



بِسْمِ اللَّهِ الرَّحْمَنِ الرَّحِيمِ



Data Structure

Chapter 6

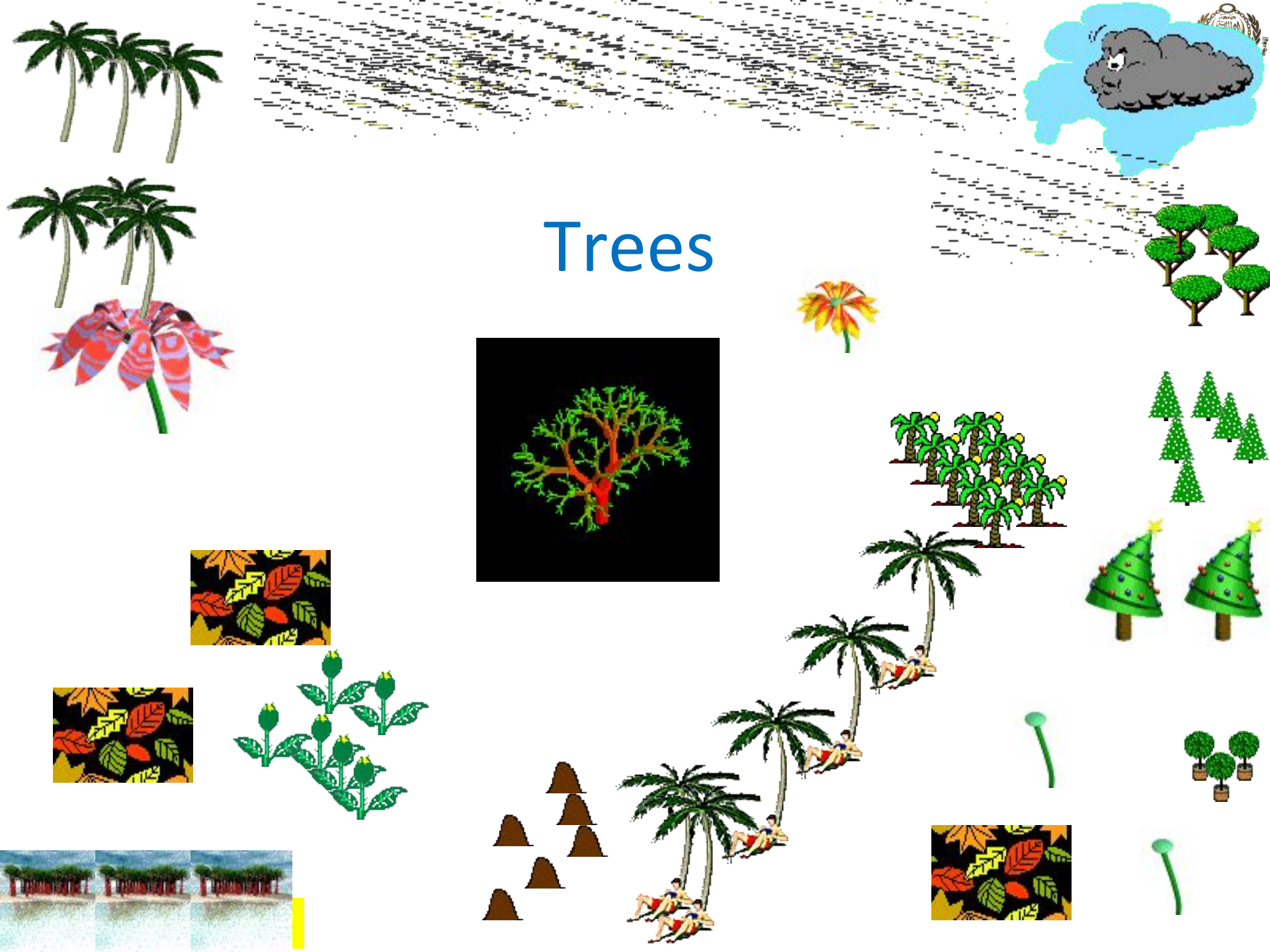
Lecture 12: The Tree Data Structure



In this lecture, we will cover:

- Definition of a tree data structure and its components
- Concepts of:
 - Root, internal, and leaf nodes
 - Parents, children, and siblings
 - Paths, path length, height, and depth
 - Subtrees
 - Types of trees
 - Tree traversals
- Examples

Trees





- Linear lists are useful for ordered data

- $(e_0, e_1, e_2, \dots, e_{n-1})$

- Days of week
- Months in a year
- Students in this class

- Trees are useful for ordered data

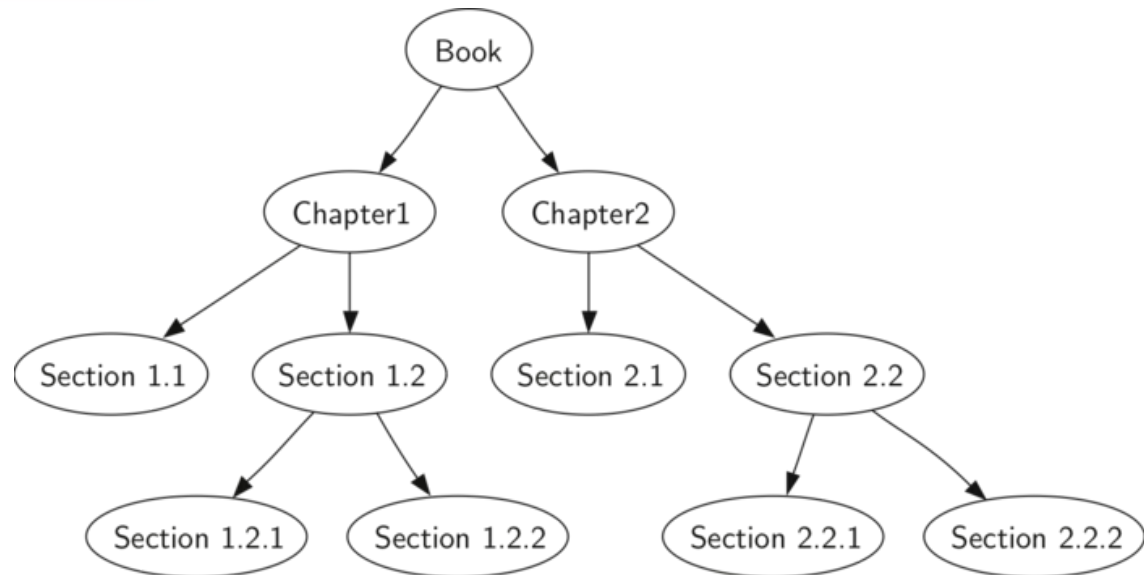
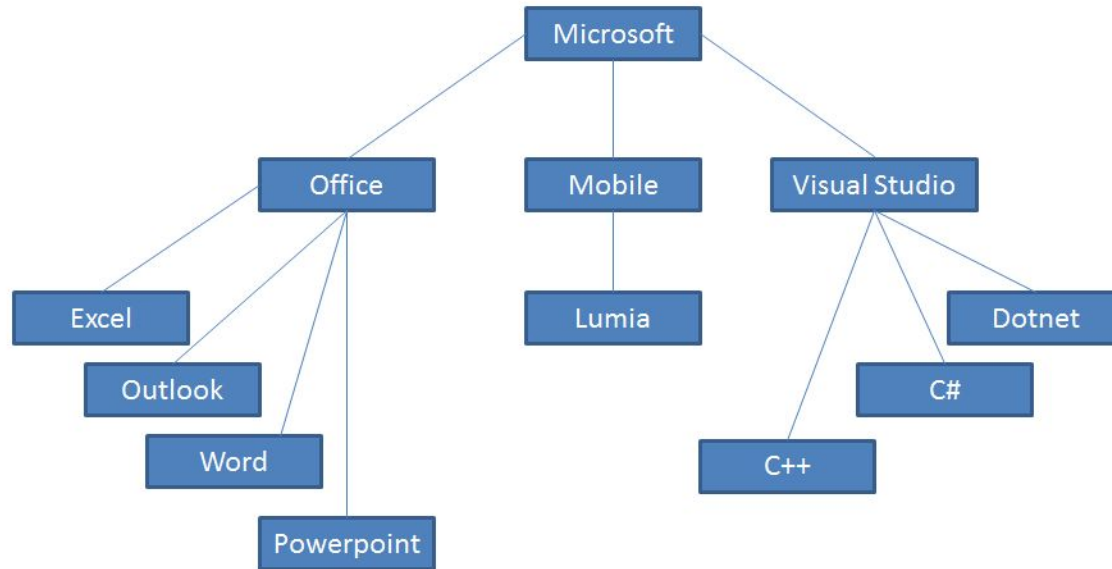
- **Employees of a corporation**

- President, vice presidents, managers, and so on ...

- **Java's classes**

- Object is at the top of the hierarchy
- Subclasses of Object are next, and so on

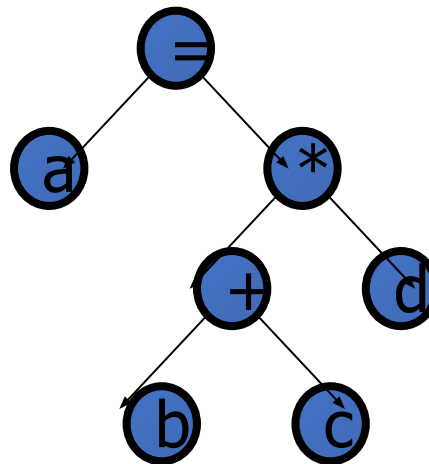
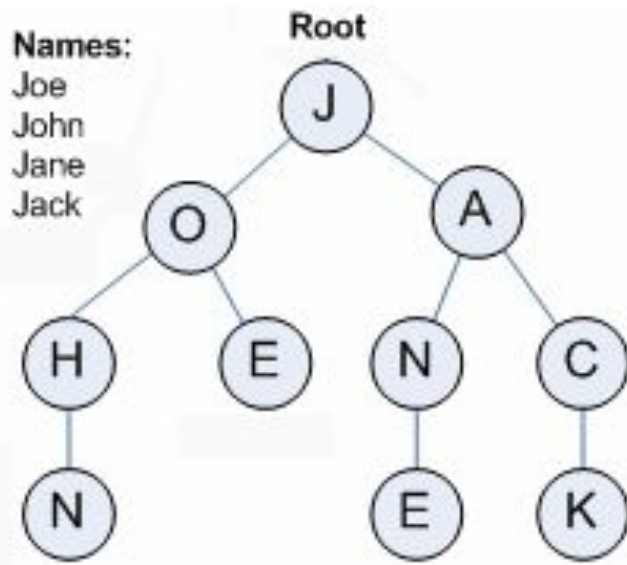
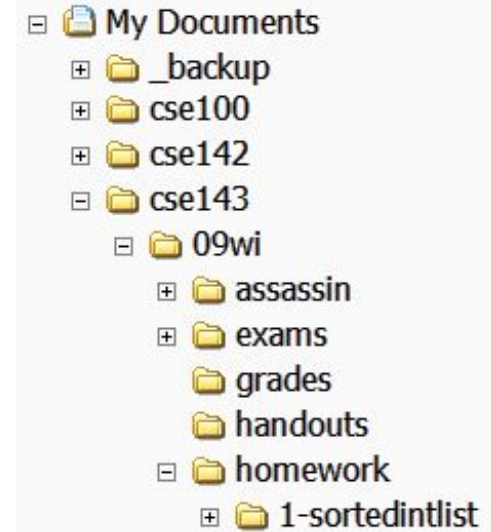
□ Examples of Trees



Trees in Computer Science

- **Folders/files** on a computer
- **Family genealogy** organizational charts
- **AI** decision trees
- **Compilers** with parsing trees

$$a = (b + c) * d;$$
- Cell phone **T9**

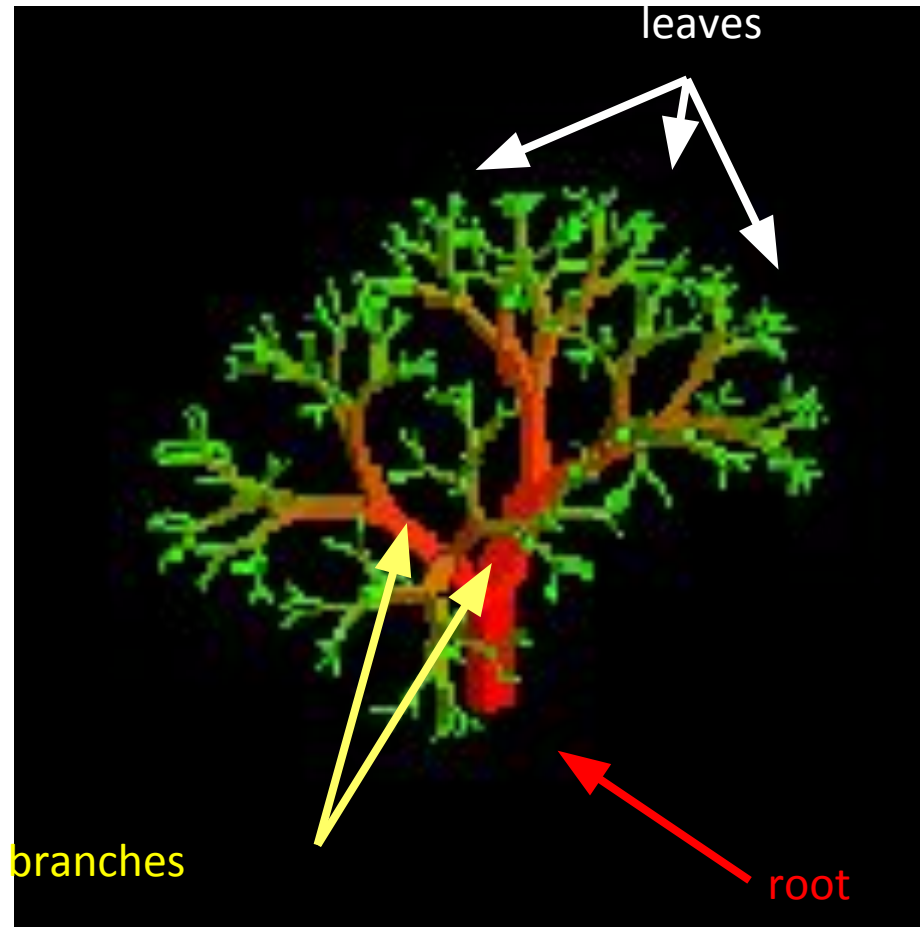




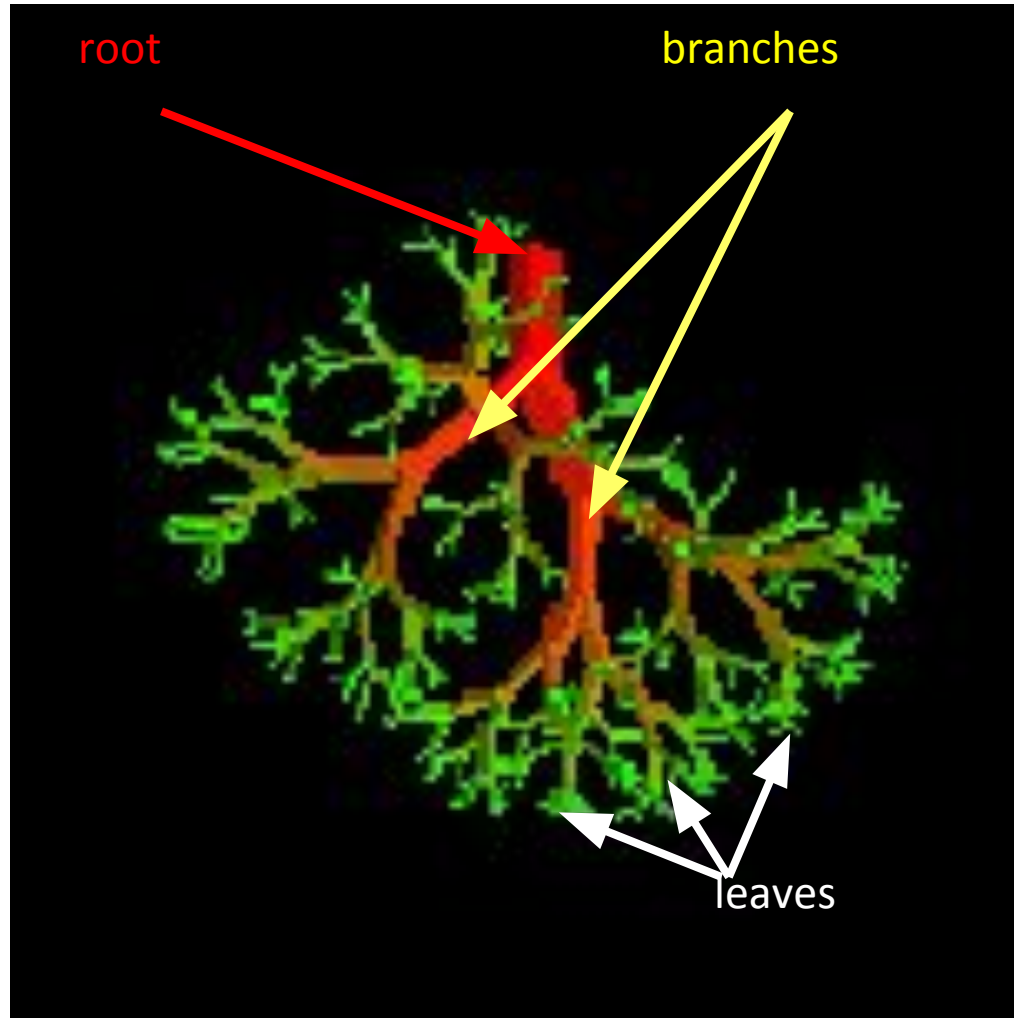
Applications of Trees

1. Store **hierarchical data**, like folder structure, organization structure
2. Binary Search Tree is a tree that allows fast search, insert, delete on a sorted data. It also allows finding closest item
3. Heap is a tree data structure which is implemented using arrays and used to implement priority queues.
4. B-Tree and B+ Tree : They are used to implement indexing in databases.
5. Syntax Tree: Used in Compilers.
6. Spanning Trees and shortest path trees are used in routers and bridges respectively in computer networks

Nature Lover's View of a Tree



Computer Scientist's View of a Tree





What Are Trees?

A *Tree* structure means that the data are organized so that items of information are related by branches

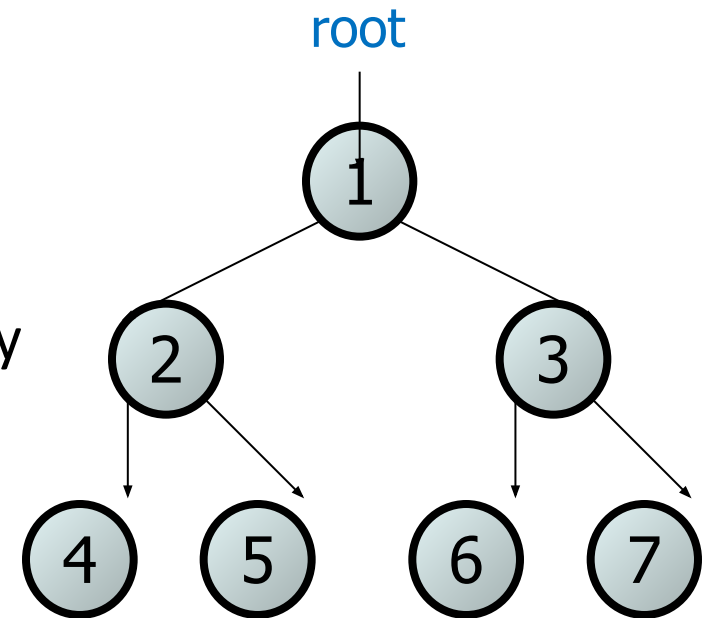
Definition of Tree

- **Tree** is a finite set of one or more nodes such that:
 - There is a specially designated node called the root
 - The remaining nodes are partitioned into $n \geq 0$ disjoint sets **T1, ..., Tn**, where each of these sets is a tree
- We call **T1, ..., Tn** the subtrees of the root



Trees

- A **Tree** is a **directed**, **acyclic** structure of linked nodes
 - **directed**: Has one-way links between nodes
 - **acyclic**: No path wraps back around to the same node twice
- **Binary Tree**: One where each node has **at most two children**
- A **Binary Tree** can be defined as either:
 - empty (`null`), or
 - a **root** node that contains:
 - data
 - a **left subtree** and a **right subtree**
 - either (or both) subtrees could be empty





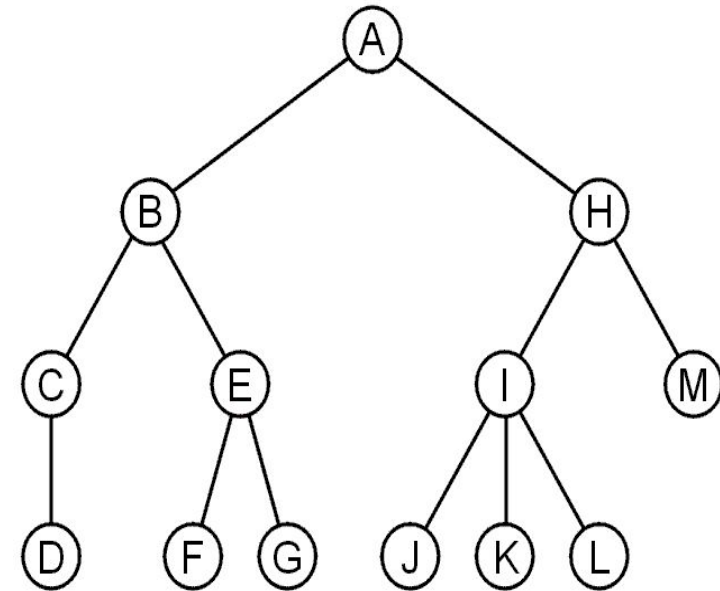
The Tree Data Structure

A rooted tree data structure stores information in nodes

•Tree

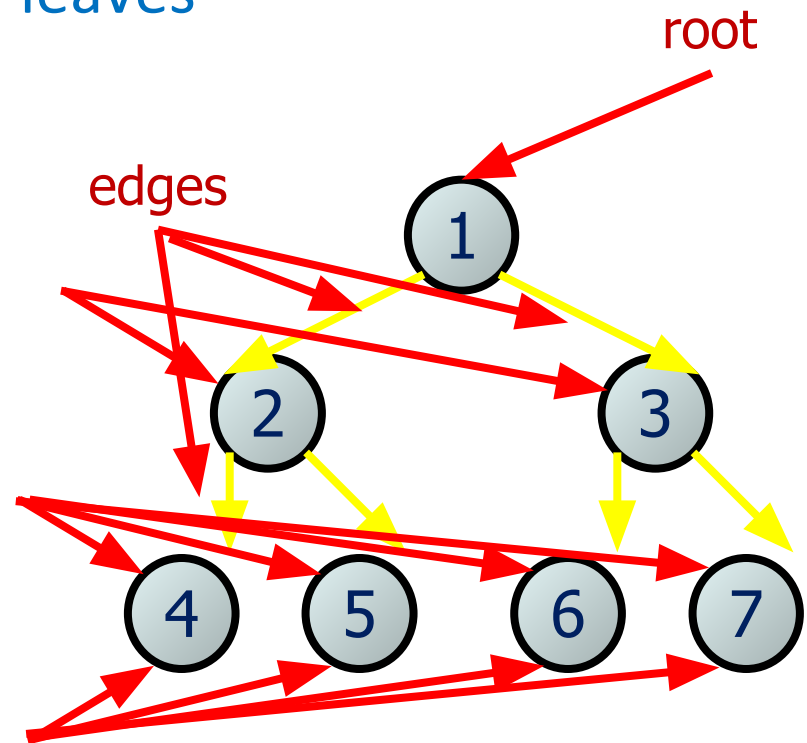
•Similar to linked lists:

- There is a first node, or *root*
- Each **node** has variable (not just one) number of references to successors
- Each node, other than the root, has exactly one node pointing to it



Tree Terminology

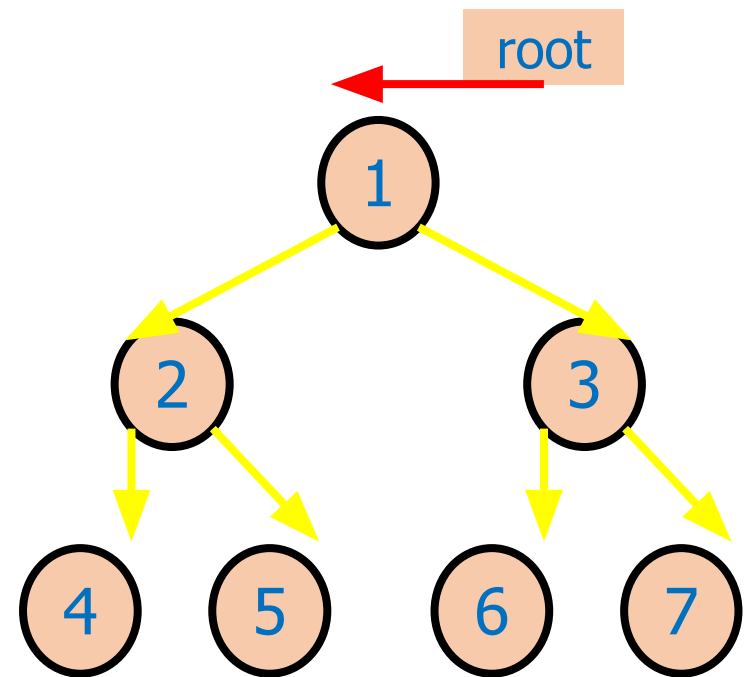
- The element at the top of the hierarchy is the root
- Elements next in the hierarchy are the children of the root
- Elements next in the hierarchy are the grandchildren of the root, and so on
- Elements that have no children are leaves
- Nodes can be called Vertices
- Connections between vertices can be called edges





Tree Terminology

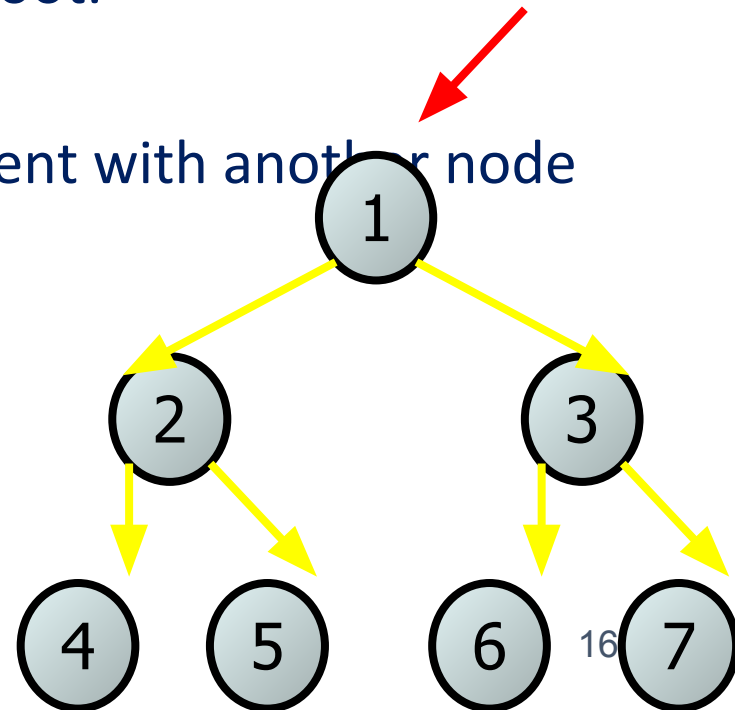
- **Node/Vertex:** an object containing a data value (parent and/or child)
- **Edge:** connection between nodes





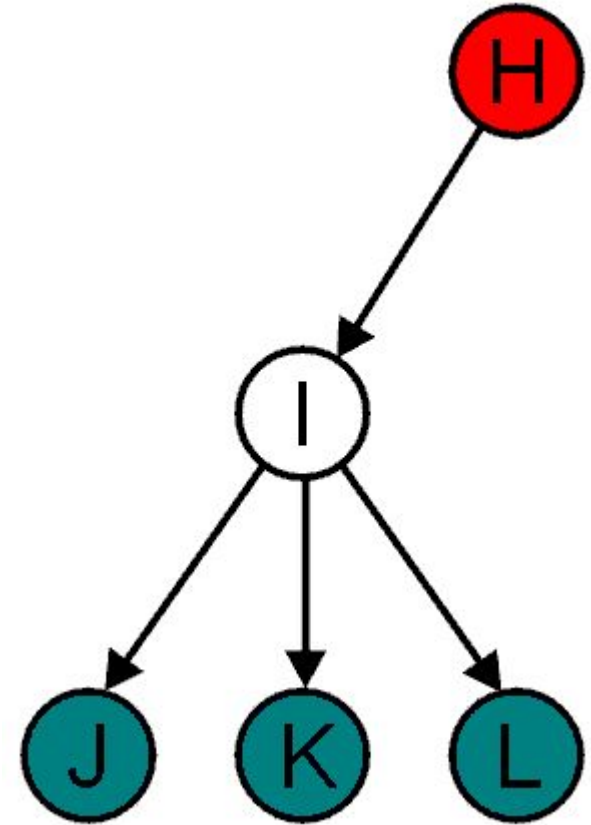
Tree Terminology cont'd

- **Parent node:** Exactly one node that refers by a directed edge to the current node (Does not apply to the root)
- **Child node:** a node directly connected to another node that this node refers to when moving away from the root.
- **Sibling node:** a node with common parent with another node



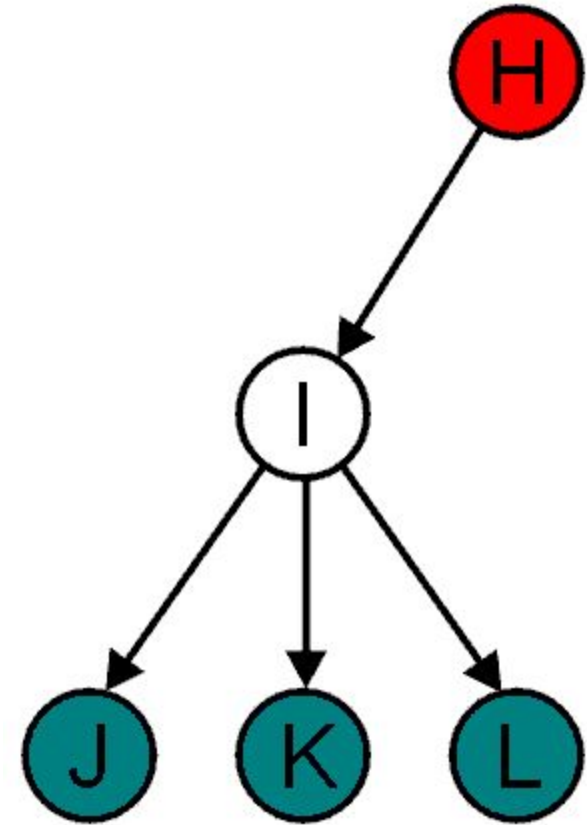
Tree Terminology cont'd

- All **nodes** will have **zero or more child nodes** or *children*
 - **I** has three children: **J**, **K** and **L**
- For all nodes other than the root node, there is **one parent node**
 - **H** is the parent **I**



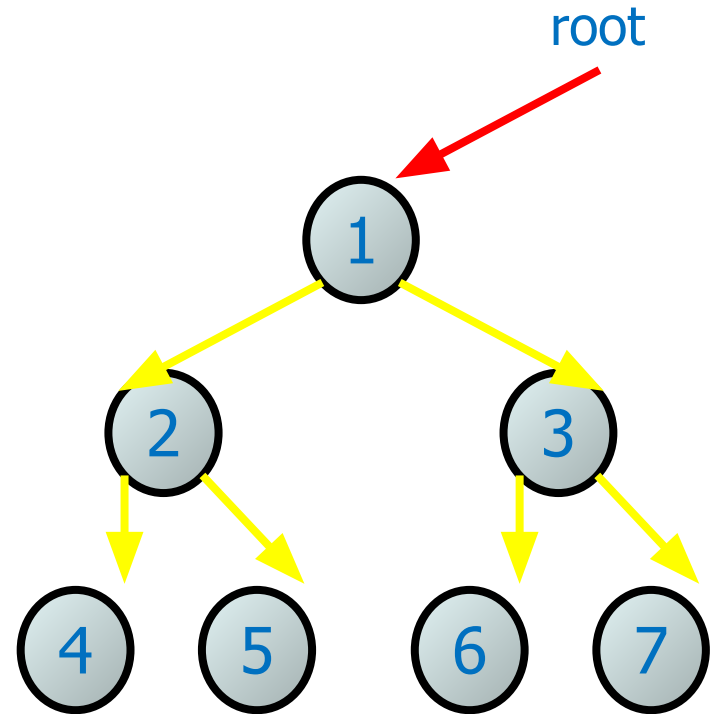
Tree Terminology cont'd

- Nodes with the same parent are *siblings*
 - J, K and L are siblings
- Ancestors of a node are all nodes along the path from the root to the node
 - I is the ancestor of J, K and L



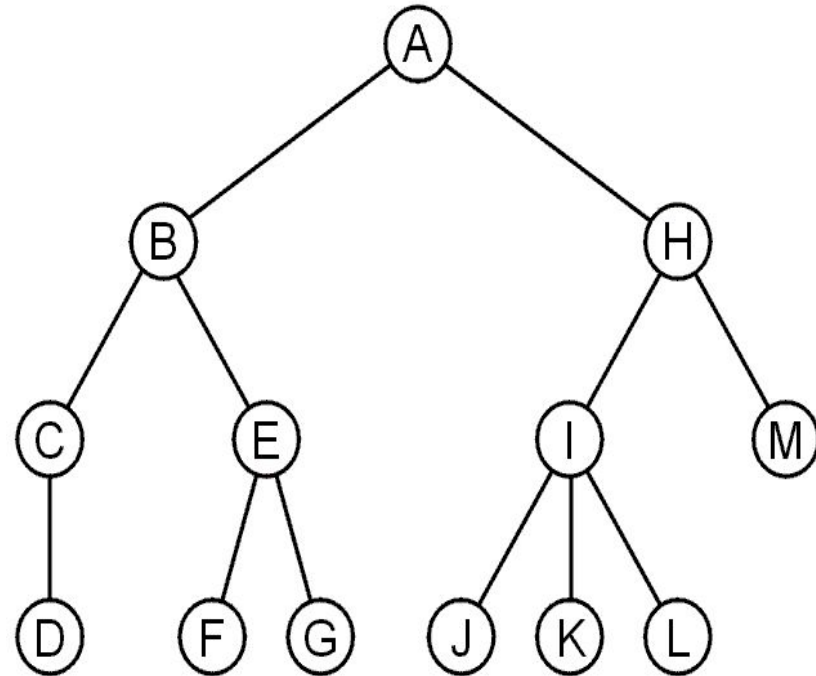
Tree Terminology cont'd

- **Root node:** topmost node of a tree
- **Leaf/External node:** a node that has no children
- **Branch/Internal node:** node with at least one child
(neither the root nor a leaf)



Tree Terminology cont'd

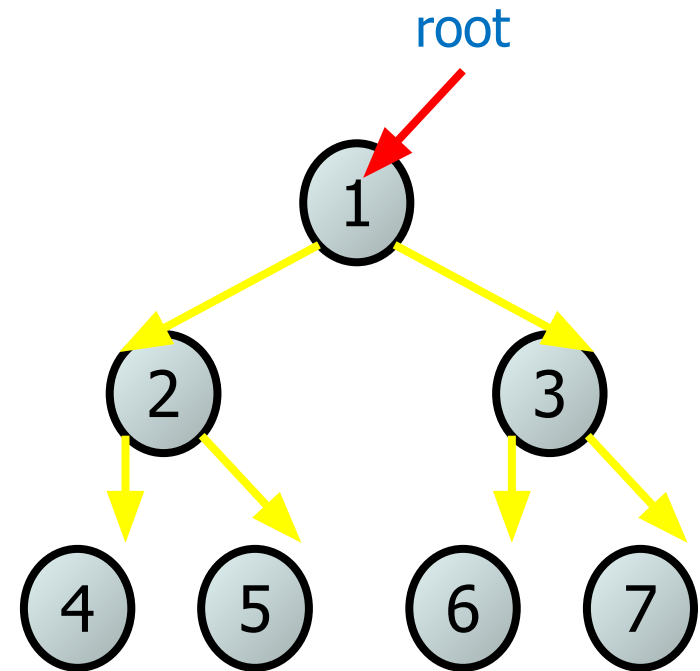
- Nodes with **no children** are called *leaf nodes*
- All other nodes are said to be *internal nodes*, that is, they are internal to the tree





Tree Terminology cont'd

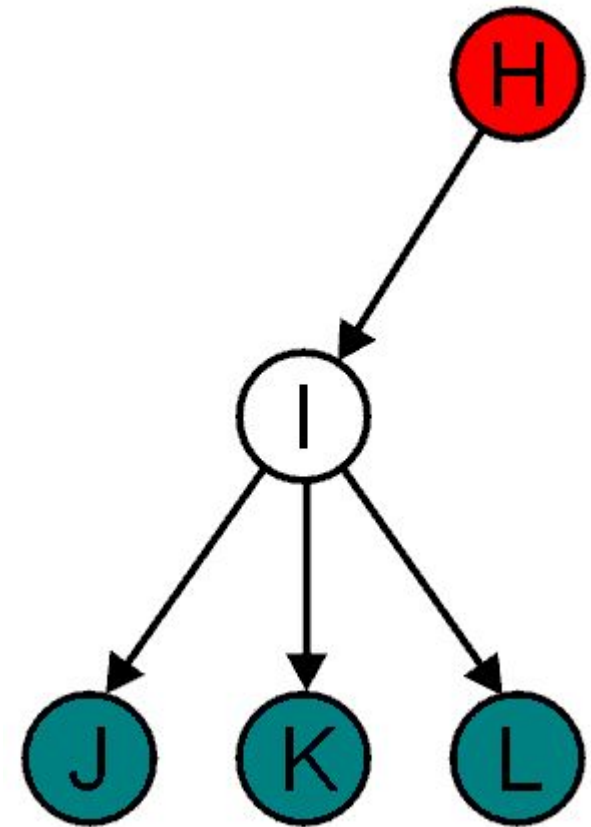
- **Degree of a node:** Number of its children
- **Depth of a node:** Number of edges from root to the node
- **Height of a node:** Number of edges from the node to the deepest leaf
- **Height of a tree:** is the height of its root



