

# Rust Memory Management

Rust ensures memory safety without a garbage collector by enforcing strict ownership and borrowing rules at compile time <sup>1</sup>. This approach avoids runtime overhead while preventing common bugs. Key points of Rust's memory model include:

- **Ownership rules:** Each value has one owner, transfers on assignment or function calls, and is dropped when its owner goes out of scope <sup>2</sup>.
- **Borrowing rules:** You can have either one mutable reference or any number of immutable references at a time <sup>3</sup>. These rules are enforced at compile time to prevent data races and invalid memory access.
- **Lifetimes:** Every reference has a lifetime (its valid scope) that the compiler checks to ensure it never outlives the data it points to <sup>4</sup> <sup>5</sup>.

Together, these guarantees ensure Rust programs are memory-safe: references never dangle or race <sup>6</sup> <sup>7</sup>.

## Stack vs Heap

Rust uses a **stack** and a **heap** to manage memory. The stack is a fast, last-in-first-out region where fixed-size data are stored. The heap is a larger, less organized area for dynamic allocations. Values with a known compile-time size go on the stack, while heap-allocated data (like growing collections or heap-allocated types) store their contents on the heap with only a fixed-size pointer on the stack <sup>8</sup> <sup>9</sup>. For example:

- **Stack:** Fast access, local variables and function frames are pushed onto the stack. Each function call creates a *stack frame* with its arguments, locals, and return address; it is popped when the function returns <sup>9</sup>.
- **Heap:** Slower allocation. When you do a heap allocation (e.g. `Box::new`, `Vec::new`), memory is requested from the heap, and a pointer to that memory is stored (usually on the stack) <sup>8</sup> <sup>9</sup>.

The image above illustrates a function's stack frame: arguments, local variables, and the return address are stored together on the stack. In the heap, data can grow dynamically in arbitrary order, but accessing it requires following a pointer, which is slower than stack access <sup>9</sup> <sup>8</sup>. Rust hides these details for basic use, but understanding them explains why ownership and borrowing rules focus on managing heap data safely <sup>8</sup> <sup>9</sup>.

```
fn main() {  
    let x = 10;           // Stored on the stack (primitive type).  
    let y = Box::new(20); // Box allocates `20` on the heap; `y` is the stack  
    println!("{}", x, *y);  
}
```

```
} // `x` and `y` (the pointer) are popped from the stack; the heap data `20` is
dropped as `y` goes out of scope.
```

## Ownership System

Rust's ownership system governs how memory is managed. The core rules are <sup>2</sup>:

- **Each value has an owner.** For example, `let s = String::from("hello");` creates a `String` on the heap whose owner is `s`.
- **Only one owner at a time.** Assigning or passing ownership moves the value. For example:

```
let s1 = String::from("hello");
let s2 = s1; // Ownership of the string moves from s1 to s2.
// println!("{}", s1); // ERROR: s1 is no longer valid after move.
```

- **When the owner goes out of scope, the value is dropped.** Rust calls the `drop` method automatically, deallocating heap data without any garbage collector.

These rules let Rust automatically free memory at the right time, preventing leaks without runtime cost. Note that types implementing the `Copy` trait (like integers or tuples of `Copy` types) are copied rather than moved, so assignment creates a duplicate value instead of transferring ownership. For non-`Copy` types, moves enforce single ownership.

## Borrowing and References

Rust lets you borrow a value through **references** (`&T` for immutable, `&mut T` for mutable) without taking ownership. The compiler enforces these additional borrowing rules <sup>3</sup>:

- **One mutable reference or many immutable references:** At any point, you can have either one `&mut` or multiple `&` references to a value, but not both <sup>3</sup>.
- **References must be valid:** The data pointed to by any reference must outlive the reference.

For example, this code is illegal because it tries to mix mutable and immutable borrows of the same value simultaneously:

```
let mut s = String::from("hello");
let r1 = &s;           // immutable borrow
let r2 = &s;           // another immutable borrow (allowed)
let r3 = &mut s;       // error: cannot borrow `s` as mutable because it's already borrowed as
                        // immutable
println!("{}", r1, r2, r3);
```

The compiler error points out the conflict: **"cannot borrow `s` as mutable because it is also borrowed as immutable"** <sup>10</sup>. Rust disallows having an active mutable borrow while immutable borrows exist, because changing data under an immutable borrow could break invariants. Multiple immutable borrows are allowed (read-only access) <sup>10</sup>. The borrow checker automatically determines reference lifetimes to ensure these rules hold. For example, if the immutable references are used and go out of scope before the mutable borrow is created, the code is allowed <sup>11</sup> <sup>3</sup>.

