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# The type-free $\lambda$ -calculus

The  $\lambda$ -calculus is a family of prototype programming languages invented by a logician, Alonzo Church, in the 1930's. Their main feature is that they are *higher-order*; that is, they give a systematic notation for operators whose input and output values may be other operators. Also they are *functional*, that is they are based on the notion of *function* or *operator* and include notation for function-application and abstraction.

This book will be about the simplest of these languages, the *pure*  $\lambda$ -calculus, in which  $\lambda$ -terms are formed by application and abstraction from variables only. No atomic constants will be allowed.

## 1A $\lambda$ -terms and their structure

**1A1 Definition ( $\lambda$ -terms)** An infinite sequence of *term-variables* is assumed to be given. Then linguistic expressions called  *$\lambda$ -terms* are defined thus:

- (i) each term-variable is a  $\lambda$ -term, called an *atom* or *atomic term*;
- (ii) if  $M$  and  $N$  are  $\lambda$ -terms then  $(MN)$  is a  $\lambda$ -term called an *application*;
- (iii) if  $x$  is a term-variable and  $M$  is a  $\lambda$ -term then  $(\lambda x \cdot M)$  is a  $\lambda$ -term called an *abstract* or a  *$\lambda$ -abstract*.

A *composite*  $\lambda$ -term is a  $\lambda$ -term that is not an atom.

**1A1.1 Notation** *Term-variables* are denoted by “ $u$ ”, “ $v$ ”, “ $w$ ”, “ $x$ ”, “ $y$ ”, “ $z$ ”, with or without number-subscripts. Distinct letters denote distinct variables unless otherwise stated.

*Arbitrary  $\lambda$ -terms* are denoted by “ $L$ ”, “ $M$ ”, “ $N$ ”, “ $P$ ”, “ $Q$ ”, “ $R$ ”, “ $S$ ”, “ $T$ ”, with or without number-subscripts. For “ $\lambda$ -term” we shall usually say just “*term*”.

*Syntactic identity*: “ $M \equiv N$ ” will mean that  $M$  is the same expression as  $N$  (if  $M$  and  $N$  are terms or other expressions). But for identity of numbers, sets, etc. we shall say “ $=$ ” as usual.

*Parentheses and repeated  $\lambda$ 's* will often be omitted in such a way that, for example,

$$\lambda xyz \cdot M \equiv (\lambda x \cdot (\lambda y \cdot (\lambda z \cdot M))), \quad MNPQ \equiv (((MN)P)Q).$$

(The rule for restoring parentheses omitted from  $MNPQ$  is called *association to the left*.)

**1A2 Definition** The *length*,  $|M|$ , of a  $\lambda$ -term  $M$  is the number of occurrences of variables in  $M$ ; in detail, define

$$|x| = 1, \quad |MN| = |M| + |N|, \quad |\lambda x \cdot M| = 1 + |M|.$$

1A2.1 *Example*  $|(\lambda x \cdot yx)(\lambda z \cdot x)| = 5$ .

**1A3 Definition (Subterms)** The *subterms* of a term  $M$  are defined by induction on  $|M|$  as follows:

- (i) an atom is a subterm of itself;
- (ii) if  $M \equiv \lambda x \cdot P$ , its subterms are  $M$  and all subterms of  $P$ ;
- (iii) if  $M \equiv P_1 P_2$ , its subterms are all the subterms of  $P_1$ , all those of  $P_2$ , and  $M$  itself.

1A3.1 *Example* If  $M \equiv (\lambda x \cdot yx)(\lambda z \cdot x(yx))$  its subterms are  $x$ ,  $y$ ,  $yx$ ,  $\lambda x \cdot yx$ ,  $x(yx)$ ,  $\lambda z \cdot x(yx)$  and  $M$  itself. (But not  $z$ .)

**1A4 Notation (Occurrences, components)** A subterm of a term  $M$  may have more than one occurrence in  $M$ ; for example the term

$$(\lambda x \cdot yx)(\lambda z \cdot x(yx))$$

contains two occurrences of  $yx$  and three of  $x$ . The precise definition of “occurrence” is written out in 9A2, but the reader who already has a good intuitive idea of the occurrence-concept will go a long way without needing to look at this definition.

