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## CSEN 1003 Compiler, Spring Term 2020 Practice Assignment 3

Discussion: 12.02.19 - 19.02.19

#### Exercise 3-1

## CFG's

Give a context-free grammar (CFG) for each of the following languages:

a)  $L = \{a^m b^n c^k \mid k = m + n \text{ and } m, n, k \ge 0\}$  over the alphabet  $\Sigma = \{a, b, c\}$ .

### Solution:

$$\begin{array}{ccc} S & \rightarrow & \mathrm{a} S \mathrm{c} \mid T \\ T & \rightarrow & \mathrm{b} T \mathrm{c} \mid \varepsilon \end{array}$$

b)  $L = \{a^m b^n \mid n \neq m\}$  over the alphabet  $\Sigma = \{a, b\}$ .

### **Solution:**

$$\begin{array}{ccc} S & \rightarrow & P \mid T \\ P & \rightarrow & \mathtt{a}P\mathtt{b} \mid \mathtt{a}P \mid \mathtt{a} \\ T & \rightarrow & \mathtt{a}T\mathtt{b} \mid T\mathtt{b} \mid \mathtt{b} \end{array}$$

Alternative solution:

$$\begin{array}{ccc} S & \rightarrow & AX \mid XB \\ X & \rightarrow & \mathtt{a}X\mathtt{b} \mid \varepsilon \\ A & \rightarrow & \mathtt{a}A \mid \mathtt{a} \\ B & \rightarrow & \mathtt{b}B \mid \mathtt{b} \end{array}$$

Note: This language does not accept the empty string because it would imply m = n = 0.

c)  $L = \{w \mid w \text{ is a palindrome }\}$  over the alphabet  $\Sigma = \{a, b, c\}$ . (Note: A palindrome is a string that reads the same backwards as forwards.)

### Solution:

$$S \rightarrow \varepsilon \mid \mathbf{a} \mid \mathbf{b} \mid \mathbf{c} \mid \mathbf{a} S \mathbf{a} \mid \mathbf{b} S \mathbf{b} \mid \mathbf{c} S \mathbf{c}$$

#### Exercise 3-2

#### Parse trees

Cosider the grammar:

 $<sup>^0\</sup>mathrm{Some}$  exercises are due to Dr. Carmen Gervet

$$S \rightarrow A1B$$

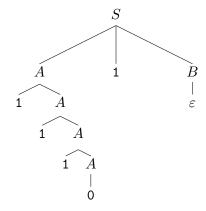
$$A \rightarrow 1A \mid 0$$

$$B \rightarrow 0B \mid \varepsilon$$

Give a parse tree for each of the following strings:

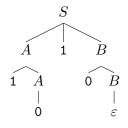
# a) 11101

# Solution:



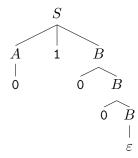
# b) 1010

# Solution:



# c) 0100

# Solution:



### Exercise 3-3

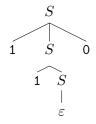
## **Ambiguous grammars**

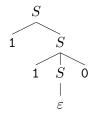
For the following grammars, first show that the grammar is ambiguous, then provide an equivalent unambiguous grammar.

a) 
$$S \rightarrow 1S0 \mid 1S \mid \varepsilon$$

#### Solution:

We show that the grammar is ambiguous by providing two different parse trees for the string:110



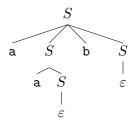


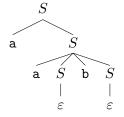
An equivalent unambiguous grammar:

b) 
$$S \rightarrow aSbS \mid aS \mid \varepsilon$$

## Solution:

We show that the grammar is ambiguous by providing two different parse trees for the string:aab





An equivalent unambiguous grammar:

### Exercise 3-4

## Leftmost and rightmost derivations

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Consider the following context-free grammar:

$$S \quad o \quad SS\text{+} \mid SS\text{*} \mid$$
 a

and the string: aa+a\*

a) Give a leftmost derivation for the string. Show the sequence of derivation rules applied.

### **Solution:**

$$S \Rightarrow SS* \Rightarrow (SS+)S* \Rightarrow aS+S* \Rightarrow aa+S* \Rightarrow aa+a*$$

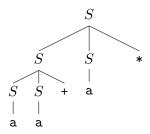
b) Give a rightmost derivation for the string. Show the sequence of derivation rules applied.

#### **Solution:**

$$S\Rightarrow SS*\Rightarrow Sa*\Rightarrow (SS+)a*\Rightarrow Sa+a*\Rightarrow aa+a*$$

c) Give a parse tree for the string.

### **Solution:**



d) Is this grammar ambiguous? Justify your answer.

### Solution:

This grammar describes the language of strings in postfix notation with the operand 'a'. It is not ambiguous because postfix notation implies a single interpretation of strings.

### Exercise 3-5

## Unambiguous grammars

The following context-free grammar generates prefix expressions with operands 0 and 1 and binary operators +, -, and \*:

$$S \rightarrow +SS \mid -SS \mid *SS \mid 0 \mid 1$$

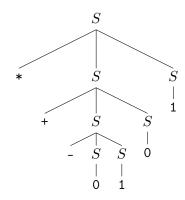
a) Find leftmost and rightmost derivations together with a parse tree for the string \*+-0101.

### **Solution:**

Derivations:

Leftmost	${f Rightmost}$
S	S
$\Rightarrow *SS$	$\Rightarrow *SS$
$\Rightarrow *(+SS)S$	<i>⇒</i> * <i>S</i> 1
$\Rightarrow *+(-SS)SS$	$\Rightarrow *(+SS)$ 1
$\Rightarrow$ *+-0 $SSS$	<i>⇒*+S</i> 01
$\Rightarrow$ *+-01 $SS$	$\Rightarrow$ *+ $(-SS)$ 01
$\Rightarrow$ *+-010 $S$	$\Rightarrow$ *+- $S$ 101
⇒*+-0101	⇒*+-0101

Parse tree:



b) Prove that this grammar is unambiguous.

#### **Solution:**

This grammar denotes a prefix notation of strings with operands 0, 1 and operation symbols +, - and \*.

In this grammar, the application of each rule generates a string starting with a unique terminal symbol (\*, +, -, 0 or 1). For any string w that belongs to the CFL, when we consider a leftmost variable E in the leftmost derivation of the string, there is only one rule that can be used to continue the derivation. This rule is uniquely determined by the next symbol in w to be derived. So there is only one leftmost derivation for w, hence the non-ambiguity of the grammar.

#### Exercise 3-6

### **Grammar Correctness**

a) Consider the CFG  $G_1$ :

$$S \longrightarrow 0S11 \mid 0S111 \mid \varepsilon$$

Prove that  $L(G_1) = \{0^m 1^n \mid 2m \le n \le 3m \text{ and } n, m \ge 0\}$ 

## Solution:

**Proof.** We divide the proof into two parts.

Soundness ( $L(G_1) \subseteq L_1$ ). We prove the statement by induction on the length k of S-derivations.

**Basis** (k = 1). The only S-derivation of length 1 is the derivation  $S \Rightarrow \varepsilon$  and  $\varepsilon \in L_1$  when m = n = 0.

**Induction Hypothesis.** For some  $k \in \mathbb{N}$  and  $\forall j \leq k$ , if  $S \stackrel{j}{\Rightarrow} w$ , then  $w \in L_1$ .

**Induction Step.** Suppose that  $S \stackrel{k+1}{\Rightarrow} w$ . Hence either,  $S \Rightarrow 0S11 \stackrel{k}{\Rightarrow} 0u11 = w$  or  $S \Rightarrow 0S111 \stackrel{k}{\Rightarrow} 0v111 = w$ . Thus,  $S \stackrel{k}{\Rightarrow} u$  and  $S \stackrel{k}{\Rightarrow} v$ . Then, by the induction hypothesis,  $u \in L_1$  and  $v \in L_1$ .

Hence,  $u, v = 0^m 1^n$ , for some  $m, n \in \mathbb{N}$  and  $2m \le n \le 3m$ . Accordingly, it must be one of three cases:

1. n=2m. In this case, it must be that  $w=0u11=0^{m+1}1^{2m+2}\in L_1$ ; or

2. n = 3m. In this case, it must be that  $w = 0v111 = 0^{m+1}1^{3m+3} \in L_1$ ; or

- 3. 2m < n < 3m. In this case, either w = 0u11 or w = 0v111. If  $w = 0u11 = 0^{m+1}1^{n+2}$ , then 2m+2 < n+2 < 3m+2 < 3m+3. If  $w = 0v111 = 0^{m+1}1^{n+3}$ , then 2m+2 < 2m+3 < n+3 < 3m+3. Hence, in both cases  $w \in L_1$ .
- Completeness ( $L_1 \subseteq L(G_1)$ ). We prove the statement by induction on the length k of strings in  $L_1$ .

**Basis** (k = 0). The only string of length 0 in  $L_1$  is  $\varepsilon$ , and  $S \Rightarrow \varepsilon$ . Hence,  $\varepsilon \in L(G_1)$ . **Induction Hypothesis.** For some  $k \in \mathbb{N}$ , if  $|w| \leq k$  and  $w \in L_1$ , then  $w \in L(G_1)$   $(S \stackrel{*}{\Rightarrow} w)$ .

**Induction Step.** Suppose  $w \in L_1$  with |w| = k + 1. By definition of  $L_1$ , it must be that  $w = 0^m 1^n$ , for some  $m, n \in \mathbb{N}$  and  $2m \le n \le 3m$ . It must be one of three cases:

- 1. n = 2m. Then,  $w = 0^m 1^{2m}$ . It must be that w = 0u11 where  $u = 0^{m-1}1^{2m-2}$ . Since  $|u| \le k$  and  $u \in L_1$ , then by the induction hypothesis  $S \stackrel{*}{\Rightarrow} u$ . Therefore, a valid derivation for w is  $S \Rightarrow 0S11 \stackrel{*}{\Rightarrow} 0u11 = w$ ; or
- 2. n = 3m. Then,  $w = 0^m 1^{3m}$ . It must be that w = 0v111 where  $v = 0^{m-1}1^{3m-3}$ . Since  $|v| \le k$  and  $v \in L_1$ , then by the induction hypothesis  $S \stackrel{*}{\Rightarrow} v$ . Therefore, a valid derivation for w is  $S \Rightarrow 0S111 \stackrel{*}{\Rightarrow} 0v111 = w$ ; or
- 3. 2m < n < 3m. In this case, it must be that w is either 0u11 where  $u = 0^{m-1}1^{n-2}$ , or w is 0v111 where  $v = 0^{m-1}1^{n-3}$ . It is fairly obvious that  $|u|, |v| \le k$ . It only remains to show that  $u, v \in L_1$  to use the induction hypothesis. We show this in the following.
  - i. Since 2m-2 < n-2 < 3m-2, then  $2m-2 < n-2 \le 3m-3$ . Accordingly,  $u \in L_1$ . By the induction hypothesis,  $S \stackrel{*}{\Rightarrow} u$ . Therefore, a valid derivation for w is  $S \Rightarrow 0S11 \stackrel{*}{\Rightarrow} 0u11 = w$ .
  - ii. Since 2m-3 < n-3 < 3m-3, then  $2m-2 \le n-3 < 3m-3$ . Accordingly,  $v \in L_1$ . By the induction hypothesis,  $S \stackrel{*}{\Rightarrow} v$ . Therefore, a valid derivation for w is  $S \Rightarrow 0S111 \stackrel{*}{\Rightarrow} 0v111 = w$ .

Thus, 
$$w \in L(G_1)$$
.

b) Consider the CFG  $G_2$ :

$$\begin{array}{ccc} S & \longrightarrow & AC \\ A & \longrightarrow & \mathtt{a}A\mathtt{b} \mid \varepsilon \\ C & \longrightarrow & \mathtt{c}C \mid \varepsilon \end{array}$$

Prove that  $L(G_2) = \{a^m b^m c^n \mid m, n \geq 0\}$ 

### Solution:

First, it should be noted that, since the only S-rule is the rule  $S \Rightarrow AC$ , every derivation of a string  $w \in L(G_2)$  is of the form

$$S \Rightarrow AC \stackrel{*}{\Rightarrow} uv = w$$

where  $A \stackrel{*}{\Rightarrow} u \in \Sigma^*$  and  $C \stackrel{*}{\Rightarrow} v \in \Sigma^*$ . Hence,  $L(G_2) = L(G_A) \circ L(G_C) = \{u \mid A \stackrel{*}{\Rightarrow} u\} \circ \{v \mid C \stackrel{*}{\Rightarrow} v\}$ . To prove that  $L(G_2) = \{a^m b^m c^n \mid m, n \geq 0\}$ , it suffices to show that

a) 
$$L(G_A) = L_1 = \{ \mathbf{a}^m \mathbf{b}^m \mid m \ge 0 \}$$
 and

b) 
$$L(G_C) = L_2 = \{ \mathbf{c}^n \mid n \ge 0 \}.$$

Claim 1.  $L(G_A) = L_1$ 

**Proof.** We divide the proof into two parts.

 $L(G_A) \subseteq L_1$ . We prove the statement by induction on the length k of A-derivations.

**Basis** (k = 1). The only A-derivation of length 1 is the derivation  $A \Rightarrow \varepsilon$  and  $\varepsilon \in L_1$ .

**Induction Hypothesis.** For some  $k \in \mathbb{N}$ , if  $A \stackrel{j}{\Rightarrow} w$ ,  $\forall j \leq k$ , then  $w \in L_1$ .

**Induction Step.** Suppose that  $A \stackrel{k+1}{\Rightarrow} w$ . Hence,

$$A \Rightarrow aAb \stackrel{k}{\Rightarrow} aub = w$$

Thus,  $A \stackrel{k}{\Rightarrow} u$ . By the induction hypothesis,  $u \in L_1$ . Hence,  $u = \mathbf{a}^m \mathbf{b}^m$ , for some  $m \ge 0$ . It follows that  $w = \mathbf{a} u \mathbf{b} = \mathbf{a}^{m+1} \mathbf{b}^{m+1} \in L_1$ .

 $L_1 \subseteq L(G_A)$ . We prove the statement by induction on the length k of strings in  $L_1$ .

**Basis** (k=0). The only string of length 0 in  $L_1$  is  $\varepsilon$ , and  $A \Rightarrow \varepsilon$ . Hence,  $\varepsilon \in L(G_A)$ .

**Induction Hypothesis.** For some  $k \in \mathbb{N}$ , if  $|w| \leq k$  and  $w \in L_1$ , then  $w \in L(G_A)$ .

**Induction Step.** Let  $w \in L_1$  with |w| = k + 1. By definition of  $L_1$ ,  $w = \mathbf{a}^m \mathbf{b}^m$ , for some  $m \geq 0$ . Moreover, since |w| = k + 1, it follows that  $m \geq 1$ . Hence,  $w = \mathbf{a}u\mathbf{b}$ , where  $u = \mathbf{a}^{m-1}\mathbf{b}^{m-1}$  for some  $m-1 \geq 0$ . Thus,  $u \in L_1$ . Moreover, since 2m = k + 1, it follows that |u| = 2m - 2 = k - 1. Hence, by the induction hypothesis,  $u \in L_A$ . By definition of  $L(G_A)$ ,  $A \stackrel{*}{\Rightarrow} u$ . Thus, the following is a valid A-derivation:

$$A \Rightarrow \mathtt{a} A\mathtt{b} \overset{*}{\Rightarrow} \mathtt{a} u\mathtt{b} = w$$

Thus, 
$$w \in L(G_A)$$
.

Claim 2.  $L(G_C) = L_2$ 

**Proof.** We divide the proof into two parts.

 $L(G_C) \subseteq L_2$ . We prove the statement by induction on the length k of C-derivations.

**Basis** (k = 1). The only C-derivation of length 1 is the derivation  $C \Rightarrow \varepsilon$  and  $\varepsilon \in L_2$ .

**Induction Hypothesis.** For some  $k \in \mathbb{N}$ , if  $C \stackrel{j}{\Rightarrow} w$ ,  $\forall j \leq k$ , then  $w \in L_2$ .

**Induction Step.** Suppose that  $C \stackrel{k+1}{\Rightarrow} w$ . Hence,

$$C \Rightarrow cC \stackrel{k}{\Rightarrow} cu = w$$

Thus,  $C \stackrel{k}{\Rightarrow} u$ . By the induction hypothesis,  $u \in L_2$ . Hence,  $u = c^n$ , for some  $n \ge 0$ . It follows that  $w = cu = c^{n+1} \in L_2$ .

 $\mathbf{L_2} \subseteq \mathbf{L}(\mathbf{G_C})$ . We prove the statement by induction on the length k of strings in  $L_2$ .

**Basis** (k = 0). The only string of length 0 in  $L_2$  is  $\varepsilon$ , and  $C \Rightarrow \varepsilon$ . Hence,  $\varepsilon \in L(G_C)$ .

**Induction Hypothesis.** For some  $k \in \mathbb{N}$ , if  $|w| \leq k$  and  $w \in L_2$ , then  $w \in L(G_C)$ .

**Induction Step.** Let  $w \in L_2$  with |w| = k + 1. By definition of  $L_2$ ,  $w = \mathbf{c}^n$ , for some  $n \geq 0$ . Moreover, since |w| = k + 1, it follows that  $n \geq 1$ . Hence,  $w = \mathbf{c}u$ , where  $u = \mathbf{c}^{n-1}$  for some  $n - 1 \geq 0$ . Thus,  $u \in L_2$ . Moreover, since n = k + 1, it follows that |u| = n - 1 = k. Hence, by the induction hypothesis,  $u \in L(G_C)$ . By definition of  $L(G_C)$ ,  $C \stackrel{*}{\Rightarrow} u$ . Thus, the following is a valid C-derivation:

$$C \Rightarrow cC \stackrel{*}{\Rightarrow} cu = w$$

Thus,  $w \in L(G_C)$ .