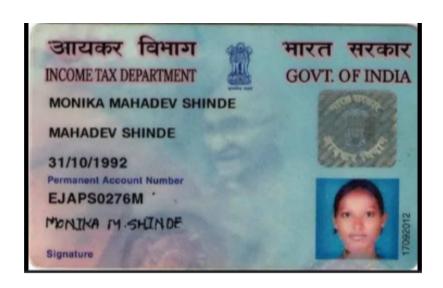
Know Your Client (KYC) Application Form (For Individuals Only) FLA1[RAD= Please fill the form in ENGLISH and in BLOCK letters Application Number: Fields marked * are mandatory Fields marked $^{\scriptscriptstyle +}$ are pertaining to CKYC and mandatory only if processing CKYC Application Type*: ☐ New KYC ■ Modification KYC **KYC Mode*:** Please Tick (✓) ☐ EKYC OTP Normal ☐ EKYC Biometric Online KYC Offline EKYC Digilocker 1. Identity Details (please refer guidelines overleaf) PAN* Please enclose a duly attested copy of your PAN Card Name* (same as ID proof) Maiden Name[†] (if any) Fathers/Spouse's Name* Date of Birth* Gender* Male Female Transgender Marital Status* Married Single Nationality* Indian Other Residential Status* Resident Individual Non Resident Indian Please Tick (✓) □ Foreign National ☐ Person of Indian Origin[†] (Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual) Proof of Identity (POI) submitted for PAN exempted cases (Please tick) XXXX XXXX __ _ _ _ A — Aadhaar Card (Expiry Date) B — Passport Number C — Voter ID Card (Expiry Date) D — Driving License E —NREGA Job Card F — NPR Z —Others _____ (any document notified by Central Government) **Identification Number** 2. Address Details* (please refer guidelines overleaf) A. Correspondence/Local Address* Line 1* Line 2 Line3 City/Town/Village* District* Pin Code* State* Country* Registered Office Address Type* Residential/Business Residential Business Unspecified Applicant e-SIGN

B. Permanent residence address of applicant, if different fro	m above A / Overse	as Address* (Mandat	ory for NRI Applicant)
Line 1*			
Line 2			
Line3			
City/ Town/Village* Dist	rict*	Pin Cod	e*
State*Cou	ntry*		_
Address Type* Residential/Business Residential	Business	Registered Office	Unspecified
Proof of Address* (attested copy of any 1 POA for correspondence and perman	ent address each to be subm	itted)	
A — Aadhaar Card XXXX XXXX			
B — Passport Number		(Expiry Date)	
C — Voter ID Card			
D — Driving License		(Expiry Date)	
E —NREGA Job Card			
F — NPR Letter			
Z—Others	(any document no	otified by Central Government)	
Identification Number			
3. Contact Details (in CAPITAL)			
Email ID*			
Mobile No. *			
Tel (off)	Tel (Res)		
4. Applicant Declaration			
I/We hereby declare that the KYC details furnished by me are true and correct to	Applicant e-S	IGN Ap	plicant Wet Signature
the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be			
false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.			
I/We hereby consent to receiving information from CVL KRA through SMS/Email on		3333	
the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be		3333	\cap
validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along		20	Zue fe
with passcode and as applicable, with KRA and other Intermediaries with whom I		0.8	•
have a business relationship for KYC purposes only.		20000	
DATE:(DD-MM-YYYY) PLACE:			
5. For Office Use Only			
In-Person Verification (IPV) carried out by*		Intermediary Detail	s*
IPV Date	Self certified	document copies recei	ived (OVD)
Emp. Name	True Copies o	f documents received	(Attested)
Emp. Code	AMC / Intermedia	ary Name :	
Emp. Designation			













Fortune Capital Services Private Limited

Central KYC Registry | Know Your Customer(KYC) Application | Individual

		INDEX - Equity	
S.NO.	Name of the Document	Brief Significance of the Document	Pg. No.
	MANDATORY F	ORM AS PRESCRIBED BY SEBI & EXCHANGES	
		A. KYC form - Document captures basic information about the constituents.	
1.	Account Opening Form	B. Document captures the additional information about the constituent relevant to trading account.	
2.	Tariff sheet	Document detailing the demat fee schedule & rate/amount of brokerage and other charges levied on the client for trading	

DEMAT ACCOUNT OPENING FORM AND VOLUNTARY FORM AS PROVIDED BY THE STOCK BROKER

A. Demat Account opening Form — Document captures the basic and additional information about the constituent(s) B. Terms & Conditions cum registration / modification form for receiving SMS alerts from CDSL & TRUST offiered by CDSL 4. Voluntary Additional Tariff Shet Other charges levied on the client for the services opted by them, if any, in trading on the stock exchanges(s) 5. ECN Declaration Electronic Contact Note Declaration for receiving Contact Notes. Voluntary Rights & Obligations Voluntary undertakings stating the rights and obligations of stock broker / sub-broker / client for trading on exchange 7. Running A/c Authorisation Authorisation by constituent to stock broker for maintaining fund and securities on running account basis. Eletter accepting the Rules and Regulations of Fortune Capital Services Pvt. Ltd., and Client Declaration Acknowledgement & Authorization Acknowledgement for receipt of documents executed and Authorization for the modification requests. 1. Authorisation for adjustment of account between exchanges and segments. 2. Authorisation to Debit Demat Account operating charges. 3. Authorisation by constituent to stock broker for communicating information on financial products. Authorization for verification of information 4. from independent agency(ies) 1. Consent for MTF Client consent for availing Margin Trading facility (MTF) and Rights & Obligation of MTF Terms & Conditions for the Investor / Client for using New BSE STAR MF / MFSS facility Name of the Trading Member : Fortune Capital Services Private Limited		DEMAN ACCOUNT OF ENING FORM	I AND VOLUNIANT FORM AS PROVIDED BY THE STOCK BROKER	
B. Terms & Conditions cum registration / modification form for receiving SMS alerts from CDSL & TRUST Offfered by CDSL 4. Voluntary Additional Tariff Shet Other charges levied on the client for the services opted by them, if any, in trading on the stock exchanges(s) 5. ECN Declaration Electronic Contact Note Declaration for receiving Contact Notes. 6. Voluntary Rights & Obligations Voluntary undertakings stating the rights and obligations of stock broker / sub -broker / client for trading on exchange 7. Running A/c Authorisation Authorisation by constituent to stock broker for maintaining fund and securities on running account basis. 8. Acceptance Letter Letter accepting the Rules and Regulations of Fortune Capital Services Pvt. Ltd., and Client Declaration 9. Acknowledgement & Authorization Acknowledgement for receipt of documents executed and Authorization for the modification requests. 1. Authorisation for adjustment of account between exchanges and segments. 2. Authorisation to Debit Demat Account operating charges. 3. Authorisation by constituent to stock broker for communicating information on financial products. Authorization for verification of information 4. from independent agency(ies) 11. Consent for MTF Client consent for availing Margin Trading facility (MTF) and Rights & Obligation of MTF 12. Mutual Fund Service System Facility Terms & Conditions for availing Mutual Fund services of BSE STAR / NSE MFSS facility New BSE STAR MF / MFSS facility Details of terms & conditions for the Investor / Client for using New BSE STAR MF / MFSS facility	2	Domat Assount Opening Form (CDSI)		
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6. Voluntary Rights & Obligations Voluntary undertakings stating the rights and obligations of stock broker / sub -broker / client for trading on exchange 7. Running A/c Authorisation Authorisation by constituent to stock broker for maintaining fund and securities on running account basis. 8. Acceptance Letter Letter accepting the Rules and Regulations of Fortune Capital Services Pvt. Ltd., and Client Declaration Acknowledgement & Authorization Acknowledgement for receipt of documents executed and Authorization for the modification requests. 1. Authorization for adjustment of account between exchanges and segments. 2. Authorisation to Debit Demat Account operating charges. 3. Authorisation by constituent to stock broker for communicating information on financial products. Authorization for verification of information 4. from independent agency(ies) 11. Consent for MTF Client consent for availing Margin Trading facility (MTF) and Rights & Obligation of MTF Terms & Conditions for availing Mutual Fund services of BSE STAR / NSE MFSS facility Details of terms & conditions for the Investor / Client for using New BSE STAR MF / MFSS facility	4.	Voluntary Additional Tariff Shet		
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12. Mutual Fund Service System Facility STAR / NSE MFSS facility Details of terms & conditions for the Investor / Client for using New BSE STAR MF / MFSS facility	11.	Consent for MTF		
New BSE STAR MF / MFSS facility New BSE STAR MF / MFSS facility	12.	Mutual Fund Service System Facility		
Name of the Trading Member : Fortune Capital Services Private Limited	13.	BSE STAR MF / MFSS facility	· · · · · · · · · · · · · · · · · · ·	
	Name of the	e Trading Member : Fortune Capital Service	s Private Limited	

SEBI Registration N	No. : INZ000201438		
NSE		NSE Code No. 14572	
BSE	BSE Clearing No. 6524	·	·
MCX	MCX Member Id 16765		

Registered Office Address

M/s. Fortune Capital Services Private Ltd.,

"Kochar Technology Park"

6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate, Ambattur, Chennai - 600 058.

