# Advanced SQL

CS3043 - Database Systems

#### Overview

- JDBC
- Prepared Statements and SQL injection
- ODBC
- Embedded SQL
- Procedural constructs in SQL
- Triggers
- Advanced aggregation features
- Authorization

#### JDBC and ODBC

- API (Application Program Interface) for a program to interact with a database server
- Application makes calls to
  - Connect with the database server
  - Send SQL commands to the database server
  - Fetch tuples of result one-by-one into program variables
- ODBC (Open Database Connectivity) works with C, C++, C#,and Visual Basic
  - Other API's such as ADO.NET sit on top of ODBC
- JDBC (Java Database Connectivity) works with Java

#### **JDBC**

- JDBC is a Java API for communicating with database systems supporting SQL.
- JDBC supports a variety of features for querying and updating data, and for retrieving query results.
- JDBC also supports metadata retrieval, such as querying about relations present in the database and the names and types of relation attributes.
- Model for communicating with the database:
  - Open a connection
  - Create a "statement" object
  - Execute queries using the Statement object to send queries and fetch results
  - Close the connections
  - Handle errors using exception mechanism

# JDBC example

```
import java.sql.*;
static final String DB_URL = "jdbc:mysql://localhost/university";
static String USER;
static String PASSWORD;
static final String QUERY = "SELECT ID, name FROM student";
try (Connection conn = DriverManager.getConnection(DB_URL, USER, PASSWORD);
    Statement stmt = conn.createStatement();
    ResultSet rs = stmt.executeQuery(QUERY);) {
   // Extract data from result set
   while (rs.next()) {
       // Retrieve by column name
       System.out.println("ID: " + rs.getInt("id"));
        System.out.println("Name: " + rs.getString("name"));
 catch (SQLException e) {
    e.printStackTrace();
```

# JDBC examples (cont'd)

Update to database

Execute query and fetch results

#### JDBC Code

- Getting result fields
  - resultSet .getString("dept\_name")
  - o resultSet.getString(1)

equivalent if dept\_name is
the first attribute of the
SELECT result

- Dealing with NULL values
  - If a result set is empty after executing a statement it should be handled properly.
  - As a best practice, always prepare for potential null values after executing a query, since you are not aware of the result set until you execute the query.

# Prepared Statement

- For queries, use preparedStatement.executeQuery() which returns a ResultSet.
- Always use prepared statements when taking an input from the user and adding it to a query

# **Prepared Statement**

- For queries, use preparedStatement.executeQuery() which returns a ResultSet.
- Always use prepared statements when taking an input from the user and adding it to a query
  - NEVER create a query by concatenating strings taken as user inputs

```
"INSERT INTO instructor VALUES(' " + ID + " ', ' " + name + " ', " + " ' + dept name + " ', " ' balance + ")"
```

# SQL Injection

- Suppose a query is constructed using
  - O "select \* from instructor where name = '" + name + "'"
- Suppose the user enters the following, instead of entering the name
  - X' or 'Y' = 'Y
- Then the resulting query becomes:
  - o "select \* from instructor where name = '" + "X' or 'Y' = 'Y" + "'"
  - which is

```
select * from instructor where name = 'X' or 'Y' = 'Y'
```

Prepared Statements internally sanitize the inputs.

```
select * from instructor where name = 'X\' or \'Y\' = \'Y'
```

Always use Prepared Statements if the parameters for a query are taken as user inputs.

#### Transaction control in JDBC

- By default, each SQL statement is treated as a separate transaction that is committed automatically
  - bad idea for transactions with multiple updates
- Can turn off automatic commit on a connection
  - o conn.setAutoCommit(false);
- Transactions must then be committed or rolled back explicitly
  - o conn.commit(); or
  - o conn.rollback();
- conn.setAutoCommit(true) turns on automatic commit.

