

# Multiphysics Reactor-core Simulations Using the Improved Quasi-Static Method

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## Abstract

The improved quasi-static method (IQS) is a rigorous space/time multiscale approach whereby the neutron flux is represented by a time-dependent amplitude and a time-, space-, and energy-dependent shape. The objective of the IQS factorization is to evaluate amplitude and shape on different time scales in order to reduce the computational burden associated with solving the multi-dimensional flux equations, while maintaining solution accuracy. The IQS decomposition leads to a nonlinear system of equations that requires iteration of shape and amplitude. IQS iteration techniques involve fixed-point (Picard) iteration with various convergence criteria and shape rescaling. Nonlinear convergence of each of these techniques is investigated. Verification of IQS with analysis of time step convergence is also investigated in order to investigate the method's effectiveness with high-order schemes. The time derivative of the shape function is discretized through fourth order using implicit-Euler, Crank-Nicolson, and backward difference formulae (BDF).

*Keywords:* Reactor dynamics, reactor kinetics, Improved quasi-static method, temporal convergence

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## 1. Introduction

The improved quasi-static method (IQS) is a numerical technique devised for nuclear reactor transient analysis. It involves factorizing the neutron flux solution into a time-only-dependent component, the amplitude, and a space- and time-dependent component, the shape [1, 2, 3, 4, 5]. The amplitude solution satisfies the point reactor kinetic equations (PRKE) where the shape solution has been used to generate the PRKE coefficients (reactivity, effective fraction of delayed neutrons, mean generation time). The shape solution satisfies a modified time-dependent neutron balance equation. The rationale for the IQS method lies in the assumption that the shape function is weakly dependent

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on time. Therefore, it is expected that the modified time-dependent neutron balance equations be less stiff than the original time-dependent neutron balance equations for the flux. As a result, the shape may not require to be solved for at the same frequency as the amplitude. Instead, shape is evaluated only on larger macro-time steps, which is expected to yield wall clock savings, especially in multi-dimensional geometries. The PRKE form a small system of ordinary differential equations (ODE) and solving them on a fine temporal grid is not a computational burden. As opposed to the standard PRKE approach, whereby a shape function is selected, typically once for an entire transient without updates, the IQS technique is obtained in a rigorously consistent manner from the time-dependent neutron balance equation.

Due to the factorization of the flux into a shape and an amplitude, the latter two variables are nonlinearly coupled. Ott in [1] first investigated the coupling of shape and amplitude in a quasi-static nature, but did not include the time derivative in the shape equation. Later, in [6], Ott incorporated the time derivative of shape in the equation, yielding better results but requiring a fixed-point approach to resolve the nonlinear coupling between the amplitude and shape equations. This also led to the technique's name: the Improved Quasi-Static method although one may argue that such a denomination does not make it immediately clear that the technique rigorously solves a time-dependent problem.

Nonlinear problems are solved in an iterative manner, typically using either a fixed-point (Picard) approach or Newton's iterations. Sissaoui et al. [4], Koclas et al. [7], Devooght et al. [2], and Monier [3] all use fixed-point iterative techniques for their IQS simulations, the main difference among them being their criteria for convergence. Devooght et al. in [2] proposed a Newton-based iteration technique, but this type of iteration in IQS is not investigated in this paper. Another approach is to linearize the equations, so that no nonlinear iteration is necessary. IQS can be linearized using the IQS Predictor-Corrector method (IQS-PC) [8]. IQS-PC entails evaluating the flux equation then correcting its amplitude using an amplitude evaluation. This method has proven to be effective for problems requiring a significant amount of shape updates when IQS is implemented [5]. Dulla also investigates time adaptation of the shape evaluations with IQS with Caron in [9].

For use in multiphysics reactor physics applications, the interplay of the IQS solution technique (neutronics) and other physics components (e.g., fuel temperature) needs to be resolved effectively. Meneley and Ott first implemented IQS into the one-dimensional fast-reactor code QX1 [10]. This implementation does simple adiabatic heat up with tabular cross-section feedback. Later, Keresztúri et al. describe IQS implementation into KIKO3D, a three-dimensional pressurized water reactor code, in [11]. This implementation computes fuel heat transfer and thermal hydraulic feedback. Ikeda and Takeda developed in the nodal expansion method code EPISODE which uses IQS-PC with adiabatic fuel heat and Doppler feedback, as well as full neutronics and thermal-hydraulics coupling [12]. These multiphysics applications range in complexity and problem type, but seem to neglect the advantage of including the non-neutronics

physics into the quasi-static process. Although Meneley and Ikeda hint at using an intermediate time-scale for fuel temperature evaluation, their numerical experiments show that the evaluation is on the same scale as shape. Additionally, these applications only use heat source values at the shape time-scale from the neutronics calculation, while much more information about the transient heat source can be extracted from the amplitude scale.

This paper (i) discusses the different nonlinear iteration techniques for IQS and tests the rigor of their implementation, (ii) investigates high-order temporal discretization of the shape equation, and (iii) analyzes IQS coupled with adiabatic heat up and cross-section feedback. Prior IQS publications do not go beyond first-order time discretization for the shape equation. Here, we test IQS with higher-order schemes (up to fourth order) and investigate the method's effectiveness with such temporal discretizations. Step doubling time adaptation is also implemented to test IQS and IQS-PC performance with adaptation of shape/flux evaluation; although, Caron et al. in [9] performs a more comprehensive shape time adaptation analysis. Step doubling is also applied to amplitude evaluation. Regarding multiphysics simulation, we develop a semi-analytical approach to evaluate adiabatic fuel temperature and introduce an intermediate time scale for temperature evaluation. To test IQS and IQS-PC nonlinear iteration, time step convergence, and time adaptation performance, four different test cases are employed: two problems are purely neutronics and involve varying magnitudes of reactor size and complexity; two problems involve temperature feedback and test an intermediate time scale for temperature evaluation.

## 2. Background Theory on IQS

In this Section, we recall the equations for the IQS method, starting from multi-group neutron conservation statements in operator form:

$$\frac{1}{v^g} \frac{\partial \phi^g}{\partial t} = \sum_{g'=1}^G \left( H^{g' \rightarrow g} + P_p^{g' \rightarrow g} \right) \phi^{g'} - L^g \phi^g + S_d^g, \quad (1a)$$

$$\frac{dC_i}{dt} = \sum_{g=1}^G P_{d,i}^g \phi^g - \lambda_i C_i, \quad 1 \leq i \leq I. \quad (1b)$$

where  $H^{g' \rightarrow g}$  is the scattering operator,  $P_p^{g' \rightarrow g}$  is the prompt fission operator,  $L^g$  is the leakage and interaction operator,  $S_d^g$  is the delayed neutron source, and  $P_{d,i}^g$  is the delayed-neutron fission operator. We assumed  $G$  neutron groups and  $I$  precursors groups. The operator  $L^g$  is block diagonal in energy groups: for neutron transport,  $L^g = \mathbf{\Omega} \cdot \nabla + \Sigma_t^g$  whereas for neutron diffusion,  $L^g = -\nabla \cdot D^g \nabla + \Sigma_r^g$ , with  $\Sigma_t^g$  and  $\Sigma_r^g$  the total and removal macroscopic cross sections. In the following, we specialize the IQS derivation for the diffusion approximation without loss of generality. Obtaining the IQS formulation for neutron transport is similarly straightforward.

The flux factorization in IQS leads to a decomposition of the multigroup flux into the product of a time-dependent amplitude ( $p$ ) and a space-/time-dependent multigroup shape ( $\varphi$ ):

$$\phi^g(\mathbf{r}, t) = p(t)\varphi^g(\mathbf{r}, t). \quad (2)$$

After reporting the above factorization in the balance equations, the shape diffusion equations result (the main differences are highlighted using boxes around modified/new terms):

$$\frac{1}{v^g} \frac{\partial \varphi^g}{\partial t} = \sum_{g'=1}^G \left( H^{g' \rightarrow g} + P_p^{g' \rightarrow g} \right) \varphi^{g'} - \left( L^g + \boxed{\frac{1}{v^g} \frac{1}{p} \frac{dp}{dt}} \right) \varphi^g + \boxed{\frac{1}{p}} S_d^g \quad (3a)$$

$$\frac{dC_i}{dt} = \boxed{p} \sum_{g=1}^G P_{d,i}^g \varphi^g - \lambda_i C_i, \quad 1 \leq i \leq I \quad (3b)$$

Note that the time-dependent shape equations are similar to the time-dependent flux equations. One may introduce a new block diagonal operator  $\tilde{L}^g = L^g + \frac{1}{v^g} \frac{1}{p} \frac{dp}{dt}$  where the reaction term (total/removal cross section) simply needs to be augmented by  $\frac{1}{v^g} \frac{1}{p} \frac{dp}{dt}$ . Note that the shape equations are now nonlinearly coupled (boxed terms) to the amplitude equations.