Tree Terminology cont'd

- The *degree* of a node is defined as the number of its children

$$\text{deg}(I) = 3$$

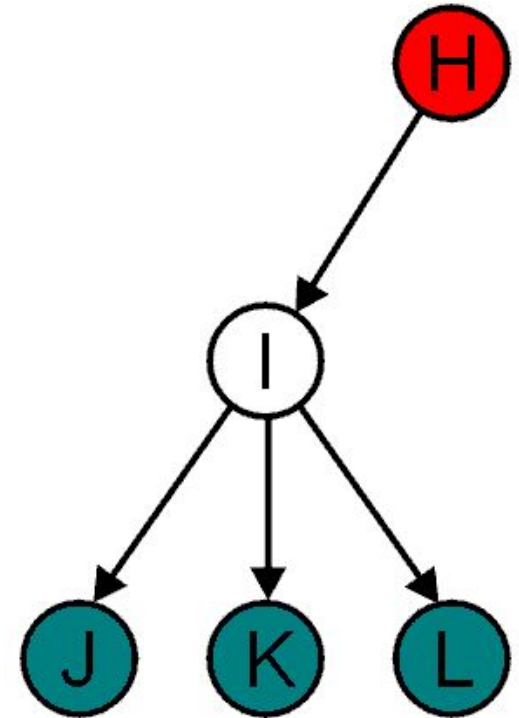


Tree Terminology cont'd

- The *height* of a tree is defined as the maximum depth of any node within the tree (H is the root)

$$\text{Height (Tree)} = 2$$

- The *height* of a tree with one node (Just the root node) is 0
- For convenience, we define the height of the empty tree to be -1



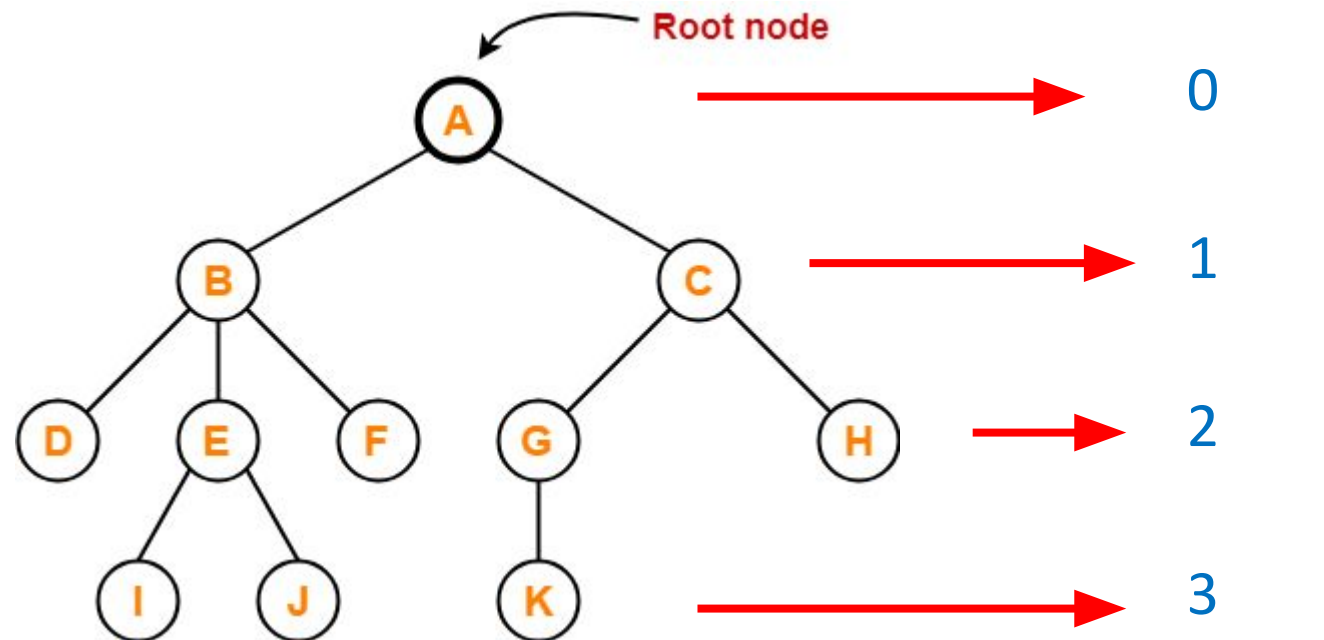


Level and Depth

Node = 11

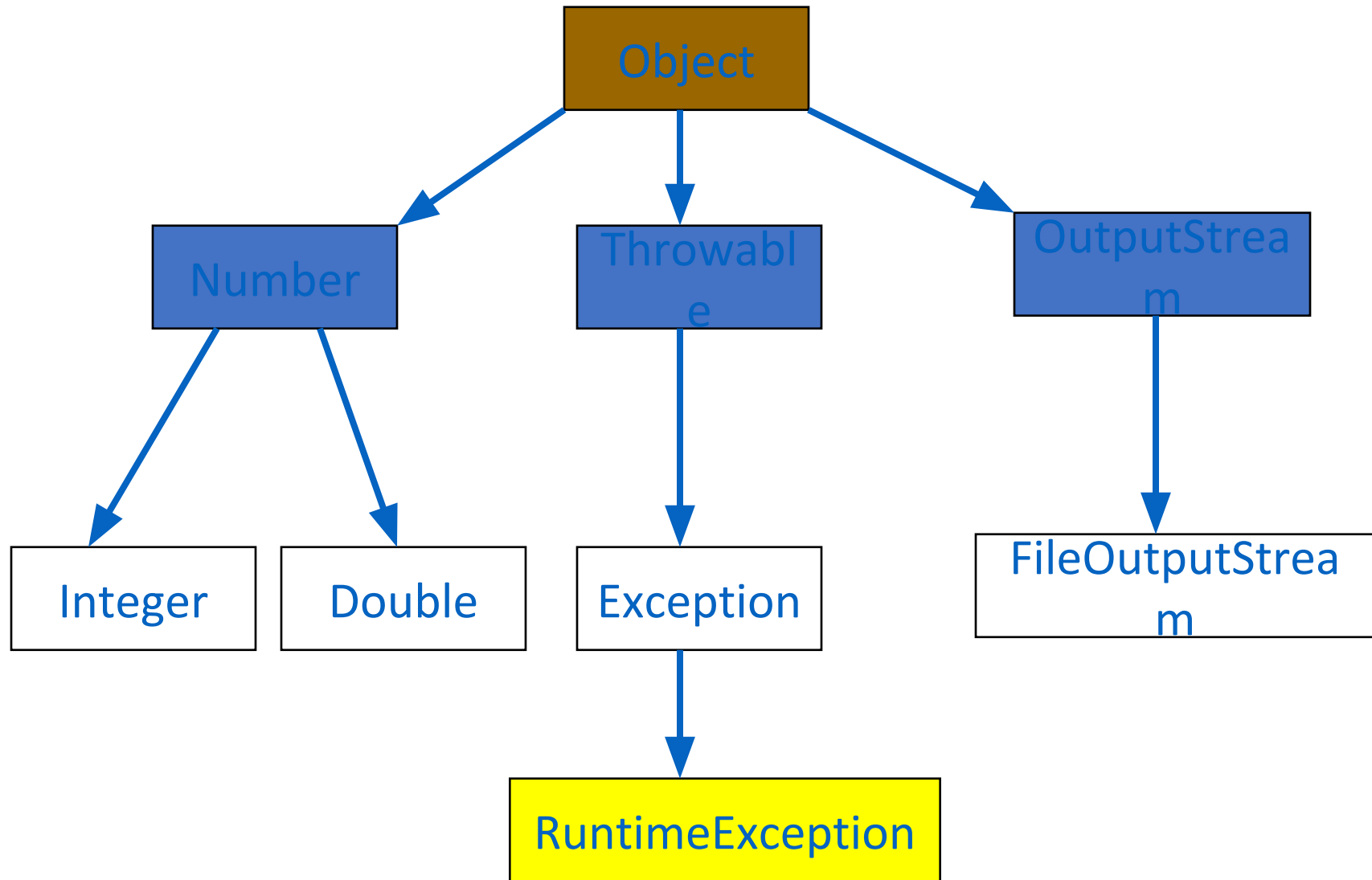
Degree of a tree = 3

Height of a tree = 3



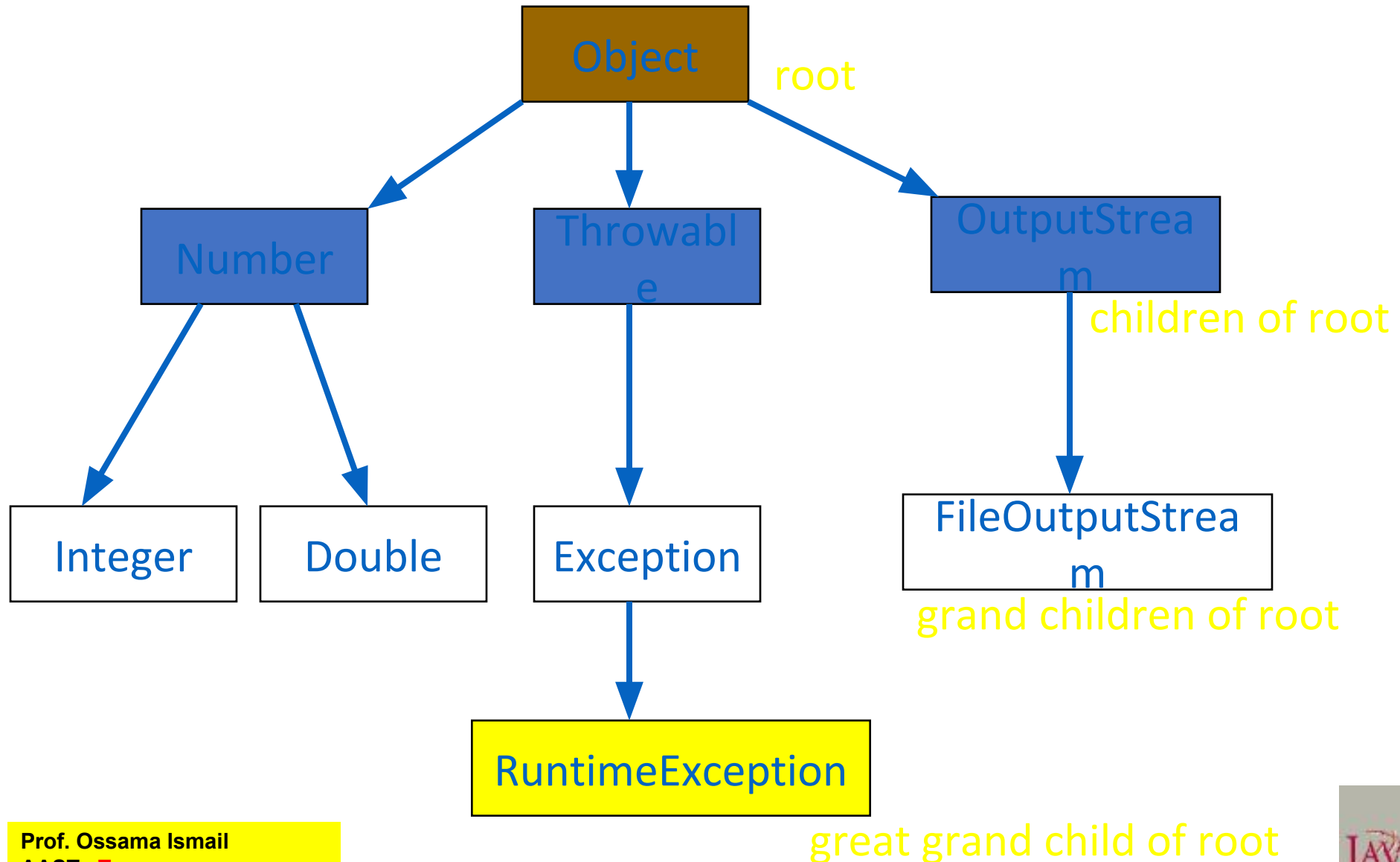


Examples: Java's Classes



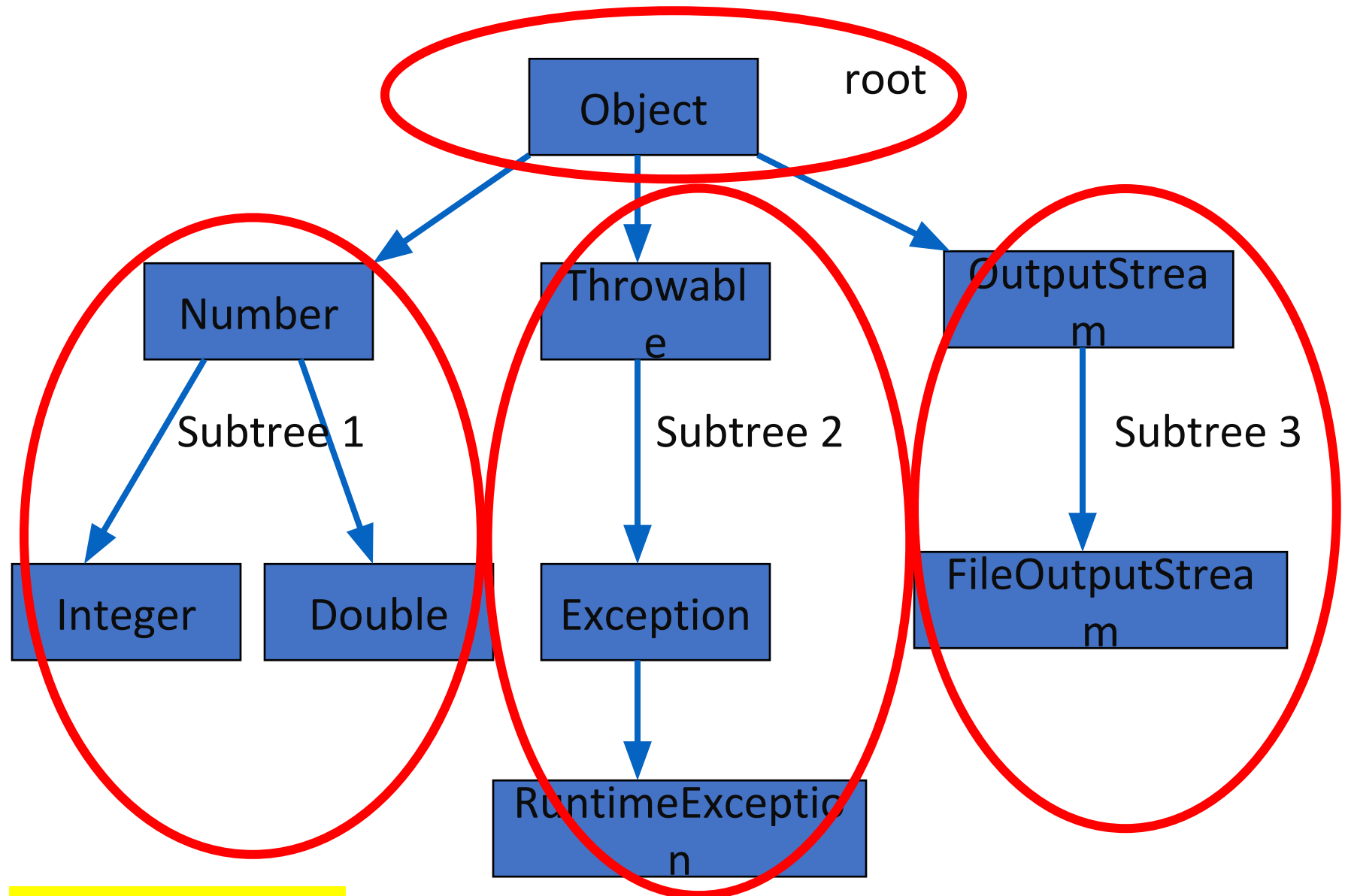


Examples: Children, Grand Children, ...



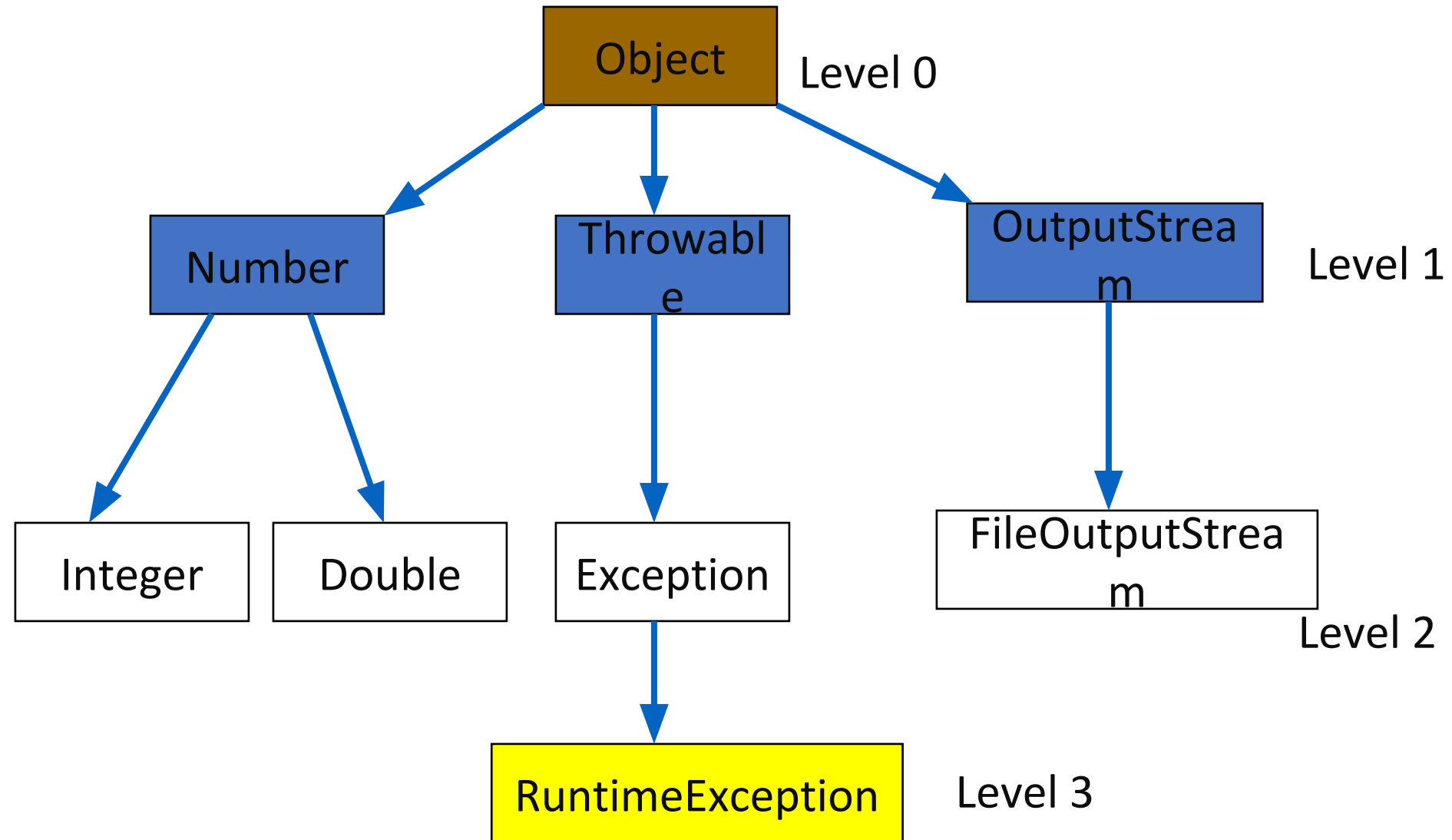


Examples: Subtrees





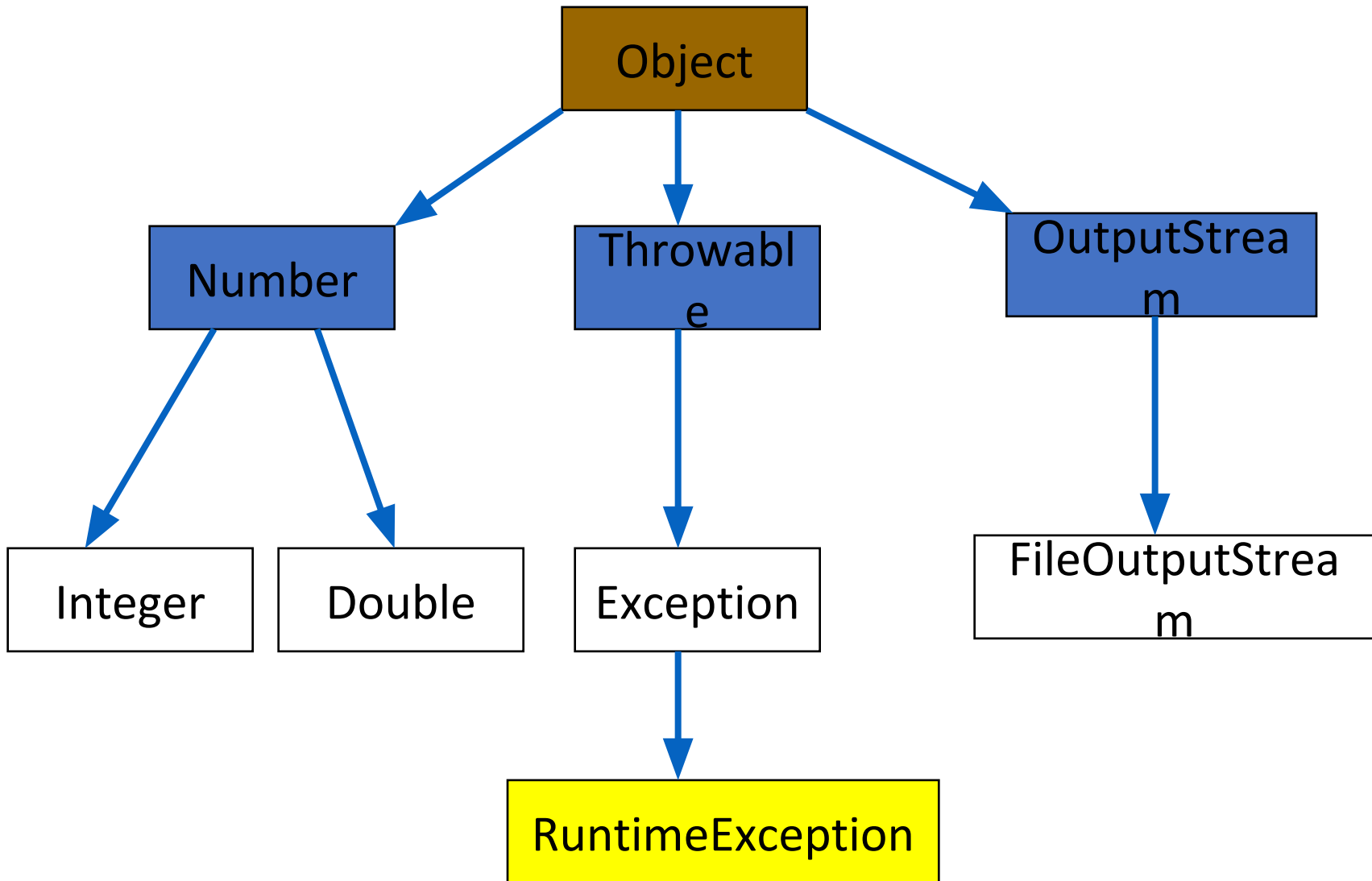
Examples: Levels





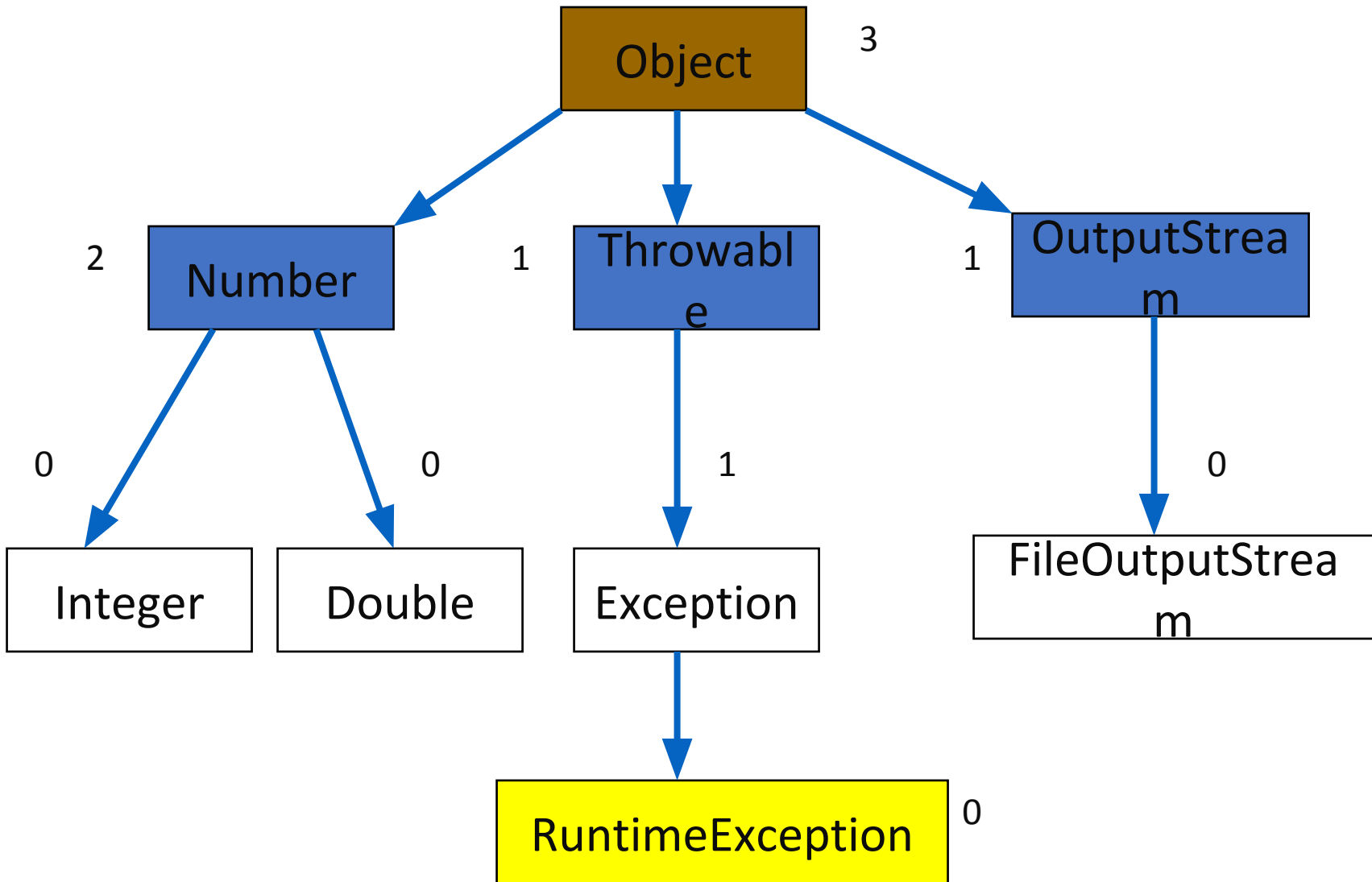
Examples:

Height = Depth = Number of Levels



Examples:

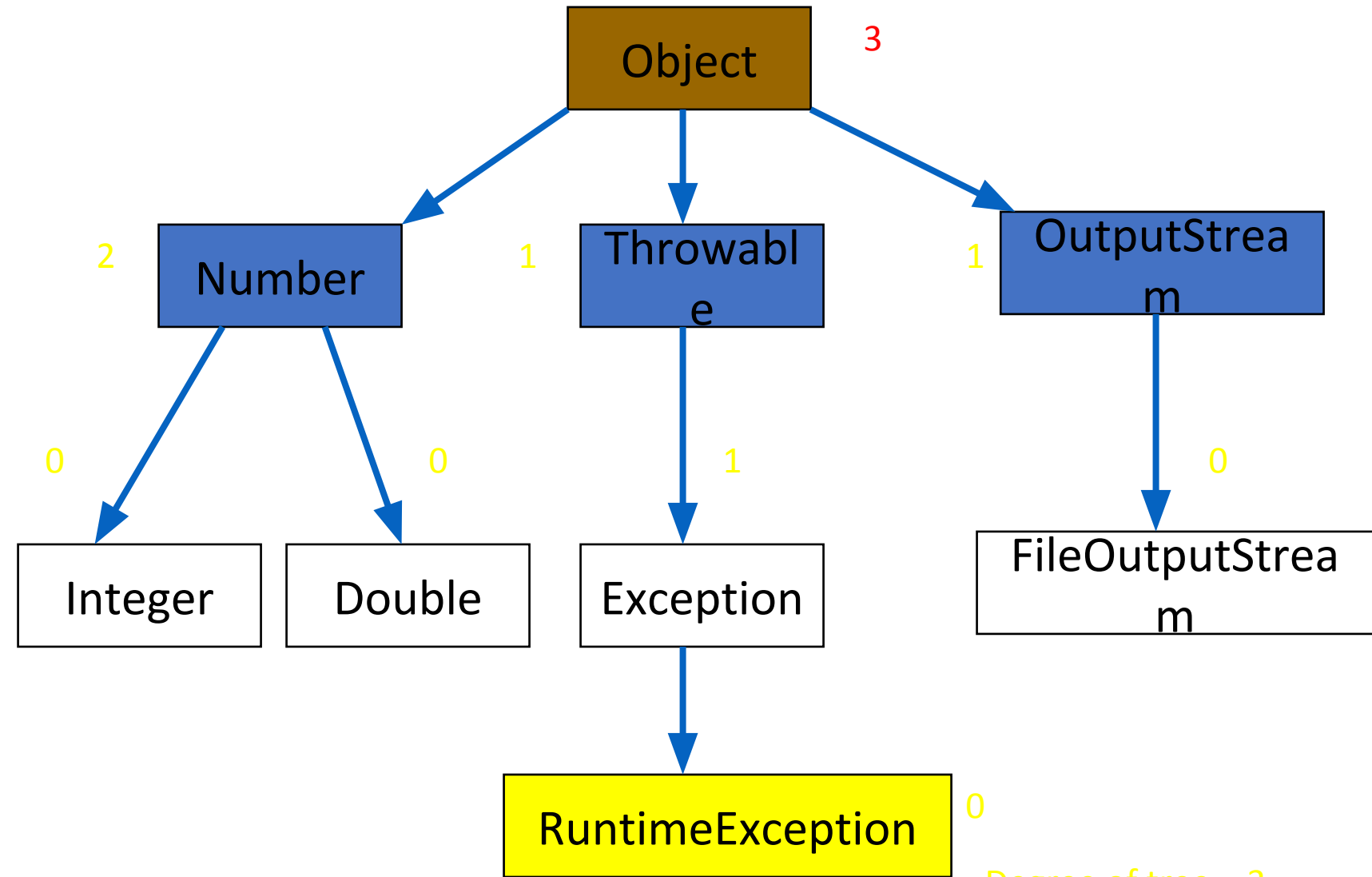
Node Degree = Number Of Children





Examples:

Tree Degree = Max Node Degree



Degree of tree = 3

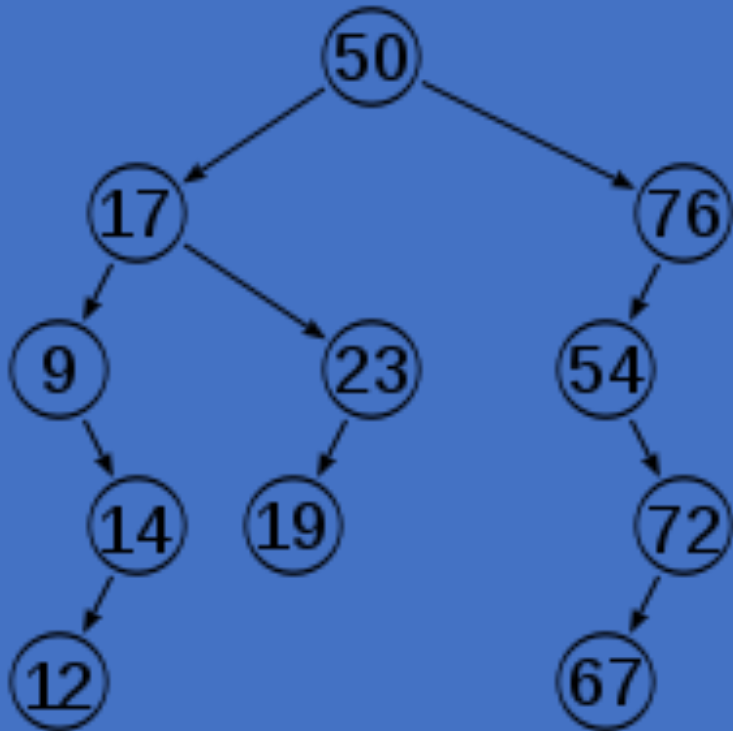


- Some text books start level numbers at 1 rather than at 0
- Root is at level 1
- Its children are at level 2
- The grand children of the root are at level 3
- And so on ...

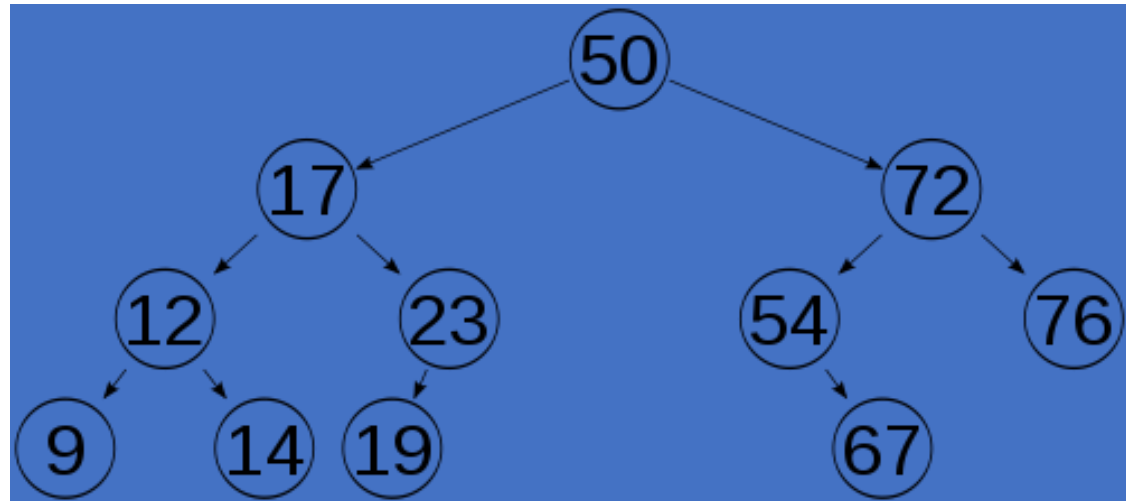
We shall number levels with the root at level 0

Balanced Tree

- **Balanced Tree:** a tree in which heights of subtrees are approximately equal



unbalanced tree



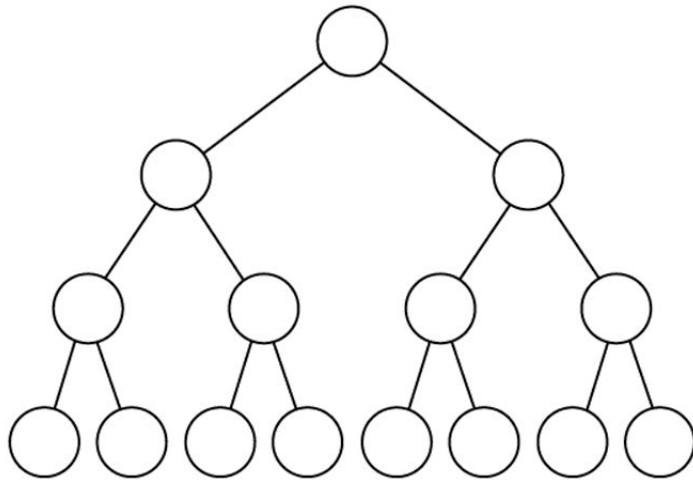
balanced tree



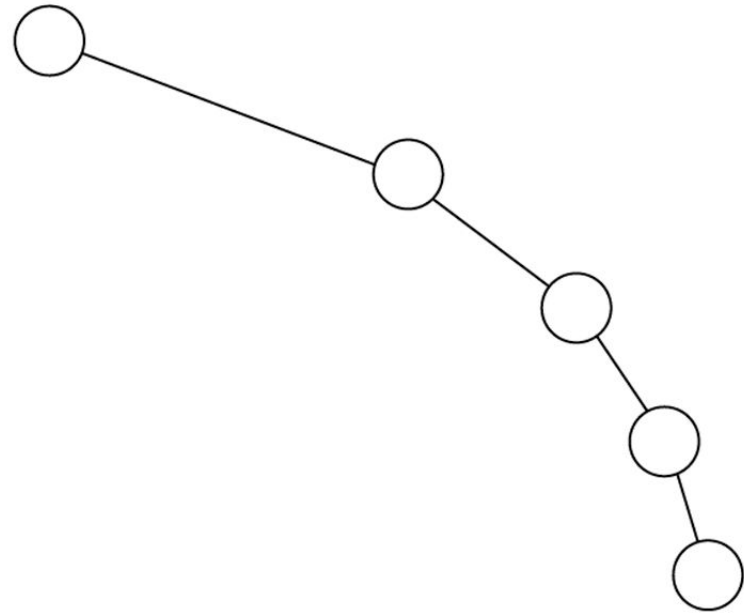
Tree Balance and Height

The balanced tree (a) has a height of: _____

The unbalanced tree (b) has a height of: _____



(a)



(b)



Types of Trees cont'd

- Regular trees are great for storing hierarchical data
- Their power can be **heightened** when we change how we store data in trees
- Rules and restrictions on:
 - **What type** of data can be stored
 - **Where** to store the data



Types of Trees cont'd

•Types of Trees

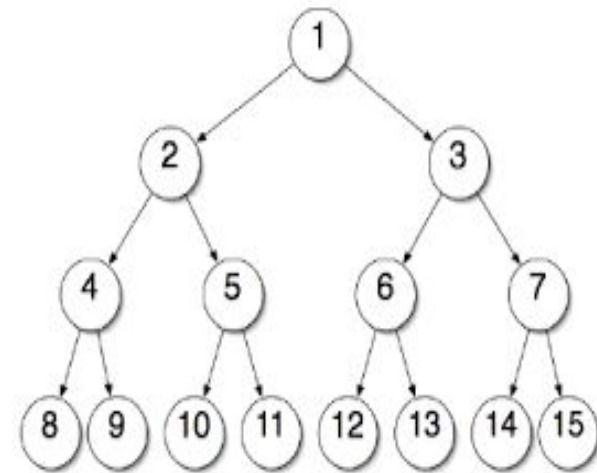
- Binary Trees (BT) (maximum two children per node)
- Binary Search Trees (BST)
(BT, Left child \leq Node \leq Right child, No same value)
- AVL Trees
- Red-Black Trees
- Heap
- N-ary Trees
- ...



