
Universität Stuttgart

Winter Term 2016/2017

# Overview

- Motivation and introduction
- Node construction
- FLWOR expressions
  - Syntax and Clauses
  - Joins in XQuery
  - Grouping and Aggregation
- User-defined functions
- Updates

# Processing XML Data

- Processing XML data is needed for:
  - Querying XML data
  - Translation of information from one XML schema to another
- Standard XML querying/translation languages:
  - **XPath**
    - Simple language consisting of path expressions.
  - **XSLT**
    - Simple language designed for translation from XML to XML and XML to other text-based languages.
  - **XQuery**
    - An XML query language with a rich set of features.
    - XQuery builds on experience with existing query languages: XPath, Quilt, XQL, XML-QL, Lorel, YATL, SQL, OQL, ...

# Processing XML Data: XQuery

- XQuery is a general purpose query language for XML data.
- Standardized by the World Wide Web Consortium (W3C):  
XQuery 1.0: W3C Recommendation 23 January 2007
- **XQuery** is derived from the **Quilt** query language, which itself borrows from:  
("Quilt" refers both to the origin of the language and to its use in "knitting " together heterogeneous data sources)
  - **XPath**: a concise language for navigating in trees
  - **XML-QL**: a powerful language for generating new structures
  - **SQL**: a database language based on a series of keyword-clauses: SELECT - FROM – WHERE
  - **OQL**: a functional language in which many kinds of expressions can be nested with full generality

# Why using a query language?

- XQuery is a domain-specific query language (domain: XML)
- Why learn XQuery when you can use Java and some XML API (like DOM, SAX, StAX, ...)?  
2 reasons:
- **Ease of use**
  - work with domain-specific concepts directly (instead of API)
  - express the same thing with fewer lines of code
- **Performance**
  - optimized for tasks common to domain
  - no overhead because of API
  - less constraints: declare, what the result should be, not how it is obtained → potential for automatic optimization

# XQuery: Language Requirements

- XQuery should be applicable to XML documents
  - without type information
  - with some type information (DTD)
  - with detailed type information (XML schema)
- An XML query language must be able to:
  - Query deeply nested and heterogeneous structures
  - Query metadata as well as user data
  - Search for objects by absolute and relative order
  - Preserve order of objects in input documents
  - Impose new ordering at multiple levels of output
  - Handle missing data and sparse data
  - Preserve or transform the structure of a document
  - Exploit references to unknown or heterogeneous types
  - Easily define recursive functions
  - Provide a very flexible data definition facility

# Overview

- Motivation and introduction
- Node construction
- FLWOR expressions
  - Syntax and Clauses
  - Joins in XQuery
  - Grouping and Aggregation
- User-defined functions
- Updates

# Document Node

- Represents an XML document
- No single root element required (as in XML 1.0)
- Constructor: `document {Expr}`
- Example

```
document {<course>Advanced Information Management</course>}
```



# Element Nodes

- Represents an XML element
- Direct element construction

```
{<course>Advanced Information Management</course>}
```

- Element construction using expressions

```
<x y="6*7 = {6*7}">  
It is { true() or false() }!  
</x>
```



```
<x y="6*7 = 42">It is true !</x>
```

```
<add>  
  {{ 1 + 1 = { 1+1 } }}  
</add>
```



```
<add>{ 1 + 1 = 2 }</add>
```

↑  
escaped curly braces

# Attribute Nodes

- Provide attribute value directly

```
{<course id="728">Advanced Information Management</course>}
```

- Attribute values and expressions
  - (part of an) attribute value may be provided as an expression
  - evaluated expression is turned into a sequence of atomic values (atomization)
  - elements of the sequence are casted to xs:string
  - all strings are concatenated to provide the attribute value

```
<employee salary="$  
{ <calculate>  
  12*4000  
</calculate>  
} per year"/>
```



```
<employee salary="$ 48000 per year" />
```

# Element and Attribute Names

- May be taken from an expression as well
- Constructors:
  - `element name { expr }`
  - `element { expr } { expr }`
  - `attribute name { expr }`
  - `attribute { expr } { expr }`
- Examples

```
element {concat("a", "b")} {"c", 3} }
```



```
<ab>c 3</ab>
```

```
<x>{ attribute {"ab"} {"c", "d", 3} }</x>
```



```
<x ab="c d 3" />
```

# Other Node Types

- Text

```
text {"Content of the text node."}
```

```
<![CDATA[Content of the text node.]]>
```