To obtain the amplitude equations, the multigroup shape equations are multiplied by a time-independent weighting function, typically the initial adjoint multigroup flux ( $\phi^{*g}$ ), and then integrated over the phase-space domain. For brevity, the inner product over space will be represented with parenthetical notation  $((\phi^{*g}, f^g) = \int_D \phi^{*g}(\mathbf{r}) f^g(\mathbf{r}) d^3r)$ . In order to impose uniqueness of the factorization, one requires that

$$K(t) = \sum_{g=1}^G \left( \phi^{*g}, \frac{1}{v^g} \varphi^g(t) \right) \quad (4)$$

be constant (hence  $K(t) = K(t_0) = K_0$ ). After some manipulations, the point reactor kinetics equations (PRKE) for the amplitude solution are obtained:

$$\frac{dp}{dt} = \left[ \frac{\rho - \bar{\beta}}{\Lambda} \right] p + \sum_{i=1}^I \bar{\lambda}_i \xi_i \quad (5a)$$

$$\frac{d\xi_i}{dt} = \frac{\bar{\beta}_i}{\Lambda} p - \bar{\lambda}_i \xi_i \quad 1 \leq i \leq I \quad (5b)$$

where the functional coefficients are calculated using the space-/time-dependent shape function as follows:

$$\frac{\rho - \bar{\beta}}{\Lambda} = \frac{\sum_{g=1}^G \left( \phi^{*g}, \sum_{g'} (H^{g' \rightarrow g} + P_p^{g' \rightarrow g} - L^{g'} \delta_{g'g}) \varphi^{g'} \right)}{\sum_{g=1}^G \left( \phi^{*g}, \frac{1}{v^g} \varphi^g \right)} \quad (6a)$$

$$\frac{\bar{\beta}}{\Lambda} = \sum_{i=1}^I \frac{\bar{\beta}_i}{\Lambda} = \sum_{i=1}^I \frac{\sum_{g=1}^G (\phi^{*g}, P_{d,i}^g \varphi^g)}{\sum_{g=1}^G (\phi^{*g}, \frac{1}{v^g} \varphi^g)} \quad (6b)$$

$$\bar{\lambda}_i = \frac{\sum_{g=1}^G (\phi^{*g}, \chi_{d,i}^g \lambda_i C_i)}{\sum_{g=1}^G (\phi^{*g}, \chi_{d,i}^g C_i)} \quad (6c)$$

Solving for the shape in Eq. (3a) can become expensive, especially in two or three spatial dimensions, and even more so when using the transport equations in lieu of the diffusion equations. Using IQS, one expects the time dependence of the shape to be weaker than that of the flux itself, thus allowing for larger time step sizes in updating the shape. The PRKE equations form a small ODE system and can be solved using a much smaller time step size. In transients where the shape varies much less than the flux, IQS can be very computationally effective. Note that the PRKE parameters are evaluated at each macro step and interpolated for the PRKE evaluation. In order to preserve the error convergence rate of high order temporal discretization schemes for shape, higher order interpolation of the parameters will be required. Third order implicit Runge-Kutta discretization with time step adaptation was used for the PRKE evaluation, to insure a negligibly small error in the amplitude solution.

### 2.1. IQS Iterative Schemes

As noted in the previous section, shape-PRKE equations form a nonlinear system and must be solved in an iterative manner. Over each macro time step, one can use the latest end-time shape iterate to compute/interpolate the PRKE coefficients over the micro time step intervals. Sissaoui et al. from [4], Koclas et al. from [7], Devooght et al. from [2], and Monier from [3] all use iterative techniques for their IQS implementations. They all undergo a similar process:

- Step 1:* Compute the PRKE parameters at the end of the macro step using the last computed shape
- Step 2:* Linearly interpolate the computed PRKE parameters over the macro step
- Step 3:* Solve the PRKE on micro steps over the entire macro step
- Step 4:* Solve the shape equation on the macro step using the computed values of  $p$  and  $dp/dt$ .
- Step 5:* Check if the shape solution has converged:
  - *No:* Repeat the same macro time step
  - *Yes:* Move on to the next macro time step

The major difference between the methods of these authors is the convergence criteria used. Sissaoui and Koclas [4, 7] use fixed point iteration where the criteria is the simply the normalized difference between the last two computed shapes. Monier in [3] also employs fixed point iterations with the same criteria, except that the solution is rescaled by  $K_n/K_{n+1}$  after each iteration. Dulla in [5] does the same fixed-point iteration, but the shape is scaled by  $K_0/K_{n+1}$  at

the end of each macro time step. Renormalizing the shape is essential for preserving the uniqueness condition ( $K_{n+1} = K_0$ ); this condition is not inherently conserved, even when the solution has converged from shape iterations.

These techniques are by no means an exhaustive list of the possible iteration techniques for IQS. Dulla et al. in [5] provide an in-depth analysis of the fixed-point iteration technique most similar to Sissaoui and Koclas, involving convergence rates and solution results. However, no comprehensive analysis of iteration techniques exists. The following describes each iteration convergence criterion investigated in this paper:

- $L^\infty$  norm of shape [3]:

$$\frac{\max \left| \varphi_{n+1}^{(k+1)} - \varphi_{n+1}^{(k)} \right|}{\max \left| \varphi_{n+1}^{(k+1)} \right|} < \epsilon_\varphi$$

- $L^2$  norm of shape:

$$\frac{\left\| \varphi_{n+1}^{(k+1)} - \varphi_{n+1}^{(k)} \right\|_{L^2}}{\left\| \varphi_{n+1}^{(k+1)} \right\|_{L^2}} < \epsilon_\varphi$$

- Reactivity convergence [3]:

$$(\rho/\Lambda)_{n+1}^{(k+1)} - (\rho/\Lambda)_{n+1}^{(k)} < \epsilon_\rho$$

- Amplitude convergence [3]:

$$p_{n+1}^{(k+1)} - p_{n+1}^{(k)} < \epsilon_p$$

- Uniqueness consistency [3]:

$$\frac{K_{n+1}^{(k+1)} - K_0}{K_0} < \epsilon_K$$

where  $k$  denotes the nonlinear iteration index within a given macro time step interval.

In order to ensure that uniqueness criteria is preserved, some authors [3, 5] explicitly scale the shape solution, either at each nonlinear iteration or upon exiting the nonlinear loop on the macro time time step. This re-scaling is simply

$$\varphi_n^g \leftarrow \varphi_n^{g,(\text{last})} \frac{K_0}{K_n^{(\text{last})}}. \quad (7)$$

## 2.2. IQS Predictor-Corrector Scheme

The Predictor-Corrector version of the IQS method (IQS-PC) is a linearized version of IQS, where no nonlinear iterations are required. The method relies on the shape and amplitude factorization of the flux and uniqueness condition. The PRKE derivation is identical to that of the standard (nonlinear) version of the IQS scheme, in the sense that shape solutions at the beginning and end times of the macro time interval are used (with interpolation in between). However, the manner in which the shape function is obtained is different. In the IQS-PC version, the flux equations (not the shape equations) are first solved (represented by Eqs. (1a) and (1b)) in order to obtain a *predicted* flux solution at the end of the macro time step. This *predicted* flux is then converted to a shape by normalizing it as follows:

$$\varphi_{n+1}^g = \underbrace{\phi_{n+1}^g}_{\text{predicted}} \frac{K_0}{\mathcal{K}_{n+1}}, \quad (8)$$

where the flux scaling factor is given by

$$\mathcal{K}_{n+1} = \sum_{g=1}^G \left( \phi^{*g}, \frac{1}{v^g} \phi_{n+1}^g \right), \quad (9)$$

and  $K_0$  is the shape normalization constant (see Eq. (4)).

The PRKE parameters are then computed with this shape using Eqs. (6a)-(6c) and interpolated over the macro step, then the PRKE ODE system is solved on the micro time scale. With the newly computed amplitude, the shape is rescaled into a flux and the final *corrected* flux is given by:

$$\underbrace{\phi_{n+1}^g}_{\text{corrected}} = p_{n+1} \times \varphi_{n+1}^g. \quad (10)$$

The advantage to the predictor-corrector method is that no nonlinear iterations are necessary in this method; it is simpler to implement and can be faster than the standard IQS. Ikeda et al. in [12] and Goluoglu et al. in [13] both use IQS-PC for complex, three-dimensional problems. Their results prove IQS-PC to be effective. Dulla et al. in [5] also describes an in-depth comparison of IQS-PC with traditional IQS, which originally brought the method to light.