Phone: 044- 4560 9696 | E-Mail: ftc@ftconline.in

Website: www.ftconline.in

Compliance Officer Details:

Name: S. PremKumar Phone: 044- 4560 9696 Email ID: ftc@ftconline.in

CEO Details:

Name: K. Narayana Moorthy Phone: 044-45609696 Email ID: ftc@ftconline.in

For any grievance / dispute please contact Fortune Capital Services Private Limited at the above address or email id- ftc@ftconline.in

and Phone no. 91-44 4560 9696. In case not satisfied with the response, please contact the concerned exchange(s) at

Exchange Name		E-mail ID		Pho	ne No.	Fax	
National Stock Exchange of	f India Ltd.	ignse@nse.c	o.in	(022	2) 26598190	(022) 26598191	
Bombay Stock Exchange Lt	d.	is@bseindia	.com	(022) 2272 1233 / 34	(022) 2272 3677	
Multi Commodity Exchar Ltd. (MCX)	nge of India	grievance@r	ncxindia.com	(022) 6731 8888	(022) 6649 4151	
Indian Commodity Exchange Ltd. (ICEX)	grievance@i	cexindia.co	(022) 4038 1546		NIL		

Filing of complaints on SCORES - Easy & quick

- a. Register on SCORES portal
- b. Mandatory details for filing complaints on SCORES: Name, PAN, Address, Mobile Number, Email ID
- c. Benefits: Effective communicationSpeedy redressal of the grievances $\,$

SCORES website https://scores.gov.in/scores/Welcome.html



Fortune Capital Services Private Limited



Central KYC Registry | Know Your Customer(KYC) Application | Individual

Application Type*:	☑ NewKyc	☐ Modification KYC		
KYC Mode*: Please Tick (✓)				
□ Normal	☐ EKYC OTP	☐ EKYC Biometric Online	KYC ☐ Offline KY	C Digilocker
1. Personal Details				
Name *		PRIYADHARSHINI DHANASEKAF	RAN	
Maiden Name (If any *)				
Father/Spouse Name *		dhanasekaran s		
Mother Name *		ramanai c		
Date of Birth *		22/10/1998		
Gender *		Female		
Marital Status *		Single		
CitizenShip *		Indian		
Residential Status *		RESIDENT INDIVIDUAL		
Occupation Type *		Public Sector	·	
Residence for Tax Purpo Outside India*		Yes		
Country of Jurisdiction of Residence*		Brazil		
Tax Identification Numbe issued by jurisdiction)*	r or Equivalent(If	65789354423456		
Place/City of Birth *				
Country of Birth *		India		
2. Proof of Identity				
PAN *		HBIPD1885A		
3. Proof of Address				
Address Type *		Indian Add		
Proof of Address *		Bank Passbook		
Correspondence Address				
Address Line 1 *		No 525A, vks pandiyan nagar, c	holan street	
Address Line 2 *		eraiyanur, tindivanam		
Address Line 3 *				
City/Town/Village *		VILLUPURAM	State	
Country *		India	PIN Code *	604001
Permanent Address				
Address Line 1 *		Rua Duarte de Azevedo, 258 - S	antana	
Address Line 2 *		Margarida Marginal, Santos do	Sul, Amazonas	
Address Line 3 *				
City/Town/Village *		Sao Paulo	State	02031-200
Country *		Brazil	PIN Code *	Bahia

4. Contact Details					ļ					
Tel.(Off.)						Tel.(Res.)				
Mobile No. *			7689043233	14	F	Fax				
Email ID *			priya123@g	gmail.com						
5. Details of Relate	d Person									
Name		N/A								
Related Person Type	e	N/A								
PAN	N/A	·								
6. Applicant Declar	ation									
*I am/We hereby d my knowledge and In case any of the misrepresenting. I a *I am/We hereby SMS/Email on the b *I am/We are also a validated against Aa Aadhaar card with I with passcode and have a business rela-	belief and I e bove information in a ware the consent to bove register aware that for a dhaar detaireadable QR as applicable	undertake to info formation is four at I may be held I or receiving infor- red number/emai or Aadhaar OVD k ils. I/We hereby of a code or my Aadh e, with KRA and o	orm you of any not to be fals iable for it. mation from I address. pased KYC, my onsent to shar iaar XML/Digilither Intermed	changes thereing or untrue or Central KYC Report KYC request shalling my/our mask ocker XML file, al	, imn misle gistry I be ed ong	nediately. eading or				
Date:				Place:						
7. Attestation/For 0	Office use or	nly								
Document Received	d									
Inter	mediary/Ins	stitution Details		In-person verifinterviewed by		on (IPV), Do	cuments verif	ied wi	ith riginal & Cl	lient
				Employee/SB/A	AP nai	me	DIVYA			
Name		Fortune Capital Private Limited	Services	SEBI Number o Applicable)	f AP(i	if				
				Employee ID	ST9)5	Designation		Employee	
CKYC Institution Co	de	IN0327		Signature						

Primary Bank	k Details (For DP and	Trading)					
Bank Name							
Account No.					Branch		
UPI ID			N/A				
IFSC					MICR Code		
Address							
Account Type	e				Pay-Out Option		
9. Depositor	y Account Details						
I/We already	have Demat A/c						
Depository N	lame		N/A				
DP ID			N/A		BO ID	N/A	
Name of Dep	oository Participant		N/A				
Demat Accou	unt Proof Submitted					N/A	
10. Trading F	Preference						
Please sign ir	n the relevant boxes	where you	wish to trade.				
E:	xchange				Segment		
	80	Cash Ma	rket / Mutual Fund	F	&O	Currency	Derivatives
	NSE						
	BSE						
				Data of C	Consent for	Cignotus	o of Client
Sr.No	Nan	ne of Excha	nges		concerned		re of Client
				Exch	nange	Future	Option
1.	Multi Commodity	Exchange	of India Ltd. (MCX)				
* If you wish	to trade in derivative	ادر (F&O/Cיر	rrency) segments				
	f of financial details(N				Latest In	come Tax Retu	rn
*If, in future,	Client wants to trad	e on any ne	ew segment / new excl	nange, separa	te authorization / lett	er should be ta	ken by the member.

8. Bank Account Details

11. FACILITIES	
SMS and E-mail alerts from Stock Exchanges/Depository	вотн
Whether you wish to receive Contract Note & Standard documents in physical or electronic mode	ELECTRONIC
*Standard Documents Rights & Obligations, Risk Disclosure Document, Guidance N conditions	ote and Policies & Procedures, other terms and
I/We wish to avail facility of internet trading / wireless technology	Yes

Terms & Conditions:

I understand that I am initiating the process to open Demat and Trading account under resident indian status. I authorize FLATTRADE and its representatives to call,email or SMS me regarding FLATTRADE products and services.

Latitude :

Longitude:

12. Trading History	
Trading Experience / Number of Years of Investment	0 to 1 year
Stock Profile	NIL

If you are dealing with any other stock broker, please provide following detail

Name of the stock broker			N/A
Name of Sub-Broker (If any)			N/A
'	pending from / to such stoc brokers, please provide details	k broker / sub-broker (In case of all.)	N/A
Client Code(UCC)	N/A	Exchange	N/A
Past Actions: Details of any action / proceedings initiated / pending / taken by SEBI / Stock Exchange / any other authority against the applicant / constituent or its patners / promoters / whole time directors / authorised persons in charge of dealing in securities during last 3 years.		N/A	

13. Income, Education & Others Deta				
Gross Annual Income Detail	1-5 Lakhs			
Net-worth in	N/A	As on(date)		N/A
Occupation	Public Sector			
Educational Details	Post Graduate			
Politically Exposed Person/Related to a Politically Exposed Person	No			
Is the entity involved providing any of	the following services			
- For Foreign Exchange / Money Changer Services	No			
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)	No			
- Money Lending / Pawning	No			
FATCA & CRS Declaration – Individuals				
Are you the TAX Resident of any country other than India	Yes			
If yes please fill the following:				
#Country			Identific	ation Type
			N/A	
			N/A	
Foreign Address 1	Rua Duarte de Azevedo, 258 - San	ana		
Foreign Address 2	Margarida Marginal, Santos do Su	, Amazonas		
Foreign Address 3				
Foreign City	chennai	Foreign State		02031-200
Foreign Country	Brazil	Foreign Pin		Bahia
		<u>'</u>		
14. DETAIL OF INTRODUCER Name				
Registration No. or Code				
PAN		Phone		
Address				

15. Demat Account Opening - CDSL

I/ We request to open a Depository Account in my / our name as per the following details. Date :

15a. Type of Account			
Status	Individual	Sub- status	Individual Resident
15b. Account Holder Details			
Name of sole/1st Holder	PRIYADHARSHINI DHANASEKARAN		
UID		PAN	HBIPD1885A
Name of 2nd Holder	N/A		
UID	N/A	PAN	N/A
Name of 3rd Holder	N/A		
UID	N/A	PAN	N/A
Nationality	INDIAN		

15c. CDSL - Details of Guardian (In case the account holder is minor)			
Name of Guardian (Mr./Mrs./Ms.)	N/A		
Relationship	N/A	PAN	N/A