- Comment

```
comment {"My comment!"}
```

```
<!-- My comment! -->
```

- Namespace

```
namespace foo {urn:bar}
```



```
xmlns:foo="urn:bar"
```

- Processing instructions

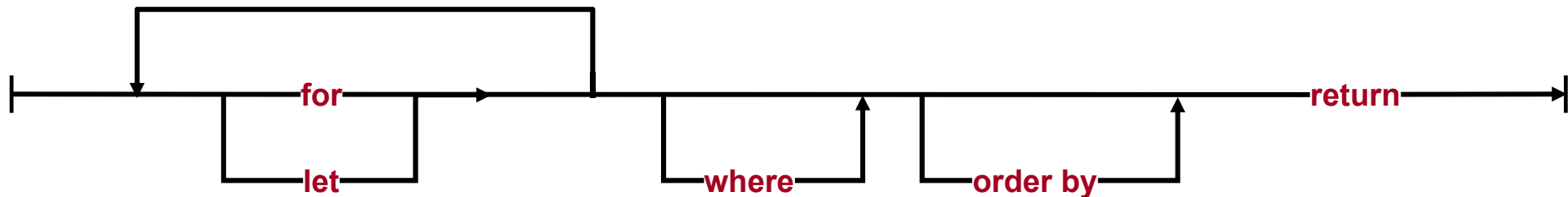
```
<?target content ?>
```

```
processing-instruction {"target"} {"content"}
```

# Overview

- Motivation and introduction
- Node construction
- FLWOR expressions
  - Syntax and Clauses
  - Joins in XQuery
  - Grouping and Aggregation
- User-defined functions
- Updates

# FLWOR Syntax



- **for** clause:  
iterates over a set of nodes (possibly specified by an XPath expression), binding a variable to the individual nodes in the set
- **let** clause:  
binds a variable to the result of an expression
- **where** clause:  
applies a predicate to filter the variables bound by FOR and LET
- **order by** clause:  
allows ordering on multiple levels of nesting
- **return** clause:  
constructs the output

# XQuery Syntax

- Associations to SQL query expressions
  - for** ⇔ SQL from
  - where** ⇔ SQL where
  - order by** ⇔ SQL order by
  - return** ⇔ SQL select
  - let** allows temporary variables, and has no equivalent in SQL
- XQuery is a functional language
- Each query is an expression
- Expressions can be nested with full generality
  - XPath expressions
  - Element constructors
  - FLWOR expressions
- Path expressions can be used in various places
  - in the For clause to bind variables
  - in the Let clause to bind variables to results of path expressions

# Syntax

FLWORExpr	::= (ForClause   LetClause)+ WhereClause? OrderByClause? <b>return</b> ExprSingle
ForClause	::= <b>for</b> \$VarName TypeDeclaration? PositionalVar? <b>in</b> ExprSingle (", " \$VarName TypeDeclaration? PositionalVar? <b>in</b> ExprSingle)*
LetClause	::= <b>let</b> \$VarName TypeDeclaration? := ExprSingle (", " \$VarName TypeDeclaration? := ExprSingle)*
TypeDeclaration	::= <b>as</b> SequenceType
PositionalVar	::= <b>at</b> \$ VarName
WhereClause	::= <b>where</b> Expr
OrderByClause	::= ( <b>order by</b>   <b>stable order by</b> ) OrderSpecList
OrderSpecList	::= OrderSpec (", " OrderSpec)*
OrderSpec	::= ExprSingle OrderModifier
OrderModifier	::= ( <b>ascending</b>   <b>descending</b> )? (( <b>empty greatest</b> )   ( <b>empty least</b> ))? ( <b>collation</b> StringLiteral)?



# Let Clause

- Allows to bind the result of an expression, e.g., an XPath expression, to a variable
- The **variable contains the sequence** of atomic values and/or nodes provided by the expression
- The remaining query is evaluated once with this variable binding
- Examples

```
let $v := (<university><student /><professor /></university>)  
return $v
```



```
<university>  
  <student />  
  <professor />  
</university>
```

```
let $w := fn:doc("university.xml")  
...
```

# For Clause

- Allows to bind the result of an expression, e.g., an XPath expression, to a variable
- The **variable contains one item of the sequence** provided by the expression
- The remaining query is evaluated for each item of the sequence
- Example

```
for $i in (1, 2), $j in (3, 4, 5), $k in (6, 7)
return ($i, $j, $k)
```



```
(1, 3, 6, 1, 3, 7, 1, 4, 6, 1, 4, 7, 1, 5, 6, 1, 5, 7,
2, 3, 6, 2, 3, 7, 2, 4, 6, 2, 4, 7, 2, 5, 6, 2, 5, 7)
```

cartesian product of  
input sequences

# Comparing Let Clause and For Clause

- Let Clause

```
let $i := ("x", "y", "z")  
return fn:count($i)
```

➔ 3

```
let $i := (<x />, <y />, <z />)  
return <xyz>{$i}</xyz>
```

➔ <xyz><x /><y /><z /></xyz>

- For Clause

```
for $i in ("x", "y", "z")  
return fn:count($i)
```

➔ (1, 1, 1)

```
for $i in (<x />, <y />, <z />)  
return <xyz>{$i}</xyz>
```

➔  
<xyz><x /></xyz>  
<xyz><y /></xyz>  
<xyz><z /></xyz>

# Position Variables

- Allows to refer to the position of items in a sequence
- Example:

```
<student_list>
{
  for $x at $i in (<student1 />, <student2 />, <student3 />)
  return (<id>{$i}</id>,$x)
}
</student_list>
```



```
<student_list>
  <id>1</id><student1 />
  <id>2</id><student2 />
  <id>3</id><student3 />
</student_list>
```