## 2.3. Temperature Feedback Treatment

Here, we consider multiphysics reactor physics simulations. Fuel temperature feedback mechanisms are included using an adiabatic heat conservation model given in Eq. (11).

$$\rho c_p \frac{\partial T(\mathbf{r}, t)}{\partial t} = \kappa_f \sum_{g=1}^G \Sigma_f^g \phi^g(\mathbf{r}, t) \quad (11)$$

The thermal-range cross section's dependence on temperature is described by Eq. (12).

$$\Sigma_a^{thermal}(\mathbf{r}, t) = \Sigma_a^{thermal}(\mathbf{r}, 0) \left[ 1 + \gamma \left( \sqrt{T} - \sqrt{T_0} \right) \right] \quad (12)$$

A standard time-implicit solver for the heat equation would simply employ the flux values at the extremities of a time step interval. However, in an IQS neutronic solve, much more information about space/time distribution of the flux is known: the shape distributions are typically known at the beginning and end of a macro time step interval but the amplitude is known on the micro-step time scale, providing a richer space/time information for the neutron flux over the macro-step interval. Thus, it is possible to solve for temperature in Eq. (11) using a semi-analytical approach, shown in Eq. (13).

$$T_{n+1} = T_n + \frac{\kappa_f}{\rho c_p} (a_2 \varphi_{n+1} + a_1 \varphi_n) \quad (13)$$

where  $n$  corresponds to the beginning of the temperature step.  $a_1$  and  $a_2$  are integration coefficients given by Eq. (15a) and Eq. (15b). The shape function was assumed to vary linearly in time:

$$\varphi(\mathbf{r}, t) = \frac{t_{n+1} - t}{\Delta t} \varphi_n(\mathbf{r}) + \frac{t - t_n}{\Delta t} \varphi_{n+1}(\mathbf{r}), \quad (14)$$

which leads to the coefficients' definitions:

$$a_1 = \int_{t_n}^{t_{n+1}} \left( \frac{t_{n+1} - t'}{\Delta t} \right) p(t') dt' \quad (15a)$$

$$a_2 = \int_{t_n}^{t_{n+1}} \left( \frac{t' - t_n}{\Delta t} \right) p(t') dt' \quad (15b)$$

Because the amplitude  $p$  is known on a fine time scale, the integrals Eq. (15a) and Eq. (15b) are carried out along the micro steps, using a linear interpolant for the amplitude.

Temperature feedback affects both the shape equation and the reactivity coefficients of the PRKE; thus, it is an additional nonlinear component to the already coupled shape-amplitude equations. In foresight to the application of this component, we expect temperature to be more rapidly varying than the shape, but less so than the amplitude. Therefore, the evaluation of temperature will have its own time scale, intermediary between the amplitude's fine time scale and the shape's coarse time scale. Hence, a possible solution process for a problem with temperature feedback will have three time scales, as portrayed in Fig. 1. The first time scale is the shape solve, the second is the temperature evaluation as well as the computation of PRKE parameters, and the third is the PRKE scale. It is important to note that the number of time steps in each scale is arbitrary and the number chosen in Fig. 1 are only meant for illustrative purposes.



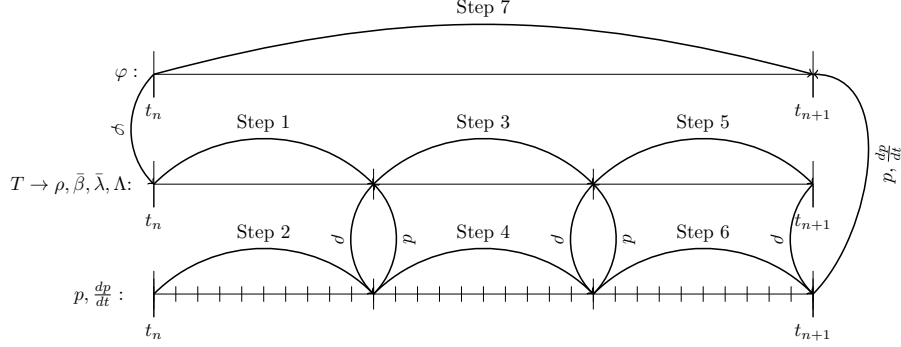


Figure 1: Time scales and process of IQS solve with temperature feedback

Iteration processes are needed in between each time scale. The amplitude and temperature need to be iterated on the middle time scale until convergence on each temperature step. Then another iterative process needs to occur in the shape time scale on all three variables. Fig. 2 shows the programming diagram implement to execute this process. The time increment of  $\Delta t/3$  for the temperature solve is arbitrary and is meant only to match Fig. 1 where three temperature updates have been used as illustration.

#### 2.4. Delayed Neutron Precursor Treatment

The precursors' equations, Eq. (3b), form a system of ODES. A theta-scheme is often employed to evaluate the precursor concentrations:

$$C_{n+1} = \frac{1 - (1 - \theta)\lambda\Delta t}{1 + \theta\lambda\Delta t} C_n + \frac{(1 - \theta)\beta\Delta t}{1 + \theta\lambda\Delta t} S_{f,n} p_n + \frac{\theta\beta\Delta t}{1 + \theta\lambda\Delta t} S_{f,n+1} p_{n+1} \quad (16)$$

where  $S_f$  is the fission source computed using the shape solution ( $S_{f,n} = (\nu\Sigma_f)_n \varphi_n$ ). With  $\theta = 1$ , this yields the implicit Euler method, while with  $\theta = \frac{1}{2}$ , we obtain the Crank-Nicolson technique. In doing so, however, no micro-scale temporal information for the amplitude is used. One can also solve the precursors' equations analytically, yielding:

$$C_{n+1} = C_n e^{-\lambda(t_{n+1}-t_n)} + \int_{t_n}^{t_{n+1}} \beta(t') S_f(t') p(t') e^{-\lambda(t_{n+1}-t')} dt' \quad (17)$$

The shape function (using in  $S_f$ ) is not known continuously over the time step but one may use the beginning/end times values to linearly interpolate the fission source over the macro time step,

$$S_f(t) = \frac{t_{n+1} - t}{\Delta t} S_{f,n} + \frac{t - t_n}{\Delta t} S_{f,n+1} \quad t_n \leq t \leq t_{n+1}. \quad (18)$$

Furthermore, a very accurate representation of  $p(t)$  over the macro step is available from the PRKE solve (micro time scale). Using this fine scale information,

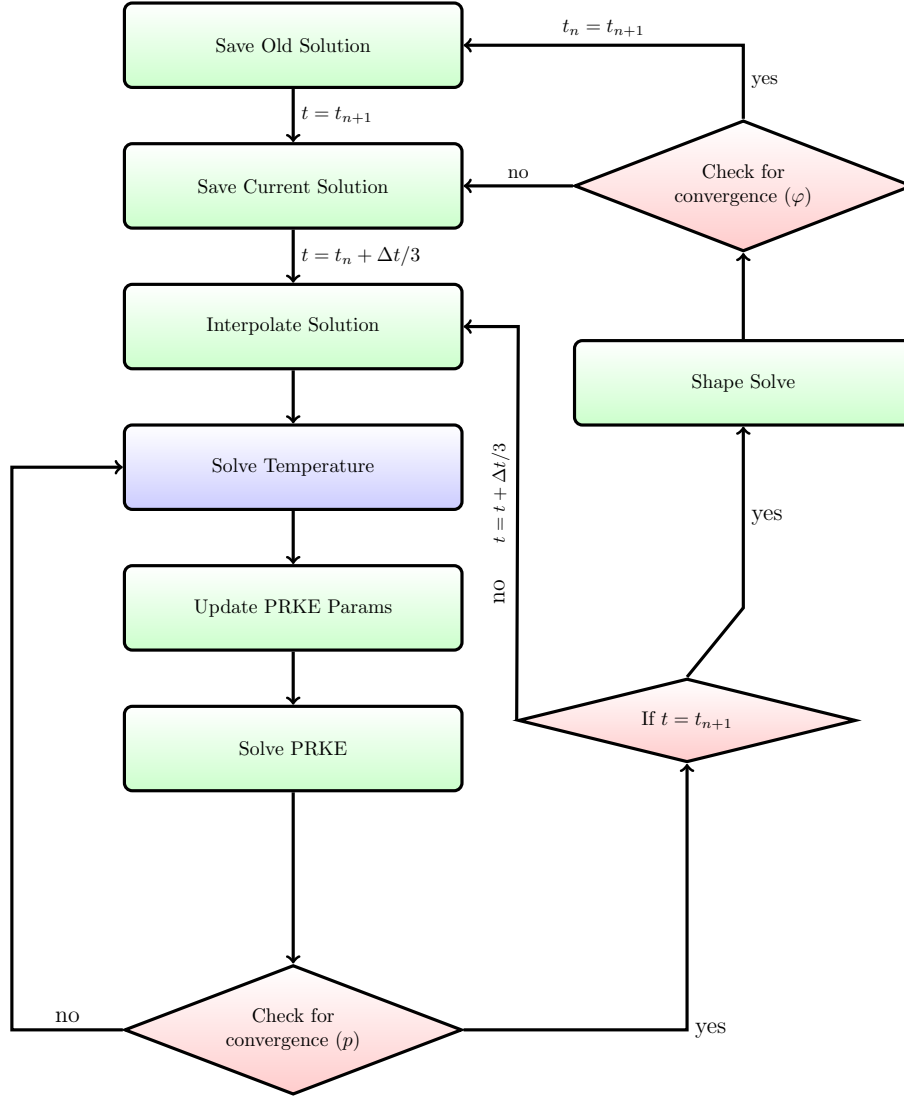


Figure 2: Visualization of fixed-point iteration and temperature update process for IQS

the analytical solve for the precursor values yields

$$C_{n+1} = C_n e^{-\lambda \Delta t} + (\hat{a}_2 S_{f,n+1} + \hat{a}_1 S_{f,n}) \beta, \quad (19)$$

with integration coefficients defined as:

$$\hat{a}_1 = \int_{t_n}^{t_{n+1}} \frac{t_{n+1} - t'}{\Delta t} p(t') e^{-\lambda(t_{n+1}-t')} dt', \quad (20a)$$

and

$$\hat{a}_2 = \int_{t_n}^{t_{n+1}} \frac{t' - t_n}{\Delta t} p(t') e^{-\lambda(t_{n+1}-t')} dt'. \quad (20b)$$

