Language and Computation – Grammar Engineering

1. Implementation

A context-free grammar (CFG) consists of a set of recursive rules (productions), that can be used to generate patterns of strings/language [1]. It has two main purposes, to generate sentences, and to assign a structure to a given sentence.

Table 1 explains the terminal and nonterminal symbols components of an CFG.

Components of CFG			
Component	ponent Description		
Terminal symbols	Symbols that correspond to words in the language.		
	They are always on the right-hand side of the		
	production rules.		
Nonterminal symbols (variables)	The symbols that express clusters or		
	generalizations of the terminals. The non-terminals		
	that are associated with words are their part-of-		
	speech.		

Table 1: Components of a CFG.

Each of the rules in a CFG expresses the ways that symbols of the language can be grouped and ordered together, and a lexicon of words and symbols. For example, the production rule *NP -> Det Noun* expresses that a noun phrase (NP) can be composed of a determiner (Det) followed by a noun (Noun). Multiple rules can be **combined** to form the entire set of grammar rules.

The concept of derivation defines a language. One string yields another if it can be rebuilt as the second one using a set of rules. A sequence of rule expansions can therefore be used to generate a set of strings, and this is commonly represented using a parse tree as shown in Figure 1.

Figure 1: Tree representation of a derivation of the sentence "Arthur loves Guinevere".

1.1. The need for Agreement and Subcategorization

In English, nouns are frequently indicated as singular or plural. The demonstrative pronoun must match the noun's form (both must be singular or plural forms). In the examples below, the demonstrative "this" (singular) changes to "these" in plural form:

This parrot
These parrots

Furthermore, the syntactic aspects of the subject noun phrase co-vary with the morphological elements of the verb. When we look at verb agreement in English, we can see that present tense verbs frequently have two inflected forms: one for the third-person singular and another for every other person and number combination [3]. The agreement paradigm for English regular verbs is shown in an example in Table 2.

Agreement Paradigm - English			
Person Singular Plural			
First	I love	We love	
Second	You love	You love	
Third	He/she/it loves	They love	

Table 2: Agreement paradigm for verb love.

The third person-singular (3sg) form usually has a final -s where the non-3sg forms do not. One option to broaden our grammar to deal with this agreement phenomenon is to include two sets of rules, one for 3sg subjects and one for non-3sg subjects. The primary issue with this is that every rule that relates to a noun or a verb must have a "singular" and a "plural" version, thereby significantly prolonging the size of our language. Duplicate rules would also be required to capture the fact that head nouns and their determiners must agree in number, as well as rules to capture gender agreement in other languages (e.g., Italian, French, German), further expanding our grammar rules [2].

An alternative solution that was employed is to introduce **variables** over values in the production rules and categories.

$$S \rightarrow NP[NUM=?n] VP[NUM=?n]$$

In the example above we are using ?n as a variable over values of NUM. The variable can be initialised as either sg or pl when initialising terminals. This enforces agreement between the Noun phrase and the Verb phrase, simply and elegantly. The inclusion of this stops our grammar from generating ungrammatical in terms of number agreement sentences.

Another concept is that of subcategorization of the verb – which specifies the different combinations of arguments that different verbs can take. This process is idiosyncratic – having to be found for each verb individually. For example, the verb likes can have a noun phrase (NP) as an argument (among other categories):

Maria likes [NP the food]

Subcategorization was introduced in the grammar by the inclusion of the SUBCAT parameter in all the verb related labels (VP, MD, V) which enables to specify of the syntactic category that verbs can take. This further eliminates the overgeneration of ungrammatical sentences.

For this practical, a grammar for a small subset of English was engineered. At first, the grammar was a pure context-free grammar but at a later stage number agreement features and subcategorization were also included creating a unification grammar.

1.2. Categories

When creating my grammar, I chose to use category labels that are widely accepted in the linguistics society and used in other popular grammars (Table 2). Please keep in mind that I oversimplified some steps and more category labels would be required in a larger and more complex grammar.