# Let/For Clause and Types

- Check the type of items of the given sequence
- Runtime error if not
- Example:

```
for $x as xs:integer in ("John", "Tom", "Max")  
return $x * 10
```



# Where Clause

- Provides predicates that are evaluated on current variable binding
- Variable binding is kept if where clause evaluates to true
- Example

```
<selected_students>
{
  for $x at $i in (<student1 yearOfBirth="1989" />,
                  <student2 yearOfBirth="1990" />,
                  <student3 yearOfBirth="1989" />)
  where $x/@yearOfBirth = 1989
  return (<id>{$i}</id>,$x)
}
</selected_students>
```



```
<selected_students>
<id>1</id><student1 yearOfBirth="1989" />
<id>3</id><student3 yearOfBirth="1989" />
</selected_students>
```

# Order By Clause

- Defines order in which variable bindings are processed by return clause
- Use `ascending` or `descending` to describe sort order
- Use `empty greatest` or `empty least` to describe how to treat missing values
- Use `collation` to define sort order for strings
- How to order variable bindings that are equal according to the given sort order?
  - `stable order by`: use document order
  - `order by`: order undefined
- Use `fn:unordered` to ignore document order (opens up optimization opportunities)

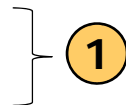
# Order By Clause

- Example: ascending/descending

```
for $x at $i in
  (<student1 age="20" />,
   <student2 age="19" />,
   <student3 age="20" />)
order by $x/@age ascending
return $x
```



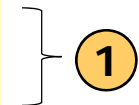
```
<student2 age="19" />
<student3 age="20" />
<student1 age="20" />
```



```
for $x at $i in
  (<student1 age="20" />,
   <student2 age="19" />,
   <student3 age="20" />)
order by $x/@age descending
return $x
```



```
<student3 age="20" />
<student1 age="20" />
<student2 age="19" />
```



① implementation-specific order



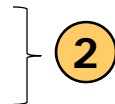
# Order By Clause

- Example: *stable order by*

```
for $x at $i in
  (<student1 age="20" />,
   <student2 age="19" />,
   <student3 age="20" />)
stable order by $x/@age ascending
return $x
```



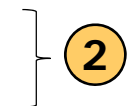
```
<student2 age="19" />
<student1 age="20" />
<student3 age="20" />
```



```
for $x at $i in
  (<student1 age="20" />,
   <student2 age="19" />,
   <student3 age="20" />)
stable order by $x/@age descending
return $x
```



```
<student1 age="20" />
<student3 age="20" />
<student2 age="19" />
```



② document order

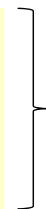
# Order By Clause

- Example: `fn:unordered`

```
for $x at $i in
  fn:unordered((<student1 age="20" />,
                <student2 age="19" />,
                <student3 age="20" />,
                <student4 age="21" />))
return $x
```



```
<student1 age="20" />
<student4 age="21" />
<student3 age="20" />
<student2 age="19" />
```



implementation-specific order

# Return Clause

- Provides model for query output
- Use element constructors, attribute constructors, variable references and nested FLWOR expressions
- References to variables need an evaluation context marked by { }
- Example

```
for $x in (<student1 />,<student2 />)  
return <data>$x</data>
```



```
<data>$x</data>  
<data>$x</data>
```

```
for $x in (<student1 />,<student2 />)  
return <data>{$x}</data>
```