### 2.5. Step Doubling Time Adaptation

Further enhancements to the performance of the IQS methods can be gained by using time adaptation (or time step control) in order to increase or reduce the macro time step size for the shape evaluation, depending on error estimates. A step-doubling technique is chosen as the time adaptation technique [14]. The step doubling technique involves estimating the local error for a certain time step by taking the difference between a solution with one full step ( $\varphi_{\Delta t}^g$ ) and a solution with two half steps ( $\varphi_{\Delta t/2}^g$ ). Note:  $\varphi$  is changed to  $\phi$  in the case of the IQS-PC technique. The relative error is computed as follows:

$$e_n = \frac{\left\| \sum_{g=1}^G \varphi_{\Delta t/2}^g - \sum_{g=1}^G \varphi_{\Delta t}^g \right\|_{L^2}}{\max \left( \left\| \sum_{g=1}^G \varphi_{\Delta t/2}^g \right\|_{L^2}, \left\| \sum_{g=1}^G \varphi_{\Delta t}^g \right\|_{L^2} \right)}. \quad (21)$$

If the error is smaller than the user-specified tolerance,  $e_{tol}$ , the time step is accepted. In addition, a new time step size is estimated as follows:

$$\Delta t_{new} = s \Delta t \left( \frac{e_{tol}}{e_n} \right)^{\frac{1}{1+q}}, \quad (22)$$

where  $q$  is the convergence order of the time integration scheme being used and  $s \simeq 0.8$  is a safety factor. If the error is larger than the user-specified tolerance, the time step is rejected and new (smaller) macro time step size is estimated using Eq. (21) as well. This process can be visualized by Figs. 3 and 4, where a step involves a full convergence of shape, amplitude, and any multiphysics on the respective time step.

To investigate IQS's performance with step-doubling time adaptation, the adaptation will be applied to direct temporal discretization of the flux equation (no IQS), the standard IQS method, and the IQS-PC version. Each of these methods will be applied to several diffusion problems; the number of time steps taken and the resulting error will be used to compare the methods.

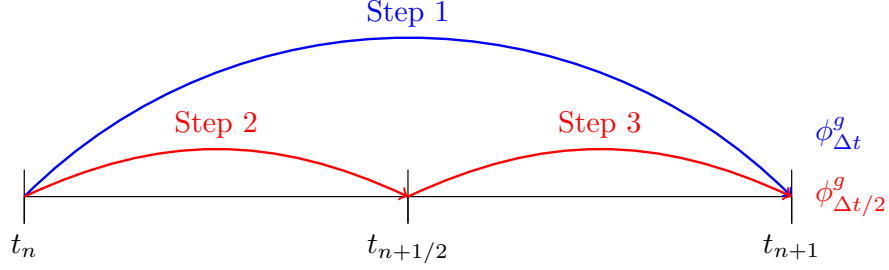


Figure 3: Visualization of step doubling process on time-line

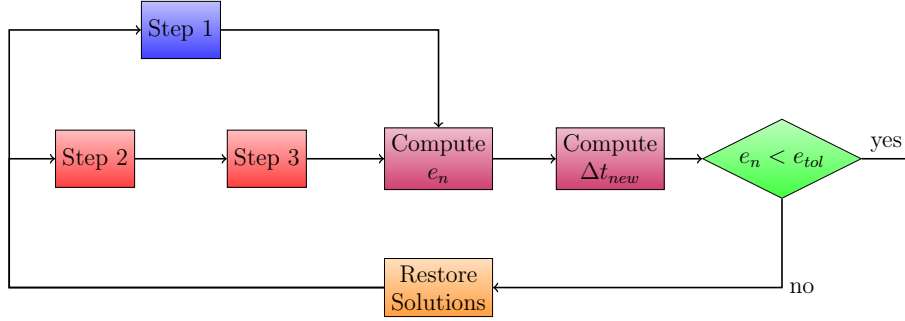


Figure 4: Visualization of step doubling process with coding logic

### 3. Implementation in a multiphysics simulation environment

Both the standard IQS and IQS-PC methods have been implemented in the Multiphysics Object-Oriented Simulation Environment, MOOSE [15], as part of its radiation transport solver, Rattlesnake [16]. All multi-dimensional results presented here have been computed using the diffusion solver of Rattlesnake. The sequence of calculations in MOOSE (temporal loops, nonlinear loops, linear solves) with appropriate entry points at any level in the simulation allows for a relatively straightforward implementation of the IQS methodologies. MOOSE's nonlinear solvers (fixed-point iterations and Jacobian-free Newton-Krylov) are well suited to handle the nonlinear solve of the traditional IQS method during a macro time step. IQS-PC's implementation in MOOSE is even simpler. We briefly describe the implementation next.

First, the IQS shape equation was represented by the gathering of appropriate finite element kernels. Most of the shape equation kernels are the same as the flux kernels already implemented in Rattlesnake for its standard diffusion (or transport) solution, and only two kernels had to be modified. Eq. (23) shows which kernels needed to be written or amended (denoted by an  $\star$ ) to solve the shape equation in MOOSE. The PRKE ODE was written as a sub-function in the Transient executioner, which advances the simulation in time and determines when to perform a shape (or flux for IQS-PC) solve. This executioner has

breakpoints that allows specified calls to the PRKE, PRKE parameter evaluation, and other multiphysics. The PRKE parameters are written as user-objects, looping over cells to evaluate them. Similarly, the semi-analytic treatment of fuel temperature and precursors are auxiliary kernels that do a node-by-node evaluation of the variable upon requests of the transient executioner. Additional information pertaining to these MOOSE objects can be found in [17].

$$\begin{aligned}
\frac{1}{v^g} \frac{\partial \varphi^g}{\partial t} = & \underbrace{\frac{\chi_p^g}{k_{eff}} \sum_{g'=1}^G (1-\beta) \nu^{g'} \Sigma_f^{g'} \varphi^{g'}}_{PromptProductionKernel} + \underbrace{\sum_{g' \neq g}^G \Sigma_s^{g' \rightarrow g} \varphi^{g'}}_{ScatteringKernel} - \underbrace{(-\nabla \cdot D^g \nabla) \varphi^g}_{DiffusionKernel} - \underbrace{\Sigma_r^g \varphi^g}_{ReactionKernel} \\
& - \underbrace{\frac{1}{v^g} \left[ \overbrace{\frac{1}{p} \frac{dp}{dt}}^{FromPRKE} \right] \varphi^g}_{IQSReactionKernel * } + \underbrace{\frac{1}{p} \sum_{i=1}^I \chi_{d,i}^g \lambda_i C_i}_{PrecursorKernel *}
\end{aligned} \tag{23}$$

#### 4. Neutronics-only Transient Results

In this section, we analyze various convergence criteria proposed in the non-linear solution technique for the IQS method. We also investigate the use of higher-temporal discretization for the shape equations. Both series of tests are carried out using neutronics-only problems. The first test case uses a one-dimensional problem. The second test is from the ANL Benchmark Problem Book (BPB) [18].

##### 4.1. A One-Dimensional Problem

This one-dimensional problem uses a 400-cm slab. This initial configuration is homogeneous and the transient is initiated by a perturbation in absorption cross section. Fig. 5 shows problem layout and Table 1 contains the material properties; one-group values are used for this problem. Regions 2, 3, and 4 have linear ramp perturbations at different moments in time, Table 2 shows the values of the absorption cross-section in each region at the times of interest. The values of  $\Sigma_a$  between these times of interest vary linearly between the given values.

