

CAT Training Institu... Login | Infosys He... G Machine Learning B... Google Calendar ...
nitted Jun 6 at 8:25pm attempt took 2 minutes.

Question 1 1 / 1 pts

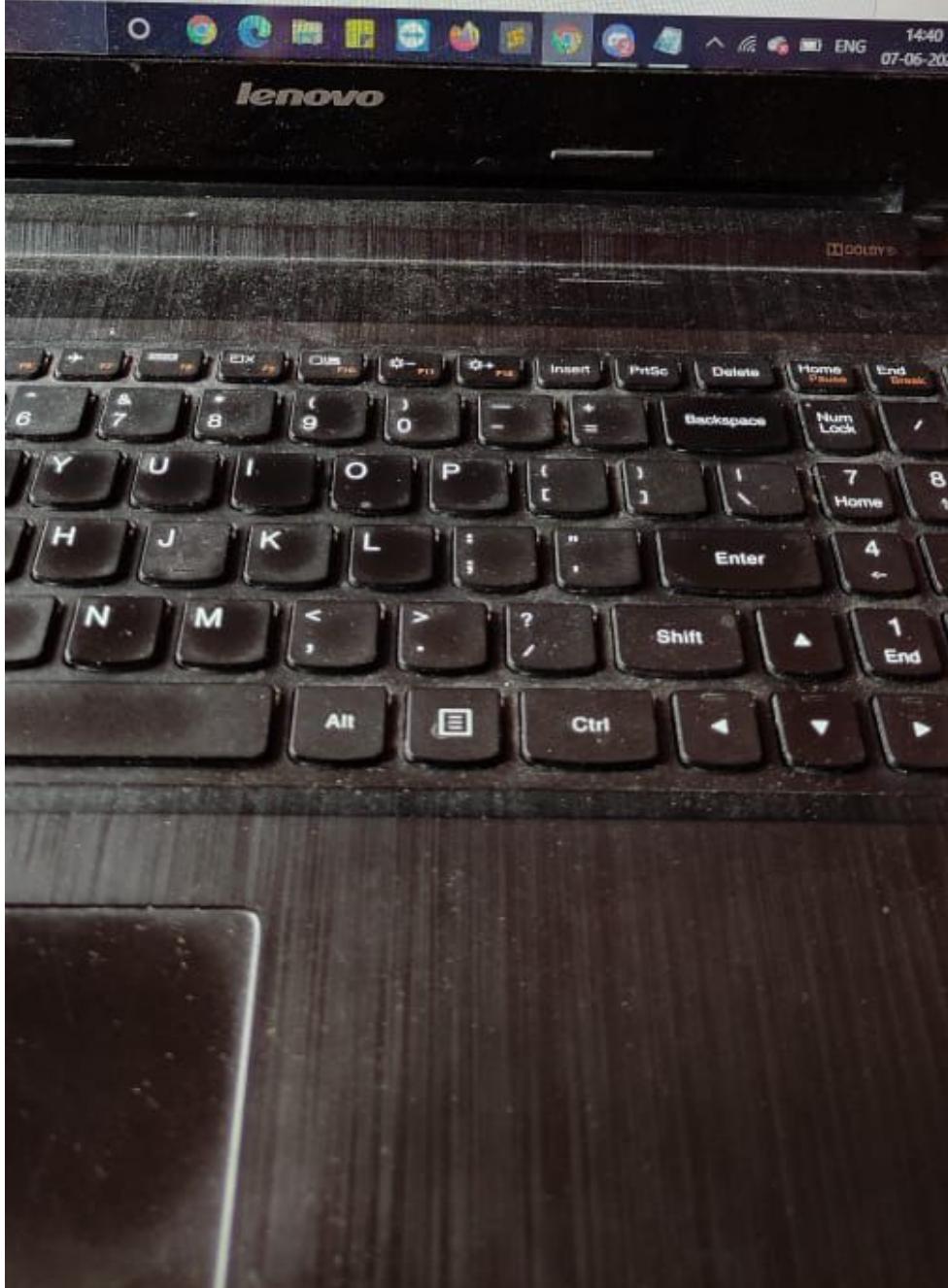
True or False: A currency interest rate swap is a contract to exchange fixed interest rate payments on a principal amount for floating rate payments. This is usually done to procure loans in foreign currency at a more favorable interest rate than if it is borrowed from the market.

Correct! True
 False

Question 2 1 / 1 pts

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14:40 07-06-2022 lenovo DOLSYS



Question 14

Who can issue municipal bonds? (Select all that apply.)

Banks

Government entities

Cities

Counties

Hedge funds

States

Activate Windows
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Quiz Score: 14 out of 16

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Question 2

1 / 1 pts

True or False: Forex (FX) is the marketplace in which various national currencies are traded; it is the largest, most liquid market in the world.

Correct!

True

False

Question 3

1 / 1 pts

True or False: The Core Tier 1 Capital Ratio is the comparison between a banking firm's core equity capital and its total risk weighted assets.



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**Question 2**

1 / 1 pts

True or False: Forex (FX) is the marketplace in which various national currencies are traded; it is the largest, most liquid market in the world.

ect!

 True False

True. You selected this answer. This was the correct answer.

Question 3

1 / 1 pts

True or False: The Core Tier 1 Capital Ratio is the comparison between a banking firm's core equity capital and its total risk weighted assets.

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Question 9 1 / 1 pts

True or False: LIBOR stands for London Interbank Offered Rate.

Correct! True False

Question 10 0 / 1 pts

True or False: Quantitative easing is the process by which a retail bank creates new money electronically in order to increase lending supply and lower the cost of borrowing.

You Answered True False

Correct Answer True False

Question 11 1 / 1 pts

What does APP stand for?

OneDrive Screenshot saved The screenshot was added to your OneDrive.

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Correct Answer

Current accounts

Company restructuring

Personal loans

Correct!

Question 7 1 / 1 pts

Shares are a type of security that aims to raise funds for the company from the market.

Correct!

True

False

Question 8 1 / 1 pts

What is the front office of an investment bank responsible for?

Financial reporting

Interacting with clients and building relationships

Verifying client transactions

Correct!

2049 02-06-2021 20

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Question 1

1 / 1 pts

At what point does a circuit breaker kick in at NYSE?

- A gain of 7% in the S&P 500 index compared to the previous closing price
- A drop of 7% in the S&P 500 index compared to the previous closing price
- A drop of 5% in the S&P 500 index compared to the previous closing price
- A gain of 5% in the S&P 500 index compared to the previous closing price

Question 2

1 / 1 pts

How do we rank companies by market cap?

- Large, medium and small cap
- Big, mid and small cap
- Big, medium and small cap
- Large, mid and small cap

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Question 31

1 / 1 pts

Which of the following statements best describes a bull market?

- Condition of the market in which prices are rising or are expected to rise
- Condition of the market in which prices are falling or are expected to fall

Question 32

0.5 / 1 pts

Why would a company want to go public? (Select all that apply.)

- Exiting a venture capitalist
- Company status
- Raising salaries
- Raising capital
- To change the way the company runs
- Attracting top talent

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Question 5 1 / 1 pts

True or False: Netting is the process of offsetting a position in one security with another.

Correct!

True

False

Question 6 0.8 / 1 pts

Select all of the services below that a retail bank would provide:

Correct!

Savings accounts

Correct!

Mortgages

Correct!

Checks

Brokerage

Mergers and acquisitions

Current accounts

Company restructuring

Correct Answer

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Question 3

0 / 1 pts

A sell side firm can be defined as:

- Correct Answer
- A company that creates and trades financial instruments to others
 - A company that creates and sells financial instruments to others
 - A company that sells financial instruments to others

Question 4

1 / 1 pts

Name the three main types of risk impacting investment banks.

- You Answered
- Volatility, market and credit
 - Operational, volatility and market
 - Operational, market and credit

Question 5

1 / 1 pts



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02-06-2021



Question 12

1 / 1 pts

US government bonds are issued at a discount from par, where par value is the face value of the bond. What is the typical longest maturity of these bonds?