Labels Used – Different Levels			
Bracket	Category / Tag	gory / Tag Purpose and Description	
Labels			
Level			
	S	Simple declarative clause – used to start sentence.	
Clause	SBAR	Expands to a subordinating conjunction followed by another	
Level		declarative sentence (NP VP) structure, allowing the creation of	
		complex conditional sentences.	
	WhNP	Start a sentence by a wh word.	
	NP	Noun phrases (NP) are frequently made up of a head, which is the	
		principal noun, as well as multiple modifiers that might appear before	
		or after the head noun.	
Phrase	Nominal	Nominal follows the determiner and contains any pre and post-head	
Level		noun modifiers.	
	VP	The verb phrase (VP) is made up of the verb and several other	
		elements.	
	PP	Prepositional phrases. Consitisting of a preposition and its object.	
	ARG	An Argument of a clause.	
	IN	Preposition (e.g., in, at, on) or subordinating conjunction (e.g., when,	
		although, so).	
	CC	Coordinating conjunction. Joining parts of the sentence together.	
	Wh-adv	Represents wh-words that are an adverb. In our lexicon, only when.	
Word	Det	A Determiner (e.g., the, a, an)	
Level	V[+AUX]	Auxiliary verbs (e.g., do, does). Used to create Wh-non-subject question	
Lever	Noun	Noun, singular or plural (e.g., man, woman, teacher, home, office,	
		car, table).	
	MD	Modal verbs (e.g., may, should, must)	
	V	Verb (e.g., run, fight, ride, work).	
	VBG	Verb, gerund or present participle (e.g. drinking).	

Table 3: Category/ tag labels used in the developed grammar.

1.3. Approach

I approached this practical by breaking down the whole process into smaller parts. I started by finding the constituents of each sentence and drawing each sentence's parse tree (See Appendix. I then wrote all the rules for each sentence on temporary cfcg files (one for each sentence). This process was followed separately for all three parts (pure CFG, subcategorisation, and number agreement) of this assignment.

To write the rules for each sentence, I found that categorising each positive sentence to its appropriate sentence-level construction (e.g. declarative, imperative, etc.) was a very useful first step. Table 3 shows the sentence level construction and sample rule for each sentence.

Sentence Level Construction - Positive Sentences			
Sentence	Structure	Sample Rule	
Arthur loves Guinevere	Declarative	S -> NP VP	
Guinevere sighs	Declarative	S -> NP VP	
Guinevere and Arthur sigh	Declarative	S -> NP VP	
horses drink water	Declarative	S -> NP VP	
Arthur rides the horse	Declarative	S -> NP VP	
Guinevere rides on Mondays	Declarative	S -> NP VP	
Arthur rides	Declarative	S -> NP VP	
Guinevere rides the old white horse near	Declarative	S -> NP VP	
the castle on Mondays			
when Arthur drinks beer Guinevere sighs	Wh-phrase	S -> SBAR NP VP	
Guinevere thinks Arthur drinks water	Declarative	S -> NP VP	
Arthur rides near the castle and drinks	Declarative	S -> NP VP	
from the chalice			
Arthur rides and drinks beer and water	Declarative	S -> NP VP	
when do Arthur and Guinevere drink	Wh-subject-question	S -> WhNP Aux NP VP	
beer			
when does Guinevere ride	Wh-subject-question	S -> WhNP Aux NP VP	

Table 4: Sentence level construction and sample rule for each of the positive sentences.

Most of the basic positive examples use declarative sentence-level composition, with a subject noun phrase followed by a verb phrase. The final two phrases have a Wh-subject-question structure where their structure is identical to that of declarative sentences except that the first noun phrase contains some wh-word. Knowing the structure and subsequently, the sample rules for each sentence helped me greatly in constructing each sentence parse tree and gave me a significantly better understanding of how sentences are structured in English (as a non-native speaker).

After writing the grammar rules and testing each sentence individually, I then **combined** and tested all the rules and terminals for all the sentences - keeping only the distinct elements from each temporary sentence file. This process was followed for all three steps of this practical, as it allowed me to incrementally build my grammar and test it thoroughly at each step of the way.

1.3.1 Interesting choices

An interesting design choice I made can be seen in the rule used to capture conditional sentences such as "when Arthur drinks beer Guinevere sighs". The rule used S -> SBAR NP VP, suggests that a clause

starting with a subordinating conjunction, must be followed by a sequence of a noun phrase followed by a verb phrase, as shown below:

SBAR[IN] when NP[Arthur] VP [drinks beer]] NP[Guinevere] VP[sighs]

There are two parts to this sentence, the condition – "when Arthur drinks beer", and the result – "Guinevere sighs". They could exist as two separate sentences, however, by putting subordinating conjunction (when) at the beginning we create a conditional sentence. The SBAR rule expands to subordinating conjunction followed by an NP VP, allowing the creation of even more complex conditional sentences such as "when Guinevere rides the horse and drinks water from the chalice Arthur drinks beer near the castle". To handle the case when the condition is at the end of the sentence e.g., "Guinevere loves Arthur when the horses drink water", I wrote a new rule S -> NP VP SBAR that simply reverses the order, having the SBAR at the end.

