# **Quantitative Analysis of GAN samples**

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#### **Abstract**

In this paper we quantitatively evalute samples produced with adversarial methods, specially Generative Adversarial Networks. We analyze summary statistics of 2 real and fake data and compute distances based on the marginal distribution of 3 perceptually significant features. We provide results on image, music and speech 4 data and show that GAN generated samples have signatures that can be used to 5 detect adversarial attacks.

## Introduction

- Since the first Generative Adversarial Networks paper in 2014, there have been many advances and publications related to the topic, including theoretical research on the framework, such as LSGAN,
- WGAN, Improved WGAN, Mixed GANs, Began, Energy Gans..., mainly applie to the domain of 10 natural images, but slowly expanding to language models and music.
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- Unlike variational auto encoders and other methods, most of the evaluation of the output of Generators 12
- trained with the GAN framework is still qualitative. For example, it is common for authors to 13
- subjectively say that their generated samples look better than others. In the early GAN papers, authors
- estimate the probability of the test set data under the generator by fitting a Gaussian parzen window 15
- to the samples generated with G and report the log-likelihood under this distribution, cite Breuleux et 16
- al.[8] GAN paper. 17
- In addition to evaluating sample quality manually, authors also mention in their papers that they 18
- have not observed mode collapse or that their framework prevents mode collapsing. Mixed GANs 19
- paper raises attention to this issue and questions the variety of the samples generated with the GAN 20
- framework. 21

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- One the challenges of evaluating GAN samples qualitatively is that it is hard to compute perceptually
- meaningful features from the images, e.g. there is no body part counting neuron. There has been 23
- a research trend, cite Deepak, that uses features computed over the training data, e.g. summary 24
- statistics, for training and evaluating generative models. We foresee this practice will develop in 25
- parallel with the advanvement of visual question answering. 26
- This paper is related to this trend and quantitatively evaluates GAN generated samples by marginaliz-27
- ing perceptually meaningful features and computing the distance between the joint probability of
- these features in the real data and the fake data, i.e. the data sampled from the generator. The intuition
- is that as the number of distribution of features being compared increases, the more likely it is that the 30
- combination of these features is a meaningful representation of the true data. We offer the following 31
- contributions in this paper: 32
  - We provide an alternative method to evaluate GAN samples manually
  - We provide an alternative method to evaluate GAN samples that, unlike the Parzen window method, does not a distribution over the data

- We quantitatively evaluate GAN samples by comparing the marginal distribution of features 36 between real and fake data 37
  - We compare the real distribution with adversarial data generated using the fast gradient sign
  - We show that GAN generated samples have a common signature that can be used to detect adversarial attacks

#### **Related work**

- In the past few years, several publications have investigated the use of the Generative Adversarial
- Networks framework for generation of samples and unsupervised feature learning. Following the
- ground-breaking GAN paper, some GAN papers, specially earlier papers, estimate the probability of 45
- a out-of-bag set under the distribution of the generator,  $p_g$  by fitting a Gaussian parzen window to the
- samples generated with G and reporting the log-likelihood under this distribution. It is know that this
- method has some drawbacks, including its high variance and bad performance in high dimensional
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- In their brilliant publications, LSGAN, WGAN and IWGAN propose alternative objective functions
- and algorithms that circunvemt problems that are common when using the the Jenson-Shannon 51
- Divergence objective function described in the GAN paper, including instability of learning, mode 52
- collapse and meaningful learning curves. Although decrease in loss can be correlated with increase 53
- in image quality, as is show in the WGAN, there are cases where there is no correlation in loss and 54
- researchers rely on visual inspection of generated samples. 55
- Although visual inspection can be useful, it can be extremelly cumbersome<sup>2</sup>, it does not provide
- a clear description of the numerical properties of the generated samples, nor the variety of the 57
- generator's output. In BEGAN, the authors propose a solution to the diversity problem by introducing 58
- a new hyper-parameter  $\gamma$  with a loss derived from the Wasserstein distance. Naturally, this new 59
- hyper-parameter does not target variety of a specific attribute of the images and the results in the 60
- paper suggest that in their experiments  $\gamma$  is also correlated with the variety of the color pallete. 61
- Related to our paper, work by Deepak shows an interesting approach, where summary statistics of 62
- the output label are used to both train the generator and evaluate its output. In his paper, Deepak 63
- proposes a method that uses a novel loss function to optimize for any set of linear constraints on 64
- the output space of a CNN. We foresee that the combination of constrained neural networks with 65
- advancements provided by the rapidly evolving field of image question answering will provide an 66
- important contribution for machine learning in general, including the evaluation of samples with 67
- the GAN framework. In our paper, we draw inspiration from formal methods and specification 68
- mining. We approach such constraints as specifications that are mined from the real/training data. 69 For example, one extract specifications from anatomy to evaluate or target sample generation with 70
- GANs. We use the learned specifications to validate the output of the samples generated with the 71
- GAN framework on MNIST images, speech and music.