- Less than 1 year
 - Less than a month
 - Less than 5 years
 - Less than a week

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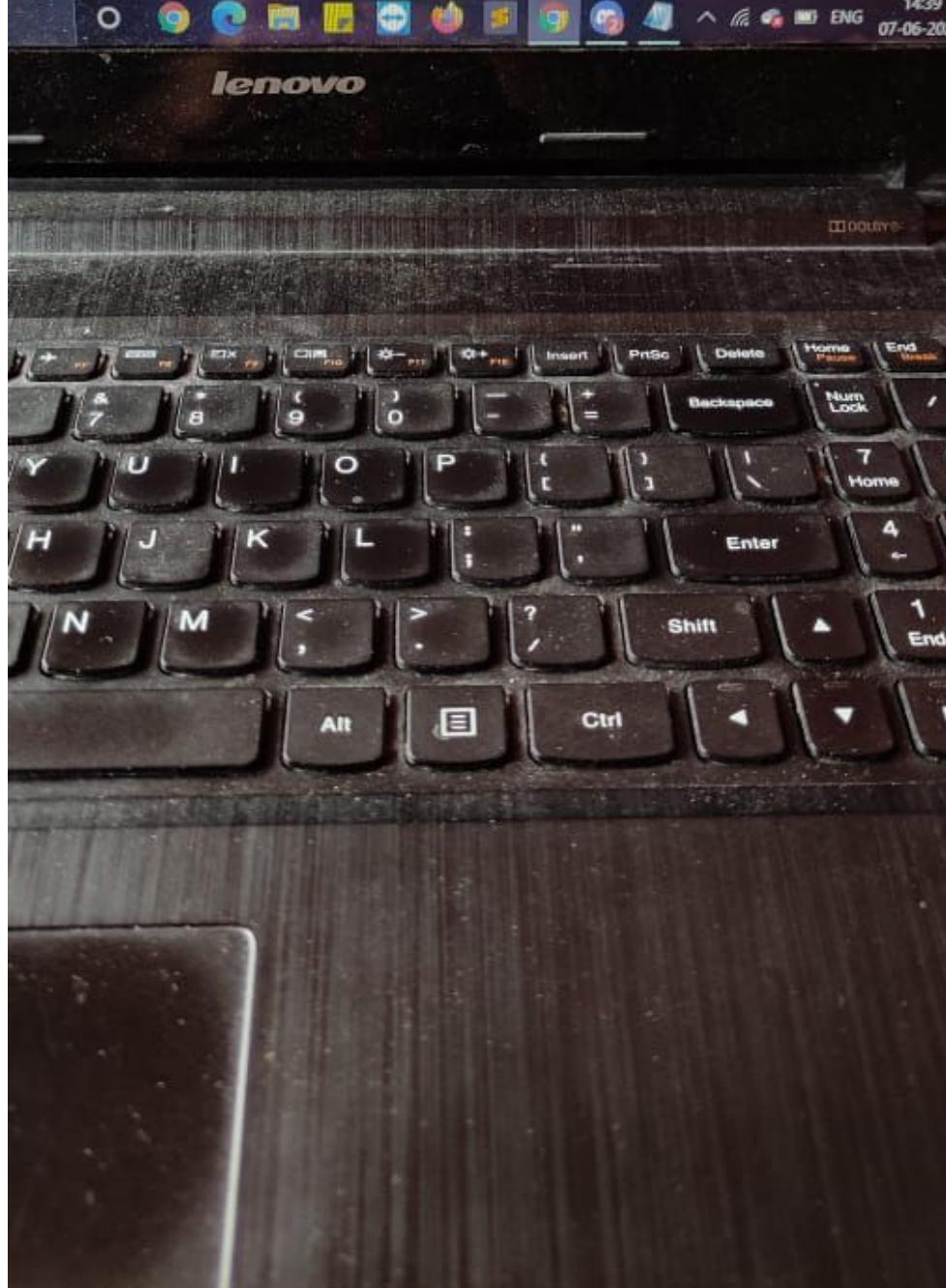
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Question 12



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Question 25 1 / 1 pts

What does SOR stand for?

Smart order routing

Small order rounding

Smart order rounding

Small order routing

Question 26 1 / 1 pts

What is a basis point (BIPS)?

1/10 of a % point

1/100 of a % point

1/1000 of a % point

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20:59 03-06-2021 22

Question 11

1 / 1 pts

True or False: US treasury bills will be bought at discount and paid back at the same price on maturity.