Another intriguing design decision I made was to convert an argument (ARG) into an S rule. The S rules are meant to account for whole sentences that act as basic units of speech on their own – comparable to phrases that form a complete thought. They can therefore also exist on the right side of grammatical rules in phrases where their clauses can act as independent phrases [2]. For example, this rule (ARG[CAT=s] -> S) was applied in the argument of the word "thinks" in the sentence "Guinevere thinks Arthur drinks water". This is because the ARG of thinks - "Arthur drinks water", can act as a whole sentence on its own as it is a complete thought (Figure 2).

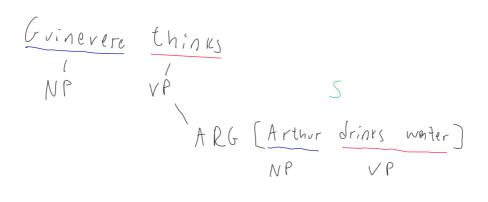


Figure 2: Whole sentence as argument.

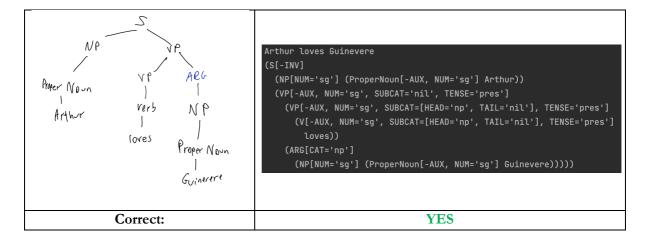
Initially, when I was writing the terminals to test my grammar, I was assigning a singular or plural NUM agreement variable to all the appropriate productions (e.g., nouns). However, after rereading Chapter 9 of the NLTK book [3], I decided to include an explicit NUM specification only if there was another value elsewhere in the production that **constrains** the value, such as having "this" (Singular), and "these" (Plural). Therefore, for productions such as 'water' and 'castle' where they do not have another constraining value in the production (e.g., waters), no NUM specification was added.

A goal of mine was to make my grammar dynamic, by for example, not restricting the number of adjectives in front of noun to a fixed predetermined size. To achieve that I took advantage of the **recursive** nature of production rules, creating recursive calls that allow for infinite number of adjectives in front of a noun. This is because there are no limits in how many adjectives can appear in front of a noun.

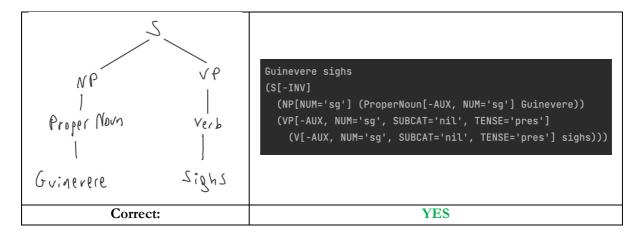
2. Testing – Positive Sentences

This section tests the parsing of the basic positive phrases of this assignment by comparing them with the hand drawn syntax trees that were drawn initially before writing the production rules in the grammar.