15d. CDSL - Additional Details	
Account statement requirement	AS PER SEBI
I/We instruct the DP to receive each and every credit in my / our account	YES
I/We wish to receive dividend/interest directly into my/our Bank A/c through ECS (If not marked, the default option would be 'Yes'. ECS is mandatory for locations notified by SEBI from time to time.)	ELECTRONIC
I/We request you to send Electronic Transaction-Cum-Holding Statement through E-mail (Please mention E-mail ID in KYC form)	YES
SMS Alert Facility on Mobile Number as given in KYC Form (Mandatory, If you have given POA. If POA is not granted and you do not wise to avail of his facility, cancel this option)	YES
I/We would like to instruct the DP to accept all the Pledge instructions in my / our account without any other further instruction from my / our end.	YES
I/We would like to share the E-mail ID with the RTA.	YES
I/We would like to recieve the annual report (If not marked, default option will be 'Physical')	ELECTRONIC
I/We would like to recieve the copy of charges for Depository Services-Schedule A Right and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories. A (If not marked, default option will be 'Physical')	ELECTRONIC

15e. CDSL - SMS & TRUST				
SMS Alert Facility	Mobile No.	76890432314	(Mandatory if you are giving a POA)	
Transactions Use Secured Texting Facility(TRUST)	I wish to avail the TRUST facility terms and conditions prescribed	Using the mobile no registered for by CDSL for the same - YES	SMS alert facility. I have read the	

I/We wish to register the following Clearing Member IDs under my/our below mentioned BO ID egistered for TRUST

Stock Exchange Name	Clearing Member Name	Clearing Member ID
NSE	FORTUNE CAPITAL SERVICES PRIVATE LIMITED	14572
BSE	FORTUNE CAPITAL SERVICES PRIVATE LIMITED	6524

15f. Declaration

I/We have received and read the Rights & Obligations document and Terms & Conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Holder	Second Holder	Third Holder
Signature		N/A	N/A

16. DECLARATIONS RE: PREVENTION OF MONEY LAUNDERING

Prevention of Money Laundering Act, 2002 (hereinafter referred to as "Act") came into effect from July

1, 2005 vide Notification No. GSR 438(E) dated July, 1 2005 issued by Department of Revenue, Ministry of Finance, Government of India. Further SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy. The intermediaries may, according to their requirements specify additional disclosures to be made by clients for the purpose of identifying, monitoring and reporting incidents of money laundering and suspicious transactions undertaken by clients. SEBI also issued another circular reference no. ISD/CIR/RR/AML/2/06 dated March 20, 2006 advising all intermediaries to take necessary steps to ensure compliance with the requirement of section 12 of the Act, interalia, maintenance and preservation of records and reporting of information relatinto cash and suspicious transactions to Financial Intelligence Unit - India (FIU-IND), New Delhi. The Investor should ensure that the amount invested in the securities and represented as credit in their demat account is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and/or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder. To ensure appropriate identification of the customer(s) under its KYC policy and with a view to monitor transactions, FCSPL DP reserves the right to seek information, record customer's telephonic calls and/or obtain and retain documentation for establishing the identity of the customer, proof of residence, source of funds, etc. It may re-verify identity, seek and obtain any incomplete or additional information for this purpose.

17. Declaration

- 1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.
- 2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- 3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Client Signature				
First / Sole Holder Second Holder Third Holder				
Sign of Client		N/A	N/A	
Date:		Place:		



Fortune Capital Services Private Limited

Regd. Office : "Kochar Technology Park", 6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate, Ambattur, Chennai – 600 058. Phone : 044 - 4560 9696

CHARGES FOR DEPOSITORY SERVICES - SCHEDULE - A

I/We agree to pay the charges as per the following for our Demat account effective (yyyy-mm-dd)

SERIAL. No.	CHARGE HEAD	CHARGES
1.	Annual Maintenance Charges	Nil
2.	Documentation Charges	Rs. 175/-(If DDPI Registered)
3.	Dematerialization Charges	Rs. 2/- per certificate plus courier charges of Rs.35/- per demat request for every 500 gms.
4.	Debit Transaction charges Market / Inter-depository	A flat charge of Rs.20/- plus applicable GST
5.	SLB Charges	For Security Borrowing : Rs.25/- plus Depository charges For Security Lending i: Rs.25/- plus Depository charges
6.	Pledge Charges Creation/ Closure and Confirmation of both / Invocations	Rs.50/- per transaction / ISIN
7.	Rematerialisation Charges	Rs.50/- per Certificate + Depository Charges
8.	Same Day Execution - Per Instruction / Per Scrip	Rs.50/- or 0.025% whichever is higher.
9.	Off-market Charges	Rs.50/- per ISIN
10.	Failed / Rejected Transactions	Rs.30/- per transaction.
11.	Demat Rejection Charges	Rs.35/- per rejection.
12.	Adhoc / Non periodic statement requests	Rs.25/- per request upto 10 pages. Every additional 5 pages or a portion thereof will be charges at Rs.10/- plus courier charges.
13.	E-Mail Statement	Periodic Bills and Transactions statements and other communications will be sent by email only. Requests for Physical statements will be charged as mentioned above.
14.	Margin Pledge charges	Rs. 20 + GST

Signature	18-	N/A	N/A
	Sole / First Holder	Second Holder	Third Holder
Name	PRIYADHARSHINI DHANASEKARAN	N/A	N/A



TARIFF SHEET TRADING ACCOUNT

I / we agree to pay the charges as per following charges structure for our Trading account with you effective

Trading Client ID:FT00016

CHARGE HEAD	Value Pack Scheme
Equity Intraday	Nil
Equity Delivery	Nil
Equity Futures	Nil
Equity Options	Nil
Currency Futures	Nil
Currency Options	Nil
Commodity Futures	Nil
Commodity Options	Nil
Terms & Conditions	Digital Contract sent through email at free of cost.

In addition to the brokerage charge the following charges will also be levied.

Schedule of Charges:

- 1) STT, CTT, GST, Education and Higher Education Cess, as applicable will be levied.
- 2) Exchange charges as applicable from to time.
- 3) Stamp Duty as applicable will be levied
- 4) SEBI charges @ 0.0001% on total turnover.
- 5) Statutory charges payable to Exchange / SEBI / Govt. Authorities etc.,

Signature	

Client Name: PRIYADHARSHINI DHANASEKARAN

Date :

21. VOLUNTARY ADDITIONAL TARIFF SHEET - TRADING ACCOUNT

In addition to the CHARGES mentioned in the Tariff Sheet, the following charges will be levied, if the Services opted by the Client. Please sign in the relevant boxes where you wish to opt the additional services and not chosen should be struck off by the client.

Trading Client ID: FT00016

Other Services	Option Opted
In addition to Digital Contract, if the client requires Physical Contract Note shall be charged @ Rs.50/- per Exchange	
per Day.	YES
Call & Trade /Offline Trade/Intraday square Off of MIS/CO/BO Order: Equity Intraday, FAO & Currency Futures – Rs.50/- plus GST per executed order or 0.01% of turnover plus GST whichever is lower.	
Equity Delivery - Rs.50/- plus GST per executed order or 0.1% of turnover plus GST whichever is lower. Options (Future & Currency) - Rs.20/- plus GST per executed order. Commodity (Future & Options) -Rs.50/- plus GST per	
executed order.	YES
Payment Gateway facility is available and charges of Rs.10/- per transaction plus GST irrespective of the amount	
transferred in single transaction	YES
Debit my trading account for Application charges.	
	Rs: 200/- plus GST

Client Name	PRIYADHARSHINI DHANASEKARAN
Signature	

22. Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- 1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- 2. DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- 3. BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- 4. SMS means "Short Messaging Service"
- 5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- 6."Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- 7.Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

- 1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- 2. The service is currently available to the BOs who are residing in India.
- 3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- 4. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alertsfrom the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

- 1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- 2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off'' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- 3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- 4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
- 5.The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
- 6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner what soever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai. I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP. I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BOID	
Mobile Number on which messages are to be sent	76890432314
The mobile number is registered in the name of	PRIYADHARSHINI DHANASEKARAN
Email ID	priya123@gmail.com

Client Signature

	First / Sole Holder	Second Holder	Third Holder
Sign of Client		N/A	N/A

23. VOLUNTARY / NON MANDATORY RIGHTS AND OBLIGATIONS OF STOCK BROKERS, SUB BROKERS AND CLIENTS

These Voluntary / Non Mandatory Rights and Obligations enumerated below are in addition to the Mandatory Rights and Obligations of Stock Bokers, Sub – Brokers and Clients to ensure smooth functioning of the operations, the parties thereto have agreed to the following terms and conditions.