True

False

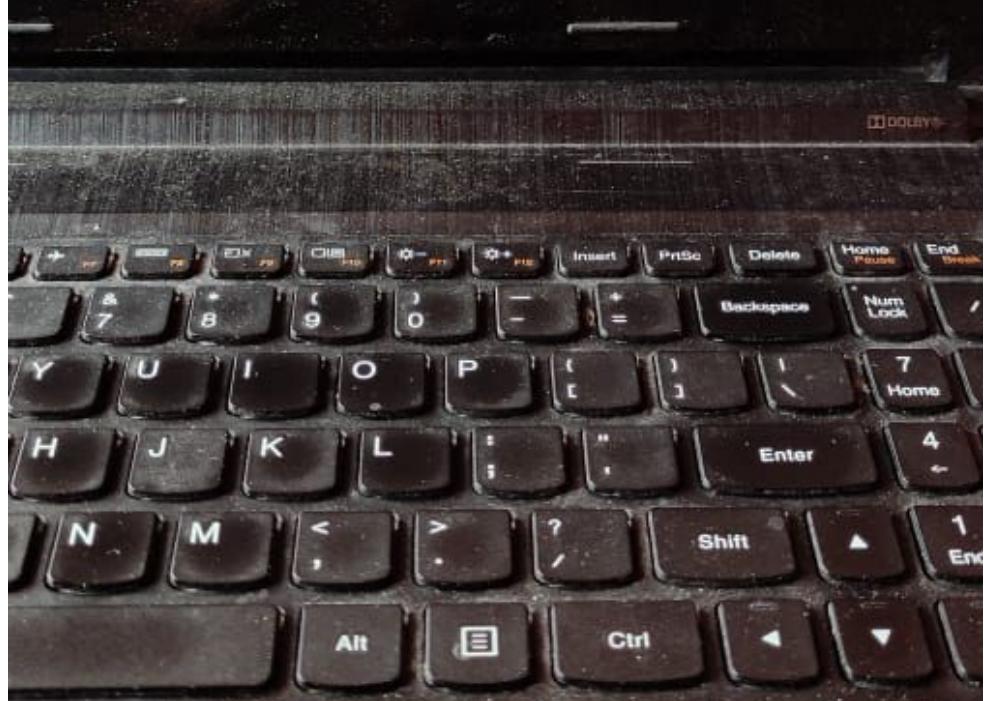
Question 12

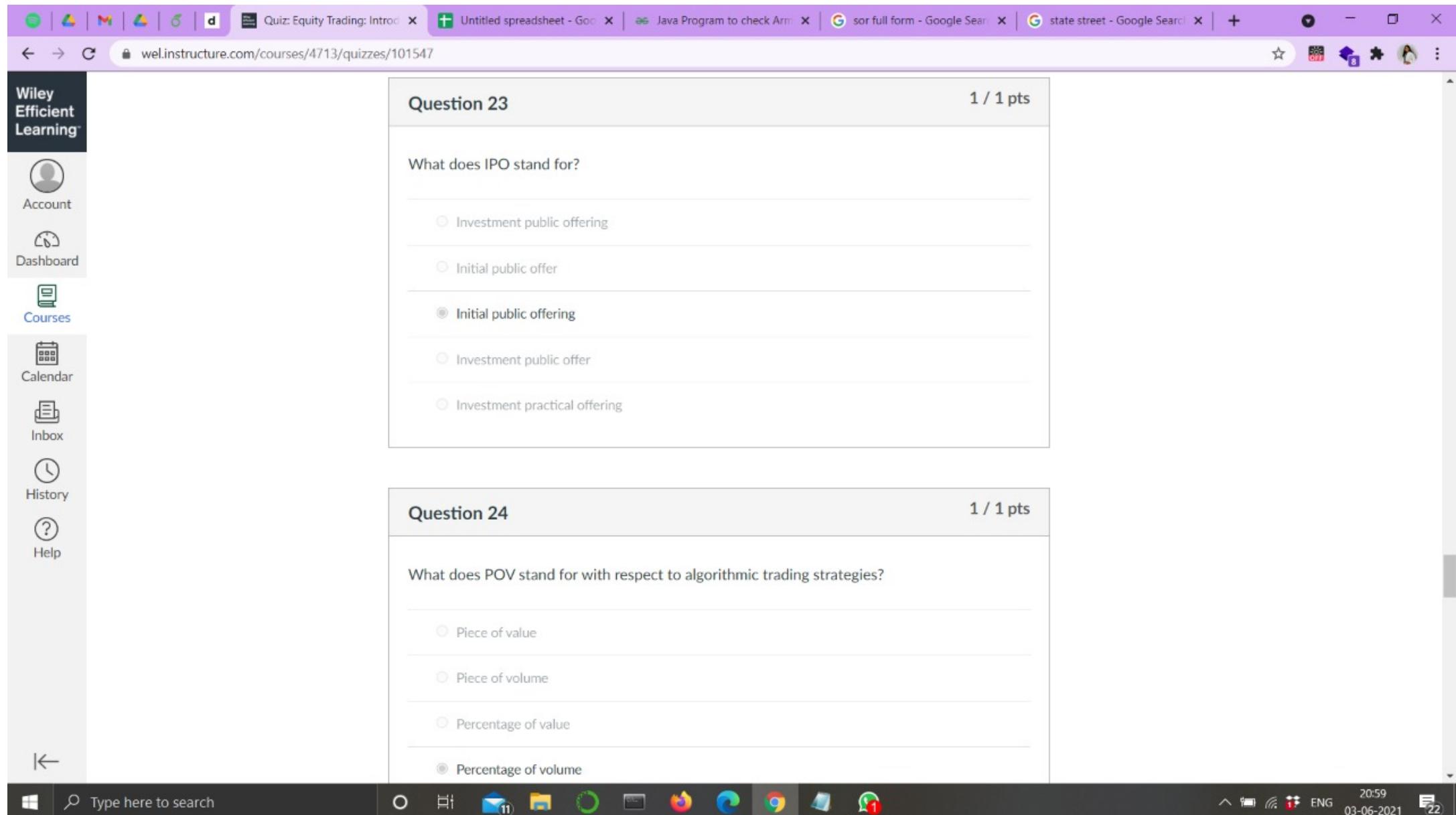
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US government bonds are issued at a discount from par, where par value is the face value of the bond. What is the typical longest maturity of these bonds?



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Question 29 1 / 1 pts

What type of order will execute regardless of price?

Limit

Market

Immediate or Cancel (IOC)

Question 30 1 / 1 pts

What type of order will only execute at a specific price?

Market

Market on close

Limit

Question 31 1 / 1 pts

O E F D M C G S I

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Question 13

1 / 1 pts

What types of ratings to high yield junk bonds have? (Select all that apply.)

B

CC

A

CCC

AAA

BB

BBB

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Question 27 1 / 1 pts

What is a market maker?

An individual speculator

A long-term investor

A person providing liquidity into the market

Question 28 1 / 1 pts

What is an orderbook?

An orderbook shows the previous day's trading for a stock on an exchange.

An orderbook shows the top bid and ask only for a stock trading actively on an exchange.

An orderbook is a live view of what orders in a stock are active on an exchange.

Question 29 1 / 1 pts

Windows Start button

File Explorer

Email (11)

File Manager

PowerShell

Firefox

Google Chrome

Microsoft Edge

OneDrive

WhatsApp (1)

Network

System tray icons: battery, signal, volume, ENG, 20:59, 03-06-2021, 22 notifications

Question 8

1 / 1 pts

True or False: Bonds have an inverse relationship to interest rates.

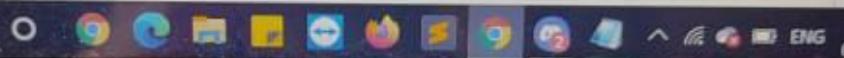
 True False**Question 9**

1 / 1 pts

True or False: Duration measurement of a bond is the same as the maturity date of the bond.

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True



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Question 7 1 / 1 pts

True or False: A reverse REPO is where a dealer borrows assets and lends money in return.

True

False

Question 8 1 / 1 pts

True or False: Bonds have an inverse relationship to interest rates.

Activate Windows Go to Settings to activate W

True O C E M F G H P A S D F L K J H Y U I O P { [] } ; ' B Backspace N M < > ? Shift Alt Ctrl

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07-06

Question 10

1 / 1 pts

True or False: Issuing a bond is a way of allowing people to buy shares in a company.

True

False

Question 11

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Question 9

1 / 1 pts

True or False: Duration measurement of a bond is the same as the maturity date of the bond.

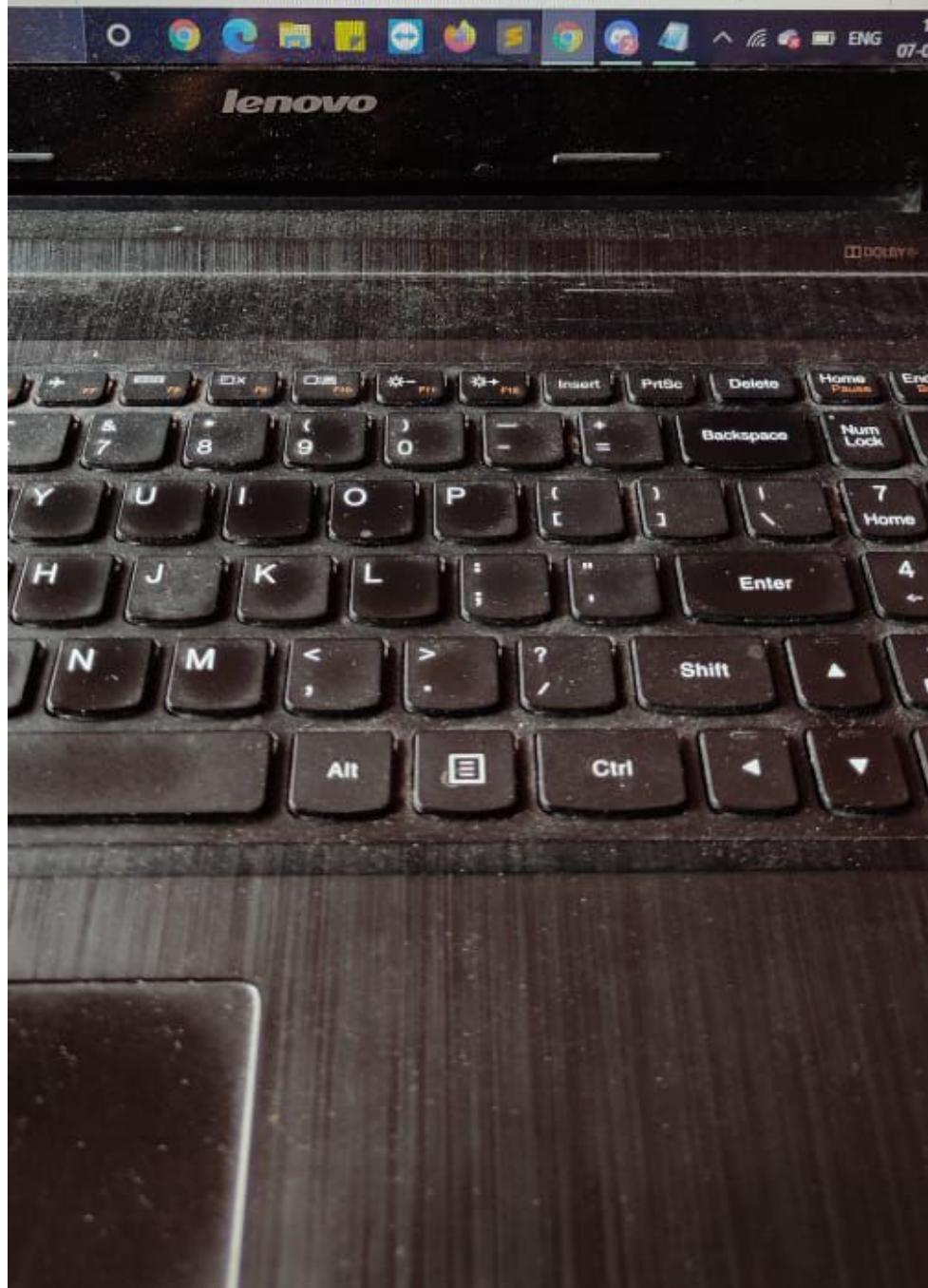
True

False

Question 10

1 / 1 pts

True or False: Issuing a bond is a way of allowing people to buy shares in a company.