1	1	1	1	2	3	1	1	1	1	1	1	1	1	4	4	1	1	1	1
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

Figure 5: 1-D slab: Region identification number

Table 1: 1-D slab material properties and problem parameters

$D(cm)$	$\Sigma_a(cm^{-1})$	$\nu\Sigma_f(cm^{-1})$	$v(cm/s)$	$\beta$	$\lambda(s^{-1})$
1.0	1.1	1.1	1,000	0.006	0.1

Table 2: 1-D slab absorption cross-section at times of interest

Region	Material Property	0.0 s	0.1 s	0.6 s	1.0 s	1.7 s
2	$\Sigma_a(cm^{-1})$	1.1	1.1	1.095	1.095	1.095
3	$\Sigma_a(cm^{-1})$	1.1	1.1	1.09	1.09	1.1
4	$\Sigma_a(cm^{-1})$	1.1	1.1	1.105	1.105	1.105

Fig. 6 shows the reference flux solution at different instants as well as total power as a function of time. The baseline reference solution was computed by solving the flux equations (not the IQS formulation) using time-step control with a tight relative error tolerance of  $10^{-12}$ . The problem being relatively large, the various zones are weakly coupled and the flux perturbation is not global. This baseline computation is used to compute the error of the other time discretization methods. Fig. 7 shows the shape profile at the same instants during the transient as in Fig. 6a; that solution was also obtained using time-step control with tight tolerance. Both flux solve and IQS solve yield the same answer, as expected.

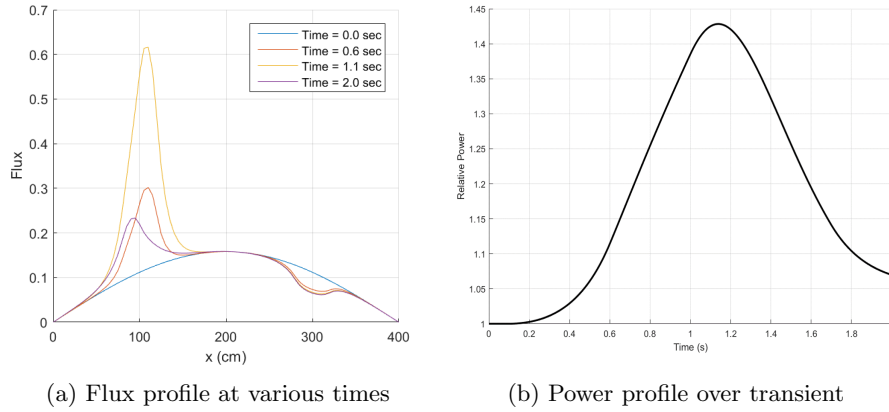


Figure 6: Baseline flux and power distribution

In the standard IQS technique, a nonlinear system of equations is to be solved for the shape and the amplitude solutions. Section 2.1 lists various iteration stopping criteria typically employed with IQS. Fig. 8 gives the number of fixed-point iterations required to reach tolerance of  $10^{-11}$  as a function of

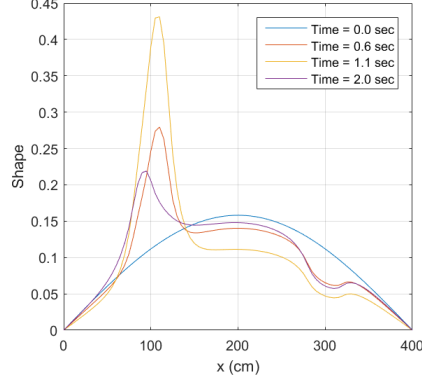


Figure 7: IQS Shape profile at various times

time during the transient. A theta-scheme is employed for the precursors equations (Eq. (16)). This figure shows that criteria based on the  $L^\infty$  norm of the shape, the  $L^2$  norm of the shape, the reactivity change, and the amplitude have approximately the same convergence behavior, but the criteria based on the uniqueness constant  $K$  never reaches the tight tolerance and runs up to the maximum number of iterations allowed (20 in this case). Fig. 9a shows the error in the factor  $K$  saturates to different levels, whether the shape is rescaled or not. However, switching the precursors solve to be performed semi-analytically (Eq. (19)) significantly improves the convergence in the uniqueness factor  $K$ , see Fig. 9b.

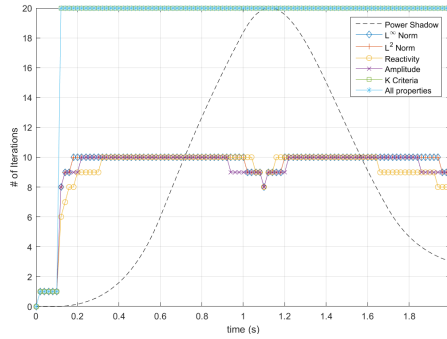
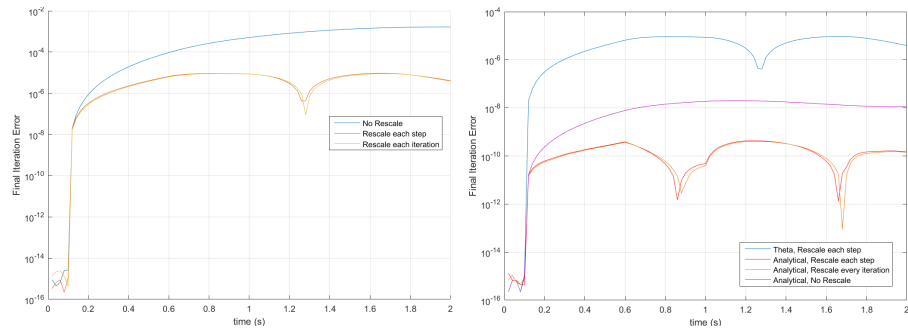


Figure 8: # of iterations for various convergence criteria, tolerance=  $10^{-11}$ , max iterations= 20



(a) Precursors solved using a theta-scheme (b) Analytical precursor solve

Figure 9: Final iteration error for  $K$  factor convergence criterion



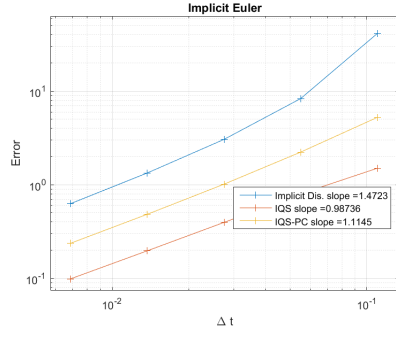
Next, we investigate the use of higher-order temporal methods to solve the shape equations (standard IQS and IQS-PC methods). We compare these results with the same high-order discretization for the flux equations. Fig. 10 shows the convergence results for four different time-implicit discretization: backward difference formulae, from order 1, which is the same as the implicit Euler, to order 4. For a detailed description on BDF methods, see [19]. The plots show that IQS and IQS-PC converge with the expected order (1 through 4) using BDF1 through BDF4. Recall that in the amplitude equations, the PRKE parameters are interpolated using the shape solutions. We used higher-order interpolants in time for the shape solution when a higher-order method was used (the convergence rates were degraded for higher-order BDF methods when the PRKE parameters were only linearly interpolated over the macro step). Lagrange and Hermite interpolants yielded the same results. In addition, we used semi-analytical integration of the precursors equations, along with the same higher-order interpolation of the shape values when a higher-order method was used. Without using the same order interpolants as the BDF formula, the error in the PRKE parameters and the precursors led to reduction in the observed convergence rate.

The convergence rates for the straightforward discretization of the flux equations are also shown in Fig. 10 and they followed the same expected convergence rates. These flux-solve results are indicated by the legend “Implicit Dis.”. It is worthy to note that, for lower-order discretizations, the error in the flux equations is always significantly higher than that of the IQS results. However, as the order of the temporal scheme is increased, the gap between the discretization error in the flux equations and the IQS equations vanishes. In previous publications, the shape solves with the IQS method always employed a lower-order temporal discretization (often implicit Euler). Our results seem to indicate that a high-order discretization of the flux equations may reduce the usefulness of the IQS approach (at least for large domains with weakly coupled spatial regions).

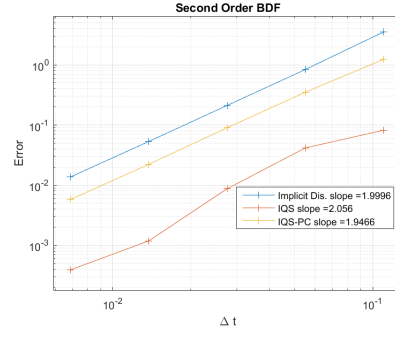
#### 4.2. TWIGL Benchmark

This benchmark problem originates from the ANL Benchmark Problem Book [18]. It is a 2D, 2-group reactor core model with no reflector region [20]. The core is smaller (hence the spatial regions are more tightly coupled) and the spatial variation of the flux varies moderately over the transient. Therefore, IQS is expected to perform significantly better than an implicit flux solve. Fig. 11 shows the IQS solution as compared with the implicit flux solution. This illustrates that IQS can yield a much more accurate solution, even at a significantly larger time step than the implicit flux discretization.