- 1. Digitally signed Contract Notes, Bills, Transaction Statements, Confirmation & Electronics Communication:
- I) The client hereby agrees and permits FCSPL to provide digitally signed contract notes through internet / email. The client also authorizes FCSPL to send Welcome Kit, Statements of Account, Statement of Margin, Funds and Securities, Ledgers, Bills, Collateral Statements, Daily Margin Statements, reports, notices, circulars, letters and other documents pertaining to the Trading Account on email address. For the sake of clarity all of the above documents are referred to as "Digital Documents". The client acknowledges that once Digital Documents are mailed by FCSPL at their email address registered with it, it shall be deemed to have been received by the client and hence there will be no further requirement on the part of FCSPL to send the same physically in the paper based format to the client unless Digital Documents are rejected by the client's email ID and is bouncing back to FCSPL. The client hereby acknowledges that he has read and understood the following points governing issuance of the Digital Documents by FCSPL.
- a) For the purpose of the above, the email id used would be the same as provided by the client in the Client Registration Form at the time of opening of an account or email id subsequently notified by the client in writing. Any change in the email id of the client shall be immediately intimated by the client to FCSPL.
- b) The client shall access the contract notes / confirmations of the trades executed on his behalf on the trade date electronically. The client understands that it is his responsibility to review all confirmations, contract notes and other communications including but not limited to margin and maintenance calls etc., All information contained therein shall be binding on the client if the client does not object, either in writing or electronic mail within 24 hours after any such documents are available to the client.
- c) Should the client experience any difficulty in opening a document electronically delivered by FCSPL, FCSPL may, on receipt of intimation from the client in that behalf, make the required delivery by any other electronic means (e mail, electronic mail attachment or in the form of an available download from the back-office website) or in paper based format. Failure to advise FCSPL of such difficulty within twenty four (24) hours after delivery shall serve as an affirmation that client was able to receive and open the said document. The client hereby agrees to pay all such amounts that FCSPL may charge to cover the operational costs that FCSPL incurs in preparing and delivering the said communication, confirmation, contract notes, documents, reports and alerts.
- d) The client shall take all the necessary steps to ensure confidentiality and secrecy of the login name and password. Unless the client lodges a complaint with FCSPL as to his inability to access the system, it would be presumed that contract notes and all other documents have been properly delivered to the client.
- e) The client agrees that FCSPL fulfils its legal obligation to deliver to the client any such document if sent via electronic delivery and FCSPL has not received any report indicating bouncing back of such electronic delivery.
- f) The client shall complete the necessary formalities that are required under the provisions of the Information Technology Act, 2000 for getting the above facility. The client shall initially download the specified software or any other software specified by FCSPL from time to time on our computer and the same shall be used for receiving, viewing, storing the Electronic documents including but not limited to digital contract notes, bills. Ledgers, statements of funds and securities, collateral statements, daily margin statements, notices, reports, lettes, circulars, communications etc., that shall be sent by FCSPL from time to time in respect of the trades / transactions that have been executed by me / us through FCSPL.
- g) The client agrees not to receive the contract notes in paper form from FCSPL. Provided however that in case when FCSPL is not able to provide Contract Note to me / us through electronic medium due to any unforeseen events, FCSPL would ensure that the contract note is sent to me / us in physical form as per the time schedule stipulated in the bye-laws, Rules and Regulations of the Exchanges.
- h) The client agrees that as an alternative to the e-mail communication and / or in case of non-receipt of the electronic documents through e-mail he / she / they shall utilize the facility of accessing the website hosted by FCSPL to access the Electronic documents.
- II) Statement of Account in Digital Format:
- FCSPL may send the Statement of Trading Account or other statements, related notices, circulars, amendments and records and documents by whatever name called (herein after referred to as "statements") to the client in electronic form on their registered e-mail ID. The client agrees and permits FCSPL under the terms of this document to provide to the client, Statements through Internet (web based).
- III) Copy of Client Registration Documents:
- FCSPL may send the Client Registration documents vis., Account Opening Form, Mandatory and Voluntary Rights and Obligations, Risk Disclosure Document, Guidance Note, and a copy of any other document executed with the client (herein after referred to as "Registration documents") to the client in electronic form. The client hereby agrees and permits FCSPL under the terms of this document to provide to the client, the Registration documents through Internet (web based).
- IV) Terms governing issue of digitally signed Contrcat Notes / Statement(s) for Trading Account, Client Registration Documents, Digital Documents and other documents (herein after collectively referred to as "the Documents") in electronic form to your Email Id: priya123@gmail.com
- a. The client undertakes to change the initial password of such e-mail account upon first login. The client shall take all necessary steps to ensure confidentiality and the secrecy of the login and password of such e-mail accounts. FCSPL shall not be liable to or responsible for any breach of secrecy. Unless the client lodges a complaint with FCSPL as to his / her / its inability to access the system, it would be presumed that the documents have been properly delivered. The client agrees that the Documents may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.
- b. The client agrees that FCSPL shall not be responsible for non-receipt of Documents sent via electronic delivery due to change in / incorrect email address provided by the Client for the purpose or for any other reason which inter alia include insufficient space in the inbox of the client, technical reasons or malfunction of the clients computer system / server / internet connection etc., It shall be the responsibility of the client to intimate FCSPL of any change in the client's email accounts.

- c. FCSPL shall not be liable or responsible for any statement received from frauds or impostors or any consequences thereof and shall not be liable for any problem which arises at the client's computer network because of the client receiving any such statement from FCSPL.
- d. FCSPL agrees that in case of receipt of bounced back notification from all the email account(s), FCSPL shall make required delivery by any other electronic means or available download from the back office website immediately thereafter. However the client agrees that FCSPL shall not take congnizance of out of office / out of station auto replies and the client shall be deemed to have received such electronic mails.
- e. The client hereby agrees to intimate FCSPL of any change in E-mail ID immediately. f. The client understands and agrees that FCSPL shall be entitled to charge to the client fees / charges for sending such Documents. Such fees and charges shall be intimated to the client from time to time by FCSPL.
- g. The client acknowledges and accepts that the internet is an inherently unreliable medium of communication and provision of services due to the public nature of the communication and that the accuracy, reliability and soundness of such means of communication and provision of services depends upon, amongst others, the service providers and the telephone, modem, cables, systems, facilities and the like used and operated from time to time by such providers and other participants. Client acknowledges and further accepts that as a result of such unreliability, there are risks associated in using such means of communication including the congestion, breakdown, interruption or failure of transmission of the internet service or any communication equipment or facilities, errors, omissions or delay in the transmission and receipt of data and information.
- h. There are also other risks involved such as in the unauthorized access, tampering, modification or alteration of the service and / or the system, components and software used or comprised in the service which may result in the use, manipulation, retrieval or the theft or loss of data and information, including client personal data. The client agrees that the stock broker shall not be liable / responsible for such matters and resultant losses under any circumstances.

2. Order Placement Instructions:

I understand that you require written instructions from me for placing / modifying / cancelling orders. However, since it is not practical for me to give written instructions for placing / modifying / cancelling order. Even if I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting / calling / E-mailing the FCSPL in case of breakdown of internet connectivity or other similar reasons. I hereby request you to kindly accept my, my authorized representative or mandate holder's verbal orders / instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN / Physical contract notes or trade confirmations through SMS and other modes. I indemnify FCSPL and its employees against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

3. PMLA Declaration:

I declare that I have read and understood the contents and the provisions of the PMLA Act 2002, which were also explained to me by FCSPL officials. I further declare that I shall adhere to all the provisions of PMLA Act 2002. I further undertake and confirm that; I do not have any links with any known criminal. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

4. Not to act as Un-registered Sub-broker:

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients. Incase, FCSPL perceives that I am acting as an unregistered sub-broker, FCSPL has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owned by me to FCSPL without the requirement of any notice from FCSPL. Further, FCSPL has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify FCSPL from any loss / damage / claim arising out of such activity.

5. Indemnification:

I hereby indemnify and hold FCSPL, its Directors and employees harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and / or expenses that are occasioned or may be occasioned to the FCSPL directly or indirectly, relating to bad delivery of shares / securities and / or third party delivery, whether authorized or unauthorized and fake / forged / stolen shares / securities / transfer documents introduced or that may be introduced by or through me during the course of my dealings / operations on the Exchanges and / or proof of address, identity and other supporting / documents provided by me at the time of registration and / or subsequently. Indemnity of Joint Holdings:

I hereby agree to indemnify and hold the FCSPL harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, charges, and / or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

6. No Market Manipulation:

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronised trades, cross trades, self trades etc., or which could be termed as manipulative or fraudulent trades by SEBI / Exchanges. Incase, I am found to be indulging in such activities, FCSPL has every right to inform the Exchange / SEBI / other regulatory authority of the same and suspend / close my trading account.

7. Not Debarred by any Regulator:

I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and / or Statutory authority to deal in securities directly or indirectly. I agree to inform FCSPL, in writing, of any regulatory action taken by any Exchange or Regulatory / Statutory authority on me in future. Incase, I fail to inform the same and FCSPL on its own comes to know of such action, FCSPL has the right to suspend / close my trading account and refuse to deal with me. Also, FCSPL can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me.

8. Errors & Ommissions:

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances FCSPL shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold FCSPL responsible beyond this and claim additional damages / loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by FCSPL.

9. Mutual Fund Service System (MFSS) Facility:

I am interested in availing the MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS of the Exchange. For the purpose of availing the MFSS facility, I state that Know Your Client details as submitted by me for the opening of Trading Account may be considered for the purpose of MFSS and I / we further confirm that the details contained in same remain unchanged as on date.

I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by SEBI and AMFI. I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe / redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

Client Name	PRIYADHARSHINI DHANASEKARAN
Signature of Client	



Maintenance of Running A/c.- Letter of Authority

То

M/s. Fortune Capital Services Private Ltd., "Kochar Technology Park" 6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate, Ambattur, Chennai – 600 058.