Question 8

1 / 1 pts

Where is FX trading done?

Correct!

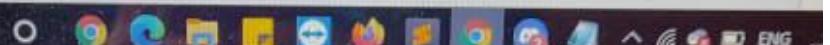
- Across an electronic network
- Over the Counter
- A physical exchange

Question 9

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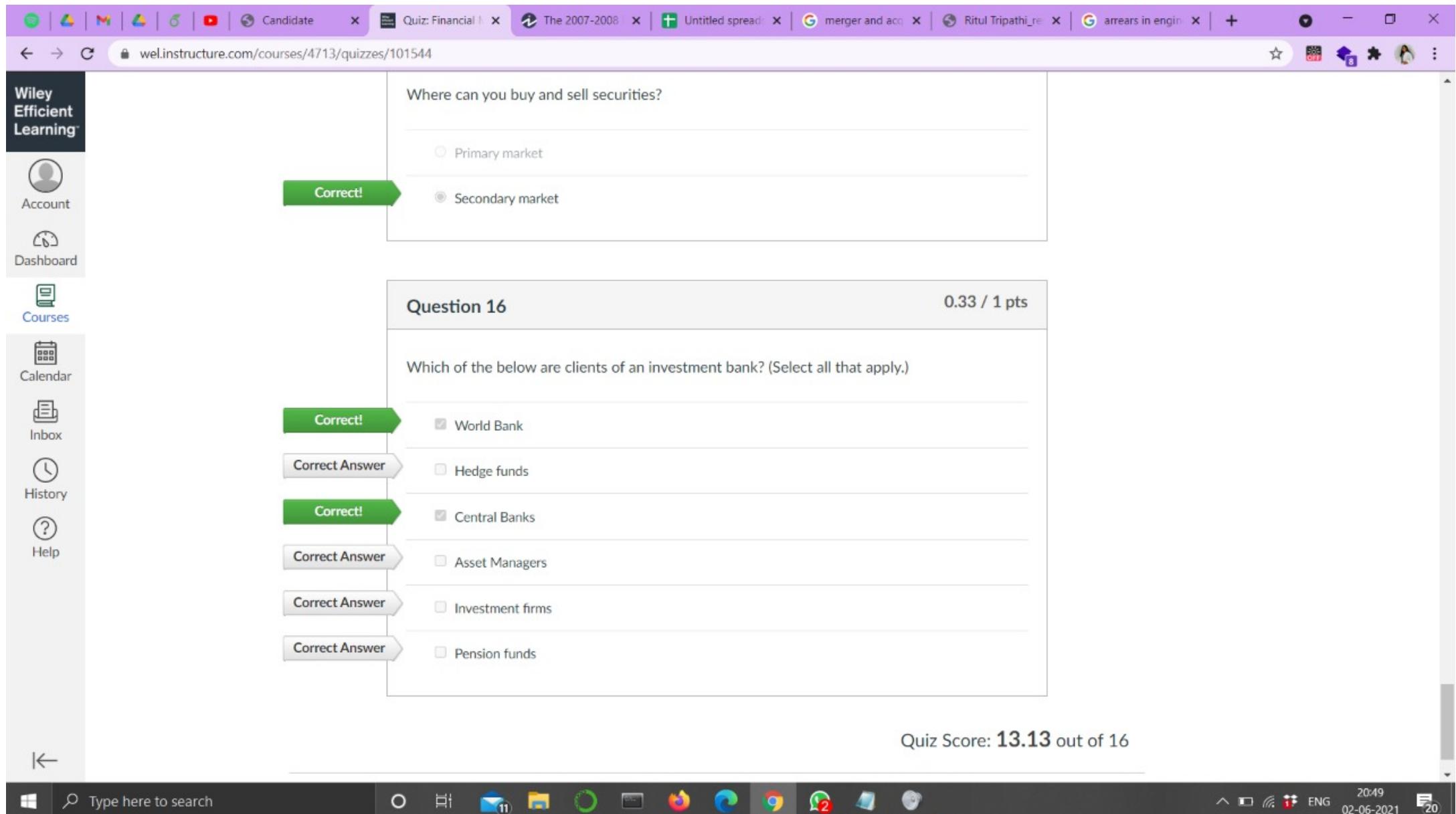
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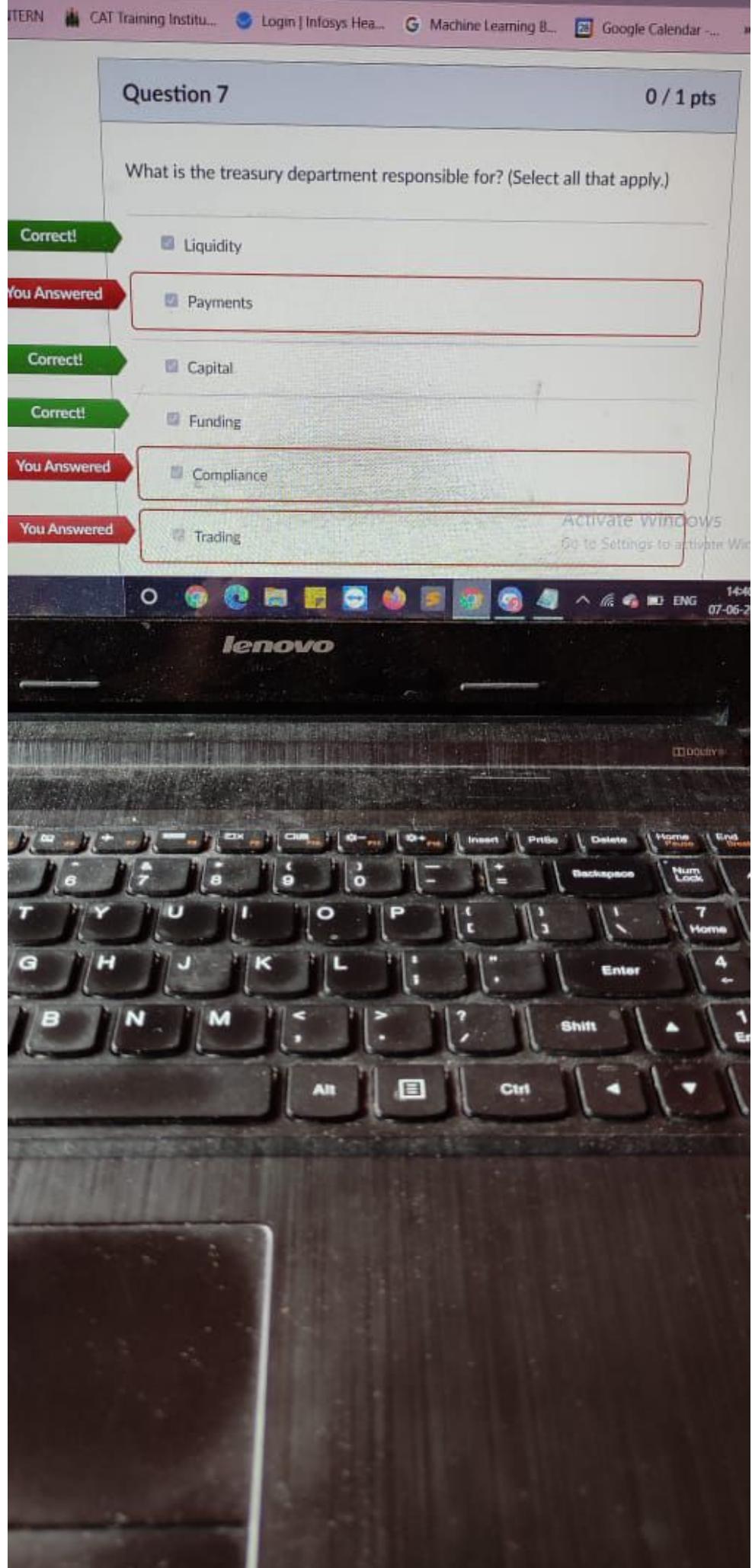
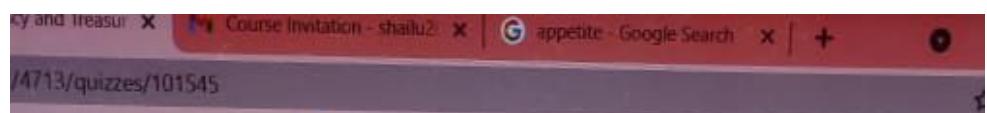
1 / 1 pts



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What is the effect on spending and inflation when a central bank lowers interest rates?