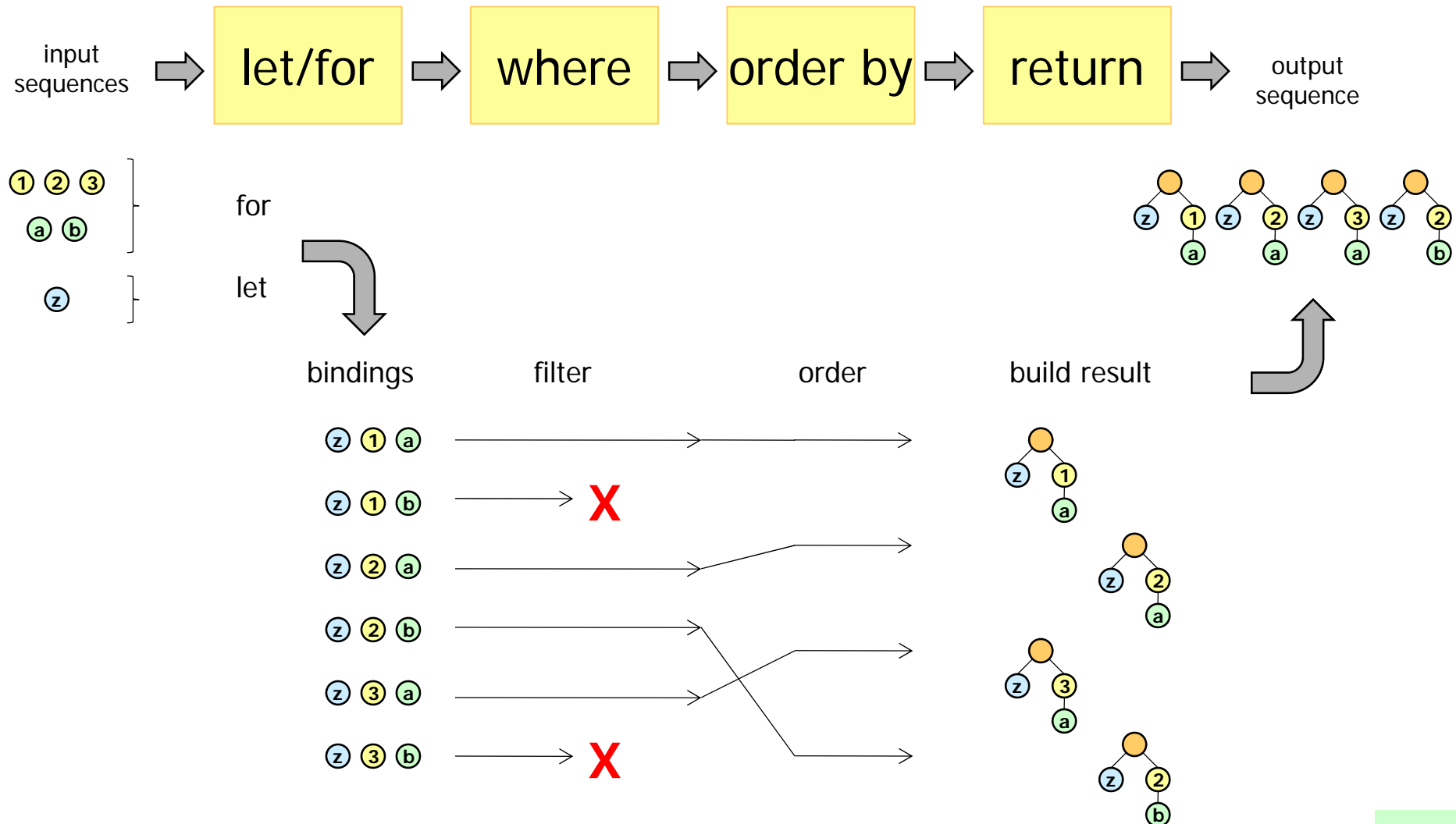


```
<data><student1 /></data>  
<data><student2 /></data>
```

```
for $x in fn:doc("students.xml")  
order by $x/student/dateOfBirth  
return element anonymousStudent  
  { $x/student/* except $x/student/name }
```

result covers all information  
on students except their name

# Evaluating FLWOR Expressions



# Overview

- Motivation and introduction
- Node construction
- FLWOR expressions
  - Syntax and Clauses
  - Joins in XQuery
  - Grouping and Aggregation
- User-defined functions
- Updates

# Joining Sequences of Values

- For clauses define sequences to be combined
- cross-product or join possible

```
for $x in (1, 2, 3)
for $y in (3, 4, 5)
return ($i, $j)
```



```
(1, 3, 1, 4, 1, 5, 2, 3, 2,
4, 2, 5, 3, 3, 3, 4, 3, 5)
```

```
for $x in (1, 2, 3)
for $y in (3, 4, 5)
where $i = $j
return ($i, $j)
```



```
(3, 3)
```

# Sample Documents

projects.xml

```
<?xml version='1.0' ?>
<Projects>
  <Project id="X1" owner="E2">
    <Name>Enter the Tuple Space</Name>
    <Category>Video Games</Category>
  </Project>
  <Project id="X2" owner="E1">
    <Name>Cryptic Codes</Name>
    <Category>Puzzles</Category>
  </Project>
  <Project id="X3" owner="E5">
    <Name>XQuery Bandit</Name>
    <Category>Video Games</Category>
  </Project>
  <Project id="X4" owner="E3">
    <Name>Micropoly</Name>
    <Category>Board Games</Category>
  </Project>
</Projects>
```