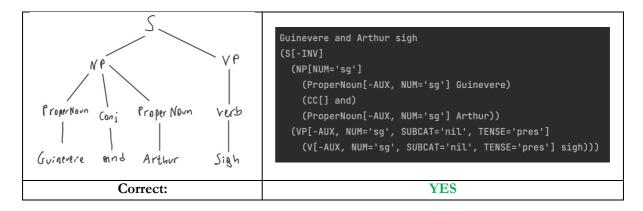
a) Arthur loves Guinevere



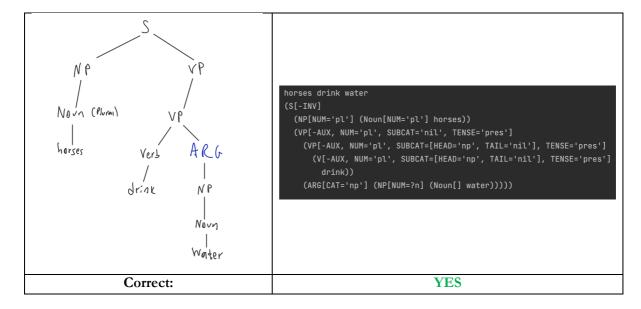
b) Guinevere sighs



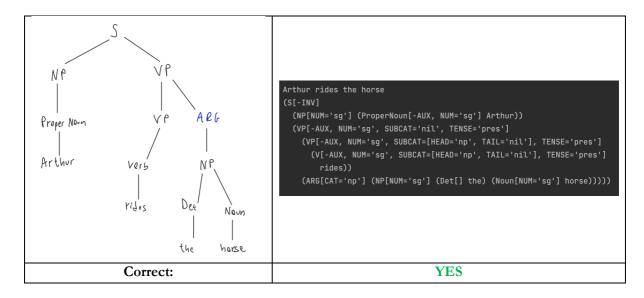
c) Guinevere and Arthur sigh



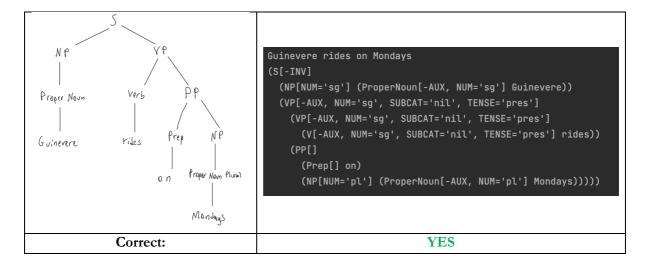
d) horses drink water



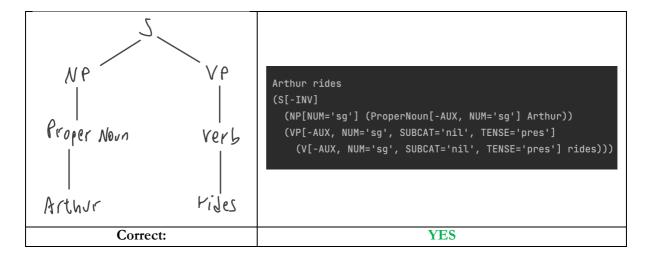
e) Arthur rides the horse



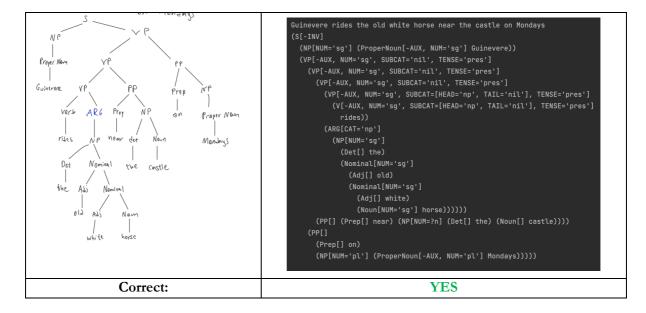
f) Guinevere rides on Mondays



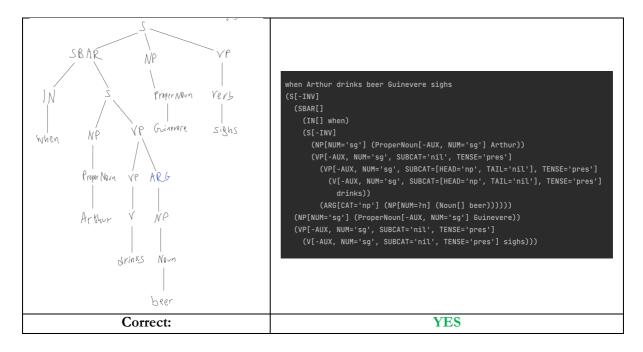
f) Arthur rides



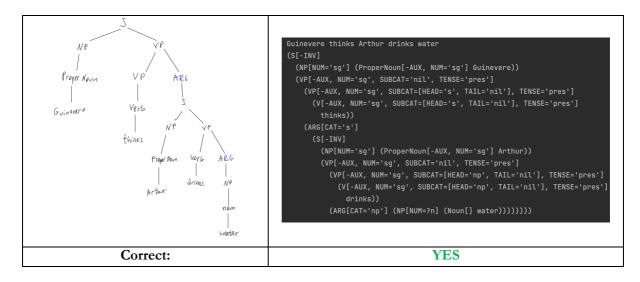
g) Guinevere rides the old white horse near the castle on Mondays