- It encourages saving and decreases inflation
- It encourages saving and increases inflation
- It encourages Spending and increases inflation
- It encourages spending and decreases inflation

Correct!

Question 14 1 / 1 pts

What services do corporate banks offer in addition to retail banking services? (Select all that apply.)

- Brokerage services
- Cash management services
- Multi bank syndicated loans
- Foreign exchange facilities
- Lines of credit
- Letters of credit and guarantees

Correct!

Correct!

Correct!

Correct!

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Correct!

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A buy side firm can be defined as:

- A company that uses its own money to trade financial instruments
- A company that uses its own money to buy financial instruments
- A company that uses client's money to trade financial instruments
- A company that uses client's money to buy financial instruments

Correct!

Question 2 1 / 1 pts

True or False: A sell side company trades with its own money only.

- True
- False

Correct!

Question 3 0 / 1 pts

A sell side firm can be defined as:

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Firefox

Google Chrome

WhatsApp

Microsoft Edge

PowerPoint

PowerPoint icon

20:48 02-06-2021

Question 4

1 / 1 pts

True or False: Treasury are not responsible for helping banks execute multiple transactions across currencies.

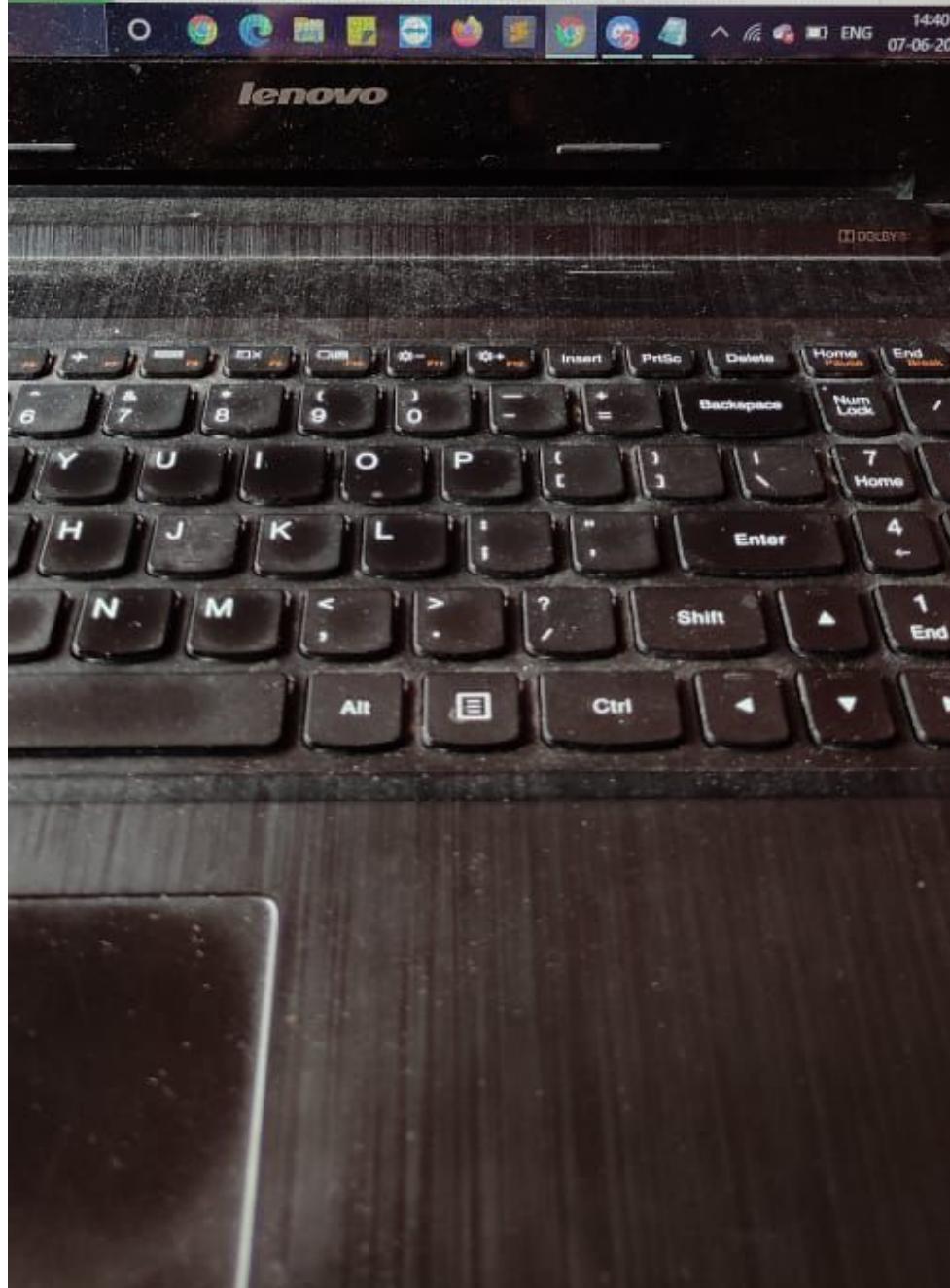
- True

Question 5

1 / 1 pts

What is the purpose of a stress test?

Activate Windows



Question 3**1 / 1 pts**

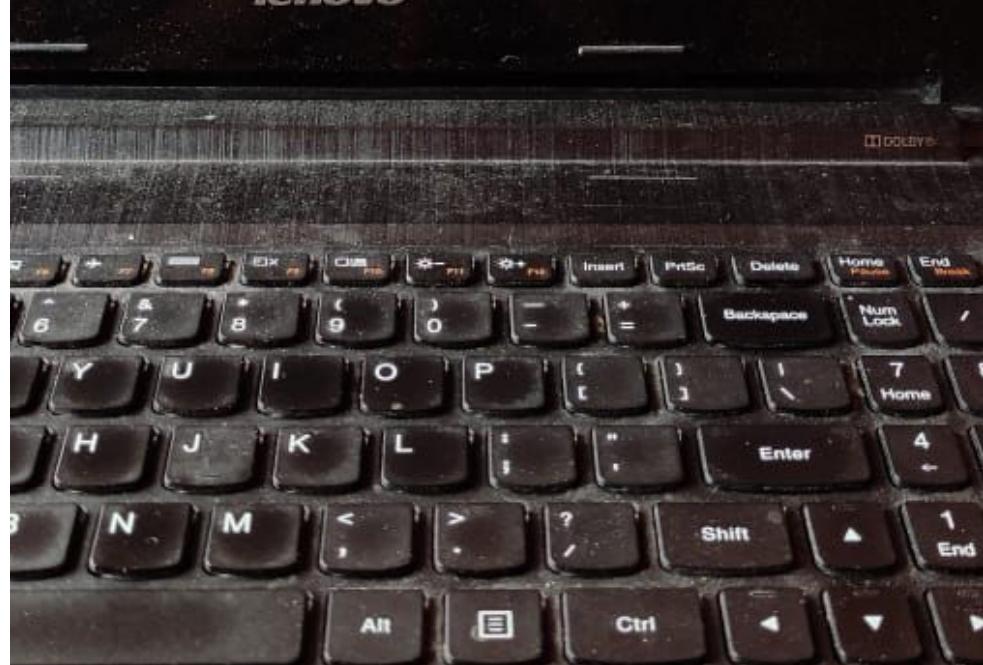
True or False: The Core Tier 1 Capital Ratio is the comparison between a banking firm's core equity capital and its total risk weighted assets.

ect! True False**Question 4****1 / 1 pts**

True or False: Treasury are not responsible for helping banks execute multiple transactions across currencies.

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Question 6

1 / 1 pts

What is the spot price?

rect!