Types of Trees: Binary Tree (BT)

Binary Tree

- is a tree data structure in which each node has at most **two** children, which are referred to as the *left child* and the *right child*
- is a **finite set of nodes** that is either
 - empty or
 - consists of a root and two disjoint binary trees called *the left subtree* and *the right subtree*.
- Any tree can be transformed into binary tree.
 - by left child-right sibling representation



Complete Binary Tree



Maximum Number of Nodes in BT

- The maximum number of nodes on level i of a binary tree is 2^{i-1} , $i \geq 1$
- The maximum number of nodes in a binary tree of depth k is $2^k - 1$, $k \geq 1$

Proof by induction:

$$\sum_{i=1}^k 2^{i-1} = 2^k - 1$$



Binary Tree Properties

- **Finite** (possibly empty) collection of elements
- A **nonempty binary tree** has a **root** element
- The remaining elements (if any) are partitioned into **two binary trees**
- These are called the **left subtree** and **right subtree** of the binary tree



Differences Between Tree & Binary Tree

- No node in a binary tree may have a degree more than 2 (maximum 2 children), whereas there is no limit on the degree of a node in a tree
- A binary tree may be empty, whereas a tree cannot be empty



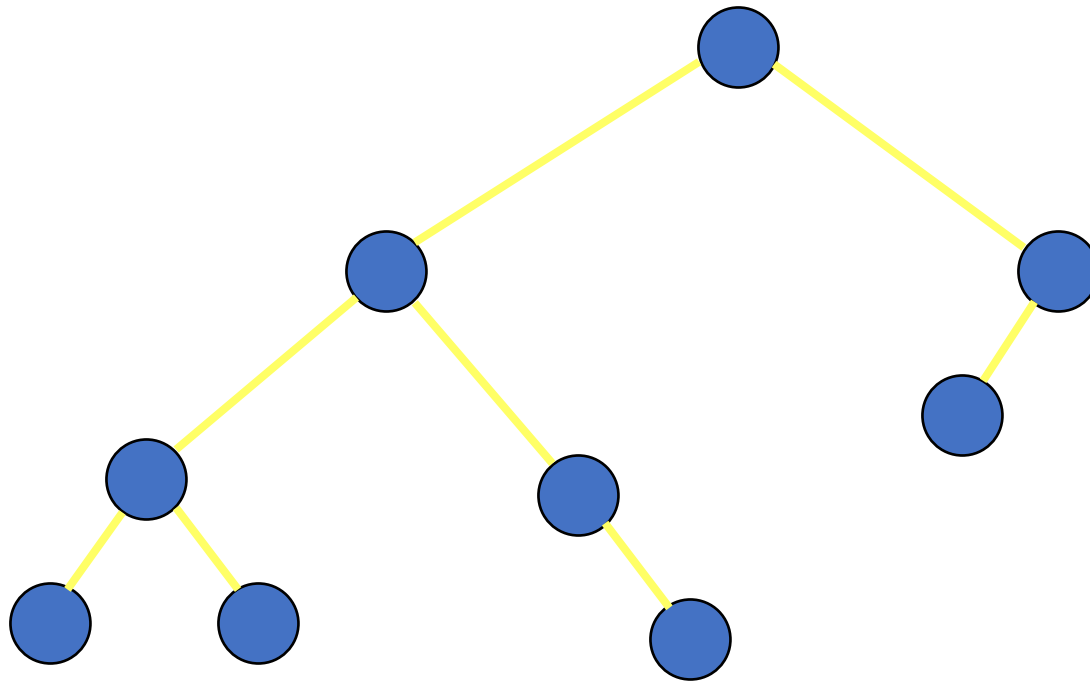
Differences Between Tree & Binary Tree

- The **subtrees** of a binary tree are **ordered**, whereas those of a tree are not ordered



- Are different when viewed as binary trees
- Are the same when viewed as trees

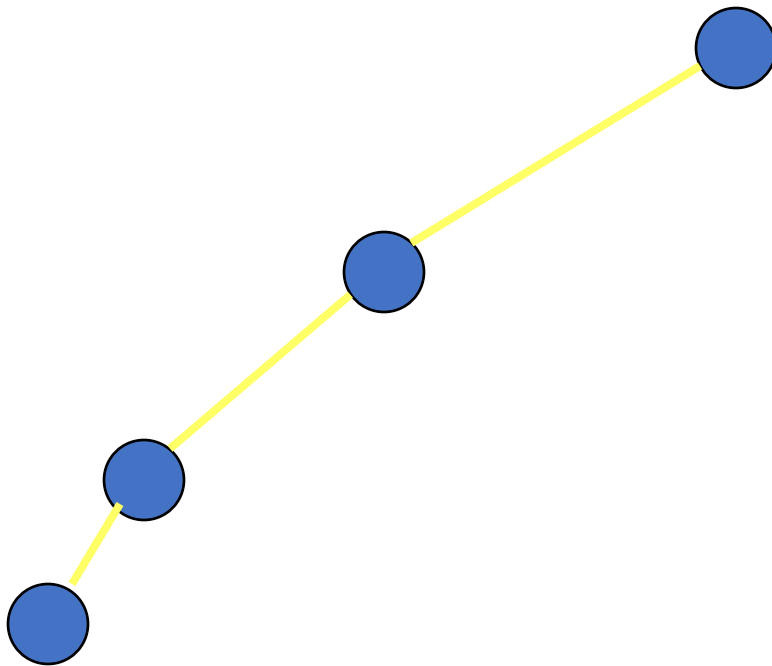
Binary Tree Properties & Representation





Minimum Number Of Nodes

- **Minimum number of nodes** in a binary tree whose **height** is **h**
- At least one node at each of first **h** levels

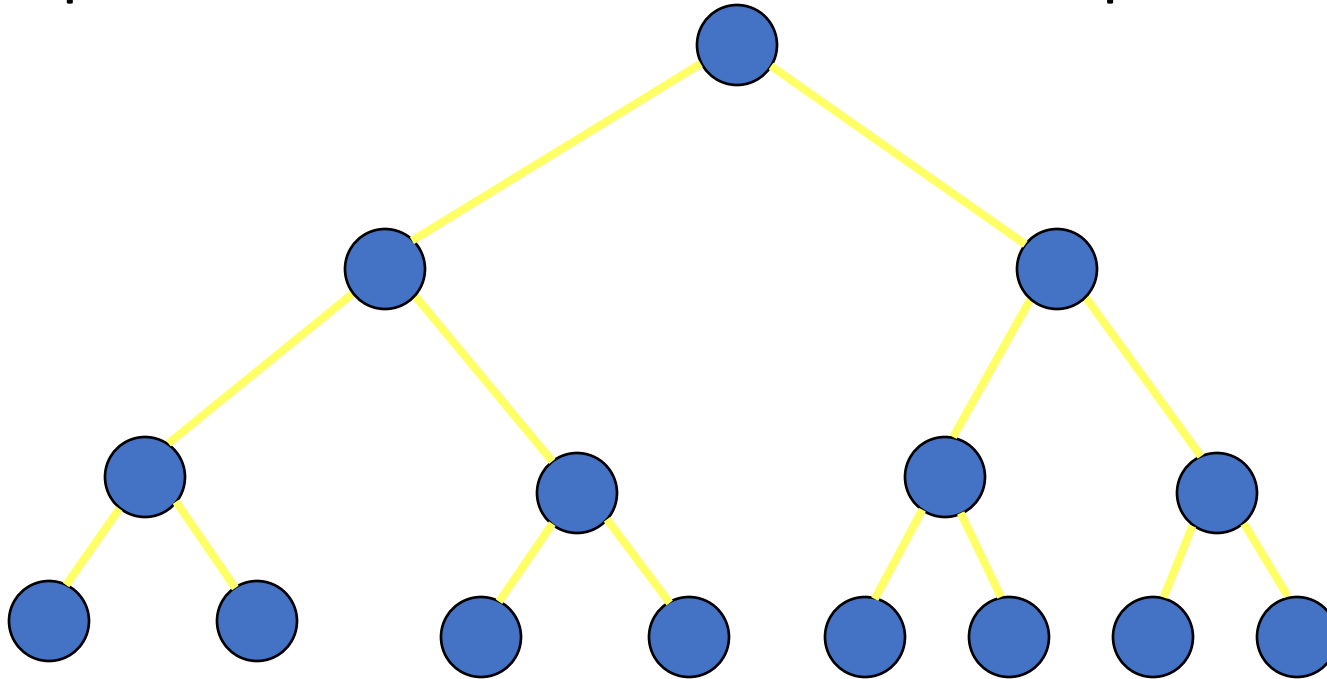


minimum number of nodes is h



Maximum Number Of Nodes

- All possible nodes at first h levels are present.



Maximum number of nodes

$$= 1 + 2 + 4 + 8 + \dots + 2^{h-1}$$

$$= 2^h - 1$$

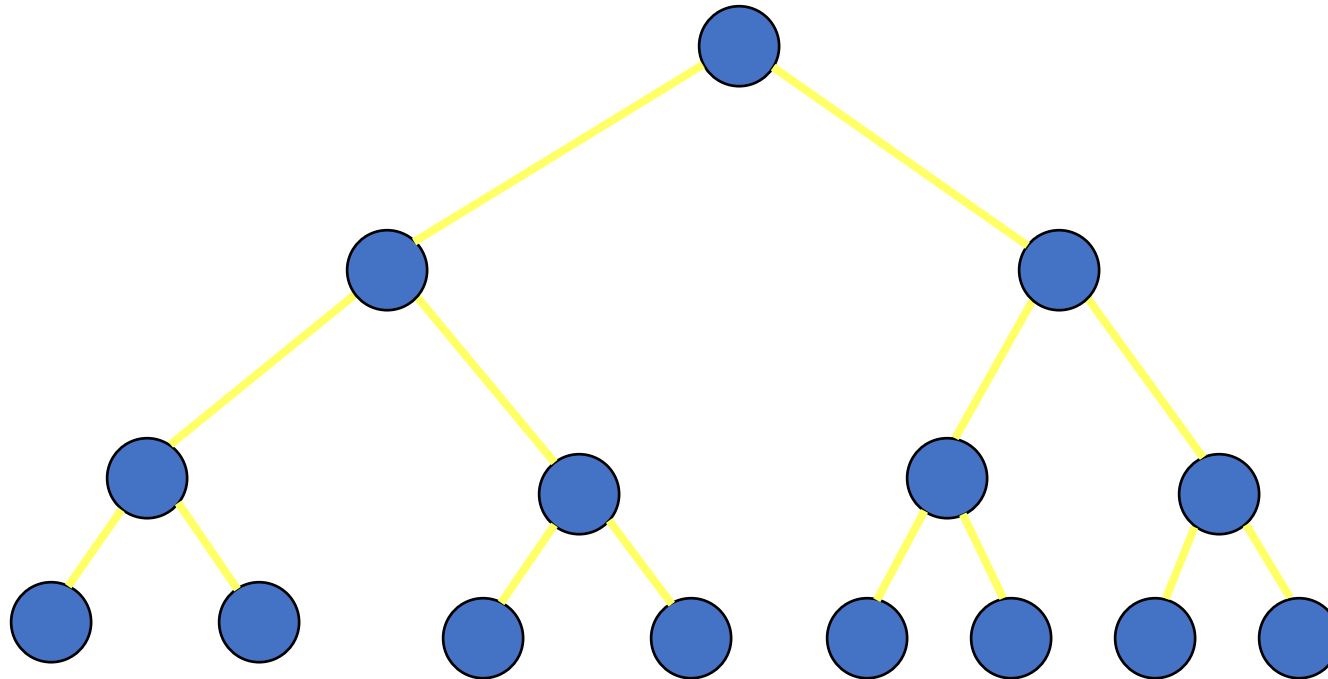


Number Of Nodes & Height

- Let n be the number of nodes in a binary tree whose height is h
- $h \leq n \leq 2^h - 1$
- $\log_2(n+1) \leq h \leq n$

Full Binary Tree

- A full binary tree of a given height h has $2^h - 1$ nodes

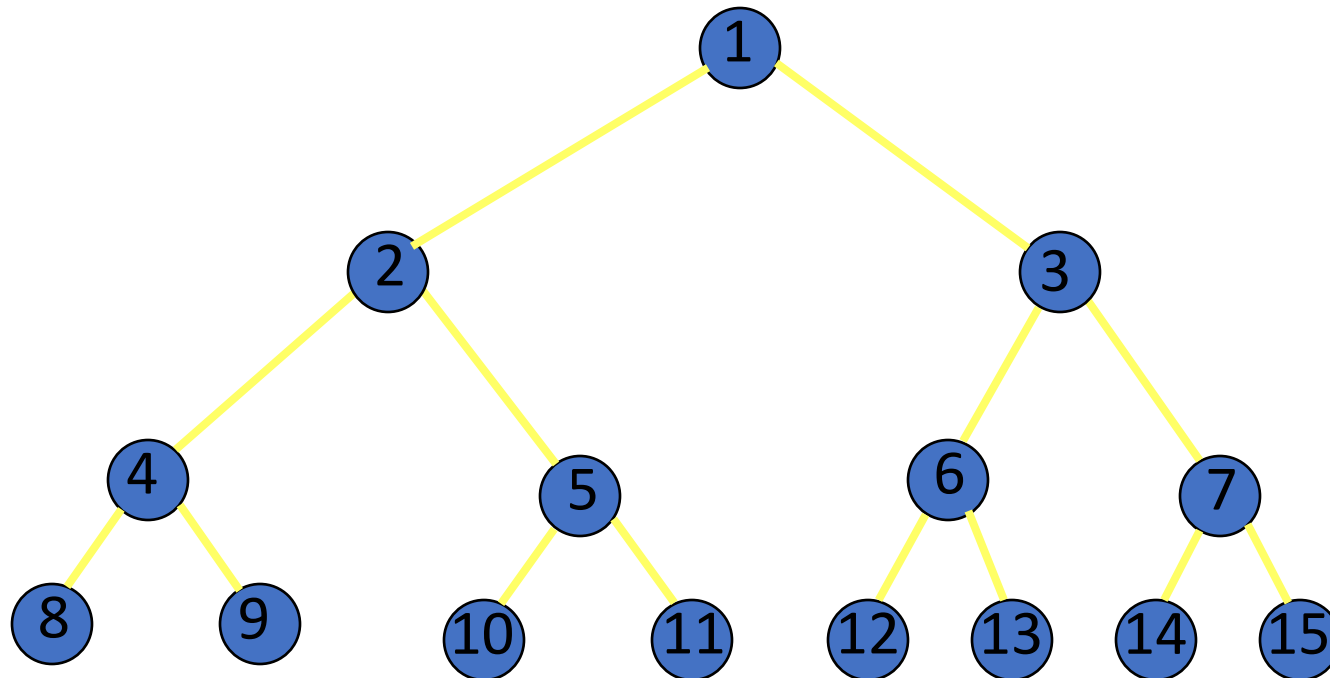


Height 4 full binary tree.



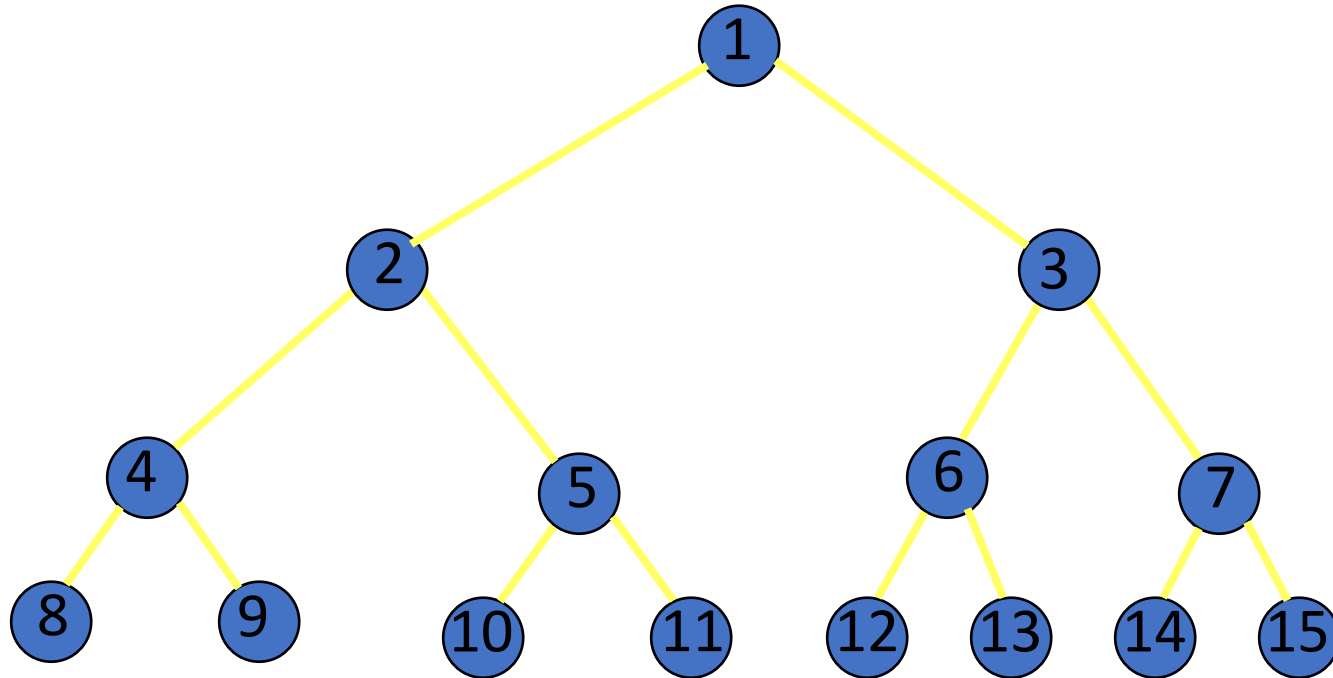
Numbering Nodes In A Full Binary Tree

- Number the nodes **1** through $2^h - 1$
- Number by levels from top to bottom
- Within a level number from left to right





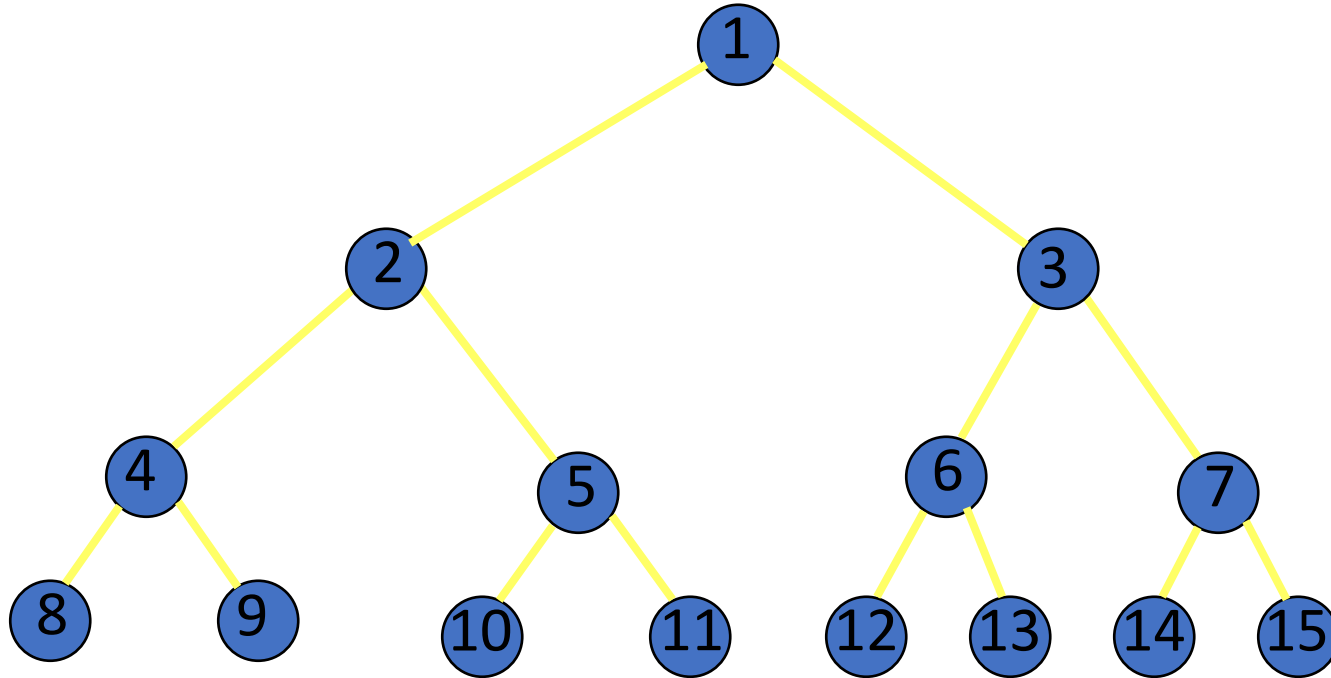
Node Number Properties



- Parent of node i is node $i / 2$, unless $i = 1$
- Node 1 is the root and has no parent



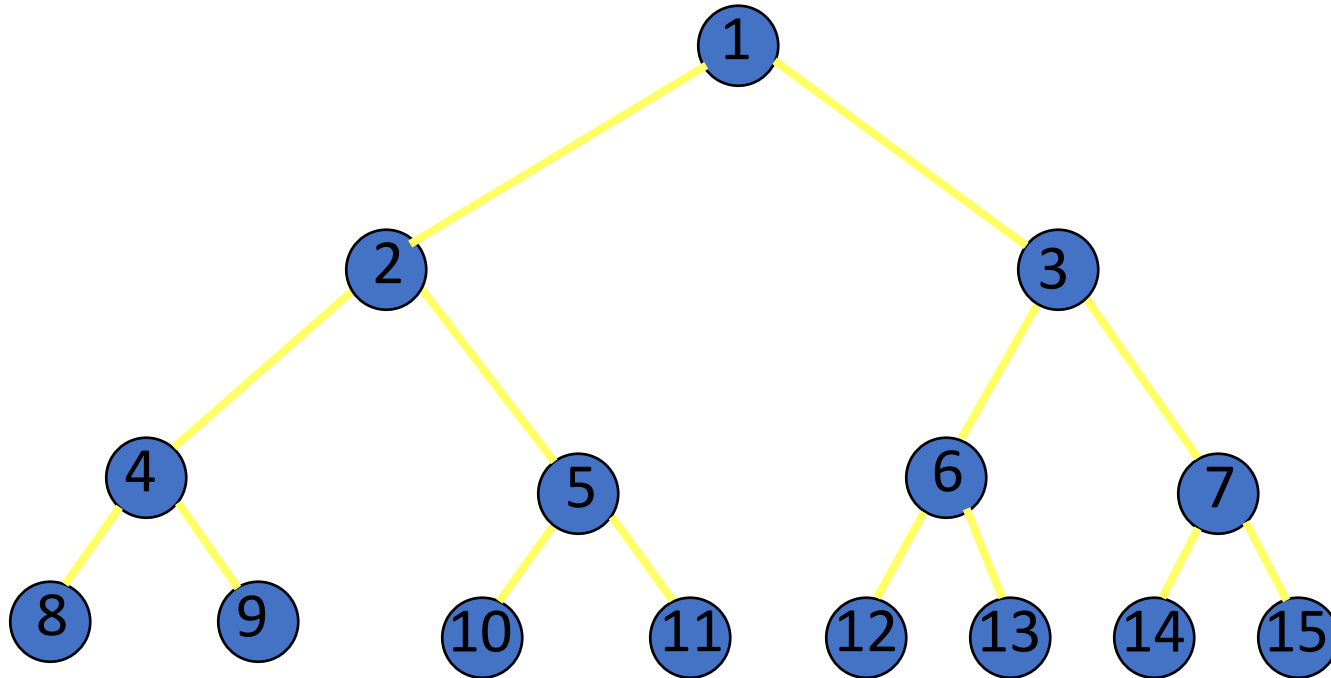
Node Number Properties



- **Left child** of node i is node $2*i$, unless $2*i > n$, where n is the number of nodes
- If $2*i > n$, node i has no left child



Node Number Properties



- **Right child** of node i is node $2*i+1$, unless $2*i+1 > n$, where n is the number of nodes
- If $2*i+1 > n$, node i has no right child



Complete Binary Tree With n Nodes

- Start with a full binary tree that has at least n nodes
- Number the nodes as described earlier
- The binary tree defined by the nodes numbered 1 through n is the unique n node complete binary tree



Binary Tree Representation

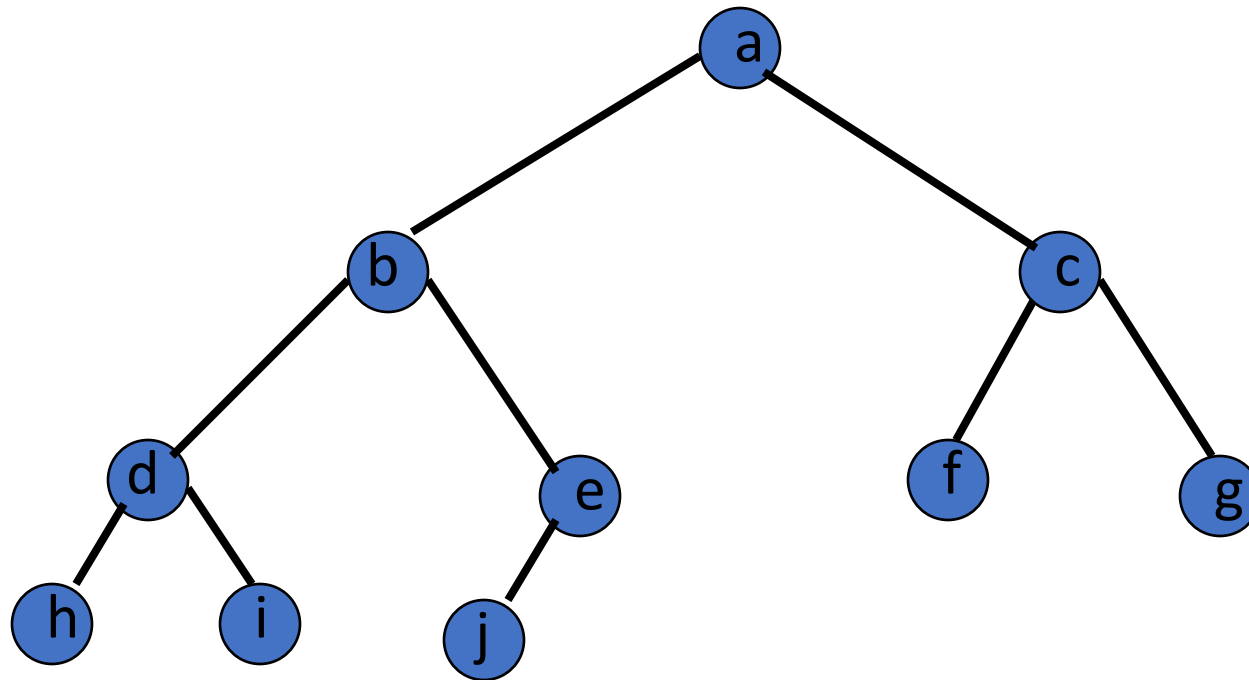


- Array representation
- Linked-List representation



1. Array Representation

- Number the nodes using the numbering scheme for a full binary tree. The node that is numbered i is stored in `tree[i]`.

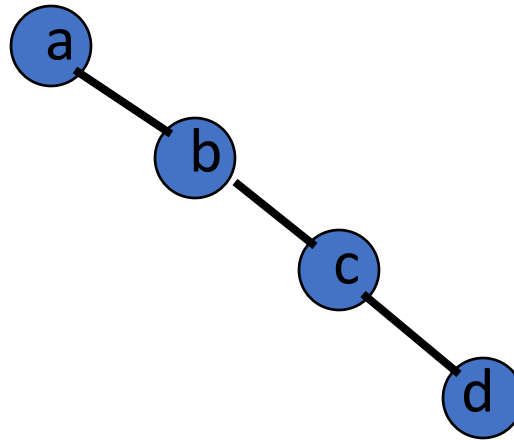


`tree[]`

	a	b	c	d	e	f	g	h	i	j
--	---	---	---	---	---	---	---	---	---	---



Right-Skewed Binary Tree



tree[]

	a	-	b	-	-	-	c	-	-	-	-	-	-	-	d
--	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

- An n node binary tree needs an array whose length is between $n+1$ and 2^n .

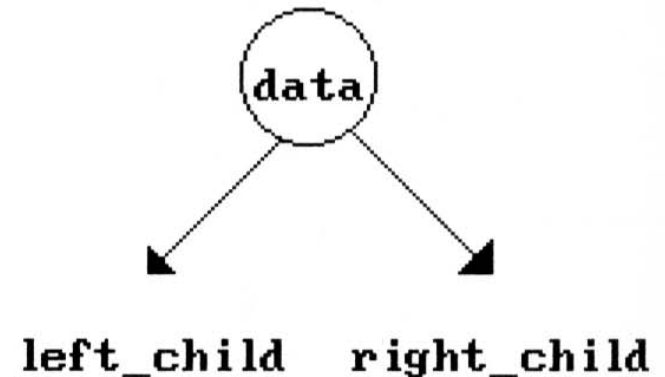
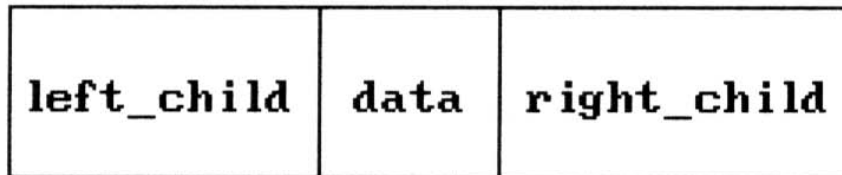


2. Linked-List Representation

- Each binary tree node is represented as an object whose data type is
- The space required by an node binary tree is

Binary Tree Representations (using link)

```
typedef struct node *tree_pointer;  
typedef struct node {  
    int data;  
    tree_pointer left_child, right_child;  
};
```



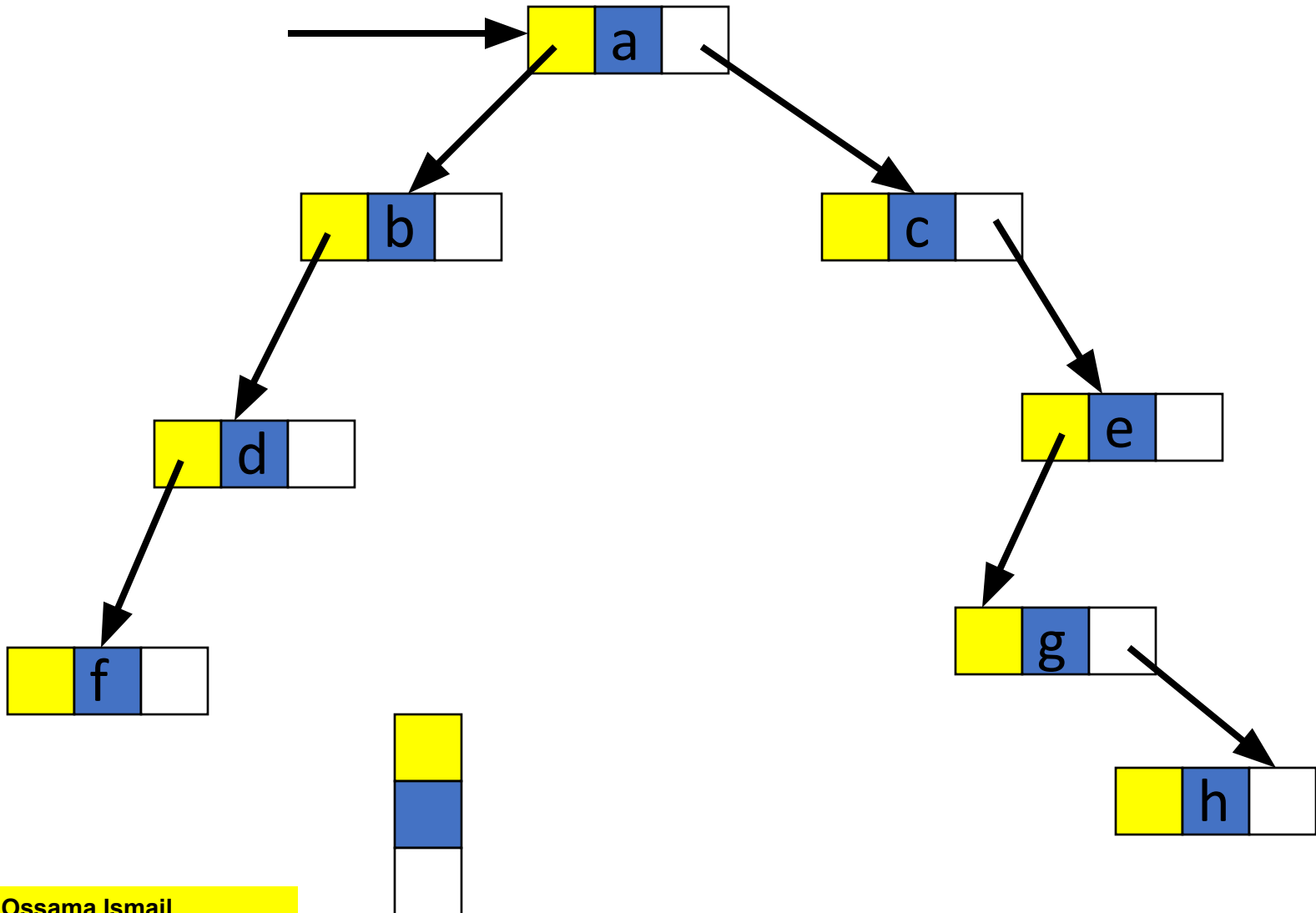


The Class BinaryTreeNode

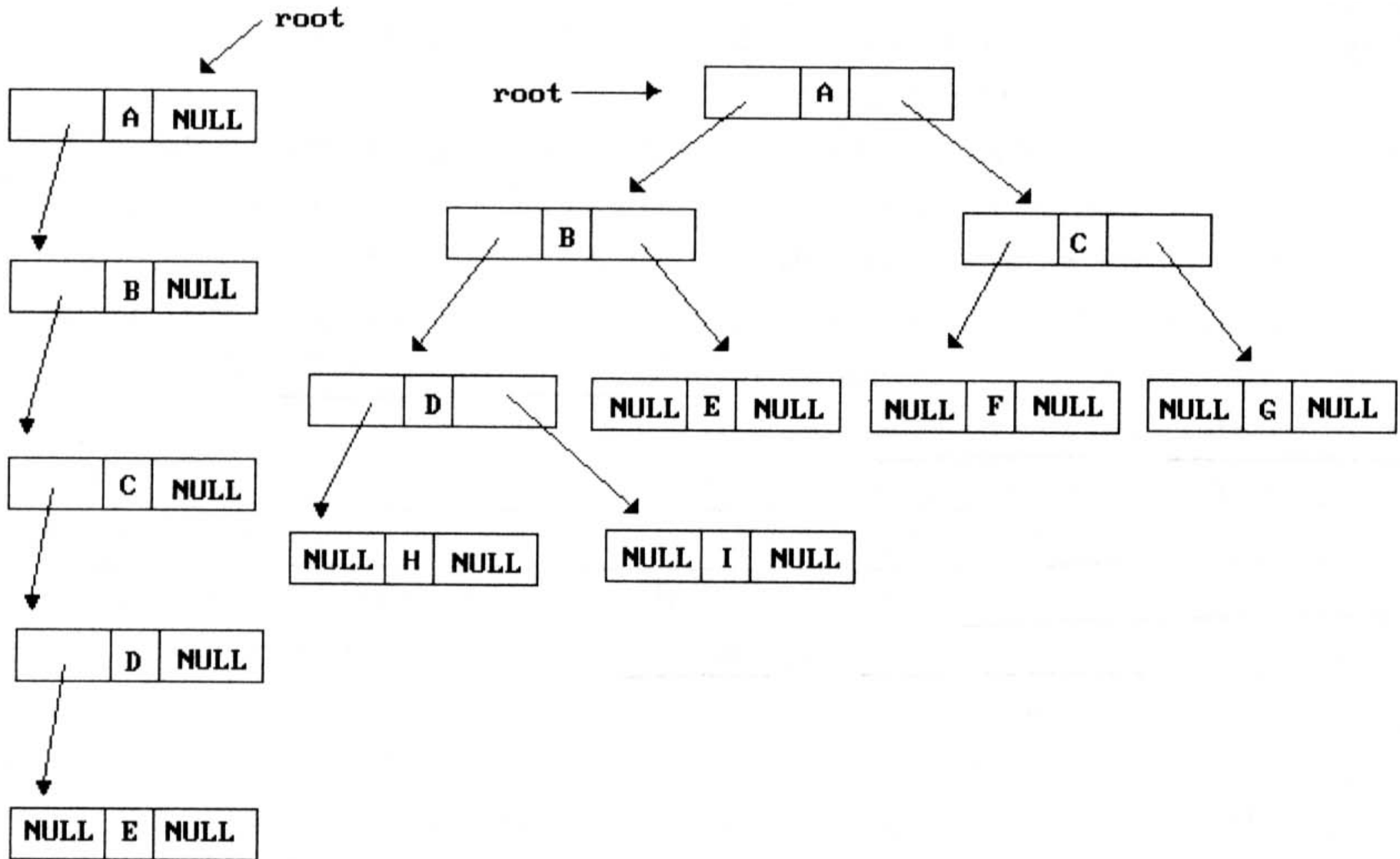
```
dataStructures;
    BinaryTreeNode
{
    Object element;
    BinaryTreeNode leftChild; // left subtree
    BinaryTreeNode rightChild; // right subtree
    // constructors and any other methods
    // come here
}
```



Linked Representation Example



Binary Tree Representations (using link)





Binary Tree Operations



Some Binary Tree Operations

- Determine the **height**
- Determine the **number of nodes**
- Make a **clone**
- Determine if **two binary trees** are **clones**
- **Display** the binary tree
- Evaluate the arithmetic expression represented by a binary tree
 - Obtain the **infix** form of an expression
 - Obtain the **prefix** form of an expression
 - Obtain the **postfix** form of an expression



Programming with Binary Trees

- **Many tree algorithms are recursive**
 - Process current node, recurse on subtrees
 - Base case is usually empty tree (`null`)
- **Traversal:** An examination of the elements of a tree
 - A pattern used in many tree algorithms and methods
- **Common orderings for traversals:**
 - **pre-order:** process root node, then its left/right subtrees
 - **in-order:** process left subtree, then root node, then right
 - **post-order:** process left/right subtrees, then root node