In this book occurrences will be underlined to distinguish them from subterms; for example we may say

“Let  $\underline{P}$  be any occurrence of  $P$  in  $M$ ”.

An occurrence of  $\lambda x$  will be called an *abstractor*, and the occurrence of  $x$  in it will be called a *binding occurrence* of  $x$ .

All the occurrences of terms in  $M$ , other than binding occurrences of variables, will be called *components* of  $M$ .

**1A5 Definition (Body, scope, covering abstractors)** Let  $\lambda x \cdot \underline{P}$  be a component of a term  $M$ . The displayed component  $\underline{P}$  is called the *body* of  $\lambda x \cdot \underline{P}$  or the *scope* of the *abstractor*  $\lambda x$ .

The *covering abstractors* of a component  $\underline{R}$  of  $M$  are the abstractors in  $M$  whose scopes contain  $\underline{R}$ .

**1A6 Definition (Free, bound)** A non-binding variable-occurrence  $\underline{x}$  in a term  $M$  is said to be *bound in*  $M$  iff it is in the scope of an occurrence of  $\lambda x$  in  $M$ , otherwise it is *free in*  $M$ .

A variable  $x$  is said to be *bound in*  $M$  iff  $M$  contains an occurrence of  $\lambda x$ ; and  $x$  is said to be *free in*  $M$  iff  $M$  contains a free occurrence of  $x$ . The set of all variables free in  $M$  is called

$$FV(M).$$

**1A6.1 Warning** Two distinct concepts have been defined here, free/bound occurrences and free/bound variables. A variable  $x$  may be both free and bound in  $M$ , for example if  $M \equiv x(\lambda x \cdot x)$ , but a particular occurrence of  $x$  in  $M$  cannot be both free and bound.

Also note that  $x$  is said to be bound in  $\lambda x \cdot y$  even though its only occurrence there is a binding one.

**1A7 Definition (Substitution)** Define  $[N/x]M$  to be the result of substituting  $N$  for each free occurrence of  $x$  in  $M$  and making any changes of bound variables needed to prevent variables free in  $N$  from becoming bound in  $[N/x]M$ . More precisely, define for all  $N, x, P, Q$  and all  $y \neq x$

- (i)  $[N/x]x \equiv N$ ,
- (ii)  $[N/x]y \equiv y$ ,
- (iii)  $[N/x](P, Q) \equiv ([N/x]P)([N/x]Q)$ ,
- (iv)  $[N/x](\lambda x \cdot P) \equiv \lambda x \cdot P$ ,
- (v)  $[N/x](\lambda y \cdot P) \equiv \lambda y \cdot P$  if  $x \notin FV(P)$ ,
- (vi)  $[N/x](\lambda y \cdot P) \equiv \lambda y \cdot [N/x]P$  if  $x \in FV(P)$  and  $y \notin FV(N)$ ,
- (vii)  $[N/x](\lambda y \cdot P) \equiv \lambda z \cdot [N/x][z/y]P$  if  $x \in FV(P)$  and  $y \in FV(N)$ .

(In (vii)  $z$  is the first variable in the sequence given in 1A1 which does not occur free in  $NP$ .)

**1A7.1 Notation (Simultaneous substitution)** For any  $N_1, \dots, N_n$  and any distinct  $x_1, \dots, x_n$ , the result of simultaneously substituting  $N_1$  for  $x_1, N_2$  for  $x_2, \dots$  in  $M$ , and changing bound variables to avoid clashes, is defined similarly to  $[N/x]M$ . (For a neat definition see Stoughton 1988 §2.) It is called

$$[N_1/x_1, \dots, N_n/x_n]M.$$

**1A8 Definition (Changing bound variables,  $\alpha$ -conversion)** Let  $y \notin FV(M)$ ; then we say

$$(\alpha) \quad \lambda x \cdot M \equiv_\alpha \lambda y \cdot [y/x]M,$$

and the act of replacing an occurrence of  $\lambda x \cdot M$  in a term by  $\lambda y \cdot [y/x]M$  is called a **change of bound variables**. If  $P$  changes to  $Q$  by a finite (perhaps empty) series of changes of bound variables we say  $P$   **$\alpha$ -converts to**  $Q$  or

$$P \equiv_\alpha Q.$$

**1A8.1 Note** Some basic lemmas about  $\alpha$ -conversion and substitution are given in HS 86 §1B. Two simple properties that will be needed here are

- (i)  $P \equiv_\alpha Q \implies |P| = |Q|$ ,
- (ii)  $P \equiv_\alpha Q \implies FV(P) = FV(Q)$ .