- The current price in the market
- The price you agree to buy/sell at some point in the future
- The previous close price of a currency pair

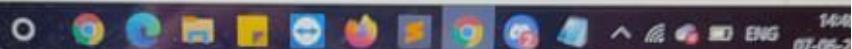
Question 7

0 / 1 pts

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What is the treasury department responsible for? (Select all that apply.)



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Question 11 1 / 1 pts

What does APR stand for?

Annual Percentage Record of Interest

Annual Percentage Rate of interest

Automatic Percentage Rate of interest

Annual Payment Record

Correct!

Question 12 1 / 1 pts

What is an example of a central bank?

US Federal Reserve

Morgan Stanley

Deutsche Bank

Correct!

Question 13 1 / 1 pts

OneDrive

Screenshot saved

The screenshot was added to your OneDrive.

2049 02-06-2021 20

What is the purpose of a stress test?

Correct!

To assess how well a bank can withstand market shocks and liquidity disruptions

To ensure that the bank has enough funding to trade in FX everyday

To assess the health of a bank's employees

Question 6

1 / 1 pts

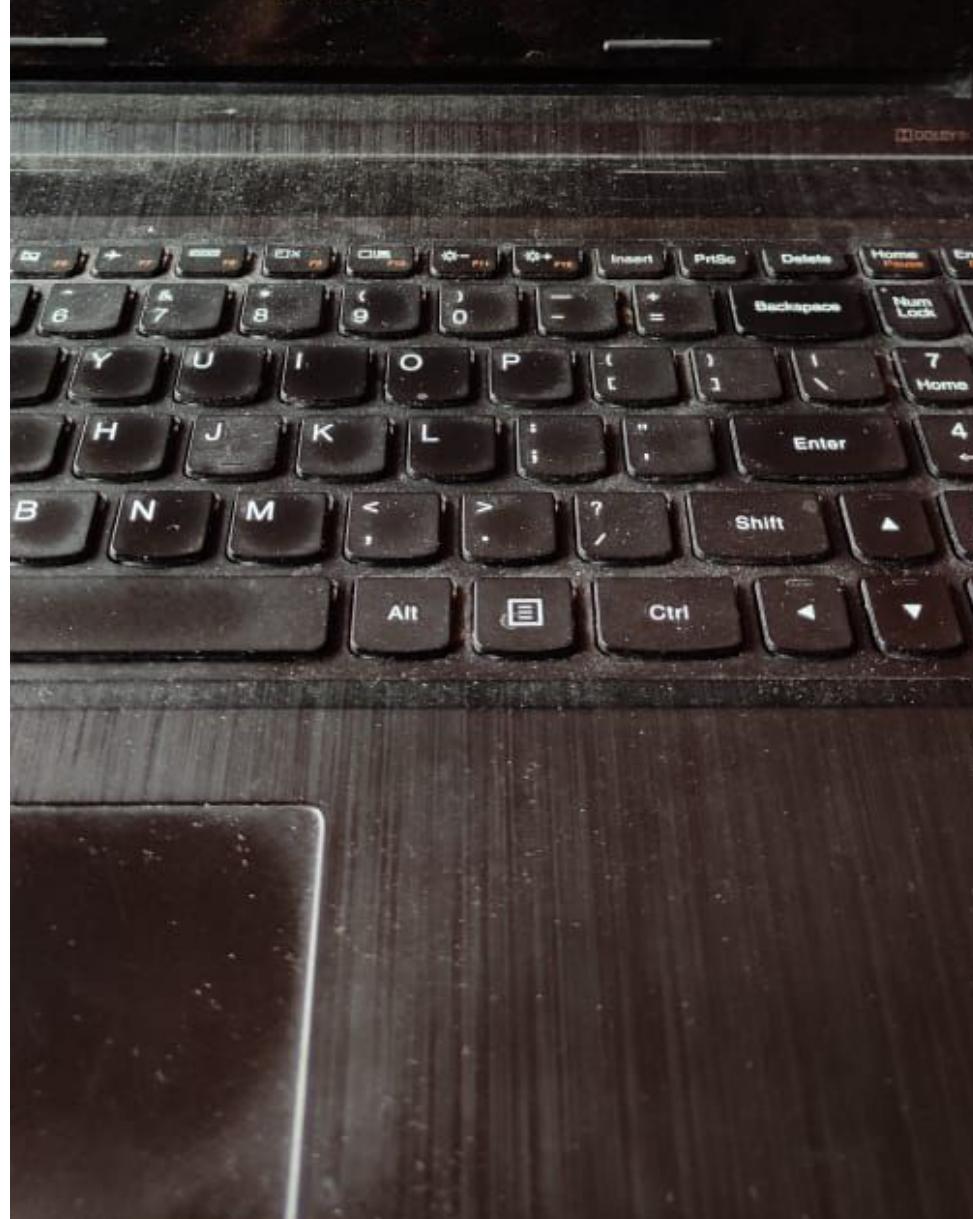
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What is the spot price?



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Question 6

1 / 1 pts

Select the correct statement:

- A LIT trading venue is where the orderbook is visible to the public.
- A LIT trading venue is where the orderbook is not visible to the public.

Question 7

1 / 1 pts

Select the most accurate sentence:

- Low touch trading is more flexible trading with a trader doing something manually, while high touch trading is generally high-speed, low-latency electronic trading.
- Low touch trading is generally high-speed, low-latency electronic trading, while high touch trading is more traditional flexible trading involving a trader doing something manually.

Question 8

1 / 1 pts



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11



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SEDOL

Question 5 1 / 1 pts

Match each description on the left to the appropriate term on the right.

An algorithmic trading strategy that splits an order up evenly over a time period

TWAP

An algorithmic trading strategy that splits an order using historical data around trading volumes

VWAP

An algorithmic trading strategy that takes a certain percentage of volume on the market

POV

Question 6 1 / 1 pts

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Question 10 1 / 1 pts

The market cap (capitalization) of a company is a measure of a company's worth. How is it calculated?

Outstanding shares divided by the current share price

Outstanding shares multiplied by the current share price

Question 11 1 / 1 pts

The spread is:

The difference between the highest bid price and the lowest ask price

The difference between the lowest bid price and the highest ask price

Question 12 1 / 1 pts

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Question 8

1 / 1 pts

The ask is:

- The maximum price a buyer is willing to pay for the stock
- The minimum price a seller is willing to sell their stock for

Question 9

1 / 1 pts

The bid is:

- The minimum price a seller is willing to sell their stock for
- The maximum price a buyer is willing to pay for the stock

Question 10

1 / 1 pts

The market cap (capitalization) of a company is a measure of a company's worth. How is it



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Question 3 1 / 1 pts

How often are dividends typically paid?

Four times a year

Once a year

Twice a year

Three times a year

Question 4 1 / 1 pts

Match each identifier on the left to the type of identifier on the right.

AAPL.N RIC Code

US0378331005 ISIN

AAPL:US Bloomberg ID

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True

False

Incorrect

Question 17

0 / 1 pts

True or False: Market makers trade principally (using the bank's own money).

True

False

1 / 1 pts

True or False: The aim of smart order routing is to find the best price as quickly as possible.

True

False

Jun 5 at 8:40pm
pt took 6 minutes.

Question 1

1 / 1 pts

Are bonds a lower or higher risk investment than equities?

Lower risk

Higher Risk

Question 2

1 / 1 pts

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A REPO (repurchase agreement) is an agreement to borrow overnight and then have back the next day. Why do banks do this? Let?



