

## Exercise 3.21

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**Exercise 3.21.i.** Show that  $\phi^* : \text{Spec}(S^{-1}A) \rightarrow \text{Spec}(A)$  is a homeomorphism of  $\text{Spec}(S^{-1}A)$  onto its image in  $X = \text{Spec}(A)$ .

We want to prove that for  $D$  closed in  $\text{Spec}(S^{-1}A)$  there is  $C$  closed in  $\text{Spec}(A)$  and reverse, that the equation holds

$$C \cup \phi^*(\text{Spec}(S^{-1}A)) = \phi^*(D)$$

We take

$$C = V(\mathfrak{a}), \quad D = V(S^{-1}\mathfrak{a})$$

That is, we are going to prove that

$$V(\mathfrak{a}) \cap \phi^*(\text{Spec}(S^{-1}A)) = \phi^*(V(S^{-1}\mathfrak{a}))$$

Recalling that  $S^{-1}\mathfrak{p} \xrightarrow{\phi^*} \mathfrak{p}$ , the right are all prime ideals not meeting  $S$  and holding  $S^{-1}\mathfrak{p} \supseteq S^{-1}\mathfrak{a}$ . By the fact that this happens iff  $\mathfrak{p} \supseteq \mathfrak{a}$ , they are all prime ideals not meeting  $S$  and containing  $\mathfrak{a}$ . Which is precisely the left.

Now  $\phi^*$  maps closed files to closed files in any direction. □

**Exercise 3.21.ii.** Let  $f : A \rightarrow B$  be a ring homomorphism...

$$\begin{array}{ccccc} X & \xlongequal{\quad} & \text{Spec}(A) & \xleftarrow{f^*} & \text{Spec}(B) & \xlongequal{\quad} & Y \\ & & \uparrow & & \uparrow & & \\ S^{-1}X & \xlongequal{\quad} & \phi^*(\text{Spec}(S^{-1}A)) & & \psi^*(\text{Spec}(S^{-1}B)) & \xlongequal{\quad} & S^{-1}Y \\ & & \updownarrow & & \updownarrow & & \\ X & \xlongequal{\quad} & \text{Spec}(S^{-1}A) & \xleftarrow{S^{-1}f^*} & \text{Spec}(S^{-1}B) & \xlongequal{\quad} & Y \end{array}$$

$$\begin{array}{ccc} \mathfrak{p} & \xleftarrow{f^*} & \mathfrak{q} \\ \parallel & & \parallel \\ \mathfrak{p} & & \mathfrak{q} \\ \updownarrow & & \updownarrow \\ S^{-1}\mathfrak{p} & \xleftarrow{S^{-1}f^*} & S^{-1}\mathfrak{q} \end{array}$$

That  $(S^{-1}f)^* : \text{Spec}(S^{-1}B) \rightarrow \text{Spec}(S^{-1}A)$  is the restriction of  $f^*$  to  $S^{-1}Y$  we have already proved in Facts, showing the action of  $(S^{-1}f)^*$ :

$$(S^{-1}f)^* : S^{-1}\mathfrak{q} \mapsto S^{-1}\mathfrak{p}$$

What is  $S^{-1}X = \phi^*(\text{Spec}(S^{-1}A))$ ? All prime ideals of  $A$  not meeting  $S$ .

What is  $f^{*-1}(S^{-1}X) = f^{*-1}(\phi^*(\text{Spec}(S^{-1}A)))$ ? All prime ideals of  $B$  whose preimages in  $A$  do not meet  $S$ .

What is  $S^{-1}X = \psi^*(\text{Spec}(S^{-1}B))$ ? All prime ideals of  $B$  not meeting  $f(S)$ .

We show that the the last two sets are equal.