team.xml

```
<?xml version='1.0' ?>
<Team name="Project 42">
  <Employee id="E6" years=4.3">
    <Name>Chaz Hoover</Name>
    <Title>Architect</Title>
    <Expertise>Puzzles</Expertise>
    <Expertise>Games</Expertise>
  <Employee id="E2" years="6.1">
    <Name>Carl Yates</Name>
    <Title>Dev Lead</Title>
    <Expertise>Video Games</Expertise>
  <Employee id="E4" years=1.2">
    <Name>Panda Serai</Name>
    <Title>Developer</Title>
    <Expertise>Hardware</Expertise>
    <Expertise>Entertainment</Expertise>
  </Employee>
  <Employee id="E5" years="0.6">
    <Name> Jason Abedora</Name>
    <Title>Developer</Title>
    <Expertise>Puzzles</Expertise>
  </Employee>
</Employee>
  <Employee id="E1" years="8.2">
    <Name>Kandy Konrad</Name>
    <Title>QA Lead</Title>
    <Expertise>Movies</Expertise>
    <Expertise>Sports</Expertise>
  <Employee id="E0" years="8.5">
    <Name>Wanda Wilson</Name>
    <Title>QA Engineer</Title>
    <Expertise>Home Theater</Expertise>
    <Expertise>Board Games</Expertise>
    <Expertise>Puzzles</Expertise>
  </Employee>
</Employee>
  <Employee id="E3" years="2.8">
    <Name>Jim Barry</Name>
    <Title>QA Engineer</Title>
    <Expertise>Video Games</Expertise>
  </Employee>
</Employee>
</Team>
```

# Joining Several Documents (1:1, n:1)

- Query: Find all projects and the names of their owners

```
for $proj in fn:doc("projects.xml")/Projects/Project
for $emp in fn:doc("team.xml")//Employee
where $proj/@owner = $emp/@id
return $proj/Name, $emp/Name
```



```
<Name>Enter the Tuple Space</Name>
<Name>Carl Yates</Name>
<Name>Cryptic Code</Name>
<Name>Kandy Konrad</Name>
<Name>XQuery Bandit</Name>
<Name>Jason Abedora</Name>
<Name>Micropoly</Name>
<Name>Jim Barry</Name>
```



one-to-one relationship  
between project  
and owner



# Joining Several Documents

- Join predicate as path expression

```
for $proj in fn:doc("projects.xml")/Projects/Project
for $emp in fn:doc("team.xml")//Employee[@id = $proj/@owner]
return $proj/Name, $emp/Name
```



```
<Name>Enter the Tuple Space</Name>
<Name>Carl Yates</Name>
<Name>Cryptic Code</Name>
<Name>Kandy Konrad</Name>
<Name>XQuery Bandit</Name>
<Name>Jason Abedora</Name>
<Name>Micropoly</Name>
<Name>Jim Barry</Name>
```

# Joining Several Documents

- Group result by sub elements

```
for $proj in fn:doc("projects.xml")/Projects/Project
for $emp in fn:doc("team.xml")//Employee[@id = $proj/@owner]
return <Assignment>{$proj/Name, $emp/Name}</Assignment>
```



```
<Assignment>
  <Name>Enter the Tuple Space</Name>
  <Name>Carl Yates</Name>
</Assignment>
<Assignment>
  <Name>Cryptic Code</Name>
  <Name>Kandy Konrad</Name>
</Assignment>
<Assignment>
  <Name>XQuery Bandit</Name>
  <Name>Jason Abedora</Name>
</Assignment>
<Assignment>
  <Name>Micropoly</Name>
  <Name>Jim Barry</Name>
</Assignment>
```

# Joining Several Documents

- Group result by elements and attributes

```
for $proj in fn:doc("projects.xml")/Projects/Project
for $emp in fn:doc("team.xml")//Employee[@id = $proj/@owner]
return <Assignment proj="{ $proj/Name}" emp="{ $emp/Name}" />
```



```
<Assignment proj="Enter the Tuple Space" emp="Carl Yates" />
<Assignment proj="Cryptic Code" emp="Kandy Konrad" />
<Assignment proj="XQuery Bandit" emp="Jason Abedora" />
<Assignment proj="Micropoly" emp="Jim Barry" />
```

# Joining Several Documents (n:m)

- Query: Find for each project all employees that have the appropriate expertise.

```
for $proj in fn:doc("projects.xml")/Projects/Project
for $emp in fn:doc("team.xml")//Employee
where $proj/Category = $emp/Expertise
return <Assignment proj="{ $proj/Name}" emp="{ $emp/Name}" />
```



```
<Assignment proj="Enter the Tuple Space" emp="Carl Yates" />
<Assignment proj="Enter the Tuple Space" emp="Jim Barry" />
<Assignment proj="Cryptic Code" emp="Chaz Hoover" />
<Assignment proj="Cryptic Code" emp="Jason Abedora" />
<Assignment proj="Cryptic Code" emp="Wanda Wilson" />
<Assignment proj="XQuery Bandit" emp="Carl Yates" />
<Assignment proj="XQuery Bandit" emp="Jim Barry" />
<Assignment proj="Micropoly" emp="Wanda Wilson" />
```