#### Other JDBC features

- Handling large object types
  - o getBlob() and getClob() can be used to return objects of type Blob or Clob, respectively.
  - o associate an open stream with Java Blob or Clob object to update large objects

```
blob.setBlob(int parameterIndex, InputStream inputStream)
```

#### Metadate features

• After executing a query to get the result set, you can access the metadata of the result set by,

```
ResultSetMetaData rsmd = rs.getMetaData();
for(int i = 1; i <= rsmd.getColumnCount(); i++) {
         System.out.println(rsmd.getColumnName(i));
         System.out.println(rsmd.getColumnTypeName(i));
}</pre>
```

# ODBC - Open Database Connectivity Standard

- Standard for an application program to communicate with a database server.
- Application program interface (API) to
  - open a connection with a database,
  - send queries and updates,
  - get back results.
- Applications such as GUI, spreadsheets, etc. can use ODBC
- Was defined originally for Basic and C, versions available for many languages.
- Each database system supporting ODBC provides a "driver" library that must be linked with the client program.
- ODBC program first allocates an SQL environment, then a database connection handle.
- Must also specify types of arguments:
  - SQL\_NTS denotes previous argument is a null-terminated string.

# ODBC example

```
int ODBCexample()
     RETCODE error;
     HENV env; /* environment */
     HDBC conn; /* database connection */
     SQLAllocEnv(&env);
     SQLAllocConnect(env, &conn);
     SQLConnect(conn, "db.yale.edu", SQL NTS, "avi", SQL NTS,
                            "avipasswd", SQL NTS);
     { .... Do actual work ... }
     SQLDisconnect(conn);
     SQLFreeConnect(conn);
     SQLFreeEnv(env);
```

Read more about ODBC from Chapter 4 of the recommended text.

#### **Embedded SQL**

- The SQL standard defines embeddings of SQL in a variety of programming languages such as C, Java, and Cobol.
- A language to which SQL queries are embedded is referred to as a host language, and the SQL structures permitted in the host language comprise embedded SQL.
- An embedded SQL program must be pre-processed by a special preprocessor prior to compilation.
  - The preprocessor replaces embedded SQL commands with host language declarations that allow run-time execution.
- **EXEC SQL** statement is used to identify embedded SQL request to the preprocessor

```
EXEC SQL embedded SQL statement > END_EXEC
```

- Note: this varies by language
  - for example, the Java embedding uses #SQL { .... };

#### SQLJ

- JDBC is overly dynamic errors cannot be caught by the Java compiler
- SQLJ: embedded SQL in Java
- How does embedding help to detect errors early?

```
#sql iterator deptInfoIter ( String dept name, int avgSal);
deptInfoIter iter = null;
#sql iter = { select dept name, avg(salary) from
                 instructor group by dept name };
while (iter.next()) {
    String deptName = iter.dept name();
    int avgSal = iter.avgSal();
    System.out.println(deptName + " " + avgSal);
iter.close();
```

# Procedural Constructs in SQL

#### Procedural Extensions and Stored Procedures

- SQL provides a module language
  - Permits definition of procedures in SQL, with if-then-else statements, for and while loops, etc.
- Stored Procedures
  - Can store procedures in the database
  - then execute them using the call statement
  - permit external applications to operate on the database without knowing about internal details
- Object-oriented aspects of these features are covered in Chapter 22 (Object Based Databases)

#### **SQL** Functions

- SQL:1999 supports functions and procedures
  - Functions/procedures can be written in SQL itself, or in an external programming language.
  - Functions are particularly useful with specialized data types such as images and geometric objects.
    - Example: functions to check if polygons overlap, or to compare images for similarity.
  - Some database systems support table-valued functions, which can return a relation as a result.
- SQL:1999 also supports a rich set of imperative constructs, including
  - Loops, if-then-else, assignment
- Many databases have proprietary procedural extensions to SQL that differ from SQL:1999

#### **SQL** Functions

• Define a function that, given the name of a department, returns the count of the number of instructors in that department.

```
create function dept_count (dept_name varchar(20))
returns integer
begin
    declare d_count integer;
    select count(*) into d_count
    from instructor
    where instructor.dept_name = dept_name
    return d_count;
end
```

Find the department name and budget of all departments with more than 12 instructors.

```
select dept_name, budget
from department
where dept_count (dept_name) > 12
```

#### **Table Functions**

- SQL:2003 added functions that return a relation as a result
  - Example: Return all accounts owned by a given customer

Usage

```
select *
from table (instructors_of ('Music'))
```

#### **SQL** Procedures

• The *dept\_count* function could instead be written as procedure:

 Procedures can be invoked either from an SQL procedure or from embedded SQL, using the call statement.

```
declare d_count integer;
call dept_count_proc( 'Physics', d_count);
```