**1A9 Definition** A term  $M$  **has a bound-variable clash** iff  $M$  contains an abstractor  $\lambda x$  and a (free, bound or binding) occurrence of  $x$  that is not in its scope.

Examples of terms with bound-variable clashes are

$$x(\lambda x \cdot N), \quad \lambda x \cdot \lambda y \cdot \lambda x \cdot N, \quad (\lambda x \cdot P)(\lambda x \cdot Q).$$

We shall be mainly interested in terms *without* such clashes.

**1A9.1 Lemma** Every term can be  $\alpha$ -converted to a term without bound-variable clashes.

*Proof* By the lemmas in HS 86 §1B. □

**1A10 Definition (Closed terms)** A *closed term* or *combinator* is a term in which no variable occurs free.

**1A10.1 Example** The following closed terms will be used in examples and results throughout this book.

$$\begin{array}{ll} \mathbf{B} \equiv \lambda xyz \cdot x(yz), & \mathbf{B}' \equiv \lambda xyz \cdot y(xz), \\ \mathbf{C} \equiv \lambda xyz \cdot xzy, & \mathbf{I} \equiv \lambda x \cdot x, \\ \mathbf{K} \equiv \lambda xy \cdot x, & \mathbf{S} \equiv \lambda xyz \cdot xz(yz), \\ \mathbf{W} \equiv \lambda xy \cdot xyy, & \mathbf{Y} \equiv \lambda x \cdot (\lambda y \cdot x(yy))(\lambda y \cdot x(yy)), \\ \bar{0} \equiv \lambda xy \cdot y, & \bar{1} \equiv \lambda xy \cdot xy, \\ \bar{n} \equiv \lambda xy \cdot x^n y \equiv \lambda xy \cdot x(x(\dots(xy)\dots)) \quad (n \text{ } x\text{'s applied to } y) . \end{array}$$

( $\mathbf{Y}$  is Curry's *fixed-point combinator*, see HS 86 Ch.3 §3B for background; the terms  $\bar{n}$  are the *Church numerals* for  $n = 0, 1, 2, \dots$ , see HS 86 Def. 4.2.)

## 1B $\beta$ -reduction and $\beta$ -normal forms

This section outlines the definition and main properties of the term-rewriting procedure called  $\beta$ -reduction. Further details can be found in many other books, for example HS 86 Chs. 1–6 and Barendregt 1984 Chs. 3 and 11–14.

**1B1 Definition ( $\beta$ -contraction)** A  $\beta$ -redex is any term  $(\lambda x \cdot M)N$ ; its *contractum* is  $[N/x]M$  and its *re-write rule* is

$$(\lambda x \cdot M)N \triangleright_{1\beta} [N/x]M.$$

Iff  $P$  contains a  $\beta$ -redex-occurrence  $\underline{R} \equiv (\lambda x \cdot M)N$  and  $Q$  is the result of replacing this by  $[N/x]M$ , we say  $P$   $\beta$ -contracts to  $Q$  ( $P \triangleright_{1\beta} Q$ ) and we call the triple  $\langle P, \underline{R}, Q \rangle$  a  $\beta$ -contraction of  $P$ .

**1B1.1 Lemma**  $P \triangleright_{1\beta} Q \implies FV(P) \supseteq FV(Q).$

**1B2 Definition ( $\beta$ -reduction)** A  $\beta$ -reduction of a term  $P$  is a finite or infinite sequence of  $\beta$ -contractions with form

$$(i) \quad \langle P_1, \underline{R}_1, Q_1 \rangle, \quad \langle P_2, \underline{R}_2, Q_2 \rangle, \quad \dots$$

where  $P_1 \equiv_\alpha P$  and  $Q_i \equiv_\alpha P_{i+1}$  for  $i = 1, 2, \dots$  (The empty sequence is allowed.) We say a finite reduction is *from*  $P$  *to*  $Q$  iff either it has  $n \geq 1$  contractions and  $Q_n \equiv_\alpha Q$

or it is empty and  $P \equiv_\alpha Q$ . A reduction from  $P$  to  $Q$  is said to *terminate* or *end* at  $Q$ . If there is a reduction from  $P$  to  $Q$  we say  $P$   $\beta$ -reduces to  $Q$ , or

$$P \triangleright_\beta Q.$$

Note that  $\alpha$ -conversions are allowed in a  $\beta$ -reduction.