many-to-many  
relationship  
between project  
and employee

projects with no  
appropriate  
employee are  
missing

# Outer Join

- Query: Find all projects and for each of them all employees (possibly none) that have the appropriate expertise.

```
for $proj in fn:doc("projects.xml")/Projects/Project
let $emp := fn:doc("team.xml")//Employee[Expertise = $proj/Category]
return <Assignment proj="{ $proj/Name }">{ $emp/Name }</Assignment>
```



```
<Assignment proj="Enter the Tuple Space">
  <Name>Carl Yates</Name>
  <Name>Jim Barry</Name>
</Assignment>
<Assignment proj="Cryptic Code">
  <Name>Chaz Hoover</Name>
  <Name>Jason Abedora</Name>
  <Name>Wanda Wilson</Name>
<Assignment proj="XQuery Bandit">
  <Name>Carl Yates</Name>
  <Name>Jim Barry</Name>
</Assignment>
<Assignment proj="Micropoly">
  <Name>Wanda Wilson</Name>
</Assignment>
```

# Self-Join

- Join a sequence with itself
- Query: Find all employees having the same job title as employee "E0" (Wanda Wilson).

```
let $emp := fn:doc("team.xml")//Employee
for $i in $emp, $j in emp
where $i/Title = $j/Title and $i/@id = "E0"
return $j/Name
```



```
<Name>Wanda Wilson</Name>
<Name>Jim Barry</Name>
```

# Joins Based on ID Attributes

- Joins on a single document may use ID attributes and references to them
- Functions: fn:id
  - fn:id delivers all element nodes having one ID from a list of IDs
  - fn:idref delivers all nodes referring to certain IDs
  - The first argument specifies a series of string values (IDs) to be looked up.
- Assume combined sample document projectsAndTeam.xml
  - owner references ID attribute of employees

projectsAndTeam.xml

```
<?xml version='1.0' ?>
<Projects>
  <Project id="X1" owner="E2">
    <Name>Enter the Tuple Space</Name>
    <Category>Video Games</Category>
  </Project>
  <Project id="X2" owner="E1">
    <Name>Cryptic Codes</Name>
    <Category>Puzzles</Category>
  </Project>
  <Project id="X3" owner="E5">
    <Name>XQuery Bandit</Name>
    <Category>Video Games</Category>
  </Project>
  <Project id="X4" owner="E3">
    <Name>Micropoly</Name>
    <Category>Board Games</Category>
  </Project>
</Projects>
<Team name="Project 42">
  <Employee id="E6" years=4.3">
    <Name>Chaz Hoover</Name>
    <Title>Architect</Title>
    <Expertise>Puzzles</Expertise>
    <Expertise>Games</Expertise>
    ...
  </Employee>
</Team>
```

# Joins Based on ID Attributes

- Query: Find all projects and the names of their owners

```
for $proj in fn:doc("projectsAndTeam.xml")/Projects/Project
let $emp := fn:id($proj/@owner)
return <Assignment>{$proj/Name, $emp/Name}</Assignment>
```

find the owner  
for a project

```
for $emp in fn:doc("projectsAndTeam.xml")//Employee
let $proj := (
  for $x in fn:idref($emp/@ID)/..
  where $x instance of element(Project)
  return $x)
return <Assignment>{$proj/Name, $emp/Name}</Assignment>
```

find the projects  
for which the employee  
acts as owner



# Overview

- Motivation and introduction
- Node construction
- FLWOR expressions
  - Syntax and Clauses
  - Joins in XQuery
  - Grouping and Aggregation
- User-defined functions
- Updates

# Aggregate Functions

signature	description
<code>fn:count(   \$seq as item()* ) as xs:integer</code>	returns the number of items in the sequence
<code>fn:avg(   \$seq as xs:anyAtomicType*) as xs:anyAtomicType?</code>	returns the average of the values in the sequence
<code>fn:min / fn:max(   \$seq as xs:anyAtomicType*) as xs:anyAtomicType?</code>	returns the item having the minimum / maximum value from the sequence
<code>fn:sum(   \$seq as xs:anyAtomicType*) as xs:anyAtomicType</code>	returns the sum of all values in the sequence

# Grouping by Structure

```
<?xml version='1.0' ?>
<University>
  <Students>
    <Student><Name>Naumann</Name>    <Age>32</Age>        </Student>
    <Student><Name>Shore</Name>        <Age>27</Age>        </Student>
    <Student><Name>Meier</Name>         <Age>25</Age>        </Student>
  </Students>
  <Professors>
    <Professor><Name>Guldenstern</Name><Age>41</Age>        </Professor>
    <Professor><Name>Murawitz</Name>   <Age>65</Age>        </Professor>
  </Professors>
</University>
```

group by  
subnodes of  
university

```
<University> {
  for $u in fn:doc("...")//University/*
  let $x := $u/*/Age
  return
    element {fn:node-name($u)} {<Age>{fn:avg($x)}</Age>}
} </University>
```