$\supseteq$ : If  $\mathfrak{q}$  does not meet  $f(S)$ , may its preimage  $f^{-1}(\mathfrak{q})$  meet  $S$ ? Let  $s \in f^{-1}(\mathfrak{q})$ ;  $f(s) \in \mathfrak{q}$ ; now  $\mathfrak{q}$  meets  $f(S)$ , a contradiction. So it cannot.

$\subseteq$ : Let's not let the preimage  $f^{-1}(\mathfrak{q})$  of a prime ideal  $\mathfrak{q}$  of  $B$  meet  $S$ . May  $\mathfrak{q}$  meet  $f(B)$ ?  $f(s) \in \mathfrak{q}$ ;  $s \in f^{-1}(\mathfrak{q})$ ; now the preimage  $f^{-1}(\mathfrak{q})$  in  $A$  meets  $S$ , a contradiction. So it cannot.

**Exercise 3.21.iii.** Let  $\mathfrak{a}$  be an ideal of  $A$  and let  $\mathfrak{b} = \mathfrak{a}^e$  be its extension in  $B$ ...

Let  $\mathfrak{a}$  be an ideal of  $A$  and let  $\mathfrak{b} = \mathfrak{a}^e$  be its extension in  $B$ . What is the homomorphism?

$$\tilde{f} : A/\mathfrak{a} \rightarrow B/\mathfrak{b}$$

Recall what does a homomorphism need to factor through a quotient?

$$\begin{array}{ccc} A & \xrightarrow{\phi} & B \\ & \searrow \pi & \swarrow \tilde{\phi} \\ & A/\mathfrak{a} & \end{array}$$

The map  $\tilde{\phi}$  has to be defined on representatives and cannot differ between them.

$$\tilde{\phi}(a + \mathfrak{a}) = \tilde{\phi}(a' + \mathfrak{a})$$

if  $a + \mathfrak{a} = a' + \mathfrak{a}$  iff  $a - a' \in \mathfrak{a}$ .

We define  $\tilde{\phi}$  by  $\tilde{\phi}(a + \mathfrak{a}) = \phi(a)$  so it has to be

$$\phi(a) = \phi(a') \text{ if } a - a' \in \mathfrak{a}$$

$$\phi(a - a') = 0 \text{ if } a - a' \in \mathfrak{a}$$

$$\phi(a) = 0 \text{ if } a \in \mathfrak{a}$$

$$\ker \phi \supseteq \mathfrak{a}$$

To factor through the quotient by an ideal, the homomorphism's kernel must contain this ideal.

A homomorphism factors through any ideal contained in its kernel.

If  $\mathfrak{a} \subseteq \phi^{-1}(0)$  then  $\phi(a) = 0$  for  $a \in \mathfrak{a}$  then  $\phi(a - a') = 0$  for  $a - a' \in \mathfrak{a}$  then  $\phi(a) = \phi(a')$  for  $a + \mathfrak{a} = a' + \mathfrak{a}$  and we can say  $\tilde{\phi}(a + \mathfrak{a}) = \phi(a)$ .

We return to  $f : A \rightarrow B$ ,  $\mathfrak{a} = f^{-1}(\mathfrak{b})$ ,  $\mathfrak{b}$  an ideal of  $B$ .

$$A \xrightarrow{f} B \xrightarrow{\rho} B/\mathfrak{b}$$

Does the kernel contain  $\mathfrak{a}$ ? If  $a \in \mathfrak{a}$  then  $a \mapsto f(a) \mapsto f(a) + \mathfrak{b}$ , but  $f(a) \in \mathfrak{b}$  so  $a$  maps to zero and is in the kernel of this composition homomorphism, which then factors through the quotient:

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{\rho} & B/\mathfrak{b} \\ & \searrow & & \nearrow & \\ & A/\mathfrak{a} & & & \\ & \nwarrow & \nearrow & & \\ a & \xrightarrow{f} & f(a) & \xrightarrow{\rho} & f(a) + \mathfrak{b} \\ & \searrow & & \nearrow & \\ & a + \mathfrak{a} & & & \end{array}$$