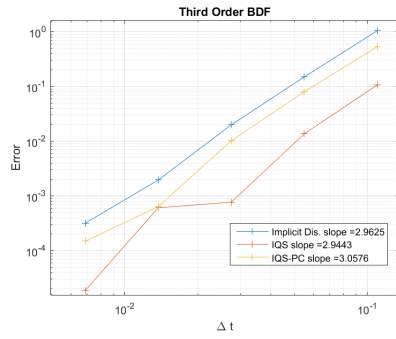
In order to demonstrate asymptotic convergence of IQS, implicit Euler (IE) and second order BDF (BDF2) were applied to solve the TWIGL problem. Fig. 12 plots the error convergence of IQS and the implicit discretization methods. The curves show the superior convergence of IQS. The observed convergence rates are indicated in the legend. They show orders 1 for IE and 2 for BDF2 for the flux solves. However, the convergence rates for IQS show higher values, order 2 for IE solves for the shape, and above 2.5 for BDF2 solve of



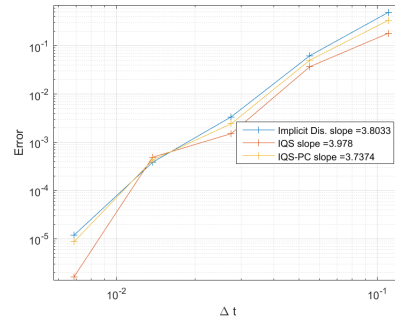
(a) Implicit Euler (BDF1)



(b) BDF2

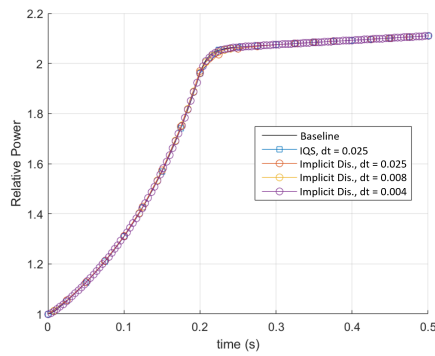


(c) BDF3

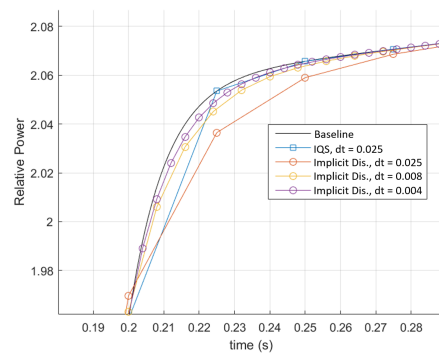


(d) BDF4

Figure 10: Error convergence plots of implicit discretization, IQS, and IQS-PC with various time discretization schemes



(a) Power profile for entire transient



(b) Power at cusp of profile

Figure 11: Power level comparison of TWIGL Benchmark

the shape. When there is moderate variation in the shape over the transient, the PRKE parameters are accurately estimated, and the fine-scale PRKE solve provides a well resolved amplitude solution.

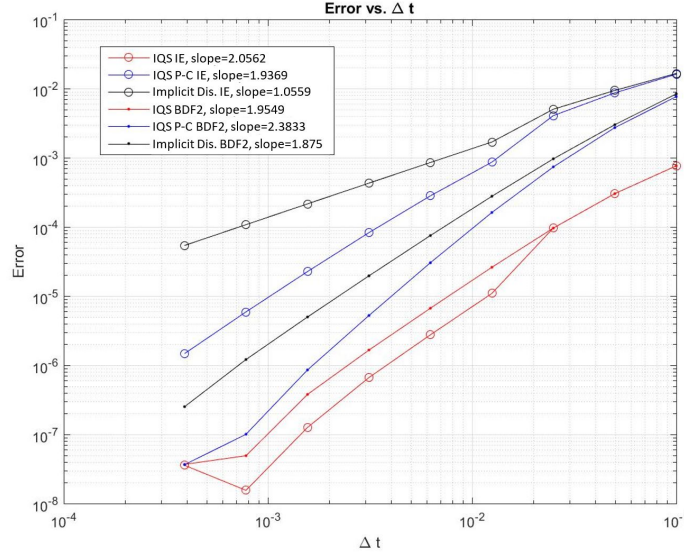


Figure 12: Error convergence comparison of TWIGL Benchmark

Table 3 and Fig. 13 show the results for the TWIGL benchmark with time adaptation. The results show that both IQS methods perform exceptionally well compared to implicit flux discretization. It also shows that traditional IQS performed better with large  $e_{tol}$ , while IQS-PC was better with smaller  $e_{tol}$ .

Table 3: TWIGL step doubling results

Test	$e_{tol}$	Implicit Discretization			IQS			IQS-PC		
		Error	Steps	Solves	Error	Steps	Solves	Error	Steps	Solves
1	0.05	0.00012677	9	29	0.03380433	4	20	0.00323100	4	9
2	0.01	3.5555e-05	11	35	0.00166991	5	40	0.00263068	5	12
3	0.005	4.0364e-05	11	31	0.00886584	5	40	0.00160486	6	21
4	0.001	0.00294822	33	122	0.02976305	5	36	1.7527e-05	10	35
5	0.0005	0.00099778	39	131	0.00143781	6	55	1.4185e-05	16	74
6	0.0001	0.00019510	78	236	0.00016175	8	65	6.2903e-06	19	78
7	5.0e-05	0.00018372	112	342	6.0328e-05	12	163	1.5247e-06	24	92

## 5. Multiphysics Results

This section describes two transient multiphysics examples with adiabatic heat-up and Doppler feedback in a thermal reactor: the LRA benchmark from the ANL Benchmark Problem Book [18] and a modelisation of an experiment

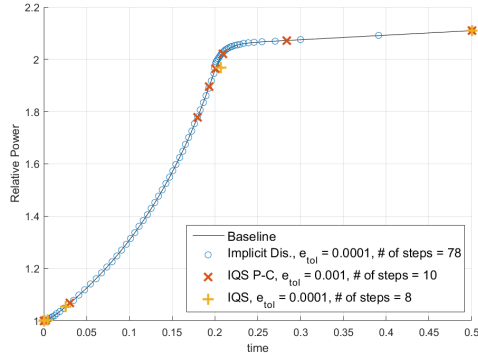


Figure 13: Power level comparison of TWIGL Benchmark with time step control

carried out at the Transient Reactor Test Facility, TREAT [21, 22]. Both examples were performed using the Rattlesnake/MOOSE framework at INL. The spatial discretization uses continuous finite elements with first-order Lagrangian basis functions.

### 5.1. LRA Benchmark

The LRA benchmark is a two-dimensional, two-group neutron diffusion problem. It is a super prompt-critical transient. The execution of the benchmark was performed by the Rattlesnake/MOOSE framework at Idaho National Laboratory (INL) [16]. The spatial discretization uses continuous finite elements with first-order Lagrangian basis functions. The mesh consists of blocks  $11 \times 11$  with five uniform refinements, totaling 165,165 elements and 124,609 nodes. Three different temporal solution techniques are used: implicit discretization of the flux equations, IQS formulation, and IQS-PC. Crank-Nicolson time discretization scheme is used for the space-time equations. *jcr: when you recall all common numerical options, make sure you recall that the PRKE ODE are done with high-order implicit RK. Put all info that is common to all results in 1 location at the beginning. zmp: I put the PRKE evaluation detail in the theory section. Should I put it again here?* The performance of IQS with temperature feedback is assessed by comparing the solution accuracy at peak power.

Fig. 14 shows the baseline power and temperature transient profile for the LRA benchmark. The baseline results are compared to the results achieved by Sutton and Aviles in [23] and presented in Table 4. The relative difference in the magnitude of the peak power ( $t \approx 1.44s$ ) from the baseline was used for error comparison.