The diagram above shows a stack memory layout with two stack frames. In `main`, `x` holds the value `5` at address `0` and `y` is an immutable reference pointing to it (address `1` points to `0`). When we call `foo(&y)`, the reference is passed (address `2`), and `foo` has its own frame with local `z`. This illustrates how references are simply pointers on the stack referencing other stack data. Rust ensures these references remain valid. If `y` or `x` were to go out of scope while `foo` still held a reference, the compiler would flag an error (preventing a dangling pointer) <sup>6</sup>.

```
// Valid sequence of borrows
let mut s = String::from("world");
let r1 = &s; // immutable borrow
let r2 = &s; // another immutable borrow
println!("{}", r1, r2); // r1,r2 used here
let r3 = &mut s; // allowed now (immutable borrows ended)
println!("{}", r3);
```

Rust also guarantees **no dangling references**. If you try to return a reference to a local variable, the compiler will reject it <sup>12</sup>. For example, this function would fail:

```
fn dangle() -> &String {
    let s = String::from("hello");
    &s // error: returns reference to data owned by current function
}
```

The error **"borrowed value does not live long enough"** is exactly Rust preventing a dangling pointer <sup>12</sup> <sup>5</sup>.

## Lifetimes

A **lifetime** in Rust is the scope for which a reference is valid <sup>4</sup>. Most lifetimes are implicit and inferred, but sometimes you must annotate them (typically in function signatures) to tell the compiler how references relate. Lifetimes primarily exist to prevent dangling references. For example, consider:

```
fn main() {
    let r;
    {
```

```

let x = 5;
r = &x; // borrow of `x` with lifetime shorter than `r`'s
}
println!("{}", r); // error: `x` does not live long enough
}

```

Here `x` is dropped when the inner scope ends, but `r` would still be valid outside; Rust rejects this as invalid <sup>12</sup>. Annotating lifetimes in functions (e.g. `fn longest<'a>(x: &'a str, y: &'a str) -> &'a str`) tells Rust that the returned reference's lifetime is tied to the input references' lifetimes. In essence, the compiler's borrow checker uses lifetimes to ensure **all references remain valid** for as long as needed <sup>4</sup> <sup>12</sup>.

## Mutability

By default, Rust variables are **immutable** <sup>13</sup>. This encourages safer code since values can't change unexpectedly. To change a value, you must explicitly mark it `mut`:

```

let x = 5;
x = 6; // error: cannot assign twice to immutable variable `x`
let mut y = 5;
y = 6; // OK: y is mutable

```

The compiler enforces immutability: attempting to modify an immutable variable yields an error (e.g., "cannot assign twice to immutable variable") <sup>14</sup>. The Rust Book explains this rule helps prevent subtle bugs: if one part of code assumes a value never changes, the compiler guarantees it won't <sup>15</sup>. When you do need mutability, adding `mut` both enables changing the value and signals to readers that the variable may change <sup>16</sup>.

## Preventing Dangling Pointers and Data Races

Rust's ownership and borrowing rules inherently prevent dangling pointers: the compiler **guarantees that references will never be dangling** <sup>6</sup>. A reference cannot outlive its data, and unsafe code (through `unsafe`) must manually uphold safety.

Rust also guarantees **no data races in safe code** <sup>7</sup>. A data race is when two threads concurrently access the same memory location, at least one mutably, without synchronization (undefined behavior). Rust's rule "no aliasable mutable references" makes such unsynchronized access impossible in safe code <sup>7</sup>. (For concurrency, Rust uses types like `Arc<T>`, `Mutex<T>`, and `RwLock<T>` to allow shared access with synchronization.) In summary, safe Rust code cannot have data races due to its ownership model <sup>7</sup>.

For example, to share data across threads, you might use an `Arc<Mutex<T>>`. Attempting to have two threads both `&mut` borrow the same data would be caught by the compiler or require unsafe code. Thus, Rust's rules provide **compile-time protection** against these classes of bugs.