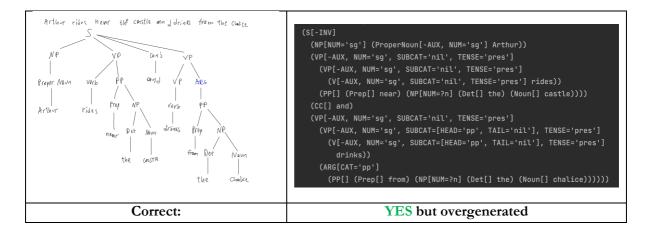
h) when Arthur drinks beer Guinevere sighs



i) Guinevere thinks Arthur drinks water



j) Arthur rides near the castle and drinks from the chalice

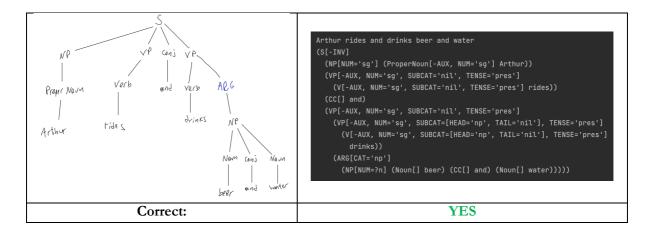


There is however another output returned by the parser:

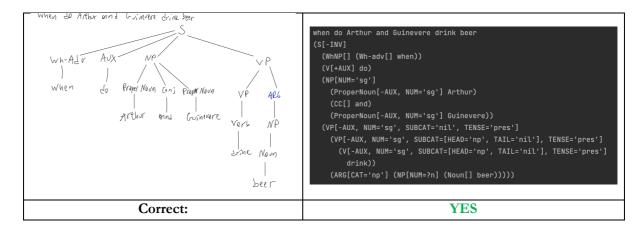
```
(S[-INV]
  (NP[NUM='sg'] (ProperNoun[-AUX, NUM='sg'] Arthur))
  (VP[-AUX, NUM='sg', SUBCAT='nil', TENSE='pres']
    (VP[-AUX, NUM='sg', SUBCAT='nil', TENSE='pres'] rides))
    (V[-AUX, NUM='sg', SUBCAT='nil', TENSE='pres'] rides))
  (PP[] (Prep[] near) (NP[NUM=?n] (Det[] the) (Noun[] castle))))
  (CC[] and)
  (VP[-AUX, NUM='sg', SUBCAT='nil', TENSE='pres']
    (VP[-AUX, NUM='sg', SUBCAT='nil', TENSE='pres']
    (V[-AUX, NUM='sg', SUBCAT='nil', TENSE='pres'] drinks))
  (PP[] (Prep[] from) (NP[NUM=?n] (Det[] the) (Noun[] chalice)))))
```

When attempting to include the extension sentence "what does Guinevere think Arthur drinks," the word "drinks" had to be introduced with a nil HEAD subcategory as the entire sentence's argument is an S – "Arthur drinks," and therefore when this S is expanded into NP VP, no further ARG is required. This causes the "Arthur rides near the castle and drinks from the chalice" sentence to parse two sentences one with an ARG for the "from the chalice" part and another one that uses this *nil* drinks to expand the VP into VP PP without argument. Before attempting to include the extension phrase mentioned, this output was **not** returned.

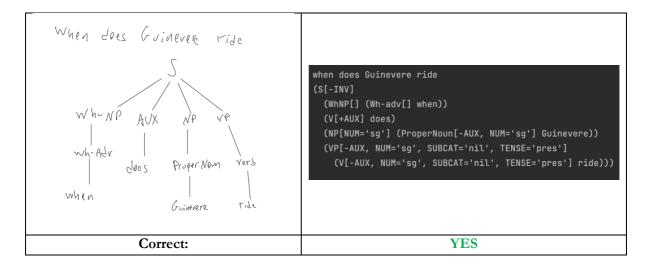
k) Arthur rides and drinks beer and water



1) when do Arthur and Guinevere drink beer



1) when does Guinevere ride



3. Comments and Reflections

As explained earlier I built my grammar incrementally. This enabled me to closely examine how the grammar reacted when subcategorization was added, and then how it reacted when number agreement was subsequently introduced. Subcategorisation eliminated the parsing of sentences such as "Arthur loves thinks" as it constrains the set of arguments that can be used, and a verb cannot follow another verb (except if gerund (-ing form) or of an infinitive with *to*). Furthermore, before the inclusion of number agreement handling, sentences such as "the horses drinks water" or "Guinevere love the horse" were able to be parsed by the grammar, even though the noun and verb do not agree (e.g., one horse drinks, two horses drink). After combining all the parts, the grammar successfully accepts all the positive examples provided and none of the ungrammatical negative sentences.