How does  $\text{Spec}(A/\mathfrak{a})$  have its canonical image  $V(\mathfrak{a})$  in  $\text{Spec}(A)$ ?

$$A \xrightarrow{\pi} A/\mathfrak{a}$$

$$a \mapsto a + \mathfrak{a}$$

That there is a 1-1 correspondence between ideals of  $A/\mathfrak{a}$  and ideals of  $A$  containing  $\mathfrak{a}$ , we are told in the text on page 9. And that prime ideals correspond to prime ideals. So we have a bijection between  $\text{Spec}(A/\mathfrak{a})$  and prime ideals of  $A$  containing  $\mathfrak{a}$ , which comprise the set  $V(\mathfrak{a})$ . We are not required to prove a homeomorphism here.

$$\begin{array}{ccc}
A & \xrightarrow{f} & B \\
\downarrow \pi & & \downarrow \rho \\
A/\mathfrak{a} & \xrightarrow{\tilde{f}} & B/\mathfrak{b}
\end{array}
\quad
\begin{array}{ccc}
\text{Spec}(A) & \xleftarrow{f^*} & \text{Spec}(B) \\
\uparrow & & \uparrow \\
\pi^*(\text{Spec}(A/\mathfrak{a})) & \xleftarrow{\quad} & \rho^*(\text{Spec}(B/\mathfrak{b})) \\
\downarrow & & \downarrow \\
\text{Spec}(A/\mathfrak{a}) & \xleftarrow{\tilde{f}^*} & \text{Spec}(B/\mathfrak{b}),
\end{array}$$

$\pi^*$  (left curved arrow),  $\rho^*$  (right curved arrow)

The general prime ideal of  $B/\mathfrak{b}$  is  $\rho(\mathfrak{q})$  where  $\mathfrak{q}$  is a prime ideal of  $B$  containing  $\mathfrak{b}$ .

$$\begin{aligned}
\tilde{f}^* : \rho(\mathfrak{q}) &\mapsto \tilde{f}^{-1}(\rho(\mathfrak{q})) \\
\tilde{f}^{-1}(\rho(\mathfrak{q})) &= \{a + \mathfrak{a} : f(a) + \mathfrak{b} \in \rho(\mathfrak{q})\} = \dots
\end{aligned}$$

**Property.**  $b + \mathfrak{b} \in \rho(\mathfrak{q}) \iff b \in \mathfrak{q}$ .

Probably general for surjective homomorphism and an ideal, or even a set, containing the kernel.

If  $b + \mathfrak{b} \in \rho(\mathfrak{q})$  then  $b + \mathfrak{b} = b' + \mathfrak{b}$  for some  $b' \in \mathfrak{q}$ , then  $b - b' \in \mathfrak{q}$  and  $b' \in \mathfrak{q}$ , then  $b \in \mathfrak{q}$ .

If  $b \in \mathfrak{q}$  then  $\rho(b) \in \rho(\mathfrak{q})$ , meaning  $b + \mathfrak{b} \in \rho(\mathfrak{q})$ . □

$$\begin{aligned}
\dots &= \{a + \mathfrak{a} : f(a) \in \mathfrak{q}\} \\
&= f^{-1}(\mathfrak{q}) + \mathfrak{a} \\
&= \pi(f^{-1}(\mathfrak{q}))
\end{aligned}$$

Now  $\pi^*$  maps this to  $\pi^{-1}(\pi(f^{-1}(\mathfrak{q})))$ . As  $\pi$  is surjective, this set is  $f^{-1}(\mathfrak{q}) = f^*(\mathfrak{q})$ .

The up-left path:  $\rho(\mathfrak{q})$  is identified in  $\text{Spec}(B)$  with  $\mathfrak{q}$  then this is mapped by  $f^*$  to  $f^{-1}(\mathfrak{q}) = f^*(\mathfrak{q})$ .