Dear Sir,

I / we are dealing through you as a client in Capital Market and / or F & O segment and / or Currency Segment and / or Interest Rate Future Segment / Commodity Segment & Option Segment and in-order to facilitate ease of operations and upfront requirement of margin for trade. I / we authorize you as under:

I / we request you to maintain running balance in my account and retain the credit balance in my / our account and to use the unused funds towards my / our margin pay-in / other future obligation(s) at any segment(s) of any or all the Exchange(s) / Clearing Corporation unless I / we instruct you otherwise. I / we further authorize FCSPL to settle the funds atleast once in a calendar quarter / month as specified by me below in accordance with regulations in force.

Quarterly Monthly

In case, I / we have an outstanding obligation on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds towards margin liability as on the date of settlement of running account, in all segments and additional margins (maximum upto 125% of total margin liability on the day of settlement). The margin liability shall include the end of the day margin requirement excluding the MTM and pay-in obligation, there, you may retain 225% of the total margin liability in all the segments across the exchanges.

I/ We hereby authorise you to settle the entire credit balance in case there is no transactions in the 30 calendar days since the last transaction within three working days irrespective of the date when the running account was previously settled.

I / We hereby authorise you to liquidate / close out all or any of my / our positions for non-payment of margins or other account, outstanding debts etc. I / We agree to bear any and all losses and financial charges on account of such liquidation / close-out. I / We agree not to hold you liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war, strikes, failure of systems, failure of internet links, Government / regulatory actions or any such other contingencies which may be beyond your control. Government / regulatory actions or any such other contingencies which may be beyond your control.

I / we agree to continue with the authorization to maintain a running account till such time the same is expressly revoked. I / we understand that FCSPL shall act upon such revocation request upon receipt of the original revocation request.

REF: SEBI Circular Ref. No.: MIRSD/SE/Cir-19/2009 dated December 03, 2009, SEBI/HO/MIRSD/MIRSD2/CIR/P2016/95 dated September 26, 2016, and SEBI/HO/MIRSD/DOP/P/CIR/2021/577 Dated June 16, 2021, regarding quarterly/ monthly settlement of running accounts of clients.

Yours faithfully,

Signature

Client Name: PRIYADHARSHINI DHANASEKARAN Date:

a.Mobile Number 76890432314

b.Email ID priya123@gmail.com

I/We agree to the terms and condition specified by the Exchange vide circular No. MCX/T&S/165/2012 dated April 26, 2012 and circulars/ clarifications issued by Exchange from time to time in this regard. I/We are/am also aware that this is an additional facility provided by the exchange and I/we shall not solely rely or use such data for any purpose and, Exchange shall not be liable for any direct or indirect loss of any nature because of providing this additional facility.

Client Name	PRIYADHARSHINI DHANASEKARAN
PAN	HBIPD1885A
Signature of Client	

26. Electronic Contract Note (ECN) – DECLARATION(VOLUNTARY)

To,

FORTUNE CAPITAL SERVICES PRIVATE LIMTED

Member: NSE,BSE &MCX

Kochar Technology Park, 6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate, Ambattur, Chennai - 600 058.

Dear Sir,

I/We,PRIYADHARSHINI DHANASEKARAN a client with Member M/s FORTUNE CAPITAL SERVICES PRIVATE LIMITED, of NSE,BSE&MCX undertake as follows.

- -I/We am/are aware that the Member has to provide contract note in respect of all the trades placed by me unless I/We myself/ourself want the same in the electronic form.
- -I/We am/are aware that the Member has to provide electronic contract note for my/our convenience on my/our request only.
- -Though the Member is required to deliver physical contract note, I/We find that it is inconvenient for me/us to receive physical contract notes. Therefore I/We am/are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ordered by me/us.
- -I/We have access to a computer and I/We am/are regular internet user, having sufficient knowledge of handling email operations.
- -My/our email ID is "priya123@gmail.com" This has been created by me/us and not by someone else.
- -I/We am/are aware that this declaration form should be in English or any other language known to me.
- -I/We am/are aware that non-receipt of bounced mail notification by the Member shall amount to delivery of the contract note at the above e-mailID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me/us.

I/We am/are aware of the risks involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

Client Name	PRIYADHARSHINI DHANASEKARAN
Signature of Client	
Date	

27. Commodity Categorization

To,
Fortune Capital Services Private Limited
Kochar Technology Park,
6th Floor, SP 31-A, 1st Cross Road,
Ambattur Industrial Estate,
Ambattur, Chennai - 600 058.

With reference to the SEBI Circular No. SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 Dated, January 04, 2019, In order to enhance transparency to the public in Commodity Derivatives Markets and also as recommended by Commodity Derivatives Advisory Committee (CDAC), we request your consent for each Commodity on Self Declaration basis for the following to report to Exchange.

Commodity	Farmers /FPOs	Value Chain Participants	Proprietary Traders	Domestic Financial Institutional investors	Foreign Participants	Others(All other particpants which cannot be classified in the 5 categories)
ALUMINIUM						✓
BRASS						✓
CARDAMOM						✓
CASTORSEED						✓
COPPER						✓
COTTON						✓
CRUPALMOIL						✓
CRUDE OIL						✓
GOLD						✓
LEAD						✓
MENTHAOIL						✓
NATURALGAS						✓
NICKEL						✓
PEPPER						✓
RBDPALM						✓
SILVER						✓
ZINC						✓
DIAMOND						✓
STEEL						✓
RUBBER						✓
KAPAS						✓
MCXBULLDEX						✓
MCXMETLDEX						✓

Signature

Name PRIYADHARSHINI DHANASEKARAN

28. RULES & REGULATIONS

To, Fortune Capital Services Private Limited Kochar Technology Park, 6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate, Ambattur, Chennai - 600 058.

- 1. Please maintain secrecy of your User Name and Password and never Share your Login Credentials / User Name and Password with anyone.
- 2. All Bank Accounts from which the client will issue cheques should be registered with FORTUNE CAPITAL SERVICES PVT LTD. Third party cheques will not be accepted at any cost.
- 3. Fortune will not accept any cash from its clients and will not pay by cash to its clients. Clients are strictly advised not to do any cashtransactions with any of the sub brokers / staffs /associates of Fortune . Fortune Capital Services Pvt Ltd will not be responsible for any cash transactions with any of the sub brokers / staffs /associates of Fortune . Fortune Capital Services Pvt Ltd will not be responsible for any cash transactions.
- 4. Fortune does not do any portfolio type of trading. Clients are advised not do any such activity with any of our sub brokers / associates / staffs.
- 5. Fortune will not give any third party cheques at any cost and the cheques will be issued only from the Fortune Capital Services Pvt Ltd Bank account.
- 6. Kindly check the emails on a daily basis. We send all your contract notes, Daily Activity Reports, Longshort Positions, Ledgers on a daily basis to your email id. Discrepancies/Disputes if any, should be brought to our knowledge immediately. please write to us at support@flattrade.in
- 7. Daily the clients will be received a SMS from Fortune with all the account details, Trade confirmations and their pending positions
- 8. Delayed payment charges @ 2% per month on account of delay / failure by the client in meeting the pay-in / margin obligations / mark to market obligations on the scheduled date till date of payment. Not consider any credit balance in other family or group account of the client while computing delayed payment charges on the debit balance in the running account of a client.
- 9. The above levy is only a penal measure incase of a client default in meeting settlement and margin obligation and should not be construed as funding arrangement by the client; and the client cannot demand continuation of service on a permanent basis citing levy of delayed payment charges.
- 10. We are not a dealer and not selling any automated software, charts, technical analysis softwares etc., to our clients for placing orders / trading purposes. Fortune neither have arrangements nor tied up with any vendor for selling any kind of automated software, technical analysis softwares etc., to our clients.
- 11. Fortune neither providing any advisory regarding trading of stocks / commodities nor we offer Portfolio Management Services (PMS). Please be aware of unauthorised website / SMS / Emails / whatsapp / chats etc., for trading calls or PMS in our name. If you find any suspicious messages / chats with our name, please report the same to ftc@ftconline.in or call and inform us 044-45609696.

DECLARATION

I PRIYADHARSHINI DHANASEKARAN have read and understood all the terms and conditions of Fortune Capital Services Private Limited mentioned in the Account Opening Form. I also read and understood the rules and regulations of Fortune Capital Services Private Limited mentioned above and I will abide the same. I Further state and confirm that I have read & understood all the clauses of Risk Disclosure Document - RDD and Rights & Obligations. I will do all the trading on my own and I will not allow anyone else to do the trading on my behalf. I ensure you that I will not do any Portfolio Business through any associates of Fortune Capital Services Private Limited. We are aware that Fortune Capital Services Private Limited will not accept Cash, Un-mapped Bank account and / or Third Party Cheques from their clients. We are completely aware that Fortune Capital Services Private Limited not selling any automated software, charts, technical analysis software etc., to their clients. I have been made understood by Fortune Capital Services Private Limited that Fortune Capital Services Private Limited will not be held responsible for all mis happenings, if I do not follow the Rules & Regulations.

Client Name	PRIYADHARSHINI DHANASEKARAN
Signature of Client	

MARGIN TRADING FACILITY (MTF)

Margin Trading Facility (MTF) is the facility offered by M/s Fortune Capital Services Private Limited to fund its clients for the purchase of shares and securities and allow them to take a benefit of trading opportunities by taking delivery of shares based on margin. (The product hereinafter called as MTF).