## Advanced Topics

### Interior Mutability

Most mutability in Rust follows the compile-time rules, but **interior mutability** is a design pattern that allows mutation through an immutable reference by using special wrappers <sup>17</sup>. The primary types for this are `RefCell<T>` (single-threaded) and `Cell<T>` or synchronization types (multi-threaded). For instance, `RefCell<T>` lets you borrow mutably at runtime:

```
use std::cell::RefCell;

let data = RefCell::new(10);
*data.borrow_mut() = 20; // Mutably borrow at runtime
println!("{}", data.borrow()); // Prints 20
```

With `RefCell<T>`, the usual borrow rules (1 mutable or many immutable) are **enforced at runtime** <sup>18</sup>. If you violate them (e.g. try two simultaneous mutable borrows), the program will panic rather than compile <sup>19</sup>. The advantages of interior mutability are that it enables patterns (like shared mutation in `Rc`-wrapped data) that the compiler alone can't verify. As the Rust Book notes, `RefCell<T>` "allows mutable borrows checked at runtime, so you can mutate the value inside the `RefCell<T>` even when the `RefCell<T>` is immutable" <sup>20</sup>. This trade-off is useful when you are sure the borrow rules are respected but the compiler cannot statically prove it.

`Cell<T>` is another interior mutability type (for `Copy` types) that allows getting and setting values by value. In a multithreaded context, the thread-safe analogues are `Mutex<T>` and `RwLock<T>`, which provide locking. (For example, `Mutex<T>` is a thread-safe wrapper that enforces exclusive access at runtime, similar to `RefCell<T>` <sup>21</sup> <sup>22</sup>.)

### Reference Counting ( `Rc` and `Arc` )

`Rc<T>` and `Arc<T>` are **smart pointers** providing reference-counted shared ownership.

- `Rc<T>`: Non-atomic reference counting for single-threaded use. Multiple `Rc<T>` pointers can own the same data. Cloning an `Rc` increases a count, and when the count reaches zero (all owners dropped), the data is freed <sup>20</sup>. However, `Rc<T>` is *not* thread-safe (it doesn't implement `Send` or `Sync` by default).
- `Arc<T>`: An *atomically* reference-counted pointer for sharing across threads. `Arc` stands for *Atomically Reference Counted* <sup>23</sup>. Cloning an `Arc` also increases the count, and uses atomic operations so that it is safe to use from multiple threads <sup>24</sup>. When the last `Arc<T>` is dropped, the heap data is deallocated. Because `Arc` uses atomic ops, it has a slight performance cost compared to `Rc`, but it is necessary for thread-safe sharing <sup>24</sup>.

Example of `Rc` and `Arc` usage:

```

use std::rc::Rc;
let five = Rc::new(5);
let also_five = Rc::clone(&five); // count = 2

use std::sync::Arc;
let thread_data = Arc::new(vec![1, 2, 3]);
let thread_data_clone = Arc::clone(&thread_data); // atomic clone

```

In both cases, `five`, `also_five` (or `thread_data`, `thread_data_clone`) share ownership of the same data. The last owner to go out of scope drops the inner value. (`Arc<T>` additionally implements `Send` and `Sync` if `T` is thread-safe <sup>24</sup>.)

## Other Smart Pointers (`Box`, `Mutex`, etc.)

Rust has several built-in smart pointer types:

- `Box<T>`: A simple pointer for heap allocation. `Box<T>` stores data on the heap, with the box itself on the stack <sup>25</sup>. It has no compile-time overhead beyond the heap allocation. When a `Box<T>` goes out of scope, it drops its data. `Box<T>` is useful for types whose size isn't known at compile time (like recursive types) or to put large data on the heap to reduce stack usage <sup>25</sup> <sup>26</sup>. For example, `let b = Box::new(10);` allocates `10` on the heap and `b` is a stack pointer to it <sup>26</sup>.
- `Mutex<T>` and `RwLock<T>`: These provide thread-safe interior mutability. For example, `Mutex<T>` wraps a value `T` and only allows one thread at a time to access it. In practice, to share mutable data across threads, one often uses `Arc<Mutex<T>>`. This combination enforces Rust's borrowing rules at runtime via locking. (The standard library documentation notes that if you need to mutate data inside an `Arc`, you should use a `Mutex`, `RwLock`, or atomic types <sup>22</sup>.)

## Unsafe Rust and Manual Memory Management

Unsafe Rust unlocks low-level capabilities that bypass some of Rust's safety checks. Within an `unsafe { ... }` block, you can:

- **Dereference raw pointers** (`*const T`, `*mut T`): Raw pointers can alias mutably or be null, unlike safe references <sup>27</sup>. Dereferencing them requires `unsafe`. For example:

```

let mut num = 5;
let r1 = &raw const num;
let r2 = &raw mut num;
unsafe {
    println!("r1 points to {}", *r1); // Dereferencing raw pointer
}

```

- **Call `unsafe` functions or foreign (FFI) functions**: Interfaces to C libraries or compiler intrinsics require `unsafe`.