**1B3 Definition** The *length* of a  $\beta$ -reduction is the number of its  $\beta$ -contractions (finite or  $\infty$ ). A reduction with *maximal length* is one that continues as long as there are redexes to contract (i.e. one that either is infinite or ends at a term containing no redexes).

**1B4 Definition ( $\beta$ -conversion)** If we can change  $P$  to  $Q$  by a finite sequence of  $\beta$ -reductions and reversed  $\beta$ -reductions, we say  $P$   $\beta$ -converts to  $Q$ , or  $P$  is  $\beta$ -equal to  $Q$ , or

$$P =_\beta Q.$$

A reversed  $\beta$ -reduction is called a  $\beta$ -expansion.

**1B4.1 Exercise** For every term  $F$  let  $X_F \equiv \mathbf{Y}F$  where  $\mathbf{Y}$  is the fixed-point combinator defined in 1A10.1; show that

$$FX_F =_\beta X_F.$$

**1B5 Church-Rosser Theorem for  $\beta$**  (i) If  $M \triangleright_\beta P$  and  $M \triangleright_\beta Q$  (see Fig. 1B5a) then there exists  $T$  such that

$$P \triangleright_\beta T, \quad Q \triangleright_\beta T.$$

(ii) If  $P =_\beta Q$  (see Fig. 1B5b) then there exists  $T$  such that

$$P \triangleright_\beta T, \quad Q \triangleright_\beta T.$$

*Proof of 1B5 (i)* See HS 86 Appendix 1 or Barendregt 1984 §3.2. (ii) This is deduced from (i) as suggested in Fig. 1B5b.  $\square$

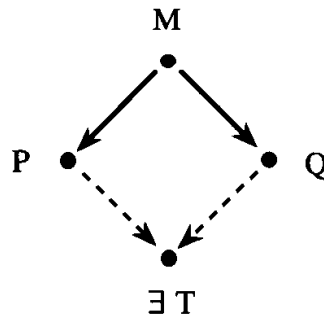


Fig. 1B5a.

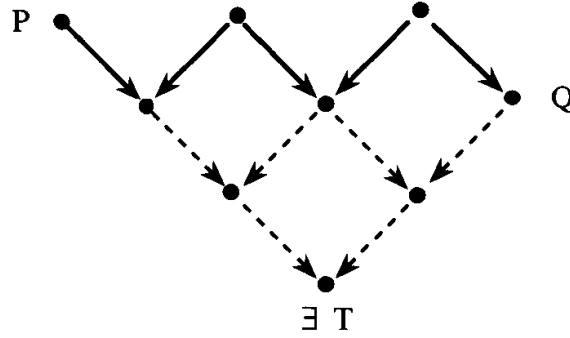


Fig. 1B5b.

**1B6 Definition ( $\beta$ -normal forms)** A  $\beta$ -normal form is a term that contains no  $\beta$ -redexes. The class of all  $\beta$ -nf's is called  $\beta$ -nf. We say a term  $M$  has  $\beta$ -nf  $N$  iff

$$M \triangleright_{\beta} N \text{ and } N \in \beta\text{-nf}.$$

**1B6.1 Note** Roughly speaking, a reduction can be thought of as a computation and a  $\beta$ -nf as its result. One main aim when designing a type-theory is to give it the property that every computation can be pursued to a result if the operator wishes, i.e. that every term with a type has a  $\beta$ -nf. This gives normal forms even more significance in a type-theory than they already have in a type-free theory.

(Terms in general do not necessarily have  $\beta$ -nf's of course. The simplest term without one is  $(\lambda x.xx)(\lambda x.xx)$ .)

**1B7 NF-Uniqueness Lemma** Modulo  $\alpha$ -conversion, a term  $M$  has at most one  $\beta$ -nf.

*Proof* An easy application of the Church-Rosser theorem. □

**1B7.1 Notation** If  $M$  has a  $\beta$ -nf it will be called  $M_{*\beta}$ .

**1B8 Definition (Leftmost reductions)** The *leftmost  $\beta$ -redex-occurrence* in a term  $P$  is the  $\beta$ -redex-occurrence whose leftmost parenthesis is to the left of all the parentheses in all the other  $\beta$ -redex-occurrences in  $P$ .