To further test and ensure that my grammar works as expected I did the following steps:

- 1. Did manual testing of the original sentences comparing the output with the syntax tree drawn before production rules were written (See previous section)
- 2. Added ten additional negative sentences
- 3. Added the **positive** sentences listed in the extensions section of the specification
- 4. Added additional five **positive** sentences to further check specific aspects of my grammar.

Given more time, I would add more words to the grammar's lexicon and test more complex sentences, both for positive and negative cases. Based on the extra testing performed I believe that the developed grammar behaves correctly for the **implemented types** of sentences. I reflect on the fact that this is a very simple grammar that of course does not capture all the rules present in the English language but rather a small subset of them.

In the Testing section, it was found that there is only one sentence that produces more than two parses – "Arthur rides near the castle and drinks from the chalice". The grammar still captures the correct phrase (including the ARG) but it also finds another possible parsing, which was introduced when the word drinks with *nil* subcategory was added (to satisfy the phrase "what does Guinevere think Arthur drinks" in suggested extensions of the specification). Given more time, I would alter correlating production rules so that this would be eliminated, leaving only the appropriate parsing (with the ARG). Furthermore, I would have included "Inversion" (e.g., S[+INV] -> V[+AUX] NP VP) to be able to capture inverted clauses (subject and verb is switched) in a more elegant way.

Reflecting on the overall experience of this practical, I must admit that I initially found it quite challenging to get started as I am a non-native speaker of English, and I was therefore unfamiliar with many basic rules. After re-watching the lectures and reading the SLP book, I felt I had much better foundations and I found the whole process easier and very rewarding. I imagine that the task of writing the rules for all the sentences combined from the beginning would be more challenging compared to my methodology where I broke down the task into much smaller subtasks before combining them. Drawing the syntax trees by hand before starting to write the production rules was a vital step as it significantly enhanced my understanding of grammar and syntax trees. In general, it was a very fun and rewarding practical which allowed me to become a better computational linguist (if I dare to say so) and apply in practice what we have been learning in lectures for the past weeks.

4. Extensions

1. Additional Negative Sentences

As explained in the section above, I added ten additional negative sentences from the given lexicon to further test the developed grammar. Table 5 shows all the additional negative sentences tested and the reason that they are negative.

Additional Negative Sentences		
Sentence	Reason for negative	
Guinevere near horse	Should have "is" after Guinevere and determiner "the" before "horse".	
Arthur rides white	Missing noun (e.g. horse) after adjective "white".	
horses loves old Arthur	Plural horses → verb must becomes love.	
the white horses sigh when	The proper noun Mondays is out of context there. Was expecting a	
Mondays	condition.	
Arthur thinks beer	Expecting a preposition (e.g., of) between "thinks" and "beer".	
Guinevere love the horse	Third person singular of "love" should become "loves".	
white horse beer	Was expecting a verb after "horse" and before "beer".	
the Arthur loves Guinevere	The determiner "the" is syntactically incorrect in this sentence.	
Guinevere rides near old	Was expecting a determiner after "near" – e.g., "the".	
castle		
does Arthur thinks	Should have been "think" – "does" already indicates that its 3rd person	
singular.		

Table 5: Additional negative sentences.

As expected, **none** of the phrases was parsed from the grammar.

2. Additional Positive Sentences

The Table below lists the additional positive sentences included (suggested in the specification) alongside a comment about their structure and if they were parsed successfully.