I / we wish to avail the Margin Trading Facility (MTF) on the platform of National Stock Exchange of India Ltd., (NSE) as per the framework prescribed by SEBI vide its Circular No. CIR/MRD/DP/54/2017 dated, 13th Jun 2017.

Further I / we have read and understood "Rights and Obligation of Stockbrokers & Client for Margin Trading Facility (MTF)" as prescribed by NSE vide its Circular No. NSE/COMP/35260 dated, 30th Jun 2017 and BSE Vide its Circular No. 20170619-26 dated 19th Jun 2017.

I / we have read and understood the "Policies & Procedures for MTF" as specified by Fortune Capital Services Pvt. Ltd., (FCSPL) regarding terms and conditions of Margin Trading Facility, method of communication for confirmation of orders / trades, margin calls and calls for liquidation of collateral / securities / positions etc. and agreed to abide by the clauses mentioned therein all the times. Further, I/we will refer to FCSPL website (www.flattrade.in) from time to time for any updation w.r.t. Policies and Procedures.

Collection of Margin:

The margin is collected in Cash & Securities by way of pledge from the client who wish to trade in MTF Segment. Approved Securities List is available in our Website (www.flattrade.in) download page along with the Hair-cut value naming Acceptance of Approved Securities List.

Kindly refer the same before Pledging. Please find below the DP Account details to pledge the securities:

Account Type	DP ID	DP NAME	CLIENT ID
TM Client Securities Margin Pledge A/C	12080300	FCSPL	00231833

Setting of Exposure: Trading is allowed only against available margin in client's MTF account. For this purpose, margin should be available at the time of entering trade in the cash segment of NSE in the form of credit balance either cash or pledged securities value in the MTF segment of the client's trading account.

The shares purchased by the client will be given to Pledge in the below mentioned DP Account of Client Security Margin Funding Account. The securities will be delivered to the clients own Beneficiary account on making the full payment and based on the request or delivered to the Exchange Pool a/c on obligations / selling of shares automatically

Account Type	DP ID	DP NAME	CLIENT ID
Client Security Margin Funding A/C	12080300	FCSPL	00221204

Exposure for Approved Securities Buying under Product MTF:

The facility will be applicable only for approved securities. List of approved securities details are updated in our website (www.flattrade.in) download page naming "Approved Securities List for Buying".

At the time of order placing, always select the product code as "MTF" and place orders using the exe or mobile application provided for trading. Approved Securities List will be reviewed on monthly basis and the changes can be taken place with addition / removal of stocks. The clients will be provided additional One Time Margin for purchase of approved securities and the payment needs to be made to Fortune Capital Services P. Ltd., whenever required. For purchase of unapproved stocks, client must use the product code "CNC" for placing order and Unapproved Stocks are not allowed under MTF.

Adjustment of Credit Balances in Regular Account with MTF Account:

Client has to make separate request by sending mail to accounts@ftconline.in to transfer the Credit balance from their Normal Account to MTF account. The fund transfer will not be happened automatically from normal ledger to MTF Ledger and on receipt of request only transfer will be affected. Whenever there is short fall in margins, company having right to move the funds from the normal leger to MTF ledger without intimation to the clients. Further, if there is debit in normal ledger, excess margin amount available in the MTF margin account, the same will be adjusted with the debit in the normal ledger automatically.

Interest Charges for MTF:

Interest will be levied @ 18 % p.a. for MTF Funded value after adjusting the Cash Margin available in the client's MTF margin ledger. In case, if the client provided securities through pledge as non-cash component towards margin, the same will not be considered towards interest calculation and interest will be charged for entire Funded value. Interest Charges will be calculated on a daily running balance and debited to the client ledger by end of the month. While calculating interest, the credit lying in Normal Ledger will not be considered and hence, please make the fund transfer request as explained above. Increasing the interest rates will be done by the company with the prior intimation notice to the clients.

Liquidation of the position under MTF:

If the client is not made good the Mark to Market (M2M) losses or margin short fall within 5 working days from the date of margin call, position will be squared off to the extend of the M2M losses or margin call with the discretion of the stockbroker.

If the securities are moved out of approved securities list by Fortune Capital Services P Ltd and or Group 1 securities list provided by exchange / SEBI, client is expected to clear the debit and take delivery to his demat account immediately. Otherwise, position will be squared off by Fortune Capital Services P Ltd without giving any intimation.

In case if the client is not fulfilled the Mark to Market (M2M) losses and or Margin shortfall and the same reaches 70% and the client is not made good on the Mark to Market losses and or margin shortfall, MTF Funded stock will be squared off immediately. Even after the square off the funded stock, there is shortfall in margin or debit in the trading account, collateral securities pledged towards margin also will be squared off and set off the losses occurred on the trading account of the client.

Fortune Capital Services P Ltd cannot guarantee the price at the time of square off and the orders are placed on market and the position will be squared off on the prevailing market price which is traded on the exchange.

If the securities are purchased in the market and the said securities are not received from the exchange or due to internal matching and the auction / Closed Out rates are provided by the exchange or as per policy, then the said MTF position will be liquidated and the necessary credits are passed on the client account.

Terms and Conditions:

- 1. Fortune Capital Services P Ltd reserves the absolute right to either allow or refuse the aforesaid facility without giving any reasons to the clients and I agree that I shall not dispute the result in any manner whatsoever.
- 2. All Transactions in my account shall be subject to Margin Trading Facility rules and regulations of the exchange / SEBI.
- 3. The Maximum credit limit for a client will not exceed 10% of the net worth of the company computed as per SEBI Guidelines. However, Fortune Capital Services P Ltd reserves the right to reduce the maximum credit limit at any time without any prior intimation or assigning any reasons thereof.
- 4. The maximum single scrip funding limit for a client will not exceed Rs.50 Lakhs where the scrip is part of Nifty, CNX 100 and Junior Nifty collateral and will not exceed Rs.25 Lakhs where the scrip is not part of Nifty, CNX 100 and Junior Nifty.
- 5. In order to avail margin trading, initial margin and maintenance margin required at all times shall be as prescribed by SEBI which is as under.

Category of Stock	Initial margin & maintenance margin	Initial margin and maintenance margin	
	as prescribed by SEBI	as set-in trading platform	
Group I stock available for trading in	VaR + 3 times applicable ELM	VaR + 4 times applicable ELM with	
F&O Segment		minimum margin of 40%	
Group I stock other than F&O stocks	VaR + 5 times ELM	VAR + 6 times ELM with minimum	
		margin of 40%	

- 6. Mark to Market (MTM) loss if any on the funded stocks should be paid immediately under this funding facility.
- 7. Margin call will be initiated by Fortune Capital Services P Ltd at any time if the client fails to meet the daily mark to market. Margin calls to the client shall be made in the form of E- Mail to the registered email id or SMS to the registered mobile number or both by the company.
- 8. Upon receipt of the margin call, I agree to bring additional margin amount within the specified time to cover the margin short fall and make good of such deficiency in the amount of margin placed with the company. Margin shortfall can be replenished by way of online fund transfer / by pledging additional collateral stocks / by transferring the funds from the normal trading account to the MTF Account.
- 9. If there is a margin call on MTF Account and have the credit balance in the normal trading account, Fortune Capital Services P Ltd reserves the right to transfer any clear credit balance from the normal trading account to MTF Account. By agreeing to this terms and conditions, you give Fortune Capital Services P Ltd the explicit right to do this transfer without any intimation to you.
- 10. Margin Trading Facility allowed under this facility can be continued for a long period till it is terminated by you or Fortune Capital Services Private Ltd.
- 11. Fortune Capital Services P Ltd at its discretion, may liquidate, close out / sell shares, a part of or whole position if I fail to meet the margin call made by the company within the specified time, without prior intimation to me.
- 12. Fortune Capital Services P Ltd has full lien on cash and security balances in my trading / depository account and Fortune Capital Services Private Ltd may sell such securities lying with this demat account on account of any loss arising out of squaring off or sale of securities. Fortune Capital Services P Ltd will not be liable for any cost or demage or any consequences thereof.
- 13. If there are no transaction for 90 days in the MTF Account and where MTF Account is in credit, then the same would be settled as per the running account settlement process.
- 14. 1 / We shall loge / protest any disagreement with any transactions done under the MTF facility within 2 days from the receipt of the contract note.

- 15. The stock valuation of fully paid securities in the Collateral account will be determined by Fortune Capital Services Private Limited after applying the necessary haircuts from time to time.
- 16. Fortune Capital Services Private Limited reserves the absolute right to withdraw this aforesaid facility at any time without giving any reasons thereof, and I shall not protest or take a stand against them.
- 17. If this MTF facility is granted to me\us, I\We shall have no right to revoke the POA or withdraw the Collateral, unless and until all the claims, demands, loss, damages, penalties, and liabilities are settled with Fortune Capital Services Private Limited.
- 18. The brokerages and other regulatory charges etc. are applicable for each trade that is executed through the Margin funding account.
- 19. By signing and agreeing to the terms and conditions of MTF, I\We give my\our explicit permission to move the MTF trades to my MTF account daily basis.
- 20. I/We have the right to terminate this facility if, at any time, Fortune Capital Services Private Limited breaches its terms and conditions.
- 21. I\We agree that, have received the Risk Disclosure document, Rights & obligations, Policies, and procedures, and read, understood and accepted the same.
- 22. Fortune Capital Services P Ltd will have a discretion to alter / change any of the information / parameter defined in the policy on the basis of prevailing market conditions with or without intimation to the clients and can use their discretion to grant any kind of exemption / permission in case they deem fit on case to case basis.