- Procedures and functions can be invoked also from dynamic SQL
- SQL:1999 allows more than one function/procedure of the same name (called name **overloading**), as long as the number of arguments differ, or at least the types of the arguments differ

#### **Procedural Constructs**

- Warning: most database systems implement their own variant of the standard syntax below
  - o read your system manual to see what works on your system
- Compound statement: begin ... end,
  - May contain multiple SQL statements between begin and end.
  - Local variables can be declared within a compound statements
- While and repeat statements :

```
declare n integer default 0;
while n < 10 do
    set n = n + 1
end while

repeat
    set n = n - 1
until n = 0
end repeat</pre>
```

#### **Procedural Constructs**

- For loop
  - Permits iteration over all results of a query

```
Example:
```

```
declare n integer default 0;
for r as
    select budget from department
    where dept_name = 'Music'
do
    set n = n - r.budget
end for
```

# External Language Functions/Procedures

- SQL:1999 permits the use of functions and procedures written in other languages such as C or C++
- Declaring external language procedures and functions

```
create procedure dept count proc(in dept name varchar(20),
                                       out count integer)
language C
external name '/usr/avi/bin/dept count proc'
create function dept count(dept name varchar(20))
returns integer
language C
external name '/usr/avi/bin/dept count'
```

# External Language Routines (Cont.)

- Benefits of external language functions/procedures:
  - more efficient for many operations, and more expressive power.
- Drawbacks
  - Code to implement function may need to be loaded into the database system and executed in the database system's address space.
    - risk of accidental corruption of database structures
    - security risk, allowing users access to unauthorized data
  - There are alternatives, which provide security at the cost of potentially worse performance.
    - Use sandbox techniques
    - run external language functions/procedures in a separate process, with no access to the memory of the database process
  - Direct execution in the database system's space is used when efficiency is more important than security.

# Triggers

- A trigger is a statement that is executed automatically by the system as a side effect of a modification to the database.
- To design a trigger mechanism, we must:
  - Specify the conditions under which the trigger is to be executed.
  - Specify the actions to be taken when the trigger executes.
- Triggers introduced to SQL standard in SQL:1999, but supported even earlier using non-standard syntax by most databases.
- Syntax illustrated here may not work exactly on your database system;
   check the system manuals.

# Trigger example

- E.g. *time\_slot\_id* is not a primary key of *time\_slot relation*, so we cannot create a foreign key constraint from *section relation* to *time\_slot relation*.
- Alternative: use triggers on section and time\_slot to enforce integrity constraints

```
create trigger timeslot check1 after insert on section
    referencing new row as nrow
    for each row
    when (nrow.time slot id not in (
                 select time slot id
                 from time slot)) /* time slot id not
                                       present in time slot */
    begin
        rollback
    end;
```

# Trigger example (cont'd)

```
create trigger timeslot check2 after delete on time slot
    referencing old row as orow
    for each row
    when (orow.time slot id not in (
                 select time slot id
                 from time slot) /* last tuple for time slot id
                                           deleted from time slot */
             and orow.time slot id in (
                 select time slot id
                 from section)) /* and time slot id still
                                       referenced from section*/
    begin
        rollback
    end;
```

# Triggering Events and Actions in SQL

- Triggering event can be insert, delete or update
- Triggers on update can be restricted to specific attributes
  - E.g., after update of takes on grade
- Values of attributes before and after an update can be referenced
  - referencing old row as: for deletes and updates
  - **referencing new row as :** for inserts and updates
- Triggers can be activated before an event which can serve as extra constraints.

E.g. convert blank grades to null.

```
create trigger setnull_trigger before update of takes
  referencing new row as nrow
  for each row
  when (nrow.grade = '')
  begin atomic
    set nrow.grade = null;
end;
```

# Statement Level Triggers

- Instead of executing a separate action for each affected row, a single action can be executed for all rows affected by a transaction
  - Use for each statement instead of for each row
  - Use referencing old table or referencing new table to refer to temporary tables (called *transition tables*) containing the affected rows
- Can be more efficient when dealing with SQL statements that update a large number of rows