Binary Tree Traversal



Binary Tree Traversal

- Many binary tree operations are done by performing a **traversal** of the binary tree
- In a traversal, each element of the binary tree is **visited exactly once**
- During the **visit** of an element, all action (make a **clone**, **display**, **evaluate** the operator, etc...) with respect to this element is taken



Binary Tree Traversal

- A traversal is where each node in a tree is visited and visited once
- For a tree of n nodes there are $n!$ traversals
- There are two very common traversals
 - Breadth First
 - Depth First



A - Breadth First

- In a breadth first traversal all of the nodes on a given level are visited and then all of the nodes on the next level are visited
- Usually in a left to right fashion
- This is implemented with a queue
- Sometimes called Level Order



Level Order

Let **t** be the tree root

(**t** != null)

{

visit **t** and put its children on a FIFO queue;

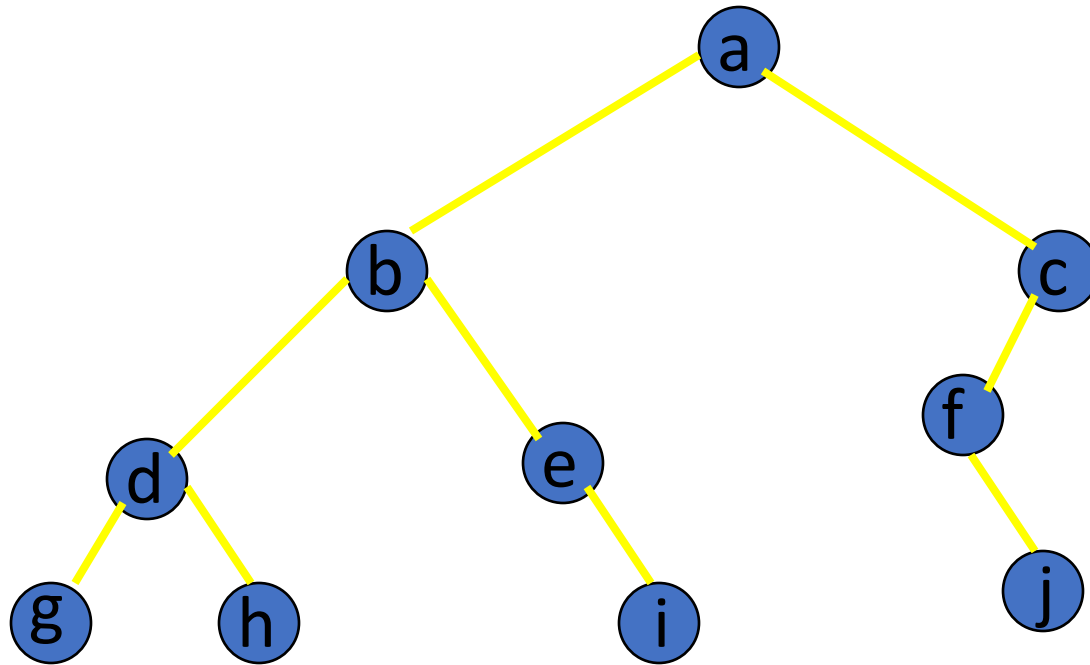
remove a node from the FIFO queue and call it **t**;

// remove returns null when queue is empty

}



Level-Order Example (visit = print)



a b c d e f g h i j



Time complexity

- Let n be the number of nodes in the tree
- Time complexity: $O(n)$
- Space complexity: $O(n)$

equal to the depth of the tree
(skewed tree is the worst case)



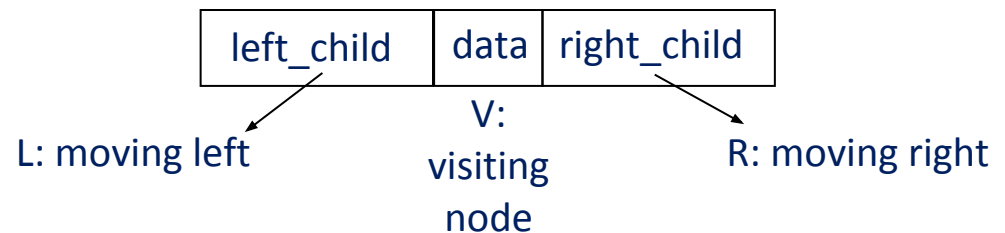
B - Depth First

- In a depth first traversal all the nodes on a branch are visited before any others are visited
- There are three common depth first traversals
 - Inorder
 - Preorder
 - Postorder
- Each type has its use and specific application



Binary Tree Traversals

- How to traverse a tree or visit each node in the tree exactly once?
- Let **L**, **V**, and **R** stand for moving **left**, **visiting** the node and moving **right**.
- There are six possible combinations of traversal
 - **LVR**, **LRV**, **VLR**, **VRL**, **RVL**, **RLV**
- Adopt **convention** that we traverse left before right, only 3 traversals remain : **LVR**, **LRV**, **VLR** (inorder, postorder, preorder)
 - **LVR** (inorder), **LRV** (postorder), **VLR** (preorder)





Binary Tree Traversals

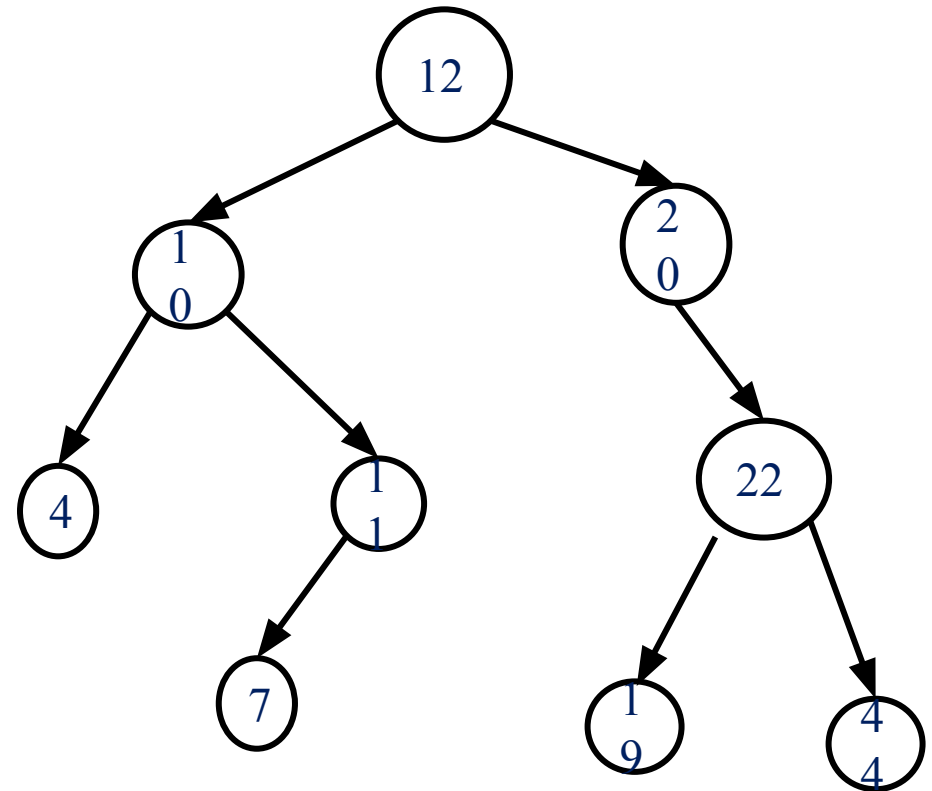
Depth-First traversals

- Pre-order
- In-order
- Post-order



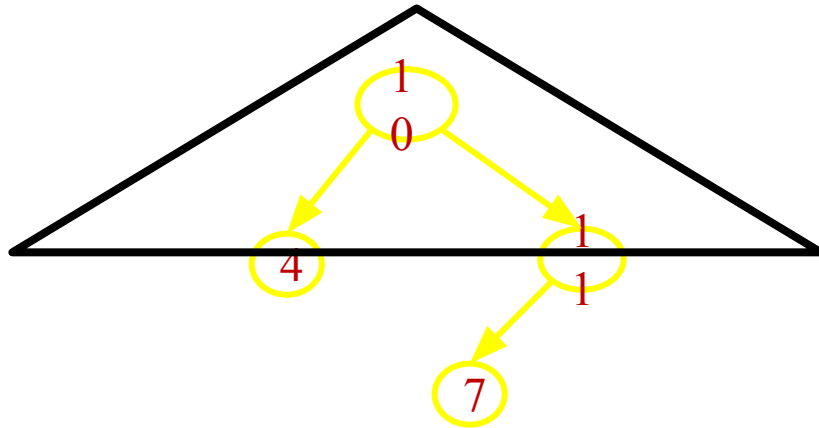
Apply “In Order Traversal” to the given tree

- 1- visit left subtree
- 2- visit node
- 3- visit right subtree

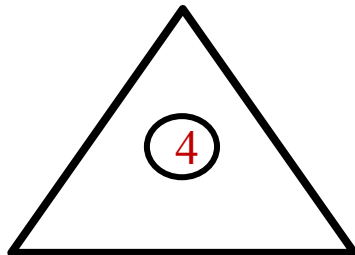


In Order Traversal

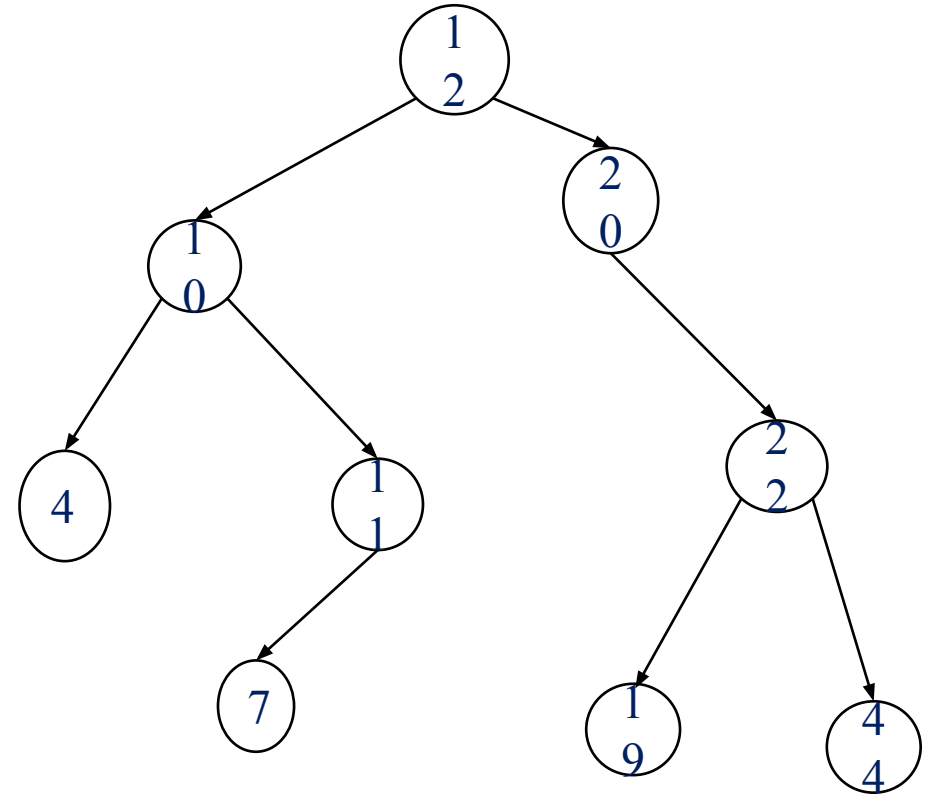
(1) goto subtree of node 12



(2) goto left subtree of node 10



(3) goto left subtree of node 4



Result of applying “In order traversal”:
 $4 \rightarrow 10 \rightarrow 7 \rightarrow 11 \rightarrow 12 \rightarrow 20 \rightarrow 19 \rightarrow 22 \rightarrow 44$

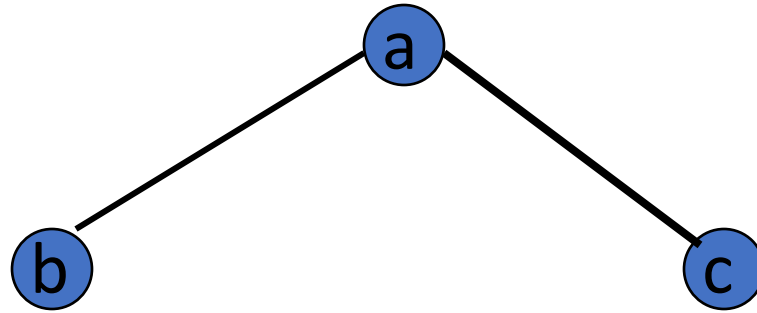


Inorder Traversal

```
public static void inOrder(BinaryTreeNode t)
{
    if (t != null)
    {
        inOrder(t.leftChild);
        visit(t);
        inOrder(t.rightChild);
    }
}
```



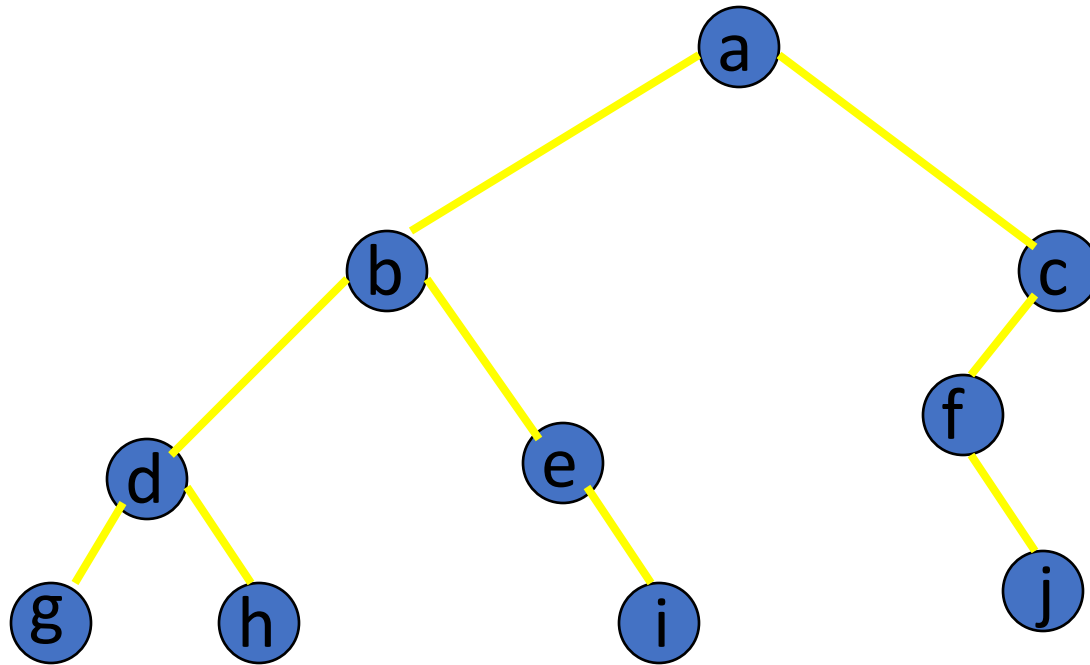
Inorder Example (visit = print)



b a c



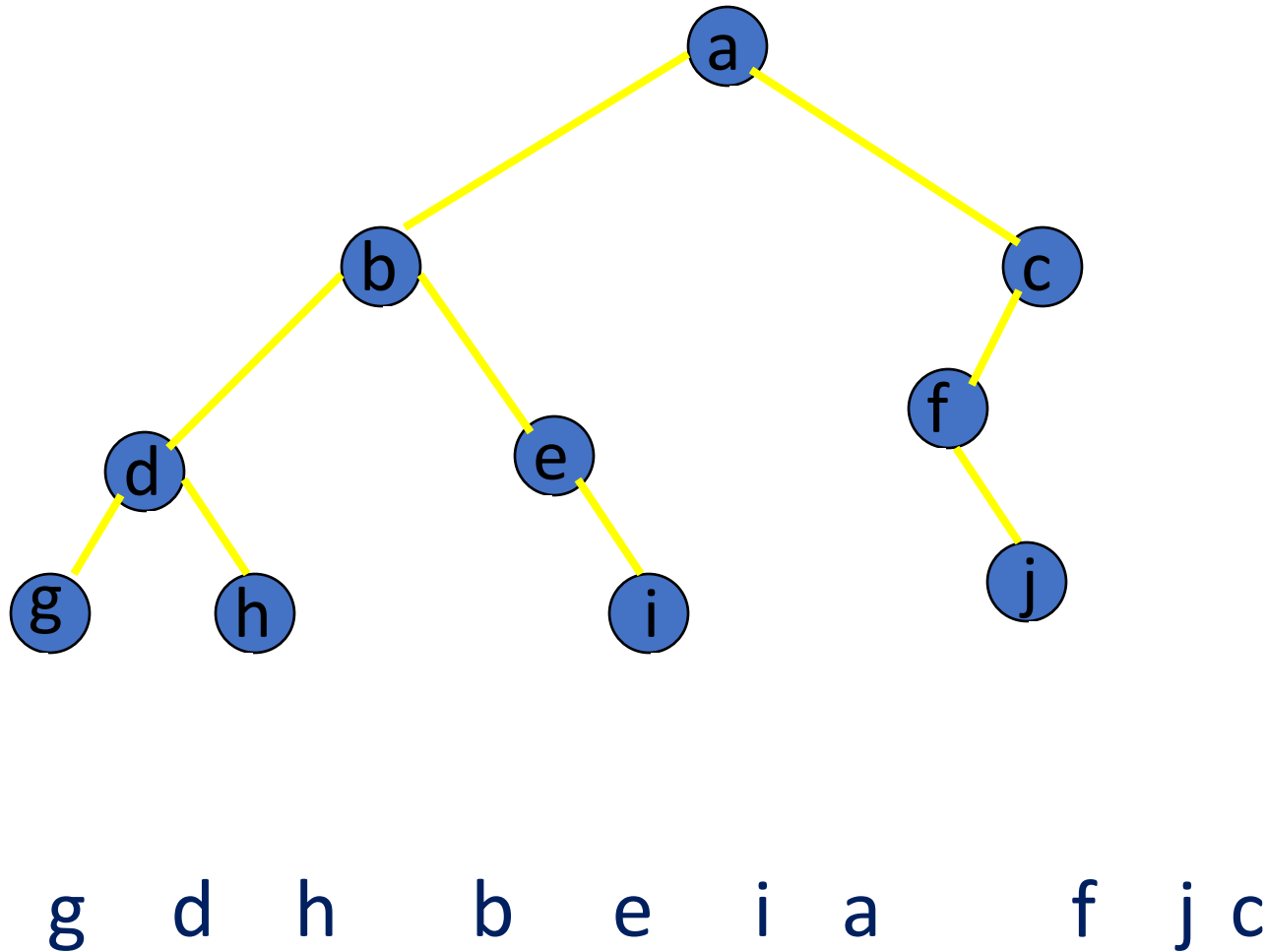
Inorder Example (visit = print)



g d h b e i a f j c

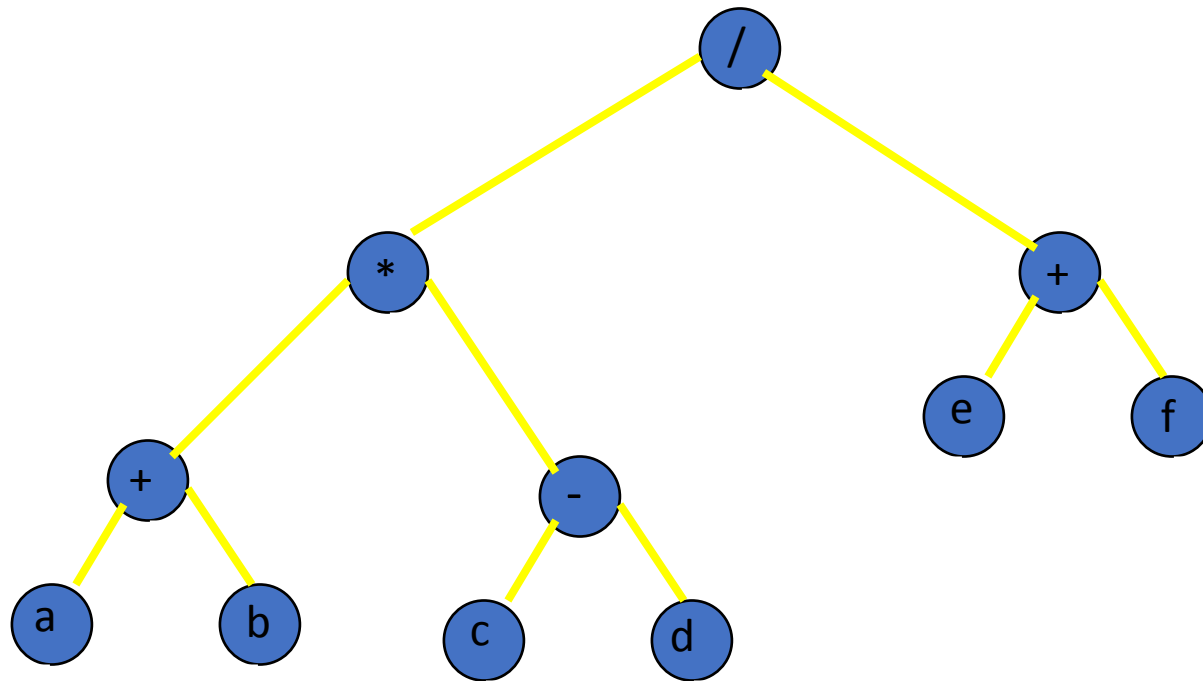


Inorder By Projection (Squishing)





Inorder Of Expression Tree



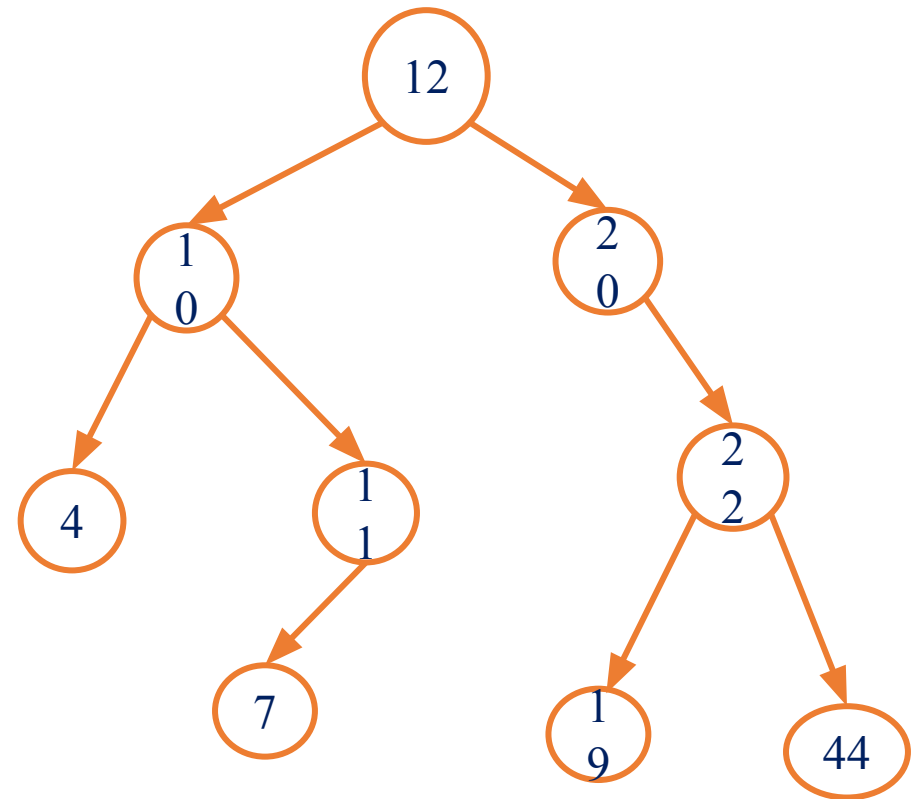
$a + b * c - d / e + f$

Gives infix form of expression
(sans parentheses)!



Apply “**Post Order Traversal**” to the given tree

- 1- visit left subtree
- 2- visit right subtree
- 3- visit node



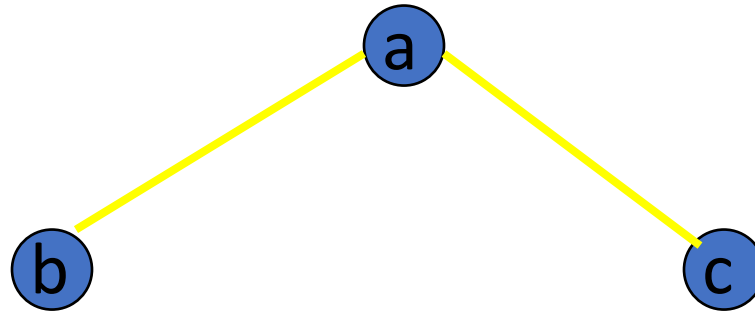


Postorder Traversal

```
public static void postOrder(BinaryTreeNode t)
{
    if (t != null)
    {
        postOrder(t.leftChild);
        postOrder(t.rightChild);
        visit(t);
    }
}
```



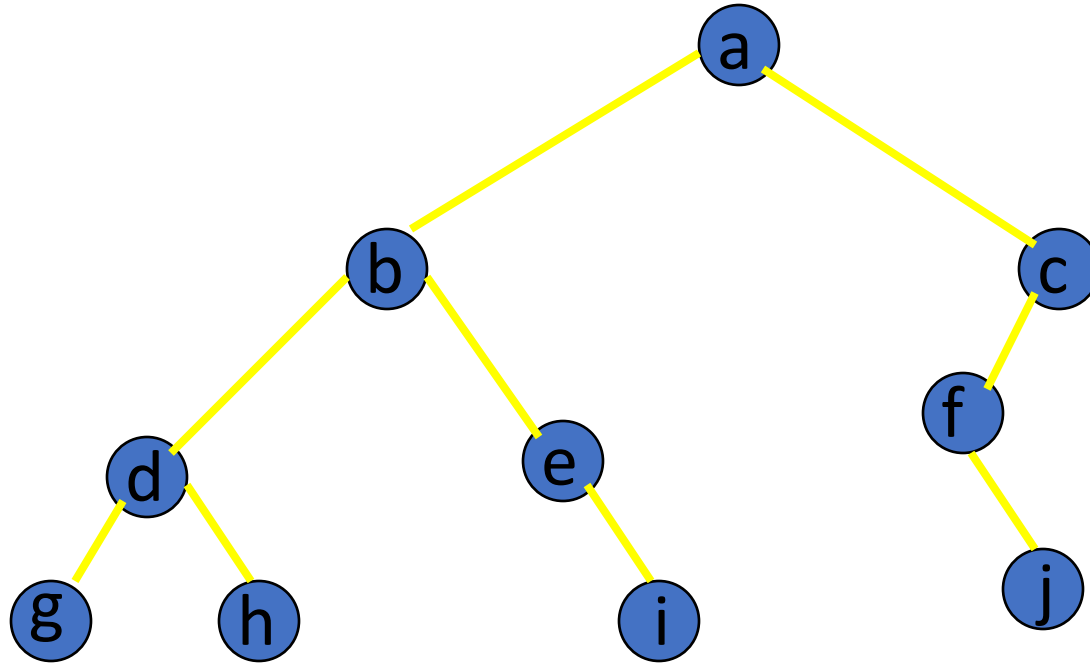
Postorder Example (visit = print)



b c a



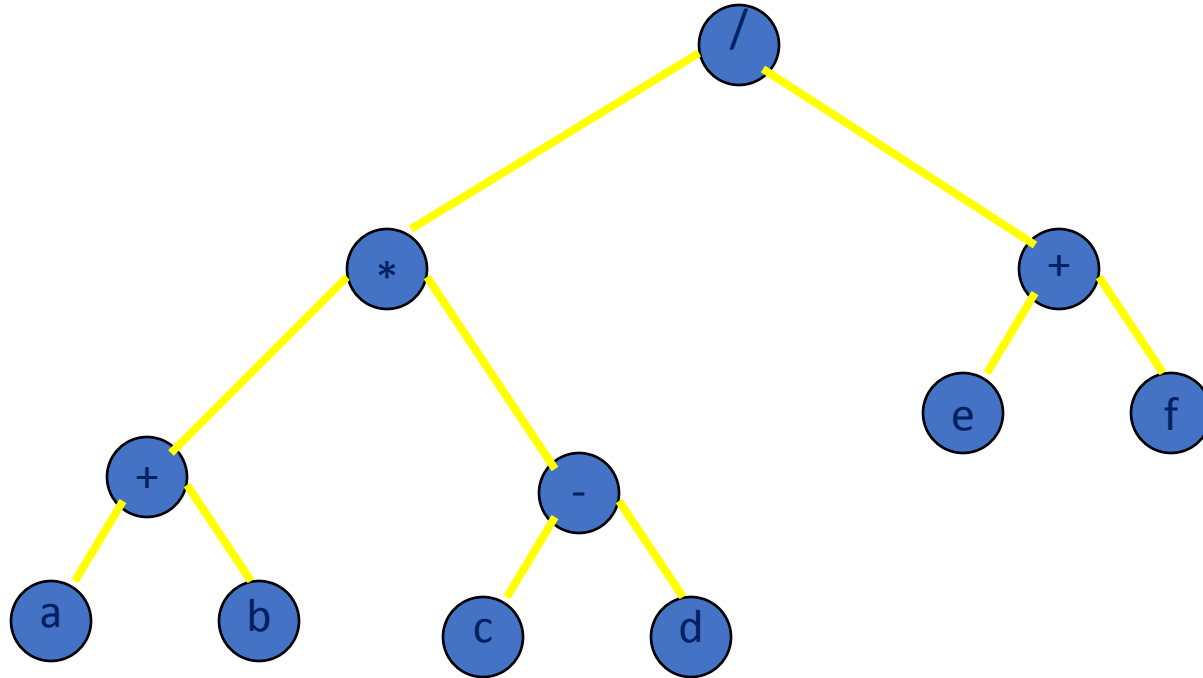
Postorder Example (visit = print)



g h d i e b j f c a



Postorder Of Expression Tree

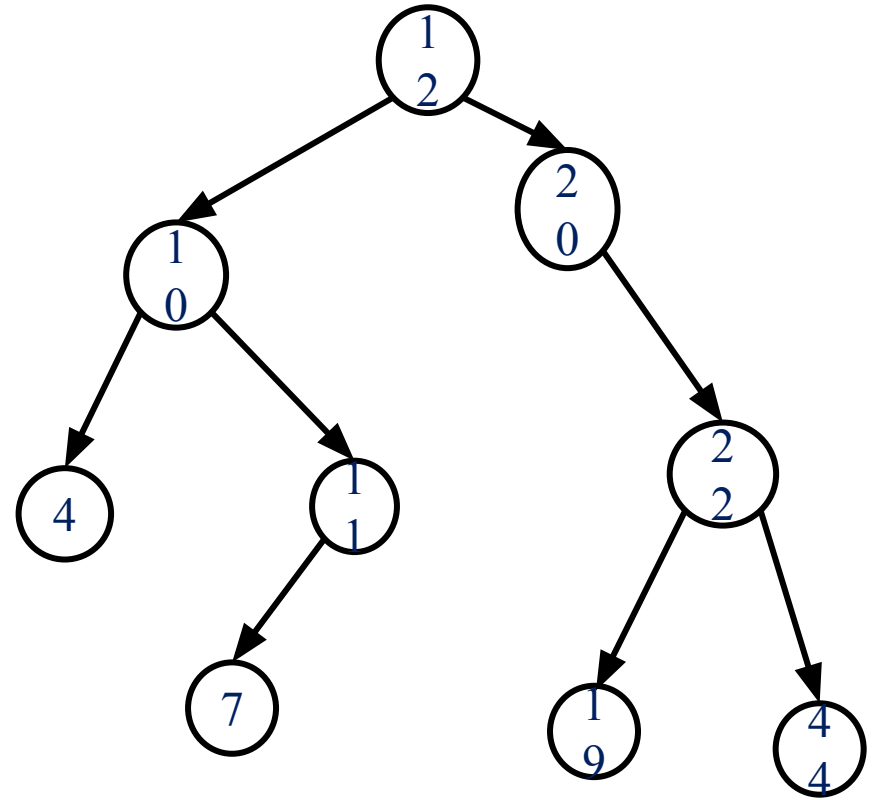


a b + c d - * e f + /

Gives postfix form of expression!

Apply “Pre Order Traversal” to the given tree

- 1- visit node
- 2- visit left subtree
- 3- visit right subtree



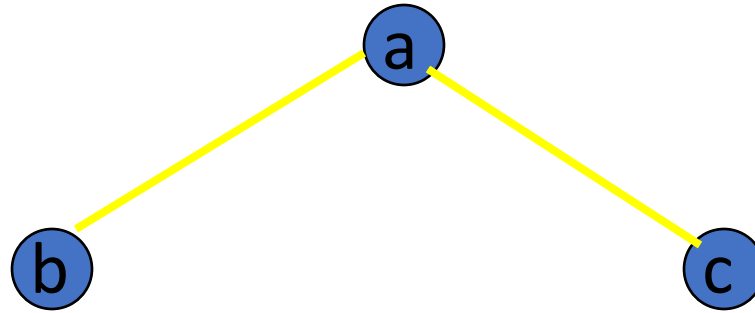


Preorder Traversal

```
public static void preOrder(BinaryTreeNode t)
{
    if (t != null)
    {
        visit(t);
        preOrder(t.leftChild);
        preOrder(t.rightChild);
    }
}
```



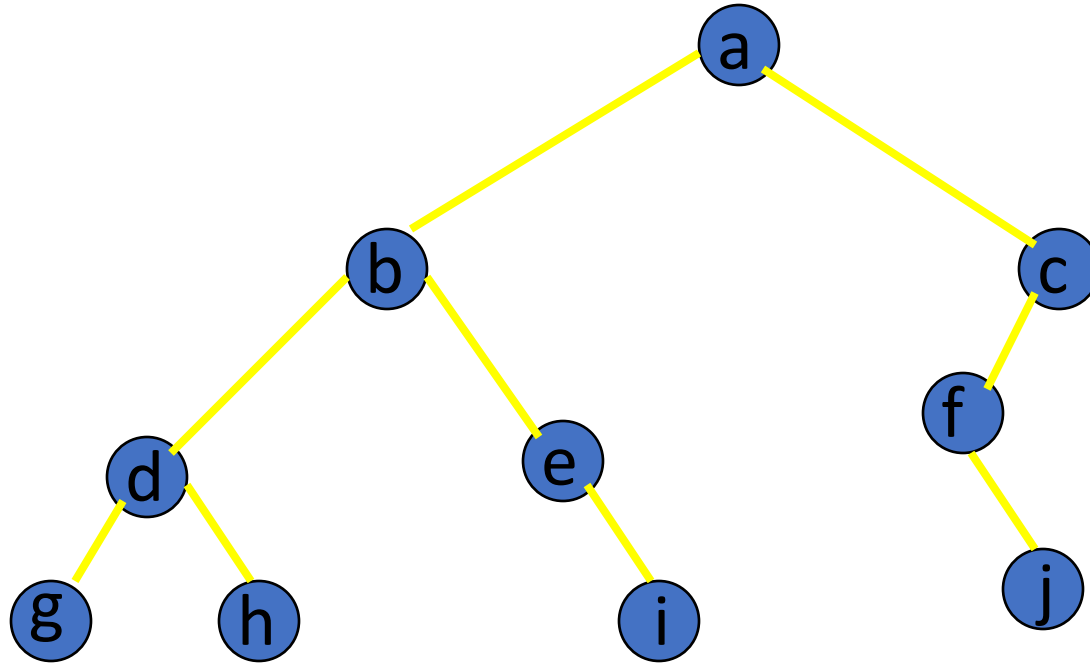

Preorder Example (visit = print)



a b c



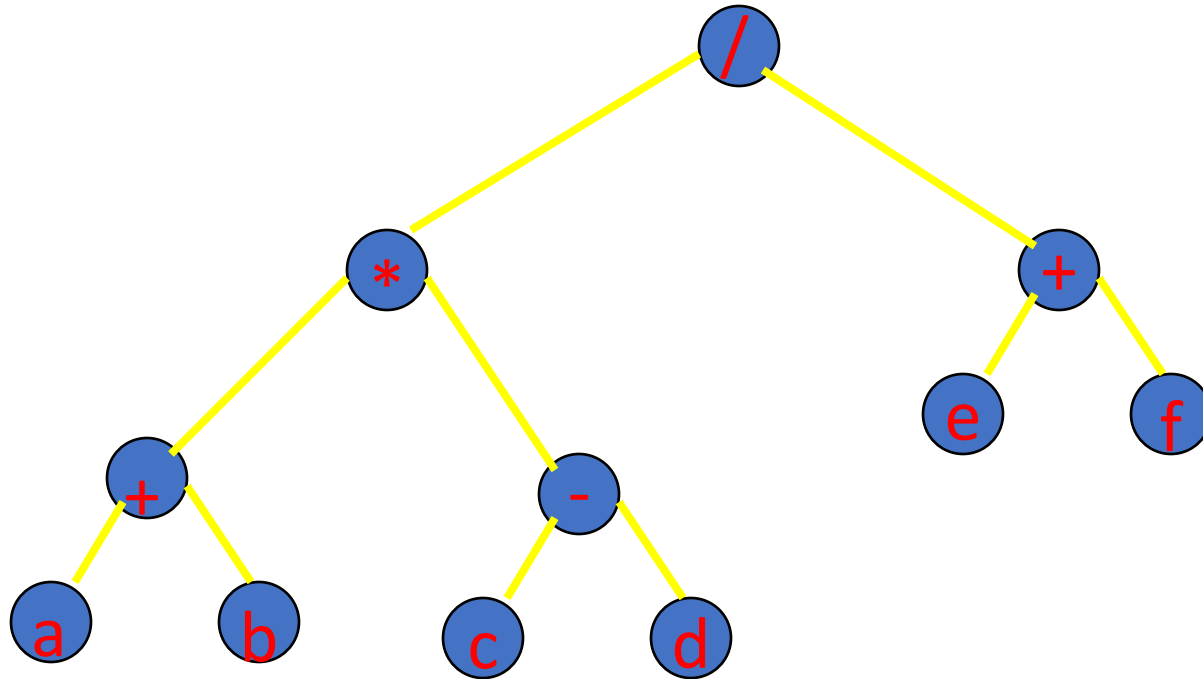
Preorder Example (visit = print)



a b d g h e i c f j



Preorder Of Expression Tree



/ * + a b - c d + e f



Binary Tree Construction



Binary Tree Construction

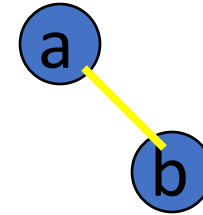
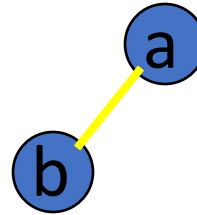
- Suppose that the elements in a binary tree are distinct
- Can you construct the binary tree from which a given traversal sequence came?
- When a traversal sequence has more than one element, the binary tree is not uniquely defined
- Therefore, the tree from which the sequence was obtained cannot be reconstructed uniquely