I/we hereby confirm that I/we will continuously monitor the positions and the Margin Calls issued to me/us by Fortune Capital Services Pvt. Ltd., and I/we will top up the Margin immediately. I/we hereby confirm that I/we am/are aware that the Policy is tends to be changed depending on the market scenario and we will update myself/ourselves by reading the Policy from at regular intervals

Client Name	PRIYADHARSHINI DHANASEKARAN				
Client Code	FT00016				
Signature of the client					

RIGHTS & OBLIGATIONS OF STOCK BROKERS & CLIENTS FOR MARGIN TRADING FACILITY (MTF)

CLIENT RIGHTS

- 1. Client shall receive all communications in a mode mutually agreed between the broker and the client regarding confirmation of orders/trades, margin calls, decision to liquidate the position / security.
- 2. Client shall be free to take the delivery of the securities at any time by repaying the amounts that was paid by the Stockbroker to the Exchange towards securities after paying all dues.
- 3. Client has a right to change the securities collateral offered for Margin Trading Facility at any time so long as the securities so offered are approved for margin trading facility.
- 4. Client may close / terminate the Margin Trading Account at any time after paying the dues.

CLIENT OBLIGATIONS

- 1. Client shall, in writing in his own hand or in any irrefutable electronic method, agree to avail of Margin Trading Facility in accordance with the terms and conditions of Margin Trading Facility offered by the broker, method of communication for confirmation of orders/trades, margin calls and calls for liquidation of collateral/security/position.
- 2. Client shall inform the broker of its intent to shift the identified transaction under Margin Trading Facility within the timelines specified by the broker failing which the transaction will be treated under the normal trading facility
- 3. Client shall place the margin amounts as the Stockbroker may specify to the client from time to time.
- 4. On receipt of 'margin call', the client shall make good such deficiency in the amount of margin placed with the Stockbroker within such time as the Stockbroker may specify.
- 5. By agreeing to avail Margin Trading Facility with the broker, client is deemed to have authorized the broker to retain and/or pledge the securities provided as collateral or purchased under the Margin Trading Facility till the amount due in respect of the said transaction including the dues to the broker is paid in full by the client.
- 6. Client shall lodge protest or disagreement with any transaction done under the margin trading facility within the timelines as may be agreed between the client and broker.

STOCK BROKER RIGHTS

- 1. Stockbroker and client may agree between themselves the terms and condition including commercial terms if any before commencement of MTF.
- 2. Stockbroker may set up its own risk management policy that will be applicable to the transactions done under the Margin Trading Facility. Stockbroker may make amendments there to at any time but give effect to such policy after the amendments are duly communicated to the clients registered under the Margin Trading Facility.
- 3. The broker has a right to retain and/or pledge the securities provided as collateral or the securities bought by the client under the Margin Trading Facility.
- 4. The broker may liquidate the securities if the client fails to meet the margin call made by the broker as mutually agreed of liquidation terms but not exceeding 5 working days from the day of margin call.

STOCK BROKER OBLIGATIONS

- 1. Stockbroker shall agree with the client the terms and condition before extending Margin Trading Facility to such client. However, for clients who already have existing trading relationship and want to avail of Margin Trading Facility, stockbroker may take consent in writing in his own hand or in any irrefutable electronic method after stock broker has communicated the terms and conditions of Margin Trading Facility to such existing clients.
- 2. The terms and conditions of Margin Trading Facility shall be identified separately, in a distinct section if given as a part of account opening agreement.
- 3. The mode of communication of order confirmation, margin calls or liquidation of position/security shall be as agreed between the broker and the client and shall be in writing in his own hand or in any irrefutable electronic method. Stockbroker shall prescribe and communicate its margin policies on haircuts/ VAR margins subject to minimum requirements specified by SEBI and exchanges from time to time.
- 4. The Stockbroker shall monitor and review on a continuous basis the client's positions with regard to MTF. It is desirable that appropriate alert mechanism is set up through which clients are alerted on possible breach of margin requirements.
- 5. Any transaction to be considered for exposure to MTF shall be determined as per the policy of the broker provided that such determination shall happen not later than T + 1 day.
- 6.If the transaction is entered under margin trading account, there will not be any further confirmation that it is margin trading transaction other than contract note.
- 7. In case the determination happens after the issuance of contract, the broker shall issue appropriate records to communicate to Client the change in status of transaction from Normal to Margin trading and should include information like the original contract number and the margin statement and the changed data.
- 8. The Stockbroker shall make a 'margin call' requiring the client to place such margin; any such call shall clearly indicate the additional/deficient margin to be made good.
- 9. Time period for liquidation of position/security shall be in accordance declared policy of the broker as applicable to all MTF clients consistently. However, the same should not be later than 5 working (trading) days from the day of 'margin call'. If securities are liquidated, the contract note issued for such margin call related transactions shall carry an asterisk or identifier that the transaction has arisen out of margin call.
- 10. The daily margin statements sent by broker to the client shall identify the margin/collateral for Margin Trading separately.
- 11. Margin Trading Accounts where there were no transactions for 90 days shall be settled immediately.
- 12. The stocks deposited as collateral with the stockbroker for availing margin trading facility (Collaterals) and the stocks purchased under the margin trading facility (Funded stocks) shall be identifiable separately and there shall not be any comingling for the purpose of computing funding amount.
- 13. Stockbroker shall close/terminate the account of the client forthwith upon receipt of such request from the client subject to the condition that the client has paid dues under Margin Trading Facility.

TERMINATION OF RELATIONSHIP

- 1. The margin trading arrangement between the stockbroker and the client shall be terminated; if the Stock Exchange, for any reason, withdraws the margin trading facility provided to the Stockbroker or the Stock Broker surrenders the facility or the Stock Broker ceases to be a member of the stock exchange.
- 2. The MTF facility may be withdrawn by the broker, in the event of client committing any breach of any terms or conditions therein or at anytime after due intimation to client allowing such time to liquidate the MTF position as per the agreed liquidation terms without assigning any reason. Similarly, client may opt to terminate the margin trading facility in the event of broker committing any breach of any terms or conditions therein or for any other reason.
- 3. In the event of termination of this arrangement, the client shall forthwith settle the dues of the Stockbroker. The Stockbroker shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes the Stockbroker to make such adjustment.
- 4. After such adjustment, if any further amount is due from the client to the Stockbroker, the client shall settle the same forthwith. Upon full settlement of all the dues of the client to the Stockbroker, the Stockbroker shall release the balance amount to the client.
- 5. If the client opts to terminate the margin trading facility, broker shall forthwith return to the client all the collaterals provided and funded securities retained on payment of all the dues by clients.

Client Name	PRIYADHARSHINI DHANASEKARAN				
Client Code FT00016					
Signature of the client					

Most Important Terms and Conditions (MITC)

(For non - custodial settled trading accounts)

- 1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
- 2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank a counts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
- 3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
- 4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay- out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
- 6. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
- 7. You will get a contract note from the stock broker within 24 hours of the trade.
- 8. You may give a one- time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay in.
- 9. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for Kindly also keep your email Id and mobile phone details with the stock broker always updated.
- 10. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
- 11. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

29. ACKNOWLEDGEMENT

To M/s.Fortune Capital Services Pvt Ltd Kochar Technology Park, 6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate Ambattur, Chennai – 600 058.

Dear Sir,

This is to acknowledge the receipt of the following documents. I further state and confirm that I have read and understood all the clauses of aforesaid document. I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Fortune Capital Services Pvt Ltd.

S.No.	Brief Significance of the Document				
Equity Segment					
1.	Rights and Obligations and Most important Terms and Conditions (MITC)				
2.	Risk Disclosure Document (RDD)				
3.	Guidance Note Do's and Don't for trading on the Exchanges for Investors				
4.	Policies and Procedure document pursuant to the SEBI Circular Dt: 03.12.2009				
5.	Duly executed copy of KYC & Tariff Sheet				
6.	Voluntary Documents executed with the Trading Member				
7.	Internet & Wireless based Trading disclosure				
8.	Risk Management Policy				
	Commodity Segment				
1.	Rights and Obligations and Most important Terms and Conditions (MITC)				
2.	Risk Disclosure Document (RDD)				
3.	Guidance Note Do's and Don't for trading on the Exchanges for Investors				
4.	Internet & Wireless based Trading disclosure				
5.	Policies and Procedure document				
6.	Devolvement Policy for Commodity Trading				
7.	Additional Risk Disclosure – Options Trading				
Depository Operation					

I have executed the voluntary documents without any compulsion, and I read and understood the same. The above documents are binding on me.

Yours faithfully,

Client Name	PRIYADHARSHINI DHANASEKARAN				
Client Code	FT00016				
Signature of the client					

30. AUTHORIZATION FOR ACCOUNT MODIFICATION REQUESTS

Rights & Obligations for DEMAT Account

I / We hereby authorize you to carry out any addition, modification and/or removal of my details like Mobile No & E-mail ID without supporting documents and Bank Details, DP Details, Address Details with supporting documents duly self attested including brokerage plan changes sent through my Registered Mail ID with you to your Mail ID support@flattrade.in and/or or intimation through an interface provided by you, whereto I have been allowed secured access.