Additional Positive Sentences – Suggested in Specification		
Sentence Comment		Parsed Correctly
horses love drinking	Declarative sentence (NP VP). Its argument is a Verb	
water	Phrase – drinking water. The word "drinking" is the only	YES
	Gerund verb (VBG) in our simplistic lexicon.	
Arthur may have loved	Declarative sentence (NP VP). The argument is a Verb	
Guinevere	Phrase – "loved Guinevere". The word "may" is a modal	YES
	verb.	
Arthur gives Guinevere	Declarative sentence (NP VP). The argument is a Noun	YES
the horse	Phrase – "Guinevere the horse".	1123
what does Arthur give	Wh-non-subject-question sentence (WhNP AUX NP	
Guinevere	VP). The subject in this sentence is not the wh word	YES
	(what) but instead the proper noun "Arthur". The	123
	argument is "Guinevere".	

whom does Guinevere	Wh-non-subject-question sentence (WhNP AUX NP	
give the horse	VP). The subject in this sentence is not the wh word	YES
	(whom) but instead the proper noun "Guinevere". The	IES
	argument is the noun phrase "the Horse".	
whom do Guinevere	Wh-non-subject-question sentence (WhNP AUX NP	
and Arthur give the	VP). The subject in this question is "Guinevere and	YES
horse	Arthur". The argument is the noun phrase "the Horse".	
what does Guinevere	Wh-non-subject-question sentence (WhNP AUX NP	
drink	VP). The subject in this question is "Guinevere". There	YES
	is no argument in this sentence.	
whom does Guinevere	Wh-non-subject-question sentence (WhNP AUX NP	
think Arthur gives the	VP). The subject in this question is "Guinevere". There	
horse	are two arguments in this sentence: 1) the whole second	YES
	part of the sentence "Arthur gives the horse" 2) the part	
	"the horse" being an argument for gives.	
what does Guinevere	Wh-non-subject-question sentence (WhNP AUX NP	
think Arthur drinks	VP). The subject in this question is "Guinevere". The	YES
	argument is the sentence "Arthur drinks".	

Table 6:Additional positive sentences suggested in the specification.

At the final stages of this practical I also included another argument that specifies the tense of the sentence (present or past). The past sentences tried include "Arthur may have loved Guinevere" from the suggested extensions and "the horse drank water" and "Arthur thought of beer" from below.

The five following additional phrases (Table 7) were added in the positive sentences.

Additional Positive Sentences – My own		
Sentence	Reason for inclusion	Parsed Correctly
Guinevere loves Arthur and rides	Further check sentences that	YES
the horse	entail conjunction.	
when Guinevere rides the horse	Check a longer sentence than the	YES
and drinks water from the chalice	ones provided.	
Arthur drinks beer near the castle		
the white horses sigh when	Further check conditional	YES
Arthur drinks water	sentences.	
the horse drank water	Check that parsing for past	YES
Arthur thought of beer	sentences works as expected	YES

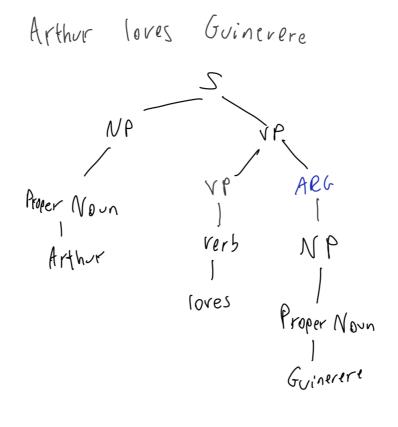
Table 7: My own additional positive sentences.

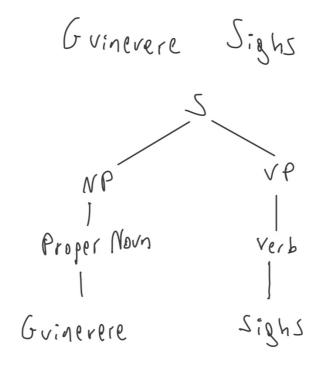
The grammar successfully parses all these sentences.

References:

- [1] Brilliant.org. n.d. Context Free Grammars | Brilliant Math & Science Wiki. [online] Available at: https://brilliant.org/wiki/context-free-grammars/
- [2] Jurafsky, D. and Martin, J., 2009. *Speech and language processing*. Upper Saddle River, N.J.: Pearson Prentice Hall.
- [3] Bird, S., Klein, E. and Loper, E., n.d. *Natural Language Processing with Python Natural Language Processing with Python*. [online] Nltk.org. Available at: https://www.nltk.org/book/