The *leftmost  $\beta$ -reduction* of a term  $P$  is a  $\beta$ -reduction of  $P$  with maximal length, say

$$\langle P_1, \underline{R}_1, Q_1 \rangle, \quad \langle P_2, \underline{R}_2, Q_2 \rangle, \quad \dots,$$

such that  $\underline{R}_i$  is the leftmost  $\beta$ -redex-occurrence in  $P_i$  for all  $i \geq 1$  (and  $P_1$   $\alpha$ -converts to  $P$  and  $P_{i+1}$   $\alpha$ -converts to  $Q_i$  for all  $i \geq 1$ ).

**1B9 Leftmost-reduction Theorem** A term  $M$  has a  $\beta$ -nf  $M_{*\beta}$  iff the leftmost  $\beta$ -reduction of  $M$  is finite and ends at  $M_{*\beta}$ .

*Proof* See Curry and Feys 1958 §4E Cor. 1.1. (In fact this result is an immediate corollary of a slightly deeper result called the *standardization theorem*; for the latter see Curry and Feys 1958 §4E Thm. 1 or Barendregt 1984 Thm. 11.4.7, or the particularly clear proof in Mitschke 1979 Thm. 7.)  $\square$

1B9.1 *Example* The leftmost reduction of the fixed-point combinator  $\mathbf{Y}$  in 1A10.1 is easily seen to be infinite, so  $\mathbf{Y}$  has no  $\beta$ -nf.

1B9.2 *Note* (Seeking  $\beta$ -normal forms) The leftmost reduction of a term  $M$  is completely determined by  $M$ , so by 1B9 it gives an algorithm for seeking  $M_{*\beta}$ : if  $M_{*\beta}$  exists the leftmost reduction of  $M$  will end at  $M_{*\beta}$ , and if not, this reduction will be infinite. Of course this algorithm does not decide in finite time whether  $M$  has a  $\beta$ -nf; and in fact this cannot be done, as the set of terms with normal forms is not recursive. (See e.g. HS 86 Cor 5.6.2 or Barendregt 1984 Thm. 6.6.5.)

**1B10 Lemma (Structure of a  $\beta$ -normal form)** *Every  $\beta$ -nf  $N$  can be expressed uniquely in the form*

(i) 
$$N \equiv \lambda x_1 \dots x_m \cdot y N_1 \dots N_n \quad (m \geq 0, n \geq 0),$$
 where  $N_1, \dots, N_n$  are  $\beta$ -nf's. And if  $N$  is closed then  $y \in \{x_1, \dots, x_m\}$ .

*Proof* Easy induction on  $|N|$ . Note the uniqueness.  $\square$

1B10.1 *Note* The following special cases of 1B10 are worth mention:

$m = n = 0$ :	$N \equiv y$	(an atom);
$m = 0, n \geq 1$ :	$N \equiv y N_1 \dots N_n$	(an application);
$m \geq 1$ :	$N \equiv \lambda x_1 \dots x_m \cdot P$	(an abstract);
$m \geq 1, n = 0$ :	$N \equiv \lambda x_1 \dots x_m \cdot y$	(called an <b>abstracted atom</b> ).

1B10.2 *Exercise* Prove that  $\beta$ -nf is the smallest class of terms satisfying (i) and (ii) below:

- (i) all variables are in  $\beta$ -nf;
- (ii) for all  $m, n \geq 0$  with  $m + n \geq 1$ , and all  $x_1, \dots, x_m, y$ ,

$$N_1, \dots, N_n \in \beta\text{-nf} \implies \lambda x_1 \dots x_m \cdot y N_1 \dots N_n \in \beta\text{-nf}.$$

## 1C $\eta$ - and $\beta\eta$ -reductions

This section sketches the most basic properties of  $\eta$ - and  $\beta\eta$ -reductions. For more details see HS 86 Ch. 7 and Barendregt 1984 §15.1.