#### 5.1.1. IQS Results for the LRA Benchmark without Time Adaptivity

This section shows the time step error convergence of IQS for the LRA benchmark, as well as the effect of the intermediate temperature time scale. Fig. 15a is an error convergence plot comparing the three tempoeral solution

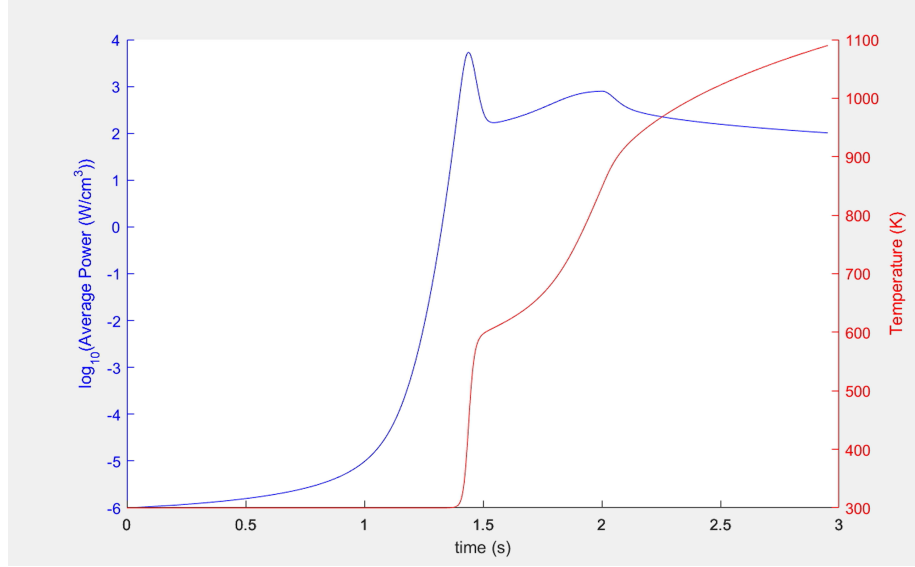


Figure 14: LRA baseline temperature and power profile

Table 4: LRA baseline verification

Calculation	Baseline Rattlesnake	Sutton (Spandex 1936)
No. of Spatial Nodes	3872	1936
Eigenvalue	0.99637	0.99637
No. of Time Steps	6000	23,890
Time to Peak Power (s)	1.441	1.441
Peak Power (W/cm <sup>3</sup> )	5456	5461

techniques where temperature is evaluated only once per macro step (1 temperature update). Fig. 15b is an error convergence plot comparing the same three techniques when temperature is evaluated 5 times within a macro step (5 temperature updates). Finally, Fig. 16 shows the effect of various temperature updates. The dashed lines correspond to implicit flux discretization at different step sizes, while the IQS macro step size is kept constant.

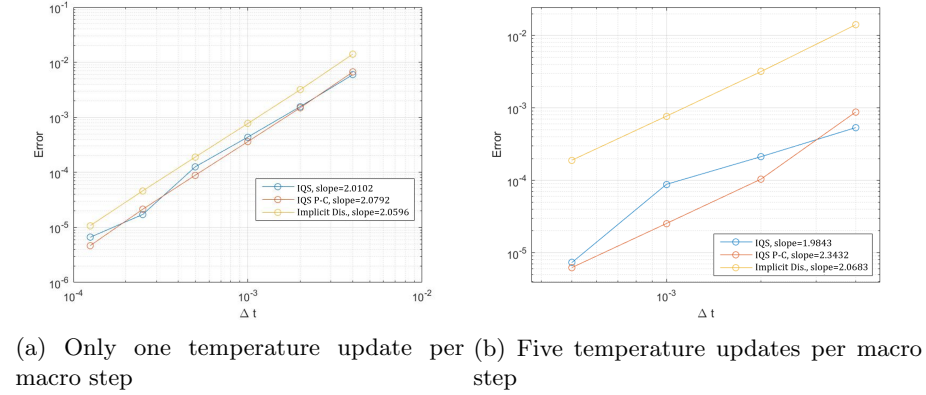


Figure 15: LRA error convergence plots

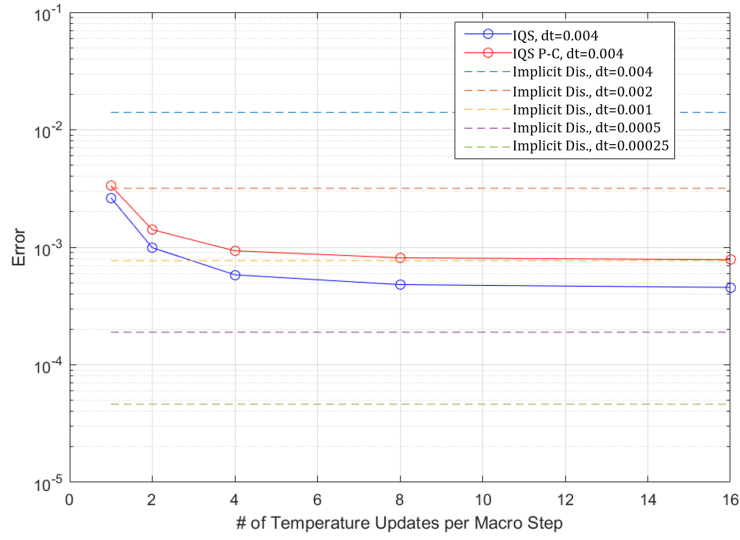


Figure 16: Error plot with various temperature updates per macro step

The convergence plots show that updating temperature and the PRKE parameters within a macro step has a significant effect on the performance of IQS.

With only one update, IQS was only slightly better than the implicit flux discretization which required about 50% more time steps than IQS for the same error. While 5 temperature updates showed a much improved performance for IQS, with the implicit flux discretization required about 400% more time steps than IQS for the same error level. Fig. 16 shows that the solution converges with an increase in the number of temperature updates. Table 5 shows the run time results for the implicit discretization calculations. The number of GMRES linear iterations is included because it is a proportional measure of the computational effort. Tables 6 and 7 present the IQS run-times with various numbers of temperature updates. These run-times are based on total alive time of the execution where the diffusion evaluation is distributed over 24 processors. These run-times show a marginal performance for IQS and superior performance for IQS-PC. Some of the execution times were able to decrease from implicit discretization with the same number of macro steps because IQS is better equipped to resolve the nonlinearity between temperature and amplitude. Furthermore, there seems to be an optimal number of temperature updates to minimize execution time for a given accuracy. It is also important to compare the error of implicit discretization with IQS at one update and IQS-PC at 4 updates. IQS shows an error comparable to implicit discretization at  $\Delta t = 0.002$ , signifying an actual increase in runtime by -34.1%. IQS-PC shows an error less than implicit discretization at  $\Delta t = 0.002$ , signifying an actual increase in runtime by  $< -34.9\%$ . These specific runs are highlighted in Tables 5 - 7.

Table 5: Implicit flux discretization run-time results

Run	$\Delta t$	Error	Runtime (hr)	Linear Iter.
1	4.0e-3	1.407e-2	4.11	7.13e4
2	2.0e-3	3.174e-3	6.01	9.49e4
3	1.0e-3	7.690e-4	10.38	1.45e5
4	5.0e-4	1.892e-4	21.91	2.08e5
5	2.5e-4	4.590e-5	25.23	3.16e5

Table 6: IQS run-time results with  $\Delta t = 0.004$

Run	Temperature Updates	Error	Runtime (hr)	% Increase in Runtime*
1	1	2.612e-3	3.96	-3.18%
2	2	9.893e-4	6.02	47.1%
3	4	5.796e-4	7.87	92.3%
4	8	4.772e-4	12.61	207.9%
5	16	4.516e-4	22.14	440.7%

\* difference in run-time from  $\Delta t = 0.004$  implicit discretization

Table 7: IQS-PC run time results with  $\Delta t = 0.004$

Run	Temperature Updates	Error	Runtime (hr)	% Increase in Runtime*
1	1	3.488e-3	2.91	-28.9%
2	2	1.349e-3	3.73	-9.00%
3	4	9.161e-4	3.97	-3.04%
4	8	8.052e-4	5.39	31.7%
5	16	7.905e-4	8.19	100%

\* difference in run-time from  $\Delta t = 0.004$  implicit discretization

Table 8: LRA step doubling adaptation results with implicit discretization and IQS-PC

Event	Implicit Dis.			IQS-PC		
	Power (W/cm <sup>3</sup> )	Error	Steps	Power (W/cm <sup>3</sup> )	Error	Steps
Max Power	5567.3	0.019454	423	5568.3	0.019274	47
End (3 s)	109.66	2.3650e-4	603	109.65	3.0622e-4	97

### 5.1.2. IQS Results for the LRA Benchmark with Time Adaptivity

Fig. 17 shows the power profile of the LRA with time a of implicit discretization and IQS-PC, and Table 8 compiles the results. These adaptivity results show the significant decrease in the number of macro time steps required for IQS-PC. The power profiles were obtaining with only one temperature update per macro step.

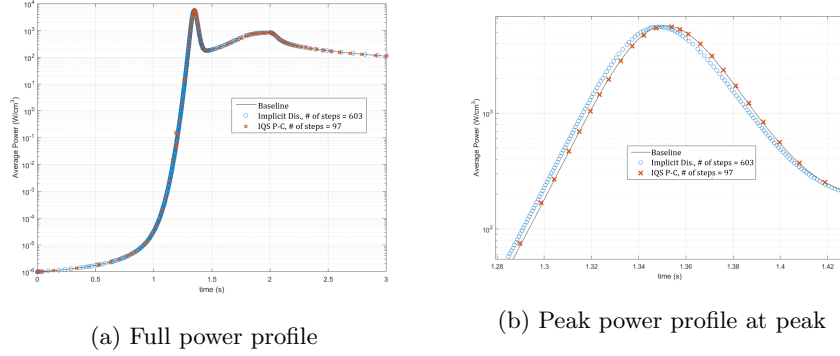


Figure 17: LRA power profile with time adaptation of implicit discretization and IQS-PC

### 5.2. TREAT Transient-15 Problem

Transient-15 is a test case based on the TREAT core at the INL [21, 22]. This test case is not meant to model an actual TREAT experiment but was



created to evaluate Rattlesnakes physics models for TREAT transient with feedback. However, the model was complex enough and representative enough to test the performance of IQS and its time scale based treatment of temperature. Transient-15 involves an 11-energy group diffusion approximation and the geometry is discretized into 355,712 hexahedral continuous finite elements totaling 4,109,523 degrees of freedom. The three-second transient involves a linear ramp decrease in the absorption cross section throughout the control rod region (to simulate a rod movement out of the core). Fig. 18 shows a visualization of the flux profile within the core (the graphite reflector has been removed in the picture to show only the active core).