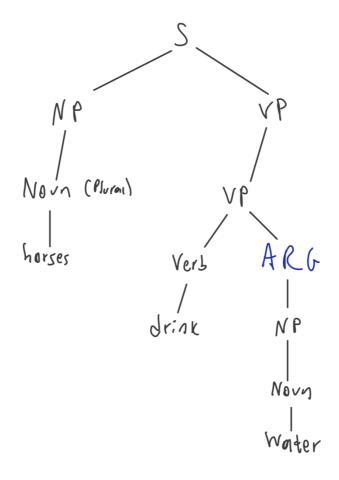
Appendix – ALL HAND DRAWN SYNTAX TREES

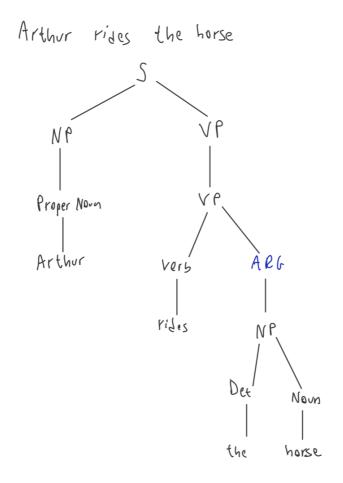


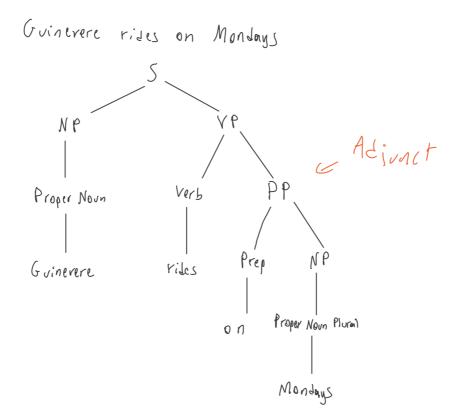


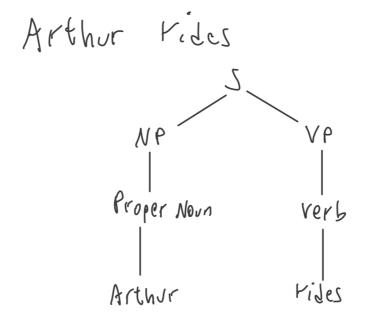
Cuinevere and Arthur Sigh

horses drink wonter









Crinerer rijes the Old white horse near the constle

On Mondays

Perper Now

Vert ARG Pres NP on Proper Now

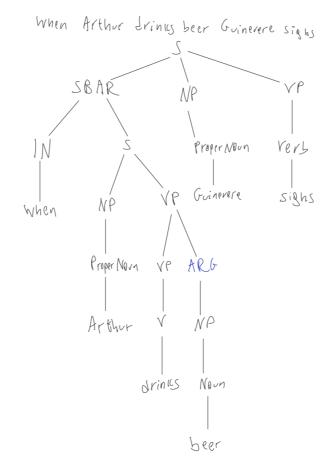
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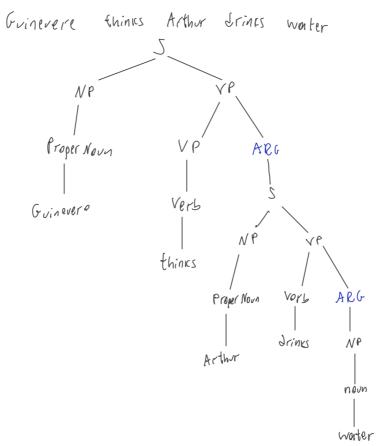
Mondays

Old Nominal the constle

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white horse





Arthur rides hear the constle and Jorinis from the chalice

NP

Proper Noun verb PP

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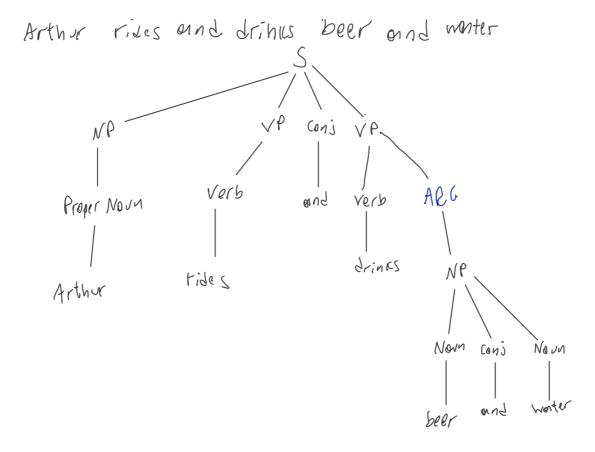
Arthur rides

Prep NP

Nemr Det Noun

The Chalice

Chalice



When do Arthur and Grinerere drink beer