Question 9

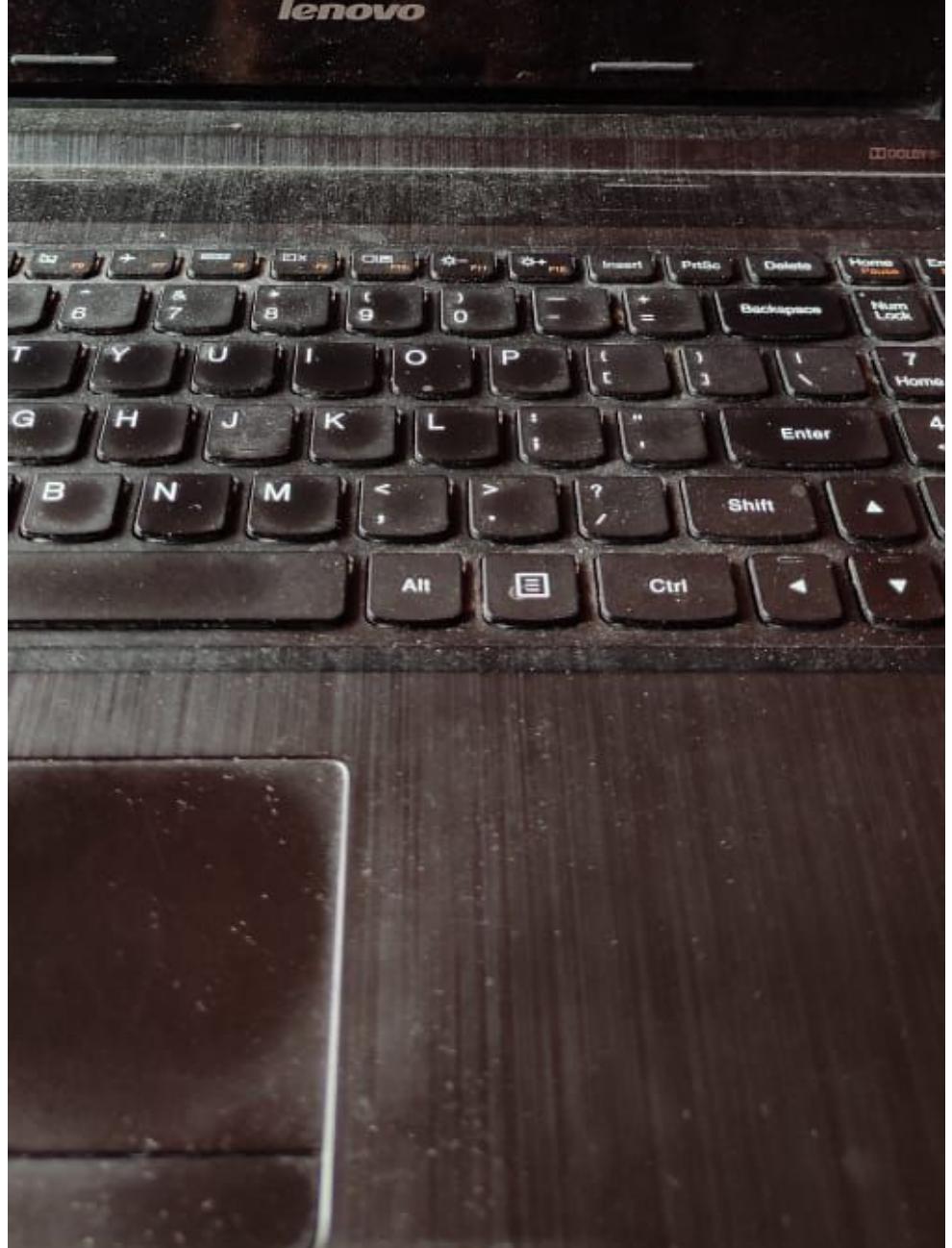
1 / 1 pts

Why do banks lend money or assets to each other overnight?

Correct!

- To ensure their reserve requirements are met
- To make money off the transactions
- To diversify their risk

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Question 4

1 / 1 p

How do you calculate the yield on a bond?

- Coupon value divided by the price
- Coupon value multiplied by the price

Question 5

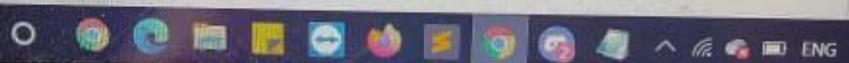
1 / 1 pts

Select all the different types of coupon payments on bonds:

Activate Window

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Zero

**lenovo**

Question 6

1 / 1 pts

True or False: An issuer can redeem callable bonds before their maturity date.

 True False**Question 7**

1 / 1 pts

True or False: A reverse REPO is where a dealer borrows assets and lends money in return.

**lenovo**

00days



Question 5

1 / 1

Select all the different types of coupon payments on bonds:

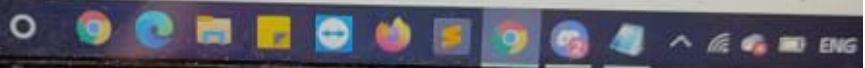
- Zero
- Sliding
- Floating
- Fixed
- PAR

Activate Window

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1 / 1 pts

Question 6



Question 12

1 / 1 pts

What is a swap?

A contract to exchange a series of cash flows at a specific point in the future based on the interest rate

A contract to exchange a series of cash flows at a specific point in the future based on a specific principle amount

Quiz Score: 12 out of 12

← Previous



NSM

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ENG

Question 11

1 / 1 pts

What is an SPV?

Special Priced Vehicle

- Special Purpose Vehicle



Question 12

1 / 1 pts

What is a swap?



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Question 21 1 / 1 pts

What are the common themes of high frequency trading (HFT)? (Select all that apply.)

Uses algorithms

Low latency

Only large banks do it

Only trades on dark venues

High speed

Question 22 1 / 1 pts

What does ADR stand for?

American Depository Receipt

American Domestic Record

American Domestic Receipt

American Depository Record

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Question 7

1 / 1 pts

What is a CDO?

- Combined Debt Obligation
- Collateralized Debt Obligation
- Collateralized Debt Offer
- Combined Debt Offer

Correct!

Question 8

1 / 1 pts

What is a CLO?

- Combined Loan Offer
- Collateralized Loan Obligation
- Combined Loan Obligation
- Collateralized Loan Offer

Correct!

Question 9

1 / 1 pts

What is a forward

- A forward is a customizable derivative contract between two parties to buy or sell an asset at a specified price on a future date
- A forward is a customizable derivative contract between two parties giving them the option to buy or sell an asset at a specified price on a future date

Correct!



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Question 1

1 / 1 pts

Select all the examples of credit events:

Correct!

- Bankruptcy

Correct!

- Obligation acceleration

Correct!

- Debt restructuring

Correct!

- Failure to pay

Question 2

1 / 1 pts

True or False: A tranche is a piece of a pooled collection of securities, usually equity instruments.

Correct!

- True
- False

Question 3

1 / 1 pts

True or False: Derivative securities are contracts whose value is based on the assets underlying the contract.

Correct!

- True
- False

Question 4

1 / 1 pts

What does ABS Stand for?



Question 10

1 / 1 pts

What is a future?

A contract giving the buyer to purchase an asset or the seller to sell an asset at a predetermined future date and price

A contract obligating the buyer to purchase an asset or the seller to sell an asset at a predetermined future date and price

Question 11

1 / 1 pts



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Question 4

1 / 1 pts

What does ABS Stand for?

- Asset Backed Savings
- Asset Backed Shares
- Asset Backed Security

Correct!

Question 5

1 / 1 pts

What does MBS Stand for?

- Mortgage Backed Shares
- Monetary Backed Security
- Monetary Backed Shares
- Mortgage Backed Security

Correct!

Question 6

1 / 1 pts

What is a CBO?

- Collateralized Bond Offer
- Combined Bond Offer
- Collateralized Bond Obligation
- Combined Bond Obligation

Correct!



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Question 12 1 / 1 pts

True or False: A dark pool is a public exchange or forum for trading where the orderbook is visible.

True

False

Question 13 1 / 1 pts

True or False: A fill or kill order needs to execute fully immediately or it will be cancelled.

True

False

Question 14 1 / 1 pts

True or False: An alternate trading system (ATS) is also known as a multilateral trading facility.

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Question 3 1 / 1 pts

How do banks make profit from new issues of bonds?

They make money by selling them at a price that is different from the price they paid for them.

They collect the first coupon payment.