Some Examples

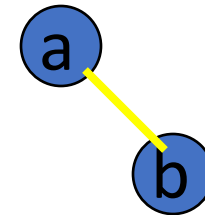
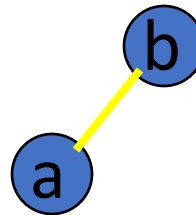
Preorder

= ab



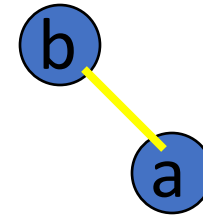
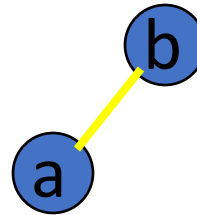
Inorder

= ab



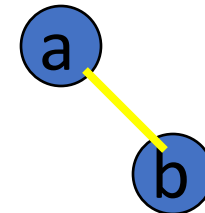
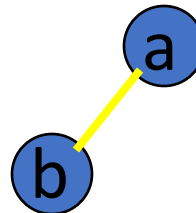
Postorder

= ab



Level order

= ab





Binary Tree Construction

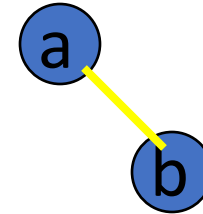
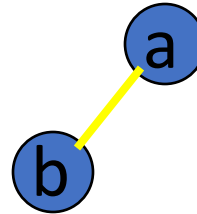
- Can you construct the binary tree, given two traversal sequences?
- Depends on which two sequences are given



Preorder And Postorder

preorder = ab

postorder = ba

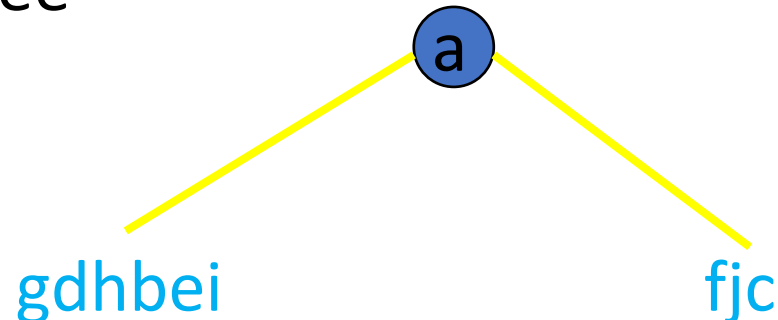


- Preorder and postorder do not uniquely define a binary tree.
- Nor do preorder and level order (same example)
- Nor do postorder and level order (same example)

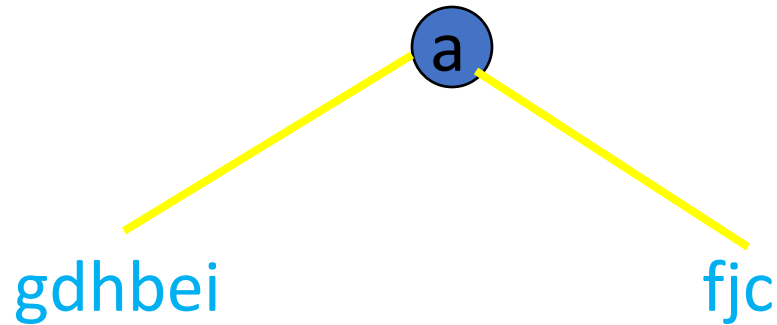


Inorder And Preorder

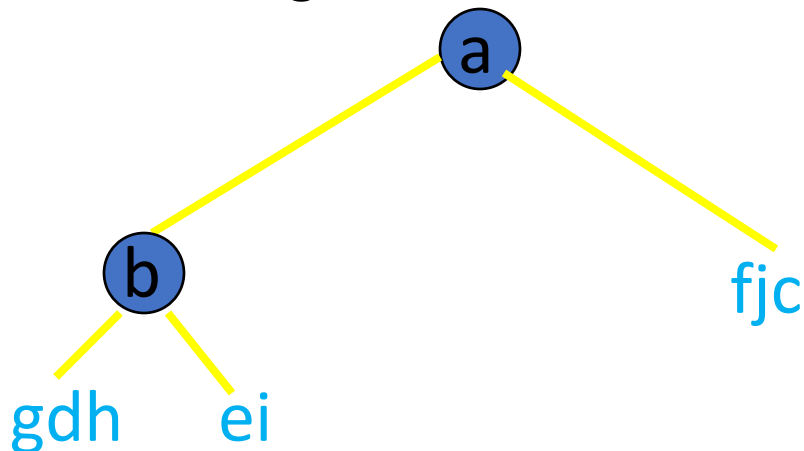
- inorder = g d h b e i a f j c
- preorder = a b d g h e i c f j
- Scan the preorder left to right using the inorder to separate left and right subtrees.
- a is the root of the tree
 - gdhbei are in the left subtree
 - fjc are in the right subtree



Inorder And Preorder



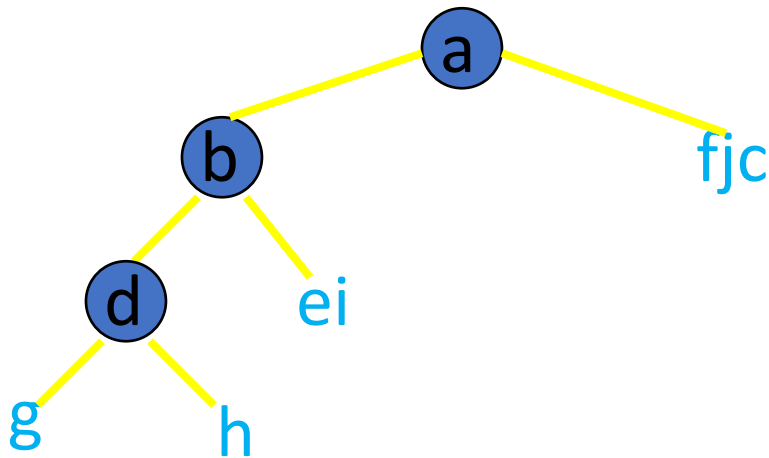
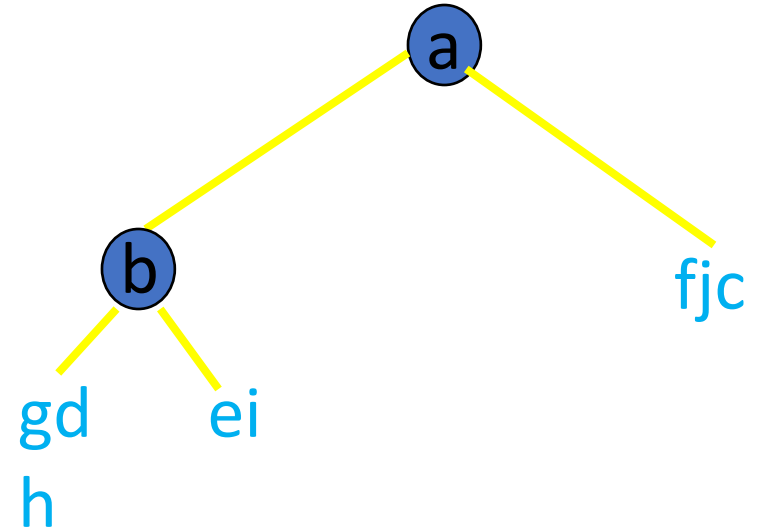
- preorder = a b d g h e i c f j
- b is the next root
 - gdh are in the left subtree
 - ei are in the right subtree



Inorder And Preorder



- preorder = a b d g h e i c f j
- d is the next root
 - g is in the left subtree
 - h is in the right subtree





Inorder And Postorder

- Scan postorder from right to left using inorder to separate left and right subtrees.
- inorder = g d h b e i a f j c
- postorder = g h d i e b j f c a
- Tree root is a
 - g d h b e i are in left subtree
 - f j c are in right subtree



Inorder And Level Order

- Scan level order from left to right using inorder to separate left and right subtrees.
- inorder = g d h b e i a f j c
- level order = a b c d e f g h i j
- Tree root is a
 - g d h b e i are in left subtree
 - f j c are in right subtree

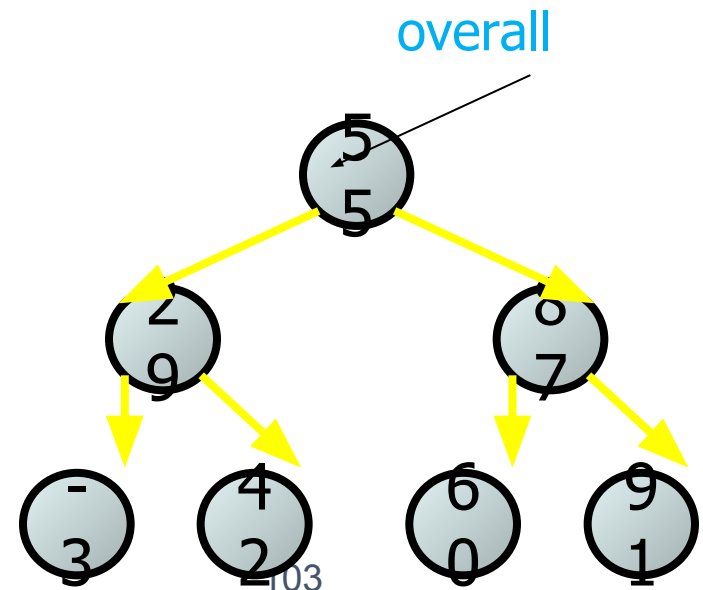


Types of Trees: Binary Search Tree (BST)



Binary Search Trees

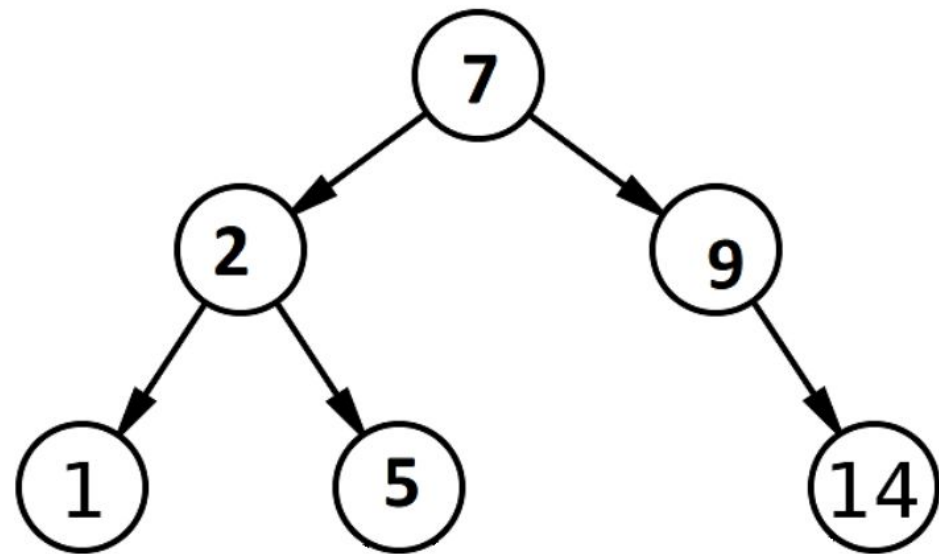
- A binary tree that is either:
 - **empty** (`null`), or
 - a root node **R** such that:
 - every element of R's **left subtree** contains data "**less than**" R's data
 - every element of R's **right subtree** contains data "**greater than**" R's
 - R's **left** and **right subtrees** are also
- BSTs store their elements in **sorted order**, which is helpful for searching/sorting tasks





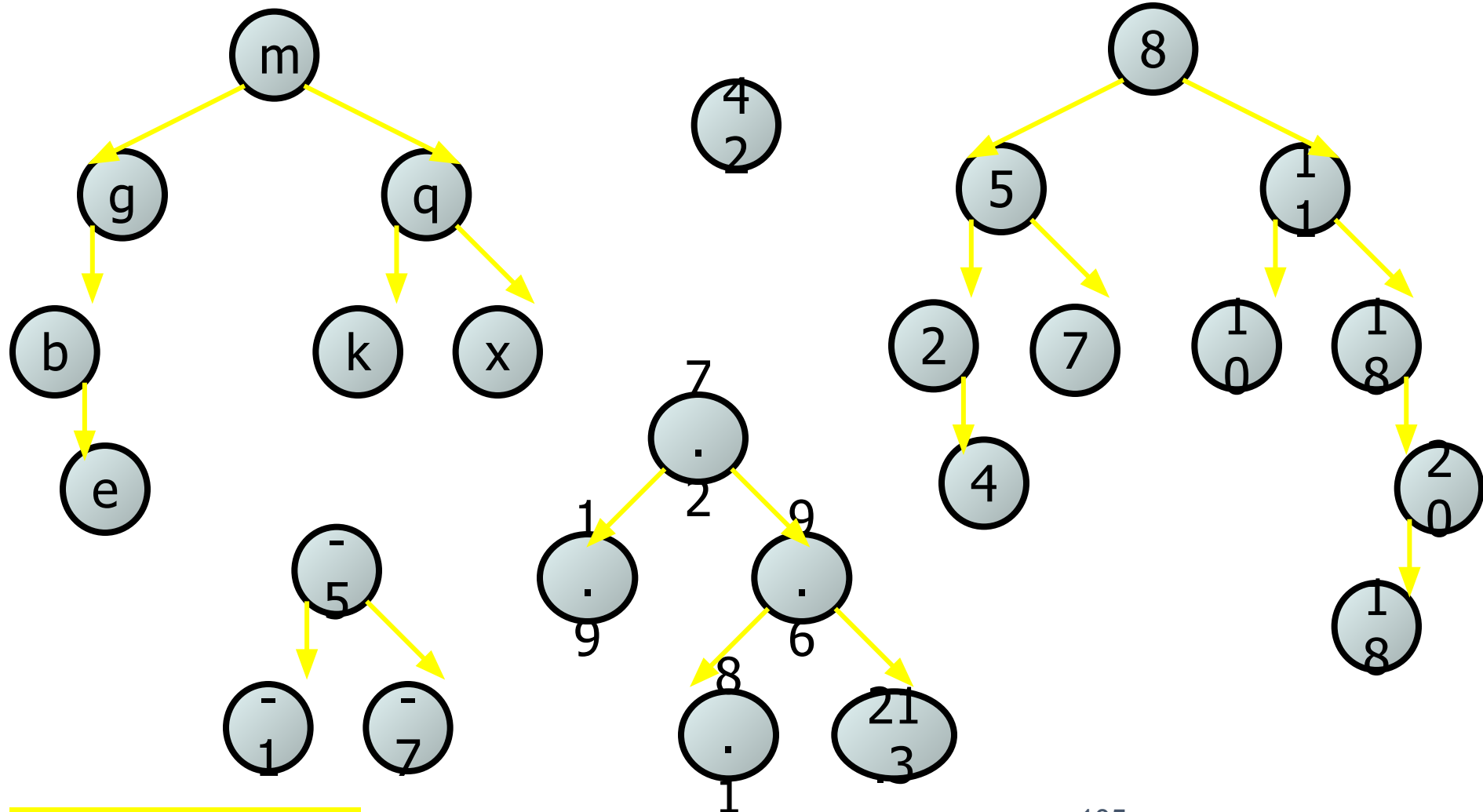
Definition Of Binary Search Tree

- A binary tree
- Each node has a (key, value) pair
- For every node x , all keys in the left subtree of x are smaller than that in x
- For every node x , all keys in the right subtree of x are greater than that in x



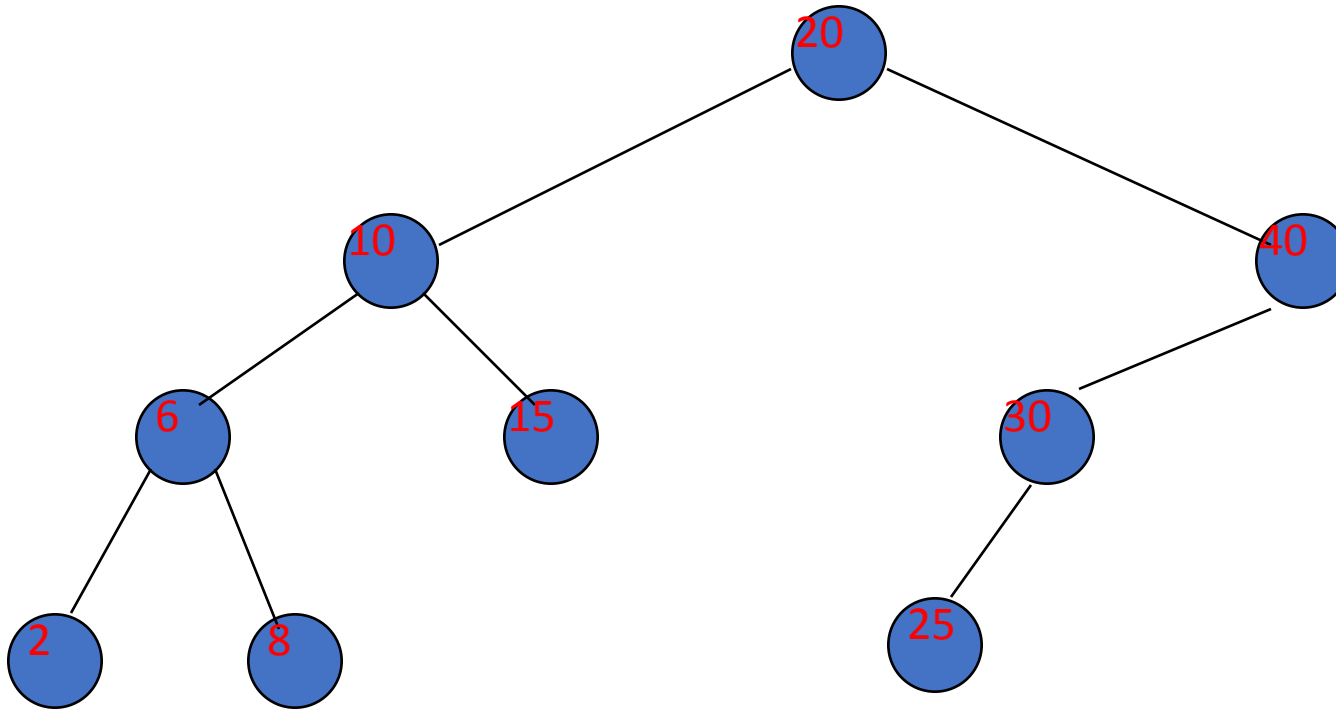
Exercise

- Which of the trees shown are legal binary search trees?





Example Binary Search Tree



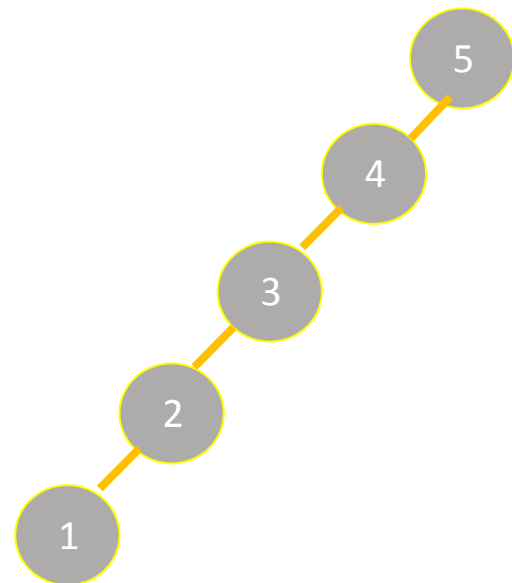
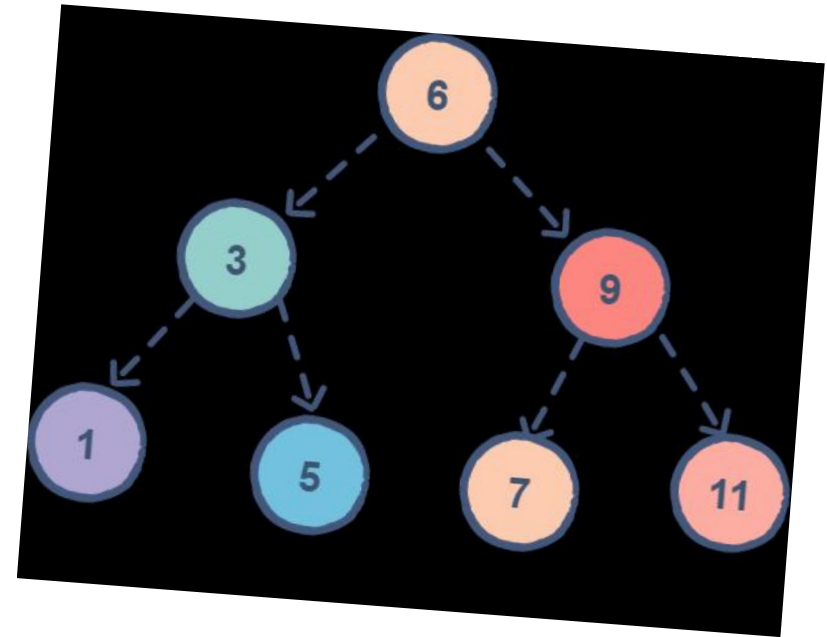
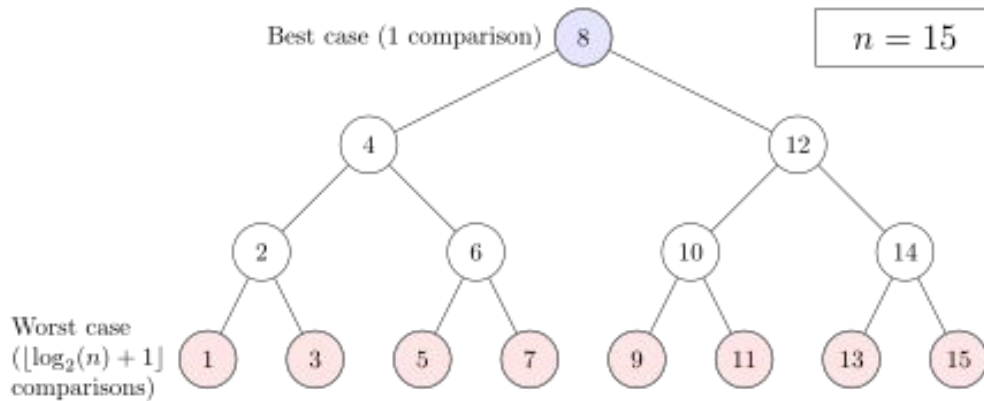
Only keys are shown



Useful pages

<https://www.geeksforgeeks.org/tree-traversals-inorder-preorder-and-postorder/>

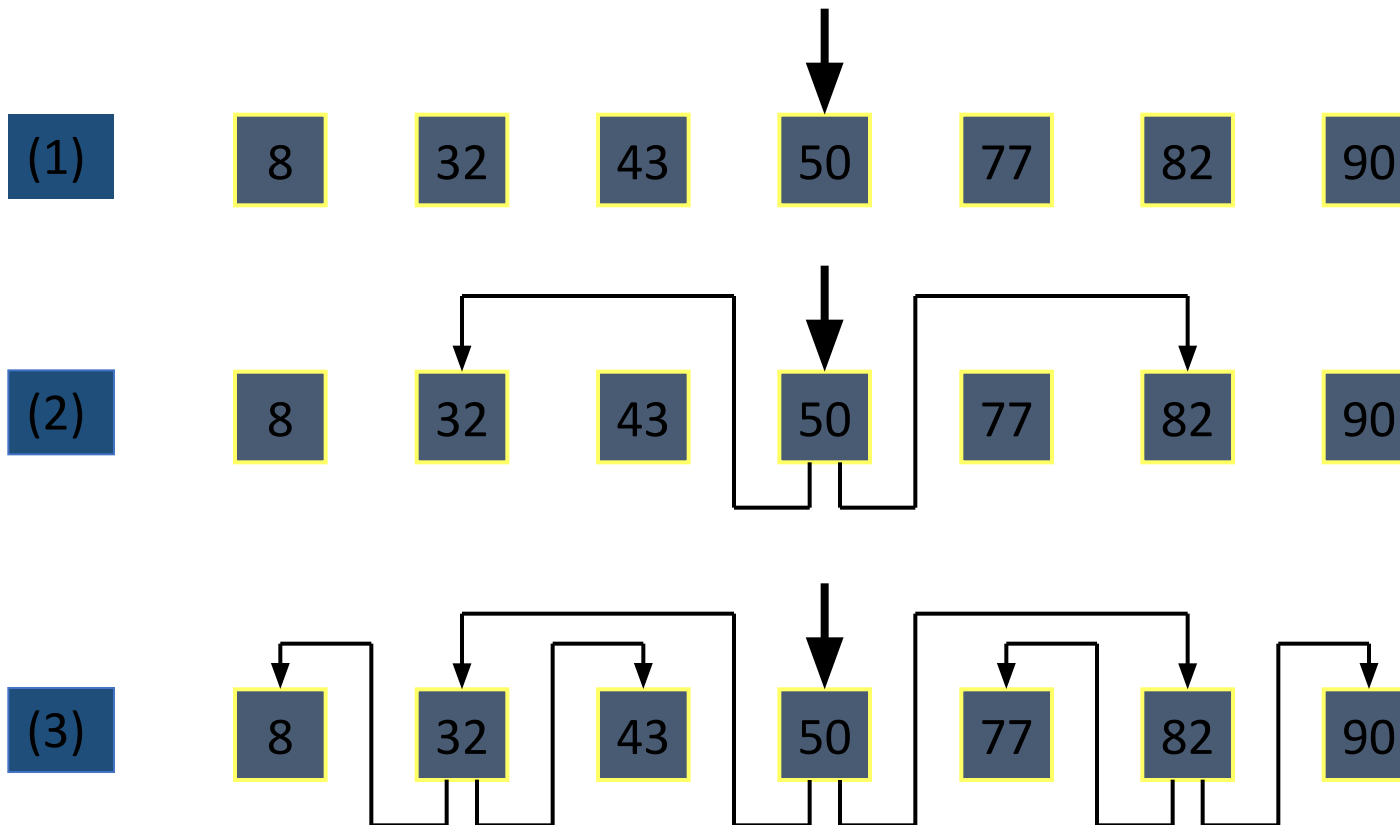
Examples of a BST.



Time Complexity		Space Complexity
Average Case	Worst Case	
$O(\log n)$	$O(n)$	$O(n)$

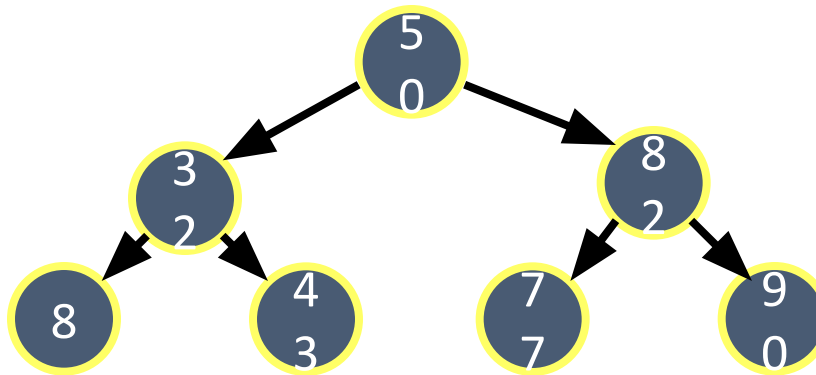
Step-by-Step of Binary Search [1]

1	2	3	4	5	6	7	8
3	8	5	9	2	6	6	0



Step-by-Step of Binary Search [1]

Equivalent binary tree structure



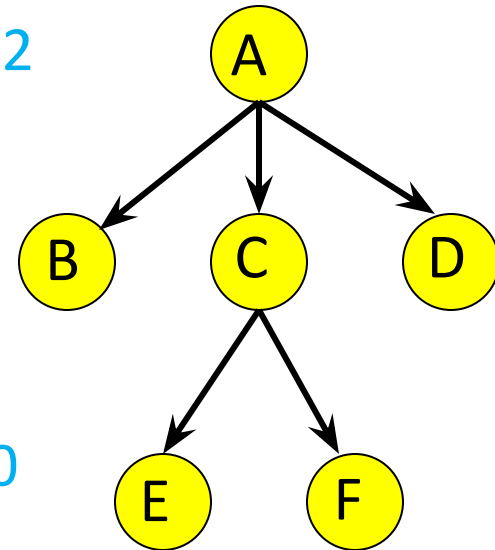
Question: Does binary-search work on sorted Linked-List?



Binary Search Tree Definitions

- Length of a path = number of edges

depth=0, height = 2



- Depth of a node = length of path from root to n

depth = 2, height=0

- Height of node n = length of longest path from n to a leaf
- Depth and height of tree = height of root



Tree animation

<https://www.cs.usfca.edu/~galles/visualization/BST.html>



Binary Trees: Some Numbers

- **Recall:** height of a tree = length of longest path from the root to a leaf.
- For binary tree of height h :
 - max # of leaves:
 2^h
 - max # of nodes:
 $2^{(h+1)} - 1$
 - min # of leaves:
 1
 - min # of nodes:
 $h + 1$



Implementing Set ADT (Revisited)

	Insert	Remove	Search
Unsorted array	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$
Sorted array	$\Theta(\log(n)+n)$	$\Theta(\log(n) + n)$	$\Theta(\log(n))$
Linked list	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$
BST (if balanced)	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(\log n)$



Binary Search Tree (BST)

BST

- Provide an excellent data structure for
 - searching a list
 - Inserting data into the list
 - deleting data into the list



Complexity of different operations in Binary Search Tree (BST).

- **Searching:** You have to have to traverse all elements. Therefore, searching in binary search tree has worst case complexity of $O(n)$. In general, time complexity is $O(h)$ where h is height of **BST**.
- **Insertion:** To inert an element in a **BST**, one needs to traverse all elements which has worst case complexity of $O(n)$. In general, time complexity is $O(h)$.
- **Deletion:** To delete an element form a BST, one has to traverse all elements. Therefore, deletion in binary tree has worst case complexity of $O(n)$. In general, time complexity is $O(h)$.

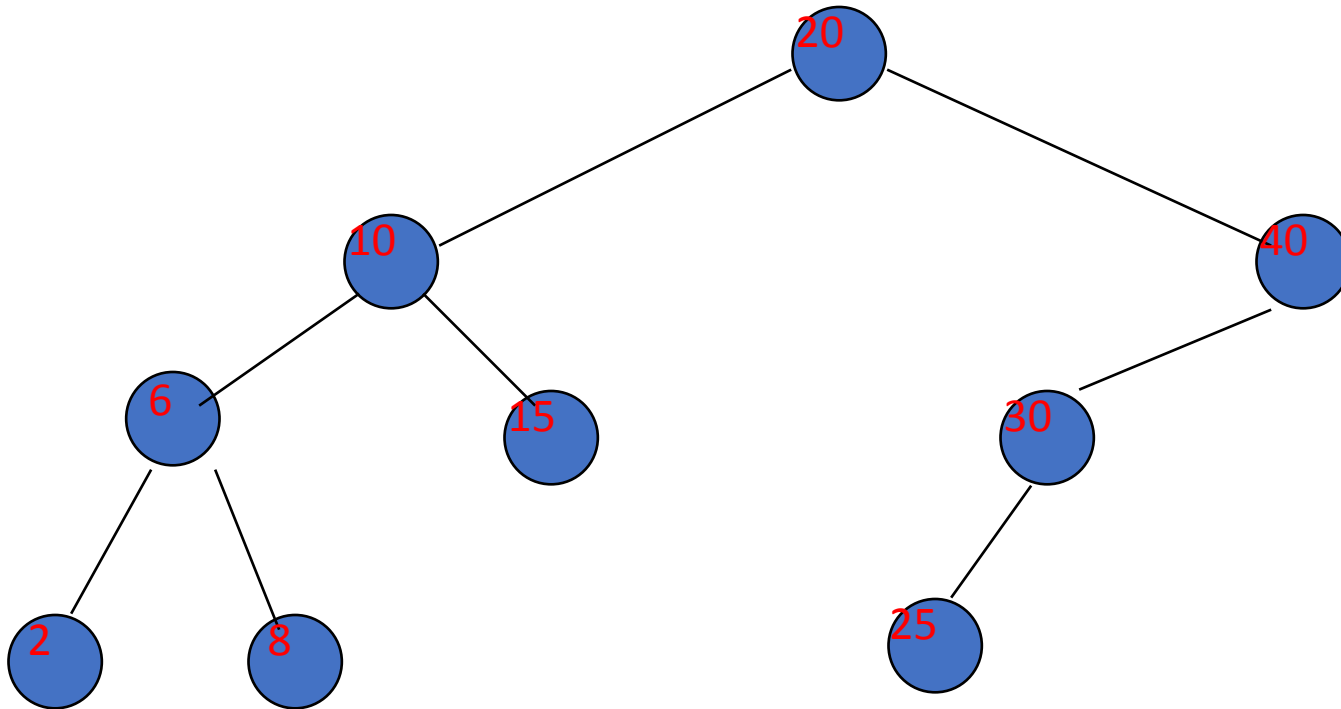


Binary Search Trees

- Dictionary Operations:
 - get(key)
 - put(key, value)
 - remove(key)
- Additional operations:
 - ascend()
 - get(index) (indexed binary search tree)
 - remove(index) (indexed binary search tree)



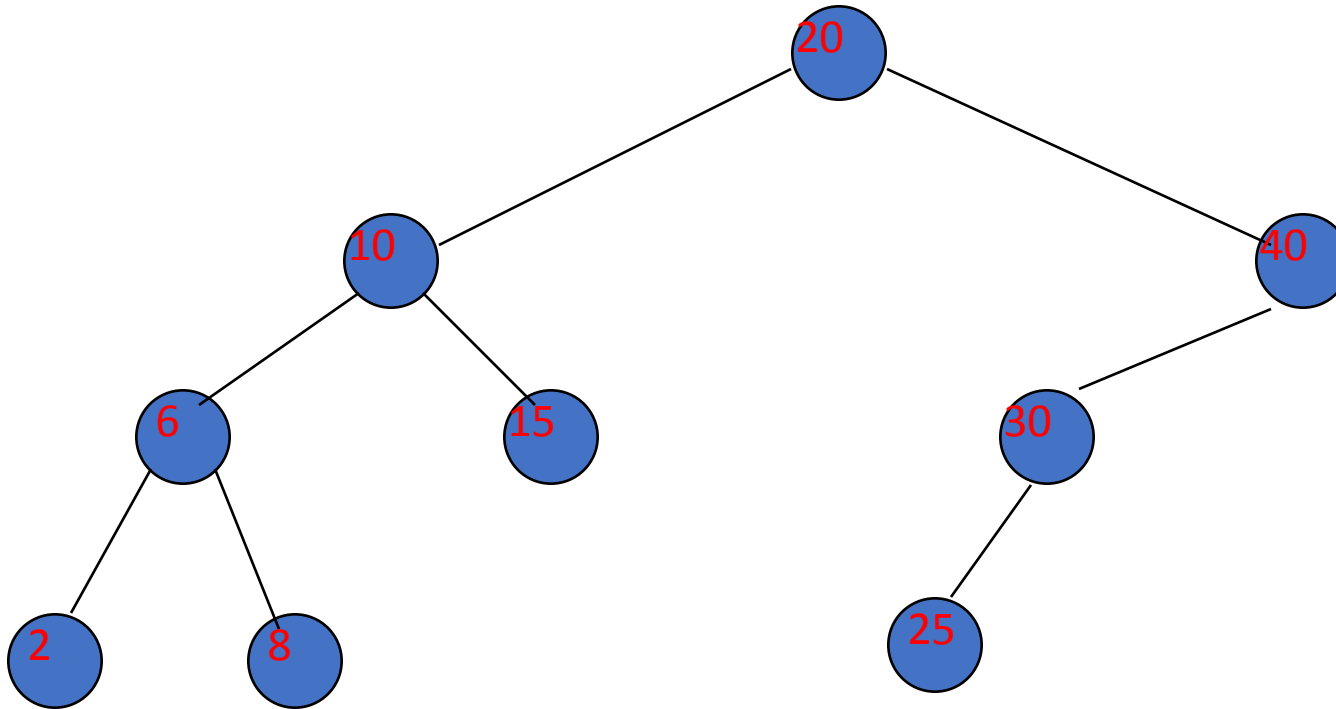
The Operation ascend()



Do an inorder traversal. $O(n)$ time



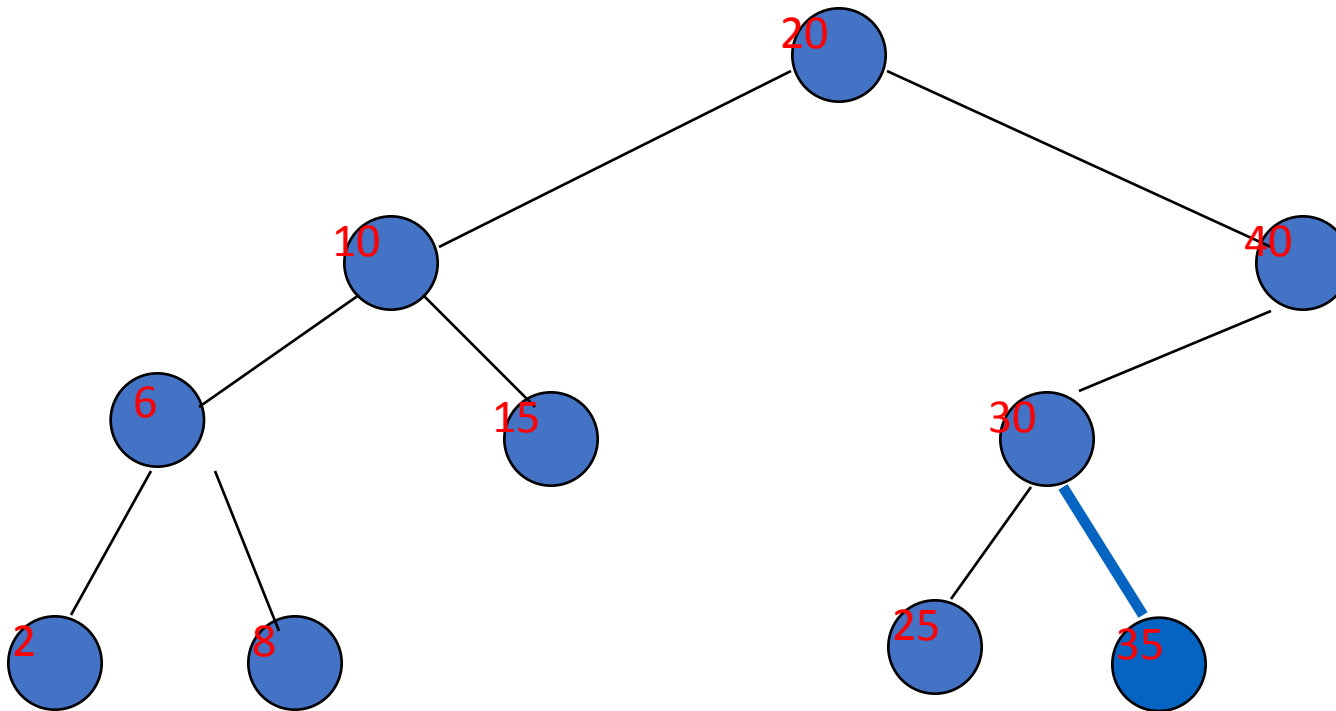
The Operation get()



Complexity is $O(\text{height}) = O(n)$, where n is number of nodes/elements



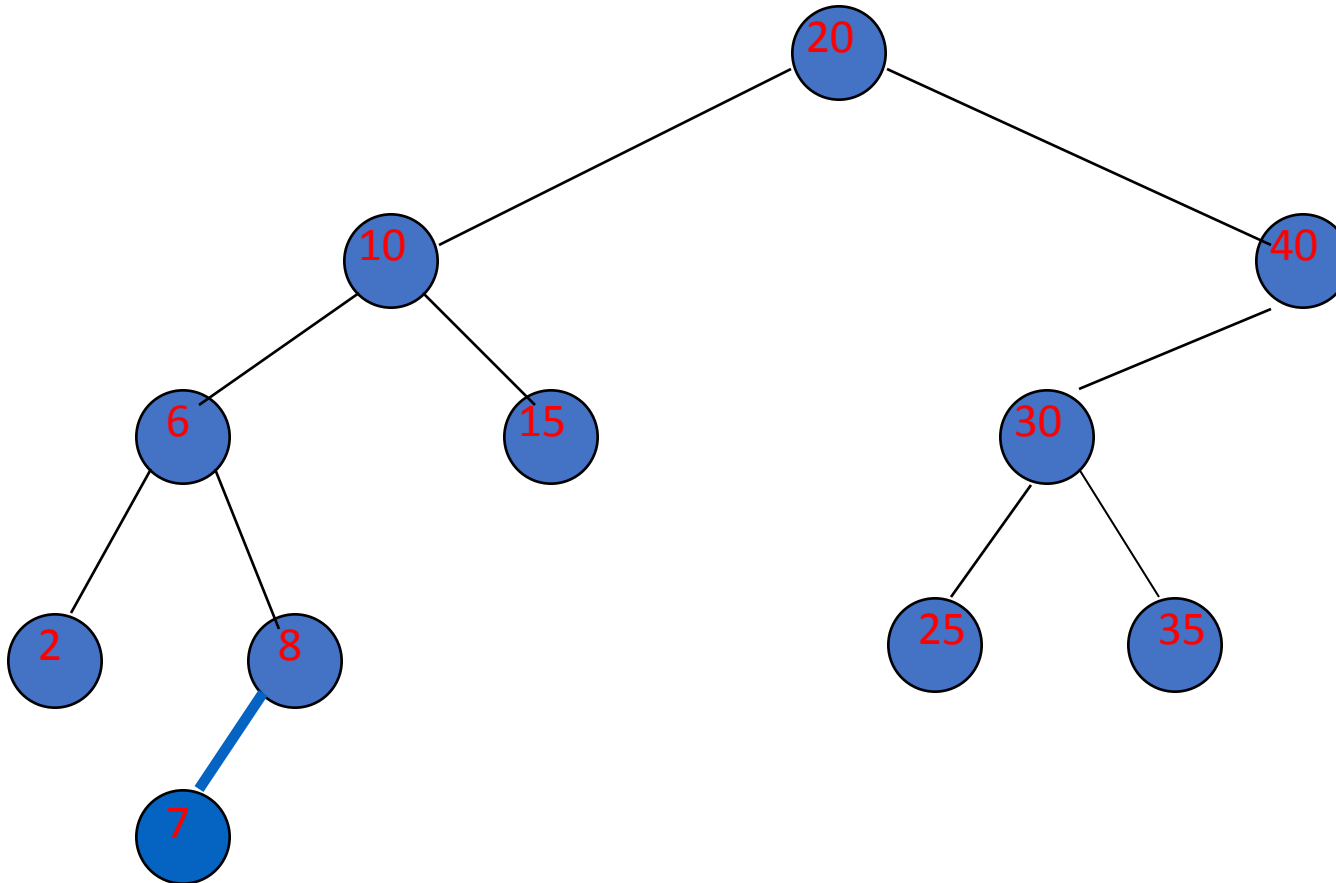
The Operation put()



35.