# When Not To Use Triggers

- Triggers were used earlier for tasks such as
  - maintaining summary data (e.g., total salary of each department)
  - Replicating databases by recording changes to special relations (called change or delta relations) and having a separate process that applies the changes over to a replica
- There are better ways of doing these now:
  - Databases today provide built in materialized view facilities to maintain summary data
  - Databases provide built-in support for replication
- Encapsulation facilities can be used instead of triggers in many cases
  - Define methods to update fields
  - Carry out actions as part of the update methods instead of through a trigger

# When Not To Use Triggers

- Risk of unintended execution of triggers, for example, when
  - loading data from a backup copy
  - replicating updates at a remote site
  - Trigger execution can be disabled before such actions.
- Other risks with triggers:
  - Error leading to failure of critical transactions that set off the trigger
  - Cascading execution

# Advanced Aggregation Features

# Ranking

- Ranking is done in conjunction with an order by specification.
- Suppose we are given a relation; *student\_grades(ID, GPA)* giving the grade-point average of each student. Find the rank of each student.
  - select ID, rank() over (order by GPA desc) as s rank \_rank
    from student\_grades
- An extra order by clause is needed to get them in sorted order
  - select ID, rank() over (order by GPA desc) as s\_rank
    from student\_grades
    order by s\_rank
- Ranking may leave gaps: e.g. if 2 students have the same top GPA,both have rank 1, and the next rank is 3
  - dense\_rank does not leave gaps, so next dense rank would be 2
- Supported from MySQL 8.0.2. Syntax may differ, please check the manual.

# Windowing

Used to smooth out random variations.

E.g., **moving average**: "Given sales values for each date, calculate for each date the average of the sales on that day, the previous day, and the next day"

- Window specification in SQL:
  - Given relation sales(date value), value)

```
select date, sum(value) over
(order by date between rows 1 preceding and 1 following)
from sales
```

- Examples of other window specifications:
  - between rows unbounded preceding and current
  - o rows unbounded preceding
  - o range between 10 preceding and current row
    - All rows with values between current row value −10 to current value
  - o range interval 10 day preceding
    - Not including current row
- Supported from MySQL 8.0.2.

# Authorization

#### **Authorization**

Forms of authorization on parts of the database:

- Read allows reading, but not modification of data.
- Insert allows insertion of new data, but not modification of existing data.
- Update allows modification, but not deletion of data.
- Delete allows deletion of data.

Forms of authorization to modify the database schema

- Index allows creation and deletion of indices.
- Resources allows creation of new relations.
- Alteration allows addition or deletion of attributes in a relation.
- Drop allows deletion of relations.

### Authorization Specification in SQL

• The **grant** statement is used to confer authorization

```
grant <privilege list>
on <relation name or view name> to <user list>
```

- <user list> is:
  - a user-id
  - public, which allows all valid users the privilege granted
  - A role (more on this later)
- Granting a privilege on a view does not imply granting any privileges on the underlying relations.
- The grantor of the privilege must already hold the required privileges on the specified item (or be the database administrator).

# Privileges in SQL

select: allows read access to relation, or the ability to query using the view

Example: grant users *U*1, *U*2, and *U*3 **select** authorization on the *instructor* relation:

grant select on instructor to U1, U2, U3

- insert: the ability to insert tuples
- update: the ability to update using the SQL update statement
- delete: the ability to delete tuples.
- all privileges: used as a short form for all the allowable privileges

### Revoking Authorization in SQL

The revoke statement is used to revoke authorization.

```
revoke <privilege list>
on <relation name or view name> from <user list>
```

• Example:

revoke select on branch from U1 U2 U3

- <privilege-list> may be all to revoke all privileges the revokee may hold.
- If <revokee-list> includes public, all users lose the privilege except those granted it explicitly.
- If the same privilege was granted twice to the same user by different grantees, the user may retain the privilege after the revocation.
- All privileges that depend on the privilege being revoked are also revoked.

#### Roles

- create role instructor;
- grant instructor to Amit;
- Privileges can be granted to roles:
  - grant select on takes to instructor;
- Roles can be granted to users, as well as to other roles
  - create role teaching assistant;
  - grant teaching assistant to instructor;
    - Instructor inherits all privileges of teaching\_assistant
- Chain of roles
  - o create role dean;
  - o grant instructor to dean;
  - o grant dean to Satoshi;

#### Other Authorization Features

- references privilege to create foreign key
  - o grant reference (dept name) on department to Mariano;
  - o why is this required?
- transfer of privileges
  - o grant select on department to Amit with grant option;
  - revoke select on department from Amit, Satoshi cascade;
  - o revoke select on department from Amit, Satoshi
    restrict;
- Read Section 4.6 for more details we have omitted here.