**1C1 Definition ( $\eta$ -reduction,  $\eta$ -conversion)** An  $\eta$ -redex is any term  $\lambda x \cdot Mx$  with  $x \notin FV(M)$ ; its re-write rule is

$$\lambda x \cdot Mx \triangleright_{1\eta} M.$$

Its *contractum* is  $M$ . The definitions of  $\eta$ -contracts,  $\eta$ -reduces ( $\triangleright_\eta$ ),  $\eta$ -converts ( $=_\eta$ ), etc. are like those of the corresponding  $\beta$ -concepts in 1B.

**1C2 Lemma** All  $\eta$ -reductions are finite; in fact an  $\eta$ -reduction  $P \triangleright_{\eta} Q$  must have length  $\leq |P|/2$ .

*Proof* Each  $\eta$ -contraction reduces  $|P|$  to  $|P| - 2$ . □

**1C3 Definition** The  $\eta$ -family  $\{P\}_{\eta}$  of a term  $P$  is the set of all terms  $Q$  such that  $P \triangleright_{\eta} Q$ .

1C3.1 *Note* By 1C2,  $\{P\}_{\eta}$  is finite.

**1C4 Church-Rosser Theorem for  $\eta$**  If  $P =_{\eta} Q$  then there exists  $T$  such that

$$P \triangleright_{\eta} T, \quad Q \triangleright_{\eta} T.$$

*Proof* Straightforward. (Barendregt 1984 Lemma 3.3.7.) □

**1C5 Definition ( $\beta\eta$ -reduction,  $\beta\eta$ -conversion)** A  $\beta\eta$ -redex is any  $\beta$ - or  $\eta$ -redex. The definitions of  $\beta\eta$ -contracts,  $\beta\eta$ -reduces ( $\triangleright_{\beta\eta}$ ),  $\beta\eta$ -converts ( $=_{\beta\eta}$ ) are like those of the corresponding  $\beta$ -concepts in 1B.

1C5.1 *Lemma*  $P \triangleright_{\beta\eta} Q \implies FV(P) \supseteq FV(Q)$ .

1C5.2 *Note* A  $\beta\eta$ -reduction may have  $\alpha$ -steps as well as  $\beta$  and  $\eta$ . The following theorem says that all its  $\eta$ -steps can be postponed to the end of the reduction.

**1C6  $\eta$ -Postponement Theorem** If  $M \triangleright_{\beta\eta} N$  then there exists a term  $P$  such that

$$M \triangleright_{\beta} P \triangleright_{\eta} N.$$

*Proof* Nederpelt 1973 Thm. 7.28 or Barendregt 1984 Cor. 15.1.6. □

**1C7 Commuting Lemma** If  $M \triangleright_{\beta} P$  and  $M \triangleright_{\eta} Q$  (see Fig. 1C7a) then there exists a term  $T$  such that

$$P \triangleright_{\eta} T, \quad Q \triangleright_{\beta} T.$$

*Proof* Barendregt 1984 Lemma 3.3.8. □

1C7.1 *Corollary* If  $M \triangleright_{\beta\eta} P$  and  $M \triangleright_{\beta} Q$  then there exists a term  $T$  such that

$$P \triangleright_{\beta} T, \quad Q \triangleright_{\beta\eta} T.$$

*Proof* By 1B5, 1C4 and 1C7. □

**1C8 Church-Rosser Theorem for  $\beta\eta$**  (i) If  $M \triangleright_{\beta\eta} P$  and  $M \triangleright_{\beta\eta} Q$  then there exists  $T$  such that

$$P \triangleright_{\beta\eta} T, \quad Q \triangleright_{\beta\eta} T.$$



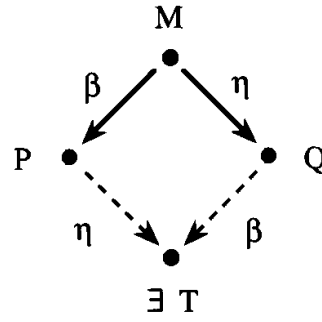


Fig. 1C7a.