calculates average  
on sequence of age nodes

# Grouping by Element Value

```
<?xml version='1.0' ?>
<University>
  <Person> <Position>Student</Position><Name>Naumann</Name><Age>32</Age> </Person>
  <Person> <Position>Student</Position><Name>Shore</Name><Age>27</Age> </Person>
  <Person> <Position>Student</Position><Name>Meier</Name><Age>25</Age> </Person>
  <Person> <Position>Professor</Position><Name>Guldenstern</Name><Age>41</Age> </Person>
  <Person> <Position>Professor</Position><Name>Murawitz</Name><Age>65</Age> </Person>
</University>
```

```
<University> {
  for $p in fn:distinct-values(fn:doc("...")//Position/text())
  let $x := fn:doc("...")//Age[../Position/text() = $p]
  return
    element {$p} {<Age>{fn:avg($x)}</Age>}
} </University>
```

group by value  
of Position element

# Grouping by Attribute Values

```
<?xml version='1.0' ?>
<University>
  <Person Position="Student"> <Name>Naumann</Name><Age>32</Age> </Person>
  <Person Position="Student"> <Name>Shore</Name><Age>27</Age> </Person>
  <Person Position="Student"> <Name>Meier</Name><Age>25</Age> </Person>
  <Person Position="Professor"> <Name>Guldenstern</Name><Age>41</Age> </Person>
  <Person Position="Professor"> <Name>Murawitz</Name><Age>65</Age> </Person>
</University>
```

group by value  
of Position attribute

```
<University> {
  for $p in fn:distinct-values(fn:doc("...")//Person/@Position)
  let $x := fn:doc("...")//Age[../Person/@Position = $p]
  return
    element {$p} {<Age>{fn:avg($x)}</Age>}
} </University>
```

# Grouping by Element Names

```
<?xml version='1.0' ?>
<University>
  <Person> <Student /> <Name>Naumann</Name><Age>32</Age>    </Person>
  <Person> <Student /> <Name>Shore</Name><Age>27</Age>        </Person>
  <Person> <Student /> <Name>Meier</Name><Age>25</Age>         </Person>
  <Person> <Professor /> <Name>Guldenstern</Name><Age>41</Age></Person>
  <Person> <Professor /> <Name>Murawitz</Name><Age>65</Age>    </Person>
</University>
```

group by element  
name

```
<University> {
  for $p in fn:distinct-values(
    for $i in fn:doc("...")//Person/*[fn:node-name(.)='Student' or
                                         fn:node-name(.)='Professor']
    return fn:node-name($i))
  let $x := fn:doc("...")//Age[../fn:node-name(.) = $p]
  return
    element {$p} {<Age>{fn:avg($x)}</Age>}
} </University>
```

# Grouping by Several Dimensions

```
<?xml version='1.0' ?>
<University>
  <Students>
    <Student><Name>Naumann</Name>   <Age>32</Age> <Sex>F</Sex>   </Student>
    <Student><Name>Shore</Name>     <Age>27</Age> <Sex>M</Sex>   </Student>
    <Student><Name>Meier</Name>     <Age>25</Age> <Sex>M</Sex>   </Student>
  </Students>
  <Professors>
    <Professor><Name>Guldenstern</Name><Age>41</Age> <Sex>F</Sex> </Professor>
    <Professor><Name>Murawitz</Name> <Age>65</Age> <Sex>F</Sex> </Professor>
  </Professors>
</University>
```

```
<University> {
  for $p in fn:distinct-values(
    for $i in fn:doc("...")//University/*/*
    return fn:name($i))
  for $s in fn:distinct-values(fn:doc("...")//Sex)
  let $x := fn:doc("...")//[fn:name(.)=$p and Sex=$s]
  return
    (<Position>{$p}</Position><Sex>{$s}</Sex><Age>{fn:avg($x)}</Age>)
} </University>
```

# Grouping by Several Dimensions

- The following query removes empty groups from the result:

```
<University> {  
  for $p in fn:distinct-values(  
    for $i in fn:doc("...")//University/*/*  
    return fn:name($i))  
  for $s in fn:distinct-values(fn:doc("...")//Sex)  
  let $x := fn:doc("...")//[fn:name(.)=$p and Sex=$s]  
  where fn:exists($x)  
  return  
    (<Position>{$p}</Position><Sex>{$s}</Sex><Age>{fn:avg($x)}</Age>)  
} </University>
```



# Overview

- Motivation and introduction
- Node construction
- FLWOR expressions
  - Syntax and Clauses
  - Joins in XQuery
  - Grouping and Aggregation
- User-defined functions
- Updates

# User-Defined Functions (UDF)

- Declared after the query prolog but before the main part of the query
- Function body is either
  - an XQuery expression
  - externally defined
- No overloading
- Use namespace prefix local for functions in current module
- Examples:

```
declare function local:empty-sequence() as empty() {  
    ()  
}
```

```
declare function abs($i as xs:integer) as  
xs:integer {  
    if ($i<0) then -$i else $i  
}
```