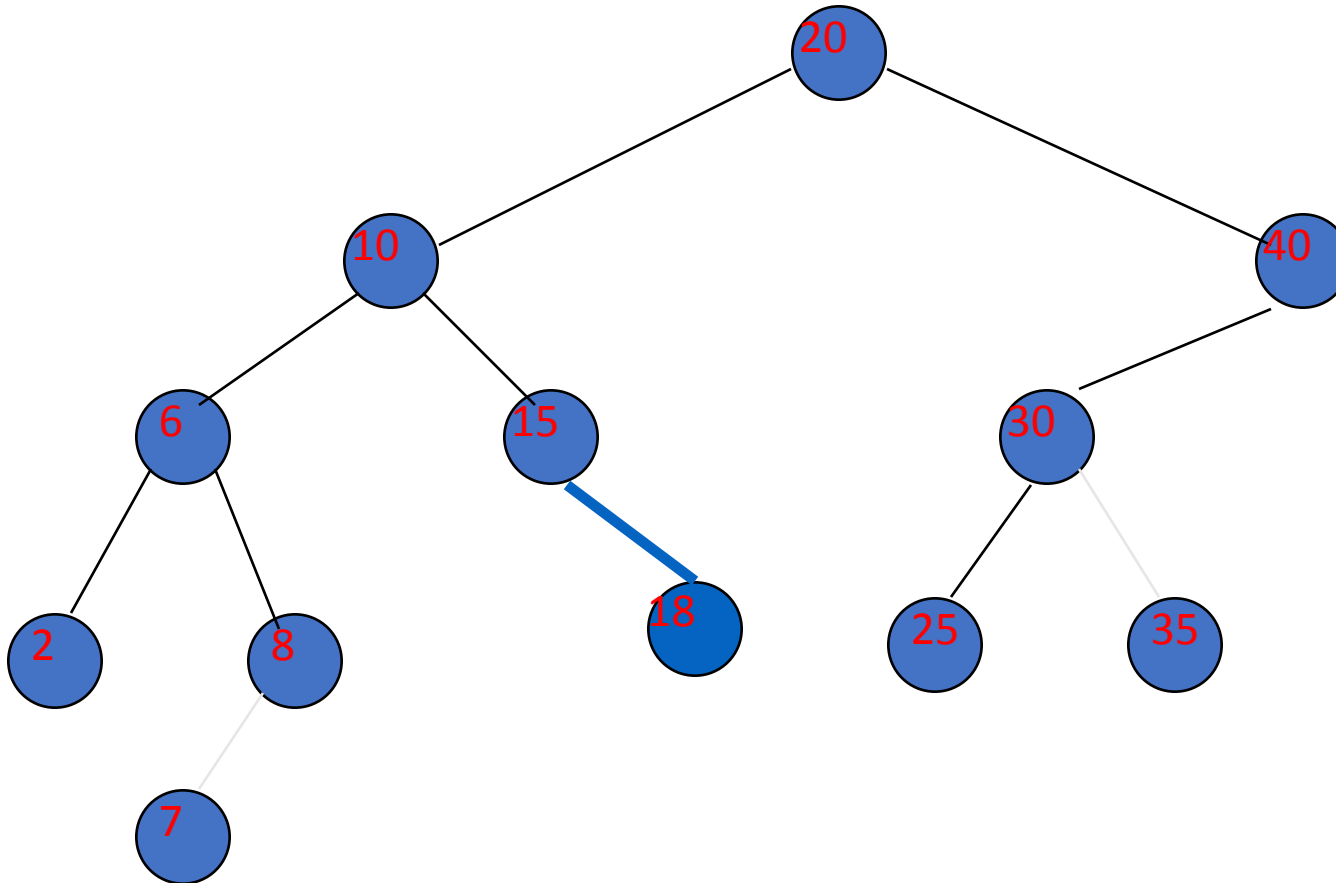
The Operation put()



Put a pair whose key is 7.



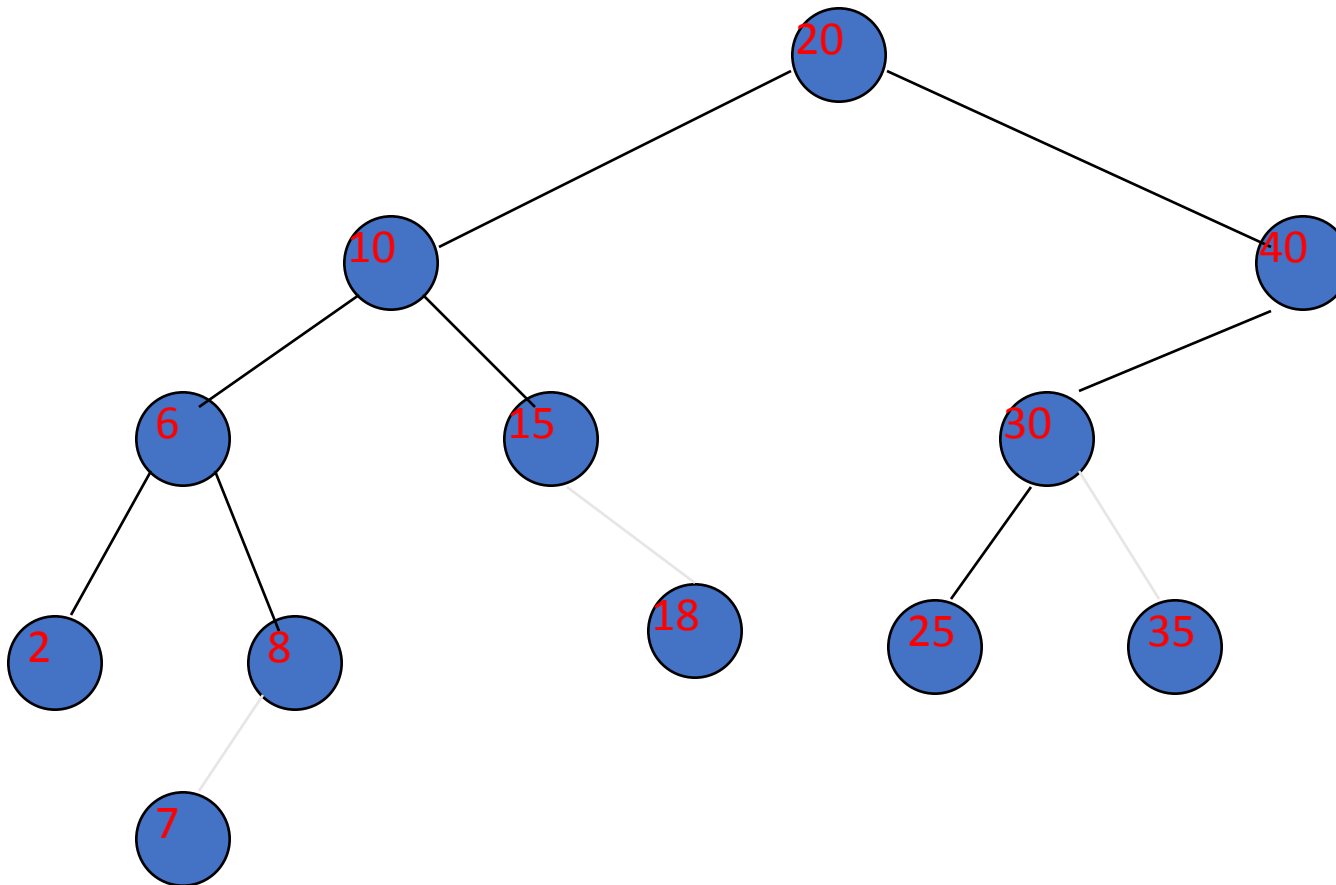
The Operation put()



Put a pair whose key is 18.



The Operation put()



Complexity of put() is $O(\text{height})$.



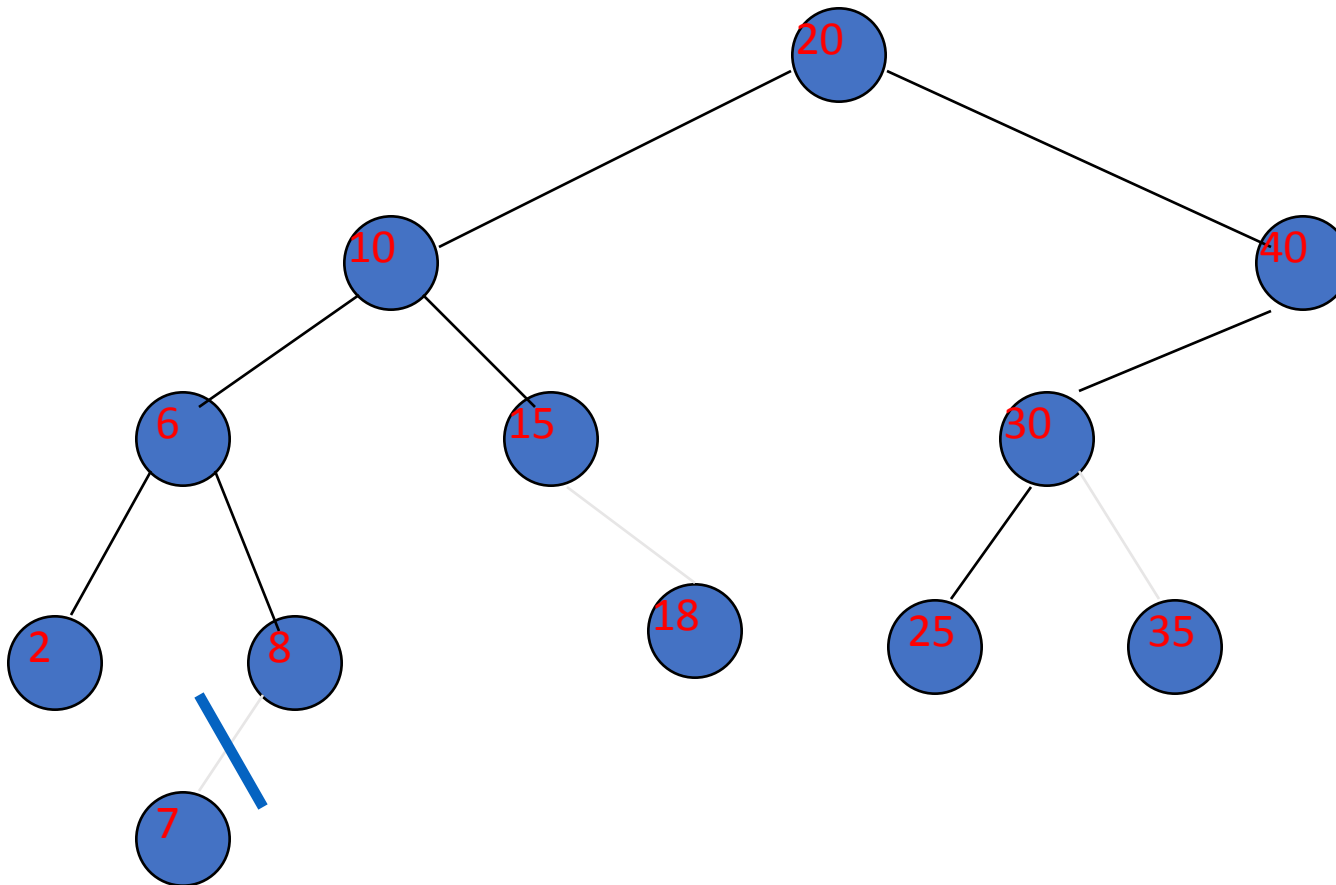
The Operation remove()

Three cases:

- Element is in a leaf
- Element is in a degree 1 node
- Element is in a degree 2 node



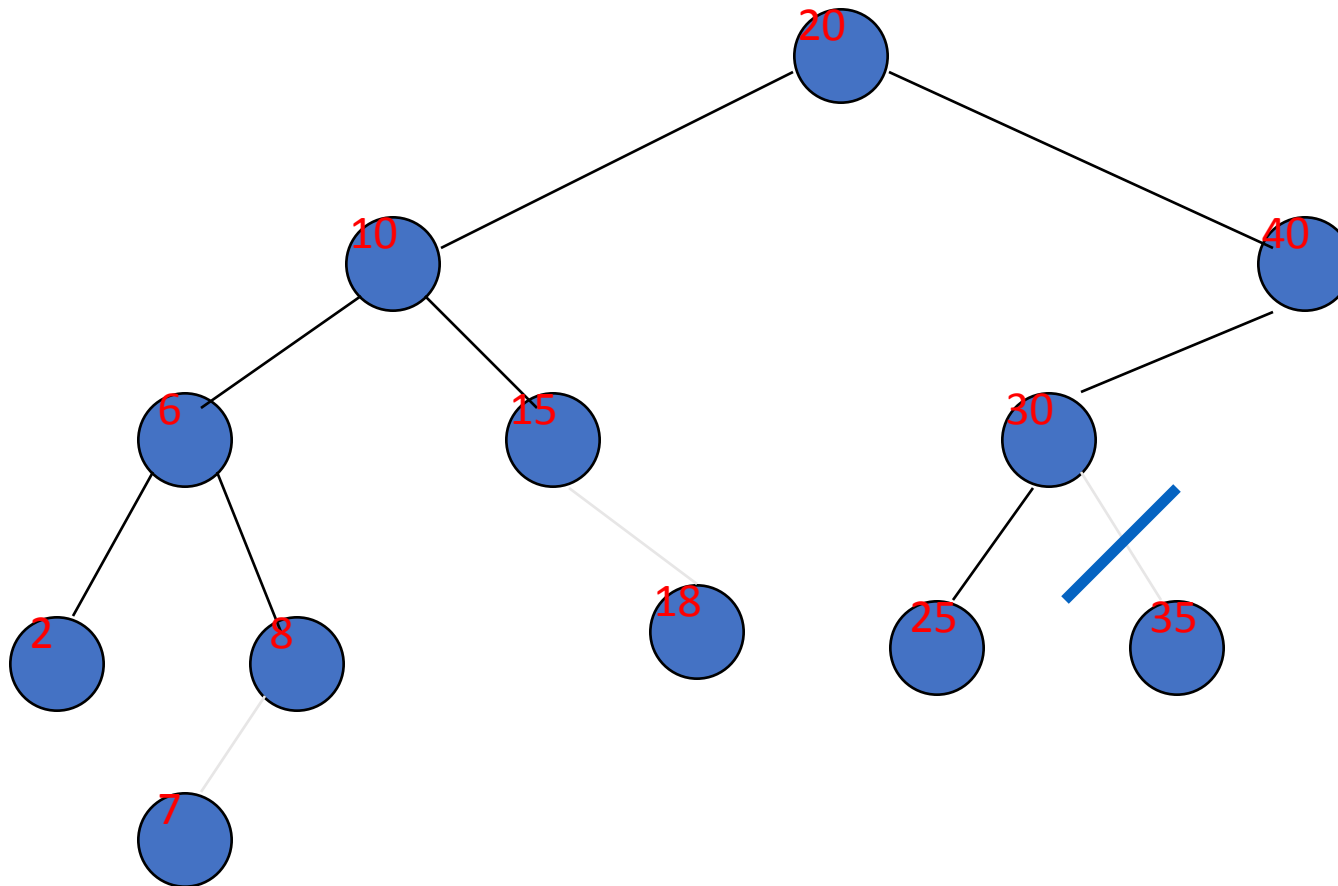
Remove from a Leaf



Remove a leaf element. key = 7



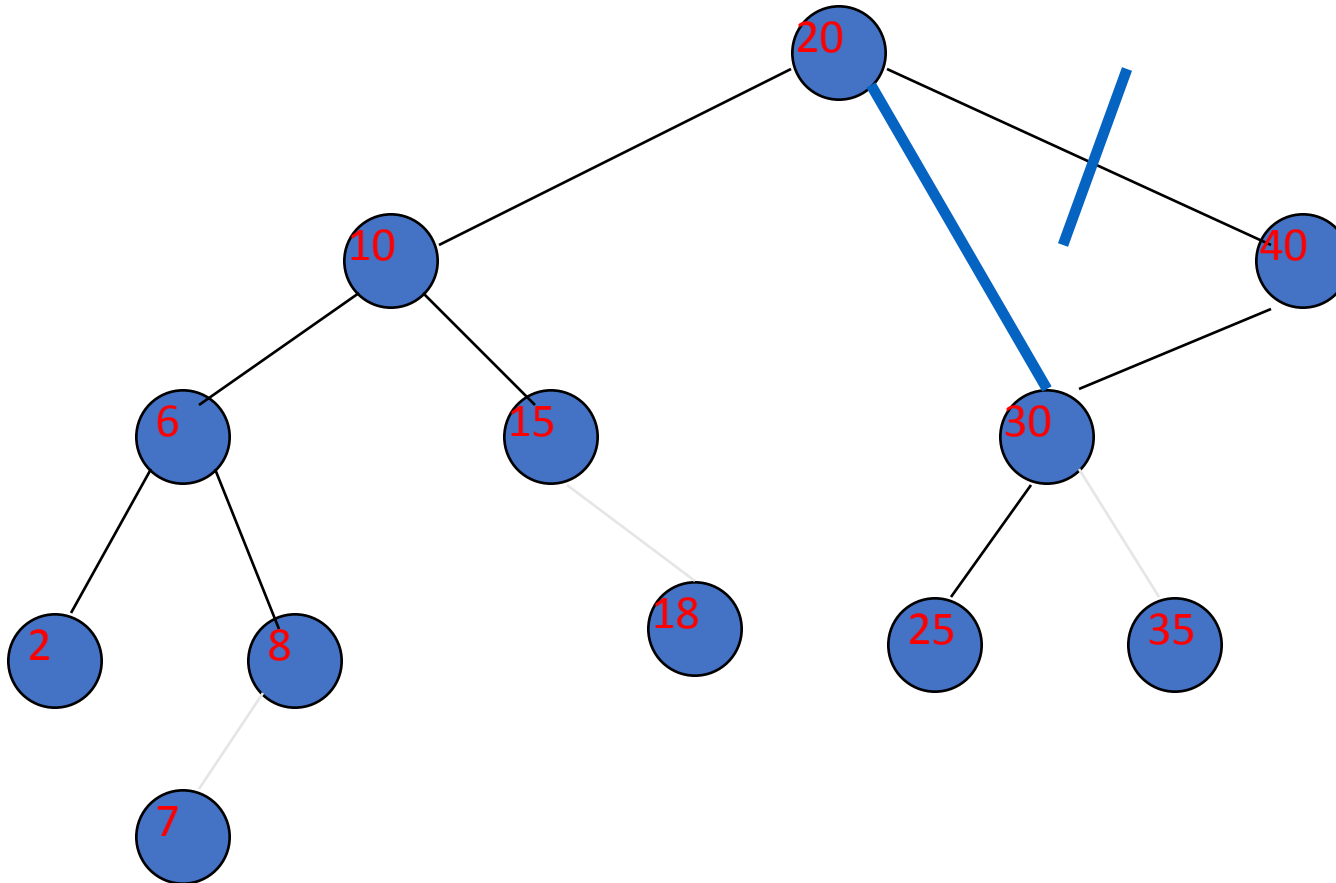
Remove from a Leaf (contd.)



Remove a leaf element. key = 35



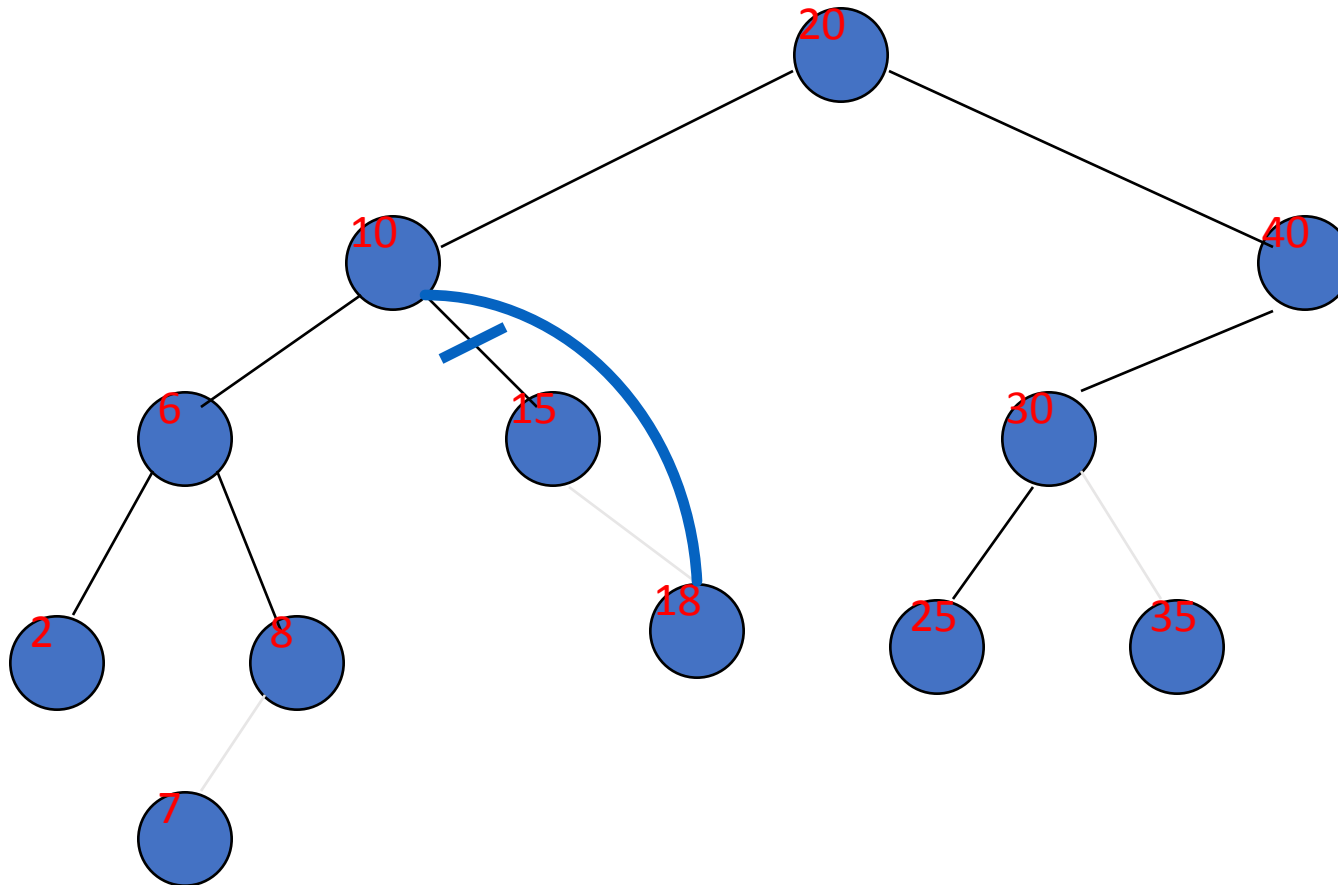
Remove from a Degree 1 Node



Remove from a degree 1 node. key = 40



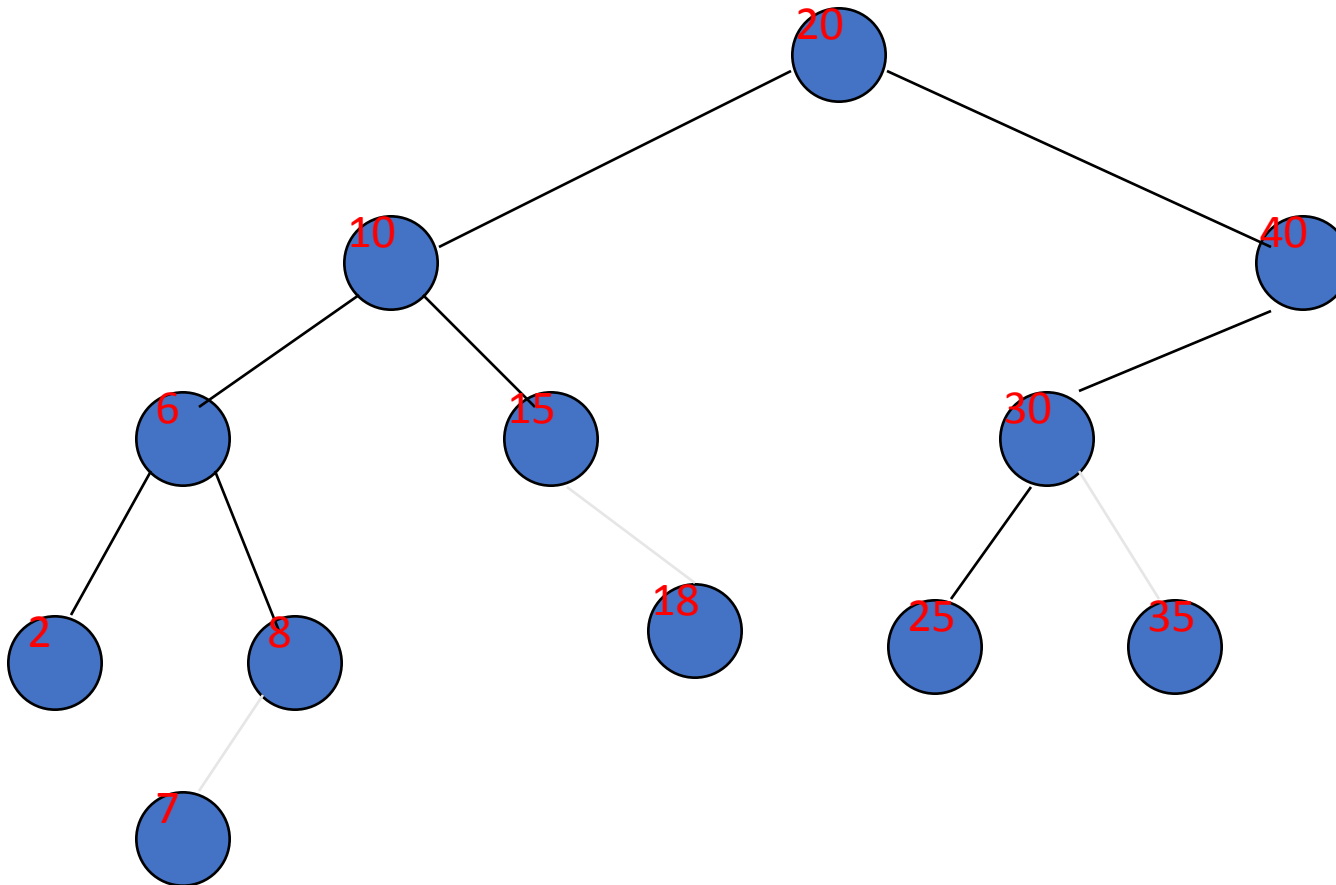
Remove from a Degree 1 Node (contd.)



Remove from a degree 1 node. key = 15



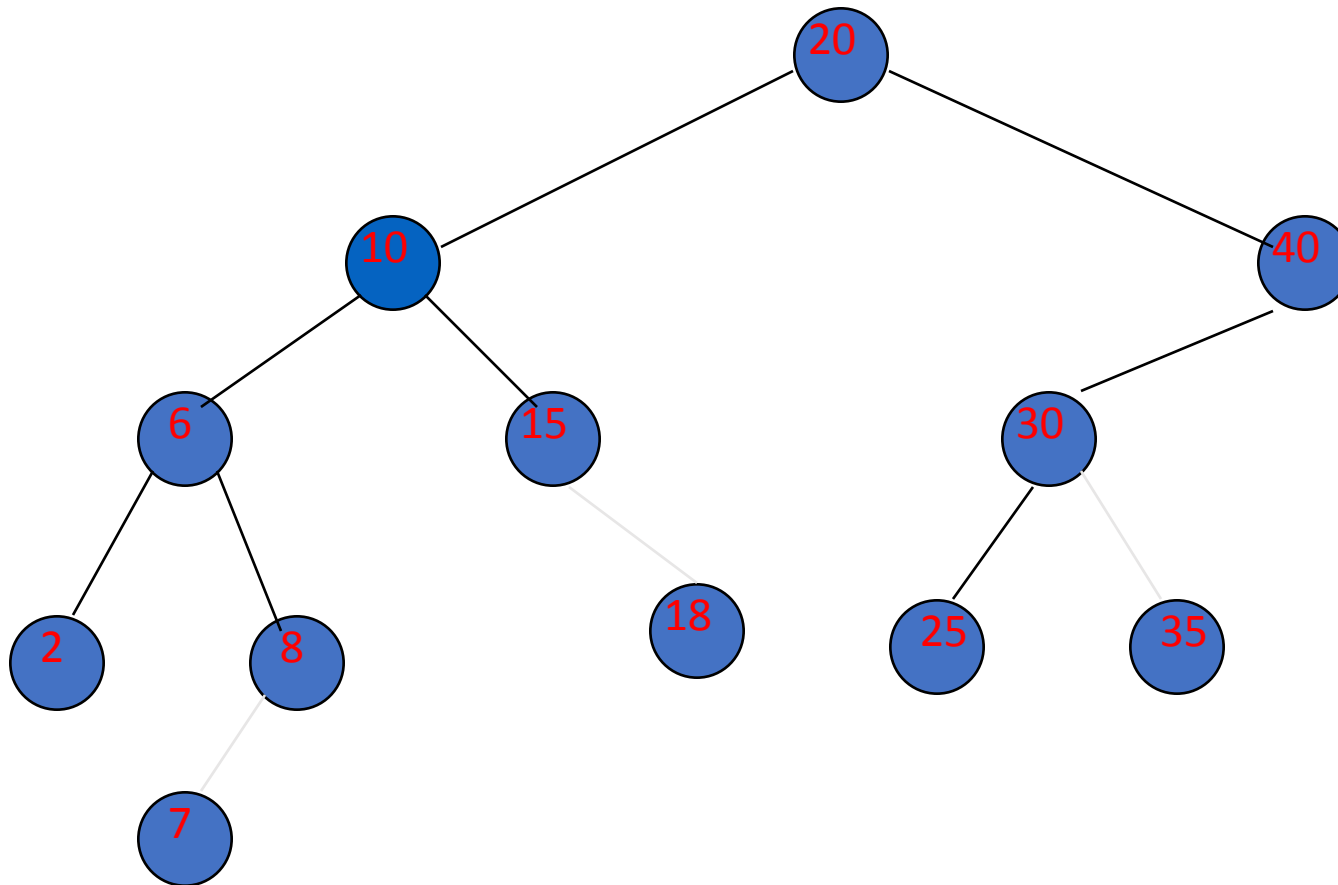
Remove from a Degree 2 Node



Remove from a degree 2 node. key = 10



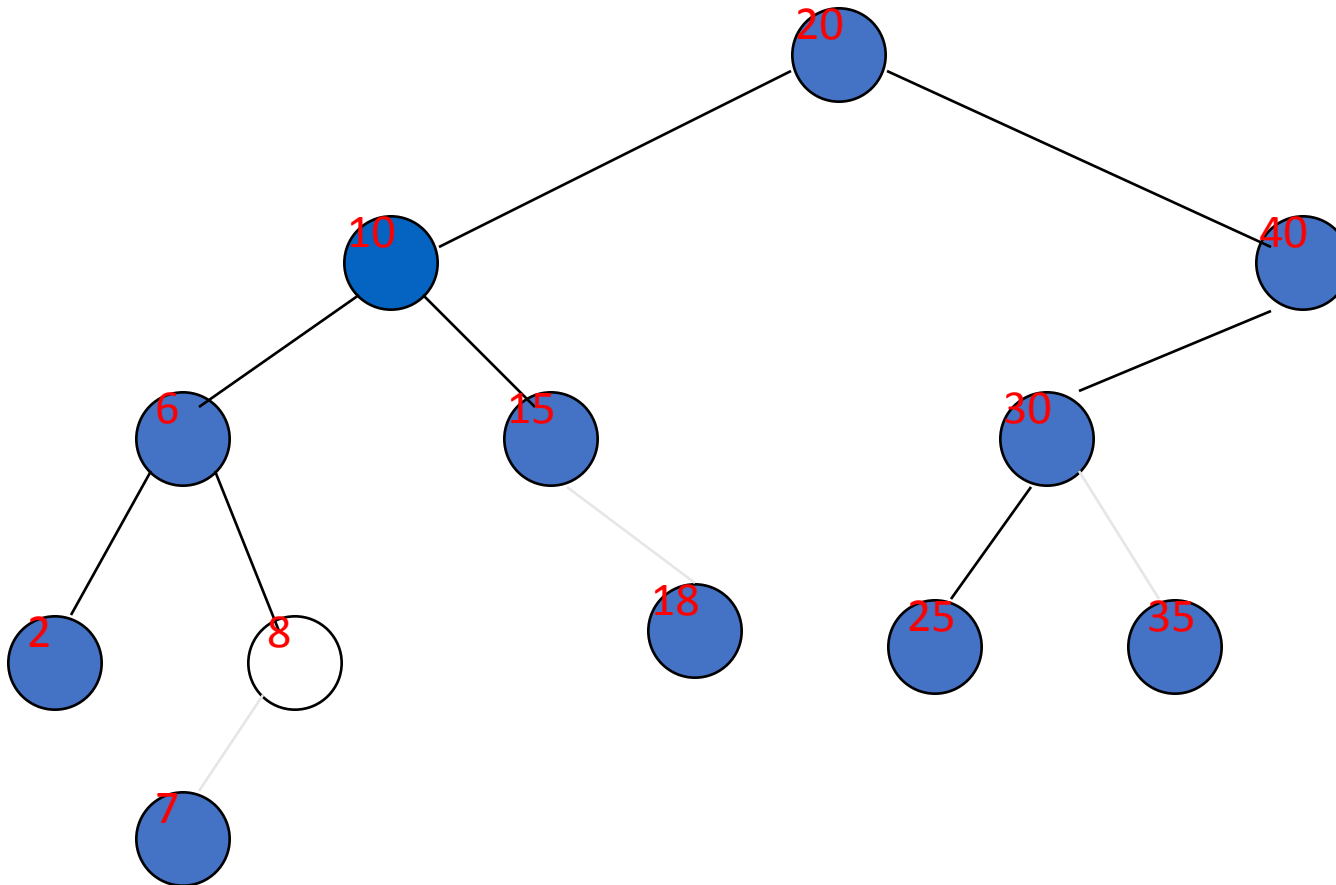
Remove from a Degree 2 Node



Replace with largest key in left subtree (or smallest in right subtree)