(ii) If  $P =_{\beta\eta} Q$  then there exists  $T$  such that

$$P \triangleright_{\beta\eta} T, \quad Q \triangleright_{\beta\eta} T.$$

*Proof* (i) From 1B5, 1C4, 1C6, 1C7. (ii) From (i) as in Fig. 1B5b.  $\square$

**1C9 Definition ( $\beta\eta$ - and  $\eta$ -normal forms)** A  $\beta\eta$ -normal form ( $\beta\eta$ -nf) is a term without  $\beta\eta$ -redexes. The class of all  $\beta\eta$ -nf's is called  $\beta\eta$ -nf. We say  $M$  has  $\beta\eta$ -nf  $N$  iff

$$M \triangleright_{\beta\eta} N, \quad N \in \beta\eta\text{-nf}.$$

Similarly we define  $\eta$ -normal form,  $\eta$ -nf, and  $M$  has  $\eta$ -nf  $N$ .

**1C9.1 Notation** The  $\beta\eta$ -nf and  $\eta$ -nf of a term  $M$  are unique modulo  $\equiv_\alpha$  by the Church-Rosser theorems for  $\beta\eta$  and  $\eta$ ; they will be called

$$M_{\star\beta\eta}, \quad M_{\star\eta}.$$

**1C9.2 Lemma** (i) An  $\eta$ -reduction of a  $\beta$ -nf cannot create new  $\beta$ -redexes; more precisely

$$M \in \beta\text{-nf} \text{ and } M \triangleright_\eta N \implies N \in \beta\text{-nf}.$$

(ii) For every  $M$ ,  $M_{\star\beta\eta}$  is the  $\eta$ -nf of  $M_{\star\beta}$ ; i.e.  $M_{\star\beta\eta} \equiv (M_{\star\beta})_{\star\eta}$ .

*Proof* (i) It is easy to check all possible cases. (ii) By 1C2,  $M_{\star\beta}$  has an  $\eta$ -nf  $(M_{\star\beta})_{\star\eta}$ , and this is a  $\beta\eta$ -nf by (i).  $\square$

**1C9.3 Corollary** If  $N$  is a  $\beta$ -nf then all the members of its  $\eta$ -family are  $\beta$ -nf's and exactly one of them is a  $\beta\eta$ -nf, namely  $N_{\star\eta}$ .

**1C9.4 Lemma** A term has a  $\beta\eta$ -nf iff it has a  $\beta$ -nf.

*Proof* For “only if”, see Curry et al. 1972 §11E Lemma 13.1 or Barendregt 1984 Cor. 15.1.5. For “if”, see 1C9.2. (By the way, do not confuse the present lemma with a claim that a term is in  $\beta$ -nf iff it is in  $\beta\eta$ -nf, which is of course false!)  $\square$

**1C9.5 Note** (Seeking  $\beta\eta$ -normal-forms) To seek for  $M_{*\beta\eta}$ , reduce  $M$  by its leftmost  $\beta$ -reduction. If this is finite, it must end at  $M_{*\beta}$  and then the leftmost  $\eta$ -reduction will reach an  $\eta$ -nf in  $\leq |M_{*\beta}|/2$  steps, by 1C2. If the leftmost  $\beta$ -reduction of  $M$  is infinite,  $M_{*\beta}$  does not exist and hence by 1C9.4 neither does  $M_{*\beta\eta}$ . Of course this procedure does not decide in finite time whether  $M_{*\beta\eta}$  exists; see the comment in 1B9.2.

### 1D Restricted $\lambda$ -terms

The following restricted classes of  $\lambda$ -terms will play a role later in the correspondence between type-assignment and propositional logic.

**1D1 Definition ( $\lambda$ I-terms)** A  $\lambda$ -term  $P$  is called a  **$\lambda$ I-term** iff, for each subterm with form  $\lambda x \cdot M$  in  $P$ ,  $x$  occurs free in  $M$  at least once.

**1D1.1 Note** The  $\lambda$ I-terms are the terms that were originally studied by Church. They have the property that if a  $\lambda$ I-term has a normal form, so have all its subterms (Church 1941 §7, Thm. 7 XXXII). Church restricted his system to  $\lambda$ I-terms because he regarded terms without normal forms as meaningless and preferred that meaningful terms did not have meaningless subterms. The  $\lambda$ I-terms are discussed in detail in Barendregt 1984 Ch. 9.

The standard example of a non- $\lambda$ I-term is  $\mathbf{K} \equiv \lambda xy \cdot x \equiv \lambda x \cdot (\lambda y \cdot x)$ .