- **Manipulate mutable statics or unions**, and implement `unsafe` traits.
- **Manual allocation/deallocation**: You can call functions like `std::alloc::alloc` / `dealloc` or external `malloc` / `free`, handling `Layout` and raw pointers yourself (all in `unsafe`). This gives full control but must be done carefully to avoid leaks or undefined behavior.

Rust's `unsafe` does *not* disable all checks; the borrow checker still applies to safe references inside an unsafe block <sup>28</sup>. The “unsafe superpowers” essentially lift certain restrictions (raw pointers, etc.) <sup>29</sup>. As the Rustonomicon warns, this means you must ensure correctness manually: mistakes in unsafe code can lead to null dereferences, data races, or use-after-free. In practice, unsafe code should be encapsulated in safe abstractions, so that most of your code remains in safe Rust <sup>28</sup> <sup>30</sup>.

For example, here's an unsafe allocation and deallocation of a `u32` on the heap:

```
use std::alloc::{alloc, dealloc, Layout};

unsafe {
    let layout = Layout::new::<u32>();
    let ptr = alloc(layout) as *mut u32;
    if !ptr.is_null() {
        *ptr = 42;           // write to allocated memory
        println!("{}", *ptr); // read from it
        dealloc(ptr as *mut u8, layout); // free it
    }
} // unsafe block needed for raw pointer ops
```

Even though this code uses unsafe features, Rust's standard library provides safe wrappers (like `Vec` or `Box`) so you usually only write such code when interfacing with lower-level APIs.

## Summary

Rust's memory management is built on **ownership, borrowing, and lifetimes** to ensure safety at compile time <sup>1</sup> <sup>4</sup>. The stack/heap distinction is important for understanding where data lives <sup>8</sup> <sup>9</sup>. Ownership rules (one owner, drop on scope exit) eliminate manual `free` calls. Borrowing rules (one mutable or many immutable references) are enforced by the compiler <sup>3</sup> <sup>10</sup>. Lifetimes keep references valid <sup>5</sup>. Advanced features like interior mutability, reference counting, and smart pointers (`Box`, `Rc`, `Arc`, `RefCell`, `Mutex`, etc.) build on these principles to allow more complex patterns, all while preventing dangling pointers and data races <sup>7</sup> <sup>19</sup>. When you must step outside safe Rust, `unsafe` code gives low-level access (raw pointers, manual allocation) but requires you to uphold those safety guarantees yourself <sup>28</sup> <sup>27</sup>. This combination makes Rust uniquely powerful for systems programming with guaranteed memory safety.

**References:** The Rust Programming Language (official book) <sup>1</sup> <sup>6</sup> <sup>4</sup> <sup>13</sup> <sup>20</sup>, Rustonomicon <sup>7</sup>, and Rust standard documentation <sup>23</sup> <sup>25</sup>.

1 2 8 What is Ownership? - The Rust Programming Language

<https://doc.rust-lang.org/book/ch04-01-what-is-ownership.html>

3 6 10 11 References and Borrowing - The Rust Programming Language

<https://doc.rust-lang.org/book/ch04-02-references-and-borrowing.html>

4 5 12 Validating References with Lifetimes - The Rust Programming Language

<https://doc.rust-lang.org/book/ch10-03-lifetime-syntax.html>

7 Races - The Rustonomicon

<https://doc.rust-lang.org/nomicon/races.html>

9 The Stack and the Heap - The Rust Programming Language

[https://web.mit.edu/rust-lang\\_v1.25/arch/amd64\\_ubuntu1404/share/doc/rust/html/book/first-edition/the-stack-and-the-heap.html](https://web.mit.edu/rust-lang_v1.25/arch/amd64_ubuntu1404/share/doc/rust/html/book/first-edition/the-stack-and-the-heap.html)

13 14 15 16 Variables and Mutability - The Rust Programming Language

<https://doc.rust-lang.org/book/ch03-01-variables-and-mutability.html>

17 18 19 20 21 RefCell and the Interior Mutability Pattern - The Rust Programming Language

<https://doc.rust-lang.org/book/ch15-05-interior-mutability.html>

22 23 24 Arc in std::sync - Rust

<https://doc.rust-lang.org/std/sync/struct.Arc.html>

25 26 Using Box to Point to Data on the Heap - The Rust Programming Language

<https://doc.rust-lang.org/book/ch15-01-box.html>

27 28 29 30 Unsafe Rust - The Rust Programming Language

<https://doc.rust-lang.org/book/ch20-01-unsafe-rust.html>