# Thank you!

# OLAP Online Analytical Processing

Out of the scope of CS3043

# OLAP - Online Analytical Processing

- Online Analytical Processing (OLAP)
  - Interactive analysis of data, allowing data to be summarized and viewed in different ways in an online fashion (with negligible delay)
- Data that can be modeled as dimension attributes and measure attributes are called multidimensional data.
  - Measure attributes
    - measure some value
    - can be aggregated upon
    - e.g., the attribute *number* of the *sales* relation
  - Dimension attributes
    - define the dimensions on which measure attributes (or aggregates thereof) are viewed
    - e.g., attributes item\_name, color, and size of the sales relation

#### Example - Cross Tabulation of sales by item\_name

item_name	color clothes_size		quantity	
skirt	dark	small	2	
skirt	dark	medium	5	
skirt	dark	large	1	
skirt	pastel	small	11	
skirt	pastel	medium	9	
skirt	pastel	large	15	
skirt	white	small	2	
skirt	white	medium	5	
skirt	white	large	3	
dress	dark	small	2	
dress	dark	medium	6	
dress	dark	large	12	
dress	pastel	small	4	
dress	pastel	medium	3	
dress	pastel	large	3	
dress	white	small	2	
dress	white	medium	3	
dress	white	large	0	
shirt	dark	small	2	
chirt	Aark	modium	- c	
	2.42			

clothes\_size all

item name

dark pastel white total skirt 35 10 53 20 10 dress shirt 14 28 49 20 pants

54

The table above is an example of a **cross-tabulation** (**cross-tab**), also referred to as a **pivot-table**.

color

Values for one of the dimension attributes form the row headers

164

- Values for another dimension attribute form the column headers
- Other dimension attributes are listed on top

62

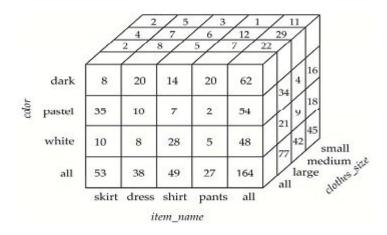
total

 Values in individual cells are (aggregates of) the values of the dimension attributes that specify the cell.

Sales relation

#### Data Cube

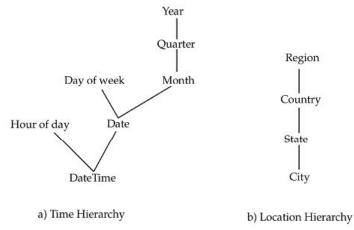
- A data cube is a multidimensional generalization of a cross-tab
- Can have n dimensions; we show 3 below
- Cross-tabs can be used as views on a data cube



#### Hierarchies on Dimensions

 Hierarchy on dimension attributes: lets dimensions to be viewed at different levels of detail

E.g., the dimension DateTime can be used to aggregate by hour of day date day of week month quarter or year



# Cross Tabulation With Hierarchy

- Cross-tabs can be easily extended to deal with hierarchies
  - Can drill down or roll up on a hierarchy

clothes\_size: all category item name color dark pastel white total skirt 8 10 53 womenswear 20 20 35 dress subtotal 28 88 14 14 28 49 menswear pants shirt 27 20 20 subtotal 34 34 33 76 62 62 48 total 164

### Relational Representation of Cross-tabs

- Cross-tabs can be represented as relations
  - the value all is used to represent aggregates.
  - The SQL standard actually uses null values in place of all despite confusion with regular null values.

item_name	color	clothes_size	quantity	
skirt	dark	all	8	
skirt	pastel	all	35	
skirt	white	aII	10	
skirt	all	aII	53	
dress	dark	all	20	
dress	pastel	all	10	
dress	white	all	5	
dress	all	all	35	
shirt	dark	all	14	
shirt	pastel	all	7	
shirt	White	all	28	
shirt	all	all	49	
pant	dark	all	20	
pant	pastel	all	2	
pant	white	all	2	
pant	all	all	27	
all	dark	all	62	
all	pastel	all	54	
all	white	all	48	
all	all	aII	164	