# Syntax

FunctionDecl	::= <b>declare function</b> QName "(" ParamList? ")" ( <b>as</b> SequenceType )? ( EnclosedExpr   <b>external</b> )
ParamList	::= Param ("," Param)*
Param	::= \$ VarName TypeDeclaration?
TypeDeclaration	::= <b>as</b> SequenceType
EnclosedExpr	::= "{" Expr "}"
Expr	::= ExprSingle ("," ExprSingle)*
ExprSingle	::= FLWORExpr   QuantifiedExpr   TypeswitchExpr   IfExpr   OrExpr

# Prologue

- XQuery expressions can contain a prologue before the query body.
- The prologue can contain
  - Declarations of UDFs
  - Namespace declarations
  - Order declarations
  - Schema imports
  - ...
- Declarations are terminated by ;

```
declare namespace p = "http://dyomedea.com/ns/people";  
fn:doc( "author.xml" )/p:author/p:name
```

# Conditional Expressions

- Conditional expressions: **if ... then ... else ...**
  - condition may be any expression
  - IfExpr may be used wherever an expression is expected
  - else part is mandatory

- Usage:

- Expression evaluation depending on value

```
for $m in fn:doc("...")
return
  if ($m/Prize*0.1 < 5.0)
  then 5.0
  else if ($m/Prize > 100.0)
  then 10.0
  else $m/Prize*0.1
```

- Check existence

```
if ($m/Prize)
then ...
else 10.0
```

```
if (fn:exists($m/Prize))
then ...
else 10.0
```

# Quantified Expressions

- Existential quantification: **some**
  - evaluates to true or false
  - empty sequence: evaluates to false

```
some $x in ("Mitschang", "Schwarz") satisfies fn:string-length($x) < 8
```

- Universal quantification: **every**
  - evaluates to true or false
  - empty sequence: evaluates to true
  - Short-circuit evaluation:
    - evaluation stops as soon as the expression evaluates to false for one of the sequence elements
    - evaluation order depends on implementation

```
every $x in ("Mitschang", "Schwarz") satisfies fn:string-length($x) > 6
```

```
every $x in ("Mitschang", "Schwarz", 0.815) satisfies fn:string-length($x) = 9
```

false or runtime error

# Typeswitch Expressions

- Use **instance of** to check type of atomic values and sequences

```
<Text>some text</Text> instance of element(*, xs:string)
```

- Use **typeswitch** to concatenate type checks

```
typeswitch ($p)
  case element(*, Professor_T) return 50
  case element(*, Assistant_T) return 40
  case element(*, Student_T) return 30
  default return 10
```

```
typeswitch ($p)
  case $x as element(*, Professor_T) return <Professor>$x</Professor>
  case $x as element(*, Assistant_T) return <Assistant>$x</Assistant>
  case $y as element(*, Student_T) return <Student>$y</Student>
  default return <Others />
```

variable referring to the  
result of the switch expression

# Syntax

QuantifiedExpr ::= (**some** "\$" VarName | **every** "\$" VarName ) TypeDeclaration? **in** ExprSingle  
                  (", " "\$" VarName TypeDeclaration? **in** ExprSingle)\*  
                  **satisfies** ExprSingle

TypeSwitchExpr ::= **typeswitch** "(" Expr ")"  
                  CaseClause+  
                  **default** (" \$" VarName)? **return** ExprSingle

CaseClause ::= **case** (" \$" VarName **as**)? SequenceType **return** ExprSingle

IfExpr ::= **if** "(" Expr ")" **then**  
          ExprSingle  
          **else**  
          ExprSingle

OrExpr ::= AndExpr ( **or** AndExpr )\*

AndExpr ::= ... ( **and** ... )\*

... stands for several types of expressions containing **instance of**, **treat as**, **castable as**, **cast as**, arithmetic expressions and path expressions



# Overview

- Motivation and introduction
- Node construction
- FLWOR expressions
  - Syntax and Clauses
  - Joins in XQuery
  - Grouping and Aggregation
- User-defined functions
- Updates

# XML DML Languages

- So far, XQuery does not specify insert, update or delete
- Several languages have been proposed – some without any connection to XQuery
  - SiXDML, XUpdate, ...
- XQuery Update Facility 1.0: W3C Recommendation 17 March 2011
  - Latest version: <http://www.w3.org/TR/xquery-update-10>

```
insert node <year>2005</year> after fn:doc("bib.xml")/books/book[1]/publisher
```

```
delete nodes /email/message [fn:currentDate() - date >  
                             xs:dayTimeDuration("P365D")]
```

```
replace node fn:doc("bib.xml")/books/book[1]/publisher with  
fn:doc("bib.xml")/books/book[2]/publisher
```

```
rename node fn:doc("bib.xml")/books/book[1]/author[1] as "principal-author"
```

# Literature & Information



- [Bru04] Michael Brundage: XQuery - The XML Query Language. Addison Wesley, 2004.
- [LS04] Wolfgang Lehner, Harald Schöning: XQuery – Grundlagen und fortgeschrittene Methoden. dpunkt.verlag, 2004.