Signature of the client

31. Request for updation	n of same mobile number / email id						
	nor same mosne number / eman id						
Client Name	PRIYADHARSHINI DHANASEKARAN	PRIYADHARSHINI DHANASEKARAN					
Mobile No	76890432314						
Email Id	priya123@gmail.com						
	e aforesaid mobile number belongs to : e aforesaid E-mail ID belongs to :						
Signature of the client							
32. Option for issuance	of DIS booklet along with account opening						
	eeking to open a depository account who have given Power of Att						
	Manager and do not intend to open a Basic Services Demat Accoun	ıt .					
Client Name	vianager and do not intend to open a Basic Services Demat Accoun	PRIYADHARSHINI DHANASEKARAN					
Client Name Date	vianager and do not intend to open a Basic Services Demat Accoun						
	vianager and do not intend to open a Basic Services Demat Accoun						
Date Pan	Delivery Instruction Slip (DIS) booklet with account opening.	PRIYADHARSHINI DHANASEKARAN					
Date Pan I/We wish to receive the		PRIYADHARSHINI DHANASEKARAN					
Date Pan I/We wish to receive the	Delivery Instruction Slip (DIS) booklet with account opening.	PRIYADHARSHINI DHANASEKARAN HBIPD1885A					
Pan I/We wish to receive the I/We hereby accept to tr Signature of the client	Delivery Instruction Slip (DIS) booklet with account opening.	PRIYADHARSHINI DHANASEKARAN HBIPD1885A					
Date Pan I/We wish to receive the I/We hereby accept to tr Signature of the client 33. Client Declaration	Delivery Instruction Slip (DIS) booklet with account opening.	PRIYADHARSHINI DHANASEKARAN HBIPD1885A YES					

Signature of the client

34. AUTHORITY LETTER

With respect to the client - member relationship with you, we authorize you to do the following:

1. Adjustment of account between exchanges and segments :

I/we hereby inform you that I have executed/intend to execute an agreement with you for trading on different exchanges, i.e., NSE, BSE & MSEI in cash and derivative segments. I/We request you to transfer, make adjustments and/or set off a part or whole of the securities placed as margin and/or any surplus funds in any of the accounts maintained with you against the outstanding dues payable if any, by me/us to any of my/our account(s) maintained with you. You will have lien on the credit balance in any of my/our account for meeting the debit or obligations in progress in any other of my/our account. Any entries passed by you in accordance with this authorization will be binding on me/us.

2. Authorization to debit Demat Account operating charges :

I/We opened/ have a Beneficiary account with you and I/we have a trading account with you for investment and trading purpose. It will be very difficult for me/us to issue separate cheques against your depository bills. Hence, I authorize you to debit the trading account for the debit charges payable to you as Depository Participant for providing depository services. Any such sum debited to my/our account shall be binding on me/us.

3. Authorization For Communication Of Financial Products

I/We have opened an account with you and am/are interested in knowing about other financial products. I/We authorize you, your group companies and associates to keep me/us informed with any financial product which Fortune Global Securities Ltd., its group companies and associates presently issue, deal in, or distribute or may, from time to time, launch, issue, deal in or distribute; through E-mail, SMS, telephone, print media or otherwise. This is without legal obligation on you, your group companies and associates to so inform and you or they may, in their discretion, discontinue sending such information.

4. Authorization for verification of information from independent agency(ies)

I/We, do hereby certify that the information provided by me/us in this account opening form is true and correct in all respects and Fortune Capital Services Private Limited is entitled to verify this directly or through any third party agent. I/We further acknowledge the Fortune's right to seek any information from any other source in this regard. I/We do understand that all the information will form the basis of any facility that Fortune may decide to grant me/us at its sole discretion. I/We consent and authorize Fortune to share or generate subsequently any report through CIBIL or authorized agency/bureau.

Please score out whatever not accepted/ not agreed with.				
Signature of the client				

35. MUTUAL FUND SERVICE SYSTEM FACILITY

I/We PRIYADHARSHINI DHANASEKARAN am/are registered as your client with Client Code No. and have executed the Trading Member and Client Agreement for the purpose of trading in the Capital Market segment of Bombay Stock Exchange Ltd./ National Stock Exchange of India Ltd. (Exchange).

I/We am/are interested in availing the BSE STAR MF/MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF/ MFSS of the Exchange.

For the purpose of availing the BSE STAR MF/ MFSS facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE STAR MF/ MFSS and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the Circular dated 24, November 2009 & 2, December, 2009 for NSE & BSE Respectively and as may be specified by the Exchanges from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the MFSS/ BSE STAR MF.

35a. Details of terms & conditions for the Investor /Client for using New BSE STAR MF/MFSS facility

A. Pre-requisites for becoming Investor / Client for the BSE STAR MF/MFSS facility

- 1. The client who is desirous of investing in units of mutual fund schemes through the New BSE STAR MF/MFSS.
- 2. The Client intends to execute his instruction for the subscription/redemption of units of Mutual Fund Schemes through the Participant of the New BSE STAR MF/MFSS.
- 3. The client has satisfied itself of the capacity of the Participant to deal in Mutual Fund units and wishes to execute its instruction through the Participant and the client shall from time to time continue to satisfy itself of such capability of the Participant before executing transacting through the Participant.
- 4. The Client has approached to the Participant with the application for availing the New BSE STAR MF/MFSS facility.
- 5. The client has submitted relevant KYC (Know Your Client) details to the Participants

B. Terms and Conditions

- The client shall be bound by circulars issued by BSE/NSEIL, Rules, Regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
- The client shall notify the Participant in writing if there is any change in the information in the 'client registration form' provided by the client to the Participant at the time registering as a client for participating in the New BSE STAR MF/MFSS or at any time thereafter.

- 3. The client shall submit to the Participant a completed application form in the manner prescribed format for the purpose of placing a subscription order with the Participant.
- 4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.
- 5. The client shall be wholly responsible for all his investment decisions and instruction.
- 6. The client shall ensure continuous compliance with the requirements of the BSE, NSEIL, SEBI and AMFI.
- 7. The Client shall pay to the Participant fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Participant renders to the Client.
- 8. The client will furnish information to the Participant in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
- In the event of non-performance of the obligation by the Participant, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of BSE or BOISL / NSEIL or NSCCL.
- 10. In case of any dispute between the Participants and the investors arising out of the BSE STAR MF Fund / MFSS facility, BSE and /or BOISL and NSEIL and / or NSCCL agrees to extend the necessary support for the speedy redressal of the disputes.

Signature of the client				
Client Declaration				
I hereby confirm that I have read and understood the contents of the from including declarations, authorization and have also verified the information in the form. I hereby confirm that I have digitally signed the form including declarations, authorizations and copy of documents at all places with inscription 'Digitally Signed by PRIYADHARSHINI DHANASEKARAN				
Signature of the client				





Nomination Form								
M/s. Fortune Capital Services Private Limited FORM FOR NOMINATION								
Kochar Technology Park, 6th Floor, SP 31-A, 1st Cross Road,				To be filled in by individual applying singly or jointly)				
	Ambattur Industrial Estate, Ambattur, Chennai – 600 058.							
Date		UCC	C/ DP ID	Clie			ent ID	
Client ID with Spa			1					
Nomination Deta	ke a nomination. [As per de	etalis given belo	w J					
	I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.					ne event of my / our death.		
Nomination can be made upto three		Details of 1st Nominee		Deta	ils of 2nd	Nominee	Details of 3rd Nominee	
nominees in the account				Details of 2nd Nominee		- Trommee		talls of sta Holline
2.Share of each Equally [If not equally,		balaji d 100						
Nominee	please specify percentage]	Any odd lot after division shall be transferred to the first nominee mentioned in the form.						
3.Relationship W Any)	ith the Applicant (If		Brother					
4.Address of Nor	minee(s)		vks pandiyan nagar, ,eraiyanur, tindivanam					
City / Place: State & Country:		Pincode	604001	Pincode			Pincode	
5.Mobile / Telepl nominee(s)	hone No. of	78	865432987		1			
6. Email ID of no	minee(s)	bala12	23@gmail.com					
7.Nominee Identification details- [Please tick any one of following and provide details of same] Photograph & Signature PAN Addhaar Ings Bank account no. Dof of Identity Demat Account ID		Driving License						
	ould be filled only if nomin	ee(s) is a minor	r:					
8.Date of Birth		24/12/1994						
in case of minor r		24/12/1334						
in case of minor r								
10.Address of Gu								
City / Place:		Pincode		Pincode	ode		Pincode	
State & Country: 11.Mobile/Telep	hone No.of Guardian							
12. Email ID of G								
13.Relationship on nominee	of Guardian with							
14.Guardian Identification details - [Please tick any one of following and provide details of same] Photograph & Signature PAN Addhaar vings Bank account no. of Identity Demat Account ID								
		Name(s) of holder		r(s)		Signature(s)	of holder*	
Sole / First	: Holder (Mr./Ms.)	PRIYADHARSHINI DHANA		IASEKARAN				
Second Holder (Mr./Ms.)		N/A		N/A				
Third Holder (Mr./Ms.)		N/A			N/A		A	

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

^{*} Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature **Note:**