**1D1.2 Notation** Sometimes unrestricted  $\lambda$ -terms are called  **$\lambda$ K-terms**, and the unrestricted  $\lambda$ -calculus the  **$\lambda$ K-calculus**, to contrast with  $\lambda$ I-terms and to emphasise the absence of restriction.

**1D2 Definition (BCK $\lambda$ -terms)** A **BCK $\lambda$ -term** is a  $\lambda$ -term  $P$  such that

- (i) for each subterm  $\lambda x \cdot M$  of  $P$ ,  $x$  occurs free in  $M$  at most once,
- (ii) each free variable of  $P$  has just one occurrence free in  $P$ .

**1D2.1 Examples** Of the terms in the list in 1A10.1 the following are BCK $\lambda$ -terms:

$$\begin{array}{lll} \mathbf{B} \equiv \lambda xyz \cdot x(yz), & \mathbf{B}' \equiv \lambda xyz \cdot y(xz), & \mathbf{C} \equiv \lambda xyz \cdot xzy, \\ \mathbf{I} \equiv \lambda x \cdot x, & \mathbf{K} \equiv \lambda xy \cdot x, & \bar{n} \equiv \lambda xy \cdot x^n y \ (n = 0 \text{ or } 1). \end{array}$$

And the following are not:

$$\begin{array}{ll} \mathbf{S} \equiv \lambda xyz \cdot xz(yz), & \mathbf{W} \equiv \lambda xy \cdot xyy, \\ \mathbf{Y} \equiv \lambda x \cdot (\lambda y \cdot x(yy))(\lambda y \cdot x(yy)), & \bar{n} \equiv \lambda xy \cdot x^n y \ (n \geq 2). \end{array}$$

**1D2.2 Lemma** The class of all BCK $\lambda$ -terms is closed under abstraction, i.e. if  $M$  is a BCK $\lambda$ -term then so is  $\lambda x \cdot M$  for every variable  $x$ .

*Proof* By 1D2(ii),  $x$  occurs free at most once in  $M$ . □

**1D2.3 Notes** (i) In contrast to the above lemma the class of all  $\lambda$ I-terms is only closed under abstractions  $\lambda x \cdot M$  such that  $x$  occurs free in  $M$ .

(ii) The BCK $\lambda$ -terms are so called because the closed terms in this class correspond to combinations of three combinators called “**B**”, “**C**” and “**K**” in combinatory logic (see 9F for details). They have also sometimes been called *linear  $\lambda$ -terms* but this name is nowadays usually applied to the following class.

**1D3 Definition (BCI $\lambda$ -terms)** A *BCI $\lambda$ -term* or *linear  $\lambda$ -term* is a  $\lambda$ -term  $P$  such that

- (i) for each subterm  $\lambda x.M$  of  $P$ ,  $x$  occurs free in  $M$  exactly once,
- (ii) each free variable of  $P$  has just one occurrence free in  $P$ .

Clearly every BCI $\lambda$ -term is a BCK $\lambda$ -term, but the BCK $\lambda$ -term **K** is not a BCI $\lambda$ -term; in fact a term is a BCI $\lambda$ -term iff it is both a  $\lambda$ I-term and a BCK $\lambda$ -term. The closed BCI $\lambda$ -terms correspond to combinations of the combinators called **B**, **C** and **I** in combinatory logic; details are in 9F.

**1D4 Lemma** *Each of the three classes ( $\lambda$ I-terms, BCK $\lambda$ -terms and BCI $\lambda$ -terms) is closed under  $\beta\eta$ -reduction, i.e. every term obtained by  $\beta\eta$ -reducing a member of the class is also in the class.*

*Proof* Straightforward. □

**1D5 Definition** A  $\beta$ -contraction  $(\lambda x.M)N \triangleright_{1\beta} [N/x]M$  is said to *cancel*  $N$  iff  $x$  does not occur free in  $M$ ; it is said to *duplicate*  $N$  iff  $x$  has at least two free occurrences in  $M$ .

A  $\beta$ -reduction is *non-duplicating* iff none of its contractions duplicates; it is *non-cancelling* iff none cancels.

**1D6 Lemma** *Every  $\beta$ -reduction of a  $\lambda$ I-term is non-cancelling; every one of a BCK $\lambda$ -term is non-duplicating, and every one of a BCI $\lambda$ -term is both.*