#### 3 Method

- In this section we describe our method in detail. We start by describing the hypothesis we will
- evaluate in our paper using MNIST, music and speech data. 75

#### 3.1 Hypotheses 76

- **Hypothesis 1 (H1):** Generative models can approximate the distribution of real data and hallucinate
- fake data that has some variaety and resembles the real data
- Although this hypothesis is trivial for experiments that have already been conducted, it is the first
- condition for our experiments with music and speech data. To our knowledge there are no publications

<sup>&</sup>lt;sup>1</sup>Kernel Density Estimation

<sup>&</sup>lt;sup>2</sup>I'll never train GANs again

- 81 where GANs are successful in hallucinating music and speech data. During out experiments we prove
- 82 that this hypothesis is true.
- 83 **Hypothesis 2 (H2):** The real data has useful properties that can be extracted computationally.
- 84 By useful we refer to properties that are closely related to the real data itself. For example, computing
- 85 the distribution MNIST pixel values might be not useful for assessing drawing quality. However, it
- might be useful to evaluate if a random MNIST samples is real or fake data.
- 87 **Hypothesis 3 (H3):** The fake data has properties that are hardly noticed with non-computational
- 88 inspection.
- 89 Visual inspection of generated samples has become the norm for the evaluation of samples generated
- using the GAN framework. We investigate if there are properties common to all GAN samples or
- properties that significantly differ between the real data and the fake data. This hypothesis supports
- 92 the next hypothesis related to adversarial attacks.
- 93 **Hypothesis 4 (H4):** The difference in properties can be used to identify the source (real or fake)
- 94 The development of generative models for digital media announce the iminent rise of adversarial
- 95 attacks. We investigate if these differences can be used to detect if the data was generated with the
- 96 GAN framework or is an adversarial attack.
- 97 We call the reader's attention that approximating the distribution over features computed on the
- 98 real data does not guarantee that the real data is being approximated. Formally speaking: Consider
- 99  $X \sim Z$ , i.e. X distributed as Z, and  $f(X) \sim W$ , where  $f: X \mapsto Y$ . If  $A \sim B$  and B approximates
- 100 Z, then  $f(A) \sim D$  must also approximate W. However, a distribution that approximates W is not
- guaranteed to approximate Z.

### 102 3.2 Learning properties

- In this subsection, we describe the properties that we mine from data. They comprise of properties
- that are perceptually related with the image and properties that are not perceptually related but that
- can be used to identify the source of the image. Consider the single channel image I with dimensions
- 106 R by C, where  $I_{r,c}$  is the pixel intensity of the pixel at row r and column c

#### 107 3.2.1 Summary Statistics

- 108 Consists of the distribution of mean, standard deviation, kurtosis and skewness feature values over all
- images. It is applied to pixel intentisy and some features described below.

#### 110 3.2.2 Spectral Moments

- The spectral centroid is a feature commonly used in the audio domain, where it represents the
- barycenter of the spetrum. Given an image, for each column we transform the pixel values into
- probabilities by normalizing them by the column sum, after which we take the expected row value.
- Given one image column, we define r as the pixel intensity at row r, and

$$p(r) = \frac{r}{\sum_{r \in R} r} \tag{1}$$

From these definitions, it immediately follows that the first, second, third and fourth moments can be

116 described as follows:

$$\mu = \int rp(r)\partial r \tag{2}$$

$$\sigma^2 = \int (r - \mu)^2 p(r) dr \tag{3}$$

$$\gamma_1 = \frac{\int (r - \mu)^3 p(r) dr}{\sigma^3} \tag{4}$$

$$\gamma_2 = \frac{\int (r-\mu)^4 p(r) dr}{\sigma^4} \tag{5}$$

Figure 1 shows the spectral centroid computed on sample of MNIST training data.

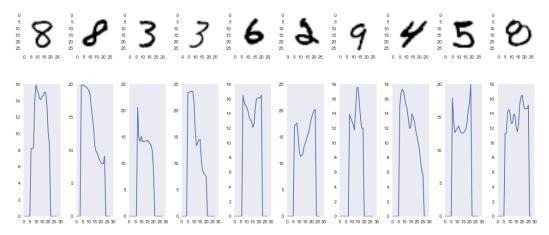


Figure 1:

### 118 3.2.3 Spectral Slope

Is computed by linear regression on the sepectral centroid with window of size 7. Figure 2 shows these features computed on a sample of MNIST training data.

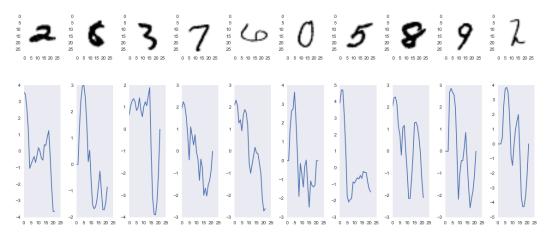


Figure 2:

### 121 3.2.4 Transition Matrix

- 122 Transition matrix is computed only for chromagram representations of piano rolls.
- 123 Equation

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# 3.3 Distance Measures

- $^{\rm 125}$   $\,$  We use the Kolgomorov-Smirnov Two Samples Test
- 126 Equation
- 127 We use the Jensen-Shannong Divergence
- 128 Equation