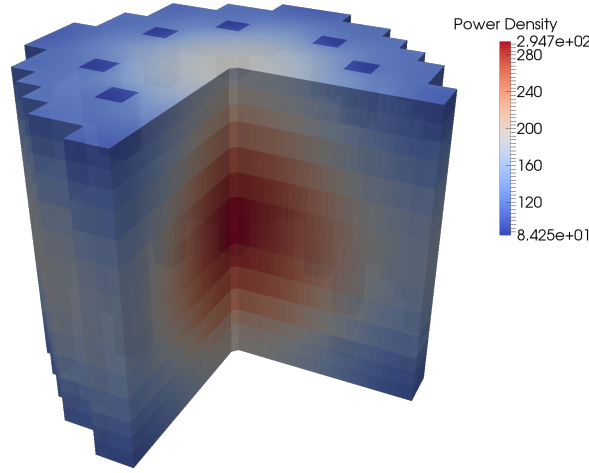


Figure 18: Transient-15 core power profile at peak power ( $t = 1.90s$ )

The Transient-15 model uses an adiabatic temperature feedback mechanism, similar to the one used in the LRA benchmark. Eq. (24) describes the heat up of the fuel, where, this time, the fuel specific heat capacity is itself also temperature-dependent. Hence, the temperature evaluation is identical to the one described in LRA section, except a Newton iteration process is employed to resolve the nonlinearity from the specific heat term. The 11-g neutron cross sections are linearly interpolated at tabulated temperatures.

$$\rho c_p(T) \frac{\partial T(\mathbf{r}, t)}{\partial t} = \kappa_f \sum_{g=1}^G \Sigma_f^g \phi^g(\mathbf{r}, t) \quad (24)$$

In order to test the temperature feedback treatment, six different scenarios were run: a baseline with a very small time-step, implicit flux discretization, IQS with one and 5 temperature updates per macro step, and IQS-PC with one and 5 temperature updates. Fig. 19 shows the baseline power and temperature profile for the Transient-15 example. Table 9 shows the error and run-time results.

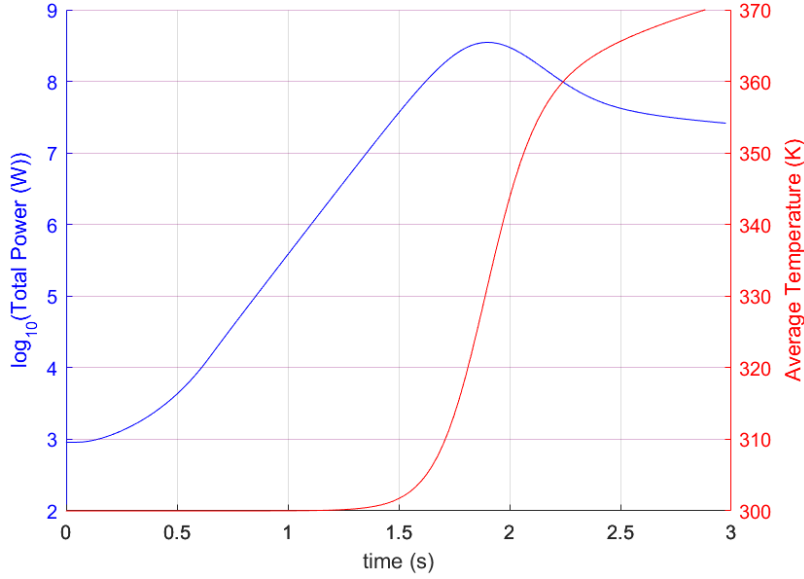


Figure 19: Transient-15 total power and average temperature profile during transient

Method	No. of Steps	Max Power (W)	Time at Max Power (s)	Max Average Temperature (K)	% Increase Runtime*	Max Power Error	Linear Iterations
Baseline	3000	3.5039e+08	1.901	371	—	—	—
Implicit Disc.	300	3.5011e+08	1.90	371	—	7.875e-4	41020
IQS	300	3.5036e+08	1.90	371	-11.9%	8.385e-5	23949
IQS (5 updates)	300	3.5040e+08	1.90	371	49.7%	3.687e-5	24035
IQS-PC	300	3.5065e+08	1.90	371	-2.1%	7.527e-4	39020
IQS-PC (5 updates)	300	3.5043e+08	1.90	371	26.5%	1.227e-4	37866

\* difference in run-time from implicit discretization

Table 9: Transient-15 Error and Runtime Results

The results from Table 9 show similar performance of IQS with the temperature updates as in the LRA test case. Again, the number of linear GMRES iterations is shown as a measure of computational cost. However, these iterations do not consider the temperature updates (auxiliary kernels solved outside of the GMRES solver for temperature updates). IQS with 1 temperature update shows a performance that reduces the error to approximately a tenth of the implicit flux discretization error, and reduces the execution time by about 12%. This shows that IQS was able to resolve the nonlinearity between flux and temperature with significantly fewer diffusion evaluations. Having IQS with 5 updates increased the execution time for the same time step, but the error was further reduced. Comparing this error to a similar implicit flux discretization error at a smaller time step could show that the run-time was reduced. IQS-PC performed not nearly as well as it did with the LRA benchmark, but still proved to be effective. Having 5 updates for IQS-PC increased the run-time marginally, but decreased the error significantly.

## 6. Conclusions

The goal of this paper was to investigate the convergence property of IQS schemes and to evaluate additional time-scales (updates) for temperature feedback in the IQS schemes. Specifically, this paper (i) discussed the different nonlinear iteration techniques for IQS and tests the rigor of their implementation (convergence criterion), (ii) investigates high-order temporal discretization of the shape equation, and (iii) analyzes IQS coupled with adiabatic heat-up and cross-section feedback.

IQS is a nonlinear system of equations; therefore, a fixed-point iteration technique was used to evaluate shape and amplitude. Investigating these iterative techniques is important for understanding the behavior of IQS, as well as determining the most appropriate technique for optimal performance. The iteration techniques were applied to a one-dimensional prototype problem for testing. For fixed-point iteration, five different criteria were tested:

1.  $L^\infty$  norm of the change in shape between iterations
2.  $L^2$  norm of the change in shape between iterations
3. Difference in reactivity between iterations
4. Difference in amplitude between iterations
5. IQS uniqueness consistency criteria

The results showed that criteria 1-4 had relatively equivalent convergence behavior. However, iteration with the criteria 5 could not converge without a highly-accurate analytical treatment of the precursor equation. This criteria proved to be the most rigorous and thorough convergence criteria and is recommended for any application of IQS.

IQS effectiveness with high-order temporal discretization schemes was also investigated. BDF schemes up to order 4 were applied to the one-dimensional prototype problem. IQS showed expected error convergence up. However, a reduction in effectiveness was noted when comparing IQS (and IQS-PC) with a straight implicit discretization of the flux equations: for high-order schemes, it appeared that the solving the flux equations over any IQS technique yielded almost the same performance.

Several multi-dimensional test cases were investigated. IQS showed the expected error convergence on all cases. Step adaptation was also applied to the TWIGL benchmark, where IQS's performance is significant, reducing the number of diffusion evaluations considerably. Multi-dimensional test cases with temperature feedback were performed as well (the LRA benchmark and a representative case of the TREAT core). These examples involve a adiabatic heat up of the fuel with Doppler broadening feedback of the cross sections. The evaluation of temperature was integrated in the quasi-static process by introducing an intermediate time scale for temperature and PRKE parameter evaluation. The results of the LRA benchmark showed that quasi-static approach to temperature

greatly improved the accuracy for a given time step size. However, the increase in computation time due to the extra temperature evaluations was significant. The results of the TREAT example showed similar behavior, except the temperature updates only produced a smaller increase in accuracy. These examples show that performing a variant number of updates during the transient could further improve the performance of this quasi-static process.

This work shows how effective IQS can be with different problem and time-discretization types. Possible future work would be to further optimize IQS for multi-physics problems in a more general sense. This proposed work would include an adaptation-like approach to the quasi-static evaluation of the non-neutronics physics. For instance, instead of a fixed number of temperature evaluations per macro step, adapting the number of these evaluations through the transient could improve results. This adaption could also be applied to other physics like thermal hydraulic and structural feedback.

## 7. Acknowledgments

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