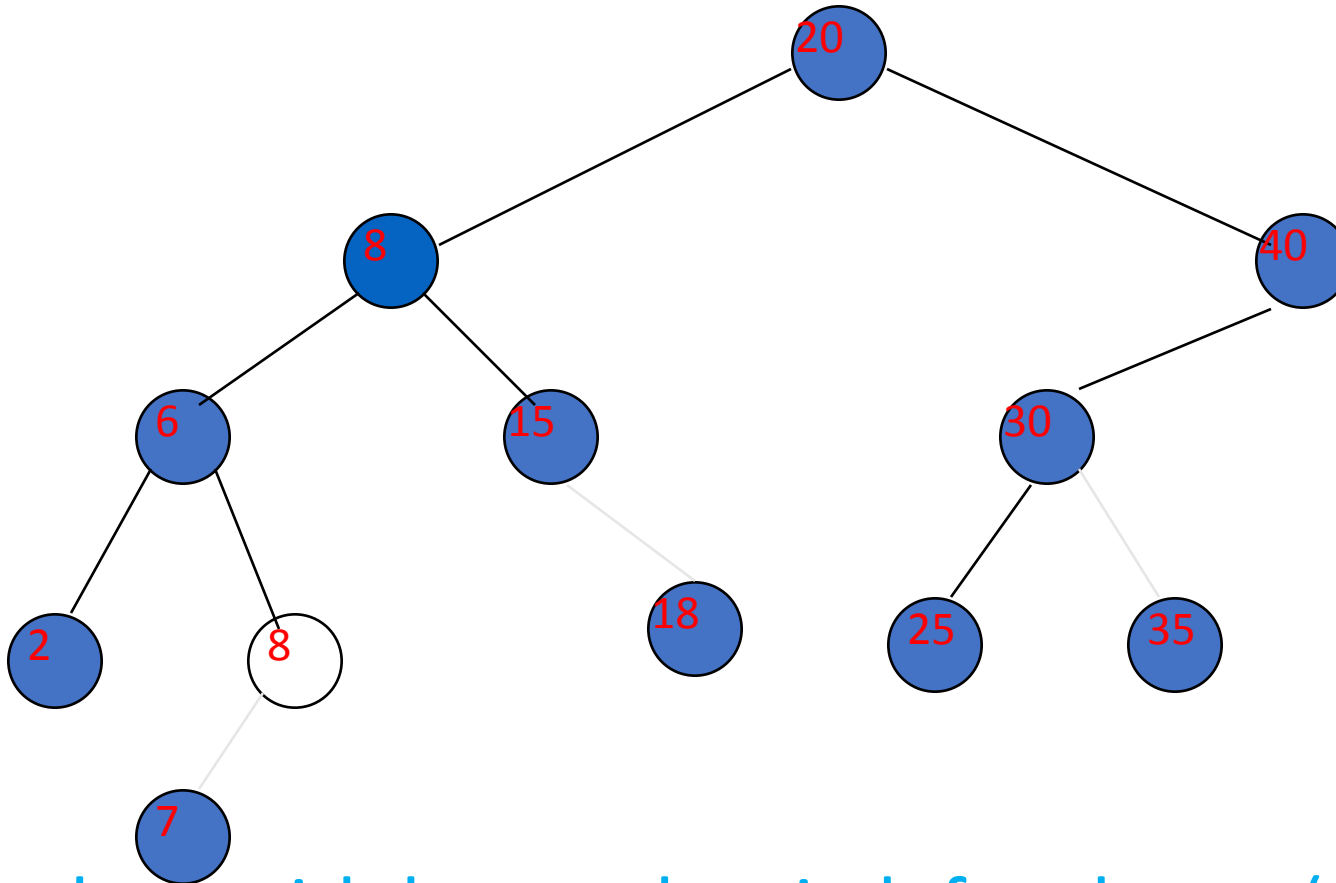
Remove from a Degree 2 Node



Replace with largest key in left subtree (or smallest in right subtree)



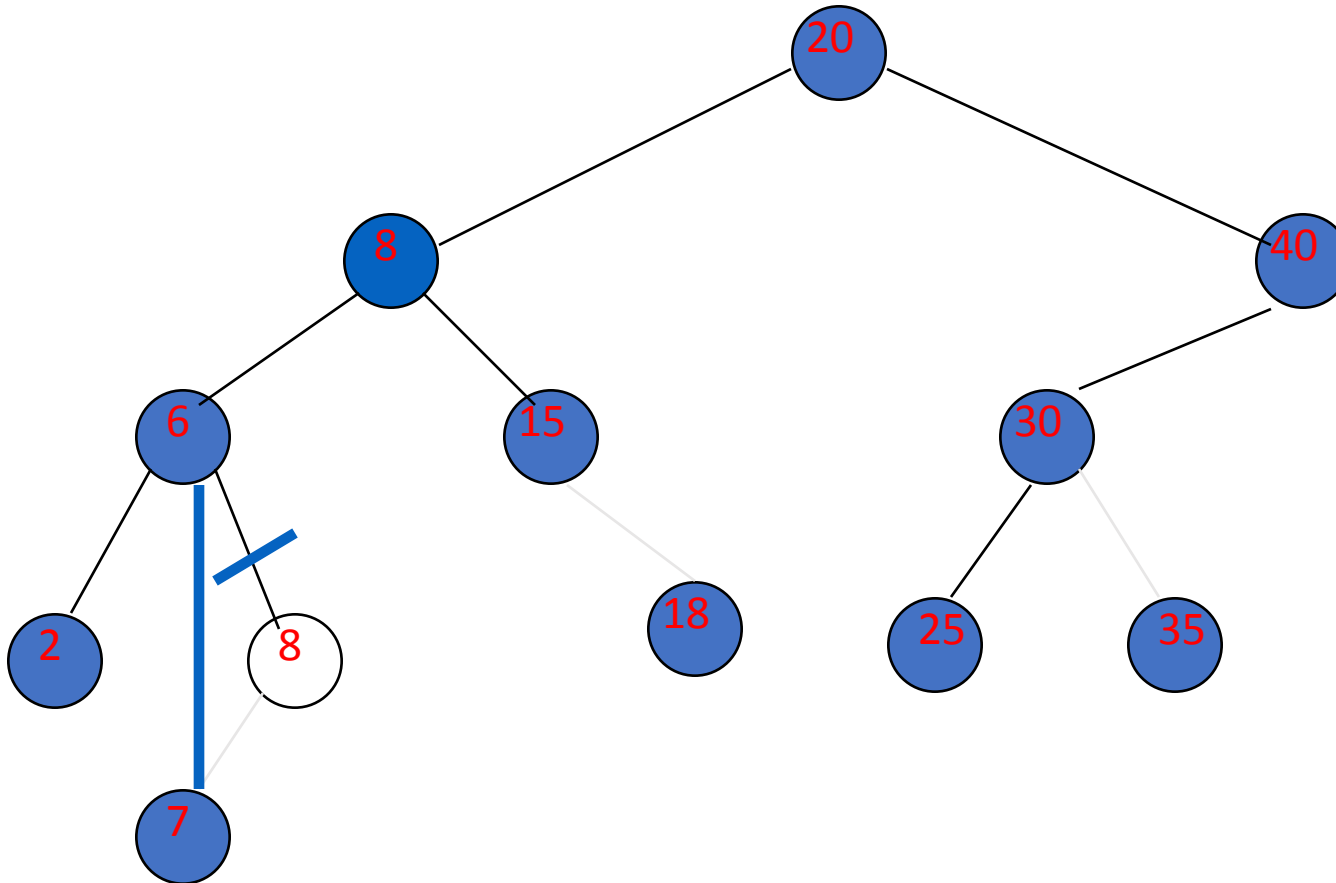
Remove from a Degree 2 Node



Replace with largest key in left subtree (or smallest in right subtree).



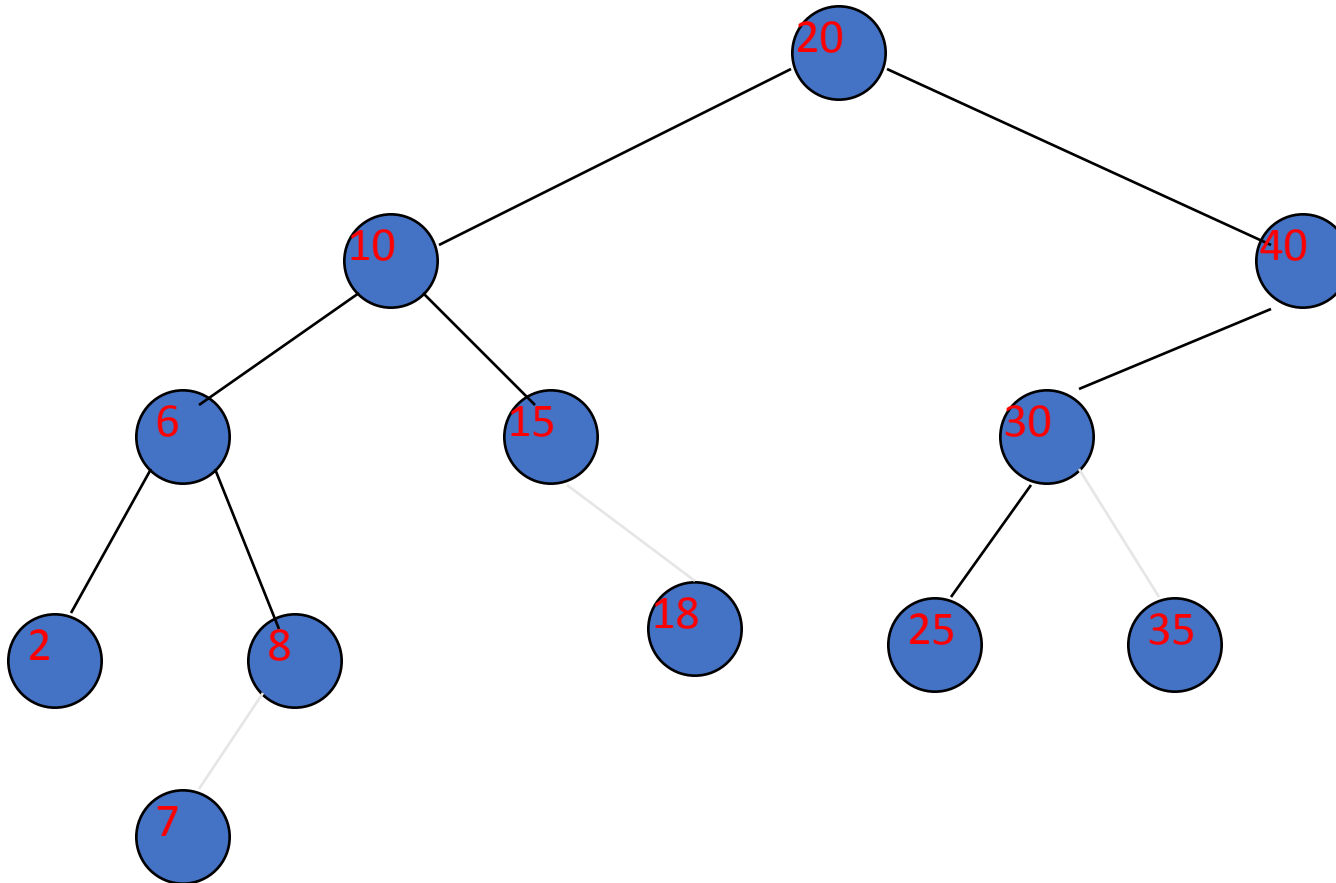
Remove from a Degree 2 Node



Largest key must be in a leaf or degree 1 node.



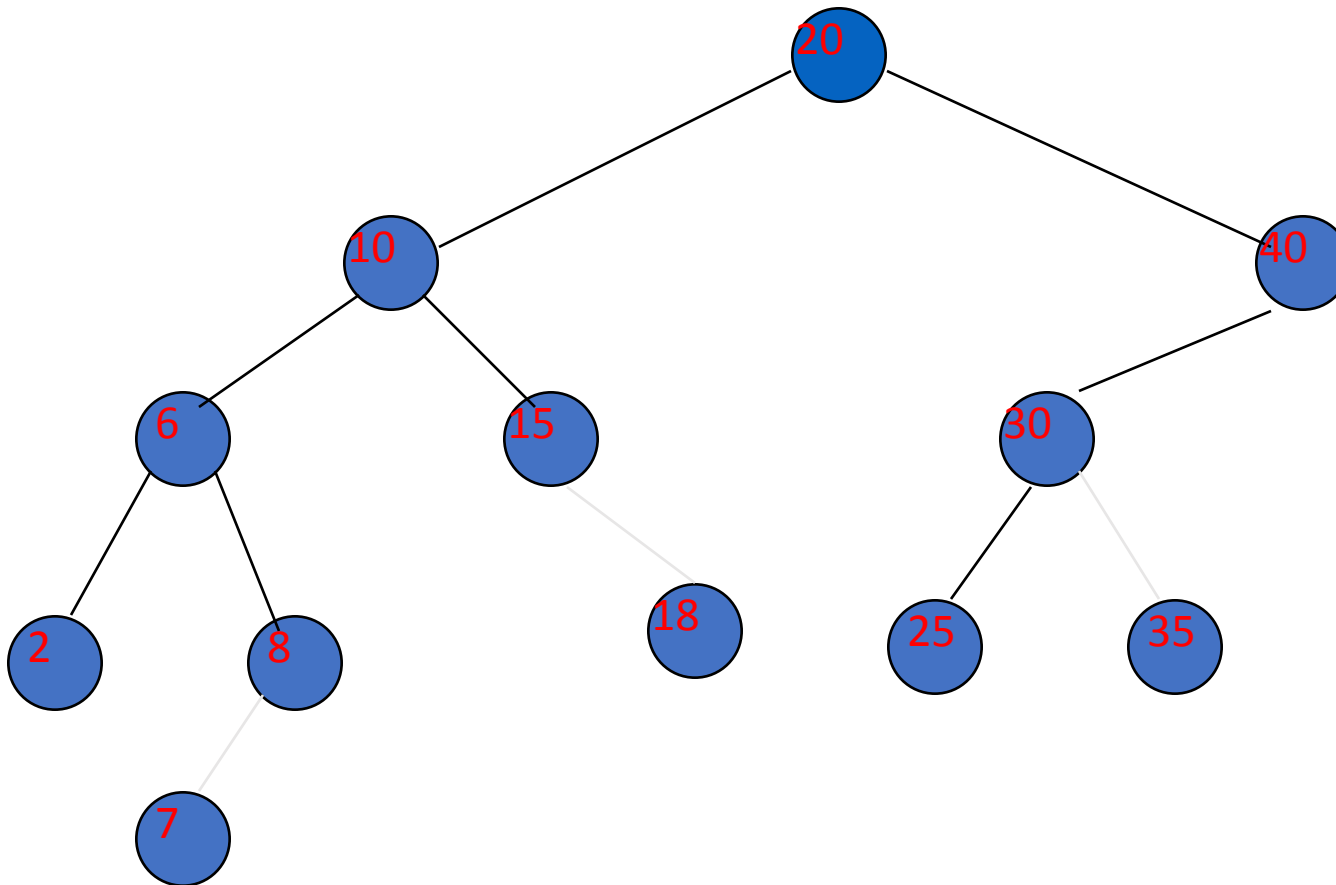
Another Remove from a Degree 2 Node



Remove from a degree 2 node. key = 20



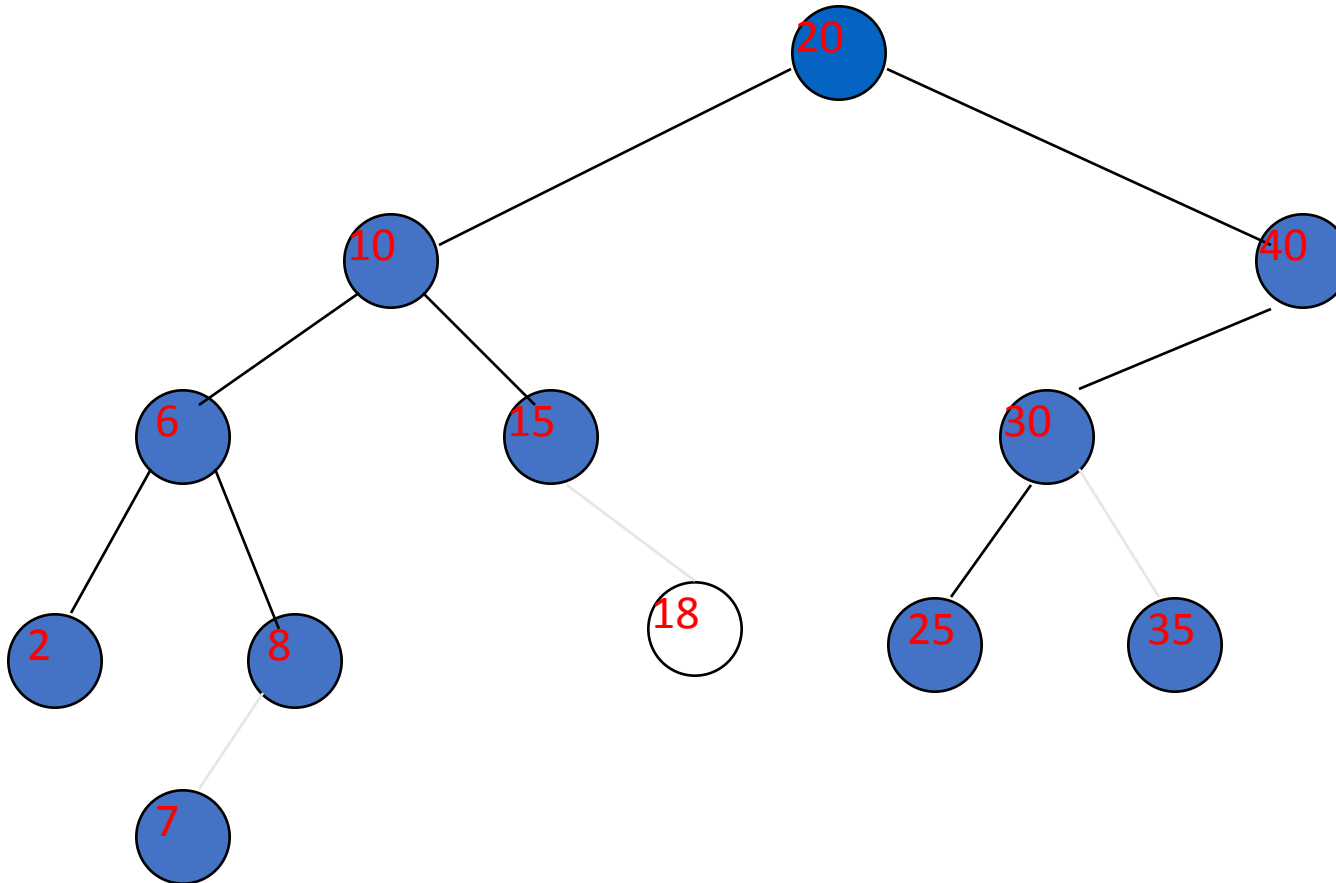
Remove from a Degree 2 Node



Replace with largest in left subtree.



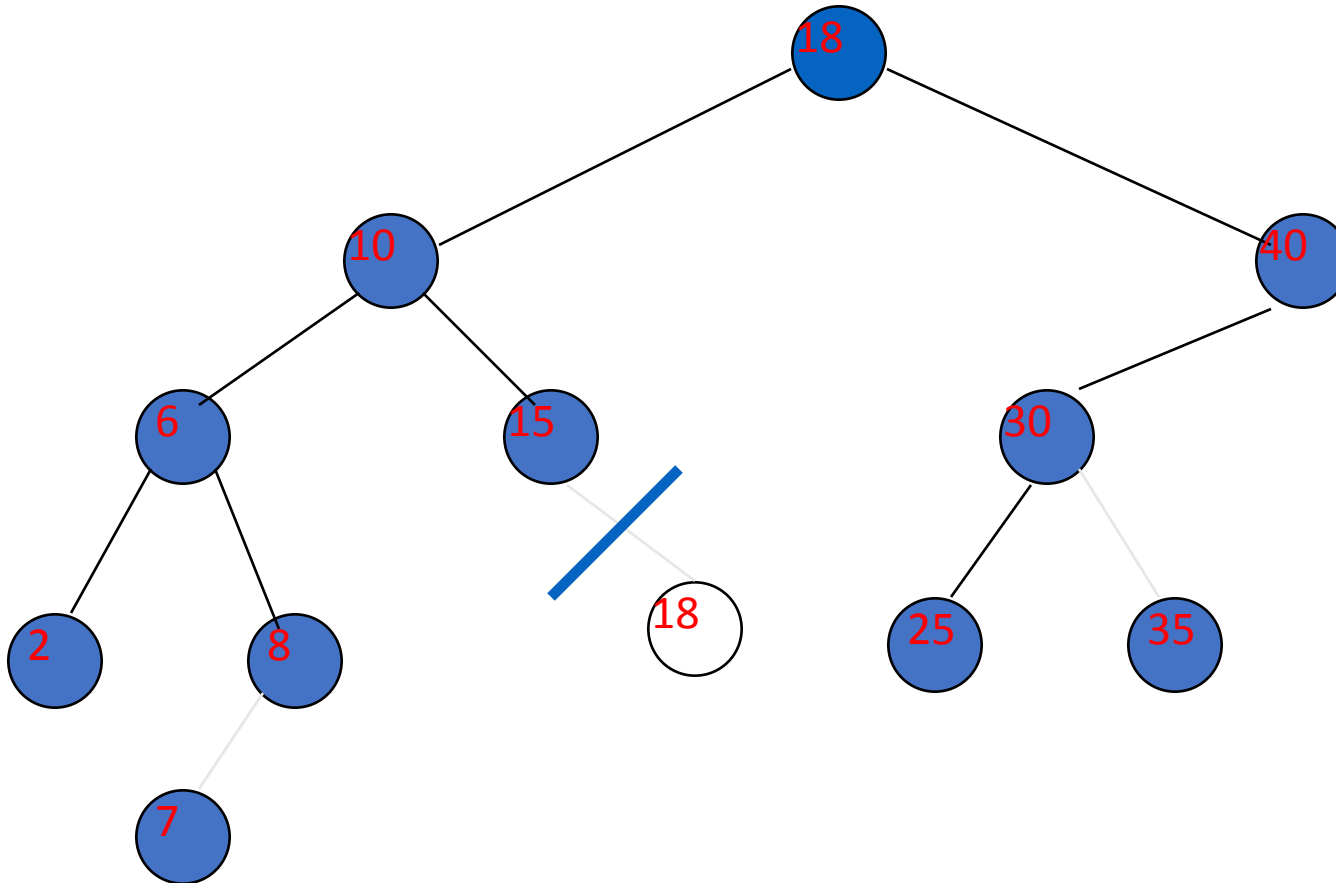
Remove from a Degree 2 Node



Replace with largest in left subtree.



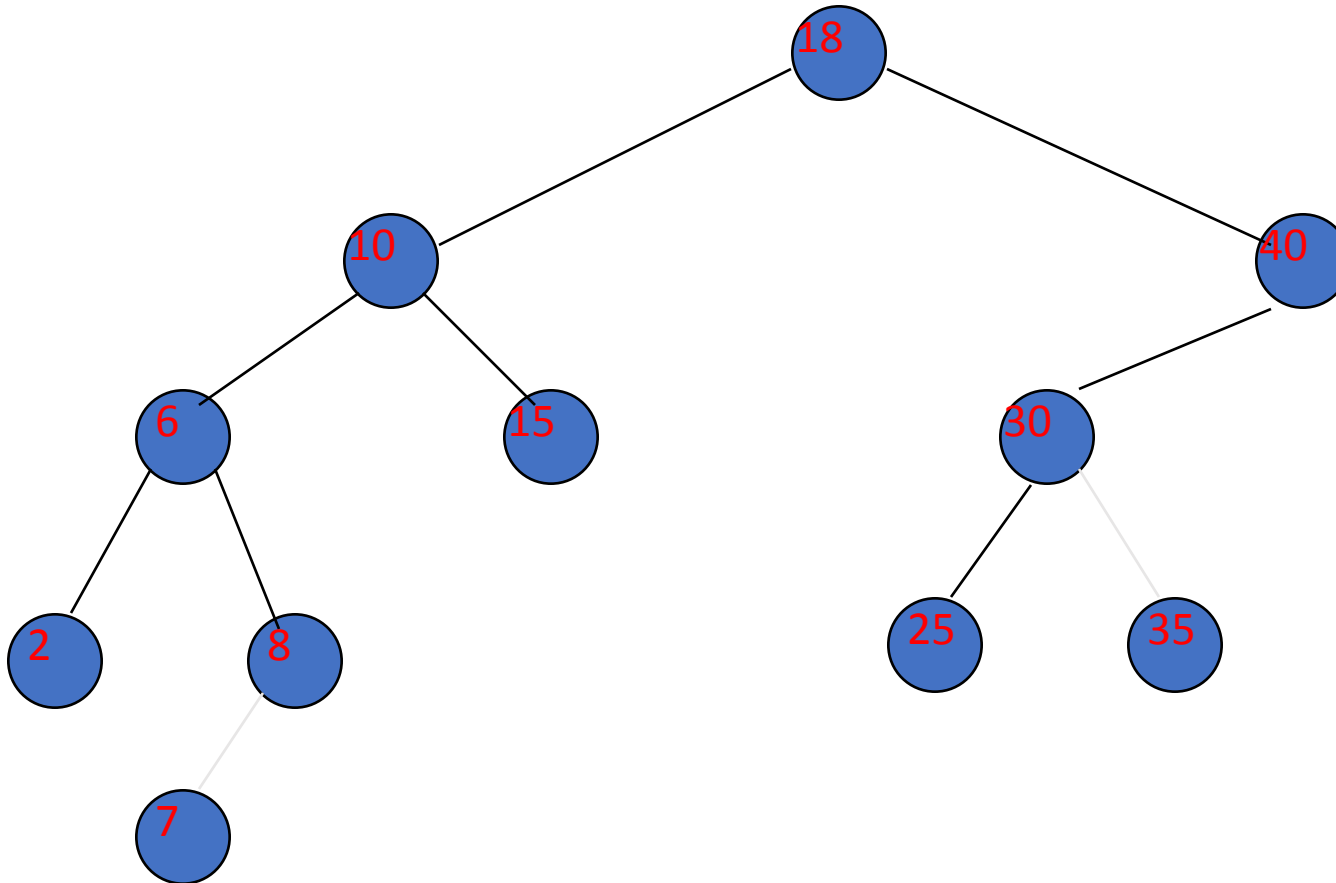
Remove from a Degree 2 Node



Replace with largest in left subtree.



Remove from a Degree 2 Node



Complexity is $O(\text{height})$.

Complexity of Dictionary Operations



Data Structure	Worst Case	Expected
Hash Table	$O(n)$	$O(1)$
Binary Search Tree	$O(n)$	$O(\log n)$
Balanced Binary Search Tree	$O(\log n)$	$O(\log n)$

n is number of elements in dictionary



Complexity of Other Operations

Data Structure	ascend	get and remove
Hash Table	$O(D + n \log n)$	$O(D + n \log n)$
Indexed BST	$O(n)$	$O(n)$
Indexed Balanced BST	$O(n)$	$O(\log n)$

D is number of buckets

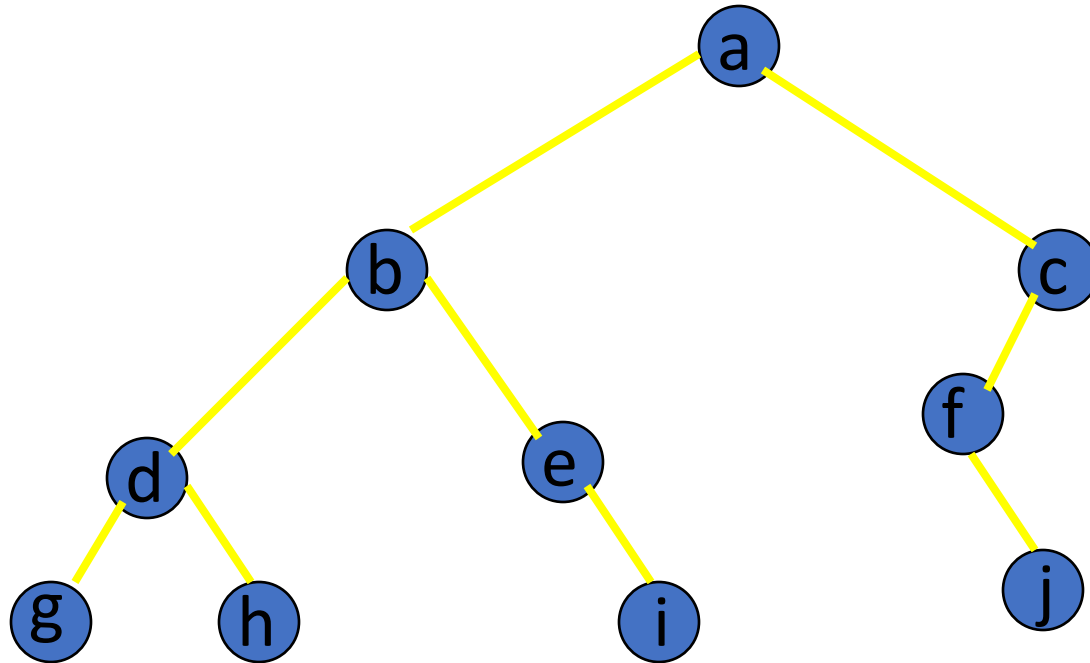


Binary Search Tree (BST)

- Binary Search Tree and its implementation
 - insertion
 - traversal
 - deletion
- Application:
 - evaluate expression tree



Traversal Applications



- Make a clone
- Determine height
- Determine number of nodes



Expression Trees



Arithmetic Expressions

$$Y = (a + b) * (c + d) + e - f/g * h + 3.25$$

- Expressions comprise three kinds of entities
 - Operators (+, -, /, *, %)
 - Operands (a, b, c, d, e, f, g, h, 3.25, (a + b), (c + d), etc...)
 - Delimiters ((,))



Operator Degree

$$Y = (a + b) * (c + d) + e - f/g * h + 3.25$$

- Number of operands that the operator requires
- Binary operator requires two operands

$a + b$

c / d

$e - f$

- Unary operator requires one operand

$+ g$

$- h$



Infix Form

- Normal way to write an expression
- Binary operators come **in** between their left and right operands

$a * b$

$a + b * c$

$a * b / c$

$(a + b) * (c + d) + e - f/g * h + 3.25$



Operator Priorities

- How do you figure out the operands of an operator?

$a + b * c$

$a * b + c / d$

- This is done by assigning operator priorities

$\text{priority}(*) = \text{priority}(/) > \text{priority}(+) = \text{priority}(-)$

- When an operand lies between two operators, the operand associates with the operator that has higher priority



Tie Breaker

- When an operand lies between two operators that have the same priority, the operand associates with the operator on the **left**

$$a + b - c$$

$$a * b / c / d$$



Delimiters

- Subexpression within delimiters is treated as a single operand, independent from the remainder of the expression

$$(a + b) * (c - d) / (e - f)$$



- Need operator priorities, tie breaker, and delimiters
- This makes computer evaluation more difficult than is necessary
- **Postfix** and **prefix expression** forms do not rely on operator priorities, a tie breaker, or delimiters
- So it is easier for a computer to evaluate expressions that are in these forms



Postfix Form

- The postfix form of a variable or constant is the same as its infix form
a, b, 3.25
- The relative order of operands is the same in infix and postfix forms
- Operators come immediately **after** the postfix form of their operands
Infix = a + b
Postfix = ab+



Postfix Examples

- Infix = $a + b * c$

Postfix = $a \ b \ c \ * \ +$

- Infix = $a * b + c$

Postfix = $a \ b \ * \ c \ +$

- Infix = $(a + b) * (c - d) / (e + f)$

Postfix = $a \ b \ + \ c \ d \ - \ * \ e \ f \ + \ /$



Unary Operators

- Replace with new symbols

$$+ a \Rightarrow a @$$

$$+ a + b \Rightarrow a @ b +$$

$$- a \Rightarrow a ?$$

$$- a - b \Rightarrow a ? b -$$



Postfix Evaluation

- Scan postfix expression from left to right pushing operands on to a stack
- When an operator is encountered
 - pop as many operands as this operator needs
 - evaluate the operator
 - push the result on to the stack
- This works because, in postfix, operators come immediately after their operands



Postfix Evaluation

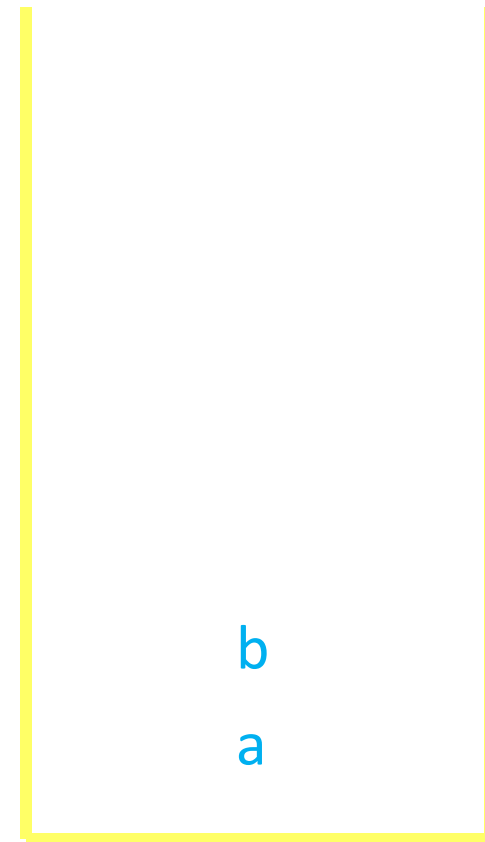
- $(a + b) * (c - d) / (e + f)$

- $a b + c d - * e f + /$

- $a b + c d - * e f + /$

- $a b + c d - * e f + /$

- $a b + c d - * e f + /$



stack



Postfix Evaluation

• $(a + b) * (c - d) / (e + f)$

• $a b + c d - * e f + /$

• $a b + c d - * e f + /$

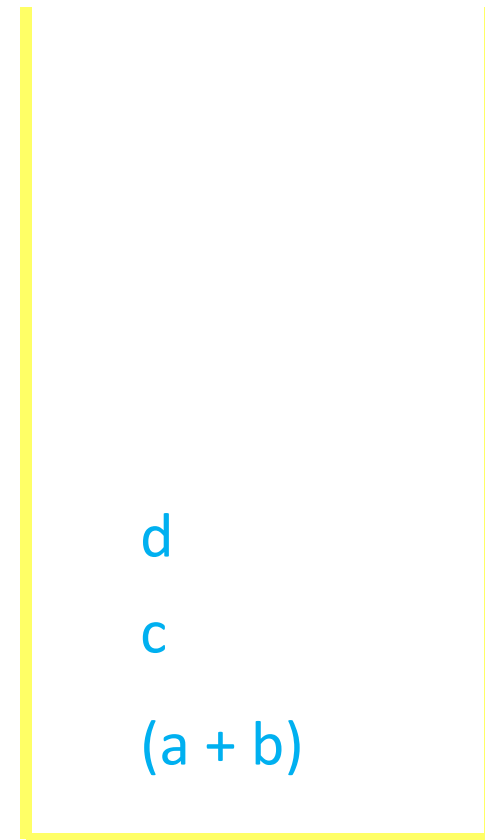
• $a b + c d - * e f + /$

• $a b + c d - * e f + /$

• $a b + c d - * e f + /$

• $a b + c d - * e f + /$

• $a b + c d - * e f + /$



stack



Postfix Evaluation

- $(a + b) * (c - d) / (e + f)$
- $a b + c d - * e f + /$
- $a b + c d - * e f + /$

$(c - d)$

$(a + b)$

stack



Postfix Evaluation

- $(a + b) * (c - d) / (e + f)$
- $a b + c d - * e f + /$
- $a b + c d - * e f + /$
- $a b + c d - * e f + /$
- $a b + c d - * e f + /$

f
e
 $(a + b) * (c - d)$

stack



Postfix Evaluation

- $(a + b) * (c - d) / (e + f)$
- $a b + c d - * e f + /$
- $a b + c d - * e f + /$
- $a b + c d - * e f + /$
- $a b + c d - * e f + /$
- $a b + c d - * e f + /$

$(e + f)$
 $(a + b) * (c - d)$

stack



Postfix Evaluation

- The **prefix** form of a variable or constant is the same as its **infix** form

a, b, 3.25

- The relative order of operands is the same in infix and prefix forms
- Operators come immediately **before** the prefix form of their operands