#### 9 3.4 Generative Adversarial Networks

130 We investigate the DCGAN architecture under LSGAN, WGAN, IWGAN objective functions.

# 4 Experiments

#### 4.1 MNIST

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We compare the the distribution of features computed over the MNIST train data to the distribution 133 of the same features computed over MNIST test data, samples generated with LSGAN, improved 134 WGAN, adversarial samples computed using the fast gradient sign method. The training data is scaled 135 to [-1, 1] and the scaled baseline is sampled from a binomial distribution with number of trials 1 and 136 probability of success equal to the normalized mean value of pixel intentities in the MNIST training 137 data, 0.13. The discriminator and the generator follow the DCGAN architecture. The classifier used 138 to generate the adversarial samples is a three layer fully conected network with dropout on every 139 layer (25%, 50%, 50%) and rectified linear units on the first and second layers. The  $\epsilon$  parameter for 140 the adversarial attack is set to .25(CHECK IF NOT .1). Following common practice in GAN training, 141 each GAN model is trained until the loss plateaus and we are satisfied with the quality of the output.

43 Figure 3 shows samples drawn from MNIST train, test, LSGAN, IWGAN, FSGM and binomial respectively.

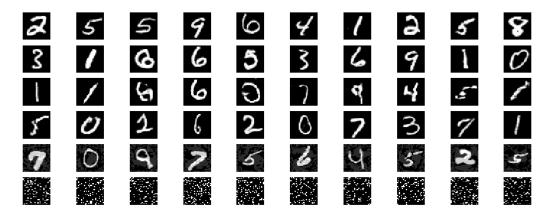


Figure 3:

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Visual inspection of these generated samples can lead one to believe that IWGAN produces better samples than the LSGAN. Below we compare the distribution of pixel intensities of several data to MNIST's training data. Table 1 reveals that although the pixel intensities of LSGAN and IWGAN samples look similar to the training data, they are considerably different given the KS Two Sample Test<sup>3</sup>but not so different given the JSD. This can phaenomena can be understood by investigation of the empirical CDF of these samples in Figure 5. The pixel values of the samples generated with the GAN framework are mainly bimodal but distributed around -1 and 1. Such behavior will be present in any gradient descent method using an asymptotically converging non-linearity, such as sigmoid and tanh, immediately preceding the output of the generating function.

Although this confirms our hypothesis 3 that there are properties that are hardly noticed with non-computational inspection, an adversary could easily apply thresholding to compensate for the asymptotically converging non-linearity such that the distribution of pixel values of fake samples become more similar to the real data.

For this reason, we use the distributions over other features on the real and fake data. Figure 6 shows that if on the one hand the distribution of slopes of the test data is similar to the training data, on the other hand this distribution on generated samples differs considerably from the training data, thus confirming hypothesis 4. In table 2 we show results of test statistics.

<sup>&</sup>lt;sup>3</sup>Remember that the test statistic is inversely proportial to  $\sqrt{n}$ 

Table 1: KS Two Sample Test and JSD over the distribution of pixel values for different samples

	KS Two Sample Test		JSD
	Statistic	P-Value	
mnist_train	0.0	1.0	0.0
mnist_test	0.003177	8.501950e-35	2.955323e-05
mnist_lsgan	0.808119	0.0	0.013517
mnist_iwgan	0.701573	0.0	0.014662
mnist_adversarial	0.419338	0.0	0.581769
mnist_binomial	0.130855	0.0	0.0785009

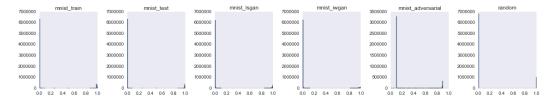


Figure 4:

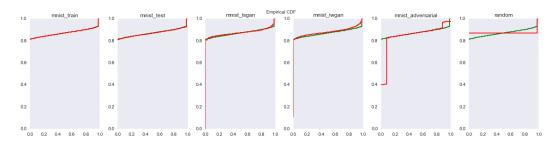


Figure 5:

Table 2: KS Two Sample Test and JSD over the distribution of mean slope for different samples

	KS Two Sample Test		12D
	Statistic	P-Value	
mnist_train	0.0	1.0	0.0
mnist_test	0.030699	0.000156	0.001872
mnist_lsgan	0.317200	0.0	0.177692
mnist_iwgan	0.478300	0.0	0.232894
mnist_adversarial	0.309099	0.0	0.022110
mnist_binomial	0.293200	0.0	0.084448

- 162 4.2 Bach Chorales
- 163 **4.3 Speech**
- 5 Conclusions
- 165 Acknowledgments
- References

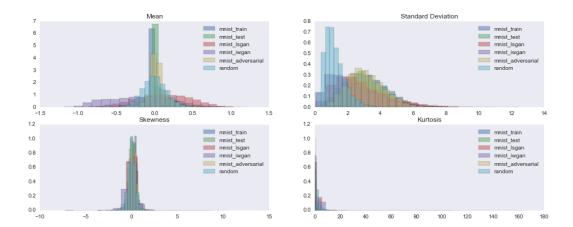


Figure 6: