

Michael J. Earlywine

FINANCIAL PROFESSIONAL

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917.804.6422



Morristown, NJ



SKILLS

Trading, Equities, Corporate
Bonds, FX, Options,
Management, Operations,
Financial Technology, OMS,
EMS, risk modeling, risk
systems, ESG, portfolio
trading, system design,
hedging, electronic trading,
stock loan, commission
management, Alternative
trading systems, ETFs,
Exchange and market
structure, RIA and Robo
advising systems, Blockchain

EDUCATION

MASTER'S DEGREE
International Finance
Fordham University
1996

BACHELOR OF SCIENCE
Marketing and
Communication
University of Dayton
1987

CITIZENSHIP

Dual - US and Irish

PROFESSIONAL PROFILE

I am an innovative and process oriented financial professional with experience in managing, selling, trading and operations on both the buy-side and sell-side. I have particular expertise in financial technology including OMS, EMS and portfolio construction tools. In addition to systems expertise I have an extensive background in trading and managing equities portfolios especially in the construction, implementation, and optimization of portfolios. My trading experience is predominantly equities with related experience in trading corporate bonds, FX, options and futures.

EXPERIENCE

National Stock Exchange (NSX), New Jersey, Business Analyst, Aug 2016 –

Working with Management on the sale process and positioning of the Exchange. Meeting with current and potential clients regarding the Exchange's extended offerings, and developing sales strategies based on this feedback. Identifying and analyzing market structure changes, specifically the upcoming Reg ATS-N changes and its implications to the Alternative Trading System market.

- Refining the work flow and features of an ATS like product; Identifying customers, making proposals and coordinating trials
- Development of marketing material consistent across all business lines

Burnham/Bonwick Capital, New York, MD Trading and Ops, Nov 2014 – Jul 2016

I managed a brokerage operation consisting of sales traders, retail clerks, traders and assistants while covering large institutional money managers and expanding key client relationships. On the asset management side I worked with the retail brokers and the portfolio managers in support of the mutual funds and the launching of new products.

- Coordinated the listing and up-listing of several public companies, working with the clients from inception to listing
- Evaluated vendors – OMS, EMS along with compliance and reporting requirements for the asset manager
- Built the institutional equities desk from business plan to fully operational including all systems; front office to back office. Worked with compliance to vet the brokerage process both buy-side and sell-side

Ecofin LLC., New York/London, Head Trader, Sept 2006 – Nov 2014

I was the Head Trader for an energy and renewables hedge fund shepherding the trading and operation efforts from \$800mil AUM at the start to over \$5b AUM at the high. I built the trading and operational infrastructure that allowed for rapid growth while minimizing the potential for errors. I traded global equities, options and futures, and assisted in portfolio construction and building of custom hedges.

- Daily market commentary relative to client portfolios and strategies
- Monthly commentary on the global market trends and developments
- Coordinated the trading and research consumption across the global effort (NY, London, HK)
- Built financial systems that serviced books in London, Hong Kong, Geneva and NY
- Oversaw the construction of proprietary middleware that allowed for custom compliance, portfolio and position constraints
- Global implementation of two trading systems and several clearing and reporting systems

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TECHNICAL SKILLS

Microsoft Office Suite

Bloomberg

Bloomberg API for Excel

Bloomberg OMS

SS&C's OMS

Advent Moxy,

Flextrade, Portware, Redi

Capital IQ

EXTRA

ADJUNCT PROFESSOR

Farleigh Dickinson University

Madison New Jersey

Graduate Finance Program

Classes:

Options

Capital Markets

Portfolio Management

Jan 1998 – Dec 1999

Scan to view my LinkedIn profile



EXPERIENCE continued

Robbins & Henderson LLC, New York, - Dir, Electronic Trading - Jan 06 – Aug 06

Worked with the Floor brokerage operation in preparation for the changeover to the hybrid trading model. I conducted the first NASD trade from the floor of the exchange and collaborated with a technology company to create custom algorithms that worked with the hand held floor brokers devices.

LaBranche Institutional Group, New York, - Dir, Portfolio Trading - Apr 04 – Dec 05

Built a program desk for the upstairs effort of LaBranche. At the time LAB was one of the largest specialist firms on the floor of the NYSE and was making an effort into upstairs agency trading. We were successful against a multitude of benchmarks including guaranteed VWAP.

Bear Stearns- (IDI), New York, - Associate Dir, Portfolio Trading - Jan 03 – Mar 04

IDI was a joint venture between Equities and the clearing operation. I was brought in to run a hedge fund coverage team and coordinate coverage across all of the Bear departments, specifically program trading and agency non-research trading.

Harborside Plus, New York, - Dir of Trading - Aug 01 – Jul 03

Guided the design and development of an alternative crossing system from conception and venture capital rounds to implementation and day to day operations. Designed and managed the implementations of the Harborside product strategy in advance of the broker/dealer launch. Worked with buy-side advisors and venture capital firms to help formulate the HS+ business plan. Managed the desk to break even in 2003.

George Weiss Inc., New York, - Quant Equity Trading and PM - Jan 98 – Jan 01

Traded for an Equity Long/Short Market Neutral portfolio based on a cross sectional, multi factor model with over 150 names per side and peaked at \$150 million in assets. Managed the hedge on a profitable basis and pioneered the usage within the rest of the firm. Constructed and ran several special situation and event driven trading strategies. Implemented trading systems and real-time booking.

Jefferies /ITG, Inc., New York, - Sales and Trading - Jan91 – Jan98

I was originally with Integrated Analytics Corporation, a technology firm that was acquired by Jefferies and eventually became ITG. Over my time with ITG I graduated from technology sales to trading and eventually portfolio and block trading. I started the Canadian business and ran the original ADR conversion business for ITG.

Salomon Brothers, Trade Center, Knight Ridder Financial., New York, May 87 – Jan 91

My first job out of school was as a positions clerk for Solomon Brothers. I left to work for a small startup that was eventually acquired by Knight Ridder Financial. A small subset of that original Trade Center team formed the nucleus of IAC which eventually became ITG.