# Online Analytical Processing Operations

- Pivoting: changing the dimensions used in a cross-tab
- Slicing: creating a cross-tab for fixed values only
  - Sometimes called **dicing**, particularly when values for multiple dimensions are fixed.
- Rollup: moving from finer-granularity data to a coarser granularity
- Drill down: The opposite operation that of moving from coarser-granularity data to finer-granularity data

# Reading material

# Extended Aggregation to Support OLAP

The cube operation computes union of group by's on every subset of the specified attributes
 Example relation for this section

```
sales(item name, color, clothes size, quantity)
```

E.g. consider the query

```
select item_name, color, size, sum(number)
from sales
group by cube(item name, color, size)
```

This computes the union of eight different groupings of the sales relation:

```
{ (item_name, color, size), (item_name, color),
(item_name, size), (color, size),
(item_name), (color),(size) () } , () }
```

- where () denotes an empty group by list.
- For each grouping, the result contains the null value for attributes not present in the grouping.

### Online Analytical Processing Operations

 Relational representation of cross-tab that we saw earlier, but with null in place of all, can be computed by

```
select item_name, color, sum(number)
from sales
group by cube(item_name, color)
```

- The function **grouping()** can be applied on an attribute
  - Returns 1 if the value is a null value representing all, and returns 0 in all other cases.

```
select item_name, color, size, sum(number),
    grouping(item_name) as item_name_flag,
    grouping(color) as color_flag,
    grouping(size) as size_flag,
from sales
group by cube(item name, color, size)
```

### Online Analytical Processing Operations

Can use the function decode() in the select clause to replace such nulls by a value such as all

E.g., replace *item\_name* in first query by **decode**( **grouping**(item\_*name*), 1, 'all', *item\_name*)

### Extended Aggregation (Cont.)

The rollup construct generates union on every prefix of specified list of attributes
 E.g.,

```
select item_name, color, size, sum(number)
from sales
group by rollup(item name, color, size)
```

Generates union of four groupings:

```
{ (item_name, color, size), (item_name, color), (item_name), () }
```

Rollup can be used to generate aggregates at multiple levels of a hierarchy.

```
E.g., suppose table itemcategory(item_name, category) gives the category of each item. Then,
```

```
select category, item_name, sum(number)
from sales, itemcategory
where sales.item_ name = itemcategory.item_name
group by rollup(category, item_name)
```

would give a hierarchical summary by item\_name and by category.

### Extended Aggregation (Cont.)

- Multiple rollups and cubes can be used in a single group by clause
  - Each generates set of group by lists, cross product of sets gives overall set of group by lists
- E.g.,

```
select item name, color, size _name, color, size, sum(number)
from sales
group by rollup(item_name), rollup(color, size)
```

#### generates the groupings

#### **OLAP Implementation**

- The earliest OLAP systems used multidimensional arrays in memory to store data cubes, and are referred to as multidimensional OLAP (MOLAP) systems.
- OLAP implementations using only relational database features are called relational OLAP (ROLAP) systems
- Hybrid systems, which store some summaries in memory and store the base data and other summaries in a relational database, are called hybrid OLAP (HOLAP) systems.

# Procedural Constructs - Exception Handling

• Signaling of exception conditions, and declaring handlers for exceptions

```
declare out_of_classroom_seats condition

declare exit handler for out_of_classroom_seats

begin
...
.. signal out_of_classroom_seats
end
```

- The handler here is exit -- causes enclosing begin..end to be exited
- Other actions possible on exception

#### Quiz

	popcorn	oil amt	batch	yield	
1	plain	little	large	8.2	
2	gourmet	little	large	8.6	
3	plain	lots	large	10.4	
4	gourmet	lots	large	9.2	
5	plain	little	small	9.9	
6	gourmet	little	small	12.1	
7	plain	lots	small	10.6	
8	gourmet	lots	small	18.0	
9	plain	little	large	8.8	
10	gourmet	little	large	8.2	
11	plain	lots	large	8.8	
12	gourmet	lots	large	9.8	
13	plain	little	small	10.1	
14	gourmet	little	small	15.9	
15	plain	lots	small	7.4	
16	gourmet	lots	small	16.0	

Create the data cube for the following table

Explain how you find the average yield for plain popcorn with little oil amount.