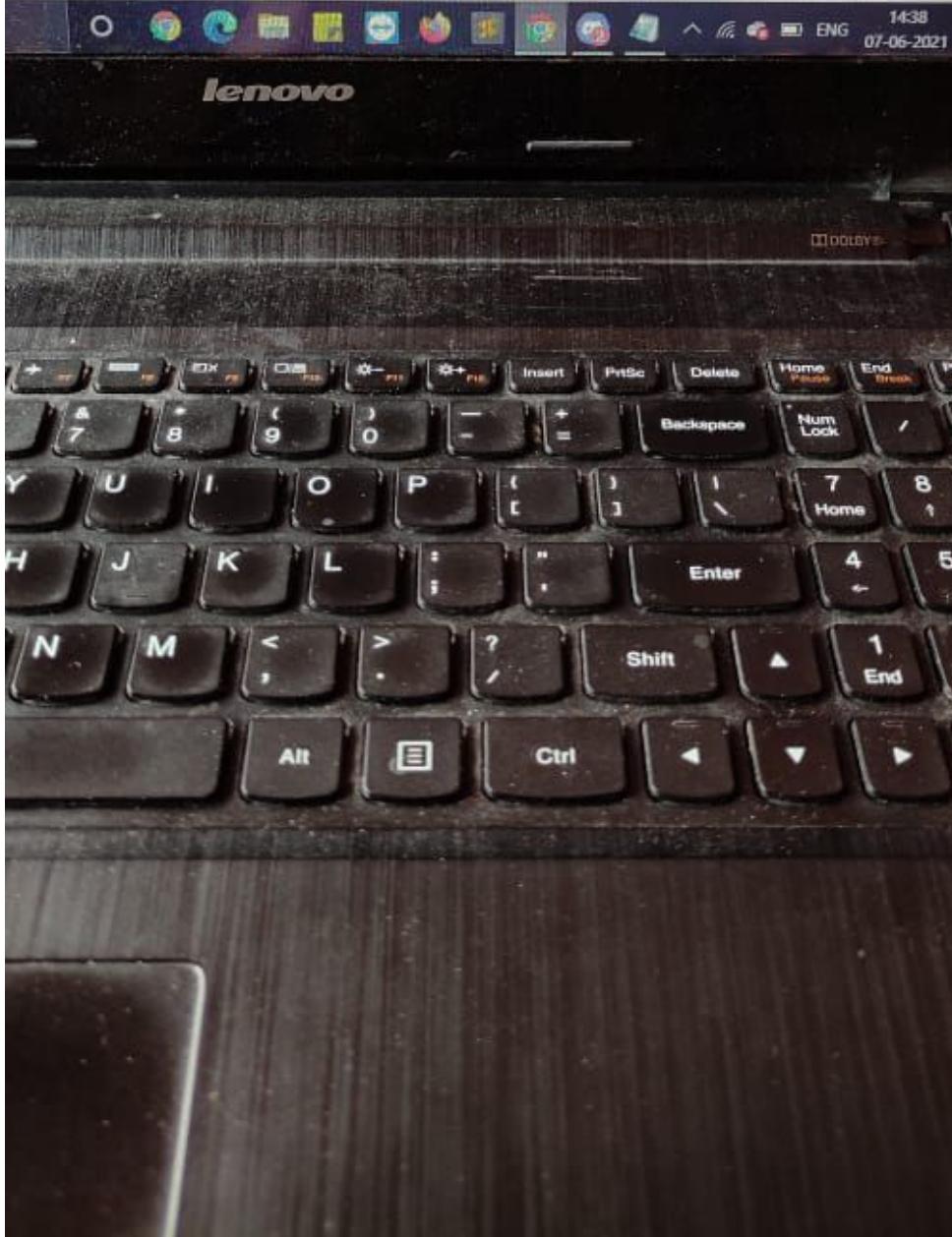
They give themselves a discount when they buy them.

Activate Windows

Question 4 1 / 1 pts

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Question 19

1 / 1 pts

True or False: Triple witching happens twice a year and is the day that options, futures and index options expire on the third Friday of June and December.

True

False

Question 20

1 / 1 pts

True or False: You can short sell shares whenever you want.

True

False

Question 21

1 / 1 pts

What are the common themes of high frequency trading (HFT)? (Select all that apply.)



Question 2

1 / 1 pts

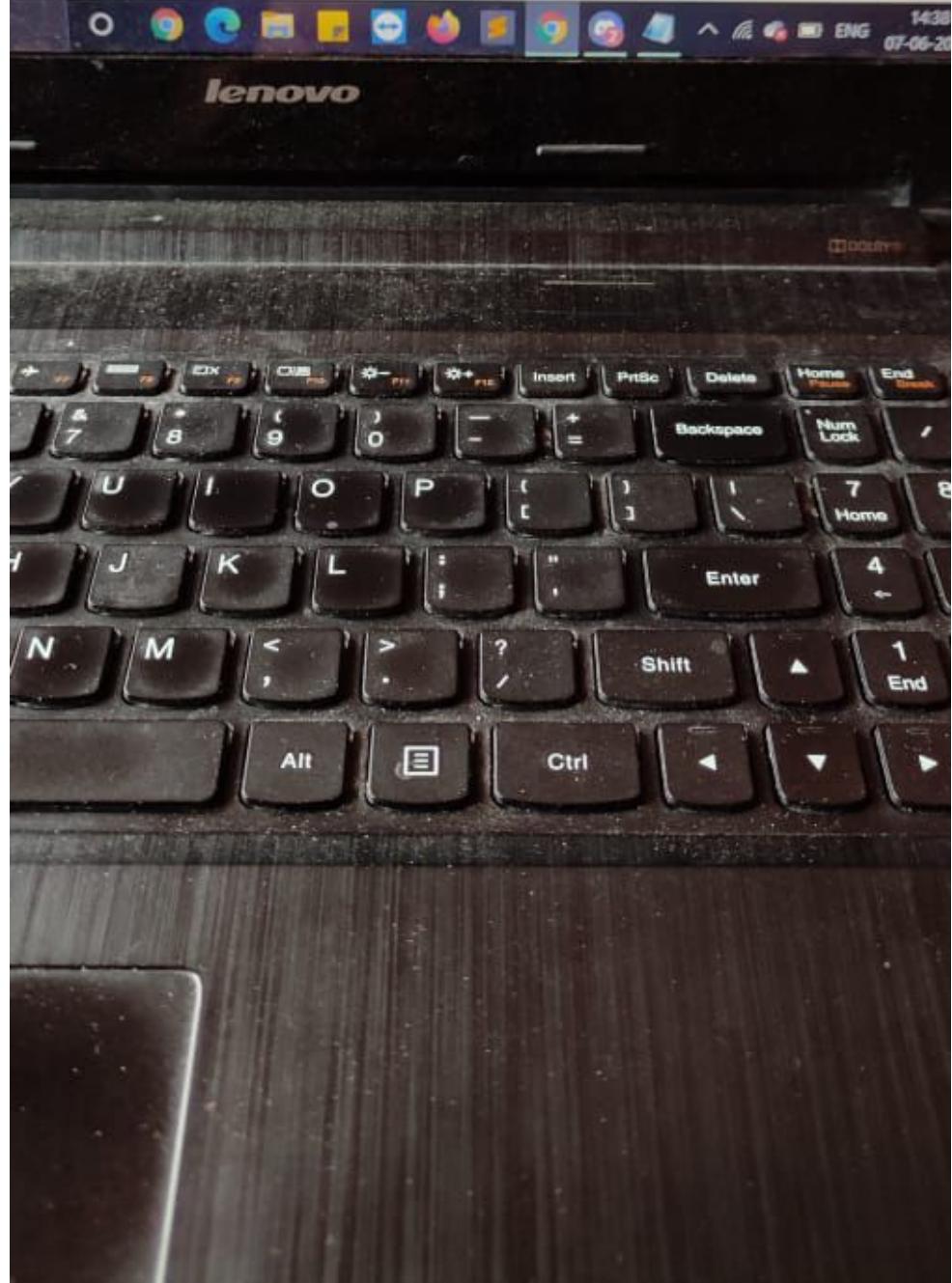
A REPO (repurchase agreement) is an agreement to borrow overnight and then buy-back the next day. Why do banks do this a lot?

- To make money from the market
- To ensure they meet their fractional reserve requirements
- To diversify their risk

Question 3

Activate 1 / 1 pts

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Question 14 1 / 1 pts

True or False: An alternate trading system (ATS) is also known as a multilateral trading facility.

True

False

Question 15 1 / 1 pts

True or False: A stock exchange is an electronic marketplace for trading of shares.

True

False

Question 16 1 / 1 pts

True or False: If you are long in a stock, you have bought shares with the expectation that they will increase in value over time.

Type here to search

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