Infix = a + b

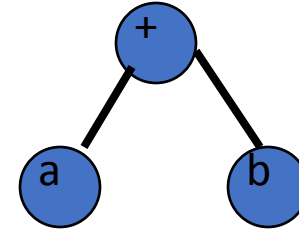
Postfix = ab+

Prefix = +ab

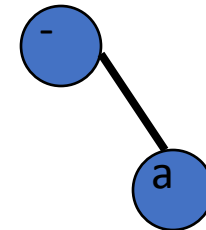


Binary Search Tree Form

• $a + b$



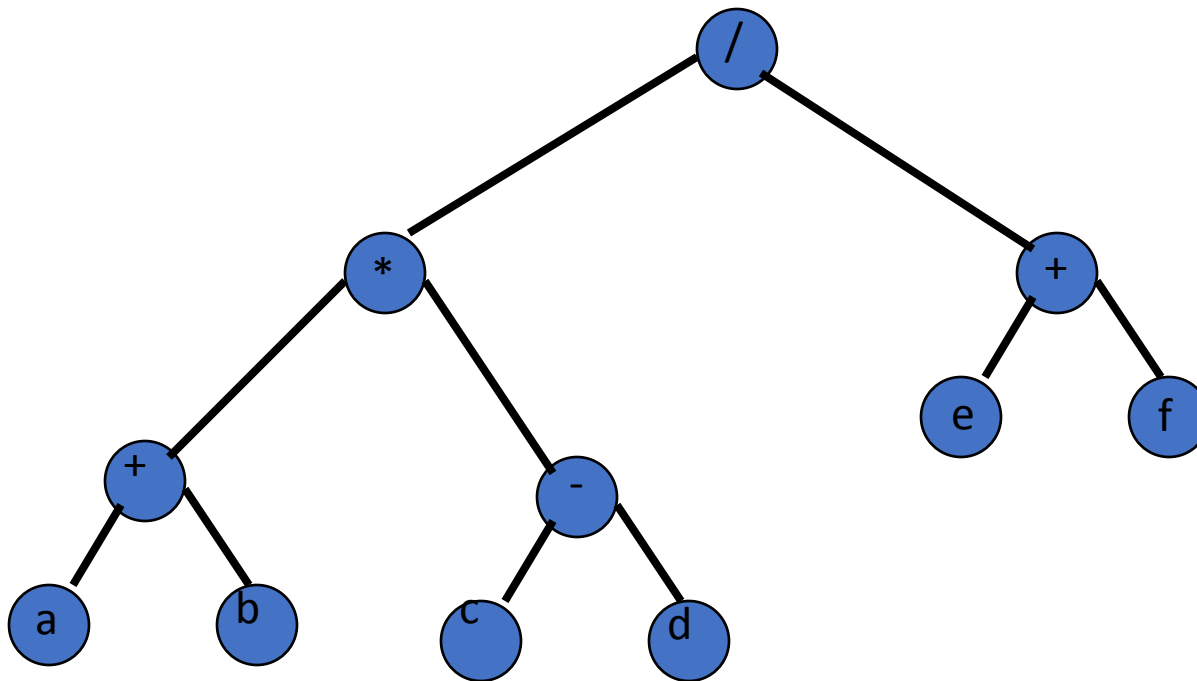
• $- a$





Binary Search Tree Form

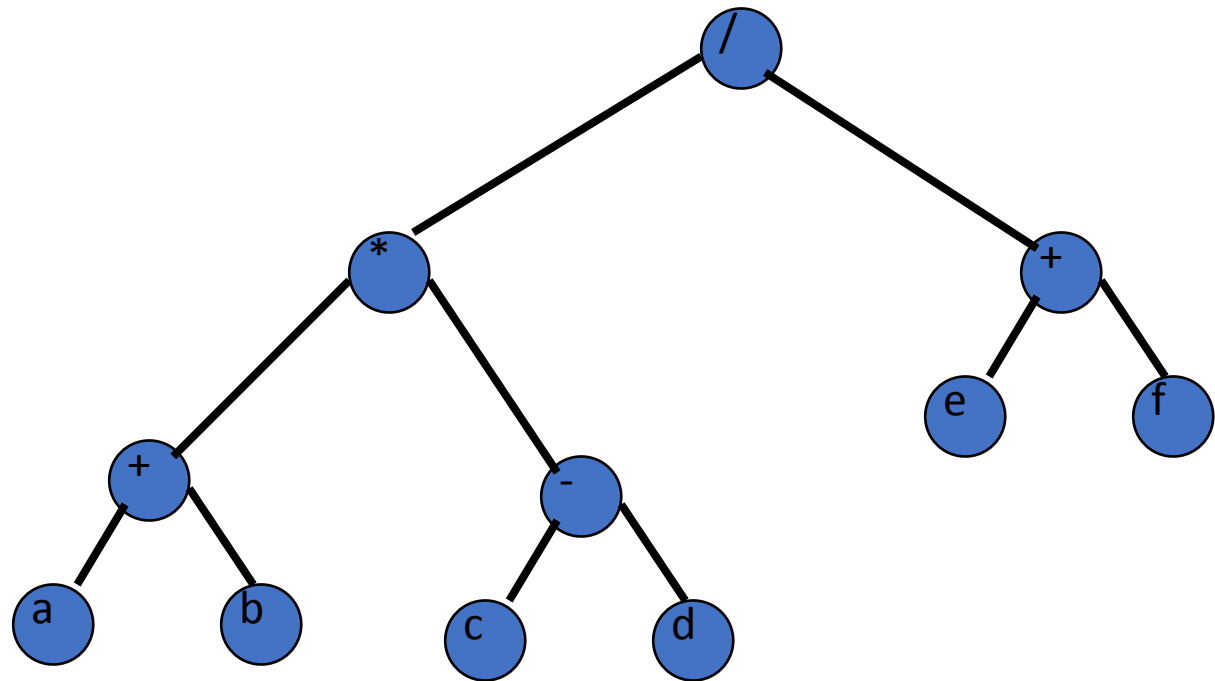
$$(a + b) * (c - d) / (e + f)$$





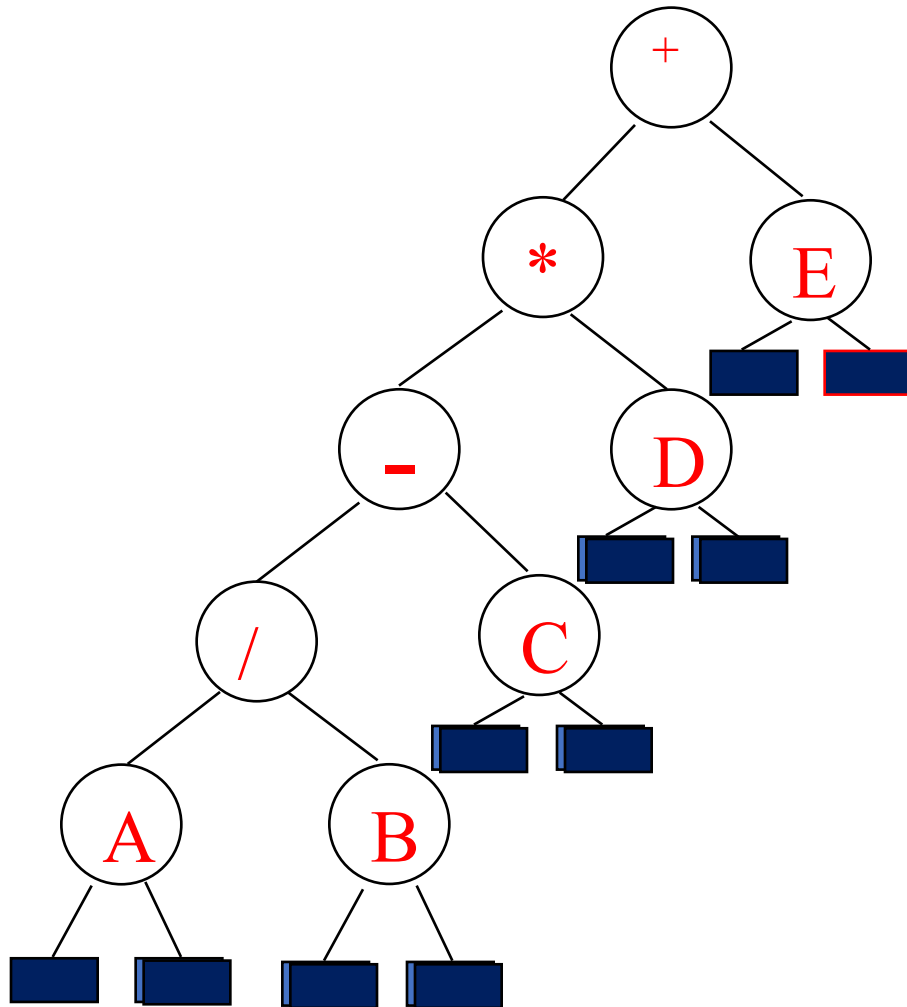
Merits Of Binary Search Tree Form

- Left and right operands are easy to visualize
- Code optimization algorithms work with the binary tree form of an expression
- Simple recursive evaluation of expression





Arithmetic Expression Using BST



inorder traversal – L V R

$(A / B - C) * D + E$

infix expression

preorder traversal – V L R

$+ * - / A B C D E$

prefix expression

postorder traversal - L R V

$A B / C - D * E +$

postfix expression

Arithmetic Expression Using BST



$$A + (B * (C / D))$$

- Preorder:

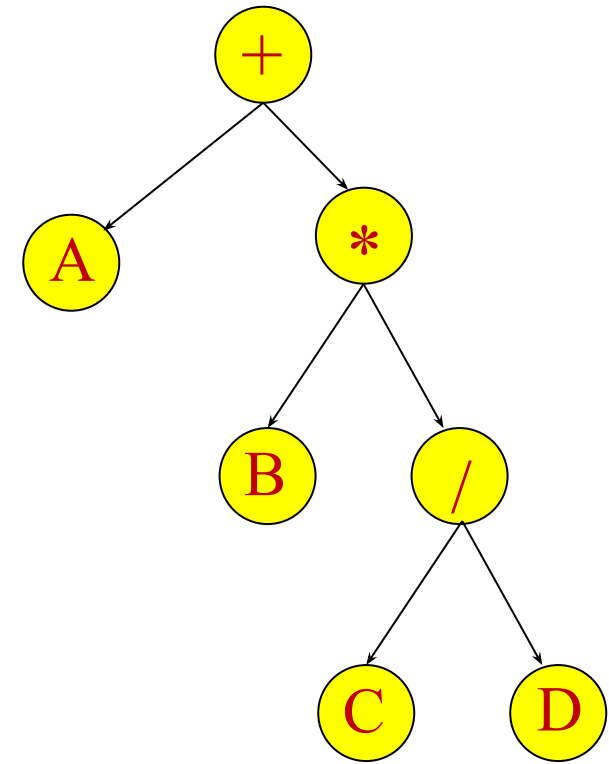
$$\square + A * B / C D$$

- Postorder:

$$\square A B C D / * +$$

- Inorder:

$$\square A + B * C / D$$





Preorder Implementation

Struct node

```
{ int key;  
  leftchild *node;  
  rightchild *node;  
}  
void preorder(node * t)  
{ node * ptr;  
  if (t!=NULL)  
  { cout << t->key;  
    preorder(t->leftchild);  
    preorder(t->rightchild);  
  }  
  return;  
}
```



Postorder Implementation

Struct node

```
{ int key;  
  leftchild *node;  
  rightchild *node;  
}
```

```
void postorder(node * t)  
{ node * ptr;  
  if (t!=NULL)  
  { postorder(t->leftchild);  
    postorder(t->rightchild);  
    cout<<t->key;  
  }  
  return;  
}
```



Inorder Implementation

Struct node

```
{ int key;  
  leftchild *node;  
  rightchild *node;  
}
```

```
void inorder(node * t)  
{ node * ptr;  
  if (t!=NULL)  
  { inorder(t->leftchild);  
    cout<<t->key;  
    inorder(t->rightchild);  
  }  
  return;  
}
```




Types of Trees: Balanced Binary Search Tree (BST)



Balanced Binary Search Trees

- Height is $O(\log n)$, where n is the number of elements in the tree
- AVL (Adelson-Velsky and Landis) trees
- Red-Black trees
- get, put, and remove take $O(\log n)$ time



Balanced Binary Search Trees

- Indexed AVL trees
- Indexed red-black trees
- Indexed operations also take $O(\log n)$ time



Balanced Search Trees

- Weight balanced binary search trees
- 2-3 & 2-3-4 trees
- AA trees
- B-trees
- BBST
- etc...



Types of Trees: AVLTree

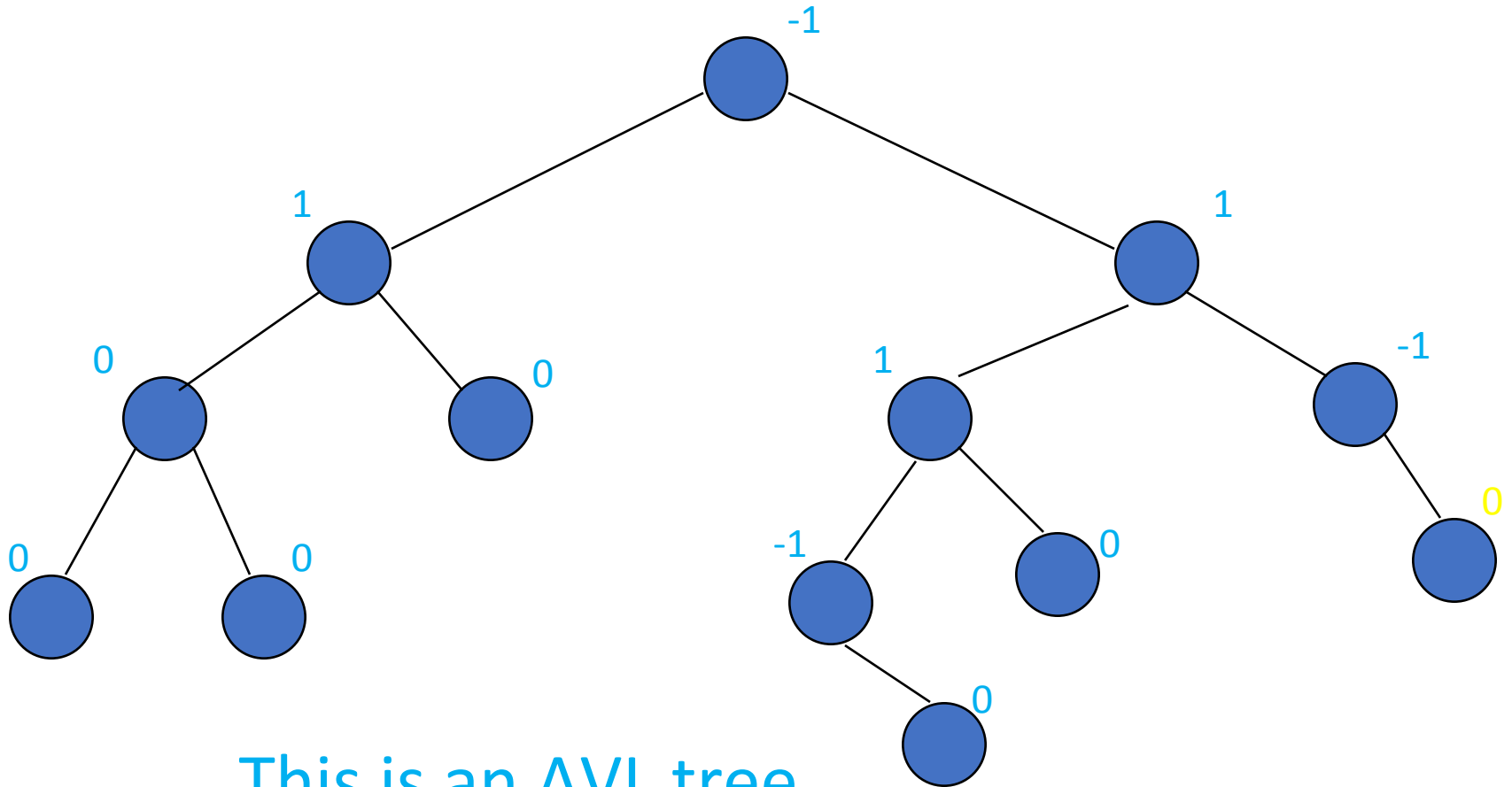


AVL Tree

- binary tree
- AVL (Adelson-Velsky and Landis) trees
- for every node x , define its **balance factor**
balance factor of x = height of left subtree of x
- height of right subtree of x
- balance factor of every node x is -1 , 0 , or 1



Balance Factors



This is an AVL tree.



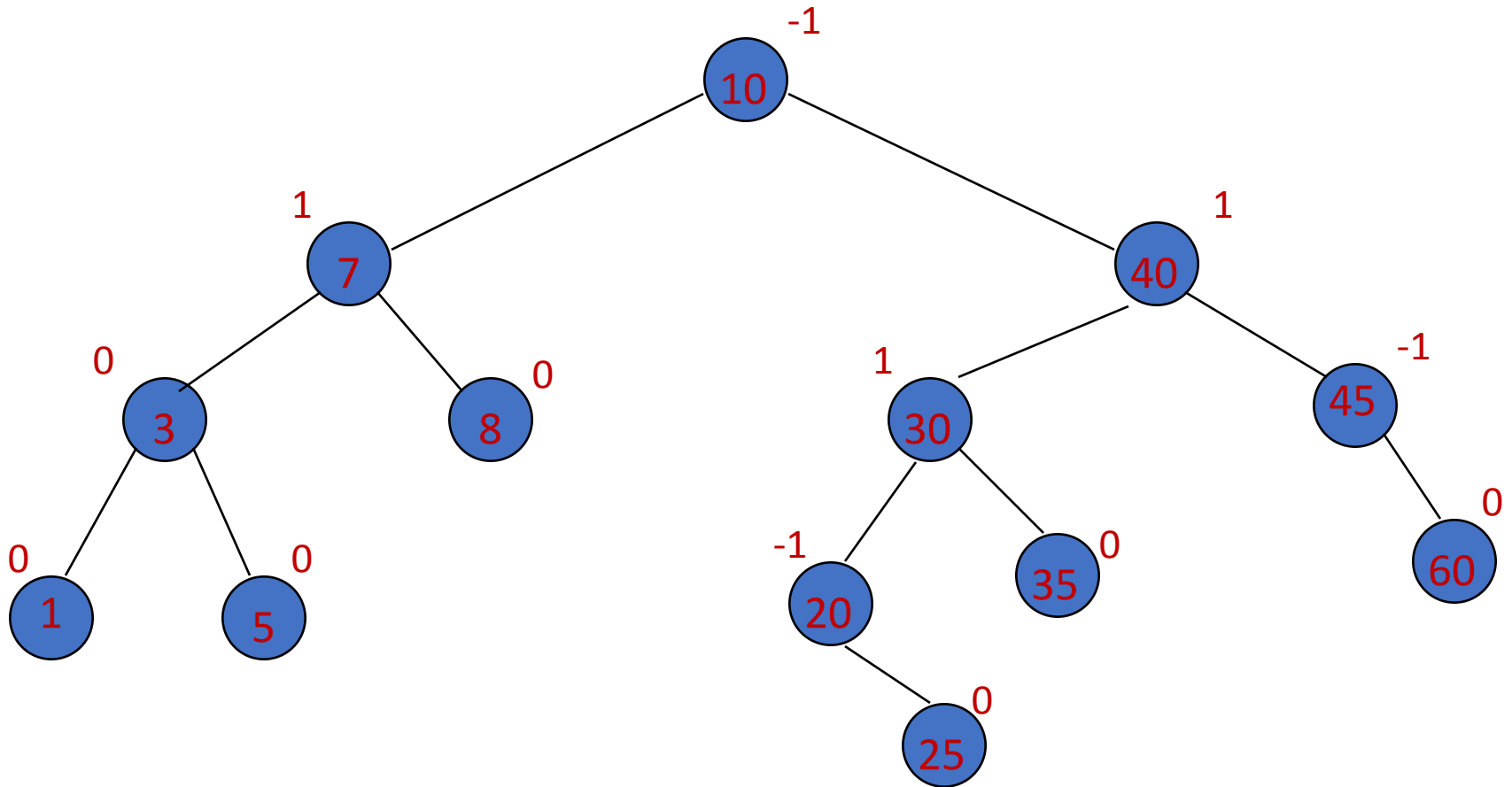
Height

The height of an AVL tree that has n nodes is at most $1.44 \log_2 (n+2)$

The height of every n node binary tree is at least $\log_2 (n+1)$

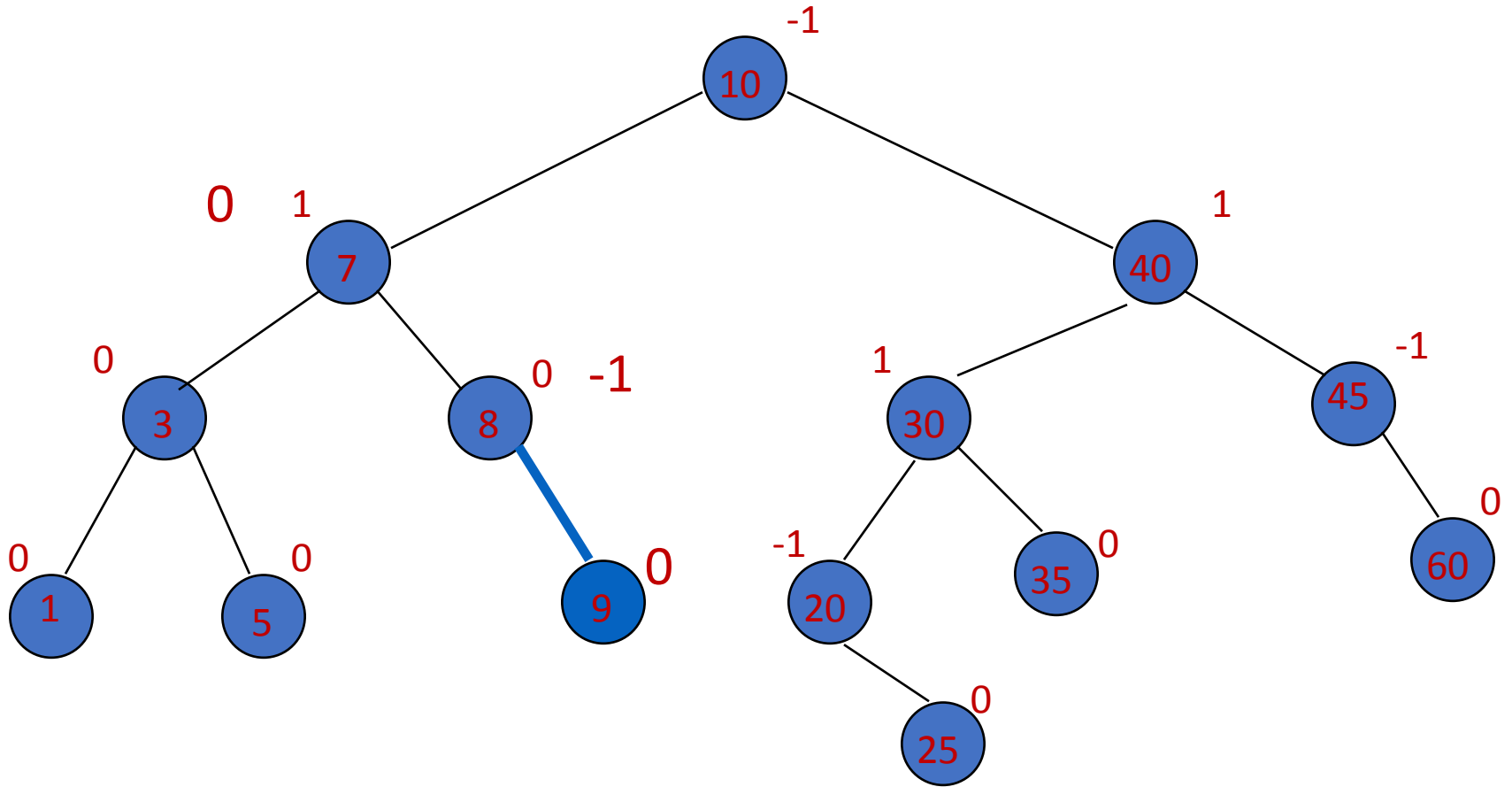


AVL Search Tree



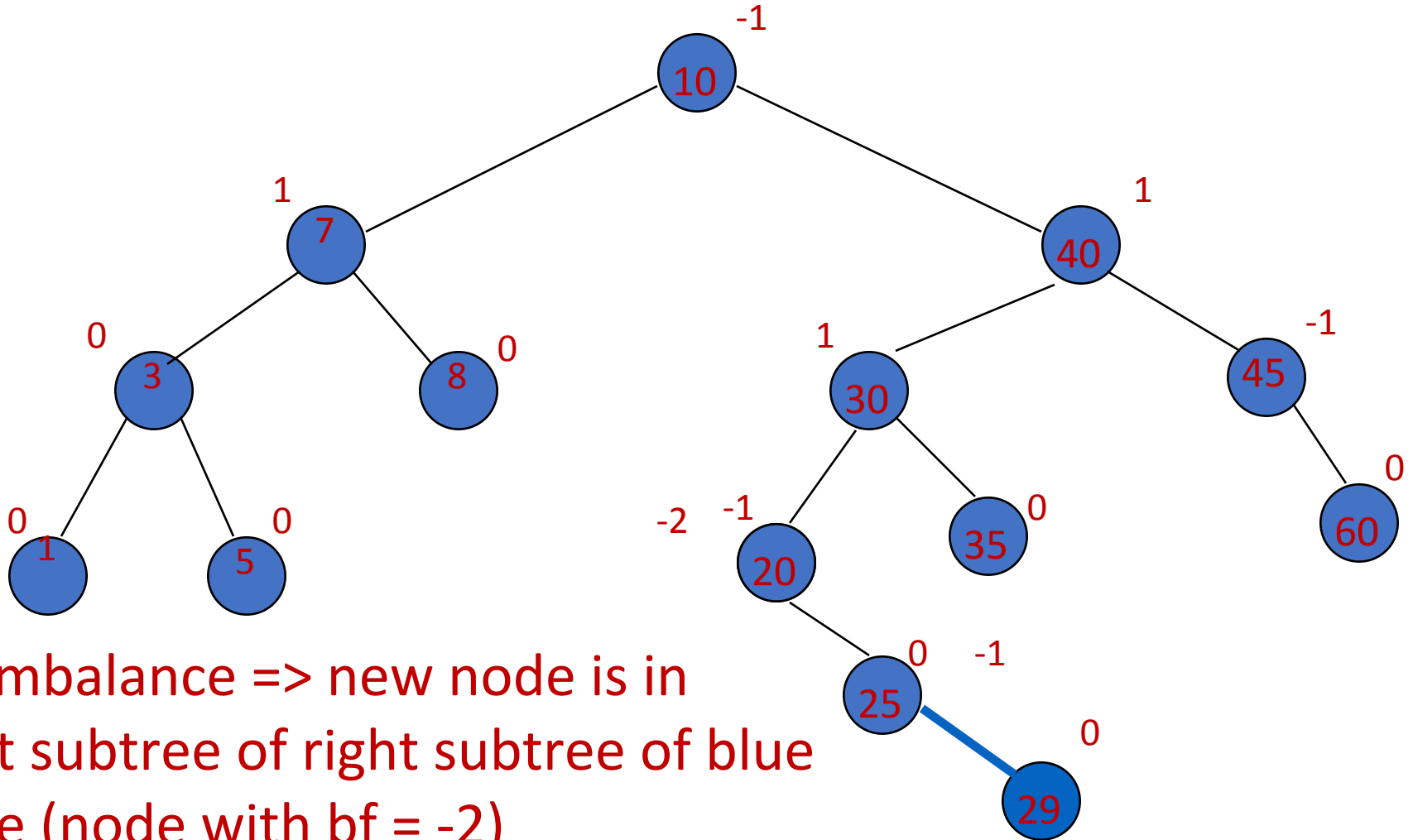


put(9)





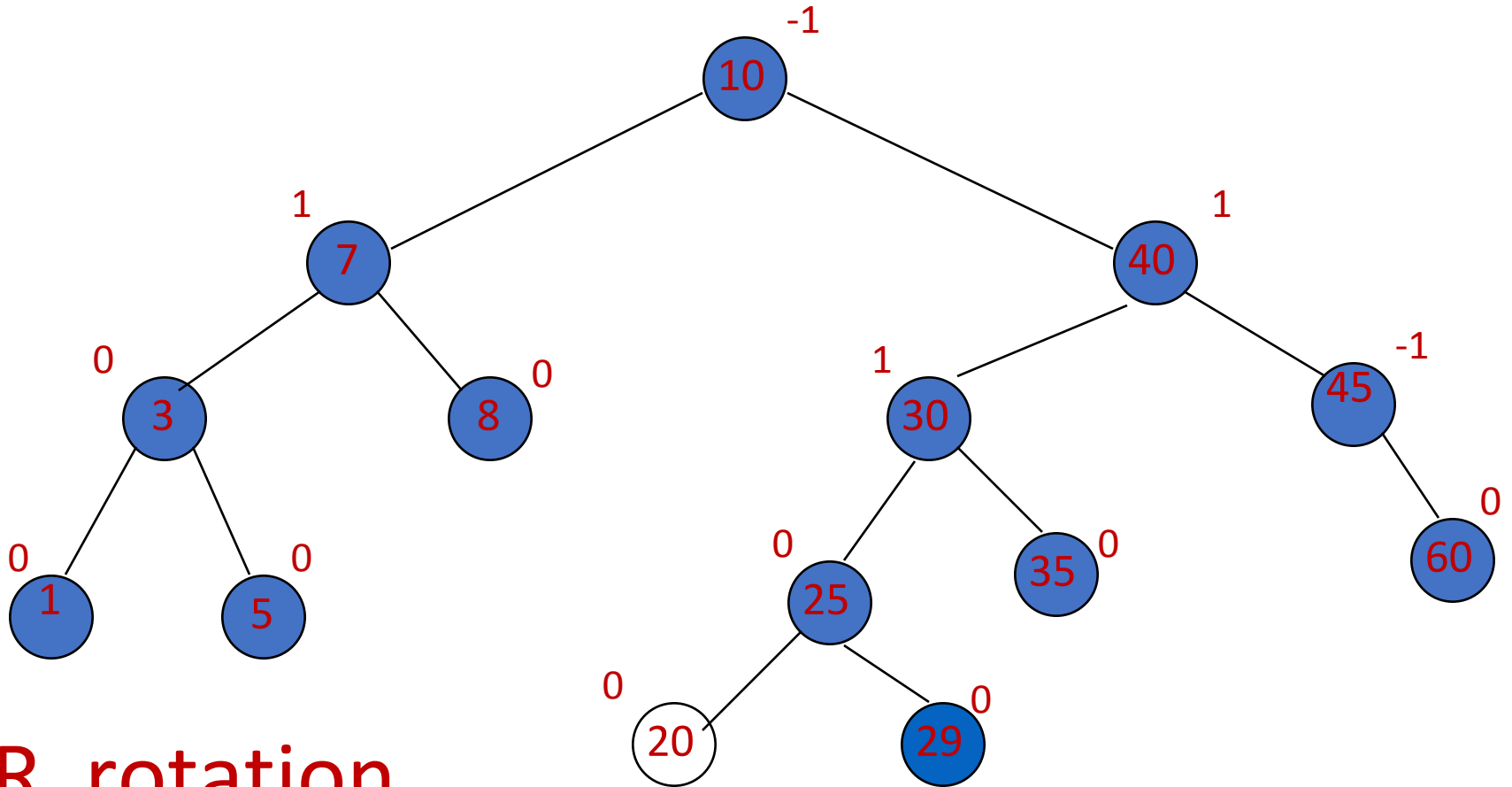
put(29)



RR imbalance => new node is in
right subtree of right subtree of blue
node (node with bf = -2)



put(29)



RR rotation



AVL Rotations

- RR
- LL
- RL
- LR



Types of Trees: Red-Black Tree



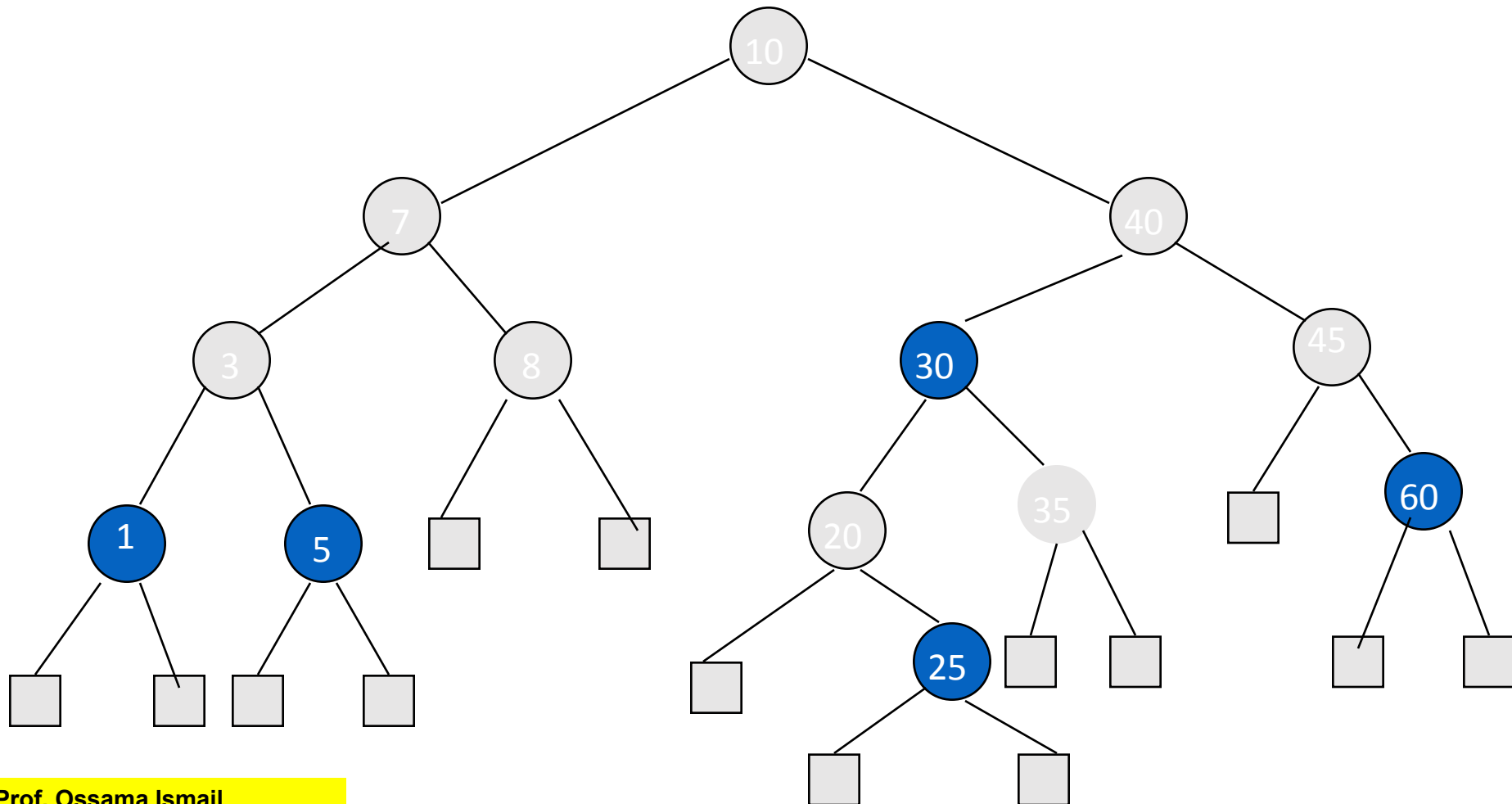
Red-Black Trees

Colored Nodes Definition

- Binary search tree
- Each node is colored red or black
- Root and all external nodes are black
- No root-to-external-node path has two consecutive red nodes
- All root-to-external-node paths have the same number of black nodes



Example Red Black Tree





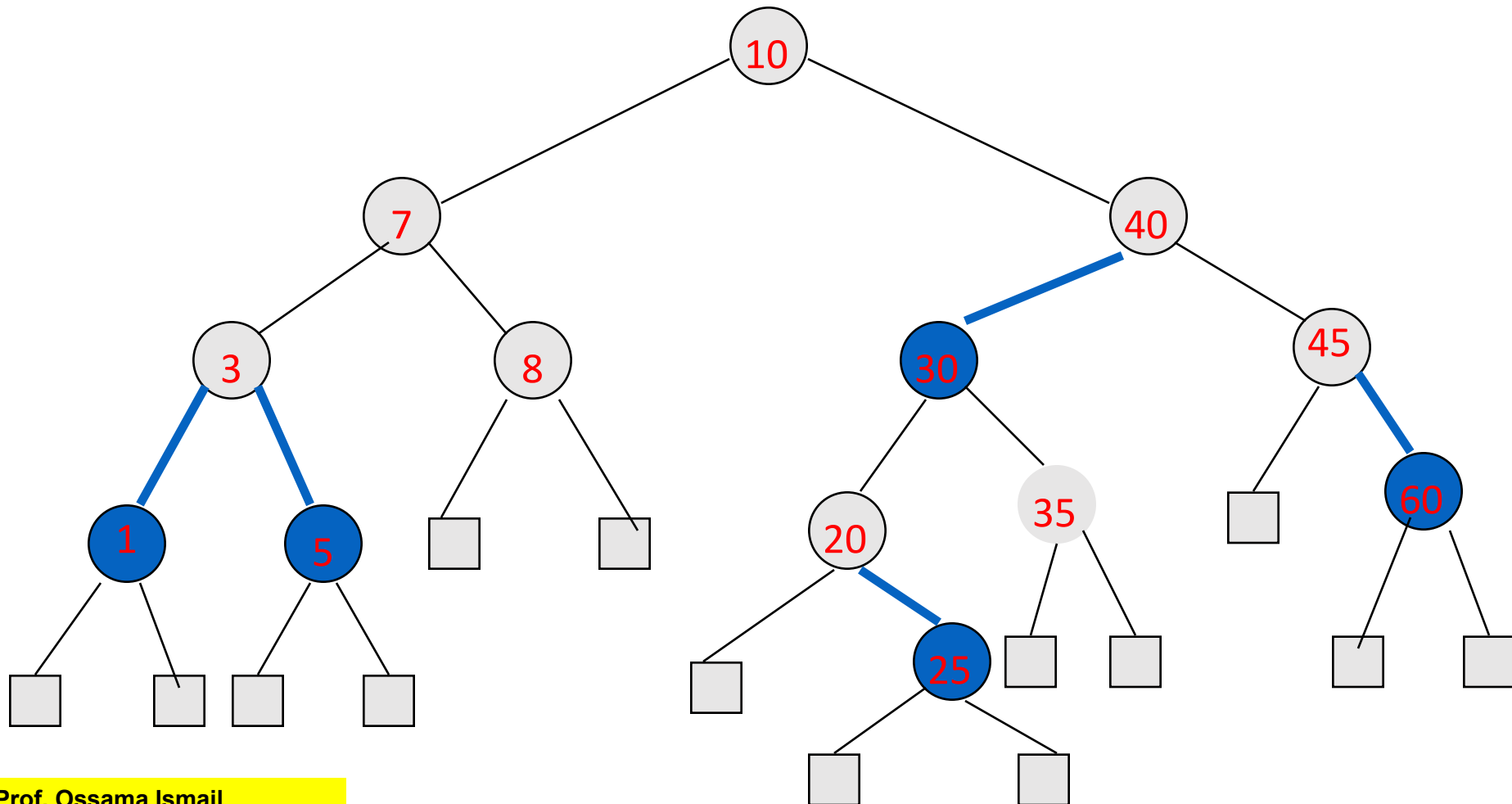
Red Black Trees

Colored Edges Definition

- Binary Search Tree
- Child pointers are colored red or **black**
- Pointer to an external node is black
- No root to external node path has two consecutive red pointers
- Every root to external node path has the same number of black pointers



Example Red Black Tree





Red Black Tree

- The height of a red black tree that has n (internal) nodes is between $\log_2(n+1)$ and $2\log_2(n+1)$
- `java.util.TreeMap` => red black tree



Questions ?????